

## Software Architecture

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# What? vs How?



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## What? Problem Space



Use Case Analysis

Customer

Requirements Analysis



## How? Solution Space



How do I build  
the software?

How to ensure  
the software  
works well?

Maintainer

How can I add  
new features?

Did we build the intended system?

# What? vs How?



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Manufacturer

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Architecture



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# Software Architecture

A Product of its Time



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Software architecture is a quickly evolving area in software engineering



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Architectures favoured in the past are now discouraged

&

Architectures discouraged in the past are now preferred



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Technology changes in the last 10 to 15 years that influenced architecture:

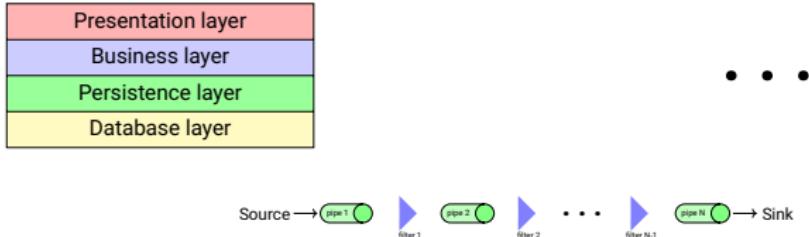
- Availability of
  - open interfaces to easily swap components such as databases
  - mature open source tools resulting in significant cost reduction
  - high-speed connectivity at low cost
- Container technology (lightweight virtualisation) and cloud services
  - containers can be easily added or removed as needed
  - made architectures like microservices feasible (scalability, elasticity)



Operational	Structural	Cross-Cutting
Availability	Extensibility	Accessibility
Scalability	Maintainability	Privacy
Performance	Leveragability	Security
...	...	...

Software architecture encompasses ...

- Desired architecture **characteristics**



Software architecture encompasses ...

- Desired architecture **characteristics**
- Architectural **style** (software system **structure**)



What kind of web framework shall be used?

What are each layer's responsibilities?

Which layers can communicate with each other?

What exchange data formats must be used?

Software architecture encompasses ...

- Desired architecture characteristics
- Architectural style (software system structure)
- Decisions concerning architecture



NoSQL databases are the **preferred** storage option

Immutable data structures are **preferred** when applicable

Use asynchronous messaging between services when **possible**

**Avoid** usage of caching in clients whenever possible

Software architecture encompasses ...

- Desired architecture **characteristics**
- Architectural **style** (software system **structure**)
- **Decisions** concerning architecture
- Design principles

# Architecture and Design

## Demarcation line



Architects are responsible for ...

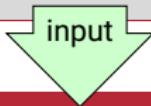
- **extracting** the architecture characteristics from the requirement analysis
- **choosing** styles for the software system
- **creating** the component structure

(concise & coherent parts of a system, above class level)

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Development team is responsible for ...

- **designing** the class structure for each component (UML class diagrams)  
(class structure must meet architectural requirements)
- **designing** the user interface
- **writing and testing** the source code

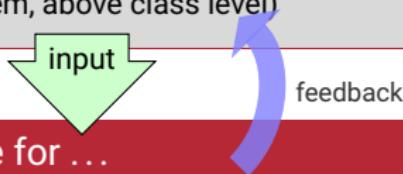
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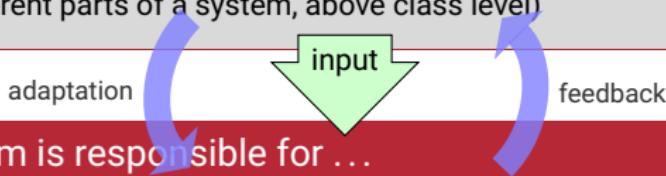
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adapt

Modern architecture is **iterative**  
and has feedback loop  
Supports **software evolution**

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...	...	...

Software architecture encompasses ...

- Desired architecture **characteristics**

## Criteria of an Architecture Characteristic

- Specifies a **solution space** design consideration:  
Specifies operational and design criteria concerning  
**how to** implement a given requirement
- Influences a **structural design aspect**:  
Requires the introduction of **specific** architectural elements  
(modules, components, ...), not merely **best practices** in design/coding
- Critical/important that application **performs as intended**:  
Meets functional and non-functional requirements

Whether a specific characteristic meets the above defined criteria  
may depend on the context of the software system

# Specific Architecture Characteristics

## Operational, Structural, Cross-Cutting

### Operational

**Availability** Times when system must be online/operational

(when continuous, mechanism for quick failure recovery needed)

**Performance** Encompasses peak analysis, response time, stress test, SLA

**Scalability** Ability to function with increasing number of requests, users, ...

# Specific Architecture Characteristics

## Operational, Structural, Cross-Cutting

### Structural

- Extensibility** How easy is it to add new functionality?
- Maintainability** Ease of adapting or enhancing the system
- Leverageability** Reuse common components across multiple products
- Localization** Languages, currencies, units, ...
- Configuration** End user can tailor system interface towards own needs

# Specific Architecture Characteristics

## Operational, Structural, Cross-Cutting

### Cross-Cutting

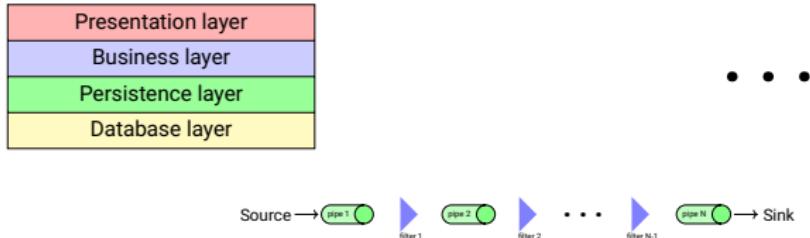
**Accessibility** Wide usability, barrier-free access

(diminished eyesight, hearing loss, reduced motion control)

**Privacy** Ability to render data inaccessible for unauthorized users

(need to involve database or network architects)

**Security** Encryption of database, network traffic, end-to-end, etc.  
authentication, authorization, resilience against attacks, ...



