

CS60026 / CS6004D

Parallel Algorithms ≠ Programming

J

TEXTBOOKS:

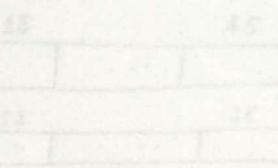
Joseph Jaja, Introduction to Parallel Algorithms
Michael Quinn.

Grama.

CT - 20

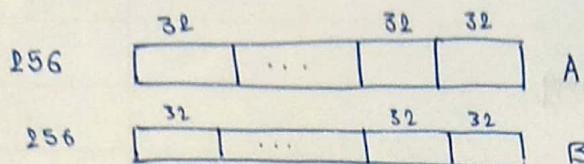
MS - 30

ES - 50



→ Multicore Machines

→ SIMD



→ GPGPU

- Parallel Programming Models

P → a computational problem

$T^*(n)$ = best known / optimal sequential running time

A → a parallel algo to solve P.

$T_p(n)$ = running time of A on p processors.

$$S_p(n) = \text{Speedup} = \frac{T^*(n)}{T_p(n)} \leq p \quad \left| \begin{array}{l} \text{Note} \\ T_1(n) \geq T^*(n) \end{array} \right.$$

Ideal case: $S_p(n) \approx p$

Problems

→ Concurrency

→ Dependency

→ Communication overhead.

(some problems are
"inherently" sequential)

Reasons why ideal
case is not always achieved.

$$E_p(n) = \text{Efficiency} = \frac{T_1(n)}{p T_p(n)}$$

As p becomes larger than some value, efficiency decreases.
So, there's a limit on the no. of processors

$$T_{\infty}(n) \leq T_p(n) + p.$$

$$\frac{T_1(n)}{p T_p(n)} \leq \frac{T_1(n)}{p T_\alpha(n)}$$

if $p \gg \frac{T_1(n)}{T_\alpha(n)}$, efficiency is small.

"optimal" no. of processors.

- DAGs
- PRAM ✓
- Network

Chap 2 to n-1

Design and analysis of
parallel algos.

Chap n

Limitations of parallelization.

Models of Parallel Computation

- Simplicity
- Implementability

Three models

Directed Acyclic Graphs (DAGs)

Architecture Independent

Nodes of in-degree zero - Inputs

Nodes of out-degree zero - Outputs.

Internal nodes - Operations

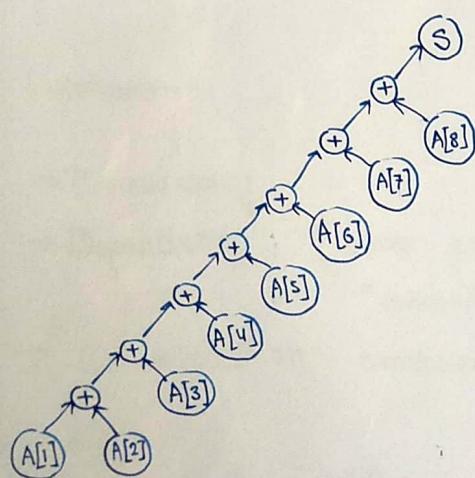
at most two incoming edges.

$$A[1 \dots n] \quad t=3$$

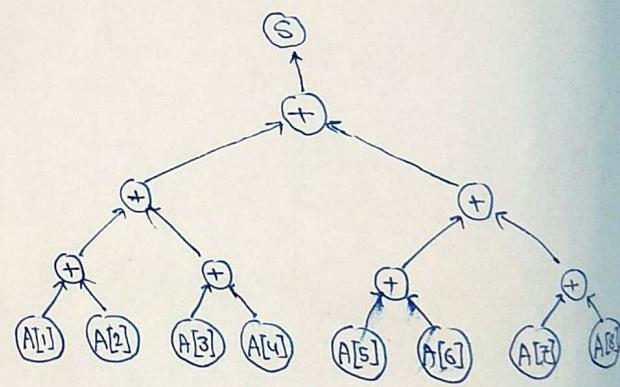
$$n = 2^t$$

To add eight elements :

DAG 1



DAG 2



Schedule

p processes

An assignment of
a processor and a
time to each internal
node.

ith internal node gets a pair (p_i, t_i)

operation mapped to p_i
at a time t_i

Assumption -

(1) Each ~~of~~ takes operation takes one unit time.

(2) The output of an operation is available to all processors immediately after the operation ~~is~~ is performed.

Requirements

(1) If $t_i = t_k$ for some $i \neq k$, then $p_i \neq p_k$.

(2) If there is an edge from node i to node j, then $t_j \geq t_i + 1$.

For a given schedule,

running time = Max t_i

$T_p(n) = \min(\max t_i)$

over all possible
schedules

DAG 1

$T_p(n) = n-1$ irrespective of p.

DAG 2

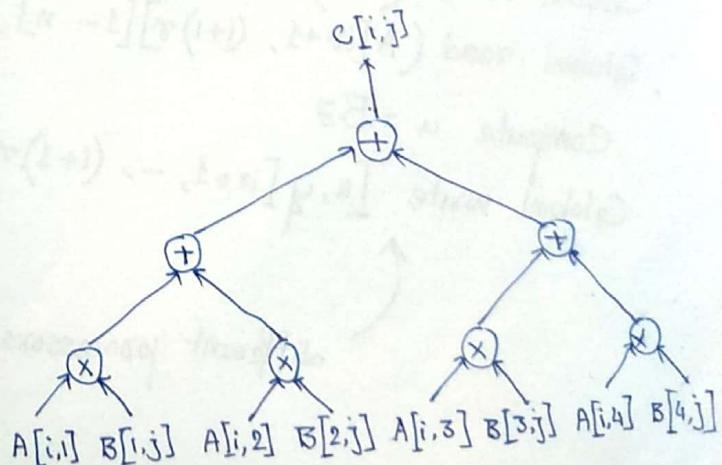
$T_{p_2}(n) = \log n$

Matrix Multiplication

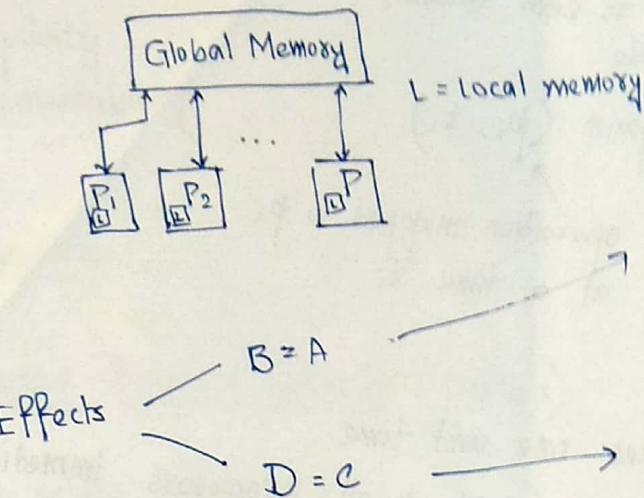
A, B $n \times n$ matrices

$$C = AB$$

$$C[i, j] = \sum_{l=1}^n A[i, l] \cdot B[l, j]$$



PRAM (Parallel Random Access Machine)



Input, Output \rightarrow in global memory
Individual computations done on data in local memory.

global read (A, B)
fetch data A from global memory to B in local memory.

global write (C, D)
write back from local memory C to global memory D

Synchronised PRAM - same clock for all processors.
Non-synchronised PRAM - private clock for each processor.

$$y_i = A_i x$$

done at P_i

$$\bar{y} = \bar{A} \bar{x}$$

$n \times n$ $n \times 1$

10 processors

$y = px$

$$Ax = \begin{bmatrix} A_1 \\ A_2 \\ \vdots \\ A_p \end{bmatrix} x = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_p \end{bmatrix}$$

Each A_i is an $r \times n$ block

Each y_i is an r -dim sub vector

Code for P_i

Global read (x, z)

Global read ($A[i\gamma+1, (i+1)\gamma][1 \dots n], B$)

Compute $u = Bz$

Global write $[u, y[i\gamma+1, \dots, (i+1)\gamma]]$

different processors

Sum of hypercube.

d=3

For $i = d-1$ down to 0.

For $j=0$ to $\frac{1}{2}(2^i - 1)$

P_j gets $A_{j(i)}$ from $P_{j(i)}$

P_j sets $A_j = A_j + A_{j(i)}$

$O(\log n)$ time.

Broadcasting in a d-dimensional hypercube.

Initially, P_0 contains X .

Step 1: P_0 sends X to P_1 .

Step 2: P_0, P_1 send (in parallel) X to P_2, P_3 resp.

$O(\log n)$ time

where $n = 2^d$

...
Step i: $P_0, P_1, \dots, P_{2^{i-1}-1}$ sends (in parallel) X to $P_{2^{i-1}}, P_{2^{i-1}+1}, \dots, P_{2^i-1}$

Matrix multiplication on a hypercube

$$C = AB \quad (n \times n \text{ matrices})$$

$$n = 2^t$$

($3t$ -dimensional hypercube).

$$P = n^3 = 2^{3t} \quad \downarrow$$

processor IDs: $0, 1, \dots, n^3 - 1$

Map these IDs to i, j, k .

$$P_{i,j,k} \quad i, j, k \in \{0, \dots, 2^t - 1\}$$

$$\text{ID} = i \times n^2 + j \times n + k$$

Fix i, k .

Let j vary

$\{P_{i^*, j^*, k^*} \mid j^* \in \{0, \dots, 2^t - 1\}\}$ form a t -dimensional subcube.

$$C(i, j) = \sum_{k=0}^{n-1} A(i, k) B(k, j)$$

Initially apply $A_{i,k}$ to $P_{i,0,k}$

and apply $B_{k,j}$ to $P_{0,j,k}$. ~~*i~~

$\forall i, k, P_{i,0,k}$ broadcasts $A_{i,k}$ to all $P_{i,j,k} \leftarrow t = O(\log n)$ time steps.
 $\forall k, j, P_{0,j,k}$ broadcasts $B_{k,j}$ to all $P_{i,j,k} \leftarrow t = O(\log n)$ time steps.

$C_{i,j,k}$

$\forall i, j, k, P_{i,j,k}$ computes $C'_{i,j,k} = A_{i,k} \times B_{k,j}$ in parallel.
 $\forall i, j, P_{i,j,k}, k = 0, 1, \dots, l-1$, add $C'_{i,j,k}$ to $C(i,j)$ using the addition algo.
 $\uparrow t = O(\log n)$ steps.

$C(i,j)$ are finally stored in $P_{i,j,0}$.

Running time = $O(\log n)$

DAG \rightarrow too simple, no communication, scheduling to be done separately

Network model: Algos vary widely from topology to topology

PRAM = synchronized shared memory model.

Performance measures

$A \rightarrow$ a parallel algo for solving Q .

(1) $T(n)$ time on $P(n)$ processors.

(2) $T(n)$ time, cost = $T(n)P(n)$.

A sequential algo on one processor runs in $O(T(n)P(n))$ time.

(3) $p \leq P(n)$ processors.
 $O\left(\frac{T(n) \cdot P(n)}{p}\right)$.

(4) $p > P(n)$ processors are available.

Use only $P(n)$ processors and run A in $T(n)$ time.

$$O\left(\frac{C(n)}{p} + T(n)\right).$$

A - parallel algo using $P(n)$ processors and taking $T(n)$ time.

$$C(n) = T(n) P(n).$$

includes the idle time of processors.

n -fold sum $A(1) + A(2) + \dots + A(n)$, $n = 2^t$.

Code for P_i , $i = 1, 2, \dots, n$.

Copy $A(i)$ to $B(i)$.

For each $h = 1, 2, \dots, t$

$$\text{if } (i \leq \frac{n}{2^h}) \text{ set } B(i) = B(2i-1) + B(2i)$$

}

If ($i=1$) copy $B(1)$ to S .

Work-time (WT) presentation.

for $1 \leq i \leq n$ par do $B(i) = A(i)$

for $h = 1, 2, \dots, t$

for $1 \leq i \leq \frac{n}{2^h}$ par do $B(i) = B(2i-1) + B(2i)$

}

if ($i=1$) set $S = B(1)$

Schedule this work to p processors.

$$W(n) = \text{work.}$$

= the no. of operations.

$$= n + \left(\sum_{h=1}^{\log n} \frac{n}{2^h} \right) + 1$$

$$\leq n + n + 1$$

$$= 2n + 1$$

$$= O(n).$$

$$C(n) = n \log n = O(n \log n).$$

for $1 \leq i \leq n$ parallel something₁ // this gets done
 for $1 \leq i \leq n$ parallel something₂. // all other processors wait

The WT principle

A \rightarrow a parallel algorithm with work $W(n)$ and running time $T(n)$.

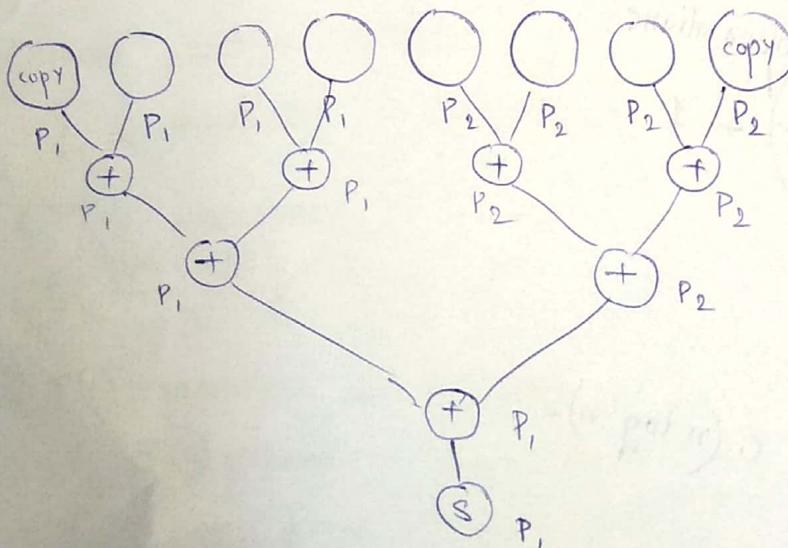
Then A can be scheduled to p processors to run in

$$T_p(n) = O\left(\frac{W(n)}{p} + T(n)\right) \text{ time.}$$

Step 1 Step 2 ... Step i Step T(n).	$\frac{\text{work}}{W_1(n)}$ $W_2(n)$ $W_i(n)$ $W_{T(n)}(n)$	<small>Equitable sharing to p processors take</small> $\lceil \frac{W_i(n)}{p} \rceil$ time.
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$$T_p(n) = \sum_{i=1}^{T(n)} \lceil \frac{W_i(n)}{p} \rceil \leq \sum_{i=1}^{T(n)} \left(\frac{W_i(n)}{p} + 1 \right) = \frac{W(n)}{p} + T(n)$$

$$n=8, t=3, p=2.$$



$$T(n) = \log n$$

$$W(n) = O(n)$$

Work-time representation (WT)

$w(n)$ = total work done

$T(n)$ = the running time

WT scheduling lemma

$$T_p(n) = O\left(\frac{w(n)}{p} + T(n)\right)$$

$$C_p(n) = pT_p(n) = O(w(n) + pT(n))$$

(cost)

If $p = O\left(\frac{w(n)}{T(n)}\right)$, then $C_p(n) = O(w(n))$.

Parallel sum

If $p = O\left(\frac{n}{\log n}\right)$, then $C_p(n) = O(w(n))$.

Optimality

\rightarrow a problem whose optimal sequential running time $T^*(n)$.

$Q \rightarrow$ a parallel algorithm to solve Q presented in the WT

A is a parallel algorithm to solve Q .

A is called optimal (weakly) if $w(n) = O(T^*(n))$

\overbrace{p} processors

$$T_p(n) = O\left(\frac{T^*(n)}{p} + T(n)\right),$$

$$S_p(n) = \frac{T^*(n)}{T_p(n)} = \Omega\left(\frac{\frac{T^*(n)}{p}}{\frac{T^*(n)}{p} + T(n)}\right).$$

$$= \Omega\left(\frac{pT^*(n)}{T^*(n) + pT(n)}\right).$$

If $p = O\left(\frac{T^*(n)}{T(n)}\right)$, then $S_p(n) = \Theta(p)$.

A is called strongly optimal or WT-optimal if

i. A is weakly optimal

ii. No optimal (weakly) algorithm has running time $\Theta(T(n))$

Example:

Parallel sum (binary tree algo)

$$T(n) = \log n.$$

On a CREW PRAM, this running time cannot be improved.

Communication Complexity

$C = AB$ $n \times n$ matrices

For all i, j, k , parallel

$$\text{compute } C'(i, k, j) = A(i, k) * B(k, j)$$

for all i, j . parallel {

for $h = 1, 2, \dots, \log n$ {

set $k = 1, 2, \dots, \lceil \frac{n}{2^h} \rceil$ parallel.

$$\text{set } c'(i, k, j) = c'(i, 2k-1, j) + c'(i, 2k, j)$$

}

for all i, j parallel. copy $c'(i, 1, j)$ to $c(i, j)$

decides complexity //

$O(\log n)$

$$T(n) = \log n$$

$$P(n) = \Theta(n^3)$$

$p = n$ processors are available

$$T_p(n) = O\left(\frac{n^3}{n} + \log n\right)$$

$$= O(n^2)$$

by the WT lemma.

Step 1: P_i computes $c'(i, k, j) \neq k, j$.

↳ requires communication of

i) i th row of A

(n)

$\{ n+n^2$

ii) entire matrix B

(n^2)

$O(n^2)$ running time

iii) $c'(i, k, j) \neq k, j$

(n^2)

$\{ n^2$

$O(n^2)$ communication.

Step 2: P_i computes $c(i, j) \neq j$.

↳ requires $c'(i, k, j) \neq k, j$

$O(n^2)$ running time

$O(n^2)$ communication.

