# PACE: marrying generalization in PArameter-efficient fine-tuning with Consistency rEgularization



Yao Ni<sup>†</sup>, Shan Zhang<sup>‡,†</sup>, Piotr Koniusz<sup>§,†</sup>

†The Australian National University <sup>‡</sup>The University of Adelaide <sup>§</sup>Data61♥CSIRO firstname.lastname@anu.edu.au





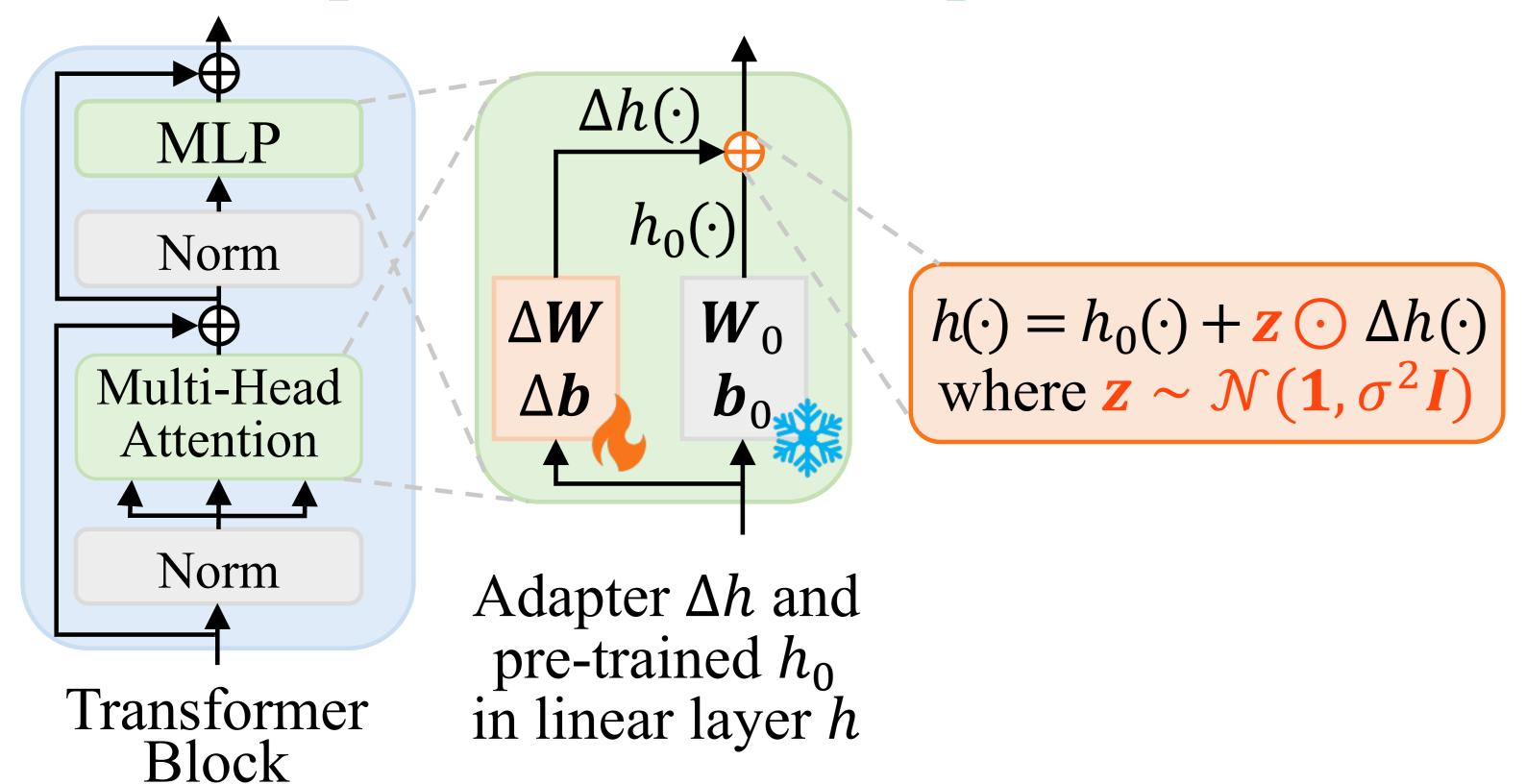
### Goal

**Background:** Pre-trained transformers are growing larger. While Parameter-Efficient Fine-Tuning (PEFT) improves performance by tuning a small subset of parameters, it struggles with limited generalization and suffers from forgetting pre-trained knowledge.

