Hierarchical Decision Making by Generating and Following Natural Language Instructions

Hengyuan Hu* Denis Yarats* Qucheng Gong Yuandong Tian Mike Lewis Facebook AI Research {hengyuan, denisy, qucheng, yuandong, mikelewis}@fb.com

Abstract

We explore using latent natural language instructions as an expressive and compositional representation of complex actions for hierarchical decision making. Rather than directly selecting micro-actions, our agent first generates a latent plan in natural language, which is then executed by a separate model. We introduce a challenging real-time strategy game environment in which the actions of a large number of units must be coordinated across long time scales. We gather a dataset of 76 thousand pairs of instructions and executions from human play, and train *instructor* and *executor* models. Experiments show that models using natural language as a latent variable significantly outperform models that directly imitate human actions. The compositional structure of language proves crucial to its effectiveness for action representation. We also release our code, models and data².

1 Introduction

Many complex problems can be naturally decomposed into steps of high level planning and low level control. However, plan representation is challenging—manually specifying macro-actions requires significant domain expertise, limiting generality and scalability [18, 22], but learning composite actions from only end-task supervision can result in the hierarchy collapsing to a single action [3].

We explore representing complex actions as natural language instructions. Language can express arbitrary goals, and has compositional structure that allows generalization across commands [1, 14]. Our agent has a two-level hierarchy, where a high-level *instructor* model communicates a sub-goal in natural language to a low-level *executor* model, which then interacts with the environment (Fig. 1). Both models are trained to imitate humans playing the roles. This approach decomposes decision making into planning and execution modules, with a natural language interface between them.

We gather example instructions and executions from two humans collaborating in a complex game. Both players have access to the same partial information about the game state. One player acts as the *instructor*, and periodically issues instructions to the other player (the *executor*), but has no direct control on the environment. The *executor* acts to complete the *instruction*. This setup forces the *instructor* to focus on high-level planning, while the *executor* concentrates on low-level control.

To test our approach, we introduce a real-time strategy (RTS) game, developing an environment based on [23]. A key property of our game is the *rock-paper-scissors* unit attack dynamic, which emphasises strategic planning over micro control. Our game environment is a challenging decision making task, because of exponentially large state-action spaces, partial observability, and the variety of effective strategies. However, it is relatively intuitive for humans, easing data collection.

^{*}Equal Contribution

²A demo is available at www.minirts.net

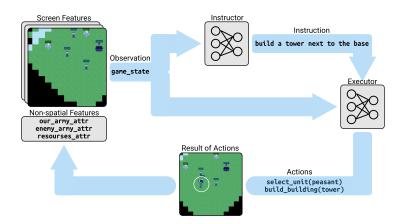


Figure 1: Two agents, designated *instructor* and *executor* collaboratively play a real-time strategy game (§2). The *instructor* iteratively formulates plans and issues instructions in natural language to the *executor*, who then executes them as a sequence of actions. We first gather a dataset of humans playing each role (§3). We then train models to imitate humans actions in each role (§4).

Using this framework, we gather a dataset of 5392 games, where two humans (the *instructor* and *executor*) control an agent against rule-based opponents. The dataset contains 76 thousand pairs of human instructions and executions, spanning a wide range of strategies. This dataset poses challenges for both instruction generation and execution, as instructions may apply to different subsets of units, and multiple instructions may apply at a given time. We design models for both problems, and extensive experiments show that using latent language significantly improves performance.

In summary, we introduce a challenging RTS environment for sequential decision making, and a corresponding dataset of instruction-execution mappings. We develop novel model architectures with planning and control components, connected with a natural language interface. Our agent with latent language significantly outperforms agents that directly imitate human actions, and we show that exploiting the compositional structure of language improves performance by allowing generalization across a large instruction set. We also release our code, models and data.

2 Task Environment

We implement our approach for an RTS game, which has several attractive properties compared to traditional reinforcement learning environments, such as Atari [13] or grid worlds [19]. The large state and action spaces mean that planning at different levels of abstraction is beneficial for both humans and machines. However, manually designed macro-actions typically do not match strong human performance, because of the unbounded space of possible strategies [21, 25]. Even with simple rules, adversarial games have the scope for complex emergent behaviour.

We introduce a new RTS game environment, which distills the key features of more complex games while being faster to simulate and more tractable to learn. Current RTS environments, such as StarCraft, have dozens of unit types, adding large overheads for new players to learn the game. Our new environment is based on MiniRTS [23]. It has a set of 7 unit types, designed with a *rock-paper-scissors* dynamic such that each has some units it is effective against and vulnerable to. Maps are randomly generated each game to force models to adapt to their environment as well as their opponent. The game is designed to be intuitive for new players (for example, catapults have long range and are effective against buildings). Numerous strategies are viable, and the game presents players with dilemmas such as whether to attack early or focus on resource gathering, or whether to commit to a strategy or to attempt to scout for the opponent's strategy first. Overall, the game is easy for humans to learn, but challenging for machines due to the large action space, imperfect information, and need to adapt strategies to both the map and opponent. See the Appendix for more details.

3 Dataset

To learn to describe actions with natural language, we gather a dataset of two humans playing collaboratively against a rule-based opponent. Both players have access to the same information about the game state, but have different roles. One is designated the *instructor*, and is responsible for designing strategies and describing them in natural language, but has no direct control. The other player, the *executor*, must ground the instructions into low level control. The *executor*'s goal is to carry out commands, not to try to win the game. This setup causes humans to focus on either planning or control, and provides supervision for both generating and executing instructions.

We collect 5392 games of human teams against our bots.³ Qualitatively, we observe a wide variety of different strategies. An average game contains 14 natural language instructions and lasts for 16 minutes. Each instruction corresponds to roughly 7 low-level actions, giving a challenging grounding problem (Table 1). The dataset contains over 76 thousand instructions, most of which are unique, and their executions. The diversity of instructions shows the wide range of useful strategies. The instructions contain a number of challenging linguistic phenomena, particularly in terms of reference to locations and units in the game, which often requires pragmatic inference. Instruction execution is typically highly dependent on context. Our dataset is available ⁴. For more details, refer to the Appendix.

Statistic	Value
Total games	5392
Win rate	58.6%
Total instructions	76045
Unique instructions	50669
Total words	483650
Unique words	5007
# words per instruction	9.54
# instructions per game	14.1

Table 1: We gather a large language dataset for instruction generation and following. Major challenges include the wide range of unique instructions and the large number of low-level actions required to execute each instruction.

