# 线性代数习题解答1

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# 目 录

第一章	行列式	1
第二章	矩阵	22
	向量组的线性相关性	
第四章	线性方程组	69
第五章	矩阵的相似对角化	91
	二次型	
附录: 习是	0参考答案	129

<sup>1</sup> 教材:段正敏,颜军,阴文革:《线性代数》,高等教育出版社,2010。

## 第一章 行列式

- 1. 填空题:
- (1) 3421 的逆序数为 5;

解: 该排列的逆序数为t=0+0+2+3=5.

(2) 517924 的逆序数为 7 ;

解:该排列的逆序数为t=0+1+0+0+3+3=7.

(3) 设有行列式

$$D = \begin{vmatrix} 2 & 5 & -1 & 3 & 0 \\ 1 & -1 & 2 & 0 & 4 \\ 6 & 5 & -4 & 3 & 2 \\ 1 & 0 & 0 & 7 & 8 \\ -1 & 1 & 1 & 3 & 2 \end{vmatrix} = \Delta(a_{ij}),$$

含因子 $a_{12}a_{31}a_{45}$ 的项为\_\_\_\_\_\_;

解: 
$$(-1)^{t(23154)}a_{12}a_{23}a_{31}a_{45}a_{54} = (-1)^3 \cdot 5 \cdot 2 \cdot 6 \cdot 8 \cdot 3 = -1440$$

$$(-1)^{t(24153)}a_{12}a_{24}a_{31}a_{45}a_{53} = (-1)^4 \cdot 5 \cdot 0 \cdot 6 \cdot 8 \cdot 1 = 0$$

所以D含因子 $a_{12}a_{31}a_{45}$ 的项为-1440和0.

(4) 若
$$n$$
 阶行列式 $D_n = \Delta(a_{ij}) = a$ ,则 $D = \Delta(-a_{ij}) = (-1)^n a$  ;

解: :: 行列式D中每一行可提出一个公因子-1,

$$\therefore D = \Delta(-a_{ij}) = (-1)^n \Delta(a_{ij}) = (-1)^n a.$$

解: f(x) 是一个 Vandermonde 行列式,

$$f(x) = (x-1)(x-2)(x+2)(-2-1)(-2-2)(2-1) = 0$$
的根为 1, 2, -2.

(6) 设 $x_1, x_2, x_3$ 是方程 $x^3 + px + q = 0$ 的三个根,则行列式

$$\begin{vmatrix} x_1 & x_2 & x_3 \\ x_3 & x_1 & x_2 \\ x_2 & x_3 & x_1 \end{vmatrix} = \underline{\qquad 0};$$

解: 根据条件有 $x^3 + px + q = (x - x_1)(x - x_2)(x - x_3) = x^3 - (x_1 + x_2 + x_3)x^2 + ax - x_1x_2x_3$ 

比较系数可得: 
$$x_1 + x_2 + x_3 = 0$$
,  $x_1x_2x_3 = -q$ 

再根据条件得: 
$$\begin{cases} x_1^3 = -px_1 - q \\ x_2^3 = -px_2 - q \\ x_3^3 = -px_3 - q \end{cases}$$

原行列式= $x_1^3 + x_2^3 + x_3^3 - 3x_1x_2x_3 = -p(x_1 + x_2 + x_3) - 3q - 3 \cdot (-q) = 0$ .

(7) 设有行列式 
$$\begin{vmatrix} x & 2 & 3 \\ -1 & x & 0 \\ 0 & x & 1 \end{vmatrix} = 0$$
, 则  $x = \underbrace{1, 2}$ ;

解: 
$$\begin{vmatrix} x & 2 & 3 \\ -1 & x & 0 \\ 0 & x & 1 \end{vmatrix} = x^2 - 3x + 2 = (x - 1)(x - 2) = 0$$

 $\therefore x = 1, 2$ .

解:按第一列展开 $f(x) = a_{11}A_{11} + a_{21}A_{21} + a_{31}A_{31} + xA_{41}$ ,

 $\therefore A_{11}, A_{21}, A_{31}$ 中最多只含有 $x^2$ 项, $\therefore$ 含有 $x^3$ 的项只可能是 $xA_{41}$ 

$$xA_{41} = x(-1)^{4+1} \begin{vmatrix} a_{12} & a_{13} & x \\ a_{22} & x & a_{24} \\ x & a_{33} & a_{34} \end{vmatrix}$$
$$= -x \left[ x \left( a_{12}a_{34} + a_{13}a_{24} + a_{22}a_{33} \right) - \left( x^3 + a_{13}a_{22}a_{34} + a_{12}a_{24}a_{33} \right) \right]$$

 $\therefore xA_{41}$  不含 $x^3$  项, $\therefore f(x)$  中 $x^3$  的系数为 0.

$$\mathbf{M}: \begin{vmatrix}
1 & 2 & 3 & 4 \\
6 & 5 & 4 & 3 \\
0 & 0 & 2 & x \\
0 & 0 & 3 & 3
\end{vmatrix} = \begin{vmatrix}
1 & 2 \\
6 & 5
\end{vmatrix} \cdot \begin{vmatrix}
2 & x \\
3 & 3
\end{vmatrix} = (5 - 12)(6 - 3x) = 0$$

 $\therefore x = 2$ .

$$(10)\begin{vmatrix} 0 & 0 & 0 & a \\ b & 0 & 0 & 0 \\ 0 & c & 0 & 0 \\ 0 & 0 & d & 0 \end{vmatrix} = \frac{-abcd}{;}$$

解:将行列式按第一行展开:

$$\begin{vmatrix} 0 & 0 & 0 & a \\ b & 0 & 0 & 0 \\ 0 & c & 0 & 0 \\ 0 & 0 & d & 0 \end{vmatrix} = a \cdot (-1)^{1+4} \begin{vmatrix} b & 0 & 0 \\ 0 & c & 0 \\ 0 & 0 & d \end{vmatrix} = -abcd.$$

(11) 如果
$$\begin{vmatrix} a & 3 & 1 \\ b & 0 & 1 \\ c & 2 & 1 \end{vmatrix}$$
=1,则 $\begin{vmatrix} a-3 & b-3 & c-3 \\ 5 & 2 & 4 \\ 1 & 1 & 1 \end{vmatrix}$ =\_\_\_\_\_;

$$a$$
 $a$ 
 $a$ 

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix} = 2, \quad \mathbb{N} \begin{vmatrix} 2a_{11} & 2a_{12} & 2a_{12} - 2a_{13} \\ 2a_{21} & 2a_{22} & 2a_{22} - 2a_{23} \\ 2a_{31} & 2a_{32} & 2a_{32} - 2a_{33} \end{vmatrix} = \underline{ -16} ,$$

$$\begin{vmatrix} 2a_{11} & a_{21} - 3a_{11} & a_{21} - a_{31} \\ 2a_{12} & a_{22} - 3a_{12} & a_{22} - a_{32} \\ 2a_{13} & a_{23} - 3a_{13} & a_{23} - a_{33} \end{vmatrix} = \underbrace{ -4 }, \begin{vmatrix} 0 & 0 & 0 & 2 \\ a_{11} & a_{21} & a_{31} & 1 \\ a_{12} & a_{22} & a_{32} & 2 \\ a_{13} & a_{23} & a_{33} & 3 \end{vmatrix} = \underbrace{ -4 };$$

解: 
$$|A| = \begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix} = |\alpha_1 \quad \alpha_2 \quad \alpha_3| = |A^T| = \begin{vmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{vmatrix} = |\beta_1 \quad \beta_2 \quad \beta_3| = 2$$

$$\begin{vmatrix} 2a_{11} & 2a_{12} & 2a_{12} - 2a_{13} \\ 2a_{21} & 2a_{22} & 2a_{22} - 2a_{23} \\ 2a_{31} & 2a_{32} & 2a_{32} - 2a_{33} \end{vmatrix} = \begin{vmatrix} 2\alpha_1 & 2\alpha_2 & 2\alpha_2 - 2\alpha_3 \end{vmatrix} = 2^3 \begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_2 - \alpha_3 \end{vmatrix}$$
$$= 8(\begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_2 \end{vmatrix} + \begin{vmatrix} \alpha_1 & \alpha_2 & -\alpha_3 \end{vmatrix}) = 8(0 - |A|) = -16$$

$$\begin{vmatrix} 2a_{11} & a_{21} - 3a_{11} & a_{21} - a_{31} \\ 2a_{12} & a_{22} - 3a_{12} & a_{22} - a_{32} \\ 2a_{13} & a_{23} - 3a_{13} & a_{23} - a_{33} \end{vmatrix} = \begin{vmatrix} 2\beta_{1} & \beta_{2} - 3\beta_{1} & \beta_{2} - \beta_{3} \\ = 2(\begin{vmatrix} \beta_{1} & \beta_{2} & \beta_{2} - \beta_{3} \end{vmatrix} + \begin{vmatrix} \beta_{1} & -3\beta_{1} & \beta_{2} - \beta_{3} \end{vmatrix})$$

$$= 2(\begin{vmatrix} \beta_{1} & \beta_{2} & \beta_{2} - \beta_{3} \end{vmatrix} + \begin{vmatrix} \beta_{1} & -3\beta_{1} & \beta_{2} - \beta_{3} \end{vmatrix})$$

$$= 2|\beta_{1} & \beta_{2} & \beta_{2} - \beta_{3}| = 2(|\beta_{1} & \beta_{2} & \beta_{2}| - |\beta_{1} & \beta_{2} & \beta_{3}|)$$

$$= -2|A^{T}| = -4$$

$$\begin{vmatrix} 0 & 0 & 0 & 2 \\ a_{11} & a_{21} & a_{31} & 1 \\ a_{12} & a_{22} & a_{32} & 2 \\ a_{13} & a_{23} & a_{33} & 3 \end{vmatrix} = \underbrace{\frac{k - 7 R R R}{2}}_{\frac{1}{2}} 2 \cdot (-1)^{1+4} \left| A^{T} \right| = -4.$$

(13) 设n 阶行列式 $D=a\neq 0$ ,且D中的每列的元素之和为b,则行列式D中的第二行的

代数余子式之和为= 
$$\frac{a}{b}$$
 ;

解: 
$$\begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{vmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ b & b & \cdots & b \\ \vdots & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{vmatrix} = b \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ 1 & 1 & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{vmatrix}$$

$$\therefore b \neq 0, \exists A_{21} + A_{22} + \dots + A_{2n} \neq 0$$

$$A_{21} + A_{22} + \dots + A_{2n} = \frac{a}{b}$$

实际上,由上述证明过程可知任意行代数余子式之和 $A_{i1} + A_{i2} + \cdots + A_{in} = \frac{a}{b}, i = 1, 2, \dots, n$ .

$$\begin{vmatrix} a_{22} & a_{32} & a_{42} \\ a_{23} & a_{33} & a_{43} \\ a_{24} & a_{34} & a_{44} \end{vmatrix} = \frac{1}{a_{11}} ;$$

解: 令 
$$B = \begin{vmatrix} a_{22} & a_{23} & a_{24} \\ a_{32} & a_{33} & a_{34} \\ a_{42} & a_{43} & a_{44} \end{vmatrix}$$
, 则

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ 0 & a_{22} & a_{23} & a_{24} \\ 0 & a_{32} & a_{33} & a_{34} \\ 0 & a_{42} & a_{43} & a_{44} \end{vmatrix} = a_{11} \cdot (-1)^{1+1} |B| = 1 \implies a_{11} \neq 0, \text{ } \exists |B| = \frac{1}{a_{11}} \neq 0$$

$$\begin{vmatrix} 0 & a_{22} & a_{23} & a_{24} \\ 0 & a_{32} & a_{33} & a_{34} \\ 0 & a_{42} & a_{43} & a_{44} \end{vmatrix} = a_{11} \cdot (-1)^{4+1} |B| = -a_{11} |B| = -1$$

$$\begin{vmatrix} a_{22} & a_{32} & a_{42} \\ a_{23} & a_{33} & a_{43} \\ a_{24} & a_{34} & a_{44} \end{vmatrix} = |B^T| = |B| = \frac{1}{a_{11}}.$$

(15) 设有行列式 
$$\begin{vmatrix} 1 & 2 & 3 \\ -1 & x & 0 \\ 0 & x & 1 \end{vmatrix}$$
 ,则元素  $-1$  的余子式  $M_{21} = \begin{bmatrix} 2 & 3 \\ x & 1 \end{bmatrix}$  ,元素 2 的代数余子

$$(16)$$
 设 $D = \begin{vmatrix} 1 & 2 & 3 & 4 \\ 2 & 3 & 4 & 1 \\ 3 & 4 & 1 & 2 \\ 4 & 1 & 2 & 3 \end{vmatrix} = \Delta(a_{ij})$ , $A_{ij}$ 表示元素 $a_{ij}$ 的代数余子式,则

$$A_{14} + 2A_{24} + 3A_{34} + 4A_{44} = \underline{\qquad 0};$$

解:方法一:  $A_{14}+2A_{24}+3A_{34}+4A_{44}$ 可看成D中第一列各元素与第四列对应元素代数余子式乘积之和,故其值为0.

方法二: 
$$A_{14} + 2A_{24} + 3A_{34} + 4A_{44} = \begin{vmatrix} 1 & 2 & 3 & 1 \\ 2 & 3 & 4 & 2 \\ 3 & 4 & 1 & 3 \\ 4 & 1 & 2 & 4 \end{vmatrix}$$
 推论10.

(17) 设
$$D = \begin{vmatrix} a & b & c & d \\ c & b & d & a \\ d & b & c & a \\ a & b & d & c \end{vmatrix} = \Delta(a_{ij}), A_{ij} 表示元素 $a_{ij}$ 的代数余子式,则$$

$$A_{14} + A_{24} + A_{34} + A_{44} = \underline{\qquad \qquad} 0$$
;

解: 方法一:
$$f(x) = \begin{vmatrix} 5 & 4 & 3 & 2 & x & 0 \\ 4 & 3 & 2 & -x & 0 & 0 \\ 3 & 2 & x & 0 & 0 & 0 \\ 2 & -x & 0 & 0 & 0 & 0 \\ x & 0 & 0 & 0 & 0 & 6 \end{vmatrix} = 6 \begin{vmatrix} 5 & 4 & 3 & 2 & x \\ 4 & 3 & 2 & -x & 0 \\ 3 & 2 & x & 0 & 0 \\ 2 & -x & 0 & 0 & 0 \\ x & 0 & 0 & 0 & 0 & 0 \end{vmatrix} = 6 \cdot (-1)^{\frac{5 \times 4}{2}} \cdot (-1)^2 \cdot x^5 = 6x^5$$

综上所述:  $x^5$ 的系数为 6.

$$\begin{vmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \dots & \vdots \\ b_{n1} & b_{n2} & \dots & b_{nn} \end{vmatrix} = b$$
,则 $D = \underbrace{(-1)^{mn} ab}$ ;

解: 方法一: 令 
$$|A| = \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1m} \\ a_{21} & a_{22} & \cdots & a_{2m} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mm} \end{vmatrix} = a$$
 ,  $|B| = \begin{vmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{mn} \end{vmatrix} = b$ 

$$\text{If } D_1 = \begin{vmatrix} A & O \\ C & B \end{vmatrix} = \left| A \right| \cdot \left| B \right| = ab \ , D_2 = \begin{vmatrix} O & A \\ B & C \end{vmatrix} = \left( -1 \right)^{mn} \left| A \right| \cdot \left| B \right| = \left( -1 \right)^{mn} ab$$

证明:根据行列式性质 2 和 5,将行列式 | A | 变成下三角行列式,得到:

$$|A| = \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1m} \\ a_{21} & a_{22} & \cdots & a_{2m} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mm} \end{vmatrix} = \begin{vmatrix} a_{1} \\ a_{21}' & a_{2} \\ \vdots & \vdots & \ddots \\ a_{m1}' & a_{m2}' & \cdots & a_{m} \end{vmatrix} = a_{1}a_{2} \cdots a_{m} = a$$

行列式 $D_1$ 、 $D_2$ 的变换和行列式 $\left|A\right|$ 的变换完全相同,得到:

$$D_{1} = \begin{vmatrix} a_{1} \\ a_{21}' & a_{2} \\ \vdots & \vdots & \ddots \\ a_{m1}' & a_{m2}' & \cdots & a_{m} \\ \hline c_{11}' & c_{12}' & \cdots & c_{1m}' & b_{11} & b_{12} & \cdots & b_{1n} \\ c_{21}' & c_{22}' & \cdots & c_{2m}' & b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & & \vdots & \vdots & \vdots \\ c_{n1}' & c_{n2}' & \cdots & c_{nm}' & b_{n1} & b_{n2} & \cdots & b_{nn} \end{vmatrix}$$

$$D_{2} = \begin{vmatrix} a_{1} & a_{2} & a_{2} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1}' & a_{m2}' & \cdots & a_{m} \\ b_{11} & b_{12} & \cdots & b_{1n} & c_{11}' & c_{12}' & \cdots & c_{1m}' \\ b_{21} & b_{22} & \cdots & b_{2n} & c_{21}' & c_{22}' & \cdots & c_{2m}' \\ \vdots & \vdots & & \vdots & \vdots & \vdots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} & c_{n1}' & c_{n2}' & \cdots & c_{nm}' \end{vmatrix}$$

分别将  $D_1$  、  $D_2$  第一次按第一行展开( $a_2$  变成第一行),第二次按第二行展开( $a_3$  变成第一行),……,总共进行 m 次第一行展开,得到:

$$D_1 = a_1 a_2 \cdots a_m |B| = |A| \cdot |B| = ab$$
;

$$D_2 = a_1 \left(-1\right)^{1+n+1} \cdot a_2 \left(-1\right)^{1+n+1} \cdots a_m \left(-1\right)^{1+n+1} \cdot |B| = \left(-1\right)^{mn} \cdot |A| \cdot |B| = \left(-1\right)^{mn} ab$$
证毕.

方法二: 设
$$A = \left(a_{ij}\right)_{m \times m}$$
,  $B = \left(b_{pq}\right)_{n \times n}$ ,  $D = \left(\begin{matrix} A & O \\ C & B \end{matrix}\right) = \left(\begin{matrix} d_{ij}\right)_{(m+n) \times (m+n)}$ 

其中: 
$$d_{ij} = \begin{cases} a_{ij} , & i = 1: m, j = 1: m \\ b_{pq} , & i = m+1: m+n, j = m+1: m+n, p = i-m, q = j-m \\ c_{pj} , & i = m+1: m+n, j = 1: m, p = i-m \end{cases}$$
 (\*)

那么: 
$$|D| = \begin{vmatrix} A & O \\ C & B \end{vmatrix} = \sum_{\{p_1, \dots, p_{m+n}\} = \{1, \dots, m+n\}} (-1)^{t(p_1 \dots p_m p_{m+1} \dots p_{m+n})} d_{1p_1} \dots d_{mp_m} d_{m+1, p_{m+1}} \dots d_{m+n, p_{m+n}}$$

$$\frac{\stackrel{\text{di}(*)}{==}}{\sum_{\substack{\{l_1, \dots, p_m\} = \{1, \dots, m\} \\ \{l_1, \dots, l_m\} = \{1, \dots, m\}}} (-1)^{t(p_1 \dots p_m(m+l_1) \dots (m+l_n))} a_{1p_1} \dots a_{mp_m} b_{1l_1} \dots b_{nl_n}$$

$$= \sum_{\substack{\{p_1, \dots, p_m\} = \{1, \dots, m\}}} \left[ (-1)^{t(p_1 \dots p_n)} a_{1p_1} \dots a_{mp_m} \cdot (-1)^{t(l_1 \dots l_n)} b_{1l_1} \dots b_{nl_n} \right]$$

$$= \left( \sum_{\{p_1, \dots, p_m\} = \{1, \dots, m\}} (-1)^{t(p_1 \dots p_n)} a_{1p_1} \dots a_{mp_m} \right) \cdot \left( \sum_{\{l_1, \dots, l_m\} = \{1, \dots, n\}} (-1)^{t(l_1 \dots l_n)} b_{1l_1} \dots b_{nl_n} \right)$$

$$= |A| \cdot |B| = ab$$

$$D_2 = \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1m} \\ a_{21} & a_{22} & \cdots & a_{2m} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mm} \\ b_{11} & b_{12} & \cdots & b_{1n} & c_{11} & c_{12} & \cdots & c_{1m} \\ b_{21} & b_{22} & \cdots & b_{2n} & c_{21} & c_{22} & \cdots & c_{2m} \\ \vdots & \vdots & & \vdots & \vdots & \vdots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} & c_{n1} & c_{n2} & \cdots & c_{nm} \end{vmatrix}$$

 $D_2$ 中 $a_{m\square}$ 依次与 $b_{1\square}$ , $b_{2\square}$ ,…, $b_{n\square}$ 对换,使得 $a_{m\square}$ 在 $b_{n\square}$ 下面;

 $a_{(m-1)\square}$ 依次与 $b_{1\square},b_{2\square},\cdots,b_{n\square}$ 对换,使得 $a_{(m-1)\square}$ 在 $b_{n\square}$ 下面,在 $a_{m\square}$ 上面;……

 $a_{\Pi}$ 依次与 $b_{\Pi}$ , $b_{2\Pi}$ ,…, $b_{n\Pi}$ 对换,使得 $a_{\Pi}$ 在 $b_{n\Pi}$ 下面,在 $a_{2\Pi}$ 上面;

总共进行了mn次对换。得到:

$$D_2 = \begin{pmatrix} -1 \end{pmatrix}^{mn} \begin{vmatrix} B & C \\ O & A \end{vmatrix} = \begin{pmatrix} -1 \end{pmatrix}^{mn} \cdot |B| \cdot |A| = \begin{pmatrix} -1 \end{pmatrix}^{mn} ab.$$

$$(20) \ D_5 = \begin{vmatrix} 1-a & a & 0 & 0 & 0 \\ -1 & 1-a & a & 0 & 0 \\ 0 & -1 & 1-a & a & 0 \\ 0 & 0 & -1 & 1-a & a \\ 0 & 0 & 0 & -1 & 1-a \end{vmatrix} = \underbrace{1-a+a^2-a^3+a^4-a^5}_{}.$$

$$\widetilde{M}: D_5 = \begin{vmatrix}
1-a & a & 0 & 0 & 0 \\
-1 & 1-a & a & 0 & 0 \\
0 & -1 & 1-a & a & 0 \\
0 & 0 & -1 & 1-a & a \\
0 & 0 & 0 & -1 & 1-a
\end{vmatrix} \xrightarrow{c_1+c_2+c_3+c_4+c_5} \begin{vmatrix}
1 & a & 0 & 0 & 0 \\
0 & 1-a & a & 0 & 0 \\
0 & -1 & 1-a & a & 0 \\
0 & 0 & -1 & 1-a & a \\
-a & 0 & 0 & -1 & 1-a
\end{vmatrix}$$

$$\frac{\text{接第一列展开}}{}$$
  $D_4 - a \cdot (-1)^{5+1} \cdot a^4 = D_4 - a^5$ 

同理可得:  $D_4 = D_3 + a^4$ ,  $D_3 = D_2 - a^3$ ,  $D_2 = D_1 + a^2$ 

则 
$$D_5 = D_1 + a^2 - a^3 + a^4 - a^5 = 1 - a + a^2 - a^3 + a^4 - a^5$$
.

2. 选择题

(1) 设多项式 
$$f(x) = \begin{vmatrix} x & 2 & 3 & 4 \\ x & x & x & 3 \\ 1 & 0 & 2 & x \\ x & 1 & 3 & x \end{vmatrix}$$
, 则多项式的次数为( $B$ )
( $A$ ) 2 ( $B$ ) 3 ( $C$ ) 4 ( $D$ ) 5
解: 方法一:

$$f(x) = \begin{vmatrix} x & 2 & 3 & 4 \\ x & x & x & 3 \\ 1 & 0 & 2 & x \\ x & 1 & 3 & x \end{vmatrix} \xrightarrow{r_1 \leftrightarrow r_3} - \begin{vmatrix} 1 & 0 & 2 & x \\ x & x & 3 & \frac{r_2 - x r_1}{r_3 - x r_1} \\ x & 1 & 3 & x \end{vmatrix} \xrightarrow{r_2 - x r_1} - \begin{vmatrix} 1 & 0 & 2 & x \\ 0 & x & -x & 3 - x^2 \\ 0 & 2 & 3 - 2x & 4 - x^2 \\ 0 & 1 & 3 - 2x & x - x^2 \end{vmatrix}$$

$$\frac{x + y + y + y + y}{x + y + y} - \begin{vmatrix} x & -x & 3 - x^2 \\ 2 & 3 - 2x & 4 - x^2 \\ 1 & 3 - 2x & x - x^2 \end{vmatrix} \xrightarrow{r_3 \leftrightarrow r_3} \begin{vmatrix} 1 & 3 - 2x & x - x^2 \\ 3 - 2x & 4 - x^2 \\ x & -x & 3 - x^2 \end{vmatrix} \xrightarrow{r_3 - x r_1} \begin{vmatrix} 1 & 3 - 2x & x - x^2 \\ 0 & 2x - 3 & x^2 - 2x + 4 \\ -x(4 - 2x) & x^3 - 2x^2 + 3 \end{vmatrix} = x^3 - 10x^2 + 22x - 9$$

### :: 多项式次数为3;

方法二:

$$f(x) = \begin{vmatrix} x & 2 & 3 & 4 \\ x & x & x & 3 \\ \frac{1}{x} & 0 & 2 & x \\ \hline x & 1 & 3 & x \end{vmatrix} = \begin{vmatrix} x & 2 & 3 - 2x & 4 - x^2 \\ x & x & -x & 3 - x^2 \\ 1 & 0 & 0 & 0 \\ x & 1 & 3 - 2x & x - x^2 \end{vmatrix} = \frac{1 \cdot (-1)^{3+1} \cdot \begin{vmatrix} 2 & 3 - 2x & 4 - x^2 \\ x & -x & 3 - x^2 \\ 1 & 3 - 2x & x - x^2 \end{vmatrix}}{\begin{vmatrix} 2 & 3 - 2x & 4 - x^2 \\ x & -x & 3 - x^2 \end{vmatrix}} = \frac{1 \cdot (-1)^{3+1} \cdot \begin{vmatrix} 2 & 3 - 2x & -x^2 + 2x - 4 \\ x & -x & 3 - x^2 \end{vmatrix}}{\begin{vmatrix} 2 & 3 - 2x & 4 - x^2 \\ x & -x & 3 - x^2 \end{vmatrix}} = \frac{1 \cdot (-1)^{3+1} \cdot \begin{vmatrix} 2 & 3 - 2x & -x^2 + 2x - 4 \\ x & -x & 4x + 3 \end{vmatrix}}{\begin{vmatrix} 2 & 3 - 2x & -x^2 + 2x - 4 \\ -x & 4x + 3 \end{vmatrix}} = x^3 - 10x^2 + 22x - 9$$

#### 二多项式次数为3;

注意:实际上方法一与方法二思想类似:利用行列式展开定理对行列式降阶,最后求出行列 式的值(多项式).

方法三: 
$$f(x) = \begin{vmatrix} x & 2 & 3 & 4 \\ x & x & x & 3 \\ 1 & 0 & 2 & x \\ x & 1 & 3 & x \end{vmatrix}$$
 按第二行展开 
$$xA_{21} + xA_{22} + xA_{23} + 3A_{24}$$

这四项的最高次项分别为:  $x^2$ ,  $x^3$ ,  $x^3$ , x

$$A_{21} = (-1) \begin{vmatrix} 2 & 3 & 4 \\ 0 & 2 & x \\ 1 & 3 & x \end{vmatrix} = O(x)$$

$$A_{22} = \begin{vmatrix} x & 3 & 4 \\ 1 & 2 & x \\ x & 3 & x \end{vmatrix} = 2x^2 + 3x^2 + 12 - (8x + 3x + 3x^2) = 2x^2 + O(x)$$

$$A_{23} = -\begin{vmatrix} x & 2 & 4 \\ 1 & 0 & x \\ x & 1 & x \end{vmatrix} = -\left[0 + 2x^2 + 4 - \left(0 + 2x + x^2\right)\right] = -x^2 + O(x)$$

$$\therefore f(x) = xA_{22} + xA_{23} + O(x^2) = 2x^3 - x^3 + O(x^2) = x^3 + O(x^2)$$

:: 多项式次数为3.

(2) 设 
$$x, y$$
 为实数且  $\begin{vmatrix} x & y & 0 \\ -y & x & 0 \\ 0 & x & 1 \end{vmatrix} = 0$ , 则 ( $D$ )

$$(A)$$
  $x = 0, y = 1$   $(B)$   $x = -1, y = 1$   $(C)$   $x = 1, y = -1$   $(D)$   $x = 0, y = 0$ 

$$\begin{array}{c|ccc}
x & y & 0 \\
-y & x & 0 \\
0 & x & 1
\end{array} = x^2 + y^2 = 0 \implies x = y = 0.$$

(3) 设多项式 
$$f(x) = \begin{vmatrix} a_{11} + x & a_{12} + x & a_{13} + x & a_{14} + x \\ a_{21} + x & a_{22} + x & a_{23} + x & a_{24} + x \\ a_{31} + x & a_{32} + x & a_{33} + x & a_{34} + x \\ a_{41} + x & a_{42} + x & a_{43} + x & a_{44} + x \end{vmatrix}$$
, 则多项式的次数最多为(A)

解: 设 
$$\vec{1} = \begin{pmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{pmatrix}$$
,  $A = (a_{ij})_{4\times 4} = (\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4)$ , 则

$$f(x) = \begin{vmatrix} \underline{\alpha_1 + x\vec{1}} & \alpha_2 + x\vec{1} & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix}$$

$$\xrightarrow{\text{!mg4}} \begin{vmatrix} \alpha_1 & \alpha_2 + x\vec{1} & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix} + \begin{vmatrix} x\vec{1} & \alpha_2 + x\vec{1} & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix}$$

$$= f_1(x) + f_2(x)$$

$$f_1(x) = \begin{vmatrix} \alpha_1 & \alpha_2 + x\vec{1} & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix}$$

$$\frac{\text{thm}_4}{\text{total}} \begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix} + \begin{vmatrix} \alpha_1 & x\vec{1} & \alpha_3 + x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix}$$

$$= f_3(x) + f_4(x)$$

$$f_3(x) = \begin{vmatrix} \alpha_1 & \alpha_2 & \underline{\alpha_3 + x\vec{1}} & \alpha_4 + x\vec{1} \end{vmatrix} \xrightarrow{\text{th} \underline{\beta} 4} \begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_3 & \alpha_4 + x\vec{1} \end{vmatrix} + \begin{vmatrix} \alpha_1 & \alpha_2 & x\vec{1} & \alpha_4 + x\vec{1} \end{vmatrix}$$
$$= f_5(x) + f_6(x)$$

$$f_4\left(x\right)^{\underline{\underline{\text{th}}}\underline{\beta}3}x\left|\alpha_{1}\quad \vec{1}\quad\alpha_{3}+x\vec{1}\quad\alpha_{4}+x\vec{1}\right|^{\underline{\underline{\text{th}}}\underline{\beta}5}x\left|\alpha_{1}\quad \vec{1}\quad\alpha_{3}\quad\alpha_{4}\right|=O\left(x\right)$$

$$f_{5}(x) = \begin{vmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} & \underline{\alpha_{4} + x\vec{1}} \end{vmatrix} \xrightarrow{\underline{\text{th}}\underline{\beta^{4}}} \begin{vmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} & \alpha_{4} \end{vmatrix} + \begin{vmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} & x\vec{1} \end{vmatrix}$$

$$\xrightarrow{\underline{\text{th}}\underline{\beta^{3}}} \begin{vmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} & \alpha_{4} \end{vmatrix} + x \begin{vmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} & \vec{1} \end{vmatrix} = O(x)$$

$$f_{6}\left(x\right)^{\frac{\text{th} \mathbb{R}^{3}}{2}}x\left|\alpha_{1} \quad \alpha_{2} \quad \vec{1} \quad \alpha_{4}+x\vec{1}\right|^{\frac{\text{th} \mathbb{R}^{5}}{2}}x\left|\alpha_{1} \quad \alpha_{2} \quad \vec{1} \quad \alpha_{4}\right|=O\left(x\right)$$

$$\therefore f(x) = f_1(x) + f_2(x) = (f_3(x) + f_4(x)) + f_2(x) = [(f_5(x) + f_6(x)) + f_4(x)] + f_2(x)$$
$$= O(x)$$

(D)7

 $\therefore f(x)$ 的次数最多为 1.

解: 
$$D_n = \begin{bmatrix} & & & -1 \\ & & & \\ & & & \end{bmatrix}$$
  $= (-1)^{\frac{n(n-1)}{2}} \cdot (-1)^n = (-1)^{\frac{n(n+1)}{2}}$ 

∴ 当 
$$n=5$$
 时,  $D_n=-1<0$  ,选  $C$  .

(5) $\alpha_j$ 为四阶行列式D的第 j列,(j=1,2,3,4,),且D=-5,则下列行列式中,等于-10的是(D).

$$(A) |2\alpha_1, 2\alpha_2, 2\alpha_3, 2\alpha_4|$$

$$(B) \left[\alpha_1 + \alpha_2, \quad \alpha_2 + \alpha_3, \quad \alpha_3 + \alpha_4, \quad \alpha_4 + \alpha_1\right]$$

$$(C)$$
  $\left|\alpha_{1}, \alpha_{1}+\alpha_{2}, \alpha_{1}+\alpha_{2}+\alpha_{3}, \alpha_{1}+\alpha_{2}+\alpha_{3}+\alpha_{4}\right|$ 

$$(D) \left| \alpha_1 + \alpha_2, \quad \alpha_2 + \alpha_3, \quad \alpha_3 + \alpha_4, \quad \alpha_4 - \alpha_1 \right|$$

解: 
$$D = |\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4| = -5$$

$$(A) D_1 = |2\alpha_1 2\alpha_2 2\alpha_3 2\alpha_4| = 2^4 |\alpha_1 \alpha_2 \alpha_3 \alpha_4| = 2^4 D = -80$$

(B) 方法一: 
$$D_2 = |\alpha_1 + \alpha_2 \quad \alpha_2 + \alpha_3 \quad \alpha_3 + \alpha_4 \quad \alpha_4 + \alpha_1|$$

$$\frac{\frac{c_1-c_2+c_3-c_4}{2}}{\left|0\quad\alpha_2+\alpha_3\quad\alpha_3+\alpha_4\quad\alpha_4+\alpha_1\right|}=0$$

方法二: 
$$D_2 = \left| \underline{\alpha_1 + \alpha_2} \quad \alpha_2 + \alpha_3 \quad \alpha_3 + \alpha_4 \quad \alpha_4 + \alpha_1 \right|$$

$$\stackrel{\underline{\text{性质4}}}{===} \left| \alpha_1 \quad \alpha_2 + \alpha_3 \quad \alpha_3 + \alpha_4 \quad \alpha_4 + \alpha_1 \right| + \left| \alpha_2 \quad \alpha_2 + \alpha_3 \quad \alpha_3 + \alpha_4 \quad \alpha_4 + \alpha_1 \right|$$

$$= E_1 + F_1$$

$$E_1 = \frac{c_4 - c_1}{c_3 - c_4} | \alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4 | = D$$

$$F_{1} = \frac{\sum_{c_{3}-c_{2}}^{c_{2}-c_{1}}}{\sum_{c_{4}-c_{3}}^{c_{4}-c_{3}}} |\alpha_{2} \quad \alpha_{3} \quad \alpha_{4} \quad \alpha_{1}| = (-1)^{3} \cdot |\alpha_{1} \quad \alpha_{2} \quad \alpha_{3} \quad \alpha_{4}| = -D$$

$$\therefore D_2 = E_1 + F_1 = D - D = 0$$

$$(C) D_3 = |\alpha_1 \quad \alpha_1 + \alpha_2 \quad \alpha_1 + \alpha_2 + \alpha_3 \quad \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4|$$

$$\stackrel{c_4 - c_3}{==} |\alpha_1 \quad \alpha_1 + \alpha_2 \quad \alpha_1 + \alpha_2 + \alpha_3 \quad \alpha_4| \stackrel{c_3 - c_2}{==} |\alpha_1 \quad \alpha_1 + \alpha_2 \quad \alpha_3 \quad \alpha_4|$$

$$\stackrel{c_2 - c_1}{==} |\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4| = D = -5$$

$$(D) D_4 = \begin{vmatrix} \alpha_1 + \alpha_2 & \alpha_2 + \alpha_3 & \alpha_3 + \alpha_4 & \alpha_4 - \alpha_1 \end{vmatrix}$$

$$\frac{\stackrel{\text{tem4}}{=}}{=} \begin{vmatrix} \alpha_1 & \alpha_2 + \alpha_3 & \alpha_3 + \alpha_4 & \alpha_4 - \alpha_1 \end{vmatrix} + \begin{vmatrix} \alpha_2 & \alpha_2 + \alpha_3 & \alpha_3 + \alpha_4 & \alpha_4 - \alpha_1 \end{vmatrix}$$

$$= A_1 + B_1$$

$$A_{1} = \frac{\frac{c_{4}+c_{1}}{c_{3}-c_{4}}}{\frac{c_{3}-c_{4}}{c_{3}-c_{3}}} |\alpha_{1} \quad \alpha_{2} \quad \alpha_{3} \quad \alpha_{4}| = D$$

$$B_{1} = \frac{c_{2} - c_{1}}{c_{3} - c_{2}} |\alpha_{2} \quad \alpha_{3} \quad \alpha_{4} \quad -\alpha_{1}| = (-1)^{3+1} \cdot |\alpha_{1} \quad \alpha_{2} \quad \alpha_{3} \quad \alpha_{4}| = D$$

$$\therefore D_4 = A_1 + B_1 = D + D = 2D = -10$$

3. 计算下列行列式

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 \\ 1 & 3 & 6 & 10 \\ 1 & 4 & 10 & 20 \end{vmatrix},$$
 (2) 
$$\begin{vmatrix} 4 & 1 & 2 & 4 \\ 1 & 2 & 0 & 2 \\ 10 & 5 & 2 & 0 \\ 0 & -1 & -1 & -7 \end{vmatrix},$$

$$\begin{vmatrix}
3 & 1 & 1 & 1 \\
1 & 3 & 1 & 1 \\
1 & 1 & 3 & 1 \\
1 & 1 & 1 & 3
\end{vmatrix},$$

$$\begin{vmatrix}
2 & 6 & 1 & 1 & 3 \\
1 & 0 & 2 & 0 & 4 \\
2 & 1 & 3 & 5 & 0 \\
1 & 3 & 4 & 1 & 0 \\
3 & 0 & 3 & 6 & 9
\end{vmatrix},$$

(7) 
$$\begin{vmatrix} ab & ac & ae \\ bd & -cd & de \\ bf & cf & -ef \end{vmatrix}$$
, (8)  $\begin{vmatrix} 1 & 1 & 1 & 1 \\ a & b & c & d \\ a^2 & b^2 & c^2 & d^2 \\ a^4 & b^4 & c^4 & d^4 \end{vmatrix}$ .

$$\widehat{\mathbf{H}}: (1) \quad D = \begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 \\ 1 & 3 & 6 & 10 \\ 1 & 4 & 10 & 20 \end{vmatrix} \xrightarrow{r_4 - r_1} \begin{vmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \\ 0 & 2 & 5 & 9 \\ 0 & 3 & 9 & 19 \end{vmatrix} \xrightarrow{r_4 - 3r_2} \begin{vmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \\ 0 & 0 & 1 & 3 \\ 0 & 0 & 3 & 10 \end{vmatrix} \xrightarrow{r_4 - 3r_3} \begin{vmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 \\ 0 & 0 & 1 & 3 \\ 0 & 0 & 0 & 1 \end{vmatrix} = 1$$

(2) 
$$D = \begin{vmatrix} 4 & 1 & 2 & 4 \\ 1 & 2 & 0 & 2 \\ 10 & 5 & 2 & 0 \\ 0 & -1 & -1 & -7 \end{vmatrix} \xrightarrow{r_1 - 4r_2} \begin{vmatrix} 0 & -7 & 2 & -4 \\ 1 & 2 & 0 & 2 \\ 0 & -15 & 2 & -20 \\ 0 & -1 & -1 & -7 \end{vmatrix} \xrightarrow{\underline{k}\hat{\mathbf{x}} - \underline{M}\mathbf{E}\mathbf{F}} (-1)^{2+1} \begin{vmatrix} -7 & 2 & -4 \\ -15 & 2 & -20 \\ -1 & -1 & -7 \end{vmatrix}$$

$$\frac{r_2+2r_3}{r_1+2r_3} - \begin{vmatrix} -9 & 0 & -18 \\ -17 & 0 & -34 \\ -1 & -1 & -7 \end{vmatrix} \frac{\cancel{\text{tr}} = -7}{\cancel{\text{tr}} = -7} = -(-1)^{3+2} \begin{vmatrix} -9 & -18 \\ -17 & -34 \end{vmatrix} \frac{\cancel{\text{tr}} = -1}{\cancel{\text{tr}} = -7} = 0$$

$$(3) \begin{vmatrix} 3 & 1 & 1 & 1 \\ 1 & 3 & 1 & 1 \\ 1 & 1 & 3 & 1 \\ 1 & 1 & 1 & 3 \end{vmatrix} = \underbrace{\begin{smallmatrix} c_1 + c_i, i = 2, 3, 4 \\ c_1 + c_i, i = 2, 3, 4 \\ 6 & 1 & 3 & 1 \\ 6 & 1 & 1 & 3 \end{vmatrix}}_{ \begin{vmatrix} 6 & 1 & 1 & 1 \\ c_1 - c_1, i = 2, 3, 4 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \end{vmatrix} = 6 \times 2^3 = 48$$

$$(4) \ D = \begin{vmatrix} 2 & 6 & 1 & 1 & 3 \\ \hline 1 & 0 & 2 & 0 & 4 \\ 2 & 1 & 3 & 5 & 0 \\ \hline 1 & 3 & 4 & 1 & 0 \\ 3 & 0 & 3 & 6 & 9 \end{vmatrix} \xrightarrow{r_1 - 2r_2} \begin{vmatrix} 0 & 6 & -3 & 1 & -5 \\ 1 & 0 & 2 & 0 & 4 \\ \hline 0 & \boxed{1} & -1 & 5 & -8 \\ 0 & 3 & 2 & 1 & -4 \\ 0 & 0 & -3 & 6 & -3 \end{vmatrix} \xrightarrow{r_1 - 6r_3} \begin{vmatrix} 0 & 0 & -7 & -1 & 3 \\ 1 & 0 & 2 & 0 & 4 \\ 0 & 1 & -1 & 5 & -8 \\ 0 & 0 & 5 & -14 & 20 \\ 0 & 0 & -3 & 6 & -3 \end{vmatrix}$$

=555

$$(5) D = \begin{vmatrix} 0 & 0 & 0 & 0 & 2 & 3 \\ 0 & 0 & 0 & 0 & 5 & 6 \\ 1 & 0 & 0 & 8 & 0 & 0 \\ 2 & 3 & 0 & 0 & 0 & 0 \\ 0 & 4 & 5 & 0 & 0 & 0 \\ 0 & 0 & 6 & 7 & 0 & 0 \end{vmatrix} = \begin{vmatrix} O & A_{2\times2} \\ B_{4\times4} & C_{4\times2} \end{vmatrix} = (-1)^{2\times4} \cdot \begin{vmatrix} 2 & 3 \\ 5 & 6 \end{vmatrix} \cdot \begin{vmatrix} 1 & 0 & 0 & 8 \\ 2 & 3 & 0 & 0 \\ 0 & 4 & 5 & 0 \\ 0 & 0 & 6 & 7 \end{vmatrix}$$

$$=$$
  $=$ 

$$= -3 \times \left(-8 \times 2 \times 4 \times 6 + 7 \times 1 \times 3 \times 5\right) = 837$$

(6) 方法一: 
$$D = \begin{vmatrix} 1+a & b & c & d \\ a & 1+b & c & d \\ a & b & 1+c & d \\ a & b & c & 1+d \end{vmatrix} \begin{bmatrix} 1+a & b & c & d \\ -1 & 1 & 0 & 0 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & -1 & 1 \end{vmatrix}$$

$$_{\frac{}{2}}$$
 接第一列展开  $(1+a) \begin{vmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{vmatrix} + (-1) \cdot (-1)^{2+1} \begin{vmatrix} b & c & d \\ -1 & 1 & 0 \\ 0 & -1 & 1 \end{vmatrix}$ 

$$=1+a+b+c+d$$

方法二:  $\begin{vmatrix} 1+a & b & c & d \\ a & 1+b & c & d \\ a & b & 1+c & d \\ a & b & c & 1+d \end{vmatrix} \xrightarrow{c_1+c_i,i=2,3,4} \begin{vmatrix} 1+a+b+c+d & b & c & d \\ 1+a+b+c+d & 1+b & c & d \\ 1+a+b+c+d & b & 1+c & d \\ 1+a+b+c+d & b & c & 1+d \end{vmatrix}$ 

$$\frac{\frac{r_i-r_1,i=2,3,4}{0}}{0}\begin{vmatrix} 1+a+b+c+d & b & c & d \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{vmatrix} \underbrace{ \stackrel{\text{上三角行列式}}{=}}_{1+a+b+c+d} 1 + a + b + c + d$$

=4abcdef

即为 $x^3$  的系数,因为将D按最后一列展开时, $A_{45}$ 即为 $x^3$  的系数所在项,而由D为范德蒙行列式知:

$$D = (b-a)(c-a)(d-a)(x-a)(c-b)(d-b)(x-b)(d-c)(x-c)(x-d)$$

$$= (b-a)(c-a)(d-a)(c-b)(d-b)(d-c)[(x-a)(x-b)(x-c)(x-d)]$$

$$\therefore A_{45} = (b-a)(c-a)(d-a)(c-b)(d-b)(d-c)\cdot(-1)\cdot(a+b+c+d)$$
因此有:

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ a & b & c & d \\ a^2 & b^2 & c^2 & d^2 \\ a^4 & b^4 & c^4 & d^4 \end{vmatrix} = (b-a)(c-a)(d-a)(c-b)(d-b)(d-c)(a+b+c+d)$$

方法二:
$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ a & b & c & d \\ a^2 & b^2 & c^2 & d^2 \\ a^4 & b^4 & c^4 & d^4 \end{vmatrix} \xrightarrow{r_4 - a^2 r_3} \begin{vmatrix} 1 & 1 & 1 & 1 \\ 0 & b - a & c - a & d - a \\ 0 & b(b - a) & c(c - a) & d(d - a) \\ 0 & b^2(b^2 - a^2) & c^2(c^2 - a^2) & d^2(d^2 - a^2) \end{vmatrix}$$

注: 此方法的因式分解有点难!

