

Problem 1 [20 points] Time Complexity of Heap Building

The time complexity of turn sized- n array A into a binary heap on S via root-fix operator on dynamic array is $O(n)$, where A stores the values in set S .

Without loss of generality, suppose the heap is a full tree. 18

List the number of nodes and necessary time consumption for each level:

Level	# of nodes	time consumption
0	2^0	h
1	2^1	$h-1$
2	2^2	$h-2$
\vdots	\vdots	\vdots
$h-2$	2^{h-2}	2
$h-1$	2^{h-1}	1
h	2^h	0

So the overall time consumption is:

$$F = 2^0 h + 2^1 (h-1) + 2^2 (h-2) + \dots + 2^{h-2} (2) + 2^{h-1} (1)$$

then $2F = 2^1 (h) + 2^2 (h-1) + \dots + 2^{h-2} (3) + 2^{h-1} (2) + 2^h (1)$

Subtract these two equation:

$$F = -h + 2^1 + 2^2 + \dots + 2^{h-1} + 2^h = 2^{h+1} - 2 - h$$

Since it's a full tree, then number of all nodes is $\sum_{i=0}^h 2^i = 2^{h+1} - 1 = n$

Hence $F = n - 1 - h = O(n)$

\Rightarrow The time complexity is $O(n)$.

Problem 2 [20 points] Height of Balanced Binary Search Tree

A balanced binary search tree with n nodes has height $O(\log n)$.

Just need to find the greatest height of a AVL Tree.

According to the property of BBST, suppose height of h has at most $T(h)$ nodes, then

$$T(h) = T(h-1) + T(h-2) + 1, \quad T(0) = 1, \quad T(1) = 2, \quad T(2) = 4$$

restate as: $a_n = a_{n-1} + a_{n-2} + 1$, so $a_{n+1} = a_n + a_{n-1} + 1$

~~Subtract~~ Subtract these two equations: $a_{n+1} - a_n = (a_n - a_{n-1}) + (a_{n-1} - a_{n-2})$
 $b_n = b_{n-1} + b_{n-2}$

With initial conditions $b_0 = 1, b_1 = 2$.

Plug in Fibonacci series, we get

$$b_n = \frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^{n+1} - \left(\frac{1-\sqrt{5}}{2} \right)^{n+1} \right]$$

Add b_0 to b_{n-1} , we have:

$$a_n = b_{n-1} + b_{n-2} + \dots + b_1 + b_0 + a_0$$

$$a_n = \frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^n + \left(\frac{1+\sqrt{5}}{2} \right)^{n-1} + \dots + \left(\frac{1+\sqrt{5}}{2} \right)^1 - \left(\frac{1-\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^{n-1} - \dots - \left(\frac{1-\sqrt{5}}{2} \right)^1 \right] + 1$$

$$a_n = \frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^{n+3} - \left(\frac{1-\sqrt{5}}{2} \right)^{n+3} \right] - 1$$

$$so \quad T(h) = \frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^{h+3} - \left(\frac{1-\sqrt{5}}{2} \right)^{h+3} \right] - 1$$

solve $T(h) = n$:

$$\left(\frac{1+\sqrt{5}}{2} \right)^{h+3} - \left(\frac{1-\sqrt{5}}{2} \right)^{h+3} = \sqrt{5}(n+1) \geq \left(\frac{1+\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2} \right)^{h+3} = \sqrt{5}^{h+3}$$

$$so \quad h \leq \log_{\sqrt{5}} (\sqrt{5}(n+1)) - 3 = O(\log n)$$

Problem 3 [30 points] Huffman Encoding

Given (character, frequency) pairs as following:

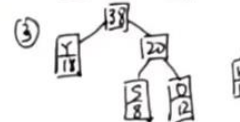
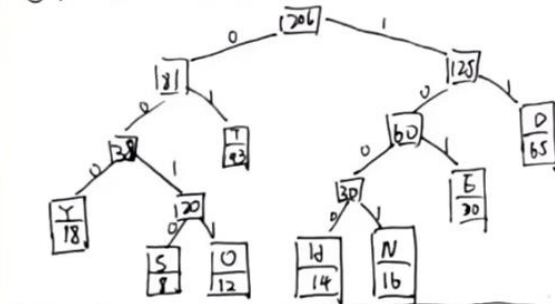
H	N	S	O	E	Y	T	D
14	16	8	12	30	18	43	65