Software architecture encompasses ...

- Desired architecture **characteristics**
- Architectural **style** (software system **structure**)



## Architectural styles ...

- help to specify the **fundamental structure** of a software system
- impact the choice of **concrete software architectures**
- define a system's **global properties** such as:
  - how distributed components **cooperate** and exchange data
  - **boundaries** of subsystems

Different software system components may have different architecture style

# Which Architectural Styles are there?

## Representative architectural styles

- |  |  |  |   |
|--|--|--|---|
| <ul style="list-style-type: none"><li>■ Layers</li><li>■ Pipes and Filters</li><li>■ Model-View-Controller</li></ul> |  monolithic | <ul style="list-style-type: none"><li>■ Service-Based</li><li>■ Broker</li><li>■ Microservices</li></ul> |  distributed |
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## Choosing an architectural style

Choice of architectural style (almost) **always** comes with **trade-off**.

It is a necessity to be aware of these, because additional measures might have to be taken to ensure that all architecture characteristics are met.

# Part I

## Monolithic Architectural Styles

# Architectural Style: Layered

## Topology



## Topology

Number (and names) of layers not fixed

- Business and persistence layer can be unified  
(business objects create SQL queries themselves)
- Additional layers (e.g., service) to enable reuse or restrict access



What kind of web framework shall be used?

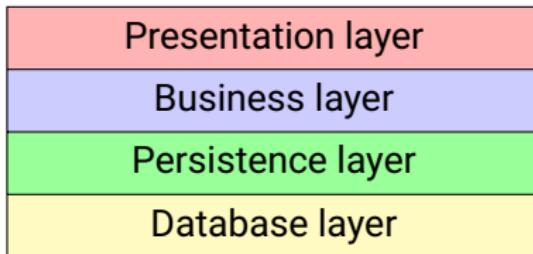
What are each layer's responsibilities?

Which layers can communicate with each other?

What exchange data formats must be used?

Software architecture encompasses ...

- Desired architecture characteristics
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## Decisions concerning architecture

- Definition of **responsibilities** for each layer
- Layer **type** (open or closed)
  - Top-Down request must pass through closed layers, can skip open layers
  - Open layers improve performance (less call chains), but lack encapsulation

# Architectural Style: Layered

## Trade-offs



## Trade-Offs (in Selection)

- + Simplicity, Cost      - Elasticity and scalability
- + Reliability (medium)      - Performance
  - (parallelization not inherently supported by architecture)
- Availability
  - (often long startup time / long mean time to recovery)

# Architectural Style: Layered

## Summary



## Summary

- Technological decomposition, not domain-driven
- Works well for small to medium-size applications
- May serve as initial architecture, to be changed later
- Problematic: Often not conscious decision, but result of Conway's law  
(Design reflects organisational structure of the manufacturer)

# Architectural Style: Pipes and Filters

## Topology



## Main topology I

### Pipes (“Röhren”, “Fliessband”)

- Uni-directional, point-to-point channel from a data source to a target
- Permit any data format (i.e. not restricted by this style)
- Formats supporting smaller data chunks are preferable for performance/throughput

# Architectural Style: Pipes and Filters

## Topology



## Main topology II

### Filters

- Self-contained and independent (from other filters) units
- Stateless: behavior does not depend on past actions
- Realize exactly one task

# Architectural Style: Pipes and Filters

## Topology



## Main topology III

### Kinds of filters

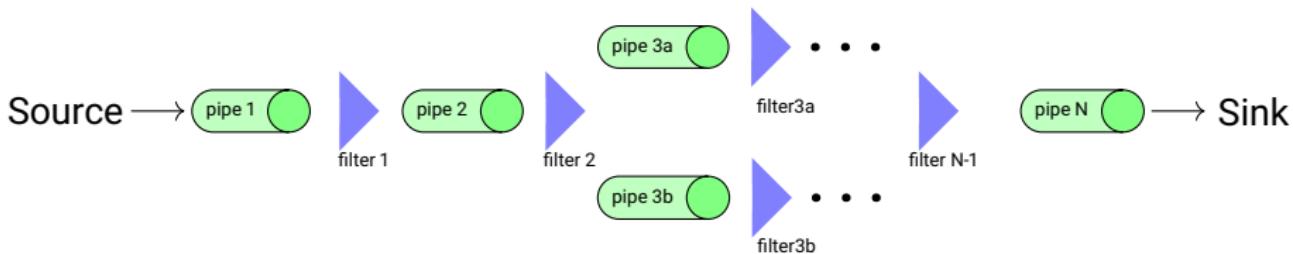
**Producer:** Data source

**Transformer:** (i) Receives data from its input channel(s)  
(ii) Performs operations on the data  
(iii) Forwards the result via output channel

