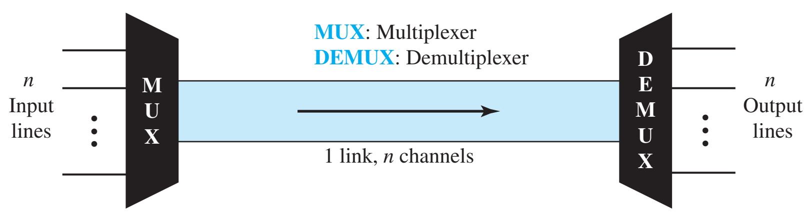
6.1 MULTIPLEXING

Whenever the bandwidth of a medium linking two devices is greater than the bandwidth needs of the devices, the link can be shared. **Multiplexing** is the set of techniques that allow the simultaneous transmission of multiple signals across a single data link. As data and telecommunications use increases, so does traffic. We can accommodate this increase by continuing to add individual links each time a new channel is needed; or we can install higher-bandwidth links and use each to carry multiple signals. If the bandwidth of a link isgreater than the bandwidth needs of the devices connected to it, the bandwidthis wasted. An efficient system maximizes the utilization of all resources; bandwidth is one of the most precious resources we have in data communications.

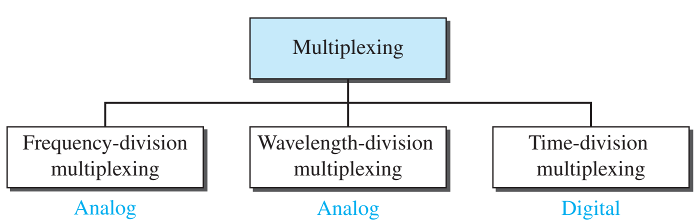
In a multiplexed system, *n* lines share the bandwidth of one link. Figure 6.1 shows the basic format of a multiplexed system. The lines on the left direct their transmission streams to a **multiplexer (MUX),** which combines them into a single stream (many-to-one). At the receiving end, that stream is fed into a **demultiplexer (DEMUX),** which separates the stream back into its component transmissions (one-to-many) and directs them to their corresponding lines. In the figure, the word **link** refers to the physical path. The word **channel** refers to the portion of a link that carries a transmission between a given pair of lines. One link can have many (*n*) channels.

Figure 6.1 *Dividing a link into channels*



There are 3 basic multiplexing techniques: frequency-division multiplexing, wavelength-division multiplexing, and time-division multiplexing. The first two are techniques designed for analog signals, the third, for digital signals (see Figure 6.2).

Figure 6.2 *Categories of multiplexing*

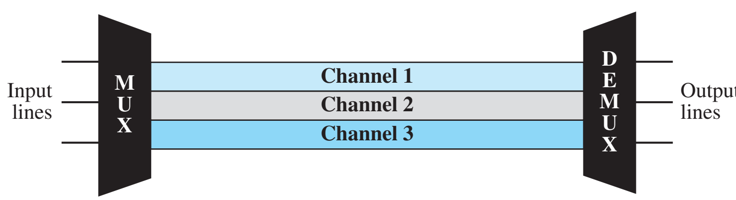


6.1.1 Frequency-Division Multiplexing

Frequency-division multiplexing (FDM) is an analog technique that can be applied when the bandwidth of a link (in hertz) is greater than the combined bandwidths of the signals to be transmitted. In FDM, signals generated by each sending device modulate different carrier frequencies. These modulated signals are then combined into a single composite signal that can be transported by the link. Carrier frequencies are separated by sufficient bandwidth to accommodate the modulated signal. These bandwidth ranges are the channels through which the various signals travel. Channels can be separated by strips of unused bandwidth—**guard bands**—to **prevent signals from overlapping**. In addition, carrier frequencies must not interfere with the original data frequencies.

Figure 6.3 gives a conceptual view of FDM. In this illustration, the transmission path is divided into three parts, each representing a channel that carries one transmission.

Figure 6.3 *Frequency-division multiplexing*



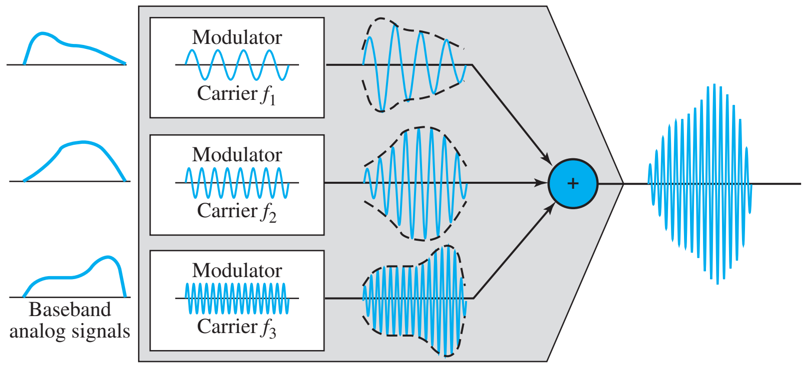
We consider FDM to be an analog multiplexing technique; however, this does not mean that FDM cannot be used to combine sources sending digital signals. A digital signal can be converted to an analog signal (with the techniques discussed in Chapter 5) before FDM is used to multiplex them.

FDM is an analog multiplexing technique that combines analog signals.

Multiplexing Process

Figure 6.4 is a conceptual illustration of the multiplexing process. Each source generates a signal of a similar frequency range. Inside the multiplexer, these similar signals modulate different carrier frequencies (f_1, f_2, and f_3). The resulting modulated signals are then combined into a single composite signal that is sent out over a media link that has enough bandwidth to accommodate it.

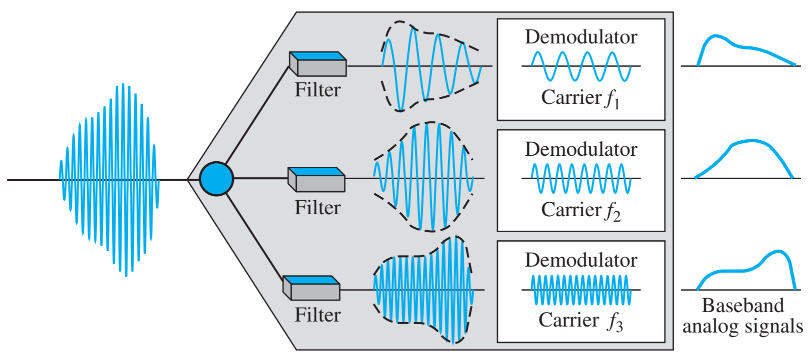
Figure 6.4 *FDM process*



Demultiplexing Process

The demultiplexer uses a series of filters to decompose the multiplexed signal into its constituent component signals. The individual signals are then passed to a demodulator that separates them from their carriers and passes them to the output lines. Figure 6.5 is a conceptual illustration of demultiplexing process.

Figure 6.5 *FDM demultiplexing example*



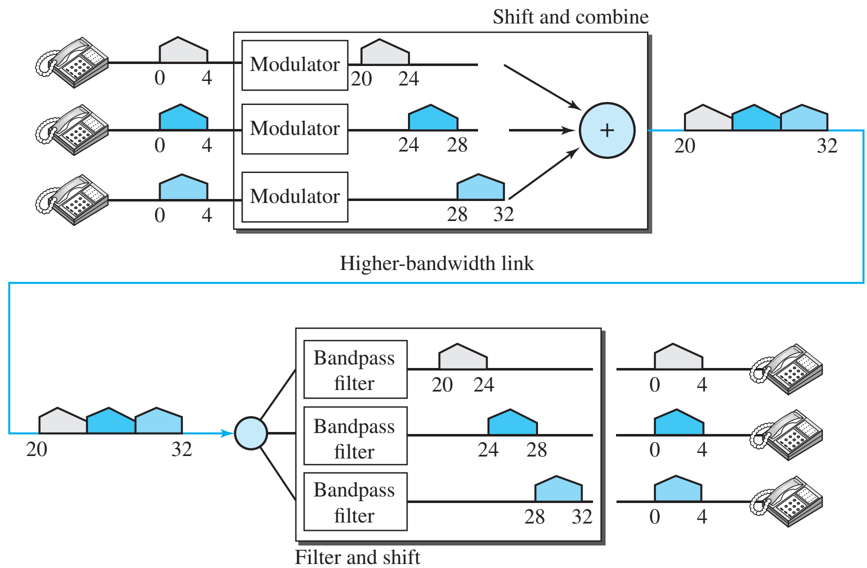
Example 6.1

Assume that a voice channel occupies a bandwidth of 4 kHz. We need to combine three voice channels into a link with a bandwidth of 12 kHz, from 20 to 32 kHz. Show the configuration, using the frequency domain. Assume there are no guard bands.

Solution

We shift (modulate) each of the three voice channels to a different bandwidth, as shown in Figure 6.6. We use the 20- to 24-kHz bandwidth for the first channel, the 24- to 28-kHz bandwidth

Figure 6.6 *Example 6.1*



for the second channel, and the 28- to 32-kHz bandwidth for the third one. Then we combine them as shown in Figure 6.6. At the receiver, each channel receives the entire signal, using a filter to separate out its own signal. The first channel uses a filter that passes frequencies between 20 and 24 kHz and filters out (discards) any other frequencies. The second channel uses a filter that passes frequencies between 24 and 28 kHz, and the third channel uses a filter that passes frequencies between 28 and 32 kHz. Each channel then shifts the frequency to start from zero.

Example 6.2

Five channels, each with a 100-kHz bandwidth, are to be multiplexed together. What is the minimum bandwidth of the link if there is a need for a guard band of 10 kHz between the channels to prevent interference?

Solution

For five channels, we need at least four guard bands. This means that the required bandwidth is at least $5 \times 100 + 4 \times 10 = 540$ kHz, as shown in Figure 6.7.

Example 6.3

Four data channels (digital), each transmitting at 1 Mbps, use a satellite channel of 1 MHz. Design an appropriate configuration, using FDM.

Solution

The satellite channel is analog. We divide it into four channels, each channel having a 250-kHz bandwidth. Each digital channel of 1 Mbps is modulated so that each 4 bits is modulated to 1 Hz. One solution is 16-QAM modulation. Figure 6.8 shows one possible configuration.

Figure 6.7 *Example 6.2*

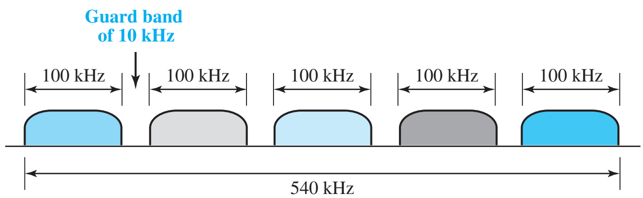
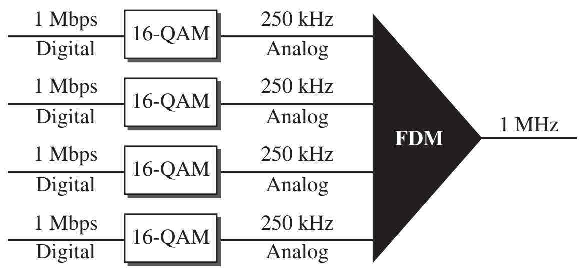


Figure 6.8 *Example 6.3*



The Analog Carrier System

To maximize the efficiency of their infrastructure, telephone companies have traditionally multiplexed signals from lower-bandwidth lines onto higher-bandwidth lines. In this way, many switched or leased lines can be combined into fewer but bigger channels. For analog lines, FDM is used.

One of these hierarchical systems used by telephone companies is made up of groups, supergroups, master groups, and jumbo groups (see Figure 6.9).

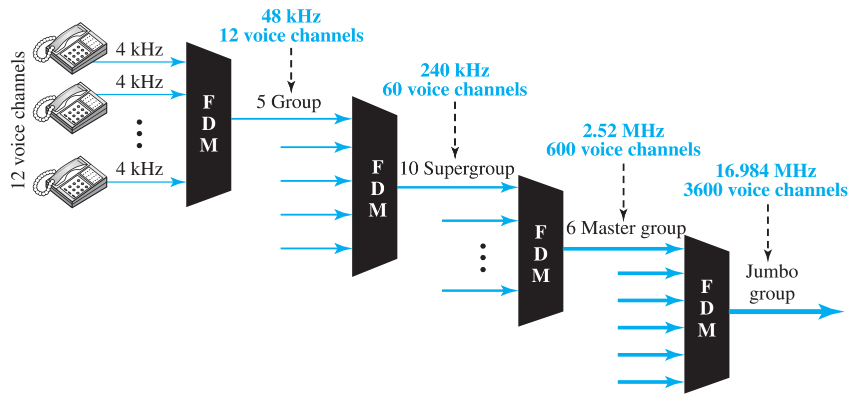
In this **analog hierarchy,** 12 voice channels are multiplexed onto a higher-bandwidth line to create a **group.** A group has 48 kHz of bandwidth and supports 12 voice channels.

At the next level, up to five groups can be multiplexed to create a composite signal called a **supergroup.** A supergroup has a bandwidth of 240 kHz and supports up to 60 voice channels. Supergroups can be made up of either five groups or 60 independent voice channels.

At the next level, 10 supergroups are multiplexed to create a **master group.** A master group must have 2.40 MHz of bandwidth, but the need for guard bands between the supergroups increases the necessary bandwidth to 2.52 MHz. Master groups support up to 600 voice channels.

Finally, six master groups can be combined into a **jumbo group.** A jumbo group must have 15.12 MHz (6×2.52 MHz) but is augmented to 16.984 MHz to allow for guard bands between the master groups.

Figure 6.9 *Analog hierarchy*



Other Applications of FDM

A very common application of FDM is AM and FM radio broadcasting. Radio uses the air as the transmission medium. A special band from 530 to 1700 kHz is assigned to AM radio. All radio stations need to share this band. As discussed in Chapter 5, each AM station needs 10 kHz of bandwidth. Each station uses a different carrier frequency, which means it is shifting its signal and multiplexing. The signal that goes to the air is a combination of signals. A receiver receives all these signals, but filters (by tuning) only the one which is desired. Without multiplexing, only one AM station could broadcast to the common link, the air. However, we need to know that there is no physical multiplexer or demultiplexer here. As we will see in Chapter 12, multiplexing is done at the data-link layer.

The situation is similar in FM broadcasting. However, FM has a wider band of 88 to 108 MHz because each station needs a bandwidth of 200 kHz.

Another common use of FDM is in television broadcasting. Each TV channel has its own bandwidth of 6 MHz.

The first generation of cellular telephones (See Chapter 16) also uses FDM. Each user is assigned two 30-kHz channels, one for sending voice and the other for receiving. The voice signal, which has a bandwidth of 3 kHz (from 300 to 3300 Hz), is modulated by using FM. Remember that an FM signal has a bandwidth 10 times that of the modulating signal, which means each channel has 30 kHz (10×3) of bandwidth. Therefore, each user is given, by the base station, a 60-kHz bandwidth in a range available at the time of the call.

Example 6.4

The Advanced Mobile Phone System (AMPS) uses two bands. The first band of 824 to 849 MHz is used for sending, and 869 to 894 MHz is used for receiving. Each user has a bandwidth of 30 kHz in each direction. The 3-kHz voice is modulated using FM, creating 30 kHz of modulated signal. How many people can use their cellular phones simultaneously?

Solution

Each band is 25 MHz. If we divide 25 MHz by 30 kHz, we get 833.33. In reality, the band is divided into 832 channels. Of these, 42 channels are used for control, which means only 790 channels are available for cellular phone users. We discuss AMPS in greater detail in Chapter 16.

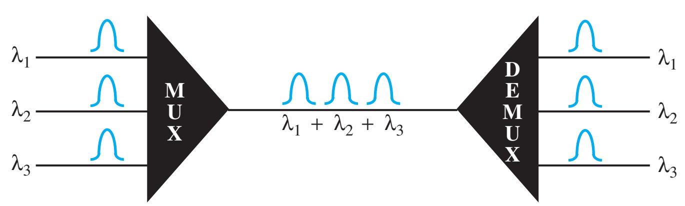
6.1.2 Wavelength-Division Multiplexing

Wavelength-division multiplexing (WDM) is designed to use the high-data-rate capability of fiber-optic cable. The optical fiber data rate is higher than the data rate of metallic transmission cable, but using a fiber-optic cable for a single line wastes the available bandwidth. Multiplexing allows us to combine several lines into one.

