

Public School Data Analysis: Expenditure, Grades, and Retention in New York State

Maya Mileva | Stefano Selenu | Meng Synn

1) INTRODUCTION	3
2) PROBLEM DEFINITION, BUSINESS QUESTIONS, AND METHODOLOGY	3
3) DATA ACQUISITION, MUNGING, AND CLASSIFICATION	4
3.1 DATA ACQUISITION	4
3.2 DATA CLEANING AND MUNGING	4
3.3 DATA STRUCTURE AND CLASSIFICATION: A SHORT SUMMARY	5
4) DESCRIPTIVE STATISTICS	9
4.1 EDA with Maps and Charts	9
4.2 RESHAPING THE DATA	14
4.3 Box Plots, Scatter Plots, and Histograms	16
5) MODELING TECHNIQUES	21
5.1 Linear Modeling	21
5.2 Support Vector Machines (SVM)	25
6) SUMMARY	29
A APPENDICES	30
A.1 APPENDIX 1: FULL LIST OF VARIABLES	30
A.2 APPENDIX 2: LINEAR MODELING RESULTS	33
A.3 APPENDIX 3: R CODE	36

1) Introduction

Although the explosion of data accumulation in public schools and universities has increased the demand for people who understand data and its potential in the educational field, many obstacles still remain for educational data scientists. In a general sense, the majority of people might agree that data, used the right way, is knowledge, but, in the K-12 world, data scientists may have to fight to prove their worth. "While the business community has invested in data as a driver of success, many educators feel lukewarm about it." Not rarely, in fact, the collecting of data has worked – as the Center for Digital Education director Kecia Ray pointed out – as an instrument to penalize educators and "a way to shut down schools and fire superintendents."

This project grew up from the opposite spirit. That is, from the idea that data analysis and interpretation can help schools to meet high marks and can encourage educators and administrators to view old problems in new ways. With this in mind, in this project we deal with a dataset containing aggregated information on US K-12 Education since 1992. This dataset is designed to bring together multiple facets of U.S. education data into one CSV file format. Different versions of the database are available online at https://www.kaggle.com/noriuk/us-education-datasets-unification-project. Given that we had multiple options on the ways we could look at US K-12 Education through different databases, we decided to reshape and model our own data as reported in the section 3.3 Data Structure and Classification and Appendix 1 here below.

2) Problem Definition, Business Questions, and Methodology

The purpose of this project is to identify relationships among expenditure, enrollment, and student achievement (math and reading scores) in public schools in different states and years in order to advance some actionable insights in particular for the state of New York. In order to have a better point of observation for New York state, we examined two other states in particular, Massachusetts (the state with the highest total average score for math and reading in the US), and Florida (the state with the lowest total average score for math and reading in the US).

The following general questions have driven our investigation:

Mileva, Selenu, Synn - IST 687 Project

¹ Quoted from Adam Stone, "Will Data Scientists Have a Big Impact on Education?", https://www.govtech.com/education/k-12/Will-Data-Scientists-Have-a-Big-Impact-on-Education.html

² Ibid.

- What are the relationships among expenditure, retention, and average scores (math and reading) in different states and years?
- What factors influence student retention/attrition in public high schools?
- To what extent do the ratios between total expenditure and instruction expenses affect student enrollment after 8th grade?
- Do student enrollment after 8th grade correlate to the math/reading scores in that grade?
- Are there any specific trends observable within the most recent 5 years?

As for the methodology, our team used RMarkdown in RStudio, the programming language and software environment for statistical analysis, data visualization, and reporting. We used RMarkdown to write and run all of the code for the project. Due to the large amount of code accumulated, we have decided to submit it as a separate file that can be opened directly in RStudio. Please see the attached .R file, which is also accessible by clicking on the code in the Appendix 3.

3) Data Acquisition, Munging, and Classification

3.1 Data Acquisition

The first step in our project consisted in downloading the database file (in csv format) into our local hard drive and then in importing the data into RStudio. We used the read.csv command and stored the full dataset into the dfUSEducation variable. We first decided to analyze the full dataset after cleaning it, then we reorganized the full dataset into subsets.

We are using the following codes to create the data frame:

Create dataframe from states_all_extended.csv file dfUSEducation <- read.csv("../USEducationDataset/states_all_extended.csv",stringsAsFactors = FA LSE)

3.2 Data cleaning and munging

The dataset was overall in good shape, but we had to make some decisions with regard to data munging. First, we decided to focus only on the entries where the data was as complete as possible and to ensure that every insight was actually data-driven. For that reason, we decided to replace the NAs with 0s. We chose not to fulfil blanks with an average value because we considered that such a choice could have affected our final results. For this reason, our team deemed that, in order to keep important data included in the rows with few NAs, replacing the NAs with 0s was the best way to clean the data.

```
# Replace NAs with 0s
dfUSEducation[is.na(dfUSEducation)] <- 0
```

We also noticed that states with compound names as New York, New Mexico, and others, were indicated with the use of underscores. We decided to remove the underscores to uniform all state names.

STR REPLACE ALL function is used to clean up state names with the underscore:

```
# Remove "_" from STATE
dfUSEducation$STATE <- str_replace_all(dfUSEducation$STATE, '_', ' ')
```

Lastly, we decided to exclude Alaska and Hawaii from the list of states composing the US in order to work on the lower 48 states. This has helped us visualizing the data by means of maps and charts (see section 4.1 EDA with Maps and Charts).

We are utilizing SQLDF function to create the data frame for the lower 48 states:

3.3 Data Structure and Classification: A Short Summary

We started our exploratory data analysis (EDA) with a medium-sized database (state_all.csv) of around 10000 data points divided into 25 variables (columns) and 413 observations (rows). After cleaning the dataset and mapping the data, we realized that the type of variables contained in that dataset were not sufficient to achieve useful actionable insights. For that reason, we looked at different databases available in the same Kaggle kernel and we decided to utilize the extended version included in the state_all_extended.csv file. This database is composed of a total of 1492 observations and 193 variables in its uncleaned version. After cleaning the data, the dataset narrowed down to 342 observations and 193 variables, for a total of around 66,000 data points (see the Appendix 1 for a full list of all variables included in the state_all_extended.csv database). We had thus to proceed with further selections in order to focus and reach useful actionable insights.

Among the most important variables in the dataset we chose are the following.