**Consumer:** Data sink, output to display, file, or database

# Architectural Style: Pipes and Filters

## Variant



## Variant Topology (Branching)

Additional kind of filter

- Tester:**
- (i) Receives input
  - (ii) Tests whether data satisfies given condition
  - (iii) Redirects data to output channel according to test outcome

# Architectural Style: Pipe and Filters

## Example & Usage



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### Example (Image processing)



# Architectural Style: Pipe and Filters

## Example & Usage



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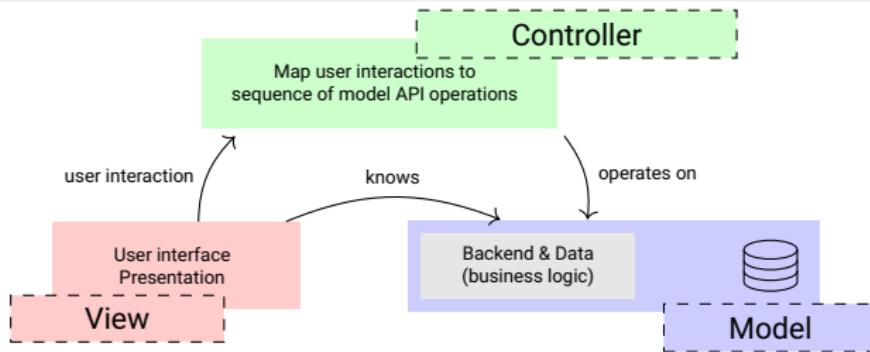
### Pipes and Filters typically used as Sub-architecture

- Text, image and audio processing
- Streaming (Apache Kafka platform, ...)
- Neural networks can be viewed as pipes & filters

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

Fundamental structural organization for interactive software systems

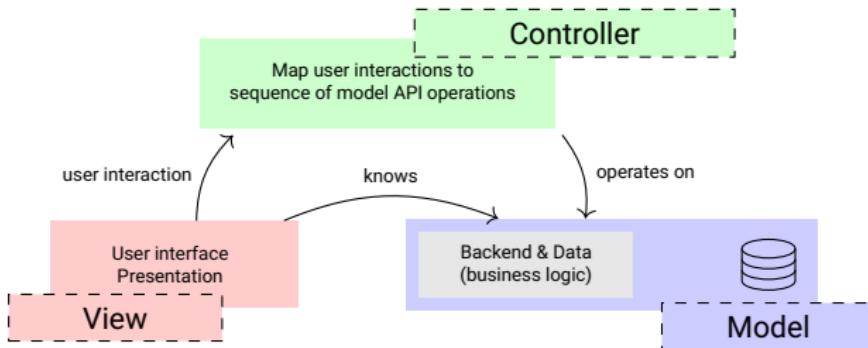


## Topology

- Separates system in three modules: **Model–View–Controller**  
**Domain-driven** decomposition

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

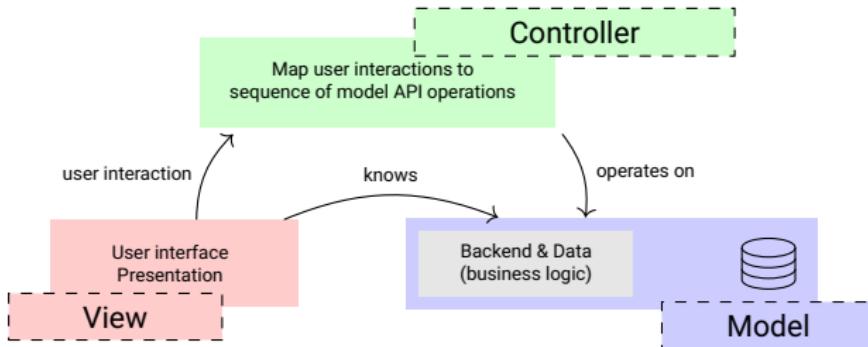


## Topology

- Separates system in three modules: **Model–View–Controller**
  - Model:** Business logic and data storage
    - **No** handling of interactions
    - **Not** concerned with presentation

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

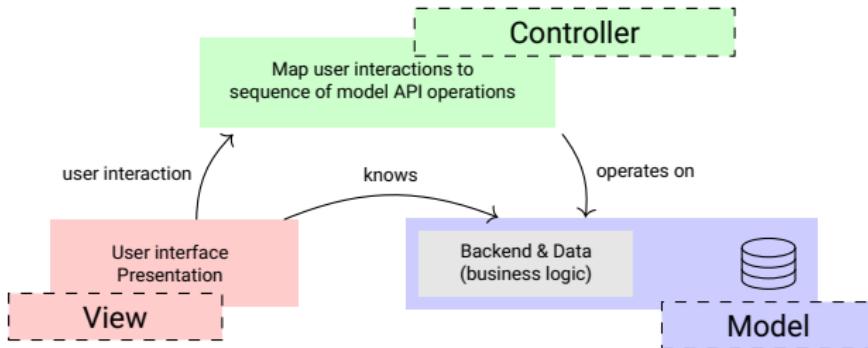


## Topology

- Separates system in three modules: **Model–View–Controller**
  - Model:** Business logic and data storage
  - View:** Presentation or view of data to the user
    - Data obtained from **model**
    - Frequently, **different views** for different purposes

