## **Software Engineering**



### **Use Cases**

**Prof. Dr. Reiner Hähnle**Fachgebiet Software Engineering



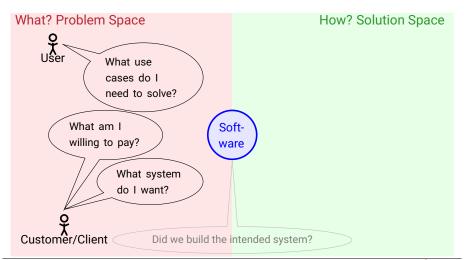


## Part I

## **Use Case Analysis**

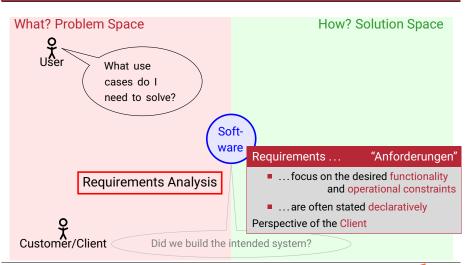




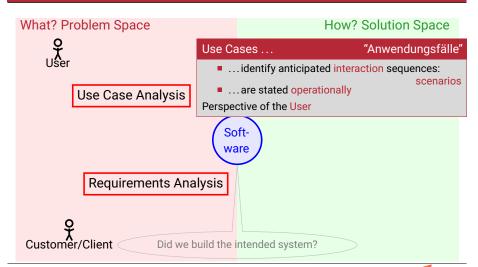






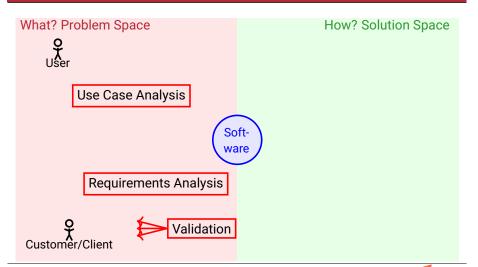














## Use Cases ("Anwendungsfälle"): Effort on the Client Side



Impossible to write good/complete uses cases without user involvement



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## A Common Misconception

Software cost ~ development cost, not manufactoring cost

- Need to allocate at least 30-50 % of development cost at client side for requirements/use case analysis + validation
- In addition, allocate ongoing budget for maintenance



## The CaSh Case Study



### Main Roles & Functionalities (derived during requirements analysis)

General • Authentication

Administrator Add/change new cars, rental locations

Billing

User ■ Check availability

Request booking

Change booking

Service Person ■ Take out vehicle for service





## **Change Existing Booking**

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If the customer chooses to cancel the booking, then it is removed.





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If the customer chooses to cancel the booking, then it is removed.

Before any change happens, incurred cost is displayed, and a confirmation action is requested. After each performed change, a confirmation message is sent to the customer's preferred contact.





Use cases are text stories used to discover and record requirements





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Use cases complement requirements analysis





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Use cases provide operational requirements as a basis for system design





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#### Attention:

- Use cases ≠ User stories

   (a notion from Agile SW Development)
- Use cases are not a replacement of requirements analysis (do not capture non-functional requirements)



## Constituents of Use Cases: Some Definitions



Actor ("Akteur") Someone or something with behavior: a person, computer system, an organization, etc.

Primary actor The actor who requests a service from the system (who initiates the use case)



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A specific sequence of actions and interactions between actors and the system
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Primary actor The actor who requests a service from the system (who initiates the use case)

Scenario (also known as a use case instance)
A specific sequence of actions and interactions between actors and the system
One particular story using a system

Use case A collection of related success and failure scenarios that describe an actor's usage of a system to achieve a goal (compare "Change Booking" text story)



## Different Kinds of Use Cases (Other distinctions exist)



White box vs. Black box — with whom does interaction take place?