Analysing the list of instructions (see Appendix),

we see that the head of the distribution is dominated by straightforward commands to perform the most frequent actions. However, samples from the complete instruction list reveal many complex compositional instructions, such as *Send one catapult to attack the northern guard tower [and] send a dragon for protection.* We see examples of challenging quantifiers (*Send all but 1 peasant to mine*), anaphora (*Make 2 more cavalry and send them over with the other ones*), spatial references (*Build a new town hall between the two west minerals patches*) and conditionals (*If attacked retreat south*).

4 Model

We factorize agent into an *executor* model (§4.2), which maps instructions and the game states into unit-level actions of the environment, and an *instructor* model (§4.3), which generates language instructions given the game states. We train both models with human supervision (§4.4).

4.1 Game Observation Encoder

We condition both the *instructor* and *executor* models on a fixed-sized representation of the current game state, which we construct from a spatial map observation, internal states of visible units, and several previous natural language instructions. (Fig. 2). We detail each individual encoder below.

4.1.1 Spatial Inputs Encoder

We encode the spatial information of the game map using a convolutional network. We discretize the map into a 32×32 grid and extract different bits of information from it using separate channels. For example, three of those channels provide binary indication of a particular cell visibility, which indicates Invisible, Seen, and Visible states. We also have a separate channel per unit type to record the number of units in each spatial position for both our and enemy units separately. Note that due to "fog-of-war", not all enemy units are visible to the player. See the Appendix for more details.

³Using ParlAI [12]

⁴Anonymous url to the dataset: http://bit.ly/2VL9lg6

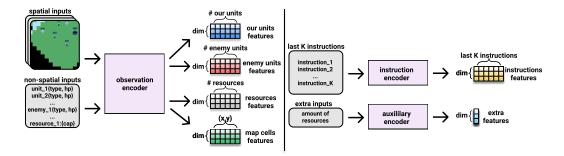


Figure 2: At each time step of the environment we encode spatial observations (e.g. the game map) and non-spatial internal states for each game object (e.g. units, buildings, or resources) via the observation encoder, which produces separate feature vectors for each unit, resource, or discrete map locations. We also embed each of the last K natural language instructions into individual instruction feature vectors. Lastly, we learn features for all the other global game attributes by employing the auxiliary encoder. We then use these features for both the *executor* and *instructor* networks.

We apply several 3×3 convolutional layers that preserve the spatial dimensions to the input tensor. Then we use 4 sets of different weights to project the shared convolutional features onto different 2D features spaces, namely Our Units, Enemy Units, Resources, and Map Cells. We then use (x,y) locations for units, resources, or map cells to extract their features vectors from corresponding 2D features spaces.

4.1.2 Non-spatial Inputs Encoder

We also take advantage of non-spatial attributes and internal state for game objects. Specifically, we improve features vectors for OUR UNITS and ENEMY UNITS by adding encodings of units health points, previous and current actions. If an enemy unit goes out the players visibility, we respect this by using the state of the unit's attributes from the last moment we saw it. We project attribute features onto the same dimensionality of the spatial features and do a element-wise multiplication to get the final set of OUR UNITS and ENEMY UNITS features vectors.

4.1.3 Instruction Encoders

The state also contains a fixed-size representation of the current instruction. We experiment with:

- An instruction-independent model (EXECUTORONLY), that directly mimics human actions.
- A non-compositional encoder (ONEHOT) which embeds each instruction with no parameter sharing across instructions (rare instructions are represented with an *unknown* embedding).
- A bag-of-words encoder (BoW), where an instruction encoding is a sum of word embeddings. This model tests if the compositionality of language improves generalization.
- An RNN encoder (RNN), which is order-aware. Unlike BOW, this approach can differentiate instructions such as *attack the dragon with the archer* and *attack the archer with the dragon*.

4.1.4 Auxiliary Encoder

Finally, we encode additional game context, such as the amount of money the player has, through a simple MLP to get the EXTRA features vector.

4.2 Executor Model

The *executor* predicts an action for every unit controlled by the agent based on the global summary of the current observation. We predict an action for each of the player's units by choosing over an ACTION TYPE first, and then selecting the ACTION OUTPUT. There are 7 action types available: IDLE, CONTINUE, GATHER, ATTACK, TRAIN UNIT, BUILD BUILDING, MOVE. ACTION OUTPUT specifies the target output for the action, such as a target location for the MOVE action, or the unit type for TRAIN UNIT. Fig. 3 gives an overview of the *executor* design, also refer to the Appendix.

For each unit, we consider a history of recent N instructions (N=5 in all our experiments), because some units may still be focusing on a previous instruction that has long term effect like keep scouting or build 3 peasants. To encode the N instructions, we first embed them in isolation with the 4.1.3. We take Kthat represents how many frames have passed since that instruction gets issued and compute $H = \max(H_{max}, K/B)$ where H_{max}, B are constants defining the number of bins and bin size. We also take O = 1, 2, ..., N that represents the temporal ordering of those instructions. We embed O and H and concatenate the embeddings with language embedding. Dot product attention is used to compute an attention score between a unit and recent instructions and then a unit dependent instruction representation is obtained through a weighted sum of history instruction embeddings using attention score as weight.

We use the same observation encoder (§4.1) to obtain the features mentioned above. To form a global summary, we sum our unit features, enemy unit features, and resource features respectively and then concatenate together with EXTRA features.

To decide the action for each unit, we first feed the concatenation of the unit feature, unit de-

pending instruction feature and the global summary into a multi-layer neural classifier to sample an ACTION TYPE. Depending on the action type, we then feed inputs into different action-specific classifiers to sample ACTION OUTPUT. In the action argument classifier, the unit is represented by the concatenation of unit feature and instruction feature, and the targets are represented by different target embeddings. For ATTACK, the target embeddings are enemy features; for GATHER; the target embeddings are resource features; for MOVE, the target embeddings are map features; for TRAIN UNIT, the target embeddings are embeddings of unit types; for BUILD BUILDING, the target embeddings are embeddings of unit types and map features, and we sample type and location independently. The distribution over targets for the action is computed by taking the dot product between the unit representation and each target, followed by a softmax.

We add an additional binary classifier, GLOBAL CONTINUE, that takes the global summary and **current** instruction embedding as an input to predict whether all the agent's units should continue working on their previous action.