Step 3: P_i copies $c'(i, 1, j)$ to $c(i, j)$

$O(n)$ time

$O(n)$ communication.

Matrix mul with $\Theta(n^2)$ communication complexity

$$n = \alpha^3$$

$$C = AB$$

$$n \times n$$

$$A = \begin{bmatrix} A_{11} & A_{12} & \dots & A_{1\alpha} \\ A_{21} & A_{22} & \dots & A_{2\alpha} \\ \vdots & \vdots & \ddots & \vdots \\ A_{\alpha 1} & A_{\alpha 2} & \dots & A_{\alpha \alpha} \end{bmatrix}$$

n processors

$$B \rightarrow B_{ij}$$

$$C \rightarrow C_{ij}$$

$A_{ij} \rightarrow \alpha^2 \times \alpha^2$ block.

$$C_{ij} = \sum_{k=1}^{\alpha} A_{ik} B_{kj}$$

Block-level multiplication.

We have $n = \alpha^3$ block-level multiplications

(α values of i ,
 α values of j ,
 α values of k)

(1) Each processor will compute one block mul.

(2) α processors parallelly computes C_{ij} for each i, j .

(3) Copy C' to C .

→ Communication
i) Receive A_{ik} and B_{kj}
 $O(\alpha^4) = O(n^{4/3})$

ii) α^4 α -Way addition
 $O(\alpha^4 \log \alpha) = O(n^{4/3})$

running time

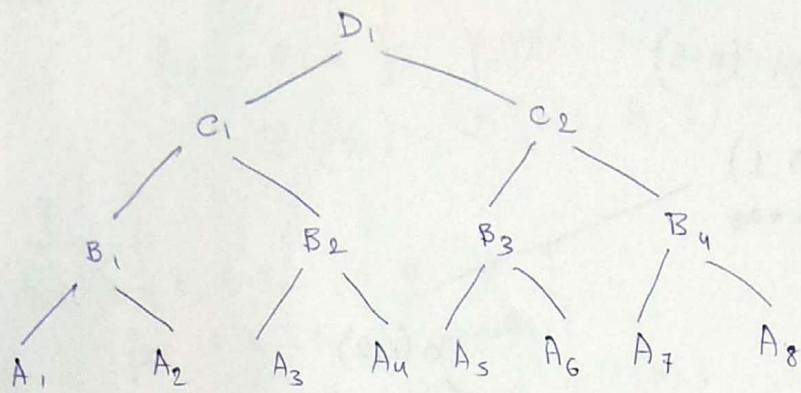
i) $O((\alpha^2)^3) = O(n^2)$

ii) $O(\alpha^4 \log \alpha)$
 $= O(n^2)$

Lesson: The RT-level presentation gives no hint on how to optimize communication complexity.

Some generic methods

Balanced Trees



$$A = (a_1, a_2, \dots, a_n) \quad n = 2^t$$

$$S_i = a_1 + a_2 + \dots + a_i, \quad 1 \leq i \leq n.$$

Goal: To compute all of s_1, s_2, \dots, s_n .

Recursive parallel algo

if ($n=1$) { set $s_1=a_1$, return }

for $i=1$ to $\frac{n}{2}$ parallel,

$$\text{set } b_i = a_{2i-1} + a_{2i}$$

Recursively compute the ^{prefix} partial sums of $b_1, b_2, \dots, b_{\frac{n}{2}}$.
and store these in $\gamma_1, \gamma_2, \dots, \gamma_{\frac{n}{2}}$.

for $i=1$ to n parallel {

- if $i=1$, set $s_1=a_1$,

- else if i is even set $s_i = \gamma_{\frac{i}{2}}$.

- else set $s_i = \gamma_{(i-1)/2} + a_i$

}

$n=8$

$$b_1 = a_1 + a_2 \quad b_2 = a_3 + a_4 \quad b_3 = a_5 + a_6$$

$$b_4 = a_7 + a_8$$

$$s_1 = a_1$$

$$s_2 = \gamma_1 = a_1 + a_2$$

$$s_3 = \gamma_2 = a_1 + a_2 + a_3$$

$$s_4 = \gamma_3 = a_1 + a_2 + a_3 + a_4$$

$$s_5 = \gamma_4 = a_5$$

$$s_6 = \gamma_5 = a_6$$

$$s_7 = \gamma_6 = a_7$$

$$s_8 = \gamma_7 = a_8$$

$$\gamma_1 = a_1 + a_2$$

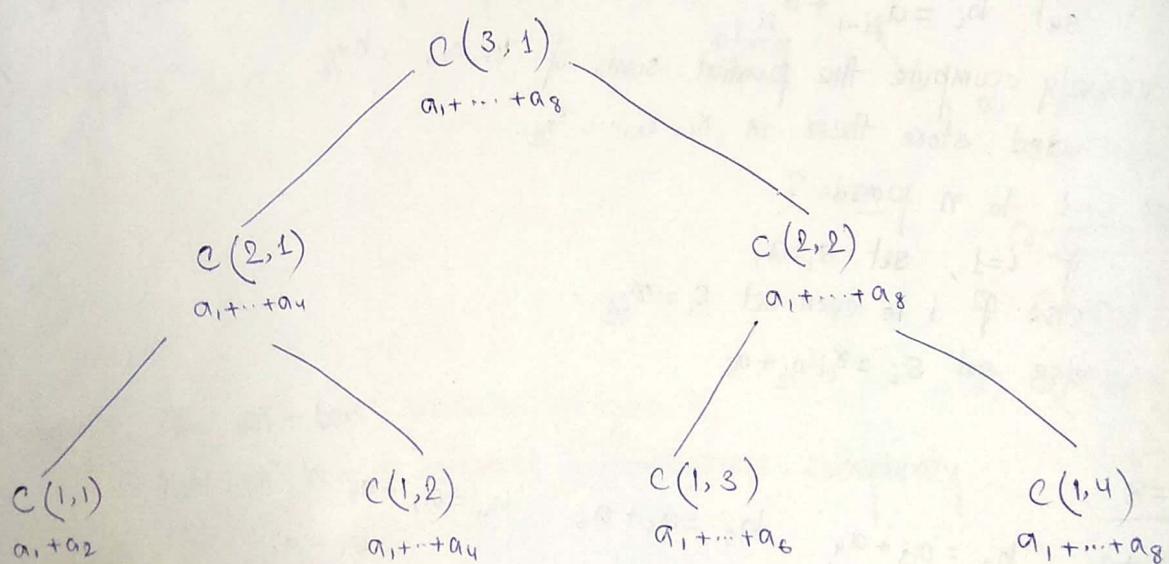
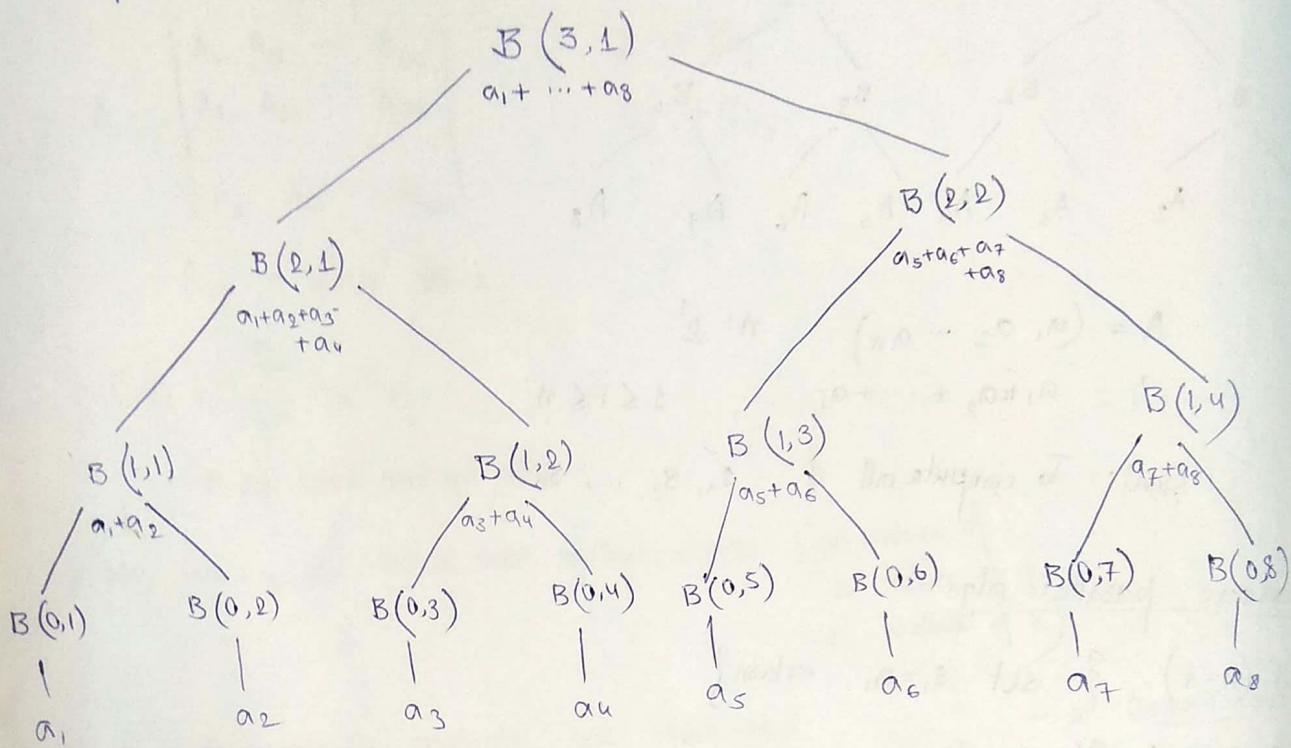
$$\gamma_2 = a_1 + a_2 + a_3 + a_4$$

$$\gamma_3 = a_1 + a_2 + a_3 + a_4 + a_5 + a_6$$

$$\gamma_4 = a_1 + a_2 + a_3 + a_4 + a_5 + a_6 + a_7 + a_8$$

$$\left. \begin{array}{l} W(n) = W\left(\frac{n}{2}\right) + \alpha n \\ T(n) = T\left(\frac{n}{2}\right) + \beta \end{array} \right\} \quad \begin{array}{l} W(n) = \Theta(n) \\ T(n) = \Theta(\log n) \end{array}$$

Replacing recursion by iteration (n=8)



for
for

}
for

}
for

Tool

$$n = \Omega^t$$

for $1 \leq i \leq n$ par do. $B(0, i) = a_i$

for $h = 1, 2, \dots, t$ do {

for $1 \leq i \leq \frac{n}{\Omega^h}$ par do

$$B(h, i) := B(h-1, 2i-1) + B(h-1, 2i)$$

}

for $h = t, t-1, \dots, 1, 0$ do {

for $1 \leq i \leq \frac{n}{\Omega^h}$ par do {

$$\text{if } (i=1) \quad C(h, i) := B(h, i)$$

$$\text{else if } i \text{ is even} \quad C(h, i) := \frac{C}{2}(h+1, \frac{i}{2})$$

$$\text{else } C(h, i) := \cancel{B} C(h+1, \frac{(i-1)}{2}) + B(h, i).$$

.

}

for $1 \leq i \leq n$ par do $s_i := C(0, i)$

$B(\cdot, \cdot) >$ simulates the
 $C(\cdot, \cdot) >$ recursion stack.

$$T(n) = O(\log n)$$

$$W(n) = O(n)$$

CREW PRAM

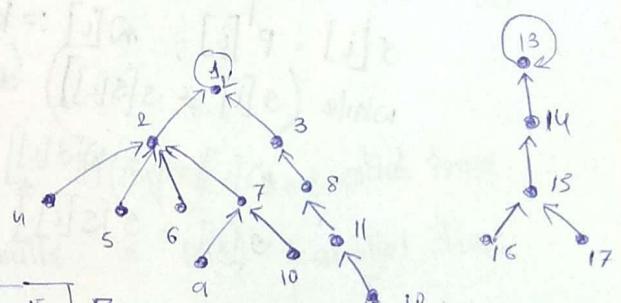
WT optimal

Tool 2 : Pointer jumping.

parent presentation.

1	1	1	2	2	2	3	7	7	8	11	13	18	14	15	15
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16

1	13	13	...	13
---	----	----	-----	----



n - the number of nodes in the forest
nodes are $1, 2, 3, \dots, n$

3 links

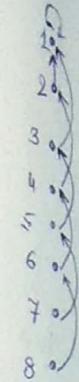
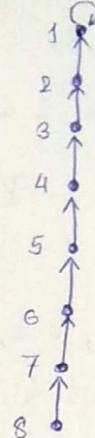
for $1 \leq i \leq n$ par do {

$$s[i] = p[i]$$

while ($s[i] \neq s[s[i]]$) do

$$s[i] = s[s[i]].$$

}



$$T(n) = O(\log n) \quad [1 \text{ for initialization,} \\ \log(\text{height}(F)) \text{ for rest}] \\ \text{so } 1 + \log n$$

Init

Iter 1

$$W(n) = O(n \log n)$$



Iter 2

$\text{key}(i)$ → the sum of all key values on the unique path from
 i to its root.

for $1 \leq i \leq n$ par do {

$$s[i] = p[i], \quad w[i] := \text{key}[i]$$

while ($s[i] \neq s[s[i]]$) do {

$$w[i] += w[s[i]]$$

$$s[i] = s[s[i]]$$

}

}

a_1 \circ
 a_2 \circ
 a_3 \circ
 a_4 \circ
 \vdots
 a_n \circ

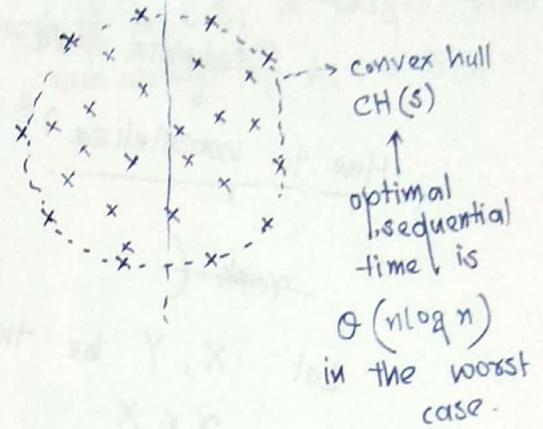
Prefix sum can be
 solved using this method
 $O(n \log n)$
 $n \log n$

- 3. Divide and Conquer.
- 4. Partitioning.

Convex hull

Merging two sorted arrays.

DIVIDE & CONQUER



Step 1: Sort the points in S w.r.t their x -coordinates.
 $O(n \log n)$ parallel time.

Step 2: Divide in two halves L and R.
 $O(1)$ seq. time.

Step 3: Compute the $LH = CH(L)$
 $RH = CH(R)$

in parallel.

Step 4: Merge LH and RH to $CH(S)$
 UT (upper tangent) / LT

can be computed in $O(\log n)$ sequential time.

Step 5: Merge the upper and lower hulls in $O(1)$ parallel time.

[From ③ and ④]

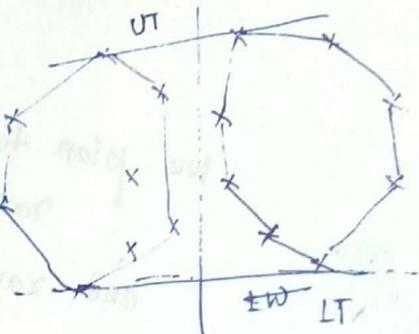
[$O(n)$ from ⑤]

$$T(n) = T\left(\frac{n}{2}\right) + O(\log n)$$

$$W(n) = 2 \cdot W\left(\frac{n}{2}\right) + O(n)$$

$$T(n) = O(\log^2 n)$$

$$W(n) = O(n \log n)$$



CREW

(PRAM type)
 CREW might work as well!

PARTITIONING

$$A = [a_1, a_2, \dots, a_n]^{(m)}$$

$$B = [b_1, b_2, \dots, b_n]$$

No duplicates in $A \cup B$.

Output : $C = [c_1, c_2, \dots, c_{2n}]^{(m+n)}$

Can be solved in $O(n)$ (or $O(m+n)$)

sequential time.

How to parallelize?

rank ()

Let X, Y be two sets (You may have $X=Y$)

$$x \in X$$

rank ($x : Y$) = the number of elements of Y
that are $\leq x$.

We plan to compute

$$\text{rank } (a_i; A \cup B)$$

$$\text{and rank } (b_j; A \cup B)$$

$\forall i, j$

$$\text{rank } (x; A \cup B)$$

$$= \text{rank } (x; A) + \text{rank } (x; B)$$

It suffices to compute $\text{rank } (A : B)$
and $\text{rank } (B : A)$

Looks at a parallel algo to compute $\text{rank } (A, B)$.

For all $a \in A$ ~~parallel~~
 find rank $(a : B)$ by binary search.

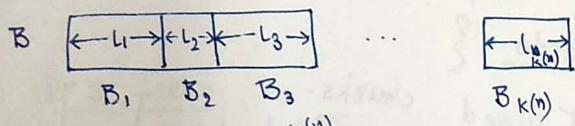
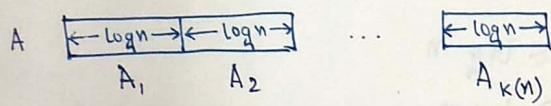
CREW PRAM.

$O(\log n)$ time but $O(n \log n)$ work
 ↑
 not optimal.

$$\log n, k(n) = \frac{n}{\log n} \text{ are all integers}$$

~~rank $(A : B)$~~

Each element of $A_i \cup B_i$ is larger than
 each element of $A_{i-1} \cup B_{i-1}$



$$|A_i| = \log n, \sum_{i=1}^{k(n)} |B_i| = n.$$

Concatenate

merge (A_i, B_i)

for $i = 1, 2, \dots, n$ to get C.