White box ("Transparent") use cases provide a detailed view of interaction of internals when satisfying a user goal Black box use cases encapsulate the system and describe only interactions with external actors

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### Corporate vs. System

Corporate ("geschäftlich") use cases describe a business process (often without mentioning the system under design)

System use cases are performed and described with respect to the system under design



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### Corporate vs. System

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System use cases are performed and described with respect to the system under design

#### **Defaults**

Corporate use cases are usually white box use cases

only interactions with external actors

System use cases are in their vast majority black box use cases









Brief ("kurz")

Terse one-paragraph summary, usually main success scenario





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Precision vs. Accuracy ("Detaillierungsgrad" bzw. "Zutreffendheit")

Precision: Level of detail provided by the use case description: granularity

Accuracy: Correctness—is the description of the use case correct for the

amount of detail given?





**Use Case Section** 

Purpose/Guidelines





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Use Case Name	Start with a verb "Accomplish this task"





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Scope ("Umfang")	Corporate, system (better: name), subsystem

## Two fundamental types of scope

Design Scope Specified in this row

Defines boundaries of system of the use case (whole corporation (sub ) system name)

(whole corporation, (sub-)system name)

Function Scope Limits functionality to be realized by system under design

Managed by a list of functions in and out of scope





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Level ("Ebene")	User goal, summary goal, subfunction

### Kinds of goals

User goal Most important elementary goal of user that produces value

Summary Goal Multiple user goals: context of system under design

or life-cycle sequence of related goals

or table of content for lower-level use cases

Subfunction: Use case being part of a user goal

factored out on a by-need basis, reusable in multiple goals





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Primary Actor	Initiates use case (invokes system to deliver service)
Stakeholders and	Who cares about this use case?
Interests	What do they want?

Stakeholder "Teilhaber"

Entities with interest in the behavior of the system under design:

- Company stakeholder
- Customer, vendor
- Regulatory agency, ...



## Template for Fully Dressed Use Case (Part II) Guarantees



**Use Case Section** 

Purpose/Guidelines





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Purpose/Guidelines

Preconditions What must be true at start & worth telling the reader

#### Preconditions

"Vorbedingungen"

Spell out what the system will ensure at the start of the use case Enforced by system and known to be true: will not be checked again during use case execution (For example, user authenticated)





Use Case Section Purpose/Guidelines

Preconditions What must be true at start & worth telling the reader Minimal Guarantee Fewest promises system makes to stakeholder

#### Minimal Guarantee

Smallest possible promise the system makes to stakeholders In particular, if the primary actor's goal cannot be delivered: (For example, the system logged all performed steps) Cf. Minimal viable product (MVP)





Use Case Section Preconditions Minimal Guarantee Purpose/Guidelines

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Use Case Section	Purpose/Guidelines
Preconditions	What must be true at start & worth telling the reader
Minimal Guarantee	Fewest promises system makes to stakeholder
Success Guarantee	What must be true on successful completion &
	and is worth telling the reader

#### Success Guarantee

States the interests of the stakeholders to be satisfied after successful conclusion of the use case

At end of main success scenario or at end of a successful alternative path

Success guarantee stated in addition to minimal guarantee





Use Case Section Purpose/Guidelines





Use Case Section	Purpose/Guidelines
Main Success Scenario	Representative scenario of successful use case execution

#### Main Success Scenario

Numbered list of successive steps executed to achieve the goal

Each step may reference to a sub-use case

Convention: first step specifies the trigger of the use case





Use Case Section	Purpose/Guidelines
Main Success Scenario	Representative scenario of successful use case execution
Extensions	Alternative scenarios of success or failure

#### Extensions

Refers unambiguously to the step of the main success scenario being altered, or where failure might occur

If step 2 is altered, use 2.a, 2.b, ... to refer to a variation of that step:

- 2.a Condition for variant or failure condition
  - 2.a.1 (step 1) of variant/failure handling
  - 2.a.2 (step 2) of variant/failure handling ...



### **Use Case Extension for CaSh Change Booking**



### **Change Booking**

When a new duration is supplied, the system checks availability and records the change. In case of no availability, nothing happens and an information message is displayed.

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If the given duration is identical to the reserved period, then nothing happens. Before any change happens, incurred cost is displayed, and a confirmation action is requested.

If the session times out before confirmation is given, nothing happens.