4. 计算下列n阶行列式

$$(1) D_n = \begin{vmatrix} x & a & a & \cdots & a \\ a & x & a & \cdots & a \\ a & a & x & \cdots & a \\ \vdots & \vdots & \vdots & \cdots & \vdots \\ a & a & a & \cdots & x \end{vmatrix};$$

(2) 
$$D_n = \Delta(|i-j|)$$
, ( $\mathbb{P}[a_{ij} = |i-j|)$ );

(3) 
$$D_n = (a_{ij})$$
,其中 $a_{ij} = \begin{cases} i & i = j \\ 2 & i \neq j \end{cases}$ 

$$= (n-1)(-1)(-2)^{n-2} = (n-1)(-1)^{n+1} 2^{n-2}$$

$$\begin{vmatrix}
1 & 2 & 2 & \cdots & 2 \\
2 & 2 & 2 & \cdots & 2 \\
\vdots & \vdots & \vdots & \vdots \\
2 & 2 & 2 & \cdots & n
\end{vmatrix} = \begin{vmatrix}
1 & 2 & 2 & \cdots & 2 \\
1 & 0 & 0 & \cdots & 0 \\
1 & 0 & 1 & 0 \\
\vdots & \vdots & \vdots & \vdots \\
0 & 0 & \cdots & n-2
\end{vmatrix}$$

$$= (-1) \cdot 2 \cdot (n-2)! = (-2)(n-2)!$$

$$(4) D_{2n} = \begin{vmatrix}
a_1 & & & & b_1 \\ & & & & \ddots \\ & & & & a_n & b_n \\ & & & & c_n & d_n \\ & & & & \ddots \\ & & & & & d_1
\end{vmatrix} = \frac{a_2}{b_1 + b_2 + b_2} = \frac{b_2}{b_2} = 0$$

$$\begin{vmatrix}
a_1 & & & & b_2 & 0 \\
\vdots & \vdots & & \vdots & \vdots \\
0 & 0 & \cdots & n-2
\end{vmatrix} = (-1) \cdot 2 \cdot (n-2)! = (-2)(n-2)!$$

$$\begin{vmatrix}
a_1 & & & & b_2 & 0 \\
\vdots & \vdots & & \vdots \\
0 & 0 & \cdots & n-2
\end{vmatrix}$$

$$\begin{vmatrix}
a_2 & & & & b_2 & 0 \\
\vdots & & & \ddots & \ddots \\
\vdots & & & & \ddots & \vdots \\
0 & & & & & \ddots \\
\vdots & & & & & \ddots \\
0 & & & & & \ddots \\
\vdots & & & & & \ddots \\
\vdots & & & & & \ddots \\
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\vdots & & & & & & & & & & &$$

$$\begin{vmatrix} a_2 & & & & & b_2 \\ & \ddots & & & \ddots & \\ & & a_n & b_n & \\ & & c_n & d_n & \\ & & \ddots & & \ddots & \\ c_2 & & & & d_2 \end{vmatrix} = (a_1d_1 - b_1c_1)D_{2n-2}$$

$$\therefore D_{2n} = (a_1d_1 - b_1c_1)D_{2n-2} = (a_1d_1 - b_1c_1)(a_2d_2 - b_2c_2)D_{2n-4} = \dots = \prod_{i=1}^n (a_id_i - b_ic_i)$$

$$(5) D_{n} = \frac{\text{性质4}}{\text{按第-列}} \begin{vmatrix} a & ab \\ 1 & a+b & ab \\ & 1 & a+b & \ddots \\ & & \ddots & \ddots & ab \\ & & & 1 & a+b \end{vmatrix} + \begin{vmatrix} b & ab \\ 0 & a+b & ab \\ & & & 1 & a+b & \ddots \\ & & & \ddots & \ddots & ab \\ & & & & 1 & a+b \end{vmatrix}$$

其中:

$$\therefore D_n = a^n + bD_{n-1} = a^n + b\left(a^{n-1} + bD_{n-2}\right) = \dots = a^n + ba^{n-1} + b^2a^{n-2} + \dots + b^n$$

#### 5 证明

(1) 若行列式  $D = \Delta(a_{ii})$  中每一个数  $a_{ii}$  分别乘以 $b^{i-j}(b>0)$  ,则所得行列式与 D 相等;

(2) 
$$\begin{vmatrix} a^2 & (a+1)^2 & (a+2)^2 & (a+3)^2 \\ b^2 & (b+1)^2 & (b+2)^2 & (b+3)^2 \\ c^2 & (c+1)^2 & (c+2)^2 & (c+3)^2 \\ d^2 & (d+1)^2 & (d+2)^2 & (d+3)^2 \end{vmatrix} = 0;$$

$$\begin{vmatrix} a_0 & 1 & 1 & \cdots & 1 \\ 1 & a_1 & 0 & \cdots & 0 \\ 1 & 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \vdots & \cdots & \vdots \\ 1 & 0 & 0 & \cdots & a_n \end{vmatrix} = a_1 a_2 \cdots a_n (a_0 - \sum_{i=1}^n \frac{1}{a_i}) \qquad (a_1 a_2 \cdots a_n \neq 0)$$

证明(1) 
$$\begin{vmatrix} a_{11}b^{1-1} & a_{12}b^{1-2} & \dots & a_{1n}b^{1-n} \\ a_{21}b^{2-1} & a_{22}b^{2-2} & \dots & a_{2n}b^{2-n} \\ \vdots & \vdots & & \vdots \\ a_{n1}b^{n-1} & a_{n2}b^{n-2} & \dots & a_{nn}b^{n-n} \end{vmatrix} \xrightarrow{\text{每—行提—个公因子}} b^1b^2 \cdots b^n \begin{vmatrix} a_{11}b^{-1} & a_{12}b^{-2} & \dots & a_{1n}b^{-n} \\ a_{21}b^{-1} & a_{22}b^{-2} & \dots & a_{2n}b^{-n} \\ \vdots & \vdots & & \vdots \\ a_{n1}b^{-1} & a_{n2}b^{-2} & \dots & a_{nn}b^{-n} \end{vmatrix}$$

$$\begin{vmatrix} a^2 & (a+1)^2 & (a+2)^2 & (a+3)^2 \\ b^2 & (b+1)^2 & (b+2)^2 & (b+3)^2 \\ c^2 & (c+1)^2 & (c+2)^2 & (c+3)^2 \\ d^2 & (d+1)^2 & (d+2)^2 & (d+3)^2 \end{vmatrix} \begin{vmatrix} c_4-c_3 \\ c_3-c_2 \\ c_2-c_1 \end{vmatrix} \begin{vmatrix} a^2 & 2a+1 & 2a+3 & 2a+5 \\ b^2 & 2b+1 & 2b+3 & 2b+5 \\ c^2 & 2c+1 & 2c+3 & 2c+5 \\ d^2 & 2d+1 & 2d+3 & 2d+5 \end{vmatrix} \begin{vmatrix} a^2 & 2a+1 & 2 & 2 \\ b^2 & 2b+1 & 2 & 2 \\ c^2 & 2c+1 & 2 & 2 \\ d^2 & 2d+1 & 2 & 2 \end{vmatrix}$$

$$\begin{vmatrix} a_0 & 1 & 1 & \cdots & 1 \\ 1 & a_1 & 0 & \cdots & 0 \\ 1 & 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 1 & 0 & 0 & \cdots & a_n \end{vmatrix} \xrightarrow{c_1 - \frac{1}{a_{i-1}} c_i, 2 \le i \le n+1} \begin{vmatrix} a_0 - \sum_{i=1}^n \frac{1}{a_i} & 1 & 1 & \cdots & 1 \\ 0 & a_1 & 0 & \cdots & 0 \\ 0 & 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & a_n \end{vmatrix}$$

$$= a_1 a_2 \cdots a_n \left( a_0 - \sum_{i=1}^n \frac{1}{a_i} \right) \qquad \left( a_1 a_2 \cdots a_n \neq 0 \right)$$

6. 证明第三节推论 4.

证明:设D的i,j两行元素对应成比例,则

$$D = \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & & \vdots \\ a_{i1} & a_{i2} & \cdots & a_{in} \\ \vdots & \vdots & & \vdots \\ ka_{i1} & ka_{i2} & \cdots & ka_{in} \\ \vdots & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{vmatrix} \xrightarrow{\stackrel{\text{deff}3}{=}} k \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ \vdots & \vdots & & \vdots \\ a_{i1} & a_{i2} & \cdots & a_{in} \\ \vdots & \vdots & & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{vmatrix} \xrightarrow{\stackrel{\text{deff}3}{=}} k \cdot 0 = 0.$$

7. 证明第三节性质 4.

证明: 
$$D = \sum_{p_1 \cdots p_n} (-1)^t a_{p_1 1} a_{p_2 2} \cdots a_{p_n n} = \sum_{p_1 \cdots p_n} (-1)^t a_{p_1 1} \cdots (b_{p_j j} + c_{p_j j}) \cdots a_{p_n n}$$
$$= \sum_{p_1 \cdots p_n} (-1)^t a_{p_1 1} \cdots b_{p_j j} \cdots a_{p_n n} + \sum_{p_1 \cdots p_n} (-1)^t a_{p_1 1} \cdots c_{p_j j} \cdots a_{p_n n} = D_1 + D_2$$

证毕.

8. 证明上三角行列式等于对角线上元素的乘积.

证明: 
$$D = \begin{vmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ 0 & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & a_{nn} \end{vmatrix}$$
, 由行列式的定义知,第一列只有 $a_{11}$ 为非零元,而第二列

除第一行外,只有  $a_{22}$  为非零元,同理依次进行.则  $D=\left(-1\right)^t a_{11}a_{22}\cdots a_{nn}$ ,其中 t 为  $1,2,\cdots,n$  逆序数,为 0,  $\therefore D=a_{11}a_{22}\cdots a_{nn}$  . 证毕.

## 第二章 矩阵

1. 填空题

(1) 已知
$$\begin{pmatrix} a & 1 & 1 \\ 3 & 0 & 1 \\ 0 & 2 & -1 \end{pmatrix}$$
 $\begin{pmatrix} 3 \\ a \\ -3 \end{pmatrix} = \begin{pmatrix} b \\ 6 \\ -b \end{pmatrix}$ , 则  $a = \underline{\qquad 0 \qquad ; \ b = \underline{\qquad -3 \qquad }}$ .

$$\Re \colon \begin{pmatrix} a & 1 & 1 \\ 3 & 0 & 1 \\ 0 & 2 & -1 \end{pmatrix} \begin{pmatrix} 3 \\ a \\ -3 \end{pmatrix} = \begin{pmatrix} b \\ 6 \\ b \end{pmatrix} \Leftrightarrow \begin{cases} 3a + a - 3 = 0 \\ 9 - 3 = 6 \\ 2a + 3 = -b \end{cases} \Leftrightarrow \begin{cases} 4a - b = 3 \\ 2a + b = -3 \end{cases} \Rightarrow \begin{cases} a = 0 \\ b = -3 \end{cases}.$$

解: 
$$A = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$
,  $A^2 = \begin{pmatrix} -1 & & \\ & -1 & \\ & & 1 \end{pmatrix}$ ,  $A^4 = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} = E$ ,

$$A^{2010} - 3A^2 = A^{2008+2} - 3A^2 = A^2 - 3A^2 = -2A^2 = \begin{pmatrix} 2 & & \\ & 2 & \\ & & -2 \end{pmatrix}.$$

解: 
$$|AB| = |A| \cdot |B| = 2 \cdot |-2E_{3\times 3}| = 2 \cdot (-2)^3 \cdot |E| = -16$$
.

(4) 
$$A$$
 为 3 阶方阵,且 $|A| = -2$ , $A = \begin{pmatrix} A_1 \\ A_2 \\ A_3 \end{pmatrix}$ ,则 $\begin{vmatrix} A_3 - 2A_1 \\ 3A_2 \\ A_1 \end{vmatrix} = \underline{\qquad 6 \qquad}$ 

其中 $A_1, A_2, A_3$ 分别为A的1、2、3行.

(5) 已知 $\alpha = (1, 1, 1)$ ,则 $\alpha^T \alpha \models 0$ .

解: 
$$\left|\alpha^T\alpha\right| = \begin{pmatrix} 1\\1\\1 \end{pmatrix} \begin{pmatrix} 1&1&1 \end{pmatrix} = \begin{vmatrix} 1&1&1\\1&1&1\\1&1&1 \end{vmatrix} = 0.$$

(6) 设
$$A = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 2 & 0 \\ 2 & 0 & 1 \end{pmatrix}$$
满足 $A^2B - A - B = E$ ,则 $|B| = \frac{-\frac{1}{2}}{2}$ .

$$\mathfrak{M}$$
:  $A^2B - A - B = E \Longrightarrow (A^2 - E)B = A + E \Longrightarrow (A + E)(A - E)B = A + E$ 

两边取行列式得:  $|A+E|\cdot |A-E|\cdot |B| = |A+E|$ , |A+E| = 6, |A-E| = -2  $\Rightarrow |B| = -\frac{1}{2}$ .

解: 
$$A_{11} = (-1)^{1+1} \begin{vmatrix} 0 & 0 \\ 0 & 1 \end{vmatrix} = 0$$
,  $A_{21} = (-1)^{2+1} \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} = -1$ ,  $A_{31} = (-1)^{3+1} \begin{vmatrix} 1 & 0 \\ 0 & 0 \end{vmatrix} = 0$ 

$$A_{12} = (-1)^{1+2} \begin{vmatrix} 2 & 0 \\ 0 & 1 \end{vmatrix} = -2$$
,  $A_{22} = (-1)^{2+2} \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} = 1$ ,  $A_{22} = (-1)^{3+2} \begin{vmatrix} 1 & 0 \\ 2 & 0 \end{vmatrix} = 0$ 

$$A_{13} = (-1)^{1+3} \begin{vmatrix} 2 & 0 \\ 0 & 0 \end{vmatrix} = 0$$
,  $A_{23} = (-1)^{2+3} \begin{vmatrix} 1 & 1 \\ 0 & 0 \end{vmatrix} = 0$ ,  $A_{33} = (-1)^{3+3} \begin{vmatrix} 1 & 1 \\ 2 & 0 \end{vmatrix} = -2$ 

$$A^* = \begin{pmatrix} A_{11} & A_{21} & A_{31} \\ A_{12} & A_{22} & A_{32} \\ A_{13} & A_{23} & A_{33} \end{pmatrix} = \begin{pmatrix} 0 & -1 & 0 \\ -2 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

(8) 设矩阵 
$$B = \begin{pmatrix} 1 & 1 & -6 & -10 \\ 2 & 5 & a & 1 \\ 1 & 2 & -1 & -a \end{pmatrix}$$
的秩为 2,则  $a = \underline{\qquad \qquad 3 \qquad \qquad }$ .

解:由B的秩为2,则B的所有3阶子式为0

$$\begin{vmatrix} 1 & 1 & -6 \\ 2 & 5 & a \\ 1 & 2 & -1 \end{vmatrix} = \begin{vmatrix} 1 & 1 & -6 \\ 0 & 3 & a+12 \\ 0 & 1 & 5 \end{vmatrix} = - \begin{vmatrix} 1 & 1 & -6 \\ 0 & 1 & 5 \\ 0 & 3 & a+12 \end{vmatrix} = - \begin{vmatrix} 1 & 1 & -6 \\ 0 & 1 & 5 \\ 0 & 0 & a-3 \end{vmatrix} = - (a-3) = 0 \Rightarrow a=3.$$

(9) 设矩阵 
$$A = \begin{pmatrix} k & 1 & 1 & 1 \\ 1 & k & 1 & 1 \\ 1 & 1 & k & 1 \\ 1 & 1 & 1 & k \end{pmatrix}$$
,且  $R(A) = 3$ ,则  $k = \underline{\qquad -3 \qquad}$ .

解: 由R(A) = 3知|A| = 0,即

$$|A| = \begin{vmatrix} k & 1 & 1 & 1 \\ 1 & k & 1 & 1 \\ 1 & 1 & k & 1 \\ 1 & 1 & 1 & k \end{vmatrix} = \begin{vmatrix} k+3 & k+3 & k+3 & k+3 \\ 1 & k & 1 & 1 \\ 1 & 1 & k & 1 \\ 1 & 1 & 1 & k \end{vmatrix} = (k+3) \begin{vmatrix} 1 & 1 & 1 & 1 \\ 0 & k-1 & 0 & 0 \\ 0 & 0 & k-1 & 0 \\ 0 & 0 & 0 & k-1 \end{vmatrix}$$

$$=(k+3)(k-1)^3=0 \Rightarrow k=1,-3$$

$$\ddot{A}k=1$$
,则 $\left|A\right|=egin{vmatrix}1&1&1&1\\1&1&1&1\\1&1&1&1\end{bmatrix}$ ,  $R\left(A\right)=1$ , 与已知矛盾,故 $k
eq 1$ ;

若 
$$k = -3$$
,则  $|A| = \begin{vmatrix} -3 & 1 & 1 & 1 \\ 1 & -3 & 1 & 1 \\ 1 & 1 & -3 & 1 \\ 1 & 1 & 1 & -3 \end{vmatrix}$ ,  $R(A) = 3$ , 因为有一个三阶子式

$$\begin{vmatrix} -3 & 1 & 1 \\ 1 & -3 & 1 \\ 1 & 1 & -3 \end{vmatrix} = -16 \neq 0$$
,与已知相符,故 $k = -3$ .

(10) 
$$A$$
 为 5 阶方阵,且  $R(A) = 3$ ,则  $R(A^*) = 0$ 

#### 解: 关于原矩阵与伴随矩阵秩的关系有如下结论:

$$R(A^*) = \begin{cases} n, & \exists R(A) = n \\ 1, & \exists R(A) = n - 1 \\ 0, & \exists R(A) \le n - 2 \end{cases}$$

此题中n=5, R(A)=3, 故 $R(A^*)=0$ .

证明: ①若 R(A) = n, 则  $|A| \neq 0$ ,  $|A^*| = |A|^{n-1} \neq 0 \Rightarrow R(A^*) = n$ ;

②若 R(A) = n - 1,则|A| = 0, A 有一个(n - 1)阶子式不为 0,于是 A 有一个代数余子式不为 0,  $R(A^*) \ge 1$ . 因为  $AA^* = |A|E = 0$ ,所以  $R(A^*) + R(A) \le n$  【见书 P110:例 9 】,  $\Rightarrow R(A^*) \le 1$ ,故  $R(A^*) = 1$ ;

③若  $R(A) \le n-2$ ,则 A 的所有 (n-1) 阶子式全为 0,于是 A 所有代数余子式全为 0,  $A^* = O_{n \times n}$ ,  $R(A^*) = 0$ .

(11) 设A为非零方阵,当 $A^T = A^*$ 时,则 $R(A) = \underline{n}$ .

解: 方法一:  $R(A^*) = R(A^T) = R(A)$ ,由上题结论可知  $R(A^*) = R(A) = n$  or 0,由已 知 A 为非零方阵,则  $R(A) \ge 1$ ,故  $R(A^*) = R(A) = n$ ;

方法二:  $AA^* = AA^T = |A|E$ 

$$AA^{T} = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} a_{11} & \dots & a_{n1} \\ \vdots & \ddots & \vdots \\ a_{1n} & \cdots & a_{nn} \end{pmatrix} = \begin{pmatrix} \sum_{j=1}^{n} a_{1j}^{2} & \dots & \sum_{j=1}^{n} a_{1j} a_{nj} \\ \vdots & \ddots & \vdots \\ \sum_{j=1}^{n} a_{nj} a_{1j} & \cdots & \sum_{j=1}^{n} a_{nj}^{2} \end{pmatrix}$$

A 为非零方阵,故  $AA^T$  的对角线元素不全为 0,从而  $AA^T$  为非零方阵  $\Rightarrow |A| \neq 0$ ,则 R(A) = n.

解: 
$$A = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 2 \\ 0 & 0 & 3 & 0 \end{pmatrix}$$
  $\Box \begin{pmatrix} A_1 & O \\ O & A_2 \end{pmatrix}$ ,  $A_1 = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}$ ,  $A_2 = \begin{pmatrix} 0 & 2 \\ 3 & 0 \end{pmatrix}$  则

$$A^{-1} = \begin{pmatrix} A_1 & O \\ O & A_2 \end{pmatrix}^{-1} = \begin{pmatrix} A_1^{-1} & O \\ O & A_2^{-1} \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & 0 & 0 & 0 \\ 0 & \frac{1}{3} & 0 & 0 \\ 0 & 0 & \frac{1}{3} & 0 \\ 0 & 0 & \frac{1}{2} & 0 \end{pmatrix}$$

(13) 设n 阶可逆方阵A 满足2|A|=|kA|, k>0, 则 $k=\sqrt[n]{2}$ 

解:由 A 是可逆方阵知 A  $|A| \neq 0$ , $2|A| = |kA| = k^n |A| \Rightarrow k^n = 2$ ,由  $k > 0 \Rightarrow k = \sqrt[n]{2}$ .

(14) 设
$$n$$
 阶方阵 $A$  满足 $|A|=2$ ,则 $|A^TA|=$ \_\_\_\_\_\_, $|A^{-1}|=$ \_\_\_\_\_\_\_,

$$\left|A^*\right| = \underline{\qquad 2^{n-1} \qquad}, \quad \left|\left(A^*\right)^*\right| = \underline{\qquad 2^{(n-1)^2} \qquad}, \quad \left|\left(A^*\right)^{-1} + A\right| = \qquad 2\left(\frac{3}{2}\right)^n \qquad ,$$

$$|A^{-1}(A^* + A^{-1})A| = \frac{3^n}{2}$$
.

解: 
$$|A^T A| = |A|^2 = 2^2 = 4$$
,  $|A^{-1}| = |A|^{-1} = 2^{-1} = \frac{1}{2}$ ,  $|A^*| = |A|^{n-1} = 2^{n-1}$ ,

$$\left|\left(A^*\right)^*\right| = \left|\left|A\right|^{n-2} A\right| = \left(\left|A\right|^{n-2}\right)^n \left|A\right| = \left|A\right|^{(n-1)^2} = 2^{(n-1)^2} ,$$

$$\left| \left( A^* \right)^{-1} + A \right| = \left| \frac{1}{|A|} A + A \right| = \left| \frac{1}{2} A + A \right| = \left| \frac{3}{2} A \right| = \left( \frac{3}{2} \right)^n \cdot 2$$

$$\left| A^{-1} \left( A^* + A^{-1} \right) A \right| = \left| A^{-1} \left( \left| A \right| A^{-1} + A^{-1} \right) A \right| = \left| A^{-1} \cdot 3 A^{-1} \cdot A \right| = \left| 3 A^{-1} \right| = \frac{3^n}{2}$$

(15) 
$$A$$
 为  $n$  阶方阵,  $A^*$  为  $A$  的伴随阵,  $|A| = \frac{1}{3}$  , 则  $\left(\frac{1}{4}A\right)^{-1} - 15A^* = \frac{(-1)^n 3}{2}$  .

解: 
$$\left| \left( \frac{1}{4} A \right)^{-1} - 15 A^* \right| = \left| 4A^{-1} - 15 \cdot \left| A \right| \cdot A^{-1} \right| = \left| 4A^{-1} - 15 \cdot \frac{1}{3} A^{-1} \right| = \left| -A^{-1} \right| = \left( -1 \right)^n \cdot 3.$$

(16) 设 
$$A = \begin{pmatrix} 1 & 0 & 0 \\ 2 & 2 & 0 \\ 3 & 4 & 5 \end{pmatrix}$$
,  $A^*$ 为 $A$  的伴随阵,则 $\left(A^*\right)^{-1} = \underbrace{\frac{1}{10}A}$ .

解: 
$$(A^*)^{-1} = (A^{-1})^* = \frac{A}{|A|} = \frac{1}{10}A$$
.

(17) 设 $A^*, A^{-1}$ 分别为n阶方阵A的伴随阵和逆阵,则 $\left|A^*A^{-1}\right| = \left|A\right|^{n-2}$ .

解: 
$$|A^*A^{-1}| = |A^*| \cdot |A^{-1}| = |A|^{n-1} \cdot |A|^{-1} = |A|^{n-2}$$
.

(18) 设
$$A = \begin{pmatrix} 1 & 2 & -2 \\ 4 & a & 1 \\ 3 & -1 & 1 \end{pmatrix}$$
,  $B$  为三阶非零矩阵,且 $AB = O$ ,则 $a = \underline{\quad -1 \quad}$ .

解: 首先证明|A|=0:

方法一:由AB=O,若 $\left|A\right|\neq0$ ,则A可逆,两边左乘 $A^{-1}$ 得 $B=A^{-1}O=O$ ,与 $B\neq O$ 矛盾,故 $\left|A\right|=0$ ;

方法二: AB = O,设 $B = \begin{pmatrix} b_1 & b_2 & b_3 \end{pmatrix} \neq O_{3\times 3}$ ,故 $\exists b_i \neq O_{3\times 1}, i = 1, 2, 3$ , $Ab_i = O_{3\times 1}$ ,即 Ax = 0有非零解,故由定理 4.2.1 知 $R(A) < n \Rightarrow |A| = 0$ .

综上有|A|=0.

$$|A| = \begin{vmatrix} 1 & 2 & -2 \\ 4 & a & 1 \\ 3 & -1 & 1 \end{vmatrix} = -\begin{vmatrix} 1 & 2 & -2 \\ 3 & -1 & 1 \\ 4 & a & 1 \end{vmatrix} = -\begin{vmatrix} 1 & 2 & -2 \\ 0 & -7 & 7 \\ 0 & a - 8 & 9 \end{vmatrix} = 7\begin{vmatrix} 1 & 2 & -2 \\ 0 & 1 & -1 \\ 0 & a - 8 & 9 \end{vmatrix} = 7\begin{vmatrix} 1 & 2 & -2 \\ 0 & 1 & -1 \\ 0 & 0 & a + 1 \end{vmatrix}$$
$$= 7(a+1) = 0 \Rightarrow a = -$$

(19) 线性方程组 
$$\begin{cases} k_1x_1 + k_1^2x_2 + k_1^3x_3 = k_1^4 \\ k_2x_1 + k_2^2x_2 + k_2^3x_3 = k_2^4 \text{ , 满足条件} \underline{\qquad k_1k_2k_3 \neq 0, k_1, k_2, k_3} \text{ 互不相等 } \underline{\qquad \text{时有}} \\ k_3x_1 + k_3^2x_2 + k_3^3x_3 = k_3^4 \end{cases}$$

惟一解.

解:由克莱姆法则:  $|A| \neq 0$  时有唯一解.

$$|A| = \begin{vmatrix} k_1 & k_1^2 & k_1^3 \\ k_2 & k_2^2 & k_3^3 \\ k_3 & k_3^2 & k_3^3 \end{vmatrix} = k_1 k_2 k_3 \begin{vmatrix} 1 & k_1 & k_1^2 \\ 1 & k_2 & k_2^2 \\ 1 & k_3 & k_3^2 \end{vmatrix} = k_1 k_2 k_3 (k_2 - k_1)(k_3 - k_1)(k_3 - k_2) \neq 0$$

 $k_1k_2k_3 \neq 0$ ,且 $k_1,k_2,k_3$ 互不相等.

(20) 当
$$\lambda = \frac{13 \pm \sqrt{641}}{2}$$
 , 线性方程组 
$$\begin{cases} 2x_1 + \lambda x_2 + 3x_3 = 0 \\ \lambda x_1 + 9x_2 - 4x_3 = 0 \end{cases}$$
 有非零解.

解: Ax = 0有非零解  $\Leftrightarrow |A| = 0$ 

$$|A| = \begin{vmatrix} 2 & \lambda & 3 \\ \lambda & 9 & -4 \\ 4 & 1 & -1 \end{vmatrix} = \lambda^2 - 13\lambda - 118 = 0 \Rightarrow \lambda = \frac{13 \pm \sqrt{641}}{2}.$$

#### 2. 选择题

- (1) 设A、B均为n阶方阵,则下面结论正确的是(B)
- (A) 若 A 或 B 可逆,则 A B 必可逆;
- (B) 若 A 或 B 不可逆,则 A B 必不可逆;
- (C) 若 A 、 B 均可逆, 则 A+B 必可逆;
- (D) 若A、B均不可逆,则A+B必不可逆.

解: A 可逆  $\Leftrightarrow$   $|A| \neq 0$ , A 不可逆  $\Leftrightarrow$  |A| = 0

( A ) 若 A 可逆, B 不可逆 ⇒  $|A| \neq 0$ , |B| = 0 ,  $|AB| = |A| \cdot |B| = 0$  , 故 AB 不可逆,故 ( A ) 错误;

$$(B) |A| = 0$$
  $|B| = 0 \Rightarrow |AB| = |A| \cdot |B| = 0$ ,  $|A| = 0$ ,  $|A|$ 

(C) 设A可逆,则B=-A也可逆,但A+B=A-A=O不可逆,故(C)错误;。

$$(D)$$
  $A = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $B = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ 均不可逆,但 $A + B = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ 可逆,故( $D$ )错误.

(2) 设A、B均为n阶方阵,且A(B-E)=O,则(B)

$$(A) A = O \vec{\boxtimes} B = E;$$
  $(B) |A| = 0 \vec{\boxtimes} |B - E| = 0;$ 

$$(C) |A| = 0$$
  $|B| = 1;$   $(D) A = B A.$ 

解: A(B-E)=O, 两边取行列式,则 $|A|\cdot |B-E|=0$ ,故|A|=0或|B-E|=0,故(B) 正确:

$$(A)$$
 反例:  $AB = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} = O;$ 

$$(C) |B-E| = 0; |B| = 1, \text{ id } (C) \text{ fig.}$$

$$(D) A(B-E) = O \Leftrightarrow AB-A = O \Leftrightarrow AB = A_i, A = BA, \Leftrightarrow (D) \Leftrightarrow (D)$$

- (3) 设A、B均为n阶非零矩阵,且AB = O,则A和B的秩(D)
- (*A*) 必有一个为零;
- (B) 一个等于n,一个小于n;
- (*C*)都等于*n*;
- (D) 都小于n.

解:方法一:AB = O,由课本P110例9知: $R(A) + R(B) \le n$ ,又A、B均为非零矩阵,

故 $R(A) \ge 1$ ,  $R(B) \ge 1$ ,  $R(A) \le n - R(B) \le n - 1 < n$ , 同理R(B) < n, 故(D) 正确;

方法二: AB = O, A、B均为n阶非零矩阵,则A、B均不可逆 $\Rightarrow R(A) < n$ , R(B) < n

反证: 若A 可逆. 则 $A^{-1}AB = B = A^{-1}O = O$ , 与 $B \neq O$  矛盾;

若 B 可逆,则  $A = ABB^{-1} = OB^{-1} = O$ ,与  $A \neq O$  矛盾.

(4) 设n阶方阵A经过初等变换后得方阵B,则(D)

(A) |A| = |B|;

 $(B) |A| \neq |B|$ ;

(C) |A|B| > 0;

$$(D)$$
 若 $|A| = 0$ ,则 $|B| = 0$ .

解: 由题意知  $A \cong B$ , 故  $\exists$  可逆阵  $P \setminus Q$ , 使  $PAQ = B, |P| \neq 0, |Q| \neq 0$ ,

 $|PAQ| = |P| \cdot |A| \cdot |Q| = |B| \Rightarrow |A| = 0 \Leftrightarrow |B| = 0$ 

$$|A| \neq 0 \Leftrightarrow |B| \neq 0$$

故(D)正确。(A)(B)(C)均不正确,由 $|P|\cdot|A|\cdot|Q|=|B|$ ,可构造P、Q,使(A)(B)(C)不成立.

(5) 设A、B均为n阶方阵,E+AB可逆,则E+BA也可逆,且(E+BA) $^{-1}=(C)$ .

 $(A) E + A^{-1}B^{-1};$ 

$$(B) E + B^{-1}A^{-1}$$
:

 $(C) E - B(E + AB)^{-1}A;$ 

$$(D) B(E + AB^{-1})A$$
.

解: 经验证知(C)正确,即

$$(E + BA)^{-1} = E - B(E + AB)^{-1} A \Leftrightarrow (E + BA)[E - B(E + AB)^{-1} A] = E$$

 $E + BA - B(E + AB)^{-1}A - BAB(E + AB)^{-1}A = E + BA - B(E + AB)(E + AB)^{-1}A$ = E + BA - BA = E.

(6) 设n阶方阵A,B,C满足ABC = E,则必有(D)

- (A) ACB = E;
- (B) BAC = E;
- (C) CBA = E;
- (D) BCA = E.

解: AB = E,则A、B均可逆,且BA = E,即AB = BA = E

$$E = ABC = ABC = BCA = CAB$$
, 故(D)正确.

(7) 设n阶方阵A,B,C均是可逆方阵,则 $\left(ACB^{T}\right)^{-1}=(D)$ 

$$(A) (B^{-1})^{-1} A^{-1} C^{-1};$$

$$(B) A^{-1}C^{-1}(B^T)^{-1};$$

$$(C) B^{-1}C^{-1}A^{-1};$$

$$(D) (B^{-1})^T C^{-1} A^{-1}.$$

解:  $(ACB^T)^{-1} = (B^T)^{-1} C^{-1}A^{-1} = (B^{-1})^T C^{-1}A^{-1}$ , 故(D)正确.

$$P_1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \quad P_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \nexists A 可逆,则 B^{-1} = (C)$$

$$(A) A^{-1}P_1P_2;$$

$$(B) P_2 A^{-1} P_1;$$

$$(C) P_1 P_2 A^{-1};$$

$$(D) P_1 A^{-1} P_2.$$

解:  $A = (\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4)$ , 则  $B = (\alpha_4 \quad \alpha_3 \quad \alpha_2 \quad \alpha_1) = AP_1P_2 = AP_2P_1$ , 其中

$$P_1 = E(1,4)$$
,  $P_2 = E(2,3)$ ,

对初等方阵有:

$$E(i, j)^{-1} = E(i, j), E(i(k))^{-1} = E(i(\frac{1}{k})), E(j(k), i)^{-1} = E(j(-k), i)$$

故 
$$P_1^{-1} = P_1, P_2^{-1} = P_2$$

$$B^{-1} = (AP_2P_1)^{-1} = P_1^{-1}P_2^{-1}A^{-1} = P_1P_2A^{-1}, \text{ id } (C)$$
 正确.

$$B^{-1} = (AP_1P_2)^{-1} = P_2^{-1}P_1^{-1}A^{-1} = P_2P_1A^{-1}$$

(9) 设 $A \neq m \times n$ 矩阵, $B \neq n \times m$ 矩阵,则(A)

$$(A)$$
  $m > n$  时必有  $|AB| = 0$ ;

$$(B)$$
  $m < n$  时必有  $|AB| = 0$ ;

$$(C)$$
  $m > n$  时必有  $|AB| \neq 0$ ;  $(D)$   $m < n$  时必有  $|AB| \neq 0$ .

$$(D) m < n$$
 时必有  $|AB| \neq 0$ 

解: 对 (A)(C)有m > n,  $R(AB) \le R(A) \le n < m \Rightarrow |AB| = 0$ , 故 (A) 正确;

对 
$$(B)(D)$$
 有  $m < n$  ,  $R(AB) \le R(A) \le m < n$  ,  $R(AB) \begin{cases} = m \Leftrightarrow |AB| \ne 0 \\ < m \Leftrightarrow |AB| = 0 \end{cases}$  均有可能,

故(B)(D)错误.

(10) 设
$$A = \begin{pmatrix} a & b & b \\ b & a & b \\ b & b & a \end{pmatrix}$$
,  $A$  的伴随阵的秩为 1, 则( $B$ ).

$$(A) \ a = b \otimes a + 2b = 0$$
 ;  $(B) \ a \neq b \otimes a + 2b = 0$ ;

$$(C) \ a = b \oplus a + 2b \neq 0;$$
  $(D) \ a \neq b \oplus a + 2b \neq 0.$ 

解: 
$$R(A^*) = \begin{cases} n, & R(A) = n \\ 1, & R(A) = n - 1 \\ 0, & R(A) \le n - 2 \end{cases}$$
 , 此题有  $R(A^*) = \begin{cases} 3, & R(A) = 3 \\ 1, & R(A) = 2 \\ 0, & R(A) \le 1 \end{cases}$ 

$$\pm R(A^*) = 1 \Rightarrow R(A) = 2 \Rightarrow |A| = 0$$

$$|A| = \begin{vmatrix} a & b & b \\ b & a & b \\ b & b & a \end{vmatrix} = a^3 + 2b^3 - 3ab^2 = (a - b)^2 (a + 2b) = 0 \Rightarrow a = b \text{ or } a + 2b = 0$$

若 
$$a=b$$
,  $A=\begin{pmatrix} b & b & b \\ b & b & b \\ b & b & b \end{pmatrix}$ ,  $R(A)=1$ 与  $R(A)=2$  矛盾;

若 
$$a+2b=0$$
,  $a=-2b$ , 此时  $b\neq 0$ , 若  $b=0$ , 则  $a=-2b=0$ ,  $A=\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ , 与

$$R(A) = 2$$
 矛盾,故 $b \neq 0$ .  $A = \begin{pmatrix} -2b & b & b \\ b & -2b & b \\ b & b & -2b \end{pmatrix}$ ,  $\begin{vmatrix} -2b & b \\ b & -2b \end{vmatrix} = 3b^2 \neq 0$ ,故 $R(A) = 2$ .

综上所述,  $a \neq b$ 且a + 2b = 0, (B) 正确.

3. 写出下列矩阵  $A = (a_{ii})$ 

(1) 
$$a_{ii} = i - j$$
的 3×2 矩阵;

(2)  $a_{ii} = ij$  的的 4 阶方阵.

解: (1) 
$$A = (a_{ij})_{3\times 2} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \\ 2 & 1 \end{pmatrix}$$

(2) 
$$A = (a_{ij})_{4\times 4} = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 6 & 8 \\ 3 & 6 & 9 & 12 \\ 4 & 8 & 12 & 16 \end{pmatrix}$$

4. 设矩阵

$$A = \begin{pmatrix} 1 & 1 & 2 \\ 1 & 1 & -1 \\ 2 & -1 & 1 \end{pmatrix}; \quad B = \begin{pmatrix} 1 & 2 & 3 \\ -1 & -2 & 2 \\ 0 & 3 & -1 \end{pmatrix},$$

求  $3AB - 2A^T$ 及  $(AB^T)$ .

解: 
$$AB = \begin{pmatrix} 0 & 6 & 3 \\ 0 & -3 & 6 \\ 3 & 9 & 3 \end{pmatrix}$$
,  $A^{T} = \begin{pmatrix} 1 & 1 & 2 \\ 1 & 1 & -1 \\ 2 & -1 & 1 \end{pmatrix}$ ,  $(AB)^{T} = \begin{pmatrix} 0 & 0 & 3 \\ 6 & -3 & 9 \\ 3 & 6 & 3 \end{pmatrix}$ 

$$3AB - 2A^{T} = 3 \begin{pmatrix} 0 & 6 & 3 \\ 0 & -3 & 6 \\ 3 & 9 & 3 \end{pmatrix} - 2 \begin{pmatrix} 1 & 1 & 2 \\ 1 & 1 & -1 \\ 2 & -1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 18 & 9 \\ 0 & -9 & 18 \\ 9 & 27 & 9 \end{pmatrix} - \begin{pmatrix} 2 & 2 & 4 \\ 2 & 2 & -2 \\ 4 & -2 & 2 \end{pmatrix} = \begin{pmatrix} -2 & 16 & 5 \\ -2 & -11 & 20 \\ 5 & 29 & 7 \end{pmatrix}$$

5. 计算下列矩阵的乘积

$$(1) \begin{pmatrix} 2 & 1 & 4 & 0 \\ 1 & -1 & 3 & 3 \end{pmatrix} \begin{pmatrix} 1 & 3 & -1 \\ 0 & 1 & -2 \\ 1 & -3 & 1 \\ 2 & 0 & -1 \end{pmatrix};$$

$$(2) \begin{pmatrix} 2 & 1 & 3 \\ 0 & 1 & -1 \\ 0 & 0 & 5 \end{pmatrix} \begin{pmatrix} -1 & 2 & 0 \\ 0 & 1 & 7 \\ 0 & 0 & -3 \end{pmatrix};$$

$$(3) \begin{pmatrix} 1 & 2 & 3 \end{pmatrix} \begin{pmatrix} 3 \\ 2 \\ -1 \end{pmatrix};$$

$$(4) \begin{pmatrix} 2 \\ 1 \\ -3 \end{pmatrix} (0 \quad 2);$$

$$(5) \begin{pmatrix} 4 & 3 & 2 \\ 1 & -2 & 5 \\ 3 & 1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix};$$

(6) 
$$(x_1 \quad x_2 \quad x_3) \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$
.

$$\text{#: } (1) \begin{pmatrix} 2 & 1 & 4 & 0 \\ 1 & -1 & 3 & 3 \end{pmatrix} \begin{pmatrix} 1 & 3 & -1 \\ 0 & 1 & -2 \\ 1 & -3 & 1 \\ 2 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 6 & -5 & 0 \\ 10 & -7 & 1 \end{pmatrix}$$

$$(2) \begin{pmatrix} 2 & 1 & 3 \\ 0 & 1 & -1 \\ 0 & 0 & 5 \end{pmatrix} \begin{pmatrix} -1 & 2 & 0 \\ 0 & 1 & 7 \\ 0 & 0 & -3 \end{pmatrix} = \begin{pmatrix} -2 & 5 & -2 \\ 0 & 1 & 10 \\ 0 & 0 & -15 \end{pmatrix}$$

(3) 
$$\begin{pmatrix} 1 & 2 & 3 \end{pmatrix} \begin{pmatrix} 3 \\ 2 \\ -1 \end{pmatrix} = 1 \times 3 + 2 \times 2 - 3 \times 1 = 4$$

$$(4) \begin{pmatrix} 2 \\ 1 \\ -3 \end{pmatrix} (0 \quad 2) = \begin{pmatrix} 0 & 4 \\ 0 & 2 \\ 0 & -6 \end{pmatrix}$$

$$(5) \begin{pmatrix} 4 & 3 & 2 \\ 1 & -2 & 5 \\ 3 & 1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 4x_1 + 3x_2 + 2x_3 \\ x_1 + (-2)x_2 + 5x_3 \\ 3x_1 + x_2 \end{pmatrix}$$

(6) 
$$(x_1 \quad x_2 \quad x_3) \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

$$= \left(a_{11}x_1 + a_{21}x_2 + a_{31}x_3 \quad a_{12}x_1 + a_{22}x_2 + a_{32}x_3 \quad a_{13}x_1 + a_{23}x_2 + a_{33}x_3\right) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

$$= a_{11}x_1^2 + a_{22}x_2^2 + a_{33}x_3^2 + (a_{12} + a_{21})x_1x_2 + (a_{13} + a_{31})x_1x_3 + (a_{23} + a_{32})x_2x_3$$

6. 设 
$$A = \begin{pmatrix} 1 & 0 \\ \lambda & 1 \end{pmatrix}$$
, 求  $A^k$  ( $k$  为正整数).

解: 
$$A = \begin{pmatrix} 1 & 0 \\ \lambda & 1 \end{pmatrix}$$
,  $A^2 = \begin{pmatrix} 1 & 0 \\ 2\lambda & 1 \end{pmatrix}$ ,  $A^3 = A^2A = \begin{pmatrix} 1 & 0 \\ 3\lambda & 1 \end{pmatrix}$ , 猜测  $A^k = \begin{pmatrix} 1 & 0 \\ k\lambda & 1 \end{pmatrix}$ 

用数学归纳法证明:

①当
$$k=1$$
时, $A=\begin{pmatrix}1&0\\\lambda&1\end{pmatrix}$ 成立;

②设当
$$k=n, n \ge 1$$
时, $A^n = \begin{pmatrix} 1 & 0 \\ n\lambda & 1 \end{pmatrix}$ 成立,

则当
$$k=n+1$$
时, $A^{n+1}=A^nA=\begin{pmatrix}1&0\\n\lambda&1\end{pmatrix}\begin{pmatrix}1&0\\\lambda&1\end{pmatrix}=\begin{pmatrix}1&0\\(n+1)\lambda&1\end{pmatrix}$ 成立,

故由数学归纳法知  $A^k = \begin{pmatrix} 1 & 0 \\ k\lambda & 1 \end{pmatrix}$ 

7. 设
$$\begin{pmatrix} \lambda & 1 & 0 \\ 0 & \lambda & 1 \\ 0 & 0 & \lambda \end{pmatrix}$$
, 求 $A^k$  ( $k$  为正整数).

解: 
$$A = \begin{pmatrix} \lambda & 1 & 0 \\ 0 & \lambda & 1 \\ 0 & 0 & \lambda \end{pmatrix} = \begin{pmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda \end{pmatrix} + \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \square \Lambda + B$$
,且

$$B = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad B^2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad B^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = O_{3\times 3}, \quad B^k = O, k \ge 3,$$

可得: 
$$A^k = (\Lambda + B)^k = \sum_{i=0}^k C_k^i \Lambda^{k-i} B^i = \Lambda^k + C_k^1 \Lambda^{k-1} B + C_k^2 \Lambda^{k-2} B^2 + \dots + B^k$$

$$\therefore A^{k} = \Lambda^{k} + C_{k}^{1} \Lambda^{k-1} B + C_{k}^{2} \Lambda^{k-2} B^{2} = \lambda^{k-2} \begin{pmatrix} \lambda^{2} & k\lambda & \frac{k(k-1)}{2} \\ 0 & \lambda^{2} & k\lambda \\ 0 & 0 & \lambda^{2} \end{pmatrix}$$

8. 设
$$\begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 2 \end{pmatrix}$$
, 求 $A^k$  ( $k$ 为正整数).

解: 方法一: 
$$A = \begin{pmatrix} \Lambda & O \\ E & \Lambda \end{pmatrix}$$
, 其中  $\Lambda = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$ ,  $A^2 = \begin{pmatrix} \Lambda & O \\ E & \Lambda \end{pmatrix} \begin{pmatrix} \Lambda & O \\ E & \Lambda \end{pmatrix} = \begin{pmatrix} \Lambda^2 & O \\ 2\Lambda & \Lambda^2 \end{pmatrix}$ ,

$$A^{3} = \begin{pmatrix} \Lambda^{2} & O \\ 2\Lambda & \Lambda^{2} \end{pmatrix} \begin{pmatrix} \Lambda & O \\ E & \Lambda \end{pmatrix} = \begin{pmatrix} \Lambda^{3} & O \\ 3\Lambda & \Lambda^{3} \end{pmatrix}, \quad \text{ (Big)} A^{k} = \begin{pmatrix} \Lambda^{k} & O \\ k\Lambda & \Lambda^{k} \end{pmatrix},$$

$$A^{k+1} = \begin{pmatrix} \Lambda^k & O \\ k\Lambda & \Lambda^k \end{pmatrix} \begin{pmatrix} \Lambda & O \\ E & \Lambda \end{pmatrix} = \begin{pmatrix} \Lambda^{k+1} & O \\ (k+1)\Lambda & \Lambda^{k+1} \end{pmatrix}$$

$$\therefore A^{k} = \begin{pmatrix} 2^{k} & 0 & 0 & 0 \\ 0 & 2^{k} & 0 & 0 \\ k2^{k-1} & 0 & 2^{k} & 0 \\ 0 & k2^{k-1} & 0 & 2^{k} \end{pmatrix}$$

方法二: 
$$A^2 = \begin{pmatrix} 2^2 & 0 & 0 & 0 \\ 0 & 2^2 & 0 & 0 \\ 2 \cdot 2 & 0 & 2^2 & 0 \\ 0 & 2 \cdot 2 & 0 & 2^2 \end{pmatrix}$$
,  $A^3 = \begin{pmatrix} 2^3 & 0 & 0 & 0 \\ 0 & 2^3 & 0 & 0 \\ 2^3 + 2^2 & 0 & 2^3 & 0 \\ 0 & 2^3 + 2^2 & 0 & 2^3 \end{pmatrix}$ 

假设 
$$A^k = \begin{pmatrix} 2^k & 0 & 0 & 0 \\ 0 & 2^k & 0 & 0 \\ a_k & 0 & 2^k & 0 \\ 0 & a_k & 0 & 2^k \end{pmatrix}$$
,则

$$A^{k+1} = \begin{pmatrix} 2^k & 0 & 0 & 0 \\ 0 & 2^k & 0 & 0 \\ a_k & 0 & 2^k & 0 \\ 0 & a_k & 0 & 2^k \end{pmatrix} \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 2 \end{pmatrix} = \begin{pmatrix} 2^{k+1} & 0 & 0 & 0 \\ 0 & 2^{k+1} & 0 & 0 \\ 2a_k + 2^k & 0 & 2^{k+1} & 0 \\ 0 & 2a_k + 2^k & 0 & 2^{k+1} \end{pmatrix}$$

$$a_{k+1} = 2a_k + 2^k \Rightarrow \frac{a_{k+1}}{2^{k+1}} = \frac{a_k}{2^k} + \frac{1}{2}, \quad \mathbb{H} \frac{a_1}{2} = \frac{1}{2} \Rightarrow \frac{a_k}{2^k} = \frac{k}{2}$$

$$\therefore a_k = k \cdot 2^{k-1}$$

$$\therefore A^{k} = \begin{pmatrix} 2^{k} & 0 & 0 & 0 \\ 0 & 2^{k} & 0 & 0 \\ k2^{k-1} & 0 & 2^{k} & 0 \\ 0 & k2^{k-1} & 0 & 2^{k} \end{pmatrix}$$

#### 9. 求下列矩阵的秩

$$(1) \begin{pmatrix} 3 & 1 & 0 & 2 \\ 1 & -1 & 2 & -1 \\ 1 & 3 & -4 & 4 \end{pmatrix}; \qquad (2) \begin{pmatrix} 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & -2 & 0 \end{pmatrix}$$

$$\begin{pmatrix}
1 & 2 & 3 & 0 \\
2 & -1 & 1 & -5 \\
-1 & 0 & -1 & 2 \\
0 & 1 & 1 & 1 \\
3 & -1 & 2 & -7
\end{pmatrix}$$

$$(4) \begin{pmatrix}
1 & 1 & 2 & 2 & 1 \\
0 & 2 & 1 & 5 & -1 \\
2 & 0 & 3 & -1 & 3 \\
1 & 1 & 0 & 4 & -1
\end{pmatrix}$$

解: (1) 
$$\begin{pmatrix} 3 & 1 & 0 & 2 \\ 1 & -1 & 2 & -1 \\ 1 & 3 & -4 & 4 \end{pmatrix} \xrightarrow{r_2 \leftrightarrow r_1} \begin{pmatrix} 1 & -1 & 2 & -1 \\ 3 & 1 & 0 & 2 \\ 1 & 3 & -4 & 4 \end{pmatrix} \xrightarrow{r_2 - 3r_1} \begin{pmatrix} 1 & -1 & 2 & -1 \\ 0 & 4 & -6 & 5 \\ 0 & 4 & -6 & 5 \end{pmatrix}$$

$$\Box \begin{pmatrix} 1 & -1 & 2 & -1 \\ 0 & 4 & -6 & 5 \\ 0 & 0 & 0 & 0 \end{pmatrix} \qquad \therefore R(A) = 2$$

$$(2) \begin{pmatrix} 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & -2 & 0 \end{pmatrix} \Box \begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & -3 & -1 \end{pmatrix} \Box \begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -2 & -1 \end{pmatrix} \Box \begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\therefore R(A) = 3$$

$$\therefore R(A) = 2$$

$$\begin{pmatrix} 1 & 1 & 2 & 2 & 1 \\ 0 & 2 & 1 & 5 & -1 \\ 2 & 0 & 3 & -1 & 3 \\ 1 & 1 & 0 & 4 & -1 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 2 & 2 & 1 \\ 0 & 2 & 1 & 5 & -1 \\ 0 & -2 & -1 & -5 & 1 \\ 0 & 0 & -2 & 2 & -2 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 2 & 2 & 1 \\ 0 & 2 & 1 & 5 & -1 \\ 0 & 0 & -2 & 2 & -2 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\therefore R(A) = 3$$

10. 求下列矩阵的秩及行的最简形

$$\begin{pmatrix}
1 & -2 & -1 & 0 & 2 \\
-2 & 4 & 2 & 6 & -6 \\
2 & -1 & 0 & 2 & 3 \\
3 & 3 & 3 & 3 & 4
\end{pmatrix}; 
(2) 
\begin{pmatrix}
3 & -2 & 0 & -1 \\
0 & 2 & 2 & 1 \\
1 & -2 & -3 & -2 \\
0 & 1 & 2 & 1
\end{pmatrix}.$$

$$\begin{bmatrix}
1 & -2 & -1 & 0 & 2 \\
0 & 1 & \frac{2}{3} & \frac{2}{3} & -\frac{1}{3} \\
0 & 0 & 0 & 1 & -\frac{1}{3} \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
1 & -2 & -1 & 0 & 2 \\
0 & 1 & \frac{2}{3} & 0 & -\frac{1}{9} \\
0 & 0 & 0 & 1 & -\frac{1}{3} \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
1 & 0 & \frac{1}{3} & 0 & \frac{16}{9} \\
0 & 1 & \frac{2}{3} & 0 & -\frac{1}{9} \\
0 & 0 & 0 & 1 & -\frac{1}{3} \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}$$

 $\therefore R(A) = 3$ 

$$(2) \begin{pmatrix} 3 & -2 & 0 & -1 \\ 0 & 2 & 2 & 1 \\ 1 & -2 & -3 & -2 \\ 0 & 1 & 2 & 1 \end{pmatrix} \xrightarrow{r_3 \leftrightarrow r_1} \begin{pmatrix} 1 & -2 & -3 & -2 \\ 0 & 2 & 2 & 1 \\ 0 & 4 & 9 & 5 \\ 0 & 1 & 2 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & -2 & -3 & -2 \\ 0 & 1 & 1 & \frac{1}{2} \\ 0 & 0 & 5 & 3 \\ 0 & 0 & 1 & \frac{1}{2} \end{pmatrix}$$

$$\begin{bmatrix} 1 & -2 & -3 & -2 \\ 0 & 1 & 1 & \frac{1}{2} \\ 0 & 0 & 1 & \frac{1}{2} \\ 0 & 0 & 0 & \frac{1}{2} \end{bmatrix} \begin{bmatrix} 1 & -2 & -3 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & -2 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\therefore R(A) = 4$$

