Compiling SHACL into SQL

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Shapes Constraint Language (SHACL)

- Shapes are constraints on a graph, and consists of two parts:
 - the **shape expression**: describes a constraint on a node
 - the target declaration: descibes which nodes need to be checked
- Checking whether a shape holds in a graph is called validation
- For example:
 - "has an author" is a shape expression
 - "every book" is a target declaration

```
:BookShape a sh:PropertyShape ;
    sh:path :author ;
    sh:minCount 1 .

:BookShape sh:targetClass :Book .
type book type

The Hobbit

The Two
Towers

author tolkien
```

Logical SHACL

Shape Expressions

```
E \coloneqq p \mid p^- \mid E \cdot E \mid E \cup E \mid E^*
\phi \coloneqq \top \mid hasValue(c) \mid eq(E,p) \mid disj(E,p) \mid closed(Q)
\mid hasShape(s) \mid lessThan(E,p) \mid uniquelang(E)
\mid \geq_n E. \phi \mid \phi \lor \phi \mid \phi \land \phi \mid \neg \phi
```

- A shape is a triple consisting of a shape name, expression, and target
- Target declarations can also be expressed as shapes
- For example: "sh:targetClass:Book" is \geq_1 type. hasValue(:Book)
- We formulate our previous example in logical SHACL as a triple: (:BookShape, \geq_1 author, \geq_1 type. hasValue(:Book))

Validation is Querying

- Shape expressions and target declarations are like node-queries:
 - shape_expr(node) retrieves all nodes satisfying a shape
 - target(node) retrieves all targeted nodes
- Retrieving all *violating* nodes, i.e., validation, is the difference query:
 - violation(node) = target(node) shape_expr(node)
- SHACL is a powerful language with logical constructs and we can write the violation query as a shape expression itself!
- Our example for :BookShape is then:

$$\geq_1$$
 type. $hasValue(:Book) \land \neg \geq_1$ author

Analytical Queries

Hypothesis

SHACL validation is analytical querying

- Often non-monotonic queries with aggregation
 - "Qualified Value Shapes" (of the form $\geq_n p.\phi$) are group joins with count aggregation
 - Lessthan constraints are min/max aggregation queries with counting
 - Disjointness constraints and closedness are difference queries
- These are usually formulated in SQL
- Our goal:

Test this hypothesis out of the box

Methodology: a translation

- Validation amounts to shape evaluation, so the focus is on the translation of a single shape expression
- Given a shape expression ϕ , we define a SQL query Q_{ϕ} such that: For a given graph G, nodes satisfying ϕ in G are exactly the nodes returned by executing Q_{ϕ} on the relational database representation of G
- We will:
 - Define the relational representation of G
 - Utilize the *negation normal form* of ϕ to obtain an efficient translation
 - Use the SQL database DuckDB "out-of-the-box"

Translation: the database schema

- Tries to stay close to the RDF specification
- Uses "pooling" technique (nodes get an identifier)
- Database schema:
 - IRIs(Node: int64, Value: string)
 - Blanks(Node: int64)
 - Literals(Node: int64, Value: string, Type: string, Lang: string)
 - Nodes(Node: int64)
 - Triples(Subject: int64, Predicate: string, Object: int64)
 - Numerics(Node: int64, Value: double)

Translation: Cardinality Constraints (1)

• (Qualified) min count: $\geq_n p.\phi$

SELECT Subject AS Node **FROM** Triples, $(Q(\phi))$ **AS** Q(Node) **WHERE** Predicate = p **AND** Object = Q.Node **GROUP BY** Subject **HAVING COUNT**(*) >= n

• (Qualified) min and max count: $\geq_n p.\phi \land \leq_m p.\phi$

SELECT Subject AS Node **FROM** Triples, $(Q(\phi))$ **AS** Q(Node) **WHERE** Predicate = p **AND** Object = Q.Node **GROUP BY** Subject **HAVING COUNT**(*) >= n **AND COUNT**(*) <= m

Translation: Cardinality Constraints (2)

(Qualified) max count:

$$\leq_n p.\phi$$

```
SELECT Node FROM Nodes WHERE Node NOT IN (Q_{\geq n+1}\,p.\phi))
```

• (Qualified) universal:

```
\forall p. \phi
```

```
SELECT Node FROM Nodes WHERE NOT EXISTS ( SELECT * FROM Triples, (Q_{\phi}) AS Q(Node) WHERE Predicate = p AND Subject = Node AND Object NOT IN Q )
```

Translation: Equality Constraint eq(p,q)

```
SELECT Node FROM Nodes
WHERE NOT EXISTS
       SELECT Object FROM Triples
(((
       WHERE Predicate = p AND
               Subject = Node
) EXCEPT (
       SELECT Object FROM Triples
       WHERE Predicate = p AND
               Subject = Node
)) UNION ((
       SELECT Object FROM Triples
       WHERE Predicate = q AND
               Subject = Node
 EXCEPT (
       SELECT Object FROM Triples
       WHERE Predicate = p AND
               Subject = Node )))
```

