# How to talk so your robot will learn: Instructions, descriptions, and pragmatics

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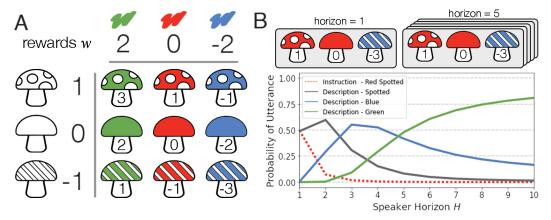
#### **Abstract**

From the earliest years of our lives, humans use language to express our beliefs and desires. Being able to talk to artificial agents about our preferences would thus fulfill a central goal of value alignment. Yet today, we lack computational models explaining such flexible and abstract language use. To address this challenge, we consider social learning in a linear bandit setting and ask how a human might communicate preferences over behaviors (i.e. the reward function). We study two distinct types of language: *instructions*, which provide information about the desired policy, and *descriptions*, which provide information about the reward function. To explain how humans use these forms of language, we suggest they reason about both known present and unknown future states: instructions optimize for the present, while descriptions generalize to the future. We formalize this choice by extending reward design to consider a distribution over states. We then define a pragmatic listener agent that infers the speaker's reward function by reasoning about *how* the speaker expresses themselves. We validate our models with a behavioral experiment, demonstrating that (1) our speaker model predicts spontaneous human behavior, and (2) our pragmatic listener is able to recover their reward functions. Finally, we show that in traditional reinforcement learning settings, pragmatic social learning can integrate with and accelerate individual learning. Our findings suggest that social learning from a wider range of languagein particular, expanding the field's present focus on instructions to include learning from descriptions—is a promising approach for value alignment and reinforcement learning more broadly.

# 1 Introduction

As artificial agents proliferate in society, aligning them with human values is increasingly important [1–3]. But how can we build machines that understand what we want? Prior work has highlighted the difficulty of specifying our desires in numerical reward functions [3–5]. Here, we explore language as a means to communicate them. While most previous work on language input to AI systems focuses on *instructions* [6–19], we study instructions alongside more abstract, *descriptive* language [20–24]. We examine how humans communicate about rewards, and formalize learning from this input.

To consider how humans communicate about reward functions, imagine taking up mushroom foraging. How would you learn the rewards associated with different fungi (i.e. which are delicious and which



**Figure 1: A:** Our linear bandit setting. Rewards associated with features determine whether actions (mushrooms) are high or low reward. **B:** The speaker's *horizon* affects how they communicate about the reward function. At short horizons, speakers prefer instructions (which precisely select the optimal action). At longer horizons encompassing more unknown states, speakers prefer descriptions (which generalize better).

are deadly)? In such a setting, learning from direct experience [25] is risky; most humans would seek to learn *socially* instead. So how might we learn reward functions from others? Prior work in reinforcement learning (RL) has examined a number of social learning strategies, including passive *inverse reinforcement learning* (observe an expert pick mushrooms, then infer their reward function [26, 27]) or active *preference learning* (offer an expert pairs of mushrooms, observe which one they eat, and infer their reward function [28–30]).

However, few humans would rely on such indirect data if they had access to a cooperative teacher [31–34]. For example, an expert guiding a foraging trip might *demonstrate* [35, 36] or verbally *instruct* [7] the learner to pick certain mushrooms, licensing stronger inferences. While such pedagogical actions have been useful for guiding RL agents [10, 17–19], natural language affords richer, under-explored forms of teaching. For example, an expert teaching a seminar might *describe* how to recognize edible or toxic mushrooms based on their features. Descriptive language is particularly powerful if learners can expect experts to prioritize *relevant* and *context-sensitive* information [38, 39].

First, we present a formal model of cooperative social learning in a linear bandit setting (Fig 1). We propose a speaker model that chooses utterances to maximize the listener's expected rewards over some task *horizon*, generalizing reward design [40] to a distribution over states. We formalize and analyze *instructions* (which designate a specific action to take) and *descriptions* (which teach the reward function). We find that short-horizon speakers (focused on a single, known state) prefer instructions, while long-horizon speakers (reasoning about future, unknown states) prefer descriptions.

Second, we consider how a listener might learn from such a speaker. We define a pragmatic listener that performs *inverse* reward design [IRD, 4] to learn about rewards from instructions and descriptions. While prior work suggests pragmatic learning can be vulnerable to model mis-specification [41], we show that jointly inferring the speaker's horizon and reward function can mitigate this risk.

Finally, we conduct a behavioral experiment showing our models support strong reward inference from human-chosen utterances. We integrate this social inference with traditional RL, with social information accelerating learning and reducing regret. Overall, our results suggest that descriptive language and pragmatic inference are powerful mechanisms for value alignment and learning.<sup>2</sup>

# 2 Related work

Classic RL assumes that the reward function is given to the agent [25]. However, in practice, it is difficult to specify a reward function to obtain desired behavior [3], motivating *learning* the reward

<sup>&</sup>lt;sup>1</sup>Or write a book on the topic, e.g. [37].

<sup>&</sup>lt;sup>2</sup>Code and data is available at https://github.com/tsumers/how-to-talk

function from social input. <sup>3</sup> Traditional methods for learning reward functions assume the expert is simply acting optimally, but recent *pragmatic* methods instead assume the expert is actively teaching.

**Learning reward functions from observed actions.** When the desired behavior is known (but the reward function is not), inverse reinforcement learning [IRL, 12, 14, 26, 27, 45–47] can be used to infer an expert's reward function from their actions. However, such approaches face fundamental issues with identifiability: observed behavior can often be explained by multiple reward functions. One solution allows the robot to actively query the human [29, 30, 48]. An alternative is to make a stronger assumption: that the human is actively teaching [i.e. behaving *pedagogically*, 35, 41, 49, 50]. We next review work on learning rewards from language, then return to these methods.

**Learning reward functions from instructions.** Instructions use language to communicate specific actions or goals [6–8]. Prior work in reinforcement learning has recognized that instructions can reflect more general latent desires, and used them to shape [15–17] or infer reward functions [9–14]. Our work provides a theoretical basis for these models, situating them within a broader framework of humans' language use: we ask when a human would want to use instructions (vs. other forms of language), and—given an instruction (vs. other forms of language)—how a robot should generalize.

**Learning reward functions from descriptions.** A relatively smaller body of work seeks to learn reward functions directly from existing bodies of text [20–22, 51] or interactive, free-form language input [23, 24]. Rather than expressing context-specific goals, reward-descriptive language directly encodes abstract and general information. While the education literature suggests such rich descriptive feedback is crucial for human teaching [52, 53], it poses a severe grounding challenge [54, 55] as the language no longer maps directly to the agent's action or perception space. Here, we assume groundings are known; this allows us to characterize the utility of reward-descriptive language to gain theoretical understanding. Other related lines of work use "descriptive" language about agent *behaviors*. This language, whether externally provided [56–62] or internally-generated [63–66], is typically used to structure the task representation or guide exploration. In contrast, we study descriptive language which provides information about task-relevant properties of the environment.

**Learning reward functions from pedagogy.** The preceding algorithms all assume that training examples (whether demonstrations or language) are generated by a human that is *indifferent to the learning process*. Recent work has begun to challenge this assumption by considering *pedagogical* settings [33–36]. In particular, the Rational Speech Act framework [67, 68] builds on classic Gricean theories [69] to formulate *optimal communication* in terms of recursive Bayesian inference and decision-making. These ideas have been applied to a variety of language tasks including reference games [70, 71], captioning [72], and instruction following [73].

