

# Quantum Mechanics II

*lecture notes*

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**Notes**

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# INTRODUCTION

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These are my lecture notes of the lecture. You are welcome to tell any mistakes to: `mmaetz AT student.ethz.ch`. Unfortunately, some lectures are missing because lenovo/IBM is incompetent to give me a working laptop (thinkpad) after two months (even after about 30 e-mails and 10 phone calls).



# APPROXIMATION METHODS FOR STATIONARY PROBLEMS

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- standard QM problem:

- given  $|\psi(t_0)\rangle$
- wanted

$$|\psi(t)\rangle = U(t, t_0) |\psi(t_0)\rangle$$

$$U = e^{-\frac{i}{\hbar} H(t-t_0)}$$

- for time independent  $H$

$$U = e^{-\frac{i}{\hbar} H(t-t_0)} \tag{1.1}$$

find eigenvalue and eigenstates (diagonalize  $H$ )

but: most problems cannot be solved exactly  $\rightarrow$  find approximate solution.

---

## 1.1 Time-independent perturbation theory, non-degenerate case

Assume:

$$H = H_0 + \lambda V \tag{1.2}$$

with  $H_0$  the Hamiltonian that I can solve (“free” Hamiltonian) and the perturbation  $V$  “small” and  $\lambda$  a dimensionless bookkeeping part.

$$\lambda \rightarrow 0, \quad H \rightarrow H_0$$

$$\lambda \rightarrow 1, \quad \text{full } H$$

We know

$$\left| \psi_n^{(0)} \right\rangle, E_n^{(0)}$$

with

$$H_0 \left| \psi_n^{(0)} \right\rangle = E_n^{(0)} \left| \psi_n^{(0)} \right\rangle$$

with

$$\left\langle \psi_n^{(0)} \right| \psi_m^{(0)} \rangle = \delta_{mn}$$

(continuous spectrum also understood.)

We want  $|\psi_n\rangle$  and  $E_n$  with

$$(H_0 + \lambda V) |\psi_n\rangle = E_n |\psi_n\rangle$$

let

$$\begin{aligned} E_n &= E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots \\ |\psi_n\rangle &= \left| \psi_n^{(0)} \right\rangle + \lambda \left| \psi_n^{(1)} \right\rangle + \lambda^2 \left| \psi_n^{(2)} \right\rangle + \dots \end{aligned}$$

seems obvious, but assumption. (convergence?)

$$\begin{aligned} &\left( H_0 - E_n^{(0)} \right) \left| \psi_n^{(0)} \right\rangle + \lambda \left( \left( H_0 - E_n^{(0)} \right) \left| \psi_n^{(1)} \right\rangle \right) \\ &+ \lambda^2 \left( \left( H_0 - E_n^{(0)} \right) \left| \psi_n^{(2)} \right\rangle + \left( V - E_n^{(1)} \right) \left| \psi_n^{(1)} \right\rangle - E_n^{(2)} \right) + \mathcal{O}(\lambda^3) = 0 \end{aligned}$$

with  $\mathcal{O}(1)$  “step 0”,  $\mathcal{O}(\lambda)$  “step 1”,  $\mathcal{O}(\lambda^2)$  “step 2”.

**Step 0:** nothing to do

**Step 1** multiply by  $\left\langle \psi_m^{(0)} \right|$

$$\begin{aligned} &\left\langle \psi_m^{(0)} \right| H_0 - E_n^{(0)} \left| \psi_m^{(0)} \right\rangle + \left\langle \psi_m^{(0)} \right| V - E_n^{(1)} \left| \psi_m^{(0)} \right\rangle = 0 \\ &= \left( E_m^{(0)} - E_n^{(0)} \right) \left\langle \psi_m^{(0)} \right| \psi_n^{(1)} \rangle + \left\langle \psi_m^{(0)} \right| V \left| \psi_m^{(0)} \right\rangle - E_n^{(1)} \delta_{mn} = 0 \end{aligned}$$

to get  $\left| \psi_n^{(1)} \right\rangle$

$$\begin{aligned} \left| \psi_n^{(1)} \right\rangle &= \sum_m \underbrace{\left\langle \psi_m^{(0)} \right| \psi_n^{(1)} \rangle}_{\left\langle \psi_m^{(0)} \right| V \left| \psi_m^{(0)} \right\rangle - E_n^{(1)} \delta_{mn}} \left| \psi_m^{(0)} \right\rangle \\ &= \sum_m \frac{\left\langle \psi_m^{(0)} \right| V \left| \psi_m^{(0)} \right\rangle}{E_n^{(0)} - E_m^{(0)}} \left| \psi_m^{(0)} \right\rangle + \left| \psi_n^{(0)} \right\rangle \left\langle \psi_n^{(0)} \right| \psi_n^{(1)} \rangle \end{aligned}$$



from normalization

$$\langle \psi_n | \psi_n \rangle \stackrel{!}{=} 1 = \underbrace{\langle \psi_n^{(0)} | \psi_n^{(0)} \rangle}_1 + \lambda \underbrace{\langle \psi_n^{(0)} | \psi_n^{(1)} \rangle}_0 + \lambda \langle \psi_n^{(1)} | \psi_n^{(0)} \rangle + \mathcal{O}(\lambda^2) \quad (1.3)$$

has to be small. If  $E_n^{(0)} = E_m^{(0)}$ ?? degeneracy!  $\rightarrow$  sec 1.2 if  $E_n^{(0)} \simeq E_m^{(0)}$  quasi degenerate

**step 2** take  $\mathcal{O}(\lambda^2)$  terms  $\langle \psi_k^{(0)} |$

$$(E_k^{(0)} - E_n^{(0)}) \langle \psi_k^{(0)} | \psi_n^{(2)} \rangle + \langle \psi_k^{(0)} | V | \psi_k^{(0)} \rangle - E_n^{(1)} \langle \psi_k^{(0)} | \psi_n^{(1)} \rangle = E_n^{(2)} \delta_{kn} \quad (1.4)$$

for  $k = n$

$$\begin{aligned} E_n^{(2)} &= \langle \psi_n^{(0)} | V | \psi_n^{(0)} \rangle \\ &= \sum_{m \neq n} \frac{\langle \psi_n^{(0)} | V | \psi_n^{(0)} \rangle \langle \psi_m^{(0)} | V | \psi_m^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} \\ &= \sum_{m \neq n} \frac{\|V_{nm}^2\|}{E_n^{(0)} - E_m^{(0)}} \end{aligned} \quad (1.5)$$

Note  $E_n^{(2)} < 0$  for ground state.

Next compute  $|\psi_n^{(2)}\rangle$ : initially fix normalization such that

$$\langle \psi_n^{(0)} | \psi_n^{(i)} \rangle = \delta_{i0} \quad (1.6)$$

this is in conflict

$$\langle \psi_n | \psi_n \rangle = 1 \quad (1.7)$$

$\rightarrow$  sort out at the end.

$$\begin{aligned} |\psi_n^{(2)}\rangle &= \sum_{k \neq n} |\psi_k^{(0)}\rangle \langle \psi_k^{(0)} | \psi_n^{(2)} \rangle + 0 \\ &= \sum_{k \neq n} |\psi_k^{(0)}\rangle \left( \frac{\langle \psi_k^{(0)} | V | \psi_k^{(0)} \rangle}{E_n^{(0)} - E_k^{(0)}} - \frac{E_n^{(1)} \langle \psi_k^{(0)} | \psi_n^{(1)} \rangle}{E_n^{(0)} - E_k^{(0)}} \right) \end{aligned} \quad (1.8)$$

plug in  $|\psi_n^{(1)}\rangle$  and sort out normalization

$$|\psi_n\rangle_N = Z_n^{1/2} |\psi_n\rangle \quad (1.9)$$

fix such that

$${}_N \langle \psi_n | \psi_n \rangle_M = 1 \quad (1.10)$$

$$\begin{aligned} {}_N \langle \psi_n | \psi_n \rangle_N &= 1 \\ &= Z_n \langle \psi_n | \psi_n \rangle \\ &= Z_n \left( \langle \psi_n^{(0)} | + \lambda \langle \psi_n^{(1)} | + \lambda^2 \langle \psi_n^{(2)} | \right) \\ &\quad \times \left( | \psi_n^{(0)} \rangle + \lambda | \psi_n^{(1)} \rangle + \dots \right) \\ &= E_n^{(2)} \delta_{kn} \\ &= Z_n \left( 1 + \lambda^2 \langle \psi_n^{(1)} | \psi_n^{(1)} \rangle + \mathcal{O}(\lambda^3) \right) \end{aligned} \quad (1.11)$$

$$Z_n^{1/2} = 1 - \frac{\lambda^2}{2} \langle \psi_n^{(1)} | \psi_n^{(1)} \rangle \mathcal{O}(\lambda^3) \quad (1.12)$$

$$\begin{aligned} &\Rightarrow | \psi_n^{(2)} \rangle \\ &= \sum_{k \neq n} \sum_{m \neq n} | \psi_k^{(0)} \rangle \left[ \frac{V_{km} - V_{mn}}{(E_n^{(0)} - E_k^{(0)}) (E_m^{(0)} - E_n^{(0)})} - \frac{V_{kn} V_{nn}}{(E_n^{(0)} - E_n^{(0)})} \right] \\ &\quad - \frac{1}{2} \sum_{k \neq n} | \psi_n^{(0)} \rangle \frac{\| V_{kn}^2 \|}{(E_n^{(0)} - E_k^{(0)})} \end{aligned} \quad (1.13)$$

## 1.2 Time-independent perturbation theory: degenerate case

Assume  $E_n^{(0)}$  is  $\alpha$ -fold degenerate i.e.

$$H_0 | \psi_{n_i}^{(0)} \rangle = E_n^{(0)} | \psi_{n_i}^{(0)} \rangle, \quad 1 \leq i \leq \alpha \quad (1.14)$$

fix

$$\langle \psi_{n_i}^{(0)} | \psi_{n_j}^{(0)} \rangle = \delta_{ij} \quad (1.15)$$

Any linear combination

$$| \chi_n^{(0)} \rangle = \sum_{i=1}^{\alpha} c_{n_i} | \psi_{n_i}^{(0)} \rangle \quad (1.16)$$

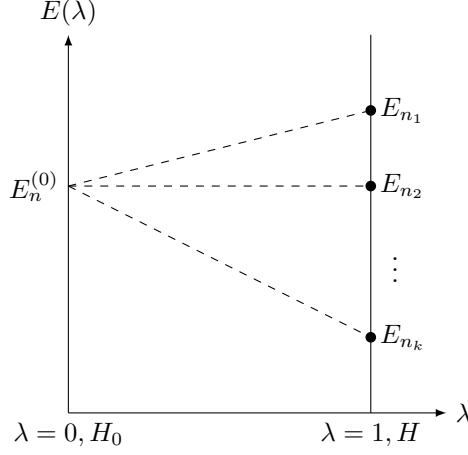


Figure 1.1:

is an eigenstate of  $H_0$  with evaluation  $E_n^{(0)}$ . Typically  $V$  “lifts” degeneracy at least partially since often

$$[H_0, V] \neq 0 \quad (1.17)$$

Pick one of the evals  $E_{n_k}$  with

$$H |\psi_{n_k}\rangle = E_{n_k} |\psi_{n_k}\rangle \quad (1.18)$$

for  $\lambda \rightarrow 0$ :  $E_{n_k} \rightarrow E_n^{(0)}$  and

$$\begin{aligned} |\psi_{n_k}\rangle &\rightarrow |\chi_{n_k}(0)\rangle \\ &= \sum_{i=1}^{\alpha} c_{n_k i} \underbrace{|\psi_{n_i}^{(0)}\rangle}_{\text{some lin comb}} \end{aligned} \quad (1.19)$$

have to find “good” linear combination, i.e. coeff  $c_{n_k i}$ . Main idea as before:

$$|\psi_{n_k}\rangle = |\chi_{n_k}^{(0)}\rangle + \lambda |\psi_{n_k}^{(1)}\rangle \quad (1.20)$$

$$0 = (H_0 - E_n^{(0)}) |\psi_{n_k}^{(1)}\rangle + (V - E_{n_k}^{(1)}) |\chi_{n_k}^{(1)}\rangle \quad (1.21)$$

with

$$|\psi_{n_k}^{(1)}\rangle = \sum_{\ell=1}^{\dim(H_0)} a_{n_\ell} |\psi_\ell^{(0)}\rangle \quad (1.22)$$

and

$$\sum_{i=1}^{\alpha} c_{n_k i} \left| \psi_{n_i}^{(0)} \right\rangle \quad (1.23)$$

multiply by  $\left\langle \psi_{n_j}^{(0)} \right|$ .

$$\begin{aligned} \sum_{\ell=1}^{\dim H_0} \underbrace{\left( E_{\ell}^{(0)} - E_n^{(0)} \right)}_{=0 \text{ for } n=\ell} a_{n\ell} \underbrace{\left\langle \psi_{n_j}^{(0)} \left| \psi_{\ell}^{(0)} \right\rangle \right.}_{=0 \text{ for } n \neq \ell} + \sum_{i=1}^{\alpha} c_{n_k i} \left( \left\langle \psi_{n_j}^{(0)} \left| V \right| \psi_{n_j}^{(0)} \right\rangle \right. \\ \left. - E_{n_k}^{(1)} \underbrace{\left\langle \psi_{n_j}^{(0)} \left| \psi_{n_i}^{(0)} \right\rangle \right.}_{V_{ji}} - E_{n_k}^{(1)} \underbrace{\left\langle \psi_{n_j}^{(0)} \left| \psi_{n_i}^{(0)} \right\rangle \right.}_{\delta_{ij}} \right) \end{aligned} \quad (1.24)$$

→ solve

$$\det \begin{pmatrix} V_{11} - E_{n_k}^{(1)} & V_{12} & \dots & V_{1\alpha} \\ V_{21} & V_{22} - E_{n_k}^{(1)} & \dots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ V_{\alpha 1} & \dots & \dots & V_{\alpha\alpha} - E_{n_k}^{(1)} \end{pmatrix} = 0 \quad (1.25)$$

→ eq. of order  $\propto$  in  $E_{n_k}^{(1)}$

→  $\alpha$  solutions

### 1.2.1 easy way out (sometimes)

if  $V_{ij} = 0$  for  $i \neq j$  problem already solved

→  $\alpha$  solutions are

$$E_{n_i}^{(1)} = \left\langle \psi_i^{(0)} \left| V \right| \psi_i^{(0)} \right\rangle \quad (1.26)$$

Note: if  $\exists$  operator  $A$  with

$$[A, V] = 0 \quad (1.27a)$$

and

$$A \left| \psi_{n_i}^{(0)} \right\rangle = a_{n_i} \left| \psi_{n_i}^{(0)} \right\rangle, \quad (1.27b)$$

with

$$a_{n_i} \neq a_{n_k}, \quad \text{for } k \neq i \quad (1.27c)$$

then these  $|\psi_{n_i}^{(0)}\rangle$  are “good” eigenstates

**Proof:**

$$\langle \psi_{n_i}^{(0)} | [A, V] | \psi_{n_i}^{(0)} \rangle = 0 \quad (1.28a)$$

$$= \underbrace{(a_{n_i} - a_{n_k})}_{\neq 0} \underbrace{\langle \psi_{n_i}^{(0)} | V | \psi_{n_i}^{(0)} \rangle}_{V_{ik}} \Rightarrow V_{ik} = 0 \quad (1.28b)$$

### 1.3 The variational principle

Useful to get good estimate of ground-state energy  $E_0$  of complicated systems.

Claim

$$E_0 \leq \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} = \langle \psi | H | \psi \rangle \quad (1.29)$$

if  $|\psi\rangle$  normalized.

**Proof:** Let

$$|\psi\rangle = \sum c_n |\psi_n\rangle, \quad (1.30a)$$

with

$$H |\psi_n\rangle = E_n |\psi_n\rangle \quad (1.30b)$$

and

$$\langle \psi | \psi \rangle = 1 \quad (1.30c)$$

$$\Rightarrow \sum \|c_n\|^2 = 1 \quad (1.30d)$$

then

$$\begin{aligned} \langle \psi | H | \psi \rangle &= \sum_{m,n} c_m^* c_n \langle \psi_m | H | \psi_n \rangle = \sum_{m,n} c_m^* c_n E_n \underbrace{\langle \psi_m | \psi_n \rangle}_{\delta_{mn}} \\ &= \sum_n \|c_n\|^2 E_n \geq E_0 \sum_n \|c_n\|^2 = E_0 \end{aligned} \quad (1.30e)$$

**Example 1.3.1 (Harmonic oscillator):**

$$H = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} - \frac{m}{2} \omega^2 x^2 \quad (1.31)$$

(of course we know  $E_0 = \frac{\hbar}{2}\omega$ ). Let

$$\psi(x) = Ae^{-bx^2} \quad (1.32a)$$

since

$$\begin{aligned} \langle \psi | \psi \rangle &\stackrel{!}{=} 1 = \int dx \|A\|^2 e^{-2bx^2} \\ &= \|A\|^2 \sqrt{\frac{\pi}{2b}} \end{aligned} \quad (1.32b)$$

compute

$$\begin{aligned} \langle \psi | H | \psi \rangle &= \|A\|^2 \int dx e^{-bx^2} \left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} - \frac{m}{2} \omega^2 x^2 \right) e^{-bx^2} \\ &= \dots \\ &= \frac{\hbar^2 b}{2m} + \frac{m\omega^2}{8b} \\ &= \langle \psi | H | \psi \rangle \\ &\geq E_0 \end{aligned} \quad (1.32c)$$

Minimize with respect to  $b$

$$\begin{aligned} \frac{d}{db} \langle \psi | H | \psi \rangle &= \frac{\hbar^2}{2m} - \frac{m\omega^2}{8b^2} \\ &= 0 \end{aligned} \quad (1.33a)$$

$$b_{\min} = \frac{m\omega}{2\hbar} \quad (1.33b)$$

$$\begin{aligned} E_0 &\leq \langle \psi | H | \psi \rangle_{\min} \\ &= \frac{\hbar\omega}{2} \end{aligned} \quad (1.33c)$$

in this case we get  $E_0$  exactly is a coincidence, since Ansatz=true wave function.

## 1.4 WKB approximation, semiclassical approximation

WKB for Wentzel, Kramers, Brillouin (see QMI Ch. 8.3.) useful for 1-dim problems with “smooth” potential. Schrödinger:

$$\left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} V(x) \right) \psi(x) = E\psi(x) \quad (1.34a)$$

if

$$V(x) \equiv V_0 \text{ const.} \quad (1.34b)$$

$$\psi(x) = e^{\pm \frac{i}{\hbar} \sqrt{2m(E-V_0)}x} \quad (1.34c)$$

if  $V(x)$  is slowly varying. Ansatz

$$\psi(x) = e^{\frac{i}{\hbar} S(x)} \quad (1.34d)$$

Ansatz into Schrödinger:

$$\frac{-i\hbar}{2m} S'' + \frac{1}{2m} (S')^2 + V(x) - E = 0 \quad (1.34e)$$

equivalent to but more complicated than Schrödinger. Note for

$$V(x) \equiv V_0$$

$$S = \pm \sqrt{2m(E - V_0)} \cdot x$$

and

$$S'' = 0$$

first term  $\sim \hbar$  vanishes for

$$V(x) \equiv V_0, \quad (\text{classical limit}),$$

Let

$$S(x) = S_0(x) + \hbar S_1(x) + \mathcal{O}(\hbar^2) \quad (1.35a)$$

plug in into differential equation for  $S$

$$\frac{1}{2m} (S'_0)^2 + V(x) - E = 0 \quad (1.35b)$$

$$\begin{aligned} \Rightarrow S'_0 &= \pm \sqrt{2m(E - V(x))} \\ &\equiv \pm p(x) \end{aligned} \quad (1.35c)$$

$$S'_0 S'_1 - \frac{1}{2} S''_0 = 0 \quad (1.35d)$$

$$\Rightarrow S'_1 = \frac{i}{2} \frac{S''_0}{S'_0} = \frac{i}{2} \frac{p'(x)}{p(x)} \quad (1.35e)$$

solve these differential equation

$$S_0 = \pm \int^x dx' p(x') \quad (1.35f)$$

$$S_1 = \frac{i}{2} \ln p(x) \quad (1.35g)$$

$$\begin{aligned} \Rightarrow \psi(x) &= A e^{\frac{i}{\hbar} (S_0 + \hbar S_1)} \\ &= \frac{A_+}{\sqrt{p(x)}} e^{\frac{i}{\hbar} \int dx' p(x')} + \frac{A_-}{\sqrt{p(x)}} e^{-\frac{i}{\hbar} \int dx' p(x')} \end{aligned} \quad (1.35h)$$



# THE HYDROGEN ATOM

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## 2.1 Basics

Two body problem proton (1)-electron (2)

$$H = -\frac{\hbar^2}{2m_2}\nabla_1^2 - \frac{\hbar^2}{2m_2}\nabla_2^2 + V(\mathbf{r}_1 - \mathbf{r}_2) \quad (2.1)$$

new variables

$$R = \frac{m_2 r_1 + m_1 r_2}{m_1 + m_2} \quad (2.2)$$

$$r = r_1 - r_2 \quad (2.3)$$

$$M = m_1 + m_2 \quad (2.4)$$

$$m = \frac{m_1 m_2}{m_1 + m_2} \quad (2.5)$$

$$H = -\frac{\hbar^2}{2M} \quad (2.6)$$

For hydrogen-like atoms

$$V(r) = -\frac{Ze^2}{r} \quad (2.7a)$$

QMI uses spherical coordinates

$$\begin{aligned} \psi(\mathbf{r}) &= R_{E\ell}(r) \mathcal{Y}_\ell^{m_\ell}(\vartheta, \varphi) \\ &= \frac{U_{E\ell}(r)}{r} Y_\ell^{m_\ell}(\vartheta, \varphi) \end{aligned} \quad (2.7b)$$

Then *Schrödinger*  $\rightarrow$  differential equation for  $U_{E\ell}(r)$

$$U_{E\ell} - \left( \frac{\ell(\ell+1)}{r^2} + \frac{2m(V(r) - E)}{\hbar^2} \right) U_{E\ell} = 0 \quad (2.7c)$$

→ eigenvalues

$$\begin{aligned}
 E_n &= - \left( \frac{Ze^2}{\hbar} \right)^2 \frac{m}{2n^2} \\
 &= - \frac{(Ze)^2}{2n^2} \\
 &= - \frac{(Ze)^2}{2n^2} \frac{1}{a} \\
 &= - \frac{(Z\alpha)^2}{2n^2} mc^2
 \end{aligned} \tag{2.7d}$$

Bohr radius  $a = \frac{\hbar^2}{mc^2}$  (Fine structure constant  $\alpha = \frac{e^2}{\hbar c} \simeq \frac{1}{137}$ )

$$n = 1, 2, 3, \dots \tag{2.7e}$$

$$\ell = 0, 1, \dots, n-1 \tag{2.7f}$$

$$m_\ell = -\ell, -\ell+1, \dots, \ell \tag{2.7g}$$

for each  $n$ :

$$2 \sum_{\ell=0}^{n-1} (2\ell+1) = 2n^2, \quad \text{degeneracy} \tag{2.7h}$$

where the left-most 2 comes from the electron spin. Eigenfunctions

$$\begin{aligned}
 \psi_{n\ell m_\ell} &= |n, \ell, m_\ell\rangle \\
 &= \sqrt{\frac{\rho^3 (n-\ell-1)!}{(n+\ell)!}} L_{n-\ell-1}^{2\ell+1}(\rho r) e^{-\rho r/2} (\rho r)^\ell \mathcal{Y}_\ell^{m_\ell}(\vartheta, \varphi)
 \end{aligned} \tag{2.7i}$$

## 2.2 Relativistic corrections

For  $Z\alpha \ll 1$ :  $E_n \ll mc^2 \rightarrow$  non-relativistic system  $\rightarrow$  relativistic corrections are small (so we can use Schrödingers equation)

$$T = \frac{p^2}{2m} \tag{2.8a}$$

$$\rightarrow \sqrt{p^2 c^2 + m^2 c^4} - mc^2 = \frac{p^2}{2m} - \frac{p^4}{8^3 c^2} + \dots \tag{2.8b}$$

where  $-\frac{p^4}{8m^3c^2}$  are corrections to  $E_n$  computed with *perturbation theory*

$$\begin{aligned}
 \Delta E_{\text{rel}} &= \langle n, \ell, m_\ell | \frac{-p^4}{8m^3c^2} | n, \ell, m_\ell \rangle \\
 &= -\frac{1}{2mc^2} \langle n, \ell, m_\ell | \left( \frac{p^2}{2m} \right)^2 | n, \ell, m_\ell \rangle \\
 &= -\frac{1}{2mc^2} \langle n, \ell, m_\ell | \left( H_0 + Z \frac{e^2}{r} \right)^2 | n, \ell, m_\ell \rangle \quad (2.8c) \\
 &= -\frac{1}{2mc^2} \langle n, \ell, m_\ell | \left( H_0 + Z \frac{e^2}{r} \right)^2 | n, \ell, m_\ell \rangle \\
 &= -\frac{1}{2mc^2} \left( E_n^2 + 2Ze^2 E_n \left\langle \frac{1}{r} \right\rangle_{n\ell m_\ell} \right. \\
 &\quad \left. + Z^2 e^4 \left\langle \frac{1}{r^2} \right\rangle_{n\ell m_\ell} \right)
 \end{aligned}$$

from exercise

$$\left\langle \frac{1}{r} \right\rangle_{n\ell} = \frac{Z}{an^2}, \quad (2.8d)$$

$$\left\langle \frac{1}{r^2} \right\rangle_{n\ell} = \frac{Z^2}{a^2 n^3 \left( \ell + \frac{1}{2} \right)} \quad \left\langle \frac{1}{r^3} \right\rangle_{n\ell} = \frac{Z^3}{a^3 n^3 \ell \left( \ell + \frac{1}{2} \right) (\ell + 1)}$$

$$\Delta E_{\text{rel}} = -E_n \underbrace{\frac{(Ze^2)^2}{\hbar^2 c^2}}_{(Z\alpha)^2} \frac{1}{n^2} \left( \frac{3}{4} - \frac{n}{\ell + \frac{1}{2}} \right) \quad (2.8e)$$

## 2.3 Spin-orbit term

naive “derivation”

(1) Electron with spin  $\rightarrow$  magnetic dipole moment

$$\boldsymbol{\mu} = \frac{e}{m} \frac{g}{2} \mathbf{s}, \quad (2.9)$$

$$\mu = \frac{e}{T} \pi r^2, \quad s = \frac{2\pi}{T} m r^2, \quad g \simeq \text{(from Dirac)} \quad (2.10)$$

(2) Electron feeds magnetic field due to the proton

$$\mathbf{E} \sim \frac{e}{r^3} \mathbf{r} \quad (2.11)$$

$$\begin{aligned} \rightarrow \mathbf{B} &= -\frac{1}{c^2} \nabla \times \mathbf{E} \\ &= -\frac{1}{mc^2 r^3} \mathbf{p} \times \mathbf{r} \\ &= \frac{-\mathbf{L}}{mc^2 r^3} \end{aligned} \quad (2.12)$$

wrong by factor 2 (Thomas precession)

correct result

$$H_{\text{SO}} = \frac{Ze^2}{2mc^2} \frac{1}{r^3} \mathbf{L} \cdot \mathbf{S}, \quad (\sim -\boldsymbol{\mu} \cdot \mathbf{B}) \quad (2.13)$$

To describe spin

$$\begin{aligned} \left| n, \ell, \left( s = \frac{1}{2}, m_s \right) \right\rangle &= \psi_{n\ell m_\ell m_s} \\ &= \psi_{n\ell m_\ell}(r, \theta, \varphi) \chi_{m_s} \end{aligned} \quad (2.14a)$$

with  $\chi_{m_s}$  spin-orbit

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (2.14b)$$

Note  $H_{\text{SO}}$  “mixes” states with same  $\ell$ , but different  $m_\ell, m'_\ell$

→ use degenerate perturbation theory with  $2 \cdot (2\ell + 1) \times \underbrace{2}_{\text{spin}} \underbrace{(2\ell + 1)}_{m_\ell}$  matrix

$$\langle n, \ell, m'_\ell, m'_s | H_{\text{SO}} | n, \ell, m'_\ell, m'_s \rangle \rightarrow \text{diagonalize} \quad (2.15)$$

recall degenerate perturbation theory → find “good” linear combination that diagonalize this matrix by looking for symmetry use total angular momentum

$$J \equiv L + S \quad (2.16)$$

for  $\ell = 0$   $j = \frac{1}{2}$ , for  $\ell \neq 0$   $j = \ell \pm \frac{1}{2}$ . Use states

$$|n, \ell, j, m_j\rangle \quad (2.17)$$

$$|n, \ell, j, m_j\rangle = \sum_{m_\ell, m_s} |n, \ell, m_\ell, m_s\rangle \underbrace{\langle n, \ell, m_\ell, m_s | n, \ell, j, m_j \rangle}_{\text{Clebsch-Gordan}} \quad (2.18)$$

use

$$J^2 = L^2 + 2L \cdot S + S^2 \quad (2.19a)$$

$$L \cdot S = \frac{1}{2} (J^2 - L^2 - S^2) \quad (2.19b)$$

$|n, \ell, j, m_j\rangle$  are eigenstates of

$$H_0, L^2, S^2, J^2, J_z \quad (2.20)$$

with eigenvalues

$$E_n, \hbar^2 \ell(\ell+1), \hbar^2 \frac{3}{4}, \hbar^2 j(j+1), \hbar m_j \quad (2.21)$$

$$\Delta E_{\text{SO}} = \langle n, \ell, j, m_j | H_{\text{SO}} | n, \ell, j, m_j \rangle \quad (2.22)$$

for  $\ell = 0$

$$\Delta E_{\text{SO}} = 0 \quad (2.23a)$$

for  $\ell \neq 0$

$$\begin{aligned} \Delta E_{\text{SO}} &= \frac{Ze^2}{2m^2c^2} \langle n, \ell, j, m_j | \frac{1}{r^3} \frac{1}{2} (J^2 - L^2 - S^2) | n, \ell, j, m_j \rangle \\ &= \frac{Ze^2}{2m^2c^2} \left\langle \frac{1}{r^3} \right\rangle \frac{\hbar^2}{2} \left( j(j+1) - \ell(\ell+1) - \frac{3}{4} \right) \\ &= -E_n \frac{(Z\alpha)^2}{2n(\ell + \frac{1}{2})} \begin{cases} \frac{1}{\ell+1} & j = \ell + \frac{1}{2} \\ -\frac{1}{\ell} & j = \ell - \frac{1}{2} \end{cases} \end{aligned} \quad (2.23b)$$

## 2.4 Darwin term

Sloppy consideration electron position fluctuates by  $\delta r \simeq \lambda_c \simeq \frac{\hbar}{mc}$  electron feels average potential

$$\langle V(r + \delta r) \rangle = \langle V(r) \rangle + \underbrace{\frac{1}{2} \langle \delta \rangle r \cdot \nabla \delta r \cdot \nabla V}_{\text{Darwin term}} \quad (2.24)$$

correct result is

$$\begin{aligned} H_D &= \frac{\hbar^2}{8m^2c^2} \nabla^2 V \\ &= \frac{\pi \hbar^2 Z e^2}{2m^2c^2} \delta(r) \end{aligned} \quad (2.25)$$

only for  $\ell = 0$ !

$$\begin{aligned} \Delta E_D &= \langle n, \ell, j, m_j | H_D | n, \ell, j, m_j \rangle \\ &= \frac{\pi \hbar^2 Z e^2}{2m^2c^2} \|\psi_{n\ell}(0)\|^2 \\ &= -E_n \frac{(Z\alpha)^2}{n} \delta_{\ell 0} \end{aligned} \quad (2.26)$$

## 2.5 Fine structure of hydrogen

Combine  $\Delta E_{\text{rel}}$ ,  $\Delta E_{\text{SO}}$  and  $\Delta E_D$

$$\Delta E_n = -E_n^{(0)} \frac{(Z\alpha)^2}{n^2} \left( \frac{3}{4} - \frac{n}{j + \frac{1}{2}} \right) \quad (2.27)$$

valid for  $\ell = 0$ , i.e.  $j = \frac{1}{2}$  and  $j = \ell \pm \frac{1}{2}$ .

fine structure suppressed  $\sim (Z\alpha)^2$  relative to  $E_n^{(0)}$  only depends on  $j$  (not independently on  $\ell$  and  $s$ ).

