

## 1 RAM Model

### 1.1 Memory

Infinite sequence of cells, contains  $w$  bits. Every cell has an address starting at 1

### 1.2 CPU

32 registers of width  $w$  bits.

#### 1.2.1 Operations

Set value to register (constant or from other register). Take two integers from other registers and store the result of;  $a+b$ ,  $a-b$ ,  $a \cdot b$ ,  $a/b$ . Take two registers and compare them;  $a < b$ ,  $a = b$ ,  $a > b$ . Read and write from memory.

### 1.3 Definitions

An algorithm is a set of atomic operations. It's cost is the number of atomic operations. A word is a sequence of  $w$  bits

## 2 Worst-case

Worst-case cost of an algorithm is the longest possible running time of input size  $n$

## 3 Dictionary search

```
let  $n$  be register 1, and  $v$  be register 2
register  $left \rightarrow 1$ ,  $right \rightarrow 1$ 
while  $left \leq right$ 
    register  $mid \rightarrow (left + right)/2$ 
    if the memory cell at address  $mid = v$ 
    then
        return yes
    else if memory cell at address  $mid > v$ 
    then
         $right = mid - 1$ 
    else
         $left = mid + 1$ 
return no
```

Worst-case time:  $f_2(n) = 2 + 6 \log_2 n$

## 4 Big-O

We say that  $f(n)$  grows asymptotically no faster than  $g(n)$  if there is a constant  $c_1 > 0$  such that  $f(n) \leq c_1 \cdot g(n)$  and holds for all  $n$  at least a constant  $c_2$ . This is denoted by  $f(n) = O(g(n))$ .

$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  for some constant  $c$

### 4.1 Example

$1000 \log_2 n = O(n)$ ,  
 $n \neq O(10000 \log_2 n)$   
 $\log_{b_1} n = O(\log_{b_2} n)$  for any constants  $b_1 > 1$  and  $b_2 > 1$ . Therefore  $f(n) = 2 + 6 \log_2 n$  can be represented;  $f(n) = O(\log n)$

## 5 Big-Ω

If  $g(n) = O(f(n))$ , then  $f(n) = \Omega(g(n))$  to indicate that  $f(n)$  grows asymptotically no slower than  $g(n)$ . We say that  $f(n)$  grows asymptotically no slower than  $g(n)$  if  $c_1 > 0$  such  $f(n) \geq c_1 \cdot g(n)$  for  $n > c_2$ ; denoted by  $f(n) = \Omega(g(n))$

## 6 Big-Θ

If  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ , then  $f(n) = \Theta(g(n))$  to indicate that  $f(n)$  grows asymptotically as fast as  $g(n)$

## 7 Sort

### 7.1 Merge Sort

Divide the array into two parts, sort the individual arrays then combine the arrays together.  $f(n) = O(n \log n)$ .

This is the fastest sorting time possible (apart from  $O(n \log \log n)$ )

### 7.2 Counting Sort

A set  $S$  of  $n$  integers and every integer is in the range  $[1, U]$ . (all integers are distinct)

**Step 1:** Let  $A$  be the array storing  $S$ . Create array  $B$  of length  $U$ . Set  $B$  to zero.

**Step 2:** For  $i \in [1, n]$ ; Set  $x$  to  $A[i]$ , Set  $B[x] = 1$

**Step 3:** Clear  $A$ , For  $x \in [1, U]$ ; If  $B[x] = 0$  continue, otherwise append  $x$  to  $A$

#### 7.2.1 Analysis

Step 1 and 3 take  $O(U)$  time, while Step 2  $O(n)$  time. Therefore running time is  $O(n + U) = O(U)$ .

## 8 Random

RANDOM( $x, y$ ) returns an integer between  $x$  and  $y$  chosen uniformly at random

## 9 Data

### 9.1 Data Structure

Data Structure describes how data is stored in memory.

### 9.2 LinkedList

Every node stores pointers to its succeeding and preceding nodes (if they exist). The first node is called the head and last called the tail. The space required for a linkedlist is  $O(n)$  memory cells. Starting at the head node, the time to enumerate over all the integers is  $O(n)$ . Time for assertion and deletion is equal to  $O(1)$

### 9.3 Stack

The stack has two operations; Push (Inserts a new element into the stack), Pop (Removes the most recently inserted element from the stack and returns it. Since a stack is just a linkedlist, push and pop use  $O(1)$  time.