Developing analogues of (linguistic) pragmatics in reinforcement learning — i.e., algorithms that assume data are *intentionally designed to be informative* — is currently an active area of research [35, 41, 49, 50]. In particular, inverse reward design (IRD [4]) applies pragmatic inference directly to (numerical) reward functions: rather than take the provided reward function literally, the IRD agent quantifies its uncertainty over the function to mitigate alignment risk. In this work, we unify these different strains of pragmatic reasoning, extending IRD to a broader class of natural language input. Using IRD on natural language input offers two distinct benefits over its non-linguistic formulation. First, language is *expressive yet tractable* for humans. Traditional IRD is useful when offline training RL agents. In contrast, IRD on language could be applied broadly to real-world, real-time interactions with non-experts. Second, language can address *future settings*: speakers can refer to actions or features which are not physically present. Speakers can thus provide information about rare or hazardous possibilities (e.g. poisonous mushrooms) which lie outside the listener's experience. We next describe a model for such language use.

# 3 Social learning as reward design

#### 3.1 Pragmatic reasoning

Our theoretical approach is based on the Rational Speech Act framework [67, 68], which formalizes pragmatics as recursive reasoning. It begins by defining a *literal listener*  $L_0$  who interprets utterances using the literal meanings of the language. A *pragmatic speaker*  $S_1$  then (soft-)optimally selects

<sup>&</sup>lt;sup>3</sup>Another approach bypasses learning the reward function and allows the human to provide a reward signal directly [42, 43]. This is challenging, however, as people do not typically provide classical reinforcement [44].

utterances u to maximize a utility, typically the epistemic goal of increasing the literal listener's beliefs in the true world state  $w^*$ :  $U(u; w^*) = \ln P_{L_0}(w^* \mid u)$ . A pragmatic listener  $L_1$  then infers the intended meaning of a given utterance by assuming it was generated by such a speaker:

$$P_{L_0}(w \mid u) \propto \mathcal{L}(w, u) \tag{1}$$

$$P_{S_1}(u \mid w) \propto \exp\{\beta_{S_1} \cdot U(u; w)\}$$
 (2)

$$P_{L_1}(w \mid u) \propto P_{S_1}(u \mid w)P(w) \tag{3}$$

where  $\mathcal{L}$  is a "lexicon" function mapping utterances to states and  $\beta_{S_1} > 0$  is an inverse temperature parameter. This recursion can be continued [74], but the behavior of interest emerges at the  $L_1$  level.

#### 3.2 Social learning in linear bandits

We consider social learning in a *linear bandit* setting, a popular testbed for RL [75–79]. Formally, we define a set of A possible actions. Actions are associated with a binary feature vector  $\phi: A \to \{0,1\}^K$  (e.g. a mushroom may be green (or not), or striped (or not)). Following other work in IRL [4, 26, 46], the rewards of each action are then defined as a linear combination of these features (e.g. green mushrooms tend to be tasty):

$$R(a, w) = w^{\top} \phi(a) \tag{4}$$

so w is a vector that defines the value of each feature (see Fig. 1A). Each task consists of a sequence of H i.i.d. states. At each time step t < H, the agent is presented with a state  $s_t$  consisting of a subset of possible actions:  $s_t \subseteq A$  (e.g., a particular mushroom patch). They choose an action  $a \in s_t$  according to their policy,  $\pi_L : S \to \Delta(A)$ .

While the bandit problem is typically considered an individual learning problem, we instead ask how an agent should learn from a cooperative, knowledgeable partner. We formalize this social learning problem by introducing a second agent: a speaker who knows the true rewards w and the initial state  $s_0$ , and produces an utterance u. The listener updates their policy to  $\pi_L(a \mid u, s)$  before beginning to choose actions. Intuitively, the horizon H determines how many actions the listener will perform under this updated policy. H=1 represents maximum supervision (i.e. guided foraging), whereas  $H\to\infty$  is minimal supervision (teaching the listener to forage independently in future settings). We first assume H is known to both listener and speaker, then relax this assumption. This framework exposes two interrelated problems. First, what should a helpful speaker say? And second, how should the listener update their policy in light of this information?

# 3.3 Speakers as reward designers

Rather than defining the speaker's utility U(u; w) as Gricean informativeness [69] (i.e. inducing true beliefs, Section 3.1), we suggest that a cooperative speaker should *maximize the listener's rewards*, thus grounding utility in the listener's subsequent actions. When the state is known, the *present* utility of an utterance is the expected reward from using the resulting policy to choose an action:

$$U_{\text{Present}}(u \mid s, w) = \sum_{a \in s} \pi_L(a \mid u, s) R(a, w)$$
 (5)

This formulation is equivalent to *reward design* [4, 40], where the reward designer chooses a proxy reward for a single, known MDP. However, because only the first state is known, we must also consider how well the policy *generalizes*. Thus, unlike reward design, speakers may reason about future states. The *future* utility of an utterance with respect to a distribution over states P(s) is:

$$U_{\text{Future}}(u \mid w) = \sum_{s \in S} U_{\text{Present}}(u \mid s, w) P(s)$$
(6)

Because states are i.i.d. in the bandit setting, a speaker optimizing for a horizon H can be defined as a linear combination of Eqs. 5 and 6:

$$U_{S_1}(u \mid w, s, H) = \frac{U_{\text{Present}} + (H - 1)U_{\text{Future}}}{H} = \frac{1}{H}U_{\text{Present}} + (1 - \frac{1}{H})U_{\text{Future}}$$
(7)

where H=1 reduces to Eq. 5 and as  $H\to\infty$  reduces to Eq. 6. The following theorem shows that increasing the speaker horizon cannot decrease the expected utility of the listener across the distribution of future states. This is because the speaker's incentive to bias the proxy reward to the local context is reduced as the horizon they consider grows.

**Theorem 1** (Reward design over longer horizons). Let S be a set of states that each represent a decision context. Let  $\pi_L$  be an arbitrary listener policy. Consider the behavior of a speaker that chooses utterances u based on Eq. 7. As  $H \to \infty$ , the future utility generated by  $\pi_L$  is non-decreasing.

The proof for this and other theorems can be found in Appendix A.

# 3.4 Formalizing instructions

We now consider how utterances affect the listener's policy. *Instructions* map to specific actions or trajectories [7, 12]; in our work, "instruction" utterances correspond to the nine actions (Fig 1A). Given an instruction, a literal listener executes the corresponding action. If the action is not available, the listener acts randomly:

$$\pi_{L_0}(a \mid u_{\text{instruction}}, s) = \begin{cases} 0 & \text{if } a \notin s \\ \delta_{\llbracket u \rrbracket(a)} & \text{if } \llbracket u \rrbracket \in s \\ \frac{1}{|s|} & \text{otherwise} \end{cases}$$
(8)

where  $\delta_{\llbracket u \rrbracket(a)}$  represents the meaning of u, evaluating to one when utterance u grounds to a and zero otherwise.<sup>4</sup> An instruction is a *partial policy*: it designates an action to take in a subset of states.