Variable Name Meaning		Meaning
1.	"STATE"	The name of the State in the United States
2.	"YEAR"	The year the data refers to. Years included are from 1996 to

		2015
3.	"ENROLL"	Total student enrollment
4.	"TOTAL_REVENUE"	Total revenue available to the public schools per year in a specific school
5.	"FEDERAL_REVENUE"	The revenue provided by the Federal government per year for each State
6.	"STATE_REVENUE"	The revenue provided by the State per year
7.	"LOCAL_REVENUE"	The revenue provided by the city per year in each State
8.	"TOTAL_EXPENDITURE"	Total expenses encountered yearly by all public schools in each State
9.	"INSTRUCTION_EXPENDITURE"	Total expenses for instruction encountered yearly by all public schools in each State
10.	"SUPPORT_SERVICES_EXPENDITURE"	Total expenses for support services encountered yearly by all public schools in each State
11.	"OTHER_EXPENDITURE"	Various other expenses encountered yearly by all public schools in each State
12.	"CAPITAL_OUTLAY_EXPENDITURE"	Expenses encountered yearly by all public schools in each State for capital outlay (that is, money spent to acquire, maintain, repair, or upgrade capital assets, which may include technology, land, facilities, or other business necessities that are not expended during normal use).
13.	"GRADES_PK_G"	Number of enrolled students in pre-kindergarten schools per year in each State
14.	"GRADES_KG_G"	Number of enrolled students in kindergarten schools per year in each State
15	"GRADES_4_G"	Number of enrolled students in public schools in the 4 th grade per year in each State
16.	"GRADES_8_G"	Number of enrolled students in public schools in the 8 th grade per year in each State
17.	"GRADES_12_G"	Number of enrolled students in public schools in the 12 th grade per year in each State
18.	"GRADES_1_8_G"	Number of enrolled students in public schools between 1^{st} and 8^{th} grades per year in each State
19.	"GRADES_9_12_G"	Number of enrolled students in public schools between 9 th and 12 th grades per year in each State
20.	"GRADES_ALL_G"	Total number of enrolled students in public schools in all grades per year in each State
21.	"AVG_MATH_4_SCORE"	The average grade math scores in the 4 th grade of all public schools per year in each State
22.	"AVG_MATH_8_SCORE"	The average grade math scores in the 8 th grade of all public schools per year in each State

23.	"AVG_READING_4_SCORE"	The average grade reading scores in the fourth grade of all public schools per year in each State
24.	"AVG_READING_8_SCORE"	The average grade reading scores in the 8 th grade of all public schools per year in each State

To help our reflections on the extended dataset, we also decided to group the variables into the following 8 main categories:

	Category Name	Variables included
1.	STATE	STATE
2.	YEAR	YEAR
3.	TOTAL ENROLLMENT	ENROLL
4.	REVENUE	"TOTAL_REVENUE", "FEDERAL_REVENUE", "STATE_REVENUE", "LOCAL_REVENUE"
5.	EXPENDITURE	"TOTAL_EXPENDITURE", "INSTRUCTION_EXPENDITURE", "SUPPORT_SERVICES_EXPENDITURE", "OTHER_EXPENDITURE", "CAPITAL_OUTLAY_EXPENDITURE"
6.	SPECIFIC ENROLLMENT PER GRADE	"GRADES_PK_G", "GRADES_KG_G", "GRADES_4_G", "GRADES_8_G", "GRADES_12_G", "GRADES_1_8_G", "GRADES_9_12_G", "GRADES_ALL_G" and more in Appendix 1
7.	STUDENT DEMOGRAPHIC INFORMATION (RACE AND GENDER)	See Appendix 1
8.	ASSESSMENT (MATH AND READING SCORES)	"AVG_MATH_4_SCORE", "AVG_MATH_8_SCORE", "AVG_READING_4_SCORE", "AVG_READING_8_SCORE"

- **Category 1 to 3** contain basic information on state, year, and total enrollment of students.
- Category 4 and 5 includes financial information about total revenue and its specific divisions at the federal, state, and local levels as well as data about expenditure and its divisions among instruction, support services, capital outlay, and other expenditure. This data is of critical importance for our assumption of possible correlations among expenditure, score, and retention.
- **Category 6 to 8** instead provides information revolving around students: the total number of student enrollment, the specific number of student enrollment in different K-12 grades, student demographic information such as race and gender, and, finally, student scores in math and reading in different grades.

- For our data visualization and predictions we then organized the data into dependent and independent variables as follows:
 - o Independent v.: state, year, revenue, and student demographic
 - Dependent v.: enrollment, expenditure, retention (that we named "dropout" in our dataset and plots), and assessment (that we named "score")

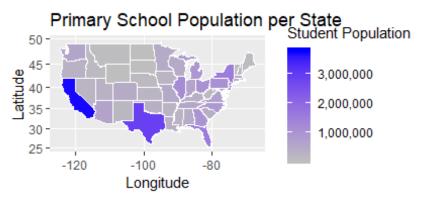
4) Descriptive Statistics

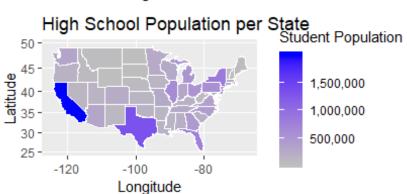
4.1 EDA with Maps and Charts

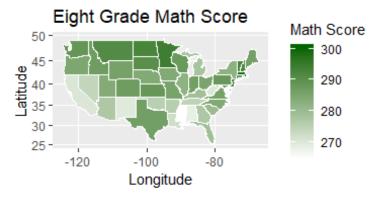
In the early stages of our project – more precisely after classifying the type of variables included in the dataset (see above in section 3) –, we furthered our exploratory analysis to gain some basic insights into our data. The following code snippets were used to create maps by means of GGPLOT, GGMAP, and GRID.ARRANGE packages:

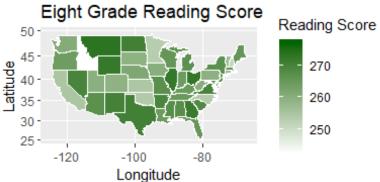
```
# Plot basic U.S. map using state dataframe
map.Primary <- ggplot(dfUSEducation, aes(map_id = STATE)) +
    geom_map(map = us, aes(fill=dfUSEducation$GRADES 1 8 G), color="white") +
    expand_limits(x = us$long, y = us$lat) +
    coord_map() +
    scale_fill_continuous(low = "gray", high = "blue", name = "Student Population", label = sca
les::comma) +
    theme(legend.position = "right") +
    ggtitle("Primary School Population per State") +
    labs(x= "Longitude") +
    labs(y= "Latitude")
# Plot basic U.S. map using state dataframe
map.HighSchool <- ggplot(dfUSEducation, aes(map_id = STATE)) +
    geom_map(map = us, aes(fill=dfUSEducation$GRADES_9_12_G), color="White") +
    expand_limits(x = us$long, y = us$lat) +
    coord_map() +
    scale_fill_continuous(low = "gray", high = "blue", name = "Student Population", label = sca
les::comma) +
    theme(legend.position = "right") +
    ggtitle("High School Population per State") +
    labs(x= "Longitude") +
    labs(y= "Latitude")
# Arrange the plots via grid.arrange
grid.arrange(map.Primary, map.HighSchool)
```

Through the codes above, we visualized different color-coded maps for the variables Primary/High school populations and Math/Reading scores for 8th grade.