4.3 Instructor Model

The *instructor* maps the game state to instructions. It uses the game observation encoder (§4.1) to compute a global summary and **current** instruction embedding similar to the *executor*. We experiment with two model types:

Discriminative Models These models operate on a fixed set of instructions. Each instruction is encoded as a fixed-size vector, and the dot product of this encoding and the game state encoding is fed into a softmax classifier over the set of instructions. As in §4.1.3, we consider non-compositional (ONEHOT), bag-of-words (BOW) and RNN DISCRIMINATIVE encoders.

Generative Model The discriminative models can only choose between a fixed set of instructions. We also train a generative model, RNN GENERATIVE, which generates instructions autoregressively.

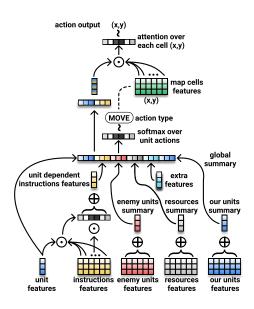


Figure 3: Modeling an action for an unit requires predicting an action type based on the **global summary** of current observation, and then, depending on the predicted action type, computing a probability distribution over a set of the action targets. In this case, the MOVE action is sampled, which uses the map cells features as the action targets.

To compare likelihoods with the discriminative models, which consider a fixed set of instructions, we re-normalize the probability of an instruction over the space of instructions in the set.

The *instructor* model must also decide at each time-step whether to issue a new command, or leave the *executor* to follow previous instructions. We add a simple binary classifier that conditions on the global feature, and only sample a new instruction if the result is positive.

Because the game is only partially observable, it is important to consider historical information when deciding an instruction. For simplicity, we add a running average of the number of enemy units of each type that have appeared in the visible region as an extra input to the model. To make the *instructor* model aware of how long the current instruction has been executed, we add an extra input representing number of time-step passed since the issuance of current instruction. As mentioned above, these extra inputs are fed into separate MLPs and become part of the EXTRA feature.

4.4 Training

Since one game may last for tens of thousands of frames, it is not feasible nor necessary to use all frames for training. Instead, we take one frame every K frames to form the supervised learning dataset. To preserve unit level actions for the *executor* training, we put all actions that happen in [tK, (t+1)K) frames onto the tKth frame if possible. For actions that cannot happen on the tKth frame, such as actions for new units built after tKth frame, we simply discard them.

Humans players sometimes did not execute instructions immediately. To ensure our *executor* acts promptly, we filter out action-less frames between a new instruction and first new actions.

4.4.1 Executor Model

The executor is trained to minimize the following negative log-likelihood loss:

$$\mathcal{L} = -\log P_{\text{cont}}(c|s) - (1-c) \cdot \sum_{i=1}^{|u|} \log P_{\text{A}}(a_{u_i}|s)$$

where s represents game state and instruction, $P_{\text{cont}}(\cdot|s)$ is the executor GLOBAL CONTINUE classifier (see §4.2), c is a binary label that is 1 if all units should continue their previous action, $P_A(a_{u_i}|s)$ is the likelihood of unit i doing the correct action a_{u_i} .

4.4.2 Instructor Model

The loss for the *instructor* model is the sum of a loss for deciding whether to issue a new instruction, and the loss for issuing the correct instruction:

$$\mathcal{L} = -\log P_{\text{cont}}(c|s) - (1-c) \cdot \mathcal{L}_{\text{lang}}$$

where s represents game state and current instruction, $P_{\text{cont}}(\cdot|s)$ is the continue classifier, and c is a binary label with c=1 indicating that no new instruction is issued. The language loss $\mathcal{L}_{\text{lang}}$ is the loss for choosing the correct instruction, and is defined separately for each model.

For ONEHOT instructor, \mathcal{L}_{lang} is simply negative log-likelihood of a categorical classifier over a pool of N instructions. If the true target is not in the candidate pool \mathcal{L}_{lang} is 0.

Because BoW and RNN DISCRIMINATIVE can compositionally encode any instruction (in contrast to ONEHOT), we can additionally train on instructions from outside the candidate pool. To do this, we encode the true instruction, and discriminate against the N instructions in the candidate pool and another M randomly sampled instructions. The true target is forced to appear in the M+N candidates. We then use the NLL of the true target as language loss. This approach approximates the expensive softmax over all 40K unique instructions.

For RNN GENERATIVE, the language loss is the standard autoregressive loss.

5 Experiments

We compare different *executor* (§5.1) and *instructor* (§5.2) models in terms of both likelihoods and end-task performance. We show that hierarchical models perform better, and that the compositional structure of language improves results by allowing parameter sharing across many instructions.

Executor Model	Negative Log Likelihood	Win/Lose/Draw Rate (%)
EXECUTORONLY	3.15 ± 0.0024	41.2/40.7/18.1
ONEHOT	3.05 ± 0.0015	49.6/37.9/12.5
BoW	2.89 ± 0.0028	54.2/33.9/11.9
RNN	$\textbf{2.88} \pm \textbf{0.0006}$	57.9/30.5/11.7

Table 2: Negative log-likelihoods of human actions for *executor* models, and win-rates against EXECUTORONLY, which does not use latent language. We use the RNN DISCRIMINATIVE *instructor* with 500 instructions. Modelling instructions compositionally improves performance, showing linguistic structure enables generalization.

Instructor Model	Negative Log Likelihood			W	in/Lose/Draw rate (9	%)
(with N instructions)	N=50	N=250	N=500	N=50	N=250	N=500
ONEHOT	0.662 ± 0.005	0.831 ± 0.001	0.911 ± 0.005	44.6 / 43.4 / 12.0	49.7 / 35.9 / 14.3	43.1 / 41.1 / 15.7
BoW	0.638 ± 0.004	0.792 ± 0.001	0.869 ± 0.002	41.3 / 41.2 / 17.5	51.5 / 33.3 / 15.3	50.5 / 37.1 / 12.5
RNN DISCRIMINATIVE	$\textbf{0.618} \pm \textbf{0.005}$	$\textbf{0.764} \pm \textbf{0.002}$	$\textbf{0.826} \pm \textbf{0.002}$	47.8 / 36.5 / 15.7	55.4 / 33.1 / 11.5	57.9 / 30.5 / 11.7
RNN GENERATIVE	0.638 ± 0.006	0.794 ± 0.006	0.857 ± 0.002	47.3 / 38.1 / 14.6	51.1 / 33.7 / 15.2	54.8 / 33.8 / 11.4

Table 3: Win-rates and likelihoods for different *instructor* models, with the N most frequent instructions. Win-rates are against a non-hierarchical *executor* model, and use the RNN *executor*. Better results are achieved with larger instruction sets and more compositional instruction encoders.