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

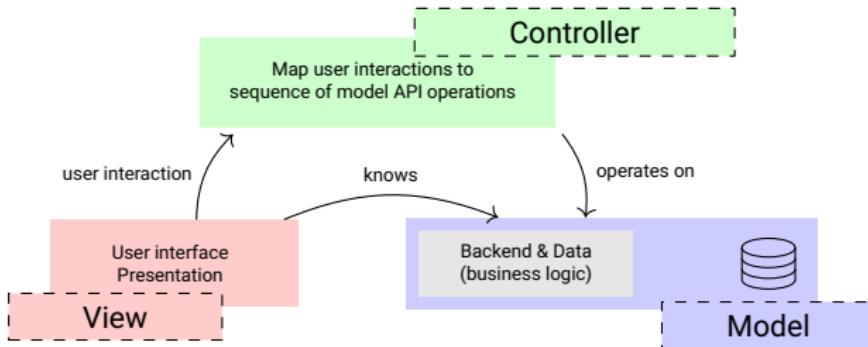


## Topology

- Separates system in three modules: **Model–View–Controller**
  - Model:** Business logic and data storage
  - View:** Presentation or view of data to the user
  - Controller:** Translation of user interaction to model operations
    - Each view associated to **specific** controller
    - All interactions done via a controller

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

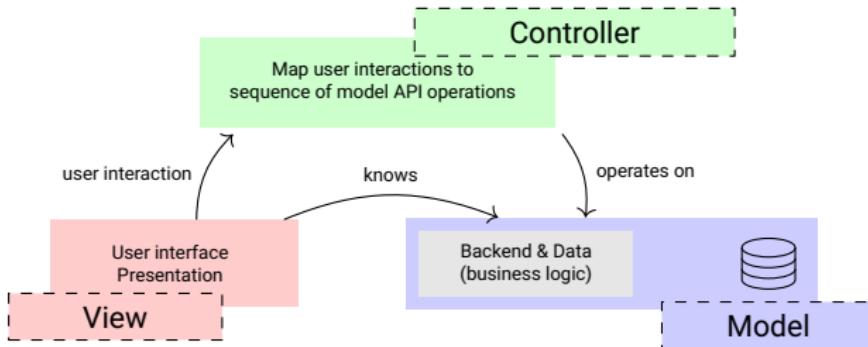


## Topology

- Separates system in three modules: **Model–View–Controller**
- Controller and View are **directly coupled with the Model**

# Architectural Style: Model-View-Controller (MVC)

## Topology & Structure

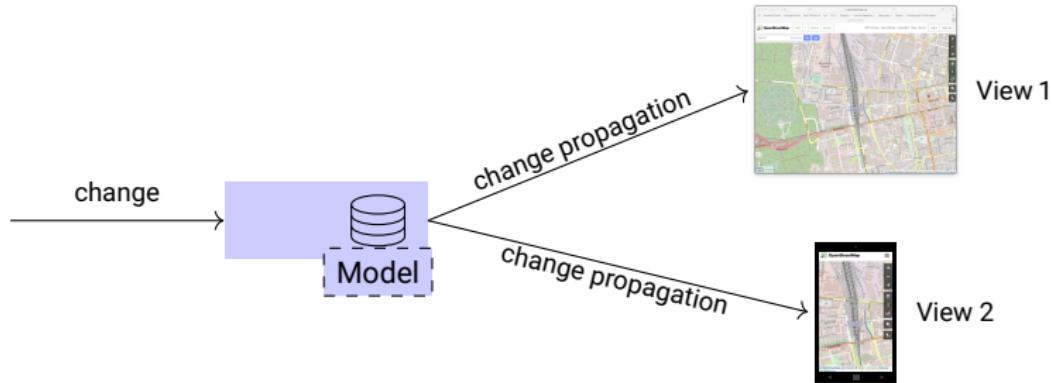


## Topology

- Separates system in three modules: **Model–View–Controller**
- Controller and View are **directly coupled with the Model**
- Model is **not directly coupled with the Controller or the View**

# Architectural Style: Model-View-Controller (MVC)

## Change Propagation



## Change Propagation

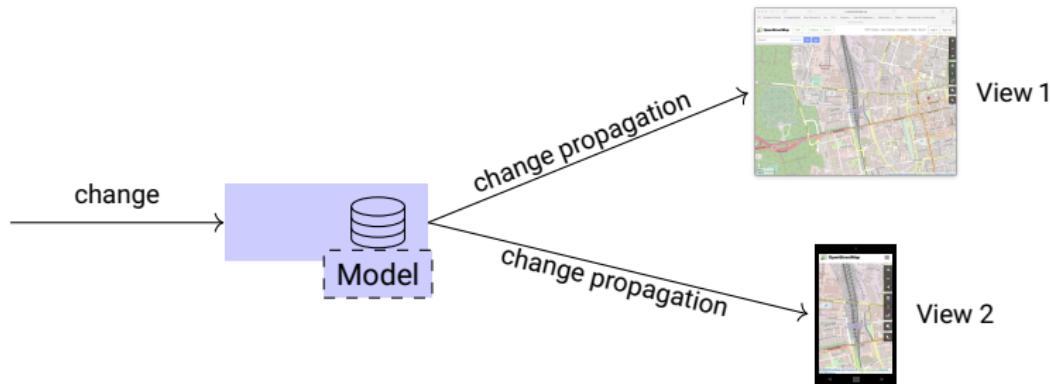
Change propagation mechanism ensures Model-View consistency

(User interface consistent with model data)

(Often implemented using the Observer/Publisher-Subscriber pattern: see later lecture)