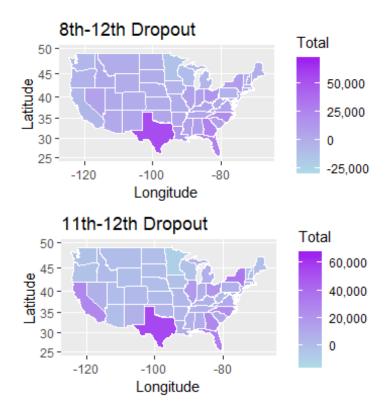




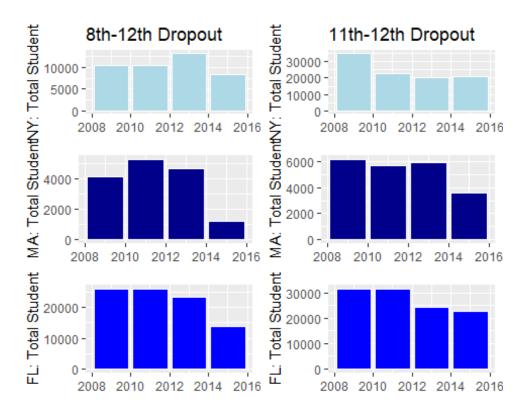




Creating these and other visualizations (see below) was beneficial to make things clearer and easier to understand, especially with such a large and multi-dimensional dataset as ours. This helped for instance map the changes in enrollment between 8^{th} and 12^{th} grade in comparison with 11^{th} and 12^{th} grades. See, for instance, the following map about the enrollment changes between 8^{th} and 12^{th} grades and between 11^{th} and 12^{th} grade.

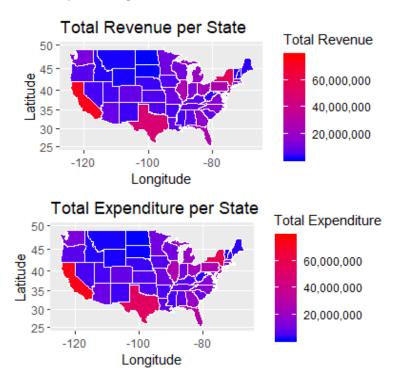


If we look at the map closely, we can see that the student attrition in New York state is evidently higher in the final year of high school enrollment (11^{th} - 12^{th} grade). This observation is also confirmed by the comparative bar charts below with regard to NY state, Massachusetts, and Florida.

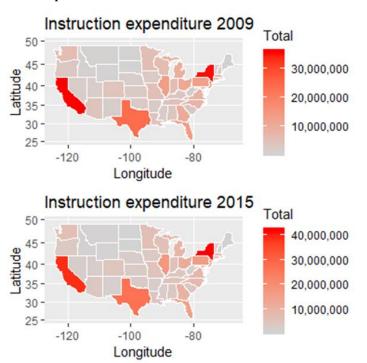


Moreover, these graphic representations allowed us to visualize first general assumptions about correlations between revenue and expenditure as the maps here below display.

1) Total revenue/total expenditure



2) Instruction expenditure $2009 \sim 2015$



Continuing our exploratory analysis, we then realized that the distribution of scores across states was not as easy to predict without a close look at the data. This in turn contributed to the ways we re-shaped the data into different subsets.

4.2 Reshaping the Data

Accurate analyses of large datasets require re-shaping the whole into smaller data subsets. After our general EAD of our database, we decided to center our analysis on New York as our target state in comparison with the states with the highest and lowest average math and reading scores, that is, Massachusetts and Florida respectively. We refocused on them because the database appears to provide most complete data points for all variables in those years. Since our business questions focus on assessment and retention in US high schools, we created subsets on the math and reading scores for 8th, 9th, and 9th-12th grades and on student attrition (or drop out) from 8th to 12th grade. We used the sqldf function included in the sqldf package. We also reshaped the datasets in chronological terms by recentering mostly on the 2009, 2011, 2013, and 2015 years.

In preparation of reshaping our data, we created a function called SelectDataByYear() that takes SQL string and year as parameters. This function then returns the data frame back to the caller:

```
# Create a function to return specific dataset
SelectDataByYear <- function(sql, year)
{ strSQL <- sql
    if (year > 0) { strSQL <- paste(sql, "WHERE YEAR = ", year) }
    df <- sqldf(strSQL)
    return (df)
}</pre>
```

Retention and Retenion_ALL are SQL strings designed to hold specific columns:

```
# Selecting only fields needed and for the years in (2009,2011,2013,2015)
Retention <- "SELECT STATE, YEAR, TOTAL_REVENUE, TOTAL_EXPENDITURE,
    INSTRUCTION EXPENDITURE, GRADES ALL GALL STUDENT ALL,
    GRADES 8 G ALL STUDENT 8,AVG MATH 8 SCORE,
    AVG READING 8 SCORE, GRADES 9 12 G ALL STUDENT 9 12,
    GRADES_12_G ALL_STUDENT_12,
    (AVG MATH 8 SCORE + AVG READING 8 SCORE)/2 SCORE,
    (GRADES_8_G - GRADES_12_G) DROP_OUT_8_12,
    (((GRADES 9 12 G - GRADES 12 G)/3) - GRADES 12 G) HS DROP OUT
    FROM dfUSEducation"
# Selecting fields needed from the entire dataset
Retention_ALL <- "SELECT STATE, YEAR, TOTAL_REVENUE, TOTAL_EXPENDITURE,
    INSTRUCTION_EXPENDITURE, GRADES_ALL_G ALL_STUDENT_ALL,
    GRADES 8 G ALL STUDENT 8,AVG MATH 8 SCORE,AVG READING 8 SCORE,
    GRADES 9 12 G ALL STUDENT 9 12, GRADES 12 G ALL STUDENT 12,
    (GRADES_8_G - GRADES_12_G) DROP_OUT_8_12,
```

```
(((GRADES_9_12_G - GRADES_12_G)/3) - GRADES_12_G) HS_DROP_OUT FROM dfUSEducation ALL"
```

Create dataset for NY, MA, and FL:

Here are screenshots of the resulting datasets for:

New York State (our target data)