5.1 Executor Model

The *executor* model learns to ground pairs of states and instructions onto actions. With over 76 thousand examples, a large action space, and multiple sentences of context, this problem in isolation is one of the largest and most challenging tasks currently available for grounding language in action.

We evaluate *executor* performance with different instruction encoding models (§4.1.3). Results are shown in Table 2, and show that modelling instructions compositionally—by encoding words (BoW) and word order (RNN)—improves both the likelihoods of human actions, and win-rates over non-compositional *instructor* models (ONEHOT). The gain increases with larger instruction sets, demonstrating that a wide range of instructions are helpful, and that exploiting the compositional structure of language is crucial for generalization across large instruction sets.

We additionally ablate the importance of considering multiple recent instructions during execution (our model performs attention over the most recent 5 commands §4.2). When considering only the current instruction with the RNN *executor*, we find performance drops to a win-rate of 57.0 (from 65.1) and negative log likelihood worsens from 2.90 to 2.95.

5.2 Instructor Model

We compare different *instructor* models for mapping game states to instructions. As in §5.1, we experiment with non-compositional, bag-of-words and RNN models for instruction generation. For the RNNs, we train both a discriminative model (which maps complete instructions onto vectors, and then chooses between them) and a generative model that outputs words auto-regressively.

Evaluating language generation quality is challenging, as many instructions may be reasonable in a given situation, and they may have little word overlap. We therefore compare the likelihood of the human instructions. Our models choose from a fixed set of instructions, so we measure the likelihood of choosing the correct instruction, normalized over all instructions in the set. Likelihoods across different instructions sets are not comparable.

Table 3 shows that, as §5.1, more structured instruction models give better likelihoods—particularly for larger instruction sets, which are harder to model non-compositionally.

We compare the win-rate of our models against a baseline which directly imitates human actions (without latent language). All latent instruction models outperform this baseline. More compositional instruction encoders improve performance, and can use more instructions effectively. These results demonstrate the potential of language for compositionally representing large spaces of complex plans.

5.3 Qualitative Analysis

Observing games played by our model, we find that most instructions are both generated and executed as humans plausibly would. The *executor* is often able to correctly count the number of units it should create in commands such as *build 3 dragons*.

There are several limitations. The *executor* sometimes acts without instructions—partly due to mimicking some humans behaviour, but also indicating a failure to learn dependencies between instructions and actions. The *instructor* sometimes issues commands which are impossible in its state (e.g. to attack with a unit that the it does not have)—causing weak behaviour from *executor* model.

6 Related work

Previous work has used language to specify exponentially many policies [1, 14, 27], allowing zero-shot generalization across tasks. We develop this work by generating instructions as well as executing them. We also show how complex tasks can be decomposed into a series of instructions and executions.

Executing natural language instructions has seen much attention. The task of grounding language into an executable representation is sometimes called semantic parsing [29], and has been applied to navigational instruction following, e.g. [2]. More recently, neural models instruction following have been developed for a variety of domains, for example [11] and [10]. Our dataset offers a challenging new problem for instruction following, as different instructions will apply to different subsets of available units, and multiple instructions may be apply at a given time.

Instruction generation has been studied as a separate task. [7] map navigational paths onto instructions. [8] generate instructions for complex tasks that humans can follow, and [9] train a model for instruction generation, which is used both for data augmentation and for pragmatic inference when following human-generated instructions. We build on this work by also generating instructions at test time, and showing that latent language improves performance.

Learning to play a complete real-time strategy game, including unit building, resources gathering, defence, invasion, scouting, and expansion, remains a challenging problem [15], in particular due to the complexity and variations of commercially successful games (e.g., StarCraft I/II), and its demand of computational resources. Traditional approaches focus on sub-tasks with hand-crafted features and value functions (e.g., building orders [5], spatial placement of building [4], attack tactics between two groups of units [6], etc). Inspired by the recent success of deep reinforcement learning, more works focus on training a neural network to finish sub-tasks [17, 24], some with strong computational requirement [28]. For full games, [23] shows that it is possible to train an end-to-end agent on a small-scaled RTS game with predefined macro actions, and TStarBot [20] applies this idea to StarCraft II and shows that the resulting agent can beat carefully-designed, and even cheating rule-based AI. By using human demonstrations, we hand crafting macro-actions.

Learning an end-to-end agent that plays RTS games with unit-level actions is even harder. Progress is reported for MOBA games, a sub-genre of RTS games with fewer units—for example, [16] shows that achieving professional level of playing DoTA2 is possible with massive computation, and [26] shows that with supervised pre-training on unit actions, and hierarchical macro strategies, a learned agent on Honor of Kings is on par with a top 1% human player.

7 Conclusion

We introduced a framework for decomposing complex tasks into steps of planning and execution, connected with a natural language interface. We experimented with this approach on a new strategy game which is simple to learn but features challenging strategic decision making. We collected a large dataset of human instruction generations and executions, and trained models to imitate each role. Results show that exploiting the compositional structure of natural language improves generalization for both the *instructor* and *executor* model, significantly outperforming agents without latent language. Future work should use reinforcement learning to further improve the planning and execution models, and explore generating novel instructions.