WDM is conceptually the same as FDM, except that the multiplexing and demultiplexing involve optical signals transmitted through fiber-optic channels. The idea is the same: We are combining different signals of different frequencies. The difference is that the frequencies are very high.

Figure 6.10 gives a conceptual view of a WDM multiplexer and demultiplexer. Very narrow bands of light from different sources are combined to make a wider band of light. At the receiver, the signals are separated by the demultiplexer.

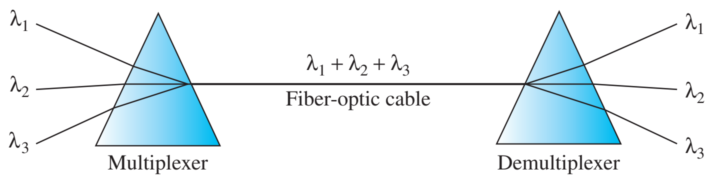
Figure 6.10 *Wavelength-division multiplexing*



WDM is an analog multiplexing technique to combine optical signals.

Although WDM technology is very complex, the basic idea is very simple. We want to combine multiple light sources into one single light at the multiplexer and do the reverse at the demultiplexer. The combining and splitting of light sources are easily handled by a prism. Recall from basic physics that a prism bends a beam of light based on the angle of incidence and the frequency. Using this technique, a multiplexer can be made to combine several input beams of light, each containing a narrow band of frequencies, into one output beam of a wider band of frequencies. A demultiplexer can also be made to reverse the process. Figure 6.11 shows the concept.

Figure 6.11 *Prisms in wavelength-division multiplexing and demultiplexing*



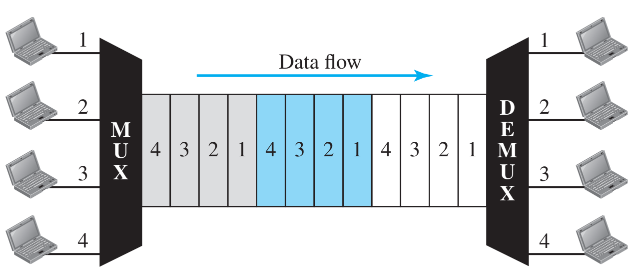
One application of WDM is the SONET network, in which multiple optical fiber lines are multiplexed and demultiplexed. We discuss SONET in Chapter 14.

A new method, called **dense WDM (DWDM),** can multiplex a very large number of channels by spacing channels very close to one another. It achieves even greater efficiency.

6.1.3 Time-Division Multiplexing

Time-division multiplexing (TDM) is a digital process that allows several connections to share the high bandwidth of a link. Instead of sharing a portion of the bandwidth as in FDM, time is shared. Each connection occupies a portion of time in the link. Figure 6.12 gives a conceptual view of TDM. Note that the same link is used as in FDM; here, however, the link is shown sectioned by time rather than by frequency. In the figure, portions of signals 1, 2, 3, and 4 occupy the link sequentially.

Figure 6.12 *TDM*



Note that in Figure 6.12 we are concerned with only multiplexing, not switching. This means that all the data in a message from source 1 always go to one specific destination, be it 1, 2, 3, or 4. The delivery is fixed and unvarying, unlike switching.

We also need to remember that TDM is, in principle, a digital multiplexing technique. Digital data from different sources are combined into one timeshared link. However, this does not mean that the sources cannot produce analog data; analog data can be sampled, changed to digital data, and then multiplexed by using TDM.

TDM is a digital multiplexing technique for combining several low-rate channels into one high-rate one.

We can divide TDM into two different schemes: synchronous and statistical. We first discuss **synchronous TDM** and then show how **statistical TDM** differs.

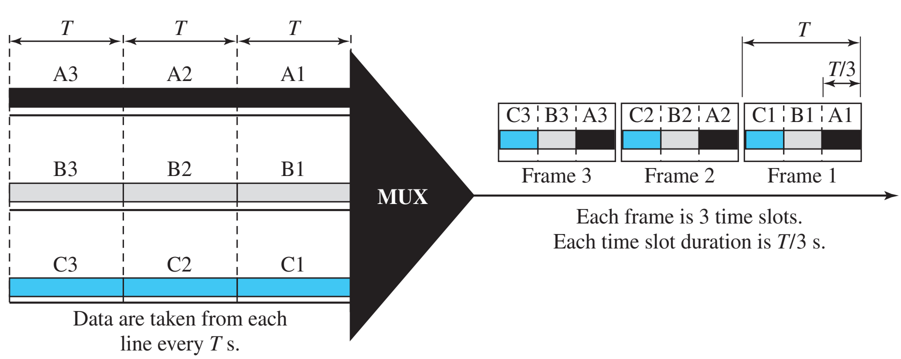
Synchronous TDM

In synchronous TDM, each input connection has an allotment in the output even if it is not sending data.

Time Slots and Frames

In synchronous TDM, the data flow of each input connection is divided into units, where each input occupies one input time slot. A unit can be 1 bit, one character, or one block of data. Each input unit becomes one output unit and occupies one output time slot. However, the duration of an output time slot is n times shorter than the duration of an input time slot. If an input time slot is T s, the output time slot is T/n s, where n is the number of connections. In other words, a unit in the output connection has a shorter duration; it travels faster. Figure 6.13 shows an example of synchronous TDM where n is 3.

Figure 6.13 *Synchronous time-division multiplexing*



In synchronous TDM, a round of data units from each input connection is collected into a frame (we will see the reason for this shortly). If we have n connections, a frame is divided into n time slots and one slot is allocated for each unit, one for each input line. If the duration of the input unit is T, the duration of each slot is T/n and the duration of each frame is T (unless a frame carries some other information, as we will see shortly).

The data rate of the output link must be n times the data rate of a connection to guarantee the flow of data. In Figure 6.13, the data rate of the link is 3 times the data rate of a connection; likewise, the duration of a unit on a connection is 3 times that of the time slot (duration of a unit on the link). In the figure we represent the data prior to multiplexing as 3 times the size of the data after multiplexing. This is just to convey the idea that each unit is 3 times longer in duration before multiplexing than after.

In synchronous TDM, the data rate of the link is *n* times faster, and the unit duration is *n* times shorter.

Time slots are grouped into frames. A frame consists of one complete cycle of time slots, with one slot dedicated to each sending device. In a system with n input lines, each frame has n slots, with each slot allocated to carrying data from a specific input line.

Example 6.5

In Figure 6.13, the data rate for each input connection is 1 kbps. If 1 bit at a time is multiplexed (a unit is 1 bit), what is the duration of

1. each input slot,
2. each output slot, and
3. each frame?

Solution

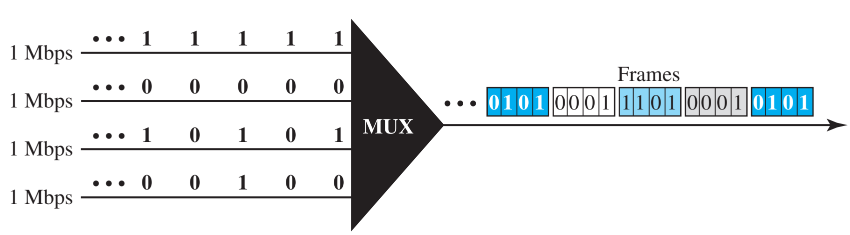
We can answer the questions as follows:

1. The data rate of each input connection is 1 kbps. This means that the bit duration is 1/1000 s or 1 ms. The duration of the input time slot is 1 ms (same as bit duration).
2. The duration of each output time slot is one-third of the input time slot. This means that the duration of the output time slot is 1/3 ms.
3. Each frame carries three output time slots. So the duration of a frame is 3 × 1/3 ms, or 1 ms. The duration of a frame is the same as the duration of an input unit.

Example 6.6

Figure 6.14 shows synchronous TDM with a data stream for each input and one data stream for the output. The unit of data is 1 bit. Find (1) the input bit duration, (2) the output bit duration, (3) the output bit rate, and (4) the output frame rate.

Figure 6.14 *Example 6.6*



Solution

We can answer the questions as follows:

1. The input bit duration is the inverse of the bit rate: 1/1 Mbps = 1 μs.
2. The output bit duration is one-fourth of the input bit duration, or 1/4 μs.

3. The output bit rate is the inverse of the output bit duration, or 1/4 μs, or 4 Mbps. This can also be deduced from the fact that the output rate is 4 times as fast as any input rate; so the output rate = 4 × 1 Mbps = 4 Mbps.
4. The frame rate is always the same as any input rate. So the frame rate is 1,000,000 frames per second. Because we are sending 4 bits in each frame, we can verify the result of the previous question by multiplying the frame rate by the number of bits per frame.

Example 6.7

Four 1-kbps connections are multiplexed together. A unit is 1 bit. Find (1) the duration of 1 bit before multiplexing, (2) the transmission rate of the link, (3) the duration of a time slot, and (4) the duration of a frame.

Solution

We can answer the questions as follows:

1. The duration of 1 bit before multiplexing is 1/1 kbps, or 0.001 s (1 ms).
2. The rate of the link is 4 times the rate of a connection, or 4 kbps.
3. The duration of each time slot is one-fourth of the duration of each bit before multiplexing, or 1/4 ms or 250 μs. Note that we can also calculate this from the data rate of the link, 4 kbps. The bit duration is the inverse of the data rate, or 1/4 kbps or 250 μs.
4. The duration of a frame is always the same as the duration of a unit before multiplexing, or 1 ms. We can also calculate this in another way. Each frame in this case has four time slots. So the duration of a frame is 4 times 250 μs, or 1 ms.

Interleaving

TDM can be visualized as two fast-rotating switches, one on the multiplexing side and the other on the demultiplexing side. The switches are synchronized and rotate at the same speed, but in opposite directions. On the multiplexing side, as the switch opens in front of a connection, that connection has the opportunity to send a unit onto the path. This process is called **interleaving.** On the demultiplexing side, as the switch opens in front of a connection, that connection has the opportunity to receive a unit from the path.

Figure 6.15 shows the interleaving process for the connection shown in Figure 6.13. In this figure, we assume that no switching is involved and that the data from the first connection at the multiplexer site go to the first connection at the demultiplexer. We discuss switching in Chapter 8.

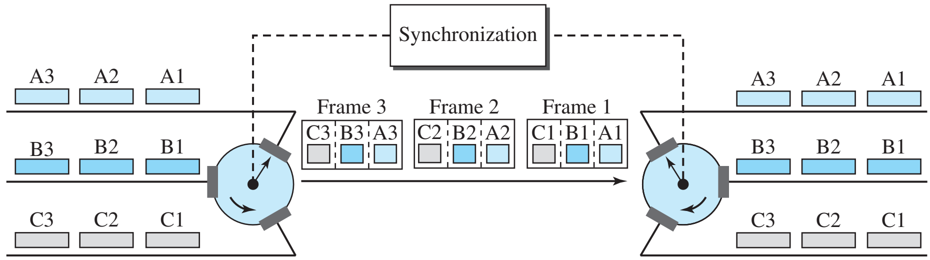
Example 6.8

Four channels are multiplexed using TDM. If each channel sends 100 bytes/s and we multiplex 1 byte per channel, show the frame traveling on the link, the size of the frame, the duration of a frame, the frame rate, and the bit rate for the link.

Solution

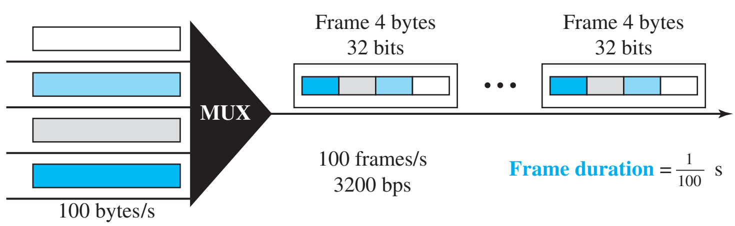
The multiplexer is shown in Figure 6.16. Each frame carries 1 byte from each channel; the size of each frame, therefore, is 4 bytes, or 32 bits. Because each channel is sending 100 bytes/s and a frame carries 1 byte from each channel, the frame rate must be 100 frames per second. The

Figure 6.15 *Interleaving*



duration of a frame is therefore 1/100 s. The link is carrying 100 frames per second, and since each frame contains 32 bits, the bit rate is 100×32, or 3200 bps. This is actually 4 times the bit rate of each channel, which is $100 \times 8 = 800$ bps.

Figure 6.16 *Example 6.8*



Example 6.9

A multiplexer combines four 100-kbps channels using a time slot of 2 bits. Show the output with four arbitrary inputs. What is the frame rate? What is the frame duration? What is the bit rate? What is the bit duration?

Solution

Figure 6.17 shows the output for four arbitrary inputs. The link carries 50,000 frames per second since each frame contains 2 bits per channel. The frame duration is therefore 1/50,000 s or 20 μs. The frame rate is 50,000 frames per second, and each frame carries 8 bits; the bit rate is $50,000 \times 8 = 400,000$ bits or 400 kbps. The bit duration is 1/400,000 s, or 2.5 μs. Note that the frame duration is 8 times the bit duration because each frame is carrying 8 bits.

Empty Slots

Synchronous TDM is not as efficient as it could be. If a source does not have data to send, the corresponding slot in the output frame is empty. Figure 6.18 shows a case in which one of the input lines has no data to send and one slot in another input line has discontinuous data.

The first output frame has three slots filled, the second frame has two slots filled, and the third frame has three slots filled. No frame is full. We learn in the next section

Figure 6.17 *Example 6.9*

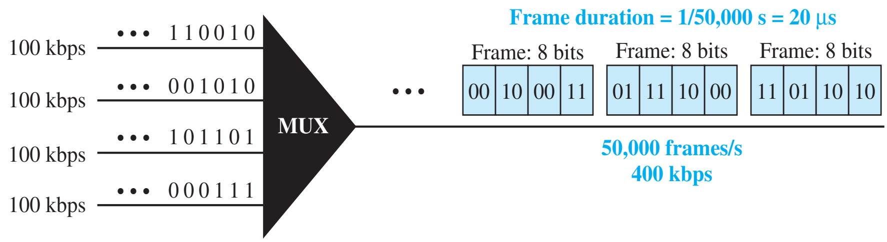
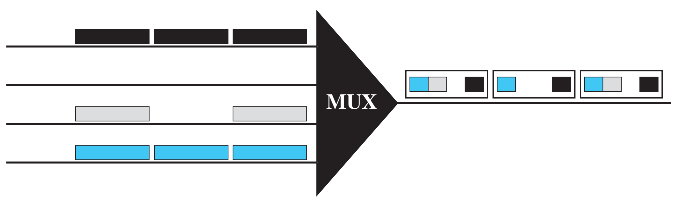


Figure 6.18 *Empty slots*



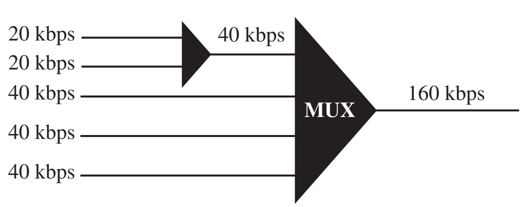
that statistical TDM can improve the efficiency by removing the empty slots from the frame.