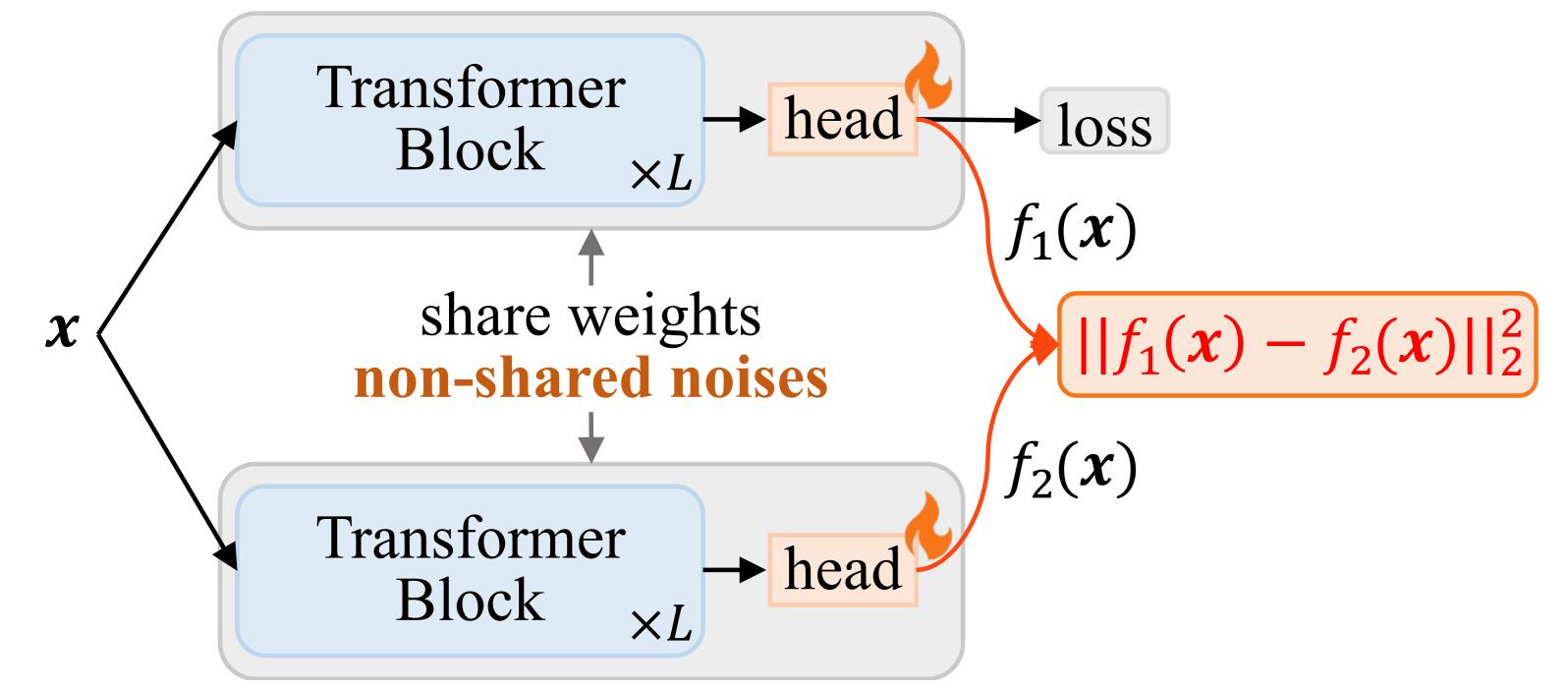
Goal: Improve generalization & retain pre-trained knowledge.

# Pipeline

Step 1: Add multiplicative noise to adapter features.