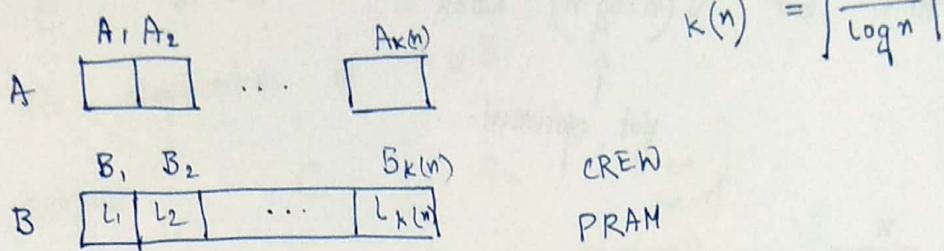
can be done in $O(1)$ parallel time.
 using $O(n)$ work.

Partitioning of B:

Binary search for $a : \log(n)$
 in parallel for $i = 1, 2, \dots, k(n)$

4. Partitioning

Merge two sorted arrays each of size A and B each of size n.



$O(\log n)$ parallel running time
 Work done = $O(k(n) \log n) = O(n)$.

$B_i \rightarrow$ small block if $l_i \leq \log n$
 Large block if $l_i > \log n$

for each large block B_i parallel {
 Break B_i in $\log n$ - sized chunks. $\rightarrow \# \text{ of chunks} = \lceil \frac{l_i}{\log n} \rceil$

Partition A_i in parallel

Increase in the number of subproblems

$$\text{Work} = \sum_{\substack{\text{B}_i \text{ is} \\ \text{a large} \\ \text{block}}} \left\lceil \frac{l_i}{\log n} \right\rceil \log (\log n). = \sum_{\text{B}_i \text{ large}} \left\lceil \frac{l_i}{\log n} \right\rceil - 1$$

$$\leq \frac{(\epsilon l_i) \log \log n}{\log n} = \frac{n \log \log n}{\log n} = O(n).$$

$$\leq \sum_{\text{B}_i \text{ large}} \frac{l_i}{\log n} \leq \sum_{i=1}^{k(n)} \frac{l_i}{\log n} = \frac{n}{\log n} = \frac{n}{k(n)}$$

for $i = 1, 2, \dots, k$ par do

$\text{merge}(A_i, B_i)$ using the sequential linear tree algo

$$|A_i| \leq \log n$$

$O(\log n)$ running time

$$|B_i| \leq \log n$$

$$\text{work done} = O(k \log n)$$

$$= O(k \log n)$$

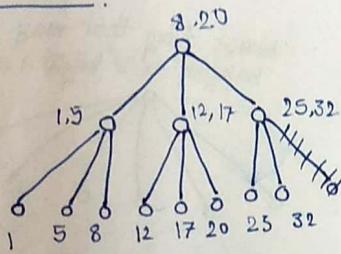
$$= O(n) \quad (\text{since } k \leq 2k(n))$$

5. Pipelining.

$$\begin{matrix} T_1 & t_{11} & t_{12} & \cdots & t_{1n} \\ T_2 & & t_{21} & t_{22} & \cdots t_{2n-1} & t_{2n} \\ \vdots & & & & & \\ T_k & & & & & \end{matrix}$$

Instead of $O(kn)$ running time,
we have $O(k^{\frac{n}{k}})$ running time.

2-3 Trees



$n \rightarrow$ no. of keys stored in T

$$2^n \leq m \leq 3^n, \quad n = \text{height}(\mathcal{T})$$

$$h = O(\log n)$$

search (x, T)

if ($T = \text{NULL}$) return false

if ($x \leq L[T]$) return search($x, T \rightarrow \text{First}$)

if ($x \leq M[T]$) return search($x, T \rightarrow \text{second}$)

return search(α , $T \rightarrow \text{third}$)

$$\text{runtime} = O(\log n)$$

$v \rightarrow$ internal node

$L[v] \rightarrow$ largest key stored in the first subtree.

$M[v] \rightarrow$ Largest key stored in the second subtree.

$T \rightarrow$ a 2-3 tree with $n-2$ leaves

To insert $k+2$ elements

$$b_0 < b_1 < b_2 < \dots < b_k < b_{k+1}$$

Sequential run time = $O(k \log n)$

insert b_0 in T
insert b_{k+1} in T

$$O(\log n)$$

The keys in T are

$$a_1, a_2, \dots, a_n$$

$$\forall j=1, 2, \dots, k$$

$$\exists i \in 1, 2, \dots, n-1$$

$$\text{s.t. } a_i < b_j < a_{i+1}$$

for $i=1, 2, \dots, n-1$

define

$$B_i = \{j \mid a_i < b_j < a_{i+1}\}$$

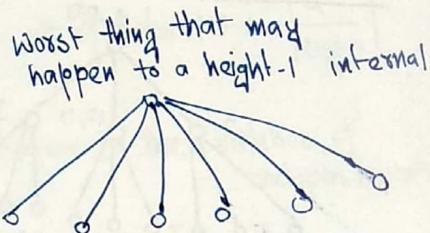
$$\sum_{i=1}^{n-1} |B_i| = k.$$

$$\text{Let } l_i = |B_i|$$

Assumption. each $l_i \leq 1$

$$[k \ll n]$$

First insert all b_j values in parallel.



General case

Allow $|B_i| \geq 2$

B_1, B_2, \dots, B_{n-1}

Use simple insertion procedure to insert the medians of all non-empty B_i .

$$B_i = \{j, j+1, j+2, \dots, j+k-1\}$$

broken into two
subchains of size $\frac{k}{2}$.

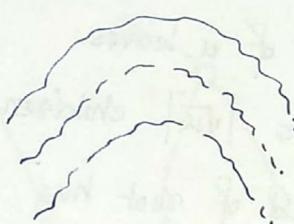
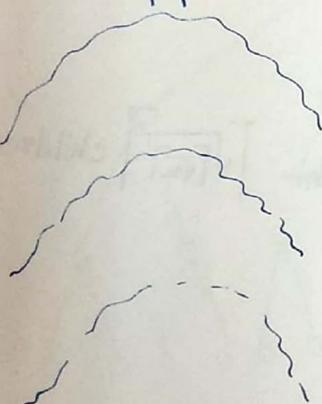
at most
After $\lceil \log k \rceil$ simple insertion procedures, all b_j will be inserted.

$$\text{running time} = O(\log k \log n)$$

$$\text{work done} = O(k \log n)$$

each called
a wave

Waves can be pipelined.



$$\begin{aligned}\text{runtime} &= O(\log k + \log n) \\ &\approx O(\log n)\end{aligned}$$

6. Accelerated Cascading

$A \rightarrow$ array of n elements. (distinct)

To compute $\max A$.

Common CRCW (if all threads try writing the same value, write succeeds)
otherwise not.

A

CREW

balanced tree algorithm

$O(\log n)$ time

$O(n)$ work

Optimal for a CREW PRAM

A constant-time non-optimal algo

for all $i, j \in \{1, 2, \dots, n\}$ parallel

if $a_i \geq a_j$ set $B(i, j) = 1$

else set $B(i, j) = 0$

for all $i \in \{1, \dots, n\}$ parallel $c(i) = 0$

for all $i \in \{1, \dots, n\}$ set $c(i) = B(i, 1) \wedge B(i, 2) \dots \wedge B(i, n)$

for all $i \in \{1, \dots, n\}$ if $c(i) = 1$, set $\max = \max(c(i), A(i))$

Constant time

$O(1)$ time

$O(n^2)$ work

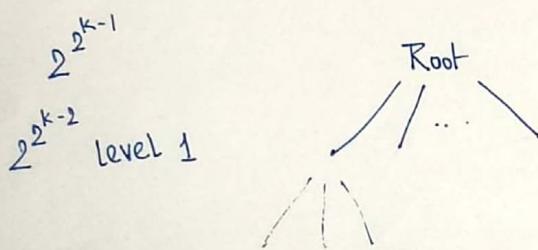
A doubly logarithmic time algo :

tree of n leaves.

Root has $\lceil \sqrt{n} \rceil$ children.

Each child of root has $\lceil \lceil \sqrt{n} \rceil \rceil$ children.

$$n = 2^{2^k}$$

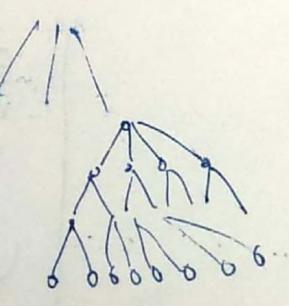


$$2^{2^{k-i}}, 0 \leq i < k \text{ level } i$$

4 children level $k-1$

2 children level k

level $k+1$



Finding maximum of $A = (a_1, a_2, \dots, a_n)$

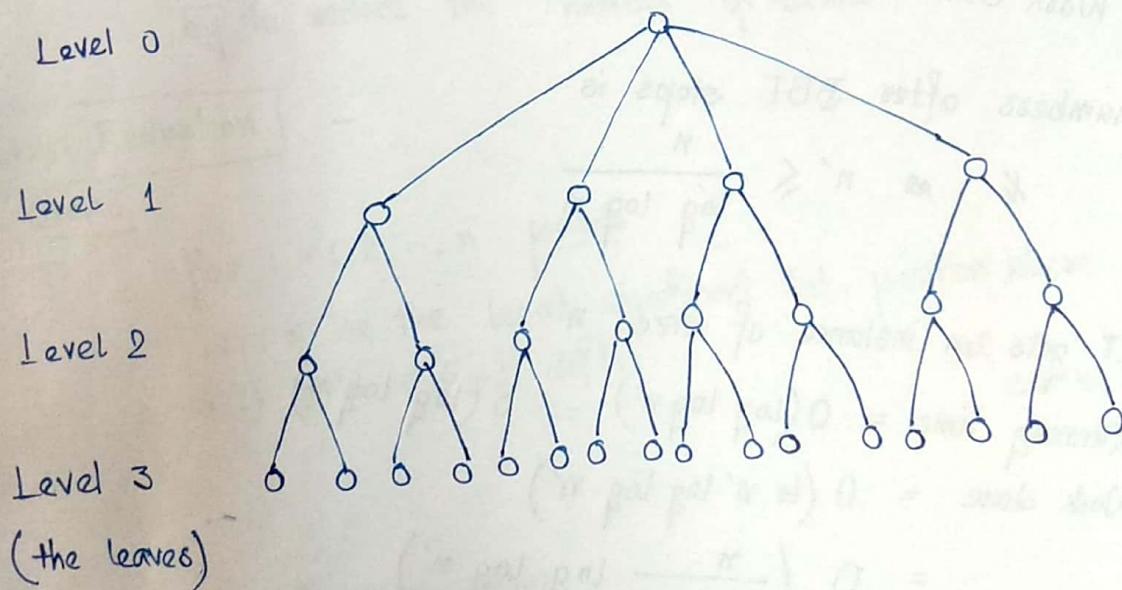
$O(1)$ time

$O(n^2)$ & work } algo for CREW PRAM.

Doubly logarithmic height tree.

$$n = 2^{2^k} \text{ for some } k \geq 1.$$

$$\underline{k=2} : n = 16.$$



Parallel running time = $O(\log \log n)$ [L here].

Level i

$$\# \text{ of nodes} = 2^{2^k - 2^{k-i}}$$

$$\# \text{ of children of each node} = 2^{2^{k-i-1}}$$

$$\begin{aligned} \text{Total work done at level } i \\ = O\left(2^{2^k - 2^{k-i}} \times (2^{2^{k-i-1}})^2\right) = O\left(2^{2^k}\right) = O(n) \end{aligned}$$

$$\begin{aligned} \text{Total work done (at all levels)} &= O(nk) = O(n \log \log n) \\ &\text{still } \underline{\text{not}} \text{ optimal.} \end{aligned}$$

CREW PRAM ← optimal
EREW
n) time
work

6. Accelerated cascading.

5. Accelerated cascading.
instances reduce in size.
Phase 1: Run an optimal algo until the problem instances reduce in size.
Phase 2: Run a non-optimal algo on the small instances.

Phase 2: Run a non-optimal algo on

Algo 1 : BBT

Algo 2: DLT

Phase 1: Run BBT for $\lceil \log \log \log n \rceil$ levels

$$\text{Running time} = O(\log \log \log n)$$

$$\text{Work done} = O(n)$$

of numbers after BBT steps is
 $x \approx n' \leq \frac{n}{\log \log n}$

Phase 2: DLT gets an instance of size n .

$$\text{Running time} = O(\log \log n') = O(\log \log n)$$

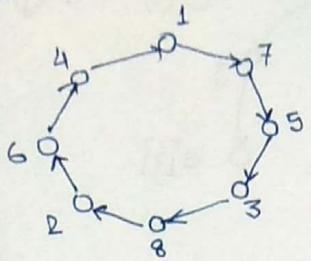
$$\text{Work done} = O(n' \log \log n')$$

$$= O\left(\frac{n}{\log \log n} \log \log n'\right)$$

$$= O(n)$$

7. Symmetry breaking

Digraph $G \rightarrow$ a cycle



Edges stored in an array $s[1, \dots, n]$ $[s[1] = 7, s[2] = 6, s[3] = 8, s[4] = 1]$

$s(i)$ is the successor of node i in the cycle

3-colouring always possible.

Optimal sequential algo : $O(n)$ time.

Start with $c(i) = i$

n colours for n vertices

Try to reduce the number of colours.

Colour Reduction

Step 1:

for $i = 1, 2, \dots, n$ parallel {

Let k be the least significant bit position where

$c(i)$ and $c(s[i])$ differ.

↑ can be done
in $O(1)$ time
(later).

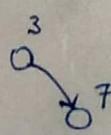
Give the new colour

$$c'(i) = 2k + (c(i))_k \text{ to node } i.$$

$(c(i))_k \rightarrow k^{\text{th}} \text{ LSB of } c(i)$

}

$O(1)$ parallel-time
 $O(n)$ work.



$$c(3) = 3 = 011$$

$$c(s[3]) = c(7) = 7 = 111$$

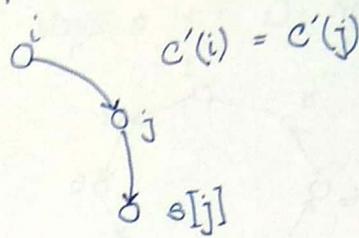
$$K = 2$$

$$c'(3) = 2 \times 2 + 0 = 4$$

Claim: c' is a proper colouring of the cycle.

Proof: Suppose not.

$$\begin{aligned} c'(i) &= 2k + (c(i))_k \\ c'(j) &= 2l + (c(j))_l \\ \text{---} \\ c(i)_k &= c(j)_l \end{aligned}$$



We must have

$$\left. \begin{array}{l} k = l = \alpha \text{ quot } 2 \\ c(i)_k = c(j)_l = \alpha \text{ rem } 2 \end{array} \right\} \begin{array}{l} c(i)_k = c(j)_k \\ \text{a contradiction since} \\ c(i) \text{ and } c(j) \text{ differ} \\ \text{in the } k^{\text{th}} \text{ bit position.} \end{array}$$

Edge Detection

Si

step 0 to ground

the convolution step, only one channel b = 3

is applied mask with 3x3

padding = 1

$3 \times 3 \times 3 \times 3$

$(3-1)^2 + 1 = 9+1 = 10^2 = 100$

$3 \times 3 \times 3 \times 1 = 27 \times 1 = 27$

$3 \times 3 \times 3 \times 1 = 27 \times 1 = 27$

padding = 1

$3 \times 3 \times 3 \times 3$

$(3-1)^2 + 1 = 9+1 = 10^2 = 100$

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Colouring of a cycle

Yesterday's algo:

$C \rightarrow q$ colours

How many colours in C' ?

$$2^{t-1} \leq q < 2^t$$

$$\begin{aligned} C'(i) &= 2k + (c(i))_k \\ &\leq 2(t-1) + 1 \\ &= 2t - 1 \end{aligned}$$

$$q' \leq 2t$$

$$q' \leq 2(\log_q t + 1)$$

There is a decrease for $t > 3$.

A better algo:

Keep on calling basic colouring algo until $t \leq 3$.

$$\text{Run time } \mathcal{O}(\log^* n)$$

$$\text{Work done} = O(n \log^* n)$$

$$\log^{(0)} x = \log x$$

$$\log^{(i)} x = \log(\log^{(i-1)} x)$$

for $i \geq 2$.

$$\log^* x = \min \{ i \mid \log^{(i)} x \leq 1 \}$$

$$x = 2^{65536}$$

$$\log^* x = 5$$

Handle $t=3$

Six possible colours = 0, 1, 2, 3, 4, 5,

for $j = 3, 4, 5$, sequentially do

for $1 \leq i \leq n$ parallel

if ($\text{colour}(i) = j$)

set $\text{colour}(i) =$ the smallest permissible colour in {0, 1, 2}

$s[i] \rightarrow$ successor

for $1 \leq i \leq n$ parallel

set $P[s[i]] = i$

→ predecessor

// j: colour number

// i: node number

An optimal 3-colouring algo

- for $i \in \{1, 2, \dots, n\}$
- Start with $c(i) = i-1 \forall i \in \{1, 2, \dots, n\}$ $O(1)$ time
 $O(n)$ work
 - Run base colour-reduction algo only once $O(1)$ time
 $O(n)$ work
 - # of colours = $s = O(\log n)$ / $O(\log n)$ time [Exercise]
 $O(n)$ work
 - Sort $(i, c'(i))$ w.r.t the second component Counting Sort
 - for $j = 3, 4, \dots, s$, sequentially do
 - for all nodes i of colour j parallel
 - set $c(i) = \text{the smallest permissible colour in } \{0, 1, 2\}$ $\} O(\log n)$ iterations.
- constant time.