Data Rate Management

One problem with TDM is how to handle a disparity in the input data rates. In all our discussion so far, we assumed that the data rates of all input lines were the same. However, if data rates are not the same, three strategies, or a combination of them, can be used. We call these three strategies **multilevel multiplexing, multiple-slot allocation,** and **pulse stuffing.**

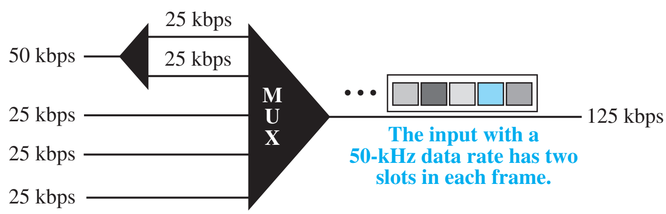
Multilevel Multiplexing Multilevel multiplexing is a technique used when the data rate of an input line is a multiple of others. For example, in Figure 6.19, we have two inputs of 20 kbps and three inputs of 40 kbps. The first two input lines can be multiplexed together to provide a data rate equal to the last three. A second level of multiplexing can create an output of 160 kbps.

Figure 6.19 *Multilevel multiplexing*



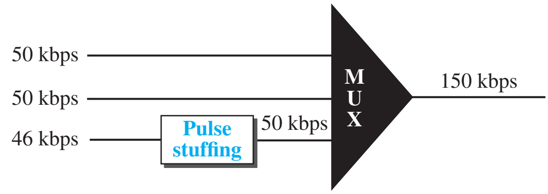
Multiple-Slot Allocation Sometimes it is more efficient to allot more than one slot in a frame to a single input line. For example, we might have an input line that has a data rate that is a multiple of another input. In Figure 6.20, the input line with a 50-kbps data rate can be given two slots in the output. We insert a demultiplexer in the line to make two inputs out of one.

Figure 6.20 *Multiple-slot multiplexing*



Pulse Stuffing Sometimes the bit rates of sources are not multiple integers of each other. Therefore, neither of the above two techniques can be applied. One solution is to make the highest input data rate the dominant data rate and then add dummy bits to the input lines with lower rates. This will increase their rates. This technique is called ***pulse stuffing,*** *bit padding,* or *bit stuffing*. The idea is shown in Figure 6.21. The input with a data rate of 46 is pulse-stuffed to increase the rate to 50 kbps. Now multiplexing can take place.

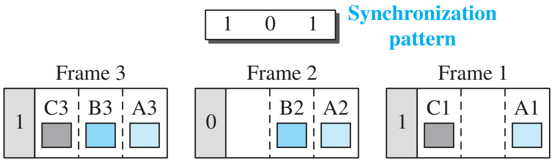
Figure 6.21 *Pulse stuffing*



Frame Synchronizing

The implementation of TDM is not as simple as that of FDM. Synchronization between the multiplexer and demultiplexer is a major issue. If the multiplexer and the demultiplexer are not synchronized, a bit belonging to one channel may be received by the wrong channel. For this reason, one or more synchronization bits are usually added to the beginning of each frame. These bits, called **framing bits,** follow a pattern, frame to frame, that allows the demultiplexer to synchronize with the incoming stream so that it can separate the time slots accurately. In most cases, this synchronization information consists of 1 bit per frame, alternating between 0 and 1, as shown in Figure 6.22.

Figure 6.22 *Framing bits*



Example 6.10

We have four sources, each creating 250 characters per second. If the interleaved unit is a character and 1 synchronizing bit is added to each frame, find (1) the data rate of each source, (2) the duration of each character in each source, (3) the frame rate, (4) the duration of each frame, (5) the number of bits in each frame, and (6) the data rate of the link.

Solution

We can answer the questions as follows:

1. The data rate of each source is $250 \times 8 = 2000$ bps = 2 kbps.
2. Each source sends 250 characters per second; therefore, the duration of a character is 1/250 s, or 4 ms.
3. Each frame has one character from each source, which means the link needs to send 250 frames per second to keep the transmission rate of each source.
4. The duration of each frame is 1/250 s, or 4 ms. Note that the duration of each frame is the same as the duration of each character coming from each source.
5. Each frame carries 4 characters and 1 extra synchronizing bit. This means that each frame is $4 \times 8 + 1 = 33$ bits.
6. The link sends 250 frames per second, and each frame contains 33 bits. This means that the data rate of the link is 250×33, or 8250 bps. Note that the bit rate of the link is greater than the combined bit rates of the four channels. If we add the bit rates of four channels, we get 8000 bps. Because 250 frames are traveling per second and each contains 1 extra bit for synchronizing, we need to add 250 to the sum to get 8250 bps.

Example 6.11

Two channels, one with a bit rate of 100 kbps and another with a bit rate of 200 kbps, are to be multiplexed. How this can be achieved? What is the frame rate? What is the frame duration? What is the bit rate of the link?

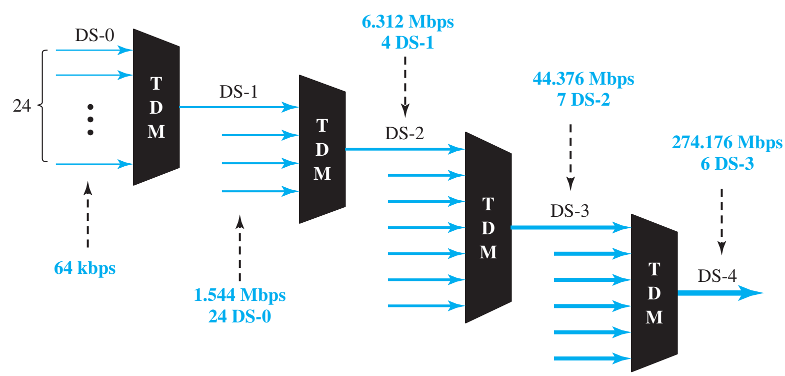
Solution

We can allocate one slot to the first channel and two slots to the second channel. Each frame carries 3 bits. The frame rate is 100,000 frames per second because it carries 1 bit from the first channel. The frame duration is 1/100,000 s, or 10 ms. The bit rate is 100,000 frames/s $\times$ 3 bits per frame, or 300 kbps. Note that because each frame carries 1 bit from the first channel, the bit rate for the first channel is preserved. The bit rate for the second channel is also preserved because each frame carries 2 bits from the second channel.

Digital Signal Service

Telephone companies implement TDM through a hierarchy of digital signals, called ***digital signal (DS) service*** or *digital hierarchy*. Figure 6.23 shows the data rates supported by each level.

Figure 6.23 *Digital hierarchy*



- **DS-0** is a single digital channel of 64 kbps.
- **DS-1** is a 1.544-Mbps service; 1.544 Mbps is 24 times 64 kbps plus 8 kbps of overhead. It can be used as a single service for 1.544-Mbps transmissions, or it can be used to multiplex 24 DS-0 channels or to carry any other combination desired by the user that can fit within its 1.544-Mbps capacity.
- **DS-2** is a 6.312-Mbps service; 6.312 Mbps is 96 times 64 kbps plus 168 kbps of overhead. It can be used as a single service for 6.312-Mbps transmissions; or it can be used to multiplex 4 DS-1 channels, 96 DS-0 channels, or a combination of these service types.
- **DS-3** is a 44.376-Mbps service; 44.376 Mbps is 672 times 64 kbps plus 1.368 Mbps of overhead. It can be used as a single service for 44.376-Mbps transmissions; or it can be used to multiplex 7 DS-2 channels, 28 DS-1 channels, 672 DS-0 channels, or a combination of these service types.
- **DS-4** is a 274.176-Mbps service; 274.176 is 4032 times 64 kbps plus 16.128 Mbps of overhead. It can be used to multiplex 6 DS-3 channels, 42 DS-2 channels, 168 DS-1 channels, 4032 DS-0 channels, or a combination of these service types.

T Lines

DS-0, DS-1, and so on are the names of services. To implement those services, the telephone companies use **T lines** (T-1 to T-4). These are lines with capacities precisely matched to the data rates of the DS-1 to DS-4 services (see Table 6.1). So far only T-1 and T-3 lines are commercially available.

Table 6.1 *DS and T line rates*

Service	Line	Rate (Mbps)	Voice Channels
DS-1	T-1	1.544	24
DS-2	T-2	6.312	96
DS-3	T-3	44.736	672
DS-4	T-4	274.176	4032

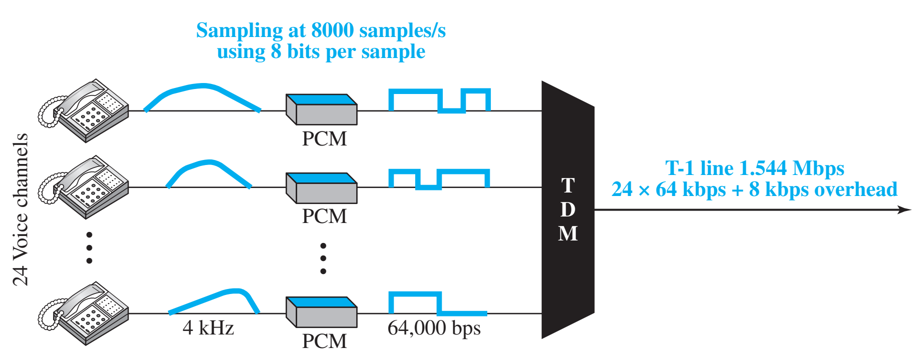
The T-1 line is used to implement DS-1; T-2 is used to implement DS-2; and so on. As you can see from Table 6.1, DS-0 is not actually offered as a service, but it has been defined as a basis for reference purposes.

T Lines for Analog Transmission

T lines are digital lines designed for the transmission of digital data, audio, or video. However, they also can be used for analog transmission (regular telephone connections), provided the analog signals are first sampled, then time-division multiplexed.

The possibility of using T lines as analog carriers opened up a new generation of services for the telephone companies. Earlier, when an organization wanted 24 separate telephone lines, it needed to run 24 twisted-pair cables from the company to the central exchange. (Remember those old movies showing a busy executive with 10 telephones lined up on his desk? Or the old office telephones with a big fat cable running from them? Those cables contained a bundle of separate lines.) Today, that same organization can combine the 24 lines into one T-1 line and run only the T-1 line to the exchange. Figure 6.24 shows how 24 voice channels can be multiplexed onto one T-1 line. (Refer to Chapter 4 for PCM encoding.)

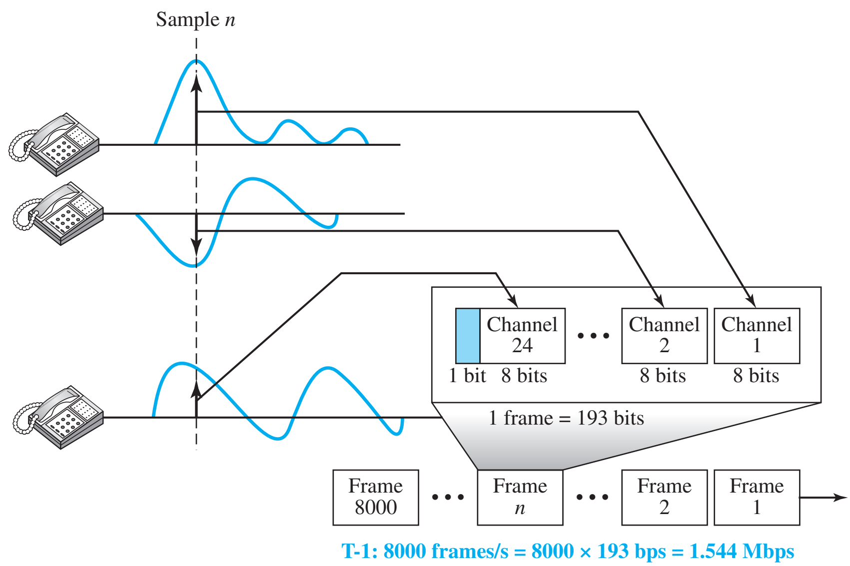
Figure 6.24 *T-1 line for multiplexing telephone lines*



The T-1 Frame As noted above, DS-1 requires 8 kbps of overhead. To understand how this overhead is calculated, we must examine the format of a 24-voice-channel frame.

The frame used on a T-1 line is usually 193 bits divided into 24 slots of 8 bits each plus 1 extra bit for synchronization ($24 \times 8 + 1 = 193$); see Figure 6.25. In other words,

Figure 6.25 *T-1 frame structure*



each slot contains one signal segment from each channel; 24 segments are interleaved in one frame. If a T-1 line carries 8000 frames, the data rate is 1.544 Mbps ($193 \times 8000 =$ 1.544 Mbps)—the capacity of the line.

E Lines

Europeans use a version of T lines called **E lines.** The two systems are conceptually identical, but their capacities differ. Table 6.2 shows the E lines and their capacities.

Table 6.2 *E line rates*

Line	*Rate (Mbps)*	*Voice Channels*
E-1	2.048	30
E-2	8.448	120
E-3	34.368	480
E-4	139.264	1920

More Synchronous TDM Applications

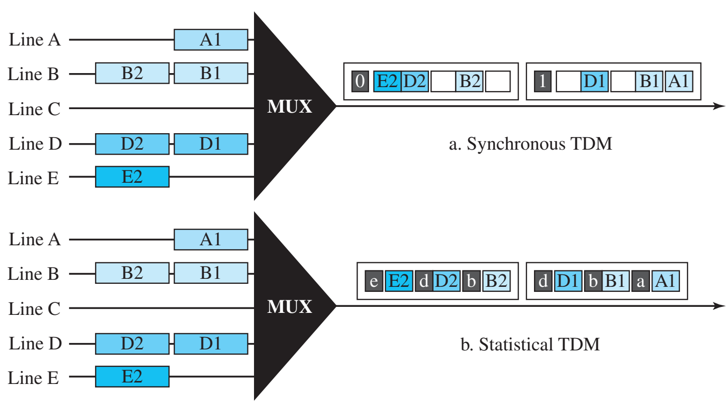
Some second-generation cellular telephone companies use synchronous TDM. For example, the digital version of cellular telephony divides the available bandwidth into 30-kHz bands. For each band, TDM is applied so that six users can share the band. This means that each 30-kHz band is now made of six time slots, and the digitized voice signals of the users are inserted in the slots. Using TDM, the number of telephone users in each area is now 6 times greater. We discuss second-generation cellular telephony in Chapter 16.

Statistical Time-Division Multiplexing

As we saw in the previous section, in synchronous TDM, each input has a reserved slot in the output frame. This can be inefficient if some input lines have no data to send. In statistical time-division multiplexing, slots are dynamically allocated to improve bandwidth efficiency. Only when an input line has a slot's worth of data to send is it given a slot in the output frame. In statistical multiplexing, the number of slots in each frame is less than the number of input lines. The multiplexer checks each input line in round-robin fashion; it allocates a slot for an input line if the line has data to send; otherwise, it skips the line and checks the next line.

Figure 6.26 shows a synchronous and a statistical TDM example. In the former, some slots are empty because the corresponding line does not have data to send. In the latter, however, no slot is left empty as long as there are data to be sent by any input line.

Figure 6.26 *TDM slot comparison*



Addressing

Figure 6.26 also shows a major difference between slots in synchronous TDM and statistical TDM. An output slot in synchronous TDM is totally occupied by data; in statistical TDM, a slot needs to carry data as well as the address of the destination. In synchronous TDM, there is no need for addressing; synchronization and preassigned relationships between the inputs and outputs serve as an address. We know, for example, that input 1 always goes to input 2. If the multiplexer and the demultiplexer are synchronized, this is guaranteed. In statistical multiplexing, there is no fixed relationship between the inputs and outputs because there are no preassigned or reserved slots. We need to include the address of the receiver inside each slot to show where it is to be delivered. The addressing in its simplest form can be n bits to define N different output lines with $n = \log_2 N$. For example, for eight different output lines, we need a 3-bit address.

Slot Size

Since a slot carries both data and an address in statistical TDM, the ratio of the data size to address size must be reasonable to make transmission efficient. For example, it would be inefficient to send 1 bit per slot as data when the address is 3 bits. This would mean an overhead of 300 percent. In statistical TDM, a block of data is usually many bytes while the address is just a few bytes.

No Synchronization Bit

There is another difference between synchronous and statistical TDM, but this time it is at the frame level. The frames in statistical TDM need not be synchronized, so we do not need synchronization bits.