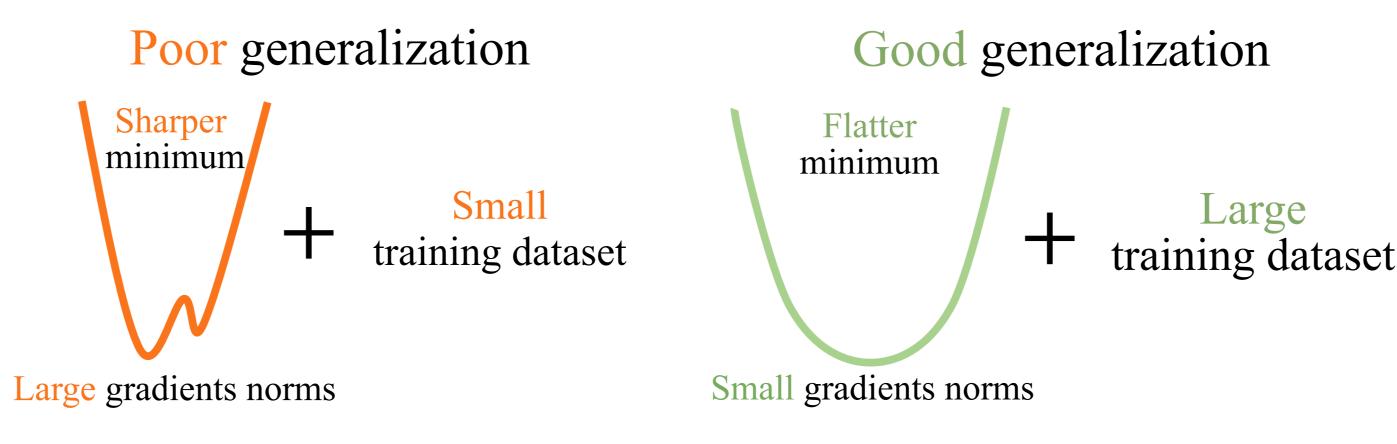
Step 2. Enforce consistency regularization across perturbations.



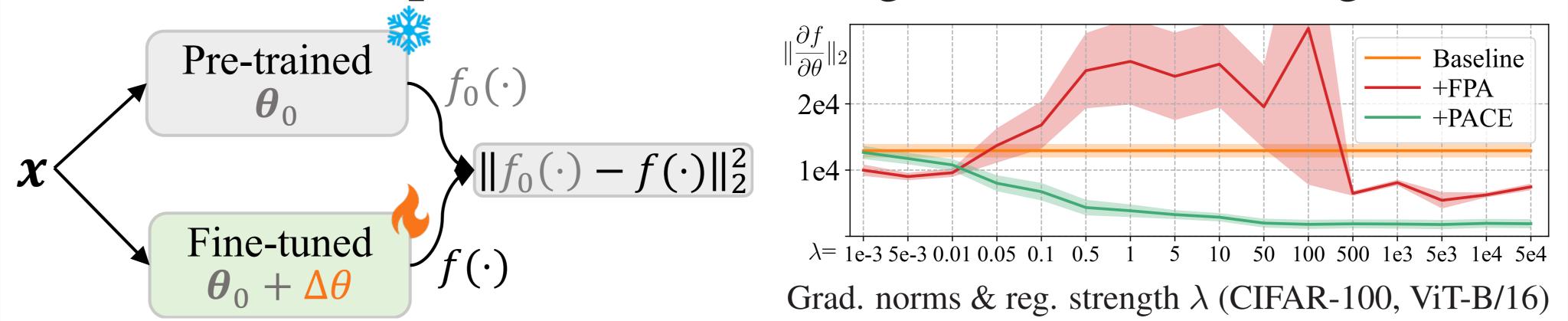
 $W_0, b_0$ : pre-trained linear layer weights;  $\Delta W, \Delta b$ : adapter weights; z: noise,  $\mathcal{N}$ : Gaussian distribution; x: sample; L: number of blocks;  $\sigma^2$ : noise variance;  $f_1, f_2$ : fine-tuned models with different noises.

#### Method

Thm. 1: Smaller norms of gradients & larger datasets improve generalization on unseen data.