# Architectural Style: Model-View-Controller (MVC)

## Change Propagation



## Change Propagation Implementation Principle

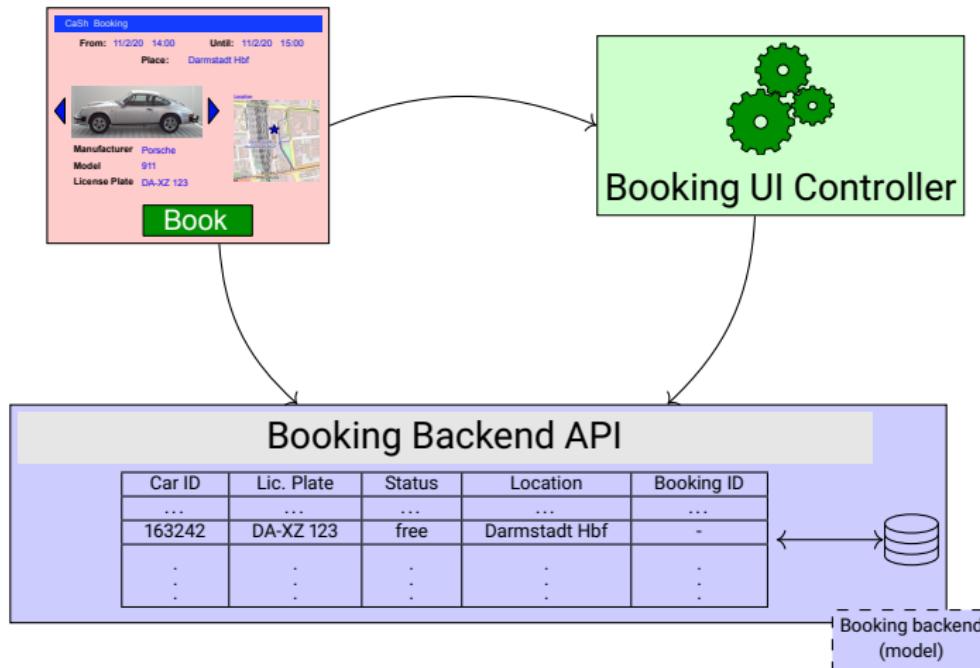
- Views **register** themselves at a model  
(Likewise, Controllers, whose behavior depends on the model state)
- Model **notifies** registered view objects upon change

# Architectural Style: Model-View-Controller (MVC)

CaSh Example: Static Structure



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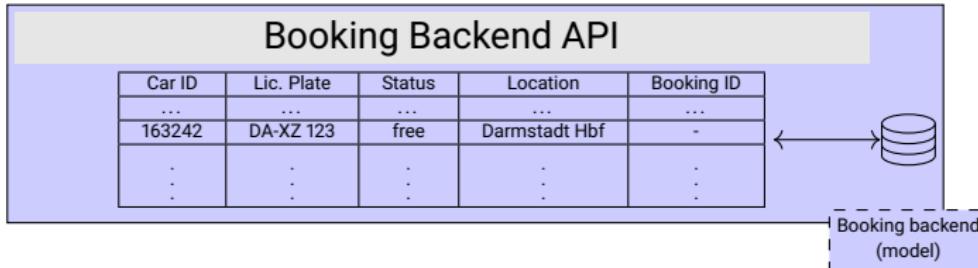


# Architectural Style: Model-View-Controller (MVC)

CaSh Example: Dynamic Behavior



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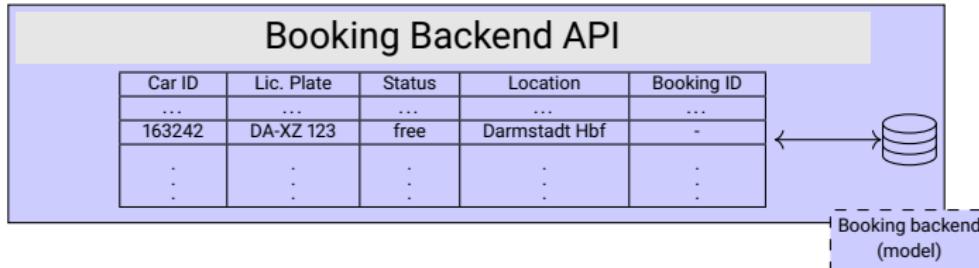
CaSh Example: Dynamic Behavior