Bandwidth

In statistical TDM, the capacity of the link is normally less than the sum of the capacities of each channel. The designers of statistical TDM define the capacity of the link based on the statistics of the load for each channel. If on average only x percent of the input slots are filled, the capacity of the link reflects this. Of course, during peak times, some slots need to wait.

6.2 SPREAD SPECTRUM

Multiplexing combines signals from several sources to achieve bandwidth efficiency; the available bandwidth of a link is divided between the sources. In **spread spectrum (SS),** we also combine signals from different sources to fit into a larger bandwidth, but our goals are somewhat different. Spread spectrum is designed to be used in wireless applications (LANs and WANs). In these types of applications, we have some concerns that outweigh bandwidth efficiency. In wireless applications, all stations use air (or a vacuum) as the medium for communication. Stations must be able to share this medium without interception by an eavesdropper and without being subject to jamming from a malicious intruder (in military operations, for example).

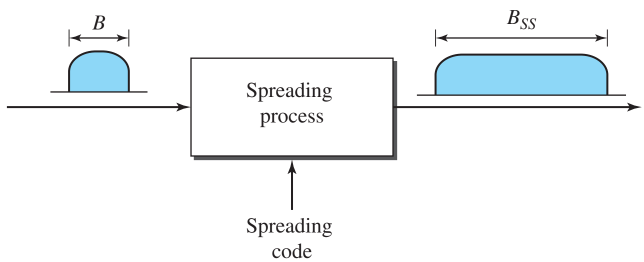
To achieve these goals, spread spectrum techniques add redundancy; they spread the original spectrum needed for each station. If the required bandwidth for each station is B, spread spectrum expands it to B_{ss}, such that $B_{ss} >> B$. The expanded bandwidth allows the source to wrap its message in a protective envelope for a more secure transmission. An analogy is the sending of a delicate, expensive gift. We can insert the gift in a special box to prevent it from being damaged during transportation, and we can use a superior delivery service to guarantee the safety of the package.

Figure 6.27 shows the idea of spread spectrum. Spread spectrum achieves its goals through two principles:

1. The bandwidth allocated to each station needs to be, by far, larger than what is needed. This allows redundancy.

2. The expanding of the original bandwidth B to the bandwidth B_{SS} must be done by a process that is independent of the original signal. In other words, the spreading process occurs after the signal is created by the source.

Figure 6.27 *Spread spectrum*



After the signal is created by the source, the spreading process uses a spreading code and spreads the bandwidth. The figure shows the original bandwidth B and the spread bandwidth B_{SS}. The spreading code is a series of numbers that look random, but are actually a pattern.

There are two techniques to spread the bandwidth: frequency hopping spread spectrum (FHSS) and direct sequence spread spectrum (DSSS).

6.2.1 Frequency Hopping Spread Spectrum

The **frequency hopping spread spectrum (FHSS)** technique uses M different carrier frequencies that are modulated by the source signal. At one moment, the signal modulates one carrier frequency; at the next moment, the signal modulates another carrier frequency. Although the modulation is done using one carrier frequency at a time, M frequencies are used in the long run. The bandwidth occupied by a source after spreading is $B_{FHSS} >> B$.

Figure 6.28 shows the general layout for FHSS. A **pseudorandom code generator,** called ***pseudorandom noise*** **(PN),** creates a k-bit pattern for every **hopping period** T_h. The frequency table uses the pattern to find the frequency to be used for this hopping period and passes it to the frequency synthesizer. The frequency synthesizer creates a carrier signal of that frequency, and the source signal modulates the carrier signal.

Suppose we have decided to have eight hopping frequencies. This is extremely low for real applications and is just for illustration. In this case, M is 8 and k is 3. The pseudorandom code generator will create eight different 3-bit patterns. These are mapped to eight different frequencies in the frequency table (see Figure 6.29).

The pattern for this station is 101, 111, 001, 000, 010, 011, 100. Note that the pattern is pseudorandom; it is repeated after eight hoppings. This means that at hopping period 1, the pattern is 101. The frequency selected is 700 kHz; the source signal modulates this carrier frequency. The second k-bit pattern selected is 111, which selects the 900-kHz carrier; the eighth pattern is 100, and the frequency is 600 kHz. After eight hoppings, the pattern repeats, starting from 101 again. Figure 6.30 shows how the signal

Figure 6.28 *Frequency hopping spread spectrum (FHSS)*

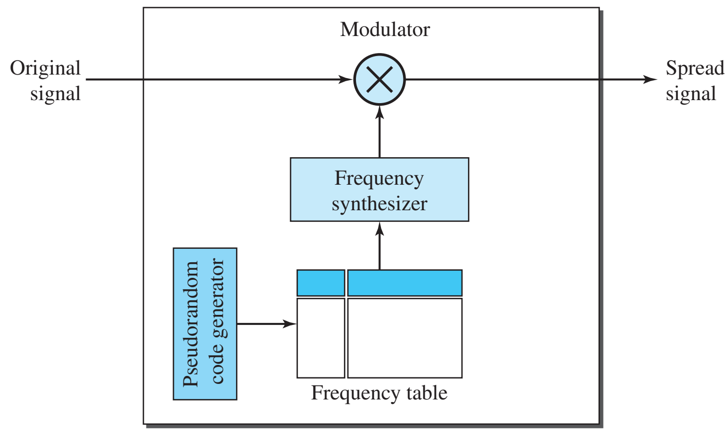
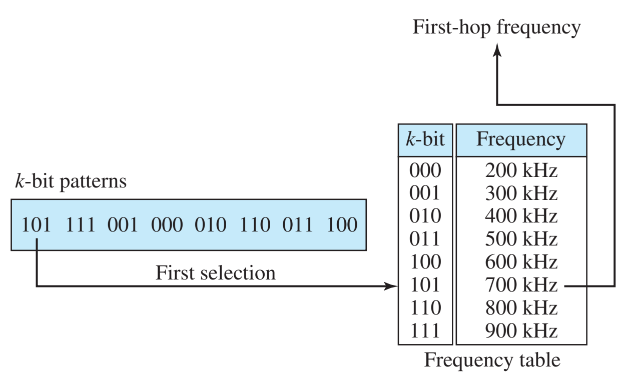


Figure 6.29 *Frequency selection in FHSS*



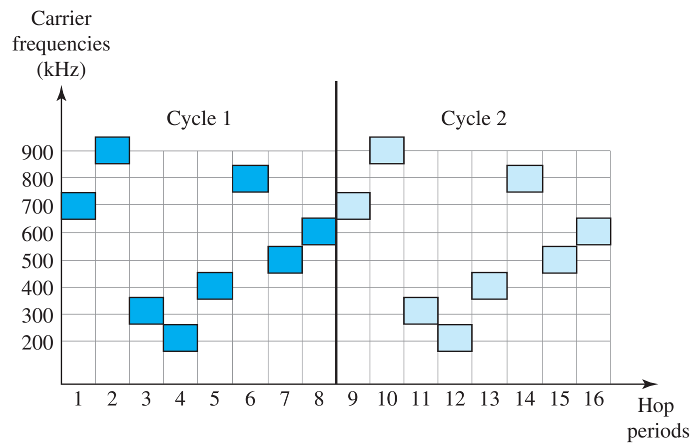
hops around from carrier to carrier. We assume the required bandwidth of the original signal is 100 kHz.

It can be shown that this scheme can accomplish the previously mentioned goals. If there are many k-bit patterns and the hopping period is short, a sender and receiver can have privacy. If an intruder tries to intercept the transmitted signal, she can only access a small piece of data because she does not know the spreading sequence to quickly adapt herself to the next hop. The scheme also has an antijamming effect. A malicious sender may be able to send noise to jam the signal for one hopping period (randomly), but not for the whole period.

Bandwidth Sharing

If the number of hopping frequencies is M, we can multiplex M channels into one by using the same B_{ss} bandwidth. This is possible because a station uses just one frequency in each hopping period; $M - 1$ other frequencies can be used by $M - 1$ other stations. In

Figure 6.30 *FHSS cycles*



other words, M different stations can use the same B_{ss} if an appropriate modulation technique such as multiple FSK (MFSK) is used. FHSS is similar to FDM, as shown in Figure 6.31.

Figure 6.31 *Bandwidth sharing*

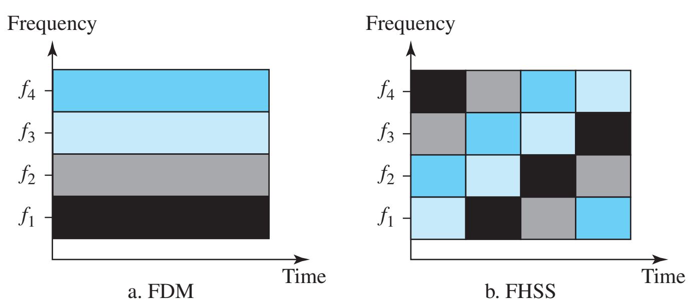
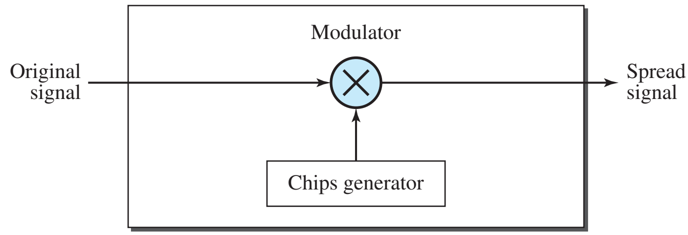


Figure 6.31 shows an example of four channels using FDM and four channels using FHSS. In FDM, each station uses $1/M$ of the bandwidth, but the allocation is fixed; in FHSS, each station uses $1/M$ of the bandwidth, but the allocation changes hop to hop.

6.2.2 Direct Sequence Spread Spectrum

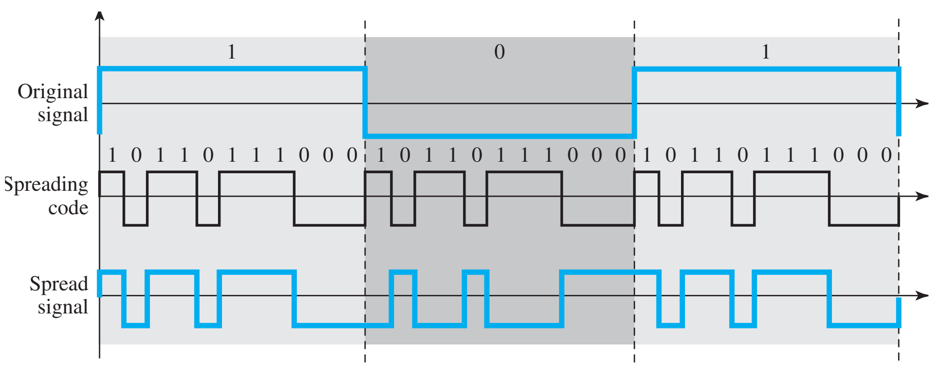
The **direct sequence spread spectrum (DSSS)** technique also expands the bandwidth of the original signal, but the process is different. In DSSS, we replace each data bit with n bits using a spreading code. In other words, each bit is assigned a code of n bits, called ***chips,*** where the chip rate is n times that of the data bit. Figure 6.32 shows the concept of DSSS.

Figure 6.32 *DSSS*



As an example, let us consider the sequence used in a wireless LAN, the famous **Barker sequence,** where n is 11. We assume that the original signal and the chips in the chip generator use polar NRZ encoding. Figure 6.33 shows the chips and the result of multiplying the original data by the chips to get the spread signal.

Figure 6.33 *DSSS example*



In Figure 6.33, the spreading code is 11 chips having the pattern 10110111000 (in this case). If the original signal rate is N, the rate of the spread signal is $11N$. This means that the required bandwidth for the spread signal is 11 times larger than the bandwidth of the original signal. The spread signal can provide privacy if the intruder does not know the code. It can also provide immunity against interference if each station uses a different code.

Bandwidth Sharing

Can we share a bandwidth in DSSS as we did in FHSS? The answer is no and yes. If we use a spreading code that spreads signals (from different stations) that cannot be combined and separated, we cannot share a bandwidth. For example, as we will see in Chapter 15, some wireless LANs use DSSS and the spread bandwidth cannot be shared. However, if we use a special type of sequence code that allows the combining and separating of spread signals, we can share the bandwidth. As we will see in

Chapter 16, a special spreading code allows us to use DSSS in cellular telephony and share a bandwidth among several users.

6.3 END-CHAPTER MATERIALS

6.3.1 Recommended Reading

For more details about subjects discussed in this chapter, we recommend the following books. The items in brackets [...] refer to the reference list at the end of the text.

Books

Multiplexing is discussed in [Pea92]. [Cou01] gives excellent coverage of TDM and FDM. More advanced materials can be found in [Ber96]. Multiplexing is discussed in [Sta04]. A good coverage of spread spectrum can be found in [Cou01] and [Sta04].

6.3.2 Key Terms

analog hierarchy
Barker sequence
channel
chip
demultiplexer (DEMUX)
dense WDM (DWDM)
digital signal (DS) service
direct sequence spread spectrum (DSSS)
E line
framing bit
frequency hopping spread spectrum (FHSS)
frequency-division multiplexing (FDM)
group
guard band
hopping period
interleaving
jumbo group
link
master group
multilevel multiplexing
multiple-slot allocation
multiplexer (MUX)
multiplexing
pseudorandom code generator
pseudorandom noise (PN)
pulse stuffing
spread spectrum (SS)
statistical TDM
supergroup
synchronous TDM
T line
time-division multiplexing (TDM)
wavelength-division multiplexing (WDM)

6.3.3 Summary

Bandwidth utilization is the use of available bandwidth to achieve specific goals. Efficiency can be achieved by using multiplexing; privacy and antijamming can be achieved by using spreading.

Multiplexing is the set of techniques that allow the simultaneous transmission of multiple signals across a single data link. In a multiplexed system, n lines share the bandwidth of one link. The word *link* refers to the physical path. The word *channel* refers to the portion of a link that carries a transmission. There are three basic multiplexing techniques: frequency-division multiplexing, wavelength-division multiplexing, and time-division multiplexing. The first two are techniques designed for analog signals, the third, for digital signals. Frequency-division multiplexing (FDM) is an analog technique that can be applied when the bandwidth of a link (in hertz) is greater than the combined bandwidths of the signals to be transmitted. Wavelength-division multiplexing (WDM) is designed to use the high bandwidth capability of fiber-optic cable. WDM is an analog multiplexing technique to combine optical signals. Time-division multiplexing (TDM) is a digital process that allows several connections to share the high bandwidth of a link. TDM is a digital multiplexing technique for combining several low-rate channels into one high-rate one. We can divide TDM into two different schemes: synchronous or statistical. In synchronous TDM, each input connection has an allotment in the output even if it is not sending data. In statistical TDM, slots are dynamically allocated to improve bandwidth efficiency.

In spread spectrum (SS), we combine signals from different sources to fit into a larger bandwidth. Spread spectrum is designed to be used in wireless applications in which stations must be able to share the medium without interception by an eavesdropper and without being subject to jamming from a malicious intruder. The frequency hopping spread spectrum (FHSS) technique uses M different carrier frequencies that are modulated by the source signal. At one moment, the signal modulates one carrier frequency; at the next moment, the signal modulates another carrier frequency. The direct sequence spread spectrum (DSSS) technique expands the bandwidth of a signal by replacing each data bit with n bits using a spreading code. In other words, each bit is assigned a code of n bits, called chips.

6.4 PRACTICE SET

6.4.1 Quizzes

A set of interactive quizzes for this chapter can be found on the book website. It is strongly recommended that the student take the quizzes to check his/her understanding of the materials before continuing with the practice set.

6.4.2 Questions

Q6-1. Describe the goals of multiplexing.

Q6-2. List three main multiplexing techniques mentioned in this chapter.

Q6-3. Distinguish between a link and a channel in multiplexing.

Q6-4. Which of the three multiplexing techniques is (are) used to combine analog signals? Which of the three multiplexing techniques is (are) used to combine digital signals?

Q6-5. Define the analog hierarchy used by telephone companies and list different levels of the hierarchy.