11. 求下列方阵的逆

$$(1) \begin{pmatrix} 1 & 2 & -1 \\ 3 & 4 & -2 \\ 5 & -4 & 1 \end{pmatrix}; \qquad (2) \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix};$$

$$(3) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 2 & 0 & 0 \\ 2 & 1 & 3 & 0 \\ 1 & 2 & 1 & 4 \end{pmatrix};$$
 
$$(4) \begin{pmatrix} 5 & 2 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 0 & 0 & 4 & -2 \\ 0 & 0 & 1 & 3 \end{pmatrix};$$

$$\begin{pmatrix}
3 & -2 & 0 & -1 \\
0 & 2 & 2 & 1 \\
1 & -2 & -3 & -2 \\
0 & 1 & 2 & 1
\end{pmatrix};$$

$$(6) 
\begin{pmatrix}
0 & 0 & 0 & 1 & 3 \\
0 & 0 & 0 & 2 & 8 \\
1 & 0 & 1 & 0 & 0 \\
2 & 3 & 2 & 0 & 0 \\
3 & 1 & 1 & 0 & 0
\end{pmatrix}.$$

解: (1) 
$$\begin{pmatrix} 1 & 2 & -1 & 1 & 0 & 0 \\ 3 & 4 & -2 & 0 & 1 & 0 \\ 5 & -4 & 1 & 0 & 0 & 1 \end{pmatrix}_{r_3 - 5r_1} \begin{pmatrix} 1 & 2 & -1 & 1 & 0 & 0 \\ 0 & -2 & 1 & -3 & 1 & 0 \\ 0 & -14 & 6 & -5 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 2 & -1 & 1 & 0 & 0 \\ 0 & -2 & 1 & -3 & 1 & 0 \\ 0 & 0 & -1 & 16 & -7 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & 0 & -15 & 7 & -1 \\ 0 & -2 & 0 & 13 & -6 & 1 \\ 0 & 0 & -1 & 16 & -7 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & 0 & 0 & -2 & 1 & 0 \\ 0 & -2 & 0 & 13 & -6 & 1 \\ 0 & 0 & -1 & 16 & -7 & 1 \end{pmatrix}$$

$$\Box \begin{pmatrix} 1 & 0 & 0 & -2 & 1 & 0 \\ 0 & 1 & 0 & -\frac{13}{2} & 3 & -\frac{1}{2} \\ 0 & 0 & 1 & -16 & 7 & -1 \end{pmatrix} \qquad \therefore A^{-1} = \begin{pmatrix} -2 & 1 & 0 \\ -\frac{13}{2} & 3 & -\frac{1}{2} \\ -16 & 7 & -1 \end{pmatrix}$$

(2) 
$$|A| = \begin{vmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{vmatrix} = 1$$
,  $A_{11} = \cos \theta$ ,  $A_{12} = -\sin \theta$ ,  $A_{21} = \sin \theta$ ,  $A_{22} = \cos \theta$ 

$$\therefore A^{-1} = \frac{A^*}{|A|} = \begin{pmatrix} A_{11} & A_{21} \\ A_{12} & A_{22} \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$(3) \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 2 & 0 & 0 & 0 & 1 & 0 & 0 \\ 2 & 1 & 3 & 0 & 0 & 0 & 1 & 0 \\ 1 & 2 & 1 & 4 & 0 & 0 & 0 & 1 \end{pmatrix} \begin{bmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & -1 & 1 & 0 & 0 \\ 0 & 1 & 3 & 0 & -2 & 0 & 1 & 0 \\ 0 & 2 & 1 & 4 & -1 & 0 & 0 & 1 \end{pmatrix}$$

$$\begin{bmatrix}
1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
0 & 2 & 0 & 0 & -1 & 1 & 0 & 0 \\
0 & 0 & 3 & 0 & -\frac{3}{2} & -\frac{1}{2} & 1 & 0 \\
0 & 0 & 1 & 4 & 0 & -1 & 0 & 1
\end{bmatrix}
\begin{bmatrix}
1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
0 & 2 & 0 & 0 & -1 & 1 & 0 & 0 \\
0 & 0 & 3 & 0 & -\frac{3}{2} & -\frac{1}{2} & 1 & 0 \\
0 & 0 & 0 & 4 & \frac{1}{2} & -\frac{5}{6} & -\frac{1}{3} & 1
\end{bmatrix}$$

$$\begin{bmatrix}
1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & -\frac{1}{2} & \frac{1}{2} & 0 & 0 \\
0 & 0 & 1 & 0 & -\frac{1}{2} & -\frac{1}{6} & \frac{1}{3} & 0 \\
0 & 0 & 0 & 1 & \frac{1}{8} & -\frac{5}{24} & -\frac{1}{12} & 1
\end{bmatrix}$$

$$\therefore A^{-1} = \frac{1}{24} \begin{pmatrix} 24 & 0 & 0 \\ -12 & 12 & 0 \\ -12 & -4 & 8 \\ 3 & -5 & -2 & 6 \end{pmatrix}$$

$$(4) A = \begin{pmatrix} 5 & 2 & 0 & 0 \\ 2 & 1 & 0 & 0 \\ 0 & 0 & 4 & -2 \\ 0 & 0 & 1 & 3 \end{pmatrix} \Box \begin{pmatrix} A_1 & O \\ O & A_2 \end{pmatrix}$$

$$A_1^{-1} = \begin{pmatrix} 5 & 2 \\ 2 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix}, \quad A_2^{-1} = \begin{pmatrix} 4 & -2 \\ 1 & 3 \end{pmatrix}^{-1} = \frac{1}{14} \begin{pmatrix} 3 & 2 \\ -1 & 4 \end{pmatrix}$$

$$A^{-1} = \begin{pmatrix} A_1 & O \\ O & A_2 \end{pmatrix}^{-1} = \begin{pmatrix} A_1^{-1} & O \\ O & A_2^{-1} \end{pmatrix} = \begin{pmatrix} 1 & -2 & 0 & 0 \\ -2 & 5 & 0 & 0 \\ 0 & 0 & \frac{3}{14} & \frac{1}{7} \\ 0 & 0 & -\frac{1}{14} & \frac{2}{7} \end{pmatrix}$$

$$(5) \begin{pmatrix} 3 & -2 & 0 & -1 & 1 & 0 & 0 & 0 \\ 0 & 2 & 2 & 1 & 0 & 1 & 0 & 0 \\ 1 & -2 & -3 & -2 & 0 & 0 & 1 & 0 \\ 0 & 1 & 2 & 1 & 0 & 0 & 0 & 1 \end{pmatrix} \xrightarrow{r_3 \leftrightarrow r_1} \begin{pmatrix} 1 & -2 & -3 & -2 & 0 & 0 & 1 & 0 \\ 0 & 2 & 2 & 1 & 0 & 1 & 0 & 0 \\ 0 & 4 & 9 & 5 & 1 & 0 & -3 & 0 \\ 0 & 1 & 2 & 1 & 0 & 0 & 0 & 1 \\ \end{pmatrix}$$

$$\begin{bmatrix}
1 & -2 & -3 & -2 & 0 & 0 & 1 & 0 \\
0 & 1 & 1 & \frac{1}{2} & 0 & \frac{1}{2} & 0 & 0 \\
0 & 0 & 5 & 3 & 1 & -2 & -3 & 0 \\
0 & 0 & 1 & \frac{1}{2} & 0 & -\frac{1}{2} & 0 & 1
\end{bmatrix}
\begin{bmatrix}
1 & -2 & -3 & -2 & 0 & 0 & 1 & 0 \\
0 & 1 & 1 & \frac{1}{2} & 0 & \frac{1}{2} & 0 & 0 \\
0 & 0 & 1 & \frac{1}{2} & 0 & -\frac{1}{2} & 0 & 1 \\
0 & 0 & 0 & \frac{1}{2} & 1 & \frac{1}{2} & -3 & -5
\end{bmatrix}$$

$$\Box \begin{pmatrix} 1 & -2 & -3 & 0 & 4 & 2 & -11 & -20 \\ 0 & 1 & 1 & 0 & -1 & 0 & 3 & 5 \\ 0 & 0 & 1 & 0 & -1 & -1 & 3 & 6 \\ 0 & 0 & 0 & 1 & 2 & 1 & -6 & -10 \end{pmatrix} \Box \begin{pmatrix} 1 & -2 & 0 & 0 & 1 & -1 & -2 & -2 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 0 & -1 & -1 & 3 & 6 \\ 0 & 0 & 0 & 1 & 2 & 1 & -6 & -10 \end{pmatrix}$$

$$\Box \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 & -2 & -4 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 0 & -1 & -1 & 3 & 6 \\ 0 & 0 & 0 & 1 & 2 & 1 & -6 & -10 \end{pmatrix} \qquad \therefore A^{-1} = \begin{pmatrix} 1 & 1 & -2 & -4 \\ 0 & 1 & 0 & -1 \\ -1 & -1 & 3 & 6 \\ 2 & 1 & -6 & -1 \end{pmatrix}$$

(6) 
$$A = \begin{pmatrix} 0 & 0 & 0 & 1 & 3 \\ 0 & 0 & 0 & 2 & 8 \\ 1 & 0 & 1 & 0 & 0 \\ 2 & 3 & 2 & 0 & 0 \\ 3 & 1 & 1 & 0 & 0 \end{pmatrix} \square \begin{pmatrix} O & A_1 \\ A_2 & O \end{pmatrix}$$

$$A_{1}^{-1} = \begin{pmatrix} 1 & 3 \\ 2 & 8 \end{pmatrix}^{-1} = \begin{pmatrix} 4 & -\frac{3}{2} \\ -1 & \frac{1}{2} \end{pmatrix}, \quad A_{2}^{-1} = \begin{pmatrix} 1 & 0 & 1 \\ 2 & 3 & 2 \\ 3 & 1 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} -\frac{1}{6} & -\frac{1}{6} & \frac{1}{2} \\ -\frac{2}{3} & \frac{1}{3} & 0 \\ \frac{7}{6} & \frac{1}{6} & -\frac{1}{2} \end{pmatrix}$$

$$A^{-1} = \begin{pmatrix} O & A_1 \\ A_2 & O \end{pmatrix}^{-1} = \begin{pmatrix} O & A_2^{-1} \\ A_1^{-1} & O \end{pmatrix} = \begin{pmatrix} 0 & 0 & -\frac{1}{6} & -\frac{1}{6} & \frac{1}{2} \\ 0 & 0 & -\frac{2}{3} & \frac{1}{3} & 0 \\ 0 & 0 & \frac{7}{6} & \frac{1}{6} & -\frac{1}{2} \\ 4 & -\frac{3}{2} & 0 & 0 & 0 \\ -1 & \frac{1}{2} & 0 & 0 & 0 \end{pmatrix}$$

12. 求解下列矩阵方程

$$(1) \begin{pmatrix} 1 & 2 & -1 \\ 3 & 4 & -2 \\ 2 & 2 & -2 \end{pmatrix} X = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix};$$

$$(2) \begin{pmatrix} 1 & 4 \\ -1 & 2 \end{pmatrix} X \begin{pmatrix} 2 & 1 & -1 \\ 2 & 1 & 0 \\ 1 & -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -1 & 3 \\ 4 & 3 & 2 \end{pmatrix};$$

(3) 
$$A = \begin{pmatrix} 2 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 2 \end{pmatrix}$$
,  $C = \begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 2 & 1 \end{pmatrix}$ ,  $AX = X + C$ ;

(4) 
$$abla A = \begin{pmatrix} 4 & 2 & 3 \\ 1 & 1 & 0 \\ -1 & 2 & 3 \end{pmatrix}, \quad \exists AB = A + 2B, \quad Rightsize B.$$

解: (1) 
$$AX = b$$
, 其中  $A = \begin{pmatrix} 1 & 2 & -1 \\ 3 & 4 & -2 \\ 2 & 2 & -2 \end{pmatrix}$ ,  $b = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$ 

$$\begin{pmatrix} 1 & 2 & -1 & 1 \\ 3 & 4 & -2 & 0 \\ 2 & 2 & -2 & -1 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & -1 & 1 \\ 0 & -2 & 1 & -3 \\ 0 & -2 & 0 & -3 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & -1 & 1 \\ 0 & -2 & 1 & -3 \\ 0 & 0 & -1 & 0 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & 0 & 1 \\ 0 & 1 & 0 & \frac{3}{2} \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\begin{bmatrix}
1 & 0 & 0 & -2 \\
0 & 1 & 0 & \frac{3}{2} \\
0 & 0 & 1 & 0
\end{bmatrix} \Rightarrow X = \begin{pmatrix} -2 \\ \frac{3}{2} \\ 0 \end{pmatrix}$$

(2) 
$$AXB = C \Rightarrow X = A^{-1}CB^{-1}$$

$$A = \begin{pmatrix} 1 & 4 \\ -1 & 2 \end{pmatrix}, \quad A^{-1} = \frac{1}{6} \begin{pmatrix} 2 & -4 \\ 1 & 1 \end{pmatrix}, \quad B = \begin{pmatrix} 2 & 1 & -1 \\ 2 & 1 & 0 \\ 1 & -1 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 2 & 1 & -1 & 1 & 0 & 0 \\ 2 & 1 & 0 & 0 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 1 \end{pmatrix} \Box \begin{pmatrix} 2 & 1 & -1 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 & 1 & 0 \\ 0 & -\frac{3}{2} & \frac{3}{2} & -\frac{1}{2} & 0 & 1 \end{pmatrix} \Box \begin{pmatrix} 2 & 1 & -1 & 1 & 0 & 0 \\ 0 & 1 & -1 & \frac{1}{3} & 0 & -\frac{2}{3} \\ 0 & 0 & 1 & -1 & 1 & 0 \end{pmatrix}$$

$$\begin{bmatrix}
2 & 1 & 0 & 0 & 1 & 0 \\
0 & 1 & 0 & -\frac{2}{3} & 1 & -\frac{2}{3} \\
0 & 0 & 1 & -1 & 1 & 0
\end{bmatrix}
\begin{bmatrix}
2 & 0 & 0 & \frac{2}{3} & 0 & \frac{2}{3} \\
0 & 1 & 0 & -\frac{2}{3} & 1 & -\frac{2}{3} \\
0 & 0 & 1 & -1 & 1 & 0
\end{bmatrix}
\begin{bmatrix}
1 & 0 & 0 & \frac{1}{3} & 0 & \frac{1}{3} \\
0 & 1 & 0 & -\frac{2}{3} & 1 & -\frac{2}{3} \\
0 & 0 & 1 & -1 & 1 & 0
\end{bmatrix}$$

$$X = A^{-1}CB^{-1} = \frac{1}{6} \begin{pmatrix} 2 & -4 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1 & 3 \\ 4 & 3 & 2 \end{pmatrix} \begin{pmatrix} \frac{1}{3} & 0 & \frac{1}{3} \\ -\frac{2}{3} & 1 & -\frac{2}{3} \\ -1 & 1 & 0 \end{pmatrix} = \begin{pmatrix} \frac{10}{9} & -\frac{8}{3} & \frac{7}{9} \\ -\frac{7}{9} & \frac{7}{6} & \frac{1}{18} \end{pmatrix}$$

(3) 
$$AX = X + C \Rightarrow X = (A - E)^{-1}C$$
,  $\sharp + A - E = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}$ ,  $C = \begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 2 & 1 \end{pmatrix}$ 

$$(A-E \mid C) \xrightarrow{\text{institute}} (E \mid (A-E)^{-1} C)$$

$$\begin{pmatrix} 1 & 1 & 0 & 1 & 2 \\ 1 & 1 & 1 & 3 & 4 \\ 0 & 1 & 1 & 2 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & 0 & 1 & 2 \\ 0 & 0 & 1 & 2 & 2 \\ 0 & 1 & 1 & 2 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & 0 & 1 & 2 \\ 0 & 0 & 1 & 2 & 2 \\ 0 & 0 & 1 & 2 & 2 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & 0 & 1 & 2 \\ 0 & 1 & 0 & 0 & -1 \\ 0 & 0 & 1 & 2 & 2 \end{pmatrix}$$

$$\Box \begin{pmatrix} 1 & 0 & 0 & 1 & 3 \\ 0 & 1 & 0 & 0 & -1 \\ 0 & 0 & 1 & 2 & 2 \end{pmatrix} \Rightarrow X = \begin{pmatrix} 1 & 3 \\ 0 & -1 \\ 2 & 2 \end{pmatrix}$$

(4) 
$$AB = A + 2B \Rightarrow B = (A - 2E)^{-1}A$$
,  $\sharp = A = \begin{pmatrix} 4 & 2 & 3 \\ 1 & 1 & 0 \\ -1 & 2 & 3 \end{pmatrix}$ ,  $A - 2E = \begin{pmatrix} 2 & 2 & 3 \\ 1 & -1 & 0 \\ -1 & 2 & 1 \end{pmatrix}$ 

$$(A-2E \mid A) \xrightarrow{\text{in Sign}} (E \mid (A-2E)^{-1} A)$$

$$\begin{pmatrix} 2 & 2 & 3 & 4 & 2 & 3 \\ 1 & -1 & 0 & 1 & 1 & 0 \\ -1 & 2 & 1 & -1 & 2 & 3 \end{pmatrix} \Box \begin{pmatrix} 1 & -1 & 0 & 1 & 1 & 0 \\ 0 & 4 & 3 & 2 & 0 & 3 \\ 0 & 1 & 1 & 0 & 3 & 3 \end{pmatrix} \Box \begin{pmatrix} 1 & -1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 3 & 3 \\ 0 & 0 & -1 & 2 & -12 & -9 \end{pmatrix}$$

$$\Box \begin{pmatrix} 1 & -1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 2 & -9 & -6 \\ 0 & 0 & 1 & -2 & 12 & 9 \end{pmatrix}
\Box \begin{pmatrix} 1 & 0 & 0 & 3 & -8 & -6 \\ 0 & 1 & 0 & 2 & -9 & -6 \\ 0 & 0 & 1 & -2 & 12 & 9 \end{pmatrix} \Rightarrow B = \begin{pmatrix} 3 & -8 & -6 \\ 2 & -9 & -6 \\ -2 & 12 & 9 \end{pmatrix}$$

13. 用克莱姆法则求解下列方程组

$$(1) \begin{cases} x_1 + x_2 + x_3 + x_4 = 5 \\ x_1 + 2x_2 - x_3 + 4x_4 = -2 \\ 2x_1 - 3x_2 - x_3 - 5x_4 = -2 \\ 3x_1 + x_2 + 2x_3 + 11x_4 = 0 \end{cases}$$

$$(2) \begin{cases} 2x_1 + x_2 - 5x_3 + x_4 = 8 \\ x_1 - 3x_2 - 6x_4 = 9 \\ 2x_2 - x_3 + 2x_4 = -5 \\ x_1 + 4x_2 - 7x_3 + 6x_4 = 0 \end{cases}$$

解: (1) 
$$|A| = \begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & -1 & 4 \\ 2 & -3 & -1 & -5 \\ 3 & 1 & 2 & 11 \end{vmatrix} = -142 \neq 0$$
,  $\therefore A$  可逆

$$(A \mid B) = \begin{pmatrix} 1 & 1 & 1 & 1 & 5 \\ 1 & 2 & -1 & 4 & -2 \\ 2 & -3 & -1 & -5 & -2 \\ 3 & 1 & 2 & 11 & 0 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & 1 & 1 & 5 \\ 0 & 1 & -2 & 3 & -7 \\ 0 & -5 & -3 & -7 & -12 \\ 0 & -2 & -1 & 8 & -15 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & 1 & 1 & 5 \\ 0 & 1 & -2 & 3 & -7 \\ 0 & 0 & -13 & 8 & -47 \\ 0 & 0 & -5 & 14 & -29 \end{pmatrix}$$

$$\begin{bmatrix}
1 & 1 & 1 & 1 & 5 \\
0 & 1 & -2 & 3 & -7 \\
0 & 0 & -13 & 8 & -47 \\
0 & 0 & 0 & -142 & 142
\end{bmatrix}
\begin{bmatrix}
1 & 1 & 1 & 0 & 6 \\
0 & 1 & -2 & 0 & -4 \\
0 & 0 & -13 & 0 & -39 \\
0 & 0 & 0 & 1 & -1
\end{bmatrix}
\begin{bmatrix}
1 & 0 & 0 & 0 & 1 \\
0 & 1 & 0 & 0 & 2 \\
0 & 0 & 1 & 0 & 3 \\
0 & 0 & 0 & 1 & -1
\end{bmatrix}$$

$$\therefore x_1 = 1, x_2 = 2, x_3 = 3, x_4 = -1$$

(2) 
$$|A| = \begin{vmatrix} 2 & 1 & -5 & 1 \\ 1 & -3 & 0 & -6 \\ 0 & 2 & -1 & 2 \\ 1 & 4 & -7 & 6 \end{vmatrix} = 27 \neq 0$$
,并且

$$D_{1} = \begin{vmatrix} 8 & 1 & -5 & 1 \\ 9 & -3 & 0 & -6 \\ -5 & 2 & -1 & 2 \\ 0 & 4 & -7 & 6 \end{vmatrix} = 81, \quad D_{2} = \begin{vmatrix} 2 & 8 & -5 & 1 \\ 1 & 9 & 0 & -6 \\ 0 & -5 & -1 & 2 \\ 1 & 0 & -7 & 6 \end{vmatrix} = -108,$$

$$D_3 = \begin{vmatrix} 2 & 1 & 8 & 1 \\ 1 & -3 & 9 & -6 \\ 0 & 2 & -5 & 2 \\ 1 & 4 & 0 & 6 \end{vmatrix} = -27 , \quad D_4 = \begin{vmatrix} 2 & 1 & -5 & 8 \\ 1 & -3 & 0 & 9 \\ 0 & 2 & -1 & -5 \\ 1 & 4 & -7 & 0 \end{vmatrix} = 27 ,$$

$$\therefore x_1 = \frac{D_1}{|A|} = 3, x_2 = \frac{D_2}{|A|} = -4, x_3 = \frac{D_3}{|A|} = -1, x_4 = \frac{D_4}{|A|} = 1$$

14. 已知线性方程组有非零解,求解下列方程中的参数 $\lambda$ 

(1) 
$$\begin{cases} (3-\lambda)x_1 + x_2 + x_3 = 0\\ (2-\lambda)x_2 - x_3 = 0\\ 4x_1 - 2x_2 + (1-\lambda)x_3 = 0 \end{cases}$$

(2) 
$$\begin{cases} \lambda x_1 + x_2 + x_3 = 0 \\ x_1 + \lambda x_2 + x_3 = 0 \\ x_1 + x_2 + \lambda x_3 = 0 \end{cases}$$

解: 齐次方程组 Ax=0 有非零解  $\Leftrightarrow$  |A|=0; 齐次方程组 Ax=0 有唯一解(零解)  $\Leftrightarrow$   $|A|\neq 0$ 

$$(1) |A| = \begin{vmatrix} 3-\lambda & 1 & 1 \\ 0 & 2-\lambda & -1 \\ 4 & -2 & 1-\lambda \end{vmatrix} = (3-\lambda)(2-\lambda)(1-\lambda)-4-[4(2-\lambda)+2(3-\lambda)]$$

$$= (3-\lambda)(2-\lambda)(1-\lambda)+6(\lambda-3)=(3-\lambda)(\lambda-4)(\lambda+1)=0$$

$$\Rightarrow \lambda = 3$$
,  $\lambda = 4$   $\equiv \lambda = -1$ 

(2) 
$$|A| = \begin{vmatrix} \lambda & 1 & 1 \\ 1 & \lambda & 1 \\ 1 & 1 & \lambda \end{vmatrix} = \lambda^3 + 1 + 1 - [\lambda + \lambda + \lambda] = \lambda^3 - 3\lambda + 2 = (\lambda - 1)^2 (\lambda + 2) = 0$$

 $\Rightarrow \lambda = 1$  或  $\lambda = -2$ 

15. 下列等式是否正确,说明理由或举反例说明,其中A,B均为n阶方阵.

(1) AB = BA;

(2) 
$$(A+B)(A-B) = A^2 - B^2$$
;

(3) 
$$(A+B)^2 = A^2 + 2AB + B^2$$
.

解: 对于 (2) 式, 
$$(A+B)(A-B)=A^2-AB+BA-B^2=A^2-B^2 \Leftrightarrow AB=BA$$

对于 (3) 式, 
$$(A+B)^2 = A^2 + AB + BA + B^2 = A^2 + 2AB + B^2 \Leftrightarrow AB = BA$$

但对于一般的n阶方阵A,B,没有AB = BA(交换律),故(1)(2)(3)均错误。

反例: 
$$A = \begin{pmatrix} 1 & 2 \\ -1 & 0 \end{pmatrix}$$
,  $B = \begin{pmatrix} 0 & 3 \\ 4 & 1 \end{pmatrix}$ , 则  $AB = \begin{pmatrix} 8 & 5 \\ 0 & -3 \end{pmatrix}$ ,  $BA = \begin{pmatrix} -3 & 0 \\ 3 & 8 \end{pmatrix}$ ,

显然  $AB \neq BA$ 。

特殊情形下有 AB = BA:

① 
$$A$$
 为数字阵:  $A = \begin{pmatrix} \lambda & 0 \\ & \ddots & \\ 0 & \lambda \end{pmatrix} = \lambda E$  ,  $AB = \lambda EB = BA = B\lambda E = \lambda B$  ;

② 
$$A = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}, B = \begin{pmatrix} \mu_1 & & 0 \\ & \ddots & \\ 0 & & \mu_n \end{pmatrix}$$
均为对角阵,  $AB = BA = \begin{pmatrix} \lambda_1 \mu_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \mu_n \end{pmatrix}$ 。

16. 下列等式或结论是否正确,说明理由或举反例说明,其中A,B均为n阶方阵,

- (1) 如果 $A^2 = O$ , 则A = O;
- (2) 如果 $A^2 = A$ . 则A = O或A = E:
- (3) 如果 AX = AY,则X = Y;
- (4) 方阵  $A \cap B$  的乘积 AB = O (其中 O 为零矩阵),且  $A \neq O$ ,则 B = O;
- (5) 设方阵 A, B, 均可逆,则  $A^{-1} + B^{-1}$  可逆.

解: (1) 
$$A = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$
,  $A^2 = O$ , 但  $A \neq O$ ;

(2) 
$$A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$
,  $A^2 = A$ ,  $\bigoplus A \neq O \not \boxtimes A \neq E$ ;

(3) 
$$A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$
,  $X = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}$ ,  $Y = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  $AX = AY$ ,  $\{ \exists X \neq Y \}$ ;

$$(4) \quad A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}, \quad AB = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix};$$

(5) 
$$A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
,  $B = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ 均可逆,但 $A + B = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ 不可逆.

17. (1) 设A 是 $m \times n$  矩阵,B 是 $n \times m$  矩阵, $m \neq n$ ,是否一定有 | AB | = | BA | ?

- (2) 设A、B都是 $m \times n$ 矩阵,是否一定有R(A) + R(B) = R(A + B),举例说明.
- (3) 若 3 阶方阵 A 的秩为 2, 3 阶方阵 B 的秩为 3, 则 A B 的秩为 2 吗? 为什么?
- (4)设A是n阶方阵,已知Ax=0有非零解,对任意的自然数k,方程 $A^kx=0$ 是否也有非零解?为什么?

解: (1) 不一定. 可以举出例子说明|AB| = |BA|, 现举例说明 $|AB| \neq |BA|$ .

取 
$$A = \begin{pmatrix} 1 & \cdots & 1 \end{pmatrix}_{1 \times n}$$
 ,  $B = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}_{n \times 1}$  , 则

$$AB = \begin{pmatrix} 1 & \cdots & 1 \end{pmatrix} \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} = n, \quad |AB| = n; \quad BA = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} \begin{pmatrix} 1 & \cdots & 1 \end{pmatrix} = \begin{pmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 1 \end{pmatrix}, \quad |BA| = 0$$

显然  $|AB| \neq |BA|$ .

(2) 不一定.可以举例说明 R(A)+R(B)=R(A+B), 现举例说明  $R(A)+R(B)\neq R(A+B)$ 

设
$$R(A) = n$$
,  $B = -A$ , 则 $R(B) = R(A) = n$ ,

$$R(A)+R(B)=2n \neq R(A+B)=R(O)=0$$

- (3) AB 的秩为 2. B 的秩为 3,则 B 为可逆阵, B 是一系列初等方阵的积, AB 就相当于给 A 实施一系列初等变换,而初等变换不改变矩阵的秩.
- (4) 方程  $A^k x = 0$  有非零解. 实际上 Ax = 0 的非零解即为  $A^k x = 0$  的非零解.

方法一: 
$$A^k x = A^{k-1} \cdot Ax = A^{k-1} \cdot 0 = 0$$

方法二: Ax = 0有非零解  $\Leftrightarrow |A| = 0$ 

$$A^k x = 0$$
 的非零解  $\Leftrightarrow |A^k| = |A|^k = 0$  ,  $k$  为任意的自然数

18. 设矩阵  $A \in n$  阶对称阵, $B \in n$  阶方阵,则 $B^T A B$ , $B^T B$  都是对称阵.

证明:已知A是n阶对称阵,则 $A^{T} = A$ ,

$$(B^TAB)^T = B^TA^T(B^T)^T = B^TAB; (B^TB)^T = B^T(B^T)^T = B^TB$$

得证 $B^{T}AB$ , $B^{T}B$ 都是对称阵.

19. 证明逆阵性质 2、3、5.

证明: 由 $A^{-1}B \Leftrightarrow AB = E$ 知:

性质 2: 
$$(A^{-1})^{-1} = A \Leftrightarrow A^{-1}A = E$$

性质 3: 
$$(\lambda A)^{-1} = \lambda^{-1} A^{-1} \Leftrightarrow \lambda A \cdot (\lambda^{-1} A^{-1}) = E$$

性质 5: 
$$(A^T)^{-1} = (A^{-1})^T \Leftrightarrow A^T (A^{-1})^T = (A^{-1}A)^T = E^T = E$$

20. 证明同阶正交阵相乘是正交阵.

证明:设A和B均为n阶对称阵,则 $AA^{T}=E$ ,  $BB^{T}=E$ 

$$(AB)(AB)^{T} = ABB^{T}A^{T} = AEA^{T} = AA^{T} = E$$

故 AB 为正交阵.

21. 设
$$A = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}$$
,  $f(x) = a_0 + a_1 x + \dots + a_n x^n (a_n \neq 0)$  , n为正整数,证明:

$$f(A) = \begin{pmatrix} f(\lambda_1) & 0 \\ 0 & f(\lambda_2) \end{pmatrix}.$$

证明: 由
$$A^k = \Lambda^k = \begin{pmatrix} \lambda_1^k & 0 \\ 0 & \lambda_2^k \end{pmatrix}$$
知

$$f(A) = a_0 E + a_1 A + \dots + a_n A^n = \begin{pmatrix} a_0 + a_1 \lambda_1 + \dots + a_n \lambda_1^n & 0 \\ 0 & a_0 + a_1 \lambda_2 + \dots + a_n \lambda_2^n \end{pmatrix} = \begin{pmatrix} f(\lambda_1) & 0 \\ 0 & f(\lambda_2) \end{pmatrix}$$

证明: 
$$A = P\Lambda P^{-1} \Rightarrow A^{10} = P\Lambda P^{-1} \cdot P\Lambda P^{-1} \cdots P\Lambda P^{-1} = P\Lambda^{10}P^{-1}$$

$$\mathbb{Z} P^{-1} = \frac{1}{2} \begin{pmatrix} 2 & 4 \\ -1 & -1 \end{pmatrix}, \quad \Lambda^{10} = \begin{pmatrix} 1 & 0 \\ 0 & 2^{10} \end{pmatrix}$$

$$\therefore A^{10} = \frac{1}{2} \begin{pmatrix} -1 & -4 \\ 1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2^{10} \end{pmatrix} \begin{pmatrix} 2 & 4 \\ -1 & -1 \end{pmatrix} = \begin{pmatrix} 2^{11} - 1 & 2^{11} - 2 \\ 1 - 2^{10} & 2 - 2^{10} \end{pmatrix}$$

23. 设A为n阶方阵, $A^*$ 为A的伴随阵,证明  $\left|A^*\right| = \left|A\right|^{n-1}$ .

证明:由方阵 A 和它的伴随方阵 A\*的关系  $AA^* = A^*A = |A|E$ ,方阵的行列式运算性质  $|AB| = |A||B|, \ |\lambda A| = \lambda^n |A|, \ \text{则} \ A \parallel A^* = |A^* \parallel A = |A|E| = |A|^n |E| = |A|^n, \ \text{当} \ |A| \neq 0 \ \text{时},$   $|A^*| = |A|^{n-1}; \ \text{当} \ |A| = 0 \ \text{时}, \ AA^* = A^*A = |A|E| = 0, \ \text{如} \ |A^*| \neq 0, \ \text{则} \ A^* = 0,$   $(AA^*)(A^*)^{-1} = 0E(A^*)^{-1} = 0, \ A = 0, \ A \ \text{的所有的代数余子式} \ A_{ij} = 0, \ \text{而} \ |A^*| \neq 0, \ \mathcal{F}$  盾.  $\text{故} |A^*| = 0, \ \text{有} \ |A^*| = |A|^{n-1}.$ 

24. 设 $A^k = 0$ (k为正整数),证明  $(E - A)^{-1} = E + A + A^2 + \dots + A^{k-1}$ .

证明: 
$$(E-A)(E+A+A^2+\cdots+A^{k-1})=E+A+\cdots+A^{k-1}-A-A^2-\cdots-A^k=E-A^k$$
  
 $\therefore A^k=0$ ,  $\therefore (E-A)(E+A+A^2+\cdots+A^{k-1})=E$ 

即
$$(E-A)^{-1} = E + A + A^2 + \cdots + A^{k-1}$$
.

25. 设 $A \setminus B$ 均为n阶方阵,满足AB = A + B,证明: A - E可逆且AB = BA.

证明: 
$$AB = A + B \Rightarrow AB - B = A \Rightarrow (A - E)B = A \Rightarrow (A - E)B - (A - E) = E$$

$$\Rightarrow (A-E)(B-E)=E$$
,故 $A-E$ 可逆;

$$(B-E)(A-E) = E \Rightarrow BA-B+A+E=E \Rightarrow BA=A+B=AB$$

故 AB = BA 得证.

26. 设方阵 A 满足  $A^2 - A - 2E = 0$ , 证明 A 及 A + 2E 可逆.

证明:方法一: 由己知有  $A^2 - A - 2E = 0$ ,  $A^2 - A = 2E$ , A(A - E) = 2E,

$$|A(A-E)|=|2E|\neq 0$$
,  $|A|\neq 0$ ,  $A$  可逆.

又由己知有  $A^2 - A - 2E = 0$ ,  $A^2 = A + 2E$ ,  $\left|A^2\right| = \left|A + 2E\right|$ ,由  $\left|A\right| \neq 0$  知  $\left|A + 2E\right| \neq 0$ , A + 2E 可逆.

方法二:由己知有 
$$A^2 - A - 2E = 0$$
,  $A^2 - A = 2E$  ,  $A(A - E) = 2E$  ,  $A\left[\frac{1}{2}(A - E)\right] = E$ 

$$\therefore A$$
可逆,且 $A^{-1} = \frac{1}{2}(A - E)$ 

又由己知有 
$$A^2 - A - 2E = 0$$
,  $(A + 2E)(A - 3E) = (A^2 - A - 2E) - 4E = -4E$ ,

$$(A+2E)$$
 $\left[\frac{1}{4}(3E-A)\right] = E$ , ∴  $A+2E$  可逆, 且 $(A+2E)^{-1} = \frac{1}{4}(3E-A)$ .

27. 设 $A \setminus B$ 均为n阶方阵,且 $B = B^2, A = B + E$ ,证明A可逆,并求其逆.

证明: 
$$A+B=E \Rightarrow B=A-E$$
, 由 $B=B^2$ 知,  $\left(A-E\right)^2=A-E$ ,

$$A^{2}-2A+E=A-E \Rightarrow A^{2}-3A=-2E \Rightarrow A(A-3E)=-2E \Rightarrow A\left[-\frac{1}{2}(A-3E)\right]=E$$

$$\therefore A$$
可逆,且 $A^{-1} = \frac{3}{2}E - \frac{1}{2}A$ .

28. 若对任意的 $n \times 1$ 矩阵X,均有AX = O,证明A必是零矩阵.

证明: 
$$:: AX = 0, \forall X \in \mathbb{R}^{n \times 1}$$
 成立,特别地,取  $X_1 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, X_2 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \cdots, X_n = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}$ ,则:

$$AX_{1} = \begin{pmatrix} a_{11} \\ a_{21} \\ \vdots \\ a_{n1} \end{pmatrix}, AX_{2} = \begin{pmatrix} a_{12} \\ a_{22} \\ \vdots \\ a_{n2} \end{pmatrix}, \dots, AX_{n} = \begin{pmatrix} a_{1n} \\ a_{2n} \\ \vdots \\ a_{nn} \end{pmatrix}, \quad \mathbb{H} AX_{1} = AX_{2} = \dots = AX_{n} = 0,$$

 $\therefore A$  的任一列均为零向量,即 A = O.

29. 设 $A \setminus B$ 为n阶方阵,证明A = O的充要条件是 $A^T A = O$ .证明:必要性:显然;

充分性: 记
$$A = (a_{ij})_{n \times n}$$
, 则 $A^T = (a_{ji})_{n \times n}$ , 记 $C = A^T A$ , 则 $c_{ii} = \sum_{j=1}^n a_{ji}^2$ ,  $\forall i = 1, 2, \dots, n$ 

$$\therefore C = 0$$
,  $\therefore c_{ii} = 0$ ,  $\exists i = 0, \forall i = 1, 2, \dots, n, \forall j = 1, 2, \dots, n$ 

 $\therefore A = O$ .

30. 证明  $A \sim B$  的充要条件是存在可逆阵  $P \setminus Q$ , 使 PAQ = B.

证明:  $A \sim B \Leftrightarrow \exists$  初等方阵  $P_1, P_2, \cdots, P_r$ ,  $Q_1, Q_2, \cdots, Q_s$  使  $P_1P_2 \cdots P_r A Q_1 Q_2 \cdots Q_s = B$ 

 $\Leftrightarrow$  3可逆阵  $P = P_1P_2 \cdots P_r$ ,可逆阵  $Q = Q_1Q_2 \cdots Q_s$ ,使 PAQ = B.

31. 设A、B均为n阶方阵,满足 $AA^T=E$ , $BB^T=E$ ,|A|+|B|=0,证明: |A+B|=0.

证明: 
$$AA^T = E, BB^T = E$$
, 则 $|A| = \pm 1, |B| = \pm 1$ 

已知 
$$|A|+|B|=0$$
,则  $\begin{cases} |A|=1 \\ |B|=-1 \end{cases}$  或  $\begin{cases} |A|=-1 \\ |B|=1 \end{cases}$ ,即  $|A|\cdot|B|=-1$ 

$$|A + B| = |AB^{-1}B + AA^{-1}B| = |A(B^{-1} + A^{-1})B| = |A| \cdot |B^{-1} + A^{-1}| \cdot |B| = -|B^{T} + A^{T}| = -|A + B|$$
$$\therefore |A + B| = 0.$$

32. 设A、B为n阶方阵,且A,B,A+B均可逆,证明:  $A^{-1}+B^{-1}$ 可逆,并求其逆.

证明: 
$$A^{-1} + B^{-1} = A^{-1}E + EB^{-1} = A^{-1}BB^{-1} + A^{-1}AB^{-1} = A^{-1}(B+A)B^{-1}$$
, 为可逆阵的乘积,

故 
$$A^{-1} + B^{-1}$$
可逆,且 $\left(A^{-1} + B^{-1}\right)^{-1} = \left(A^{-1}\left(A + B\right)B^{-1}\right)^{-1} = B\left(A + B\right)^{-1}A$ .

## 第三章 向量组的线性相关性

1. 填空题

(1) 设向量组 
$$\alpha_1 = \begin{pmatrix} 1 \\ 1 \\ 2 \\ 1 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 2 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} -1 \\ -4 \\ -8 \\ k \end{pmatrix}$  线性相关,则  $k = \underline{\qquad 2 \qquad \qquad }$ .

解:方法一: $\alpha_1,\alpha_2,\alpha_3$ 线性相关,则存在不全为0的数 $k_1,k_2,k_3$ ,使

$$k_{1}\alpha_{1} + k_{2}\alpha_{2} + k_{3}\alpha_{3} = \begin{pmatrix} \alpha_{1} & \alpha_{2} & \alpha_{3} \end{pmatrix} \begin{pmatrix} k_{1} \\ k_{2} \\ k_{3} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \Leftrightarrow \begin{cases} k_{1} + k_{2} - k_{3} = 0 \\ k_{1} - 4k_{3} = 0 \\ 2k_{1} - 8k_{3} = 0 \\ k_{1} + 2k_{2} + kk_{3} = 0 \end{cases}$$

前三个方程解出 
$$\begin{cases} k_1 = 4k_3 \\ k_2 = -3k_3 \text{ , } k_3 \neq 0 \text{ ($\because$ $k_1$, $k_2$, $k_3$ 不全为 0)} \\ k_3 = k_3 \end{cases}$$

把 $k_1, k_2, k_3$ 代入第四个方程得 $(k-2)k_3 = 0$ ,  $:: k_3 \neq 0$ : k = 2

方法二:  $\alpha_1,\alpha_2,\alpha_3$ 线性相关,则 $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) < 3$ 

$$A = \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \end{pmatrix} = \begin{pmatrix} 1 & 1 & -1 \\ 1 & 0 & -4 \\ 2 & 0 & -8 \\ 1 & 2 & k \end{pmatrix} \begin{bmatrix} 1 & 1 & -1 \\ 0 & -1 & -3 \\ 0 & -2 & -6 \\ 0 & 1 & k+1 \end{bmatrix} \begin{bmatrix} 1 & 1 & -1 \\ 0 & 1 & 3 \\ 0 & 0 & 0 \\ 0 & 0 & k-2 \end{bmatrix}$$

由  $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) < 3 \Rightarrow k - 2 = 0$ ,即 k = 2

方法三:  $R(A) = R(\alpha_1 \quad \alpha_2 \quad \alpha_3) < 3$ ,则 A 的任意三阶子式为 0,取 A 的一个三阶子式

$$\begin{vmatrix} 1 & 1 & -1 \\ 2 & 0 & -8 \\ 1 & 2 & k \end{vmatrix} = 0 \Rightarrow k = 2$$

(2) 设向量组
$$\alpha_1 = \begin{pmatrix} a \\ 0 \\ c \end{pmatrix}, \alpha_2 = \begin{pmatrix} b \\ c \\ 0 \end{pmatrix}, \alpha_3 = \begin{pmatrix} 0 \\ a \\ b \end{pmatrix}$$
线性无关,则 $a,b,c$ 必满足关系式 $abc \neq 0$ .

解: 
$$A = (\alpha_1 \quad \alpha_2 \quad \alpha_3) = \begin{pmatrix} a & b & 0 \\ 0 & c & a \\ c & 0 & b \end{pmatrix}$$
, 则  $\alpha_1, \alpha_2, \alpha_3$  线性无关  $\Leftrightarrow |A| \neq 0$ 

$$\begin{vmatrix} a & b & 0 \\ 0 & c & a \\ c & 0 & b \end{vmatrix} = 2abc \neq 0, \quad \square abc \neq 0.$$

(3) 设向量组
$$\alpha_1 = \begin{pmatrix} 1+\lambda\\1\\1 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 1\\1+\lambda\\1 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1\\1\\1+\lambda \end{pmatrix}$ 的秩为 2,则 $\lambda = \underline{\qquad -3}$ .

解: 
$$R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 2 < 3 \Rightarrow |\alpha_1 \quad \alpha_2 \quad \alpha_3| = 0 \Rightarrow \lambda = 0, \lambda = -3$$

当 $\lambda = 0$ 时, $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 1 \neq 2$ ,矛盾,故 $\lambda \neq 0$ ;

当
$$\lambda = -3$$
时, $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 2$ ,故 $\lambda = -3$ .

$$\Re: \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 2 & 1 \\ 0 & 1 & 4 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} \square A \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix}$$

 $|A|=3\neq0$   $\Rightarrow$   $A=P_1P_2\cdots P_s$  为初等方阵的乘积,初等变换不改变矩阵秩,从而不改变向量

组的秩, 
$$: R(\beta_1 \quad \beta_2 \quad \beta_3) = R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 3 \quad (:: \alpha_1, \alpha_2, \alpha_3)$$
线性无关)

 $\therefore \beta_1, \beta_2, \beta_3$  线性无关.

(5) 向量组 $\alpha_1, \alpha_2, \alpha_3$ 的秩为 2,则 $\beta_1 = \alpha_1 + \alpha_2, \beta_2 = \alpha_2 + \alpha_3, \beta_3 = \alpha_3 + \alpha_1$ 的秩为<u>2</u>.

解: 
$$\begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} \square A \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix}$$

 $|A|=2\neq 0$   $\Rightarrow$   $A=P_1P_2\cdots P_s$  为初等方阵的乘积,初等变换不改变矩阵秩,从而不改变向量组的秩, $\therefore R(eta_1\quad eta_2\quad eta_3)=R(lpha_1\quad lpha_2\quad lpha_3)=2$ .

(6) 设三阶矩阵 
$$A = \begin{pmatrix} 1 & 2 & -2 \\ 2 & 1 & 2 \\ 3 & 0 & 4 \end{pmatrix}$$
,向量  $\alpha = \begin{pmatrix} a \\ 1 \\ 1 \end{pmatrix}$ ,且满足  $A\alpha$  与  $\alpha$  线性相关,则  $a = \underline{-1}$ .

解:  $A\alpha 与 \alpha$  线性相关  $\Leftrightarrow$   $A\alpha 与 \alpha$  对应分量成比例

$$A\alpha = \begin{pmatrix} 1 & 2 & -2 \\ 2 & 1 & 2 \\ 3 & 0 & 4 \end{pmatrix} \begin{pmatrix} a \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} a \\ 2a+3 \\ 3a+4 \end{pmatrix} = k\alpha = k \begin{pmatrix} a \\ 1 \\ 1 \end{pmatrix} \Rightarrow \frac{a}{a} = \frac{2a+3}{1} = \frac{3a+4}{1} = k = 1$$

 $\therefore a = -1$ 

 $解: \alpha_1, \alpha_2, \alpha_3 \in \mathbb{R}^3$  的基 $\Leftrightarrow \alpha_1, \alpha_2, \alpha_3$  线性无关

$$\begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_3 \end{vmatrix} = \begin{vmatrix} 1 & 1 & k \\ 1 & k & 1 \\ k & 1 & 1 \end{vmatrix} = 3k - k^3 - 2 = -(k-1)^2 (k+2) \neq 0 \Rightarrow k \neq 1, k \neq -2$$

$$(8)$$
 已知三维线性空间的一组基为  $\alpha_1 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \alpha_2 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \alpha_3 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \quad \text{则向量} \ \beta = \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix}$  在这组

$$\begin{aligned}
\mathfrak{R}: \quad \beta &= x_1 \alpha_1 + x_2 \alpha_2 + x_3 \alpha_3 = \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \square \quad Ax \;, \quad \therefore x = A^{-1} \beta \\
\begin{pmatrix} 1 & 1 & 0 & 2 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 0 & 2 \\ 0 & -1 & 1 & -2 \\ 0 & 1 & 1 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 0 & 2 \\ 0 & 1 & -1 & 2 \\ 0 & 0 & 1 & -1 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & -1 \end{pmatrix}$$

$$\therefore x = \begin{pmatrix} 1 & 1 & -1 \end{pmatrix}^T.$$

- 2. 选择题
- (1) 设 $\alpha_1, \alpha_2, \cdots \alpha_m$ 为一组n维向量,则下列说法正确的是(A)
- (A) 若 $\alpha_1, \alpha_2, \cdots \alpha_m$ 不线性相关,则一定线性无关;
- (B)若存在 m 个全为零的数  $k_1,k_2,\cdots k_m$ , 使得:  $k_1\alpha_1+k_2\alpha_2+\cdots+k_m\alpha_m=0$ , 则  $\alpha_1,\alpha_2,\cdots \alpha_m$  线性无关;

- (C)若存在 m 个不全为零的数  $k_1,k_2,\cdots k_m$  ,使得:  $k_1\alpha_1+k_2\alpha_2+\cdots+k_m\alpha_m\neq 0$  ,则  $\alpha_1,\alpha_2,\cdots \alpha_m$  线性无关;
- (D) 若向量组 $\alpha_1, \alpha_2, \cdots \alpha_m$ 线性相关,则 $\alpha_1$ 可由 $\alpha_2, \cdots \alpha_m$ 线性表示.
- 解: (B)  $\alpha_1, \alpha_2, \cdots \alpha_m$  可以线性相关;
- (C)对任意的 m 个不全为零的数  $k_1,k_2,\cdots k_m$ ,使得:  $k_1\alpha_1+k_2\alpha_2+\cdots+k_m\alpha_m\neq 0$ ,则  $\alpha_1,\alpha_2,\cdots \alpha_m$  线性无关;
- (D) P64 定理 3.2.1 指出:  $\alpha_1, \alpha_2, \cdots \alpha_m$  ( $m \ge 2$ ) 线性相关  $\Leftrightarrow$  至少存在一个向量可由其  $\Leftrightarrow m-1$  个向量线性表示,但并没有指明是哪一个向量可由其  $\Leftrightarrow m-1$  个向量线性表示。
- (2) 向量组 $\alpha_1, \alpha_2, \cdots \alpha_m$ 线性相关的充要条件是( C )
- (A)  $\alpha_1, \alpha_2, \cdots \alpha_m$  中有一个零向量;
- (B)  $\alpha_1, \alpha_2, \cdots \alpha_m$  中任意两个向量成比例;
- (C)  $\alpha_1, \alpha_2, \cdots \alpha_m$  中有一个向量是其余向量的线性组合;
- (D)  $\alpha_1, \alpha_2, \cdots \alpha_m$  中任意一个向量都是其余向量的线性组合.
- 解: (C) 正确: P64 定理 3.2.1; (A) (B) (D) 是充分条件.
- (3) n 维向量组  $\alpha_1, \alpha_2, \dots, \alpha_s$  (3 ≤  $s \le n$ ) 线性无关的充要条件是( D )
- (A) 存在一组不全为零的数  $k_1, k_2, \cdots k_s$ , 使  $\sum_{i=1}^s k_i \alpha_i \neq 0$  ;
- (B)  $\alpha_1, \alpha_2, \dots, \alpha_s$  中任意两个向量都线性无关;
- (C)  $\alpha_1, \alpha_2, \dots, \alpha_s$  存在一个向量不能由其余向量线性表示;
- (D)  $\alpha_1, \alpha_2, \dots, \alpha_s$  中任一个向量不能由其余向量线性表示.
- 解: (A)  $\alpha_1,\alpha_2,\cdots,\alpha_s$  线性相关  $\Leftrightarrow$   $k_1\alpha_1+\cdots+k_s\alpha_s=0$   $\Rightarrow$   $k_1=\cdots=k_s=0$   $\Leftrightarrow$  任意一组不 全为 0 的数  $k_1,\cdots,k_s$   $\Rightarrow$   $k_1\alpha_1+\cdots+k_s\alpha_s\neq 0$ ;
- (B) 取 s = 3,  $\alpha_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ , $\alpha_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ , $\alpha_3 = \alpha_1 + \alpha_2 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ ,则  $\alpha_1, \alpha_2, \alpha_3$  中任意两个向量都线

性无关,但是 $\alpha_1,\alpha_2,\alpha_3$ 线性相关;

P64 定理 3.2.1 的逆否命题为:  $\alpha_1,\alpha_2,\cdots\alpha_m$ ( $m\geq 2$ )线性无关  $\Leftrightarrow$  不存在一个向量可由其  $\Leftrightarrow$  m-1 个向量线性表示  $\Leftrightarrow$  任何一个向量都不能由其  $\Leftrightarrow$  m-1 个向量线性表示,故(C)错误,(D)正确.