References

- [1] Jacob Andreas, Dan Klein, and Sergey Levine. Modular multitask reinforcement learning with policy sketches. In *Proceedings of the 34th International Conference on Machine Learning, ICML 2017, Sydney, NSW, Australia, 6-11 August 2017*, pages 166–175, 2017.
- [2] Yoav Artzi and Luke Zettlemoyer. Weakly supervised learning of semantic parsers for mapping instructions to actions. *Transactions of the Association of Computational Linguistics*, 1:49–62, 2013.
- [3] Pierre-Luc Bacon, Jean Harb, and Doina Precup. The option-critic architecture. *CoRR*, abs/1609.05140, 2016.
- [4] Michal Certicky. Implementing a wall-in building placement in starcraft with declarative programming. *arXiv preprint arXiv:1306.4460*, 2013.
- [5] David Churchill and Michael Buro. Build order optimization in starcraft. In *AIIDE*, pages 14–19, 2011.
- [6] David Churchill, Abdallah Saffidine, and Michael Buro. Fast heuristic search for rts game combat scenarios. In *AIIDE*, pages 112–117, 2012.
- [7] Andrea F Daniele, Mohit Bansal, and Matthew R Walter. Navigational instruction generation as inverse reinforcement learning with neural machine translation. In *Proceedings of the 2017 ACM/IEEE International Conference on Human-Robot Interaction*, pages 109–118. ACM, 2017.
- [8] Daniel Fried, Jacob Andreas, and Dan Klein. Unified pragmatic models for generating and following instructions. *arXiv preprint arXiv:1711.04987*, 2017.
- [9] Daniel Fried, Ronghang Hu, Volkan Cirik, Anna Rohrbach, Jacob Andreas, Louis-Philippe Morency, Taylor Berg-Kirkpatrick, Kate Saenko, Dan Klein, and Trevor Darrell. Speakerfollower models for vision-and-language navigation. arXiv preprint arXiv:1806.02724, 2018.
- [10] Karl Moritz Hermann, Felix Hill, Simon Green, Fumin Wang, Ryan Faulkner, Hubert Soyer, David Szepesvari, Wojciech Marian Czarnecki, Max Jaderberg, Denis Teplyashin, et al. Grounded language learning in a simulated 3d world. arXiv preprint arXiv:1706.06551, 2017.
- [11] Hongyuan Mei, Mohit Bansal, and Matthew R Walter. Listen, attend, and walk: Neural mapping of navigational instructions to action sequences. In AAAI, volume 1, page 2, 2016.
- [12] A. H. Miller, W. Feng, A. Fisch, J. Lu, D. Batra, A. Bordes, D. Parikh, and J. Weston. Parlai: A dialog research software platform. *arXiv preprint arXiv:1705.06476*, 2017.
- [13] V. Mnih, K. Kavukcuoglu, D. Silver, A. Graves, I. Antonoglou, D. Wierstra, and M. Riedmiller. Playing atari with deep reinforcement learning. *CoRR*, 2013.
- [14] Junhyuk Oh, Satinder Singh, Honglak Lee, and Pushmeet Kohli. Zero-shot task generalization with multi-task deep reinforcement learning. *ICML*, 2017.
- [15] Santiago Ontanón, Gabriel Synnaeve, Alberto Uriarte, Florian Richoux, David Churchill, and Mike Preuss. A survey of real-time strategy game ai research and competition in starcraft. *IEEE Transactions on Computational Intelligence and AI in games*, 5(4):293–311, 2013.
- [16] OpenAI. Openai five. https://blog.openai.com/openai-five/, 2018.
- [17] Peng Peng, Quan Yuan, Ying Wen, Yaodong Yang, Zhenkun Tang, Haitao Long, and Jun Wang. Multiagent bidirectionally-coordinated nets for learning to play starcraft combat games. CoRR, abs/1703.10069, 2017.
- [18] Sutton Richard, Precup Doina, and Singh Satinder. Between mdps and semi-mdps: A framework for temporal abstraction in reinforcement learning. *Artif. Intell.*, 112(1-2), 1999.
- [19] Sainbayar Sukhbaatar, Arthur Szlam, Gabriel Synnaeve, Soumith Chintala, and Rob Fergus. Mazebase: A sandbox for learning from games. *CoRR*, abs/1511.07401, 2015.

- [20] Peng Sun, Xinghai Sun, Lei Han, Jiechao Xiong, Qing Wang, Bo Li, Yang Zheng, Ji Liu, Yongsheng Liu, Han Liu, et al. Tstarbots: Defeating the cheating level builtin ai in starcraft ii in the full game. *arXiv preprint arXiv:1809.07193*, 2018.
- [21] Gabriel Synnaeve, Nantas Nardelli, Alex Auvolat, Soumith Chintala, Timothée Lacroix, Zeming Lin, Florian Richoux, and Nicolas Usunier. Torchcraft: a library for machine learning research on real-time strategy games. *CoRR*, abs/1611.00625, 2016.
- [22] Chen Tessler, Shahar Givony, Tom Zahavy, Daniel J. Mankowitz, and Shie Mannor. A deep hierarchical approach to lifelong learning in minecraft. *CoRR*, abs/1604.07255, 2016.
- [23] Yuandong Tian, Qucheng Gong, Wenling Shang, Yuxin Wu, and C Lawrence Zitnick. Elf: An extensive, lightweight and flexible research platform for real-time strategy games. In *Advances in Neural Information Processing Systems*, pages 2659–2669, 2017.
- [24] Nicolas Usunier, Gabriel Synnaeve, Zeming Lin, and Soumith Chintala. Episodic exploration for deep deterministic policies: An application to starcraft micromanagement tasks. *ICLR*, 2017.
- [25] Oriol Vinyals, Timo Ewalds, Sergey Bartunov, Petko Georgiev, Alexander Sasha Vezhnevets, Michelle Yeo, Alireza Makhzani, Heinrich Küttler, John Agapiou, Julian Schrittwieser, John Quan, Stephen Gaffney, Stig Petersen, Karen Simonyan, Tom Schaul, Hado van Hasselt, David Silver, Timothy P. Lillicrap, Kevin Calderone, Paul Keet, Anthony Brunasso, David Lawrence, Anders Ekermo, Jacob Repp, and Rodney Tsing. Starcraft II: A new challenge for reinforcement learning. CoRR, abs/1708.04782, 2017.
- [26] Bin Wu, Qiang Fu, Jing Liang, Peng Qu, Xiaoqian Li, Liang Wang, Wei Liu, Wei Yang, and Yongsheng Liu. Hierarchical macro strategy model for moba game ai. arXiv preprint arXiv:1812.07887, 2018.
- [27] Denis Yarats and Mike Lewis. Hierarchical text generation and planning for strategic dialogue. In *Proceedings of the 35th International Conference on Machine Learning, ICML 2018, Stockholmsmässan, Stockholm, Sweden, July 10-15, 2018*, pages 5587–5595, 2018.
- [28] Vinicius Zambaldi, David Raposo, Adam Santoro, Victor Bapst, Yujia Li, Igor Babuschkin, Karl Tuyls, David Reichert, Timothy Lillicrap, Edward Lockhart, et al. Relational deep reinforcement learning. *arXiv preprint arXiv:1806.01830*, 2018.
- [29] Luke Zettlemoyer and Michael Collins. Online learning of relaxed ccg grammars for parsing to logical form. In *Proceedings of the 2007 Joint Conference on Empirical Methods in Natural Language Processing and Computational Natural Language Learning (EMNLP-CoNLL)*, 2007.