Massachusetts (max. score comparable)

```
STATE YEAR TOTAL_REVENUE TOTAL_EXPENDITURE INSTRUCTION_EXPENDITURE ENROLL ALL_STUDENT NATIVE_INDIAN ASIAN HISPANIC BLACK WHITE

19 massachusetts 2015 16985185 16972319 9774884 916130 72176 147 4505 12391 6257 46839

67 massachusetts 2013 16114783 16201905 9097982 920968 72116 155 4217 11356 6218 48310

115 massachusetts 2010 15396681 15150898 8685894 924903 72758 175 3775 10844 6064 50315

163 massachusetts 2010 91298022 15174814 8885949 932437 72093 186 3676 9935 5882 51006

PACIFIC_ISLANDER MIXED_RACE NATIVE_INDIAN_MALE NATIVE_INDIAN_FEMALE ASIAN_MALE ASIAN_FEMALE HISPANIC_MALE BLACK_MALE HISPANIC_FEMALE WHITE_MALE

19 74 1768 77 78 2137 2080 5805 3240 5551 24555

115 62 1523 95 80 1906 1869 5558 3084 5286 25692

1163 102 1306 94 92 1801 1875 5102 3119 94833 26198

WHITE_FEMALE BLACK_FEMALE PACIFIC_ISLANDER_MALE PACIFIC_TSLANDER_FEMALE MIXED_RACE_FEMALE MIXED_RACE_FEMALE AVG_MATH_8_SCORE AVG_READING_8_SCORE

19 22749 3062 37 37 37 970 993 2966.0886 268.3912

67 23755 2978 38 54 887 990 2993 2966.0886 268.3912

67 23755 2978 38 54 887 881 300.5682 257.3512

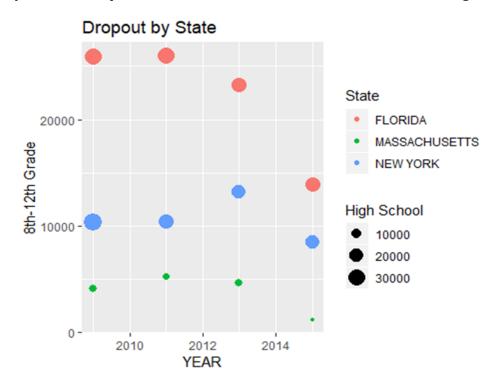
115 24623 2980 29 33 757 766 298.5124 268.8340

163 24808 2763 49 53 632 674 298.8543 266.7995
```

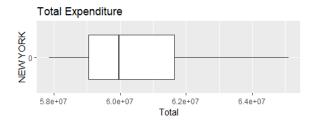
Florida (min. score comparable)

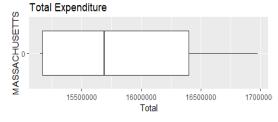
4.3 Box Plots, Scatter Plots, and Histograms

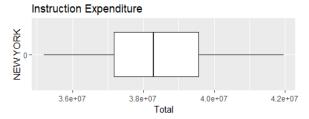
Box plots enable data observers to study the distributional characteristics of a group of scores as well as the level of the scores. The following plots (scatter plots, box plots, and histograms) allow us to visualize comparatively the characteristics of scores for total expenditure and instruction expenditure. First, it is clear that all states examined in recent years have improved in terms of student retention as the following scatter plot attests.

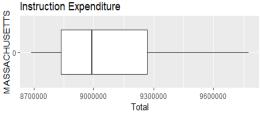


Nonetheless, there are evident differences among the three states in particular when we analyze the ratios between total and instruction expenditures. As the plots below also show, the instruction expenditure for New York state corresponds to the 63.3% of total expenditure with a median value of around \$38 millions against a median value for total expenditure of around 60 millions. Both Massachusetts and Florida return a lower percentage of around 57.3%, with a median value for instruction expenditure of around \$9millions vs. a total expenditure median value of around 15.7 millions, for Massachusetts, and 51.85%, with a median instruction expenditure of around \$14millions vs. a median total expenditure of more than 27 millions, for Florida.

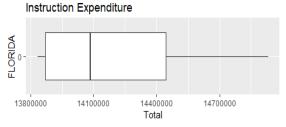








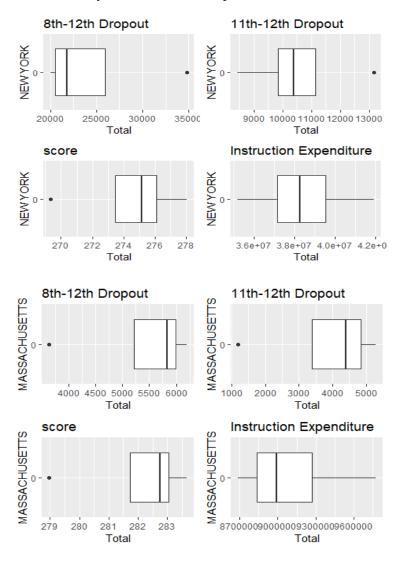


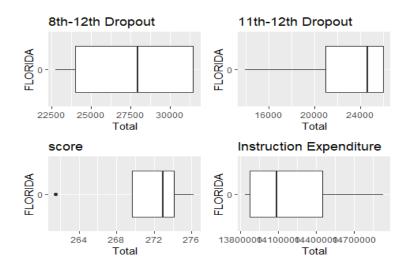


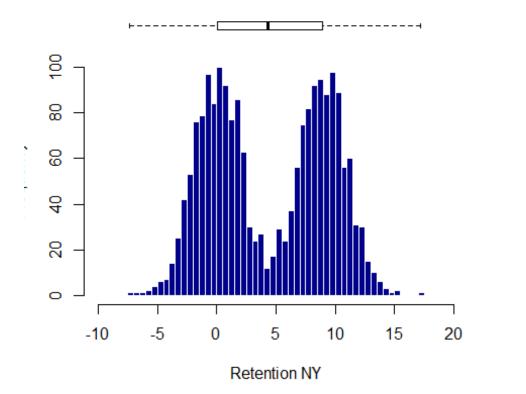
The above boxplots were produced by using GGPLOT(Boxplot) and GRID.ARRANGE packages as follows:

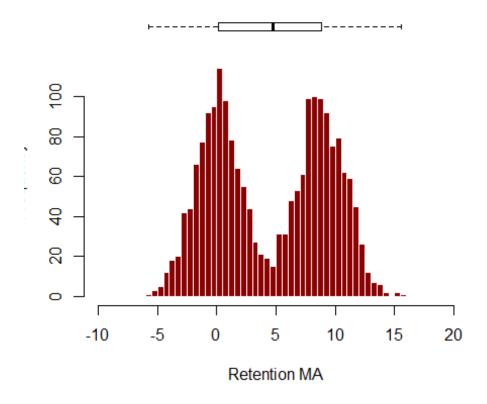
```
# Create chart to show total expenditure for NY
NY_TOT_EXPEND_BP <- ggplot(dfGrades_8NewYork, aes(x=factor(0),
dfGrades_8NewYork$TOTAL_EXPENDITURE)) +
        geom_boxplot()+coord_flip() +
        labs(x="NEW YORK") +
        labs(y="Total") +
        ggtitle("Total Expenditure")
# Create chart to show instruction expenditure for NY
NY_TOT_INST_BP <- ggplot(dfGrades_8NewYork, aes(x=factor(0),
dfGrades 8NewYork$INSTRUCTION_EXPENDITURE)) +
        geom_boxplot()+coord_flip() +
        labs(x="NEW YORK") +
        labs(y="Total") +
        ggtitle("Instruction Expenditure")
# Combining the charts via grid.arrange
grid.arrange(NY_TOT_EXPEND_BP,NY_TOT_INST_BP)
```

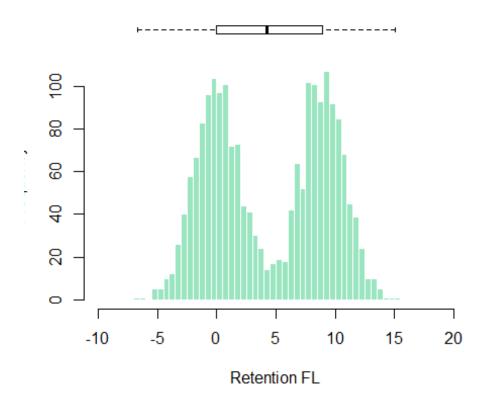
But, how do instruction expenditures, dropout, and score relate in those three states? The following plots visualize exactly these relationships.











5) Modeling Techniques

In our analysis, we focused on finding possible factors that could affect student retention/attrition in public high schools. What variables might affect retention? Is retention correlated to student scores in 8th grade or to schools' expenditure? Or both?

We tried to answer these questions by means of two inferential statistics models, linear modeling and support vector machine. We operated our calculations for the three states of New York, Massachusetts, and Florida for the following categories:

- 1) Retention (8-12 and 9-12) ~ Instruction expenditure
- 2) Retention (8-12 and 9-12) \sim Scores at the 8th grade
- 3) Scores at the 8th grade ~ Instruction expenditure

5.1 Linear Modeling

In this section, we report the findings and plots of our linear modeling for New York state, Massachusetts, and Florida for the dropout, score, and instruction expenditure variables. To see the whole set of values that our linear modeling returned see Appendix 2: Linear Modeling Results. Here is a sample code that we used to create LM models:

```
# Models for Retention NY

NY_mHS_DROP_OUT_EXPENDLM <- lm(HS_DROP_OUT ~ INSTRUCTION_EXPENDITURE, data=dfRet ention_NY)

NY_mHS_DROP_OUT_ScoreLM <- lm(HS_DROP_OUT ~ AVG_MATH_8_SCORE + AVG_READING_8_SC ORE,

data=dfRetention_NY)

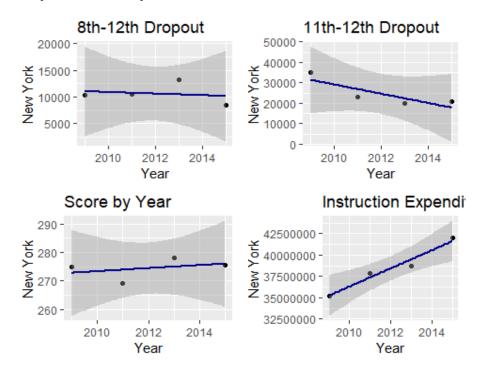
NY_mDROP_OUT_8_12_ScoreLM <- lm(DROP_OUT_8_12 ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,

data=dfRetention_NY)
```

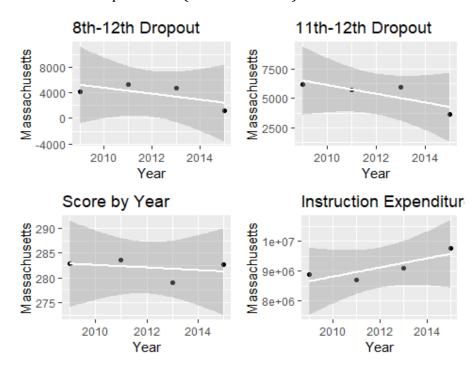
Sample code on how model is tested using PREDICT():

As expected, different intensity is evident in the examined correlations in different states. Let's see closely:

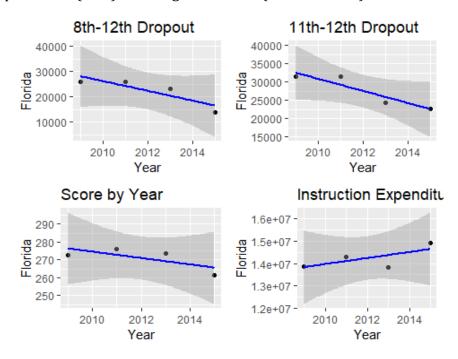
New York State presents stronger correlation between student retention and instruction expenditure (NY.1), but weak correlation between retention and 8th grade scores (NY.2 and NY.3).



<u>Massachusetts</u> reverses the correlation. Indeed, we have stronger correlation between retention and high scores in 8th grade (see MA.3), but weak correlation between retention and instruction expenditure (MA.1 and MA.2).



<u>Florida</u> appears to offer a third condition: retention correlates to both instruction expenditure (FL.1) and 8th grade scores (FL.2 and FL.3).



These findings lead to confirm a general principle that grounds our actionable suggestions at the end of the project (see the Summary below): there is not one combination of factors that works similarly for all states. Each state can have different correlations among the following three possible ones.

COMBINATION	STRONG CORRELATION	WEAK CORRELATION	STATE
1	Retention ~ Instruction exp.	Retention ~ Scores	NY
2	Retention ~ Scores	Retention ~ Instruction exp.	MA
3	Retention ~ Instruction exp. Retention ~ Scores		FL

Additionally, we observed that the correlation between scores and retention is not as strong as one might assume before analyzing the data. The strength of this correlation is different for the three states; for New York state and Massachusetts it appears to be quite weak, whereas it is much stronger for Florida as the results below attest.