- (4) 设向量组(I):  $\alpha_1, \alpha_2, \cdots \alpha_r$ ; 向量组(II):  $\alpha_1, \alpha_2, \cdots \alpha_r, \alpha_{r+1}, \cdots, \alpha_m$ , 则必有(A)
- (A) (I)线性相关⇒(II)线性相关;
- (B)(I)线性相关⇒(II)线性无关;
- (C) (II)线性相关⇒(I)线性相关;
- (D)(II)线性相关⇒(I)线性无关.

解:  $\alpha_1, \dots, \alpha_r$ 线性相关  $\Rightarrow$  增加一个向量或者减少一维向量仍线性相关;

 $\alpha_1, \dots, \alpha_r$  线性无关  $\Rightarrow$  减少一个向量或者增加一维向量仍线性无关.

- (5) 已知向量组 $\alpha_1,\alpha_2,\alpha_3,\alpha_4$ 线性无关,则向量组(C)
- (A)  $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_4, \alpha_4 + \alpha_1$  线性无关;
- (B)  $\alpha_1 \alpha_2, \alpha_2 \alpha_3, \alpha_3 \alpha_4, \alpha_4 \alpha_1$  线性无关;
- (C)  $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_4, \alpha_4 \alpha_1$ 线性无关;
- (D)  $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 \alpha_4, \alpha_4 \alpha_1$  线性无关.

解: 一般地, $(\beta_1 \quad \beta_2 \quad \beta_3 \quad \beta_4)^T = A(\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4)^T$ ,即 $\beta = A\alpha$ ,

若 $|A|\neq 0$ ,则A可逆, $A=P_1P_2\cdots P_s$ 为初等方阵的乘积,初等变换不改变矩阵的秩,从而不改变向量组的秩,从而 $\beta_1,\beta_2,\beta_3,\beta_4$ 线性相关 $\Leftrightarrow \alpha_1,\alpha_2,\alpha_3,\alpha_4$ 线性相关, $\beta_1,\beta_2,\beta_3,\beta_4$ 线性无关 $\Leftrightarrow \alpha_1,\alpha_2,\alpha_3,\alpha_4$ 线性无关;

若|A|=0,下面用两种方法证明 $\beta_1,\beta_2,\beta_3,\beta_4$ 一定线性相关:

方法一:  $R(\beta) = R(A\alpha) \le R(A) < 4$ ,  $\therefore \beta_1, \beta_2, \beta_3, \beta_4$ 线性相关;

方法二:  $|A| = |A^T| = 0$ ,则 $A^T x = 0$ 一定有非零解,设此非零解为 $x_0 \neq 0$ ,即 $A^T x_0 = 0$ ,

则  $x_0^T A = 0$  ,  $x_0^T \beta = x_0^T A \alpha = 0 \cdot \alpha = 0 = x_{01} \beta_1 + x_{02} \beta_2 + x_{03} \beta_3 + x_{04} \beta_4$  , ∴  $\beta_1, \beta_2, \beta_3, \beta_4$  线性相关.

$$\begin{vmatrix} A_3 \end{vmatrix} = \begin{vmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ -1 & 0 & 0 & 1 \end{vmatrix} = 2 \neq 0 \text{, it } (C) \text{ i.i.} \text{$$

- (6) 设 $\beta$ , $\alpha_1$ , $\alpha_2$ 线性相关, $\beta$ , $\alpha_2$ , $\alpha_3$ 线性无关,则(C)
- (A)  $\alpha_1, \alpha_2, \alpha_3$ 线性相关;
- (B)  $\alpha_1, \alpha_2, \alpha_3$ 线性无关;
- (C)  $\alpha_1$ 能由 $\beta$ , $\alpha_2$ , $\alpha_3$ 线性表示; (D)  $\beta$ 能由 $\alpha_1$ , $\alpha_2$ 线性表示.

解:  $\beta$ , $\alpha$ , $\alpha$ ,线性相关 $\Rightarrow$  $\beta$ , $\alpha$ ,线性相关,又 $\beta$ , $\alpha$ , $\alpha$ ,线性无关 $\Rightarrow$  $\alpha$ ,能由 $\beta$ , $\alpha$ ,线性表示 ⇒ $\alpha_1$ 能由 $\beta$ , $\alpha_2$ , $\alpha_3$ 线性表示,故(C)正确.

- (7) 设向量 $\beta$ 能由向量组 $\alpha_1,\alpha_2,\cdots,\alpha_m$ 线性表示但不能由向量组(I):  $\alpha_1\alpha_2,\cdot,\alpha_m$ 线 性表示,记向量组(II):  $\alpha_1 \alpha_2$ ,; $\alpha$  , $\beta$  ,则(B)
- (A)  $\alpha_m$ 不能由 (I) 线性表示,也不能由 (II) 线性表示;
- (B)  $\alpha_m$ 不能由(I) 线性表示,但能由(II) 线性表示;
- (C)  $\alpha_m$ 能由(I) 线性表示, 也能由(II) 线性表示;
- (D)  $\alpha_m$ 能由 (I) 线性表示,但不能由 (II) 线性表示.

 $\mathbf{M}$ :  $: \boldsymbol{\beta}$  能由向量组 $\alpha_1, \alpha_2, \cdots, \alpha_m$ 线性表示,

$$\therefore \exists \lambda_1, \cdots, \lambda_m \in R \; , \; \notin \beta = \lambda_1 \alpha_1 + \cdots + \lambda_{m-1} \alpha_{m-1} + \lambda_m \alpha_m$$

又:  $\beta$  不能由向量组 (I):  $\alpha_1\alpha_2$ , ; $\alpha_{m-}$  线性表示,于是  $\lambda_m \neq 0$ ,

$$\therefore \alpha_{\scriptscriptstyle m} = -\frac{\lambda_{\scriptscriptstyle 1}}{\lambda_{\scriptscriptstyle m}}\alpha_{\scriptscriptstyle 1} - \frac{\lambda_{\scriptscriptstyle 2}}{\lambda_{\scriptscriptstyle m}}\alpha_{\scriptscriptstyle 2} - \dots - \frac{\lambda_{\scriptscriptstyle m-1}}{\lambda_{\scriptscriptstyle m}}\alpha_{\scriptscriptstyle m-1} + \frac{1}{\lambda_{\scriptscriptstyle m}}\beta \;,\;\; 即\,\alpha_{\scriptscriptstyle m}\, 能由 \; (II) \; 线性表示;$$

假设 $\alpha_m$ 能由(I)线性表示,则 $\exists k_1,\cdots,k_{m-1}\in R$ ,使 $\alpha_m=k_1\alpha_1+\cdots+k_{m-1}\alpha_{m-1}$ ,代入  $\beta = \lambda_1 \alpha_1 + \dots + \lambda_{m-1} \alpha_{m-1} + \lambda_m \alpha_m$ 得到  $\beta$  能由向量组(I):  $\alpha_1 \alpha_2$ ,, $\alpha_{m-1}$  线性表示,矛盾, 故 $\alpha_m$ 不能由(I)线性表示. 故选(B).

(8) 设矩阵 A 为n 阶方阵,且 R(A) = r < n,则在 A 的 n 个行向量中(B)

- (A) 任意r个行向量线性无关;
- (B) 必有r个行向量线性无关;
- (C) 任意r个行向量构成极大无关组;
- (D) 任意一个行向量都可以由其中任意r个行向量线性表示.

解: 
$$R(A) = r < n$$
,  $A = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix}$ ,  $\alpha_1, \dots, \alpha_n$  为  $A$  的行向量组,则  $R(\alpha_1 \dots \alpha_n) = r$ ,

 $\alpha_1, \cdots, \alpha_n$  的最大无关组的个数为r, $\alpha_1, \cdots, \alpha_n$  必有r个行向量线性无关,故(B)正确.

例如:
$$A = \begin{pmatrix} E_{r \times r} & O \\ O & O \end{pmatrix} = \begin{pmatrix} \alpha_1 & \cdots & \alpha_r & \alpha_{r+1} & \cdots & \alpha_n \end{pmatrix}^T$$
, $R(A) = r$ ,则 $\alpha_1, \cdots, \alpha_r$ 线性无关.

- (9) 设矩阵 A 为 n 阶方阵,且 |A|=0,则矩阵 A 中( C )
- (A) 必有一列元素全为 0;
- (B) 必有 2 列元素对应成比例;
- (C) 必有一列向量是其余列向量的线性组合;
- (D) 任意一列向量都是其余列向量的线性组合.

解: 
$$A = (\alpha_1 \cdots \alpha_n)$$

 $|A|=0 \Leftrightarrow R(A) < n \Leftrightarrow \alpha_1, \dots, \alpha_n$  线性相关  $\Leftrightarrow \exists$  不全为零的数  $k_1, \dots, k_n$  , 使得:

 $k_1\alpha_1 + \cdots + k_n\alpha_n = 0 \Leftrightarrow \exists$  一个向量可由其余n-1个向量线性表示,故(C)正确.

- (A)(B) 是|A|=0的充分条件.
- (10) 设向量组 $\alpha_1, \alpha_2, \alpha_3$ 线性无关,向量 $\beta_1$ 可由向量组 $\alpha_1, \alpha_2, \alpha_3$ 线性表示,而向量 $\beta_2$ 不 能由向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性表示,则对于任意的常数k,必有(A)
- (A)  $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$  线性无关; (B)  $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$  线性相关;
- (C)  $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$  线性无关; (D)  $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$  线性相关.

解:  $\beta_1$  可由向量组 $\alpha_1, \alpha_2, \alpha_3$ 线性表示 $\Rightarrow \beta_1 = k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3$ 

①  $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$ 一定线性无关

若线性相关,  $:: \alpha_1, \alpha_2, \alpha_3$  线性无关,  $:: k\beta_1 + \beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3$ , 则

$$\beta_2 = \lambda_1 \alpha_1 + \lambda_2 \alpha_2 + \lambda_3 \alpha_3 - k \left( k_1 \alpha_1 + k_2 \alpha_2 + k_3 \alpha_3 \right) = \left( \lambda_1 - k k_1 \right) \alpha_1 + \left( \lambda_2 - k k_2 \right) \alpha_2 + \left( \lambda_3 - k k_3 \right) \alpha_3$$

矛盾,故 $\alpha_1,\alpha_2,\alpha_3,k\beta_1+\beta_2$ 线性无关;

②当 $k \neq 0$ 时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性无关;当k = 0时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性相关。

当 $k \neq 0$ 时,若线性相关, $:: \alpha_1, \alpha_2, \alpha_3$  线性无关, $:: \beta_1 + k\beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3$ ,则

$$k\beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3 - (k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3)$$

$$\Rightarrow \beta_2 = \frac{1}{k} (\lambda_1 - k_1) \alpha_1 + \frac{1}{k} (\lambda_2 - k_2) \alpha_2 + \frac{1}{k} (\lambda_3 - k_3) \alpha_3, \text{ 矛盾, b线性相关;}$$

当k=0时, $\beta_1=k_1\alpha_1+k_2\alpha_2+k_3\alpha_3$ ,故 $\alpha_1,\alpha_2,\alpha_3,\beta_1$ 线性相关.

$$\widehat{\mathbf{M}}: \ \alpha - \beta = \begin{pmatrix} 1-3 \\ 0-2 \\ -1-4 \\ 2-(-1) \end{pmatrix} = \begin{pmatrix} -2 \\ -2 \\ -5 \\ 3 \end{pmatrix} \qquad 5\alpha + 4\beta = \begin{pmatrix} 5 \\ 0 \\ -5 \\ 10 \end{pmatrix} + \begin{pmatrix} 12 \\ 8 \\ 16 \\ -4 \end{pmatrix} = \begin{pmatrix} 17 \\ 8 \\ 11 \\ 6 \end{pmatrix}$$

$$(\alpha, \beta) = \alpha^T \beta = 3 + 0 - 4 - 2 = -3$$
  $||\alpha|| = \sqrt{1 + 0 + 1 + 4} = \sqrt{6}$ 

$$||\beta|| = \sqrt{9+4+16+1} = \sqrt{30}$$

4. 设
$$\alpha_1 = \begin{pmatrix} 2 \\ 5 \\ 1 \\ 3 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 10 \\ 1 \\ 5 \\ 10 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 4 \\ 1 \\ -1 \\ 1 \end{pmatrix}$ , 且 $3(\alpha_1 - \alpha) + 2(\alpha_2 + \alpha) = 5(\alpha_3 + \alpha)$ , 求 $\alpha$ .

解: 
$$3(\alpha_1 - \alpha) + 2(\alpha_2 + \alpha) = 5(\alpha_3 + \alpha) \Rightarrow \alpha = \frac{1}{6}(3\alpha_1 + 2\alpha_2 - 5\alpha_3)$$

$$\alpha = \frac{1}{6} \begin{bmatrix} 6 \\ 15 \\ 3 \\ 9 \end{bmatrix} + \begin{bmatrix} 20 \\ 2 \\ 10 \\ 20 \end{bmatrix} - \begin{bmatrix} 20 \\ 5 \\ -5 \\ 5 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}$$

5. 讨论下列向量组的线性相关性:

(1) 向量组 1: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix}$ ;

(2) 向量组 2: 
$$\beta_1 = \begin{pmatrix} 1 \\ 2 \\ 1 \\ 3 \end{pmatrix}, \beta_2 = \begin{pmatrix} 4 \\ -1 \\ -5 \\ -6 \end{pmatrix}, \beta_3 = \begin{pmatrix} 1 \\ -3 \\ -4 \\ -7 \end{pmatrix};$$

(3) 向量组 3: 
$$\alpha_1 = \begin{pmatrix} 2 \\ -3 \\ 0 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 3 \\ 1 \\ -2 \end{pmatrix}$ ;

(4) 向量组 4: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 2 \\ -1 \\ 4 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 9 \\ 100 \\ 10 \\ 4 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} -2 \\ -4 \\ 2 \\ -8 \end{pmatrix}$ ;

(5) 向量组 5: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 0 \\ -2 \end{pmatrix}, \alpha_2 = \begin{pmatrix} 3 \\ 2 \\ 0 \end{pmatrix}, \alpha_3 = \begin{pmatrix} -2 \\ -1 \\ 1 \end{pmatrix}, \alpha_4 = \begin{pmatrix} 2 \\ 3 \\ 5 \end{pmatrix}.$$

解: (1) 
$$(\alpha_1 \quad \alpha_2 \quad \alpha_3) = \begin{pmatrix} 1 & 1 & 1 \\ 1 & -1 & 1 \\ 0 & 0 & -1 \end{pmatrix}$$
 
$$\begin{bmatrix} 1 & 1 & 1 \\ 0 & -2 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

 $\therefore R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 2$ , ∴ 向量组 1 线性无关.

$$(2) \quad (\beta_1 \quad \beta_2 \quad \beta_3) = \begin{pmatrix} 1 & 4 & 1 \\ 2 & -1 & -3 \\ 1 & -5 & -4 \\ 3 & -6 & -7 \end{pmatrix} \begin{bmatrix} \begin{pmatrix} 1 & 4 & 1 \\ 0 & -9 & -5 \\ 0 & -9 & -5 \\ 0 & -18 & -10 \end{bmatrix} \begin{bmatrix} \begin{pmatrix} 1 & 4 & 1 \\ 0 & -9 & -5 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

 $\therefore R(\beta_1 \quad \beta_2 \quad \beta_3) = 2$ , ∴ 向量组 2 线性相关.

(3) :  $\alpha_1, \alpha_2$  对应分量不成比例, : 向量组 3 线性无关.

(4) 方法一: 
$$(\alpha_1 \quad \alpha_2 \quad \alpha_3) = \begin{pmatrix} 1 & 9 & -2 \\ 2 & 100 & -4 \\ -1 & 10 & 2 \\ 4 & 4 & -8 \end{pmatrix}$$
 
$$\begin{bmatrix} 1 & 9 & -2 \\ 0 & 82 & 0 \\ 0 & 19 & 0 \\ 0 & -32 & 0 \end{bmatrix}$$

 $:: R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 2$ , .: 向量组 4 线性相关.

方法二:  $\alpha_1$ 与 $\alpha_3$ 线性相关 ( $\alpha_3 = -2\alpha_1$ ),  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$  线性相关.

- (5) 由定理 3.2.5 知任意 4 个 3 维向量必定线性相关, : 向量组 5 线性相关.
- 6. 分别求下列向量组的秩及其一个最大的线性无关组:

(1) 向量组 1: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 2 \\ 4 \\ 0 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 4 \\ 11 \\ 15 \\ -1 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 7 \\ 8 \\ 4 \end{pmatrix}$ ;

(2) 向量组 2: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 8 \\ 0 \\ -1 \end{pmatrix}, \alpha_2 = \begin{pmatrix} -2 \\ 9 \\ -5 \\ -3 \end{pmatrix}, \alpha_3 = \begin{pmatrix} 4 \\ 7 \\ 5 \\ 1 \end{pmatrix}, \alpha_4 = \begin{pmatrix} 7 \\ 6 \\ 10 \\ 3 \end{pmatrix}, \alpha_5 = \begin{pmatrix} 3 \\ -1 \\ 5 \\ 2 \end{pmatrix}.$$

解: (1) 
$$(\alpha_1 \quad \alpha_2 \quad \alpha_3) = \begin{pmatrix} 1 & 4 & 1 \\ 2 & 11 & 7 \\ 4 & 15 & 8 \\ 0 & -1 & 4 \end{pmatrix}$$
  $\begin{bmatrix} \begin{pmatrix} 1 & 4 & 1 \\ 0 & 3 & 5 \\ 0 & -1 & 4 \\ 0 & -1 & 4 \end{bmatrix}$   $\begin{bmatrix} \begin{pmatrix} 1 & 4 & 1 \\ 0 & 1 & -4 \\ 0 & 0 & 17 \\ 0 & 0 & 0 \end{bmatrix}$ 

 $\therefore R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 3$ ,  $\alpha_1, \alpha_2, \alpha_3$ 为一个极大无关组.

$$(2) \ \alpha = (\alpha_{1} \quad \alpha_{2} \quad \alpha_{3} \quad \alpha_{4}) = \begin{pmatrix} 1 & -2 & 4 & 7 \\ 8 & 9 & 7 & 6 \\ 0 & -5 & 5 & 10 \\ -1 & -3 & 1 & 3 \end{pmatrix} \square \begin{pmatrix} 1 & -2 & 4 & 7 \\ 0 & 25 & -25 & -50 \\ 0 & -5 & 5 & 10 \\ 0 & -5 & 5 & 10 \end{pmatrix}$$

$$\square \begin{pmatrix} 1 & -2 & 4 & 7 \\ 0 & 1 & -1 & -2 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \square \alpha'$$

 $\therefore R(\alpha) = 2$ , 显然矩阵  $\alpha'$  的前两个列向量线性无关,  $\therefore \alpha$  的前两个列向量线性无关

 $\therefore \alpha_1, \alpha_2$  为一个极大无关组.

7. 设
$$\alpha_1 = \begin{pmatrix} 6 \\ a+1 \\ 3 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} a \\ 2 \\ -2 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} a \\ 1 \\ 0 \end{pmatrix}$ , 则:

- (1) a 为何值时,向量组 $\alpha_1,\alpha_2$ 线性相关?线性无关?
- (2) a 为何值时,向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性相关?线性无关?

解: (1) 向量组 $\alpha_1,\alpha_2$ 线性相关的充要条件是对应分量成比例,即

$$\frac{6}{a} = \frac{a+1}{2} = \frac{3}{-2} \Rightarrow a = -4$$

当 $a \neq -4$ 时,对应分量不成比例,此时向量组线性无关.

综上所述: 当a = -4时,  $\alpha_1, \alpha_2$ 线性相关; 当 $a \neq -4$ 时,  $\alpha_1, \alpha_2$ 线性无关.

(2) 向量组线性无关  $\Leftrightarrow$   $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) = 3 \Leftrightarrow |A| = |\alpha_1 \quad \alpha_2 \quad \alpha_3| \neq 0$ 

$$|A| = |\alpha_1 \quad \alpha_2 \quad \alpha_3| = \begin{vmatrix} 6 & a & a \\ a+1 & 2 & 1 \\ 3 & -2 & 0 \end{vmatrix} = (a+4)(2a-3) \neq 0 \Rightarrow a \neq -4 \perp a \neq \frac{3}{2}$$

向量组线性相关  $\Leftrightarrow$   $R(\alpha_1 \quad \alpha_2 \quad \alpha_3) < 3 \Leftrightarrow |A| = |\alpha_1 \quad \alpha_2 \quad \alpha_3| = 0 \Rightarrow a = -4$ 或  $a = \frac{3}{2}$ 

综上所述: 当a = -4或a = 3/2时,  $\alpha_1, \alpha_2, \alpha_3$ 线性相关;

当 $a \neq -4$ 且 $a \neq 3/2$ 时, $\alpha_1, \alpha_2, \alpha_3$ 线性无关.

8. 设向量组 
$$\alpha_1 = \begin{pmatrix} a \\ 3 \\ 1 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 2 \\ b \\ 3 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$ ,  $\alpha_4 = \begin{pmatrix} 2 \\ 3 \\ 1 \end{pmatrix}$ 的秩为 2,求  $a,b$  的值.

解: 方法一: 
$$A = \begin{pmatrix} a & 2 & 1 & 2 \\ 3 & b & 2 & 3 \\ 1 & 3 & 1 & 1 \end{pmatrix}$$
,  $R(A) = 2$ 

$$A \square \begin{pmatrix} 1 & 3 & 1 & 1 \\ 3 & b & 2 & 3 \\ a & 2 & 1 & 2 \end{pmatrix} \square \begin{pmatrix} 1 & 3 & 1 & 1 \\ 0 & b-9 & -1 & 0 \\ 0 & 2-3a & 1-a & 2-a \end{pmatrix}$$

要使R(A)=2,则(b-9 -1 0)与(2-3a 1-a 2-a)必线性相关:

$$\frac{b-9}{2-3a} = \frac{-1}{1-a} = \frac{0}{2-a} \Rightarrow a = 2, b = 5$$

方法二: R(A)=2,容易找到一个二阶子式不为0,A的所有三阶子式为0,则

$$\begin{vmatrix} a & 1 & 2 \\ 3 & 2 & 3 \\ 1 & 1 & 1 \end{vmatrix} = - \begin{vmatrix} 1 & 1 & 1 \\ 3 & 2 & 3 \\ a & 1 & 2 \end{vmatrix} = - \begin{vmatrix} 1 & 1 & 1 \\ 0 & -1 & 0 \\ 0 & 1-a & 2-a \end{vmatrix} = 2 - a = 0 \Rightarrow a = 2$$

$$\begin{vmatrix} 2 & 1 & 2 \\ b & 2 & 3 \\ 3 & 1 & 1 \end{vmatrix} = 2 \cdot \begin{vmatrix} 1 & \frac{1}{2} & 1 \\ 0 & 2 - \frac{1}{2}b & 3 - b \\ 0 & -\frac{1}{2} & -2 \end{vmatrix} = 2 \left[ -2\left(2 - \frac{1}{2}b\right) + \frac{1}{2}(3 - b) \right] = 0 \Rightarrow b = 5$$

9. 设向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性无关,问l,m满足什么条件时, $l\alpha_2-\alpha_1,m\alpha_3-\alpha_2,\alpha_1-\alpha_3$ 线性无关.

解: 
$$(\beta_1 \quad \beta_2 \quad \beta_3) = (l\alpha_2 - \alpha_1 \quad m\alpha_3 - \alpha_2 \quad \alpha_1 - \alpha_3) = (\alpha_1 \quad \alpha_2 \quad \alpha_3) \begin{pmatrix} -1 & 0 & 1 \\ l & -1 & 0 \\ 0 & m & -1 \end{pmatrix}$$

即 
$$\beta = \alpha A$$
 ,  $R(\beta) = R(\alpha A) \le R(A) \begin{cases} = 3, & |A| \ne 0 \Leftrightarrow A$ 可逆  $< 3, & |A| = 0 \Leftrightarrow A$ 不可逆

故当|A|=0时, $R(\beta)<3$ , $\beta_1,\beta_2,\beta_3$ 线性相关;

当 $|A| \neq 0$ 时,A可逆,此时 $\alpha, \beta$ 等价,从而 $R(\beta) = R(\alpha) = 3$ , $\beta_1, \beta_2, \beta_3$ 线性无关;

总结: 
$$|A| = 0 \Rightarrow \beta_1, \beta_2, \beta_3$$
 线性相关  $\Leftrightarrow \beta_1, \beta_2, \beta_3$  线性无关  $\Rightarrow |A| \neq 0$ 

$$|A| \neq 0 \Rightarrow \beta_1, \beta_2, \beta_3$$
 线性无关  $\Leftrightarrow \beta_1, \beta_2, \beta_3$  线性相关  $\Rightarrow |A| = 0$ 

从而 $|A|=0\Leftrightarrow \beta_1,\beta_2,\beta_3$ 线性相关, $|A|\neq 0\Leftrightarrow \beta_1,\beta_2,\beta_3$ 线性无关

$$|A| = \begin{vmatrix} -1 & 0 & 1 \\ l & -1 & 0 \\ 0 & m & -1 \end{vmatrix} = - \begin{vmatrix} 1 & 0 & -1 \\ 0 & -1 & l \\ 0 & m & -1 \end{vmatrix} = - \begin{vmatrix} -1 & l \\ m & -1 \end{vmatrix} = (ml - 1) \neq 0 \Rightarrow lm \neq 1$$

故当 $lm \neq 1$ 时,向量组 $l\alpha_2 - \alpha_1, m\alpha_3 - \alpha_2, \alpha_1 - \alpha_3$ 线性无关.

- 10. 设向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性无关,向量 $\beta_1$ 能由向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性表示,而向量 $\beta_2$ 不能由向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性表示,对任意的实数k,问
- (1) 向量组 $\alpha_1,\alpha_2,\alpha_3,k\beta_1+\beta_2$ 是否线性相关,为什么?
- (2) 向量组 $\alpha_1,\alpha_2,\alpha_3,\beta_1+k\beta_2$ 是否线性相关,为什么?

解:  $\beta_1$ 可由向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性表示 $\Rightarrow \beta_1 = k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3$ 

(1)  $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$ 一定线性无关.

若线性相关, $:: \alpha_1, \alpha_2, \alpha_3$  线性无关, $:: k\beta_1 + \beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3$ ,则  $\beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3 - k(k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3) = (\lambda_1 - kk_1)\alpha_1 + (\lambda_2 - kk_2)\alpha_2 + (\lambda_3 - kk_3)\alpha_3$  矛盾,故 $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$ 线性无关;

(2) 当 $k \neq 0$ 时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性无关;当k = 0时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性相关.

当 $k \neq 0$ 时,若线性相关, $:: \alpha_1, \alpha_2, \alpha_3$  线性无关, $:: \beta_1 + k\beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3$ ,则

$$k\beta_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3 - (k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3)$$

$$\Rightarrow \beta_2 = \frac{1}{k} (\lambda_1 - k_1) \alpha_1 + \frac{1}{k} (\lambda_2 - k_2) \alpha_2 + \frac{1}{k} (\lambda_3 - k_3) \alpha_3$$
,矛盾,故线性相关;

当 k=0 时,  $\beta_1=k_1\alpha_1+k_2\alpha_2+k_3\alpha_3$ ,故 $\alpha_1,\alpha_2,\alpha_3,\beta_1$ 线性相关.

11. 验证矩阵 
$$\begin{pmatrix} 1 & -\frac{1}{2} & \frac{1}{3} \\ -\frac{1}{2} & 1 & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & -1 \end{pmatrix}$$
和矩阵 
$$\begin{pmatrix} \frac{1}{9} & -\frac{8}{9} & -\frac{4}{9} \\ -\frac{8}{9} & \frac{1}{9} & -\frac{4}{9} \\ -\frac{4}{9} & -\frac{4}{9} & \frac{7}{9} \end{pmatrix}$$
是否为正交阵.

解:方法一:直接根据正较阵定义进行验证,即 $AA^T = E$ ,过程略;

方法二:根据定理 3.4.2, A 为正交阵 ⇔ A 的行(列)向量组是标准正交向量组

$$\alpha = (\alpha_1 \quad \alpha_2 \quad \alpha_3) = \begin{pmatrix} 1 & -\frac{1}{2} & \frac{1}{3} \\ -\frac{1}{2} & 1 & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & -1 \end{pmatrix} \qquad \beta = (\beta_1 \quad \beta_2 \quad \beta_3) = \begin{pmatrix} \frac{1}{9} & -\frac{8}{9} & -\frac{4}{9} \\ -\frac{8}{9} & \frac{1}{9} & -\frac{4}{9} \\ -\frac{4}{9} & -\frac{4}{9} & \frac{7}{9} \end{pmatrix}$$

对于矩阵 $\alpha$ ,  $(\alpha_1,\alpha_2)\neq 0$ ,  $\therefore \alpha$  的列向量组不是标准正交向量组,  $\therefore$  矩阵 $\alpha$  不是正交阵;

对于矩阵 $\beta$ ,  $\|\beta_1\| = \|\beta_2\| = \|\beta_3\| = 1$ ,  $\therefore \beta_1, \beta_2, \beta_3$ 是单位列向量

$$\mathbb{X}\left(\beta_{_{1}},\beta_{_{2}}\right) = -\frac{1}{9} \times \frac{8}{9} - \frac{8}{9} \times \frac{1}{9} + \frac{4}{9} \times \frac{4}{9} = 0 \; , \; \; \left(\beta_{_{1}},\beta_{_{3}}\right) = -\frac{1}{9} \times \frac{4}{9} + \frac{8}{9} \times \frac{4}{9} - \frac{4}{9} \times \frac{7}{9} = 0 \; ,$$

$$(\beta_2, \beta_3) = -\frac{8}{9} \times \left(-\frac{4}{9}\right) - \frac{1}{9} \times \frac{4}{9} - \frac{4}{9} \times \frac{7}{9} = 0$$
,  $\beta_1, \beta_2, \beta_3$ 是标准正交向量组,

::矩阵  $\beta$  是正交阵.

12. 分别将以下向量组正交化

(1) 向量组 1: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \alpha_2 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}, \alpha_3 = \begin{pmatrix} 1 \\ 4 \\ 9 \end{pmatrix};$$

(2) 向量组 2: 
$$\alpha_1 = \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}, \alpha_2 = \begin{pmatrix} 1 \\ -1 \\ 0 \\ 1 \end{pmatrix}, \alpha_3 = \begin{pmatrix} -1 \\ 1 \\ 1 \\ 0 \end{pmatrix}.$$

解: (1) 
$$\beta_1 = \alpha_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\beta_2 = \alpha_2 - \frac{(\alpha_2, \beta_1)}{(\beta_1, \beta_1)} \beta_1 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} - \frac{6}{3} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}$$

$$\beta_{3} = \alpha_{3} - \frac{(\alpha_{3}, \beta_{1})}{(\beta_{1}, \beta_{1})} \beta_{1} - \frac{(\alpha_{3}, \beta_{2})}{(\beta_{2}, \beta_{2})} \beta_{2} = \begin{pmatrix} 1 \\ 4 \\ 9 \end{pmatrix} - \frac{14}{3} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} - \frac{8}{2} \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix}$$

$$(2) \quad \beta_1 = \alpha_1 = \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$$

$$\beta_{2} = \alpha_{2} - \frac{(\alpha_{2}, \beta_{1})}{(\beta_{1}, \beta_{1})} \beta_{1} = \begin{pmatrix} 1 \\ -1 \\ 0 \\ 1 \end{pmatrix} - \frac{2}{3} \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 \\ -3 \\ 2 \\ 1 \end{pmatrix}$$

$$\beta_{3} = \alpha_{3} - \frac{(\alpha_{3}, \beta_{1})}{(\beta_{1}, \beta_{1})} \beta_{1} - \frac{(\alpha_{3}, \beta_{2})}{(\beta_{2}, \beta_{2})} \beta_{2} = \begin{pmatrix} -1\\1\\1\\0 \end{pmatrix} - \frac{-2}{3} \begin{pmatrix} 1\\0\\-1\\1 \end{pmatrix} - \frac{2}{3} \cdot \frac{1}{3} \begin{pmatrix} 1\\-3\\2\\1 \end{pmatrix} = \frac{1}{5} \begin{pmatrix} -1\\3\\3\\4 \end{pmatrix}$$

13. 设  $\beta_1 = \alpha_1, \beta_2 = \alpha_1 + \alpha_2, \dots, \beta_r = \alpha_1 + \dots + \alpha_r$ ,且  $\alpha_1, \alpha_2, \dots, \alpha_r$  线性无关,证明向量组  $\beta_1, \beta_2, \dots, \beta_r$  线性无关.

证明: 设
$$k_1\beta_1+k_2\beta_2+\cdots+k_r\beta_r=0$$
, 代入 $\beta_1=\alpha_1,\beta_2=\alpha_1+\alpha_2,\cdots,\beta_r=\alpha_1+\cdots+\alpha_r$ 有:

有: 
$$k_1\alpha_1 + k_2(\alpha_1 + \alpha_2) + \dots + k_r(\alpha_1 + \alpha_2 + \dots + \alpha_r) = 0$$
有: 
$$(k_1 + k_2 + \dots + k_r)\alpha_1 + (k_2 + \dots + k_r)\alpha_2 + \dots + k_r\alpha_r = 0$$

 $\therefore \alpha_1, \alpha_2, \dots, \alpha_r$  线性无关,  $\therefore k_1 + k_2 + \dots + k_r = 0, k_2 + \dots + k_r = 0, \dots, k_r = 0$ 

 $\therefore k_r = 0, \dots, k_2 = 0, k_1 = 0$ ,由定义知:  $\beta_1, \beta_2, \dots, \beta_r$ 线性无关.

14. 设向量 $\beta$ 能由向量组 $\alpha_1, \cdots, \alpha_m$ 线性表示,且表示式惟一,证明 $\alpha_1, \cdots, \alpha_m$ 线性无关.

证明: 设 $k_1\alpha_1+k_2\alpha_2+\cdots+k_m\alpha_m=0$ , 且 $\beta=l_1\alpha_1+l_2\alpha_2+\cdots+l_m\alpha_m$ , 两式相加有:

$$\beta = (k_1 + l_1)\alpha_1 + (k_2 + l_2)\alpha_2 + \dots + (k_m + l_m)\alpha_m$$

 $:: \beta$  的表达式唯一,  $:: k_1+l_1=l_1, \cdots, k_m+l_m=l_m$ , 即  $k_1=0, \cdots, k_m=0$ 

 $\therefore \alpha_1, \cdots, \alpha_m$  线性无关

15. 设向量组 $\alpha_1,\alpha_2,\alpha_3$ 线性相关,向量组 $\alpha_2,\alpha_3,\alpha_4$ 线性无关,证明:  $\alpha_1$ 能由 $\alpha_2,\alpha_3$ 线性表示,而 $\alpha_4$ 不能由 $\alpha_1,\alpha_2,\alpha_3$ 线性表示.

解: :  $\alpha_2, \alpha_3, \alpha_4$ 线性无关,:  $\alpha_2, \alpha_3$ 线性无关,又 $\alpha_1, \alpha_2, \alpha_3$ 线性相关,存在不全为0的数  $k_1, k_2, k_3$ ,st.  $k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3 = 0$ ,必有 $k_1 \neq 0$ ,否则 $k_2, k_3$ 不全为0,且 $k_2\alpha_2 + k_3\alpha_3 = 0$  与 $\alpha_2, \alpha_3$ 线性无关矛盾,:  $\alpha_1 = -\frac{k_2}{k_1}\alpha_2 - \frac{k_3}{k_1}\alpha_3$ ,即 $\alpha_1$ 能由 $\alpha_2, \alpha_3$ 线性表示.

16. 设n维单位坐标向量组 $\varepsilon_1, \varepsilon_2, \cdots, \varepsilon_n$ 能由n维向量组 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 线性表示,证明向量组 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 线性无关.

证明:由于 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 可由n维单位坐标向量组 $\varepsilon_1, \varepsilon_2, \cdots, \varepsilon_n$ 线性表示,因此由题目知 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 与 $\varepsilon_1, \varepsilon_2, \cdots, \varepsilon_n$ 可相互线性表示,即二者等价,由于 $\varepsilon_1, \varepsilon_2, \cdots, \varepsilon_n$ 的秩为n,所以 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 的秩也为n,即 $\alpha_1, \alpha_2, \cdots, \alpha_n$ 线性无关.

17.  $\alpha_1,\alpha_2,\cdots,\alpha_n$ 是n维向量组,证明它们线性无关的充分必要条件是:任一n维向量都能

由它们线性表示.

证明: 必要性: 任取向量 $\beta \in R^n$ ,  $\therefore \alpha_1, \alpha_2, \cdots, \alpha_n$ 是线性无关的n维向量组,

 $\therefore \alpha_1, \alpha_2, \cdots, \alpha_n, \beta$  必线性相关,因此  $\beta$  可由  $\alpha_1, \alpha_2, \cdots, \alpha_n$  线性表示;

充分性:若任意一个n维向量均可由 $\alpha_1,\alpha_2,\cdots,\alpha_n$ 线性表示,则n维单位坐标向量组  $\varepsilon_1,\varepsilon_2,\cdots,\varepsilon_n$ 可由 $\alpha_1,\alpha_2,\cdots,\alpha_n$ 线性表示,由 16 题知 $\alpha_1,\alpha_2,\cdots,\alpha_n$ 线性无关.

18. 设A为n阶矩阵, $\alpha$ 为n为列向量,若存在正整数k,使得:  $A^k\alpha=0$ ,但是 $A^{k-1}\alpha\neq 0$ ,证明向量组 $\alpha,A\alpha,\cdots,A^{k-1}\alpha$  线性无关.

证明: 当k=1时, $A^1\alpha=0$ , $A^0\alpha=\alpha\neq0$ ,则 $\alpha$ 线性无关,结论正确;

当 
$$k > 1$$
 时,设  $\lambda_0 \alpha + \lambda_1 A \alpha + \lambda_2 A^2 \alpha + \dots + \lambda_{k-1} A^{k-1} \alpha = 0$  (1)

(1) 式两端左乘 $A^{k-1}$ ,则 $\lambda_0 A^{k-1} \alpha + \lambda_1 A^k \alpha + \lambda_2 A^{k+1} \alpha + \dots + \lambda_{k-1} A^{2k-2} \alpha = 0$ 

 $\therefore A^k \alpha = 0$   $\therefore \lambda_0 A^{k-1} \alpha = 0$ ,  $X A^{k-1} \alpha \neq 0$ ,  $\therefore \lambda_0 = 0$ , 代入(1)得:

$$\lambda_1 A \alpha + \lambda_2 A^2 \alpha + \dots + \lambda_{k-1} A^{k-1} \alpha = 0 \tag{2}$$

(2) 式两端左乘 $A^{k-2}$ ,则 $\lambda_1 A^{k-1}\alpha + \lambda_2 A^k\alpha + \dots + \lambda_{k-1} A^{2k-3}\alpha = 0 \Rightarrow \lambda_1 = 0$ ,代入(2)得

$$\lambda_2 A^2 \alpha + \lambda_3 A^3 \alpha + \dots + \lambda_{k-1} A^{k-1} \alpha = 0 \tag{3}$$

(3) 式两端左乘 $A^{k-3}$ ,则 $\lambda_2 A^{k-1} \alpha + \lambda_3 A^k \alpha + \dots + \lambda_{k-1} A^{2k-4} \alpha = 0 \Longrightarrow \lambda_5 = 0$ 

以此类推,得到 $\lambda_3 = \lambda_4 = \cdots = \lambda_{k-1} = 0$ ,从而 $\alpha, A\alpha, \cdots, A^{k-1}\alpha$ 线性无关.

19. 设向量组(I):  $\alpha_1,\alpha_2,\cdots,\alpha_s$  的秩为  $r_1$ ,向量组(II):  $\beta_1,\beta_2,\cdots,\beta_t$ ,的秩为  $r_2$ ,向量组(III):  $\alpha_1,\alpha_2,\cdots,\alpha_s,\beta_1,\beta_2,\cdots,\beta_t$  的秩为  $r_3$ ,证明  $\max\{r_1,r_2\}\leq r_3\leq r_1+r_2$ .

证明:显然 (I) 可由 (III) 线性表示,即  $r_1 \le r_3$ ,同理 (II) 可由 (III) 线性表示,即  $r_2 \le r_3$ ,所以  $\max\left\{r_1,r_2\right\} \le r_3$ ;

同时记 $\bar{\alpha}_1, \bar{\alpha}_2, \cdots, \bar{\alpha}_{r_1}$ 为 $\alpha_1, \alpha_2, \cdots, \alpha_s$ 的极大无关组, $\bar{\beta}_1, \bar{\beta}_2, \cdots, \bar{\beta}_{r_2}$ 为 $\beta_1, \beta_2, \cdots, \beta_t$ 的极大无关组,则(III)可由 $\bar{\alpha}_1, \bar{\alpha}_2, \cdots, \bar{\alpha}_{r_1}$ , $\bar{\beta}_1, \bar{\beta}_2, \cdots, \bar{\beta}_{r_2}$ 线性表示, $\therefore r_3 \leq r_1 + r_2$ 

综上所述:  $\max\{r_1, r_2\} \le r_3 \le r_1 + r_2$ .

20. 设 $A \neq m \times s$ 矩阵, $B \neq m \times t$ 矩阵,证明:  $R(A : B) \leq R(A) + R(B)$ .

证明:将A和B列分块,记 $A = (\alpha_1 \cdots \alpha_s)$ , $B = (\beta_1 \cdots \beta_t)$ ,则

$$R(A) = R(\alpha_1 \cdots \alpha_s), R(B) = R(\beta_1 \cdots \beta_t),$$
  $\mathbb{H}$ 

$$R(A \mid B) = (\alpha_1 \quad \cdots \quad \alpha_s \quad \beta_1 \quad \cdots \quad \beta_s)$$
, 由 19 题知:  $R(A \mid B) \leq R(A) + R(B)$ .

21. 设A, B都是 $m \times n$ 矩阵,证明:  $R(A+B) \le R(A) + R(B)$ .

证明:将A和B列分块,记 $A = (\alpha_1 \cdots \alpha_n)$ , $B = (\beta_1 \cdots \beta_n)$ ,则:

$$A+B=\left(\alpha_1+\beta_1 \cdots \alpha_n+\beta_n\right)$$
, :  $\alpha_1+\beta_1, \cdots, \alpha_n+\beta_n$  可由  $\alpha_1, \cdots, \alpha_n, \beta_1, \cdots, \beta_n$  线性表示,由 19 题知:  $R(A+B) \leq R(A) + R(B)$  .

22. 设 $A \neq m \times s$ 矩阵, $B \neq s \times n$ 矩阵,证明:  $R(AB) \leq \min\{R(A), R(B)\}$ .

证明: 设 
$$A = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1s} \\ a_{21} & a_{22} & \cdots & a_{2s} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{ms} \end{pmatrix}$$
,  $B$  行分块为  $B = \begin{pmatrix} \overline{\beta}_1 \\ \overline{\beta}_2 \\ \vdots \\ \overline{\beta}_s \end{pmatrix}$ ,  $AB$  行分块为  $AB = \begin{pmatrix} \overline{\alpha}_1 \\ \overline{\alpha}_2 \\ \vdots \\ \overline{\alpha}_m \end{pmatrix}$ ,

 $\therefore AB$  可由  $\overline{eta}_1, \dots, \overline{eta}_s$  线性表示,  $\therefore R\big(AB\big) \leq R\big(B\big)$  , 同理可证  $R\big(AB\big) \leq R\big(A\big)$  ,

即 $R(AB) \le \min(R(A), R(B))$ , 证毕.

23. 设x 是n 维单位列向量,令 $H = E - 2xx^T$ ,证明: H 是对称的正交阵.

证明: 
$$:: H^T = (E - 2xx^T)^T = E - 2(x^T)^T x^T = E - 2xx^T = H$$
,  $:: H$  是对称阵;

又
$$:HH^T = HH = (E - 2xx^T)(E - 2xx^T) = E - 2xx^T - 2xx^T + 4xx^T \cdot xx^T$$
, 注意到 $x \in n$ 

维单位列向量,即  $x^Tx=1$ ,  $\therefore$   $HH^T=E-4xx^T+4x\Big(x^Tx\Big)x^T=E-4xx^T+4xx^T=E$ ,即 H 是正交阵,综上所述, H 是对称的正交阵.

24. 设A,B都是n阶正交阵,证明AB也是正交阵.

证明:已知A,B均为正交阵,则 $AA^{T}=BB^{T}=E$ ,

$$(AB)(AB)^{T} = ABB^{T}A^{T} = A(BB^{T})A^{T} = AA^{T} = E$$

故 AB 也是正交阵.

验证 $V_1, V_2$ 是否是向量空间.

解: 
$$V_1 = \left\{ \vec{x} = (x_1, x_2, \dots, x_n)^T \middle| \sum_{i=1}^n x_i = 0, x_i \in R, i = 1, \dots, n \right\}$$
 是向量空间.

易验证 $V_1$ 对加法和数乘封闭:  $\vec{x} \in V_1, \vec{y} \in V_1, k \in R$ ,则

$$\vec{x} + \vec{y} = (x_1 + y_1, \dots, x_n + y_n)^T$$
,  $\sum_{i=1}^n (x_i + y_i) = \sum_{i=1}^n x_i + \sum_{i=1}^n y_i = 0$ ,  $\forall \vec{x} + \vec{y} \in V_1$ ;

$$k\vec{x} = (kx_1, kx_2, \cdots, kx_n)^T$$
,  $\sum_{i=1}^n kx_i = k\sum_{i=1}^n x_i = 0$ ,  $\forall k\vec{x} \in V_1$ .

$$V_2 = \left\{ \vec{x} = (1, x_2, \dots, x_n)^T \middle| x_i \in R, i = 1, \dots, n \right\}$$
 不是向量空间.

$$\vec{x} \in V_2$$
,  $2\vec{x} = (2, 2x_2, \dots, 2x_n)^T \notin V_2$ , 故 $V_2$ 不是向量空间.

26. 证明由向量组
$$\alpha_1 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$  所生成的向量空间就是 $R^3$ .

证明: 
$$|A| = |\alpha_1 \quad \alpha_2 \quad \alpha_3| = \begin{vmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{vmatrix} = 2 \neq 0$$
, $\therefore \alpha_1, \alpha_2, \alpha_3$  线性无关, $\therefore \alpha_1, \alpha_2, \alpha_3$  是  $R^3$  的

一组基,
$$:L(\alpha_1,\alpha_2,\alpha_3)=R^3$$
.

27. 证明 
$$\alpha_1 = \begin{pmatrix} 1 \\ 3 \\ 5 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 6 \\ 3 \\ 2 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 3 \\ 1 \\ 0 \end{pmatrix}$  为  $R^3$  的一组基,并求向量  $\alpha = \begin{pmatrix} 3 \\ 8 \\ 13 \end{pmatrix}$ ,  $\beta = \begin{pmatrix} -2 \\ 2 \\ 8 \end{pmatrix}$  在这

组基下的坐标.

解: 
$$|A| = |\alpha_1 \quad \alpha_2 \quad \alpha_3| = \begin{vmatrix} 1 & 6 & 3 \\ 3 & 3 & 1 \\ 5 & 2 & 0 \end{vmatrix} = 1 \neq 0$$
,  $\therefore \alpha_1, \alpha_2, \alpha_3$  线性无关,是  $R^3$  的一组基.