This formalization of instructions allows us to identify an overlap between pragmatic inference from demonstrations, instructions, and reward design. In learning from demonstrations with, e.g., IRL, we assume that demonstrations are drawn from a distribution of optimal behavior. Thus, inference identifies reward functions such that the demonstrated trajectories have high reward in comparison to alternative trajectories available to the demonstrator. We will show that this is the same as: (1) pragmatic inference over instructions, under the assumption that instructions have a one-to-one mapping to available trajectories; and (2) pragmatic inference over true rewards given a proxy, under the assumption that the set of possible proxy rewards has a one-to-one mapping with trajectories. This reduction allows us to connect inference across these modalities.

**Theorem 2** (Reward design with instructions is equivalent to demonstrations.). Let s be a state, represented as a local context with a set of actions  $a \in s$  that can be taken. Let  $\mathcal{U}_{instruct}$  be a set of instruction utterances that reference each  $a \in s$  and let  $\tilde{\mathcal{R}}$  be the following set of (proxy) reward functions  $\{R(a) = \mathbb{I}[a=a']|a' \in s\}$ , where  $\mathbb{I}$  represents the indicator function. Then, the posterior distribution obtained after an observation of noisily-optimal behavior is the same as that obtained from a speaker maximizing Eq. (5) and that obtained from IRD with the set of proxies  $\tilde{\mathcal{R}}$ .

#### 3.5 Formalizing descriptions

Rather than mapping to a specific action, descriptions provide information about the reward function [23, 24, 47]. Following [80], we model descriptions as providing the reward of a single feature, similar to feature queries [30]. Descriptions are thus a tuple: a one-hot binary feature vector and a scalar value,  $\langle \mathbb{1}_K, \mathbb{R} \rangle$ . These are messages like  $\langle \text{Blue}, -2 \rangle$ . In this work, we consider the set of 6 features  $\times$  5 values in [-2, -1, 0, 1, 2], yielding 30 descriptive utterances. Formally,  $L_0$  "rules out" inconsistent hypotheses about reward weights w:

$$L_0(w \mid u_{\text{description}}) \propto \delta_{\llbracket u \rrbracket(w)} P(w)$$
 (9)

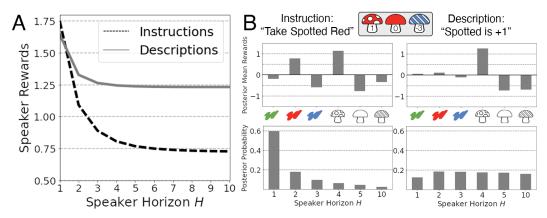
where  $\delta_{\llbracket u \rrbracket(w)}$  represents the meaning of u, evaluating to one when u is true of w and zero otherwise. In this work, we assume P(w) is uniform and there is no correlation between weights. The listener then marginalizes over possible reward functions to choose an action:

$$\pi_{L_0}(a \mid u_{\text{description}}, s) \propto \exp\{\beta_{L_0} \cdot \sum_{w} R(a, w) L_0(w \mid u))\}$$
 (10)

where  $\beta_{L_0}$  is again an inverse temperature parameter.<sup>5</sup>

 $<sup>^4</sup>$ We assume that lexical groundings  $\mathcal L$  are known, i.e. the literal listener knows the meaning of utterances.

<sup>&</sup>lt;sup>5</sup>Due to the recursive structure of speaker and listener, simultaneously varying  $\beta_{S_1}$  and  $\beta_{L_0}$  introduces identifiability issues. We therefore fix  $\beta_{L_0}=3$  throughout this work, and only vary  $\beta_{S_1}$ . This implies that all speakers address the same listener, but do so with different degrees of optimality.



**Figure 2:** A: Speaker rewards (Eq. 7, averaged over all 84 start states) for a speaker with access to only instructions or only descriptions. Instructions outperform descriptions at short horizons, but descriptions offer much stronger generalization. **B**: Latent-horizon pragmatics in this start state (Eq. 12). While both utterances suggest the listener should take the spotted red mushroom, an instruction (left) suggests they are short-horizon while a description (right) suggests they are long-horizon. This leads to different inference about their reward function (see Appendix B for full posteriors and more examples).

# 3.6 Comparing instructions and descriptions

Prior work suggests that humans use a mix of instructive and descriptive language [23, 24]. What modulates this—when should a rational speaker prefer instructions over descriptions? To explore the effects of speaker horizons on choice of utterance, we simulate a nearly-optimal speaker ( $\beta_{S_1} = 10$ ). Fig. 1A shows our bandit setting. We assume the listener begins with a uniform prior over reward weights throughout, and use states consisting of three unique actions (giving 84 possible states).

Fig 1B shows how the speaker's choice of utterance varies as their horizon lengthens. At short horizons, the speaker optimizes for present rewards (Eq. 5) and chooses instructions and descriptions that target the "Spotted Red" action. At longer horizons, future rewards (Eq. 6) play a larger role, and the speaker blends the two objectives (Eq. 7) by describing the highly negative blue feature. Finally, at sufficiently long horizons, future rewards dominate and it settles on describing the green feature—which is irrelevant to the start state, but the most important feature for generalization. To quantify how rational speakers should use instructions and descriptions, we repeat the task for all 84 start states using horizons ranging 1-10 and different utterance sets. Fig 2A plots rewards for speakers with access to *only* instructions *or* descriptions, illustrating why this shift from instructions to descriptions occurs. Instructions outperform descriptions at short horizons (achieving the theoretical maximum average reward of 1.75); as the horizon lengthens, however, descriptions generalize better. Overall, as their horizon lengthens, speakers with access to both instructions and descriptions choose descriptions exclusively, producing highly generalizable information (see Appendix B).

# 3.7 Learning from utterances: a pragmatic listener

We now ask how the listener should *learn* from the speaker's utterance, using pragmatic inference to recover information about the speaker's reward function.

**Known Horizon.** Following the standard RSA formulation, a pragmatic listener  $L_1$  can invert the speaker model. If the speaker's horizon H is known, this is equivalent to inverse reward design [4]:

$$L_1(w \mid s, u, H) \propto S_1(u \mid w, s, H)P(w) \tag{11}$$

Given an instruction,  $L_1$  infers the reward weights that would make such an instruction optimal [9, 14]; given a description,  $L_1$  can recover information about features that were not mentioned [23]. The  $L_1$  listener then chooses actions by substituting this posterior belief into Eq. 10. In practice, however, the speaker's horizon is *not* known, and so this approach is not feasible for real-world applications.

<sup>&</sup>lt;sup>6</sup>Because our states consist of only three actions, it is often possible to find a description that uniquely identifies the best action. This allows descriptions to perform nearly as well as instructions at H=1. However, as the number of available increases, instructions become increasingly advantageous; see Appendix B.

This model thus serves as a theoretically-optimal baseline, and we next consider three practical ways of handling this uncertainty.

Assuming the horizon. Perhaps the most straightforward approach is to simply assume a speaker horizon. However, prior work has highlighted the risks of assuming a human is actively trying to teach [41], and suggests that the safest approach is to assume they are not. To test the effects of such mis-specification, in Section 4 we use two pragmatic listener models which assume a short (H=1) or long (H=4) horizon. A pragmatic listener assuming H=1 will *constrain* inference: it will assume the utterance reflects only the speaker's preference between actions in the present state, and thus generalize conservatively. In contrast, a pragmatic listener assuming  $H\gg 1$  expects the utterance to generalize broadly, risking *overfitting*.

