
KEITH A. URTEL, CPA

Professional Summary

Seasoned financial executive/accountancy instructor (undergraduate and graduate) with a passion for developing and mentoring people, solving complex business issues, and collaborating with others to produce the best team results. Proven track record of professional technical expertise in accounting, reporting and auditing, building high performing teams, improving staff recruitment and retention, and developing meaningful relationships within and outside an organization.

Work Experience

University of Notre Dame (2017 to Present)

Associate Teaching Professor,

- Instructor for undergraduate/graduate (MSA) courses, including the accounting immersion program (AIP) as follows (semester):
 - Audit & Assurance Services – AIP (Su21 to present)
 - Intermediate Accounting, I – AIP (Su20 to present)
 - Intermediate Accounting, II – AIP (Su20 to present)
 - Accounting for Mergers & Acquisitions – MSA (Fa20 to present)
 - Accounting for Mergers & Acquisitions – UG (Fa24 to present)
 - Fraud & Audit Analytics - MSA (Sp19 to present)
 - Foundations of Accountancy (Fa17 -- Fa23)
 - Measurement & Disclosure I (Sp21)
 - Topics in Measurement & Disclosure – MSA (Sp20 – Sp21)
 - Measurement & Disclosure II (Sp18 -- Fa19)
- Coordinate the MSA Accounting Immersion Program, with MSA Academic Director, including annual class schedule preparation, resourcing faculty for class coverage and other administrative activities.
- Co-led an ad hoc ACCT 20100 redesign committee as requested by the undergraduate curriculum committee during 2020-21 which resulted in substantial changes to all aspects of the course, including implementing a blended education approach.
- Co-lead the ACCT 20100 course coordination committee which provided leadership to the implementation of the redesigned 20100 course in Fall 2021 as well as the recalibration of course format and content based on student feedback through Fa23. Substantial enhancements included moving selected topics to online recorded lectures to allow for increased in-class student engagement activities.
- Designed a new syllabus format (using Microsoft Publisher) for the ACCT 20100 course with the goal of creating a more engaging student-focused tool to increase student interest and engagement.
- Designed a semester long, financial statement analysis group case study for the ACCT 20100 class that requires students to study, analyze and report on two self-selected companies using data analysis. This case requires a quantitative and qualitative assessment to develop a written recommendation and an Excel-based analytical presentation.
- Coordinate and lead career exploration events for ACCT 20100/20200 classes which includes coordination with participating faculty, employers and ND alumni. Specifically, plan and coordinate classroom visits by the Big 4 firms to share career exploration topics. Planned and hosted an ND accounting alumni panel to help 201/202 students understand the career opportunities, beyond public accounting, in investment banking, private equity, venture capital, consulting and advisory fields.
- Developed a 3-credit hour MSA course, *Fraud & Audit Analytics*, which examines prominent financial statement frauds using the case study method as well as explores many aspects of fraud, related investigations and lessons learned. Several fraud examination and investigation case studies and simulations, using real-life scenarios and live-data sets, are completed as group projects through the semester that focus on the critical thinking skills necessary for a data analysis mindset.

Academic Faculty Director, Master of Accountancy program (2018 to 2020)

- Oversaw the program's operations in collaboration with the Graduate Business Program team
- Chair the Faculty MSA Curriculum Committee and Faculty Admissions Committee
- Helped implement new recruiting and marketing strategies to address declining admissions including:
 - Accounting Immersion Program (designed for non-accounting undergraduate students interested in pursuing an MSA),
 - MSA of a Day (one-day campus immersion targeted at highly desirable MSA candidates),
 - Business Analytics concentrations for both MSA course tracks (a faculty approved the course framework to provide highly-sought business analytics skills for MSA students)
 - Campaign to target Notre Dame non-Mendoza accountancy minors for MSA
 - Communications to Notre Dame accountancy majors regarding completion of their undergrad degrees in 3.5 years to pursue MSA with Business Analytics concentration
- Assisted with the transition of MSA course schedules to align with Business Analytics course schedules in connection with the new Business Analytics concentration

Socorro Partners (2024 to present)

Partner-in-charge, Quality & Risk Management, Audit Department

- Oversee the Firm's quality control processes, including client acceptance/continuance, risk management, and supervising engagement quality.
- Serve as a consultant to engagement teams and clients in the Assurance and Risk Advisory practices on various matters, such as Sarbanes-Oxley Section 404 compliance, technical accounting and reporting matters, audit and attestation matters, financial statement and other fraud, IPO preparation, enterprise risk management, corporate governance.