### 9.4 Queue

The queue has two operations; En-queue (Inserts a new element into the queue), De-queue (Removes the least recently used element from the queue and returns it). Since a queue is just a linkedlist, push and pop use  $O(1)$  time.

## 10 Dynamic Arrays

### 10.1 Naive Algorithm

**insert(e):** Increase  $n$  by 1, initial an array  $A'$  of length  $n$ , copy all  $n-1$  of  $A$  to  $A'$ , Set  $A'[n]=e$ , Destroy  $A$ .

This takes  $O(n^2)$  time to do  $n$  insertions.

### 10.2 A Better Algorithm

**insert(e):** Append  $e$  to  $A$  and increase  $n$  by 1. If  $A$  is full; Create  $A'$  of length  $2n$ , Copy  $A$  to  $A'$ , Destroy  $A$  and replace with  $A'$ . This takes  $O(n)$  time to do  $n$  insertions.

## 11 Hashing

The main idea of hashing is to divide the dataset  $S$  into a number  $m$  of disjoint subsets such that only one subset needs to be searched to answer any query.

### 11.1 Pre-processing

Create an array of linkedlist( $L$ ) from 1 to  $m$  and an array  $H$  of length  $m$ . Store the heads of  $L$  in  $H$ , for all  $x \in S$ ; calculate hash value ( $h(x)$ ), insert  $x$  into  $L_{h(x)}$ . We will always choose  $m = O(n)$ , so  $O(n + m) = O(n)$

### 11.2 Querying

Query with value  $v$ , calculate the hash value  $h(v)$ , Look for  $v$  in  $L_{h(v)}$ . Query time:  $O(|L_{h(v)}|)$

### 11.3 Hash Function

Pick a prime  $p$ ;  $p \geq m$ ,  $p \geq$  any integer  $k$ . Choose  $\alpha$  and  $\beta$  uniformly random from  $1, \dots, p-1$ . Therefore:  $h(k) = 1 + ((\alpha k + \beta) \bmod p) \bmod m$

#### 11.3.1 Any Possible Integer

The possible integers is finite under the RAM Model. Max:  $2^w - 1$ . Therefore  $p$  exists between  $[2^w, w^{w+1}]$ .

### 11.4 Timing

Space:  $O(n)$ , Preprocessing time:  $O(n)$ , Query time:  $O(1)$  in expectation

## 12 Week 3 - Extra

When using 'Direction 1: Constant Finding' setting  $c_1$ , always set it to match the coefficient on the LHS so that you can cancel.

When trying to get a contradiction, try and isolate an  $x \cdot c_1$  on the RHS, where  $x \in \mathbb{Z}$ , such that an expression that contains  $n$  is  $\leq x \cdot c_1$

Make judicious use of the  $\max$  function when adding functions together. If  $f_1(n) + f_2(n) \leq c_1 \cdot g_1(n) + c'_1 \cdot g_2(n) \leq \max\{c_1, c'_1\} \cdot (g_1(n) + g_2(n))$ , for all  $n \geq \max\{c_2, c'_2\}$ .

## 13 The Master Theorem

### 13.1 Theorem 1

$$n + \frac{n}{c} + \frac{n}{c^2} + \dots + \frac{n}{c^h} = O(n)$$

### 13.2 Theorem 2

Let  $f(n)$  be a function that returns a positive value for every integer  $n > 0$ . We know:

$$f(1) \leq c_1$$

$$f(n) \leq \alpha \cdot f(\lceil n/\beta \rceil) + c_2 \cdot n^\gamma \text{ for } n \geq 2$$

where  $\alpha, \beta, \gamma, c_1$  and  $c_2$  are positive constants. Then:

- If  $\log_b \alpha < \gamma$  then  $f(n) = O(n^\gamma)$
- If  $\log_b \alpha = \gamma$  then  $f(n) = O(n^\gamma \cdot \log(n))$
- If  $\log_b \alpha > \gamma$  then  $f(n) = O(n^{\log_b \alpha})$