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Main Success Scenario	Representative scenario of successful use case execution
Extensions	Alternative scenarios of success or failure
Special Requirements	Related non-functional requirements
Technology and Data Variation List	Varying I/O methods and data formats
Frequency of Occurrence	Influences investigation, testing and timing of implementation
Miscellaneous	For example, open issues





Name	List Available Cars	
Scope	CaSh Booking Module	
Level	User goal	
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Precondition	Customer is authenticated
Minimal guarantee	No side effects
Success guarantee	All available cars of requested class, specified duration, and location are displayed to customer





Name	List Available Car
÷	<u>:</u>
Main Success Scenario	<ol> <li>Customer wants to know whether there is a suitable car to book</li> </ol>
	<ol><li>Customer enters address or uses location service; enters maximal location distance or use default</li></ol>
	3. Customer enters desired reservation period
	4. System validates time period
	<ol><li>Customer completes search details by entering desired class of car</li></ol>
	6. System determines matching options
	<ol><li>System displays all available cars matching selection criteria</li></ol>





Name	List Available Car
:	<u>:</u>
Extensions	3.a End date is equal to or before start date
	3.a.1 Ask customer to specify non-empty period
	5.a Session times out before search details completed
	5.a.1 Customer is logged out
	7.a Search criteria give no result
	7.a.1 System includes cars one class lower and higher
	7.a.2 System includes cars with partial overlap of requested duration
	7.a.3 System suggests to customer to increase location distance
	:





#### In General: Proceed Incrementally, Top-Down

- Identify all currently relevant use cases accurately at an abstract level: User goal
- 2. Add precision gradually, work out the details





#### Recommended Workflow

List supported actors & their goals
 Review list for accuracy and completeness
 Outcome: first level of precision of functional requirements





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- Write stakeholders, trigger, and main success scenario for each use case Validate that the system delivers to important stakeholders Outcome: second level of precision for functional requirements
- 3. Identify and list all failure conditions
- 4. Write failure handling Do not interleave this step with the previous one: Danger of not completing list of all failures



### **Further Recommendations for Writing Use Cases**



- During early requirements analysis: Keep the user interface out (Focus on intent)
- Write terse use cases
- Write black box use cases:

Describe what the system must do and not how

"The system records the booking."  $(\checkmark)$  "The system writes the booking to a database."  $(\times)$ 

- Take an actor or actor-goal perspective:
   Focus on the users or actors of a system:
  - Ask about their goals and their typical situations
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Identifying and writing good use cases may take weeks



## **Use Cases during Initial Requirements Analysis: Examples**



#### Which of these phrases is a valid use case?

- Negotiate a supplier contract
- Handle returned sale
- Log in
- Move piece on game board

Think about those, we will come back to it ...



## Use Cases during Initial Requirements Analysis: Checklist



- 1. Is it a well-defined task ...
  - performed by one stakeholder in one place at one time,
  - to model a business event,
  - which adds measurable business value and
  - leaves the system's data in a consistent state?

This is called Elementary Business Process (EBP)

2. Is it not merely a single step of a scenario (size test)?



## **Use Cases during Initial Requirements Analysis: Examples Revisited**



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# **Use Cases during Initial Requirements Analysis: Examples Revisited**



#### Which of these phrases is a valid use case?

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- Log in
  - (X) Depends on context, usually does not add value to business case could be sub-use case
- Move piece on game board
  - X Single step, fails size test



## Part II

## **UML Use Case Diagrams**



### The Unified Modeling Language (UML)



#### Some Facts

- The Unified Modeling Language (UML) is a visual, yet precise, design notation for software development
- Originated as merger of the three OO modelling approaches:
   Booch, OMT, OOSE as well as best practices
- Maintained and developed by the Object Modeling Group (OMG)
- Original motivation: support object modelling tool interoperability by agreeing on syntax and semantics of underlying modelling language



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- Maintained and developed by the Object Modeling Group (OMG)
- Original motivation: support object modelling tool interoperability by agreeing on syntax and semantics of underlying modelling language
- Consists of collection of diagrammatic modelling notations, including:
  - Use case diagram
  - Class, object, package diagram
  - Sequence, collaboration, activity diagram
  - □ State diagram, ... + ca. 10 more