Notation for states  $nL_J$

$$n = 1, 2, \dots$$

$$L \equiv S(\ell = 0), P(\ell = 1), D(\ell = 2), F(\ell = 3)$$

### 2.5.1 first few states

$$\begin{array}{cccc} \ell = 0 & \ell = 1 & \ell = 2 & \text{degeneracy } 2n^2 \\ n = 1 & & & \end{array}$$

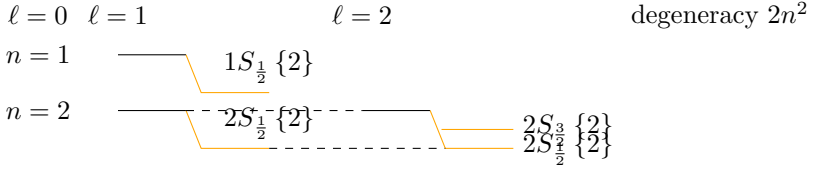


Figure 2.1:

## 2.6 Corrections beyond fine structure

### 2.6.1 Hyperfine structure

Effect of proton spin  $S_p$

$$\boldsymbol{\mu}_p = \frac{e}{2m_p} g_p \mathbf{S}_p \quad (2.28)$$

$\mu_p$  indices  $B$ -field  $\mu$  of electron “feels”  $B$ -field  $\sim \boldsymbol{\mu} \cdot \mathbf{B}$

$$\rightsquigarrow \Delta E_{\text{Hfs}} \sim (Z\alpha)^4 \frac{m_e}{m_p}, \quad (2.29)$$

with  $(Z\alpha)^4$  as always and  $\frac{m_e}{m_p}$  suppression. total spin

$$\begin{aligned} F &= S_e + S_p \\ &= \begin{cases} 1 & \text{triplet} \\ 0 & \text{singlet} \end{cases} \end{aligned} \quad (2.30)$$

### 2.6.2 Lamb shift (needs QED!)

$\rightsquigarrow$  modification of Coulomb potential. splits e.g.  $2S_{\frac{1}{2}}$  and  $2p_{\frac{1}{2}}$

$$\Delta E_{\text{Lamb}} \sim (Z\alpha)^4 \cdot \alpha \left( \frac{1}{\log(Z\alpha)} \right) \dots \quad (2.31)$$





# MANY ELECTRON ATOMS

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$$H = \sum_{i=1}^N \left( \frac{p^2}{2m} - \frac{Ze^2}{r} \right) + \underbrace{\sum_{i>j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|}}_1 \quad (3.1)$$

→ complicated! we want:

$$H\psi(1, \dots, N) = E\psi(1, \dots, N) \quad (3.2)$$


---

## 3.1 Identical particles

Consider  $N$  identical particles  $H(1, \dots, N)$  wave function  $\psi(1, \dots, N)$ .

In classical mechanics we can always distinguish these  $n$  particles state. In Quantum Mechanics, we cannot keep track of individual particles if their wave functions overlap. Defin permutation operator  $P_{ij}$  interchanging  $i$  and  $j$

$$P_{ij}\psi(1, \dots, i, \dots, j, \dots, N) = \psi(1, \dots, j, \dots, i, \dots, N) \quad (3.3a)$$

$$P_{ij}^2 = 1 \quad (3.3b)$$

$$\Rightarrow \text{evals } \pm 1 \quad (3.3c)$$

---

<sup>1</sup>interaction between electrons

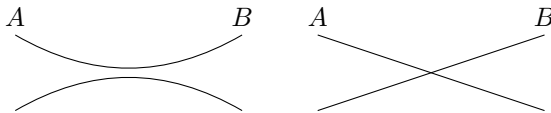


Figure 3.1:

$H$  must be invariant under  $i \leftrightarrow j$

$$\Rightarrow [H, P_{ij}] = 0 \quad (3.4)$$

There are  $N!$  permutations of elements  $1 \cdots N$ , they fall into two classes, even and odd:

$$\text{tr}(P) = \begin{cases} +1 & (-1)^2 \text{ even nr. of Interchanges} \\ -1 & (-1)^2 \text{ odd nr. of Interchanges} \end{cases} \quad (3.5)$$

we have  $[H, P]$ .  $P$  is unitary since

$$\langle \chi | \psi \rangle = \langle P\xi | P\psi \rangle \quad (3.6a)$$

$$= \langle \xi | P^\dagger P | \psi \rangle$$

$$\Rightarrow P^\dagger P = 1 \quad (3.6b)$$

for any observable  $A$  we have

$$[A, P] = 0. \quad (3.6c)$$

(*Identical* part) two combinations are important:

i) totally symmetric  $|\psi\rangle_S$  with

$$P |\psi\rangle_S = |\psi\rangle_S \quad (3.7)$$

with  $|\psi\rangle_S$  completely symmetric linear combination of all  $N!$  Permutations.

ii) totally antisymmetric  $|\psi\rangle_A$  with

$$P |\psi\rangle_A = (-1)^P |\psi\rangle_A \quad (3.8)$$

**Example**  $N = 3$

$$|\psi\rangle_S = \frac{1}{\sqrt{3!}} (\psi(1, 2, 3) + \psi(2, 1, 3) + \psi(1, 3, 2) + \psi(2, 3, 1) + \psi(3, 1, 2) + \psi(3, 2, 1)) \quad (3.9a)$$

$$|\psi\rangle_A = \frac{1}{\sqrt{3!}} (\psi(1, 2, 3) + \psi(2, 3, 1) + \psi(3, 2, 1) - \psi(2, 1, 3) - \psi(3, 2, 1) - \psi(1, 3, 2)) \quad (3.9b)$$

**spin-statistics theorem** particles with *integer spin* (bosons) are described by *symmetric* wave-functions  
 particles with *half-integer spin* (fermions) are described by *antisymmetric* wave functions

Bosonic case:

$$\psi_S(1, \dots, N) = \frac{1}{\sqrt{N!}} \sum_{p \in S_n} \psi_1(P(1)) \dots \psi_N(P(N)) \quad (3.10a)$$

fermionic case

$$\psi_A(1, \dots, N) = \frac{1}{\sqrt{N!}} \sum_{p \in S_n} (-1)^P \psi_1(P(1)) \dots \psi_N(P(N)) \quad (3.10b)$$

$\psi_A$  can be written as a Slater determinant

$$\psi_A(1, \dots, N) = \frac{1}{\sqrt{N!}} \det \begin{pmatrix} \psi_1(1) & \dots & \psi_1(N) \\ \vdots & \ddots & \vdots \\ \psi_N(1) & \dots & \psi_N(N) \end{pmatrix} \quad (3.10c)$$

**Pauli exclusion principle** Two identical fermions (same quantum number,  $s, \dots$ ) cannot be at the same position. Wave function vanishes for  $r_i, r_j; s_i = s_j, \dots$  i.e.  $1 = 2 \rightarrow$  Fermi gas.

## 3.2 Thomas-Fermi approximation

$\simeq$  semi classical: assume each electron feels average potential  $\Phi(r)$ , (spherically symmetric)

$$V = -\frac{Ze^2}{r} \xrightarrow{\text{other electron}} -e\Phi(r) \quad (3.11)$$

Poisson equation:

$$\nabla^2 \Phi = -4\pi\tilde{\rho} \stackrel{r \geq 0}{=} 4\pi e\rho(r) \quad (3.12)$$

total charge density (other electron and nucleus)

$$\tilde{\rho} = -e\rho(r) + Ze\delta(r) \quad (3.13)$$

find relation between  $\rho$  and  $\Phi$ . Let  $n$  be nr. states in certain energy range

$$n = \frac{2}{(2\pi\hbar)^3}, \quad \text{if } E = \frac{p^2}{2m} - e\Phi < 0 \quad (3.14a)$$

$$n = 0, \quad \text{if } E = \frac{p^2}{2m} - e\Phi > 0 \quad (3.14b)$$

$$\begin{aligned} \rho &= \int_0^{\sqrt{2me\Phi}} d^3 p n \\ &= \frac{(4\pi) 2}{(2\pi\hbar)^3} \int_0^{\sqrt{2me\Phi}} dp p^2 \\ &= \frac{\rho\pi}{3(2\pi\hbar)^3} (2me\Phi)^{3/2} \end{aligned} \quad (3.14c)$$

plug this into Poisson  $\rightarrow$  differential equation for  $\Phi$

$$\begin{aligned} \nabla^2 \Phi &= \frac{1}{R} \frac{d^2}{dr^2} \Phi(r) \\ &= \frac{32\pi^2 e}{3(2\pi\hbar)^3} (2me\Phi)^{3/2} \end{aligned} \quad (3.15)$$

solve numerically. boundary condition:

$$\phi(r) \rightarrow \frac{Ze}{r}, \quad \text{for } r \rightarrow 0 \quad (3.16a)$$

normalize

$$4\pi \int dr \rho(r) r^2 = Z \quad (3.16b)$$

$\rightsquigarrow$  “radius” of atom (contains all but one electron)

$$\overline{R} \simeq \text{constant} \cdot a \cdot Z^{1/3} \quad (3.17)$$

### 3.3 The Hartree approximation

Assume

$$\psi(1 \dots N) = \varphi_1(1) \dots \varphi_N(N) \quad (3.18a)$$

as solution to

$$H\psi = E\psi \quad (3.18b)$$

with

$$H = \sum_i \left( \frac{p_i^2}{2m} - \frac{Ze^2}{r_i} \right) + \sum_{i>j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|} \quad (3.18c)$$

let  $\varphi_i$  be distinct and orthogonal (partially taking into account Pauli principle) and normalized

$$\int d^3r_i |\varphi_i(r_i)|^2 = 1 \quad (3.19)$$

Want to find stationary state with respect to variation in  $\varphi_i$  taking into account normalization via Lagrange multipliers  $\varepsilon_i$

$$\begin{aligned} \langle H \rangle = & \sum_i \int d^3\mathbf{r} \left( \varphi_i^*(\mathbf{r}) \left( -\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \varphi_i(r) \right) \\ & + \sum_{i>j} \int d^3\mathbf{r} \int d^3\mathbf{r}' \varphi_i^*(\mathbf{r}) \varphi_j^*(\mathbf{r}') \frac{e^2}{|\mathbf{r} - \mathbf{r}'|} \varphi_i(r) \varphi_j(r') \\ & + \sum_i \varepsilon_i \left( \int d^3r |\varphi_i(r)|^2 - 1 \right) \end{aligned} \quad (3.20)$$

take functional derivative  $\frac{\delta}{\delta \varphi_i^*}$

$$\left( -\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \varphi_i + V_i(r) \varphi_i(r) = \varepsilon_i \varphi_i(r) \quad (3.21a)$$

$$V_i(r) = \sum_{j \neq i} \int d^3r' \frac{e^2}{|\mathbf{r} - \mathbf{r}'|} |\varphi_j(\mathbf{r}')|^2 \quad (3.21b)$$

interaction of  $i$ -th electron with potential caused by all other ( $j \neq i$ ) electrons  $\varepsilon_i$ : ionization energy of  $i$ -th electron. “solve” numerically with iterative procedure. start with “guess” for  $\varphi_i^{(0)}$ .  $\rightsquigarrow$  into Eq. 3.21b  $\rightsquigarrow V_i^{(0)}$   $\rightsquigarrow$  into Eq. 3.21a solve  $\rightsquigarrow \varphi_i^{(1)}$   $\rightsquigarrow$  etc.

physical interpretation of Lagrange multipliers  $\varepsilon_i$   $\varphi_i^* \cdot$  3.21a

$$\Rightarrow \int d^3r \left( \frac{-\hbar^2}{2m} |\nabla_i \varphi_i|^2 + \left( -\frac{Ze^2}{r_i} + V_i \right) |\varphi_i|^2 \right) = \varepsilon_i \quad (3.22a)$$

with  $\varepsilon_i$  the ionization energy of  $i$ -th electron, assuming others are not affected.

### 3.4 Hartree-Fock approximation

improved ansatz for

$$\psi(1, \dots, N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \varphi_1(1) & \cdots & \varphi_N(1) \\ \vdots & \ddots & \vdots \\ \varphi_1(N) & \cdots & \varphi_N(N) \end{vmatrix} \quad (3.23a)$$

fully compatible with Pauli  $\rightsquigarrow$  as for Hartree, plug into  $H$  and minimize. Eq. 3.21a stays the same. Eq. 3.21b

$$\begin{aligned} & \frac{1}{2} \sum_{j \neq i} \int d^3 \mathbf{r}_i \int d^3 \mathbf{r}_j \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|} \\ & \times (\varphi_i^*(r_i) \varphi_j^*(r_j) \varphi_i(r_i) \varphi_j(r_j) - \varphi_i^*(r_i) \varphi_j^*(r_j) \varphi_i(r_j) \varphi_j(r_i)) \end{aligned} \quad (3.24a)$$

with  $\varphi_i^*(r_i) \varphi_j^*(r_j) \varphi_i(r_i) \varphi_j(r_j)$  the Hartree term and  $\varphi_i^*(r_i) \varphi_j^*(r_j) \varphi_i(r_j) \varphi_j(r_i)$  the exchange term.

To understand exchange term consider  $N = 2$

$$\psi(1, 2) = \frac{1}{\sqrt{2!}} (\varphi_1(1) \varphi_2(2) - \varphi_1(2) \varphi_2(1)) \quad (3.25a)$$

“new” in H-F write down all terms for

$$\begin{aligned} \langle \psi | \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} | \psi \rangle &= \frac{1}{2} \int d^3 \mathbf{r}_1 \int d^3 \mathbf{r}_2 (\varphi_1^*(r_1) \varphi_2^*(r_2) \varphi_1(r_1) \varphi_2(r_2) + “1 \leftrightarrow 2” \\ &\quad - \varphi_1^*(r_1) \varphi_2^*(r_2) \varphi_1(r_2) \varphi_2(r_1) - “1 \leftrightarrow 2”) \end{aligned}$$

### 3.5 The periodic table and Hund's rules

Electron in atom feels effective potential  $V_{\text{eff}}$  (from nucleus and other electron) which is spherically symmetric.

$$\psi_i = R_{n\ell}(r) Y_\ell^m(\theta, \varphi) \chi_{m_s}, \quad (3.26a)$$

with  $\chi_{m_s}$  the spin and  $R_{n\ell}$  different from hydrogen

### general rules

$$\begin{cases} n \text{ small} & \text{stronger binding} \\ \ell \text{ small} & \text{electron is closer to nucleus} \end{cases} \quad (3.27)$$

“compete sometimes”. for each  $n$  :

$$\begin{array}{ccccccc} \ell & & 0 & 1 & 2 & 3 & \\ \text{name} & & S & P & D & F & \\ \text{deg } 2(2\ell + 1) & & 2 & 4 & 6 & 10 & \end{array} \quad (3.28a)$$

$$\begin{array}{llllll} K\text{-shell} & n = 1 & \ell = 0 & 2 \text{ elements} & H, He & (1s) \\ L\text{-shell} & n = 2 & \ell = 0 & 2 & Li, Be & (2s) \\ & & \ell = 1 & 6 & B - Ne & (2s) \\ M\text{-shell} & n = 3 & \ell = 0 & 2 & Na - Mg & (3s) \\ & & \ell = 1 & 6 & Al - Ar & (3s) \\ & & \ell = 2 & \dots & \dots & (3p) \\ N\text{-shell} & n = 4 & \ell = 0 & 2 & & (4s) \\ & n = 3 & \ell = 2 & 10 & Al - Ar & (3d) \\ & n = 4 & \ell = 1 & 6 & \dots & (4p) \end{array} \quad (3.28b)$$

configuration of electron  $\rightarrow$  chemical properties of elements. What is the configuration (total spin,  $L$ ,  $J$ ) of the outer electron.  $\rightarrow$  Hund's rules (empirical)  
[Notation  $^{2s+1}L_J$ ]

**Example 3.5.1:** Carbon  $(1s)^2 (2s)^2 (2p)^2$

for each of the 2  $2p$ -electrons  $2p$ -electrons we can have  $m_\ell = -1, 0, 1$ ,  
 $m_s = -\frac{1}{2}, \frac{1}{2}$ .  $\rightarrow$  6 possibilities  
for both

$$\frac{6 \cdot 5}{2} = 15 \text{ possibilities} \quad (3.29)$$

$$L = \begin{array}{ll} 0, 2 & \text{symmetric} \\ 1 & \text{anti-symmetric} \end{array} \quad (3.30a)$$

$$S = \begin{array}{ll} 1 & \text{symmetric} \\ 0 & \text{anti-symmetric} \end{array} \quad (3.30b)$$

total wave function is antisymmetric

$L$	$S$	$J$	$^{2s+1}L_J$	deg
0	0	0	$^1S_0$	1
		0	$^3P_0$	1
1	1	1	$^3P_1$	3
		2	$^3P_2$	5
2	0	2	$^1D_2$	5
				15

(3.31)

Which one is ground state? → Hund's rules

(1) make spin maximal

$$\begin{aligned}
 &\rightarrow \text{spin part more symmetric} \\
 &\rightarrow \text{orbital part more asymmetric} \\
 &\rightarrow \text{electron further away from each other} \\
 &\rightarrow \text{less repulsion}
 \end{aligned}
 \tag{3.32}$$

for  $C$ :  $s = 1$

(2) make  $L$  maximal

$$\begin{aligned}
 &\rightarrow \text{electron average further away from each other} \\
 &\rightarrow \text{less repulsion}
 \end{aligned}
 \tag{3.33}$$

no impact for  $C$

(3)

$$\Delta E_{\text{SO}} = \text{constant} (j(j+1) - \ell(\ell+1) - s(s+1)) \tag{3.34a}$$

$$\text{constant} \begin{cases} > 0 & \text{if subshell } no \text{ more than half filled } J = |L - S| \\ < 0 & \text{if subshell more than half filled } J = |L + S| \end{cases} \tag{3.34b}$$

for  $C$  first case and

$$\begin{aligned}
 J &= |L - S| \\
 &= 0
 \end{aligned}
 \tag{3.35}$$

ground state  $^3P_0$



# APPROXIMATION METHODS FOR TIME-DEPENDENT PROBLEMS

---

We now want to know time evolution

$$|\psi(t)\rangle = U(t, t_0) |\psi(t_0)\rangle \quad (4.1)$$

We know:

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H(t) |\psi(t)\rangle \quad (4.2)$$

with  $H(t)$  now time dependent  $\rightarrow$  more complicated relation between  $H$  and  $U$

---

## 4.1 Time-dependent perturbation theory

Let

$$H(t) = H_0 + \lambda V(t), \quad (4.3a)$$

with  $H_0$  time independent and that can be solved and  $V(t)$  with  $t$  the “only” difference to chapter 1.

$$H_0 |\psi_n^{(0)}\rangle = E_n^{(0)} |\psi_n^{(0)}\rangle \quad (4.3b)$$

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle &= H(t) |\psi(t)\rangle \\ &= (H_0 + \lambda V(t)) |\psi(t)\rangle \end{aligned} \quad (4.3c)$$

for any  $t$ :

$$|\psi(t)\rangle = \sum_n c_n(t) e^{-\frac{i}{\hbar} E_n^{(0)}} |\psi_n^{(0)}\rangle \quad (4.3d)$$

$$\langle \psi(t) | \psi(t) \rangle = 1 \quad (4.3e)$$

$$\Rightarrow \sum_n |c_n|^2 = 1 \quad (4.3f)$$

we can also write

$$V(t) \left| \psi_n^{(0)} \right\rangle = \sum_m \left| \psi_m^{(0)} \right\rangle \left\langle \psi_m^{(0)} \right| V(t) \left| \psi_n^{(0)} \right\rangle \quad (4.3g)$$

with

$$\left\langle \psi_m^{(0)} \right| V(t) \left| \psi_n^{(0)} \right\rangle = V_{mn}(t) \quad (4.3h)$$

→ into Schrödinger

$$\begin{aligned} \sum_n \left( i\hbar \dot{c}_n + E_n^{(0)} c_n \right) \left| \psi_n^{(0)} \right\rangle &= \sum_n c_n e^{-\frac{i}{\hbar} E_n^{(0)} t} \left( E_n^{(0)} \left| \psi_n^{(0)} \right\rangle \right. \\ &\quad \left. + \lambda \sum_m V_{mn}(t) \left| \psi_m^{(0)} \right\rangle \right) \end{aligned} \quad (4.3i)$$

swap labels  $m$  and  $n$  on rhs

$$\sum_n i\hbar \dot{c}_n e^{-\frac{i}{\hbar} E_n^{(0)} t} \left| \psi_n^{(0)} \right\rangle = \sum_{n,m} \lambda c_m e^{-\frac{i}{\hbar} E_m^{(0)} t} V_{nm}(t) \left| \psi_n^{(0)} \right\rangle \quad (4.3j)$$

$$\Rightarrow \dot{c}_n = (i\hbar)^{-1} \lambda \sum_m V_{mn} e^{\frac{i}{\hbar} (E_n^{(0)} - E_m^{(0)}) t} c_m \quad (4.3k)$$

with

$$\omega_{nm} = \frac{E_n^{(0)} - E_m^{(0)}}{\hbar} \quad (4.3l)$$

so

$$\dot{c}_n = (i\hbar)^{-1} \lambda \sum_m V_{nm} e^{i\omega_{nm} t} c_m. \quad (4.3m)$$

Now expand in  $\lambda$  (→ perturbation theory)

$$c_n = c_n^{(0)} + \lambda c_n^{(1)} + \lambda^2 c_n^{(2)} + \dots \quad (4.3n)$$

$$\dot{c}_n^{(0)} = 0, \quad \mathcal{O}(\lambda^0) \quad (4.3o)$$

$$c_n^{(1)} = (i\hbar)^{-1} \sum_m V_{nm} e^{i\omega_{nm} t} c_m^{(0)} \quad (4.3p)$$

...

$$c_n^{(j)} = (i\hbar)^{-1} \sum_m V_{nm} e^{i\omega_{nm} t} c_m^{(j-1)} \quad (4.3q)$$

Let system be in state  $|\psi_i^{(0)}\rangle$  at time to initial condition

$$c_m^{(0)} = \delta_{im} \quad (4.3r)$$

$$\dot{c}_f^{(1)} = (i\hbar)^{-1} V_{fi} e^{i\omega_{fi}t} \quad (4.3s)$$

$$c_f^{(1)}(t) = (i\hbar)^{-1} \int_{t_0}^t dt' V_{fi}(t') e^{i\omega_{fi}t'} \quad (4.3t)$$

$\rightarrow$  transition probability for the system to be found in state  $|\psi_f^{(0)}\rangle$  at time  $t$ .

$$\begin{aligned} P_{i \rightarrow f} &= |c_f^{(1)}|^2 \\ &= \frac{1}{\hbar^2} \left| \int_{t_0}^t dt' V_{fi} e^{i\omega_{fi}t'} \right|^2 + \mathcal{O}(\lambda^2) \end{aligned} \quad (4.3u)$$

approximation only valid if

$$|c_f|^2 \ll 1 \quad (4.3v)$$

Higher orders in  $\lambda$  will be covered section 4.4 and Exercise

---

## 4.2 Constant perturbation

Let

$$V(t) = \begin{cases} 0 & \text{for } t < t_0 (= 0) \\ V & \text{(constant) for } t > t_0 \end{cases} \quad (4.4a)$$

$$P_{i \rightarrow f} = \frac{1}{\hbar^2} |V_{fi}|^2 \left| \int_{t_0=0}^t dt' e^{i\omega_{fi}t'} \right|^2, \quad (4.4b)$$

using

$$\begin{aligned} \int_{t_0=0}^t dt' e^{i\omega_{fi}t'} &= \frac{2}{\omega^2} (1 - \cos \omega_{fi}t) \\ &= \frac{4}{\omega^2} \sin^2 \left( \frac{\omega_{fi}t}{2} \right), \end{aligned} \quad (4.4c)$$

and

$$\begin{aligned} \delta_t(\alpha) &\equiv \frac{\sin^2(\alpha t)}{\pi \alpha^2 t} \\ &= \begin{cases} \frac{t}{\pi} & \alpha = 0 \\ < \frac{1}{\pi \alpha^2 t} & \alpha \neq 0 \end{cases}, \end{aligned} \quad (4.4d)$$

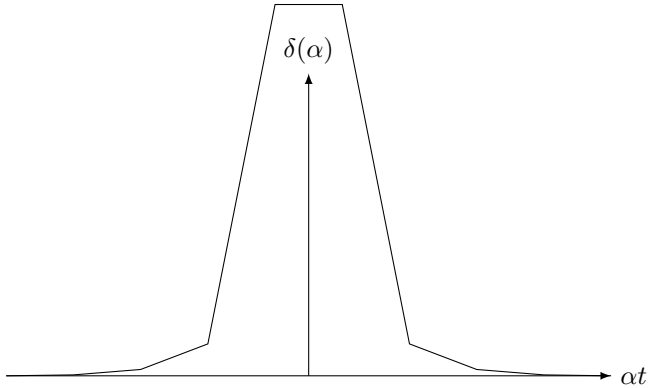


Figure 4.1:

which is plotted in Fig. 4.1

$$\lim_{t \rightarrow \infty} P_{i \rightarrow f} = \frac{\pi t}{\hbar^2} |V_{fi}|^2 \delta \left( \frac{E_f^{(0)} - E_i^{(0)}}{2\hbar} \right) \quad (4.4e)$$

$$P_{i \rightarrow f} = \frac{2\pi t}{\hbar} |V_{fi}|^2 \delta(E_f^{(0)} - E_i^{(0)}) \quad (4.4f)$$

transition rate= probability/time

$$\Gamma_{fi} = \frac{2\pi}{\hbar} |V_{fi}|^2 \delta(E_f - E_i) \quad (4.4g)$$

Consider transitions into continuous spectrum  $\rho(E)$

$$\int_{E_1}^{E_2} dE \rho(E) = \text{number of states in energy range } E_1 \text{--} E_2 \quad (4.5a)$$

$$\sum_f \Gamma_{fi} \rightarrow \int dE \rho(E) \Gamma_{fi} = \frac{2\pi}{\hbar} \rho(E_f) |V_{fi}|^2 \quad (4.5b)$$

golden rule!

requires continuum of states and applicability of perturbation theory.