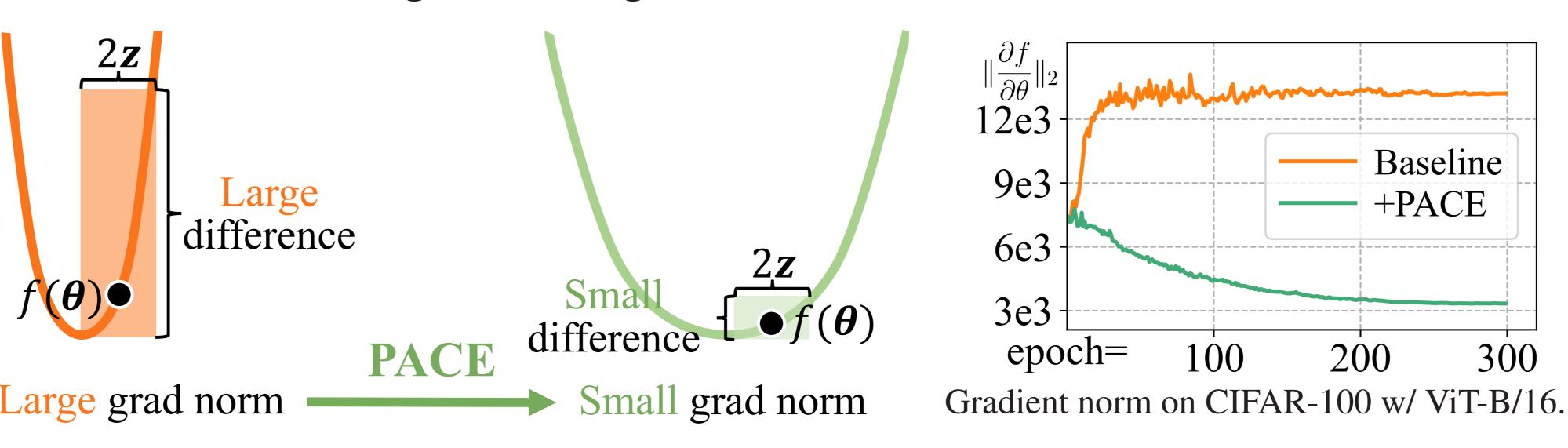


**Prop. 1:** For small dataset, aligning fine-tuned model with pre-trained one (FPA) retains **pre-trained** knowledge but cannot reduce gradients.

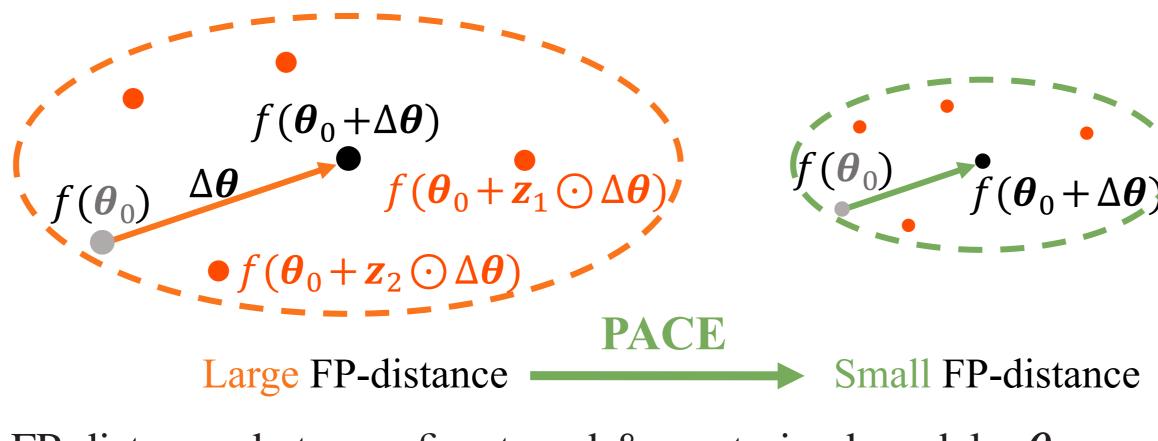


#### Solution: PACE perturbs adapter features & enforces consistency.

Thm. 2: PACE regularizes gradient norms.