## **UML Use Case Diagram**



### CaSh System

## Legend

System boundary scope of system



## **UML Use Case Diagram**





System Administrator

### CaSh System

«actor» Accounting System

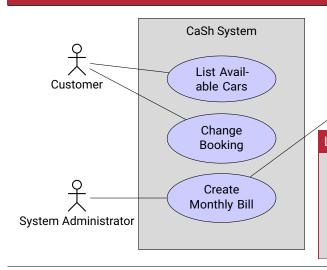
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## **UML Use Case Diagram**





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- Use cases





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  - communication and comprehension of use cases
  - to reduce text duplication

Organizing use cases into relationships has no impact on the behavior or requirements of a system





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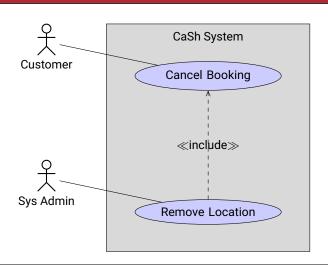
Organizing use cases into relationships has no impact on the behavior or requirements of a system

- Use case diagrams provide a black-box view on a software system
- Use case diagrams are helpful during early phase of use case analysis
  - Unsuitable to represent fully dressed use cases



## UML Use Case Notation I The «include» Relation







### Purpose of the «include» Relation



### Include Relation

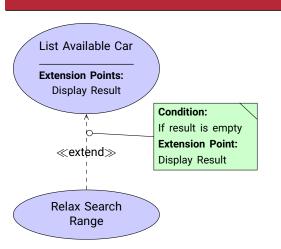
Factor out common behaviour across several use cases into its own sub-function use case and indicate inclusion Facilitates decomposition of large use cases and enables reuse

Included use cases are always executed



## UML Use Case Notation II: The «extend» Relation





#### Extend relation

Used to describe where and under what condition an extending or additional use case extends the behavior of a base use case



### Remarks on the «extend» Relation



### **Original Motivation**

Extension use cases allow to "modularly" extend existing use cases But modularity violated by need for explicit use case extension points!

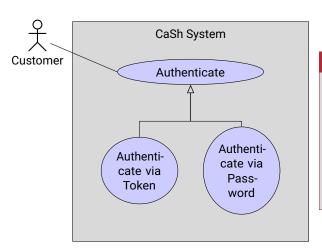
- Most extensions in fully dressed use cases do not qualify as separate use case (no Elementary Business Process)
- In general (not only UML) extension use cases expose internals of base use case (in UML even enforced by extension points)

The «extend» relation in use case diagrams must be well justified



# UML Use Case Notation III: Inheritance (Merely for Completeness, Do Not Use!)





#### Use Case Inheritance

Inheriting use case overrides behaviour of the inherited use case:

It replaces one or more of the courses of action of the inherited use case



# UML Use Case Notation IV: Summary



UML Use Case Diagram notation is intentionally simple

Basics System boundary, scope, actors, use cases, relations
«include» Relation Useful to factor out common behavior
«extend» Relation breaks modularity, must be justified
Use Cases Inheritance Clutter, premature design decision — avoid



### **Use Cases: Final Remarks**



Biggest danger: Abusing use case diagrams for system design



### **Use Cases: Final Remarks**



### Biggest danger: Abusing use case diagrams for system design

- Use cases are in the problem space
   They document behavioral requirements
- User involvement essential
- Adequate granularity is important:
   Elementary business process, not just a step
- UML use diagrams most helpful in early use case analysis



### **Use Cases: Final Remarks**



### Biggest danger: Abusing use case diagrams for system design

- Use cases are in the problem space
   They document behavioral requirements
- User involvement essential
- Adequate granularity is important:
   Elementary business process, not just a step
- UML use diagrams most helpful in early use case analysis
  - Stay simple, black box preferred
  - Use template, not diagrams, for fully dressed use case



### Literature



- Alistair Cockburn, Writing Effective Use Cases, Addison-Wesley, 2016 (Available as hardcover in TUDa ULB (English edition))
- Ian Sommerville, Software Engineering, 10th edition, Chapter 4.4, Pearson Education, 2015
  - TUDa ULB eBook (German edition)
- Ulrike Hammerschall and Gerd Beneken, Software Requirements, Chapter 5, Pearson, 2013
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