### 4.3 Periodic perturbations

Let

$$V(t) = (V e^{-i\omega t} + V^\dagger e^{+i\omega t}), \quad \text{for } t > t_0 = 0 \quad (4.6)$$

The transition probability  $P_{i \rightarrow f}$  is given by

$$\begin{aligned} P_{i \rightarrow f}(t) &= \frac{1}{\hbar} \left| \int_{t_0}^t dt' (V_{fi} e^{i(\omega_{fi} - \omega)t'} + V_{fi}^\dagger e^{i(\omega_{fi} + \omega)t'}) \right|^2 \\ &= \frac{\pi t}{\hbar^2} \left( |V_{fi}|^2 \sin^2 \left( \frac{t}{2} (\omega_{fi} - \omega) \right) + |V_{fi}^\dagger|^2 \frac{\sin^2 \left( \frac{t}{2} (\omega_{fi} + \omega) \right)}{\pi t \left( \frac{\omega_{fi} + \omega}{2} \right)^2} \right. \\ &\quad \left. + \Re (V_{fi} V_{fi}^\dagger \cdot \mathcal{F}(\omega_{fi}, \omega)) \right) \end{aligned} \quad (4.7a)$$

with  $\mathcal{F}(\omega_{fi}, \omega)$  the interference pattern

the behaviour for large  $t$ ,  $t > \frac{2\pi}{\omega}$  (recall  $\sin^2 \rightarrow \delta$ -function), interference term vanishes, transition rate

$$\Gamma_{i \rightarrow f} = \frac{P_{i \rightarrow f}}{t}, \quad \text{for large } t \quad (4.8a)$$

$$\begin{aligned} \Gamma_{i \rightarrow f} &= \frac{2\pi}{\hbar} \left( |V_{fi}|^2 \delta \left( \underbrace{E_f - E_i - \hbar\omega}_{E_f = E_i + \hbar\omega} \right) \right. \\ &\quad \left. + |V_{fi}^\infty|^2 \delta \left( \underbrace{E_f - E_i + \hbar\omega}_{E_f = E_i - \hbar\omega} \right) \right) \quad (4.8b) \\ &= \text{absorption of } \hbar\omega + \text{emission of } \hbar\omega \end{aligned}$$

$\rightarrow$  interaction of matter with radiation

### 4.4 The Interaction picture

Consider again evolution operator

$$|\psi(t)\rangle = U(t, t_0) |\psi(t_0)\rangle \quad (4.9)$$

i)  $U(t, t_0) = \mathbf{1}$

ii)  $U(t, t_1)U(t_1, t_0) = U(t, t_0)$

iii)

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle &= H(t) |\psi(t)\rangle \\ &= i\hbar \frac{\partial}{\partial t} U(t, t_0) |\psi(t_0)\rangle \\ &= H(t) U(t, t_0) |\psi(t_0)\rangle \end{aligned} \quad (4.10)$$

$U$  satisfies

$$i\hbar \frac{\partial}{\partial t} U(t, t_0) = H(t) U(t, t_0) \quad (4.11a)$$

Formal solution:

$$U(t, t_0) = 1 + (i\hbar)^{-1} \int_{t_0}^t dt' H(t') U(t', t_0) \quad (4.11b)$$

“solve” by iteration

$$\begin{aligned} U(t, t_0) &= \sum_{n=0}^{\infty} U^{(n)}(t, t_0) \\ &= 1 + U^{(1)} + \dots \end{aligned} \quad (4.11c)$$

$$U^{(1)}(t, t_0) = (i\hbar)^{-1} \int_{t_0}^t dt_1 H(t_1) \quad (4.11d)$$

$$\begin{aligned} U^{(2)}(t, t_0) &= (i\hbar)^{-2} \int_{t_0}^t dt_2 H(t_2) \int_{t_0}^{t_2} dt_1 H(t_1) \\ &= \frac{(i\hbar)^{-2}}{2!} \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 H(t_2) H(t_1) \end{aligned} \quad (4.11e)$$

(last step see exercise)

$$\vdots = \vdots \quad (4.11f)$$

$$\begin{aligned} U^{(n)}(t, t_0) &= (i\hbar)^{-n} \int_{t_0}^t dt_n H(t_n) \int_{t_0}^{t_n} dt_{n-1} H(t_{n-1}) \dots \int_{t_0}^{t_2} dt_2 H(t_2) \\ &= \frac{1}{n!} (i\hbar)^{-n} \int_{t_0}^t dt_n \int_{t_0}^{t_n} dt_{n-1} \dots \int_{t_0}^{t_2} dt_2 H(t_2) H(t_1) \end{aligned} \quad (4.11g)$$

with  $T$  the time ordering operator

$$T(H(t_1) \dots H(t_n)) = H(t_{\tau(1)}) \dots H(t_{\tau(n)}) \quad (4.11h)$$

if

$$\begin{aligned} t_{\tau(1)} &> t_{\tau(2)} \\ &> \dots \\ &> t_{\tau(n)} \end{aligned} \quad (4.11i)$$

full solution

$$U(t, t_0) = T \left[ e^{-\frac{i}{\hbar} \int_{t_0}^t dt' H(t')} \right] \quad (4.11j)$$

*cannot* be expanded in a useful way. *All terms are equally important.*

$T$ : time ordering

$$\begin{aligned} T(H(t_1), H(t_2)) &\equiv H(t_1) H(t_2) \vartheta(t_1 - t_2) + H(t_2) H(t_1) \vartheta(t_2 - t_1) \\ T(H(t_1), H(t_2) H(t_3)) &= H(t_1) H(t_2) H(t_3) \vartheta(t_1 - t_2) \vartheta(t_2 - t_3) \\ &\quad + H(t_1) H(t_3) H(t_2) \vartheta(t_1 - t_3) \vartheta(t_3 - t_2) \\ &\quad + 4 \text{ more terms} \end{aligned} \quad (4.12b)$$

to make approximations possible, use *interaction picture*. recall

**Schrödinger picture:**

$$|\psi_S(t)\rangle, \quad A_S \text{ (time independent)} \quad (4.13)$$

with  $\psi_S(t)$  time dependent

**Heisenberg picture:**

$$\begin{aligned} |\psi\rangle_H &= |\psi(t_0)\rangle \\ &= U(t_0, t) |\psi(t)\rangle \end{aligned} \quad (4.14a)$$

with

$$A_H(t) = U(t_0, t) A U(t, t_0) \quad (4.14b)$$

**interaction picture:**

$$H = H_0 + V(t) \quad (4.15a)$$

with  $H_0$  time independent

$$U_0(t, t_0) = e^{-\frac{i}{\hbar} H_0(t-t_0)} \quad (4.15b)$$

$$\begin{aligned} \psi(t)_I &= \\ &\equiv U_0(t_0, t) |\psi(t)\rangle \\ &= U_0(t_0, t) U(t, t_0) |\psi\rangle_H \end{aligned} \quad (4.15c)$$

$$A_I(t) \equiv U_0(t_0, t) A U_0(t, t_0) \quad (4.15d)$$

$A_I(t)$  and  $|\psi(t)\rangle$  both time dependent

Time evolution in interaction picture

$$|\psi(t)\rangle_I = U_I(t, t_1) |\psi(t_1)\rangle_I \quad (4.16a)$$

where

$$U_I(t, t_1) = U_0(t_0, t) U(t, t_1) U_0(t_1, t_0) \quad (4.16b)$$

$$\boxed{i\hbar \frac{\partial}{\partial t} U_I(t, t_1) = V_I(t) U_I(t, t_1)} \quad (4.17)$$

$$i\hbar \frac{\partial}{\partial t} U(t, t_1) = H(t) U(t, t_1) \quad (4.18)$$

$$\boxed{U_I(t, t_1) = T e^{-\frac{i}{\hbar} \int_{t_1}^t dt' V_I(t')}} \quad (4.19)$$

If  $V(t)$  “small”, can do perturbation theory by expanding exponential. Structure of expansion

$$U_I(t, t_0) = 1 + (i\hbar)^{-1} \int_{t_0}^t dt V_I(t) + (i\hbar)^{-1} \int_{t_0}^t dt_1 \int_{t_1}^{t_0} dt_2 V_I(t_1) V_I(t_2) + \dots \quad (4.20)$$

Compare to Chapter 4.1. Let  $V(t) = 0$  for  $t < t_0$

Amplitude for initial state  $|\psi_i^{(0)}\rangle$  to go over into final state

$$\begin{aligned} \langle \psi_f^{(0)} | \psi_i(t) \rangle &= \langle \psi_f^{(0)} | U_I(t, t_0) | \psi_i^{(0)} \rangle \\ &= \underbrace{\langle \psi_f^{(0)} | \psi_i^{(0)} \rangle}_{\delta_{if}} + (i\hbar)^{-1} \langle \psi_f^{(0)} | \int_{t_0}^t dt' V_I(t') | \psi_i^{(0)} \rangle \end{aligned} \quad (4.21a)$$



where

$$\begin{aligned} \langle \psi_f^{(0)} | \int_{t_0}^t dt' V_I(t') | \psi_i^{(0)} \rangle &= \int_{t_0}^t dt' \langle \psi_f^{(0)} | e^{-\frac{i}{\hbar}(t_0-t')} V(t') e^{-\frac{i}{\hbar}H(t'-t_0)} | \psi_i^{(0)} \rangle \\ &= \int_{t_0}^t dt' \langle \psi_f^{(0)} | V(t') e^{\frac{i}{\hbar}(E_F-E_i)t'} | \psi_i^{(0)} \rangle \end{aligned} \quad (4.21b)$$

## 4.5 The adiabatic approximation

Here  $V(t)$  *not* small by change is slow

**Theorem 4.5.1:** For an adiabatic change  $H_i \rightarrow H_F$  a system that is initially in the  $n$ th eigenstate of  $H_i$  will evolve into the  $n$ th eigenstate of  $H_f$  (no level crossing).

**Proof:** Let

$$H(t) = E_n(t) |\psi_n(t)\rangle \quad (4.22a)$$

$$\begin{aligned} |\psi(t)\rangle &= \sum_n c_n(t) e^{(i\hbar)^{-1} \int_0^t d\tau E_n(\tau)} |\psi_n(t)\rangle \\ &= \sum_n c_n e^{iE_n t} |\psi_n(t)\rangle \end{aligned} \quad (4.22b)$$

to into Schrödinger

$$i\hbar \sum_n \left( C_n^i |\psi_n\rangle + c_n |\dot{\psi}_n\rangle \right) e^{iE_n t} = \sum_n c_n e^{iE_n t} H |\psi_n\rangle \quad (4.23a)$$

multiply by  $\langle \psi_m |$

$$\dot{c}_m = - \sum_n c_n \langle \psi_m | \dot{\psi}_n \rangle e^{i(E_n - E_m)t} \quad (4.23b)$$

where we need to compute  $\langle \psi_m | \dot{\psi}_n \rangle$

$$H |\psi_n\rangle = E_n |\psi_n\rangle \quad (4.24a)$$

$$\dot{H} |\psi_m\rangle + H |\dot{\psi}_n\rangle = \dot{E}_n |\psi_n\rangle + E_n |\dot{\psi}_n\rangle \quad (4.24b)$$

$m \neq$

$$\langle \psi_m | \dot{H} | \psi_n \rangle = (E_n - E_m) \langle \psi_m | \dot{\psi}_n \rangle \quad (4.24c)$$

into equation for  $\dot{c}_m$

$$\dot{c}_m = -c_m \langle \psi_m | \dot{\psi}_m \rangle - \sum_{n \neq m} c_n \frac{\langle \psi_m | \dot{H} | \psi_n \rangle}{(E_n - E_m)} \quad (4.24d)$$

adiabatic approximation  $\dot{H}$  small  $\rightarrow 0$  also must not have degeneracy

$$\dot{c}_m(t) = -c_m \langle \psi_m | \dot{\psi}_m \rangle \quad (4.25a)$$

$$\rightarrow c_m(t) = c_m(t_0) e^{i\gamma_m(t)} \quad (4.25b)$$

$$\gamma_m(t) = i \int_{t_0}^t d\tau \langle \psi_m(\tau) | \dot{\psi}_m(\tau) \rangle \quad (4.25c)$$

if system is in state  $|\psi_m\rangle$  at time  $t$  it remains in this state

$$\begin{aligned} \psi(t) &= c_m(t) e^{iE_m(t)} |\psi_m(t)\rangle \\ &= e^{i\gamma_m(t)} e^{iE_m(t)} |\psi_m(t)\rangle \end{aligned} \quad (4.25d)$$

where  $e^{i\gamma_m(t)}$  is the geometric phase ( $\rightarrow$  Berry phase) and  $e^{iE_m(t)}$  is the dynamic phase

# INTERACTION OF MATTER WITH CLASSICAL RADIATION

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Here radiation treated as a classical field (quantization of radiation → Chapter 8)

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## 5.1 Basics from EM & QM

External classical field

$$\mathbf{B} = \nabla \times \mathbf{A} \quad (5.1a)$$

$$\mathbf{E} = -\nabla\phi - \dot{\mathbf{A}} \quad (5.1b)$$

(in relativity  $\phi, A \rightarrow A^\mu$  not here)

physics invariant under gauge transformation

$$A^\mu \rightarrow A^\mu + \partial^\mu \chi \quad \left\{ \begin{array}{l} \mathbf{A} \rightarrow \mathbf{A} + \nabla \chi(\mathbf{r}, t) \\ \phi \rightarrow \phi - \frac{1}{c} \dot{\chi}(\mathbf{r}, t) \end{array} \right. \quad (5.2a)$$

gauge choice: here *Coulomb gauge*

$$\nabla \cdot \mathbf{A} = 0 \quad (5.3a)$$

Maxwell equation in free space → wave equation for  $\mathbf{A}$

$$\begin{aligned} \square \mathbf{A} &= \left( \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \nabla^2 \right) \mathbf{A} \\ &= 0 \end{aligned} \quad (5.3b)$$

solution

$$\begin{aligned} \mathbf{A} &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda} (\chi(k, \lambda) \boldsymbol{\epsilon}(k, \lambda) e^{i\mathbf{k} \cdot \mathbf{r} - i\omega_k t} \\ &\quad + \alpha^*(\mathbf{k}, \lambda) \boldsymbol{\epsilon}^*(\mathbf{k}, \lambda) \boldsymbol{\epsilon}^*(\mathbf{k}, \lambda) e^{-i\mathbf{k} \cdot \mathbf{r} + i\omega_k t}), \end{aligned} \quad (5.3c)$$

where  $\alpha(\mathbf{k}, \lambda)$  is the coefficient of linear combination and  $\lambda$  is the polarization  $\lambda \in \{1, 2\}$  and  $\mathbf{k} \cdot \boldsymbol{\epsilon} = 0 \rightarrow 2$  polarizations from  $\square A = 0 \rightarrow \omega_k = c|\mathbf{k}|$ .

Recall QMI Chapter 9

$$\begin{aligned} H &= \frac{1}{2m} \left( i\hbar \nabla - \frac{q}{c} \mathbf{A} \right)^2 + q\phi + V_0 \\ &= \underbrace{\frac{p^2}{2m} + V_0}_{H_0} - \underbrace{\frac{q}{2mc} (\mathbf{p} \cdot \mathbf{A} + \mathbf{A} \cdot \mathbf{p}) + \frac{q^2}{2mc^2} \mathbf{A}^2 + q\phi}_{V(t)} \end{aligned} \quad (5.4a)$$

Introduce *number density*:

$$\begin{aligned} \rho(\mathbf{r}) &= \sum_i \delta(\mathbf{r} - \mathbf{r}_i) \\ &= \delta(\mathbf{r} - \mathbf{r}_1) \end{aligned} \quad (5.5)$$

and *current density*:

$$\mathbf{j}(\mathbf{r}) = \frac{1}{2m} \sum_i (\mathbf{p}_i \delta(\mathbf{r} - \mathbf{r}_i) + \delta(\mathbf{r} - \mathbf{r}_i) \mathbf{p}_i) \quad (5.6)$$

then rewrite

$$V(t) = \int d^3r \left( \frac{e}{c} \mathbf{j}(\mathbf{r}) \mathbf{A}(\mathbf{r}, t) + \frac{e^2}{2mc^2} \rho(\mathbf{r}) \mathbf{A}^2 - e\rho(\mathbf{r})\phi(\mathbf{r}, t) \right) \quad (5.7a)$$

where  $\mathbf{j}(\mathbf{r})$  is the dominant term,  $\rho(\mathbf{r})$  drop  $\sim \frac{e^2}{c^2}$  (small compared to  $\mathbf{j} \cdot \mathbf{A}$ ) and  $\phi(\mathbf{r}, t), \phi = 0$ . Write  $V(\mathbf{r}_1, t)$  for a single electron in terms of Fourier transform

$$\begin{aligned} \mathbf{j}(\mathbf{k}) &= \int d^3r e^{-i\mathbf{k} \cdot \mathbf{r}} \mathbf{j}(\mathbf{r}) \\ &= \left( \frac{\mathbf{p}_1}{2m} e^{-i\mathbf{k} \cdot \mathbf{r}_1} + e^{-i\mathbf{k} \cdot \mathbf{r}_1} \frac{\mathbf{p}_1}{2m} \right) \end{aligned} \quad (5.7b)$$

$$\begin{aligned} V(t) &= \frac{e}{c} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda=\{1,2\}} \left( \underbrace{\alpha(k, \lambda) \tilde{\mathbf{j}}(-\mathbf{k}) \cdot \boldsymbol{\epsilon}(k, \lambda)}_V e^{-i\omega_k t} \right. \\ &\quad \left. + \underbrace{\alpha^*(k, \lambda) \tilde{\mathbf{j}}(\mathbf{k}) \cdot \boldsymbol{\epsilon}^*(k, \lambda)}_{V^*} e^{i\omega_k t} \right) \end{aligned} \quad (5.7c)$$

## 5.2 Induced emission and absorption

Consider atom in external (classical) electromagnetic field. Compute transition probability/rate of state  $\psi_0$  into  $\psi_n$  ( $n \neq 0$ ) by absorption of electromagnetic radiation. From section 4.3 for a single mode ( $k\lambda$ )

$$\Gamma_{10}(\mathbf{k}, \lambda) = \frac{2\pi}{\hbar} \delta(E_n - E_0 - \hbar\omega) \frac{e^2}{c^2} |\alpha(k, \lambda)|^2 \underbrace{\left| \langle \psi_n | \tilde{\mathbf{j}}(-\mathbf{k}) \cdot \boldsymbol{\epsilon}(\mathbf{k}, \lambda) | \psi_0 \rangle \right|^2}_{|V_{n0}|^2} \quad (5.8a)$$

For incoherent radiation (nointerference effects)

$$\begin{aligned} \Gamma_{n0} &= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \Gamma_{n0}(\mathbf{k}, \lambda) \\ &= \int \frac{d\omega' w}{(2\pi c)^3} \int d\Omega \sum_{\lambda} \Gamma_{n0}(\mathbf{k}, \lambda) \\ &= \int \frac{2\pi}{(\hbar c)^2} \frac{\omega_{n0}^2}{(2\pi c)^3} \sum_{\lambda} |\alpha|^2 \langle \psi_n | \mathbf{j} \cdot \boldsymbol{\epsilon} | \psi_0 \rangle J^2 d\Omega(\hat{k}) \end{aligned} \quad (5.8b)$$

the reverse process: induced emission

$$\begin{aligned} \Gamma_{on} &= \frac{2\pi e^2}{(\hbar c)^2} \frac{\omega_{n0}^2}{(2\pi c)^3} \sum |\alpha^*|^2 |\langle \psi_0 | \mathbf{j}(\mathbf{k}) \cdot \boldsymbol{\epsilon}(k) | \psi_n \rangle|^2 \\ &= \Gamma_{n0} \end{aligned} \quad (5.8c)$$

where

$$|\langle \psi_0 | \mathbf{j}(\mathbf{k}) \cdot \boldsymbol{\epsilon}(k) | \psi_n \rangle|^2 = |\langle \psi_0 | \mathbf{j}(-\mathbf{k}) \cdot \boldsymbol{\epsilon}(\mathbf{k}) | \psi_0 \rangle|^2 \quad (5.8d)$$

Aside: there is a 3rd process: spontaneous emission (without external field)

## 5.3 Dipole approximation and selection rules

Transitions are governed by  $\alpha^* \langle \psi_0 | \mathbf{j}(\mathbf{k}) \cdot \boldsymbol{\epsilon}^*(\mathbf{k}) | \psi_n \rangle$

$$\begin{aligned} \mathbf{j}(\mathbf{k}) &= \frac{1}{2m} (\mathbf{p} e^{-i\mathbf{k} \cdot \mathbf{r}} + e^{-i\mathbf{k} \cdot \mathbf{r}} \mathbf{p}) \\ &= \frac{\mathbf{p}}{m} \end{aligned} \quad (5.9a)$$

with Dipole approximation

$$e^{-i\mathbf{k}\mathbf{r}} = 1 - i\mathbf{k}\mathbf{r} + \dots \quad (5.9b)$$

$$k \sim \frac{1}{\lambda}, \quad \text{for visible light} \sim (10 \times 10^{-7} \text{ m})^{-1} \quad (5.9c)$$

$$\begin{aligned} r &\sim \text{size of atom} \\ &\sim \text{Bohr radius} \\ &\sim 10 \times 10^{-10} \text{ m} \end{aligned} \quad (5.9d)$$

$$\begin{aligned} \mathbf{j} \cdot \mathbf{A} &\rightarrow \text{Dipole approx.} \\ :\mathbf{j} &= \frac{\mathbf{p}}{m} \end{aligned} \quad (5.9e)$$

(radiation field  $\sim$  constant within atom)

Aside: this is equivalent to a term

$$e\mathbf{r} \cdot \mathbf{E} = -\mathbf{d} \cdot \mathbf{E} \quad (5.9f)$$

with  $\mathbf{d}$  dipole moment

$$\mathbf{d} \equiv -e\mathbf{r} \quad (5.9g)$$

(compare  $\boldsymbol{\mu} \cdot \mathbf{B}$ )

**Proof:**

$$\begin{aligned} [r_x, H_0] &= \left[ r_x, \frac{\mathbf{p}^2}{2m} \right] \\ &= \frac{1}{2m} ([r_x, \mathbf{p}] \mathbf{p} + \mathbf{p} [r_x, \mathbf{p}]) \end{aligned} \quad (5.10a)$$

with

$$[r_x, \mathbf{p}] = \sum_y i\hbar \delta_{xy} p_y \quad (5.10b)$$

$$[r_x, H_0] = \frac{p_x}{m} i\hbar \quad (5.10c)$$

$$[r, H_0] = i\hbar \frac{\mathbf{p}}{m} \quad (5.10d)$$

emission

$$\begin{aligned} \langle \psi_0 | e\mathbf{r} \cdot \mathbf{E} | \psi_n \rangle &= -\frac{e}{c} \langle \psi_0 | \mathbf{r} \cdot \dot{\mathbf{A}} | \psi_n \rangle \\ &= -\frac{e}{c} i\omega \langle \psi_0 | \mathbf{r} \cdot \mathbf{A} | \psi_n \rangle \\ &= -\frac{e}{c} \frac{i}{\hbar} (E_n - E_0) \langle \psi_0 | \mathbf{r} \cdot \mathbf{A} | \psi_n \rangle \\ &= -\frac{e}{c} \frac{i}{\hbar} \langle \psi_0 | [\mathbf{r}, H_0] \cdot \mathbf{A} | \psi_n \rangle \end{aligned} \quad (5.10e)$$

using Eq. 5.10d

$$\begin{aligned}\langle \psi_0 | e \mathbf{r} \cdot \mathbf{E} | \psi_n \rangle &= -\frac{e}{c} \langle \psi_0 | \frac{\mathbf{p}}{m} \cdot \mathbf{A} | \psi_n \rangle \\ &= \frac{e}{c} \langle \psi_0 | \mathbf{j} \cdot \mathbf{A} | \psi_n \rangle\end{aligned}\quad (5.10f)$$

**Proof (alternative):** Make gauge trafo with

$$\chi(\mathbf{r}, t) = -\mathbf{A}(t) \cdot \mathbf{r} \quad (5.11a)$$

$$\mathbf{A} \rightarrow \mathbf{A} + \nabla \chi = 0 \quad (5.11b)$$

$$0 = \Phi \rightarrow \Phi - \frac{1}{c} \dot{\chi} = -\frac{1}{c} \dot{\mathbf{A}} \cdot \mathbf{r} = -\mathbf{E} \cdot \mathbf{r} \quad (5.11c)$$

$$H - e\Phi = -e\mathbf{rE} \quad (5.11d)$$

Atomic transitions are only possible if

$$\langle \psi_{n'\ell'm'_\ell} | \mathbf{r} | \psi_{n\ell m_\ell} \rangle \neq 0 \quad (5.12a)$$

Given  $\ell, m_\ell$  this imposes constraints on  $\ell', m_{\ell'} \rightarrow$  selection rules (in dipole approximation). Can be obtained by (solving at properties of spherical harmonics).

$$\int d\Omega (Y_{\ell'}^{m_{\ell'}}(\theta, \phi))^* \begin{pmatrix} x + iy \\ x - iy \\ z \end{pmatrix} \mathcal{Y}_\ell^{m_\ell}(\theta, \phi) \quad (5.13a)$$

this is most of the time 0 except if

$$\langle \psi_{n'\ell'm'_\ell} | z | \psi_{n\ell m_\ell} \rangle \neq 0, \quad \ell' = \ell \pm 1, m'_\ell = m_\ell \quad (5.13b)$$

$$\langle \psi_{n'\ell'm'_\ell} | x \pm iy | \psi_{n\ell m_\ell} \rangle \neq 0, \quad \ell' = \ell \pm 1, m'_\ell = m_\ell \pm 1 \quad (5.13c)$$

(or use Wigner-Eckart theorem,  $\mathbf{r}$  is a vector operator)

$\Rightarrow$  selection rules for  $E_1$  transitions (dipole approximation)

$$\Delta\ell = \pm 1 \quad (5.13d)$$

$$\Delta m = 0, \pm 1 \quad (5.13e)$$

These rules are violated by “beyond-dipole” transitions (e.g.  $E_2$  quadrupole transitions).

Further selection rules:  $\Delta S = 0$  (spin part of wavefunction not affected by  $\mathbf{r} \cdot \mathbf{E}$ ) always true: *no* transitions between  $j = 0 \rightarrow j = 0$  (total angular momentum conservation)





# POTENTIAL SCATTERING

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We consider

$$H = H_0 + V(r) \quad (6.1a)$$

with

$$\lim_{r \rightarrow \infty} rV(r) = 0, \quad (\text{i.e. not Coloumb}) \quad (6.1b)$$

$V$  is restricted to “small” region.

Want to find stationary solutions

$$\psi(\mathbf{r}, t) = e^{-\frac{i}{\hbar}Et} \psi(\mathbf{r}), \quad \text{for } r \rightarrow \infty \quad (6.1c)$$

steady incoming beam scattered by potential (more general treatment in section 7)

---

## 6.1 Elastic scattering and cross sections

we are looking for solutions to

$$(H_0 + V) |\psi\rangle = E |\psi\rangle \quad (6.2a)$$

$$\begin{aligned} E_{\text{in}} &= E_{\text{out}} \\ &= \frac{p^2}{2m} \\ &= \frac{\hbar^2 k^2}{2m} \end{aligned} \quad (6.2b)$$

of the form

$$r \rightarrow \infty \quad (6.2c)$$

$$\begin{aligned} \psi_k(\mathbf{r}) &\rightarrow \psi_{\text{in}}(\mathbf{r}) + \psi_{\text{sc}}(\mathbf{r}) \\ &= e^{i\mathbf{k}\mathbf{r}} + f(\theta, \phi) \frac{e^{ikr}}{r} \end{aligned} \quad (6.2d)$$

where we are not interested in  $\psi(r)$  for small  $r$  (in range of  $V$ ) and  $f(\theta, \phi)$  is the scattering amplitude and  $\frac{e^{ikr}}{r}$  is the outgoing spherical wave

Differential cross section

$$\frac{d\sigma}{d\Omega} = \frac{N}{F} \quad (6.3)$$

$N$ : #particles scattered into  $d\Omega$  per time in  $N d\Omega$

$F$ : flux of incoming particles, number/time/unit area

**Exercise:** For  $|\psi_{\text{in}}|^2 = 1$  (1 part/volume),

$$\begin{aligned} F &= V \\ &= \frac{p}{m} \\ &= \frac{\hbar k}{m} \end{aligned} \quad (6.4a)$$

outgoing:

$$\begin{aligned} \mathbf{j} &= \dots \\ &= \frac{\hbar k}{m} |f(\theta, \phi)|^2 \frac{\mathbf{e}_r}{r^2} \end{aligned} \quad (6.4b)$$

$$\Rightarrow \frac{d\sigma}{d\Omega} = |f(\theta, \phi)|^2 \quad (6.4c)$$

total cross section

$$\sigma = \int d\Omega \frac{d\sigma}{d\Omega} \quad (6.4d)$$

## 6.2 Partial-wave analysis

For central potential  $V(r)$ . No  $\phi$  dependence in  $\psi_k$

$$\psi_k(r, \theta) = \sum_{\ell=0}^{\infty} R_{\ell}(kr) P_{\ell}(\cos \theta) \quad (6.5a)$$

$P_{\ell}(\cos \theta)$  being Legendre polynomials

$$f(\theta) = \sum_{\ell=0}^{\infty} P_{\ell}(k) P_{\ell}(\cos \theta) \quad (6.5b)$$

→ equation for  $R_\ell$ :

$$\frac{d^2}{dr^2} \frac{2}{r} \frac{d}{dr} - \frac{\ell(\ell+1)}{r^2} + 2m(V(r) - E) R_\ell(kr) = 0 \quad (6.5c)$$

Aside: Assume  $V$  is constant ( $\rho = kr$ )

Solutions for  $E > V$ : Spherical Bessel function:

$$j_\ell(\rho) \equiv (-\rho)^\ell \left( \frac{1}{\rho} \frac{d}{d\rho} \right)^\ell \frac{\sin \rho}{\rho} \quad (6.6a)$$

Spherical Neumann function:

$$n_\ell(\rho) = -(-\rho)^\ell \left( \frac{1}{\rho} \frac{d}{d\rho} \right)^\ell \frac{\cos \rho}{\rho} \quad (6.6b)$$

$$j_\ell(\rho) \xrightarrow{\rho \rightarrow 0} \rho^\ell \xrightarrow{\rho \rightarrow \infty} \frac{1}{\rho} \sin\left(\rho - \frac{\pi\ell}{2}\right) \quad (6.7a)$$

$$n_\ell(\rho) \xrightarrow{\rho \rightarrow 0} \frac{1}{\rho^{\ell-1}} \xrightarrow{\rho \rightarrow \infty} -\frac{1}{\rho} \cos\left(\rho - \frac{\pi\ell}{2}\right) \quad (6.7b)$$

$$h_\ell = j_\ell \pm in_\ell \quad (6.7c)$$

General solution for radial Schrödinger equation for  $r \rightarrow 0$   $\frac{\ell(\ell+1)}{r^2}$  dominant for  $V(r)$  less singular than  $\frac{1}{r^2}$

$$\begin{aligned} R_\ell(r) &\sim j_\ell(\rho) \\ &= j_\ell(kr) \end{aligned} \quad (6.8a)$$

for  $r \rightarrow \infty$   $V(r) \rightarrow 0$  general solution

$$\begin{aligned} R_\ell(r) &= B_\ell(k) j_\ell(kr) + C_\ell n_\ell(kr) \xrightarrow{r \rightarrow \infty} B_\ell(k) \frac{1}{kr} \sin\left(kr - \frac{\pi\ell}{2}\right) \\ &\quad - C_\ell(k) \frac{1}{kr} \cos\left(kr - \frac{\pi\ell}{2}\right) \\ &= \frac{1}{kr} A_\ell(k) \sin\left(kr - \frac{\ell\pi}{2} + \delta_\ell(k)\right), \\ A_\ell &= \sqrt{B_\ell^2 + C_\ell^2}, \text{ phase shift } \tan \delta_\ell = -\frac{C_\ell(k)}{B_\ell(k)} \end{aligned} \quad (6.8b)$$

note: for  $V = 0$ :

$$R_\ell \sim j_\ell(kr) \quad (6.9a)$$

i.e.  $C_\ell$  and  $\delta_\ell = 0$

Solutions for  $r \rightarrow \infty$  are characterized by phase shift  $\delta_\ell$