Thm. 3: PACE reduces distance between fine-tuned & pre-trained.



FP-distance: between fine-tuned & pre-trained models;  $\boldsymbol{\theta}_0$ : pre-trained weights;  $\Delta \boldsymbol{\theta}$ : adapters weights;  $\boldsymbol{z}_1, \boldsymbol{z}_2 \sim \mathcal{N}(\boldsymbol{1}, \sigma^2 \boldsymbol{I})$ .

## Experiments

Results on VTAB-1K with ViT-B/16. Mean Acc. is the average of group mean values.

ПΓ	Method		Natural				Specialized			Structured											
	IVICUIOG	Cifar100	Caltech101	DTD	Flowers 102	Pets	SVHN	Sun397	Camelyon	EuroSAT	Resisc45	Retinopathy	Clevr-Count	Clevr-Dist	DMLab	KITTI-Dist	dSpr-Loc	dSpr-Ori	sNORB-Azim	NsORB-Ele	Mean Acc.
	Full	68.9	87.7	64.3	97.3	86.9	87.4	38.8	79.7	95.7	84.2	73.9	56.3	58.6	41.7	65.5	57.5	46.7	25.7	29.1	68.9
ш	Linear	64.4	85.0	63.2	97.0	86.3	36.6	51.0	78.5	87.5	68.5	74.0	34.3	30.6	33.2	55.4	12.5	20.0	9.6	19.2	57.6
	VPT-Deep	78.8	90.8	65.8	98.0	88.3	78.1	49.6	81.8	96.1	83.4	68.4	68.5	60.0	46.5	72.8	73.6	47.9	32.9	37.8	72.0
	Adapter	69.2	90.1	68.0	98.8	89.9	82.8	54.3	84.0	94.9	81.9	75.5	80.9	65.3	48.6	78.3	74.8	48.5	29.9	41.6	73.9
	AdaptFormer	70.8	91.2	70.5	99.1	90.9	86.6	54.8	83.0	95.8	84.4	76.3	81.9	64.3	49.3	80.3	76.3	45.7	31.7	41.1	74.7
													l								74.5
	NOAH	69.6	92.7	70.2	99.1	90.4	86.1	53.7	84.4	95.4	83.9	75.8	82.8	68.9	49.9	81.7	81.8	48.3	32.8	44.2	74.2
	RepAdapter	69.0	92.6	<b>75.1</b>	99.4	91.8	90.2	52.9	87.4	95.9	87.4	75.5	75.9	62.3	53.3	80.6	77.3	54.9	29.5	37.9	76.1
	RLRR	75.6	92.4	72.9	99.3	91.5	89.8	57.0	86.8	95.2	85.3	75.9	79.7	64.2	53.9	82.1	83.9	53.7	33.4	43.6	76.7
	GLoRA	76.4	92.9	74.6	99.6	92.5	91.5	57.8	87.3	96.8	88.0	76.0	83.1	67.3	54.5	86.2	83.8	52.9	37.0	41.4	78.0
	Baseline	74.9	93.3	72.0	99.4	91.0	91.5	54.8	83.2	95.7	86.9	74.2	83.0	70.5	51.9	81.4	77.9	51.7	33.6	44.4	76.4
	+PACE	79.0	94.2	73.6	99.4	92.4	93.7	58.0	87.4	96.4	89.3	77.1	84.9	70.9	54.9	84.3	84.7	57.3	39.3	44.8	79.0

Results on FGVC with ViT-B/16.