**Inferring the horizon.** To mitigate the risk of horizon misspecification, we can instead assume the speaker's horizon is unknown. Given an utterance, the *latent-horizon* pragmatic listener jointly infers both their horizon and rewards, then marginalizes out the horizon:

$$L_1(w \mid s, u) \propto \sum_{H} S_1(u \mid w, s, H) P(H) P(w)$$
 (12)

Intuitively, because long-horizon speakers prefer instructions (and short-horizon speakers prefer instructions, Fig. 1B), the latent-horizon pragmatic listener can use the utterance type to infer the speaker's horizon and determine the appropriate scope of generalization. To demonstrate this, we simulate a pragmatic listener with a uniform prior over  $H \in [1, 2, 3, 4, 5, 10]$ . Fig. 2B shows example utterances and resulting inference about the speaker's rewards and latent horizon. Crucially, while both example utterances indicate a preference for the spotted red mushroom, the description suggests the speaker is H > 1 and uniquely identifies the spotted feature as high-value.

# 4 Behavioral experiment

To validate our theoretical models, we collected a behavioral dataset. Participants played the role of a mushroom foraging guide and produced utterances for tourists to help them choose good mushrooms. We manipulated the speaker's horizon by varying tourists' itineraries: each tourist was shown visiting a different visible mushroom patch, plus a variable number of unknown future patches (0, 1, or 3, matching horizons 1, 2, and 4 respectively). In the following sections, we analyze the participants' choice of utterances, compare the resulting inference from different listener models, and show how this socially-learned information can accelerate traditional reinforcement learning.<sup>7</sup>

#### 4.1 Experiment setup

We recruited 119 participants on the Prolific experimental platform (prolific.co). Participants were trained and tested on the game dynamics then advised a total of 28 tourists. They were told to consider the tourists' itinerary and choose "the most helpful utterance" from drop-down menus allowing them to specify an instruction or description. Because the space of possible descriptions (30) is larger than possible instructions (9), choosing a description required more effort than choosing an instruction. To equalize this, we reduced the set of descriptions by removing neutral features ("red" and "solid") and the 0 value, yielding  $4 \times 4 = 16$  possible descriptive utterances. For the remainder of the paper, all pragmatic inference listeners assume the speaker chooses from this reduced utterance set. Participants received no feedback on how their utterances affected tourists' behaviors, ensuring they chose utterances according to their own sense of how to help. After screening out participants who failed comprehension or attention checks, we were left with 99 participants who produced a total of 2772 utterances. For more details on the data collection, see Appendix C.

We next use this set of utterances to explore two forms of learning: exclusively social learning, and integrated social and reinforcement learning. Exclusively social learning tests *value alignment*: how well our models infer the speakers' reward function from their utterances. Integrated social and reinforcement learning demonstrates the benefit of such social information: we show that, compared to traditional *tabula rasa* RL, learning from even a single utterance substantially reduces regret in individual learning.

<sup>&</sup>lt;sup>7</sup>The full experiment can be viewed at https://pragmatic-bandits.herokuapp.com.

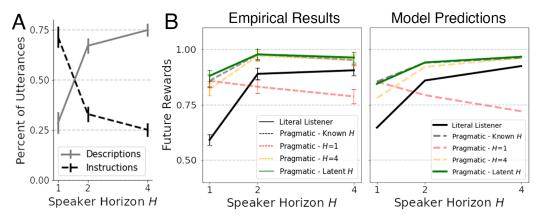


Figure 3: Results from behavioral experiment. A: Participants preferred instructions when H=1 and descriptions otherwise. B, left: empirical future rewards (Eq. 6) from human utterances. As the horizon lengthens, humans choose more generalizable utterances; our latent-horizon listener successfully recovers their reward function. Right: simulations using our speaker model with  $\beta_{S1}=3$ . All error bars show 95% CI.

# 4.2 Inferring rewards: social learning from human utterances

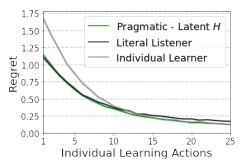
Overall, our results validated our theoretical models. First, participants were sensitive to the horizon manipulation: there was a statistically-significant shift in their utterance choices between horizons (for H=1 to 2,  $\chi^2(26,1856)=336.1$ , p<.001; for H=2 to 4,  $\chi^2(26,1833)=40.3$ , p=.04). Almost all participants (96 out of 99) used a mix of instructions and descriptions, favoring instructions at H=1 and descriptions at H>1 (Fig. 3A). This led to lower literal future rewards when H=1, and higher future rewards at longer horizons (Fig 3B, "Empirical Results"). To calibrate our pragmatic listeners, we tested  $\beta_{S_1} \in [1,10]$  and found that  $\beta_{S_1}=3$  optimized Known H and Latent H listeners. Simulating utterances produced by our speaker model and resulting pragmatic inference shows a close match to theoretical predictions (Fig. 3B, "Model Predictions", see Appendix C for details).

Our pragmatic listeners offered statistically-significant improvements over the literal listener (Table 1). These gains were particularly large when the speaker has a short horizon, matching our simulations. Somewhat surprisingly, our Latent H model ( $M=0.94,\,SD=0.39$ ) outperformed all other models, including the Known H model ( $M=.93,\,SD=.40$ ), by a significant albeit small margin (mean difference 0.01, paired-samples t-test  $t(2771)=4.18,\,p<.001$ ; see Appendix D for other pairwise tests). This is particularly notable because it underscores the inevitability of model misspecification [41]: even when we experimentally controlled the horizon, participants did not perfectly follow our theoretical models, leading our Latent H model to outperform. We also confirmed the risks of assuming a horizon: the two fixed-horizon listeners (H=1 and H=4) underperformed the Latent H listener.

Despite these successes, we found a notable discrepancy between theoretical predictions and empirical results, suggesting a possible future refinement. As described in [80], utility-maximizing speakers agnostic to the truth of an utterance may send messages with exaggerated values (e.g. preferring the false utterance  $\langle Spotted, +2 \rangle$  to the true utterance  $\langle Spotted, +1 \rangle$ ). In our experiment, however, participants regularly chose the lower-reward, true utterance (Appendix C). Breaking out results by utterance type indicates that pragmatic gains come primarily on instructions (Appendix E). This suggests that our reward-design objective is in fact too weak: participants' tendency to use true descriptions licenses stronger inference, which we return to in the discussion.

#### 4.3 Why learn socially? Reinforcement learning with social priors

We now explore how this form of social learning could augment traditional RL approaches. In particular, we take the inferences from our listeners in the previous section and use them as a prior for reinforcement learning (or in the case of literal instructions, we integrate them into the learner's policy; see Appendix F for details on the experimental setup). We then use Thompson sampling [81–83] to learn the reward function and compare regret over the course of learning for five independent



tion from our behavioral experiment.

Listener	Future rewards from utterance	Regret after 25 actions
Individual	-	12.14
Literal	.79	10.23
Prag - Known H	.93	9.42*
Prag - H = 1	.83	9.76
Prag - H = 4	.91	9.67
Prag - Latent H	.94***	9.55

**Table 1:** Results from human utterances. Higher rewards Figure 4: Regret with and without social informa- and lower regret are better. Bolded results are significantly better than others (see Appendix D for statistical testing).

learning trials on each context-utterance pair. We report results for our listener agents, as well as an agent with no social information (the "Individual" agent; Fig. 4, Table 1).