设
$$\alpha = (\alpha_1 \quad \alpha_2 \quad \alpha_3)x = Ax, \beta = (\alpha_1 \quad \alpha_2 \quad \alpha_3)y = Ay$$
,则 $x = A^{-1}\alpha, y = A^{-1}\beta$ ,

$$\begin{pmatrix} 1 & 6 & 3 & 3 \\ 3 & 3 & 1 & 8 \\ 5 & 2 & 0 & 13 \end{pmatrix} \Box \begin{pmatrix} 1 & 6 & 3 & 3 \\ 0 & -15 & -8 & -1 \\ 0 & -28 & -15 & -2 \end{pmatrix} \Box \begin{pmatrix} 1 & 6 & 3 & 3 \\ 0 & -15 & -8 & -1 \\ 0 & 0 & 1 & 2 \end{pmatrix} \Box \begin{pmatrix} 1 & 0 & 0 & 3 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 1 & 2 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 6 & 3 & -2 \\ 3 & 3 & 1 & 2 \\ 5 & 2 & 0 & 8 \end{pmatrix} \square \begin{pmatrix} 1 & 6 & 3 & 3 \\ 0 & -15 & -8 & 8 \\ 0 & -28 & -15 & 18 \end{pmatrix} \square \begin{pmatrix} 1 & 6 & 3 & 3 \\ 0 & -15 & -8 & 8 \\ 0 & 0 & 1 & -46 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & 0 & -8 \\ 0 & 1 & 0 & 24 \\ 0 & 0 & 1 & -46 \end{pmatrix}$$

$$\therefore x = \begin{pmatrix} 3 \\ -1 \\ 2 \end{pmatrix}, \quad y = \begin{pmatrix} -8 \\ 24 \\ -46 \end{pmatrix}.$$

## 第四章 线性方程组

1. 填空题

(1) 若齐次方程组 
$$\begin{cases} \lambda x_1 + x_2 + x_3 = 0 \\ x_1 + \lambda x_2 + x_3 = 0 \\ x_1 + x_2 + \lambda x_3 = 0 \end{cases}$$
 の 只有零解,则参数  $\lambda$  应满足  $\lambda \neq 1$ 且  $\lambda \neq -2$  .

解:  $A_n x = 0$  只有零解  $\Leftrightarrow$   $\left|A\right| \neq 0 \Leftrightarrow R\left(A\right) = n$ ;  $A_n x = 0$  有非零解  $\Leftrightarrow$   $\left|A\right| = 0 \Leftrightarrow R\left(A\right) < n$ ;

$$|A| = \begin{vmatrix} \lambda & 1 & 1 \\ 1 & \lambda & 1 \\ 1 & 1 & \lambda \end{vmatrix} = (\lambda - 1)^2 (\lambda + 2) \neq 0 \Rightarrow \lambda \neq 1 \perp \lambda \neq -2.$$

(2) 若方程组 
$$\begin{cases} x_1 + x_2 = -a_1 \\ x_2 + x_3 = a_2 \\ x_3 + x_4 = -a_3 \end{cases}$$
 有解,则常数  $a_1, a_2, a_3, a_4$  满足 
$$a_1 + a_2 + a_3 + a_4 = 0$$
 . 
$$x_1 + x_4 = a_4$$

解:  $A_{m \times n} x = b$  有解  $\Leftrightarrow R(A) = R(A \mid b) = R(\overline{A})$ ;

$$\overline{A} = \begin{pmatrix} 1 & 1 & 0 & 0 & -a_1 \\ 0 & 1 & 1 & 0 & a_2 \\ 0 & 0 & 1 & 1 & -a_3 \\ 1 & 0 & 0 & 1 & a_4 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 0 & 0 & -a_1 \\ 0 & 1 & 1 & 0 & a_2 \\ 0 & 0 & 1 & 1 & -a_3 \\ 0 & -1 & 0 & 1 & a_1 + a_4 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 0 & 0 & -a_1 \\ 0 & 1 & 1 & 0 & a_2 \\ 0 & 0 & 1 & 1 & -a_3 \\ 0 & 0 & 0 & 0 & a_1 + a_2 + a_3 + a_4 \end{pmatrix}$$

则  $R(A) = R(\overline{A}) \Leftrightarrow a_1 + a_2 + a_3 + a_4 = 0$ .

(3) 若方程组
$$\begin{pmatrix} 1 & 2 & 1 \\ 2 & 3 & a+1 \\ 1 & a & -2 \end{pmatrix}\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 3 \\ 0 \end{pmatrix}$$
 无解,则  $a = \underbrace{\frac{3 \pm \sqrt{13}}{2}}_{}$ .

解:  $R(A) < R(\overline{A})$ 则 Ax = b 无解;

$$\overline{A} = \begin{pmatrix} 1 & 2 & 1 & 1 \\ 2 & 3 & a+1 & 3 \\ 1 & a & -2 & 0 \end{pmatrix} \begin{bmatrix} 1 & 2 & 1 & 1 \\ 0 & -1 & a-1 & 1 \\ 0 & a-2 & -3 & -1 \end{bmatrix} \begin{bmatrix} 1 & 2 & 1 & 1 \\ 0 & -1 & a-1 & 1 \\ 0 & 0 & a^2-3a-1 & a-3 \end{bmatrix}$$

$$a^2 - 3a - 1 = 0 \Rightarrow a = \frac{3 \pm \sqrt{13}}{2}$$
,则当 $a = \frac{3 \pm \sqrt{13}}{2}$ 时, $R(A) = 2 < R(\overline{A}) = 3$ ,此时 $Ax = b$ 无解.

(4) 若方程组
$$\begin{pmatrix} a & 1 & 1 \\ 1 & a & 1 \\ 1 & 1 & a \end{pmatrix}\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}$$
有无穷多解,则  $a = \underline{\qquad -2 \qquad}$ .

解:  $A_{n\times n}x = b$ , 则  $|A| \neq 0 \Leftrightarrow Ax = b$ 有一解;

$$|A| = 0 \Leftrightarrow Ax = b \neq 0, \quad \infty \mathbb{R} \begin{cases} R(A) = R(\bar{A}), & \neq \infty \mathbb{R} \\ R(A) < R(\bar{A}), & \neq 0 \end{pmatrix};$$

$$|A| = \begin{vmatrix} a & 1 & 1 \\ 1 & a & 1 \\ 1 & 1 & a \end{vmatrix} = (a-1)^2 (a+2) = 0 \Rightarrow a = 1 \vec{\boxtimes} - 2$$

$$R(A) = R(\overline{A}) = 2 < 3$$
, 故有无穷解;

综上所述: a=-2.

(5) 若方程组
$$\begin{pmatrix} 1 & 2 & 0 \\ 2 & 3 & 1 \\ 3 & 4 & a \end{pmatrix}\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 2 \\ 3 \\ b \end{pmatrix}$$
有惟一解,则  $a,b$  满足  $a \neq 2$ ,  $\forall b \in R$  .

解:  $|A| = -a + 2 \neq 0 \Rightarrow a - 2$ , 对b 无要求, 即 $a \neq 2$ ,  $\forall b \in R$ .

(6)若n阶矩阵A的每一行元素之和为零,且R(A)=n-1,则齐次线性方程组Ax=0的基础解系为  $(1,1,\cdots,1)^T$  .

解:
$$A \begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix} = \begin{bmatrix} \sum_{j=1}^{n} a_{1j} \\ \vdots \\ \sum_{j=1}^{n} a_{nj} \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix}$$
,即 $\begin{bmatrix} 1 \\ \vdots \\ 1 \end{bmatrix}$ 为  $Ax = 0$ 的非零解向量;记  $S_A = \{x | Ax = 0\}$  为  $Ax = 0$ 

的解空间,则  $\dim S_A = n - R(A) = n - (n-1) = 1$ ,则  $S_A$  的任何一个线性无关的解向量均是  $S_A$  的基础解系,从而 Ax = 0 的基础解系是  $(1,1,\cdots,1)^T$ .

(7)设 $\alpha_1,\alpha_2$ 为非齐次线性方程组 $Ax=\beta$ 的两个不同解,其中A为 $m\times n$ 矩阵,且R(A)=n-1,则 $Ax=\beta$ 的通解为 $x=\alpha_1+k(\alpha_1-\alpha_2)$ 或者 $x=\alpha_2+k(\alpha_1-\alpha_2)$ , $k\in R$ . 解:记 $S_A$ 为Ax=0的解空间,则 $\dim S_A=n-R(A)=n-(n-1)=1$ ,则 $S_A$ 的任何一个线性无关的解向量均是 $S_A$ 的基础解系, $\alpha_1,\alpha_2$ 为非齐次线性方程组 $Ax=\beta$ 的两个不同解,则 $\alpha_1-\alpha_2$ 是Ax=0的一个非零解,从而 $\alpha_1-\alpha_2$ 线性无关,那么 $\alpha_1-\alpha_2$ 是 $S_A$ 的基础解系,则 $Ax=\beta$ 的通解为: $x=\alpha_1+k(\alpha_1-\alpha_2)$  或者  $x=\alpha_2+k(\alpha_1-\alpha_2)$ , $k\in R$ .

(8)设A为 $m \times n$ 矩阵,则非齐次线性方程组 $Ax = \beta$ 有惟一解的充要条件是 $R(A) = R(A : \beta) = n$  .

解:  $A_{m \times n} x = \beta$ 有唯一解  $\Leftrightarrow R(A) = R(\overline{A}) = R(A \mid \beta) = n$ ;

 $A_{m \times n} x = \beta \pm \mathbb{R} \iff R(A) < R(\overline{A}) = R(A + \beta);$ 

 $A_{m \times n} x = \beta$  有无穷解  $\iff$   $R(A) = R(\overline{A}) = R(A \otimes \beta) < n$ .

(9) 设 A,B 为 n 阶方阵,若齐次线性方程组 Ax=0 的解都是齐次线性方程组 Bx=0 的解,则 R(A)  $\geq$  R(B).

解:记 $S_A = \{x \mid Ax = 0\}$ 为Ax = 0的解空间, $S_B = \{x \mid Bx = 0\}$ 为Bx = 0的解空间,由已知  $S_A \subset S_B$ ,则 $\dim S_A = n - R(A) \le \dim S_B = n - R(B) \Rightarrow R(A) \ge R(B)$ .

(10) 若 
$$A = \begin{pmatrix} a_1 & b_1 \\ a_2 & b_2 \\ a_3 & b_3 \end{pmatrix}$$
,  $B = \begin{pmatrix} a_1 & b_1 & c_1 \\ a_2 & b_2 & c_2 \\ a_3 & b_3 & c_3 \end{pmatrix}$ , 且三条不同直线  $a_i x + b_i y + c_i = 0$   $(i = 1, 2, 3)$ 

相交于一点,则矩阵 A,B 的秩满足 R(A) = R(B) = 2

解: 三条不同直线  $a_i x + b_i y + c_i = 0$  (i = 1, 2, 3)相交于一点  $\Leftrightarrow$   $\begin{cases} a_1 x + b_1 y = -c_1 \\ a_2 x + b_2 y = -c_2 \text{ 有唯一解} \\ a_3 x + b_3 y = -c_3 \end{cases}$ 

$$\Leftrightarrow R(A) = R(\overline{A}) = n = 2 , \quad \overline{A} = \begin{pmatrix} a_1 & b_1 & -c_1 \\ a_2 & b_2 & -c_2 \\ a_3 & b_3 & -c_3 \end{pmatrix} = (A \mid \beta) , \quad \Leftrightarrow B = (\alpha_1 \quad \alpha_2 \quad \alpha)$$

$$ar{A}=ig(lpha_1 \quad lpha_2 \quad -lpha_3ig)$$
,则  $lpha_1,lpha_2,lpha_3$ 与  $lpha_1,lpha_2,-lpha_3$ 等价,从而  $R(B)=Rig(ar{A}ig)$ ,则  $R(B)=R(A)=2$ .

- 2. 选择题
- (1) 齐次线性方程组 Ax = 0 仅有零解的充要条件是(A)
- (A) 矩阵 A 的列向量组线性无关;
- (B) 矩阵 A 的列向量组线性相关;
- (C) 矩阵 A 的行向量组线性无关;
- (D) 矩阵 A 的行向量组线性相关.
- 解: Ax = 0 只有零解  $\Leftrightarrow R(A) = n \Leftrightarrow R(\alpha_1, \dots, \alpha_n) = n \Leftrightarrow \alpha_1, \dots, \alpha_n$  线性无关, 故选 (A).
- (2) 设A是 $m \times n$ 矩阵,Ax = 0是与非齐次线性方程组 $Ax = \beta$ 相对应的齐次线性方程组,则下列结论正确的是(D)
- (A) 若 Ax = 0 仅有零解,则  $Ax = \beta$  有惟一解;
- (B) 若 Ax = 0 有非零解,则  $Ax = \beta$  有无穷多解;
- (C) 若  $Ax = \beta$  有无穷多解,则 Ax = 0 仅有零解;
- (D) 若  $Ax = \beta$  有无穷多解,则 Ax = 0 有非零解.
- $\mathbf{M}: \quad A_{m \times n} x = 0$  只有零解  $\iff R(A) = n; \quad A_{m \times n} x = 0$  有非零解  $\iff R(A) < n;$

对  $A_{m \times n} x = \beta$  , 若  $R(A) = R(\overline{A})$  , 则  $A_{m \times n} x = \beta$  有解, 且  $R(A) = n \Leftrightarrow Ax = \beta$  有唯一解,  $R(A) < n \Leftrightarrow Ax = \beta$  有无穷解;

对  $A_{m \times n} x = \beta$  ,有:  $R(A) = n \Leftrightarrow Ax = \beta$  有零解或唯一解(可能无解,当  $R(A) \neq R(\bar{A})$  ),  $R(A) \kappa \iff \beta$  有无穷解或零解(可能无解,当  $R(A) \neq R(\bar{A})$  ).

- (A) Ax = 0 仅有零解  $\Leftrightarrow R(A) = n \Leftrightarrow Ax = \beta$  有零解或唯一解,故(A)错误;
- (B) Ax = 0有非零解  $\Leftrightarrow R(A) < n \Leftrightarrow Ax = \beta$  有无穷解或零解,故(B)错误;
- (D)  $Ax = \beta$  有无穷解  $\Leftrightarrow R(A) = R(\bar{A}) = n \Rightarrow Ax = 0$  有非零解,故(D) 正确.
- (3) 设A是 $m \times n$ 矩阵,且R(A) = r,则(A)
- (A) r = m 时, 非齐次线性方程组  $Ax = \beta$  有解;
- (B) r = n 时, 非齐次线性方程组  $Ax = \beta$  有惟一解;

- (C) m = n 时, 非齐次线性方程组  $Ax = \beta$  有解;
- (D) r < n 时,非齐次线性方程组  $Ax = \beta$  有无穷解.

解: (A) 
$$R(\overline{A}) \ge R(A) = r = m$$
 且  $R(\overline{A}) \le m \Rightarrow R(\overline{A}) = R(A) = m \Rightarrow Ax = \beta$  有解,故(A) 正确;

- (B)  $R(A) = n \Leftrightarrow Ax = \beta$ 有零解或唯一解;
- (C) 当 $R(A) \neq R(\bar{A})$ 时, $Ax = \beta$  无解;
- (D)  $R(A) < n \Leftrightarrow Ax = \beta$  有无穷解或零解.
- (4) 设 $\alpha_1, \alpha_2$ 为非齐次线性方程组 $Ax = \beta$ 的两个不同解,则(B)是 $Ax = \beta$ 的解.

$$\text{(A)} \ \ \alpha_1 + \alpha_2 \, ; \quad \text{(B)} \ \ \frac{2}{3} \, \alpha_1 + \frac{1}{3} \, \alpha_2 \, ; \quad \text{(C)} \ \ \alpha_1 - \alpha_2 \, ; \quad \text{(D)} \ \ k_1 \alpha_1 + k_2 \alpha_2 \, , \ k_i \in R, \ i = 1, 2 \, .$$

解: 
$$A\alpha_1 = \beta$$
,  $A\alpha_2 = \beta$ 

(A) 
$$A(\alpha_1 + \alpha_2) = \beta + \beta = 2\beta$$
;

(B) 
$$A\left(\frac{2}{3}\alpha_1 + \frac{1}{3}\alpha_2\right) = \frac{2}{3}A\alpha_1 + \frac{1}{3}A\alpha_2 = \frac{2}{3}\beta + \frac{1}{3}\beta = \beta$$
, 故选 (B);

(C) 
$$A(\alpha_1 - \alpha_2) = \beta - \beta = 0$$
;

(D) 
$$A(k_1\alpha_1 + k_2\alpha_2) = k_1A\alpha_1 + k_2A\alpha_2 = k_1\beta + k_2\beta = (k_1 + k_2)\beta = \beta \iff k_1 + k_2 = 1$$
.

(5) 当矩阵 
$$A$$
 等于( $A$ )时, $\xi_1 = \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix}$ , $\xi_2 = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$ 都是齐次线性方程组  $Ax = 0$ 的解.

(A) 
$$(-2,1,1)$$
; (B)  $\begin{pmatrix} 2 & 0 & -1 \\ 0 & 1 & 1 \end{pmatrix}$ ; (C)  $\begin{pmatrix} -1 & 0 & 2 \\ 0 & 1 & -1 \end{pmatrix}$ ; (D)  $\begin{pmatrix} 0 & 1 & -1 \\ 4 & -2 & -2 \\ 0 & 1 & 1 \end{pmatrix}$ .

$$\dim S_A = n - R(A) \ge 2 \Rightarrow R(A) \le 1$$
,故(A)正确.

可简单验证: 
$$\begin{pmatrix} -2 & 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix} = 0$$
,  $\begin{pmatrix} -2 & 1 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} = 0$ .

- (6) 设 $m \times n$ 矩阵 A的秩为 R(A) = m < n,  $E_m$  为m 阶单位矩阵,则下列结论正确的是(C)
- (A) 矩阵 A 的任意 m 个列向量必线性无关;
- (B) 矩阵 A 的任意 m 阶子式必不等于 0;
- (C) 若矩阵 B 满足 BA = 0, 则必有 B = 0;
- (D) 矩阵 A 通过初等行变换,必可化成  $(E_m,0)$  的形式.

解: 
$$R(A_{m \times n}) = m < n$$
 ,  $A = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_m \end{pmatrix} = (\beta_1 \quad \cdots \quad \beta_n)$  ,则  $R\begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_m \end{pmatrix} = R(A) = m \Rightarrow \alpha_1, \cdots, \alpha_m$ 

线性无关,  $R(\beta_1 \cdots \beta_n) = R(A) < n \Rightarrow \beta_1, \cdots, \beta_n$  线性相关.

 $(A)(B)R(A)=m \Rightarrow$  存在m 阶子式不等于0,设此子式对应矩阵为A

$$A_{1} = (\beta_{i1}, \dots, \beta_{im})$$
,则 $|A_{1}| \neq 0 \Rightarrow \beta_{i1}, \dots, \beta_{im}$ 线性无关;

$$(D)$$
  $A^{\overline{\eta}$ 等行变换  $(E_m \quad C)$  行最简形  $\overline{\eta}$ 等列变换  $(E_m \quad O)$  标准形;

(C) 方法一: 由
$$R(A) = m < n$$
, 不妨设 $A = \begin{pmatrix} m & n-m \\ A_1 & A_2 \end{pmatrix}$ , 且 $A_1$ 可逆,

$$B_{k\times m}A_{m\times n}=B(A_1\quad A_2)=\begin{pmatrix} m & ^{n-m} \\ O & O \end{pmatrix}=O_{k\times n} \Rightarrow BA_1=O_{k\times m} \Rightarrow B=OA_1^{-1}=O_{k\times m}\;;$$

方法二: 
$$B_{k \times m} A_{m \times n} = O_{k \times n}$$
,则 $\begin{pmatrix} b_{11} & \dots & b_{1m} \\ \vdots & \ddots & \vdots \\ b_{k1} & \dots & b_{km} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_m \end{pmatrix} = \begin{pmatrix} O_{1 \times n} \\ \vdots \\ O_{1 \times n} \end{pmatrix} \Rightarrow \begin{cases} \sum_{j=1}^m b_{1j} \alpha_j = 0 \\ \vdots \\ \sum_{j=1}^m b_{kj} \alpha_j = 0 \end{cases}$ 

$$R(A) = m \Rightarrow \alpha_1, \dots, \alpha_m$$
 线性无关  $\Rightarrow b_{1j} = 0, \dots, b_{kj} = 0, j = 1, \dots, m \Rightarrow B = O_{k \times m}$ ;

方法三: 由书 16 题知 
$$R(A^TA) = R(A) = m$$
, 记  $B = A^T$ , 则  $A = B^T$ ,

$$R(A^{T}A) = R(B^{T}B) = R(B) = R(A^{T}) = R(A) \Rightarrow R(A) = R[(A^{T}A)_{n \times n}] = R[(AA^{T})_{m \times m}]$$
  
 $= m < n \Rightarrow |A^{T}A| = 0, |AA^{T}| \neq 0, \text{即 } AA^{T} \text{ 可逆}, \quad BA = O \Rightarrow BAA^{T} = OA^{T} = O \quad (两边右乘$ 
 $A^{T}) \Rightarrow B = O(AA^{T})^{-1} = O \quad (两边右乘(AA^{T})^{-1}).$ 

综上: (C) 正确.

- (7) 设A为n阶方阵,且R(A) = n 1,而 $\alpha_1, \alpha_2$ 为非齐次线性方程组 $Ax = \beta$ 的两个不同解,k为任意实数,则齐次线性方程组Ax = 0的通解为(C)
- (A)  $k\alpha_1$ ; (B)  $k\alpha_2$ ; (C)  $k(\alpha_1 \alpha_2)$ ; (D)  $k(\alpha_1 + \alpha_2)$ .

解:  $\dim S_A = n - R(A) = n - (n-1) = 1$ ,则 Ax = 0的任何一个非零解向量均为 Ax = 0的基础解系,由  $\alpha_1, \alpha_2$  是  $Ax = \beta$  的两个不同解  $\Rightarrow \alpha_1 - \alpha_2$  是 Ax = 0的非零解,则  $\alpha_1 - \alpha_2$  是 Ax = 0的基础解系, Ax = 0的通解为:  $k(\alpha_1 - \alpha_2), k \in R$ ,选(C).

(8) 设 $\beta_1$ ,  $\beta_2$  为非齐次线性方程组 $Ax = \beta$ 的两个不同解,而 $\alpha_1$ ,  $\alpha_2$  为对应的齐次线性方程组Ax = 0的基础解系, $k_1$ ,  $k_2$  为任意实数,则 $Ax = \beta$ 的通解为(AB)

$$\text{(A)} \ \ k_1\alpha_1 + k_2(\alpha_1 + \alpha_2) + \frac{\beta_1 + \beta_2}{2} \ ; \\ \text{(B)} \ \ k_1\alpha_1 + k_2(\alpha_1 - \alpha_2) + \frac{\beta_1 + \beta_2}{2} \ ; \\$$

(C) 
$$k_1\alpha_1 + k_2(\beta_1 + \beta_2) + \frac{\beta_1 - \beta_2}{2}$$
; (D)  $k_1\alpha_1 + k_2(\beta_1 - \beta_2) + \frac{\beta_1 + \beta_2}{2}$ .

解: 非齐次方程组通解=非齐次方程组特解+齐次方程组通解

非齐次方程组特解可选: 
$$\beta_1,\beta_2,\frac{\beta_1+\beta_2}{2}$$
 ( $A\frac{\beta_1+\beta_2}{2}=\frac{1}{2}(A\beta_1+A\beta_2)=\beta$ )

齐次方程组通解可选择:  $k_1\alpha_1+k_2\alpha_2, k_1\alpha_1+k_2(\alpha_1+\alpha_2), k_1\alpha_1+k_2(\alpha_1-\alpha_2)$ 

注意:  $k_1\alpha_1 + k_2(\beta_1 - \beta_2)$ 不一定是 Ax = 0的通解,因为  $\beta_1 - \beta_2$  可能与  $\alpha_1$  相关 综上: 选(A)(B).

- (9) 设A为 $m \times n$ 矩阵,B为 $n \times m$ 矩阵,对于齐次线性方程组(AB)x = 0,以下结论正确的是(D)
- (A) 当n > m 时仅有零解;
- (B) 当n > m 时必有非零解;
- (C) 当m > n 时仅有零解;
- (D) 当m > n 时必有非零解.

解: (A) (B) 
$$R[(AB)_{m \times m}] \le R(A_{m \times n}) \le m < n$$
, 则 $(AB)x = 0$ 有非零解 $\Leftrightarrow R(AB) < m$ ,

(AB)x = 0 只有零解  $\Leftrightarrow R(AB) = m$  ,故(AB)x = 0 有非零解或者只有零解均有可能,故(A)(B) 错误:

(C) (D) 
$$R[(AB)_{m \times m}] \le R(A_{m \times n}) \le n < m \Rightarrow (AB)x = 0$$
有非零解,故(D)正确.

## 3. 求解以下方程组

(1) 
$$\begin{cases} x_1 - 2x_2 + x_3 + x_4 = 1 \\ x_1 - 2x_2 + x_3 - x_4 = -1 \\ x_1 - 2x_2 + x_3 + 5x_4 = 5 \end{cases}$$

(2) 
$$\begin{cases} x_1 + x_2 - 3x_3 = -1 \\ 2x_1 + x_2 - 2x_3 = 1 \\ x_1 + x_2 + x_3 = 3 \\ x_1 + 2x_2 - 3x_3 = 1 \end{cases}$$

(3) 
$$\begin{cases} 2x_1 + x_2 + x_3 = 2\\ x_1 + 3x_2 + x_3 = 5\\ x_1 + x_2 + 5x_3 = -7\\ 2x_1 + 3x_2 - 3x_3 = 14 \end{cases}$$

$$\begin{cases} x_1 + 2x_2 + 3x_3 - x_4 = 1 \\ 3x_1 + 2x_2 + x_3 - x_4 = 1 \\ 2x_1 + 3x_2 + x_3 + x_4 = 1 \\ 2x_1 + 2x_2 + 2x_3 - x_4 = 1 \\ 5x_1 + 5x_2 + 2x_3 = 2 \end{cases}$$

(5) 
$$\begin{cases} x_1 + x_2 + 2x_3 - x_4 = 0 \\ 2x_1 + x_2 + x_3 - x_4 = 0 \\ 2x_1 + 2x_2 + x_3 + 2x_4 = 0 \end{cases}$$

(5) 
$$\begin{cases} x_1 + x_2 + 2x_3 - x_4 = 0 \\ 2x_1 + x_2 + x_3 - x_4 = 0 \\ 2x_1 + 2x_2 + x_3 + 2x_4 = 0 \end{cases}$$
 (6) 
$$\begin{cases} x_1 + 2x_2 + x_3 - x_4 = 0 \\ 3x_1 + 6x_2 - x_3 - 3x_4 = 0 \\ 5x_1 + 10x_2 + x_3 - 5x_4 = 0 \end{cases}$$

(7) 
$$\begin{cases} 4x_1 + 2x_2 - x_3 = 2\\ 3x_1 - x_2 + 2x_3 = 10\\ 11x_1 + 3x_2 = 8 \end{cases}$$

(7) 
$$\begin{cases} 4x_1 + 2x_2 - x_3 = 2\\ 3x_1 - x_2 + 2x_3 = 10\\ 11x_1 + 3x_2 = 8 \end{cases}$$
 (8) 
$$\begin{cases} 2x + 3y + z = 4\\ x - y + 4z = -5\\ 3x + 8y - 2z = 13\\ 4x - y + 9z = -6 \end{cases}$$

(9) 
$$\begin{cases} 3x + y - z + w = 1 \\ 2x + 2y - 2z + w = 2 \\ 2x + y - z - w = 1 \end{cases}$$

(10) 
$$\begin{cases} 2x_1 + x_2 - x_3 + x_4 = 1\\ 3x_1 - 2x_2 + x_3 - 3x_4 = 4\\ x_1 + 4x_2 - 3x_3 + 5x_4 = -2 \end{cases}$$

解: (1) 
$$\begin{pmatrix} 1 & -2 & 1 & 1 & 1 \\ 1 & -2 & 1 & -1 & -1 \\ 1 & -2 & 1 & 5 & 5 \end{pmatrix}_{r_3-r_1}^{r_2-r_1} \begin{pmatrix} 1 & -2 & 1 & 1 & 1 \\ 0 & 0 & 0 & -2 & -2 \\ 0 & 0 & 0 & 4 & 4 \end{pmatrix} \square \begin{pmatrix} 1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$R(A) = R(\overline{A}) = 2 < 4$$
, ∴方程组有无穷多解

同解方程组为 
$$\begin{cases} x_1 = 2x_2 - x_3 \\ x_2 = x_2 \\ x_3 = x_3 \\ x_4 = 1 \end{cases}, \quad 即得通解 \ x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} + k_1 \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} -1 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \quad k_1, k_2 \in R \ ;$$

$$(2) \begin{pmatrix} 1 & 1 & -3 & -1 \\ 2 & 1 & -2 & 1 \\ 1 & 1 & 1 & 3 \\ 1 & 2 & -3 & 1 \end{pmatrix} \Box \begin{pmatrix} 1 & 1 & -3 & -1 \\ 0 & -1 & 4 & 3 \\ 0 & 0 & 4 & 4 \\ 0 & 1 & 0 & 2 \end{pmatrix}$$

$$R(A) = 3 \neq R(\overline{A}) = 4$$
, ∴方程组无解;

$$(3) \begin{pmatrix} 2 & 1 & 1 & 2 \\ 1 & 3 & 1 & 5 \\ 1 & 1 & 5 & -7 \\ 2 & 3 & -3 & 14 \end{pmatrix}_{r_{3}-r_{1}}^{r_{2}-r_{3}} \begin{pmatrix} 1 & \frac{1}{2} & \frac{1}{2} & 1 \\ 0 & 2 & -4 & 12 \\ 0 & \frac{1}{2} & \frac{9}{2} & -8 \\ 0 & 2 & -4 & 12 \end{pmatrix} \Box \begin{pmatrix} 1 & \frac{1}{2} & \frac{1}{2} & 1 \\ 0 & 1 & -2 & 6 \\ 0 & 0 & 11 & -22 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Box \begin{pmatrix} 2 & 0 & 0 & 2 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$R(A) = R(\overline{A}) = 3$$
, ∴ 方程组有唯一解  $x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ -2 \end{pmatrix}$ ;

$$\begin{pmatrix} 1 & 2 & 3 & -1 & 1 \\ 3 & 2 & 1 & -1 & 1 \\ 2 & 3 & 1 & 1 & 1 \\ 2 & 2 & 2 & -1 & 1 \\ 5 & 5 & 2 & 0 & 2 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & 3 & -1 & 1 \\ 0 & -4 & -8 & 2 & -2 \\ 0 & -1 & -5 & 3 & -1 \\ 0 & -2 & -4 & 1 & -1 \\ 0 & -5 & -13 & 5 & -3 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & 3 & -1 & 1 \\ 0 & 1 & 5 & -3 & 1 \\ 0 & 0 & 6 & -5 & 1 \\ 0 & 0 & 12 & -10 & 2 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\begin{bmatrix}
1 & 2 & 0 & \frac{3}{2} & \frac{1}{2} \\
0 & 1 & 5 & -3 & 1 \\
0 & 0 & 6 & -5 & 1 \\
0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0
\end{bmatrix}, 同解方程组为$$

$$\begin{bmatrix}
x_1 = \frac{3}{2}x_4 - 2x_2 + \frac{1}{2} \\
x_2 = 3x_4 - 5x_3 + 1 \\
x_3 = \frac{5}{6}x_4 + \frac{1}{6} \\
x_4 = x_4
\end{bmatrix}$$

即得通解 
$$x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \frac{1}{6} \begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \end{pmatrix} + k \begin{pmatrix} 5 \\ -7 \\ 5 \\ 6 \end{pmatrix}, k \in R;$$

$$(5) \begin{pmatrix} 1 & 1 & 2 & -1 \\ 2 & 1 & 1 & -1 \\ 2 & 2 & 1 & 2 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 2 & -1 \\ 0 & -1 & -3 & 1 \\ 0 & 0 & -3 & 4 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 0 & \frac{5}{3} \\ 0 & -1 & 0 & -3 \\ 0 & 0 & -3 & 4 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & 0 & -\frac{4}{3} \\ 0 & -1 & 0 & -3 \\ 0 & 0 & -3 & 4 \end{pmatrix}$$

同解方程组为 
$$\begin{cases} x_1 = \frac{4}{3}x_4 \\ x_2 = -3x_4 \\ x_3 = \frac{4}{3}x_4 \end{cases}, \quad 通解为 \ x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = k \begin{pmatrix} 4 \\ -9 \\ 4 \\ 3 \end{pmatrix}, k \in R;$$

同解方程组为 
$$\begin{cases} x_1 = x_4 - 2x_2 \\ x_2 = x_2 \\ x_3 = 0 \\ x_4 = x_4 \end{cases}, \quad 通解为 \ x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = k_1 \begin{pmatrix} -2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, k_1, k_2 \in R;$$

$$(7) \begin{pmatrix} 4 & 2 & -1 & 2 \\ 3 & -1 & 2 & 10 \\ 11 & 3 & 0 & 8 \end{pmatrix} \Box \begin{pmatrix} 1 & \frac{1}{2} & -\frac{1}{4} & \frac{1}{2} \\ 0 & -\frac{5}{2} & \frac{11}{4} & \frac{17}{2} \\ 0 & -\frac{5}{2} & \frac{11}{4} & \frac{5}{2} \end{pmatrix} \Box \begin{pmatrix} 1 & \frac{1}{2} & -\frac{1}{4} & \frac{1}{2} \\ 0 & -\frac{5}{2} & \frac{11}{4} & \frac{17}{2} \\ 0 & 0 & 0 & -6 \end{pmatrix}$$

 $R(A) = 2 \neq R(\overline{A}) = 3$ , ∴方程组无解;

$$(8) \begin{pmatrix} 2 & 3 & 1 & 4 \\ 1 & -1 & 4 & -5 \\ 3 & 8 & -2 & 13 \\ 4 & -1 & 9 & -6 \end{pmatrix} \square \begin{pmatrix} 0 & 5 & -7 & 14 \\ 1 & -1 & 4 & -5 \\ 0 & 11 & -14 & 28 \\ 0 & -13 & -7 & 14 \end{pmatrix} \square \begin{pmatrix} 1 & -1 & 4 & -5 \\ 0 & 5 & -7 & 14 \\ 0 & 1 & 0 & 0 \\ 0 & -2 & 0 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -2 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$R(A) = R(\overline{A}) = 3$$
, ∴ 方程组有唯一解 $\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 3 \\ 0 \\ -2 \end{pmatrix}$ ;

$$(9) \begin{pmatrix} 3 & 1 & -1 & 1 & 1 \\ 2 & 2 & -2 & 1 & 2 \\ 2 & 1 & -1 & -1 & 1 \end{pmatrix}^{r_3 - r_2} \begin{pmatrix} 3 & 1 & -1 & 1 & 1 \\ 0 & \frac{4}{3} & -\frac{4}{3} & \frac{1}{3} & \frac{4}{3} \\ 0 & -1 & 1 & -2 & -1 \end{pmatrix} \Box \begin{pmatrix} 3 & 1 & -1 & 1 & 1 \\ 0 & 1 & -1 & \frac{1}{4} & 1 \\ 0 & 0 & 0 & -\frac{7}{4} & 0 \end{pmatrix}$$

$$(10) \begin{pmatrix} 2 & 1 & -1 & 1 & 1 \\ 3 & -2 & 1 & -3 & 4 \\ 1 & 4 & -3 & 5 & -2 \end{pmatrix}^{r_1 - 2r_3} \begin{pmatrix} 0 & -7 & 5 & -9 & 5 \\ 0 & -14 & 10 & -18 & 10 \\ 1 & 4 & -3 & 5 & -2 \end{pmatrix}$$

$$\begin{bmatrix}
1 & 4 & -3 & 5 & | & -2 \\
0 & -7 & 5 & -9 & | & 5 \\
0 & 0 & 0 & 0 & | & 0
\end{bmatrix}
\begin{bmatrix}
1 & 0 & -\frac{1}{7} & -\frac{1}{7} & | & \frac{6}{7} \\
0 & 1 & -\frac{5}{7} & \frac{9}{7} & | & -\frac{5}{7} \\
0 & 0 & 0 & 0 & | & 0
\end{bmatrix}$$

同解方程组为 
$$\begin{cases} x_1 = \frac{6}{7} + \frac{1}{7}x_3 + \frac{1}{7}x_4 \\ x_2 = -\frac{5}{7} + \frac{5}{7}x_3 - \frac{9}{7}x_4 \\ x_3 = x_3 \\ x_4 = x_4 \end{cases}$$

通解为 
$$x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \frac{1}{7} \begin{pmatrix} 6 \\ -5 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ 5 \\ 7 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ -9 \\ 0 \\ 7 \end{pmatrix}, k_1, k_2 \in \mathbb{R}.$$

4. 求参数  $\lambda, a, b$  取何值时,下列方程组有惟一解、无解或有无穷多个解. 当有无穷多个解 时, 求其一般解.

(1) 
$$\begin{cases} ax_1 + x_2 + x_3 = 4 \\ x_1 + bx_2 + x_3 = 3 \\ x_1 + 2bx_2 + x_3 = 4 \end{cases}$$

(2) 
$$\begin{cases} -2x_1 + x_2 + x_3 = -2\\ x_1 - 2x_2 + x_3 = \lambda\\ x_1 + x_2 - 2x_3 = \lambda^2 \end{cases}$$

(3) 
$$\begin{cases} 2x_1 - x_2 + x_3 + x_4 = 1\\ x_1 + 2x_2 - x_3 + 4x_4 = 2\\ x_1 + 7x_2 - 4x_3 + 11x_4 = \lambda \end{cases}$$

(3) 
$$\begin{cases} 2x_1 - x_2 + x_3 + x_4 = 1 \\ x_1 + 2x_2 - x_3 + 4x_4 = 2 \\ x_1 + 7x_2 - 4x_3 + 11x_4 = \lambda \end{cases}$$
 (4) 
$$\begin{cases} (2 - \lambda)x_1 + 2x_2 - 2x_3 = 1 \\ 2x_1 + (5 - \lambda)x_2 - 4x_3 = 2 \\ -2x_1 - 4x_2 + (5 - \lambda)x_3 = -\lambda - 1 \end{cases}$$

(5) 
$$\begin{cases} x_1 + x_2 + x_3 + x_4 + x_5 = 1 \\ 3x_1 + 2x_2 + x_3 + x_4 - 3x_5 = a \\ x_2 + 2x_3 + 2x_4 + 6x_5 = 3 \\ 5x_1 + 4x_2 + 3x_3 + 3x_4 - x_5 = b \end{cases}$$
 (6) 
$$\begin{cases} (2\lambda + 1)x_1 - \lambda x_2 + (\lambda + 1)x_3 = \lambda - 1 \\ (\lambda - 2)x_1 + (\lambda - 1)x_2 + (\lambda - 2)x_3 = \lambda \\ (2\lambda - 1)x_1 + (\lambda - 1)x_2 + (2\lambda - 1)x_3 = \lambda \end{cases}$$

(6) 
$$\begin{cases} (2\lambda+1)x_1 - \lambda x_2 + (\lambda+1)x_3 = \lambda - 1\\ (\lambda-2)x_1 + (\lambda-1)x_2 + (\lambda-2)x_3 = \lambda\\ (2\lambda-1)x_1 + (\lambda-1)x_2 + (2\lambda-1)x_3 = \lambda \end{cases}$$

解: (1) 
$$|A| = \begin{vmatrix} a & 1 & 1 \\ 1 & b & 1 \\ 1 & 2b & 1 \end{vmatrix} = -b(a-1)$$

当
$$a \neq 1$$
且 $b \neq 0$ 时, $\left|A\right| \neq 0$ ,由克莱姆法则知方程组有唯一解:
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \frac{1-2b}{b(1-a)} \\ \frac{1}{b} \\ \frac{4b-2ab-1}{b(1-a)} \end{pmatrix}$$

当
$$b=0$$
时, $\begin{pmatrix} a & 1 & 1 & 4 \\ 1 & 0 & 1 & 3 \\ 1 & 0 & 1 & 4 \end{pmatrix}$   $\begin{bmatrix} a & 1 & 1 & 4 \\ 1 & 0 & 1 & 3 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ ,  $R(A) \neq R(\overline{A})$ , 无解;

当
$$a=1$$
时,
$$\begin{pmatrix} 1 & 1 & 1 & 4 \\ 1 & b & 1 & 3 \\ 1 & 2b & 1 & 4 \end{pmatrix}^{r_3-r_2} \begin{pmatrix} 1 & 1 & 1 & 4 \\ 0 & b-1 & 0 & -1 \\ 0 & b & 0 & 1 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & 1 & 4 \\ 0 & b-1 & 0 & -1 \\ 0 & 0 & 0 & 1+\frac{b}{b-1} \end{pmatrix}$$

若
$$1+\frac{b}{b-1}\neq 0$$
,即 $b\neq \frac{1}{2}$ 时, $R(A)\neq R(\overline{A})$ ,无解;

通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 2 \\ 2 \\ 0 \end{pmatrix} + k \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}, k \in R.$$

$$(2) \begin{pmatrix} -2 & 1 & 1 & | & -2 \\ 1 & -2 & 1 & | & \lambda \\ 1 & 1 & -2 & | & \lambda^2 \end{pmatrix}_{r_1 + 2r_3}^{r_2 - r_3} \begin{pmatrix} 1 & 1 & -2 & | & \lambda^2 \\ 0 & -3 & 3 & | & \lambda - \lambda^2 \\ 0 & 3 & -3 & | & 2\lambda^2 - 2 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & -2 & | & \lambda^2 \\ 0 & 1 & -1 & | & \frac{\lambda^2 - \lambda}{3} \\ 0 & 0 & 0 & | & \lambda^2 + \lambda - 2 \end{pmatrix}$$

当 $\lambda^2 + \lambda - 2 \neq 0$ ,即 $\lambda \neq 1$ 且 $\lambda \neq -2$ 时,无解.

当 $\lambda^2 + \lambda - 2 = 0$ ,即 $\lambda = 1$ 或 $\lambda = -2$ 时,有无穷多解,且:

$$\lambda = 1$$
时, $\begin{pmatrix} 1 & 1 & -2 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$   $\Box$   $\begin{pmatrix} 1 & 0 & -1 & 1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ ,通解为: $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + k \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, k \in R$ ;

$$\lambda = -2$$
 时, 
$$\begin{pmatrix} 1 & 1 & -2 & | & 4 \\ 0 & 1 & -1 & | & 2 \\ 0 & 0 & 0 & | & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & -1 & | & 2 \\ 0 & 1 & -1 & | & 2 \\ 0 & 0 & 0 & | & 0 \end{pmatrix}$$
, 通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 2 \\ 2 \\ 0 \end{pmatrix} + k \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, k \in R;$$

$$(3) \begin{pmatrix} 2 & -1 & 1 & 1 & 1 \\ 1 & 2 & -1 & 4 & 2 \\ 1 & 7 & -4 & 11 & \lambda \end{pmatrix} \Box \begin{pmatrix} 0 & -5 & 3 & -7 & | & -3 \\ 1 & 2 & -1 & 4 & | & 2 \\ 0 & 5 & -3 & 7 & | & \lambda - 2 \end{pmatrix} \Box \begin{pmatrix} 1 & 2 & -1 & 4 & | & 2 \\ 0 & -5 & 3 & -7 & | & -3 \\ 0 & 0 & 0 & 0 & | & \lambda - 5 \end{pmatrix}$$

当 $\lambda \neq 5$ 时, $R(A) \neq R(\bar{A})$ ,无解;

当 
$$\lambda = 5$$
时,有无穷多解,同解方程组为 
$$\begin{cases} x_1 = -2x_2 + x_3 - 4x_4 + 2 \\ x_2 = \frac{7x_4 - 3x_3}{-5} + \frac{3}{5} \end{cases}$$

通解为: 
$$x = \frac{1}{5} \begin{pmatrix} 4 \\ 3 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} -1 \\ 3 \\ 5 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} -6 \\ -7 \\ 0 \\ 5 \end{pmatrix}, k_1, k_2 \in R;$$

$$(4) \begin{pmatrix} 2-\lambda & 2 & -2 & 1 \\ 2 & 5-\lambda & -4 & 2 \\ -2 & -4 & 5-\lambda & -\lambda-1 \end{pmatrix}_{r_{1}-\frac{2-\lambda}{2}r_{2}} \begin{pmatrix} 0 & \frac{-\lambda^{2}+7\lambda-6}{2} & 2(1-\lambda) & \lambda-1 \\ 2 & 5-\lambda & -4 & 2 \\ 0 & 1-\lambda & 1-\lambda & 1-\lambda \end{pmatrix}$$

$$\Box \begin{pmatrix} 2 & 5-\lambda & -4 & 2 \\ 0 & 1-\lambda & 1-\lambda & 1-\lambda & 1-\lambda \\ 0 & (1-\lambda)(\lambda-6) & 4(1-\lambda) & 2(\lambda-1) \end{pmatrix} \Box \begin{pmatrix} 2 & 5-\lambda & -4 & 2 \\ 0 & 1-\lambda & 1-\lambda & 1-\lambda & 1-\lambda \\ 0 & 0 & (\lambda-10)(\lambda-1) & (\lambda-4)(\lambda-1) \end{pmatrix}$$

当 $\lambda = 10$ 时, $R(A) \neq R(\overline{A})$ ,无解;

当
$$\lambda=1$$
时,方程组有无穷多解,此时 $\begin{pmatrix} 2 & 4 & -4 & 2 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$   $\square \begin{pmatrix} 1 & 2 & -2 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ ,

通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}, k_1, k_2 \in R;$$

当 $\lambda$ ≠1目 $\lambda$ ≠10时,有唯一解,此时:

$$\begin{pmatrix} 2 & 5-\lambda & -4 & 2 \\ 0 & 1-\lambda & 1-\lambda & 1-\lambda & 1-\lambda \\ 0 & 0 & (\lambda-10)(\lambda-1) & (\lambda-4)(\lambda-1) \end{pmatrix} \Box \begin{pmatrix} 2 & 5-\lambda & -4 & 2 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & \lambda-10 & \lambda-4 \end{pmatrix}$$

方程组解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \frac{1}{\lambda - 10} \begin{pmatrix} -3\lambda \\ -6 \\ \lambda - 4 \end{pmatrix}$$
;

$$(5) \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 3 & 2 & 1 & 1 & -3 & a \\ 0 & 1 & 2 & 2 & 6 & 3 \\ 5 & 4 & 3 & 3 & -1 & b \end{pmatrix} \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & -1 & -2 & -2 & -6 & a-3 \\ 0 & 1 & 2 & 2 & 6 & 3 \\ 0 & -1 & -2 & -2 & -6 & b-5 \end{pmatrix}$$

$$\begin{pmatrix} r_2 + r_3 \\ r_4 + r_3 \\ r_2 \leftrightarrow r_3 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & -1 & -2 & -2 & -6 & 3 \\ 0 & 0 & 0 & 0 & 0 & a \\ 0 & 0 & 0 & 0 & 0 & b-2 \end{pmatrix}$$

当 $a \neq 0$ 或 $b \neq 2$ 时, $R(A) \neq R(\overline{A})$ ,无解;

通解为: 
$$x = \begin{pmatrix} -2 \\ 3 \\ 0 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ -2 \\ 0 \\ 1 \\ 0 \end{pmatrix} + k_3 \begin{pmatrix} 5 \\ -6 \\ 0 \\ 0 \\ 1 \end{pmatrix}, k_1, k_2, k_3 \in R;$$

(6) 
$$|A| = \begin{vmatrix} 2\lambda + 1 & -\lambda & \lambda + 1 \\ \lambda - 2 & \lambda - 1 & \lambda - 2 \\ 2\lambda - 1 & \lambda - 1 & 2\lambda - 1 \end{vmatrix} = \begin{vmatrix} 2\lambda + 1 & -\lambda & -\lambda \\ \lambda - 2 & \lambda - 1 & 0 \\ 2\lambda - 1 & \lambda - 1 & 0 \end{vmatrix} = \lambda (\lambda^2 - 1)$$

当 $\lambda$ ≠0且 $\lambda$ ≠±1时,有唯一解;

当
$$\lambda = 0$$
时,
$$\begin{pmatrix} 1 & 0 & 1 & -1 \\ -2 & -1 & -2 & 0 \\ -1 & -1 & -1 & 0 \end{pmatrix}$$
$$\begin{bmatrix} 1 & 0 & 1 & -1 \\ 0 & -1 & 0 & -2 \\ 0 & -1 & 0 & -1 \end{bmatrix}$$
$$\begin{bmatrix} 1 & 0 & 1 & -1 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R(A) \neq R(\bar{A})$$
, 无解;

当
$$\lambda = 1$$
时, $\begin{pmatrix} 3 & -1 & 2 & 0 \\ -1 & 0 & -1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix}$   $\square$   $\begin{pmatrix} 3 & -1 & 2 & 0 \\ -1 & 0 & -1 & 1 \\ 0 & 0 & 0 & 2 \end{pmatrix}$ , $R(A) \neq R(\overline{A})$ ,无解;

当
$$\lambda = -1$$
时,
$$\begin{pmatrix} -1 & 1 & 0 & -2 \\ -3 & -2 & -3 & -1 \\ -3 & -2 & -3 & -1 \end{pmatrix}$$
$$\begin{bmatrix} 1 & -1 & 0 & 2 \\ 0 & -5 & -3 & 5 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$
$$\begin{bmatrix} 1 & 0 & \frac{3}{5} & 1 \\ 0 & 5 & 3 & -5 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} + k \begin{pmatrix} -\frac{3}{5} \\ -\frac{3}{5} \\ 1 \end{pmatrix}, k \in \mathbb{R}.$$

5. 对于向量组
$$\alpha_1 = \begin{pmatrix} \lambda+1 \\ 1 \\ 1 \end{pmatrix}$$
,  $\alpha_2 = \begin{pmatrix} 1 \\ \lambda+1 \\ 1 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 1 \\ \lambda+1 \end{pmatrix}$ ,  $\beta = \begin{pmatrix} 0 \\ \lambda \\ \lambda^2 \end{pmatrix}$ ; 试讨论参数 $\lambda$ 满足什

么条件时,

- (1)  $\beta$ 可由 $\alpha_1,\alpha_2,\alpha_3$ 线性表出,且表示方式惟一;
- (2)  $\beta$  可由 $\alpha_1,\alpha_2,\alpha_3$ 线性表出,但表示方式不惟一;
- (3)  $\beta$ 不能由 $\alpha_1,\alpha_2,\alpha_3$ 线性表出.