Q6-6. Define the digital hierarchy used by telephone companies and list different levels of the hierarchy.

Q6-7. Which of the three multiplexing techniques is common for fiber-optic links? Explain the reason.

Q6-8. Distinguish between multilevel TDM, multiple-slot TDM, and pulse-stuffed TDM.

Q6-9. Distinguish between synchronous and statistical TDM.

Q6-10. Define spread spectrum and its goal. List the two spread spectrum techniques discussed in this chapter.

Q6-11. Define FHSS and explain how it achieves bandwidth spreading.

Q6-12. Define DSSS and explain how it achieves bandwidth spreading.

6.4.3 Problems

P6-1. Assume that a voice channel occupies a bandwidth of 4 kHz. We need to multiplex 10 voice channels with guard bands of 500 Hz using FDM. Calculate the required bandwidth.

P6-2. We need to transmit 100 digitized voice channels using a passband channel of 20 KHz. What should be the ratio of bits/Hz if we use no guard band?

P6-3. In the analog hierarchy of Figure 6.9, find the overhead (extra bandwidth for guard band or control) in each hierarchy level (group, supergroup, master group, and jumbo group).

P6-4. We need to use synchronous TDM and combine 20 digital sources, each of 100 Kbps. Each output slot carries 1 bit from each digital source, but one extra bit is added to each frame for synchronization. Answer the following questions:

- **a.** What is the size of an output frame in bits?
- **b.** What is the output frame rate?
- **c.** What is the duration of an output frame?
- **d.** What is the output data rate?
- **e.** What is the efficiency of the system (ratio of useful bits to the total bits)?

P6-5. Repeat Problem 6-4 if each output slot carries 2 bits from each source.

P6-6. We have 14 sources, each creating 500 8-bit characters per second. Since only some of these sources are active at any moment, we use statistical TDM to combine these sources using character interleaving. Each frame carries 6 slots at a time, but we need to add 4-bit addresses to each slot. Answer the following questions:

- **a.** What is the size of an output frame in bits?
- **b.** What is the output frame rate?
- **c.** What is the duration of an output frame?
- **d.** What is the output data rate?

P6-7. Ten sources, six with a bit rate of 200 kbps and four with a bit rate of 400 kbps, are to be combined using multilevel TDM with no synchronizing bits. Answer the following questions about the final stage of the multiplexing:

- **a.** What is the size of a frame in bits?
- **b.** What is the frame rate?
- **c.** What is the duration of a frame?
- **d.** What is the data rate?

P6-8. Four channels, two with a bit rate of 200 kbps and two with a bit rate of 150 kbps, are to be multiplexed using multiple-slot TDM with no synchronization bits. Answer the following questions:

a. What is the size of a frame in bits?

b. What is the frame rate?

c. What is the duration of a frame?

d. What is the data rate?

P6-9. Two channels, one with a bit rate of 190 kbps and another with a bit rate of 180 kbps, are to be multiplexed using pulse-stuffing TDM with no synchronization bits. Answer the following questions:

a. What is the size of a frame in bits?

b. What is the frame rate?

c. What is the duration of a frame?

d. What is the data rate?

P6-10. Answer the following questions about a T-1 line:

a. What is the duration of a frame?

b. What is the overhead (number of extra bits per second)?

P6-11. Show the contents of the five output frames for a synchronous TDM multiplexer that combines four sources sending the following characters. Note that the characters are sent in the same order that they are typed. The third source is silent.

a. Source 1 message: HELLO

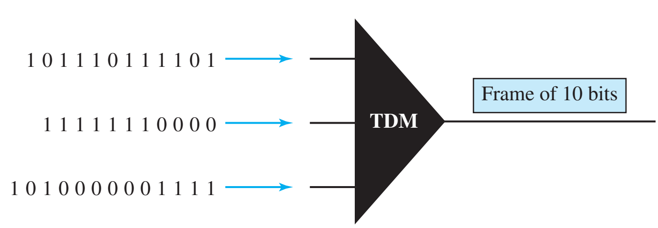
b. Source 2 message: HI

c. Source 3 message:

d. Source 4 message: BYE

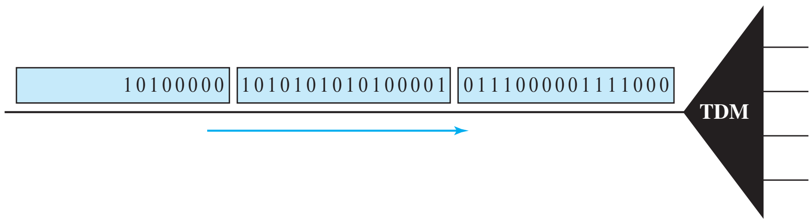
P6-12. Figure 6.34 shows a multiplexer in a synchronous TDM system. Each output slot is only 10 bits long (3 bits taken from each input plus 1 framing bit). What is the output stream? The bits arrive at the multiplexer as shown by the arrows.

Figure 6.34 *Problem P6-12*



P6-13. Figure 6.35 shows a demultiplexer in a synchronous TDM. If the input slot is 16 bits long (no framing bits), what is the bit stream in each output? The bits arrive at the demultiplexer as shown by the arrows.

Figure 6.35 *Problem P6-13*



P6-14. Answer the following questions about the digital hierarchy in Figure 6.23:

a. What is the overhead (number of extra bits) in the DS-1 service?

b. What is the overhead (number of extra bits) in the DS-2 service?

c. What is the overhead (number of extra bits) in the DS-3 service?

d. What is the overhead (number of extra bits) in the DS-4 service?

P6-15. What is the minimum number of bits in a PN sequence if we use FHSS with a channel bandwidth of $B = 4$ KHz and $B_{SS} = 100$ KHz?

P6-16. An FHSS system uses a 4-bit PN sequence. If the bit rate of the PN is 64 bits per second, answer the following questions:

a. What is the total number of possible channels?

b. What is the time needed to finish a complete cycle of PN?

P6-17. A pseudorandom number generator uses the following formula to create a random series:

$$N_{i+1} = (5 + 7N_i) \text{ mod } 17 - 1$$

In which N_i defines the current random number and N_{i+1} defines the next random number. The term *mod* means the value of the remainder when dividing $(5 + 7N_i)$ by 17. Show the sequence created by this generator to be used for spread spectrum.

P6-18. We have a digital medium with a data rate of 10 Mbps. How many 64-kbps voice channels can be carried by this medium if we use DSSS with the Barker sequence?

6.5 SIMULATION EXPERIMENTS

6.5.1 Applets

We have created some Java applets to show some of the main concepts discussed in this chapter. It is strongly recommended that the students activate these applets on the book website and carefully examine the protocols in action.

CHAPTER 7

Transmission Media

We discussed many issues related to the physical layer in Chapters 3 through 6. In this chapter, we discuss transmission media. We definitely need transmission media to conduct signals from the source to the destination. However, the media can be wired or wireless.

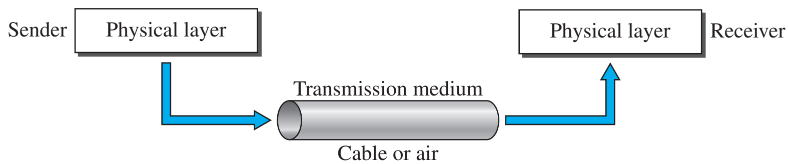
This chapter is divided into three sections:

- The first section introduces the transmission media and defines its position in the Internet model. It shows that we can classify transmission media into two broad categories: guided and unguided media.
- The second section discusses guided media. The first part describes twisted-pair cables and their characteristics and applications. The second part describes coaxial cables and their characteristics and applications. Finally, the third part describes fiber-optic cables and their characteristics and applications.
- The third section discusses unguided media. The first part describes radio waves and their characteristics and applications. The second part describes microwaves and their characteristics and applications. Finally, the third part describes infrared waves and their characteristics and applications.

7.1 INTRODUCTION

Transmission media are actually located below the physical layer and are directly controlled by the physical layer. We could say that transmission media belong to layer zero. Figure 7.1 shows the position of transmission media in relation to the physical layer.

Figure 7.1 *Transmission medium and physical layer*

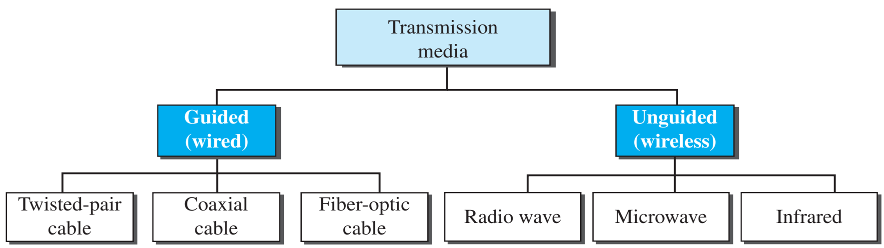


A **transmission medium** can be broadly defined as anything that can carry information from a source to a destination. For example, the transmission medium for two people having a dinner conversation is the air. The air can also be used to convey the message in a smoke signal or semaphore. For a written message, the transmission medium might be a mail carrier, a truck, or an airplane.

In data communications the definition of the information and the transmission medium is more specific. **The transmission medium is usually free space, metallic cable, or fiber-optic cable. The information is usually a signal that is the result of a conversion of data from another form.**

In telecommunications, **transmission media can be divided into two broad categories: guided and unguided. Guided media include twisted-pair cable, coaxial cable, and fiber-optic cable. Unguided medium is free space**. Figure 7.2 shows this taxonomy.

Figure 7.2 *Classes of transmission media*



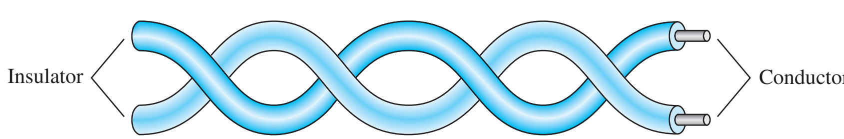
7.2 GUIDED MEDIA

Guided media, which are those that provide a conduit from one device to another, include **twisted-pair cable, coaxial cable,** and **fiber-optic cable.** A signal traveling along any of these media is directed and contained by the physical limits of the medium. Twisted-pair and coaxial cable use metallic (copper) conductors that accept and transport signals in the form of electric current. **Optical fiber** is a cable that accepts and transports signals in the form of light.

7.2.1 Twisted-Pair Cable

A twisted pair consists of two conductors (normally copper), each with its own plastic insulation, twisted together, as shown in Figure 7.3.

Figure 7.3 *Twisted-pair cable*



One of the wires is used to carry signals to the receiver, and the other is used only as a ground reference. The receiver uses the difference between the two.

In addition to the signal sent by the sender on one of the wires, interference (noise) and crosstalk may affect both wires and create unwanted signals.

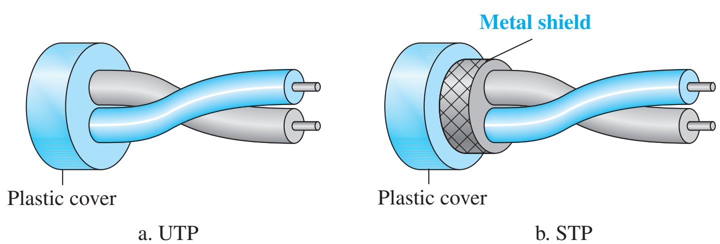
If the two wires are parallel, the effect of these unwanted signals is not the same in both wires because they are at different locations relative to the noise or crosstalk sources (e.g., one is closer and the other is farther). This results in a difference at the receiver.

By twisting the pairs, a balance is maintained. For example, suppose in one twist, one wire is closer to the noise source and the other is farther; in the next twist, the reverse is true. Twisting makes it probable that both wires are equally affected by external influences (noise or crosstalk). This means that the receiver, which calculates the difference between the two, receives no unwanted signals. The unwanted signals are mostly canceled out. From the above discussion, it is clear that the number of twists per unit of length (e.g., inch) has some effect on the quality of the cable.

Unshielded Versus Shielded Twisted-Pair Cable

The most common twisted-pair cable used in communications is referred to as ***unshielded twisted-pair*** **(UTP).** IBM has also produced a version of twisted-pair cable for its use, called ***shielded twisted-pair*** **(STP).** STP cable has a metal foil or braided-mesh covering that encases each pair of insulated conductors. Although metal casing improves the quality of cable by preventing the penetration of noise or crosstalk, it is bulkier and more expensive. Figure 7.4 shows the difference between UTP and STP. Our discussion focuses primarily on UTP because STP is seldom used outside of IBM.

Figure 7.4 *UTP and STP cables*



Categories

The Electronic Industries Association (EIA) has developed standards to classify unshielded twisted-pair cable into seven categories. Categories are determined by cable quality, with 1 as the lowest and 7 as the highest. Each EIA category is suitable for specific uses. Table 7.1 shows these categories.

Table 7.1 *Categories of unshielded twisted-pair cables*

Category	*Specification*	*Data Rate (Mbps)*	*Use*
1	Unshielded twisted-pair used in telephone	< 0.1	Telephone
2	Unshielded twisted-pair originally used in T lines	2	T-1 lines
3	Improved CAT 2 used in LANs	10	LANs
4	Improved CAT 3 used in Token Ring networks	20	LANs
5	Cable wire is normally 24 AWG with a jacket and outside sheath	100	LANs

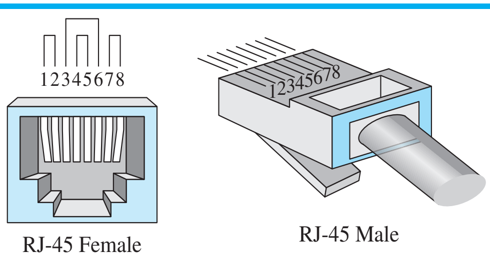
Table 7.1 *Categories of unshielded twisted-pair cables (continued)*

Category	*Specification*	*Data Rate (Mbps)*	*Use*
5E	An extension to category 5 that includes extra features to minimize the crosstalk and electromagnetic interference	125	LANs
6	A new category with matched components coming from the same manufacturer. The cable must be tested at a 200-Mbps data rate.	200	LANs
7	Sometimes called *SSTP (shielded screen twisted-pair)*. Each pair is individually wrapped in a helical metallic foil followed by a metallic foil shield in addition to the outside sheath. The shield decreases the effect of crosstalk and increases the data rate.	600	LANs

Connectors

The most common UTP connector is **RJ45** (RJ stands for registered jack), as shown in Figure 7.5. The RJ45 is a keyed connector, meaning the connector can be inserted in only one way.

Figure 7.5 *UTP connector*



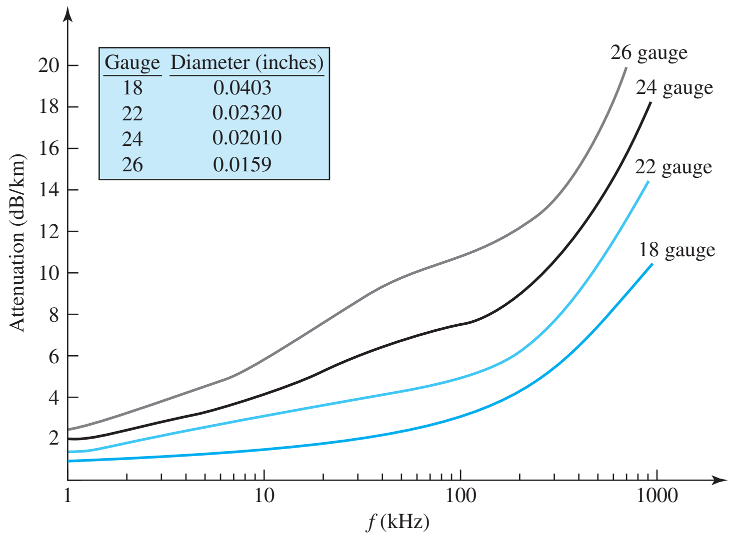
Performance

One way to measure the performance of twisted-pair cable is to compare attenuation versus frequency and distance. A twisted-pair cable can pass a wide range of frequencies. However, Figure 7.6 shows that with increasing frequency, the attenuation, measured in decibels per kilometer (dB/km), sharply increases with frequencies above 100 kHz. Note that ***gauge*** is a measure of the thickness of the wire.