Appendix

A Detailed game design

We develop an RTS game based on the MiniRTS framework, aspiring to make it intuitive for humans, while still providing a significant challenge to machines due to extremely high-dimensional observation and actions spaces, partial observability, and non-stationary environment dynamics imposed by the opponent. Below we describe the key game concepts.

A.1 Game units specifications

Building units Our game supports 6 different building types, each implementing a particular function in the game. Any building unit can be constructed by the PEASANT unit type at any available map location by spending a specified amount of resources. Later, the constructed building can be used to construct units. Most of the building types can produce up to one different unit type, except of WORKSHOP, which can produce 3 different unit types. This property of the WORKSHOP building allows various strategies involving bluffing. A full list of available building units can be found in Table 4.

Army units The game provides a player with 7 army unit types, each having different strengths and weaknesses. PEASANT is the only unit type that can construct building units and mine resources, so it is essential for advancing to the later stages of the game. We design the attack relationships between each unit type with a *rock-paper-scissors* dynamic—meaning that each unit type has another unit type that it is effective against or vulnerable to. This property means that effective agents must be reactive to their opponent's strategy. See Fig. 4 for a visualization. Descriptions of army units can be found in Table 5.

Resource unit RESOURCE is a stationary and neutral unit type, it cannot be constructed by anyone, and is only created during the map generation phase. PEASANTS of both teams are allowed to mine the same RESOURCE unit, until it is exhausted. Initial capacity is set to 500, and one

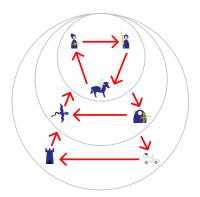


Figure 4: Our game implements the *rock-paper-scissors* attack graph, where each unit has some units it is effective against and vulnerable to.

mine action subtracts 10 points from the RESOURCE. Several RESOURCE units are placed randomly on the map, which gives raise to many strategies around RESOURCE domination.

A.2 Game map

We represent the game map as a discrete grid of 32x32. Each cell of the grid can either be grass or water, where the grass cell is passable for any army units, while the water cell prevents all units

Building name	Description
TOWN HALL	The main building of the game, it allows a player to train PEASANTs and
TOWN HALL	serves as a storage for mined resources.
BARRACK	Produces Spearmen.
BLACKSMITH	Produces SWORDMEN.
STABLE	Produces CAVALRY.
WORKSHOP	Produces CATAPULT, DRAGON and ARCHER. The only building that can
WORKSHOP	produce multiple unit types.
GUARD TOWER	A building that can attack enemies, but cannot move.

Table 4: The list of the building units available in the game.

except of DRAGON to go through. Having water cells around one's main base can be leveraged as a natural protection. We generate maps randomly for each new game, we first place one TOWN HALL for each player randomly. We then add some water cells onto the map, making sure that there is at least one path between two opposing TOWN HALLs, but otherwise aiming to create bottlenecks. Finally, we randomly locate several RESOURCE units onto the map such that they are approximately equidistant from the players TOWN HALLs.

Unit name	Description
PEASANT	Gathers minerals and constructs buildings, not good at fighting.
SPEARMAN	Effective against cavalry.
SWORDMAN	Effective against spearmen.
CAVALRY	Effective gainst swordmen.
DRAGON	Can fly over obstacles, can only be attacked by archers and towers.
ARCHER	Great counter unit against dragons.
CATAPULT	Easily demolishes buildings.

Table 5: The list of the army units available in the game.

B RTS game as an Reinforcement Learning environment

Our platform can be also used as an RL environment. In our code base we implement a framework that allows a straightforward interaction with the game environment in a canonical RL training loop. Below we detail the environment properties.

B.1 Observation space

We leverage both spatial representation of the map, as well as internal state of the game engine (e.g. units health points and attacking cool downs, the amount of resources, etc.) to construct an observation. We carefully address the fog of war, by masking out the regions of the map that have not been visited. In addition, we remove any unseen enemy units attributes from the observation. The partial observability of the environment makes it especially challenging to apply RL due to highly non-stationary state distribution.

B.2 Action space

At each timestep of the environment we predict an action for each of our units, both buildings and army. The action space is consequently large—for example, any unit can go to any location at each timestep. Prediction of an unit action proceeds in steps, we first predict an action type (e.g. MOVE or ATTACK), then, based on the action type, we predict the action outputs. For example, for the BUILD BUILDING action type the outputs will be the type of the future building and its location on the game map. We summarize all available action types and their structure in Table 6.

B.3 Reward structure

We support a sparse reward structure, e.g. the reward of 1 is issued to an agent at the end if the game is won, all the other timesteps receive the reward of 0. Such reward structure makes exploration an especially challenging given the large dimensionality of the action space and the planning horizon.

C Data collection

We design a data collection task based on ParlAI, a transparent framework to interact with human workers. We develop separate game control interfaces for both the *instructor* and the *executor* players, and ask two humans to play the game collaboratively against a rule-based AI opponent. Both player have the same access to the game observation, but different control abilities.

The *instructor* control interface allows the human player to perform the following actions:

Action Type	Action Output	Input Features
IDLE	NULL	NULL
CONTINUE	NULL	NULL
GATHER	resource_id	resources_features
ATTACK	enemy_unit_id	enemy_units_features
TRAIN UNIT	unit_type	unit_type_features
BUILD BUILDING	unit_type, (x,y)	unit_type_features, map_cells_features
Move	(x,y)	map_cells_features

Table 6: We implement a separate action classifier per action type, because each action type needs to model a probability distribution over different objects (Action Output). For example, for the ATTACK action we need estimate a probability distribution over all visible enemy units and predict an enemy unit id, or Build Building action needs to model two probability distributions, one over building type to be constructed, and another over all possible (x,y) discrete location on the map where the future building will be placed.

- **Issue** a natural language instruction to the *executor* at any time of the game. We allow any free-form language instruction.
- **Pause** the game flow at any time. Pausing allows the *instructor* to analyze the game state more thoroughly and plan strategically.
- Warn the *executor* player in case they do not follow issued instructions precisely. This option allows us to improve data quality, by filtering *executors* who do not follow instructions.

On the other hand, the *executor* player gets to:

- **Control** the game units by direct manipulation using computer's input devices (e.g. mouse). The *executor* is tasked to complete the current instruction, rather than to win the game.
- Ask the *instructor* for either a new instruction, or a clarification.

Each human workers is assigned with either the *instructor* or the *executor* role randomly, thus the same person can experience the game on both ends over multiple attempts.