解:  $|A| \neq 0 \Leftrightarrow Ax = \beta$  有唯一解  $\Leftrightarrow \beta$  可由  $\alpha_1, \alpha_2, \alpha_3$  线性表出,且表达式唯一;

 $|A| = 0 \Leftrightarrow Ax = \beta$  有无穷解或无解;

 $Ax = \beta$  有无穷解  $\Leftrightarrow \beta$  可由  $\alpha_1, \alpha_2, \alpha_3$  线性表出,且表达式不唯一;

 $Ax = \beta$  无解  $\Leftrightarrow \beta$  不能由  $\alpha_1, \alpha_2, \alpha_3$  线性表出;

$$|A| = \begin{vmatrix} \lambda + 1 & 1 & 1 \\ 1 & \lambda + 1 & 1 \\ 1 & 1 & \lambda + 1 \end{vmatrix} = \lambda^2 (\lambda + 3) \neq 0 \Leftrightarrow \lambda \neq 0 \perp \lambda \neq -3$$

(1)  $\beta$ 可由 $\alpha_1,\alpha_2,\alpha_3$ 线性表出,且表达式唯一 $\Leftrightarrow \lambda \neq 0$ 且 $\lambda \neq -3$ ;

(2) 当
$$\lambda = 0$$
时, $\overline{A} = \begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \end{pmatrix}$   $\begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ , $R(A) = R(\overline{A}) = 1 < 3$ ,此时

 $Ax = \beta$  有无穷解,  $\therefore \beta$  可由  $\alpha_1, \alpha_2, \alpha_3$  线性表出,且表达式不唯一;

(3) 当 $\lambda = -3$ 时,

$$\overline{A} = \begin{pmatrix} -2 & 1 & 1 & 0 \\ 1 & -2 & 1 & -3 \\ 1 & 1 & -2 & 9 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & -2 & 9 \\ 0 & -3 & 3 & -12 \\ 0 & 3 & -3 & 18 \end{pmatrix} \square \begin{pmatrix} 1 & 1 & -2 & 9 \\ 0 & -3 & 3 & -12 \\ 0 & 0 & 0 & 6 \end{pmatrix}$$

 $R(A) = 2 < R(\overline{A}) = 3$ ,此时 $Ax = \beta$  无解, ∴  $\beta$  不能由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出.

6. 设四元非齐次线性方程组的系数矩阵的秩是 2, 并已知该方程组的三个解向量是

$$\eta_{1} = \begin{pmatrix} 1 \\ 2 \\ 3 \\ 4 \end{pmatrix}, \eta_{2} = \begin{pmatrix} 2 \\ 3 \\ 4 \\ 5 \end{pmatrix}, \eta_{3} = \begin{pmatrix} 3 \\ 4 \\ 4 \\ 5 \end{pmatrix}$$

求该方程组的通解.

解:  $\dim S_A = n - R(A) = 4 - 2 = 2$ ,则 Ax = 0的任何两个线性无关的解向量均是它的一

组基础解系;由 $\eta_1,\eta_2,\eta_3$ 为非齐次方程组 $Ax = \beta$ 的三个解向量知:

$$\xi_1 = \eta_3 - \eta_1 = \begin{pmatrix} 2 \\ 2 \\ 1 \\ 1 \end{pmatrix}$$
,  $\xi_2 = \eta_2 - \eta_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$ 为  $Ax = 0$ 的两个线性无关的解向量,故为  $Ax = 0$ 的

一组基础解系:

故 
$$Ax = \beta$$
 的通解为  $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 3 \\ 4 \end{pmatrix} + k_1 \begin{pmatrix} 2 \\ 2 \\ 1 \\ 1 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, k_1, k_2 \in R.$ 

7. 设三元非齐次线性方程组系数矩阵的秩为 1,且已知它的三个解 $\eta_1,\eta_2,\eta_3$ 满足:

$$\eta_1 + \eta_2 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}, \eta_2 + \eta_3 = \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix}, \eta_1 + \eta_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

求该方程组的通解.

解:  $\dim S_A = n - R(A) = 3 - 1 = 2$ ,故 Ax = 0的任何两个线性无关的解向量均是它的一组

84

基础解系; 
$$\eta_1 + \eta_2 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \alpha_1$$
,  $\eta_2 + \eta_3 = \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix} = \alpha_2$ ,  $\eta_1 + \eta_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} = \alpha_3$ ,

则 
$$\eta_1 + \eta_2 + \eta_3 = \frac{1}{2} (\alpha_1 + \alpha_2 + \alpha_3)$$
, 又  $\eta_1 + \eta_3 = \alpha_3$ , ∴  $\eta_2 = \frac{1}{2} (\alpha_1 + \alpha_2 - \alpha_3) = \begin{pmatrix} 0 \\ 1/2 \\ 5/2 \end{pmatrix}$  为非

齐次方程组特解;

$$\xi_1 = \alpha_1 - \alpha_2 = \eta_1 - \eta_3 = \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix}, \quad \xi_2 = \alpha_1 - \alpha_3 = \eta_2 - \eta_3 = \begin{pmatrix} 0 \\ 2 \\ 4 \end{pmatrix} 为 Ax = 0 的两个线性无关的解$$

向量, 故为Ax = 0的一组基础解系;

故  $x = \eta_2 + k_1 \xi_1 + k_2 \xi_2, k_1, k_2 \in R$  为  $Ax = \beta$  的通解.

注意: 此题中非齐次方程组的特解、齐次方程组的基础解系找法不唯一.

8. 设矩阵 
$$A = \begin{pmatrix} 1 & 2 & -2 \\ 4 & t & 3 \\ 3 & -1 & 1 \end{pmatrix}$$
, 矩阵  $B$  为 3 阶非零矩阵,且  $AB = 0$ ,求  $t$  的值.

解: :: AB = 0, 由 P110 例 9 知:  $R(A) + R(B) \le 3$ , 又 B 是非零矩阵,  $:: R(B) \ge 1$ ,

 $\therefore R(A) \le 2$ ,则|A| = 0;

$$|A| = \begin{vmatrix} 1 & 2 & -2 \\ 4 & t & 3 \\ 3 & -1 & 1 \end{vmatrix} = 7t + 21 = 0$$

· t - \_3

9. 设矩阵 
$$A = \begin{pmatrix} a & 1 & 1 \\ 1 & b & 1 \\ 1 & 3b & 1 \end{pmatrix}$$
,  $B$  为三阶非零矩阵,且满足  $AB = 0$ ,求  $a$ ,  $b$  及  $R(B)$ .

解: :: AB = 0,由 P110 例 9 知:  $R(A) + R(B) \le 3$ ,又 B 是非零矩阵, $:: R(B) \ge 1$ ,

 $\therefore R(A) \le 2$ , 即 A 不满秩,则 |A| = 0;

$$|A| = \begin{vmatrix} a & 1 & 1 \\ 1 & b & 1 \\ 1 & 3b & 1 \end{vmatrix} = \begin{vmatrix} a & 1 & 1 \\ 1 & b & 1 \\ 0 & 2b & 0 \end{vmatrix} = 2b(1-a) = 0$$

∴ a = 1 或 b = 0;

当 
$$a=1$$
时,  $A=\begin{pmatrix}1&1&1\\1&b&1\\1&3b&1\end{pmatrix}$   $\begin{bmatrix}\begin{pmatrix}1&1&1\\0&b-1&0\\0&3b-1&0\end{pmatrix}$ , ::  $b-1$ 与  $3b-1$ 不能同时为  $0$ ,

$$\therefore R(A) = 2$$
, 此时 $1 \le R(B) \le 1$ ,  $\therefore R(B) = 1$ ;

 $\therefore R(A) = 2$ , 此时 $1 \le R(B) \le 1$ ,  $\therefore R(B) = 1$ .

10. 设 $\eta_1,\eta_2,\cdots,\eta_s$ 是非齐次线性方程组Ax=b的s个解, $k_1,\cdots,k_s$ 为实数,满足 $k_1+k_2+\cdots+k_s=1$ ,证明 $x=k_1\eta_1+k_2\eta_2+\cdots+k_s\eta_s$ 也是方程组Ax=b的解.

证明: 由己知:  $A\eta_i = b, i = 1, 2, \dots, s$ ,

$$Ax = A(k_1\eta_1 + \dots + k_s\xi_s) = k_1A\eta_1 + \dots + k_sA\eta_s = k_1b + \dots + k_sb = (k_1 + \dots + k_s)b = 1 \cdot b = b$$
  
故  $x = k_1\eta_1 + k_2\eta_2 + \dots + k_s\eta_s$  也是方程组  $Ax = b$  的解.

$$\begin{cases} x_1-x_2=a_1\\ x_2-x_3=a_2\\ x_3-x_4=a_3 & 有解的充要条件是 \ a_1+a_2+a_3+a_4+a_5=0 \ , \ \text{并在有解的}\\ x_4-x_5=a_4\\ x_5-x_1=a_5 \end{cases}$$

情况下,求出它的全部解.

证明:

$$\overline{A} = \begin{pmatrix} 1 & -1 & & & & & a_1 \\ & 1 & -1 & & & & a_2 \\ & & 1 & -1 & & & a_3 \\ & & & 1 & -1 & & a_4 \\ -1 & & & 1 & a_5 \end{pmatrix} \xrightarrow{r_5 + r_1 + r_2 + r_3 + r_4} \begin{pmatrix} 1 & -1 & & & & a_1 \\ & 1 & -1 & & & a_2 \\ & & 1 & -1 & & a_3 \\ & & & 1 & -1 & & a_4 \\ 0 & & & 0 & a_1 + \dots + a_5 \end{pmatrix} = \overline{A}_1$$

 $Ax = b \, \bar{\uparrow}\, for (A) = R(\bar{A}) = R(\bar{A}_1) \Leftrightarrow a_1 + a_2 + a_3 + a_4 + a_5 = 0;$ 

当
$$a_1 + a_2 + a_3 + a_4 + a_5 = 0$$
时, $\overline{A}_1$   $r_2 + r_3$   $r_1 + r_2$   $\begin{pmatrix} 1 & & & -1 & a_1 + a_2 + a_3 + a_4 \\ & 1 & & -1 & a_2 + a_3 + a_4 \\ & & 1 & & -1 & a_3 + a_4 \\ & & & 1 & -1 & a_4 \\ 0 & \cdots & 0 & 0 \end{pmatrix}$ 

同解方程组为 
$$\begin{cases} x_1 = x_5 + a_1 + a_2 + a_3 + a_4 \\ x_2 = x_5 + a_2 + a_3 + a_4 \\ x_3 = x_5 + a_3 + a_4 \\ x_4 = x_5 + a_4 \\ x_5 = x_5 \end{cases}$$
 , 通解为  $x = \begin{pmatrix} a_1 + a_2 + a_3 + a_4 \\ a_2 + a_3 + a_4 \\ a_3 + a_4 \\ a_4 \\ 0 \end{pmatrix} + k \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, k \in \mathbb{R}$ .

- 12. 设 $\eta^*$ 为n元非齐次线性方程组 $Ax = \beta$ 的一个解, $\xi_1, \xi_2, \dots, \xi_{n-r}$ 是对应的齐次线性方程组Ax = 0的一个基础解系,证明:
- (1) 向量组 $\eta^*$ ,  $\xi_1$ ,  $\xi_2$ ,…,  $\xi_{n-r}$ 线性无关;
- (2) 向量组 $\eta^*$ ,  $\eta^* + \xi_1$ ,  $\eta^* + \xi_2$ , ...,  $\eta^* + \xi_{n-r}$  线性无关.

证明: (1) 设
$$k_0\eta^* + k_1\xi_1 + \dots + k_{n-r}\xi_{n-r} = 0$$
, 则 $A(k_0\eta^* + k_1\xi_1 + \dots + k_{n-r}\xi_{n-r}) = 0$ ,

$$\therefore k_0 A \eta^* + k_1 A \xi_1 + \dots + k_{n-r} A \xi_{n-r} = 0,$$

$$:: \xi_1, \dots, \xi_{n-r}$$
 为  $Ax = 0$  的基础解系,有  $A\xi_i = 0, i = 1, \dots, n-r$  ,  $:: k_0 A \eta^* = k_0 \beta = 0$  ,

$$\because Ax = \beta$$
 是非齐次方程组,即  $\beta \neq 0$ ,  $\therefore k_0 = 0$ , 代入有  $k_1\xi_1 + \dots + k_{n-r}\xi_{n-r} = 0$ ,

$$\therefore \xi_1, \dots, \xi_{n-r}$$
 线性无关, $\therefore k_1 = \dots = k_{n-r} = 0$ ,即 $k_0 = k_1 = \dots = k_{n-r} = 0$ ,

 $:: \eta^*, \xi_1, \dots, \xi_{n-r}$  线性无关;

(2) 设
$$k_0 \eta^* + k_1 (\eta^* + \xi_1) + \dots + k_{n-r} (\eta^* + \xi_{n-r}) = 0$$
,

则
$$(k_0 + k_1 + \cdots + k_{n-r})\eta^* + k_1\xi_1 + \cdots + k_{n-r}\xi_{n-r} = 0$$
,由(1)知:  $\eta^*, \xi_1, \cdots, \xi_{n-r}$ 线性无关,

- 13. 设n元非齐次线性方程组 $Ax = \beta$ 的系数矩阵的秩为r,且 $\eta_1, \eta_2, \cdots, \eta_{n-r}, \eta_{n-r+1}$ 是它的n+1个解,证明:
- (1)  $\eta_1 \eta_{n-r+1}$ ,  $\eta_2 \eta_{n-r+1}$ , …,  $\eta_{n-r} \eta_{n-r+1}$  是齐次方程组 Ax = 0的一个基础解系;

(2) 
$$Ax = \beta$$
 的通解为  $x = k_1 \eta_1 + k_2 \eta_2 + \dots + k_{n-r} \eta_{n-r} + k_{n-r+1} \eta_{n-r+1}$ , 其中  $\sum_{i=1}^{n-r+1} k_i = 1$ .

证明: (1) 首先我们证明  $\eta_1 - \eta_{n-r+1}$ ,  $\eta_2 - \eta_{n-r+1}$ ,  $\cdots$ ,  $\eta_{n-r} - \eta_{n-r+1}$  是 Ax = 0的解.

$$\therefore A\eta_1 = A\eta_2 = \cdots = A\eta_{n-r+1} = \beta ,$$

$$\therefore A(\eta_1 - \eta_{n-r+1}) = A(\eta_2 - \eta_{n-r+1}) = \dots = A(\eta_{n-r} - \eta_{n-r+1}) = \beta - \beta = 0,$$

$$\therefore \eta_1 - \eta_{n-r+1}, \eta_2 - \eta_{n-r+1}, \cdots, \eta_{n-r} - \eta_{n-r+1}$$
 为解;

其次我们证明 $\eta_1 - \eta_{n-r+1}, \eta_2 - \eta_{n-r+1}, \dots, \eta_{n-r} - \eta_{n-r+1}$ 线性无关.

设
$$k_1(\eta_1-\eta_{n-r+1})+k_2(\eta_2-\eta_{n-r+1})+\cdots+k_{n-r}(\eta_{n-r}-\eta_{n-r+1})=0$$
,

则 
$$k_1\eta_1 + \cdots + k_{n-r}\eta_{n-r} - (k_1 + \cdots + k_{n-r})\eta_{n-r+1} = 0$$
,

$$:: \eta_1, \eta_2, \cdots, \eta_{n-r+1}$$
 线性无关, $:: k_1 = \cdots = k_{n-r} = k_1 + \cdots + k_{n-r} = 0$ ,

$$\therefore \eta_1 - \eta_{n-r+1}, \eta_2 - \eta_{n-r+1}, \cdots, \eta_{n-r} - \eta_{n-r+1}$$
 线性无关,

$$\therefore \eta_1 - \eta_{n-r+1}, \eta_2 - \eta_{n-r+1}, \dots, \eta_{n-r} - \eta_{n-r+1}$$
 为  $Ax = 0$ 的一个基础解系;

(2) 由 (1) 知:  $Ax = \beta$  的解为:

$$x = k_1 (\eta_1 - \eta_{n-r+1}) + k_2 (\eta_2 - \eta_{n-r+1}) + \dots + k_{n-r} (\eta_{n-r} - \eta_{n-r+1}) + \eta_{n-r+1}$$

$$\therefore x = k_1 \eta_1 + \dots + k_{n-r} \eta_{n-r} + (1 - k_1 - \dots - k_{n-r}) \eta_{n-r+1},$$

取
$$k_{n-r+1} = 1 - k_1 - \dots - k_{n-r}$$
,则 $\sum_{i=1}^{n-r+1} k_i = 1$ . 证毕.

14. 设 
$$A$$
 为  $n$  阶矩阵( $n \ge 2$ ),证明  $R(A^*) = \begin{cases} n, & \exists R(A) = n \\ 1, & \exists R(A) = n - 1 \\ 0, & \exists R(A) < n - 1 \end{cases}$ 

证明: ①若
$$R(A) = n$$
, 则 $|A| \neq 0$ ,  $|A^*| = |A|^{n-1} \neq 0$ ,  $\therefore R(A^*) = n$ ;

②若R(A)=n-1,A不可逆,则|A|=0,A有一个(n-1)阶子式不为0,于是A有一个

代数余子式不为 0, $R(A^*) \ge 1$ . 因为  $AA^* = |A|E = 0$ ,所以  $R(A^*) + R(A) \le n$  【见书 P110:

例 9 **]**, 
$$\therefore R(A^*) \le 1$$
, 故  $R(A^*) = 1$ ;

③若 $R(A) \le n-2$ ,则A的所有(n-1)阶子式全为0,于是A所有代数余子式全为0,

$$A^* = O_{n \times n}$$
,  $R(A^*) = 0$ . 证毕.

15. 设A为n阶矩阵,且 $A^2 = E$ ,证明R(A+E) + R(A-E) = n.

证明: 
$$A^2 = E \Rightarrow (A+E)(A-E) = 0 \Rightarrow R(A+E) + R(A-E) \leq n$$
,

$$|A|^2 = |A^2| = |E| = 1 \Rightarrow |A| \neq 0 \Rightarrow A$$
 可逆  $\Rightarrow R(A) = R(\alpha_1, \dots, \alpha_n) = n$ ,

设 
$$E = (e_1, \dots, e_n)$$
,则  $A + E = (\alpha_1 + e_1, \dots, \alpha_n + e_n)$ ,  $A - E = (\alpha_1 - e_1, \dots, \alpha_n - e_n)$ ,

设
$$R(A+E)=r$$
,  $R(A-E)=s$ ,

易知 $\alpha_1, \dots, \alpha_n$ 可由 $\alpha_1 + e_1, \dots, \alpha_n + e_n, \alpha_1 - e_1, \dots, \alpha_n - e_n$ 线性表示,

故 
$$n = R(\alpha_1, \dots, \alpha_n) \le R(\alpha_1 + e_1, \dots, \alpha_n + e_n, \alpha_1 - e_1, \dots, \alpha_n - e_n)$$

$$\leq R(\alpha_1 + e_1, \dots, \alpha_n + e_n) + R(\alpha_1 - e_1, \dots, \alpha_n - e_n) = R(A + E) + R(A - E),$$

综上: R(A+E)+R(A-E)=n.

16. 设A为 $m \times n$ 矩阵,证明 $R(A^T A) = R(A)$ .

证明:由方程解与秩的关系知:只须证明Ax = 0与 $A^{T}Ax = 0$ 同解即可.

事实上,  $\forall x \in R$ , 若 Ax = 0, 则  $A^T Ax = 0$ , ∴ Ax = 0 的解必为  $A^T Ax = 0$  的解;

反之, $\forall x \in R$ ,若 $A^T A x = 0$ ,则 $x^T A^T A x = 0$ ,即 $(A x)^T A x = 0$  , $:: A x \in R^m$  为列向量,

 $\therefore Ax = 0$ ,  $\therefore A^T Ax = 0$  的解必为 Ax = 0的解;

 $\therefore Ax = 0 与 A^T Ax = 0$  同解,  $\therefore R(A) = R(A^T A)$ , 证毕.

17. 设x为n维列向量,证明齐次线性方程组Ax=0与Bx=0有公共非零解的充要条件是:

$$R\binom{A}{B} < n$$
.

证明: Ax = 0与 Bx = 0有公共非零解  $\Leftrightarrow \exists x_0 \neq 0$ ,使  $\begin{cases} Ax_0 = 0 \\ Bx_0 = 0 \end{cases} \Leftrightarrow \exists x_0 \neq 0$ ,使

18. 若n阶方阵A=BC,其中B为 $n\times k$ 矩阵,C为 $k\times n$ 矩阵,且 $|A|\neq 0$ ,证明齐次线性方程组 $B^Tx=0$ 只有零解.

证明:  $B^T x = 0$  只有零解  $\Leftrightarrow R(B^T) = R(B) = n$ .

$$A_{n\times n} = B_{n\times k}C_{k\times n}, \quad (\alpha_1, \dots, \alpha_n) = (\beta_1, \dots, \beta_k)\begin{pmatrix} c_{11} & \dots & c_{1n} \\ \vdots & \ddots & \vdots \\ c_{k1} & \dots & c_{kn} \end{pmatrix} = \left(\sum_{j=1}^k c_{j1}\beta_j, \dots, \sum_{j=1}^k c_{jn}\beta_j\right)$$

故 $\alpha_1, \dots, \alpha_n$ 能由 $\beta_1, \dots, \beta_k$ 线性表示,则 $R(A) = R(\alpha_1, \dots, \alpha_n) \le R(\beta_1, \dots, \beta_k) = R(B)$ , $|A| \ne 0 得 R(A) = n , \therefore R(B) \ge n , \quad \exists R(B) \le n , \quad \exists R(B) = n .$ 证毕.

# 第五章 矩阵的相似对角化

### 1. 填空题

(1) 设A为n阶奇异矩阵,则A一定有特征值 0 .

解: 方法一: 
$$|A| = |A - 0E| = 0 \Rightarrow 0$$
 是 A 的特征值;

方法二:  $|A| = \lambda_1 \lambda_2 \cdots \lambda_n = 0 \Rightarrow \exists \lambda_i = 0$ , 即 0 是 A 的特征值.

(2) n 阶矩阵 A 的元素全为 1,则 A 的特征值为 n-1 个 0 和 n.

$$\mathfrak{M}: \quad A = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ 1 & 1 & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ 1 & 1 & \cdots & 1 \end{pmatrix}$$

$$eta$$
法一:  $|A-\lambda E| = \begin{vmatrix} 1-\lambda & 1 & \cdots & 1 \\ 1 & 1-\lambda & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ 1 & 1 & \cdots & 1-\lambda \end{vmatrix} = \begin{vmatrix} n-\lambda & n-\lambda & \cdots & n-\lambda \\ 1 & 1-\lambda & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ 1 & 1 & \cdots & 1-\lambda \end{vmatrix}$ 

$$=(n-\lambda)\begin{vmatrix} 1 & 1 & \cdots & 1 \\ 1 & 1-\lambda & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ 1 & 1 & \cdots & 1-\lambda \end{vmatrix} = (n-\lambda)\begin{vmatrix} 1 & 1 & \cdots & 1 \\ 0 & -\lambda & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \cdots & -\lambda \end{vmatrix} = (n-\lambda)(-\lambda)^{n-1} = 0$$

⇒ $\lambda$  = 0 (n-1重) 或 $\lambda$  = n, 即A 的特征值为n-1个0 和n;

方法二:  $|A| = |A - 0E| = 0 \Rightarrow 0$  是 A 的特征值,易知 R(A) = 1,dim  $S_A = n - R(A - 0E)$   $= n - 1 \Rightarrow 0$  是 A 的 n - 1 重特征根,设 A 的另一特征值为 x,由 P122 性质 1 (2) 有  $tr(A) = n = 0 + 0 + \dots + x \Rightarrow x = n$ ,  $\therefore$  A 的特征值为  $n - 1 \uparrow 0$  和 n.

(3) 已知 3 阶矩阵 A 满足  $A^2 = A$ , R(A) = 2, 则 A 的相特征值为 0, 1, 1 .

解:设  $Ax = \lambda x$ ,即  $\lambda$  是 A 的特征值,x 是 A 的对应于  $\lambda$  的特征向量, $A^2 = A \Rightarrow A^2 x = A x$ ,  $\lambda^2 x = \lambda x \Rightarrow (\lambda^2 - \lambda) x = 0 \Rightarrow \lambda (\lambda - 1) x = 0$ ,  $\therefore x \neq 0$ ,  $\therefore \lambda (\lambda - 1) = 0 \Rightarrow \lambda = 0, \lambda = 1$   $A^2 = A \Rightarrow A(A - E) = 0$ ,由 P110 例 9 有:  $R(A) + R(A - E) \leq n = 3$ ,  $R(A) = 2 \Rightarrow$   $R(A - E) \leq 3 - 2 = 1$ ,  $\lambda = 0$  的特征向量为 Ax = 0 的非零解,  $\dim S_A = 3 - R(A) = 1$ ,  $\lambda = 1$  的特征向量为 (A - E) x = 0 的非零解,  $\dim S_{A - E} = 3 - R(A - E) \geq 3 - 1 = 2$ ,

 $\Rightarrow \lambda = 0,1,1$ .

(4) 
$$A = \begin{pmatrix} 7 & 4 & -1 \\ 4 & x & -1 \\ -4 & 4 & 1 \end{pmatrix}$$
, 已知  $A$  的特征值为 2, 3, 3, 则  $x$  为\_\_\_\_\_.

解:由 P122性质 1有 $|A|=3x+12=\lambda_1\lambda_2\lambda_3=2\times3\times3=18\Rightarrow x=2$ ,

 $tr(A) = 7 + x + 1 = \lambda_1 + \lambda_2 + \lambda_3 = 8 \Rightarrow x = 0$ , 故此题有问题.

(5) 已知 3 阶矩阵 A 的特征值为 1, 2, 3, 则  $(2A^*)^{-1}$  的特征值为 \_\_\_\_\_ .

解: A 的特征值为 1, 2, 3, 则行列式 $|A| = 1 \times 2 \times 3 = 6$ ;  $(2A^*)^{-1} = (2)^{-1} \cdot (A^*)^{-1} = \frac{1}{2} \cdot \frac{A}{|A|}$ 

 $=\frac{1}{12}A=arphi(A)$ ,由 P123 性质 2 的推广知: $arphi(\lambda)=\frac{1}{12}\lambda$  是 arphi(A) 的特征值,即  $(2A^*)^{-1}$  的

特征值为 $\frac{1}{12}$ , $\frac{1}{6}$ , $\frac{1}{4}$ .

解:设 $\varphi(A) = A + E$ ,则矩阵 $\varphi(A)$ 对应的特征值为 $\varphi(\lambda) = \lambda + 1 = 2, 3, -1$ ,则行列式  $|A| = 2 \times 3 \times (-1) = -6.$ 

(7) 已知矩阵
$$\begin{pmatrix} 7 & 5 \\ x & y \end{pmatrix}$$
与 $\begin{pmatrix} 4 & 2 \\ 3 & 4 \end{pmatrix}$ 相似,则  $x$  为  $\frac{-\frac{3}{5}}{5}$  ,  $y$  为  $\frac{1}{2}$ 

解:  $A = \begin{pmatrix} 7 & 5 \\ x & y \end{pmatrix}$  与  $B = \begin{pmatrix} 4 & 2 \\ 3 & 4 \end{pmatrix}$  相似,则 A = B 特征值相同,得 |A| = |B| 且 tr(A) = tr(B),

即
$$|A| = 7y - 5x = |B| = 10$$
,  $tr(A) = 7 + y = tr(B) = 4 + 4 = 8$ , 得 $x = -\frac{3}{5}$ ,  $y = 1$ .

(8) A, B 为 n 阶矩阵, AB 有特征值 2,则 BA+3E 一定有特征值\_\_\_\_\_\_\_5\_\_\_\_\_.

解: AB 有特征值 2,则  $\exists \xi \neq 0$  使  $AB\xi = 2\xi$  ,  $B\xi \neq 0$  (否则  $A \cdot 0 = 0 = 2\xi \neq 0$  ,矛盾 ), 两边左乘 B 得:  $BAB\xi = 2B\xi$  , 2 是 BA 的特征值, $B\xi$  是 BA 的 2 对应的特征向量,由  $\varphi(\lambda)$  是 $\varphi(A)$ 的特征值知: 2+3=5 是BA+3E的特征值.

(9) 已知 
$$A$$
 相似于  $B$  ,且  $A^m = A(m \in N)$  ,则  $B^m = B$  .

解: A 相似于 B ,则存在可逆阵 P 使  $B = P^{-1}AP$  ,  $B^m = P^{-1}AP \cdot P^{-1}AP \cdot P^{-1}AP$   $= P^{-1}A^mP = P^{-1}AP = B$  .

解: 
$$|A - \lambda E| = \begin{vmatrix} -\lambda & a & 1 \\ 0 & 2 - \lambda & 0 \\ 4 & 2b & -\lambda \end{vmatrix} = (2 - \lambda)^2 (-2 - \lambda) = 0 \Rightarrow \lambda = 2, 2, -2$$

因为 A 相似于对角阵,所以必有 3 个线性无关的特征向量,其中  $\lambda=-2$  对应于一个特征向量,对应于  $\lambda=2$  必有 2 个线性无关的特征向量,  $\lambda=2$  的特征向量是 (A-2E)x=0 的非

零解, 
$$\dim S_{A-2E} = 3 - R(A-2E) = 2 \Rightarrow R(A-2E) = 1$$
,

$$A - 2E = \begin{pmatrix} -2 & a & 1 \\ 0 & 0 & 0 \\ 4 & 2b & -2 \end{pmatrix} \Box \begin{pmatrix} -2 & a & 1 \\ 0 & 0 & 0 \\ 0 & 2(a+b) & 0 \end{pmatrix}$$

只有当a+b=0时,R(A-2E)=1,故a与b之间的关系是a+b=0.

#### 2. 选择题

(1) 与可逆阵 A 必有相同特征值的矩阵是 (C).

(A) 
$$A^{-1}$$
 (B)  $A^{2}$  (C)  $A^{T}$  (D)  $A^{*}$ 

解:  $\lambda \in A$  的特征值  $\Rightarrow \varphi(\lambda) \in \varphi(A)$  的特征值,故(A)(B)(D)均错误;

(C): 
$$|A - \mathcal{E}| = A(-E\lambda)$$
  $+ E\lambda$   $|$ , 故  $A = A^T$  有相同特征值,正确.

- (2) 设A为2阶实矩阵,|A|<0,则矩阵A (A).
- (A) 可对角化 (B) 不可对角化 (C) 与反对称阵相似 (D) 以上都不对

解: 方法一: 
$$|A-\lambda E| = \begin{vmatrix} a-\lambda & b \\ c & d-\lambda \end{vmatrix} = \lambda^2 - (a+d)\lambda + ad - bc$$
,

$$|A| = \begin{vmatrix} a & b \\ c & d \end{vmatrix} = ad - bc < 0$$
,  $\Delta = (a+d)^2 - 4(ad-bc) > 0 \Rightarrow A$  有两个不同的特征值,由

P128 推论 2 知 A 与对角阵相似,故(A)正确;

方法二:  $|A| = \lambda_1 \lambda_2 < 0 \Rightarrow \lambda_1 < 0 < \lambda_2$  或  $\lambda_2 < 0 < \lambda_1 \Rightarrow A$  有两个不同的特征值.

- (3) 已知 A 是 3 阶方阵, $\lambda_1, \lambda_2, \lambda_3$  是 A 的互不相等的特征值,对应特征向量分别为  $\alpha_1, \alpha_2, \alpha_3$ ,  $\beta = \alpha_1 + \alpha_2 + \alpha_3$ ,则向量组 $\beta, A\beta, A^2\beta$  (B)
- (A) 线性相关 (B) 线性无关 (C) 可能线性相关,可能线性无关 (D) 以上都不对

解: 
$$A\alpha_i = \lambda_i \alpha_i, i = 1, 2, 3, \lambda_1, \lambda_2, \lambda_3$$
 互不相同,  $\beta = \alpha_1 + \alpha_2 + \alpha_3 = \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ 

$$A\beta = A(\alpha_1 + \alpha_2 + \alpha_3) = A\alpha_1 + A\alpha_2 + A\alpha_3 = \lambda_1\alpha_1 + \lambda_2\alpha_2 + \lambda_3\alpha_3 = (\alpha_1 \quad \alpha_2 \quad \alpha_3) \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{pmatrix},$$

$$A^2\beta = A^2\left(\alpha_1 + \alpha_2 + \alpha_3\right) = A^2\alpha_1 + A^2\alpha_2 + A^2\alpha_3 = \lambda_1^2\alpha_1 + \lambda_2^2\alpha_2 + \lambda_3^2\alpha_3 = \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \end{pmatrix} \begin{pmatrix} \lambda_1^2 \\ \lambda_2^2 \\ \lambda_3^2 \end{pmatrix}$$

$$\begin{split} B = & \left( \beta \quad A\beta \quad A^2\beta \right) = \left( \alpha_1 \quad \alpha_2 \quad \alpha_3 \right) \begin{pmatrix} 1 & \lambda_1 & \lambda_1^2 \\ 1 & \lambda_2 & \lambda_2^2 \\ 1 & \lambda_3 & \lambda_3^2 \end{pmatrix} \Box \quad A\Lambda \; , \; \; \text{由} \; \lambda_1, \lambda_2, \lambda_3 \; \text{互不相同 $\Rightarrow$ 特征 } \\ & \text{向量} \; \alpha_1, \alpha_2, \alpha_3 \; \text{线性无关} \Rightarrow \left| A \right| \neq 0 \; , \; \; \left| \Lambda \right| = \prod_{3 \geq i > j \geq 1} \left( \lambda_i - \lambda_j \right) \neq 0 \Rightarrow \left| B \right| = \left| A \right| \cdot \left| \Lambda \right| \neq 0 \Rightarrow \text{向量组} \end{split}$$

 $\beta$ ,  $A\beta$ ,  $A^2\beta$  线性无关,故(B)正确.

- (4) 设 $\lambda$ ,  $\lambda$ , 是 A 的特征值, $\alpha$ ,  $\alpha$ , 分别是 $\lambda$ ,  $\lambda$ , 的特征向量,则(C)
- (A)  $\lambda_1 = \lambda_2$ 时, $\alpha_1, \alpha_2$ 一定成比例
- (B)  $\lambda_1 \neq \lambda_2$  时,若  $\lambda_1 + \lambda_2 = \lambda_3$  是特征值,则对应的特征向量是  $\alpha_1 + \alpha_2$
- (C)  $\lambda_1 \neq \lambda_2$  时, $\alpha_1 + \alpha_2$  不可能是特征向量
- (D)  $\lambda_1 = 0$ ,有 $\alpha_1 = 0$

解: (A):  $\lambda_1 = \lambda_2 = \lambda$  时, $\lambda$  是 A 的二重根,A 对应于  $\lambda$  的特征向量可能是二维的,即 A对应于 $\lambda$ 可能有两个线性无关的特征向量,故(A)错误;

(C): 
$$\lambda_1 \neq \lambda_2$$
, 设 $\alpha_1 + \alpha_2$ 是  $A$  对应于  $\lambda$  的特征向量,即  $A(\alpha_1 + \alpha_2) = \lambda(\alpha_1 + \alpha_2)$ ,

$$A\alpha_1 + A\alpha_2 = \lambda_1\alpha_1 + \lambda_2\alpha_2 \Rightarrow (\lambda_1 - \lambda)\alpha_1 + (\lambda_2 - \lambda)\alpha_2 = 0$$
,  $\therefore \lambda_1 \neq \lambda_2$ ,  $\therefore \alpha_1, \alpha_2$  线性无关,

 $\lambda_1 - \lambda = \lambda_2 - \lambda = 0$ ,则  $\lambda_1 = \lambda_2 = \lambda$  与已知矛盾,故  $\alpha_1 + \alpha_2$  不是 A 的特征向量,(C) 正确.

(5) 设A,B为n阶方阵,且A与B相似,则(D)

- (A)  $\lambda E A = \lambda E B$
- (B) A 与 B 有相同的特征值与特征向量
- (C) A 与 B 都相似于同一对角阵
- (D) 对任意常数t,有tE-A与tE-B相似

解: A 与 B 相似,则存在可逆阵 P 使  $B = P^{-1}AP$ :

- (A):  $|\lambda E A| = E B |$ ,但一般  $\lambda E A \neq \lambda E B$ ,故(A)错误;
- (B): A 与 B 有相同特征值,但一般特征向量不同,A 的特征向量是 $(A \lambda E)x = 0$  的非
- 零解, B 的特征向量是 $(B-\lambda E)x=0$ 的非零解,故(B)错误:
- (C): A 与 B 相似,但它们可能都不能相似于对角矩阵,故(C)错误;
- (D):  $B = PA^{\bullet}$  ,  $P^{-1}(tE A)P = tP^{-1}P P^{-1}AP = tE B$ , 故tE A相似于tE B, 故(D)正确.
- (6) 设A为n阶实对称矩阵,P是n阶可逆阵,已知n维列向量 $\alpha$ 是A的属于特征值 $\lambda$ 的 特征向量。则 $(P^{-1}AP)^T$ 属于特征值 $\lambda$ 的特征向量是(B)
- (A)  $P^{-1}\alpha$  (B)  $P^{T}\alpha$  (C)  $P\alpha$  (D)  $\left(P^{-1}\right)^{T}\alpha$

解:  $A\alpha = \lambda \alpha$ ,  $\left(P^{-1}AP\right)^T \beta = \lambda \beta$ , 设  $\beta \in \left(P^{-1}AP\right)^T$  的属于  $\lambda$  的特征向量,当  $\beta = P^T \alpha$ 

时,
$$\left(P^{-1}AP\right)^T\beta=P^TA^T\left(P^{-1}\right)^TP^T\alpha=P^TA\left(PP^{-1}\right)^T\alpha=P^TA\alpha=P^T\lambda\alpha=\lambda P^T\alpha=\lambda\beta$$
,故(B)正确.

- (7) n 阶方阵 A 具有 n 个不同的特征值是 A 与对角阵相似的( B )
- (A) 充分必要条件
- (B) 充分而非必要条件
- (C) 必要而非充分条件
- (D) 既非充分又非必要条件

解:  $A \in \mathbb{R}$  有 n 个不同的特征值  $\Rightarrow$   $A \in \mathbb{R}$  个线性无关的特征向量  $\Leftrightarrow$  A 相似于对角阵,故 (B)正确.

(8) 设矩阵 
$$B = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$
,  $A = B$  相似,则  $R(A + E) = R(A - E)$  的和为( B)

(A) 2 (B) 3 (C) 4 (D) 5

解: 
$$|B - \lambda E| = \begin{vmatrix} -\lambda & 0 & 1 \\ 0 & 1 - \lambda & 0 \\ 1 & 0 & -\lambda \end{vmatrix} = -(\lambda + 1)^2 (\lambda - 1)^2 = 0 \Rightarrow \lambda = -1, 1, 1,$$

由 
$$B$$
 是实对称矩阵,则一定存在正交阵  $P_1$  使  $P_1^{-1}BP_1=\Lambda_1=\begin{pmatrix} -1&&&\\&1&&\\&&1\end{pmatrix}$ ,

$$A\cong B\cong \Lambda_1 \Rightarrow A\cong \Lambda_1$$
,则存在可逆阵阵  $P_2$  使  $P_2^{-1}AP_2=\Lambda_1=egin{pmatrix} -1 & & & & \\ & 1 & & & \\ & & 1 \end{pmatrix}$ ,

$$P_2^{-1}(A+E)P_2 = P_2^{-1}AP_2 + P_2^{-1}P_2 = \begin{pmatrix} -1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} = \begin{pmatrix} 0 & & \\ & 2 & \\ & & 2 \end{pmatrix},$$

同理 
$$P_2^{-1}(A-E)P_2 = P_2^{-1}AP_2 - P_2^{-1}P_2 = \begin{pmatrix} -1 & & \\ & 1 & \\ & & 1 \end{pmatrix} - \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} = \begin{pmatrix} -2 & & \\ & 0 & \\ & & 0 \end{pmatrix}$$
,

$$R(A+E) = R(P_2^{-1}(A+E)P_2) = 2$$
,  $R(A-E) = R(P_2^{-1}(A-E)P_2) = 1$ ,

R(A+E)+R(A-E)=2+1=3, 故(B)正确.

(9)设 A 为 2 阶方阵, $\alpha_1,\alpha_2$  是二维线性无关列向量, $A\alpha_1=0$ , $A\alpha_2=2\alpha_1+\alpha_2$ ,则 A 的非零特征值为 ( B )

解:  $A\alpha_1 = 0 = 0 \cdot \alpha_1$ , 故 0 是 A 的特征值, $A\alpha_2 = 2\alpha_1 + \alpha_2 \neq 0$  (否则  $\alpha_1, \alpha_2$  线性相关,矛盾), $A(A \alpha_2)A$  ( $\alpha_1 + \alpha_2 \Rightarrow A\alpha_1 + A\alpha_2 = + OA\alpha_2 \Rightarrow A\alpha_1 + A\alpha_2 \Rightarrow A\alpha_1 + A\alpha_2 \Rightarrow A\alpha_1 + A\alpha_2 \Rightarrow A\alpha_1 \Rightarrow A\alpha_1 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_1 \Rightarrow A\alpha_2 \Rightarrow A\alpha_1 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_1 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_2 \Rightarrow A\alpha_1 \Rightarrow A\alpha_2 \Rightarrow$ 

(10) 如果 3 阶实对称阵 A 满足  $A^k = 0 (k \in N)$  ,则 R(A) 为 ( C )

解:设  $\lambda$  是 A 的特征值,则  $\lambda^k$  是  $A^k$  的特征值, $k \in N$ ,则  $\lambda^k = 0 \Rightarrow \lambda = 0$ ,即 A 只有特征值 0,A 是实对称矩阵,则存在正交阵 P 使  $P^{-1}AP = \Lambda = O \Rightarrow A = O \Rightarrow R(A) = 0$ ,故 (B) 正确.

3. 求下列矩阵的特征值及相对应的特征向量:

$$\begin{pmatrix}
-1 & 1 & 0 \\
-4 & 3 & 0 \\
1 & 0 & 2
\end{pmatrix}; (2) \begin{pmatrix}
-2 & 1 & 1 \\
0 & 2 & 0 \\
-4 & 1 & 3
\end{pmatrix}; (3) \begin{pmatrix}
5 & -6 & -6 \\
-1 & 4 & 2 \\
3 & -6 & -4
\end{pmatrix}; (4) \begin{pmatrix}
2 & 1 & 1 \\
2 & 3 & 2 \\
3 & 3 & 4
\end{pmatrix}.$$

解: (1) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} -1 - \lambda & 1 & 0 \\ -4 & 3 - \lambda & 0 \\ 1 & 0 & 2 - \lambda \end{vmatrix} = (2 - \lambda) \begin{vmatrix} -1 - \lambda & 1 \\ -4 & 3 - \lambda \end{vmatrix} = (2 - \lambda)(\lambda - 1)^{2}$$

故 A 的特征值为:  $\lambda_1 = 2$ ;  $\lambda_2 = \lambda_3 = 1$ 

$$\stackrel{\cong}{\rightrightarrows} \lambda_1 = 2 \; \text{Iff} \; , \quad A - 2E = \begin{pmatrix} -3 & 1 & 0 \\ -4 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \xrightarrow[r_2 + 4r_3]{r_1 + 3r_3} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \xrightarrow[r_1 - r_2]{r_1 - r_2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \xrightarrow[r_1 + r_3]{r_1 + 3r_3} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

得基础解系为 
$$\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$
 ,故对应于  $\lambda_1 = 2$  的特征向量为  $k_1 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$   $(k_1 \neq 0)$  ;

$$\stackrel{\cong}{\rightrightarrows} \lambda_2 = \lambda_3 = 1 \text{ fr}, \quad A - E = \begin{pmatrix} -2 & 1 & 0 \\ -4 & 2 & 0 \\ 1 & 0 & 1 \end{pmatrix}_{r_1 + 2r_3}^{r_2 - 2r_1} \begin{pmatrix} 0 & 1 & 2 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{pmatrix}_{r_2 \leftrightarrow r_3}^{r_1 \leftrightarrow r_3} \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 0 \end{pmatrix}$$

得基础解系为 
$$\begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix}$$
,故对应于  $\lambda_2 = \lambda_3 = 1$ 的特征向量为  $k_2 \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix}$   $(k_2 \neq 0)$ .

(2) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} -2 - \lambda & 1 & 1 \\ 0 & 2 - \lambda & 0 \\ -4 & 1 & 3 - \lambda \end{vmatrix} = (2 - \lambda) \begin{vmatrix} -2 - \lambda & 1 \\ -4 & 3 - \lambda \end{vmatrix} = -(2 - \lambda)^2 (\lambda + 1)$$

故 A 的特征值为:  $\lambda_1 = -1$ ;  $\lambda_2 = \lambda_3 = 2$ 

$$\stackrel{\text{\tiny $\pm$}}{=} \lambda_1 = -1 \, \text{\tiny $\pm$}, \quad A + E = \begin{pmatrix} -1 & 1 & 1 \\ 0 & 3 & 0 \\ -4 & 1 & 4 \end{pmatrix}^{r_3 - 4r_1} \begin{pmatrix} -1 & 1 & 1 \\ 0 & 3 & 0 \\ 0 & -3 & 0 \end{pmatrix}^{r_3 + r_2} \begin{pmatrix} -1 & 1 & 1 \\ 0 & 3 & 0 \\ 0 & 0 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

得基础解系为 
$$\begin{pmatrix} 1\\0\\1 \end{pmatrix}$$
 ,故对应于  $\lambda_1=-1$  的特征向量为  $k_1\begin{pmatrix} 1\\0\\1 \end{pmatrix}$   $(k_1\neq 0)$  ;

$$\stackrel{\cong}{=} \lambda_2 = \lambda_3 = 2 \, \text{Fi}, \quad A - 2E = \begin{pmatrix} -4 & 1 & 1 \\ 0 & 0 & 0 \\ -4 & 1 & 1 \end{pmatrix}^{r_3 - r_1} \begin{pmatrix} -4 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}^{-\frac{1}{4}r_1} \begin{pmatrix} 1 & -\frac{1}{4} & -\frac{1}{4} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

得基础解系为 
$$\begin{pmatrix} 1\\4\\0 \end{pmatrix}$$
 ,  $\begin{pmatrix} 0\\-1\\1 \end{pmatrix}$  , 故对应于  $\lambda_2=\lambda_3=2$  的特征向量为  $k_2\begin{pmatrix} 1\\4\\0 \end{pmatrix}+k_3\begin{pmatrix} 0\\-1\\1 \end{pmatrix}$  (  $k_2,k_3$  不

全为0).

(3) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 5 - \lambda & -6 & -6 \\ -1 & 4 - \lambda & 2 \\ 3 & -6 & -4 - \lambda \end{vmatrix} \stackrel{c_2 - c_3}{==} \begin{vmatrix} 5 - \lambda & 0 & -6 \\ -1 & 2 - \lambda & 2 \\ 3 & \lambda - 2 & -4 - \lambda \end{vmatrix} = (2 - \lambda) \begin{vmatrix} 5 - \lambda & 0 & -6 \\ -1 & 1 & 2 \\ 3 & -1 & -4 - \lambda \end{vmatrix}$$
$$= \frac{c_1 + c_2}{c_3 - 2c_2} (2 - \lambda) \begin{vmatrix} 5 - \lambda & 0 & -6 \\ 0 & 1 & 0 \\ 2 & -1 & -2 - \lambda \end{vmatrix} = -(2 - \lambda)^2 (\lambda - 1)$$

故 A 的特征值为:  $\lambda_1 = 1$ ;  $\lambda_2 = \lambda_3 = 2$ 

$$\begin{tabular}{l} \begin{tabular}{l} \begin{tab$$

得基础解系为 
$$\begin{pmatrix} 3 \\ -1 \\ 3 \end{pmatrix}$$
 ,故对应于  $\lambda_1 = 1$  的特征向量为  $k_1 \begin{pmatrix} 3 \\ -1 \\ 3 \end{pmatrix}$   $(k_1 \neq 0)$  ;

$$\stackrel{\cong}{\rightrightarrows} \lambda_2 = \lambda_3 = 2 \, \text{FF} \,, \quad A - 2E = \begin{pmatrix} 3 & -6 & -6 \\ -1 & 2 & 2 \\ 3 & -6 & -6 \end{pmatrix}^{r_3 - r_1} \begin{pmatrix} 3 & -6 & -6 \\ -1 & 2 & 2 \\ 0 & 0 & 0 \end{pmatrix}^{r_2 + \frac{1}{3}r_1} \begin{pmatrix} 1 & -2 & -2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

得基础解系为 
$$\begin{pmatrix} 2\\1\\0 \end{pmatrix}$$
,  $\begin{pmatrix} 2\\0\\1 \end{pmatrix}$ , 故对应于  $\lambda_2=\lambda_3=2$  的特征向量为  $k_2\begin{pmatrix} 2\\1\\0 \end{pmatrix}+k_3\begin{pmatrix} 2\\0\\1 \end{pmatrix}$ (  $k_2,k_3$ 不全

为0).

(4) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 2 - \lambda & 1 & 1 \\ 2 & 3 - \lambda & 2 \\ 3 & 3 & 4 - \lambda \end{vmatrix} = \begin{vmatrix} 2 - \lambda & 0 & 1 \\ 2 & 1 - \lambda & 2 \\ 3 & \lambda - 1 & 4 - \lambda \end{vmatrix} = (1 - \lambda) \begin{vmatrix} 2 - \lambda & 0 & 1 \\ 2 & 1 & 2 \\ 3 & -1 & 4 - \lambda \end{vmatrix}$$
$$= \frac{c_1 - 2c_2}{c_3 - 2c_2} (1 - \lambda) \begin{vmatrix} 2 - \lambda & 0 & 1 \\ 0 & 1 & 0 \\ 5 & -1 & 6 - \lambda \end{vmatrix} = (1 - \lambda)^2 (7 - \lambda)$$

故 A 的特征值为:  $\lambda_1 = 7$ ;  $\lambda_2 = \lambda_3 = 1$ 

当
$$\lambda_1 = 7$$
时, $A - 7E = \begin{pmatrix} -5 & 1 & 1 \\ 2 & -4 & 2 \\ 3 & 3 & -3 \end{pmatrix}$  $r_3 + r_1 + r_2 \begin{pmatrix} -5 & 1 & 1 \\ 2 & -4 & 2 \\ 0 & 0 & 0 \end{pmatrix}$   $\begin{bmatrix} 1 & -2 & 1 \\ 0 & -3 & 2 \\ 0 & 0 & 0 \end{bmatrix}$ 

得基础解系为 
$$\begin{pmatrix} 1\\2\\3 \end{pmatrix}$$
 ,故对应于  $\lambda_1=7$  的特征向量为  $k_1\begin{pmatrix} 1\\2\\3 \end{pmatrix}$   $(k_1\neq 0)$  ;

当 
$$\lambda_2 = \lambda_3 = 1$$
时,  $A - E = \begin{pmatrix} 1 & 1 & 1 \\ 2 & 2 & 2 \\ 3 & 3 & 3 \end{pmatrix}_{r_3 - 3r_1}^{r_2 - 2r_1} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ 

得基础解系为
$$\begin{pmatrix} -1\\1\\0\end{pmatrix}$$
, $\begin{pmatrix} -1\\0\\1\end{pmatrix}$ ,故对应于  $\lambda_2=\lambda_3=2$  的特征向量为  $k_2\begin{pmatrix} -1\\1\\0\end{pmatrix}+k_3\begin{pmatrix} -1\\0\\1\end{pmatrix}$ ( $k_2,k_3$ 

不全为0).