We find that social information—and in particular, pragmatic social learning—can substantially reduce regret. Intriguingly, when comparing regret, our Known H pragmatic listener now achieves the best results; this is likely due to the greater uncertainty inherent in the Latent H inference. To confirm these differences are statistically significant, we use a linear regression to predict regret with a fixed effect of listener and random effects for each of the 2772 utterance-context pairs, again comparing the Latent H listener to all other listeners. This confirms that the Known H listener achieves lower regret than the Latent H listener ( $\beta = -.12$ , t(80400) = -2.14, p = .03), and all other models suffer higher regret (see Appendix D). Finally, while the literal listener achieves lower regret initially than the pure reinforcement learner, it subsequently asymptotes as it rigidly obeys instructions (similar to the issue raised in [14]). This suggests that while instructions provide useful guidance early in learning (as they help the agent choose and learn from good actions), a more effective instruction-following strategy could be to gradually shift to belief-based actions.

#### 5 Discussion

We introduced a unifying model of communication as reward design [40] to explain humans' use of instructions and descriptions, allowing pragmatic inference of their reward functions—a critical capability for value alignment [3, 49, 84]. Analyses show that instructions are optimal at short horizons, but descriptive language affords much stronger generalization. This allows our pragmatic listener to perform inverse reward design [4] to jointly infer the speaker's horizon and reward function, reducing risk of model mis-specification in pragmatic inference [41]. Finally, our behavioral experiment shows that humans follow our theoretical predictions, demonstrating the benefits of pragmatic inference for value alignment and accelerating traditional reinforcement learning.

We note several limitations and future directions. First, our behavioral experiment suggests possible improvements to our speaker model: participants displayed a bias towards truthful descriptions. This licenses stronger pragmatic inference [85] such as enriching our model with traditional RSA objectives [67, 68], or reformulating it to minimize regret during individual learning [86]. Second, for theoretical clarity and experimental feasibility, we used a simple bandit setting and pre-defined utterances. Future work could extend these insights to more challenging environments and openended language. Lastly, as is standard in IRL [26, 27, 46, 49] our approach assumes a stationary reward function. However, human preferences may be dynamic or non-Markovian [87]. Literal interpretation of instructions may in fact be the optimal strategy in such cases.

More generally, our work provides a theoretical basis for further work at the intersection of social and reinforcement learning. Linear bandits are already a popular testbed for RL [75, 77]. We hope that our formalization of social learning in linear bandits supports more work in this setting [23, 24]. Finally, while most previous work focuses on instructions, our results highlight the power of descriptions for generalization, illustrating the potential of learning from richer forms of language.

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#### A Proofs

**Theorem 1** (Reward design over longer horizons). Let S be a set of states that each represent a decision context. Let  $\pi_L$  be an arbitrary listener policy. Consider the behavior of a speaker that chooses utterances u based on Eq. 7. As  $H \to \infty$ , the expected utility generated by  $\pi_L$  is non-decreasing.

*Proof.* (sketch) The future utility for the listener in Eq. 6 is exactly the expected utility generated by  $\pi_L$ , averaged across all possible decision contexts. Thus, the limit of Eq. 7 as  $H \to \infty$  is the expected utility generated by  $\pi_L$ . Then, consider two utterances  $u_1, u_2$ , with  $U_{Future}(u_1|w) > U_{Future}(u_2|w)$ . The odds ratio  $\frac{P_{S_1}(u_1)}{P_{S_1}(u_2)}$  between the two can be shown to increase with H by taking the derivative. Such utterances become increasingly preferred by the speaker; hence the expected utility of the updated listener policy  $\pi_L(a|s,u)$  under those utterances increases.

**Theorem 2** (Reward design with instructions is equivalent to demonstrations.). Let s be a state, represented as a local context with a set of actions  $a \in s$  that can be taken. Let  $\mathcal{U}_{instruct}$  be a set of instruction utterances that reference each  $a \in s$  and let  $\tilde{\mathcal{R}}$  be the following set of (proxy) reward functions  $\{R(a) = \mathbb{I}[a = a'] | a' \in s\}$ , where  $\mathbb{I}$  represents the indicator function. Then, the posterior distribution obtained after an observation of noisily-optimal behavior is the same as that obtained from a speaker maximizing Eq. (5) and that obtained from IRD with the set of proxies  $\tilde{\mathcal{R}}$ .

*Proof.* The likelihood function for noisily-optimal behavior gives  $P(a|s, w) \propto \exp(\beta R(a, w))$ . Thus, the posterior distribution over reward, given that action a was taken in state s can be written

$$P(w|s,a) = \frac{\exp(\beta R(a,w))}{\sum_{a' \in s} \exp(\beta R(a',w))}.$$
(13)

To show that this is equivalent to the posterior from observing an instruction from a short-sighted speaker that can select utterances from  $\mathcal{U}_{instruct}$  according to Eq. (2) with utility function Eq. 5, we observe that the utterance likelihood function and the action likelihood function are in one-to-one correspondence. The utterance likelihood is

$$P(u|s,w) \propto \exp\left(\beta_{S_1} \sum_{a \in s} \pi_{L_0}(a|u,s) R(a,w)\right).$$
 (14)

We can see that this is equivalent to Eq. 13 by substituting  $\pi_L$  from Eq. 8 and then using the one-to-one mapping from  $\mathcal{U}_{instruct}$  to  $\{a \in s\}$  to rename variables.

Next, we show that this is equivalent to (locally-optimal) reward design for s. In state s, the optimal policy, given the proxy, is to take the only action that gets reward according to the proxy. Thus, this is equivalent to Eq. 8. As a result, a reward designer optimizing over this set of proxies will behave as if they are selecting utterances from  $\mathcal{U}_{instruct}$ . A similar line of reasoning shows the result.

# B Speaker simulations and pragmatic inference

# **B.1** Instructions vs Descriptions

In the main text, we used a fixed number of available actions (a context S with |S|=3 objects). Here, we further explore the effect of horizon on the choice of instructions vs. descriptions under different numbers of available actions. As in the main text, we assume that the speaker uses a near-optimal softmax temperature for clarity by setting  $\beta_{S_1}=10$ .

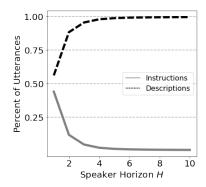


Figure S1: As noted in Section 3.6, speakers exhibit a strong preference for descriptions as their horizon lengthens.