\* denotes using augmented ViT by AugReg.

ng aug	5111011	ica vii	Uy F	Tugn	icg.
CUB	NA-	Oxford	Stan.	Stan.	Mear
-2011	Birds	Flowers	Dogs	Cars	Acc.
87.3	82.7	98.8	89.4	84.5	85.9
85.3	75.9	97.9	86.2	51.3	79.3
88.5	84.2	99.0	90.2	83.6	89.1
88.3	85.6	99.2	91.0	83.2	89.5
89.5	85.7	99.6	89.6	89.2	90.7
89.3	85.7	<b>99.7</b>	89.1	89.5	90.7
89.8	85.3	99.6	90.0	90.4	91.0
88.9	87.1	99.4	91.2	87.5	90.8
89.8	87.3	99.5	92.2	88.8	91.5
	CUB -2011 87.3 85.3 88.5 88.3 89.5 89.3 89.8 89.8	CUB NA2011 Birds  87.3 82.7 85.3 75.9 88.5 84.2 88.3 85.6 89.5 85.7 89.3 85.7 89.8 85.3  88.9 87.1	CUB       NA-       Oxford         -2011       Birds       Flowers         87.3       82.7       98.8         85.3       75.9       97.9         88.5       84.2       99.0         88.3       85.6       99.2         89.5       85.7       99.6         89.3       85.7       99.7         89.8       85.3       99.6         88.9       87.1       99.4	CUB       NA-       Oxford       Stan.         -2011       Birds       Flowers       Dogs         87.3       82.7       98.8       89.4         85.3       75.9       97.9       86.2         88.5       84.2       99.0       90.2         88.3       85.6       99.2       91.0         89.5       85.7       99.6       89.6         89.3       85.7       99.7       89.1         89.8       85.3       99.6       90.0         88.9       87.1       99.4       91.2	85.3       75.9       97.9       86.2       51.3         88.5       84.2       99.0       90.2       83.6         88.3       85.6       99.2       91.0       83.2         89.5       85.7       99.6       89.6       89.2         89.3       85.7       99.7       89.1       89.5         89.8       85.3       99.6       90.0       90.4         88.9       87.1       99.4       91.2       87.5

Results on domain adaptation with ViT-B/16 pretrained on ImageNet-21K.

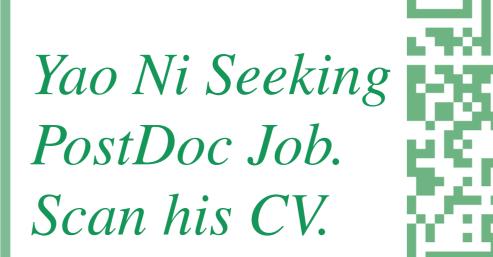
_	1					
Method	Source		Targ	et		Mean
Iviculou	ImageNet	-Sketch	-V2	-A	-R	Acc.
Full	63.9	18.5	52.5	3.2	21.2	31.8
Linear	67.9	14.4	60.8	9.4	25.6	35.6
Adapter	70.5	16.4	59.1	5.5	22.1	34.7
VPT	70.5	18.3	58.0	4.6	23.2	34.7
LoRA	70.8	20.0	59.3	6.9	23.3	36.0
NOAH	71.5	24.8	66.1	11.9	28.5	40.5
GLoRA	78.3	30.6	67.5	13.3	31.0	44.1
LoRA <sub>mul</sub> +VPT <sub>add</sub>	78.3	30.6	68.5	14.1	32.5	44.8
+PACE	79.0	31.8	69.4	16.3	35.2	46.3

Results for GLUE w/ RoBERTa<sub>base</sub>. Matthew's correlation for COLA, Pearson correlation for STSB, and accuracy for others.

	COLIT,	i carson (	Corretati		DD, an	a accura	cy 101 0	tileis.
I	Method	COLA	STSB	MRPC	RTE	QNLI	SST2	Avg.
	Full	63.6	91.2	90.2	78.7	92.8	94.8	85.2
	BitFit	62.0	90.8	92.7	81.5	91.8	93.7	85.4
	Adapt	62.6	90.3	88.4	75.9	93.0	94.7	84.2
	VeRA	65.6	90.7	89.5	78.7	91.8	94.6	85.2
	LoRA	63.4	91.5	89.7	86.6	93.3	95.1	86.6
	+PACE	66.2	92.0	91.4	86.9	93.6	95.6	87.6

Results for GSM-8K using Phi-3-mini-4k-instruct.

	Method	Accuracy
	Pre-trained	62.01
	Full	73.16
	LoRA	75.66
	+PACE	78.77
!		





PhD
opport
nities.