4. 证明:如果方阵 A 满足  $A^2 = A$ ,则 A 的特征值等于 0 或 1.

证明: 方法一:  $A^2 = A \Rightarrow A^2 - A = 0 \Rightarrow A(A - E) = 0$ , 两边取行列式得:

$$|A(A-E)|=|A||A-E|=0$$
 ⇒  $|A-0E|=0$  或  $|A-E|=0$ , 即  $\lambda=0$  或  $\lambda=1$  是  $A$  的特征值;

方法二: 设 
$$Ax = \lambda x$$
, 则  $A^2 = A \Rightarrow A^2 x = Ax \Rightarrow \lambda^2 x = \lambda x \Rightarrow (\lambda^2 - \lambda) x = 0$ ,  $\therefore x \neq 0$ ,

$$\therefore \lambda^2 - \lambda = \lambda (\lambda - 1) = 0 , \quad \therefore \lambda = 0, \lambda = 1 .$$

5. 证明: 如果 $\lambda$ 是可逆阵A的特征值,则 $\frac{|A|}{\lambda}$ 为 $A^*$ 的特征值.

证明:  $A^* = |A| \cdot A^{-1}$ ,由已知 $\lambda$ 是可逆矩阵A的特征值,则 $\lambda \neq 0$ , $Ax = \lambda x$ , $x \neq 0$ ,两

边左乘
$$|A|\cdot A^{-1}$$
得:  $|A|\cdot A^{-1}\cdot Ax=\lambda\cdot A^*x$ ,  $\therefore \frac{|A|}{\lambda}x=A^*x$ ,  $\therefore \frac{|A|}{\lambda}$  是  $A^*$  的特征值.

6. 在第3题的4个矩阵中,哪些可以相似对角化?哪些则不能相似对角化?解: A能否相似对角化关键是A的二重根是否对应两个线性无关的特征向量,由第3题解答知:除第一个矩阵外,其余三个矩阵均能相似对角化.

7. 证明: 矩阵 
$$A = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$
与二阶单位矩阵  $E = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ 有相同的特征值,但不相似.

证明: 
$$A$$
 的特征多项式为:  $|A-\lambda E| = \begin{vmatrix} 1-\lambda & 1\\ 0 & 1-\lambda \end{vmatrix} = (1-\lambda)^2 = 0$ 

故 A = E 有相同特征值,均为  $\lambda = 1$  (2 重), E 是对角阵, A 要相似于  $E \Leftrightarrow A$  有两个线性无关的特征向量.

方法一: 
$$A-E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$
,得基础解系 $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ ,故对应于 $\lambda = 1$ 的特征向量为 $k_1 \begin{pmatrix} 0 \\ 1 \end{pmatrix} (k_1 \neq 0)$ ,

即 A 只有一个线性无关的特征向量,故 A 不能相似对角化.

方法二:  $\dim S_{A-E} = 2 - R(A-E) = 2 - 1 = 1$ ,故对应于 $\lambda = 1$ 的特征向量只有一维,故A不能相似于对角阵.

8. 设A, B 都是n阶方阵, 且 $|A| \neq 0$ , 证明AB与BA相似.

证明:  $:: |A| \neq 0$ ,  $:: A^{-1}$  存在, 又  $BA = A^{-1}(AB)A$ ,  $:: BA \ni AB$  相似.

9. 设方阵 
$$A = \begin{pmatrix} 1 & -2 & -4 \\ -2 & x & -2 \\ -4 & -2 & 1 \end{pmatrix}$$
与对角阵  $\Lambda = \begin{pmatrix} 5 & 0 & 0 \\ 0 & y & 0 \\ 0 & 0 & -4 \end{pmatrix}$ 相似,求 $x$ ,  $y$ .

解:方法一: A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 1 - \lambda & -2 & -4 \\ -2 & x - \lambda & -2 \\ -4 & -2 & 1 - \lambda \end{vmatrix} \xrightarrow{r_1 - r_3} \begin{vmatrix} 5 - \lambda & 0 & \lambda - 5 \\ -2 & x - \lambda & -2 \\ -4 & -2 & 1 - \lambda \end{vmatrix} = (5 - \lambda) \begin{vmatrix} 1 & 0 & -1 \\ -2 & x - \lambda & -2 \\ -4 & -2 & 1 - \lambda \end{vmatrix}$$

$$\frac{c_{3}+c_{1}}{=}(5-\lambda)\begin{vmatrix} 1 & 0 & 0 \\ -2 & x-\lambda & -4 \\ -4 & -2 & -3-\lambda \end{vmatrix} = (5-\lambda)\left[\lambda^{2}+(3-x)\lambda-3x-8\right]$$
(1)

由题知:  $\lambda_1 = 5$ ,  $\lambda_2 = -4$ ,  $\lambda_3 = y$ ; 代入(1)式得:

$$\begin{cases} 9[16-4(3-x)-3x-8] = 0\\ (5-y)[y^2+(3-x)y-3x-8] = 0 \end{cases} \Rightarrow \begin{cases} x = 4\\ y = 5 \end{cases}.$$

方法二:  $:: A \to \Lambda$  相似,  $:: A \to \Lambda$  特征值相同,  $:: \Lambda$  的特征值为 5, y, -4,

$$|5E - A| = \begin{vmatrix} 4 & 2 & 4 \\ 2 & 5 - x & 2 \\ 4 & 2 & 4 \end{vmatrix} = 0 ,$$

$$\begin{vmatrix} -4E - A \end{vmatrix} = \begin{vmatrix} -5 & 2 & 4 \\ 2 & -4 - x & 2 \end{vmatrix} \xrightarrow{\frac{r_3 + r_1}{2}} \begin{vmatrix} -5 & 2 & 4 \\ 0 & 4 - x & 0 \\ -1 & 4 & -1 \end{vmatrix} = 9(4 - x) = 0$$

$$\therefore x = 4; \quad \forall tr(A) = 1 + 4 + 1 = 5 + y - 4, \quad \therefore y = 5.$$

解: A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} -\frac{1}{3} - \lambda & 0 & \frac{2}{3} \\ 0 & \frac{1}{3} - \lambda & \frac{2}{3} \\ \frac{2}{3} & \frac{2}{3} & -\lambda \end{vmatrix} = -\lambda^3 + \lambda = 0$$

故 A 的特征值为:  $\lambda_1=0$  ,  $\lambda_2=1$  ,  $\lambda_3=-1$  , 全不相等,故可相似对角化;

当 
$$\lambda_1 = 0$$
 时,  $A = \begin{pmatrix} -\frac{1}{3} & 0 & \frac{2}{3} \\ 0 & \frac{1}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{2}{3} & 0 \end{pmatrix}$   $r_3 + 2r_1 \begin{pmatrix} -\frac{1}{3} & 0 & \frac{2}{3} \\ 0 & \frac{1}{3} & \frac{2}{3} \\ 0 & \frac{2}{3} & \frac{4}{3} \end{pmatrix}$   $D \begin{pmatrix} 1 & 0 & -2 \\ 0 & 1 & 2 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于  $\lambda_1 = 0$  的特征向量为  $\begin{pmatrix} 2 \\ -2 \\ 1 \end{pmatrix}$ ;

$$\stackrel{\cong}{=} \lambda_2 = 1 \text{ ft}, \quad A - E = \begin{pmatrix} -\frac{4}{3} & 0 & \frac{2}{3} \\ 0 & -\frac{2}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{2}{3} & -1 \end{pmatrix}^{r_1 + 2r_3} \begin{pmatrix} 0 & \frac{4}{3} & -\frac{4}{3} \\ 0 & -\frac{2}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{2}{3} & -1 \end{pmatrix} \Box \begin{pmatrix} 2 & 0 & -1 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{pmatrix}$$

故对应于  $\lambda_2 = 1$  的特征向量为  $\begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}$ ;

当 
$$\lambda_3 = -1$$
 时,  $A + E = \begin{pmatrix} \frac{2}{3} & 0 & \frac{2}{3} \\ 0 & \frac{4}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{2}{3} & 1 \end{pmatrix}$   $r_3 - r_1 \begin{pmatrix} \frac{2}{3} & 0 & \frac{2}{3} \\ 0 & \frac{4}{3} & \frac{2}{3} \\ 0 & \frac{2}{3} & \frac{1}{3} \end{pmatrix}$   $\square \begin{pmatrix} 1 & 0 & 1 \\ 0 & 2 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于  $\lambda_3 = -1$  的特征向量为  $\begin{pmatrix} -2 \\ -1 \\ 2 \end{pmatrix}$ ;

$$\therefore A = P\Lambda P^{-1} = \begin{pmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{pmatrix}^{-1}, \not \parallel + P^{-1} = \begin{pmatrix} \frac{2}{9} & -\frac{2}{9} & \frac{1}{9} \\ \frac{1}{9} & \frac{2}{9} & \frac{2}{9} \\ -\frac{2}{9} & -\frac{1}{9} & \frac{2}{9} \end{pmatrix},$$

$$A^{101} = P\Lambda P^{-1} \cdot P\Lambda P^{-1} \cdots P\Lambda P^{-1} = P\Lambda^{101} P^{-1} = \begin{pmatrix} 2 & 1 & -2 \\ -2 & 2 & -1 \\ 1 & 2 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} \frac{2}{9} & -\frac{2}{9} & \frac{1}{9} \\ \frac{1}{9} & \frac{2}{9} & \frac{2}{9} \\ -\frac{2}{9} & -\frac{1}{9} & \frac{2}{9} \end{pmatrix}$$

$$=\frac{1}{9} \begin{pmatrix} 0 & 1 & 2 \\ 0 & 2 & 1 \\ 0 & 2 & -2 \end{pmatrix} \begin{pmatrix} 2 & -2 & 1 \\ 1 & 2 & 2 \\ -2 & -1 & 2 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} -1 & 0 & 2 \\ 0 & 1 & 2 \\ 2 & 2 & 0 \end{pmatrix}.$$

11. 设矩阵 
$$A = \begin{pmatrix} a & -1 & c \\ 5 & b & 3 \\ 1-c & 0 & -a \end{pmatrix}$$
, 其行列式  $|A| = -1$ , 又  $A$  的伴随矩阵  $A^*$  有一个特征值  $\lambda$  ,

属于 $\lambda$ 的特征向量为 $\alpha = \begin{pmatrix} -1 & -1 & 1 \end{pmatrix}^T$ ,求a,b,c和 $\lambda$ 的值.

解: 
$$A^* = |A| \cdot A^{-1}$$
,  $|A^*| = |A|^{n-1} = (-1)^{n-1} \neq 0$ , 故 $A^*$ 可逆,则 $\lambda \neq 0$ ,  $A^*\alpha = \lambda \alpha \Rightarrow$ 

$$AA^*\alpha = \lambda A\alpha \Rightarrow |A|E\alpha = \lambda A\alpha \Rightarrow -\alpha = \lambda A\alpha \Rightarrow A\alpha = -\frac{1}{\lambda}\alpha$$
, 则

$$\begin{pmatrix} a & -1 & c \\ 5 & b & 3 \\ 1-c & 0 & -a \end{pmatrix} \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{\lambda} \\ \frac{1}{\lambda} \\ -\frac{1}{\lambda} \end{pmatrix} \Rightarrow \begin{cases} -a+c=\frac{1}{\lambda}-1 \\ -b=\frac{1}{\lambda}+2 \Rightarrow \begin{cases} a=c \\ b=-3 \\ c=c \\ \lambda=1 \end{cases}$$

$$|A| = \begin{vmatrix} a & -1 & c \\ 5 & b & 3 \\ 1 - c & 0 & -a \end{vmatrix} = \begin{vmatrix} c & -1 & c \\ 5 & -3 & 3 \\ 1 - c & 0 & -c \end{vmatrix} = \begin{vmatrix} c & -1 & c \\ 5 & -3 & 3 \\ 1 - c & 0 & -c \end{vmatrix} = \begin{vmatrix} -3c + 5 & -3c + 3 \\ 1 - c & 0 & -c \end{vmatrix}$$

$$=c-3=-1 \Rightarrow c=2$$

- $\therefore \lambda = 1, a = 2, b = -3, c = 2.$
- 12. 试用一个正交相似变换矩阵,将下列实对称矩阵化为对角阵.

$$(1) \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \\ 3 & 3 & 6 \end{pmatrix}; \qquad (2) \begin{pmatrix} 1 & 3 & 0 \\ 3 & -2 & -1 \\ 0 & -1 & 1 \end{pmatrix}; \qquad (3) \begin{pmatrix} 1 & 2 & 2 \\ 2 & 1 & 2 \\ 2 & 2 & 1 \end{pmatrix};$$

$$(4) \begin{pmatrix} 2 & 2 & -2 \\ 2 & 5 & -4 \\ -2 & -4 & 5 \end{pmatrix}; \quad (5) \begin{pmatrix} 2 & -2 & 0 \\ -2 & 1 & -2 \\ 0 & -2 & 0 \end{pmatrix}.$$

解: (1) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 1 - \lambda & 2 & 3 \\ 2 & 1 - \lambda & 3 \\ 3 & 3 & 6 - \lambda \end{vmatrix} = \lambda (-1 - \lambda)(9 - \lambda) = 0$$

故 A 的特征值为:  $\lambda_1 = -1$ ,  $\lambda_2 = 0$ ,  $\lambda_3 = 9$ ;

当 
$$\lambda_1 = -1$$
 时,  $A + E = \begin{pmatrix} 2 & 2 & 3 \\ 2 & 2 & 3 \\ 3 & 3 & 7 \end{pmatrix}$   $r_2 - r_1 \begin{pmatrix} 2 & 2 & 3 \\ 0 & 0 & 0 \\ 3 & 3 & 7 \end{pmatrix}$   $\square \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_1 = -1$$
 的特征向量为  $\xi_1 = \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ ,标准化为  $\eta_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ ;

$$\stackrel{\cong}{=} \lambda_2 = 0 \; \text{F}, \quad A = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \\ 3 & 3 & 6 \end{pmatrix}_{r_2 - 2r_1} \begin{pmatrix} 1 & 2 & 3 \\ 0 & -3 & -3 \\ 0 & -3 & -3 \end{pmatrix} \square \begin{pmatrix} 1 & 2 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

故对应于 
$$\lambda_2=0$$
 的特征向量为  $\xi_2=\begin{pmatrix} -1\\-1\\1 \end{pmatrix}$ ,标准化为  $\eta_2=\frac{1}{\sqrt{3}}\begin{pmatrix} -1\\-1\\1 \end{pmatrix}$ ;

当
$$\lambda_3 = 9$$
时, $A - 9E = \begin{pmatrix} -8 & 2 & 3 \\ 2 & -8 & 3 \\ 3 & 3 & -3 \end{pmatrix}$  
$$\begin{bmatrix} 1 & -4 & \frac{3}{2} \\ -8 & 2 & 3 \\ 1 & 1 & -1 \end{bmatrix}$$
 
$$\begin{bmatrix} 1 & -4 & \frac{3}{2} \\ 0 & -30 & 15 \\ 0 & 5 & -\frac{5}{2} \end{bmatrix}$$

$$\begin{bmatrix}
1 & -4 & \frac{3}{2} \\
0 & -2 & 1 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
1 & 0 & -\frac{1}{2} \\
0 & 1 & -\frac{1}{2} \\
0 & 0 & 0
\end{bmatrix}$$

故对应于  $\lambda_3=9$  的特征向量为  $\xi_3=\dfrac{1}{2}\begin{pmatrix}1\\1\\2\end{pmatrix}$ ,标准化为  $\eta_3=\dfrac{1}{\sqrt{6}}\begin{pmatrix}1\\1\\2\end{pmatrix}$ ;

故正交阵 
$$P = \begin{pmatrix} -\frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} \\ 0 & \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} \end{pmatrix}$$
.

(2) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 1 - \lambda & 3 & 0 \\ 3 & -2 - \lambda & -1 \\ 0 & -1 & 1 - \lambda \end{vmatrix} = (1 - \lambda)(-4 - \lambda)(3 - \lambda) = 0$$

故 A 的特征值为:  $\lambda_1 = -4$  ,  $\lambda_2 = 1$  ,  $\lambda_3 = 3$  ;

故对应于 
$$\lambda_1=-4$$
 的特征向量为  $\xi_1=\begin{pmatrix} -3\\5\\1 \end{pmatrix}$ ,标准化为  $\eta_1=\frac{1}{\sqrt{35}}\begin{pmatrix} -3\\5\\1 \end{pmatrix}$ ;

$$\stackrel{\cong}{=} \lambda_2 = 1 \text{ fr}, \quad A - E = \begin{pmatrix} 0 & 3 & 0 \\ 3 & -3 & -1 \\ 0 & -1 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & -1 & -\frac{1}{3} \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \square \begin{pmatrix} 1 & 0 & -\frac{1}{3} \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

故对应于  $\lambda_2=1$  的特征向量为  $\xi_2=\frac{1}{3}\begin{pmatrix}1\\0\\3\end{pmatrix}$ ,标准化为  $\eta_2=\frac{1}{\sqrt{10}}\begin{pmatrix}1\\0\\3\end{pmatrix}$ ;

$$\begin{bmatrix}
1 & -4 & \frac{3}{2} \\
0 & -2 & 1 \\
0 & 0 & 0
\end{bmatrix}
\begin{bmatrix}
1 & 0 & -\frac{1}{2} \\
0 & 1 & -\frac{1}{2} \\
0 & 0 & 0
\end{bmatrix}$$

故对应于  $\lambda_3=3$  的特征向量为  $\xi_3=\begin{pmatrix} -3\\-2\\1 \end{pmatrix}$ ,标准化为  $\eta_3=\frac{1}{\sqrt{14}}\begin{pmatrix} -3\\-2\\1 \end{pmatrix}$ ;

故正交阵 
$$P = \begin{pmatrix} \frac{-3}{\sqrt{35}} & \frac{1}{\sqrt{10}} & \frac{-3}{\sqrt{14}} \\ \frac{5}{\sqrt{35}} & 0 & \frac{-2}{\sqrt{14}} \\ \frac{1}{\sqrt{35}} & \frac{3}{\sqrt{10}} & \frac{1}{\sqrt{14}} \end{pmatrix}$$
.

(3) A的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 1 - \lambda & 2 & 2 \\ 2 & 1 - \lambda & 2 \\ 2 & 2 & 1 - \lambda \end{vmatrix} = (-1 - \lambda)^2 (5 - \lambda) = 0$$

故 A 的特征值为:  $\lambda_1 = \lambda_2 = -1$ ,  $\lambda_3 = 5$ ;

当 
$$\lambda_1 = \lambda_2 = -1$$
 时,  $A + E = \begin{pmatrix} 2 & 2 & 2 \\ 2 & 2 & 2 \\ 2 & 2 & 2 \end{pmatrix}$   $\Box \begin{pmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_1 = \lambda_2 = -1$$
 的特征向量为  $\xi_1 = \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ ,  $\xi_2 = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$ , 正交化为  $\eta_1 = \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ ,

$$\eta_2 = \xi_2 - \frac{\left(\xi_2, \eta_1\right)}{\left(\eta_1, \eta_1\right)} \eta_1 = \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} = -\frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix},$$

标准化可得 
$$p_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$$
,  $p_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}$ ;

当 
$$\lambda_3 = 5$$
 时, $A - 5E = \begin{pmatrix} -4 & 2 & 2 \\ 2 & -4 & 2 \\ 2 & 2 & -4 \end{pmatrix}$   $\begin{bmatrix} 1 & 1 & -2 \\ 1 & -2 & 1 \\ -2 & 1 & 1 \end{bmatrix}$   $\begin{bmatrix} 1 & 1 & -2 \\ 0 & -3 & 3 \\ 0 & 3 & -3 \end{bmatrix}$   $\begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$ 

故对应于 
$$\lambda_3=5$$
 的特征向量为  $\xi_3=\begin{pmatrix}1\\1\\1\end{pmatrix}$ ,标准化为  $p_3=\frac{1}{\sqrt{3}}\begin{pmatrix}1\\1\\1\end{pmatrix}$ ;

故正交阵 
$$P = \begin{pmatrix} \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ 0 & \frac{-2}{\sqrt{6}} & \frac{1}{\sqrt{3}} \end{pmatrix}$$
.

#### (4) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 2 - \lambda & 2 & -2 \\ 2 & 5 - \lambda & -4 \\ -2 & -4 & 5 - \lambda \end{vmatrix} = -(\lambda - 1)^{2} (\lambda - 10) = 0$$

故 A 的特征值为:  $\lambda_1 = \lambda_2 = 1$ ,  $\lambda_3 = 10$ ;

当 
$$\lambda_1 = \lambda_2 = 1$$
 时,  $A - E = \begin{pmatrix} 1 & 2 & -2 \\ 2 & 4 & -4 \\ -2 & -4 & 4 \end{pmatrix}$   $\Box \begin{pmatrix} 1 & 2 & -2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_1 = \lambda_2 = 1$$
 的特征向量为  $\xi_1 = \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}$ ,  $\xi_2 = \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}$ , 正交化为  $\eta_1 = \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}$ ,

$$\eta_2 = \xi_2 - \frac{(\xi_2, \eta_1)}{(\eta_1, \eta_1)} \eta_1 = \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix} - \frac{-4}{5} \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{5} \begin{pmatrix} 2 \\ 4 \\ 5 \end{pmatrix},$$

标准化可得 
$$p_1 = \frac{1}{\sqrt{5}} \begin{pmatrix} -2\\1\\0 \end{pmatrix}$$
,  $p_2 = \frac{1}{3\sqrt{5}} \begin{pmatrix} 2\\4\\5 \end{pmatrix}$ ;

当 
$$\lambda_3 = 10$$
 时,  $A - 10E = \begin{pmatrix} -8 & 2 & -2 \\ 2 & -5 & -4 \\ -2 & -4 & -5 \end{pmatrix}$   $\square$   $\begin{pmatrix} 0 & -9 & -9 \\ 2 & -5 & -4 \\ 0 & -9 & -9 \end{pmatrix}$   $\square$   $\begin{pmatrix} 2 & -5 & -4 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$   $\square$   $\begin{pmatrix} 2 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_3=10$$
 的特征向量为  $\xi_3=\begin{pmatrix} -1\\-2\\2\end{pmatrix}$ ,标准化为  $p_3=\frac{1}{3}\begin{pmatrix} -1\\-2\\2\end{pmatrix}$ ;

故正交阵 
$$P = \begin{pmatrix} -\frac{2}{\sqrt{5}} & \frac{2}{3\sqrt{5}} & -\frac{1}{3} \\ \frac{1}{\sqrt{5}} & \frac{4}{3\sqrt{5}} & -\frac{2}{3} \\ 0 & \frac{\sqrt{5}}{3} & \frac{2}{3} \end{pmatrix}$$
.

#### (5) A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 2 - \lambda & -2 & 0 \\ -2 & 1 - \lambda & -2 \\ 0 & -2 & -\lambda \end{vmatrix} = (\lambda + 2)(1 - \lambda)(\lambda - 4) = 0$$

故 A 的特征值为:  $\lambda_1 = -2$  ,  $\lambda_2 = 1$  ,  $\lambda_3 = 4$  ;

当 
$$\lambda_1 = -2$$
 时,  $A + 2E = \begin{pmatrix} 4 & -2 & 0 \\ -2 & 3 & -2 \\ 0 & -2 & 2 \end{pmatrix}$   $\begin{bmatrix} 0 & 4 & -4 \\ -2 & 3 & -2 \\ 0 & -2 & 2 \end{bmatrix}$   $\begin{bmatrix} 2 & -3 & 2 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$ 

故对应于 
$$\lambda_1 = -2$$
 的特征向量为  $\xi_1 = \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}$ ,标准化为  $\eta_1 = \frac{1}{3} \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}$ ;

当 
$$\lambda_2 = 1$$
 时,  $A - E = \begin{pmatrix} 1 & -2 & 0 \\ -2 & 0 & -2 \\ 0 & -2 & -1 \end{pmatrix}$   $\square \begin{pmatrix} 1 & -2 & 0 \\ 0 & -4 & -2 \\ 0 & -2 & -1 \end{pmatrix}$   $\square \begin{pmatrix} 1 & -2 & 0 \\ 0 & 2 & 1 \\ 0 & 0 & 0 \end{pmatrix}$   $\square \begin{pmatrix} 1 & 0 & 1 \\ 0 & 2 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_2=1$$
 的特征向量为  $\xi_2=\begin{pmatrix}2\\1\\-2\end{pmatrix}$ ,标准化为  $\eta_2=\frac{1}{3}\begin{pmatrix}2\\1\\-2\end{pmatrix}$ ;

当 
$$\lambda_3 = 4$$
 时,  $A - 4E = \begin{pmatrix} -2 & -2 & 0 \\ -2 & -3 & -2 \\ 0 & -2 & -4 \end{pmatrix}$   $\square$   $\begin{pmatrix} 1 & 1 & 0 \\ 0 & -1 & -2 \\ 0 & -2 & -4 \end{pmatrix}$   $\square$   $\begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 2 \\ 0 & 0 & 0 \end{pmatrix}$   $\square$   $\begin{pmatrix} 1 & 0 & -2 \\ 0 & 1 & 2 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_3 = 4$$
 的特征向量为  $\xi_3 = \begin{pmatrix} 2 \\ -2 \\ 1 \end{pmatrix}$ ,标准化为  $\eta_3 = \frac{1}{3} \begin{pmatrix} 2 \\ -2 \\ 1 \end{pmatrix}$ ;

故正交阵 
$$P = \begin{pmatrix} \frac{1}{3} & \frac{2}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{1}{3} & \frac{-2}{3} \\ \frac{2}{3} & \frac{-2}{3} & \frac{1}{3} \end{pmatrix}$$
.

13. 设 3 阶方阵 A 的特征值为  $\lambda_1 = -1, \lambda_2 = 0, \lambda_3 = 1$ ,对应的特征向量依次为

$$p_1 = \begin{pmatrix} 2 \\ 1 \\ -2 \end{pmatrix}, p_2 = \begin{pmatrix} 2 \\ -2 \\ 1 \end{pmatrix}, p_3 = \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix},$$

求A.

解: 记 $P = (p_1 \quad p_2 \quad p_3)$ ,  $\Lambda = diag(\lambda_1, \lambda_2, \lambda_3)$ , 则 $P^{-1}AP = \Lambda$ , 所以

$$A = P\Lambda P^{-1} = \begin{pmatrix} 2 & 2 & 1 \\ 1 & -2 & 2 \\ -2 & 1 & 2 \end{pmatrix} \begin{pmatrix} -1 & & \\ & 0 & \\ & & 1 \end{pmatrix} \begin{pmatrix} 2 & 2 & 1 \\ 1 & -2 & 2 \\ -2 & 1 & 2 \end{pmatrix}^{-1} = \begin{pmatrix} -2 & 0 & 1 \\ -1 & 0 & 2 \\ 2 & 0 & 2 \end{pmatrix} \begin{pmatrix} 2 & 2 & 1 \\ 1 & -2 & 2 \\ -2 & 1 & 2 \end{pmatrix}^{-1}$$

$$\therefore A = \begin{pmatrix} -2 & 0 & 1 \\ -1 & 0 & 2 \\ 2 & 0 & 2 \end{pmatrix} \cdot \frac{1}{9} \begin{pmatrix} 2 & 1 & -2 \\ 2 & -2 & 1 \\ 1 & 2 & 2 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} -1 & 0 & 2 \\ 0 & 1 & 2 \\ 2 & 2 & 0 \end{pmatrix}.$$

14. 设 3 阶实对称阵 A 的特征值为 1, 1, -1, 且对应于特征值 1 的特征向量为

$$p_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, p_2 = \begin{pmatrix} 2 \\ 2 \\ 1 \end{pmatrix},$$

求A.

解: 方法一: 
$$P^{-1}AP = \Lambda$$
,  $p_3 = p_1 \times p_2 = \begin{vmatrix} i & j & k \\ 1 & 1 & 1 \\ 2 & 2 & 1 \end{vmatrix} = \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$ ,

$$P = \begin{pmatrix} p_1 & p_2 & p_3 \end{pmatrix} = \begin{pmatrix} 1 & 2 & -1 \\ 1 & 2 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \quad P^{-1} = \begin{pmatrix} -\frac{1}{2} & -\frac{1}{2} & 2 \\ \frac{1}{2} & \frac{1}{2} & -1 \\ -\frac{1}{2} & \frac{1}{2} & 0 \end{pmatrix},$$

$$A = P\Lambda P^{-1} = \begin{pmatrix} 1 & 2 & -1 \\ 1 & 2 & 1 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix} \begin{pmatrix} -\frac{1}{2} & -\frac{1}{2} & 2 \\ \frac{1}{2} & \frac{1}{2} & -1 \\ -\frac{1}{2} & \frac{1}{2} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

方法二:  $T^{-1}AT = \Lambda$ , T 为正交阵

$$\eta_{1} = p_{1} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \quad t_{1} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\eta_2 = p_2 - \frac{(p_2, \eta_1)}{(\eta_1, \eta_1)} \eta_1 = \begin{pmatrix} 2 \\ 2 \\ 1 \end{pmatrix} - \frac{5}{3} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}, \quad t_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}$$

$$\eta_3 = \eta_1 \times (3\eta_2) = \begin{vmatrix} i & j & k \\ 1 & 1 & 1 \\ 2 & 2 & 1 \end{vmatrix} = 3 \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}, \quad t_3 = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$$

$$T = \begin{pmatrix} t_1 & t_2 & t_3 \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} & \frac{-1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & \frac{-2}{\sqrt{6}} & 0 \end{pmatrix}$$

$$A = T\Lambda T^{-1} = T\Lambda T' = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

15. 设 3 阶实对称阵 A 的特征值为 6, 3, 3, 且对应于特征值 6 的特征向量为

$$p_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix},$$

求A.

解: 由定理知  $p_2, p_3$  与  $p_1$  正交,设  $p_2, p_3 \subset p = \begin{pmatrix} x & y & z \end{pmatrix}^T$ ,则 x + y + z = 0,

解得基础解系为
$$\begin{pmatrix} -1\\1\\0\end{pmatrix}$$
, $\begin{pmatrix} -1\\0\\1\end{pmatrix}$ ,所以可取  $p_2=\begin{pmatrix} -1\\1\\0\end{pmatrix}$ ,  $p_3=\begin{pmatrix} -1\\0\\1\end{pmatrix}$ ,

$$P = (p_1 \quad p_2 \quad p_3) = \begin{pmatrix} 1 & -1 & -1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}, \quad P^{-1} = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix},$$

$$A = P\Lambda P^{-1} = \begin{pmatrix} 4 & 1 & 1 \\ 1 & 4 & 1 \\ 1 & 1 & 4 \end{pmatrix}.$$

16. 已知 3 阶矩阵 A 与三维向量 x , 使得向量组 x, Ax,  $A^2x$  线性无关,且满足  $A^3x = 3Ax - 2A^2x$ 

(1) 记
$$P = (x, Ax, A^2x)$$
, 求3阶矩阵 $B$ , 使 $A = PBP^{-1}$ ;

(2) 计算行列式 |A+E|.

解: (1) x, Ax,  $A^2x$  线性无关,则  $P = (x, Ax, A^2x)$ 可逆, AP = PB

$$AP = A(x \quad Ax \quad A^2x) = (Ax \quad A^2x \quad A^3x) = (Ax \quad A^2x \quad 3Ax - 2A^2x)$$

$$= \begin{pmatrix} x & Ax & A^2x \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 3 \\ 0 & 1 & -2 \end{pmatrix} = PB , : B = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 3 \\ 0 & 1 & -2 \end{pmatrix};$$

(2)  $A = PBP^{-1}$ ,则A = B相似,B的特征多项式为

$$|B - \lambda E| = \begin{vmatrix} -\lambda & 0 & 0 \\ 1 & -\lambda & 3 \\ 0 & 1 & -2 - \lambda \end{vmatrix} = -\lambda (\lambda + 3)(\lambda - 1) = 0$$

故 A = B 有相同特征值为  $\lambda = 0, -3, 1$ ,则 A + E 的特征值为  $\lambda = 1, -2, 2$ ,

$$\therefore |A+E|=-4.$$

17. 设 3 阶实对称矩阵 A 的各行元素之和为 3,向量  $\alpha_1 = \begin{pmatrix} -1 & 2 & -1 \end{pmatrix}^T$  ,  $\alpha_2 = \begin{pmatrix} 0 & -1 & 1 \end{pmatrix}^T$  是线性方程组 Ax = 0 的解.

- (1) 求A的特征值与特征向量;
- (2) 求正交矩阵Q和对角矩阵 $\Lambda$ , 使得 $Q^TAQ = \Lambda$ .

解: (1) 
$$A$$
 的各行元素之和为  $3$ ,则  $A$   $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 3 \\ 3 \\ 3 \end{pmatrix} = 3 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ ,  $A\alpha_1 = 0 \cdot \alpha_1$ ,  $A\alpha_2 = 0 \cdot \alpha_2$ ,故  $A$ 

的全部特征值为 $\lambda_1 = 3, \lambda_2 = \lambda_3 = 0$ ,

对应于  $\lambda_1 = 3$  的全部特征向量为  $k_1 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$   $(k_1 \neq 0)$ ,

对应于  $\lambda_2 = \lambda_3 = 0$  的全部特征向量为  $k_2 \begin{pmatrix} -1 \\ 2 \\ -1 \end{pmatrix} + k_3 \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix}$   $(k_2, k_3$ 不全为0);

再单位化得: 
$$q_1 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$
,  $q_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} -1 \\ 2 \\ -1 \end{pmatrix}$ ,  $q_3 = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}$ ,

$$\therefore Q = (q_1 \quad q_2 \quad q_3) = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{6}} & \frac{-1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} & 0 \\ \frac{1}{\sqrt{3}} & \frac{-2}{\sqrt{6}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad Q^T A Q = \Lambda = \begin{pmatrix} 3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

18. 某试验性生产线每年一月份进行熟练工与非熟练工的人数统计,然后将1/6熟练工支援其他生产部门,其缺额由招收新的非熟练工补齐。新、老非熟练工经过培训及实践至年终考核有2/5成为熟练工。设第n年一月份统计的熟练工与非熟练工所占百分比分别为 $x_n$ 和 $y_n$ ,

记成向量 $\begin{pmatrix} x_n \\ y_n \end{pmatrix}$ .

(1) 求
$$\begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix}$$
与 $\begin{pmatrix} x_n \\ y_n \end{pmatrix}$ 的关系式并写成矩阵形式:  $\begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix} = A \begin{pmatrix} x_n \\ y_n \end{pmatrix}$ ;

(2) 验证  $\eta_1 = \begin{pmatrix} 4 \\ 1 \end{pmatrix}$ ,  $\eta_2 = \begin{pmatrix} -1 \\ 1 \end{pmatrix}$  是 A 的两个线性无关的特征向量,并求出相应的特征值;

$$(3) \stackrel{\text{def}}{=} \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} = \begin{pmatrix} 1/2 \\ 1/2 \end{pmatrix} \text{ Ft}, \quad \text{$\vec{x}$} \begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix}.$$

解: (1) 由题设得 
$$\begin{cases} x_{n+1} = \frac{5}{6}x_n + \frac{2}{5}\left(y_n + \frac{1}{6}x_n\right) = \frac{9}{10}x_n + \frac{2}{5}y_n \\ y_{n+1} = \frac{2}{3}\left(y_n + \frac{1}{6}x_n\right) = \frac{1}{10}x_n + \frac{3}{5}y_n \end{cases}, \quad \mathbb{D}\begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix} = \begin{pmatrix} \frac{9}{10} & \frac{2}{5} \\ \frac{1}{10} & \frac{3}{5} \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix};$$

(2) A的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} \frac{9}{10} - \lambda & \frac{2}{5} \\ \frac{1}{10} & \frac{3}{5} - \lambda \end{vmatrix} = \frac{1}{2} (2\lambda - 1)(\lambda - 1) = 0$$

故 A 的特征值为:  $\lambda_1 = \frac{1}{2}$ ,  $\lambda_2 = 1$ ;

故对应于 
$$\lambda_1 = \frac{1}{2}$$
 的特征向量为  $\xi_1 = \begin{pmatrix} -1 \\ 1 \end{pmatrix} = \eta_2$ ;

当
$$\lambda_2 = 1$$
时, $A - E = \begin{pmatrix} -\frac{1}{10} & \frac{2}{5} \\ \frac{1}{10} & -\frac{2}{5} \end{pmatrix}$   $\begin{bmatrix} 1 & -4 \\ 0 & 0 \end{bmatrix}$ 

故对应于  $\lambda_2 = 1$  的特征向量为  $\xi_2 = \begin{pmatrix} 4 \\ 1 \end{pmatrix} = \eta_1$ ;

 $\therefore \eta_1 = \begin{pmatrix} 4 \\ 1 \end{pmatrix}, \eta_2 = \begin{pmatrix} -1 \\ 1 \end{pmatrix}$ 是 A 的两个线性无关的特征向量,对应的特征值为 $1, \frac{1}{2}$ ;

(3) 
$$\begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix} = A \begin{pmatrix} x_n \\ y_n \end{pmatrix} = A^2 \begin{pmatrix} x_{n-1} \\ y_{n-1} \end{pmatrix} = \dots = A^n \begin{pmatrix} x_1 \\ y_1 \end{pmatrix}$$

记 
$$P = (\eta_1 \quad \eta_2) = \begin{pmatrix} 4 & -1 \\ 1 & 1 \end{pmatrix}, \quad P^{-1} = \frac{1}{5} \begin{pmatrix} 1 & 1 \\ -1 & 4 \end{pmatrix}, \quad 则$$

$$A^{n} = P\Lambda P^{-1} \cdot P\Lambda P^{-1} \cdots P\Lambda P^{-1} = P\Lambda^{n} P^{-1} = \begin{pmatrix} 4 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ & \left(\frac{1}{2}\right)^{n} \end{pmatrix} \cdot \frac{1}{5} \begin{pmatrix} 1 & 1 \\ -1 & 4 \end{pmatrix}$$

$$= \frac{1}{5} \begin{pmatrix} 4 + \left(\frac{1}{2}\right)^n & 4 - 4 \times \left(\frac{1}{2}\right)^n \\ 1 - \left(\frac{1}{2}\right)^n & 1 + 4 \times \left(\frac{1}{2}\right)^n \end{pmatrix}$$

## 第六章 二次型

### 1. 填空题

(1) 已知实二次型  $f(x_1, x_2, x_3) = a(x_1^2 + x_2^2 + x_3^2) + 4x_1x_2 + 4x_1x_3 + 4x_2x_3$  经正交变换 x = Py可化成标准形  $f = 6y_1^2$ ,则 a = 2\_\_\_\_.

解: 
$$A = \begin{pmatrix} a & 2 & 2 \\ 2 & a & 2 \\ 2 & 2 & a \end{pmatrix}$$
,  $\Lambda = \begin{pmatrix} 6 \\ 0 \\ 0 \end{pmatrix}$ ,  $A \square \Lambda$ , 故存在正交阵  $P$ , 使  $P^T A P = \Lambda$ ,  $A$  的

特征值为 6, 0, 0.

方法一: 
$$|A - \lambda E| = \begin{vmatrix} a - \lambda & 2 & 2 \\ 2 & a - \lambda & 2 \\ 2 & 2 & a - \lambda \end{vmatrix} = -\lambda^3 + 3a\lambda^2 + (12 - 3a^2)\lambda + a^3 - 12a + 16$$

$$= (6-\lambda)\lambda^{2} = -\lambda^{3} + 6\lambda^{2}, \text{ 由对应系数相等得:} \begin{cases} 3a = 6\\ 12 - 3a^{2} = 0 \Rightarrow a = 2;\\ a^{3} - 12a + 16 = 0 \end{cases}$$

方法二: 
$$tr(\Lambda) = tr(P^T A P) = tr(A) = 3a = 6 \Rightarrow a = 2$$
.

解: 
$$f = (x_1 + x_2)^2 + (x_2 - x_3)^2 + (x_1 + x_3)^2 = 2(x_1 + x_2 + x_3)^2 + 2x_1x_2 - 2x_2x_3 + 2x_1x_3$$
,

$$A = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 2 & -1 \\ 1 & -1 & 2 \end{pmatrix}$$
  $\begin{bmatrix} 1 & -1 & 2 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$ ,  $\therefore R(A) = 2$ , 即二次型  $f$  的秩为 2.

注意: 令 
$$\begin{cases} y_1 = x_1 + x_2 \\ y_2 = x_2 - x_3 \\ y_3 = x_1 + x_3 \end{cases}, \quad y = Cx = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & -1 \\ 1 & 0 & 1 \end{pmatrix} x, \quad \text{由于} |C| = 0, \quad \text{即 } C$$
不可逆,则

$$f = y_1^2 + y_2^2 + y_3^2 .$$

(3) 实二次型 
$$f(x_1, x_2, x_3) = x_1^2 - x_3^2 + 4x_1x_2 - 4x_2x_3$$
 的矩阵为 
$$\begin{pmatrix} 1 & 2 & 0 \\ 2 & 0 & -2 \\ 0 & -2 & 1 \end{pmatrix}$$
.

解:二次型的平方项系数 $\rightarrow$ 对角线,交叉项系数 $\rightarrow$ 除以2再放入相应位置.

解: 按二次型的标准形,可如下分类(设变量为x,v,z):

旋转椭球面: 
$$x^2 + y^2 + z^2 = 1$$

旋转单叶双曲面: 
$$x^2 + y^2 - z^2 = 1$$

旋转双叶双曲面:  $x^2 - y^2 - z^2 = 1$  圆柱面: 缺少 x 或 y 或 z , 比如  $x^2 \pm y^2 = 1$ 

设 
$$f = 5x_1^2 + 5x_2^2 + 3x_3^2 - 2x_1x_2 + 6x_1x_3 - 6x_2x_3$$
,则  $f$  对应的矩阵为:  $A = \begin{pmatrix} 5 & -1 & 3 \\ -1 & 5 & -3 \\ 3 & -3 & 3 \end{pmatrix}$ 

$$|A - \lambda E| = \begin{vmatrix} 5 - \lambda & -1 & 3 \\ -1 & 5 - \lambda & -3 \\ 3 & -3 & 3 - \lambda \end{vmatrix} = \lambda (\lambda - 4)(\lambda - 9) = 0$$

则存在正交阵P,使 $P^TAP = \Lambda = diag(0,4,9)$ , $f = x^TAx = y^T\Lambda y = 4y_2^2 + 9y_3^2 = 1$ ,f的标准形缺少变量 y<sub>1</sub>, 故表示的图形为圆柱面.

(5) 设A是实对称阵,若A+tE为正定阵,则t的取值范围为 大于A的最大特征值 . 解: A 是实对称阵,则存在正交阵P,使 $P^{-1}AP = P^{T}AP = \Lambda = diag(\lambda_1, \lambda_2, \dots, \lambda_n)$ ,不 妨设  $\lambda_{\min} = \lambda_1 \le \lambda_2 \le \cdots \le \lambda_n = \lambda_{\max}$ ,则  $A = P \Lambda P^{-1}$ ,

$$A+tE=P\Lambda P^{-1}+PtEP^{-1}=P\left(\Lambda+tE\right)P^{-1}=P\cdot diag\left(\lambda_1+t,\lambda_2+t,\cdots,\lambda_n+t\right)\cdot P^{-1}$$
 则  $A+tE$  的特征值为  $\lambda_1+t,\lambda_2+t,\cdots,\lambda_n+t$  ,  $A+tE$  正定  $\Leftrightarrow \lambda_1+t>0,\cdots,\lambda_n+t>0$   $\Leftrightarrow t>-\lambda_1,t>-\lambda_2,\cdots,t>-\lambda_n \Leftrightarrow t>\max\left(-\lambda_1,-\lambda_2,\cdots,-\lambda_n\right)=-\min\left(\lambda_1,\lambda_2,\cdots,\lambda_n\right)=-\lambda_{\min}$  ,即  $t>-\lambda_{\min}$  .

#### 2. 选择题

(1) 设 A, B 为同阶可逆方阵,则( D ).

(A) 
$$AB = BA$$

(B) 存在可逆阵
$$P$$
, 使 $P^{-1}AP = B$ 

(C) 存在可逆阵C, 使 $C^TAC = B$  (D) 存在可逆阵P,Q, 使PAQ = B

解: (A) AB = BA, 即 A, B 乘积可交换, 一般不对;

(B)  $A \cong B$  (相似),则 A,B 特征值相同,取  $A = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $B = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$ ,特征值不同;

(C)  $A \square B$  (合同),则 A,B 的惯性指数相同,取  $A = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  $B = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , A,B 惯性指数不同;

(D)  $A \square B$  (等价),  $A \square E \square B \Rightarrow A \square B$ , 故(D) 正确.

(A) 合同且相似 (B) 合同但不相似 (C) 不合同但相似 (D) 不合同且不相似

$$\Re \colon |A - \lambda E| = \begin{vmatrix} 1 - \lambda & 1 & 1 & 1 \\ 1 & 1 - \lambda & 1 & 1 \\ 1 & 1 & 1 - \lambda & 1 \\ 1 & 1 & 1 & 1 - \lambda \end{vmatrix} = (4 - \lambda)(-\lambda)^3 = 0 \Rightarrow \lambda = 0, 0, 0, 4$$

又 A 是实对称阵,则存在正交阵 P,使  $P^{-1}AP$   $\not\!\!P$   $A^{p}$   $=\Lambda dg$   $\left(4000\ B=\right)$  ,即 A 合同且相似于 B ,选(A).

- (3)设n阶方阵A能正交相似对角化,则矩阵A(C).
- (A) 反例:  $P^{-1}AP = diag(1,1,1)$ ,则 A 的特征值为1,1,1;
- (B) A 为正交阵,则 $|A| = \pm 1$ ,取 $P^{-1}AP = \Lambda = diag(1,0,0)$ ,则 $|A| = |\Lambda| = 0$ ;
- (D) A 为正定阵  $\Leftrightarrow$  A 的特征值全为正, $P^{-1}AP = diag(1,0,0)$ ,则 A 的特征值为1,0,0.
- (4) 已知 A 是 3 阶实对称矩阵,如果  $(x, y, z)A\begin{pmatrix} x \\ y \\ z \end{pmatrix} = 1$  表示的图形为旋转双叶双曲面,则

A的正的特征值个数为(B).

(A) 0 (B) 1 (C) 2 (D) 3

解: 
$$(x, y, z)A$$
  $\begin{pmatrix} x \\ y \\ z \end{pmatrix} = 1$  表示的图形为旋转双叶双曲面,则  $f = x_1^2 - y_1^2 - z_1^2 = 1$ ,故

 $A \square \Lambda = diag(\lambda_1, \lambda_2, \lambda_3) \square \Lambda_1 = diag(1, -1, -1)$ ,其中 $\lambda_1, \lambda_2, \lambda_3$ 为A的特征值,由 $\Lambda, \Lambda_1$ 的惯性指数相同,则A的正特征值个数为1,选(B).

(5) 
$$A = \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \mathcal{M} A = \mathcal{B} \quad (B).$$

(A) 合同且相似(B) 合同但不相似(C) 不合同但相似(D) 不合同且不相似

解: 
$$|A - \lambda E| = \begin{vmatrix} 2 - \lambda & -1 & -1 \\ -1 & 2 - \lambda & -1 \\ -1 & -1 & 2 - \lambda \end{vmatrix} = -\lambda (3 - \lambda)^2 = 0 \Rightarrow \lambda = 0, 3, 3$$

则存在正交阵 P , 使  $P^{-1}AP=P^TAP=\Lambda=diag\left(3,3,0\right)$ , 即  $A \square \Lambda$  ;

$$\mathbb{R}C = \begin{pmatrix} \frac{1}{\sqrt{3}} & & \\ & \frac{1}{\sqrt{3}} & \\ & & 1 \end{pmatrix}, \quad \mathbb{M}C^T \Lambda C = \begin{pmatrix} \frac{1}{\sqrt{3}} & & \\ & \frac{1}{\sqrt{3}} & \\ & & 1 \end{pmatrix} \begin{pmatrix} 3 & & \\ & 3 & \\ & & 1 \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{3}} & & \\ & \frac{1}{\sqrt{3}} & \\ & & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 0 \end{pmatrix} = B$$
,即 $\Lambda \square B \Rightarrow A \square \Lambda \square B \Rightarrow A \square B$ ,即 $A \ni B$ 合同, $A$ 的特征值为 3,

3, 0, B 的特征值为 1, 1, 0, 故 A = B 不可能相似;

综上: A 与 B 合同但不相似, 选(B).