- Show the detail steps of building its Huffman tree, i.e., draw the Huffman tree building process step by step
- Write down the corresponding scheme of the Huffman tree you obtained in (a), you only need draw a table, which contains two columns, the left is the character, the right is its corresponding Huffman coding
- Write down the corresponding codes of string "HONESTY".

(a). S O H N Y E T D
8 12 14 16 18 30 43 65
x x x x x x x x

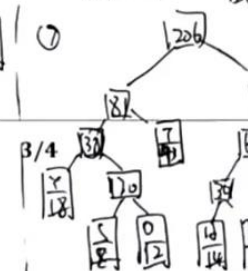
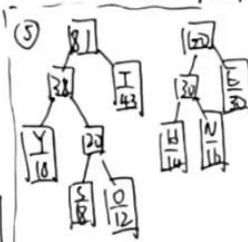
new nodes: $\boxed{20}$ $\boxed{30}$ $\boxed{38}$ $\boxed{81}$ $\boxed{125}$ $\boxed{206}$

- pick S and O, get $\boxed{20}$
- pick H and N, get $\boxed{30}$
- pick Y and $\boxed{20}$, get $\boxed{38}$
- pick $\boxed{30}$ and E, get $\boxed{60}$
- pick $\boxed{60}$ and T, get $\boxed{81}$
- pick $\boxed{81}$ and D, get $\boxed{125}$
- pick $\boxed{125}$ and $\boxed{81}$, get $\boxed{206}$.



(b). S 0010
O 0011
H 1000
N 1001
Y 000
E 101
T 01
D 11

(c) 1000 0011 1001 1010 0010 0000



Problem 4 [30 points] AVL-Tree

Let us define a binary search tree as following:

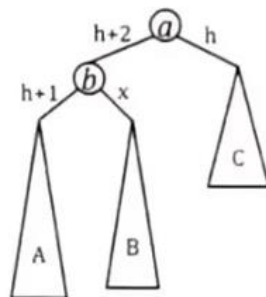


Figure 1. left-left case

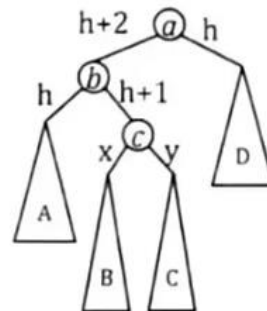


Figure 2. left-right case

(a) Given the imbalance node a in Fig. 1, after remedied the imbalance node a,
 $a \rightarrow \text{leftchild} = \underline{B}$ $a \rightarrow \text{rightchild} = \underline{C}$

$b \rightarrow \text{leftchild} = \underline{A}$ $b \rightarrow \text{rightchild} = \underline{a}$

(b) Given the imbalance node a in Fig. 2, after remedied the imbalance node a,
 $a \rightarrow \text{leftchild} = \underline{C}$ $a \rightarrow \text{rightchild} = \underline{D}$

$b \rightarrow \text{leftchild} = \underline{A}$ $b \rightarrow \text{rightchild} = \underline{B}$

$c \rightarrow \text{leftchild} = \underline{b}$ $c \rightarrow \text{rightchild} = \underline{a}$

(c) Draw the corresponding balanced binary search tree of Figures 1 and 2.

Fig 1:

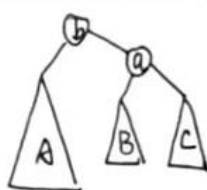
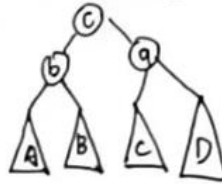


Fig 2:



(d) Given the following imbalance BBST, please draw the balanced BBST after remedy