Next: find relation between  $\delta_\ell \leftrightarrow f(\theta)$

$$\psi_k \rightarrow e^{i\mathbf{k} \cdot \mathbf{r}} + f(\theta) e^{ikr} \frac{1}{r} \quad (6.10)$$

central potential

$$\psi_k = \sum_{\ell=0}^{\infty} R_\ell(kr) P_\ell(\cos \theta) \quad (6.11a)$$

$$f_k = \sum_{\ell=0}^{\infty} f_\ell(k) P_\ell(\cos \theta) \quad (6.11b)$$

Schrödinger equation for  $R_\ell$ , solution  $\stackrel{r \rightarrow \infty}{\sim} j_\ell n_\ell$

$$\begin{aligned} R_\ell(kr) &\stackrel{r \rightarrow \infty}{\sim} \frac{1}{kr} \left( B_\ell \sin \left( kr - \frac{\ell\pi}{2} \right) + C_\ell \cos \left( kr - \frac{\ell\pi}{2} \right) \right) \\ &= \frac{1}{kr} A_\ell \sin \left( kr - \frac{\ell\pi}{2} + \underbrace{\delta_\ell(k)}_{\text{phase shift}} \right) \end{aligned} \quad (6.11c)$$

for  $V = 0$  we have  $C_\ell = 0$ , i.e.  $\rho_\ell = 0$

next: find relation between phase shifts  $\delta_\ell(k)$  and scattering amplitude  $f(\theta)$   
 $(\rightarrow \frac{d\sigma}{d\Omega})$

Aside: free particle eigenfunction in spherical coordinates

$$\psi_{j\ell m_\ell}(r, \phi, \theta) = C_{J\ell}(kr) Y_\ell^{m_\ell}(\theta, \phi), \quad E = \frac{\hbar^2 k^2}{2m} \quad (6.12a)$$

Form a basis, expand

$$e^{i\mathbf{k} \cdot \mathbf{r}} = e^{ikr \cos \theta} \quad (6.12b)$$

in this basis

$$e^{i\mathbf{k} \cdot \mathbf{r}} = \sum_{\ell=0}^{\infty} \sum_{m_\ell}^{\ell} C_{\ell m_\ell} j_\ell(kr) Y_\ell^{m_\ell}(\theta, \phi) \quad (6.12c)$$

having no  $\phi$  dependence

$$\rightsquigarrow e^{i\mathbf{k} \cdot \mathbf{r}} = \sum_{\ell=0}^{\infty} a_\ell j_\ell(kr) P_\ell(\cos \theta) \quad (6.12d)$$

fix coefficient  $a_\ell$  (use orthogonality)

$$e^{i\mathbf{k}\mathbf{r}} = \sum_{\ell=0}^{\infty} (2\ell+1) i^\ell j_\ell(kr) P_\ell(\cos\theta) \quad (6.12e)$$

Put everything into (\*) for  $r \rightarrow \infty$

$$\frac{1}{kr} A_\ell \sin\left(kr - \frac{\pi\ell}{2} + \delta_\ell\right) = (2\ell+1) i^\ell \frac{1}{kr} \sin\left(kr - \frac{\pi\ell}{2}\right) + \frac{f_\ell}{r} e^{i\ell\pi/2} \quad (6.12f)$$

$$\begin{aligned} \frac{A_\ell}{2i} e^{ikr} e^{-\frac{i\pi\ell}{2}} e^{i\delta_\ell} - \frac{A_\ell}{2i} e^{-ikr} e^{\frac{i\pi\ell}{2}} e^{-i\delta_\ell} &= (2\ell+1) i^\ell \frac{1}{2i} e^{ikr} e^{-\frac{i\ell\pi}{2}} \\ &\quad - (2\ell+1) i^\ell \frac{1}{2i} e^{-ikr} e^{\frac{i\ell\pi}{2}} + k f_\ell e^{ikr} \end{aligned} \quad (6.12g)$$

$$A_\ell = (2\ell+1) i^\ell e^{i\delta_\ell} \quad (6.12h)$$

$$\begin{aligned} f_\ell &= \frac{2\ell+1}{2ik} (e^{2i\delta_\ell} - 1) \\ &= \frac{2\ell+1}{k} e^{i\delta_\ell} \sin(\delta_\ell) \end{aligned} \quad (6.12i)$$

having the full information of  $f(\theta)$ , thus  $\frac{d\sigma}{d\Omega}$

$$\begin{aligned} f(\theta) &= \sum_{\ell=0}^{\infty} \frac{2\ell+1}{k} e^{i\delta_\ell} \sin(\delta_\ell) P_\ell(\cos\theta) \\ &= \sum_{\ell=0}^{\infty} f_\ell P_\ell(\cos\theta) \end{aligned} \quad (6.12j)$$

Total cross section

$$\begin{aligned} \sigma_{\text{tot}} &= \int d\Omega \frac{d\sigma}{d\Omega} \\ &= \int d\Omega |f(\theta)|^2 \\ &= 2\pi \int_{-1}^1 d\cos\theta \sum_{\ell=0}^{\infty} \sum_{\ell'=0}^{\infty} f_\ell f_{\ell'}^* P_\ell(\cos\theta) P_{\ell'}(\cos\theta) \end{aligned} \quad (6.13a)$$

use

$$\int d\cos\theta P_\ell(\cos\theta) P_{\ell'}(\cos\theta) = \frac{2}{2\ell+1} \delta_{\ell\ell'} \quad (6.13b)$$

$$\begin{aligned}
\Rightarrow \sigma_{\text{tot}} &= \sum_{\ell=0}^{\infty} 4\pi \frac{2\ell+1}{k^2} \sin^2(\delta_{\ell}) \\
&\equiv \sum_{\ell=0}^{\infty} \sigma_{\ell}
\end{aligned} \tag{6.13c}$$

### 6.2.1 The optical theorem

$$\begin{aligned}
\text{im}(f(\theta=0)) &= \Im \left( \sum_{\ell=0}^{\infty} f_{\ell} \right) \\
&= \text{im} \left( \sum_{\ell=0}^{\infty} \frac{2\ell+1}{k} e^{i\delta_{\ell}} \sin(\delta_{\ell}) \right) \\
&= \sum_{\ell=0}^{\infty} \frac{2\ell+1}{k} \sin^2(\delta_{\ell})
\end{aligned} \tag{6.14a}$$

$$\begin{aligned}
\Rightarrow \sigma_{\text{tot}} &= \frac{4\pi}{k} \text{im}(f(\theta=0)) \\
&= \sum_{\ell=0}^{\infty} \sigma_{\ell}
\end{aligned} \tag{6.14b}$$

often an “easy” way to compute the total cross section by computing  $\text{im}$  of forward scattering amplitude.

Partial-wave useful if not too many  $\sigma_{\ell}$  contribute.

semi-classical: potential of range  $A$ ,  $V(r) = 0$  for  $r > 0$

classical: no scattering if  $b > a$

$$\begin{aligned}
L &\simeq \ell \cdot \hbar \\
&= b \cdot P \\
&= b \cdot \hbar \cdot k
\end{aligned} \tag{6.15}$$

no scattering for

$$\begin{aligned}
b &= \frac{\ell}{k} \\
&> a
\end{aligned} \tag{6.16}$$

### 6.3 Coulomb scattering

So far we have assumed  $\mathbf{r}V(\mathbf{r}) \rightarrow 0$ ,  $|\mathbf{r}| \rightarrow \infty$ , but this is not the case for Coulomb scattering.

However, exact solution is known (recall Hydrogen atom)

$$\left( -\frac{\hbar^2}{2m} \nabla^2 - \frac{Z_1 Z_2 e^2}{r} \right) \psi(\mathbf{r}) = E \psi(\mathbf{r}) \quad (6.17)$$

- hydrogen  $E < 0$  (bound states),

$$\lim_{r \rightarrow \infty} |\psi(\mathbf{r})|^2 = 0 \quad (6.18)$$

- scattering  $E > 0$  with different

$$\nabla^2 = \frac{4}{\xi + \eta} (\partial_\xi \xi \partial_\xi + \partial_\eta \eta \partial_\eta) + \underbrace{\frac{1}{\xi \eta} \frac{\partial^2}{\partial \varphi^2}}_{\spadesuit} \quad (6.19)$$

♠: do not contribute  $\rightarrow$  Confluent hypogeometric equation  $\rightarrow$

2 linearly independent solutions: find the linear combination which is regular at the origin. Result for  $r \rightarrow \infty$

$$\gamma = \frac{m Z_1 Z_2 e^2}{\hbar^2 k} \quad (6.20a)$$

$$\begin{aligned} \psi_\ell(r) \xrightarrow{r \rightarrow \infty} & \underbrace{e^{i(kz + \gamma \log 2k(r-z))}}_{\text{distorted plane wave}} \\ & - \frac{\gamma}{2k \sin^2 \frac{\vartheta}{2}} \frac{\Gamma(1+\gamma)}{\Gamma(1-i\gamma)} e^{-i\gamma \log(\sin \frac{2\vartheta}{2})} \underbrace{\frac{e^{i(kr - \gamma \log 2kr)}}{2}}_{\text{distorted outgoing plane wave}} \end{aligned} \quad (6.20b)$$

This looks the same as

$$\psi(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} + f(\vartheta) \frac{e^{ikr}}{r} \quad (6.21)$$

but gets additional phases, because *not*  $rV(r) \xrightarrow{r \rightarrow \infty} \text{Cross-section}$

$$\begin{aligned} \frac{d\sigma}{d\Omega} &= |f_c(\vartheta)| \\ &= \frac{\gamma}{4k^2 \sin^4 \frac{\vartheta}{2}} \\ &= \left( \frac{Z_1 Z_2 e^2}{4E} \right)^2 \frac{1}{\sin^4 \frac{\vartheta}{2}} \end{aligned} \quad (6.22)$$

→ → Rutherford scattering formula (classical!)

→ Phases drop out in this case

Additional phases can have an effect in scattering of 2 identical particles. Here  $2 \rightarrow 2$ ,  $\xrightarrow{A} \xleftarrow{B}$ . Go to the center of mass frame (sec 2.1)

$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V(\mathbf{r}) \right] \psi(\mathbf{r}) = E\psi(\mathbf{r}) \quad (6.23)$$

•

$$\begin{aligned} \mu &= \frac{m_1 m_2}{m_1 + m_2} \\ &= \frac{m}{2}, \quad \text{reduced mass} \end{aligned} \quad (6.24)$$

$$V \sim \text{interaction potential} \quad (6.25)$$

$$\begin{aligned} \mathbf{r} &= \mathbf{r}_A - \mathbf{r}_B \\ &\sim \text{relative coordinate} \end{aligned} \quad (6.26)$$

In QM the particles are undistinguishable when identical. Two pictures with a particle  $A$  and  $B$  going to each other.

Moreover: Total wave function (spin+space+...) must be either symmetric or antisymmetric under exchange  $A \leftrightarrow B$ ,  $\mathbf{r} \leftrightarrow -\mathbf{r}$ . Spatial wave function

$$\psi_{\text{sym/antysym}} = (e^{i\mathbf{k}\mathbf{r}} \pm e^{-i\mathbf{k}\mathbf{r}}) + (f(\vartheta) \pm f(\pi - \vartheta)) \frac{e^{ikr}}{r} \quad (6.27)$$



**Example:** Coulomb scattering of two protons (spin  $\frac{1}{2}$ , fermions  $\rightarrow$  t.w.f. antisymmetric). Let us look at unpolarized protons and assume that the potential does not depend on spin. Spin wave function:

**prob**  $\frac{1}{4}$  singlet state (anti sym.)

**prob.**  $\frac{3}{4}$  triplet states (sym.)

Spatial wave function

**prob**  $\frac{1}{4}$  symm.

$$\rightarrow \sigma_{\text{sing}} = |f_{\ell}(\vartheta) + f_c(\pi - \vartheta)|^2 \quad (6.28)$$

**prob**  $\frac{3}{4}$  antisym.

$$\rightarrow \sigma_{\text{trp}} = |f_c(\vartheta) - f_c(\pi - \vartheta)|^2 \quad (6.29)$$

Unpolarized cross-section:

$$\begin{aligned} \sigma &= \frac{1}{4} |f_c(\vartheta) + f(\pi - \vartheta)|^2 + \frac{3}{4} |f_c(\vartheta) - f_c(\pi - \vartheta)|^2 \\ &= |f_c(\vartheta)|^2 + |f_c(\pi - \vartheta)|^2 \\ &\quad - \frac{1}{2} (f_c(\vartheta)f_c^*(\pi - \vartheta) + f_c^*(\vartheta)f_c(\pi - \vartheta)) \stackrel{Z_1=Z_2=1}{=} \left( \frac{e}{4E} \right)^2 \left( \underbrace{\frac{1}{\sin \frac{4\theta}{2}} + \frac{1}{\cos^4 \frac{\vartheta}{2}}}_{\text{classical}} - \frac{\cos(\gamma \log(\tan^2 \frac{\vartheta}{2}))}{\sin^2 \frac{\vartheta}{2} \cos^2 \frac{\vartheta}{2}} \right) \end{aligned} \quad (6.30)$$

*Mott scattering formula*

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## 6.4 Lippman- Schwinger equation & Green's function

Again:

$$E_k = \frac{\hbar^2 k^2}{2m} \quad (6.31a)$$

$$\left( \frac{\hbar^2}{2m} \nabla^2 + E_k \right) \psi_k(\mathbf{r}) = V(\mathbf{r})\psi(\mathbf{r}) \quad (6.31b)$$

If we know the *Green's function* defined by

$$\left( \frac{\hbar^2}{2m} \nabla^2 + E_k \right) g_k(\mathbf{r}) = \delta(\mathbf{r}) \quad (6.32)$$

then we can write a formal solution for  $\psi_k(\mathbf{r})$

$$\psi_k(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} + \int d^3\mathbf{r}' g_k(\mathbf{r} - \mathbf{r}') V(\mathbf{r}') \psi_k(\mathbf{r}') \quad (6.33)$$

with  $e^{i\mathbf{k}\mathbf{r}}$  solution to homogeneous equation ( $V = 0$ ) Check it at home.

**Idea:** As in section 4.4 we can turn this formal solution into a series for  $\psi_k$  (in powers of  $V$ )

**First:** Compute  $g_k$ : Go to Fourier space

$$g_k(\mathbf{r}) = \int \frac{d^3\mathbf{q}}{(2\pi)^3} e^{-i\mathbf{q}\mathbf{r}} \tilde{g}_k(\mathbf{q}) \quad (6.34)$$

We get

$$\begin{aligned} \left( \frac{\hbar^2}{2m} \nabla^2 + E_k \right) g_k(\mathbf{r}) &= \int \frac{d^3\mathbf{q}}{(2\pi)^3} \left( -\frac{\hbar^2}{2m} q^2 + \frac{\hbar^2}{2m} k^2 \right) e^{-i\mathbf{q}\mathbf{r}} \tilde{g}_k(\mathbf{q}) \\ &= \delta(\mathbf{r}) \\ &= \int \frac{d^3\mathbf{q}}{(2\pi)^3} e^{-i\mathbf{q}\mathbf{r}} \end{aligned} \quad (6.35a)$$

$$\begin{aligned} \Rightarrow \tilde{g}_k(\mathbf{q}) &= \frac{2m}{\hbar^2} \frac{1}{k^2 - q^2} \\ &= \left( E_k - \frac{\hbar^2 q^2}{2m} \right)^{-1} \end{aligned} \quad (6.35b)$$

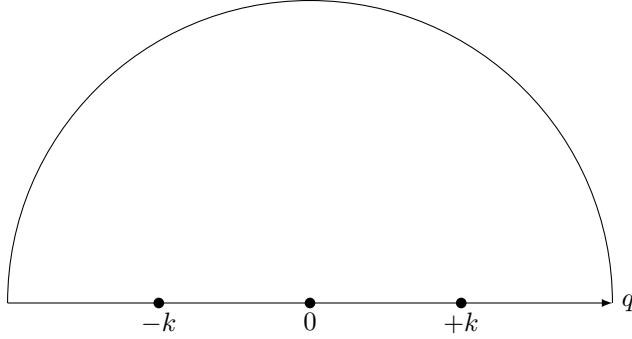


Figure 6.1:

$$\begin{aligned}
 g_k(r) &= \frac{1}{(2\pi)^3} \int_0^\infty dq \int_0^{2\pi} d\varphi \int_{-1}^1 d\cos\vartheta \\
 &\quad \cdot q^2 \frac{2m}{\hbar^2} \frac{1}{k^2 - q^2} e^{-iqr \cos\vartheta} \\
 &= \frac{2m}{\hbar^2} \frac{1}{(2\pi)^2} \\
 &= \frac{2m}{\hbar^2} \frac{1}{(2\pi)^2} \int_0^\infty dq \frac{q^2}{iqr} \frac{1}{k^2 - q^2} \left( e^{iqr} - \underbrace{e^{-iqr}}_{\spadesuit} \right) \\
 &= \frac{m}{2\pi^2 \hbar^2} \frac{1}{ir} \int_{-\infty}^\infty dq \frac{q}{k^2 - q^2} e^{iqr}
 \end{aligned} \tag{6.35c}$$

$\spadesuit$  :

$$\int_0^\infty dq \xrightarrow{q \rightarrow -1} - \int_0^{-\infty} = \int_{-\infty}^0 dq \tag{6.36}$$

Integral is not well defined!  $\rightarrow$  We need a prescription for the poles.

We use contour integral, close it in upper half plane

$$\begin{aligned}
 q &= q_{R\ell} + iq_{\ell m} \\
 &\rightarrow e^{iqr} \\
 &= e^{iq_{R\ell}r} e^{-q_{\ell m}r}
 \end{aligned} \tag{6.37}$$

We deform the contour at  $q = \pm k \rightarrow$  different options, giving *different asympt behaviours* for  $g_k(\mathbf{r})$ ! For negative point outside and positive point inside

curve:

$$\begin{aligned} g_k^+(r) &= 2\pi i \frac{m}{2\pi^2 \hbar^2} \frac{1}{ir} \text{Res}_{q=k} \frac{-q}{(q-k)(q+k)} e^{iqr} \\ &= -\frac{m}{2\pi \hbar^2} \frac{e^{ikr}}{r} \end{aligned} \quad (6.38a)$$

For negative point inside and positive point outside curve:

$$g_k^-(r) = -\frac{m}{2\pi \hbar^2} \frac{e^{-ikr}}{r} \quad (6.38b)$$

for both points inside:

$$g_k^+(r) + g_k^-(r) \quad (6.38c)$$

Both points outside

$$0 \quad (6.38d)$$

$g_k^+ \sim$  outgoing spherical wave

$g_k^- \sim$  incoming spherical wave

What we need is  $g_k^+$ !

$$\psi_k(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} - \int d^3\mathbf{r}' \frac{m}{2\pi \hbar^2} \frac{e^{ik|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} V(\mathbf{r}') \psi(\mathbf{r}') \quad (6.39)$$

Check asymptotic behaviour ( $\mathbf{r}'V(\mathbf{r}') \rightarrow 0$ )

$$\begin{aligned} |\mathbf{r}-\mathbf{r}'| &\xrightarrow{|\mathbf{r}|\rightarrow\infty} r \left( 1 - \frac{r'}{r} \cos\vartheta + \mathcal{O}\left(\left(\frac{r'}{r}\right)\right) \right) \\ &= r - \frac{\mathbf{r}\mathbf{r}'}{r'} \\ &= r - \hat{\mathbf{e}}_r \cdot \mathbf{r}' \end{aligned} \quad (6.40a)$$

We get:

$$\begin{aligned} \psi_k(\mathbf{r}) &= e^{i\mathbf{k}\mathbf{r}} - \frac{m}{2\pi \hbar^2} \int d^3\mathbf{r}' \frac{e^{ikr} e^{-ik\hat{\mathbf{e}}_r \cdot \mathbf{r}'}}{r} \times V(\mathbf{r}') \psi_k(\mathbf{r}') \\ &= e^{ikr} + f(\mathbf{r}) \frac{e^{ikr}}{r} \end{aligned} \quad (6.40b)$$

where

$$f(\mathbf{r}) = \frac{-m}{2\pi \hbar} \int d^3\mathbf{r}' \underbrace{e^{-ik\hat{\mathbf{e}}_r \cdot \mathbf{r}'}}_{\clubsuit} V(\mathbf{r}') \psi_k(\mathbf{r}') \quad (6.40c)$$

♠:  $\vartheta = \angle(\mathbf{k}, \mathbf{r})$  dependence. If  $V(\mathbf{r}') = V(r) \rightsquigarrow f(\vartheta, \varphi)$ .

This is still a formal solution because  $\psi_k$  is on the right hand side.  $\rightsquigarrow$  Proceed as in perturbation theory and get another expansion.

## 6.5 The Born approximation

Formal solution

$$\psi_k(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} + \int d^3\mathbf{r}' g_k^+(\mathbf{r} - \mathbf{r}') V(\mathbf{r}') \psi_k(\mathbf{r}') \quad (6.41a)$$

$$g_k^+(\mathbf{r} - \mathbf{r}') = -\frac{m}{2\pi\hbar^2} \frac{e^{ik|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|} \quad (6.41b)$$

$$f = -\frac{m}{2\pi\hbar^2} \int d^3\mathbf{r}' e^{-i\mathbf{k}\cdot\mathbf{r}'} V(\mathbf{r}') \psi_k(\mathbf{r}') \quad (6.41c)$$

Solve this “pertubatively” by iteration.

$$\psi_k(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} + \sum_{n=1}^{\infty} \int d^3\mathbf{r}' K(\mathbf{r}, \mathbf{r}') e^{i\mathbf{k}\cdot\mathbf{r}'} \quad (6.42a)$$

$$K_1(\mathbf{r}, \mathbf{r}') = g_k^+(\mathbf{r} - \mathbf{r}') V(\mathbf{r}'), \quad \sim V^1 \quad (6.42b)$$

$$\begin{aligned} K_n(\mathbf{r}, \mathbf{r}') &= \int d^3\mathbf{r}'' K_1(\mathbf{r}, \mathbf{r}'') K_{n-1}(\mathbf{r}'', \mathbf{r}'), \quad \sim V^n \\ &= \int \left( \prod_{i=1}^n d^3\mathbf{r}_i \right) g_k^+(\mathbf{r} - \mathbf{r}) V(\mathbf{r}_n) g_k^+(\mathbf{r}_n - \mathbf{r}_{n-1}) \dots g_k^+(\mathbf{r}_2 \\ &\quad - \mathbf{r}_1) V(\mathbf{r}_1) \end{aligned} \quad (6.42c)$$

Retaining only the 1st term we have (1st) Born approx.

$$\psi_k^{(1)}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} - \underbrace{\frac{m}{2\pi\hbar^2} \int d^3\mathbf{r}' V(\mathbf{r}') e^{i\mathbf{k}(\mathbf{e}_z - \mathbf{e}_r)\cdot\mathbf{r}'} \frac{e^{ikr}}{r}}_{f^{(1)}(\theta, \phi)} \quad (6.43)$$

assuming  $k$  is along the  $z$ -axis.  $f^{(1)}$  is the Fourier transform of potential w.r.t.

$\mathbf{q}$

$$\begin{aligned} \mathbf{q} &\equiv k(\mathbf{e}_z - \mathbf{e}_r) \\ &= \mathbf{k} - \mathbf{k}', \quad \text{momentum transfer} \end{aligned} \quad (6.44a)$$

for central potential  $V(r')$  (not  $V(\mathbf{r}')$ ).

$$f^{(1)}(\theta, \phi) = -\frac{m}{2\pi\hbar^2} \int d\phi \int_{-1}^1 d\cos\vartheta \int dr' V(r') e^{iqr' \cos\vartheta} \quad (6.45a)$$

$$= -\frac{m}{\hbar^2} \int r'^2 dr' \frac{2\sin(qr')}{qr'} V(r')$$

$$f^{(1)}(\theta) = -\frac{2m}{\hbar^2 q} \int dr' r' V(r') \sin(qr'), \quad q = 2k \sin \frac{\theta}{2} \quad (6.45b)$$

Note  $f^{(1)}$  is real! Cp optical theorem!?

**Example:** Yukawa potential:

$$V(r) = V_0 \frac{e^{-\mu r}}{r}, \quad \mu \sim \text{range of interaction} \quad (6.46a)$$

$$f^{(1)} = -\frac{2m}{\hbar^2 q} V_0 \underbrace{\int_0^\infty dr' e^{-\mu r'} \sin(qr')}_{q/(\mu^2 + q^2)} \quad (6.46b)$$

$$= -\frac{2m}{\hbar^2} \frac{V_0}{\mu^2 + q^2}$$

$$\begin{aligned} \left(\frac{d\sigma}{d\Omega}\right)^{(1)} &= |f^{(1)}(\theta)|^2 \\ &= \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{1}{(\mu^2 + 2k^2(1 - \cos\theta))^2} \end{aligned} \quad (6.46c)$$

total cross section

$$\begin{aligned} \sigma_{\text{tot}}^{(1)} &= 2\pi \int_{-1}^1 d\cos\theta \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{1}{(\mu^2 + 2k^2(1 - \cos\theta))^2} \\ &= 2\pi \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{2}{\mu^4 + 4k^2\mu^2} \end{aligned} \quad (6.46d)$$

Take limit  $\mu \rightarrow 0$ : “infinite” range of interaction

$$V(\mathbf{r}) = \frac{V_0}{r}, \quad (\text{Coulomb}) \quad (6.47a)$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Coulomb}}^{(1)} = \left(\frac{2mV_0}{\hbar^2}\right)^2 \frac{1}{(2k^2(1 - \cos\theta))^2} \quad (6.47b)$$

but total cross section diverges!

Note  $\lim_{r \rightarrow \infty} rV(r) \neq 0$  for Coulomb!