Applications

Twisted-pair cables are used in telephone lines to provide voice and data channels. The local loop—the line that connects subscribers to the central telephone office—commonly consists of unshielded twisted-pair cables.

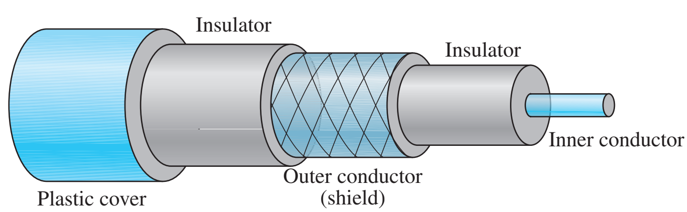
Figure 7.6 *UTP performance*



7.2.2 Coaxial Cable

Coaxial cable (or *coax*) carries signals of higher frequency ranges than those in twisted-pair cable, in part because the two media are constructed quite differently. Instead of having two wires, coax has a central core conductor of solid or stranded wire (usually copper) enclosed in an insulating sheath, which is, in turn, encased in an outer conductor of metal foil, braid, or a combination of the two. The outer metallic wrapping serves both as a shield against noise and as the second conductor, which completes the circuit. This outer conductor is also enclosed in an insulating sheath, and the whole cable is protected by a plastic cover (see Figure 7.7).

Figure 7.7 *Coaxial cable*



Coaxial Cable Standards

Coaxial cables are categorized by their **Radio Government (RG)** ratings. Each RG number denotes a unique set of physical specifications, including the wire gauge of the inner conductor, the thickness and type of the inner insulator, the construction of the shield, and the size and type of the outer casing. Each cable defined by an RG rating is adapted for a specialized function, as shown in Table 7.2.

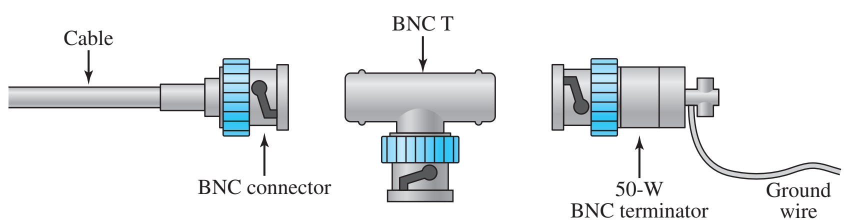
Table 7.2 *Categories of coaxial cables*

Category	*Impedance*	*Use*
RG-59	75 Ω	Cable TV
RG-58	50 Ω	Thin Ethernet
RG-11	50 Ω	Thick Ethernet

Coaxial Cable Connectors

To connect coaxial cable to devices, we need coaxial connectors. The most common type of connector used today is the **Bayonet Neill-Concelman (BNC)** connector. Figure 7.8 shows three popular types of these connectors: the BNC connector, the BNC T connector, and the BNC terminator.

Figure 7.8 *BNC connectors*



The BNC connector is used to connect the end of the cable to a device, such as a TV set. The BNC T connector is used in Ethernet networks (see Chapter 13) to branch out to a connection to a computer or other device. The BNC terminator is used at the end of the cable to prevent the reflection of the signal.

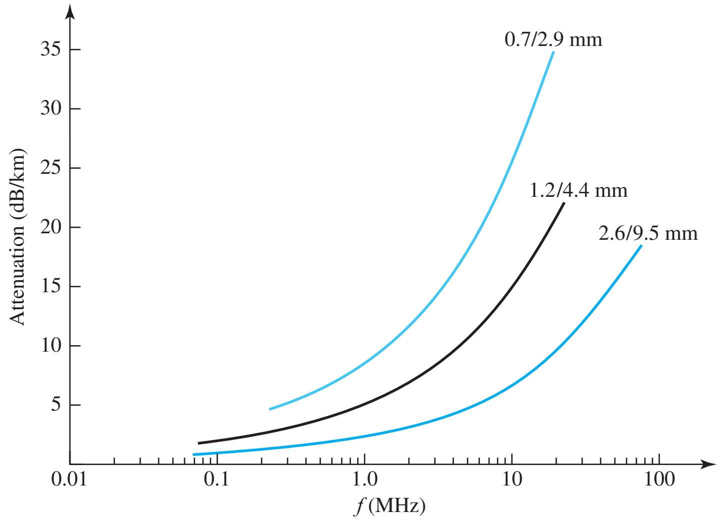
Performance

As we did with twisted-pair cable, we can measure the performance of a coaxial cable. We notice in Figure 7.9 that the attenuation is much higher in coaxial cable than in twisted-pair cable. In other words, although coaxial cable has a much higher bandwidth, the signal weakens rapidly and requires the frequent use of repeaters.

Applications

Coaxial cable was widely used in analog telephone networks where a single coaxial network could carry 10,000 voice signals. Later it was used in digital telephone networks where a single coaxial cable could carry digital data up to 600 Mbps. However, coaxial cable in telephone networks has largely been replaced today with fiber-optic cable.

Figure 7.9 *Coaxial cable performance*



Cable TV networks (see Chapter 14) also use coaxial cables. In the traditional cable TV network, the entire network used coaxial cable. Later, however, cable TV providers replaced most of the media with fiber-optic cable; hybrid networks use coaxial cable only at the network boundaries, near the consumer premises. Cable TV uses RG-59 coaxial cable.

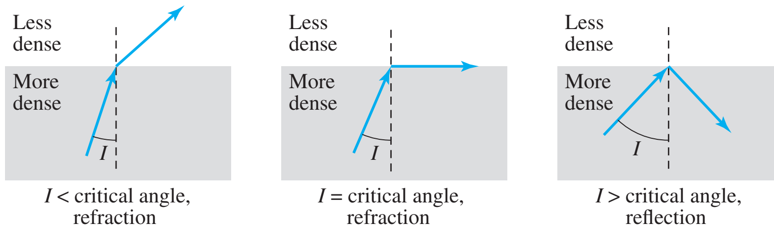
7.2.3 Fiber-Optic Cable

A fiber-optic cable is made of glass or plastic and transmits signals in the form of light. To understand optical fiber, we first need to explore several aspects of the nature of light.

Light travels in a straight line as long as it is moving through a single uniform substance. If a ray of light traveling through one substance suddenly enters another substance (of a different density), the ray changes direction. Figure 7.10 shows how a ray of light changes direction when going from a more dense to a less dense substance.

As the figure shows, if the **angle of incidence** *I* (the angle the ray makes with the line perpendicular to the interface between the two substances) is less than the **critical angle,** the ray **refracts** and moves closer to the surface. If the angle of incidence is equal to the critical angle, the light bends along the interface. If the angle is greater than the critical angle, the ray **reflects** (makes a turn) and travels again in the denser

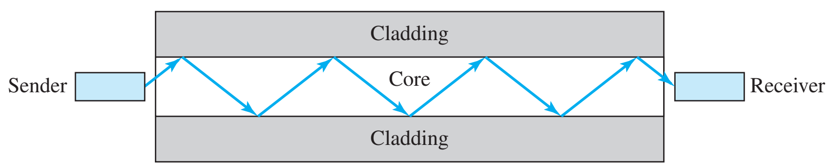
Figure 7.10 *Bending of light ray*



substance. Note that the critical angle is a property of the substance, and its value differs from one substance to another.

Optical fibers use reflection to guide light through a channel. A glass or plastic **core** is surrounded by a **cladding** of less dense glass or plastic. The difference in density of the two materials must be such that a beam of light moving through the core is reflected off the cladding instead of being refracted into it. See Figure 7.11.

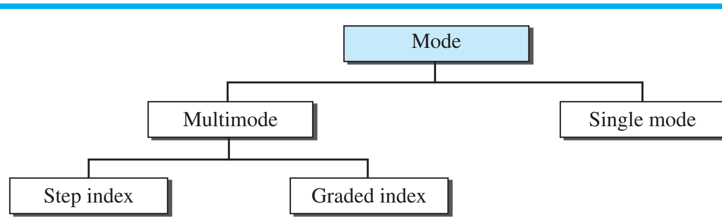
Figure 7.11 *Optical fiber*



Propagation Modes

Current technology supports two modes (multimode and single mode) for propagating light along optical channels, each requiring fiber with different physical characteristics. Multimode can be implemented in two forms: step-index or graded-index (see Figure 7.12).

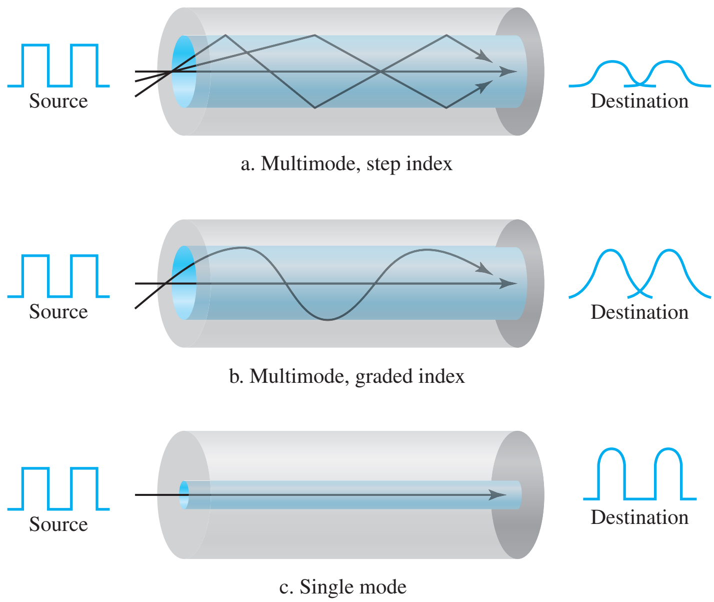
Figure 7.12 *Propagation modes*



Multimode

Multimode is so named because multiple beams from a light source move through the core in different paths. How these beams move within the cable depends on the structure of the core, as shown in Figure 7.13.

Figure 7.13 *Modes*



In **multimode step-index fiber,** the density of the core remains constant from the center to the edges. A beam of light moves through this constant density in a straight line until it reaches the interface of the core and the cladding. At the interface, there is an abrupt change due to a lower density; this alters the angle of the beam's motion. The term *step-index* refers to the suddenness of this change, which contributes to the distortion of the signal as it passes through the fiber.

A second type of fiber, called **multimode graded-index fiber,** decreases this distortion of the signal through the cable. The word *index* here refers to the index of refraction. As we saw above, the index of refraction is related to density. A graded-index fiber, therefore, is one with varying densities. Density is highest at the center of the core and decreases gradually to its lowest at the edge. Figure 7.13 shows the impact of this variable density on the propagation of light beams.

Single-Mode

Single-mode uses step-index fiber and a highly focused source of light that limits beams to a small range of angles, all close to the horizontal. The **single-mode fiber** itself is manufactured with a much smaller diameter than that of multimode fiber, and with substantially lower density (index of refraction). The decrease in density results in a critical angle that is close enough to 90° to make the propagation of beams almost horizontal. In this case, propagation of different beams is almost identical, and delays are negligible. All the beams arrive at the destination "together" and can be recombined with little distortion to the signal (see Figure 7.13).

Fiber Sizes

Optical fibers are defined by the ratio of the diameter of their core to the diameter of their cladding, both expressed in micrometers. The common sizes are shown in Table 7.3. Note that the last size listed is for single-mode only.

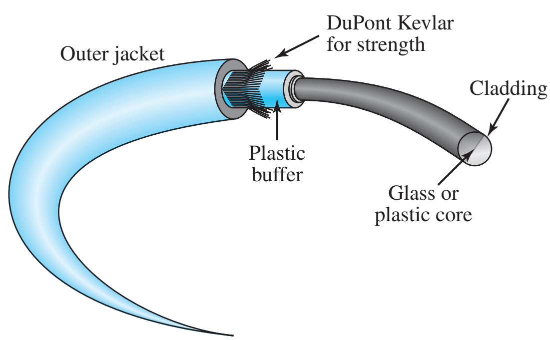
Table 7.3 *Fiber types*

Type	*Core (μm)*	*Cladding (μm)*	*Mode*
50/125	50.0	125	Multimode, graded index
62.5/125	62.5	125	Multimode, graded index
100/125	100.0	125	Multimode, graded index
7/125	7.0	125	Single mode

Cable Composition

Figure 7.14 shows the composition of a typical fiber-optic cable. The outer jacket is

Figure 7.14 *Fiber construction*



made of either PVC or Teflon. Inside the jacket are Kevlar strands to strengthen the cable. Kevlar is a strong material used in the fabrication of bulletproof vests. Below the Kevlar is another plastic coating to cushion the fiber. The fiber is at the center of the cable, and it consists of cladding and core.

Fiber-Optic Cable Connectors

There are three types of connectors for fiber-optic cables, as shown in Figure 7.15. The **subscriber channel (SC) connector** is used for cable TV. It uses a push/pull locking system. The **straight-tip (ST) connector** is used for connecting cable to networking devices. It uses a bayonet locking system and is more reliable than SC. **MT-RJ** is a connector that is the same size as RJ45.

Performance

The plot of attenuation versus wavelength in Figure 7.16 shows a very interesting phenomenon in fiber-optic cable. Attenuation is flatter than in the case of twisted-pair cable and coaxial cable. The performance is such that we need fewer (actually one-tenth as many) repeaters when we use fiber-optic cable.

Figure 7.15 *Fiber-optic cable connectors*

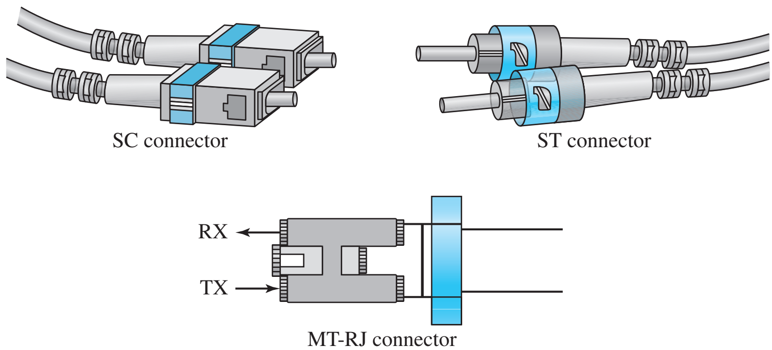
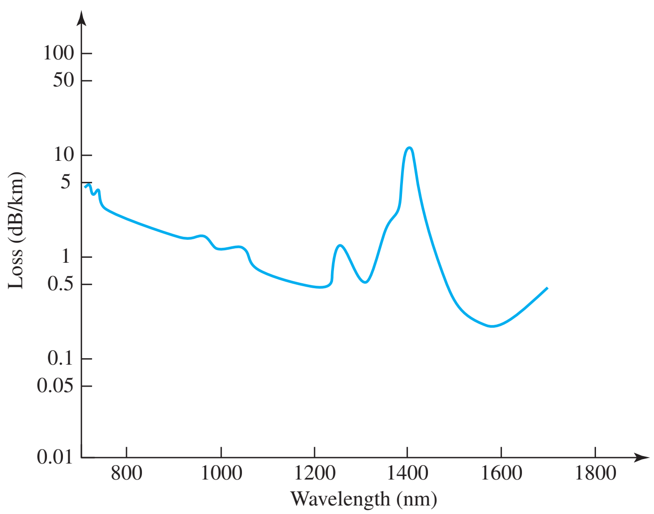


Figure 7.16 *Optical fiber performance*



Applications

Fiber-optic cable is often found in backbone networks because its wide bandwidth is cost-effective. Today, with wavelength-division multiplexing (WDM), we can transfer data at a rate of 1600 Gbps. The SONET network that we discuss in Chapter 14 provides such a backbone.