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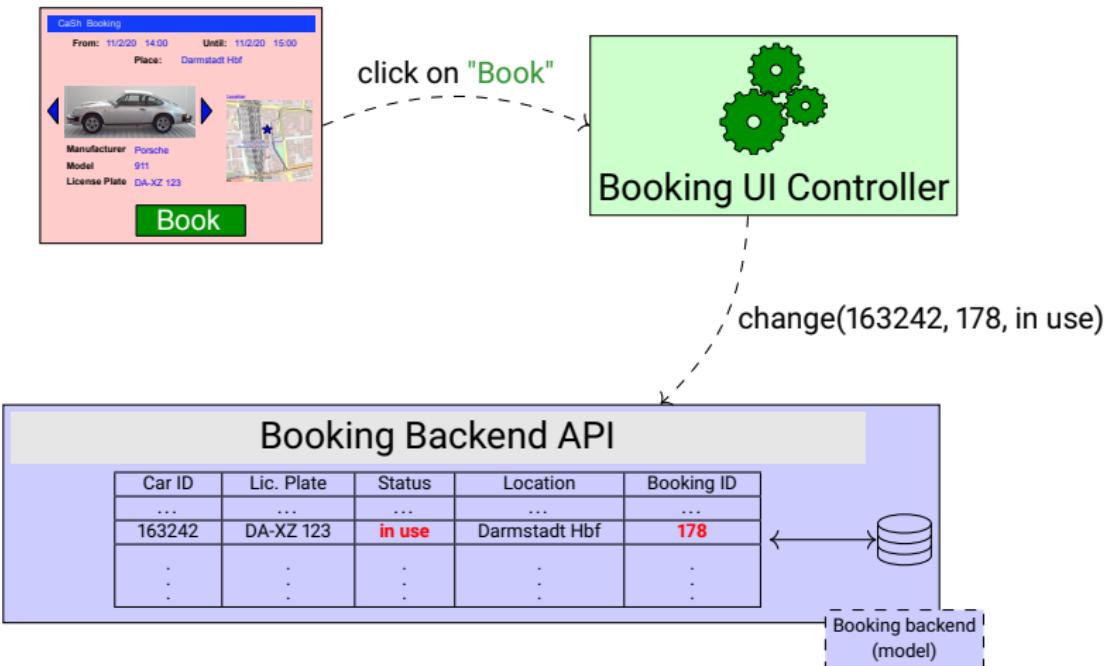


click on "Book"



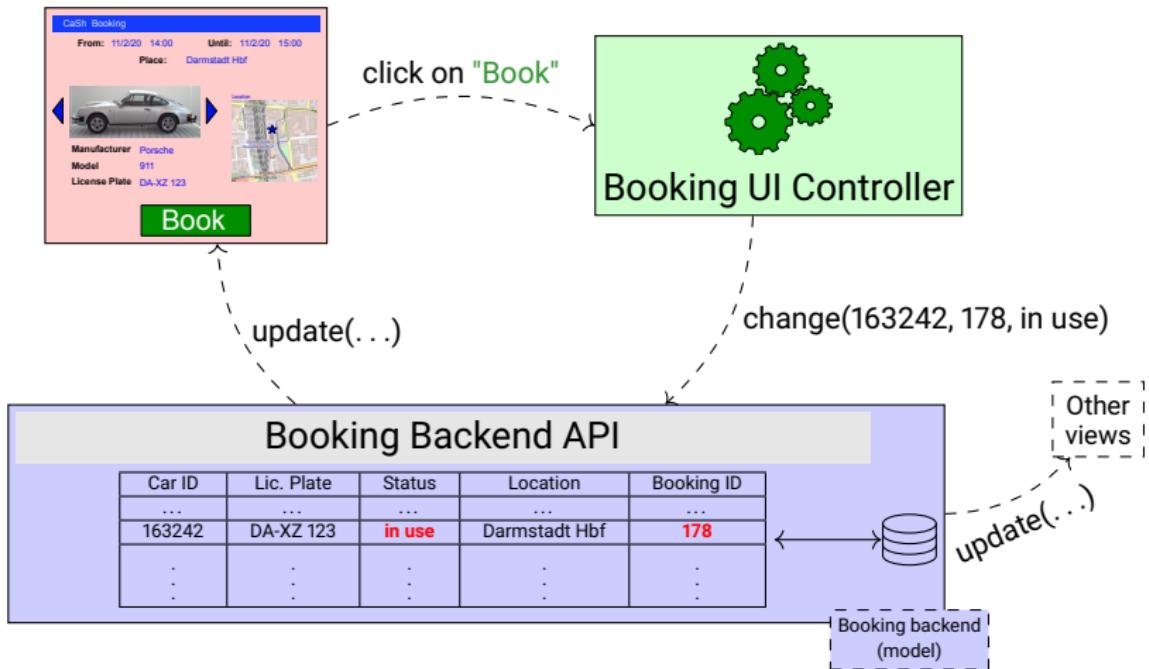
# Architectural Style: Model-View-Controller (MVC)

CaSh Example: Dynamic Behavior



# Architectural Style: Model-View-Controller (MVC)

CaSh Example: Dynamic Behavior



### When to use MVC

Application is **interactive** and:

- Model data to be presented in different views
- Number and kind of views not fixed (or unknown)
- Display and application behavior must reflect data changes immediately
- Changing UI should not affect the business logic and data storage

Booking system, information system, information panels (airport, train)

# Architectural Style: Model-View-Controller (MVC)

## Applicability and Trade-Offs



### When to use MVC

Application is **interactive** and:

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### Trade-Offs / Caveats

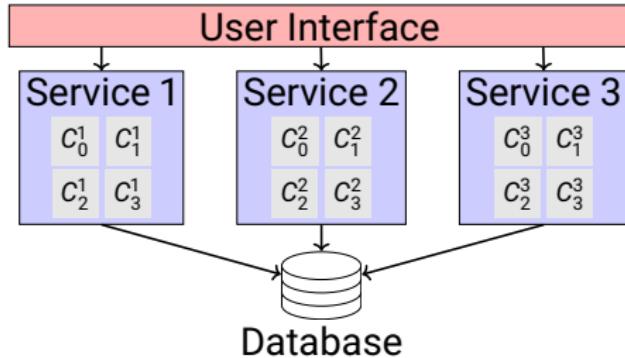
1. Update proliferation, **but** not all views are interested in all changes
2. Increase in complexity due to separate view and controller components without gaining much flexibility
3. High dependency (coupling) between view and controller