# GENERAL SCATTERING THEORY

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General scattering more complicated than in section 6 e.g. production of new particles. Want to use general representation, so  $|\psi\rangle$  is a general state in Hilbert space (not nec. wave function)

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## 7.1 Dynamics of scattering

Start from time dependent Schrödinger

$$i\hbar\partial_t |\psi, t\rangle = H |\psi, t\rangle \quad (7.1a)$$

$$H = H_0 + V \quad (7.1b)$$

$$\dots = \dots \quad (7.1c)$$

$$\begin{aligned} (i\hbar\partial_t - H_0) |\psi, t\rangle &= V |\psi, t\rangle \\ &= |\chi, t\rangle \end{aligned} \quad (7.1d)$$

**Definition 7.1.1:** Green operation  $G_0(t, t')$  through

$$(i\hbar\partial_t - H_0) G_0(t, t') = \delta(t - t') \cdot \mathbf{1}, \quad (\leftarrow \text{operators}) \quad (7.2)$$

inhomogeneous differential equation in  $t$

$$G_0^+(t, t') = -\frac{i}{\hbar} \theta(t - t') e^{-\frac{i}{\hbar} H_0(t - t')} \quad (7.3a)$$

$$G_0^-(t, t') = +\frac{i}{\hbar} \theta(t' - t) e^{-\frac{i}{\hbar} H_0(t' - t)} \quad (7.3b)$$

**Proof:**

$$\begin{aligned}
 i\hbar\partial_t G_0^+(t-t') &= i\hbar\left(-\frac{i}{\hbar}\right)\partial_t\theta(t-t')e^{-\frac{i}{\hbar}H_0(t-t')} \\
 &= \delta(t-t')e^{-\frac{i}{\hbar}H_0(t-t')} \\
 &\quad + \theta(t-t')\left(-\frac{i}{\hbar}H_0\right)e^{-\frac{i}{\hbar}H_0(t-t')} \\
 &= \delta(t-t') + H_0G_0^+(t-t')
 \end{aligned} \tag{7.4a}$$

Note: The superscripts  $\pm$  are related to those in section 6

$$G_0^\pm(t, t') = G_0^{pm}(t - t') \tag{7.5a}$$

Write solution to Schrödinger

$$|\psi^\pm, t\rangle = |\psi^0, t\rangle + \int dt' G_0^\pm(t - t') V |\psi^\pm, t'\rangle \tag{7.5b}$$

with  $|\psi^0, t\rangle$  solution to homogeneous problem

$$(i\hbar\partial_t - H_0)|\psi_0, t\rangle = 0 \tag{7.5c}$$

The “physical” solution is given by  $|\psi^+, t\rangle$ , since  $G^+(t - t')$  moves “forward” in time. (retardation)

To make connection with section 6:  $t \rightarrow E$

$$\begin{aligned}
 G_0^+(E) &= \int_{-\infty}^{\infty} dt e^{\frac{i}{\hbar}Et} G_0^+(t) \\
 &= -\frac{i}{\hbar} \int_0^{\infty} dt e^{\frac{i}{\hbar}Et} e^{-\frac{i}{\hbar}H_0t}
 \end{aligned} \tag{7.6a}$$

with

$$G_0^+(t) = G_0^+(t, t' = 0) \tag{7.6b}$$

for  $t \rightarrow \infty$  need  $E \rightarrow E + i0^+, 0^+ > 0$

$$\begin{aligned}
 G_0^\pm &= \frac{1}{E - H_0 \pm i0^+} \\
 &\equiv (E - H_0 \pm i0^+)^{-1}
 \end{aligned} \tag{7.6c}$$

$|\psi^\pm, t\rangle$  evolves with  $H$ , but was equal to free state  $|\psi^0, t\rangle$  @  $t \rightarrow -\infty$

Let  $\alpha$  be a complete set of quantum number of  $H_0$  (including  $E_\alpha$ )

$$\rightarrow |\psi_\alpha^0, t\rangle \leftrightarrow |\psi_\alpha^\pm, t\rangle \quad (7.7a)$$

make Fourier  $t \rightarrow E$  of Eq.  $\pm$

$$\underbrace{\int dt e^{\frac{i}{\hbar}Et} |\psi_\alpha^\pm, t\rangle}_{|\psi_\alpha^\pm(E)\rangle \rightarrow |\psi_\alpha^\pm\rangle} = \underbrace{\int dt e^{\frac{i}{\hbar}Et} |\psi_\alpha^0, t\rangle}_{|\psi_\alpha^0(E)\rangle \sim |\psi_\alpha^0\rangle} + \underbrace{\int dt e^{\frac{i}{\hbar}Et} \int_{t \rightarrow t+t'} dt' G_0^\pm(t-t') V |\psi_\alpha^\pm, t\rangle}_{\quad} \quad (7.7b)$$

$$\int dt e^{\frac{i}{\hbar}Et} G_0^+(t) = G_0^+(E) \quad (7.7c)$$

$$V |\psi_\alpha^\pm(E)\rangle = \int dt' e^{\frac{i}{\hbar}Et'} V (\psi_\alpha^\pm(E)) \quad (7.7d)$$

$$|\alpha^\pm\rangle = |\psi_\alpha^0\rangle + G_0^\pm V |\psi_\alpha^\pm\rangle, \quad (\text{Lippmann-Schwinger}) \quad (7.8a)$$

Solution:

$$\begin{aligned} |\psi_\alpha^\pm\rangle &= (1 - G_0^\pm V)^{-1} |\psi_\alpha^0\rangle \\ &= \frac{1}{(G_0^\pm)^{-1} - V} (G_0^{pm})^{-1} |\psi_\alpha^0\rangle \\ &= \frac{1}{E_\alpha - H_0 \pm i0^+ - V} (E_\alpha - H_0 \pm i0^+ - V + V) |\psi_\alpha^0\rangle \quad (7.9a) \\ &= \frac{1}{\underbrace{E_\alpha - H \pm i0^+}_{G^\pm E_\alpha}} (E_\alpha - H + V \pm i0^+) |\psi_\alpha^0\rangle \\ &= (1 + G^\pm V) |\psi_\alpha^0\rangle \end{aligned}$$

$$\psi_\alpha^\pm = (1 + G^\pm V) |\psi_\alpha^0\rangle \quad (7.9b)$$

can also be obtained from (exercise 2)

$$|\psi^\pm, t\rangle = \lim_{t' \rightarrow \mp\infty} i\hbar G^\pm(t-t') |\psi_0, t'\rangle \quad (7.9c)$$

## 7.2 Møller operators & scattering operator

$\alpha$ : Complete set of quantum numbers

$$H_0 |\psi_\alpha^0\rangle = E_\alpha |\psi_\alpha^0\rangle \quad (7.10a)$$

$$H |\psi_\alpha^\pm\rangle = E_\alpha |\psi_\alpha^\pm\rangle \quad (7.10b)$$

Consider again

$$|\psi_\alpha^\pm\rangle = |\psi_\alpha^0\rangle + (E_\alpha - H_0 + \pm i0^+)^{-1} V |\psi_\alpha^\pm\rangle \quad (7.10c)$$

$$= |\psi_\alpha^0\rangle + \int d\beta \frac{T_{\beta\alpha} |\psi_\beta^0\rangle}{E_\alpha - E_\beta \pm i0^+}$$

$$1 = \int d\beta |\psi_\beta^0\rangle \langle \psi_\beta^0| \quad (7.10d)$$

$$T_{\beta\alpha} \equiv \langle \psi_\beta^0 | V | \psi_\alpha^\pm \rangle \quad (7.10e)$$

Transfer matrix

This state satisfies:

$$\int d\alpha e^{-\frac{i}{\hbar} E_\alpha \tau} f(\alpha) |\psi_\alpha^\pm\rangle \xrightarrow{\tau \rightarrow \mp\infty} \int d\alpha e^{-\frac{i}{\hbar} E_\alpha \tau} f(\alpha) |\psi_\alpha^0\rangle \quad (7.11a)$$

or

$$e^{-\frac{i}{\hbar} H \tau} \int d\alpha f(\alpha) |\psi_\alpha^\pm\rangle \xrightarrow{e^{-\frac{i}{\hbar} H_0 \tau}} \int d\alpha f(\alpha) |\psi_\alpha^0\rangle \quad (7.11b)$$

$$\begin{aligned} \Rightarrow |\psi_\alpha^\pm\rangle &= \lim_{\tau \mp\infty} e^{+\frac{i}{\hbar} H \tau} e^{-\frac{i}{\hbar} H_0 \tau} |\psi_\alpha^0\rangle \\ &= \Omega^\pm |\psi_\alpha^0\rangle \end{aligned} \quad (7.11c)$$

with  $\Omega^\pm$  Møller operators

*Typical* scattering experiment:

At  $t \rightarrow -\infty$  prepare state with quantum number  $\alpha$  of  $H_0$

Q: What is amplitude for this state to end up in (another) eigenstate of  $H_0$  with quantum number  $\beta$ .

A:

$$\begin{aligned}\langle \psi_{\beta}^{-} | \psi_{\alpha}^{+} \rangle &= \langle \psi_{\beta}^0 | (\Omega^{-})^{\dagger} \Omega^{+} | \psi_{\alpha}^0 \rangle \\ &\equiv \langle \psi_{\beta}^0 | S | \psi_{\alpha}^0 \rangle\end{aligned}\quad (7.12)$$

$$\begin{aligned}S &= \lim_{\substack{\tau \rightarrow \infty \\ \tau_0 \rightarrow -\infty}} e^{\frac{i}{\hbar} H_0 \tau} e^{\frac{i}{\hbar} H (\tau_0 - \tau)} e^{-\frac{i}{\hbar} H_0 \tau_0} \\ &= \lim_{\substack{\tau \rightarrow \infty \\ \tau_0 \rightarrow -\infty}} U(\tau, \tau_0) \\ &= U(\infty, -\infty)\end{aligned}\quad (7.13)$$

ex 1: sheet 6:

$$\begin{aligned}i\hbar \frac{d}{d\tau} U(\tau, \tau_0) &= e^{\frac{i}{\hbar} H_0 \tau} (H - H_0) e^{\frac{i}{\hbar} H (\tau_0 - \tau)} e^{-\frac{i}{\hbar} H_0 \tau_0} \\ &= \underbrace{e^{\frac{i}{\hbar} H_0 \tau} V e^{-\frac{i}{\hbar} H_0 \tau}}_{V(\tau)} U(\tau, \tau_0) \\ &= V(\tau) U(\tau, \tau_0)\end{aligned}\quad (7.14a)$$

$V(\tau)$  evolution operator in IA picture section 4.4. Solution

$$U(\tau, \tau_0) = T \left( e^{-\frac{i}{\hbar} \int_{\tau_0}^{\tau} V(\tau) d\tau} \right) \quad (7.15a)$$

and

$$\begin{aligned}S &= U(\infty, -\infty) \\ &= \mathbf{1} - \frac{i}{\hbar} \int_{-\infty}^{\infty} dt_1 V(t_1) + \left( -\frac{i}{\hbar} \right)^2 \int_{-\infty}^{\infty} dt_1 \int_{-\infty}^{t_1} dt_2 V(t_1) V(t_2) + \dots\end{aligned}\quad (7.15b)$$

Note:

$$SS^{\dagger} = \mathbf{1}, \quad S \text{ operator is unitary} \quad (7.16)$$

$S$ -matrix

$$\begin{aligned}S_{\beta\alpha} &\equiv \langle \psi_{\beta}^0 | S | \psi_{\alpha}^0 \rangle \\ &= \langle \psi_{\beta}^{-} | \psi_{\alpha}^{+} \rangle\end{aligned}\quad (7.17)$$

**option 1** insert  $S$  operator

**1st term:**

$$\langle \psi_\beta^0 | \mathbf{1} | \psi_\alpha^0 \rangle = \delta(\beta - \alpha) \quad (7.18)$$

**2nd term**

$$\begin{aligned} & -\frac{i}{\hbar} \int_{-\infty}^{\infty} dt_1 \langle \psi_\beta^0 | e^{\frac{i}{\hbar} H_0 t_1} V e^{-\frac{i}{\hbar} H_0 t_1} | \psi_\alpha^0 \rangle \\ &= -\frac{i}{\hbar} \int dt e^{-\frac{i}{\hbar} (E_\alpha - E_\beta) t} \langle \psi_\beta^0 | V | \psi_\alpha^0 \rangle \\ &= -2i\pi \delta(E_\alpha - E_\beta) V_{\beta\alpha} \end{aligned} \quad (7.19)$$

**3rd and 4th** → exercise sheet 8

$$\begin{aligned} |\psi_\alpha^\pm\rangle &= |\psi_\alpha^0\rangle + G_0^\pm(E) V |\psi_\alpha^\pm\rangle \\ &= |\psi_\alpha^0\rangle + G^\pm(E) V |\psi_\alpha^0\rangle \end{aligned} \quad (7.20a)$$

$$\begin{aligned} S_{\beta\alpha} &= \langle \psi_\beta^- | \psi_\alpha^+ \rangle \\ &= \langle \psi_\beta^0 | S | \psi_\alpha^0 \rangle \end{aligned} \quad (7.20b)$$

→ exercise 3 sheet 8

or

$$\begin{aligned} |\psi_\alpha^- \rangle - |\psi_\alpha^+ \rangle &= G^- \\ &= (G^-(E_\alpha) - G^+(E_\alpha)) V |\psi_0^\alpha \rangle \end{aligned} \quad (7.21a)$$

$$\langle \psi_\alpha^- | - \langle \psi_\alpha^+ | = \langle \psi_\alpha^0 | V (G^+(E_\alpha) - G^-(E_\alpha)) \quad (7.21b)$$

$$\begin{aligned} S_{\beta\alpha} &= \langle \psi_\alpha^+ | \psi_\alpha^+ \rangle \\ &= \left( \langle \psi_\alpha^+ | + \langle \psi_\beta^0 | V \left( \frac{1}{E_\beta - H + i0^+} - \frac{1}{E_\beta - H - i0^+} \right) \right) | \psi_\alpha^+ \rangle \\ &= \delta(\beta - \alpha) + \underbrace{\left( \frac{1}{E_\beta - E_\alpha + i0^+} - \frac{1}{E_\beta - E_\alpha - i0^+} \right)}_{\spadesuit} \end{aligned} \quad (7.21c)$$

$$\begin{aligned} \spadesuit : \lim_{0^+ \searrow 0} \frac{-2i0^+}{(E_\beta - E_\alpha)^2 + (0^+)^2} \\ = -2i\pi \delta(E_\beta - E_\alpha) \end{aligned} \quad (7.21d)$$

$$\delta(x) = \lim_{\varepsilon \searrow 0} \frac{1}{\pi} \frac{\varepsilon}{x^2 + \varepsilon^2} \quad (7.21e)$$

**Remark 7.2.1:**

$$\begin{aligned}
 \sqrt{H} |\phi\rangle &= \sum \sqrt{E_\alpha} c_\alpha |\psi_\alpha\rangle \\
 &= \sum c_\alpha \sqrt{E_\alpha} |\psi_\alpha\rangle
 \end{aligned} \tag{7.22a}$$

with

$$|\phi\rangle = \sum c_\alpha |\psi_\alpha\rangle, \tag{7.22b}$$

$$H |\psi_\alpha\rangle = E_\alpha |\psi_\alpha\rangle, \tag{7.22c}$$

$$\Rightarrow \sqrt{H} |\psi_\alpha\rangle = \sqrt{E_\alpha} |\psi_\alpha\rangle. \tag{7.22d}$$

$$S_{\beta\alpha} = \delta(\beta - \alpha) - 2i\pi\delta(E_\alpha - E_\beta) \underbrace{\langle \psi_\beta^0 | V | \psi_\alpha^+ \rangle}_{T_{\beta\alpha} \text{ transition matrix}} \tag{7.23a}$$





# QUANTIZATION OF RADIATION FIELD

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## 8.1 Quantization of free radiation field

from section 5.1

$$\begin{aligned} \mathbf{A}(\mathbf{r}, t) = \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda} \left( \alpha(k, \lambda) \boldsymbol{\epsilon}(k, \lambda) e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} \right. \\ \left. + \alpha^*(k, \lambda) \boldsymbol{\epsilon}^*(k, \lambda) e^{-i\mathbf{k} \cdot \mathbf{r} + i\omega_k t} \right), \quad \omega = c|\mathbf{k}| \end{aligned} \quad (8.1a)$$

with  $\lambda \rightarrow 2$  polarizations,  $\boldsymbol{\epsilon}$  polarization vectors with

$$\mathbf{k} \cdot \boldsymbol{\epsilon}(k, \lambda) = 0 \quad (8.1b)$$

consider time dependence of single mode  $(\mathbf{k}, \lambda)$ :

$$q_{k\lambda} = \alpha(k, \lambda) e^{-i\omega_k t} \quad (8.2a)$$

$$\ddot{q}_{k\lambda} = -\omega_k^2 q_{k\lambda} \quad (8.2b)$$

$\rightarrow$  harmonics oscillator

Recall QMI: harmonic oscillator

$$\hat{H} = \frac{\hbar\omega}{2} (\hat{a}^\dagger \hat{a} + \hat{a} \hat{a}^\dagger) \quad (8.3a)$$

states

$$\hat{a} |0\rangle = 0 \quad (8.3b)$$

$$|n\rangle \equiv \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}} |0\rangle \quad (8.3c)$$

The Hilbert space

$$\hat{a}^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle \dots \quad (8.3d)$$

$$\hat{N} = \hat{a}^\dagger \hat{a} \quad (8.3e)$$

with

$$\hat{N} |n\rangle = n |n\rangle, \quad \text{etc.} \quad (8.3f)$$

outlook  $\mathbf{A} \rightarrow \hat{\mathbf{A}}$  (2nd quantization)

To motivate the interpretation of  $\mathbf{A}$  as collection of independent harmonic oscillator compute

$$H = \frac{1}{8\pi} \int d^3\mathbf{r} (\mathbf{E}^2 + \mathbf{B}^2) \quad (8.4a)$$

$$\begin{aligned} \mathbf{E} &= -\frac{1}{c} \dot{\mathbf{A}} \\ &= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \left( \alpha \boldsymbol{\epsilon} \frac{i\omega}{c} e^{-i\omega t + i\mathbf{k} \cdot \mathbf{r}} - \alpha^* \boldsymbol{\epsilon} \frac{i\omega}{c} e^{i\omega t - i\mathbf{k} \cdot \mathbf{r}} \right) \end{aligned} \quad (8.4b)$$

$$\begin{aligned} \int d^3\mathbf{r} \mathbf{E}^2 &= \int d^3\mathbf{r} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda\lambda'} \left( \alpha \boldsymbol{\epsilon} e^{-i\omega t + i\mathbf{k} \cdot \mathbf{r}} - \alpha^* \boldsymbol{\epsilon}^* e^{i\omega t - i\mathbf{k} \cdot \mathbf{r}} \right) \left( \frac{i\omega}{c} \right) \\ &\quad \cdot \left( \alpha' \boldsymbol{\epsilon}' e^{i\omega' t + i\mathbf{k}' \cdot \mathbf{r}} - \alpha'^* \boldsymbol{\epsilon}'^* e^{i\omega' t - i\mathbf{k}' \cdot \mathbf{r}} \right) \left( \frac{i\omega'}{c} \right) \\ &= \int d^3\mathbf{r} \iint \sum_{\lambda\lambda'} \left( \alpha \alpha' \boldsymbol{\epsilon} \times \boldsymbol{\epsilon}'^* \frac{-\omega\omega'}{c^2} e^{-it(\omega-\omega')} e^{i\mathbf{r} \cdot (\mathbf{k}-\mathbf{k}')} \right) \end{aligned} \quad (8.4c)$$

use

$$\int d^3\mathbf{r} e^{i\mathbf{r} \cdot (\mathbf{k}-\mathbf{k}')} = (2\pi)^3 \delta(\mathbf{k}-\mathbf{k}') \quad (8.4d)$$

$$\int \frac{d^3k}{(2\pi)^3} \sum_{\lambda\lambda'} \left( \alpha \alpha' \boldsymbol{\epsilon} \times \boldsymbol{\epsilon}'^* \left( \frac{-\omega^2}{c^2} \right) + \dots \right) \quad (8.4e)$$

$$P = \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \hbar \mathbf{k} a_{k\lambda}^* a_{k,\lambda} \quad (8.5a)$$

2nd quantization: so far  $\mathbf{A}$  classical field,  $a^* = f^{\text{cts}}$

$$A, H, P \rightarrow \hat{A}, \hat{H}, \hat{P} \quad (8.6a)$$

commutation relations

$$\begin{aligned} [\hat{a}_{k\lambda}, \hat{a}_{k'\lambda'}] &= [\hat{a}_{k\lambda}^\dagger, \hat{a}_{k'\lambda'}^\dagger] \\ &= 0 \end{aligned} \quad (8.6b)$$

$$[\hat{a}_{k\lambda}, \hat{a}_{k'\lambda'}^\dagger] = (2\pi)^3 \delta(k - k') \delta_{\lambda\lambda'} \quad (8.6c)$$

$k$  **continuous**  $\rightarrow$  world in a box  $\rightarrow k$  discrete; box  $\rightarrow \infty$ ,  $k \rightarrow \text{const}$

$\lambda$ : discrete

another way to quantise field **A**:

$$\begin{aligned} \mathcal{L} &= \frac{1}{16\pi} F_{\mu\nu} F^{\mu\nu} \\ &= \frac{1}{8\pi} (E^2 - B^2) \end{aligned} \quad (8.7a)$$

define conjugate momentum field.

$$\begin{aligned} \pi &= \frac{\partial f}{\partial \mathbf{A}} \\ &= \dots \\ &= -\frac{1}{4\pi c} \mathbf{E}^2 \end{aligned} \quad (8.7b)$$

$$A, \pi \rightarrow \hat{A}, \hat{\pi}$$

$$\begin{aligned} [A_i(x, t), A_j(y, t)] &= [\pi_i(x, t), \pi_j(y, t)] \\ &= 0 \end{aligned} \quad (8.8a)$$

$$[A_i, \pi_j] \approx i\hbar \delta(x - y) \delta_{ij} \quad (8.8b)$$

$$\begin{aligned} \mathbf{A}(\mathbf{r}, t) &= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} (\alpha(k, \lambda) \mathbf{e}(k, \lambda) e^{i\mathbf{k}\cdot\mathbf{r} - i\omega t} \\ &\quad + \alpha^*(\mathbf{k}, \lambda) \mathbf{e}^*(\mathbf{k}, \lambda) e^{-i\mathbf{k}\cdot\mathbf{r} + i\omega t}) \end{aligned} \quad (8.9a)$$

$$H = \frac{1}{8\pi} \int d^3\mathbf{r} (\mathbf{E}^2 + \mathbf{B}^2) \quad (8.9b)$$

$$\begin{aligned}
\int d^3\mathbf{r} \mathbf{E}^2 &= \int d^3\mathbf{r} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \sum_{\lambda\lambda'} \left( \alpha\alpha' \boldsymbol{\epsilon} \right. \\
&\quad \cdot \boldsymbol{\epsilon} \left( -\frac{\omega\omega'}{c^2} \right) e^{-i(\omega+\omega')t} e^{i(\mathbf{k}+\mathbf{k}')\cdot\mathbf{r}} \\
&\quad + \alpha^* \alpha'^* \boldsymbol{\epsilon}^* \boldsymbol{\epsilon}'^* \left( -\frac{\omega\omega'}{c^2} e^{+i(\omega+\omega')t} e^{-i(\mathbf{k}+\mathbf{k}')\cdot\mathbf{r}} \right) \\
&\quad - \alpha\alpha'^* \boldsymbol{\epsilon}^* \boldsymbol{\epsilon}'^* \left( -\frac{\omega\omega'}{c^2} e^{-i(\omega+\omega')t} e^{-i(\mathbf{k}-\mathbf{k}')\cdot\mathbf{r}} \right) \\
&\quad \left. - \alpha^* \alpha' \boldsymbol{\epsilon}^* \boldsymbol{\epsilon}'^* \left( -\frac{\omega\omega'}{c^2} e^{+i(\omega+\omega')t} e^{+i(\mathbf{k}+\mathbf{k}')\cdot\mathbf{r}} \right) \right) \\
&= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda\lambda'} \left( -\frac{\omega^2}{c^2} \right) \left( \alpha_k \alpha_{-k} \boldsymbol{\epsilon}_k \cdot \boldsymbol{\epsilon}_{-k} e^{-2i\omega t} + \alpha_k^* \alpha_{-k}^* \boldsymbol{\epsilon}_k^* \right. \\
&\quad \cdot \boldsymbol{\epsilon}_{-k}^* e^{2i\omega t} - \alpha_k \alpha_{+k}^* \boldsymbol{\epsilon}_k \cdot \boldsymbol{\epsilon}_k^* - \alpha_k^* \alpha_k \boldsymbol{\epsilon}_k^* \cdot \boldsymbol{\epsilon}_k \\
&\quad \left. + \{ \alpha_k \alpha_{-k}, \alpha_k^* \alpha_{-k}^* \text{ terms} \} \right) \\
&= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \left( \frac{\omega^2}{c^2} \right) (\alpha_k \alpha_k^* + \alpha_k^* \alpha_k) \\
&\quad + \{ \alpha_k \alpha_{-k}, \alpha_k^* \alpha_{-k}^* \text{ terms} \} \Big)
\end{aligned}$$

use

$$\int d^3r e^{i(k \pm k')r} = (2\pi)^3 \delta(k \pm k') \quad (8.9c)$$

$\lambda, k'$  integration and  $\omega_{-k} = \omega_k = \omega$

$$\begin{aligned}
\rightsquigarrow \int d^3v \mathbf{E}^2 &= \frac{1}{(2\pi)^3} \int d^3k \left( -\frac{\omega^2}{c^2} \right) (\alpha_k \alpha_{-k} \boldsymbol{\epsilon}_k \boldsymbol{\epsilon}_{-k} e^{-2i\omega t} \\
&\quad + \alpha_k^* \alpha_{-k}^* \boldsymbol{\epsilon}_k^* \boldsymbol{\epsilon}_{-k}^* e^{2i\omega t} - \alpha_k \alpha_k^* \boldsymbol{\epsilon}_k \boldsymbol{\epsilon}_k^* - \alpha_k^* \alpha_k \boldsymbol{\epsilon}_k^* \boldsymbol{\epsilon}_k)
\end{aligned} \quad (8.9d)$$

$$\int d^3\mathbf{r} \mathbf{B}^2 = \dots \quad (8.9e)$$

$$= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \left( \frac{\omega^2}{c^2} \right) (\alpha_k \alpha_k^* + \alpha_k^* \alpha_k - \{\dots\})$$

$$H = \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda} \frac{\omega^2}{4\pi c^2} (\alpha_k \alpha_k^* + \alpha_k^* \alpha_k) \quad (8.9f)$$

define:

$$\alpha^*(k, \lambda) = \sqrt{\frac{\omega}{2\pi c^2 \hbar}} \alpha^*(k, \lambda) \quad (8.10a)$$

$$\mathbf{A}(\mathbf{r}, t) = \int \frac{d^3k}{(2\pi)^3} \sqrt{\frac{2\pi c^2 \hbar}{\omega}} \sum_{\lambda} (q_{k\lambda} \mathbf{e}_{k\lambda} e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t} + q_{k\lambda}^* \mathbf{e}_{k\lambda}^* e^{-i\mathbf{k} \cdot \mathbf{r} + i\omega t}) \quad (8.10b)$$

$$H = \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \frac{\hbar\omega}{2} (a_{k\lambda}^* a_{k\lambda} + a_{k\lambda} a_{k\lambda}^*) \quad (8.10c)$$

$$\mathbf{P} = \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \hbar \mathbf{k} a_{k\lambda}^* a_{k\lambda} \quad (8.10d)$$

### 8.1.1 2nd quantization

So far  $\mathbf{A}$  classical field,  $a^{(*)}$  functions

$$a(k, \lambda) \rightarrow \hat{a}(k, \lambda), \quad \text{operator} \quad (8.11a)$$

$$a^*(k, \lambda) \rightarrow \hat{a}^\dagger(k, \lambda), \quad \text{operator} \quad (8.11b)$$

as for harmonic oscillator

$$\mathbf{A}, H, \mathbf{P} \rightarrow \hat{\mathbf{A}}, \hat{H}, \hat{\mathbf{P}} \quad (8.11c)$$

commutation relations:

$$[\hat{a}_{k\lambda}, \hat{a}_{k'\lambda'}] = [\hat{a}_{\dagger}^{k\lambda}, \hat{a}_{\dagger}^{k'\lambda'}] = 0 \quad (8.12a)$$

$$[\hat{a}_{k\lambda}, \hat{a}_{k'\lambda'}^\dagger] = (2\pi)^3 \delta(\mathbf{k}, -\mathbf{k}') \delta_{\lambda\lambda'} \quad (8.12b)$$

$\mathbf{k}$ : continuous label  $\rightarrow$  ften system in a box,  $k$  becomes discrete

$\lambda$ : discrete, l.z

another way to quantize field  $\mathbf{A}$

$$\begin{aligned} \mathcal{L} &= \frac{1}{16\pi} F_{\mu\nu} F^{\mu\nu} \\ &= \frac{1}{8\pi} (\mathbf{E}^2 - \mathbf{B}^2) \end{aligned} \quad (8.13a)$$

define conjugate momentum field

$$\begin{aligned}\pi &= \frac{\partial f}{\partial \mathbf{A}} \\ &= \dots \\ &= -\frac{1}{4\pi c} \mathbf{E}^2\end{aligned}\quad (8.13b)$$

$$A, \pi \rightarrow \hat{A}, \hat{\pi}$$

$$\begin{aligned}[A_i(x, t), A_j(y, t)] &= [\pi_i(x, t), \pi_j(y, t)] \\ &= 0\end{aligned}\quad (8.13c)$$

$$[A_i, \pi_j] \approx i\hbar \delta(x - y) \delta_{ij} \quad (8.13d)$$

## 8.2 Fock space

Built up as for single harmonic oscillator with “ladder” operators

$$\hat{a}^\dagger(k, \lambda) = \hat{a}_{k\lambda}^\dagger, \quad \text{creation operator} \quad (8.14a)$$

$$\hat{a}(k, \lambda) = \hat{a}_{k\lambda}, \quad \text{annihilation operator} \quad (8.14b)$$

start with vacuum  $|0\rangle$  definition

$$\hat{a}_{k\lambda} |0\rangle = 0 \quad (8.14c)$$

$$|1(k, \lambda)\rangle = \hat{a}^\dagger |0\rangle \quad (8.14d)$$

state with 1 photon momentum,  $\mathbf{k}$ , polarization  $\lambda$

**General state** Note:

$$\begin{aligned}\hat{a}_{k_j \lambda_j}^\dagger |n_1(k_1, \lambda_1) \dots n_j(k_j, \lambda_j) \dots n_m(k_m, \lambda_m)\rangle \\ = \sqrt{n_j + 1} |n_1 \dots n_{j+1} \dots n_m\rangle\end{aligned}\quad (8.15a)$$

$$\int \frac{d^3 \mathbf{k}}{(2\pi)^3} \sum_\lambda \hat{a}_{k\lambda} |n_1(k_1, \lambda_1)\rangle = \frac{1}{\sqrt{n_1!}} \int \frac{d^3 k}{(2\pi)^3} \sum_\lambda \hat{a}_{k\lambda} (\hat{a}_{k_1 \lambda_1}^\dagger)^{n_1} |0\rangle \quad (8.15b)$$

with

$$\hat{a}_{k\lambda} (\hat{a}_{k_1 \lambda_1}^\dagger)^{n_1} |0\rangle = (\hat{a}_{k_1 \lambda_1}^\dagger)^{n_1-1} n_1 [\hat{a}_{k\lambda}, \hat{a}_{k_1 \lambda_1}^\dagger] + (\hat{a}_{k_1 \lambda_1}^\dagger) \hat{a}_{k\lambda} \quad (8.15c)$$

with

$$[\hat{a}_{k\lambda}, \hat{a}_{k_1\lambda_1}^\dagger] = n_1 (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}_1) \delta_{\lambda\lambda_1} \quad (8.15d)$$

$$\begin{aligned} \rightsquigarrow \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda} \hat{a}_{k\lambda} |n_1(k_1, \lambda_1)\rangle &= \frac{n_1}{\sqrt{n_1!}} \binom{\dagger}{k_1\lambda_1}^{n_1-1} |0\rangle \\ &= \frac{n_1 \sqrt{(n_1-1)!}}{\sqrt{n_1!}} \frac{(\hat{a}_{k_1\lambda_1}^\dagger)^{n_1-1}}{\sqrt{(n_1-1)!}} |0\rangle \\ &= \sqrt{n_1} |(n_1-1)(k_1\lambda_1)\rangle \end{aligned} \quad (8.15e)$$

General:

$$\int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} a_{k\lambda} |n_1 \dots n_m\rangle = \sum_{i=1}^m \sqrt{n_i} |n_1 \dots n_{i-1}, \dots n_m\rangle \quad (8.16a)$$