Some cable TV companies use a combination of optical fiber and coaxial cable, thus creating a hybrid network. Optical fiber provides the backbone structure while coaxial cable provides the connection to the user premises. This is a cost-effective configuration since the narrow bandwidth requirement at the user end does not justify the use of optical fiber.

Local-area networks such as 100Base-FX network (Fast Ethernet) and 1000Base-X also use fiber-optic cable.

Advantages and Disadvantages of Optical Fiber

Advantages

Fiber-optic cable has several advantages over metallic cable (twisted-pair or coaxial).

- **Higher bandwidth.** Fiber-optic cable can support dramatically higher bandwidths (and hence data rates) than either twisted-pair or coaxial cable. Currently, data rates and bandwidth utilization over fiber-optic cable are limited not by the medium but by the signal generation and reception technology available.
- **Less signal attenuation.** Fiber-optic transmission distance is significantly greater than that of other guided media. A signal can run for 50 km without requiring regeneration. We need repeaters every 5 km for coaxial or twisted-pair cable.
- **Immunity to electromagnetic interference.** Electromagnetic noise cannot affect fiber-optic cables.
- **Resistance to corrosive materials.** Glass is more resistant to corrosive materials than copper.
- **Light weight.** Fiber-optic cables are much lighter than copper cables.
- **Greater immunity to tapping.** Fiber-optic cables are more immune to tapping than copper cables. Copper cables create antenna effects that can easily be tapped.

Disadvantages

There are some disadvantages in the use of optical fiber.

- **Installation and maintenance.** Fiber-optic cable is a relatively new technology. Its installation and maintenance require expertise that is not yet available everywhere.
- **Unidirectional light propagation.** Propagation of light is unidirectional. If we need bidirectional communication, two fibers are needed.
- **Cost.** The cable and the interfaces are relatively more expensive than those of other guided media. If the demand for bandwidth is not high, often the use of optical fiber cannot be justified.

7.3 UNGUIDED MEDIA: WIRELESS

Unguided medium transport electromagnetic waves without using a physical conductor. This type of communication is often referred to as ***wireless communication***. Signals are normally broadcast through free space and thus are available to anyone who has a device capable of receiving them.

Figure 7.17 shows the part of the electromagnetic spectrum, ranging from 3 kHz to 900 THz, used for wireless communication.

Unguided signals can travel from the source to the destination in several ways: ground propagation, sky propagation, and line-of-sight propagation, as shown in Figure 7.18.

Figure 7.17 *Electromagnetic spectrum for wireless communication*

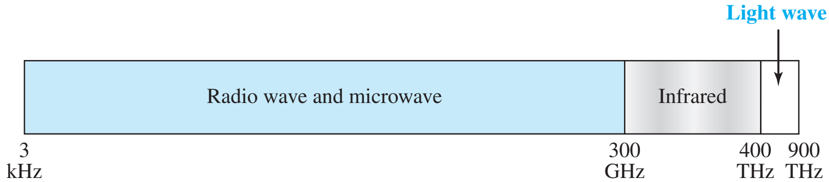
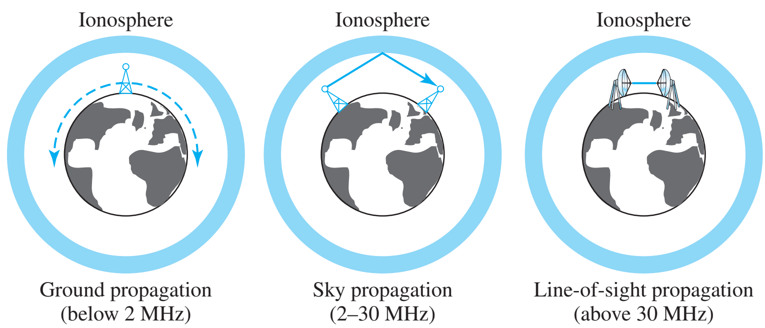


Figure 7.18 *Propagation methods*



In **ground propagation,** radio waves travel through the lowest portion of the atmosphere, hugging the earth. These low-frequency signals emanate in all directions from the transmitting antenna and follow the curvature of the planet. Distance depends on the amount of power in the signal: The greater the power, the greater the distance. In **sky propagation,** higher-frequency radio waves radiate upward into the ionosphere (the layer of atmosphere where particles exist as ions) where they are reflected back to earth. This type of transmission allows for greater distances with lower output power. In **line-of-sight propagation,** very high-frequency signals are transmitted in straight lines directly from antenna to antenna. Antennas must be directional, facing each other, and either tall enough or close enough together not to be affected by the curvature of the earth. Line-of-sight propagation is tricky because radio transmissions cannot be completely focused.

The section of the electromagnetic spectrum defined as radio waves and microwaves is divided into eight ranges, called *bands,* each regulated by government authorities. These bands are rated from *very low frequency* (VLF) to *extremely high frequency* (EHF). Table 7.4 lists these bands, their ranges, propagation methods, and some applications.

Table 7.4 *Bands*

Band	*Range*	*Propagation*	*Application*
very low frequency (VLF)	3–30 kHz	Ground	Long-range radio navigation
low frequency (LF)	30–300 kHz	Ground	Radio beacons and navigational locators

Table 7.4 *Bands (continued)*

Band	*Range*	*Propagation*	*Application*
middle frequency (MF)	300 kHz–3 MHz	Sky	AM radio
high frequency (HF)	3–30 MHz	Sky	Citizens band (CB), ship/aircraft
very high frequency (VHF)	30–300 MHz	Sky and line-of-sight	VHF TV, FM radio
ultrahigh frequency (UHF)	300 MHz–3 GHz	Line-of-sight	UHF TV, cellular phones, paging, satellite
superhigh frequency (SF)	3–30 GHz	Line-of-sight	Satellite
extremely high frequency (EHF)	30–300 GHz	Line-of-sight	Radar, satellite

We can divide wireless transmission into three broad groups: radio waves, microwaves, and infrared waves.

7.3.1 Radio Waves

Although there is no clear-cut demarcation between radio waves and microwaves, electromagnetic waves ranging in frequencies between 3 kHz and 1 GHz are normally called **radio waves;** waves ranging in frequencies between 1 and 300 GHz are called **microwaves.** However, the behavior of the waves, rather than the frequencies, is a better criterion for classification.

Radio waves, for the most part, are omnidirectional. When an antenna transmits radio waves, they are propagated in all directions. This means that the sending and receiving antennas do not have to be aligned. A sending antenna sends waves that can be received by any receiving antenna. The omnidirectional property has a disadvantage, too. The radio waves transmitted by one antenna are susceptible to interference by another antenna that may send signals using the same frequency or band.

Radio waves, particularly those waves that propagate in the sky mode, can travel long distances. This makes radio waves a good candidate for long-distance broadcasting such as AM radio.

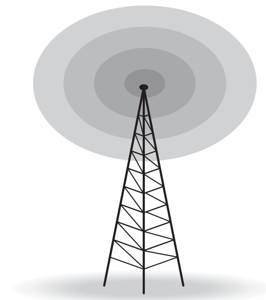
Radio waves, particularly those of low and medium frequencies, can penetrate walls. This characteristic can be both an advantage and a disadvantage. It is an advantage because, for example, an AM radio can receive signals inside a building. It is a disadvantage because we cannot isolate a communication to just inside or outside a building. The radio wave band is relatively narrow, just under 1 GHz, compared to the microwave band. When this band is divided into subbands, the subbands are also narrow, leading to a low data rate for digital communications.

Almost the entire band is regulated by authorities (e.g., the FCC in the United States). Using any part of the band requires permission from the authorities.

Omnidirectional Antenna

Radio waves use **omnidirectional antennas** that send out signals in all directions. Based on the wavelength, strength, and the purpose of transmission, we can have several types of antennas. Figure 7.19 shows an omnidirectional antenna.

Figure 7.19 *Omnidirectional antenna*



Applications

The omnidirectional characteristics of radio waves make them useful for multicasting, in which there is one sender but many receivers. AM and FM radio, television, maritime radio, cordless phones, and paging are examples of multicasting.

Radio waves are used for multicast communications, such as radio and television, and paging systems.

7.3.2 Microwaves

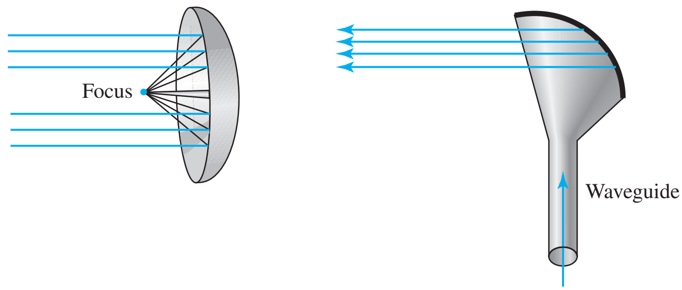
Electromagnetic waves having frequencies between 1 and 300 GHz are called microwaves. Microwaves are unidirectional. When an antenna transmits microwaves, they can be narrowly focused. This means that the sending and receiving antennas need to be aligned. The unidirectional property has an obvious advantage. A pair of antennas can be aligned without interfering with another pair of aligned antennas. The following describes some characteristics of microwave propagation:

- Microwave propagation is line-of-sight. Since the towers with the mounted antennas need to be in direct sight of each other, towers that are far apart need to be very tall. The curvature of the earth as well as other blocking obstacles do not allow two short towers to communicate by using microwaves. Repeaters are often needed for long-distance communication.
- Very high-frequency microwaves cannot penetrate walls. This characteristic can be a disadvantage if receivers are inside buildings.
- The microwave band is relatively wide, almost 299 GHz. Therefore wider subbands can be assigned, and a high data rate is possible.
- Use of certain portions of the band requires permission from authorities.

Unidirectional Antenna

Microwaves need **unidirectional antennas** that send out signals in one direction. Two types of antennas are used for microwave communications: the parabolic dish and the horn (see Figure 7.20).

Figure 7.20 *Unidirectional antennas*



a. Parabolic dish antenna

b. Horn antenna

A **parabolic dish antenna** is based on the geometry of a parabola: Every line parallel to the line of symmetry (line of sight) reflects off the curve at angles such that all the lines intersect in a common point called the focus. The parabolic dish works as a funnel, catching a wide range of waves and directing them to a common point. In this way, more of the signal is recovered than would be possible with a single-point receiver.

Outgoing transmissions are broadcast through a horn aimed at the dish. The microwaves hit the dish and are deflected outward in a reversal of the receipt path.

A **horn antenna** looks like a gigantic scoop. Outgoing transmissions are broadcast up a stem (resembling a handle) and deflected outward in a series of narrow parallel beams by the curved head. Received transmissions are collected by the scooped shape of the horn, in a manner similar to the parabolic dish, and are deflected down into the stem.

Applications

Microwaves, due to their unidirectional properties, are very useful when unicast (one-to-one) communication is needed between the sender and the receiver. They are used in cellular phones (Chapter 16), satellite networks (Chapter 16), and wireless LANs (Chapter 15).

Microwaves are used for unicast communication such as cellular telephones, satellite networks, and wireless LANs.

7.3.3 Infrared

Infrared waves, with frequencies from 300 GHz to 400 THz (wavelengths from 1 mm to 770 nm), can be used for short-range communication. Infrared waves, having high frequencies, cannot penetrate walls. This advantageous characteristic prevents interference between one system and another; a short-range communication system in one room cannot be affected by another system in the next room. When we use our infrared remote control, we do not interfere with the use of the remote by our neighbors. However, this same characteristic makes infrared signals useless for long-range communication. In addition, we cannot use infrared waves outside a building because the sun's rays contain infrared waves that can interfere with the communication.

Applications

The infrared band, almost 400 THz, has an excellent potential for data transmission. Such a wide bandwidth can be used to transmit digital data with a very high data rate. The *Infrared Data Association* (IrDA), an association for sponsoring the use of infrared waves, has established standards for using these signals for communication between devices such as keyboards, mice, PCs, and printers. For example, some manufacturers provide a special port called the **IrDA port** that allows a wireless keyboard to commnicate with a PC. The standard originally defined a data rate of 75 kbps for a distance up to 8 m. The recent standard defines a data rate of 4 Mbps.

Infrared signals defined by IrDA transmit through line of sight; the IrDA port on the keyboard needs to point to the PC for transmission to occur.

Infrared signals can be used for short-range communication in a closed area using line-of-sight propagation

7.4 END-CHAPTER MATERIALS

7.4.1 Recommended Reading

For more details about subjects discussed in this chapter, we recommend the following books. The items in brackets [. . .] refer to the reference list at the end of the text.

Books

Transmission media is discussed in [GW04], [Sta04], and [Tan03]. [SSS05] gives full coverage of transmission media.

7.4.2 Key Terms

angle of incidence
Bayonet Neill-Concelman (BNC)
cladding
coaxial cable
core
critical angle
electromagnetic spectrum
fiber-optic cable
gauge
ground propagation
guided media
horn antenna
infrared wave
IrDA port
line-of-sight propagation
microwave
MT-RJ
multimode graded-index fiber
multimode step-index fiber
omnidirectional antenna
optical fiber
parabolic dish antenna
Radio Government (RG) rating
radio wave
reflection
refraction
RJ45
shielded twisted-pair (STP)
single-mode fiber
sky propagation
straight-tip (ST) connector
subscriber channel (SC) connector
transmission medium
twisted-pair cable
unguided medium
unidirectional antenna
unshielded twisted-pair (UTP)
wireless communication

7.4.3 Summary

Transmission media are actually located below the physical layer and are directly controlled by the physical layer. We could say that transmission media belong to layer zero.

A guided medium provides a physical conduit from one device to another. Twisted-pair cable consists of two insulated copper wires twisted together. Twisted-pair cable is used for voice and data communications. Coaxial cable consists of a central conductor and a shield. Coaxial cable is used in cable TV networks and traditional Ethernet LANs. Fiber-optic cables are composed of a glass or plastic inner core surrounded by cladding, all encased in an outside jacket. Fiber-optic transmission is becoming increasingly popular due to its noise resistance, low attenuation, and high-bandwidth capabilities. Fiber-optic cable is used in backbone networks, cable TV networks, and Fast Ethernet networks.

Unguided media (free space) transport electromagnetic waves without the use of a physical conductor. Wireless data are transmitted through ground propagation, sky propagation, and line-of-sight propagation.Wireless waves can be classified as radio waves, microwaves, or infrared waves. Radio waves are omnidirectional; microwaves are unidirectional. Microwaves are used for cellular phone, satellite, and wireless LAN communications. Infrared waves are used for short-range communications such as those between a PC and a peripheral device. They can also be used for indoor LANs.

7.5 PRACTICE SET

7.5.1 Quizzes

A set of interactive quizzes for this chapter can be found on the book website. It is strongly recommended that the student take the quizzes to check his/her understanding of the materials before continuing with the practice set.

7.5.2 Questions

Q7-1. What is the position of the transmission media in the OSI or the Internet model?

Q7-2. Name the two major categories of transmission media.

Q7-3. How do guided media differ from unguided media?

Q7-4. What are the three major classes of guided media?

Q7-5. What is the function of the twisting in twisted-pair cable?

Q7-6. What is refraction? What is reflection?

Q7-7. What is the purpose of cladding in an optical fiber?

Q7-8. Name the advantages of optical fiber over twisted-pair and coaxial cable.

Q7-9. How does sky propagation differ from line-of-sight propagation?

Q7-10. What is the difference between omnidirectional waves and unidirectional waves?

7.5.3 Problems

P7-1. Using Figure 7.6, tabulate the attenuation (in dB) of a 18-gauge UTP for the indicated frequencies and distances.

Table 7.5 *Attenuation for 18-gauge UTP*

Distance	*dB at 1 KHz*	*dB at 10 KHz*	*dB at 100 KHz*
1 Km			
10 Km			
15 Km			
20 Km			

P7-2. Use the results of Problem P7-1 to infer that the bandwidth of a UTP cable decreases with an increase in distance.

P7-3. If the power at the beginning of a 1 Km 18-gauge UTP is 200 mw, what is the power at the end for frequencies 1 KHz, 10 KHz, and 100 KHz? Use the results of Problem P7-1.