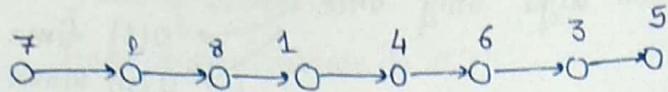
$$\text{Total time} = O(\log n)$$

Let n_j be the # of nodes of colour j .

$$\text{Total work done} = O\left(\sum_{j=3}^s n_j\right) = O\left(\sum_{j=0}^s n_j\right) = O(n).$$

LISTS AND TREES

List Ranking



n nodes numbered $1, 2, \dots, n$

provided as an array $S[1, \dots, n]$

↓
Successor array.

$S[\text{last node}] = 0$

Given S , one can compute the predecessor array
 $P[1, \dots, n]$ in $O(1)$

time and with $O(n)$ work.

Assume S, P are both available.

$P[\text{first bad node}] = 0$

$R(i) = \text{rank of } i$
= distance of i from the end
of the list

Sequential algo:
 $O(n)$ time
optimal

To compute $R(i)$ for $i = 1, \dots, n$.

[Pointer Jumping]

Algo 1

1. For $1 \leq i \leq n$ par do {
 if $S(i) \neq 0$, set $R(i) = 1$
 else set $R(i) = 0$

$O(1)$ time
 $O(n)$ work

2. For $1 \leq i \leq n$ par do {
 set $Q(i) = S(i)$
 while ($Q(i) \neq 0$) and ($Q(Q(i)) \neq 0$) {
 update $R(i) += R(Q(i))$
 set $Q(i) = Q(Q(i))$

$O(\log n)$
iterations &
running time
 $O(n \log n)$ work

	7	-	2	-	8	-	1	-	4	-	6	-	3	-	5
<u>Init</u>	1		1		1		1		1		1		1		0
<u>Iter 1</u>	2		2		2		2		2		2		1		0
<u>Iter 2</u>	4		4		4		4		3		2		1		0
<u>Iter 3</u>	7		6		5		4		3		2		1		0

Preparation for Algorithm 2

1. Shrink the list to $\frac{n}{\log n}$ elements.
2. Run pointers jumping algo on the shrunked list.
3. Compute the ranks of the deleted elements.

HOW TO SHRINK?

7 - 2 - 8 - 1 - 4 - 6 - 3 - 5

$I \subseteq \{1, 2, \dots, n\}$ is called an independent set. if $i \in I \Rightarrow s(i) \notin I$

Do renumbering if needed.

Example: $I = \{2, 3, 4\}$

Removal of an IS

1. Remember
2. Rank update
3. Link update.

- + $i \in I$ para {
1. Store $(i, s(i), P(i))$
 2. Update $R(P(i)) += R(i)$
 3. Set $s(P(i)) = s(i)$
and $P(s(i)) = P(i)$

}

$$\begin{array}{ccccccc}
 7 & -\cancel{2}- & 8 & -1 & -\cancel{4}- & 6 & -\cancel{3}- 5 \\
 1 & 1 & 1 & 1 & 1 & 1 & 0
 \end{array}$$

Step 2 →

of removal

$$\begin{array}{ccccccc}
 7 & \underline{\quad} & 8 & \underline{\quad} & 6 & \underline{\quad} & 5 \\
 | & & | & & | & & | \\
 7 & 5 & 4 & 2 & 0 \\
 | & & | & & | \\
 2 & 4 & 3
 \end{array}$$

Final result
of pointer jumping

$1+5=6$ $1+2=3$ $1+0=1$

How to find an IS?

Color the nodes with K colors.

i is called a local minima if

$$\text{colour}(i) < \text{colour}(S(i))$$

$$\text{and } \text{colour}(i) < \text{colour}(P(i))$$

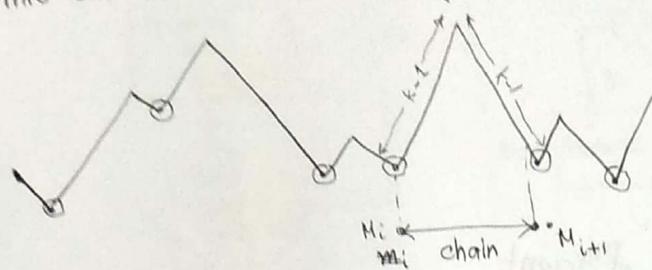
Claim : The set of all local minima is an independent set of size $\lceil \frac{n}{k} \rceil$.

List Ranking (contd.)

k-coloring done

Set of all local minima. is an IS. $\rightarrow S$

This set contains $\emptyset \cup \Omega\left(\frac{n}{k}\right)$ elements.



$|S| \geq cn$
↑
a constant
depending on k

In particular, $k=8$,
 $|S| \geq \frac{1}{5}n$

Two consecutive local minima have a maximum distance of $2k-3$

Minimum possible number of chains

$$\approx \frac{n}{2k-3} = \Omega\left(\frac{n}{k}\right)$$

1. $n_0 = n$, $k=0$.
while $(n_k > \frac{n}{\log n}) \{$

$\frac{1}{\cdot}$
 $O(\log \log n)$ iterations.
3-color the nodes

$O(n)$ work
 $O(\log n)$ time

$S = \{ \text{the set of the local minima} \}$

$O(n)$ work
 $O(1)$ time

Remove S from the set of nodes.

$O(n)$ work
 $O(1)$ time

$$n_k = n_{k-1} - |S|$$

$\frac{1}{\cdot}$

2. Run the pointer jumping algo on the contracted list.

$O(n)$ work
 $O(\log n)$ time

3. Insert back all the removed nodes in the reverse sequence of deletion

$O(n)$ work
 $O(\log \log n)$ time

$$n_{k+1} \leq \frac{n_k}{\frac{5}{4}}$$

$$\left(\frac{5}{4}\right)^k = \log n$$

$$n_k \leq \frac{n_0}{\left(\frac{5}{4}\right)^k}$$

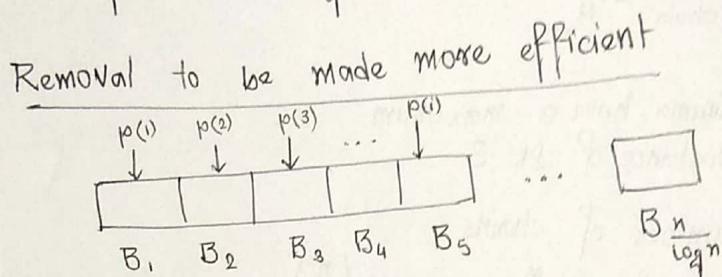
$$k = \frac{\log \log n}{\log \frac{5}{4}}$$

Step
of

$$\begin{aligned} & O(n_0 + n_1 + n_2 + \dots + n_k) \\ & = O\left(n + \frac{4}{5}n + \left(\frac{4}{5}\right)^2 n + \dots + \left(\frac{4}{5}\right)^k n\right) \\ & = O\left(\frac{n}{1 - \frac{4}{5}}\right) \\ & = O(n) \end{aligned}$$

Final
of p

* A "forbidden" algorithm.



$$|B_i| = \log n.$$

Init : $p(i)$ = index of the first element in B_i
 $= (i-1) \log n + 1$

$N(p(i))$ → node at $p(i)$

Mark all $N(p(i))$ active

$$\forall i = 1, 2, \dots, \frac{n}{\log n}$$

Mark all other nodes passive

Iteration 1

isolated active node = an active node whose
successor and predecessor
are not active.

Remove all isolated active nodes.

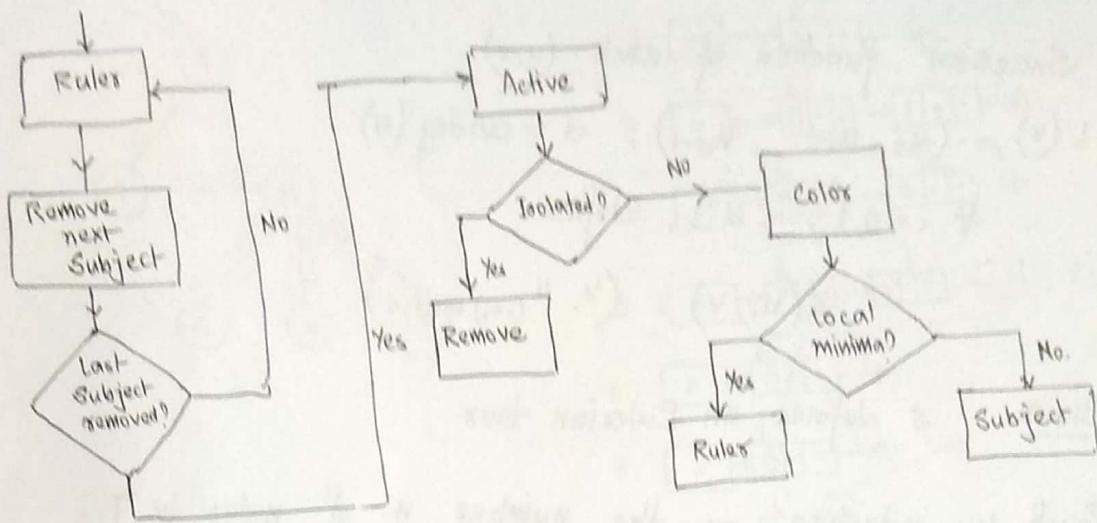
Non-isolated nodes group in one or more sublists in the
linked list.

Run the basic color-reducing algo two times.

$$O(\log \log n)$$
 colors.

Call each local minimum
and other active nodes a ruler.
as subjects.

Schematic diagram of a later iteration (i^{th} iteration)



Algo 2

3 colouring $O(\log n)$
time

$$n_{k+1} \leq \frac{4}{5} n_k$$

$$= n_k - \frac{n_k}{5}$$

$\log \log n$ iterations.

Algo 3

basic color-reduction
twice
 $O(1)$

$$n_{k+1} \leq n_k - \frac{n}{\log n}$$

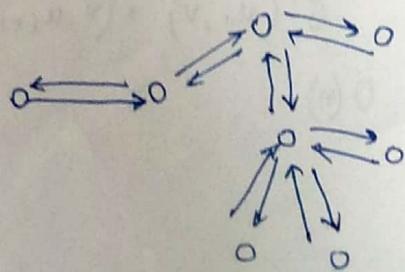
Analysis shows
 $O(\log n)$ iterations
are necessary.

Euler tours in trees

(Directed)

Replace each edge $\{u, v\}$ by two directed edges.
(u, v) and (v, u)

~~Hints~~



How to construct an Eulerian tour in this graph?

Successor function of each (u, v)

$$L(v) = (u_0, u_1, \dots, u_{d-1}), \quad d = \text{outdeg}(v)$$

$\forall i = 0, 1, \dots, d-1$, define

$$S(u_i, v) = (v, u_{(i+1) \bmod d})$$

Claim : S defines an Eulerian tour.

Proof by induction on the number n of nodes in T .

$$n=2$$



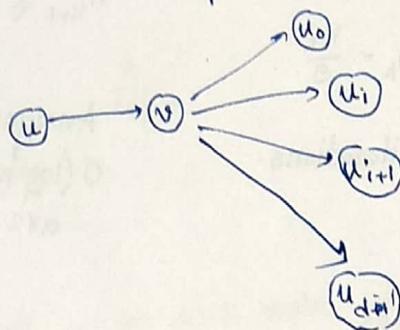
$$S(u, v) = (v, u)$$

$$S(v, u) = (u, v)$$

\therefore True for the basis case

$$n \geq 3$$

Let u be any leaf of T

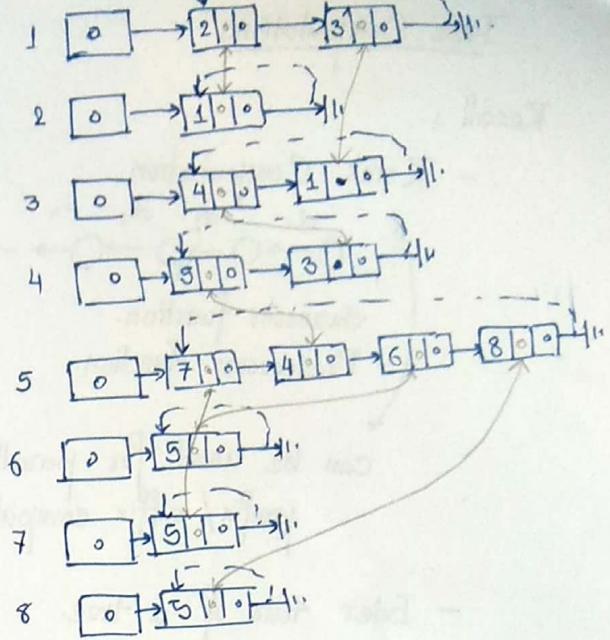
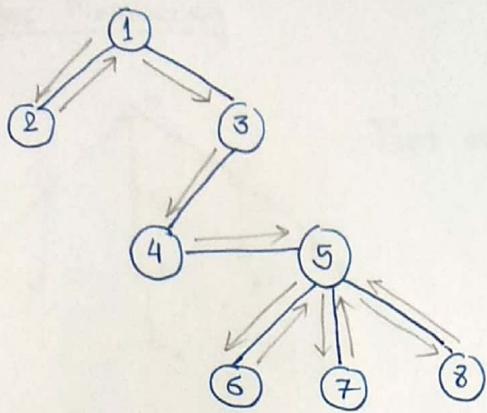


$$L(v) = (u_0, u_1, \dots, u_i, u, u_{i+1}, \dots, u_{d-1})$$

$$L'(v) = (u_0, u_1, \dots, u_i, u_{i+1}, \dots, u_{d-1}).$$

$$S'(u_i, v) = (v, u_{i+1})$$

Sequential complexity - $O(n)$



Two modifications:

- (1) Make each list circular.
- (2) $(u, v) \in E(T)$
 \Leftrightarrow add two edges between
 u in $L[v]$
 and v in $L[u]$

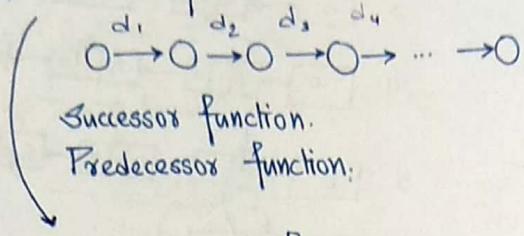
$1 \rightarrow 2 \rightarrow 1 \rightarrow 3 \rightarrow 4 \rightarrow 5$
 $\rightarrow 6 \rightarrow 5 \rightarrow 8 \rightarrow 5 \rightarrow 7 \rightarrow 5$.

S can be prepared in parallel in $O(1)$ time using $O(n)$ work.

Tree Computations

Recall :

- Rank Computation



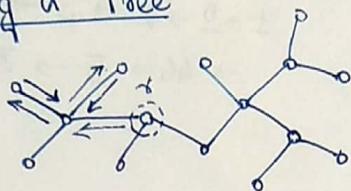
Predecessor function.

can be used for parallel prefix/suffix computations.

- Euler tour of a tree.

preparation of the successor table.

1. Rooting a Tree



Eulerian tour
Simulates DFS in
T.

$$s(u_d, n) = 0.$$

An $n \in V$ is supplied as input.

Output: $p[V]$

such that $v \in V, v \neq n$

$p[v]$ stores the parent of v .

$$L[n] = \langle u_1, u_2, \dots, u_d \rangle$$

Assign a weight

$$w(x, y) = 1$$

& directed edge (x, y) .

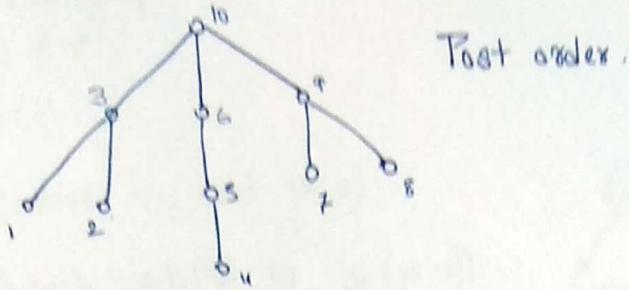
$O(\log n)$ time
 $O(n)$ effort.

Do parallel prefix computation on these weights
and guided by the successor function available
from the ET.

After $O(\log n)$ time, we have an array $\text{prefsum}(x, y)$.

Set $p(y) = x \Leftrightarrow \text{prefsum}(x, y) < \text{prefsum}(y, x)$.

2. Post Order Numbering



Post order.

$$w(x, p(x)) = 1$$

$$w(p(x), x) = 0$$

Compute prefix sums.

$$\text{postorder}(x) = \text{prefixsum}(x, p(x))$$

$$\text{postorder}(x) = n$$

3. Level of Nodes

Level(x)

$$w(p(x), x) = 1$$

$$w(x, p(x)) = -1$$



Do prefix sum

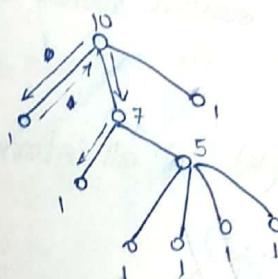
$$\text{level}(x) = \text{prefixsum}(p(x), x)$$

$$\text{level}(0) = 0$$

4. Subtree Size

Size
(# of descendants)

$$w(p(x), x) =$$



of descendants

= subtree size

(at every node)

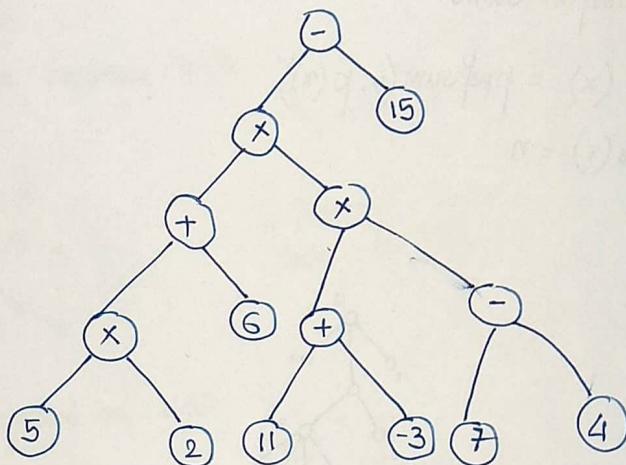
$$W(x, p(x)) = 1$$

$$W(p(x), x) = 0$$

$$\text{size}(x) = \text{prefixsum}(x, p(x)) - \text{prefixsum}(p(x), x)$$

5. Expression Evaluation

+, -, ×



Internal node : operator

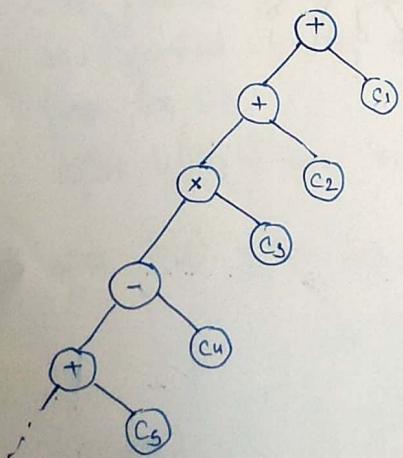
Leaf : a constant

Given such a tree of $n \neq$ nodes.