C.1 Quality control

To make sure that we collect data of high quality we take the following steps:

Game manual We provide a detailed list of instructions to a human worker at the beginning of each game and during the game's duration. This manual aims to narrate a comprehensive overview various game elements, such as player roles, army and building units, control mechanics, etc. We also record several game replays that serve as an introductory guideline to the players.

Onboarding We implement an onboarding process to make sure that novice players are comfortable with the game mechanics, so that they can play with other players effectively. For this, we ask a novice player to perform the *executor*'s duties and pair them with a bot that issues a pre-defined set of natural language instructions that implements a simple walkthrough strategy. We allocate enough time for the human player to work on the current instruction, and to also get comfortable with the game flow. We let the novice player play several games until we verify that they pass the required quality bar. We assess the performance of the player by running a set of pattern-matching scripts that verify if the performed control actions correspond to the issued instructions (for example, if an instruction says "build a barrack", we make sure that the player executes the corresponding low-level action). If the human player doesn't pass our qualification requirements within 5 games, we prevent them from participating in our data collection going forward and filter their games from the dataset.

Player profile We track performance of each player, breaking it down by a particular role (e.g. *instructor* or *executor*). We gather various statistics about each player and build a comprehensive player profile. For example, for the *instructor* role we gather data such as overall win rate, the number of instructions issued per game, diversity of issued instructions; for the *executor* role we monitor

Strategy Name	Description
SIMPLE	This strategy first sends all 3 initially available PEASANTs to mine to the
	closest resource, then it chooses one army unit type from SPEARMAN,
	SWORDMAN, CAVALRY, ARCHER, or DRAGON, then it constructs a corre-
	sponding building, and finally trains 3 units of the selected type and sends
	them to attack. The strategy then continuously maintains the army size of
	3, in case an army unit dies.
MEDIUM	Same as SIMPLE strategy, only the size of the army is randomly selected
	between 3 and 7.
STRONG	This strategy is adaptive, and it reacts to the opponent's army. In particular,
	this strategy constantly scouts the map using one PEASANT and to lean
	the opponent's behaviour. Once it sees the opponent's army it immediately
	trains a counter army based on the attack graph (see Fig. 4). Then it clones
	the MEDIUM strategy.
SECOND BASE	This strategy aims to build a second TOWN HALL near the second closest
	resource and then it uses the double income to build a large army of a
	particular unit type. The other behaviours is the same as in the MEDIUM
	strategy.
Tower Rush	A non-standard strategy, that first scouts the map in order to find the
	opponent using a spare PEASANT. Once it finds it, it starts building
	GUARD TOWERS close to the opponent's TOWN HALL so they can attack
	the opponent's units.
PEASANT RUSH	This strategy sends first 3 PEASANTs to mine, then it keeps producing
	more PEASANTs and sending them to attack the opponent. The hope of
	this strategy is to beat the opponent by surprise.

Table 7: The rule-based strategies we use as an opponent to the human players during data collection.

how well they perform on the issued instruction (using a pattern matching algorithm), the number of warnings they receive from the *instructor*, and many more. We then use this profile to decide whether to upgrade a particular player to playing against stronger opponents (see Appendix C.2) in case they are performing well, or prevent them from participating in our data collection at all otherwise.

Feedback We use several initial round of data collection as a source of feedback from the human players. The received feedback helps us to improve the game quality. Importantly, after we finalize the game configuration, we disregard all the previously collected data in our final dataset.

Final filtering Lastly, we take another filtering pass against all the collected game replays and eliminate those replays that don't meet the following requirements:

- A game should have at least 3 natural language instructions issued by the *instructor*.
- A game should have at least 25 low-level control actions issued by the *executor*.

By implementing all the aforementioned safe guards we are able to gather a high quality dataset.

C.2 Rule-based bots

We design a set of diverse game strategies that are implemented by our rule-based bots (Table 7). Our handcrafted strategies explore much of the possibilities that the game can offer, which in turn allows us to gather a multitude of emergent human behaviours in our dataset. Additionally, we employ a resource scaling hyperparameter, which controls the amount of resources a bot gets during mining. This hypermarameter offers a finer control over the bot's strength, which we find beneficial for onboarding novice human players. We pair a team of two human players (the *instructor* and *executor*) with a randomly sampled instance of a rule-based strategy and the resource scaling hyperparameter during our data collection, so the human player doesn't know in advance who is their opponent. This property rewards reactive players. We later observe that our models are able to learn the scouting mechanics from the data, which is a crucial skill to be successful in our game.

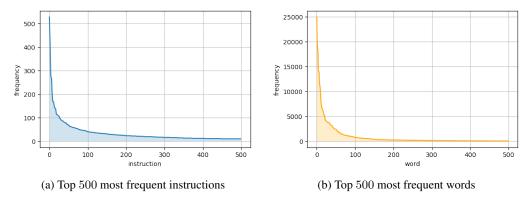


Figure 5: Frequency histograms for the dataset instructions and words.

D Model architecture

D.1 Convolutional channels of Spatial Encoder

We use the following set of convolutional channels to extract different bits of information from spatial representation of the current observation.

- 1. **Visibility**: 3 binary channels for each state of visibility of a cell (VISIBLE, SEEN, and INVISIBLE).
- 2. **Terrain**: 2 binary channels for each terrain type of a cell (grass or water).
- 3. **Our Units**: 13 channels for each unit type of our units. Here, a cell contains the number of our units of the same type located in it.
- 4. Enemy Units: similarly 13 channels for visible enemy units.
- 5. **Resources**: 1 channel for resource units.

D.2 Action Classifiers

At each step of the game we predict actions for each of the player's units, we do this by performing a separate forward pass for ofv the following network for each unit. Firstly, we run an MLP (Fig. 6) based action classifier to sample the unit's ACTION TYPE. We feed the unit's global summary features (see Fig. 3 of the main paper) into the classifier and sample an action type (see Table 6 for the full list of possible actions). Then, given the sampled action type we predict the ACTION OUTPUT based on the unit's features, unit dependent instructions features, and the action input features. We provide an overview of ACTION OUTPUTs and INPUT FEATURES for each actions in Table 6. In addition, you can refer to the diagram Fig. 7.

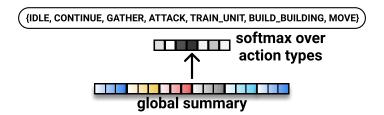


Figure 6: The ACTION TYPE classifier is parameterized as an MLP network to model a softmax distribution over action types based on the unit's global summary features vector.

