

# Graphical Models Project

## Graph-cut algorithm for image segmentation

### Alpha-expansion extension

Maxence Gélard

1st April 2022



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# Introduction: Image segmentation with Graph-Cut

## Motivation and goal

- **Image segmentation:** assign a given label to every pixel of an image.
  - Binary: foreground (object) / background
  - Multi-label ( $\rightarrow \alpha$ -expansion)



# Introduction: Image segmentation with Graph-Cut

## Motivation and goal

- **Image segmentation:** assign a given label to every pixel of an image.
  - Binary: foreground (object) / background
  - Multi-label ( $\rightarrow \alpha$ -expansion)
- **Energy minimisation:** formalisation of the segmentation problem.
  - Solve using *Graph-cut* techniques.
  - Take advantage of the maximum flow / minimum cut theorem to find the optimal cut.



## Example of segmentation

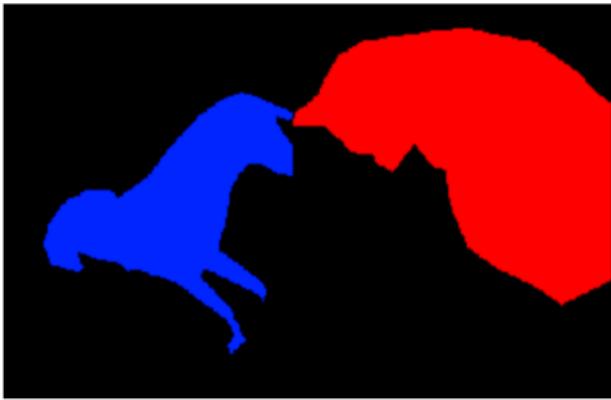


Figure: Example of multi-label segmentation



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# Binary segmentation energy

Segmentation energy: first order MRF

- Image as a 4-connected graph  $\mathcal{G} = (\mathcal{P}, \mathcal{N})$
- Labeling matrix  $A \in \{0, 1\}^{|\mathcal{P}|}$
- Define an energy:  $E(A) = \sum_{p \in \mathcal{V}} R_p(A_p) + \sum_{p, q \in \mathcal{N}} B_{p,q}(A_p, A_q) 1_{A_p \neq A_q}$



# Binary segmentation energy

## Segmentation energy: first order MRF

- Image as a 4-connected graph  $\mathcal{G} = (\mathcal{P}, \mathcal{N})$
- Labeling matrix  $A \in \{0, 1\}^{|\mathcal{P}|}$
- Define an energy:  $E(A) = \sum_{p \in \mathcal{V}} R_p(A_p) + \sum_{p, q \in \mathcal{N}} B_{p,q}(A_p, A_q) 1_{A_p \neq A_q}$

## Weights signification (more later)

- Unary term  $R_p$ : data attachment term, penalty if disagreement between label and observation.
- Binary term  $B_{p,q}$  penalty terms for similar pixels that would have different labels (that will force a form a smoothness in the label assignments matrix  $A$ ).



# Graph cut method

## Transformation to s-t graph

- Under certain assumption (positivity and submodularity of binary potentials), graph cut can solve energy minimisation problem.
- Add source and sink nodes to be able to solve maximum flow problem
- Binary potentials: weights of edges between pixels
- Unary potentials: weights between every pixel and the sink and source nodes



# Graph cut method

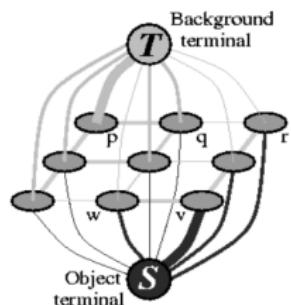


Figure: s-t graph for binary segmentation

edge	weight (cost)	for
$\{p, q\}$	$B_{\{p,q\}}$	$\{p, q\} \in \mathcal{N}$
$\{p, S\}$	$\lambda \cdot R_p(\text{"bkg"})$	$p \in \mathcal{P}, p \notin \mathcal{O} \cup \mathcal{B}$
	$K$	$p \in \mathcal{O}$
	$0$	$p \in \mathcal{B}$
$\{p, T\}$	$\lambda \cdot R_p(\text{"obj"})$	$p \in \mathcal{P}, p \notin \mathcal{O} \cup \mathcal{B}$
	$0$	$p \in \mathcal{O}$
	$K$	$p \in \mathcal{B}$