$\text{val}(v) \rightarrow$ value of the subexpression in
the subtree rooted at v .

Goal : $\text{val}(r)$

or $\text{val}(v)$ at all internal nodes v .



Sequential algo \rightarrow
 $O(n)$ time
 $(O(n)$ effort)

Raking

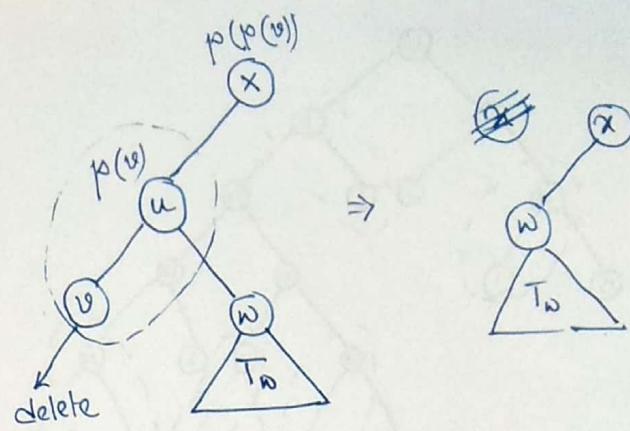
v is a leaf.

$p(v) \neq \tau$

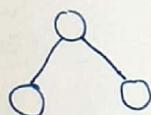
$sib(v)$

- Remove v and $p(v)$

- Attach $sib(v)$ to $p(p(v))$



T - binary tree with every internal node having exactly two children.



Tree contraction

v_1 and v_2 are two leaves where raking is allowed.

Raking at these two nodes can be done in parallel if

- (i) $p(v_1) \neq p(v_2)$
- (ii) $p(p(v_1)) \neq p(v_2)$
- (iii) $p(p(v_2)) \neq p(v_1)$

$$A = (a_1 \ a_2 \ a_3 \ a_4 \ a_5 \ \dots)$$

$$A_{\text{odd}} = (a_1 \ a_3 \ a_5 \ \dots)$$

$$A_{\text{even}} = (a_2 \ a_4 \ a_6 \ \dots)$$

Use ET Technique to find all the leaves and list them from left to right.

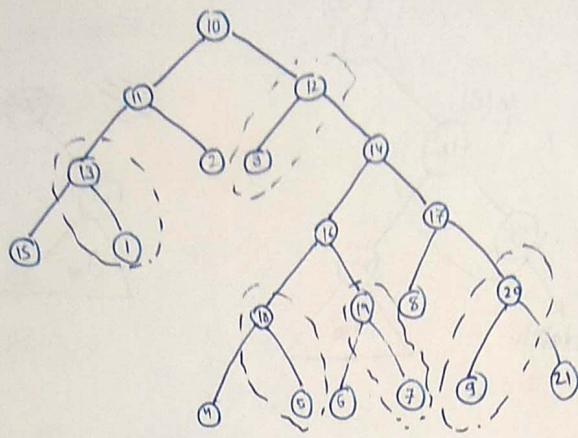
Let A be these leaves including the first and the last.

For $\lceil \log n \rceil$ iterations do {

Step 1: Apply raking in parallel on all leaves in A_{odd} , that are left children.

Step 2: Apply raking in parallel on the remaining elements in A_{odd} .

Step 3: Set $A = A_{\text{even}}$.



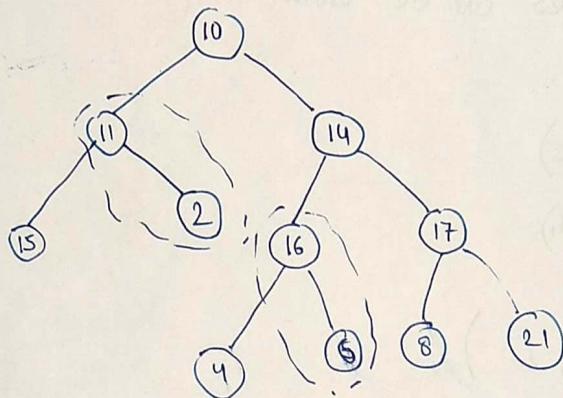
Iteration 1:

$$A = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

$$A_{\text{odd}} = \{1, 3, 5, 7, 9\}$$

Step 1: Rake at 3 and 9.

Step 2: Rake at 1, 5, 7.



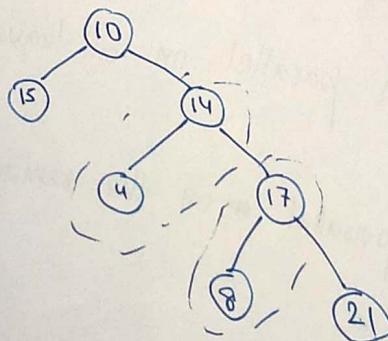
Iteration 2:

$$A = \{2, 4, 6, 8\}$$

$$A_{\text{odd}} = \{2, 6\}$$

Step 1: Nothing

Step 2: Rake at 2, 6



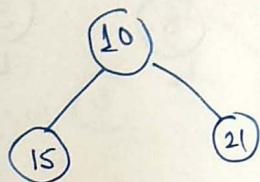
Iteration 3:

$$A = \{4, 8\}$$

$$A_{\text{odd}} = \{4\}$$

Step 1 : Rake 4 at 4

Step 2 : Rake at 8



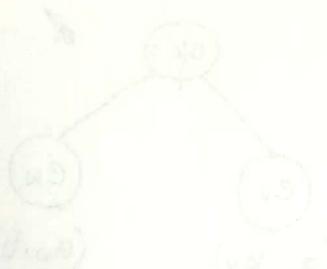
How many iterations?

$m \rightarrow$ # of internal nodes

$$m \rightarrow \frac{m}{2} \rightarrow \frac{m}{4} \rightarrow \frac{m}{8} \rightarrow \dots$$

After $\log m$ no. of iterations, no leaves will be left in A.

$$m \leq n.$$

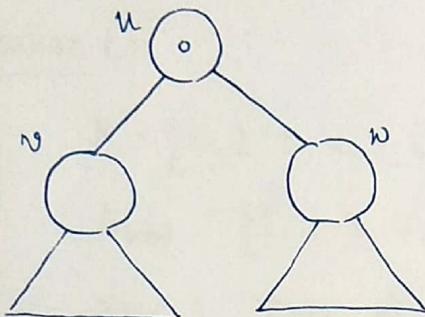


Evaluation of arithmetic expressions

+ , - , ×

At every node v , we maintain two numbers (a_v, b_v)

Invariance I

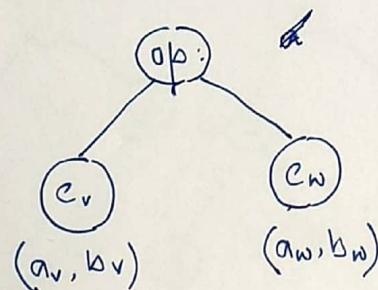


$$\text{val}(u) = (a_u \text{val}(v) + b_u) \circ (a_w \text{val}(w) + b_w)$$

Init: $(a_v, b_v) = (1, 0)$

nodes v.

Raking



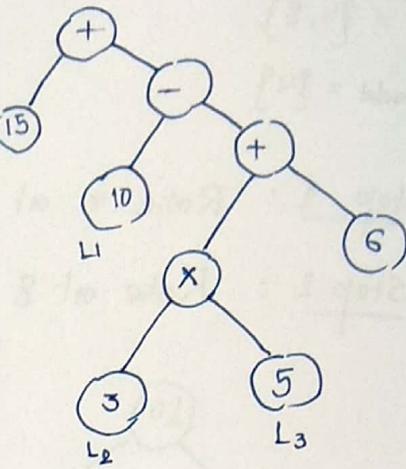
$$\begin{aligned} a'_w \text{val}(w) + b'_w \\ = a_u \text{val}(u) + b_u \\ = a_u [] + b_u \end{aligned}$$

$$\text{val}(v) = a_u [(a_v c_v + b_v) \cdot \text{op} (a_w c_w + b_w)] + b_u.$$

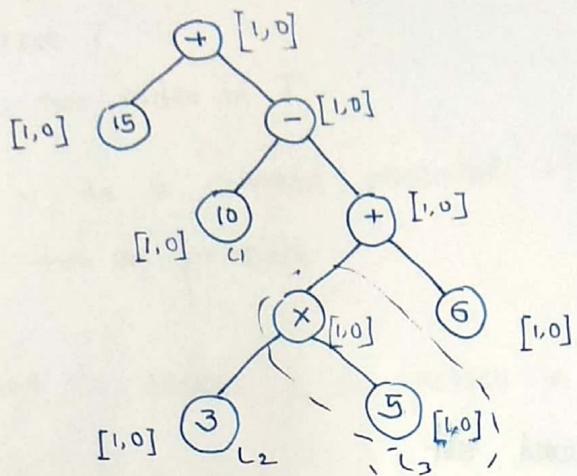
Contribution of u to its parent before raking
= contribution of w to its parent after raking.

$$\begin{aligned} &= \text{val}(v) \text{op} \text{val}(w) \\ &= (a_v c_v + b_v) \text{op} [a_w \text{val}(w) + b_w] \\ &\quad (\text{by invariance before raking}) \end{aligned}$$

$$= a'_w \text{val}(w) + b'_w$$



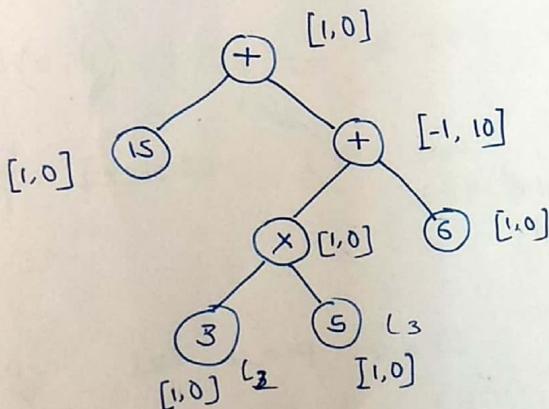
Example



Rake at L1

$$(1 \times 10 + 0) - (1 \times X + 0) = 10 + (-1)X$$

$$1 \times (10 - X) + 0 = 10 + (-1)X$$

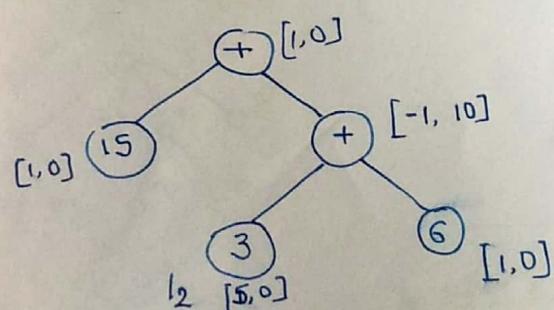


Rake at L3

$$(1 \times X + 0) \times (5 \times 1 + 0)$$

$$= 5X + 0$$

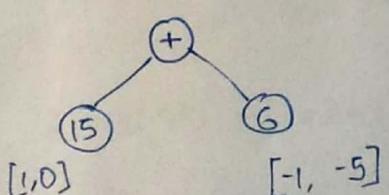
~~$$-1(5X) + 10$$~~



Rake at L2

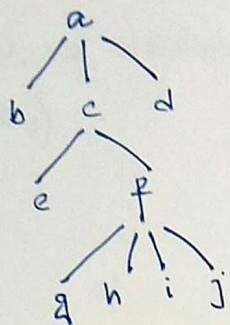
$$(-1) \cdot [(5 \times 3 + 0) + (8 \times 1 + 0)] + 10$$

$$= -1[21] + 10 = (-15 - X + 10) \\ = -X - 5$$



$$\text{val}(v) = (1 \times 15 + 0) + (-1 \times 6 - 5) \\ = 15 - 11 \\ = 4$$

G - commutative semi-group $*$, identity ϵ .



Special case :

$G \rightarrow$ any totally ordered set

$*$ \rightarrow the min operation.

$\epsilon \rightarrow$ PLUS-INFINITY.

$O(\log n)$ time $O(n)$ work algo for min finding.

The LCA (Lowest Common Ancestor) Problem

Rooted tree T

u, v are two nodes in T.

LCA(u, v) is a common ancestor of u and v and is at a level as large as possible.

Ideally like to answer LCA queries in $O(1)$ sequential time

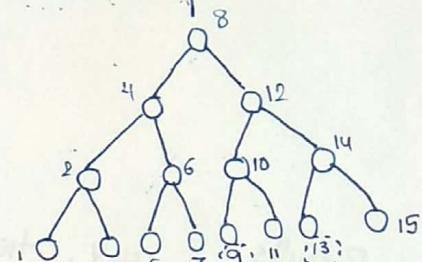
~~Preprocess T~~

$$\text{Inorder}(u) = z_{l_1} z_{l_2} \dots z_{l_i} 1 u_{i-2} \dots u_0$$

$$\text{Inorder}(v) = z_{l_1} z_{l_2} \dots z_{l_i} 0 v_{i-2} \dots v_0$$

$$\text{LCA}(u, v) = z_{l_1} z_{l_2} \dots z_{l_i} 100 \dots 0$$

LCA in a complete binary tree



LCA(u, v)

~~$\text{Inorder}(u) = z_{l_1} z_{l_2} \dots z_{l_i} 1$~~

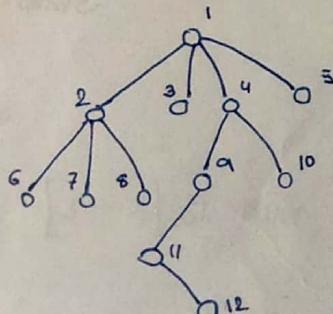
~~$\text{Inorder}(v) = z_{l_1} z_{l_2} \dots z_{l_i} 0$~~

Proof: Exercise. (can use induction)

Eg. Let $u = 9, v = 13$
 $= 1001 \quad = 1101$

$$\text{LCA}(u, v) = 1100 = 12$$

T - a general rooted tree



$$|A| = 2(n-1) + 1 = 2n-1$$

Euler tour

$$\langle \gamma, u_1 \rangle \langle u_1, u_2 \rangle \langle u_2, u_3 \rangle \dots$$

$$A[] = \gamma, u_1, u_2, u_3, \dots, u_k, \gamma$$

Euler array

$$A = [1, 2, 6, 2, 7, 2, 8, 2, 1, 3, 1, 4, 9, 11, 12, 11, 9, 4, 1, 5, 4, 10, 4, 1, 5, 1]$$

$$B = [0, 1, 2, 1, 2, 1, 2, 1, 0, 1, 0, 1, 2, 3, 4, 3, 2, 1, 2, 1, 0, 1, 0]$$

$$b_i = \text{Level}(a_i)$$

$\forall v \in V$

$l_k(v) = \text{index of the first occurrence of } v \text{ in } A[].$

$r(v) = \text{index of the last occurrence of } v \text{ in } A[].$

If v is the root,

$$l(v) = 1 \quad r(v) = 2n-1.$$

$$\left| \begin{array}{l} v \neq \text{root} \\ l(v) = i \text{ such that } b_{i-1} = b_i - 1 \\ r(v) = j \text{ such that } b_{j+1} = b_j - 1 \\ a_j = v \end{array} \right.$$

$O(1)$ parallel
time
 $O(n)$ work

Results : u, v , two different nodes in T .