Compute expectation value of  $\hat{H}$  in state  $|n_1(k_1\lambda_1)\rangle$

$$\langle n_1 | \hat{H} | n_1 \rangle = \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \frac{\hbar\omega}{2} \langle n_1 | \hat{a}_{k\lambda}^\dagger \hat{a}_{k\lambda} + \underbrace{\hat{a}_{k\lambda} \hat{a}_{k\lambda}^\dagger}_{\delta(\mathbf{0})\delta(\mathbf{0})} | n_1 \rangle$$

$$\begin{aligned} \langle n_1 | \hat{H} | n_1 \rangle &= \int \frac{d^3k}{(2\pi)^3} \sum_{\lambda} \hbar\omega \langle n_1 | \hat{a}_{k\lambda}^\dagger \hat{a}_{k\lambda} | n_1 \rangle \\ &= \hbar\omega \langle n_1 | \hat{a}_{k_1\lambda_1}^\dagger | n_1 - 1 \rangle \sqrt{n_1} \\ &= \hbar\omega n_1 \langle n_1 | n_1 \rangle \\ &= n_1 \hbar\omega \end{aligned} \quad (8.16c)$$

$$\rightarrow \langle n_1 \dots n_m | \hat{H} | n_1 \dots n_m \rangle = \sum_{i=1}^m \hbar\omega_i n_i \quad (8.16d)$$

introduce interactions with matter (compare Section 5)

$$V = -\frac{q}{2mc} (\mathbf{p} \cdot \mathbf{A} + \mathbf{A} \cdot \mathbf{p}) + \frac{q^2}{2mc^2} \hat{\mathbf{A}}^2 \quad (8.17a)$$

in Coulomb gauge

$$\nabla \cdot \mathbf{A} = 0 \quad (8.18a)$$

$$\Rightarrow \mathbf{p} \cdot \mathbf{A} = \mathbf{A} \cdot \mathbf{p} \quad (8.18b)$$

$$\hat{V} = -\frac{q}{mc} \mathbf{p} \cdot \hat{\mathbf{A}} + \frac{q^2}{2mc^2} \hat{A}^2 \quad (8.18c)$$

is operator in Fock space and will be used to compute transition matrix elements

$$V_{\beta\alpha} = \langle \psi_\beta^0 | V | \psi_\alpha^0 \rangle \quad (8.18d)$$

### 8.3 Photon emission and absorption

We have considered these processes before in section 5.2

$$\begin{aligned} H &= H_0 + H_{\text{em}} + \hat{V} \\ &= \sum_i \frac{p_i^2}{2m} + \frac{1}{8\pi} \int d^3r \left( \hat{\mathbf{E}}^2 + \hat{\mathbf{B}}^2 \right) \\ &\quad + \text{interaction matter} \leftrightarrow \text{photons} \end{aligned} \quad (8.19a)$$

$$\begin{aligned} \text{eigenstates } |\psi_\alpha^0\rangle &= |\text{matter}\rangle \otimes |\text{photons}\rangle \\ &= \text{wave function} \otimes \text{new, Fockspace} \\ &= |A; n_1(k, \lambda_1) \dots n_m(k_m, \lambda_m)\rangle \end{aligned} \quad (8.19b)$$

#### 8.3.1 Absorption of photon

$$V_{\beta\alpha} = \langle B; (n-1)(k, \lambda) | \hat{V} | A; n(k, \lambda) \rangle \quad (8.20a)$$

with

$B$ : final state of atom

$(n-1)$ : one photon “lost”

$A$ : initial state of atom

$n(k, \lambda)$ :  $n$  photons,  $k, \lambda$

$$\begin{aligned} \rightsquigarrow V_{\beta\alpha} &= \frac{e}{mc} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \sum_{\lambda'} \sqrt{\frac{2\pi\hbar c^2}{\omega'}} \\ &\quad \cdot \langle B; (n-1)(k, \lambda) | \hat{a}(k', \lambda') \mathbf{p} \cdot \boldsymbol{\epsilon}(k', \lambda') e^{i\mathbf{k}' \cdot \mathbf{r}} | A, n(k, \lambda) \rangle \end{aligned} \quad (8.20b)$$

$\hat{a}^\dagger$  term gives no contribution

$$\begin{aligned} \rightsquigarrow V_{\beta\alpha} &= \frac{e}{m} \sqrt{\frac{2\pi\hbar}{\omega}} \sqrt{n} \langle B; | \mathbf{p} \cdot \boldsymbol{\epsilon}(k, \lambda) e^{i\mathbf{k} \cdot \mathbf{r}} | A \rangle \\ &\sim \sqrt{n} \end{aligned} \quad (8.20c)$$



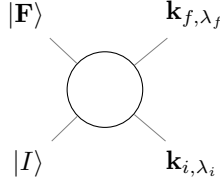


Figure 8.1:

---

### 8.3.2 Emission of photons

$$V_{\beta\alpha} = \langle B; (n+1)(k, \lambda) | \hat{V} | A; n(k, \lambda) \rangle, \quad (8.21a)$$

with  $\hat{V}$  now only  $\hat{a}^\dagger$  part contribution.

$$\begin{aligned} \rightsquigarrow V_{\beta\alpha} &= \frac{e}{m} \sqrt{\frac{2\pi\hbar}{\omega}} \sqrt{n+1} \langle B | \mathbf{p} \cdot \boldsymbol{\epsilon}^*(k, \lambda) e^{-i\mathbf{k} \cdot \mathbf{r}} | A \rangle \\ &\sim \sqrt{n+1} \end{aligned} \quad (8.21b)$$

Note: This is non-zero even for  $n = 0 \rightarrow$  spontaneous emission!  
recall classical:

$$\Gamma_{n0} = \Gamma_{0n} \quad (8.22a)$$

$$\text{absorption} = \text{emission} \quad (8.22b)$$

now

$$\frac{\Gamma_{n0}}{\Gamma_{0n}} = \frac{n_{k\lambda}}{n_{k\lambda} + 1} \quad (8.22c)$$

---

## 8.4 Scattering of photons by atoms

involves creation and annihilation of photon need  $\hat{a}^\dagger(k_f, \lambda_f) \hat{a}(k_i, \lambda_i)$  recall

$$\hat{V} = \frac{e}{mc} \mathbf{p} \cdot \hat{\mathbf{A}} + \frac{e^2}{2mc^2} \hat{\mathbf{A}}^2 \quad (8.23)$$

where  $\hat{\mathbf{A}}$  contains either  $\hat{a}^\dagger$  or  $\hat{a}$  and contributes only at 2nd order,  $\hat{\mathbf{A}}^2$  contains  $\hat{a}^\dagger \hat{a} \rightarrow$  contributes at first order. Both  $\hat{\mathbf{A}}$  and  $\hat{\mathbf{A}}^2$  are  $\sim \frac{e^2}{c^2}$

**first-order contribution**

$$\begin{aligned}
V_{\beta\alpha}^{(1)} &= \langle F, 1(k_f, \lambda_f) | \frac{e^2}{2mc^2} \hat{\mathbf{A}}^2 | I, 1(k_i, f_i) \rangle \\
&= \frac{e^2}{2mc^2} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \sum_{\lambda\lambda'} \frac{2\pi\hbar c^2}{\sqrt{\omega\omega'}} \\
&\quad \cdot \langle F, 1(k_f, \lambda_f) | (\hat{a}\epsilon e^{i\mathbf{k}\cdot\mathbf{r}-i\omega t} + \hat{a}^\dagger(k, \lambda) e^{-i\mathbf{k}\cdot\mathbf{r}+i\omega t}) \\
&\quad \cdot (\hat{a}'(k', \lambda') e^{i\mathbf{k}'\cdot\mathbf{r}-i\omega' t} + \hat{a}'^\dagger \epsilon'^* e^{-i\mathbf{k}'\cdot\mathbf{r}+i'\omega' t}) | I, 1(k_i, \lambda_i) \rangle \\
&= \frac{e^2}{2mc^2} \frac{2\pi\hbar c^2}{\sqrt{\omega_i\omega_f}} 2 \cdot \langle F | \epsilon(k_i, \lambda_i \cdot \epsilon(k_f, \lambda_f) e^{it(\omega_i-\omega_f)}) e^{i\mathbf{r}\cdot(\mathbf{k}_i-\mathbf{k}_f)} | I \rangle
\end{aligned} \tag{8.24}$$

Recall from section 7.2

$$H = H_0 + V \tag{8.25a}$$

$$\begin{aligned}
S_{\beta\alpha} &= \delta(\beta - \alpha) - \frac{i}{\hbar} \int_{\mathbb{R}} dt_1 e^{-\frac{i}{\hbar} t_1 (E_\alpha - E_\beta)} \langle \psi_\beta^0 | V | \psi_\alpha^0 \rangle \\
&\quad - \frac{i}{\hbar} \int_{-\infty}^{\infty} dt_1 e^{-\frac{i}{\hbar} t_1 (E_I + \hbar\omega_i - E_F - \hbar\omega_f)} \left( \frac{2e^2\hbar\pi}{m\sqrt{\omega_i\omega_f}} \epsilon \right. \\
&\quad \left. \cdot \epsilon^* \langle F | \dots | F \rangle \right)
\end{aligned} \tag{8.25b}$$

golden rule (sec 4.2/4.3) Transition rate  $\frac{2\pi}{\hbar} |T|^2 \rho$  cross section  $\frac{d\sigma}{d\Omega}$  (final photon energy  $\hbar\omega_f + a(\hbar\omega_f)$ )

- divide by flux  $v = c$  (section 6.1)
- nr. states

$$\begin{aligned}
\frac{d^3\mathbf{k}}{(2\pi)^3} &= \frac{k^2 dk d\Omega}{(2\pi)^3} \\
&= \frac{\omega_f^2 d\Omega}{(2\pi)^3 c^3 \hbar} d(\hbar\omega_f)
\end{aligned} \tag{8.26a}$$

$$\rho(\omega_f) = \frac{\omega_f^2 d\Omega}{(2\pi)^3 c^3 \hbar} \tag{8.26b}$$

## Feynman diagrams

Figure 8.2:

$$\frac{d\sigma}{d\Omega} = \frac{1}{c} \frac{2\pi}{\hbar} \underbrace{\frac{\omega_f^2}{(2\pi)^3 c^3 \hbar}}_{\rho(\omega_f)} |T|^2 \quad (8.27a)$$

$$= \frac{e^4}{m^2 c^4} \frac{\omega_f}{\omega_i} \left| \mathbf{e}_i \cdot \mathbf{e}_f^* \langle F | e^{i\mathbf{r} \cdot (\mathbf{k}_i - \mathbf{k}_f)} | I \rangle \right|^2$$

$$\left( \frac{\alpha \hbar}{mc} \right)^2 = r_0^2 \quad (8.27b)$$

(classical electron radius)

However, there are further contributions of order  $\alpha^2 \sim e^4$  golden rule (sec 4.2/4.3) Transition rate  $\frac{2\pi}{\hbar} |T|^2 \rho$

cross section  $\frac{d\sigma}{d\Omega}$  (final photon)...

**2nd order contribution** for  $T_{\beta\alpha}$

$$S_{\beta\alpha} = \dots \left( -\frac{i}{\hbar} \right)^2 \int_{-\infty}^{\infty} dt_1 \int_{-\infty}^{t_1} dt_2 \sum_{\gamma} e^{-\frac{i}{\hbar} t_1 (E_{\gamma} - E_{\beta})} e^{-\frac{i}{\hbar} t_2 (E_{\alpha} - E_{\gamma})} V_{\beta\gamma} V_{\gamma\alpha} \quad (8.28a)$$

In our case

$$\begin{aligned} & \left( -\frac{i}{\hbar} \right)^2 \int_{-\infty}^{\infty} dt_1 \int_{-\infty}^{t_1} dt_2 \sum_{\lambda\lambda'} dN e^{-\frac{i}{\hbar} (E_N - E_F) t_1} e^{-\frac{i}{\hbar} (E_I - E_N) t_2} \left( \frac{e}{mc} \right)^2 \\ & \cdot \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \int \frac{d^3 \mathbf{k}'}{(2\pi)^3} \sum_{\lambda\lambda'} \langle F, P(\omega_f, \lambda_f) | \hat{a}_{\mathbf{k}} \mathbf{p} \cdot \mathbf{e}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t_1 + \hat{a}_{\mathbf{k}}^{\dagger} \mathbf{p} \cdot \mathbf{e}_{\mathbf{k}}^* e^{-i\mathbf{k} \cdot \mathbf{r} + i\omega t_1} | N \rangle \\ & \cdot \langle N | \hat{a}_{\mathbf{k}'} \mathbf{p} \cdot \mathbf{e}_{\mathbf{k}'} e^{i\mathbf{k}' \cdot \mathbf{r} - i\omega' t_2} + \hat{a}_{\mathbf{k}'}^{\dagger} \mathbf{p} \cdot \mathbf{e}_{\mathbf{k}'}^* e^{-i\mathbf{k}' \cdot \mathbf{r} + i\omega' t_2} | I, 1(k_i, \lambda_i) \rangle \end{aligned} \quad (8.29a)$$

need 1  $\hat{a}(k_i, \lambda_i)$  and one  $\hat{a}^{\dagger}(k_f, \lambda_f)$

$$= \dots$$

$$= \langle F | \hat{a}_{k_f}^{\dagger} \dots | N \rangle \langle N | \dots \hat{a}_{k_i} | I \rangle + \langle F | \hat{a}_{k_i} \dots | N \rangle \langle N | \dots \hat{a}_{k_f}^{\dagger} | I \rangle \quad (8.29b)$$

Kramers Heisenberg formula

$$\frac{d\sigma}{d\Omega} = \left( \frac{\alpha\hbar}{mc} \right)^2 \frac{\omega_f}{\omega_i} \left| \mathbf{\epsilon}_i \mathbf{\epsilon}_f^* \delta_{FI} + \sum_N \frac{\langle F | \mathbf{p} \cdot \mathbf{\epsilon}_f^* | N \rangle \langle N | \mathbf{p} \cdot \mathbf{\epsilon}_i | I \rangle}{m(E_I - \hbar\omega_f - E_N)} + \frac{\langle F | \mathbf{p} \cdot \mathbf{\epsilon}_i | N \rangle \langle N | \mathbf{p} \cdot \mathbf{\epsilon}_f^* | I \rangle}{m(E_I - \hbar\omega_f - E_N)} \right| \quad (8.30)$$

→ limiting cases

**Rayleigh scattering** elastic scattering

$$|I\rangle = |F\rangle, \quad (8.31a)$$

$$\omega_i = \omega_f \quad (8.31b)$$

$$\hbar\omega \ll E_I - E_N \quad (8.31c)$$

combine  $\mathbf{\epsilon}_i \cdot \mathbf{\epsilon}_f$  with other terms

$$\begin{aligned} \langle I | \mathbf{\epsilon}_i \mathbf{\epsilon}_f^* | I \rangle &= \frac{1}{i\hbar} \langle I | [\mathbf{x} \cdot \mathbf{\epsilon}_i, \mathbf{p} \cdot \mathbf{\epsilon}_f^*] | I \rangle \\ &= \frac{1}{i\hbar} \sum_N (\langle I | \mathbf{x} \cdot \mathbf{\epsilon}_i | N \rangle \langle N | \mathbf{p} \cdot \mathbf{\epsilon}_f^* | I \rangle \\ &\quad - \langle I | \mathbf{p} \cdot \mathbf{\epsilon}_f^* | N \rangle \langle N | \mathbf{x} \cdot \mathbf{\epsilon}_i | I \rangle) \end{aligned} \quad (8.32a)$$

→ put everything together:

$$\frac{1}{E_N - E_I} + \frac{1}{E_I - E_N + \pm \hbar\omega} = \mp \frac{\hbar\omega}{(E_I - E_N)^2} + \frac{(\hbar\omega)^2}{(E_I - E_N)^2} + \dots \quad (8.33a)$$

$$\frac{d\sigma}{d\Omega} = r_0^2 \frac{(\hbar\omega)^4}{m^2} \left| \sum_N \frac{\langle I | \mathbf{p} \cdot \mathbf{\epsilon}_f^* | N \rangle \langle N | \mathbf{p} \cdot \mathbf{\epsilon}_i | I \rangle}{(E_I - E_N)^2} + \leftrightarrow \right|^2$$

$r_0^2$  classical  $e$ -radius,  $\omega^4$  blue sky red sunset

$$\hbar\omega_i \gg E_N - E_I \quad (8.34a)$$

(large compared to binding energy  $\rightsquigarrow$  scattering off “free” electrons)

$$\frac{d\sigma}{d\Omega} = r^2 |\mathbf{\epsilon}_i \cdot \mathbf{\epsilon}_f|^2 \quad (8.34b)$$

for unpolarized photons

$$\begin{aligned}
 & \frac{1}{2} \sum_{\lambda_i \lambda_f} \varepsilon_i^a(k_i, \lambda_i) (\varepsilon_f)^a(k_f, \lambda_f) \varepsilon_i^b(\varepsilon_f^*)^b \\
 &= \frac{1}{2} \left( \delta_{ab} - \frac{k_i^a k_i^b}{k_i^2} \right) \left( \delta_{ab} - \frac{k_f^a k_f^b}{k_f^2} \right) \\
 &= \frac{1}{2} (1 + \cos^2 \theta)
 \end{aligned} \tag{8.34c}$$

with

$$\theta = \angle(\mathbf{k}_i, \mathbf{k}_f) \tag{8.34d}$$

$$\begin{aligned}
 \sigma &= \int d\cos\theta \, 2\pi r_0^2 (1 + \cos^2 \theta) \\
 &= \frac{8\pi}{3} r_0^2
 \end{aligned} \tag{8.34e}$$

**Resonances** What if  $E_N \sim E_I + \hbar\omega_i$

so far : neglected finite lifetime of  $E_N$ ,  $\tau_N = \frac{\hbar}{\Gamma_N}$   
time evolution

$$e^{-\frac{i}{\hbar} E_N t} e^{-\tau_N t} = e^{-\frac{i}{\hbar} t(E_N - i\Gamma_N)} \tag{8.35a}$$

with  $\Gamma_N$  that one cannot neglect

$$\begin{aligned}
 |T|^2 &\approx \left| \frac{1}{E_I - E_N + i\Gamma_N} \right|^2 \\
 &= \frac{1}{(E_I - E_N)^2 + \Gamma_N^2}
 \end{aligned} \tag{8.35b}$$



# RELATIVISTIC QM

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Will try to find relativistic generalization of Schrödinger as single-particle equation ( $\rightarrow$  we will fail) but will be basis of relativistic (2nd quantized field theory) Rel: Cannot fix number of particles

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## 9.1 Klein-Gordon equation (KGE)

Consider free scalar particle

$$\begin{aligned} X^\mu &\rightarrow X^{\mu'} \\ &= \Lambda^\mu_{\nu'} x^\nu \end{aligned} \tag{9.1a}$$

$$\begin{aligned} \phi(x) &\rightarrow \phi'(x') \\ &= \phi(x) \\ &= \phi(\Lambda^{-1}x') \end{aligned} \tag{9.1b}$$

Now start from

$$E^2 = m^2 c^4 + \mathbf{p}^2 c^2 \tag{9.2a}$$

(not  $E = \frac{p^2}{2m}$ )

$$E \rightarrow i\hbar \frac{\partial}{\partial t} \tag{9.2b}$$

$$\mathbf{p} = -i\hbar \nabla \tag{9.2c}$$

$$\left(-\hbar^2 \frac{\partial^2}{\partial t^2}\right) \phi(\mathbf{x}, t) = (m^2 c^4 - \hbar c^2 \nabla^2) \phi(x, t) \tag{9.2d}$$

in covariant form:

$$\partial_\mu \partial^\mu + \frac{m^2 c^2}{\hbar^2} \phi(x) = 0 \quad (9.2e)$$

$$\begin{aligned} \partial_\mu &= \frac{\partial}{\partial x^\mu} \\ &= \left( \frac{1}{c} \frac{\partial}{\partial t}, \nabla \right) \end{aligned} \quad (9.2f)$$

$$\partial^\mu = \left( \frac{1}{c} \frac{\partial}{\partial t} - \nabla \right) \quad (9.2g)$$

KGE:

$$\left( \partial_\mu \partial^\mu + \frac{m^2 c^2}{\hbar^2} \right) \phi = 0 \quad (9.3a)$$

$$\partial_\mu = \left( \frac{1}{c} \frac{\partial}{\partial t}, \nabla \right) \quad (9.3b)$$

Solution

$$\phi(t, \mathbf{x}) = A \cdot e^{i\mathbf{k} \cdot \mathbf{x} - i\omega t} \quad (9.3c)$$

$$\phi(x) = A \cdot e^{-ik_\mu x^\mu} \quad (9.3d)$$

$$k^\mu = \left( \frac{\omega}{c}, \mathbf{k} \right), \quad k^2 = k_\mu k^\mu = \frac{\omega^2}{c^2} - \mathbf{k}^2 = \frac{m^2 c^2}{\hbar^2} \quad (9.3e)$$

or

$$(h\omega) = \pm \sqrt{m^2 c^4 + \mathbf{p}^2 c^2} \quad (9.3f)$$

Negative solutions?!

In analogy to Schrödinger, try to define probability density  $\rho(\mathbf{x}, t)$  and probability current density  $\mathbf{j}(\mathbf{x}, t)$  satisfying

$$\frac{\partial}{\partial t} \rho + \nabla \cdot \mathbf{j} = 0 \quad (9.4a)$$

$$\mathbf{j} = \frac{\hbar}{2mi} (\phi^* (\nabla \phi) - (\nabla \phi^*) \phi) \quad (9.4b)$$

$$\rho = \frac{i\hbar}{2mc^2} \left( \phi^* \frac{\partial \phi}{\partial t} - \frac{\partial \phi^*}{\partial t} \phi \right) \quad (9.4c)$$

covariant form

$$\begin{aligned} j^\mu &= (c\rho, \mathbf{j}) \\ &= \frac{i\hbar}{2m} (\phi^* \partial^\mu \phi - (\partial^\mu \phi^*) \phi) \end{aligned} \quad (9.4d)$$

Note that  $\rho(\mathbf{x}, t)$  is *not* positive definite  $\rightarrow$  cannot be interpreted as probability density



## 9.2 Dirac equation

Try a linear (in  $\frac{\partial}{\partial t}, \nabla$ ) equation. Most general linear equation.

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \psi &= H\psi \\ &= (-i\hbar c \boldsymbol{\alpha} \cdot \nabla + \beta mc^2) \psi \\ &= (-i\hbar c \alpha_i \nabla_i + \beta mc^2) \psi \end{aligned} \quad (9.5a)$$

With summation convention and

$$\boldsymbol{\alpha} = (\alpha_1, \alpha_2, \alpha_3) \quad (9.5b)$$

and  $\beta$  are 4 non-commuting coefficients

Iterate this equation

$$\begin{aligned} -\hbar^2 \frac{\partial^2}{\partial t^2} \psi &= (-i\hbar c \alpha_i \nabla_i + \beta mc^2) (-i\hbar c \alpha_j \nabla_j + \beta mc^2) \psi \\ &= \left( c^2 \frac{\hbar^2}{2} (\alpha_i \alpha_j + \alpha_j \alpha_i) \nabla_i \nabla_j - i\hbar (\alpha_i \beta + \beta \alpha_i) \nabla_i mc^2 \right. \\ &\quad \left. + \beta m^2 c^4 \right) \psi \end{aligned}$$

Compare to KGE

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi = (-\hbar c^2 \nabla_i \nabla_i + m^2 c^4) \psi \quad (9.6a)$$

$$\beta^2 = 1 \quad (9.6b)$$

$$\begin{aligned} (\alpha_i \beta + \beta \alpha_i) &= \{\alpha_i, \beta\} \\ &= 0, \quad (\text{sometimes } [\alpha_i, \beta]_+) \end{aligned} \quad (9.6c)$$

$$\begin{aligned} (\alpha_i \alpha_j + \alpha_j \alpha_i) &= \{\alpha_i, \alpha_j\} \\ &= 2\delta_{ij} \end{aligned} \quad (9.6d)$$

From anticommutation relations we see that coeff. cannot be “numbers”. ( $\rightarrow$  Exercise dim 4 matrices are simplest possibility)

$\rightarrow$  wave function

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} \quad (9.7)$$

and one possible choice for  $\alpha$  and  $\beta$ .

$$\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \quad (9.8a)$$

$$\begin{aligned} \beta &= \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad \text{Dirac representation} \end{aligned} \quad (9.8b)$$

Rewrite Dirac equation in terms of  $\gamma$  matrices ( $4 \times 4$  again)

$$\gamma^\mu, \quad \mu \in \{0, 1, 2, 3\}, \gamma^0 = \beta, \gamma^i = \beta\alpha^i \quad (9.9a)$$

in Dirac representation

$$\gamma^0 = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix} \quad (9.9b)$$

$$\gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix} \quad (9.9c)$$

Note can use any other representation:

$$\gamma^\mu \rightarrow U \gamma^\mu U^\dagger \quad (9.10a)$$

Take  $\beta$ : Dirac equation

$$\beta \cdot \left( i\hbar \frac{\partial}{\partial t} \right) \psi = \beta \left( -i\hbar c \alpha_i \nabla_i + \beta m c^2 \right) \psi \quad (9.10b)$$

$$i\hbar \frac{\partial}{\partial t} \gamma^0 \psi = (-i\hbar c \gamma^i \nabla_i + m c^2) \psi \quad (9.10c)$$

$$(i\hbar \partial_\mu - mc) \psi = 0 \quad (9.10d)$$

Notation any 4-vector  $a^\mu$ :

$$\not{a} \equiv a_\mu \gamma^\mu \quad (9.10e)$$

( $\phi$  and  $\not{\partial}$  have been mistaken...)

$$(i\hbar \not{\partial} - mc) \psi = 0 \quad (9.10f)$$

Properties of  $\gamma$  matrices:

$$\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu} \quad (9.10g)$$

$$\gamma^0 \gamma^\mu \gamma^0 = (\gamma^\mu)^\dagger \quad (9.10h)$$

(There is an old notation in Sakurai.) Dirac equation:

$$(i\hbar\partial_\mu\gamma^\mu - mc)\psi \equiv (i\hbar\not\partial - mc)\psi \quad (9.11a)$$

$$\begin{aligned} &= 0 \\ \{\gamma^\mu, \gamma^\nu\} &= 2g^{\mu\nu} \text{Id} \end{aligned} \quad (9.11b)$$

The adjoint Dirac equation

$$\begin{aligned} 0 &= \gamma^+ (i\hbar\partial_\mu(\gamma^\mu)^\dagger - mc) \\ &= \psi^\dagger (-i\hbar\overleftarrow{\partial}_\mu\gamma^0\gamma^\mu\gamma^0 - \gamma^0\gamma^0 - \gamma^0\gamma^0mc) \end{aligned} \quad (9.11c)$$

$$\begin{aligned} &= \psi^\dagger\gamma^0 (\overleftarrow{\partial}_\mu - mc)\gamma^0 \\ &= 0 \end{aligned}$$

$$\Rightarrow \bar{\psi} (i\hbar\not\partial + mc) = 0 \quad (9.11d)$$

$$\bar{\psi} \equiv \psi^\dagger\gamma^0 \quad (9.11e)$$

The current:

$$j^\mu \equiv \bar{\psi}\gamma^\mu\psi \quad (9.12a)$$

$$\begin{aligned} \partial_\mu j^\mu &= (\not\partial\bar{\psi})\psi + \bar{\psi}\not\partial\psi \\ &= 0 \end{aligned} \quad (9.12b)$$

$$\begin{aligned} \rho &\equiv j^0 \\ &= \psi^\dagger\gamma^0\gamma^0\psi \\ &= \psi^\dagger\psi, \text{ positive definite} \end{aligned} \quad (9.12c)$$

( $\rightarrow$  we still will have problem with  $E < 0$  solutions)

## 9.3 Covariance of Dirac equation

Consider LT

$$\begin{aligned} x^\mu &\rightarrow x'^\mu \\ &= \Lambda^\mu{}_\nu x^\nu \\ &= \frac{\partial x'^\mu}{\partial x^\nu} x^\nu, \quad (x \rightarrow \Lambda x) \end{aligned} \quad (9.13a)$$

Dirac

$$(i\hbar\partial_\mu\gamma^\mu - mc)\psi(x) = 0 \quad (9.13b)$$

$$\rightarrow (i\hbar\partial'_\mu - mc)\psi(x') = 0 \quad (9.13c)$$

require transformation

$$\begin{aligned}\psi(x) &\rightarrow \psi'(x') \\ &= S(\Lambda) \psi(x)\end{aligned}\quad (9.13d)$$

such that the “new” equation holds start from  $S(\Lambda) \times$  Dirac equation

$$\begin{aligned}S(\Lambda) \left( i\hbar \frac{\partial}{\partial x^\mu} \gamma^\mu - mc \right) \psi &= S(\Lambda) (i\hbar \Lambda^\nu{}_\mu \partial'_\nu \gamma^\mu - mc) \psi \\ &= S(\Lambda) (i\hbar \Lambda^\nu{}_\mu \partial'_\nu \gamma^\mu - mc) \psi \\ &= (i\hbar S(\Lambda) (\Lambda^\nu{}_\mu \gamma^\mu) S^{-1}(\Lambda) \partial'_\nu \\ &\quad - mc) \underbrace{S(\Lambda) \psi(x)}_{\psi'(x')}\end{aligned}\quad (9.13e)$$

Compare with Dirac in  $S'$

$$S(\Lambda) \Lambda^\nu{}_\mu \gamma^\mu S^{-1}(\Lambda) = \gamma^\nu \quad (9.13f)$$

or

$$\Lambda^\nu{}_\mu \gamma^\mu = S^{-1}(\Lambda) \gamma^\nu S(\Lambda) \quad (9.13g)$$

A proper LT has 6 parameters (3rot, 3boos par)

$$\omega_{\rho\sigma} = -\omega_{\sigma\rho}, \quad (\text{antisymmetric}) \quad (9.13h)$$

Claim: For infinitesimal propel LT:

$$S(\Lambda) = \mathbf{1} + \frac{i}{2} \omega_{\mu\nu} \sigma^{\mu\nu} \quad (9.14a)$$

with

$$\sigma^{\mu\nu} \equiv \frac{i}{2} [\gamma^\mu, \gamma^\nu] \quad (9.14b)$$

or for finite LT

$$S(\Lambda) = e^{\frac{i}{2} \omega_{\mu\nu} \sigma^{\mu\nu}} \quad (9.14c)$$

(compare to QMI rotations)  $\rightarrow$  exercise sheet 12

Fro pariti  $(t, \mathbf{x}) \rightarrow (t, -\mathbf{x})$  or

$$\Lambda^\mu{}_\nu = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad (9.15a)$$

we get for  $\nu = 0$

$$S\gamma^0 S = \gamma^0 \quad (9.15b)$$

and for  $\nu = i$

$$S\gamma^i S = -\gamma^i \quad (9.15c)$$

$$S(\Lambda_p) = \gamma^0 \times \underbrace{\text{Phase}}_1 \quad (9.15d)$$

Define

$$\gamma_5 \equiv i\gamma_0\psi_1\gamma_2\psi_3 \quad (9.16a)$$

in Dirac representation

$$\gamma_5 = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix} \quad (9.16b)$$