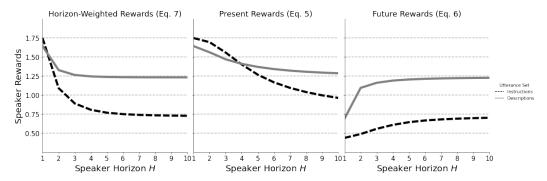
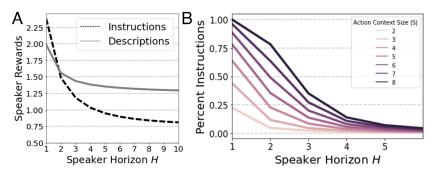


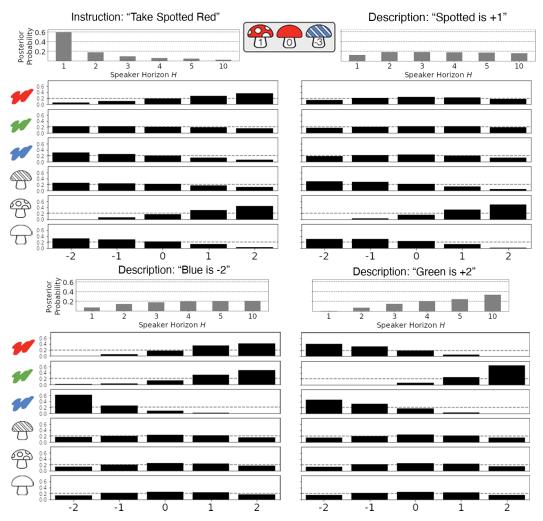
Figure S2: Breaking out speaker rewards (Fig. 2A) by reward type and utterance. "Horizon-Weighted Rewards" (left) is the same as Fig. 2A. Instructions afford high "Present Rewards" (center) but generalize poorly (low "Future Rewards", right). As a result, rational speakers with access to instructions only remain biased towards the present context even as their horizon lengthens. This can be seen by comparing "Present" and "Future" rewards at long horizons (e.g. H=10). Description-only speakers exhibit little bias towards the present context ("Present" rewards are nearly equal), while instruction-only speakers remain biased towards the present context ("Present" > "Future" rewards).

We find that instructions become more useful as the number of available actions increases. They can always uniquely select the best action in a given state (even when all nine possible objects are present), whereas it is not always possible to use a description to identify the best action.



**Figure S3:** A: Same as Fig. 2A, but with a state-size |S| of 5 instead of 3. At short horizons, the relative utility of instructions increases with state size (e.g. as the action space grows, instructions are more useful). **B**: Speaker's probability of using an instruction as a function of number of available actions |S| and horizon H (note that Fig. S1 shows the curve for |S|=3). As the number of actions increases, speakers prefer instructions.

Next, building on Fig. 2B in the main text, we include full posteriors over reward functions and additional utterances.



**Figure S4:** Same as Fig. 2B, but with full utterance posteriors over possible reward functions and additional utterances. For utterance posteriors, the gray dashed line indicates the prior (e.g. uniform over all possible values). Note that descriptions suggest that unmentioned features are lower-magnitude (e.g. for the bottom-right "Green is +2" utterance, the listener infers that all textures—Striped, Spotted, and Solid—are unlikely to be -2 or +2). Finally, note that with all descriptive utterances, the listener assigns non-negligible probability mass to values *other* than the specified one (e.g. in the top-right, the listener infers a substantial probability that the Spotted feature is actually +2). This suggests that integrating a "truthfulness bias" could improve our models (see Section 4.2 for a discussion).

# C Behavioral Experiment

The full experiment can be viewed at pragmatic-bandits.herokuapp.com. Note that the app is running on free dynos so you may need to wait 5-10 seconds for it to load.

#### **C.1** Experiment Details

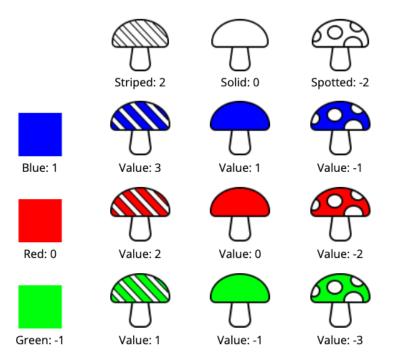
**Participant compensation.** Participants earned an average hourly wage of \$12.10 and the total amount spent on participant compensation was \$444. The mean time spent in the experiment was 18.5 minutes.

**IRB** approvals. This study was approved by the Princeton University IRB. All participants gave informed consent; the consent form can be seen at the experiment URL above. As described in the Checklist, no significant participant risks were anticipated.

**Anonymized data.** Anonymized data, including participant responses and free-form exit survey responses, is available in the supplementary zip file and will be released along with the code for this paper. Note that the worker IDs provided have been hashed to prevent re-identification of participants on the platform.

**Trials.** We split our 84 states into 3 sets of 28; each participant saw one of these sets. Each participant additionally saw 8 "attention check" trials (constant across all participants). These "attention checks" forced the participant to use a description with a pre-selected feature (4 "Spotted" and 4 "Striped"). The participant then chose a value from [-1, +1]. The trials were selected to ensure that the true value would lead the learner to choose a good mushroom. Participants who failed to select the true value on at least 7/8 trials (e.g. >75% of the time) were still paid the full bonus, but their data was excluded from the analysis. We further exclude the attention check trials from the analysis.

**Feature Randomization.** To avoid saliency biases (e.g. color may be more salient than texture), mushroom feature values were randomized across participants. Fig. S5 shows one example of an alternative featurization scheme. Note that all responses were converted back the "canonical" feature map shown in Fig. 1 for analysis.

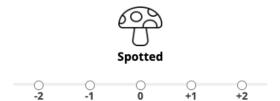


**Figure S5:** Throughout the experiment, participants could trigger a pop-up giving them the value of all features (and all actions). Note that features were randomized to avoid saliency biases, and so this set of feature values does not match Fig. 1.

**Instructions** We include several screenshots of key instruction pages, but recommend viewing the full experiment at pragmatic-bandits.herokuapp.com for details.

# **Mushroom Features 1**

Indicate how much each feature is worth.



**Figure S6:** One example quiz question. To ensure comprehension of the linear bandit setup, participants were tested on their knowledge of all features. If they failed the quiz, the experiment terminated early and they earned \$2; if they passed, they completed the full experiment and earned \$4.

# Tourists visit **one to four patches.**However, you only accompany them to **one.**They visit the others without you.

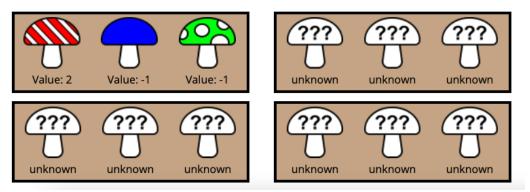


Evelyn is visiting one mushroom patch. She'll pick one mushroom from it.





Steve is visiting four mushroom patches. He'll pick one mushroom from each.



**Figure S7:** Experiment instructions: introducing the notion of the *horizon*. Participants were told they could only accompany the tourist to one patch, but that depending on their itinerary, tourists could go on to visit other (unknown) patches afterwards.

# Instruct or Teach?

# Instructing

Instructions tell tourists to take a specific mushroom.

If that mushroom is not present in a patch, they will choose a mushroom randomly.



# **Teaching**

Teaching gives tourists **general information** about kinds of mushrooms.

They will choose or avoid mushrooms accordingly.

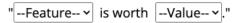
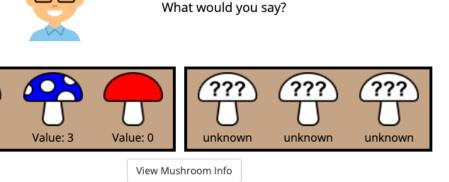


Figure S8: Experiment instructions: introducing the notion of instructions and descriptions. Participants were told to consider the tourist's itinerary (Fig. S7) and help the tourist pick good mushrooms throughout their visit.



James is visiting these mushroom patches.

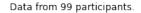


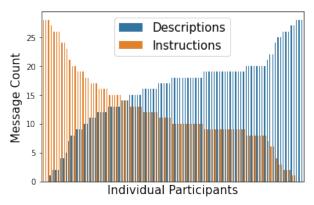
**Figure S9:** One example trial from the experiment. Clicking the "Teach Them" button revealed drop-down menus to select a "Description" utterance, while clicking "Instruct Them" yielded menus to select an "Instruction."