(1) u is an ancestor of v .

$$\Leftrightarrow l(u) < l(v) < r(u)$$

(2) u is not an ancestor of v and
 v is not an ancestor of u .

$$\Leftrightarrow r(u) < l(v) \text{ or } r(v) < l(u)$$

(3) $r(u) < l(v)$

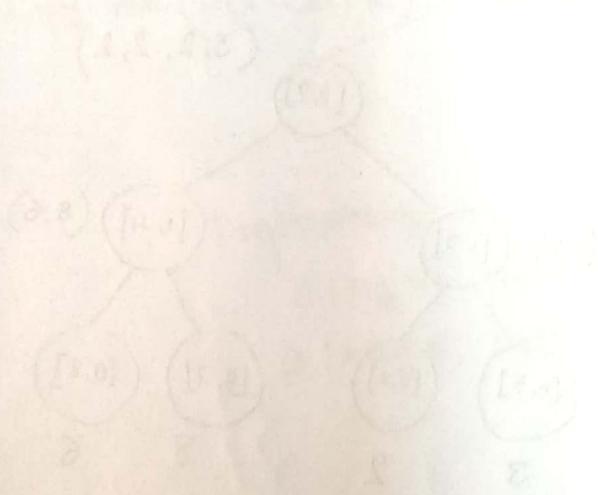
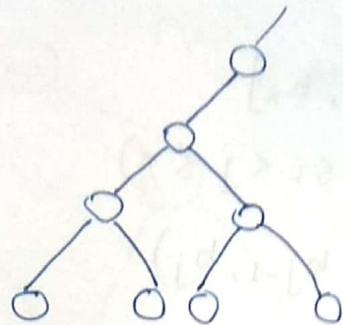
A $[r(u) \dots l(v)]$ contains the LCA (u, v)
at positions where B $[r(u) \dots l(v)]$ is the
smallest

LCA problem reduces to the

Range Minimum Problem

Given an array B and indices k, l find $\min B[k \dots l]$

$$|B| = 2^n$$



Range Minima Problem

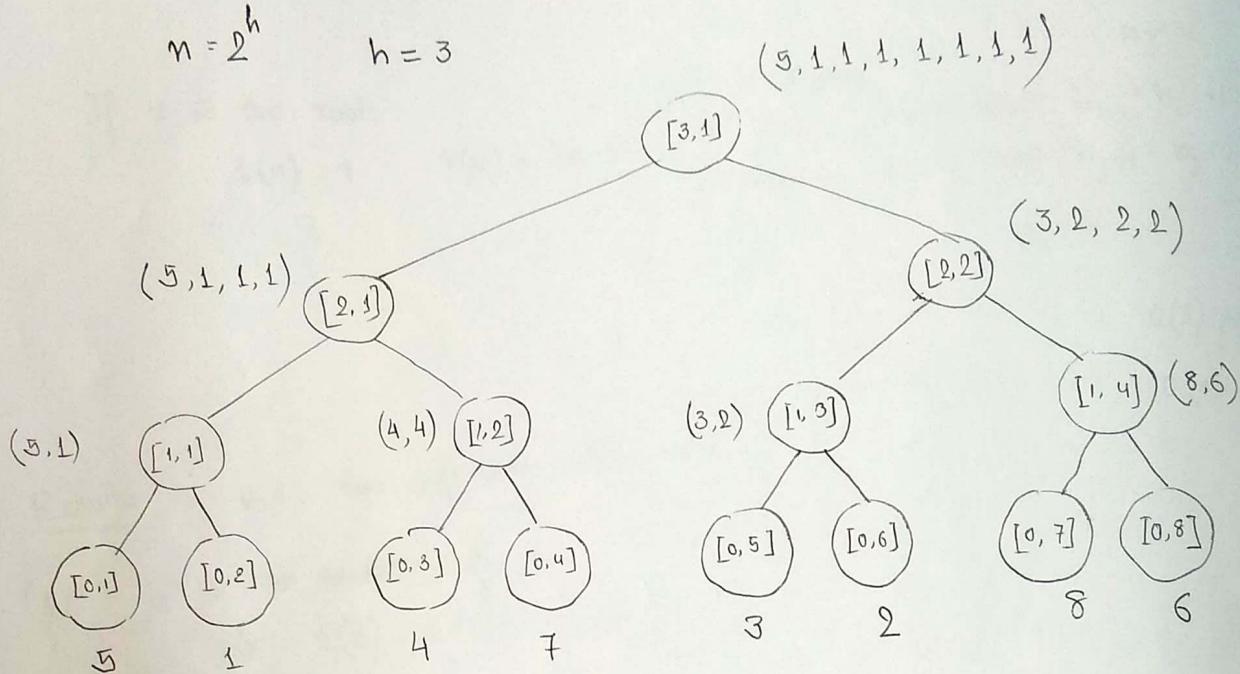
Given an array $B = [b_1, b_2, \dots, b_n]$
 and two indices i, j ($1 \leq i < j \leq n$)
 to compute $\min(b_i, b_{i+1}, \dots, b_{j-1}, b_j)$

Merge

$P(k-1, 2l-1)$
 (of size 2^{k-1})

Cop
Let

Cop



$$\text{Node } [k, l] = (p_{m_1}, p_{m_2}, \dots, p_{m_{2^k}})$$

$$S [1 \dots 2^k] = (s_{m_1}, s_{m_2}, \dots, s_{m_{2^k}})$$

for $1 \leq i \leq 2^n$ parallel {
 set $P[0, i] = (b_i)$
 and $S[0, i] = (b_i)$

} $O(1)$ time
 $O(n)$ work

for $k=1, 2, 3, \dots, h$ do { $\leftarrow \log n$ iterations

for $l=1, 2, \dots, \frac{n}{2^k}$ parallel {

Merge $P(k-1, 2l-1)$ and $P(k-1, 2l)$

Merge $S(k-1, 2l-1)$ and $S(k-1, 2l)$

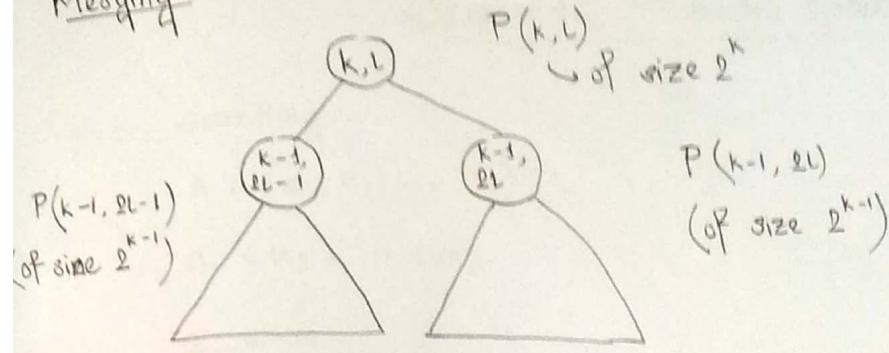
to $P(k, l)$
 to $S(k, l)$

$O(1)$ time
 $O\left(\frac{n}{2^k} \times 2^k\right) = O(n)$ work

} .

1. Base
2. Prod
3. Con
4. Le

Merging



Copy $P(k-1, 2l-1)$ in parallel to the left half of $P(k, l)$

Let α be the last element of $P(k-1, 2l-1)$

Copy $\min(P(k-1, 2l), \alpha)$ in parallel to the right half of $P(k, l)$.

∴ Preprocessing in
 $O(\log n)$ time
 $O(n \log n)$ work.

B

Need a better algo

$O(n \log n)$ won't do.

$O(n)$ work
 $O(1)$ time

1. Break B into $\frac{n}{\log n}$ chunks B_i each of size $\log n$.
 2. Process each individual block B_i using the best sequential algorithm.
 3. Compute the prefix and suffix minima for each block. → $O(n)$ work
 4. Let $x_i = \min B_i$. Apply the previous algorithm on $(x_1, x_2, \dots, x_{\frac{n}{\log n}})$ ↗ $O(n)$ work (??)
- $\hookrightarrow O\left(\frac{n}{\log n} \log\left(\frac{n}{\log n}\right)\right) = O(n)$ work.

How to answer range minimum queries?

B_i, i, j

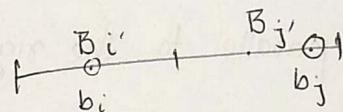
$b_i \in B_i$

$b_j \in B_j$

Case 1: $i' = j'$

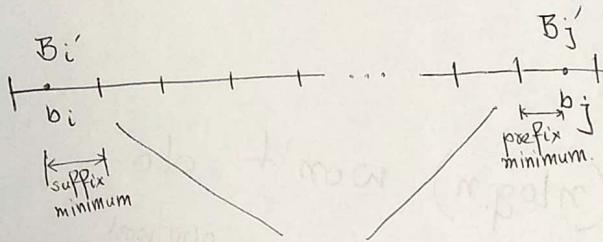
Step 2 has already handled this situation.

Case 2: $i' + 1 = j'$



$\min \left(\begin{array}{l} \text{suffix minimum of } b_i \text{ in } B_i; \\ \text{prefix minimum of } b_j \text{ in } B_j \end{array} \right)$

Case 3: $j' \geq i' + 2$.



Make a range minimum query on X with indices $i'+1, j'-1$

SEARCHING, MERGING & SORTING

1.1. Searching

$$A = (\alpha_1, \alpha_2, \dots, \alpha_n)$$

$$\alpha_1 < \alpha_2 < \dots < \alpha_n$$

$$\alpha_0 = -\infty$$

$$\alpha_{n+1} = +\infty$$

Given b to find the index i such that

$$\alpha_i \leq b < \alpha_{i+1}$$

Sequential algo : binary search

$O(\log n)$ time \rightarrow Optimal
(Can't be improved upon)

p processors are available
make a $(p+1)$ way search.

Algorithm for processor j , $1 \leq j \leq p$.

If ($j=1$) set $d_p = 0$ and $d_{p+1} = n+1$. $c_0 = 0$ and $c_{p+1} = 1$
and $l = d_p$ and $r = n+1$.

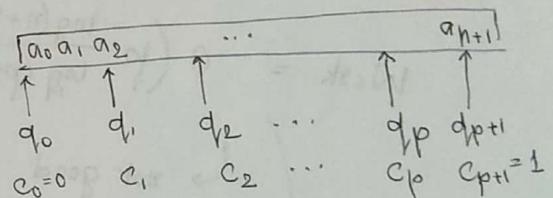
while ($r-l > p$) {
set $d_{r,j} = l + \lfloor \frac{r-l}{p+1} \rfloor$
if ($b = \alpha_{d_{r,j}}$) { return $d_{r,j}$, exit }
else if ($\alpha_{d_{r,j}} < b$) set $c_j = 0$.
else set $c_j = 1$.

If ($c_j < c_{j+1}$) set $l = d_{r,j}$ and $r = d_{r,j+1} - 1$

If ($j=1$) and ($c_0 < c_1$)
set $l=0$ and $r = d_p - 1$.

// Here $r-l \leq p$

for ($j \leq r-l$) {
if $\alpha_{l+j} = b$ { return $l+j$, exit }
else if ($b > \alpha_{l+j}$) set $c_j = 0$
else set $c_j = 1$.



if ($c_{j-1} < c_j$) { return $i+j-1$, exit }

}

$$S_i = r_i - l_i$$

$$S_0 = n+1.$$

$S_i \leq \frac{S_{i-1}}{p+1} + p$. (largest possible size of the last part).

$$S_i \leq \frac{n+1}{(p+1)^i} + p+1$$

of iterations of the while loop
= $O\left(\frac{\log(n+1)}{\log(p+1)}\right)$.

If $p = n^\alpha$, $0 < \alpha < 1$
↑
constant

Then the running time is $O(1)$.

$$\text{Work} = O\left(p \frac{\log(n+1)}{\log(p+1)}\right)$$

↪ not good for $p = n^\alpha$.

optimal, that is, $O(\log n)$,
if p is a constant.

Work-Time Tradeoff

To compute $\text{rank}(B; A)$, $|B| = m$, $|A| = n$.

$$m \leq n$$

1. If $m \leq 3$, search with $p=n \rightarrow O(1)$ time, $O(n)$ work

2. Let $Y = (b_{\sqrt{m}}, b_{2\sqrt{m}}, \dots, b_m) \leftarrow \text{"small"}$.

Compute $\text{rank}(Y; A) \rightarrow O(1)$ time, $O(n)$ work.

$$\begin{array}{c} B_0 \quad B_1 \quad B_i \\ \boxed{1 \dots \sqrt{m} \mid \sqrt{m}+1 \dots 2\sqrt{m} \mid \dots \mid i\sqrt{m}+1 \dots (i+1)\sqrt{m} \mid \dots} \end{array}$$

$$\begin{array}{c} A_0 \quad A_1 \quad A_i \\ \boxed{\quad \quad \quad \quad \quad \quad} \end{array}$$

3. $\forall i=0, 1, \dots, \sqrt{m} - 1$ par do

if $(j(1) = j(i+1))$ set $\text{rank}(B_i; A_i) = (0, 0, \dots, 0)$

else recursively compute $\text{rank}(B_i; A_i)$

4. $\forall k$ which is not a multiple of \sqrt{m} ,

set $i = \lfloor \frac{k}{\sqrt{m}} \rfloor$ and $\text{rank}(Y_k; A) = j(i-1) + \text{rank}(Y_k; A_i)$

Correctness : clear (?)

Induct

Merging

pre-midsort

$O(\log \log n)$ time,

$O(n \log \log n)$ work

post-midsort

$O(\log \log n)$ time,

$O(n)$ work.

$$|A| = |B| = n$$

No duplicates in $A \cup B$.

Break A and B into chunks of size $\lceil \frac{n}{\log \log n} \rceil$

A_1	A_2	A_3	\dots	A_i	\dots
p_1	p_2	p_3	\dots	p_i	\dots

$$A' = (p_1, p_2, p_3, \dots)$$

B_1	B_2	B_3	\dots	B_i	\dots
q_1	q_2	q_3	\dots	q_i	\dots

$$B' = (q_1, q_2, q_3, \dots)$$

$$|A'| = |B'| = \left\lceil \frac{n}{\log \log n} \right\rceil$$

Step 1: Compute Rank $(A'; B')$ and Rank $(B'; A')$ using the pre-midsort algo.

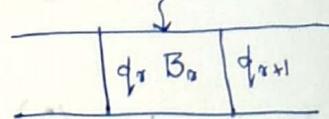
$O(\log \log n)$ time, $O(n)$ work.

Step 2: Compute Rank $(A'; B)$ and Rank $(B; A')$

* Rank $(A'; B)$

$$p_i \in A'$$

In Step 1, we have completed
 $r = \text{rank}(p_i; B')$



rank(p_i) can be determined by linear search (sequential) in $O(\log \log n)$ time by computing its rank in B .

Step 3:

Rank $(A; B)$ and Rank $(B; A)$

Do the merging

$$a \in A_i, a \neq p_i$$

$$\begin{cases} \text{rank}(p_i; B) = r_i \\ \text{rank}(q_j; A) = s_j \end{cases} \begin{cases} \text{available to} \\ \text{step 2} \end{cases}$$

Case 1: $\gamma_i = \gamma_{i+1}$ sits immediately after b_{γ_i} .
 Entire block A_i belongs to Block B_{γ_i} .

~~merge (A_i, B_{γ_i})~~

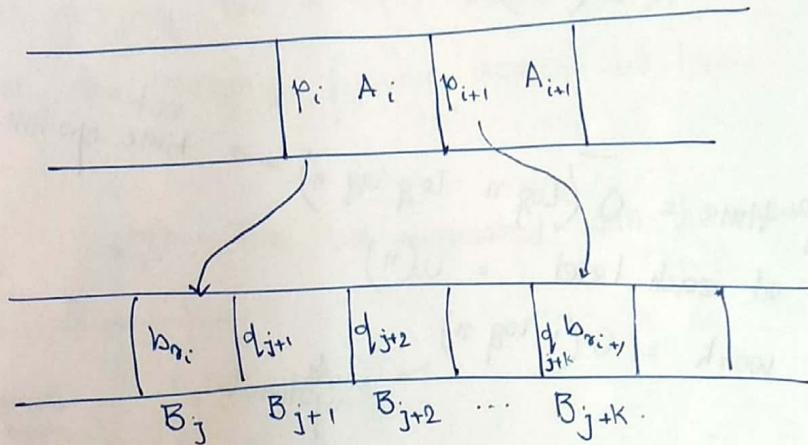
Case 2: $\gamma_{i+1} > \gamma_i$

subcase (a) $\gamma_{i+1} - \gamma_i \leq \log \log n$

merge $(A_i, B[\gamma_i, \dots, \gamma_{i+1}-1])$ sequentially.

$O(\log \log n)$ time and work.

for subcase (b) $\gamma_{i+1} - \gamma_i > \log \log n$



~~compute~~ rank $(b_{\gamma_i}, d_{j+1}, d_{j+2}, \dots, d_{j+k})$, ~~in A and in A_i~~ in A and in A_i:
 are known from step 2.

compute $\begin{cases} \text{rank}(b_{\gamma_i}) \\ \text{rank}(b_{\gamma_{i+1}-1}) \end{cases}$ } sequentially.

These ranks subdivide A_i .

Merge in parallel blocks / sub-blocks in B
 with the sub-blocks of A_i .

~~$\Theta(\log \log n)$~~ merging can run in parallel
 $O\left(\frac{n}{\log \log n}\right)$ merging can run in parallel
 no merging (sub) block is of size $> \log \log n$.

Parallel merge sort

$$n = 2^t.$$

Balanced binary search tree method.
(bottom-up)

for $1 \leq i \leq n$ parallel set $L(0; i) = a_i$

for $h = 1, 2, \dots, t$ do {

Merge {

for $i = 1, 2, \dots, \frac{n}{2^h}$ parallel {

merge $L(h-1, 2i-1)$ and $L(h-1, 2i)$

to $L(h, i)$

}

}

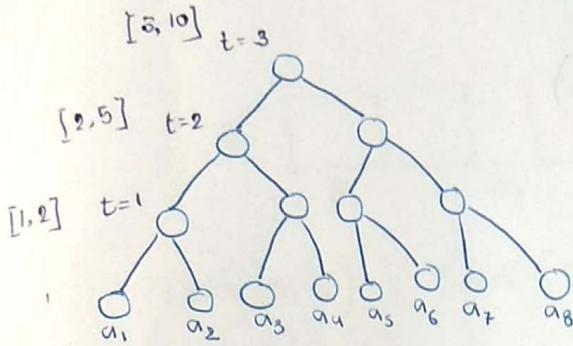
running time = $\tilde{O}(\log n \log \log n)$ → not time-optimal

work at each level = $O(n)$

total work = $O(n \log n)$ → work optimal

Forbidden Algorithm 2

Sorting in $O(\log n)$ time
with $O(n \log n)$ work



- To let merging run in $O(1)$ time.
- Pipeline the merging process across all levels.

Init: \forall leaf, $L_0(v) =$ the list associated with the value stored there.

$$L_0(v) = ()$$

\forall internal node, $L_0(v) = ()$

After stage $s = 1, 2, 3, \dots$

$L_s(v) \rightarrow$ the list at node v .