New York:

```
Call:
lm(formula = AVG_MATH_8_SCORE + AVG_READING_8_SCORE ~
INSTRUCTION_EXPENDITURE,
    data = dfGrades_8NewYork)
Residuals:
             78
     30
                    126
                            174
 2.6473 -9.8498 7.0955 0.1069
Coefficients:
                         Estimate Std. Error t value Pr(>|t|)
                        5.270e+02
                                  7.003e+01
                                                      0.0172 *
                                               7.526
(Intercept)
INSTRUCTION_EXPENDITURE 5.679e-07
                                   1.818e-06
                                               0.312
                                                       0.7844
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
Residual standard error: 8.786 on 2 degrees of freedom
Multiple R-squared: 0.0465, Adjusted R-squared: -0.4302
F-statistic: 0.09755 on 1 and 2 DF, p-value: 0.7844
```

Massachusetts

```
Call:
lm(formula = AVG_MATH_8_SCORE + AVG_READING_8_SCORE ~
INSTRUCTION_EXPENDITURE,
    data = dfGrades_8Massachusetts)
Residuals:
           67
                  115
    19
                         163
 1.313 2.752 -6.152 2.087
Coefficients:
                           Estimate Std. Error t value Pr(>|t|)
                           5.756e+02 5.645e+01 10.197 0.00948 **
(Intercept)
INSTRUCTION_EXPENDITURE -1.268e-06 6.189e-06 -0.205 0.85658
Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
Residual standard error: 5.075 on 2 degrees of freedom
Multiple R-squared: 0.02057, Adjusted R-squared: -0.4691 F-statistic: 0.042 on 1 and 2 DF, p-value: 0.8566
```

Florida

```
Call:
lm(formula = AVG_MATH_8_SCORE + AVG_READING_8_SCORE ~
INSTRUCTION_EXPENDITURE,
    data = dfGrades 8Florida)
Residuals:
           56
                 104
     8
 4.036 11.758 -3.080 -4.642
Coefficients:
                          Estimate Std. Error t value Pr(>|t|)
(Intercept)
                         8.309e+02 1.562e+02
INSTRUCTION_EXPENDITURE -2.031e-05 1.097e-05 -1.852
                                                        0.2052
               0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
Signif. codes:
Residual standard error: 9.633 on 2 degrees of freedom
Multiple R-squared: 0.6317, Adjusted R-squared: 0.4476
F-statistic: 3.43 on 1 and 2 DF, p-value: 0.2052
```

5.2 Support Vector Machines (SVM)

The Support Vector Machine is another method used to observe correlations among variables that can help the analyst to produce actionable insights. Overall, the SVM, which we operated with the ksvm function in RStudio, confirmed the results returned through linear modeling.

First, we divided the whole data set into two subsets, one to train the machine, the second to test the datasets in order to obtain a prediction. The SVM returned a prediction very close to the actual values we examined, which confirms that the correlation between instruction expenditure and student retention is a strong one to validate good predictions for all states examined. For Florida, the SVM also confirmed that 8th grade math and reading scores are factors that affect retention.

Sample code on how KSMV model is created:

```
data=NY_tr.Retention, kernel = "rbfdot",
kpar="automatic", C=1, cross=2, prob.model=TRUE)
```

Sample code on how model is tested using PREDICT():

```
# Model is created on line 946
pNY_mHS_DROP_OUT_EXPEND <- predict(NY_mHS_DROP_OUT_EXPEND, NY_ts.Retention)
pNY_mHS_DROP_OUT_EXPEND.Error <- (NY_ts.Retention$HS_DROP_OUT - pNY_mHS_DROP_OUT_E
XPEND)
pNY_mHS_DROP_OUT_EXPEND.rmse <- rmse(pNY_mHS_DROP_OUT_EXPEND.Error)
print(pNY_mHS_DROP_OUT_EXPEND.rmse)
```

```
# NEW YORK - Print results
NY mHS DROP OUT EXPEND
## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter : epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
## Hyperparameter: sigma = 65.397595920409
##
## Number of Support Vectors: 15
## Objective Function Value : -4.228
## Training error : 0.093005
## Cross validation error: 200532831
## Laplace distr. width: 25824.85
NY_mHS_DROP_OUT_Score
## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter: epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
## Hyperparameter : sigma = 0.280707562478635
##
## Number of Support Vectors: 16
## Objective Function Value : -12.4477
## Training error : 1.057574
## Cross validation error: 808501896
## Laplace distr. width: 42568.96
```

```
# MASSACHUSETTS - Print results
MA_mHS_DROP_OUT_EXPEND
## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter: epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
## Hyperparameter : sigma = 3.9263737571042
## Number of Support Vectors: 13
## Objective Function Value: -3.7128
## Training error : 0.084244
## Cross validation error: 12876582
## Laplace distr. width: 9287.054
MA_mHS_DROP_OUT_Score
   ## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter: epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
```

Mileva, Selenu, Synn - IST 687 Project

##

Hyperparameter : sigma = 164.859042036737

Number of Support Vectors: 16

Training error : 0.707954

Objective Function Value: -9.0734

Cross validation error: 15127350 ## Laplace distr. width: 1526.169

```
# FLORIDA - Print results
FL_mHS_DROP_OUT_EXPEND
## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter: epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
## Hyperparameter : sigma = 8.05720275132499
##
## Number of Support Vectors: 15
## Objective Function Value: -3.9278
## Training error: 0.09226
## Cross validation error: 87229152
## Laplace distr. width: 33962.06
FL_mHS_DROP_OUT_Score
## Support Vector Machine object of class "ksvm"
##
## SV type: eps-svr (regression)
## parameter: epsilon = 0.1 \cos C = 1
##
## Gaussian Radial Basis kernel function.
## Hyperparameter : sigma = 155.835817328262
##
## Number of Support Vectors: 17
## Objective Function Value : -9.5268
## Training error : 0.622685
## Cross validation error: 222099984
## Laplace distr. width: 0
```

6) Summary

After this long journey, let's sum up the outcomes we obtained from our data analysis:

<u>New York State</u>: stronger correlation between student retention and instruction expenditure (NY.1), but weak correlation between retention and 8th grade scores (NY.2 and NY.3).

<u>Massachusetts</u>: stronger correlation between retention and high scores in 8th grade (see MA.3), but weak correlation between retention and instruction expenditure (MA.1 and MA.2).

<u>Florida</u>: retention correlates to both instruction expenditure (FL.1) and 8th grade scores (FL.2 and FL.3).

With these results in mind, our team would provide the following actionable suggestions to the future administrations of New York state:

- 1. Always keep in mind the general principle according to which: there is not one combination of factors that works similarly for all states. Each state can have different correlations among different factors.
- 2. By comparing New York state with other two states, we observed that for improving student retention, student grades in quantitative skills (math) as well as humanities-oriented areas of study (reading) are not as crucial as instruction expenditure.
- 3. To keep improving public school retention rates, the state of New York might want to consider keeping high instruction expenditure as it has been doing in recent years.