BDO, LLP (2021 to 2023)

Director, Audit Quality and Final Financial Review

- Performed final financial statement reviews and other audit quality activities for the Common Interest Realty Association industry group in the South Florida audit practice
- Served as a consultant to engagement teams and clients on various accounting and reporting matters.

Morrison, Brown, Argiz & Farra (MBAF) (2015 to 2021)

Principal-in-charge (Consultant), Quality & Risk Management, Audit Department

- Oversaw the Firm's quality control processes, including development of internal policies, client acceptance/continuance, risk management, supervising engagement quality reviews and consultations on accounting, auditing and reporting matters.
- Served as a consultant to engagement teams and clients on various accounting and reporting matters.

University of Miami (Fall 2016)

Adjunct Instructor, Department of Accounting

- Taught undergraduate introductory Financial Accounting to full time resident students
- Self-developed course syllabus, calendar and all assessments using *Libby, Libby, Libby Financial Accounting* textbook

Florida Atlantic University (Fall 2016 and Spring 2017)

Adjunct Instructor, Department of Accounting

- Taught Advanced Auditing (required auditing class in master's program) to full time students
- Fall 2016 was online, asynchronous delivery, while Spring 2017 was partially online asynchronous delivery, with periodic live synchronous classroom sessions.

Ernst & Young LLP (1981-1988 and 1994 – 2015)

Managing Partner, Jacksonville Office (2010-2015)

- Led the recruitment and retention efforts that grew the Jacksonville office from 35 to nearly 100 employees today
- Spearheaded local market efforts that resulted an increase from three to six public companies audited
- Established relationships with key executives at the largest local companies and organizations that resulted in more than doubling our non-audit market share
- Developed and established the first CFO Network in Northeast Florida consisting of approximately twenty CFOs from leading local companies
- Supported the development and establishment of the first Controllers' Network in Northeast Florida, with membership similar to the CFO Network
- Presented at client continuing education events with audiences in excess of 100 participants on accounting, reporting and regulatory matters

Audit Partner, Jacksonville /Fort Lauderdale/Miami Office (1997-2015)

- Served as the Global Client Serving Partner responsible for the overall execution of audit engagements of public and private organizations ranging from Fortune 500 companies to privately-held entities, many with global operations and audits of internal control over financial reporting
- Worked extensively with Audit Committees on emerging issues including corporate governance
- Conducted Board and Audit Committee training programs on a variety of accounting, reporting, auditing and governance topics
- Served as an engagement quality reviewer and pre-issuance reviewer for private and public offerings
- Selected by new partners and senior manager partner-candidates to serve as a personal coach and mentor on their career journey
- Led counseling networks to coach and mentor managers and staff in developing goals, related action plans, measuring progress and evaluating performance
- Advised clients on complex accounting and reporting matters such as public offerings (equity and debt), revenue recognition, business combinations, goodwill and indefinite-lived intangibles, investments in equity investees/consolidation, foreign currency and financial instruments, pensions, environmental liabilities and SEC related matters
- Served as the Regional Implementation Leader for the deployment of the firm's proprietary audit technology enablement tools

Audit Quality Review, Internal Inspection (1996-2013)

- Served as a Global and National Team Leader and Audit Quality Reviewer for public and private companies, primarily in the life sciences, healthcare and technology sectors
- Traveled extensively throughout Latin America serving as a Team Leader for Audit Quality Reviews conducted as part of our global internal inspection program

Southeast Controls and Methodology Leader (2006-2010)

- Leveraged practical experiences to enhance firm-developed course materials in leading numerous audit executive professional development courses for audit seniors through partners, with class sizes of 20 to over 100 participants, focused on a variety of topics such as the application of EY's audit methodology as well as current and emerging auditing issues
- Consulted with engagement teams on matters relating to auditing and application of our Global Audit Methodology, and served as a resource to assist teams with the implementation of Sarbanes-Oxley Sec. 404 audit requirements