Note

$$(\gamma_5) = \mathbf{1}. \quad (9.16c)$$

Now we can parametrize any  $4 \times 4$  matrix in terms of the following 16 matrices  $\{\mathbf{1}, \gamma_5, \gamma^4, \gamma_5\gamma^4, \sigma^{\mu\nu}\}$ . We know

$$\psi(x) \xrightarrow{\text{LT}} S(\Lambda)\psi(x) \quad (9.17a)$$

$$\bar{\psi}(x) \xrightarrow{\text{LT}} \bar{\psi}(x)S^{-1}(\Lambda) \quad (9.17b)$$

→ exercise sheet 12

### 9.3.1 Bilinear covariants

$$\bar{\psi}(x)\psi(x) \xrightarrow{\text{pLT}} \bar{\psi}S^{-1}S\psi = \bar{\psi}(x)\psi(x) \quad (9.18a)$$

$$\xrightarrow{\text{Parity}} \bar{\psi}\gamma^0\gamma^0\psi = \bar{\psi}(x)\psi(x) \quad (9.18b)$$

$$\bar{\psi}(x)\gamma_5(x)\psi(x) \xrightarrow{\text{pLT}} \bar{\psi}S^{-1}\gamma^5S\psi = \bar{\psi}\gamma_5\psi \quad (9.18c)$$

$$\{\gamma^5, \gamma^\mu\} = 0 \quad (9.18d)$$

$$\Rightarrow [S, \gamma_5] = 0 \quad (9.18e)$$

$$\bar{\psi}\gamma^\mu\psi \rightarrow \Lambda^\mu{}_\nu\bar{\psi}\gamma^\nu\psi, \quad \text{vector} \quad (9.19a)$$

$$\bar{\psi}\gamma_5\gamma^\mu\psi \rightarrow \text{Det}(\Lambda)\Lambda^\mu{}_\nu\bar{\psi}\gamma^\nu\gamma_5\psi, \quad \text{axial vector} \quad (9.19b)$$

$$\bar{\psi}\sigma^{\mu\nu}\psi \rightarrow \Lambda^\mu{}_\rho\Lambda^\nu{}_\sigma\bar{\psi}\gamma^{\rho\sigma}\psi, \quad \text{tensor rank 2} \quad (9.19c)$$

## 9.4 Solutions to the dirac equation

$$\psi(x) = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} \quad (9.20)$$

4 comps: 2 spin×2 positive/negative energy  
momentum space

$$\psi^+(x) = e^{-ikx} u(k), \quad \text{positive } E \quad (9.21a)$$

$$\psi^-(x) = e^{ikx} v(k), \quad \text{negative } E \quad (9.21b)$$

$$\begin{aligned} \mathbf{k} \cdot \mathbf{x} &= k_\mu x^\mu \\ &= \omega t - \mathbf{x} \cdot \mathbf{k} \end{aligned} \quad (9.22a)$$

write as:

$$\psi^+ = e^{-\frac{i}{\hbar}(Et - px)} u(p) \quad (9.22b)$$

$$\psi^- = e^{\frac{i}{\hbar}(-Et - px)} u(p) \quad (9.22c)$$

$$p^\mu = \left( \frac{E}{c}, p \right) \quad (9.22d)$$

Dirac

$$(\not{p} - mc) u(p) = 0 \quad (9.23a)$$

$$-\not{p} - mc v(p) = 0 \quad (9.23b)$$

are matrix equations

$$\begin{aligned} (\not{p} \mp mc) &= \begin{pmatrix} \frac{E}{c} \mp mc & -\mathbf{p} \cdot \boldsymbol{\sigma} \\ \mathbf{p} \cdot \boldsymbol{\sigma} & -\frac{E}{c} \mp mc \end{pmatrix} \begin{pmatrix} \chi \\ \varphi \end{pmatrix} \\ &= 0 \end{aligned} \quad (9.23c)$$

par. of  $u$  and/or  $v$

$$u(p) \equiv \begin{pmatrix} \chi \\ \varphi \end{pmatrix} \quad (9.23d)$$

$$\varphi = \left( \frac{c\mathbf{p} \cdot \boldsymbol{\sigma}}{E + mc^2} \right) \chi \quad (9.23e)$$

pick normalization factor  $\sqrt{E + mc^2}$

$$u(p, r) = \begin{pmatrix} \sqrt{E + mc^2} & \chi_r \\ \frac{c\mathbf{p} \cdot \boldsymbol{\sigma}}{\sqrt{E + mc^2}} & \chi_r \end{pmatrix} \quad (9.23f)$$

and

$$v(p, r) = \begin{pmatrix} \frac{c\mathbf{p} \cdot \boldsymbol{\sigma}}{\sqrt{E + mc^2}} & \chi_r \\ \sqrt{E + mc^2} & \chi_r \end{pmatrix} \quad (9.23g)$$

$$\chi_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (9.23h)$$

$$\chi_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (9.23i)$$

$r$  related to spin, 4-solutions

some properties of  $u$  and  $v$ :

$$\bar{u}(p, r_i) u(p, r_j) = u^\dagger(p, r_i) \gamma^0 u(p, R - j) \quad (9.23j)$$

$$\begin{aligned} & \left( \sqrt{E + mc^2} \chi_i \quad \frac{c\mathbf{p} \cdot \boldsymbol{\sigma}}{\sqrt{E + mc^2}} \cdot \right) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \sqrt{E + mc^2} & \chi_j \\ \frac{c\boldsymbol{\sigma} \cdot \mathbf{p}}{\sqrt{E + mc^2}} & \chi_j \end{pmatrix} \\ &= ((E + mc^2) \chi_i \cdot \chi_j - \frac{c\mathbf{p}^2}{(Emc^2)} \chi_i \chi_j) \\ &= 2mc^2 \chi_i \chi_j \\ &= 2mc^2 \delta_{ij} \end{aligned} \quad (9.23k)$$

similar

$$\bar{v}(p, r_i) v(p, r_j) = -2mc^2 \delta_{ij} \quad (9.23l)$$

and

$$\begin{aligned} \bar{v}u &= \bar{u}v \\ &= 0 \end{aligned} \quad (9.23m)$$

Convention: In some books

$$u/v \rightarrow \frac{1}{\sqrt{2mc^2}} u/v \quad (9.23n)$$

$\rightarrow u, v$  form a basis

We can also show:

$$\sum_{i=1}^3 u(p, r_i) \cdot \bar{u}(p, r_i) = c(\not{p} + mc) \quad (9.24a)$$

← projection to positive energy states

$$\sum v(p, r_i) \cdot \bar{v}(p, r_i) = c(-\not{p} + mc) \quad (9.24b)$$

← negative

Show equivalence for complete set  $u(p, r_j)$  and  $v(p, r_j)$  e.g.

$$\left( \sum_{i=1}^3 u(p, r_i) \cdot \bar{u}(p, r_i) \right) u(p, r_j) = 2mc^2 u(p, r_j) \dots \quad (9.24c)$$

### 9.4.1 Interpretation of solutions and spin

Now we show that Dirac equation describes spin  $\frac{1}{2}$

$$H = \boldsymbol{\alpha} \cdot \mathbf{p} + \beta mc^2 \quad (9.25a)$$

$$\mathbf{L} = \mathbf{x} \times \mathbf{p} \quad (9.25b)$$

$$\begin{aligned} [L_i, H] &= [\varepsilon_{ijk} x_j p_k, \alpha_\ell p_\ell] \\ &= \varepsilon_{ijk} \alpha_\ell [x_j, p_\ell] p_k \\ &= i\hbar \varepsilon_{ijk} \alpha_j p_k \end{aligned} \quad (9.25c)$$

$$\begin{aligned} [\mathbf{L}, H] &= i\hbar \boldsymbol{\alpha} \times \mathbf{p} \\ &\neq 0 \end{aligned} \quad (9.25d)$$

is not conserved. However  $\mathbf{J} = \mathbf{L} + \mathbf{S}$  must be conserved  $\mathbf{S} \neq 0$

need to find  $\mathbf{S}$  such that

$$[\mathbf{J}, H] = 0, \quad (9.26a)$$

i.e.

$$[\mathbf{S}, H] = -i\hbar \boldsymbol{\alpha} \times \mathbf{p} \quad (9.26b)$$

and of course

$$[S_i, S_j] = i\hbar \varepsilon_{ijk} S_k \quad (9.26c)$$

claim:

$$\begin{aligned} \mathbf{S} &= \frac{\hbar}{2} \sum \\ &= \frac{-i\hbar}{2} \alpha^1 \alpha^2 \alpha^3 \boldsymbol{\alpha} \\ &= \frac{\hbar}{2} \begin{pmatrix} \boldsymbol{\sigma} & 0 \\ 0 & \boldsymbol{\sigma} \end{pmatrix} \end{aligned} \quad (9.26d)$$



**Proof:**

$$[S_i, S_j] = i\hbar \varepsilon_{ijk} S_k \quad (9.27a)$$

$$\begin{aligned} [S_i, H] &= \frac{-i\hbar}{2} [\alpha^1 \alpha^2 \alpha^3 \alpha^i, H] \\ &= \frac{-i\hbar}{2} \frac{1}{2} [\alpha^j \alpha^k, \alpha^n p^n + \beta mc^2] \end{aligned} \quad (9.27b)$$

with

$$[\alpha^j \alpha^k, \beta] = 0 \quad (9.27c)$$

use

Let's look at relation between spin and coordinate transformation more carefully

QMI (nor-rel) [Section 10.4] Translation & rotations: generators  $P^i, J^i$

$$[P^i, P^j] = 0 \quad (9.28a)$$

$$[J^i, J^j] = i\hbar \varepsilon^{ijk} J^k \quad (9.28b)$$

$$[J^i, P^j] = i\hbar \varepsilon^{ijk} P^k \quad (9.28c)$$

coordinate transformation:

$$\begin{aligned} \mathbf{x} &\rightarrow \mathbf{x}' \\ &= R\mathbf{x} + \mathbf{a} \end{aligned} \quad (9.28d)$$

$a$ : state  $|\psi\rangle$  transformation under a certain representation

$$\begin{aligned} |\psi\rangle &\rightarrow |\psi'\rangle \\ &= U(R, a) |\psi\rangle \end{aligned} \quad (9.28e)$$

in relativity add boosts

$$x^\mu \rightarrow \Lambda^\mu{}_\nu x^\nu + a^\mu \quad (9.28f)$$

$$[K_i, K_j] = -i\hbar \varepsilon_{ijk} J_k \quad (9.28g)$$

$$[K_i, J_i] = i\hbar \varepsilon_{ijk} K_k \quad (9.28h)$$

generator

$$J^{\mu\nu} = \begin{cases} J_{0i} = -J_{i0} = K_i \\ J_{ij} = -J_{ji} = i\varepsilon_{ijk} J_k \end{cases} \quad (9.28i)$$

6 generators +4  $P^\mu$

### 9.4.2 Lie algebra of generators

$$[P_\mu, P_\nu] = 0 \quad (9.29a)$$

$$[J_{\mu\nu}, J_{\rho\sigma}] = i\hbar (g_{\nu\rho} J_{\mu\sigma} - g_{\mu\rho} J_{\nu\sigma} - g_{\nu\sigma} J_{\mu\rho} + g_{\mu\sigma} J_{\nu\rho}) \quad (9.29b)$$

$$[P_\mu, J_{\rho\sigma}] = i\hbar (g_{\mu\rho} P_\sigma - G_{\mu\sigma} P_\rho) \quad (9.29c)$$

under Lorentz transform a state  $|p\rangle$  transforms under a certain representation

$$\begin{aligned} |p\rangle &\rightarrow |\Lambda p\rangle \\ &= U(\Lambda) |p\rangle \end{aligned} \quad (9.29d)$$

$P_\mu P^\mu$  commutes with all generators,  $P^2$  is  $L$ -invariant (Casimir). What else do we need to know (Result: “only” transform under rotations, i.e., “spin”)

Consider any  $P^\mu$ . little group of  $P^\mu$ :

Subgroup of all Poincaré transformations that leave  $P^\mu$  invariant

for  $p^\mu$  in rest frame  $p^\mu = (m, 0, 0, 0)$ : Little group  $\simeq$  rotatinos

Let

$$p^\mu = L^\mu{}_\nu q^\nu \quad (9.30a)$$

i.e. for any  $q^\mu$  with

$$\begin{aligned} q^2 &= m^2 \\ &> 0 \end{aligned} \quad (9.30b)$$

we can find LT  $L(p)$ , s.t.

$$p = L(p)q \quad (9.30c)$$

is in rest frame ... under any LT,  $\Lambda$

$$\begin{aligned} |p\rangle &\rightarrow U(\Lambda) |p\rangle \\ &= U(\Lambda) U(L(p)) |q\rangle \end{aligned} \quad (9.30d)$$

$$U(L(\Lambda p)) U^{-1}(L(\Lambda p)) U(\Lambda) U(L(p)) |q\rangle \quad (9.30e)$$

$$U(L(\Lambda p)) \cdot U(L^{-1}(\Lambda p)) U(\Lambda) U(L(p)) |q\rangle \quad (9.30f)$$

$$U(L(\Lambda p)) U(L^{-1}(\Lambda p) \Lambda \cdot L(p)) |q\rangle \quad (9.30g)$$

→ additional labels in  $|p, s\rangle$  are affected by rotations only

$$\begin{aligned}
 |p, s\rangle &\rightarrow U(\Lambda) |p, s\rangle \\
 &= U(L(\Lambda p)) \underbrace{\sum D_{ss'}}_{\text{def. transformation under rotations}} \\
 &= \sum D_{ss'} |\Lambda p, s'\rangle
 \end{aligned} \tag{9.31a}$$

---

### 9.4.3 2nd casimir operator

$$W_\mu W^\mu = -m^2 \hbar^2 s(s+1) \tag{9.32a}$$

Pauli-Lubanski (axial) vector

$$W_\mu = -\frac{1}{2} \varepsilon_{\mu\nu\rho\sigma} J^{\nu\rho} P^\sigma \tag{9.32b}$$

in rest frame

$$P^\sigma = (m, 0, 0, 0) \tag{9.32c}$$

$$W_\mu = (0, \boldsymbol{\omega}) \tag{9.32d}$$

$$\begin{aligned}
 W_i &= -\frac{1}{2} \varepsilon_{i\nu\rho\sigma} J^{\nu\rho} P^\sigma \\
 &= -\frac{m}{2} \varepsilon_{i\nu\rho\sigma} J^{\nu\rho} \\
 &= -m J_i
 \end{aligned} \tag{9.32e}$$

---

## 9.5 The non-relativistic limit

Consider expansion in  $\frac{\mathbf{p}}{m} \sim V$  of Dirac equation.

We will derive the Hamiltonian used in section 2 (for fine structure)

Dirac equation in presence of em field:

$$p^\nu \rightarrow p^\nu + \frac{e}{c} A^\nu \tag{9.33a}$$

write

$$\psi = \begin{pmatrix} \chi \\ \eta \end{pmatrix}, \quad \text{2-component spinors} \quad (9.33b)$$

$$\not{p} + \frac{e}{c}\not{A} - mc \quad (9.33c)$$

$$\begin{pmatrix} \chi \\ \eta \end{pmatrix} = \begin{pmatrix} \frac{E}{c} + \frac{e}{c}\phi - mc & -\left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} \\ \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} & -\left(\frac{E}{c} + \frac{e}{c}\phi + mc\right) \end{pmatrix} \begin{pmatrix} \chi \\ \eta \end{pmatrix} = 0 \quad (9.33d)$$

$$\Rightarrow \left(\frac{E}{c} + \frac{e}{c}\phi - mc\right) \chi = \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} \eta \quad (9.33e)$$

$$\left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} \chi = \left(\frac{E}{c} + mc + \frac{e}{c}\phi\right) \eta \quad (9.33f)$$

$$\begin{aligned} \rightsquigarrow \eta &= \frac{\left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma}}{\frac{E}{c} + mc + \frac{e}{c}\phi} \chi \\ &\approx \frac{\left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma}}{2mc} \chi \end{aligned} \quad (9.33g)$$

Putting Eq. 9.33g in Eq. 9.33e gives us

$$\begin{aligned} \left(\frac{E}{c} + \frac{e}{c}\phi - mc\right) \chi &= \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right) \cdot \boldsymbol{\sigma} \frac{1}{2mc} \chi \\ &= \frac{1}{2mc} \left( \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right)^2 \right. \\ &\quad \left. + i(-i\hbar) \frac{e}{c} \underbrace{(\nabla \times \mathbf{A} + \mathbf{A} \times \nabla)}_{[\nabla \times \mathbf{A}]} \cdot \boldsymbol{\sigma} \right) \chi \end{aligned} \quad (9.33h)$$

“derivative within  $[\cdot]$  only”

$$\begin{aligned} &\Rightarrow \left( \frac{1}{2m} \left(\mathbf{p} + \frac{e}{c}\mathbf{A}\right)^2 + \frac{\hbar e}{2mc} \boldsymbol{\sigma} \cdot \mathbf{B} - e\phi \right) \chi \\ &= (E - mc^2) \chi \\ &=: E' \chi \end{aligned} \quad (9.33i)$$

where  $\frac{\hbar e}{2mc} \boldsymbol{\sigma} \cdot \mathbf{B}$  has to be compare to section 2.3 with

$$\begin{aligned} H &\sim \boldsymbol{\mu} \cdot \mathbf{B} \\ &= \frac{e}{2m} g \mathbf{S} \cdot \mathbf{B} \end{aligned} \quad (9.33j)$$

$$\begin{aligned} &= \frac{e}{4m} g \boldsymbol{\sigma} \cdot \mathbf{B} \\ \Rightarrow g &= 2 \end{aligned} \quad (9.33k)$$

Let's do this more systematically (expand in  $\frac{|e|}{m}$ )

Find transformation

$$\psi = e^{-iS} \psi', \quad (\text{Foldy-Wontthuysen transformation}) \quad (9.34a)$$

such thath odd operators are suppressed by  $\left(\frac{|p|}{m}\right)^n \rightsquigarrow$  mixes  $\chi$  and  $\eta$

$$\sigma := c \boldsymbol{\alpha} \left( \mathbf{p} + \frac{e}{c} \mathbf{A} \right) \quad (9.34b)$$

$$\begin{aligned} i\hbar \partial_t \psi' &= i\hbar \partial_t e^{iS} \psi \\ &= i\hbar \left[ \partial_t e^{iS} \right] \psi + e^{iS} i\hbar \partial_t \psi \\ &= i\hbar \left[ \partial_t e^{iS} \right] e^{-iS} \psi' + e^{iS} H e^{-iS} \psi' \\ &=: H' \psi' \end{aligned} \quad (9.34c)$$

$$\begin{aligned} H' &= H + i[S, H] + \frac{i^2}{2!} [S, [S, H]] + \frac{i^3}{3!} \dots \\ &\quad - \hbar \dot{S} - \frac{i\hbar}{2!} [S, \dot{S}] - \frac{i^2 \hbar}{3!} [S, [S, \dot{S}]] \dots \end{aligned} \quad (9.34d)$$

Recall

$$H = \beta mc^2 + \sigma + \xi, \quad \text{even: } \xi = -e\phi \quad (9.34e)$$

Set

$$S = \frac{-i\beta}{2mc^2} \sigma \quad (9.34f)$$

Compute

$$\begin{aligned} i[S, H] &= i \left[ \frac{-i\beta}{2mc^2} \sigma, \beta mc^2 + \sigma + \xi \right] \\ &= \frac{\beta}{2mc^2} [\sigma, \xi] + \frac{\beta}{mc^2} \sigma^2 - \sigma \end{aligned} \quad (9.34g)$$

$$\begin{aligned} [\beta\sigma, \sigma] &= \beta\sigma\sigma - \sigma\beta\sigma \\ &= 2\beta\sigma^2 \end{aligned} \quad (9.35a)$$

$$\begin{aligned} [\beta\sigma, \beta] &= c [\beta\boldsymbol{\alpha}, \beta] \left( + \frac{e}{c} \mathbf{A} \right) \\ &= -2\sigma \end{aligned} \quad (9.35b)$$

$$\begin{aligned} H' &= \beta mc^2 + \frac{\sigma^2}{2mc^2} - \frac{\sigma^4}{8m^3c^6} + \varepsilon - \frac{[\sigma, [\sigma, \varepsilon]]}{8m^2c^4} - \frac{i\hbar [\sigma, \sigma]}{8m^2c^4} \leftarrow (\text{even}) \\ &\quad + \frac{\beta}{2mc^2} [\sigma, \varepsilon] - \frac{\sigma^3}{2m^2c^4} + \frac{i\hbar\beta\sigma}{2mc^2} \leftarrow \text{add, suppressed by at least } \frac{1}{m} \end{aligned} \quad (9.36a)$$

repeat

$$\psi' = e^{iS'} \psi'' \quad (9.36b)$$

with

$$S' = \frac{-i\beta\sigma'}{2mc^2} \quad (9.36c)$$

$$H'' = H_{\text{even}} + \sigma'' \quad (9.36d)$$

suppressen by  $\frac{1}{m^2}$  3rd and last iteration

$$\begin{aligned} H''' &=: H \\ &= \beta c^2 + \frac{\sigma^2}{2mc^2} - \frac{\sigma^4}{8m^3c^6} + \varepsilon - \frac{[\sigma, [\sigma, \varepsilon]]}{8m^2c^4} - \frac{i\hbar [\sigma, \sigma]}{8m^2c^4} + \mathcal{O}\left(\frac{1}{m^3}\right) \end{aligned} \quad (9.36e)$$

$$\begin{aligned} \frac{\sigma^2}{2mc^2} &= \frac{1}{2m} \alpha_i \left( p_i + \frac{e}{c} A_i \right) \alpha_j \left( p_j + \frac{e}{c} A_j \right) \\ &= \frac{1}{2m} \left( \mathbf{p} + \frac{e}{c} \mathbf{A} \right)^2 + \frac{\hbar e}{2m} \boldsymbol{\alpha} \cdot \boldsymbol{\beta} \end{aligned} \quad (9.37a)$$

$$\frac{-\sigma^4}{8m^3c^6} = \frac{\mathbf{p}^4}{8m^3c^2} + \dots \text{relativistic correction to kinetic energy} \quad (9.37b)$$

$$-\frac{1}{8m^2c^4} [\sigma, [\sigma, \varepsilon]] = \frac{(-i\hbar)^2}{8m^2c^2} (\alpha_i \nabla_i [\alpha_j \nabla_j, eV]) \quad (9.37c)$$

where

$$\begin{aligned} [\alpha_j \nabla_j, eV] &= \alpha_j e (\nabla_j V - V \nabla_j) \\ &= \alpha_i e (\nabla_j V) \end{aligned} \quad (9.37d)$$

which gives us

$$-\frac{1}{8m^2c^4} [\sigma, [\sigma, \varepsilon]] = \frac{(i\hbar^2)}{8m^2c^2} [\alpha_i \nabla_i, \alpha_j E_j] \quad (9.37e)$$

where

$$\begin{aligned} [\alpha_i \nabla_i, \alpha_j E_j] &= \alpha_i \alpha_j \nabla_i \cdot E_j - \alpha_j \alpha_i E_j \nabla_i \\ &= \nabla \cdot E + i\sigma (\nabla \times E) - E \cdot \nabla - i\sigma (E \times \nabla) \\ &= (\nabla \cdot E) - 2i\sigma (\mathbf{E} \times \nabla) + i\sigma [\nabla \times \mathbf{E}] \end{aligned} \quad (9.37f)$$

which leads to

$$\begin{aligned} \frac{-\sigma^4}{8m^3c^6} &= \frac{ie\hbar^2}{4m^2c^2} \left( \underbrace{\sigma \cdot (\mathbf{E} \times \nabla)}_{\text{spin orbit}} - \underbrace{\frac{\sigma (\nabla \times \mathbf{E})}{2}}_{=0 \text{ Coulomb}} - \underbrace{\frac{\hbar^2 e}{8m^2c^2} (\nabla \cdot \mathbf{E})}_{\text{Darwin term}} \right) \\ &= \frac{1}{2m^2c^2} \frac{1}{r} \frac{dV}{dr} \cdot \mathbf{S} \cdot \mathbf{L} \end{aligned} \quad (9.37g)$$

and

$$\frac{-\hbar}{4m^2c^2} \sigma \left( -\frac{dV}{dr} \cdot \mathbf{S} \cdot \mathbf{L} \right) = \frac{1}{2m^2c^2} \frac{1}{r} \frac{dV}{dr} \cdot \mathbf{S} \cdot \mathbf{L} \quad (9.37h)$$

and

$$\frac{\hbar^2}{8m^2c^2} \left( \nabla (-cE) = \frac{\hbar^2}{8mc^2} \nabla (\nabla V) \right) = \frac{+i\hbar^2 Z e^3}{2m^2c^2 \delta(r)} \quad (9.37i)$$

where we have used

$$\Delta \left( \frac{1}{r} \right) = -4\pi \delta(\mathbf{r}) \quad (9.37j)$$

and  $Z = 1$

including all other terms

- $-i\hbar \nabla \rightarrow -i\hbar \nabla + \frac{e}{c} \mathbf{A}$  (gauge symmetry)
- $\mathbf{E} = -\nabla \phi - \frac{1}{c} \dot{\mathbf{A}}$  (internal symmetry)





# SECOND QUANTIZATION

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Classical field theory  $\rightarrow$  quantized field theory “done” already for radiation field, section 5  $\rightarrow$  8

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## 10.1 Creation and annihilation operators for bosons and fermions

Bosons: recall radiation field  $\hat{a}_{\mathbf{k}\lambda}, \hat{a}_{\mathbf{k}\lambda}^\dagger$  ( $\hat{\cdot}$ : bosons, Signer will forget  $\hat{\cdot} \dots$ )  
 now  $i \sim \{\mathbf{k}, \lambda\}$ . Discrete

$$[\hat{a}_i, \hat{a}_j] = [\hat{a}_i^\dagger, \hat{a}_j^\dagger] = 0 \quad (10.1a)$$

$$[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij}, \quad (\text{discrete}) \quad (10.1b)$$

states

$$|n_1, n_2, \dots, n_m\rangle = \frac{(\hat{a}_1^\dagger)^{n_1} \dots (\hat{a}_m^\dagger)^{n_m}}{\sqrt{n_1! \dots n_m!}} |0\rangle \quad (10.1c)$$

$$\hat{a}_i |0\rangle = 0 \quad (10.1d)$$

fermions: the same except

$$[\cdot, \cdot] \equiv [\cdot, \cdot]_- \quad (10.2a)$$

$$\rightarrow \{\cdot, \cdot\} \equiv [\cdot, \cdot]_+ \quad (10.2b)$$

$$\{\hat{b}_i, \hat{b}_j^\dagger\} = \delta_{ij} \quad (10.3a)$$

$$\{\hat{b}_i, \hat{b}_j\} = \{\hat{b}_i^\dagger, \hat{b}_j^\dagger\} = 0 \quad (10.3b)$$

$$(\hat{b}_i^\dagger)^2 = 0 \quad (10.3c)$$

$$n_i = \{0, 1\}, \quad \text{Pauli} \quad (10.3d)$$

particularly:

$$|1\rangle = \hat{b}_i^\dagger |0\rangle \quad (10.3e)$$

$$\begin{aligned} \hat{b}_i^\dagger |1\rangle &= (\hat{b}_i^\dagger)^\pm |0\rangle \\ &= 0 \end{aligned} \quad (10.3f)$$

$$\begin{aligned} |n_1 = 1, n_2 = 1\rangle &= \hat{b}_1^\dagger \hat{b}_2^\dagger |0\rangle \\ &= -\hat{b}_2^\dagger \hat{b}_1^\dagger |0\rangle \\ &= -|n_2 = 1, n_1 = 1\rangle, \quad \text{antisymmetric} \end{aligned} \quad (10.3g)$$

$$\langle n_1 \dots n_m | n'_1 \dots n'_m \rangle = \delta_{n_1 n'_1} \dots \delta_{n_m n'_m}, \quad \text{orthogonality} \quad (10.3h)$$

$$\sum_{n_i} |n_1 \dots n_m\rangle \langle n_1 \dots n_m| = \mathbf{1}, \quad \text{completeness} \quad (10.3i)$$

$$\begin{aligned} n &= \sum_i n_i \\ &= \sum_i \hat{b}_i^\dagger \hat{b}_i, \quad \text{counting operator} \end{aligned} \quad (10.3j)$$

## 10.2 Field operators

Let  $\psi_i(x)$  wave function (coord. rep. of state)  $x$  : here 3-vector not 4-vector any more

$$\rightarrow \text{basis } \int d^3x \psi_i^*(x) \psi_j(x) = \delta_{ij} \quad (10.4a)$$