A Appendices

A.1 Appendix 1: Full List of Variables

Here you can find the complete list of 193 columns of the state_all_extended.csv database accompanied by a legend that explains each single acronym (see below).

Category 1: State

STATE

Category 2: Year

YEAR

Category 3: Total enrollment

ENROLL

Category 4: Revenue

TOTAL_REVENUE FEDERAL_REVENUE STATE_REVENUE LOCAL_REVENUE

Category 5: Expenditure

TOTAL_EXPENDITURE
INSTRUCTION_EXPENDITURE
SUPPORT_SERVICES_EXPENDITURE
OTHER_EXPENDITURE
CAPITAL_OUTLAY_EXPENDITURE

<u>Category 6</u>: Enrollment And Retention (grades) + <u>Category 7</u>: Student Demographic Information (race and gender)

PRE-SCHOOL	KINDERGARDEN	4 TH GRADE	8 TH GRADE
GRADES_PK_G	GRADES_KG_G	GRADES_4_G	GRADES_8_G
GRADES_PK_AM	GRADES_KG_AM	GRADES_4_AM	GRADES_8_AM
GRADES_PK_AS	GRADES_KG_AS	GRADES_4_AS	GRADES_8_AS
GRADES_PK_HI	GRADES_KG_HI	GRADES_4_HI	GRADES_8_HI
GRADES_PK_BL	GRADES_KG_BL	GRADES_4_BL	GRADES_8_BL
GRADES_PK_WH	GRADES_KG_WH	GRADES_4_WH	GRADES_8_WH
GRADES_PK_HP	GRADES_KG_TR	GRADES_4_HP	GRADES_8_HP
GRADES_PK_TR	GRADES_KG_AMM	GRADES_4_TR	GRADES_8_TR
GRADES_PK_AMM	GRADES_KG_HP	GRADES_4_AMM	GRADES_8_AMM
GRADES_PK_AMF	GRADES_KG_ASM	GRADES_4_AMF	GRADES_8_AMF
GRADES_PK_ASM	GRADES_KG_AMF	GRADES_4_ASM	GRADES_8_ASM
GRADES_PK_ASF	GRADES_KG_HIM	GRADES_4_ASF	GRADES_8_ASF

GRADES_PK_HIM	GRADES_KG_ASF	GRADES_4_HIM	GRADES_8_HIM
GRADES_PK_HIF	GRADES_KG_HIF	GRADES_4_BLM	GRADES_8_HIF
GRADES_PK_BLM	GRADES_KG_BLM	GRADES_4_HIF	GRADES_8_BLM
GRADES_PK_BLF	GRADES_KG_BLF	GRADES_4_WHM	GRADES_8_BLF
GRADES_PK_WHM	GRADES_KG_WHM	GRADES_4_WHF	GRADES_8_WHM
GRADES_PK_WHF	GRADES_KG_WHF	GRADES_4_BLF	GRADES_8_WHF
GRADES_PK_HPM	GRADES_KG_HPM	GRADES_4_HPM	GRADES_8_HPM
GRADES_PK_HPF	GRADES_KG_HPF	GRADES_4_HPF	GRADES_8_HPF
GRADES_PK_TRM	GRADES_KG_TRM	GRADES_4_TRM	GRADES_8_TRM
GRADES_PK_TRF	GRADES_KG_TRF	GRADES_4_TRF	GRADES_8_TRF
GRADE 1 ST -8 TH	GRADE 9 TH	GRADE 9 TH -12 TH	ALL GRADES
GRADES_1_8_G	GRADES_9_G	GRADES_9_12_G	GRADES_ALL_G
GRADES_1_8_AM	GRADES_9_AM	GRADES_9_12_AM	GRADES_ALL_AM
GRADES_1_8_AS	GRADES_9_AS	GRADES_9_12_AS	GRADES_ALL_AS
GRADES_1_8_HI	GRADES_9_HI	GRADES_9_12_HI	GRADES_ALL_HI
GRADES_1_8_BL	GRADES_9_BL	GRADES_9_12_BL	GRADES_ALL_BL
GRADES_1_8_WH	GRADES_9_WH	GRADES_9_12_WH	GRADES_ALL_WH
GRADES_1_8_HP	GRADES_9_HP	GRADES_9_12_HP	GRADES_ALL_HP
GRADES_1_8_TR	GRADES_9_TR	GRADES_9_12_TR	GRADES_ALL_TR
GRADES_1_8_AMM	GRADES_9_AMM	GRADES_9_12_AMM	GRADES_ALL_AMM
GRADES_1_8_AMF	GRADES_9_AMF	GRADES_9_12_AMF	GRADES_ALL_AMF
GRADES_1_8_ASM	GRADES_9_ASM	GRADES_9_12_ASM	GRADES_ALL_ASM
GRADES_1_8_ASF	GRADES_9_ASF	GRADES_9_12_ASF	GRADES_ALL_ASF
GRADES_1_8_HIM	GRADES_9_HIM	GRADES_9_12_HIM	GRADES_ALL_HIM
GRADES_1_8_HIF	GRADES_9_HIF	GRADES_9_12_HIF	GRADES_ALL_HIF
GRADES_1_8_BLM	GRADES_9_BLM	GRADES_9_12_BLM	GRADES_ALL_BLM
GRADES_1_8_BLF	GRADES_9_BLF	GRADES_9_12_BLF	GRADES_ALL_BLF
GRADES_1_8_WHM	GRADES_9_WHM	GRADES_9_12_WHM	GRADES_ALL_WHM
GRADES_1_8_WHF	GRADES_9_WHF	GRADES_9_12_WHF	GRADES_ALL_WHF
GRADES_1_8_HPM	GRADES_9_HPM	GRADES_9_12_HPM	GRADES_ALL_HPM
GRADES_1_8_HPF	GRADES_9_HPF	GRADES_9_12_HPF	GRADES_ALL_HPF
GRADES_1_8_TRM	GRADES_9_TRM	GRADES_9_12_TRM	GRADES_ALL_TRM
GRADES_1_8_TRF	GRADES_9_TRF	GRADES_9_12_TRF	GRADES_ALL_TRF

Category 8: Assessment (math and reading scores)

AVG_MATH_4_SCORE AVG_MATH_8_SCORE AVG_READING_4_SCORE AVG_READING_8_SCORE

LEGEND

Grades_ALL_AS	Number of students whose ethnicity was classified as "Asian"		
Grades_ALL_ASM	Number of male students whose ethnicity was classified as "Asian"		
Grades_ALL_ASF	Number of female students whose ethnicity was classified as "Asian"		
The represented r	The represented races include		
AM	American Indian or Alaska Native		
AS	Asian		
НІ	Hispanic/Latino		
BL	Black or African American		
WH	White		
НР	Hawaiian Native/Pacific Islander		
TR	Two or More Races		
The represented genders include			
M	Male		
F	Female		

