

CLAIRE M. ROSENFELD

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TEACHING INTERESTS

Financial Management, Financial Markets and Institutions, Fixed Income, Commercial Banking, Corporate Finance, Careers in Finance

EDUCATION

Ph.D. in Finance

Carlson School of Management, University of Minnesota 2007
Dissertation Title: "The Effects of Banking Relationships"

B.S. in Business Administration, With Honors,
Haub School of Business, Saint Joseph's University 1999
Major: Finance Minor: Mathematics

EXPERIENCE

School of Business, The University of Kansas

<i>Assistant Area Director</i>	January 2019 – Current
<i>Assistant Teaching Professor</i>	August 2020 – Current
<i>Lecturer</i>	Fall 2018 – August 2020

Raymond A. Mason School of Business, William & Mary Fall 2009 – Spring 2018
Assistant Professor of Finance

Federal Deposit Insurance Corporation Fall 2007 – Fall 2009
Financial Economist, Banking Research Branch

Carlson School of Management, University of Minnesota Spring 2004 & 2005
Instructor

BDO Seidman, LLP May 2000 – July 2001
Turnaround Management Consultant

Accenture June 1999 – May 2000
Business Process Analyst

AWARDS

- Outstanding Online MBA Instructor, University of Kansas, 2022 and 2020
 - Iorio Business Faculty Award, 2022
 - Jayhawk Impact Awards: People's Choice Award, University of Kansas, 2019
 - Outstanding Teaching Assistant, Carlson School of Management, 2005

AWARDS (continued)

- Invited Participant, Doctoral Seminar, FMA Meetings, 2005
- Carlson School of Management Dissertation Fellowship, 2005-2006
- Ph.D. Program Fellowship, Carlson School of Management, 2001-2005

SERVICE TO SCHOOL AND UNIVERSITY

- Faculty Advisor, Women in Finance, Fall 2018 – Present , University of Kansas
- Mentor, *MBSP* Students, Spring 2019 – Present, University of Kansas
- Member, Faculty Teaching Award Committee, Fall 2022—Present, University of Kansas
- Guest Speaker, Alpha Delta Pi Sorority Meeting, October 2022, University of Kansas
- Member, Dean Fields's Review Committee, Spring 2022, University of Kansas
- Member, Klapper Award Review Committee, Spring 2022, University of Kansas
- Presenter, *MBSP Summer Ventures in Business*, July 2022, July 2020, and June 2019, University of Kansas
- Guest Speaker, Pharmacy School Honors Fraternity: Kappa Psi, October 2020, University of Kansas
- Member, Careers in... Curriculum Committee, Fall 2019, University of Kansas
- Presenter, *Majors Night*, Fall 2019, University of Kansas
- Planning Chair, *Women's Leadership Summit*, 2017-2018, William & Mary
- Assistant Supervisor to Finance Academy (Undergraduate Co-Curricular Club), Fall 2011-Spring 2013, William & Mary
- Supervisor, Undergraduate Independent Studies, William & Mary
- Honors Thesis Committee Member for Amy Filipek, *Economics*, 2011
- Deposit Insurance Bibliography (with Vivian Hwa and Ken Jones), FDIC
- Seminar Coordinator, FDIC

SERVICE TO ACADEMIC COMMUNITY

- Member, Scientific Committee, Euro Working Group on Commodities & Financial Modeling, 2022
- Ad hoc referee *Review of Financial Studies*; *Journal of Money, Credit and Banking*; *Journal of Corporate Finance*; *Journal of Financial Services Research*; and *Journal of Financial Stability*, 2007-2018
- Representative to University Library Committee, William & Mary, 2015-2018
- Discussant, FDIC Fall Workshops, 2007-2009
- Ph.D. Student Advisory Committee, Carlson School, 2003-2005

FINANCIAL PRESS

- Wallethub, "Best Secured Credit Cards," *Ask the Experts*, June 8, 2022
https://wallethub.com/best-secured-credit-cards#expert=Claire_M._Rosenfeld
- Mauboussin, Michael J., Dan Callahan, and Darius Majd, "Form Follows Function: Organizational Structure and Investment Results", *Credit Suisse, Global Financial Strategies*, July 8, 2016

FINANCIAL PRESS (continued)

- Ramtouhul, Imrith, "A Study of Analyst-Run Mutual Funds: The Abilities and Roles of Buy-Side Analysts (Digest Summary)", *CFA Digest*, July 2016, Volume 6, Issue 7
- "When Central Bankers Become Central Planners", *The Wall Street Journal*, Sept. 29, 2014
- "Are Analysts Better Managers?", *Institutional Money*, November 2012

TESTIMONY REFERENCE

House Financial Services Committee Hearing; "Dodd-Frank Five Years Later: Are We More Prosperous?", Testimony by Peter Wallison, July 28, 2015

ACADEMIC PUBLICATIONS

- "Does Bank Supervision Impact Bank Loan Growth?" with Paul H. Kupiec (American Enterprise Institute) and Yan Y. Lee (FDIC), 2017, *Journal of Financial Stability* 28, 29-48.
- "A Study of Analyst-Run Mutual Funds: The Abilities and Roles of Buy-Side Analysts" with Gjergji Cici (University of Kansas), 2016, *Journal of Empirical Finance* 36, 8-29.
- "The Effect of Banking Relationships on the Future of Financially Distressed Firms", 2014, *Journal of Corporate Finance* 25, 403-418.

WORKING PAPERS

- "Cross-Company Effects of Common Ownership: Dealings Between Borrowers and Lenders with a Common Blockholder" with Gjergji Cici (University of Kansas) and Scott Gibson (William & Mary), *Completed manuscript*
- "The Effects of Banking Relationships: Short-Term and Long-Term Loan Announcement Effects", *Completed manuscript*

WORK IN PROGRESS

- "Borrower Performance When Lenders Suffer"
- "Borrower Fraud: How Do Lenders Respond?" with Stanislava Nikolova (University of Nebraska)

ACADEMIC PRESENTATIONS

- Financial Management Association Meetings, Las Vegas, October 2016
- Federal Reserve Bank of Altanta*, February 2013
- Southern Finance Association*, Charleston, SC, November 2012
- The Effect of Tighter Regulatory Requirements on Bank Profitability and Risk-Taking Incentives Conference, Bocconi University*, October 2012
- Bosphorus University*, November 2011
- 7th Annual Bank Research Conference, FDIC, September 2007
- 2nd Conference on Financial Regulation and Supervision, Bocconi University, June 2007
- Financial Management Association Meetings, Salt Lake City, October 2006
- Mid-Atlantic Research Conference, Villanova University, March 2006

* denotes co-author presentation

CONFERENCE PROGRAM CHAIR

- Mid-Atlantic Research Conference, Villanova University, March 2013

CONFERENCE DISCUSSIONS

- Mid-Atlantic Research Conference, Villanova University, March 2011
- Washington Area Finance Association, George Washington University, 2008
- 3rd Conference on Financial Regulation and Supervision, Bocconi University, June 2008
- Financial Management Association Meetings, Salt Lake City, 2006
- Financial Management Association Meetings, Chicago, 2005