(can think of  $\psi_i$  as eigenfunctions of  $H$ ,  $H\psi_i = E\psi_i$ )

consider any state  $\rightarrow f(x) \in L^2$

$$\begin{aligned} f(x) &= \sum_i c_i \psi_i(x) \\ &= \int d^3\mathbf{y} f(y) \underbrace{\sum_i \psi_i^*(y) \psi_i(x)}_{\delta^{(3)}(\mathbf{x}-\mathbf{y})} \end{aligned} \quad (10.4b)$$

with

$$c_i = \int d^3\mathbf{y} \psi_i^*(y) f(y) \quad (10.4c)$$

$$\hat{\psi}(\mathbf{x}) = \sum \hat{a}_i \psi_i(\mathbf{x}) \quad (10.5a)$$

$$\hat{\psi}^\dagger = \sum \hat{a}_i^\dagger \psi_i^*(x) \quad (10.5b)$$

$$\begin{aligned} \hat{\psi}^\dagger |0\rangle &= \sum_j |j\rangle \langle j| \sum_i \hat{a}_i^\dagger \psi_i^* |0\rangle \\ &= \sum_{j,i} |j\rangle \langle 0| \hat{a}_j \hat{a}_i^\dagger |0\rangle \psi_i^\dagger(x), \end{aligned} \quad (10.5c)$$

where

$$\begin{aligned} \hat{a}_j \hat{a}_i^\dagger &= [\hat{a}_j, \hat{a}_i^\dagger]_{\mp} \pm \hat{a}_i^\dagger \hat{a}_j \\ &= \delta_{ij} \pm \text{does not contribute} \end{aligned} \quad (10.5d)$$

$$\begin{aligned} \rightsquigarrow \hat{\psi}^\dagger(x) &= \sum_i |i\rangle \psi_i^*(x) \\ &= \sum |i\rangle \langle i|x\rangle \\ &= |x\rangle \end{aligned} \quad (10.5e)$$

$\hat{\psi}^\dagger(\mathbf{x})$  creates a particle localized at  $\mathbf{x}$

$\hat{\psi}(\mathbf{x})$  annihilates a particle localized at  $\mathbf{x}$

$$\begin{aligned} [\hat{\psi}(x), \hat{\psi}(y)]_{\mp} &= [\hat{\psi}^\dagger(x), \hat{\psi}^\dagger(y)] \\ &= 0 \end{aligned} \quad (10.6a)$$

$$\begin{aligned} [\hat{\psi}(x), \hat{\psi}(y)]_{\mp} &= \sum_j \psi_i(x) \psi_j^*(y) \underbrace{[\hat{a}_i, \hat{a}_j^\dagger]_{\mp}}_{\delta_{ij}} \\ &= \sum_i \psi_i(x) \psi_j^*(g) \\ &= \delta(\mathbf{x} - \mathbf{y}) \end{aligned} \quad (10.6b)$$

Many particle states

$$|\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n\rangle = \frac{1}{\sqrt{n!}} \hat{\psi}^\dagger(\mathbf{x}_1) \dots \hat{\psi}^\dagger(\mathbf{x}_n) |0\rangle \quad (10.7)$$

Example: Two identical bosons/fermions at  $\mathbf{x}_1$  &  $\mathbf{x}_2$ :

$$\begin{aligned} \psi_{ij}(\mathbf{x}_1, \mathbf{x}_2) &= \langle \mathbf{x}_1, \mathbf{x}_2 | ij \rangle \\ &= \frac{1}{\sqrt{2}} \langle 0 | \hat{\psi}(\mathbf{x}_2) \hat{\psi}(\mathbf{x}_1) \hat{a}_i^\dagger \hat{a}_j^\dagger | 0 \rangle \\ &= \frac{1}{\sqrt{2}} \sum_{n,m} \langle 0 | \hat{a}_m \hat{a}_n \hat{a}_i^\dagger \hat{a}_j^\dagger | 0 \rangle \psi_m(\mathbf{x}_2) \psi_n(\mathbf{x}_1) \end{aligned} \quad (10.8a)$$

where

$$\langle 0 | \hat{a}_m \hat{a}_n \hat{a}_i^\dagger \hat{a}_j^\dagger | 0 \rangle = \delta_{n_1 m_j} \pm \delta_{m_i} \delta_{n_j} \begin{cases} + & \text{bosons} \\ - & \text{fermions} \end{cases} \quad (10.8b)$$

$$\begin{aligned} &\rightsquigarrow \psi_{ij}(\mathbf{x}_1, \mathbf{x}_2) \\ &= \frac{1}{\sqrt{2}} (\psi_j(\mathbf{x}_2) \psi_i(\mathbf{x}_1) \pm \psi_i(\mathbf{x}_2) \psi_j(\mathbf{x}_1)), \\ &\quad (\text{bosons symmetric, fermions antisymmetric (Slater determinant)}) \end{aligned} \quad (10.8c)$$

For fixed number  $n$  of particles Hilbert space  $\mathcal{H}_n$

$$\hat{\psi}^\dagger(\mathbf{x}) \mathcal{H}_n \rightarrow \mathcal{H}_{n-1} \quad (10.8d)$$

$$\hat{\psi}(\mathbf{x}) \mathcal{H}_n \rightarrow \mathcal{H}_{n-1} \quad (10.8e)$$

Fock space

$$\begin{aligned} \mathcal{F} &= \bigoplus_{n=0}^{\infty} \mathcal{H}_n \\ &= \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \dots \end{aligned} \quad (10.8f)$$

with  $\mathcal{H}_0$  Volume  $|0\rangle$

## 10.3 Observables in 2nd quantization

Observables expressed in terms of fields /to operators in Fock space  $\mathcal{F}$ .

Example: particle number density

**QM:** probability density

$$\rho(x) = |\psi(x)|^2 \quad (10.9)$$

**now:**

$$\begin{aligned} \rho(\hat{x}) &= \hat{\psi}^\dagger(x) \hat{\psi}(x) \\ &= \sum_{ij} \hat{a}_i^\dagger \hat{a}_j \psi_i^*(x) \psi_j(x) \\ &= \sum_{ij} \hat{a}_i^\dagger \hat{a}_j \langle x|i \rangle \langle j|x \rangle \end{aligned} \quad (10.10a)$$

$$\begin{aligned} \int d^3\mathbf{x} \hat{\rho}(x) &= \sum_{ij} \hat{a}_i^\dagger \hat{a}_j \langle j| \underbrace{\int d^3x |x\rangle \langle x|}_{\mathbf{1}} |i\rangle \\ &= \sum_i \hat{a}_i^\dagger \hat{a}_i \\ &= \sum_i n_i \end{aligned} \quad (10.10b)$$

$$\hat{T} = \int d^3\mathbf{x} \hat{\psi}^\dagger \left( -\frac{\hbar^2 \nabla^2}{2m} \right) \hat{\psi}(x) \quad (10.11a)$$

$$\hat{\psi}(x) = \sum_i \hat{a}_i \psi_i(x) \quad (10.12a)$$

$$\hat{\psi}^\dagger(x) = \sum_i \hat{a}_i^\dagger \quad (10.12b)$$

$$\hat{\psi}(x) = \int \frac{d^3k}{(2\pi)^3} \hat{a}_k e^{-i\mathbf{k} \cdot \mathbf{x}} \quad (10.12c)$$

$$\hat{\psi}^\dagger(x) = \int \frac{d^3k}{(2\pi)^3} \hat{a}_k^\dagger e^{i\mathbf{k} \cdot \mathbf{x}} \quad (10.12d)$$

$$\begin{aligned} \hat{T} &= \int d^3\mathbf{x} \int \frac{d^3k'}{(2\pi)^3} \underbrace{e^{i\mathbf{x}(\mathbf{k}-\mathbf{k}')}}_{(2\pi)^3\delta(\mathbf{k}-\mathbf{k}')} \hat{a}_k^\dagger \hat{a}_{k'} \left( -\frac{\hbar^2 (i\mathbf{k}')^2}{2m} \right) \\ &= \int \frac{d^3k}{(2\pi)^3} \frac{\hbar^2 \mathbf{k}^2}{2m} \underbrace{\hat{a}_k^\dagger \hat{a}_k}_{N_k} \end{aligned} \quad (10.12e)$$

$N_k$  counts number of particles of kind  $k$ . Potential

$$U(x) = \int \frac{d^3q}{(2\pi)^3} e^{-i\mathbf{q} \cdot \mathbf{x}} \tilde{U}(\mathbf{q}) \quad (10.12f)$$

$$\begin{aligned} \hat{U} &= \int d^3\mathbf{x} \hat{\psi}^\dagger(x) U(x) \hat{\psi}(x) \\ &= \int \frac{d^3k_1}{(2\pi)^3} \int \frac{d^3k_2}{(2\pi)^3} \int \frac{d^3q}{(2\pi)^3} \int \underbrace{d^3x e^{i\mathbf{k}_1 \cdot \mathbf{x}} e^{-i\mathbf{k}_2 \cdot \mathbf{x}} e^{-i\mathbf{q} \cdot \mathbf{x}}}_{(2\pi)^3\delta(\mathbf{k}_1-\mathbf{k}_2-\mathbf{q})} \hat{a}_{k_1}^\dagger \hat{a}_{k_2} \tilde{U}(\mathbf{q}) \\ &= \int \frac{d^3\mathbf{k}_1}{(2\pi)^3} \int \frac{d^3\mathbf{k}_2}{(2\pi)^3} \tilde{U}(\mathbf{k}_1\mathbf{k}_2) \end{aligned} \quad (10.12g)$$

$$V(x_1, x_2) = V(x_1 - x_2) \quad (10.12h)$$

$$= \int \frac{d^3q}{(2\pi)^3} e^{-i\mathbf{q}(\mathbf{x}_1-\mathbf{x}_2)} \tilde{V}(q)$$

$$\begin{aligned}
 \hat{V} &= \int d^3x_1 \int d^3x_2 \hat{\psi}^\dagger(x_1) \hat{\psi}^\dagger(x_2) V(x_1 - x_2) \hat{\psi}(x_1) \hat{\psi}(x_2) \\
 &= \int \prod_{i=1}^4 \frac{dk_i}{(2\pi)^3} \int \frac{d^3q}{(2\pi)^3} \cdot \int d^3x_1 e^{i\mathbf{x}_1(\mathbf{k}_1 - \mathbf{k}_3 - \mathbf{q})} \\
 &\quad \cdot \int d^3x_2 e^{i\mathbf{x}_2(\mathbf{k}_2 - \mathbf{k}_4 + \mathbf{q})} \hat{a}_{k_1}^\dagger \hat{a}_{k_2}^\dagger \hat{a}_{k_3} \hat{a}_{k_4} \\
 &= \int \frac{d^3\mathbf{k}_1}{(2\pi)^3} \int \frac{d^3\mathbf{k}_2}{(2\pi)^3} \int \frac{d^3q}{(2\pi)^3} \hat{a}_{k_1}^\dagger \hat{a}_{k_2}^\dagger \hat{a}_{\mathbf{k}_1 - \mathbf{q}} \hat{a}_{\mathbf{k}_2 + \mathbf{q}} \tilde{V}(q)
 \end{aligned} \tag{10.12i}$$

consider commutation relation between field operators:

$$\begin{aligned}
 [\hat{\psi}(x), \hat{\psi}(y)]_{\mp} &= \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} \int \frac{d^3\mathbf{k}'}{(2\pi)^3} e^{-i\mathbf{k} \cdot \mathbf{x}} e^{+i\mathbf{k}' \cdot \mathbf{y}} \underbrace{[\hat{a}_k, \hat{a}_{k'}^\dagger]}_{(2\pi)^3 \delta(\mathbf{k} - \mathbf{k}')} \stackrel{!}{=} \delta(\mathbf{x} - \mathbf{y})
 \end{aligned} \tag{10.13a}$$

with the  $(2\pi)^3$  of  $(2\pi)^3 \delta(\mathbf{k} - \mathbf{k}')$  being convection and generalization of

$$[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij} \tag{10.13b}$$

time dependence of field operator: Schrödinger picture  $\rightarrow$  Heisenberg

$$\underbrace{\hat{\psi}(\mathbf{x}, t)}_{\text{Heisenberg}} = e^{\frac{i}{\hbar} \hat{H} t} \underbrace{\hat{\psi}(\mathbf{x})}_{\text{Schrödinger}} e^{-\frac{i}{\hbar} \hat{H} t} \tag{10.14a}$$

$$\begin{aligned}
 i\hbar \frac{\partial}{\partial t} \hat{\psi}(\mathbf{x}, t) &= - [\hat{H}, \hat{\psi}(\mathbf{x}, t)] \\
 &= - [\hat{H}, \hat{\psi}(\mathbf{x})] \\
 &= -e^{\frac{i}{\hbar} \hat{H} t} [\hat{H}, \hat{\psi}(x)] e^{-\frac{i}{\hbar} \hat{H} t}
 \end{aligned} \tag{10.14b}$$

take free case

$$\hat{H} = \hat{T}, \quad \text{bosons} \tag{10.14c}$$

$$[\hat{T}, \hat{\psi}(x)] = \int d^3y \frac{\hbar^2}{2m} [\nabla_y \psi^\dagger(y) \nabla_y \hat{\psi}(y), \hat{\psi}(x)] \tag{10.14d}$$

from now on, don't write  $\nabla_y$  but  $\nabla$

$$\begin{aligned}
 [\nabla_y \psi^\dagger(y) \nabla_y \hat{\psi}(y), \hat{\psi}(x)] &= \nabla \hat{\psi}^\dagger(y) \nabla \hat{\psi}(y) \hat{\psi}(x) - \hat{\psi}(x) \nabla \hat{\psi}^\dagger(y) \nabla \hat{\psi}(y) \\
 &= \nabla \hat{\psi}^\dagger(y) \hat{\psi}(x) \nabla \hat{\psi}(y) - \hat{\psi}(x) \nabla \hat{\psi}^\dagger(y) \nabla \hat{\psi}(y) \\
 &= \nabla \left( [\hat{\psi}^\dagger(y), \hat{\psi}(x)] \right. \\
 &\quad \left. + \underbrace{\hat{\psi}(x) \hat{\psi}^\dagger(y)}_{=0} \right) \nabla \hat{\psi}(y) - \hat{\psi}(x) \nabla \hat{\psi}^\dagger(y) \nabla \hat{\psi}(y) \\
 &= \int d^3y \frac{-\hbar^2}{2m} \nabla_y \delta(\mathbf{x} - \mathbf{y}) \nabla \hat{\psi}(y) \\
 &= \frac{\hbar^2}{2m} \nabla_x^2 \hat{\psi}(x)
 \end{aligned}$$

in free case

$$i\hbar \frac{\partial}{\partial t} \hat{\psi}(x, t) = -\frac{\hbar^2}{2m} \nabla^2 \hat{\psi}(x, t) + \diamond \quad (10.14e)$$

$\diamond$  with interactions much more complicated  $\rightarrow$  QFT

## 10.4 Quantization of relativistic fields

- Start with Lagrangian density  $\mathcal{L}$  for classical field theory
- Compute conjugate momentum field
- impose equal-time (anti-) commutation relations

**Example 1: free scalar field**  $\Phi(\mathbf{x}, t) = \Phi(x)$

•

$$\mathcal{L} = \frac{1}{2} \partial_\mu \Phi \partial^\mu \Phi - \frac{1}{2} \underbrace{\frac{m^2 c^2}{\hbar^2}}_{\equiv m^2} \Phi^2 - \underbrace{V(\Phi)}_{=0} \quad (10.15a)$$



free field (no interactions). Action:

$$\begin{aligned} S &= \int dt \int d^3x \mathcal{L} \\ &= \int d^4x \mathcal{L}(\Phi, \partial_\mu \Phi) \end{aligned} \quad (10.15b)$$

$$\delta S = 0 \quad (10.15c)$$

$$\rightarrow \frac{\partial \mathcal{L}}{\partial \Phi} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi)} = 0 \quad (10.15d)$$

for our  $\mathcal{L}$ :

$$-m^2 \Phi - \partial_\mu \partial^\mu \Phi = 0 \quad (10.15e)$$

Klein-Gordon equation

- Conjugate momentum field

$$\begin{aligned} \pi(\mathbf{x}, t) &= \frac{\partial \mathcal{L}}{\partial \dot{\Phi}} \\ &= \dot{\Phi}(\mathbf{x}, t) \end{aligned} \quad (10.16a)$$

Hamiltonian

$$\begin{aligned} H &= \int d^3\mathbf{x} \pi \dot{\Phi} - L \\ &= \int d^3x (\pi \dot{\Phi} - \mathcal{L}) \\ &= \frac{1}{2} \int d^3x (\pi^2 + (\nabla \Phi)^2 + m^2 \Phi^2) \end{aligned} \quad (10.16b)$$

with  $\mathcal{L}$  the Lagrangian density

$$\begin{aligned} \int d^3x \mathcal{L} &= L \\ &= \text{Lagrangian} \end{aligned} \quad (10.16c)$$

- now second quantization:

$$\Phi(x) \rightarrow \hat{\Phi}(x) \quad (10.17a)$$

and

$$\pi(x) \rightarrow \hat{\pi}(x) \quad (10.17b)$$

$$\begin{aligned} [\hat{\phi}(\mathbf{x}, t), \hat{\phi}(\mathbf{y}, t)] &= [\hat{\pi}(\mathbf{x}, t), \hat{\pi}(\mathbf{y}, t)] \\ &= 0 \end{aligned} \quad (10.17c)$$

impose

$$[\hat{\Phi}(\mathbf{x}, t), \hat{\pi}(\mathbf{y}, t)] = i\delta(\mathbf{x} - \mathbf{y}) \quad (10.17d)$$

$$\sim [x_i, p_j] = i\delta_{ij}, \quad (\hbar = 1) \quad (10.17e)$$

spin 0, bosonic field use  $[\cdot, \cdot] = [\cdot, \cdot]_-$  and not  $\{\cdot, \cdot\} = [\cdot, \cdot]_+$

now as for radiation field

$$\hat{\Phi}(\mathbf{x}, t) = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_k} \left( \hat{a}_k e^{i\mathbf{k}\mathbf{x} - i\omega_k t} + \hat{a}_k^\dagger e^{-i\mathbf{k}\mathbf{x} + i\omega_k t} \right) \quad (10.17f)$$

with  $\omega_k = \sqrt{\mathbf{k}^2 + m^2}$  this satisfies KGE

$$\begin{aligned} \hat{\pi}(\mathbf{x}, t) &= \dot{\hat{\Phi}}(\mathbf{x}, t) \\ &= \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_k} \left( -i\omega_k \hat{a}_k e^{i\mathbf{k}\mathbf{x} - i\omega_k t} + i\omega_k \hat{a}_k^\dagger e^{-i\mathbf{k}\mathbf{x} + i\omega_k t} \right) \end{aligned} \quad (10.17g)$$

Note: change in normalization:

$$\int \frac{d^3\mathbf{k}}{(2\pi)^3} \rightarrow \underbrace{\int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{2\omega_k}}_{\text{Lorentz invariant}} \quad (10.17h)$$

**Proof:**

$$\begin{aligned} &\int \frac{d^3k}{(2\pi)^4} 2\pi\delta(k^2 - m^2) \sigma(k_0), \\ &(\text{manifestly } L\text{-invariant}) = \int \frac{d^4k}{(2\pi)^3} \delta(k^2 - m^2) \vartheta(k_0) \\ &= \int \frac{d^4k}{(2\pi)^3} \frac{1}{2\omega_k} (\delta(k_0 - \omega_k) + \delta(k_0 + \omega_k) \vartheta(k_0)) \\ &= \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{\omega_k} \end{aligned} \quad (10.18a)$$

where in  $\delta(k_0 + \omega_k)$   $\omega_k$  does not contribute and we used

$$\delta(f(x)) = \sum_i \frac{1}{|f(x_i)|} \delta(x_i), \quad f(x_i) = 0 \quad (10.18b)$$

Express  $\hat{a}_k$  and  $\hat{a}_k^\dagger$  in terms of  $\hat{\phi}$  and  $\hat{\pi}$

$$\int d^3x e^{i\mathbf{k} \cdot \mathbf{x}} \left( \omega_k \hat{\phi}(\mathbf{x}, t) + i\hat{\pi}(\mathbf{x}, t) \right) = \dots \hat{a}_k e^{-i\omega_k t} \quad (10.18c)$$

$$\Rightarrow \hat{a}_k = \int d^3\mathbf{x} e^{ikx} \left( \omega_k \hat{\phi} + i\hat{\pi} \right) \quad (10.18d)$$

$$\hat{a}_k^\dagger = \int d^3x e^{-i\mathbf{k}\mathbf{x}} \left( \omega_k \hat{\phi} - i\hat{\pi} \right) \quad (10.18e)$$

check consistency:

$$\left[ \hat{a}_k, \hat{a}_{k'}^\dagger \right] = \int d^3\mathbf{x} \int d^3\mathbf{y} e^{i\omega_k t - i\mathbf{k}\mathbf{x}} e^{-i\omega_{k'} t + i\mathbf{k}'\mathbf{x}} \left[ \omega_k \hat{\phi} + i\hat{\pi}, \omega_{k'} \hat{\phi} \pm i\hat{\pi} \right] \quad (10.18f)$$

where

$$\left[ \omega_k \hat{\phi} + i\hat{\pi}, \omega_{k'} \hat{\phi} \pm i\hat{\pi} \right] = (\omega_k \mp \omega_{k'}) \delta(\mathbf{x} - \mathbf{y}) \quad (10.18g)$$

$$\begin{aligned} \rightsquigarrow \left[ \hat{a}_k, \hat{a}_{k'}^\dagger \right] &= \int d^3\mathbf{x} e^{-i\mathbf{x}(\mathbf{k}-\mathbf{k}')} e^{i(\omega_k - \omega_{k'})t} \\ &= (2\pi)^3 2\omega_k \delta(\mathbf{k} - \mathbf{k}') \end{aligned} \quad (10.18h)$$

where

$$\int d^3\mathbf{x} e^{-i\mathbf{x}(\mathbf{k}-\mathbf{k}')} = (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}') \quad (10.18i)$$

$$\rightsquigarrow \hat{H} = \dots$$

$$= \frac{1}{2} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{2\omega_k} \omega_k \left( \hat{a}_k^\dagger \hat{a}_k + \underbrace{\hat{a}_k \hat{a}_k^\dagger}_{\hat{a}^\dagger \hat{a}_k + \sim \delta(0)} \right) \quad (10.18j)$$

where  $\delta(0)$  is infinite ground state energy but no absolute energy scale (only care about energy differences)

normal ordering: (anti) commute all  $\hat{a}^\dagger$  to the left of  $\hat{a}$

$$\hat{H} := \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_k} \left( \hat{a}_k^\dagger \hat{a}_k \right) \omega_k, \quad \text{subtracted } \langle 0 | H | 0 \rangle = \infty \quad (10.19)$$

$$\mathcal{L} = \bar{\psi}(x) (i\not{\partial} - m) \psi(x), \quad (\hbar = 1, c = 1) \quad (10.20a)$$

$$\begin{aligned} \frac{\partial \mathcal{L}}{\partial \psi} - \partial^\mu \frac{\partial \mathcal{L}}{\partial (\partial^\mu \psi)} \\ &= 0 \\ &= -m\bar{\psi} - \partial^\mu (\bar{\psi} i \gamma_\mu) \\ &= \underbrace{\bar{\psi} (i\not{\partial} + m)}_{\text{adjoint Dirac equation}} \\ &= 0 \end{aligned} \quad (10.20b)$$

Field momentum conjugate to  $\psi$

$$\begin{aligned} \pi &\doteq \frac{\partial \mathcal{L}}{\partial \dot{\psi}} \\ &= \bar{\psi} i \Gamma^0 i \psi^\dagger \end{aligned} \quad (10.21a)$$

Hamiltonian

$$\begin{aligned} H &= \int d^3x (\pi \dot{\psi} - \mathcal{L}) \\ &= \int d^3x i \psi^\dagger \psi \end{aligned} \quad (10.21b)$$

add  $\hat{\cdot}$  and impose

$$\begin{aligned} \{\hat{\psi}_\alpha(\mathbf{x}, t), \hat{\psi}_\beta(\mathbf{y}, t)\} &= \{\hat{\pi}_\alpha(\mathbf{x}, t), \hat{\pi}_\beta(\mathbf{y}, t)\} \\ &= 0 \end{aligned} \quad (10.21c)$$

$$\begin{aligned} \{\hat{\psi}_\alpha(\mathbf{x}, t), \hat{\pi}_\beta(\mathbf{y}, t)\} &= i \Delta_{\alpha\beta} \delta(\mathbf{x} - \mathbf{y}), \\ \alpha, \beta &\in \{1, \dots, 4\} \text{ spinor indices} \end{aligned} \quad (10.21d)$$

fermions  $\Rightarrow$  use

$$\{\cdot, \cdot\} = [\cdot, \cdot]_+ \quad (10.22)$$

and not

$$[\cdot, \cdot] = [\cdot, \cdot]_- \quad (10.23)$$

field operators in momentum representaion

$$v=0$$

$$\hat{\psi}_\alpha = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_k} \sum_{r=1}^2 \left( \hat{b}(\mathbf{k}, r) U_\alpha(k, r) e^{-ikx} + \hat{d}^\dagger(k, r) V_\alpha(k, r) e^{ikx} \right) \quad (10.24a)$$

where  $\int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_k}$  is Lorentz invariant and  $\sum_{r=1}^2$  from spin and  $b^\dagger$  creates  $E > 0$  electrons and  $d^\dagger$  creates a  $E > 0$  positron satisfies Dirac, since

$$(k - m) u = 0 \quad (10.24b)$$

$$-k - m \quad (10.24c)$$

The anti commutation relations of the fields are consistent with

$$\begin{aligned} \{\hat{b}_{kr}, \hat{b}_{k'r'}\} &= \{\hat{d}_{kr}, \hat{d}_{k'r'}^\dagger\} \\ &= (2\pi)^3 2\omega_k \delta_{rr'} \delta(\mathbf{k} - \mathbf{k}') \end{aligned} \quad (10.25a)$$

$$\begin{aligned} \{\hat{b}, \hat{b}\} &= \{\hat{b}^\dagger, \hat{d}^\dagger\} \\ &= \{b, d\} \\ &= \dots \\ &= 0 \end{aligned} \quad (10.25b)$$

Check:

$$\begin{aligned} &\{\hat{\psi}_\alpha, \hat{\psi}_\beta^\dagger\} \\ &= \int \frac{d^3k}{(2\pi)^3} 2\omega_k \int \frac{d^3k'}{(2\pi)^3} \frac{1}{2\omega_{k'}} \sum_r \left( \{\hat{b}_{kr}, \hat{b}_{k'r'}\} U_\alpha(\mathbf{k}, r) U_\beta^\dagger(k', r') e^{-ikx} e^{ik'y} \right. \\ &\quad \left. + \{\hat{d}_{kr}^\dagger, \hat{d}_{k'r'}\} V_\alpha(k, r) V_\beta^\dagger(k', r') e^{ikr} e^{-ik'y} \right) \\ &= \int \underbrace{\frac{d^3k}{(2\pi)^3} 2\omega_k}_{\text{section 9.4}} \frac{1}{2\omega_k} \sum_r \left( (U(k, r) \bar{U}(k, r) \gamma_0)_{\alpha\beta} e^{-ik(x-y)} \right. \\ &\quad \left. - (U(k, r) \bar{U}(k, r) \gamma_0)_{\alpha\beta} e^{ik(x-y)} \right) \\ &= \int \frac{d^3k}{(2\pi)^3} 2\omega_k \sum_r \left( \hat{b}_{kr}^\dagger \hat{b}_{kr} - \hat{d}_{kr} \hat{d}_{kr}^\dagger \right) \end{aligned} \quad (10.26a)$$

where

$$(U(k, r) \bar{U}(k, r) \gamma_0)_{\alpha\beta} = ((k + m) \gamma_0)_{\alpha\beta} \quad (10.26b)$$

and

$$(U(k, r) \bar{U}(k, r) \gamma_0)_{\alpha\beta} = ((-k + m) \gamma_0)_{\alpha\beta} \quad (10.26c)$$

in 2nd term change integration variable  $\mathbf{k} \rightarrow -\mathbf{k}$

$$\begin{aligned} \rightsquigarrow \{\hat{\psi}_\alpha, \hat{\psi}_\beta^\dagger\} &= \int \frac{d^3\mathbf{k}}{(2\pi)^3 (2\omega_k)} ((k_0 \gamma_0 - \mathbf{k}\boldsymbol{\gamma} + m) \gamma_0 \\ &\quad + (k_0 \gamma_0 + \mathbf{k}'\boldsymbol{\gamma} - m) \gamma_0)_{\alpha\beta} e^{ik(\mathbf{x}-\mathbf{y})} \\ &= \int \frac{d^3k}{(2\pi)^3 2\omega_k} 2\omega_k \delta_{\alpha\beta} e^{ik(\mathbf{x}-\mathbf{y})} \\ &= \delta_{\alpha\beta} \delta(\mathbf{x} - \mathbf{y}) \end{aligned} \quad (10.26d)$$

$$\begin{aligned} \hat{H} &= \dots \\ &= \int \frac{d^3k}{(2\pi)^3 2\omega_k} \omega_k \sum_r (\hat{b}_{kr}^\dagger \hat{b}_{kr} - \hat{d}_{kr} \hat{d}_{kr}^\dagger) \end{aligned} \quad (10.26e)$$

$$\hat{H} = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \omega_k \sum_r (\hat{b}_{kr}^\dagger \hat{b}_{kr} + \hat{d}_{kr}^\dagger \hat{d}_{kr}) \quad (10.26f)$$

$$\begin{aligned} \hat{Q} &= \hat{j}^0 \\ &= \hat{\bar{\psi}} \gamma^0 \hat{\psi} \\ &= \dots \\ &= \int \frac{d^3k}{(2\pi)^3 2\omega_k} \sum_r (\hat{b}_{kr}^\dagger \hat{b}_{kr} - \hat{d}_{kr}^\dagger \hat{d}_{kr}) \end{aligned} \quad (10.26g)$$

WHY all this? Add interactions  $p^\mu \rightarrow p^\mu + eA^\mu$  or  $i\not{\partial} \rightarrow i\not{\partial} + e\not{A}$

$$\mathcal{L} = \bar{\psi} (i\not{\partial} - m) \psi + eA_\mu \bar{\psi} \gamma^\mu \psi \quad (10.27a)$$

with

$$eA_\mu \bar{\psi} \gamma^\mu \psi = eA_\mu j^\mu \quad (10.27b)$$

recall (section 5)

$$\begin{aligned} \hat{U} &= T \left( e^{-i \int_{t_0}^t dt \hat{V}_I(t)} \right) \\ &= \left( 1 - i \int_{t_0}^t dt \hat{V}_I + \dots \right) \end{aligned} \quad (10.27c)$$

recall section 4, transition matrix elements  $\langle f | \hat{U} | i \rangle$  with  $f$  and  $i$  having different particle content