Figure: Edges weights for graph cut [BJ01]

$$\text{with } K = 1 + \max_{p \in \mathcal{P}} \sum_{q \in \mathcal{P}: (p,q) \in \mathcal{N}} B_{p,q}$$

# Algorithm running

## Maximum flow: Ford-Fulkerson

Start with a flow of 0 on all edges

Until the sink and the source are disjoint in the residual graph:

- Find an s-t path in the residual graph
- On this path, use all maximum allowable flow
- Subtract this flow from the inverse arcs and add it to the forward arcs.

## Label assignments

- If  $v \in S$ ,  $A_s = 1$  (background).
- If  $v \in T$ ,  $A_s = 0$  (foreground).



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# $\alpha$ -expansion: iteration of the binary case

## Main idea behind $\alpha$ -expansion

- Initialize the labels (minimum unary cost across the labels)
- Select a class  $\alpha$
- Solve a binary segmentation problem: for each pixel, the graph-cut output will decide whether it keeps its current label or changes to class  $\alpha$ .



## $\alpha$ -expansion: refinement

### Add auxiliary nodes

Auxiliary node between 2 neighbors that have different labels: new edges created between the 2 neighbors and the auxiliary node (respectively  $e_{p,a}$  and  $e_{q,a}$ ) with weight corresponding to the binary decision " $\alpha$  or  $\bar{\alpha}$ "

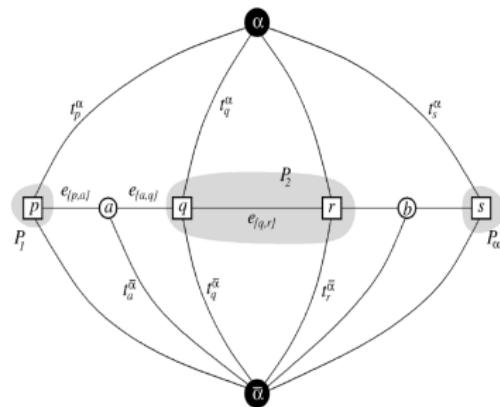


Figure: s-t graph ( $\alpha$ -expansion) [BVZ01]



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# Choice of weights

## Unary potentials

- Interactively select 2 sets of pixels  $\mathcal{O}$  and  $\mathcal{B}$  respectively corresponding to the foreground (object) and the background
- **$L_2$ -distance:**  $R_p(label) = \|p - \mu_{label}\|^2$ , with  $p$  the current pixel,  $label$  either designated the foreground or the background, and  $\mu_{label}$  the mean of the distribution of the pixels assigned to this label.
- **Normal density:**  $R_p(label) = \mathcal{N}(p; \mu_{label}, \Sigma_{label})$ , with  $\mathcal{N}$  the normal distribution, and  $\Sigma_{label}$  the covariance matrix of the distribution of the pixels assigned to this label.



# Choice of weights

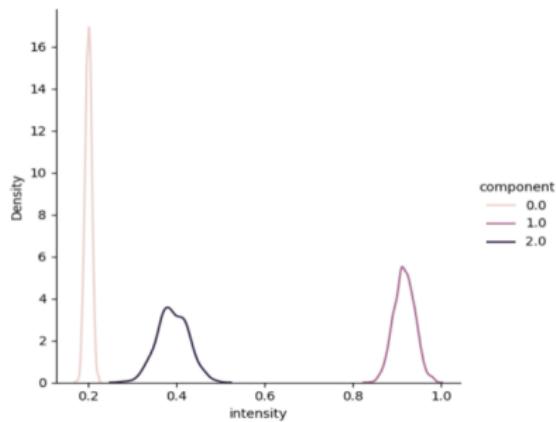


Figure: Interactive annotation



# Choice of weights

## Binary potentials

- positive weights such that two similar pixels will be assigned a higher weight and conversely.
- $B_{p,q}(A_p, A_q) = \omega e^{-\frac{\|p-q\|^2}{2\sigma^2}} 1_{A_p \neq A_q}$
- $0 = B_{p,q}(0,0) + B_{p,q}(1,1) \leq B_{p,q}(0,1) + B_{p,q}(1,0)$



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# Evaluation

## Evaluation methods

- COCO dataset from Microsoft
- 25 samples with Cats and / or dogs classes, with ground truth segmentation masks.