## Part II

# Distributed Architectural Styles

# Architectural Style: Service-Based

## Topology

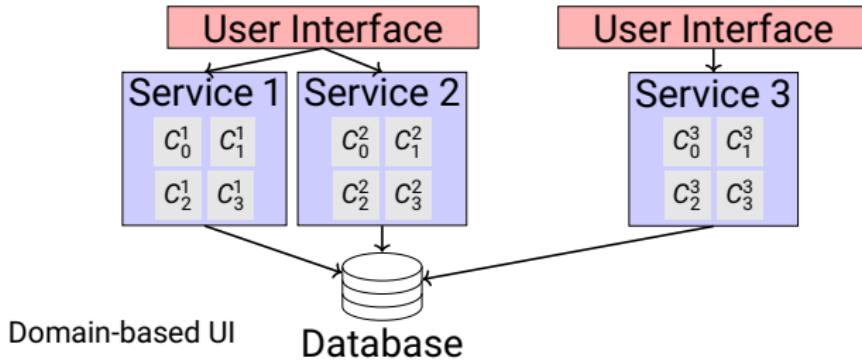


## Topology

- One **monolithic user interface** for different types of services
- Each **service  $i$**  is divided into different **components  $C_j^i$**
- Services share a **common database**

# Architectural Style: Service-Based

## Topology Variants

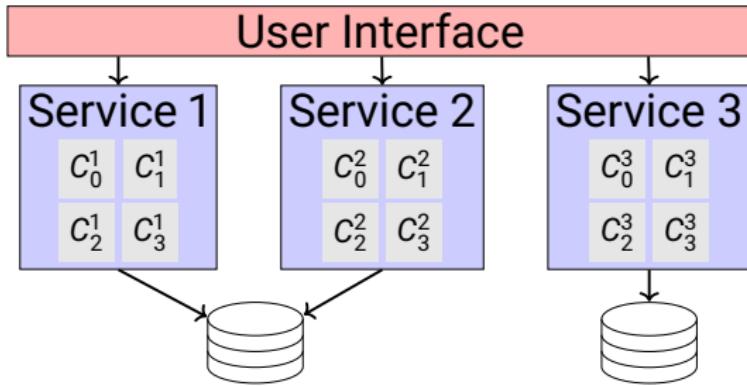


## Non-monolithic user interfaces

- **Domain-based** user interface
- **Service-based** user interface (one UI per service; not shown)

# Architectural Style: Service-Based

## Topology Variants

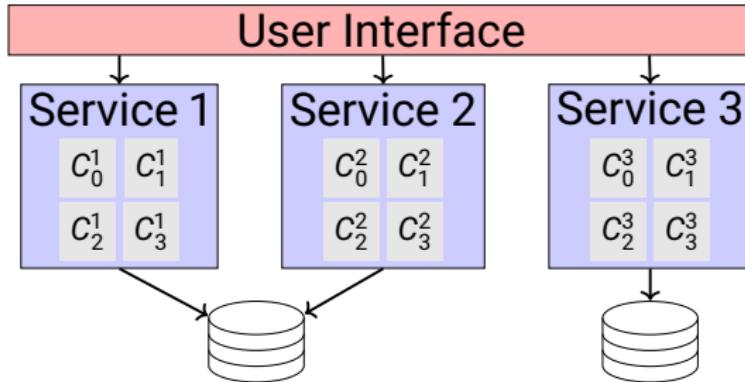


## Distributed Databases

- Service-local database

# Architectural Style: Service-Based

## Topology Variants

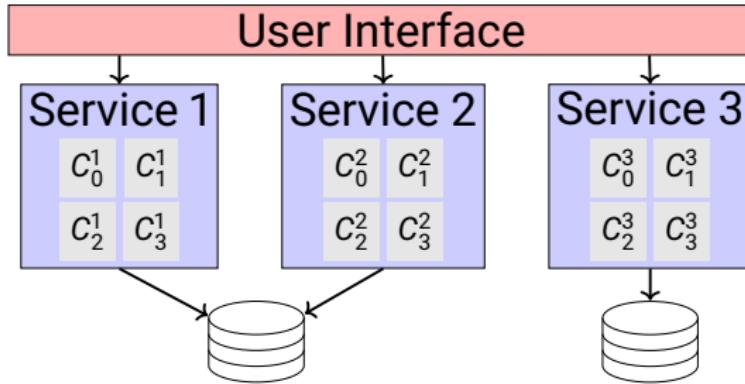


## Distributed Databases

- Service-local database (shown on right)
- Data owned by one service (type)
- Topology helps data consistency and scalability

# Architectural Style: Service-Based

## Topology Variants

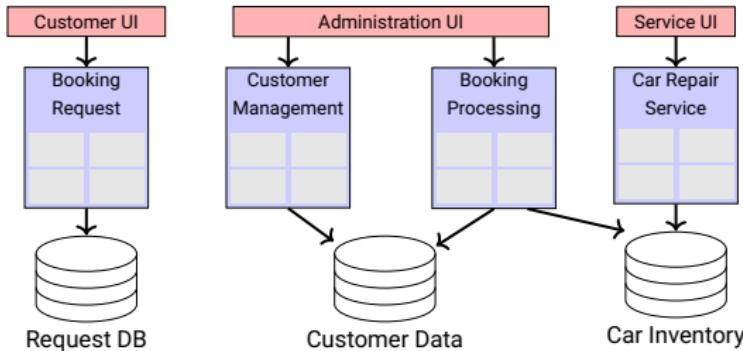


## Distributed Databases

- Service-local database mixed with **shared** database (on left)
- Shared databases require **coordination** (in particular, shared schemata)
- Targeted **data ownership**: one service has write permissions, others read