## 14 SE Set 3

Find out how many times a recurrence takes to terminate, and then proceed to eyeball the time complexity

## 15 Hierarchy

$$\begin{aligned} O(1) &\leq O(\log(n)) \leq O(n^c) \\ &\leq O(n) \leq O(n^2) \\ &\leq O(n^c) \leq O(c^n) \end{aligned}$$

## 16 Trees

An undirected graph is a pair of  $(V, E)$  where:

- $V$  is a set of elements, each of which is called a node
- $E$  is a set of pairs  $u, v$  such that:
  - $u$  and  $v$  are distinct nodes;
  - If  $(u, v)$  is in  $E$ , then  $(v, u)$  is also in  $E$  - we say that there is an edge between  $u$  and  $v$ .

A node may also be called a vertex. We will refer to  $V$  as the vertex set or the node set of the graph, and  $E$  the edge set.

For example, there is a graph  $(V, E)$  where  $V = \{a, b, c, d, e\}$   $E = \{(a, b), (b, a), (b, c), (c, b), (a, d), (d, a), (b, d), (d, b), (c, e), (e, c)\}$

The number of edges equals  $|E|/2 = 10/2 = 5$

Let  $G = (V, E)$  be an undirected graph. A path in  $G$  is a sequence of nodes  $(v_1, v_2, \dots, v_k)$  such that

- For every  $i \in [1, k-1]$ , there is an edge between  $v_i$  and  $v_{i+1}$

A cycle in  $G$  is a path  $(v_1, v_2, \dots, v_k)$  such that

- $k \geq 4$

- $v_1 = v_k$
- $v_1, v_2, \dots, v_k$  are distinct

An undirected graph  $G = (V, E)$  is connected if, for any two distinct vertices  $u$  and  $v$ ,  $G$  has a path from  $u$  to  $v$ .

A tree is connected undirected graph contains no cycles.

A tree with  $n$  nodes has  $n - 1$  edges.

Given any tree  $T$  and an arbitrary node  $r$ , we can allocate a level to each node as follows:

- $r$  is the root of  $T$  - this is level 0 of the tree
- All the nodes that are  $n$  edge away from  $r$  constitute level  $n$  of the tree

The number of levels is called the height of the tree. We say that  $T$  has been rooted once a root has been designated.

Consider a tree  $T$  that has been rooted.

Let  $u$  and  $v$  be two nodes in  $T$ . We say that  $u$  is the parent of  $v$  if:

- $v$  is at the level immediately below  $u$
- There is an edge below  $u$  and  $v$

Accordingly, we say that  $v$  is a child of  $u$ .

Let  $u$  and  $v$  be two nodes in  $T$ . We say that  $u$  is the ancestor of  $v$  if one of the following holds:

- $v = u$
- $u$  is the parent of an ancestor of  $v$
- $u$  is the parent of an ancestor of  $v$

Accordingly, we say that  $v$  is a descendant of  $u$ .

In particular, if  $u \neq v$ , we say that  $u$  is a proper ancestor of  $v$ , and likewise,  $v$  is a proper descendant of  $u$ .

Let  $u$  be a node in a rooted tree  $T$ . The subtree of  $u$  is the part of  $T$  that is "at or below"  $u$ .

In a rooted tree, a node is a leaf node if it has no children; otherwise it is an internal node.

Let  $T$  be a rooted tree where every internal node has at least 2 child nodes. If  $m$  is the number of leaf nodes, then the number of internal nodes is at least  $m - 1$ .

A  $k$ -ary tree is a rooted tree where every internal node has at most  $k$  child nodes.

A 2-ary tree is called a binary tree.

Consider a binary tree with height  $h$ . Its level  $n$  ( $0 \leq n \leq h - 1$ ) is full if it contains  $2^n$ .

A binary tree of height  $h$  is complete if:

- Levels  $0, 1, \dots, h - 2$  are all full
- At Level  $h - 1$ , the leaf nodes are "as far left as possible"

A complete tree with  $n \geq 2$  nodes has height  $O(\log n)$