# **C.2** Participant Utterance Choices

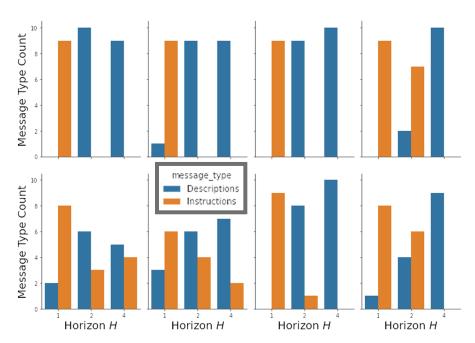
Value: -3

The supplementary materials contain all participant responses (see the Experiment Analysis Jupyter notebook for analysis code). Here, we summarize some of the key patterns in the data.

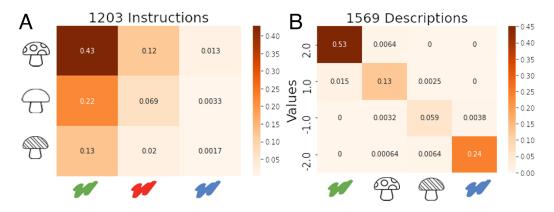




**Figure S10:** Breakdown of utterance types (instruction vs descriptions) for all participants. Most participants used a mix of both: 3 used only instructions, 3 used only descriptions, and 93 used at least one message of each type.



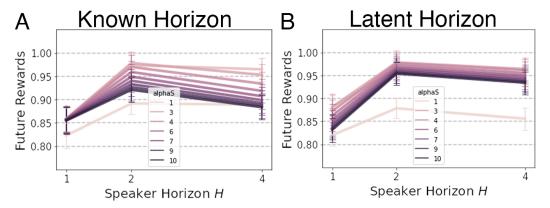
**Figure S11:** Instruction / description breakdown by horizon for 8 random participants. While individual preferences varied substantially, virtually all participants displayed an increasing preference for descriptions as the horizon increased.



**Figure S12:** Within-type distribution of utterances chosen by participants. **A**: When giving instructions participants (unsurprisingly) almost always chose positive-reward actions, e.g. "Take the spotted green mushroom," in the top-left quadrant. **B**: When giving descriptions, participants almost always chose *true* utterances (e.g. "Spotted is +1"), even though our reward-maximizing model predicts exaggeration (e.g. "Spotted is +2"). See Section 4.2 for discussion.

# C.3 Choosing $\beta_{S_1}$

To choose a  $\beta_{S_1}$  for our behavioral experiment, we used a grid search over integers  $\beta_{S_1} \in [1,10]$  and evaluated our primary models (Pragmatic - Known H and Pragmatic - Latent H). We chose  $\beta_{S_1} = 3$ , which optimized future reward from the human data for both speakers. Note that while "Pragmatic - Known H" was numerically optimal at  $\beta_{S_1} = 2$  (expected reward = 0.9308, SD = 0.39), there was not find a significant difference between this and  $\beta_{S_1} = 3$  (expected reward = 0.9295, SD = 0.40); paired-samples t(2771) = -1.70, p = 0.09.



**Figure S13:** Performance of different pragmatic listener models as a function of horizon H and speaker-optimality  $\beta_{S_1}$ . Qualitatively, the Latent-H model (right) was less sensitive than the Known-H model (left).

$\beta_{S_1}$	Known H	Latent $H$
1	0.87	0.85
2	0.93	0.93
3	0.93	0.94
4	0.92	0.94
5	0.91	0.93
6	0.90	0.92
7	0.90	0.92
8	0.89	0.91
9	0.89	0.91
10	0.89	0.91

**Table S1:** Mean "Future Rewards" for our two primary models of interest as a function of the  $\beta_{S_1}$  parameter. Note that while "Pragmatic - Known H was slightly higher at  $\beta_{S_1}=2$  there was not find a significant difference between this value and  $\beta_{S_1}=3$ , hence we use the latter to be consistent across both models.

#### C.4 Simulating model behavior

To compare the empirical pattern of utterances observed from humans in our experiment against the predictions of our theoretical speaker model, we use simulations to generate a distribution over utterances and directly compare the results (Fig. 3, "Model Predictions"). We set  $\beta_{S_1}=3$  as described above. The utterance set is composed of the 9 instructions and 16 descriptions defined in Section 4.1, for a total of 25 possible utterances.

First, for each  $H \in [1, 2, 4]$  and each of the 84 states  $s \in S$ , we run the speaker model to produce a distribution over the 25 possible utterances (Eq. 7). We then calculate the literal future rewards resulting from each utterance (Eq. 8 for instructions and Eqs.9, 10 for descriptions). We then calculate the *expected future reward* obtained by the literal listener by weighting the rewards for each utterance by the probability of the speaker producing that utterance, and averaging over all 84 start states.

Similarly, to evaluate the pragmatic listener, we perform pragmatic inference over each utterance (Eq. 11) to recover the speaker's reward function, evaluate the future rewards (Eq. 6) from the resulting beliefs, and again weight by the speaker's distribution over utterances.

#### **D** Statistical testing

See the R notebook for statistical testing code.

#### **D.1** Paired T-Tests (§ 4.2)

Comparison	Mean Difference	95%	6 CI	t	df	p-val
vs. Pragmatic (Known H)	0.011	0.006	0.017	4.1798	2771	<.001
vs. Pragmatic $(H = 4)$	0.026	0.022	0.031	10.81	2771	<.001
vs. Pragmatic $(H=1)$	0.11	0.10	0.13	20.545	2771	<.001
vs. Literal	0.15	0.13	0.16	23.364	2771	<.001

**Table S2:** Pairwise t-tests comparing the "Future Rewards" obtained by the Latent-H listener to other models for the 2772 utterances from our behavioral experiment. These results indicate that the Latent H model outperforms all other models.

Comparison	Mean Difference	95% CI		t	df	p-val
vs. Pragmatic (Known H)	-0.13	-0.15	-0.12	-19.854	2771	<.001
vs. Pragmatic $(H=4)$	-0.12	-0.13	-0.11	-23.324	2771	<.001
vs. Pragmatic $(H = 1)$	-0.03	-0.05	-0.01	-3.202	2771	<.01

**Table S3:** Pairwise *t*-tests comparing the "Future Rewards" obtained by the Literal listener to the remaining other models for the 2772 utterances from our behavioral experiment. These results indicate that all pragmatic models outperform the Literal model.

#### D.2 Mixed-effects regression model (§ 4.3)

The following analysis tests for a significant difference in regret when using the model's social-learning posterior as a prior for individual learning (see Section F for details). Note that lower regret is better, so negative coefficients indicate better performance.

We dummy-coded our different models as a categorical variable with the Latent H listener as the reference level. We included random intercepts for each unique utterance from our experiment (e.g. for each of the 2772 utterances chosen by participants) to account for some utterances being systematically easier or harder than others. The resulting coefficients indicate that the Latent H listener outperformed all models except for the Known H model, which achieved slightly lower regret.