Mengel, Metzger, Barr & Co. (1988-1994)

Partner-in-Charge of Audit, Rochester, New York

- Led the development of firm-wide standardized audit approach, including use of computer-assisted audit techniques.
- Implemented a firm-wide quality control system and successfully coordinated firm's first peer review which resulted in an unqualified opinion
- Responsible for the firm's overall audit quality and headed firm's professional practice department
- Served as client service partner for a variety of family-owned businesses and smaller public companies

Awards

Recipient, Master of Science in Accountancy James Dincolo Outstanding Professor Award (2021)

Education and Development

Annual Meeting, American Accounting Association (AAA), Auditing, Financial Reporting and Forensics sessions, August 2015, 2016, 2019, 2021 (virtual), 2022

Forensics Workshop, AAA Annual Meeting, August 2019, February 2022

Conference on Teaching and Learning in Accounting, AAA, Chicago August 2015

AACSB Bridge Certificate Program, Washington University in St Louis, May 2016

Harvard Business School/Publishing, Executive Development and Leadership Training, 2015

Kellogg School of Management, Northwestern University, Executive Development Program, 2007

Rochester Institute of Technology, Rochester, NY, Bachelor of Science: Accounting, 1981

Corporate Governance Experience

Beachdrifter Owners' Association

Board Member (2020 – Present)

- Developed annual budgets and supervised completion of reserves study
- Lead a major building restoration project with total costs of over \$800,000
- Co-leading a revision of the Association governing documents

Sandpiper Condo Association

Board Member and Vice President (2017 – 2021)

- Assisted the Board with the implementation of internal controls
- Oversaw the transition of management responsibilities to new management companies in 2018 and 2019
- Helped develop annual budgets, complete reserve studies and develop major restoration project for building exterior with total costs of nearly \$2 million

Waterford Estates Lodge Owners' Association, LLC

Board Member (2018 – 2020)

- Assisted with the reconstitution of the association and transition of property from receivership
- Oversaw the development of a plan to flag of the property with a national hotel chain, which required in excess of \$4 million of capital improvements
- Assisted with the implementation of a plan to engage a new hotel and property management company as well as association management company

Service

Mendoza College of Business, University of Notre Dame

- Co-lead, ACCT 20100 Course Redesign Group (Dec 20-Aug 21)
- Chair, Audit Faculty Search Committee (Nov 20-April 21)
- Co-lead, ACCT 20100 Coordination Committee (Aug 21 – present)
- Lead, ACCT 20100/20200 ASCSB Accreditation Preparation Committee (2022)
- Faculty Advisor, Deloitte Case Competition (2022)
- Served on Task Force for Recruiting Women in Business, Ad Hoc Committee established by Ken Kelly
- Speaker for ALPFA events on campus
- Participated in Beta Alpha Psi activities to support student activities
- Recruited and hosted guest lecturers (FBI special agent and forensic accountant) who spoke about their experience with frauds such as Bernie Madoff and other white-collar crimes attracted over 200 attendees including students, faculty, alumni, staff and local residents

American Accounting Association

- Serve as a co-editor of the *Current Issues in Auditing Journal* (2020 to 2022).

Boy Scouts of America

- Council President, North Florida Council (2015-2016)
- Vice President of Finance, North Florida Council (2012-2015)
- Have held various local Council Board leadership positions in all three communities in which I have lived since 1981, including serving on Council Audit Committees
- Served in various leadership positions at the unit (troop, pack, crew) and district levels from 1995-1997

United Way

- Audit Committee Member, Northeast Florida United Way (2012-2015)
- Head various Board and other leadership positions in all the communities in which I have lived since 1981

Other

- Rotarian, Assistant sergeant-at-arms (2012-2015)
- Executive sponsor for EY Connect Day, annual community service event

Affiliations and Licenses

Licensed and active CPA in Florida and New York

Member, American Institute of Certified Public Accountants

Member, Florida Institute of Certified Public Accountants

Member, American Accounting Association

Personal Contact Information

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