Figure: Samples from COCO datasets



# Evaluation Metric

## Intersection over Union

- Metric for a given label  $L$ :  $\mathcal{G}$ , ground truth pixels that have labels  $L$ ,  $\mathcal{P}$  predicted pixels that have label  $L$ .  $IoU_L = \frac{|\mathcal{G} \cap \mathcal{P}|}{|\mathcal{G} \cup \mathcal{P}|}$ .
- Then, we average over all labels to get our final score:  
 $IoU = \frac{1}{n_{labels}} \sum_{L \in \text{labels}} IoU_L$ .

Segmentation results ( $L_2$ potentials)		
Algorithm	Mean $IoU$	Max $IoU$
Binary	0.50	0.72
$\alpha$ -expansion	0.28	0.49

Segmentation results (normal potentials)		
Algorithm	Mean $IoU$	Max $IoU$
Binary	0.59	0.79
$\alpha$ -expansion	0.33	0.57

Figure: Results table



## Result example

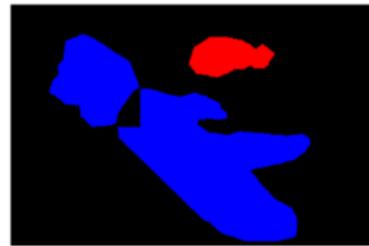
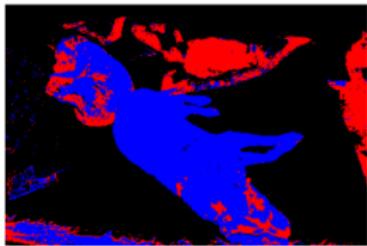
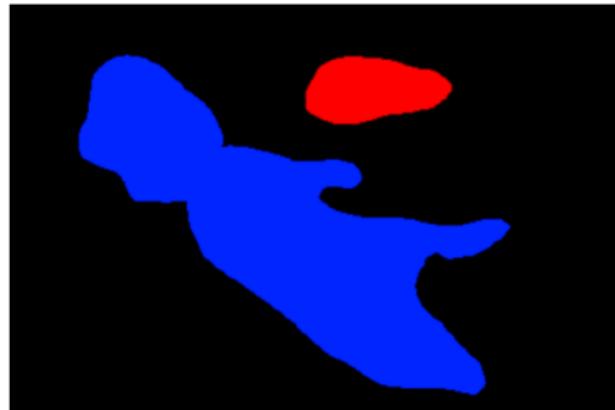


Figure: Segmentation results (left: image, center: result, right: ground truth)



# Mask RCNN Comparison



Mask RCNN Segmentation results		
Algorithm	Mean <i>IoU</i>	Max <i>IoU</i>
Binary	0.58	0.95
$\alpha$ -expansion	0.54	0.92

Figure: Results Mask-RCNN



## 1 Introduction

## 2 Graph-cut for image segmentation

- Binary segmentation formalisation
- Alpha-expansion
- Choice of edges weights: interactive annotation

## 3 Evaluation and results

## 4 Conclusion



# Conclusion

## Conclusion, move forward

- Successfully implemented binary and multi-labels segmentation based on the Ford-Fulkerson maximum flow algorithm.
- Main advantage: no training ( $\neq$  Deep Learning), with still good quality results. But high time complexity (Ford-Fulkerson:  $O(m^2 U)$ )
- Trade-off between data attachment (unary terms) and smoothness (binary terms): not always perfect (especially on COCO dataset)
- Possible continuation: different maximum flow algorithm such that Edmonds Karp or Dinitz algorithms.



# References

-  Yuri Y Boykov and M-P Jolly, *Interactive graph cuts for optimal boundary & region segmentation of objects in nd images*, Proceedings eighth IEEE international conference on computer vision. ICCV 2001, vol. 1, IEEE, 2001, pp. 105–112.
-  Yuri Boykov, Olga Veksler, and Ramin Zabih, *Fast approximate energy minimization via graph cuts*, IEEE Transactions on pattern analysis and machine intelligence **23** (2001), no. 11, 1222–1239.