Translation: Equality Constraint $\neg eq(p,q)$

```
SELECT Node FROM Nodes
WHERE NOT EXISTS
       SELECT Object FROM Triples
(((
       WHERE Predicate = p AND
               Subject = Node
) EXCEPT (
       SELECT Object FROM Triples
       WHERE Predicate = p AND
               Subject = Node
)) UNION ((
       SELECT Object FROM Triples
       WHERE Predicate = q AND
               Subject = Node
 EXCEPT (
       SELECT Object FROM Triples
       WHERE Predicate = p AND
               Subject = Node )))
```

```
SELECT Node FROM Nodes
WHERE EXISTS
       SELECT * FROM Triples
       WHERE Predicate = p AND
               Object NOT IN (
                      SELECT Object FROM Triples
                      WHERE Subject = Node AND
                              Predicate = q)
 ) UNION (
       SELECT * FROM Triples
       WHERE Predicate = q AND
               Object NOT IN (
                      SELECT Object FROM Triples
                      WHERE Subject = Node AND
                              Predicate = p )))
```

Translation: everything else

- Our translation covers:
 - All "logical" features:

```
sh:and, sh:or, sh:not, sh:xone, sh:disjoint, sh:equals, ...
```

Most of the tests on RDF Literals:

```
sh:lessThan, sh:hasvalue, sh:datatype, sh:pattern, sh:languageIn, ...
```

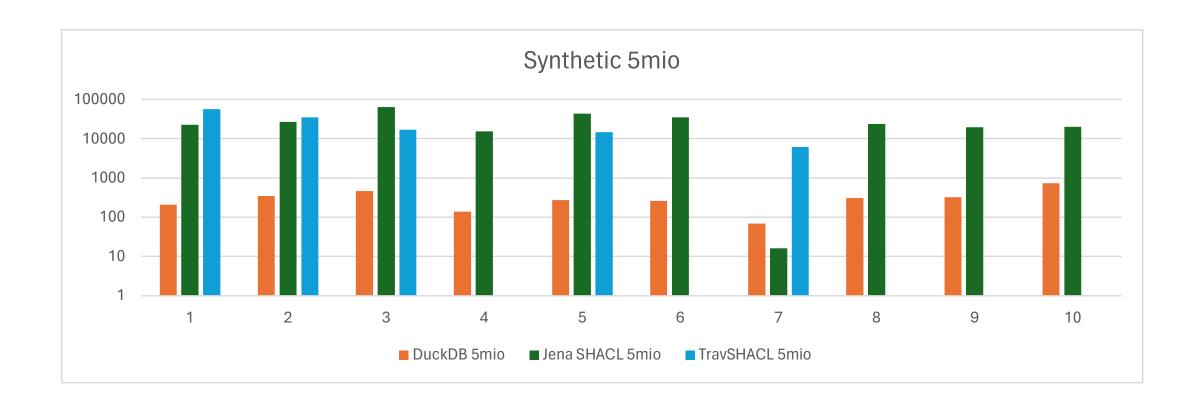
- Does not cover:
 - Full path expressions (only inverse)
 - Comparison constraints on values other than numerics
- Full description in the paper, and implemented & available online

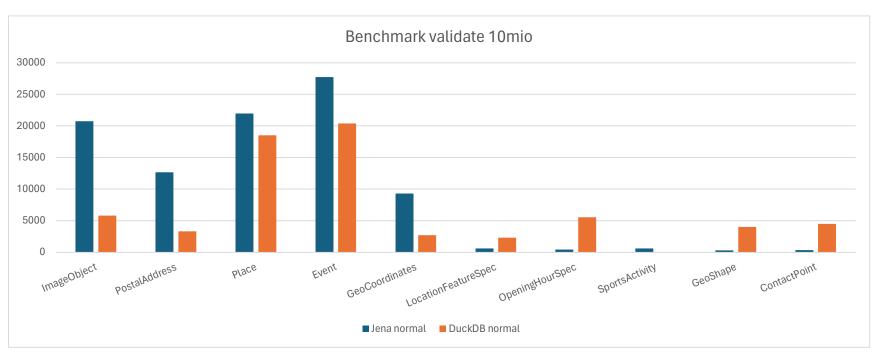


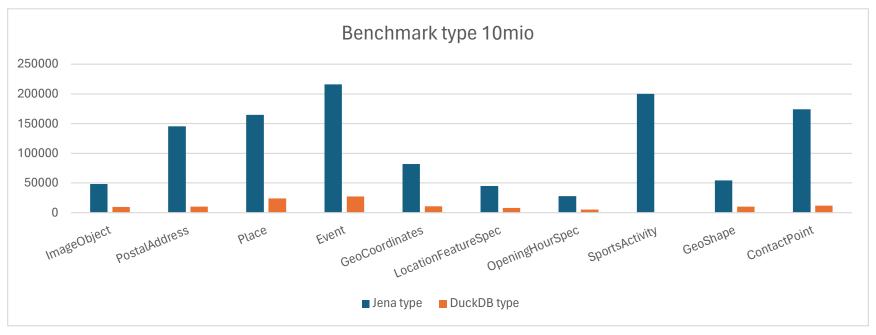
Experimental Setup

Three different experiments:

- 1. Synthetic shapes and data
 - covers many SHACL features
 - simple synthetic data
- 2. Tyrolian benchmark
 - uses real data
 - mostly conjunctions of datatype tests
- 3. DBLP data with custom shapes
 - covers more complex shapes
 - uses real data







Concuding Remarks

- Recent advances in databases are relevant for SHACL validators
- SHACL is like analytical querying
- Our approach runs best if there are many targets

- Expansion to support more complex path expressions
- Expansion to support recursion