	Effect	Term	Estimate	Std Error	Statistic	df	p value
1	fixed	(Intercept)	9.55	0.05	195.66	14282.57	< 0.001
2	Fixed	Individual	2.60	0.06	45.41	80383.00	< 0.001
3	Fixed	Literal	0.68	0.06	11.93	80383.00	< 0.001
4	Fixed	$\operatorname{Prag}\left(\operatorname{Known}H\right)$	-0.12	0.06	-2.14	80383.00	0.03
5	Fixed	$\operatorname{Prag}\left(H=1\right)$	0.22	0.06	3.77	80383.00	< 0.001
6	Fixed	Prag(H=4)	0.13	0.06	2.24	80383.00	0.03
7	Random Effect	sd(Intercept)	1.44				
8	Residual	sdObservation	4.76				

# E When does pragmatic reasoning help?

In this section, we examine the utterances produced in the human experiment (Section 4 and Appendix C) to explore when, exactly, pragmatic reasoning is most useful. We analyze the performance of the Latent H pragmatic model in comparison to the Literal listener. Concretely, we take the 2772 utterances produced in our behavioral experiment and evaluate the "future rewards" (Eq. 6, the expected rewards over all possible states, ) resulting from a literal interpretation of the utterance against those resulting from a pragmatic interpretation.

Utterance Type	Count	Mean Pragmatic Gain
Instruction	1203	$.42 \pm .23$
Description	1569	$07\pm.22$

**Table S4:** Average pragmatic gain for different utterance types (+/- standard deviations). Pragmatics on instructions helps substantially by converting from partial policies to rewards, but pragmatics on descriptions marginally *reduces* the average reward obtained.

We find that under these conditions, pragmatic inference primarily helps with *instructions*, rather than descriptions (Table S4): converting a partial policy into inference over the reward function allows much stronger generalization. Across the 1203 instruction utterances in our experiment, the pragmatic listener achieved a large and statistically-significant gain (M=.423, SD=.232), t(1202)=63.34, p<.001. In contrast, on the 1569 descriptive utterances, the pragmatic listener suffered a small but statistically-significant loss (M=-.067, SD=.215), t(1568)=-12.29, p<.001.

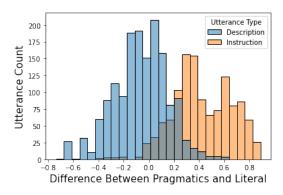


Figure S14: Distribution of pragmatic gain (Pragmatic listener with Latent H vs. Literal listener) for the 2772 utterances in our behavioral experiment. Pragmatic inference substantially improves rewards for instructions, but marginally reduces rewards for descriptions on expectation.

Analysis of utterance posteriors (Fig. S4) shows one notable disconnect with empirical human behavior regarding descriptive utterances. The pragmatic listener *does not* preserve the literal truth conditions of descriptive utterances: for the three descriptions shown in Fig. S4, the listener places substantial probability mass on values *other* than the specified one (e.g. believing that "Spotted is +1" suggests "Spotted is +2" is plausible). Yet in our experiment, participants almost always choose true utterances (see Fig. S12B). This suggests future work integrating truthfulness and reward objectives, effectively combining our current objective with classic Gricean notions.

# F Social vs. individual reinforcement learning (§ 4.3)

To study the potential benefits of integrating social and reinforcement learning, we integrated the reward information learned from our behavioral experiment into a classic Thompson sampling individual learner in § 4.3. Here, we provide details on this integration. Code for these simulations can be found in the Supplemental Materials.

#### F.1 Individual learning: Thompson sampling in linear bandits

We first define a simple individual learner in our linear bandit setting using Thompson sampling [81–83]. The agent begins with a prior distribution over possible reward functions. At each timestep, they (1) observe a new state  $s_t$  consisting of three possible actions; (2) sample a reward weight vector  $w_t$  from their belief distribution over reward weights, and (3) act optimally according to that reward vector. They observe the reward of that action, and use this observation to update their beliefs for the next timestep.

We implement this algorithm using a Gaussian prior and likelihood function, assuming observation noise from a unit Gaussian. Thus, after taking action a, the agent receives rewards according to:

$$R(a) \sim \mathcal{N}(\phi(a)^{\top} w, 1)$$
 (15)

We use a wide multivariate Gaussian prior:  $w^0 \sim \mathcal{N}(0, \Sigma_0)$  where  $\Sigma_0 = 5I$ . After each action, we perform conjugate Bayesian updates to obtain a posterior (i.e. use Bayesian linear regression), which we use for for the next timestep.

We perform rejection sampling to ensure the sampled belief is compatible with the (discrete, bounded) reward function. We first sample a (continuous) weight vector from our multivariate Gaussian beliefs, then round the weights to integer values and reject the sample if any of the resulting values fall outside the range of possible reward values, [-2, 2].

We note that these simulation parameters are arbitrary. Our aim is to demonstrate the general utility of social information to reduce regret *even when individual learning is entirely possible*. We thus defined a relatively straightforward, low-noise individual learning setting. However, we could easily make individual learning arbitrarily more difficult (e.g. by increasing the observation noise), which would in turn increase the relative value of social information.

#### F.2 Integrating pragmatic inference: Importance sampling

In order to integrate social information about the reward function, we incorporate an additional importance sampling step. Given a particular pragmatic model and a utterance-context-horizon tuple, we first use the pragmatic model to generate a social posterior over reward functions (Eq. 11 or 12). This defines a probability for every possible reward function (e.g. every reward weight vector w). We then initialize our individual learner as described above.

When the individual learner performs Thompson sampling, it now performs an additional importance sampling step. Rather than sample a *single* reward vector from its Gaussian prior, it samples a minimum of 100 possible reward vectors. As described above, it first discretizes these vectors then re-weights them according to the probability of each vector from its pragmatic social inference. Finally, it samples a single reward vector from this re-weighted set and uses this to choose an action.

# F.3 Integrating literal information

We use a similar procedure to test individual learning with our literal listener. For descriptive utterances, we use the listener's posterior over reward functions (Eq. 9). However, because there are a handful of false utterances in the experimental data (e.g. "Spotted is -1"), using a hard constraint breaks the importance-sampling procedure described above. We therefore instead use soft-conditioning by setting a very low likelihood on inconsistent worlds ( $\epsilon = 1^{-10}$ ) instead of ruling them out entirely. We use this posterior for importance sampling as described above.

For instructions, we modify the action selection step. We set the listener's policy to take the instructed action if available. If the action is not available, then they follow the Thompson sampling procedure described above. This is the simplest and most "obedient" interpretation of instructions [14]. We find that it yields rapid learning early on, as the instruction guides exploration. However, 57% of instructions designate sub-optimal actions (see Fig. S12A). A literal listener instructed to take one of these (e.g. "Take solid green mushrooms") is forced to continue taking them *even after* inferring spotted green mushrooms are likely worth more. This constraint on their policy eventually leads their regret to asymptote below the more flexible pragmatic learner (Fig. 4). As discussed in the main text and noted in prior work [14], more flexible approaches to instruction-following could avoid this pitfall.

#### F.4 Simulation details

All simulations were run on consumer hardware (a MacBook Pro). For each of the 2772 utterances in our behavioral experiment, we ran 5 independent Thompson sampling simulations, each spanning 25 timesteps. We repeated this process for each of the pragmatic listener models (Known H, Latent H, H=1, and H=4) in our experiment, giving us 13860 Thompson sampling simulations each model. We then ran the same number of independent simulations for the "Individual" learner (which used only the Gaussian prior described in Appendix F.1).