Linguistic Phenomena	Example
Counting	Build 3 dragons.
Spatial Reference	Send him to the choke point behind the tower.
Locations	Build one to the left of that tower.
Composed Actions	Attack archers, then peasants.
Cross-instruction anaphora	Use it as a lure to kill them.

Table 8: Complex linguistic phenomena emerge as humans instruct others how to play the game.

E Dataset details

Through our data collection we gather a dataset of over 76 thousand of instructions and corresponding executions. We observe a wide variety of different strategies and their realizations in natural language. For example, we observe emergence of complicated linguistic constructions (Table 8).

We also study the distribution of collected instructions. While we notice that some instructions are more frequent than others, we still observe a good coverage of strategies realizations, which serve as a ground for generalization. In Table 10 we provide a list of most frequently used instructions, and in Fig. 5 shows the overall frequency distribution for instructions and words in our dataset.

Finally, we provide a random sample of 50 instructions from our dataset in Table 9, where showing the diversity and complexity of the collected instructions.

Instruction

Build 1 more cavalry.

Attack peaons.

Build barrack in between south pass at new town.

Have all peasants gather minerals next to town hall.

Have all peasants mine ore.

Fight u peaas.

Stop the peasants from mining.

Build a new town hall between the two west minerals patches.

Build 2 more swords.

Use cavalry to attack enemey.

Explore and find miners.

If you see any idle peasants please have them build.

Okay that doesn't work then build them on your side of the wall then.

Create 4 more archers.

Make a new town hall in the middle of all 3.

Attack tower with catas.

Kill cavalry and peasants then their townhall.

Attack enemy peasants with cavalry as well.

Send all peasants to collect minerals.

Attack enemy peasant.

Keep creating peasants and sending them to mine.

Send one catapult to attack the northern guard tower send a dragon for protection.

Send all but I peasant to mine.

Mine with the three peasants.

Use that one to scout and don't stop.

Bring scout back to base to mine.

You'll need to attack them with more peasants to kill them.

Build a barracks.

Send all peasants to find a mine and mine it.

Start mining there with your 3.

Make four peasants.

Move archers west then north.

Attack with cavalry.

Make two more workers.

Make 2 more calvary and send them over with the other ones.

Return to base with scout.

Build 2 peasants at the new mine.

If attacked retreat south.

Make the rest gather minerals too.

All peasants flee the enemy.

Attack the peasants in the area.

Attack the last archer with all peasants on the map.

Table 9: Examples of randomly sampled instructions.

Instruction	Frequency	Instruction	Frequency
Attack.	527	Send idle peasants to mine.	68
Send all peasants to mine.	471	Attack that peasant.	68
Build a workshop.	414	Send all peasants to mine min-	65
		erals.	
Retreat.	323	Build a barracks.	64
Build a stable.	278	Build barrack.	62
Send peasants to mine.	267	Return to mine.	62
All peasants mine.	266	Build peasant.	61
Send idle peasant to mine.	211	Build catapult.	61
Build workshop.	191	Create a dragon.	61
Build a dragon.	168	Mine with peasants.	60
Kill peasants.	168	Build 3 peasants.	59
Attack enemy.	166	Defend.	58
Attack peasants.	159	Build cavalry.	58
Build a guard tower.	146	Make an archer.	58
Attack the enemy.	142	Attack dragon.	58
Stop.	141	Send all peasants to collect min-	57
•		erals.	
Attack peasant.	139	Defend base.	57
Kill that peasant.	132	Build 2 more peasants.	56
Mine.	119	Build 2 peasants.	55
Build another dragon.	113	Make 2 archers.	55
Make another peasant.	113	Make dragon.	54
Build stable.	112	Build 2 dragons.	54
Make a dragon.	110	Attack dragons.	54
Build a blacksmith.	108	Make a stable.	53
Build a catapult.	108	Make a catapult.	53
Back to mining.	106	Build 6 peasants.	52
Build another peasant.	104	Attack archers.	50
Make a peasant.	98	Kill all peasants.	50
Build a barrack.	97	Build 2 catapults.	50
Build 4 peasants.	93	Idle peasant mine.	49
Have all peasants mine.	92	Make peasant.	48
Build 2 archers.	90	Attack enemy peasant.	48
Build dragon.	87	Attack archer.	48
Attack with peasants.	87	Build another archer.	47
Return to mining.	87	Make 4 peasants.	47
· ·	86		47
Build a peasant.	85	Make 3 peasants. Build 2 more archers.	'
Idle peasant to mine. Make a workshop.	83	III	46 46
*		Send idle peasant back to mine.	l .
Create a workshop.	81	Make more peasants.	46
Mine with all peasants.	80	Make 2 more peasants.	46
Build 3 more peasants.	79	Build blacksmith.	46
Create another peasant.	79	Collect minerals.	45
Send all idle peasants to mine.	77	Kill.	45
Build 3 archers.	77	Build an archer.	45
Kill peasant.	77	Keep mining.	45
Make another dragon.	76	Keep attacking.	43
Kill him.	72	Attack dragons with archers.	43
Build guard tower.	70	Create a stable.	42
Attack town hall.	70	Make 3 more peasants.	42
Start mining.	69	Attack the peasant.	41

Table 10: The top 100 instructions sorted by their usage frequency.

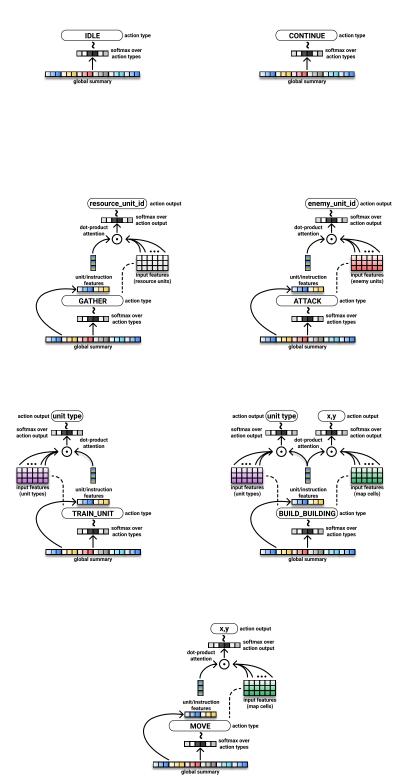


Figure 7: Separate classifiers for each of the available action types.