3. 用矩阵记号表示下列二次型:

(1) 
$$f = 2x^2 + 4xy + 2y^2 - 2yz - 3z^2 - 4xz$$
;

(2) 
$$f = x_1 x_2 - x_2^2 - x_1 x_4 + 3x_3^2 - 2x_3 x_4 + 2x_4^2$$

解: (1) 
$$f = (x, y, z) \begin{pmatrix} 2 & 2 & -2 \\ 2 & 2 & -1 \\ -2 & -1 & -3 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$
;

(2) 
$$f = (x_1, x_2, x_3, x_4)$$

$$\begin{pmatrix} 0 & \frac{1}{2} & 0 & -\frac{1}{2} \\ \frac{1}{2} & -1 & 0 & 0 \\ 0 & 0 & 3 & -1 \\ -\frac{1}{2} & 0 & -1 & 2 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix}.$$

4. 求一个正交变换化下列二次型成标准形:

(1) 
$$f = 2x_1^2 + 3x_2^2 + 3x_3^2 + 2x_2x_3$$
;

(2) 
$$f = 2x_1x_2 + 2x_1x_3 - 2x_1x_4 - 2x_2x_3 + 2x_2x_4 + 2x_3x_4$$

解: (1) 
$$f = (x_1, x_2, x_3) \begin{pmatrix} 2 & 0 & 0 \\ 0 & 3 & 1 \\ 0 & 1 & 3 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} 2 - \lambda & 0 & 0 \\ 0 & 3 - \lambda & 1 \\ 0 & 1 & 3 - \lambda \end{vmatrix} = (2 - \lambda)^2 (4 - \lambda) = 0$$

故 A 的特征值为:  $\lambda_1 = \lambda_2 = 2$ ,  $\lambda_3 = 4$ ;

当 
$$\lambda_1 = \lambda_2 = 2$$
 时,  $A - 2E = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}$   $\begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_1=\lambda_2=2$$
 的特征向量为  $\xi_1=\begin{pmatrix}1\\0\\0\end{pmatrix}$ ,  $\xi_2=\begin{pmatrix}0\\-1\\1\end{pmatrix}$ , 已正交,标准化为  $p_1=\begin{pmatrix}1\\0\\0\end{pmatrix}$ ,

$$p_2 = \begin{pmatrix} 0 \\ -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} \end{pmatrix};$$

当
$$\lambda_3 = 4$$
时, $A - 4E = \begin{pmatrix} -2 & 0 & 0 \\ 0 & -1 & 1 \\ 0 & 1 & -1 \end{pmatrix}$  
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$$

故对应于 
$$\lambda_3=4$$
 的特征向量为  $\xi_3=\begin{pmatrix}0\\1\\1\end{pmatrix}$ ,标准化  $p_3=\begin{pmatrix}0\\\sqrt{2}\\\frac{\sqrt{2}}{2}\\\frac{\sqrt{2}}{2}\end{pmatrix}$ ;

$$P = (p_1 \quad p_2 \quad p_3) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ 0 & \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix}, \quad x = py, \quad \text{if } f = 2y_1^2 + 2y_2^2 + 4y_3^2.$$

(2) 
$$f = (x_1, x_2, x_3, x_4) \begin{pmatrix} 0 & 1 & 1 & -1 \\ 1 & 0 & -1 & 1 \\ 1 & -1 & 0 & 1 \\ -1 & 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix}$$

A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} -\lambda & 1 & 1 & -1 \\ 1 & -\lambda & -1 & 1 \\ 1 & -1 & -\lambda & 1 \\ -1 & 1 & 1 & -\lambda \end{vmatrix} = (1 - \lambda)^3 (-3 - \lambda) = 0$$

故 A 的特征值为:  $\lambda_1 = \lambda_2 = \lambda_3 = 1$ ,  $\lambda_4 = -3$ ;

故对应于 
$$\lambda_1=\lambda_2=\lambda_3=1$$
 的特征向量为  $\xi_1=\begin{pmatrix}1\\0\\0\\-1\end{pmatrix}$ ,  $\xi_2=\begin{pmatrix}1\\0\\1\\0\end{pmatrix}$ ,  $\xi_3=\begin{pmatrix}1\\1\\0\\0\end{pmatrix}$ ,

正交化为
$$\eta_1 = \xi_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ -1 \end{pmatrix}$$
,  $\eta_2 = \xi_2 - \frac{(\xi_2, \eta_1)}{(\eta_1, \eta_1)} \eta_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \\ -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 2 \\ 1 \end{pmatrix}$ ,

$$\eta_{3} = \xi_{3} - \frac{(\xi_{3}, \eta_{1})}{(\eta_{1}, \eta_{1})} \eta_{1} - \frac{(\xi_{3}, \eta_{2})}{(\eta_{2}, \eta_{2})} \eta_{2} = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \\ -1 \end{pmatrix} - \frac{1}{6} \begin{pmatrix} 1 \\ 0 \\ 2 \\ 1 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 \\ 3 \\ -1 \\ 1 \end{pmatrix}$$

标准化可得 
$$p_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\0\\0\\-1 \end{pmatrix}$$
,  $p_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1\\0\\2\\1 \end{pmatrix}$   $p_3 = \frac{1}{\sqrt{12}} \begin{pmatrix} 1\\3\\-1\\1 \end{pmatrix}$ ;

故对应于 
$$\lambda_4=-3$$
 的特征向量为  $\xi_4=\begin{pmatrix}1\\-1\\-1\\1\end{pmatrix}$ ,标准化  $p_4=\frac{1}{2}\begin{pmatrix}1\\-1\\-1\\1\end{pmatrix}$ ;

$$x = Py = \begin{pmatrix} \frac{\sqrt{2}}{2} & \frac{\sqrt{6}}{6} & \frac{\sqrt{12}}{12} & \frac{1}{2} \\ 0 & 0 & \frac{\sqrt{12}}{4} & -\frac{1}{2} \\ 0 & \frac{\sqrt{6}}{3} & \frac{-\sqrt{12}}{12} & -\frac{1}{2} \\ -\frac{\sqrt{2}}{2} & \frac{\sqrt{6}}{6} & \frac{\sqrt{12}}{12} & \frac{1}{2} \end{pmatrix} y, \quad \notin f = y_1^2 + y_2^2 + y_3^2 - 3y_4^2.$$

5. 用 Lagrange 配方法化不列二次型为标准形:

(1) 
$$f = x^2 + y^2 - 7z^2 - 2xy - 4xz - 4yz$$
;

(2) 
$$f = x_1x_2 + x_1x_3 + x_1x_4 + x_2x_3 + x_2x_4 + x_3x_4$$
.

解: (1) 
$$f = x^2 + y^2 - 7z^2 - 2xy - 4xz - 4yz = (x - y - 2z)^2 - 11z^2 - 8yz$$

$$= (x - y - 2z)^{2} - 11\left(z + \frac{4}{11}y\right)^{2} + \frac{16}{11}y^{2}$$

令 
$$\begin{cases} y_1 = x - y - 2z \\ y_2 = y \end{cases}, \ \ \mbox{待 } f = 2y_1^2 + \frac{16}{11}y_2^2 - 11y_3^2 \,, \ \ \mbox{其中线性变换} \, C = \begin{pmatrix} 1 & \frac{3}{11} & 2 \\ 0 & 1 & 0 \\ 0 & -\frac{4}{11} & 1 \end{pmatrix}.$$

$$(2) \Leftrightarrow \begin{cases} x_1 = u_1 + u_2 \\ x_2 = u_1 - u_2 \\ x_3 = u_3 \\ x_4 = u_4 \end{cases},$$

得 
$$f = u_1^2 - u_2^2 + 2u_1u_3 + 2u_1u_4 + u_3u_4 = (u_1 + u_3 + u_4)^2 - u_2^2 - (u_3 - \frac{1}{2}u_4)^2 - \frac{3}{4}u_4^2$$

$$\begin{cases} y_1 = u_1 + u_3 + u_4 \\ y_2 = u_2 \\ y_3 = u_3 - \frac{1}{2}u_4 \\ y_4 = u_4 \end{cases},$$

得 
$$f = y_1^2 - y_2^2 - y_3^2 - \frac{3}{4}y_4^2$$
,

其中线性变换 
$$C = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & -1 & -\frac{3}{2} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & \frac{1}{2} \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 & -1 & -\frac{3}{2} \\ 1 & -1 & -1 & -\frac{3}{2} \\ 1 & -1 & -1 & -\frac{3}{2} \\ 0 & 0 & 1 & \frac{1}{2} \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

### 6. 用初等变换法化二次型为标准形:

(1) 
$$f = x_1^2 + x_2^2 + x_3^2 + x_4^2 + 2x_1x_2 + 2x_2x_3 + 2x_3x_4$$
;

(2) 
$$f = 2x^2 - 2xy + 3y^2 - 3xz + 9z^2$$
.

$$c_{3}-c_{2} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & -1 & -1 \\ 0 & 1 & -1 & 1 \\ 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & -1 \\ 0 & 0 & -1 & 0 \\ 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$(2) \begin{pmatrix} 2 & -1 & -\frac{3}{2} \\ -1 & 3 & 0 \\ -\frac{3}{2} & 0 & 9 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{c_2 + \frac{1}{2}c_1} \begin{pmatrix} 2 & 0 & -\frac{3}{2} \\ 0 & \frac{5}{2} & 0 \\ -\frac{3}{2} & -\frac{3}{4} & 9 \\ 1 & \frac{1}{2} & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{c_2 + \frac{1}{2}c_1} \begin{pmatrix} 2 & 0 & 0 \\ 0 & \frac{5}{2} & -\frac{3}{4} \\ 0 & \frac{3}{4} & \frac{63}{8} \\ 1 & \frac{1}{2} & \frac{3}{4} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{c_3 + \frac{3}{10}c_2} \begin{pmatrix} 2 & 0 & 0 \\ 0 & \frac{5}{2} & 0 \\ 0 & 0 & \frac{153}{20} \\ 1 & \frac{1}{2} & \frac{9}{10} \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

7. 证明: 二次型  $f = x^T A x$  在 ||x|| = 1 时的最大值为方阵 A 的最大特征值.

证明: 
$$A$$
 是实对称矩阵,则存在正交阵  $P$  ,使得  $PAP^{-1}=\begin{pmatrix} \lambda_1 & & & \\ & \lambda_2 & & \\ & & \ddots & \\ & & & \lambda_n \end{pmatrix}$   $\square$   $\Lambda$  ,其中

 $\lambda_1, \lambda_2, \cdots, \lambda_n$  为 A 的特征值,不妨设  $\lambda_1$  最大,由 P 为正交阵,则  $P^{-1} = P^T$  ,且 |P| = 1 ,所以  $A = P^{-1}\Lambda P = P^T\Lambda P \text{ , } 则 f = x^TAx = x^TP^T\Lambda P x = y^T\Lambda y = \lambda_1 y_1^2 + \lambda_2 y_2^2 + \cdots + \lambda_n y_n^2 \text{ , } 这里$ 

y = Px.

 $\|y\| = \|Px\| = |P|\|x\| = \|x\| = 1$ ,  $\|y_1^2 + y_2^2 + \dots + y_n^2 = 1\|y\|$ 

$$f = \lambda_1 y_1^2 + \lambda_2 y_2^2 + \dots + \lambda_n y_n^2 \le \lambda_1 y_1^2 + \lambda_1 y_2^2 + \dots + \lambda_1 y_n^2 = \lambda_1 \left( y_1^2 + y_2^2 + \dots + y_n^2 \right) = \lambda_1$$

故得证:  $\max_{\|x\|=1} f = \max \{\lambda_1, \lambda_2, \dots, \lambda_n\}$ .

8. 如果A是正定矩阵,则 $A^{-1}$ 也是正定矩阵.

证明: A 正定  $\Leftrightarrow$  A 的特征值  $\lambda_1, \lambda_2, \dots, \lambda_n$  全为正;

$$\frac{1}{\lambda_1} > 0$$
,  $\frac{1}{\lambda_2} > 0$ ,  $\dots$ ,  $\frac{1}{\lambda_n} > 0$  为  $A^{-1}$  的全部特征值  $\Leftrightarrow A^{-1}$  正定.

9. 如果A,B都是正定矩阵,则A+B也是正定矩阵.

证明:  $A \setminus B$  是正定阵  $\Leftrightarrow \forall$  非零向量  $a \cdot a^T A a > 0 \cdot a^T B a > 0;$ 

10. t取什么值时,下列二次型是正定的?

(1) 
$$f = x_1^2 + x_2^2 + 5x_3^2 + 2tx_1x_2 - 2x_1x_3 + 4x_2x_3$$
;

(2) 
$$f = 2x_1^2 + 8x_2^2 + x_3^2 + 2tx_1x_2 + 2x_1x_3$$
.

解:(1)二次型 f 对应的实对称矩阵:  $A=\begin{pmatrix}1&t&-1\\t&1&2\\-1&2&5\end{pmatrix}$ ,则 A 的各阶顺序主子式:

$$a_{11} = 1 > 0;$$
  $\begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = \begin{vmatrix} 1 & t \\ t & 1 \end{vmatrix} = 1 - t^2 > 0;$   $|A| = \begin{vmatrix} 1 & t & -1 \\ t & 1 & 2 \\ -1 & 2 & 5 \end{vmatrix} = 4(1 - t^2) - (2 + t)^2 > 0;$ 

综上解得:  $-\frac{4}{5} < t < 0$ .

(2) 二次型 
$$f$$
 对应的实对称矩阵:  $A = \begin{pmatrix} 2 & t & 1 \\ t & 8 & 0 \\ 1 & 0 & 1 \end{pmatrix}$ ,则  $A$  的各阶顺序主子式:

$$a_{11} = 2 > 0$$
;  $\begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = \begin{vmatrix} 2 & t \\ t & 8 \end{vmatrix} = 16 - t^2 > 0$ ;  $|A| = \begin{vmatrix} 2 & t & 1 \\ t & 8 & 0 \\ 1 & 0 & 1 \end{vmatrix} = 8 - t^2 > 0$ ;

综上解得:  $-2\sqrt{2} < t < 2\sqrt{2}$ .

11. 证明: A为正定矩阵的充分必要条件是存在可逆矩阵 P, 使  $A = P^T P$ 。

证明: 必要性: : A为正定阵, : A正交相似于对角阵, 即存在正交阵T和对角阵

$$\Lambda = \begin{pmatrix} \lambda_1 & & & & \\ & \lambda_2 & & & \\ & & \ddots & & \\ & & & \lambda_n \end{pmatrix}$$
,  $st. \ T^T A T = \Lambda$  , 其中  $\lambda_1, \lambda_2, \cdots, \lambda_n$  为  $A$  的特征值且全为正,则

$$\Lambda = \begin{pmatrix} \sqrt{\lambda_1} & & & \\ & \sqrt{\lambda_2} & & \\ & & \ddots & \\ & & & \sqrt{\lambda_n} \end{pmatrix} \begin{pmatrix} \sqrt{\lambda_1} & & & \\ & \sqrt{\lambda_2} & & \\ & & & \ddots & \\ & & & \sqrt{\lambda_n} \end{pmatrix},$$

即有
$$A = T \begin{pmatrix} \sqrt{\lambda_1} & & & \\ & \sqrt{\lambda_2} & & \\ & & \ddots & \\ & & & \sqrt{\lambda_n} \end{pmatrix} \begin{pmatrix} \sqrt{\lambda_1} & & & \\ & & \sqrt{\lambda_2} & & \\ & & & \ddots & \\ & & & & \sqrt{\lambda_n} \end{pmatrix} T^T$$
,

取
$$U^T = T$$
  $\begin{pmatrix} \sqrt{\lambda_1} & & & \\ & \sqrt{\lambda_2} & & \\ & & \ddots & \\ & & & \sqrt{\lambda_n} \end{pmatrix}$ ,即有 $A = U^T U$ ;

充分性:  $:: A = U^T U$ , U 可逆,  $:: \forall$  非零向量 x,  $Ux \neq \vec{0}$  (反证: 若 $Ux = \vec{0}$ , 则左乘 $U^{-1}$  得  $x = \vec{0}$ , 矛盾),  $x^T A x = x^T U^T U x = (Ux)^T (Ux) = |Ux|^2 > 0$ , :: A 为正定阵.

12. 已知二次曲面  $x^2+a\mathring{y}+\mathring{z}$   $a\mathring{y}+\mathring{z}$  bx az ,可以经过正交变换  $(xyz^T)=P\xi \eta \zeta^T$  化为圆柱面方程  $\eta^2+4\zeta^2=4$ ,求 a,b 的值和正交矩阵 P 。

解: 设二次型 
$$f=x^2+ay^2+z^2+2bxy+2xz+2yz$$
,对应的实对称矩阵:  $A=\begin{pmatrix} 1 & b & 1 \\ b & a & 1 \\ 1 & 1 & 1 \end{pmatrix}$ ,

由于 f 经正交变换后转为  $f = \eta^2 + 4\zeta^2$ ,

①方法一:  $\lambda_1 = 1$ ,  $\lambda_2 = 4$  为 A 的特征值,即

$$|A-E| = \begin{vmatrix} 0 & b & 1 \\ b & a-1 & 1 \\ 1 & 1 & 0 \end{vmatrix} = 2b-a+1=0, \quad \mathbb{E}|A-4E| = \begin{vmatrix} -3 & b & 1 \\ b & a-4 & 1 \\ 1 & 1 & -3 \end{vmatrix} = 2b-a+1=0,$$

解得: a=3,b=1;

方法二:  $\lambda_1=0$  ,  $\lambda_2=1$  ,  $\lambda_3=4$  为 A 的特征值,故 tr(A)=1+a+1=0+1+4=5 ,且

$$|A| = \begin{vmatrix} 1 & b & 1 \\ b & a & 1 \\ 1 & 1 & 1 \end{vmatrix} = -(1-b)^2 = 0$$
, 解得:  $a = 3, b = 1$ ;

②易知 $\lambda_1 = 0$ , $\lambda_2 = 1$ , $\lambda_3 = 4$ 为A的特征值,

当
$$\lambda_1 = 0$$
时, $A = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 3 & 1 \\ 1 & 1 & 1 \end{pmatrix}$   $\begin{bmatrix} \begin{pmatrix} 1 & 1 & 1 \\ 0 & 2 & 0 \\ 0 & 0 & 0 \end{pmatrix}$   $\begin{bmatrix} \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_1=0$$
 的特征向量为  $\xi_1=\begin{pmatrix}1\\0\\-1\end{pmatrix}$ ,标准化为  $\eta_1=\frac{1}{\sqrt{2}}\begin{pmatrix}1\\0\\-1\end{pmatrix}$ ;

当
$$\lambda_2 = 1$$
时, $A - E = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 0 \end{pmatrix}$   $\begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$   $\begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ 

故对应于 
$$\lambda_2=1$$
 的特征向量为  $\xi_2=\begin{pmatrix}1\\-1\\1\end{pmatrix}$ ,标准化为  $\eta_2=\frac{1}{\sqrt{3}}\begin{pmatrix}1\\-1\\1\end{pmatrix}$ ;

故对应于 
$$\lambda_3 = 4$$
 的特征向量为  $\xi_3 = \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$ ,标准化为  $\eta_3 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$ ;

- 13. 已知二次型  $f(x_1, x_2, x_3) = (1-a)x_1^2 + (1-a)x_2^2 + 2x_3^2 + 2(1+a)x_1x_2$  的秩为 2.
- (1) 求*a*的值;
- (2) 求正交变换 x = Py, 把  $f(x_1, x_2, x_3)$  化为标准形;
- (3) 求方程  $f(x_1, x_2, x_3) = 0$  的解。

解: (1) 易知二次型 
$$f$$
 对应的实对称矩阵:  $A = \begin{pmatrix} 1-a & 1+a & 0 \\ 1+a & 1-a & 0 \\ 0 & 0 & 2 \end{pmatrix}$ , 由于  $R(A) = 2$ , 故

$$\frac{1-a}{1+a} = \frac{1+a}{1-a} \Longrightarrow (1-a)^2 = (1+a)^2 \Longrightarrow a = 0;$$

(2) 由 
$$a = 0$$
 得:  $A = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$ , 则  $|A - \lambda E| = \begin{vmatrix} 1 - \lambda & 1 & 0 \\ 1 & 1 - \lambda & 0 \\ 0 & 0 & 2 - \lambda \end{vmatrix} = -\lambda (\lambda - 2)^2 = 0$ 

故 A 的特征值为  $\lambda_1 = \lambda_2 = 2$  ,  $\lambda_3 = 0$ ;

$$\label{eq:lambda} \begin{subarray}{l} \begin$$

故对应于 
$$\lambda_1=\lambda_2=2$$
 的特征向量为  $\xi_1=\begin{pmatrix}1\\1\\0\end{pmatrix}$ ,  $\xi_2=\begin{pmatrix}0\\0\\1\end{pmatrix}$ ,标准化为  $\eta_1=\frac{1}{\sqrt{2}}\begin{pmatrix}1\\1\\0\end{pmatrix}$ ,  $\eta_2=\begin{pmatrix}0\\0\\1\end{pmatrix}$ ;

当
$$\lambda_3 = 0$$
时,  $A = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 2 \end{pmatrix}$   $\square \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ 

故对应于 
$$\lambda_3=0$$
 的特征向量为  $\xi_3=\begin{pmatrix}1\\-1\\0\end{pmatrix}$ ,标准化为  $\eta_3=\frac{1}{\sqrt{2}}\begin{pmatrix}1\\-1\\0\end{pmatrix}$ ;

故 
$$P = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \end{pmatrix};$$

(3)  $f(x_1,x_2,x_3)=0$ ,则  $f(y_1,y_2,y_3)=2y_1^2+2y_2^2=0$ ,即  $y_1=y_2=0$ ,又因  $y=P^{-1}x$ ,

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = Py = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ y_3 \end{pmatrix} = \frac{1}{\sqrt{2}} y_3 \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}, \quad \mathbb{P} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = k \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} \ (k \in \mathbb{R}) \ .$$

- 14. 设实二次型  $f(x_1, x_2, x_3) = ax_1^2 + 2x_2^2 2x_3^2 + 2bx_1x_3(b > 0)$ ,其中二次型的矩阵 A 的特征值之和为 1,特征值之积为 -12.
  - (1) 求*a*,*b*的值;
  - (2) 求正交变换 x = Py, 把  $f(x_1, x_2, x_3)$  化为标准形。

解: (1) 二次型 f 对应的实对称矩阵:  $A = \begin{pmatrix} a & 0 & b \\ 0 & 2 & 0 \\ b & 0 & -2 \end{pmatrix}$ , 故 A 的特征多项式为:

$$|A - \lambda E| = \begin{vmatrix} a - \lambda & 0 & b \\ 0 & 2 - \lambda & 0 \\ b & 0 & -2 - \lambda \end{vmatrix} = (2 - \lambda) \left[ (\lambda - a)(\lambda + 2) - b^2 \right]$$

易知 A 的特征值为:  $\lambda_1 = 2, \lambda_2, \lambda_3$ ;

由题意: 
$$\begin{cases} \lambda_1 + \lambda_2 + \lambda_3 = 1 \\ \lambda_1 \lambda_2 \lambda_3 = -12 \end{cases} \Rightarrow \begin{cases} \lambda_2 = -3 \\ \lambda_3 = 2 \end{cases};$$

方法一: 
$$\begin{cases} (-3-a)(-3+2)-b^2=0\\ (2-a)(2+2)-b^2=0 \end{cases} \Rightarrow \begin{cases} a=1\\ b=2 \end{cases};$$

方法二: 
$$tr(A) = a + 2 - 2 = 1 \Rightarrow a = 1$$

$$|A| = \begin{vmatrix} 1 & 0 & b \\ 0 & 2 & 0 \\ b & 0 & -2 \end{vmatrix} = -4 - b^2 = -12 \Rightarrow b = 2(b > 0);$$

(2) 
$$\stackrel{\mbox{\tiny $\perp$}}{=} \lambda_1 = \lambda_2 = 2 \ \mbox{\tiny $| h|}, \quad A - 2E = \begin{pmatrix} -1 & 0 & 2 \\ 0 & 0 & 0 \\ 2 & 0 & -4 \end{pmatrix} \ \Box \begin{pmatrix} 1 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

故对应于 
$$\lambda_1=\lambda_2=2$$
 的特征向量为  $\xi_1=\begin{pmatrix}0\\1\\0\end{pmatrix}$ ,  $\xi_2=\begin{pmatrix}2\\0\\1\end{pmatrix}$ ,标准化为  $\eta_1=\begin{pmatrix}0\\1\\0\end{pmatrix}$ ,  $\eta_2=\frac{1}{\sqrt{5}}\begin{pmatrix}2\\0\\1\end{pmatrix}$ ;

当
$$\lambda_3 = -3$$
时,  $A + 3E = \begin{pmatrix} 4 & 0 & 2 \\ 0 & 5 & 0 \\ 2 & 0 & 1 \end{pmatrix}$   $\begin{bmatrix} 2 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$ 

故对应于 
$$\lambda_3=-3$$
 的特征向量为  $\xi_3=\begin{pmatrix}1\\0\\-2\end{pmatrix}$ ,标准化为  $\eta_3=\frac{1}{\sqrt{5}}\begin{pmatrix}1\\0\\-2\end{pmatrix}$ ;

故正交矩阵 
$$P = \begin{pmatrix} \frac{2}{\sqrt{5}} & 0 & \frac{1}{\sqrt{5}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{5}} & 0 & -\frac{2}{\sqrt{5}} \end{pmatrix}, \quad x = \begin{pmatrix} \frac{2}{\sqrt{5}} & 0 & \frac{1}{\sqrt{5}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{5}} & 0 & -\frac{2}{\sqrt{5}} \end{pmatrix} y.$$

# 附录: 习题参考答案

## 习题1

- 1. (1) 5 (2) 7 (3) 1440, 0 (4)  $(-1)^n a$ ,
  - (5) f(x) = 0 的根为 1, 2, -2 (6) 0 (7) 1 或 2 (8) 0
  - (9) 2 (10) -abcd (11) 1 (12) -16, -4, -4 (13)  $\frac{a}{b}$
  - (14) -1,  $\frac{1}{a_{11}}$  (15)  $\begin{vmatrix} 2 & 3 \\ x & 1 \end{vmatrix}$ ,  $(-1)^{1+2} \begin{vmatrix} -1 & 0 \\ 0 & 1 \end{vmatrix}$  (16) 0
  - (17) 0 (18) 6 (19)  $(-1)^{mn}ab$  (20)  $1-a+a^2-a^3+a^4-a^5$
- 2. (1) (B) (2) (D) (3) (A) (4) (C) (5) (D)
- 3. (1) 1 (2) 0 (3) 48 (4) 555 (5) 837 (6) (1+a+b+c+d)
  - (7) 4 adfbce (8) (a+b+c+d)(d-a)(d-b)(d-c)(c-a)(c-b)(b-a)
- 4. (1)  $(x-a)^{n-1}(x+(n-1)a)$  (2)  $(n-1)^{-n+1}2^{n-2}$ 
  - (3) (-2)(n-2)! (4)  $\prod_{i=1}^{n} (a_i d_i b_i c_i)$
  - (5)  $a^{n} + ba^{n-1} + b^{2}a^{n-2} + \cdots + b^{n}$

## 习题 2

- 1. (1) a = 0, b = -3 (2)  $\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -2 \end{pmatrix}$  (3) |AB| = -16 (4) 6
  - $(5) \ 0 \qquad (6) \ -\frac{1}{2} \qquad (7) \begin{pmatrix} 0 & -1 & 0 \\ -2 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \quad (8) \ 3 \qquad (9) \ -3$ 
    - $\begin{pmatrix}
      \frac{1}{2} & 0 & 0 & 0 \\
      0 & \frac{1}{3} & 0 & 0 \\
      0 & 0 & 0 & \frac{1}{3} \\
      0 & 0 & \frac{1}{2} & 0
      \end{pmatrix} (13) \sqrt[n]{2}$

(14) 4, 
$$\frac{1}{2}$$
,  $2^{n-1}$ ,  $2^{(n-1)^2}$ ,  $2\left(\frac{3}{2}\right)^n$ ,  $\frac{3^n}{2}$  (15)  $(-1)^n 3$ 

(16) 
$$\frac{1}{10}A$$
 (17)  $\left|A\right|^{n-2}$  (18) -1 (19)  $k_1k_2k_3 \neq 0, k_1, k_2, k_3$  互不相等

(20) 
$$\lambda = 11$$
或 $\lambda = 2$ 

3. (1) 
$$A = (a_{ij})_{3\times 2} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \\ 2 & 1 \end{pmatrix}$$
 (2)  $A = (a_{ij})_{4\times 4} = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 4 & 6 & 8 \\ 3 & 6 & 9 & 12 \\ 4 & 8 & 12 & 16 \end{pmatrix}$ 

4. 
$$(AB)^{\mathrm{T}} = \begin{pmatrix} 0 & 0 & 3 \\ 6 & -3 & 9 \\ 3 & 6 & 3 \end{pmatrix}$$
,  $3AB - 2A^{\mathrm{T}} = \begin{pmatrix} -2 & 16 & 5 \\ -2 & -11 & 20 \\ 5 & 29 & 7 \end{pmatrix}$ 

5. (1) 
$$\begin{pmatrix} 6 & -5 & 0 \\ 10 & -7 & 1 \end{pmatrix}$$
 (2)  $\begin{pmatrix} -2 & 5 & -2 \\ 0 & 1 & 10 \\ 0 & 0 & -15 \end{pmatrix}$  (3) 4 (4)  $\begin{pmatrix} 0 & 4 \\ 0 & 2 \\ 0 & -6 \end{pmatrix}$ 

$$(5) \begin{pmatrix} 4x_1 + 3x_2 + 2x_3 \\ x_1 + (-2)x_2 + 5x_3 \\ 3x_1 + x_2 \end{pmatrix}$$

(6) 
$$a_{11} \chi_1^2 + a_{22} \chi_2^2 + a_{33} \chi_3^2 + (a_{12} + a_{21}) x_1 x_2 + (a_{13} + a_{31}) x_1 x_3 + (a_{23} + a_{32}) x_2 x_3$$

6. 
$$\begin{pmatrix} 1 & 0 \\ \lambda k & 0 \end{pmatrix}$$

7. 
$$\lambda^{k-2} \begin{pmatrix} \lambda^2 & k\lambda & \frac{k(k-1)}{2} \\ 0 & \lambda^2 & k\lambda \\ 0 & 0 & \lambda^2 \end{pmatrix}$$

$$8. \begin{pmatrix} 2^k & 0 & 0 & 0 \\ 0 & 2^k & 0 & 0 \\ k2^{k-1} & 0 & 2^k & 0 \\ 0 & k2^{k-1} & 0 & 2^k \end{pmatrix}$$

10. (1) 
$$\begin{bmatrix} 1 & 0 & \frac{1}{3} & 0 & \frac{16}{9} \\ 0 & 1 & \frac{2}{3} & 0 & -\frac{1}{9} \\ 0 & 0 & 0 & 1 & -\frac{1}{3} \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$
 R (A) =3

(2) 
$$\begin{bmatrix} 1 & 0 & 0 & -\frac{1}{2} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$
 R (A) =3

11. (1) 
$$\begin{pmatrix} -2 & 1 & 0 \\ -\frac{13}{2} & 3 & -\frac{1}{2} \\ -16 & 7 & -1 \end{pmatrix}$$
 (2) 
$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$\begin{pmatrix}
1 & 1 & -2 & -4 \\
0 & 1 & 0 & -1 \\
-1 & -1 & 3 & 6 \\
2 & 1 & -6 & -10
\end{pmatrix}$$

$$(6)$$

$$\begin{pmatrix}
0 & 0 & -\frac{1}{6} & -\frac{1}{6} & \frac{1}{2} \\
0 & 0 & -\frac{2}{3} & \frac{1}{3} & 0 \\
0 & 0 & \frac{7}{6} & \frac{1}{6} & -\frac{1}{2} \\
4 & -\frac{3}{2} & 0 & 0 & 0 \\
-1 & \frac{1}{2} & 0 & 0 & 0
\end{pmatrix}$$

12. (1) 
$$\begin{pmatrix} -2\\ \frac{3}{2}\\ 0 \end{pmatrix}$$
 (2)  $\begin{pmatrix} \frac{10}{9} & -\frac{8}{3} & \frac{7}{9}\\ -\frac{7}{9} & \frac{7}{6} & \frac{1}{18} \end{pmatrix}$ 

$$(3) \begin{pmatrix} 1 & 3 \\ 0 & -1 \\ 2 & 2 \end{pmatrix} \qquad (4) \begin{pmatrix} 3 & -8 & -6 \\ 2 & -9 & -6 \\ -2 & 12 & 9 \end{pmatrix}$$

13. (1) 
$$x_1 = 1, x_2 = 2, x_3 = 3, x_4 = -1$$

(2) 
$$x_1 = 3, x_2 = -4, x_3 = -1, x_4 = 1$$

15. 要使(1) 
$$AB = BA$$
, (2)  $(A+B)(A-B) = A^2 - B^2$ ,

(3) 
$$(A+B)^2 = A^2 + 2AB + B^2$$
 应有  $AB = BA$ 

$$AB = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$BA = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

16. (1) 
$$A = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$
  $A^2 = 0$ ,  $\text{II} A \neq 0$ 

$$(3) \quad A = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \qquad X = \begin{pmatrix} 1 & 2 \\ -1 & 0 \end{pmatrix} \qquad Y = \begin{pmatrix} 0 & 3 \\ 4 & 1 \end{pmatrix}$$

$$AX = AY = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \quad X \neq Y$$

$$(4) \quad A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \qquad B = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$$

$$AB = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$(5) \quad A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \qquad B = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

17. (1) 可以举出例子说明 | AB | = | BA | , 也可以举出例子说明

### $|AB| \neq |BA|$

- (2) 可以举出例子说明 R(A)+R(B)=R(A+B), 也可以举出例子说明  $R(A)+R(B)\neq R(A+B)$
- (3) AB 的秩为 2, B 的秩为 3, B 是一系列初等方阵的积, AB 就相当于给 A 实施一系列初等变换,而初等变换不改变矩阵的秩。
  - (4)  $A^k \vec{x} = \vec{0}$  有非零解,  $A\vec{x} = \vec{0}$  的非零解就是  $A^k \vec{x} = \vec{0}$  有非零解。
- 23. 证明:由方阵 A 和 它的伴随方阵 A\*的关系  $AA^* = A^*A = |A|E$ ,方阵的行列式运算性 质 |AB| = |A||B|,  $|\lambda A| = \lambda^n |A|$ ,则  $|A||A^* = |A^*|A| = |A|E = |A|^n |E| = |A|^n |E| = |A|^n$ ,当  $|A| \neq 0$  时,  $|A^*| = |A|^{n-1}$ ; 当 |A| = 0 时,  $|AA^*| = |A|E = 0$ , 如  $|A^*| \neq 0$ , 则  $|AA^*| = 0$ , 可 逆,  $|AA^*| = 0$ ,  $|AA^*| = 0$   $|AA^*| = 0$ ,  $|AA^*| = 0$ , |

24. 提示 
$$E = E^k = E^k - A^k = (E - A)(E + A + A^2 + ... + A^{k-1})$$

26. 证明: 由己知有 
$$A^2 - A - 2E = 0$$
,  $A^2 - A = 2E$ ,

$$A(A-E)=2E$$
,  $|A(A-E)|=|2E|\neq 0$ ,

$$|A| \neq 0$$
,A可逆。又由己知有 $A^2 - A - 2E = 0$ , $A^2 = A + 2E$ ,

$$|A^2| = |A + 2E|$$
,  $|A + 2E| \neq 0$ , A+2E 可逆

## 习题3

- 1. (1) 2; (2)  $abc \neq 0$ ; (3) -3; (4) 无关; (5) 2; (6) -1; (7)  $k \neq 1, k \neq -2$ ; (8)  $(1,1,-1)^T$ .
- 2. (1) A; (2) C; (3) D; (4) A; (5) C;(6) C; (7) B; (8) B; (9) C; (10) A.

3. 
$$\alpha - \beta = \begin{pmatrix} -2 \\ -2 \\ -5 \\ 3 \end{pmatrix}$$
,  $5\alpha + 4\beta = \begin{pmatrix} 17 \\ 8 \\ 11 \\ 6 \end{pmatrix}$ ,  $(\alpha, \beta) = -3$ ,  $||\alpha|| = \sqrt{6}$ ,  $||\beta|| = \sqrt{30}$ .

- 4.  $\alpha = (1, 2, 3, 4)^T$ .
- 5. (1) 向量组 1 线性无关; (2) 向量组 2 线性相关; (3) 向量组 3 线性无关;
  - (4) 向量组 4 线性相关; (5) 向量组 5 线性相关.

- 6. (1) 向量组 1 的秩为 3, $\alpha_1, \alpha_2, \alpha_3$ 为一个极大无关组;
  - (2) 向量组 2 的秩为 2, $\alpha_1, \alpha_2$  为一个极大无关组.
- 7. (1) 当a = -4时, $\alpha_1, \alpha_2$ 线性相关;当 $a \neq -4$ 时, $\alpha_1, \alpha_2$ 线性无关;
  - (2) 当a = -4或a = 3/2时, $\alpha_1, \alpha_2, \alpha_3$ 线性相关;

当 $a \neq -4$ 且 $a \neq 3/2$ 时, $\alpha_1, \alpha_2, \alpha_3$ 线性无关.

- 8. a = 2, b = 5.
- 9. 当 $lm \neq 1$ 时,向量组 $l\alpha_2 \alpha_1, m\alpha_3 \alpha_2, \alpha_1 \alpha_3$ 线性无关.
- 10. ① 向量组 $\alpha_1, \alpha_2, \alpha_3, k\beta_1 + \beta_2$ 一定线性无关;
  - ② 当 $k \neq 0$ 时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性无关;

当k = 0时, $\alpha_1, \alpha_2, \alpha_3, \beta_1 + k\beta_2$ 线性相关.

11. 
$$\begin{pmatrix} 1 & -\frac{1}{2} & \frac{1}{3} \\ -\frac{1}{2} & 1 & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & -1 \end{pmatrix}$$
 不是正交阵,
$$\begin{pmatrix} \frac{1}{9} & -\frac{8}{9} & -\frac{4}{9} \\ -\frac{8}{9} & \frac{1}{9} & -\frac{4}{9} \\ -\frac{4}{9} & -\frac{4}{9} & \frac{7}{9} \end{pmatrix}$$
 是正交阵.

12. (1) 向量组 1 正交化的结果: 
$$\beta_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \beta_2 = \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}, \beta_3 = \frac{1}{3} \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix};$$

(2) 向量组 2 正交化的结果: 
$$\beta_1 = \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$$
,  $\alpha_2 = \frac{1}{3} \begin{pmatrix} 1 \\ -3 \\ 2 \\ 1 \end{pmatrix}$ ,  $\alpha_3 = \frac{1}{5} \begin{pmatrix} -1 \\ 3 \\ 3 \\ 4 \end{pmatrix}$ .

13-27 题证略.

## 习题4

1. (1) 
$$\lambda \neq 1 \pm \lambda \neq -2$$
; (2)  $a_1 + a_2 + a_3 + a_4 = 0$ ; (3)  $\frac{3 \pm \sqrt{13}}{2}$ ; (4) -2;

(5) 
$$a \neq 2$$
,  $\forall b \in R$ ; (6)  $(1,1,\dots,1)^T$ ; (7)  $x = \alpha_1 + k(\alpha_1 - \alpha_2)$  或者

$$x = \alpha_2 + k(\alpha_1 - \alpha_2), k \in \mathbb{R}$$
; (8)  $R(A) = R(A : \beta) = n$ ; (9)  $\geq$ ; (10)  $R(A) = R(B) = 2$ .

2. (1) A; (2) D; (3) A; (4) B; (5) A; (6) C; (7) C; (8) B; (9) D

3. (1) 
$$x = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} + k_1 \begin{pmatrix} 2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} -1 \\ 0 \\ 1 \\ 0 \end{pmatrix}, k_1, k_2 \in \mathbb{R}; (2) \mathbb{R}$$

$$(3) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ -2 \end{pmatrix}; \quad (4) \quad x = \frac{1}{6} \begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \end{pmatrix} + k \begin{pmatrix} 5 \\ -7 \\ 5 \\ 6 \end{pmatrix}, \quad k \in \mathbb{R}; \quad (5) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = k \begin{pmatrix} 4 \\ -9 \\ 4 \\ 3 \end{pmatrix}, k \in \mathbb{R}$$

(6) 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = k_1 \begin{pmatrix} -2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, k_1, k_2 \in \mathbb{R}; \quad (7) \quad 无解$$

(8) 
$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 3 \\ 0 \\ -2 \end{pmatrix}; (9) \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k \begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}, k \in R$$

$$(10) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \frac{1}{7} \begin{pmatrix} 6 \\ -5 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ 5 \\ 7 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ -9 \\ 0 \\ 7 \end{pmatrix}, k_1, k_2 \in \mathbb{R}.$$

4. (1) 当
$$a \neq 1$$
且 $b \neq 0$ 时,有唯一解: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \frac{1-2b}{b(1-a)} \\ 1/b \\ \frac{4b-2ab-1}{b(1-a)} \end{pmatrix};$$

当a=1且 $b\neq 1/2$ 时,无解; 当b=0时,无解;

当 
$$a=1$$
且  $b=1/2$ 时,有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_2 \end{pmatrix} = \begin{pmatrix} 2 \\ 2 \\ 0 \end{pmatrix} + k \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}, k \in \mathbb{R}.$$

(2) 当
$$\lambda=1$$
时,有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + k \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, k \in R;$$

当 
$$\lambda=-2$$
 时,有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 2 \\ 2 \\ 0 \end{pmatrix} + k \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, k \in R;$$

当 $\lambda$ ≠1且 $\lambda$ ≠−2时, 无解.

(3) 当
$$\lambda = 5$$
时,有无穷多解,一般解为:  $x = \frac{1}{5} \begin{pmatrix} 4 \\ 3 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} -1 \\ 3 \\ 5 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} -6 \\ -7 \\ 0 \\ 5 \end{pmatrix}$ ,  $k_1, k_2 \in R$ 

(4) 当
$$\lambda \neq 1$$
且 $\lambda \neq 10$ 时,有唯一解: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \frac{1}{\lambda - 10} \begin{pmatrix} -3\lambda \\ -6 \\ \lambda - 4 \end{pmatrix};$$

当 $\lambda$ =10时, 无解;

当 
$$\lambda = 1$$
 时,有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}, k_1, k_2 \in R.$$

(5) 当 a = 0, b = 2 时有无穷多解,一般解为:

$$x = \begin{pmatrix} -2 \\ 3 \\ 0 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ -2 \\ 0 \\ 1 \\ 0 \end{pmatrix} + k_3 \begin{pmatrix} 5 \\ -6 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \quad k_1, k_2, k_3 \in \mathbb{R}.$$

(6) 当 $\lambda \neq 0$ 且 $\lambda \neq \pm 1$ 时,有唯一解; 当 $\lambda = 0$ 或者 $\lambda = 1$ 时,无解;

当 
$$\lambda = -1$$
 时,有无穷多解,通解为: 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} + k \begin{pmatrix} -3/5 \\ -3/5 \\ 1 \end{pmatrix}, k \in \mathbb{R}.$$

- 5. (1) 当 $\lambda \neq 0$ 且 $\lambda \neq -3$ 时, $\beta$ 可由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出,且表示方式唯一;
  - (2) 当 $\lambda = 0$ 时, $\beta$ 可由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出,但表示方式不唯一;
  - (3) 当 $\lambda = -3$ 时, $\beta$ 不能由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出.

6. 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 3 \\ 4 \end{pmatrix} + k_1 \begin{pmatrix} 2 \\ 2 \\ 1 \\ 1 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, k_1, k_2 \in \mathbb{R}$$

7. 
$$x = \eta^* + k_1 \xi_1 + k_2 \xi_2, k_1, k_2 \in R$$
,

其中
$$\xi_1 = \eta_1 - \eta_3 = \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix}, \xi_2 = \eta_2 - \eta_3 = \begin{pmatrix} 0 \\ 2 \\ 4 \end{pmatrix}, \eta^* = \eta_2 = \begin{pmatrix} 0 \\ 1/2 \\ 5/2 \end{pmatrix}.$$

8. t = -3.

9. a=1 或 b=0, R(B)=1.

10-18 题证略.

## 习题 5

1. (1) 0; (2)  $n-1 \uparrow 0 \not\equiv n$ ; (3) 0, 1, 1; (4) 0; (5)  $\frac{1}{12}, \frac{1}{6}, \frac{1}{4}$ ; (6) -6;

(7) 
$$-\frac{3}{5}$$
, 1; (8) 5; (9)  $B$ ; (10)  $a = -b$ .

2. (1) C; (2) A; (3) B; (4) C; (5) D; (6) B; (7) B; (8) B; (9) B; (10) C.

3. (1) 
$$\lambda_1 = 2, \lambda_2 = \lambda_3 = 1$$

对应于 2 的全部特征向量为  $k_1\begin{pmatrix}0\\0\\1\end{pmatrix}$   $(k_1\neq 0)$  ,对应于 1 的全部特征向量为  $k_2\begin{pmatrix}1\\2\\-1\end{pmatrix}$   $(k_2\neq 0)$ 

(2) 
$$\lambda_1 = -1, \lambda_2 = \lambda_3 = 2$$

对应于-1 的全部特征向量为  $k_1\begin{pmatrix}1\\0\\1\end{pmatrix}$   $(k_1\neq 0)$  ,对应于 2 的全部特征向量为

$$k_2 \begin{pmatrix} 1 \\ 4 \\ 0 \end{pmatrix} + k_3 \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix} (k_2, k_3 不全为0)$$

(3) 
$$\lambda_1 = 1, \lambda_2 = \lambda_3 = 2$$

对应于 1 的全部特征向量为  $k_1$   $\begin{pmatrix} 3 \\ -1 \\ 3 \end{pmatrix}$   $(k_1 \neq 0)$  ,对应于 2 的全部特征向量为

$$k_2$$
  $\begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} + k_3 \begin{pmatrix} 2 \\ 0 \\ 1 \end{pmatrix}$   $(k_2, k_3$ 不全为0)

(4) 
$$\lambda_1 = 7, \lambda_2 = \lambda_3 = 1$$

对应于 7 的全部特征向量为  $k_1\begin{pmatrix}1\\2\\3\end{pmatrix}$   $(k_1\neq 0)$  ,对应于 1 的全部特征向量为

$$k_2 \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} + k_3 \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix} (k_2, k_3 不全为0)$$

6. 除第一个矩阵外, 其余三个矩阵均能相似对角化

9. 
$$x = 4, y = 5$$

10. 
$$\frac{1}{3} \begin{pmatrix} -1 & 0 & 2 \\ 0 & 1 & 2 \\ 2 & 2 & 0 \end{pmatrix}$$

11. 
$$\lambda = 1, a = 2, b = -3, c = 2$$

13. 
$$\frac{1}{3} \begin{pmatrix} -1 & 0 & 2 \\ 0 & 1 & 2 \\ 2 & 2 & 0 \end{pmatrix}$$

14. 
$$A = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

15. 
$$A = \begin{pmatrix} 4 & 1 & 1 \\ 1 & 4 & 1 \\ 1 & 1 & 4 \end{pmatrix}$$

16. (1) 
$$B = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 3 \\ 0 & 1 & -2 \end{pmatrix}$$
; (2) -4

17. (1) 
$$\lambda_1 = 3, \lambda_2 = \lambda_3 = 0$$

对应于 3 的全部特征向量为  $k_1 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$   $(k_1 \neq 0)$  ,对应于 0 的全部特征向量为

$$k_2 \begin{pmatrix} -1 \\ 2 \\ -1 \end{pmatrix} + k_3 \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix} (k_2, k_3 不全为0)$$

$$(2) \ Q = \begin{pmatrix} \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} & \frac{-1}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & 0 & \frac{2}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & \frac{-2}{\sqrt{6}} \end{pmatrix}, \ \Lambda = \begin{pmatrix} 3 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

18. (1) 
$$\begin{cases} x_{n+1} = \frac{9}{10} x_n + \frac{2}{5} y_n \\ y_{n+1} = \frac{1}{10} x_n + \frac{3}{5} y_n \end{cases}, \begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix} = \begin{pmatrix} \frac{9}{10} & \frac{2}{5} \\ \frac{1}{10} & \frac{3}{5} \end{pmatrix} \begin{pmatrix} x_n \\ y_n \end{pmatrix}$$

(3) 
$$\frac{1}{10} \left( 8 - 3 \left( \frac{1}{2} \right)^n \right) \\ 2 + 3 \left( \frac{1}{2} \right)^n \right)$$

# 习题 6

1. (1) 2; (2) 2; (3) 
$$\begin{pmatrix} 1 & 2 & 0 \\ 2 & 0 & -2 \\ 0 & -2 & 1 \end{pmatrix}$$
; (4) 圆柱面; (5) 大于  $A$  的最大特征值.

2. (1) D; (2) A; (3) C; (4) B; (5) B.

3. (1) 
$$f = (x, y, z) \begin{pmatrix} 2 & 2 & -2 \\ 2 & 2 & -1 \\ -2 & -1 & -3 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

(2) 
$$f = (x_1, x_2, x_3, x_4) \begin{pmatrix} 0 & 1/2 & 0 & -1/2 \\ 1/2 & -1 & 0 & 0 \\ 0 & 0 & 3 & -1 \\ -1/2 & 0 & -1 & 2 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix}$$

4. (1) 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ 0 & \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}, f = 2y_1^2 + 2y_2^2 + 4y_3^2$$

$$(2) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1/2 & 1/\sqrt{2} & 0 & 1/2 \\ 1/2 & 0 & 1/\sqrt{2} & 1/2 \\ 1/2 & 0 & -1/\sqrt{2} & -1/2 \\ 1/2 & -1/\sqrt{2} & 0 & 1/2 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{pmatrix}, \ f = y_1^2 + y_2^2 + y_3^2 - 3y_4^2$$

5. (1) 
$$f = 2y_1^2 + \frac{16}{11}y_2^2 - 11y_3^2$$
 (2)  $f = y_1^2 - y_2^2 - y_3^2 - \frac{3}{4}y_4^2$ 

6. (1) 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{pmatrix} f = y_1^2 + y_2^2 - y_3^2 + y_4^2$$

(2) 
$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 & \frac{1}{2} & \frac{9}{10} \\ 0 & 1 & \frac{3}{10} \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}, f = 2y_1^2 + \frac{5}{2}y_2^2 + \frac{153}{20}y_3^2$$

10. (1) 
$$-\frac{4}{5} < t < 0$$
 (2)  $-2\sqrt{2} < t < 2\sqrt{2}$ 

13. (1) 
$$a = 0$$
 (2)  $P = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 & -\frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \end{pmatrix}$  (3)  $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = k \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$   $(k \in R)$ 

14. (1) 
$$a = 1, b = 2$$
 (2)  $x = \begin{pmatrix} \frac{2}{\sqrt{5}} & 0 & \frac{1}{\sqrt{5}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{5}} & 0 & -\frac{2}{\sqrt{5}} \end{pmatrix} y$