Eventually, v will store the complete list $L(v)$

Each $L_s(v)$ is an approximation of $L(v)$

$$x = (x_1, x_2, x_3, \dots)$$

$$c \in \mathbb{N}$$

$$\text{sample}_c(x) = (x_c, x_{2c}, x_{3c}, \dots)$$

Let v be a node at height h .

Let s be a stage number

$$\text{sample}(L_s(v)) = \begin{cases} \text{sample}_4(L_s(v)) & \text{if } s \leq 3h \\ \text{sample}_2(L_s(v)) & \text{if } s = 3h+1 \\ \text{sample}_1(L_s(v)) & \text{if } s = 3h+2 \end{cases}$$

A node v at height h remains active during the time stages $h \leq s \leq 3h$.

~~- To let merging run in $O(1)$ time.~~

for $i = 1, 2, \dots, n$ \downarrow parallel

initialize L_0 (i^{th} leaf) = (a_i)

for all internal nodes v \downarrow parallel

initialize $L_0(v) = \emptyset$

for $s = 0, 1, 2, \dots, 3t-1$ do {

/* stage $s+1$ */

for all active nodes v \downarrow parallel {

Let u and w be the two children of v .

Get $L_s(u) = \text{sample } (L_s(u))$

$L_s(w) = \text{sample } (L_s(w))$

Merge $L_s(u)$ and $L_s(w)$ using $L_s(v)$
as a cover to generate $L_{s+1}(v)$

}

→ 4-cover!

}

$X = (x_1, x_2, x_3, \dots, x_n)$ be a sorted list

$X_\infty = (-\infty, x_1, x_2, \dots, x_n, \infty)$

$A = (a_1, a_2, \dots, a_n)$ be another sorted sequence.

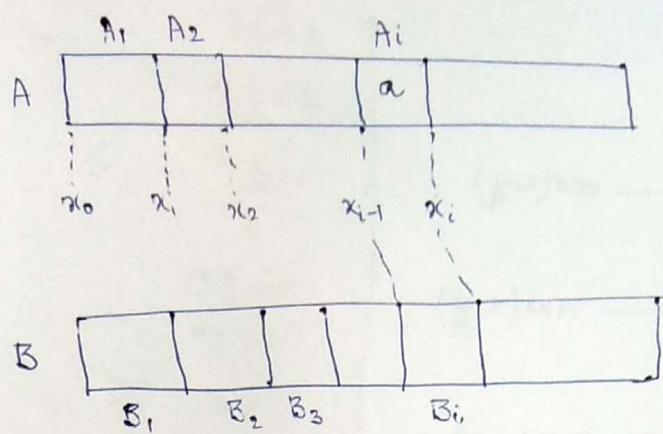
X is called a c -cover of A if

$$|\{a_i \mid x_j < a_i \leq x_{j+1}\}| \leq c$$

for all $j = 0, 1, 2, \dots, s$

Prop: Let A, B be two sorted lists of size n . Let X be a c -cover of both A and B for some constant c . Suppose that $\text{Rank}(X; A)$ and $\text{Rank}(X; B)$ are known. Then $\text{Rank}(A; B)$ and $\text{Rank}(B; A)$ can be computed in $O(1)$ time with $O(n)$ work. In particular, A and B can be merged in $O(1)$ time with $O(n)$ work.

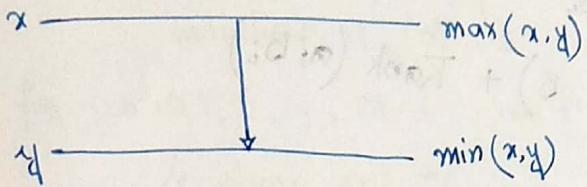
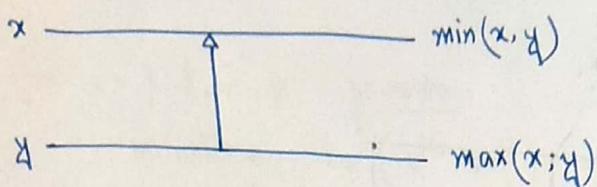
Proof:



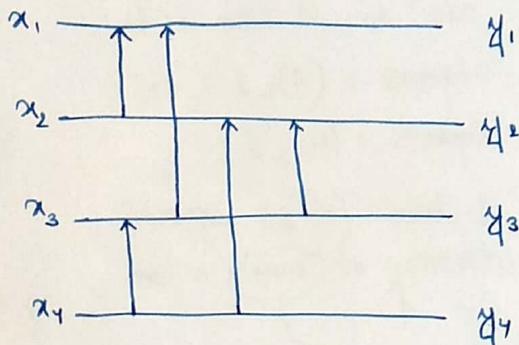
$$\text{Rank}(a; B) = \text{Rank}(x_{i-1}; B) + \text{Rank}(a; B_i)$$

Sorting networks

A comparator



Eq.



depth = maximum no. of comparators that you encounter from an input to an output.
 runtime
 size = the no. of comparators.
 work

bitonic sequences

$$\begin{array}{ccccccccc} 5 & 6 & 7 & 8 & 9 & 4 & 1 & 0 \\ \hline & 1 & & & & 2 & & \end{array}$$

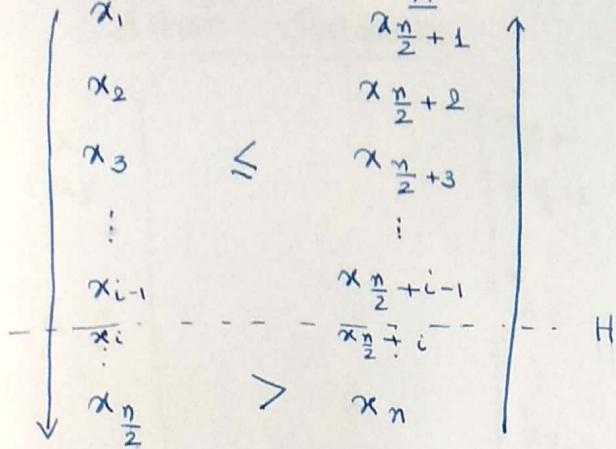
$$\begin{array}{ccccc} 8 & 9 & 4 & 1 & 0 \\ \hline 1 & \rightarrow & 2 & & \end{array} \quad \begin{array}{c} 5 \\ 6 \\ 7 \end{array} \dots$$

Unique Crossover Property.

x_1, x_2, \dots, x_n

$$x_1 \geq x_2 \geq x_3 \geq \dots \geq x_{\frac{n}{2}} \\ x_{\frac{n}{2}+1} \leq x_{\frac{n}{2}+2} \leq \dots \leq x_n$$

$$x_1 \leq x_2 \leq x_3 \leq \dots \leq x_{\frac{n}{2}} \\ x_{\frac{n}{2}+1} \geq x_{\frac{n}{2}+2} \geq \dots \geq x_n$$



$$(1) \quad x_i \in L, \quad x_{\frac{n}{2}+i} \in R.$$

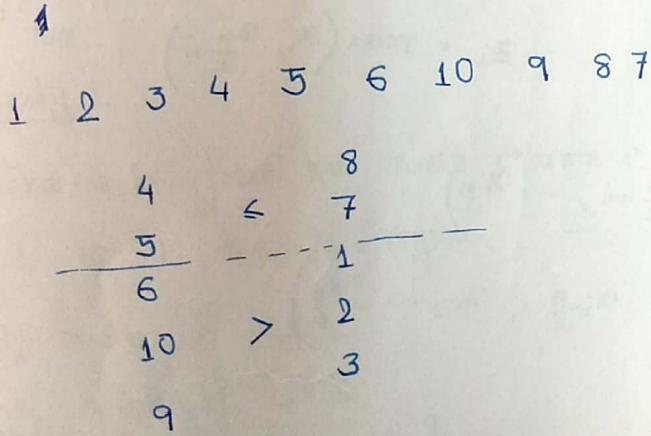
If $i \in L$, then $x_i \leq x_{\frac{n}{2}+i}$

If $i \in R$, then $x_i > x_{\frac{n}{2}+i}$

$$(2) \quad \text{If } x_i, x_j \in L, i < j, \text{ then } x_i \leq x_j$$

$$\text{If } x_i, x_j \in R, i < j, \text{ then } x_i \geq x_j$$

$x_i > x_{\frac{n}{2}+i}$ for the first i .

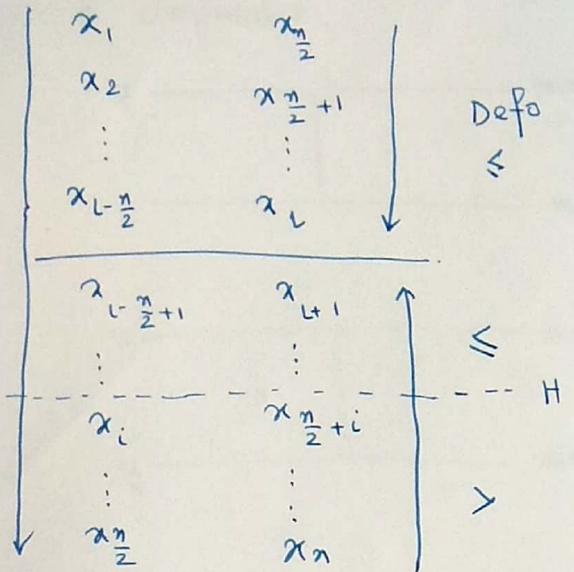


Proposition - Unique crossover property holds for any critical sequence.

Proof - Without loss of generality,

$$x_1 \leq x_2 \leq x_3 \dots \leq x_l$$

$$x_{l+1} \geq x_{l+2} \geq \dots \geq x_n$$



Found i such that $x_i > x_{\frac{n}{2}+i}$

Sort a bitonic sequence

$$X = (x_1, x_2, \dots, x_n) \quad n = 2^t$$

$$L = (y_1, y_2, \dots, y_{\frac{n}{2}})$$

$$R = (z_1, z_2, \dots, z_{\frac{n}{2}})$$

$$y_i = \min(x_i, x_{\frac{n}{2}+i}) \quad // \text{different } i \text{ not the crossover}$$

$$z_i = \max(x_i, x_{\frac{n}{2}+i})$$

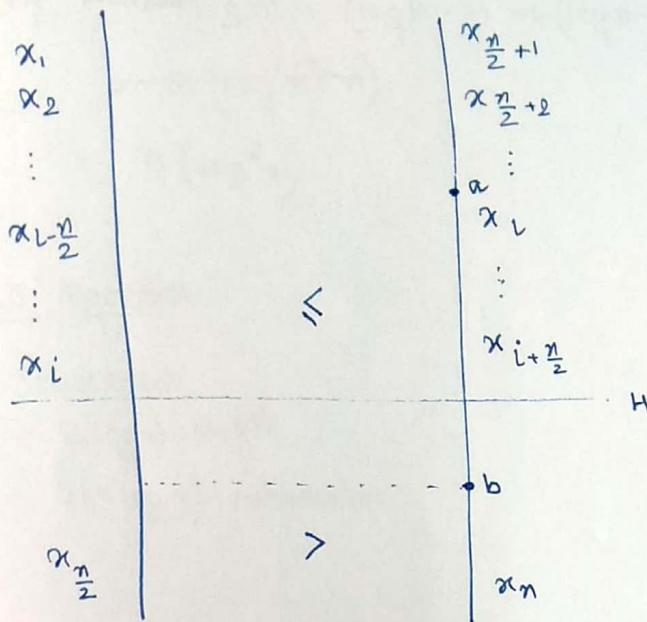
$$L = (x_1, x_2, \dots, x_{i-1}, x_{\frac{n}{2}+i}, \dots, x_n)$$

$$R = (x_{\frac{n}{2}+1}, x_{\frac{n}{2}+2}, \dots, x_{i+\frac{n}{2}-1}, x_i, \dots, x_{\frac{n}{2}})$$

L and R are subsequences of X and so, are bitonic.

Any element of $L \leq$ Any element of R .

Bitonic Sequences



$$\begin{aligned} y_i &= \min(x_i, x_{\frac{n}{2}+i}) \\ z_i &= \max(x_i, x_{\frac{n}{2}+i}) \end{aligned} \quad \left. \begin{array}{l} \text{for } i = 1, \dots, \frac{n}{2} \end{array} \right\}$$

X, Y, Z

Claim: Every element of Y is smaller than every element of Z.

Property 2

Take a, b from the same column c. such that a is in the \leq region and b is in the $>$ region.

Then, $a \leq b$ if $c = L$

or, $a > b$ if $c = R$

$$Y = (x_1, x_2, \dots, x_i, x_{\frac{n}{2}+i+1}, \dots, x_n)$$

$$Z = (x_{\frac{n}{2}+1}, x_{\frac{n}{2}+2}, \dots, x_{\frac{n}{2}+i}, x_{i+1}, \dots, x_{\frac{n}{2}})$$

Sorting bitonic sequences

$$C(n) = \frac{n}{2} + 2C\left(\frac{n}{2}\right)$$

$$D(n) = 1 + D\left(\frac{n}{2}\right)$$

$$C(n) = O(n \log n)$$

$$D(n) = O(\log n)$$

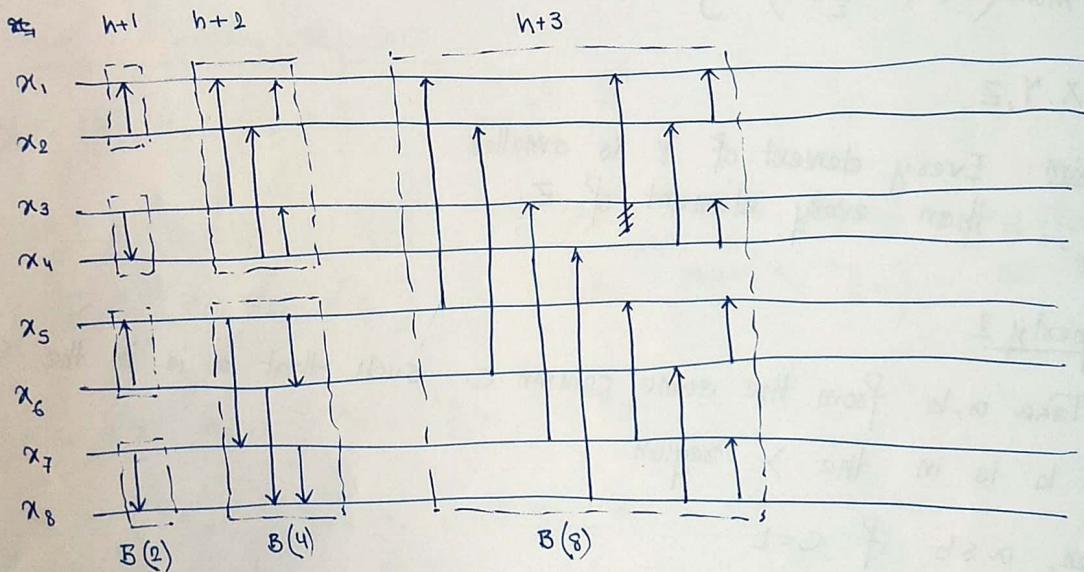
Sorting arbitrary sequences

$B(k) \rightarrow$ bitonic + sorting
network for k inputs

height 2

height 2 $\frac{n}{4}$ $B(4)$ networks

height 1 $\frac{n}{2}$ $B(2)$ networks



$C(k), D(k) \rightarrow$ for bitonic sorter

$C'(k), D'(k) \rightarrow$ for arbit sorter

$$\begin{aligned} C'(n) &= C(n) + 2C\left(\frac{n}{2}\right) + 4C\left(\frac{n}{4}\right) + \dots + \frac{n}{2}C\left(\frac{n}{2}\right) \\ &= O\left(n \log n + 2 \cdot \frac{n}{2} \cdot \log \frac{n}{2} + \dots\right) \\ &= O(n \log^2 n) \end{aligned}$$

$$\begin{aligned}
 D'(n) &= D(n) + D\left(\frac{n}{2}\right) + D\left(\frac{n}{4}\right) + \dots + D\left(\frac{n}{2^k}\right) \\
 &= (\log n) + (\log n - 1) + (\log n - 2) + \dots + 1 \\
 &= O\left(\log^2 n\right)
 \end{aligned}$$

AKS Algorithm

Szemerédi

$O(\log n)$ depth

$O(n \log n)$ comparators

Selection

k^{th} smallest in unsorted

$$A = (a_1, a_2, \dots, a_n)$$

An $O(n)$ -work $O(\log n \log \log n)$ algorithm.

We know an element a in A such that

$$\frac{n}{4} \leq \text{Rank}(a, A) \leq \frac{3n}{4}$$

$$\begin{array}{ccc} A_1 & A_2 & A_3 \\ \leq a & =a & >a \\ n_1 & n_2 & n_3 \end{array}$$

$$n_1, n_3 \leq \frac{3n}{4}$$

If $n_1 < k \leq n_1 + n_2$, output a
 else if $k \leq n_1$, call select(A_1, k)
 else call select($A_3, k - (n_1 + n_2)$)

Recursive call
reduces the array size
by a factor of $\frac{3}{4}$.

\Rightarrow After $O(\log \log n)$ iterations,
the array size becomes
 $\leq \frac{n}{\log n}$.

Now, sort the array.
(accelerated cascading).

How to find a ?