# Architectural Style: Service-Based

## CaSh Example



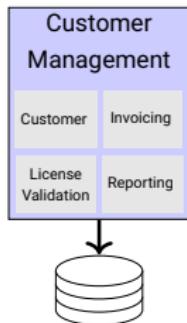
### A service-based architecture for CaSh

- Customer UI uses **Booking Request** service to generate a booking request
- Booking request delegated to **Booking Processing** service
- Customer services have no direct access to company databases  
(architecture supports **security** aspects)

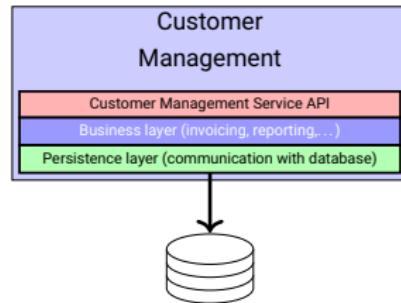
# Architectural Style: Service-Based

## CaSh: Service Structure

Domain partitioned service



Technically partitioned service



## CaSh: Alternative Service Architectures

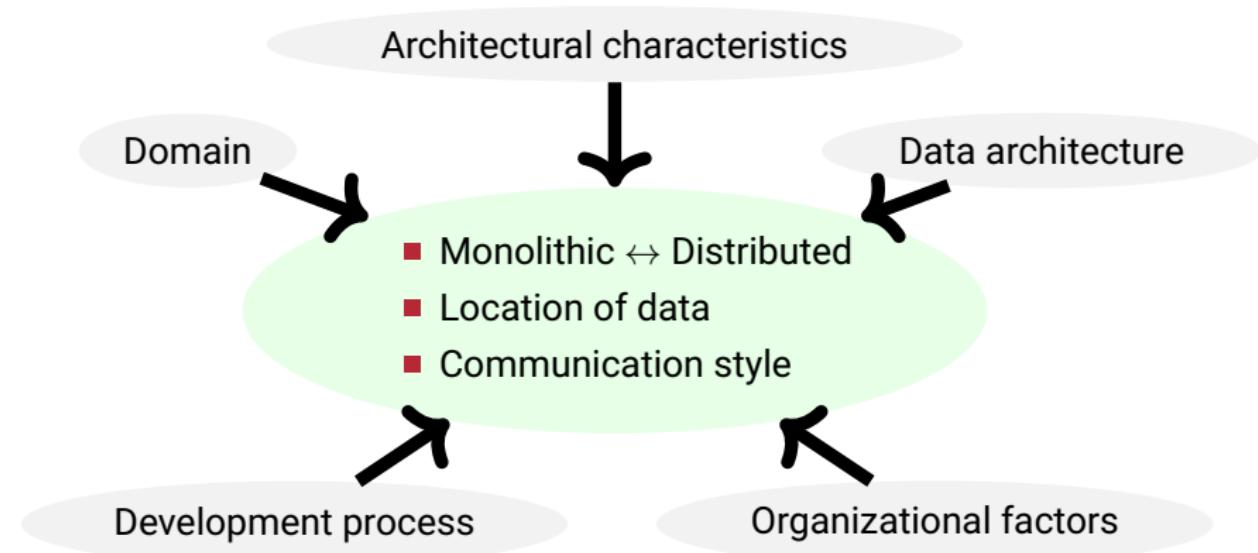
- **Domain partitioned service:**  
components realize domain-specific tasks
- **Technically partitioned service:**  
components organized as layered structure

# Part III

## Wrapping Up

# Choosing an Architectural Style

## Influences





What kind of web framework shall be used?

What are each layer's responsibilities?

Which layers can communicate with each other?

What exchange data formats must be used?

Software architecture encompasses ...

- Desired architecture characteristics
- Architectural style (software system structure)
- Decisions concerning architecture



### Fear of the Wrong Decision

Decision is **avoided/postponed** for fear to make the wrong choice

- Ok to make decision at “last **responsible** moment”  
(Early enough so that the lacking decision does not delay other work)
- Stay close to development team to be able to react to problems

# Decisions Concerning Architecture

## Soft Skill Aspects



Infinite discussions

Decision discussed over and over again because its **reason** is not known

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Developers are **unaware** of architectural decisions

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## Soft Skill Aspects

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Architecture not implemented

Developers are **unaware** of architectural decisions

Both problems root in **documentation** and **communication**

- **Document decisions** at generally known and suitable place
  - Formal document, better: **Wiki** with issue tracker for development team
- Choose appropriate **means** to communicate (changes to) decisions
  - Use email, **slack**, ... for communication (not only documentation)
  - Keep messages concise, refer to wiki/issue tracker/document for details

Difficulty to arrive at decision may point to gap in **analysis** phases

- Ian Sommerville: **Software Engineering**, 10th edition, Chapter 6, Pearson Education, 2015  
**TUDa ULB eBook** (German edition)
- Mark Richards and Neal Ford: **Fundamentals of Software Architecture: An engineering approach**, 1st edition, O'Reilly, 2020