A.2 Appendix 2: Linear Modeling Results

NEW YORK

NY.1 High School retention ~ Instruction expenditure

```
call:
lm(formula = HS_DROP_OUT ~ STATE + AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_Copy)
Residuals:
           10 Median
   Min
                         30
                               Max
-19701
        -8402
                -871
                       4905
                             32801
Coefficients:
                      Estimate Std. Error t value Pr(>|t|)
(Intercept)
                     2904571.7
                                 564545.9
                                            5.145 0.000188 ***
                                  14961.6 -55.226 < 2e-16 ***
STATEflorida
                     -826263.2
                                  53042.5 -21.355 1.66e-11 ***
                   -1132738.4
STATEmassachusetts
                                  19471.9 -40.690 4.30e-15 ***
STATEnew york
                     -792312.1
STATEOhio
                    -1002313.2
                                  30178.6 -33.213 5.88e-14 ***
AVG_MATH_8_SCORE
                       -4704.7
                                   2084.3 -2.257 0.041849 *
                                    574.2 -0.951 0.358735
AVG_READING_8_SCORE
                        -546.3
Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
Residual standard error: 16040 on 13 degrees of freedom
Multiple R-squared: 0.9991, Adjusted R-squared: 0.9987
F-statistic: 2392 on 6 and 13 DF, p-value: < 2.2e-16
```

NY.2 High School retention ~ Scores at the 8th grade

```
Call:
lm(formula = HS_DROP_OUT ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_NY)
Residuals:
  8100 -5314 -10600
                       7814
Coefficients:
                    Estimate Std. Error t value Pr(>|t|)
(Intercept)
                    -2706302
                                 2301459
                                         -1.176
                                                    0.449
AVG_MATH_8_SCORE
                       13294
                                    8457
                                           1.572
                                                    0.361
AVG_READING_8_SCORE
                       -1456
                                    1417
                                         -1.027
                                                    0.491
Residual standard error: 16350 on 1 degrees of freedom
                      0.74,
                               Adjusted R-squared: 0.2201
Multiple R-squared:
F-statistic: 1.423 on 2 and 1 DF, p-value: 0.5099
```

NY.3 Retention 8th-12th grades ~ Scores at the 8th grade

```
Call:
lm(formula = DROP_OUT_8_12 ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_NY)
Residuals:
                2
-1391.0
           912.6 1820.4 -1342.0
Coefficients:
                        Estimate Std. Error t value Pr(>|t|)
(Intercept)
                      -236753.0
                                    395243.4 -0.599
                                                           0.656
AVG MATH 8 SCORE
                           839.2
                                      1452.4
                                                 0.578
                                                           0.666
                                                           0.890
AVG READING 8 SCORE
                            42.4
                                        243.4
                                                 0.174
Residual standard error: 2808 on 1 degrees of freedom
Multiple R-squared: 0.3132, Adjusted R-squared: -1.06 F-statistic: 0.228 on 2 and 1 DF, p-value: 0.8287
```

MASSACHUSETTS

MA.1 High School retention ~ Instruction expenditure

MA.2 High School retention ~ Scores at the 8th grade

```
Estimate Std. Error t value Pr(>|t|)
(Intercept)
                    826389.7
                               583605.6
                                          1.416
                                                   0.391
AVG_MATH_8_SCORE
                     -1786.8
                                 1603.9 -1.114
                                                   0.466
                                  445.8 -0.601
AVG_READING_8_SCORE
                      -268.0
                                                   0.655
Residual standard error: 2153 on 1 degrees of freedom
Multiple R-squared: 0.6308,
                              Adjusted R-squared: -0.1077
F-statistic: 0.8541 on 2 and 1 DF, p-value: 0.6077
```

MA.3 Retention 8th-12th grades ~ Scores at the 8th grade

```
call:
lm(formula = DROP_OUT_8_12 ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_MA)
Residuals:
-601.701 487.423 106.298
                              7.979
Coefficients:
                     Estimate Std. Error t value Pr(>|t|)
                                                    0.176
                    -748320.6
                                          -3.532
(Intercept)
                                211897.2
AVG_MATH_8_SCORE
                       2157.1
                                   582.3
                                          3.704
                                                    0.168
AVG_READING_8_SCORE
                        406.2
                                   161.9
                                           2.510
                                                    0.241
Residual standard error: 781.7 on 1 degrees of freedom
Multiple R-squared: 0.9389, Adjusted R-squared: 0.8167
F-statistic: 7.684 on 2 and 1 DF, p-value: 0.2472
```

FLORIDA

FL.1 High School retention ~ Instruction expenditure

FL.2 High School retention ~ Scores at the 8th grade

```
Call:
lm(formula = HS_DROP_OUT ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_FL)
Residuals:
           2
-9720 2374 5970 1376
Coefficients:
                       Estimate Std. Error t value Pr(>|t|)
                                                1.541
                                                           0.367
(Intercept)
                      1409291.7
                                    914721.6
AVG_MATH_8_SCORE
                        -1930.1
                                      3693.7
                                               -0.523
                                                           0.693
                                       767.3 -1.223
AVG_READING_8_SCORE
                          -938.3
                                                           0.436
Residual standard error: 11730 on 1 degrees of freedom
Multiple R-squared: 0.8095, Adjusted R-squared: 0.4285 F-statistic: 2.125 on 2 and 1 DF, p-value: 0.4365
```

FL.3 Retention 8th-12th grades ~ Scores at the 8th grade

```
Call:
lm(formula = DROP_OUT_8_12 ~ AVG_MATH_8_SCORE + AVG_READING_8_SCORE,
    data = dfRetention_FL)
Residuals:
 2270.2
        -554.4 -1394.3 -321.5
Coefficients:
                     Estimate Std. Error t value Pr(>|t|)
(Intercept)
                    -235663.3
                                213647.8
                                         -1.103
                                                    0.469
AVG_MATH_8_SCORE
                        542.6
                                   862.7
                                           0.629
                                                    0.643
                        405.8
AVG_READING_8_SCORE
                                   179.2
                                                    0.265
                                           2.265
Residual standard error: 2740 on 1 degrees of freedom
Multiple R-squared: 0.9236, Adjusted R-squared: 0.7707
F-statistic: 6.042 on 2 and 1 DF, p-value: 0.2764
```

A.3 Appendix 3: R code



Mileva Selenu Synn IST687 Final Project.Rmd