$n_0 = n$
 $n_1 =$ the size of A after $\frac{1}{2}$ one reduction

n_2

:

$n_s = \dots$ after s reductions

A contains n_s elements

Break A into $\frac{n_s}{\log n}$ chunks each of size $\log n$.
For all chunks, parallel compute the median $m_j \rightarrow$ each chunked can be
processed in $O(\log n)$ time

sort $m_1, m_2, \dots, m_{\frac{n_s}{\log n}}$ by FAL

$$\begin{aligned} \text{Runtime} &= O\left(\log\left(\frac{n_s}{\log n}\right)\right) \\ &= O(\log n) \end{aligned}$$

$$\text{Work} = O(n_s)$$

$$\text{Total work} = O(n_s)$$

Each m_j is $\geq \frac{\log n}{2}$ elements in its chunk

$a \geq \frac{n}{2\log n}$ chunk medians

$a \geq \frac{n}{2\log n} \times \frac{\log n}{2} = \frac{n}{4}$ elements of A

Total work in the reduction process.

$$= O(n_0 + n_1 + \dots)$$

$$= O\left(n + \frac{3}{4}n + \left(\frac{3}{4}\right)^2 n + \dots\right)$$

$$= O\left(\frac{n}{1 - \frac{3}{4}}\right) = O(n)$$

Lower Bounds

Parallel Comparison Trees —

Each comparison has 2 or 3 outputs

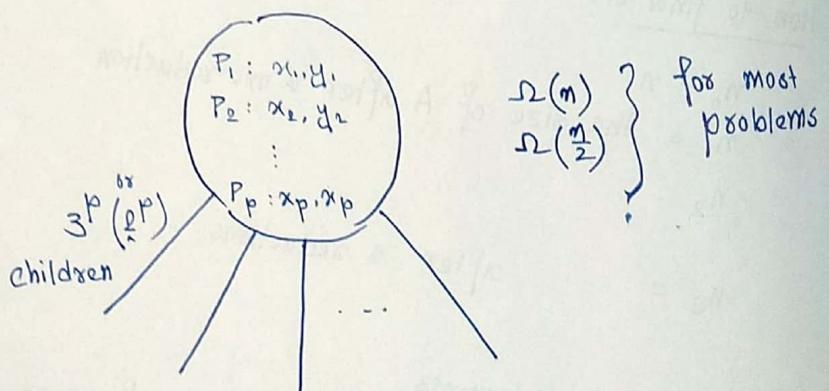
=, ≠

≤, >

No arithmetic
with the numbers

<, =, >

p processors



Adversary Strategy

Force the adversary

The adversary forces the algo to run on a computation path as long as possible.

Lower bound on Search

"distinct elements" $\leftarrow A \rightarrow$ a sorted array.
 $x \rightarrow$ to check whether x belongs to A

p processors.

Any parallel algorithm to solve this problem takes ~~$\Omega\left(\frac{\log n}{\log(p+1)}\right)$~~

$$\Omega\left(\frac{\log n}{\log(p+1)}\right) \quad \text{running time.}$$

$$\Omega\left(\frac{\log n}{p+1}\right) \quad \Omega\left(\frac{\log n}{\log(p+1)}\right)$$

First comparison

$$P_1 : \{a_{i_1}\}, a$$

$$P_2 : \{a_{i_2}\}, a$$

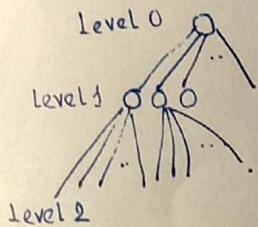
$$\vdots \dots$$

$$P_p : \{a_{i_p}\}, a$$

Partition A into $\leq p+1$ parts.

At least one part has size $\geq \frac{n}{p+1}$.

To make the computation long, the adversary chooses the largest part.



$$n_0 = n$$

$$n_1 \geq \frac{n}{p+1}$$

$$n_2 \geq \frac{n_1}{p+1} \geq \frac{n}{(p+1)^2}$$

$$n_k \geq \frac{n}{(p+1)^k}$$

k should be such that

$$1 \geq \frac{n}{(p+1)^k}$$

$$(p+1)^k \geq n \Rightarrow k \geq \frac{\log n}{\log(p+1)}$$

If we have n processors, i.e., $p=n$, how to search in ~~$\Theta(n)$~~ time?

$$n_{i+1} \geq \frac{n_i}{p+1} \quad \dots (*)$$

Lower bound

— best algorithm

↓
Worst case of the
best algorithm.

If p processors are available, then any search algorithm, however clever, cannot make a size reduction better than $(*)$ in the presence of a "smart" adversary.

Lower bound on max

$O(\log \log n)$ time

$O(n)$ tree work

Property: If $p \leq n$, then this problem can be solved in $\Omega(\log \log n)$ time.

Lemma (Tusin)

$$G = (V, E)$$

$$|V| = n, |E| = m$$

$\Rightarrow G$ contains an independent set of size $\geq \frac{n^2}{2m+n}$.

p comparisons

$$A = (a_1, a_2, \dots, a_n)$$

$$a_{i_1}, a_{j_1}$$

$$a_{i_2}, a_{j_2}$$

:

$$a_{i_p}, a_{j_p}$$

We construct a graph

a_1

a_2

a_3

a_4

$$G = (V, E)$$

$$|V| = n$$

$$|E| \leq p$$

$\therefore G$ has an independent set of size: $\geq \frac{n^2}{2p+n} \geq \frac{n}{3}$

$$n_{i+1} \geq \frac{n_i}{3}$$

Supposed to be

$$\boxed{n_{i+1} \geq \frac{n_i^2}{3}}$$

$$\therefore \mathcal{O}(\log \log n)$$

Lower bound on max

$$\begin{aligned}
 n & \quad n_0 = n \\
 n/3 & \quad n_1 \\
 n_2 & \\
 \vdots & \\
 n_i & \geq \frac{n_{i-1}^2}{2p+n_{i-1}} \geq \frac{n_{i-1}^2}{3n} \geq \left(\frac{n_{i-2}^2}{(3n)^2}\right) \cdot \frac{1}{3n} \\
 n_2 & \geq \left(\frac{n_1^2}{3n}\right) \geq \left(\frac{(n/3)^2}{3n}\right) = \frac{n}{3^3} \\
 n_3 & \geq \left(\frac{n_2^2}{3n}\right) \geq \left(\frac{\left(\frac{n}{3^3}\right)^2}{3n}\right) = \frac{n}{3^7} \\
 \therefore n_i & \geq \frac{n}{3^{2i-1}}
 \end{aligned}$$

Lower bound on merging

(k, s) merging problem.

k instances each of size s.

$A_i, B_i \quad i = 1, 2, \dots, k$.

Each A_i, B_i is sorted.

$$|A_i| = |B_i| = s$$

Each element of $A_i \cup B_i$ is smaller than each element of $A_{i+1} \cup B_{i+1}$.

$$2ks \leq p \leq \frac{ks^2}{8}$$

We want to generate (k', s') merging instance.

Divide each A_i, B_i into b blocks.

$$b = \sqrt{\frac{18p}{k}} = 2\sqrt{2} \sqrt{\frac{p}{k}}$$

$$4\sqrt{s} \leq b \leq s$$

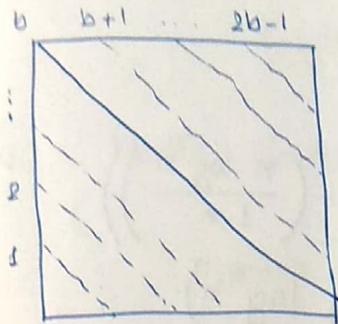
$$s' = \text{size of each block} = \frac{s}{b} = s \sqrt{\frac{k}{8p}}$$

(To compute k')

For one parallel computation step,

M_i bxb matrix for each $i = 1, 2, \dots, k$

$$M_i(u, v) = \begin{cases} 1 & \text{if some element of } A_{i,u} \text{ is compared with some} \\ & \text{element of } B_{i,v}. \\ 0 & \text{otherwise.} \end{cases}$$



These non-zero entries are (l_1, m_1) ,
 $(l_2, m_2), \dots, (l_{d,i,j}, m_{d,i,j})$

The adversary arranges the values
such that

(l_i, m_i) sub instance

$< (l_{i+1}, m_{i+1})$ subinstance

D_{ij} = the j^{th} diagonal of M_i .

$d_{i,j}$ = The number of 0's in $D_{i,j}$

$$\sum_{i=1}^k \sum_{j=1}^{2b-1} d_{i,j} \geq k b^2 - p = 7p \quad \left[\because b = \sqrt{\frac{8p}{k}} \Rightarrow b^2 k = 8p \right]$$

$$\sum_{j=1}^{2b-1} \sum_{i=1}^k d_{i,j} \geq 7p$$

$$\sum_{i=1}^k d_{i,j} \geq \frac{7p}{2b-1} \geq \frac{7p}{2b} = \frac{7p}{4\sqrt{2}\sqrt{\frac{p}{k}}} = \frac{7}{4\sqrt{2}} \cancel{\sqrt{p}} \sqrt{pk}$$

$$2sk \leq p \leq \frac{s^2}{8}$$

Running time. $T(k, s, p) \geq 1 + T(k', s', p)$

$$s' = \frac{s}{2\sqrt{2}\sqrt{\frac{p}{k}}} \geq 1 + T\left(\frac{7}{4\sqrt{2}}\sqrt{\frac{kp}{k}}, \frac{s}{2\sqrt{2}\sqrt{\frac{p}{k}}}, p\right)$$

Leads to an inductive proof of

$$T(k, s, p) \geq \frac{1}{4} \log\left(\frac{\log(p/k)}{\log(p/ks)}\right)$$

In particular,

$$T(k, s, p) = \Omega\left(\log \log s - \log \log\left(\frac{p}{ks}\right)\right)$$

Special case : (Our problem)

(1, n) merging.

$$p = n \log^\gamma n, \quad \gamma \text{ constant.}$$

$$\begin{aligned} T(1, n) &= \Omega\left(\log \log n - \log \log\left(\frac{n \log^\gamma n}{1 \cdot n}\right)\right) \\ &= \Omega\left(\log \log n - \log \log \log n\right) \\ &= \Omega\left(\log \log n\right) \end{aligned}$$

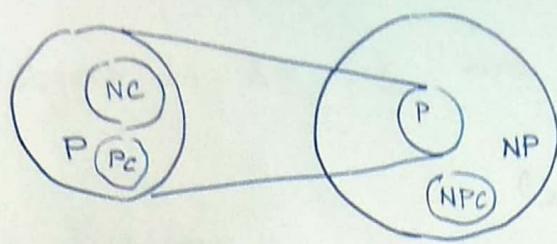
achievable. \rightarrow

Sorting

$\Omega(n \log n)$ sequential.

If we use $p \leq n$ processors parallel running time is
 $\Omega(\log n)$.

~~What if $p > n$?~~



$P \stackrel{?}{=} NP$

Polynomial Running time.

NC - Nick's Complexity Class.

Similar to the $P \stackrel{?}{=} NP$ problem,
 $NC \stackrel{?}{=} P$ problem

P-Completeness

Parallelizability

When is a "problem" parallelizable?

Notions of parallelizability

(1) Sequential time $T(n)$.

If p processors are available, the ideal speedup is $\Theta(p)$

speedup achievable for $1 \leq p \leq \alpha(n)$

where $\alpha(n)$ is an increasing function of n .

If $\alpha(n)$ is "small", the problem is not parallelizable.

(2) A problem is in NC (Nick's Complexity class) if
 P can be solved by a polylogarithmic-time algorithm using a polynomial
no. of processors.

Example

BFS

There is an algorithm which $O(\log^2 n)$ time

$O(M(n) \log n)$ work

complexity of multiplying
two $n \times n$ matrices.

$$M(n) = n^3$$

using standard algo

Coppersmith - Winograd

$$M(n) = n^{2.3...}$$

p processors.

$$\text{Running time} = O\left(\frac{n^3 \log n}{p} + \log^2 n\right)$$

$\therefore \text{BFS} \in \text{NC}$

Some problems do not seem to be in NC
(in P)

Examples:

(1) BFS

(1) DFS

(2) Network Flow

(3) Linear Programming.

$$\min \sum_{i=1}^n c_i x_i$$

Subject to $A\bar{x} \leq b$ and $\bar{x} \geq 0$

Decision Problems

(G, s, t, k)

Is a network flow of amount k possible?

NC consists of decision problems only.

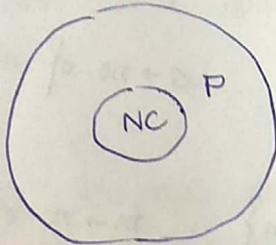
$$NC \subseteq P \downarrow$$

obvious

$$P \stackrel{?}{\subseteq} NC$$

Not known.

Popular belief $\rightarrow P \neq NC$.



NC-Reduction

$P_1, P_2 \in P$
 $P_1 \leq_{NC} P_2$ (P_1 is NC-reducible to P_2)

If there exists some NC algorithm that, given an input I_1 for P_1 , generates an instance I_2 for P_2 such that

$$P_2(I_2) \Leftarrow 0 \Leftrightarrow P_1(I_1) = 0$$

We call a problem $Q \in P$ P-complete if every problem in P

is NC-reducible to Q .

Lemma: $P_1 \leq_{NC} P_2, P_2 \in NC$
 $\Rightarrow P_1 \in NC$

Lemma: $P_1 \leq_{NC} P_2$

$P_1 \notin NC \Rightarrow P_2 \notin PNC$.

Lemma: $P_1 \leq_{NC} P_2$ and $P_2 \leq_{NC} P_3 \Rightarrow P_1 \leq_{NC} P_3$

Lemma: If any P-complete problem is in NC, then $P = NC$.

First example :

CVP - Circuit Value Problem.

→ Combinational circuit consisting of AND, OR and NOT gates.

Some inputs
One output.

Turing Machines
(Notations)

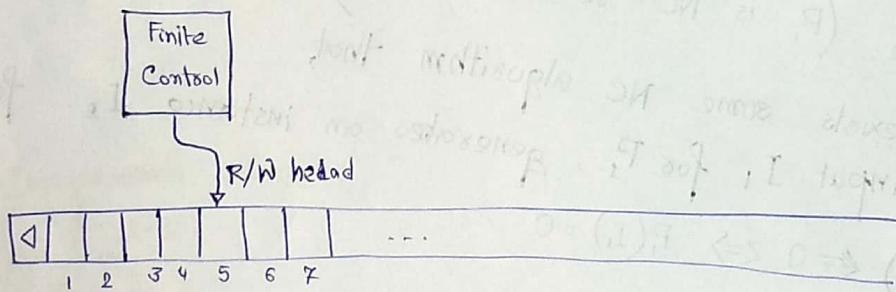
Q = set of states = $\{q_1, q_2, \dots, q_s\}$ $s \rightarrow$ no. of states

q_1 = start state.

Σ = tape alphabet = $\{a_1, a_2, \dots, a_m\}$. $m \rightarrow$ no. of symbols

$\delta: Q \times \Sigma \rightarrow Q \times \Sigma \times \{L, R\}$

$\delta(q, a) = (q', b, D)$



Acceptance

If Cell 1 contains 1

Rejection

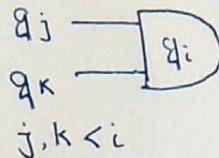
Cell 1 contains 0 -

Theorem : The CVP is P-complete.

Instance of CVP

$$\langle q_1, q_2, \dots, q_n \rangle$$

Each q_i is either an input or gate.



Proof : $CVP \in P$ (Evaluate in $O(n)$ time)

Let $Q \in P$

To show $Q \leq_{nc} CVP$

There is a DTM that accepts Q with running time $T(n)$.

↑
a polynomial in n

$t = 0$ the m/c starts working

$t = 1, 2, 3, \dots, T(n)$

Three families of boolean functions -

(i) $H(i, t) = 1 \Leftrightarrow$ The head is scanning cell i at time t .

$$0 \leq t \leq T(n)$$

$$0 \leq i \leq T(n)$$

(ii) $C(i, j, t) = 1 \Leftrightarrow$ cell i contains a_j at time t .

$$0 \leq t \leq T(n)$$

$$0 \leq i \leq T(n)$$

$$0 \leq j \leq m$$

(iii) $S(k, t) = 1 \Leftrightarrow$ The m/c is in state q_k at time t .

$$0 \leq t \leq T(n)$$

$$1 \leq k \leq s$$

Init (level 0)

$$\left\{ \begin{array}{l} H(i, 0) = \begin{cases} 1 & \text{if } i=1 \\ 0 & \text{if } i \geq 2 \end{cases} \\ C(i, j, 0) = \begin{cases} 1 & \text{if } 1 \leq i \leq n \text{ and } a_j \text{ is the } i^{\text{th}} \text{ symbol} \\ 0 & \text{otherwise.} \end{cases} \\ S(k, 0) = \begin{cases} 1 & \text{if } k=1 \\ 0 & \text{if } k \geq 2 \end{cases} \end{array} \right.$$

*0(1) time
by $T(n)$
processors.*

From level i to level $i+1$

$$H(i, i+1) =$$

$$= H(i-1, t) \cdot \sum_{(j,k) \in I_R} S(k, t) C(i-1, j, t) + H(i+1, t) \cdot \sum_{(j,k) \in I_L} S(k, t) C(i+1, j, t)$$

$O(T(n)^2)$, $O(1)$ time
processors

$$C(i, j, t+1) = \overline{H(i, t)} \cdot C(i, j, t) + H(i, t) \cdot \sum_{\substack{(i,j,t') \\ \delta(q_k, q_j) = (q_k, *, *)}} C(i, j', t') \cdot S(k, t')$$

$O(T(n)^2)$, $O(1)$ time
processors

$$S(k, t+1) = \sum_{(i,j,k')} H(i, t) C(i, j, t) S(k', t)$$

$O(T(n)^2)$ processors, $O(\log n)$ running time

$$O/p = C(1, 1, T(n))$$

$T(n)^2$ processors \rightarrow poly in n .

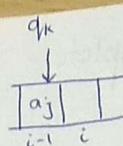
$O(\log n)$ running time

Reduction algo is in NC.

MCVP \rightarrow monotone circuit value problem
Only AND & OR gates

MCVP is P-Complete

$$\overline{H(i, t)} = \sum_{i' \neq i} H(i', t)$$



$$I_R = \{(j, k) \mid \delta(q_k, a_j) = (*, *, R)\}$$

$$I_L = \{(j, k) \mid \delta(q_k, a_j) = (*, *, L)\}$$