P7-4. Using Figure 7.9, tabulate the attenuation (in dB) of a 2.6/9.5 mm coaxial cable for the indicated frequencies and distances.

Table 7.6 *Attenuation for 2.6/9.5 mm coaxial cable*

Distance	*dB at 1 KHz*	*dB at 10 KHz*	*dB at 100 KHz*
1 Km			
10 Km			
15 Km			
20 Km			

P7-5. Use the results of Problem P7-4 to infer that the bandwidth of a coaxial cable decreases with the increase in distance.

P7-6. If the power at the beginning of a 1 Km 2.6/9.5 mm coaxial cable is 200 mw, what is the power at the end for frequencies 1 KHz, 10 KHz, and 100 KHz? Use the results of Problem P7-4.

P7-7. Calculate the bandwidth of the light for the following wavelength ranges (assume a propagation speed of 2×10^8 m):

a. 1000 to 1200 nm **b.** 1000 to 1400 nm

P7-8. The horizontal axes in Figures 7.6 and 7.9 represent frequencies. The horizontal axis in Figure 7.16 represents wavelength. Can you explain the reason? If the propagation speed in an optical fiber is 2×10^8 m, can you change the units in the horizontal axis to frequency? Should the vertical-axis units be changed too? Should the curve be changed too?

P7-9. Using Figure 7.16, tabulate the attenuation (in dB) of an optical fiber for the indicated wavelength and distances.

Table 7.7 *Attenuation for optical fiber*

Distance	*dB at 800 nm*	*dB at 1000 nm*	*dB at 1200 nm*
1 Km			
10 Km			
15 Km			
20 Km			

P7-10. A light signal is travelling through a fiber. What is the delay in the signal if the length of the fiber-optic cable is 10 m, 100 m, and 1 Km (assume a propagation speed of 2×10^8 m)?

P7-11. A beam of light moves from one medium to another medium with less density. The critical angle is 60°. Do we have refraction or reflection for each of the following incident angles? Show the bending of the light ray in each case.

a. 40° **b.** 60° **c.** 80°

CHAPTER 8

Switching

Switching is a topic that can be discussed at several layers. We have switching at the physical layer, at the data-link layer, at the network layer, and even logically at the application layer (message switching). We have decided to discuss the general idea behind switching in this chapter, the last chapter related to the physical layer. We particularly discuss circuit-switching, which occurs at the physical layer. We introduce the idea of packet-switching, which occurs at the data-link and network layers, but we postpone the details of these topics until the appropriate chapters. Finally, we talk about the physical structures of the switches and routers.

This chapter is divided into four sections:

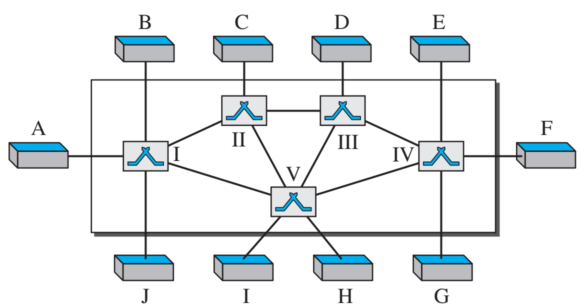
- The first section introduces switching. It mentions three methods of switching: circuit switching, packet switching, and message switching. The section then defines the switching methods that can occur in some layers of the Internet model.
- The second section discusses circuit-switched networks. It first defines three phases in these types of networks. It then describes the efficiency of these networks. The section also discusses the delay in circuit-switched networks.
- The third section briefly discusses packet-switched networks. It first describes datagram networks, listing their characteristics and advantages. The section then describes virtual circuit networks, explaining their features and operations. We will discuss packet-switched networks in more detail in Chapter 18.
- The last section discusses the structure of a switch. It first describes the structure of a circuit switch. It then explains the structure of a packet switch.

8.1 INTRODUCTION

A network is a set of connected devices. Whenever we have multiple devices, we have the problem of how to connect them to make one-to-one communication possible. One solution is to make a point-to-point connection between each pair of devices (a mesh topology) or between a central device and every other device (a star topology). These methods, however, are impractical and wasteful when applied to very large networks. The number and length of the links require too much infrastructure to be cost-efficient, and the majority of those links would be idle most of the time. Other topologies employing multipoint connections, such as a bus, are ruled out because the distances between devices and the total number of devices increase beyond the capacities of the media and equipment.

A better solution is **switching.** A switched network consists of a series of interlinked nodes, called ***switches*.** Switches are devices capable of creating temporary connections between two or more devices linked to the switch. In a switched network, some of these nodes are connected to the end systems (computers or telephones, for example). Others are used only for routing. Figure 8.1 shows a switched network.

Figure 8.1 *Switched network*

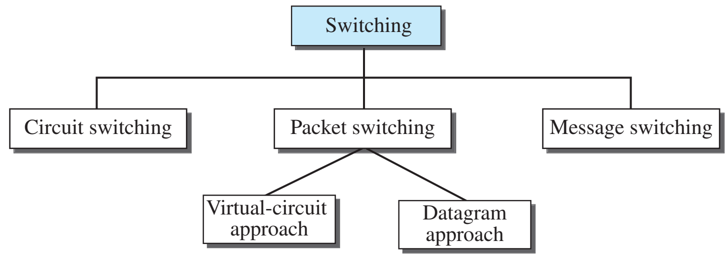


The **end systems** (communicating devices) are labeled A, B, C, D, and so on, and the switches are labeled I, II, III, IV, and V. Each switch is connected to multiple links.

8.1.1 Three Methods of Switching

Traditionally, three methods of switching have been discussed: **circuit switching**, **packet switching**, and **message switching**. The first two are commonly used today. The third has been phased out in general communications but still has networking applications. Packet switching can further be divided into two subcategories—virtual-circuit approach and datagram approach—as shown in Figure 8.2. In this chapter, we discuss only circuit switching and packet switching; message switching is more conceptual than practical.

Figure 8.2 *Taxonomy of switched networks*



8.1.2 Switching and TCP/IP Layers

Switching can happen at several layers of the TCP/IP protocol suite.

Switching at Physical Layer

At the physical layer, we can have only circuit switching. There are no packets exchanged at the physical layer. The switches at the physical layer allow signals to travel in one path or another.

Switching at Data-Link Layer

At the data-link layer, we can have packet switching. However, the term *packet* in this case means *frames* or *cells*. Packet switching at the data-link layer is normally done using a virtual-circuit approach.

Switching at Network Layer

At the network layer, we can have packet switching. In this case, either a virtual-circuit approach or a datagram approach can be used. Currently the Internet uses a datagram approach, as we see in Chapter 18, but the tendency is to move to a virtual-circuit approach.

Switching at Application Layer

At the application layer, we can have only message switching. The communication at the application layer occurs by exchanging messages. Conceptually, we can say that communication using e-mail is a kind of message-switched communication, but we do not see any network that actually can be called a message-switched network.

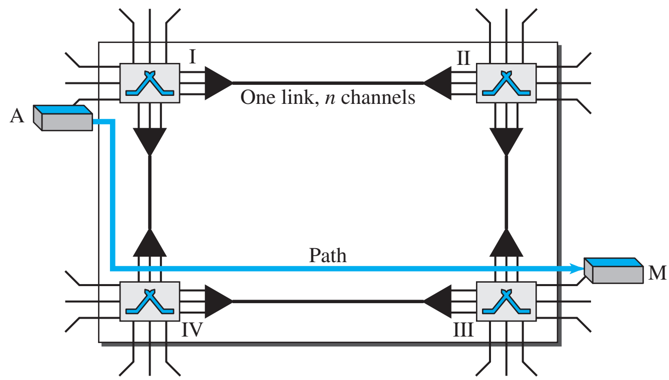
8.2 CIRCUIT-SWITCHED NETWORKS

A **circuit-switched network** consists of a set of switches connected by physical links. A connection between two stations is a dedicated path made of one or more links. However, each connection uses only one dedicated channel on each link. Each link is normally divided into n channels by using FDM or TDM, as discussed in Chapter 6.

A circuit-switched network is made of a set of switches connected by physical links, in which each link is divided into *n* channels.

Figure 8.3 shows a trivial circuit-switched network with four switches and four links. Each link is divided into *n* (*n* is 3 in the figure) channels by using FDM or TDM.

Figure 8.3 *A trivial circuit-switched network*



We have explicitly shown the multiplexing symbols to emphasize the division of the link into channels even though multiplexing can be implicitly included in the switch fabric.

The end systems, such as computers or telephones, are directly connected to a switch. We have shown only two end systems for simplicity. When end system A needs to communicate with end system M, system A needs to request a connection to M that must be accepted by all switches as well as by M itself. This is called the **setup phase;** a circuit (channel) is reserved on each link, and the combination of circuits or channels defines the dedicated path. After the dedicated path made of connected circuits (channels) is established, the **data-transfer phase** can take place. After all data have been transferred, the circuits are torn down.

We need to emphasize several points here:

- Circuit switching takes place at the physical layer.
- Before starting communication, the stations must make a reservation for the resources to be used during the communication. These resources, such as channels (bandwidth in FDM and time slots in TDM), switch buffers, switch processing time, and switch input/output ports, must remain dedicated during the entire duration of data transfer until the **teardown phase.**
- Data transferred between the two stations are not packetized (physical layer transfer of the signal). The data are a continuous flow sent by the source station and received by the destination station, although there may be periods of silence.

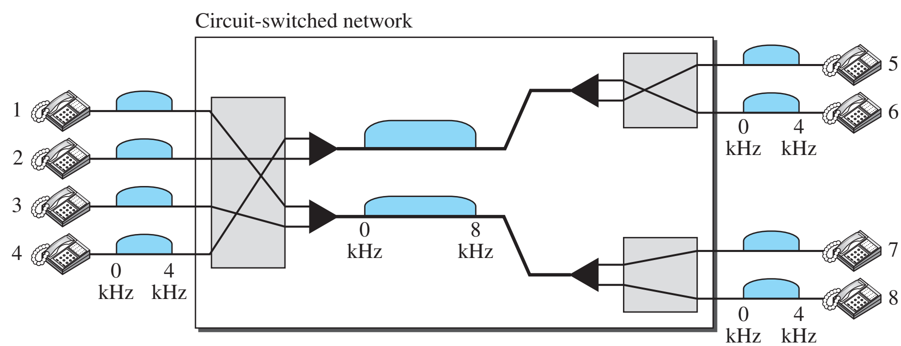
- There is no addressing involved during data transfer. The switches route the data based on their occupied band (FDM) or time slot (TDM). Of course, there is end-to-end addressing used during the setup phase, as we will see shortly.

In circuit switching, the resources need to be reserved during the setup phase; the resources remain dedicated for the entire duration of data transfer until the teardown phase.

Example 8.1

As a trivial example, let us use a circuit-switched network to connect eight telephones in a small area. Communication is through 4-kHz voice channels. We assume that each link uses FDM to connect a maximum of two voice channels. The bandwidth of each link is then 8 kHz. Figure 8.4 shows the situation. Telephone 1 is connected to telephone 7; 2 to 5; 3 to 8; and 4 to 6. Of course the situation may change when new connections are made. The switch controls the connections.

Figure 8.4 *Circuit-switched network used in Example 8.1*



Example 8.2

As another example, consider a circuit-switched network that connects computers in two remote offices of a private company. The offices are connected using a T-1 line leased from a communication service provider. There are two 4 × 8 (4 inputs and 8 outputs) switches in this network. For each switch, four output ports are folded into the input ports to allow communication between computers in the same office. Four other output ports allow communication between the two offices. Figure 8.5 shows the situation.

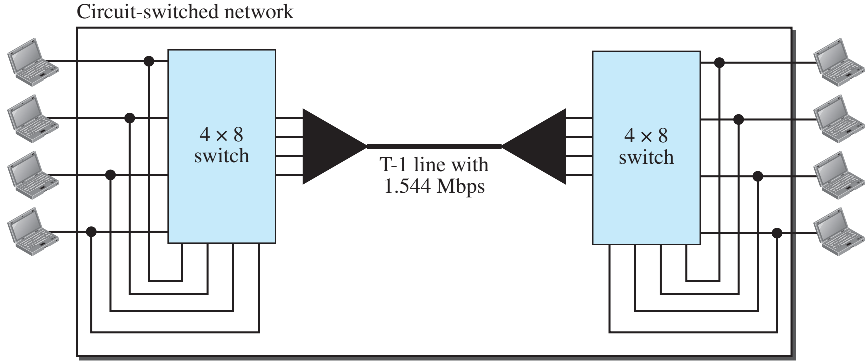
8.2.1 Three Phases

The actual communication in a circuit-switched network requires three phases: connection setup, data transfer, and connection teardown.

Setup Phase

Before the two parties (or multiple parties in a conference call) can communicate, a dedicated circuit (combination of channels in links) needs to be established. The end systems are normally connected through dedicated lines to the switches, so connection setup

Figure 8.5 *Circuit-switched network used in Example 8.2*



means creating dedicated channels between the switches. For example, in Figure 8.3, when system A needs to connect to system M, it sends a setup request that includes the address of system M, to switch I. Switch I finds a channel between itself and switch IV that can be dedicated for this purpose. Switch I then sends the request to switch IV, which finds a dedicated channel between itself and switch III. Switch III informs system M of system A's intention at this time.

In the next step to making a connection, an acknowledgment from system M needs to be sent in the opposite direction to system A. Only after system A receives this acknowledgment is the connection established.

Note that end-to-end addressing is required for creating a connection between the two end systems. These can be, for example, the addresses of the computers assigned by the administrator in a TDM network, or telephone numbers in an FDM network.

Data-Transfer Phase

After the establishment of the dedicated circuit (channels), the two parties can transfer data.

Teardown Phase

When one of the parties needs to disconnect, a signal is sent to each switch to release the resources.

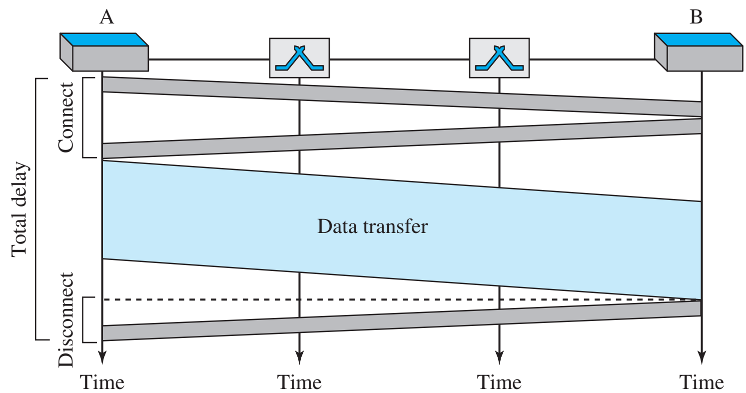
8.2.2 Efficiency

It can be argued that circuit-switched networks are not as efficient as the other two types of networks because resources are allocated during the entire duration of the connection. These resources are unavailable to other connections. In a telephone network, people normally terminate the communication when they have finished their conversation. However, in computer networks, a computer can be connected to another computer even if there is no activity for a long time. In this case, allowing resources to be dedicated means that other connections are deprived.

8.2.3 Delay

Although a circuit-switched network normally has low efficiency, the delay in this type of network is minimal. During data transfer the data are not delayed at each switch; the resources are allocated for the duration of the connection. Figure 8.6 shows the idea of delay in a circuit-switched network when only two switches are involved.

Figure 8.6 *Delay in a circuit-switched network*



As Figure 8.6 shows, there is no waiting time at each switch. The total delay is due to the time needed to create the connection, transfer data, and disconnect the circuit. The delay caused by the setup is the sum of four parts: the propagation time of the source computer request (slope of the first gray box), the request signal transfer time (height of the first gray box), the propagation time of the acknowledgment from the destination computer (slope of the second gray box), and the signal transfer time of the acknowledgment (height of the second gray box). The delay due to data transfer is the sum of two parts: the propagation time (slope of the colored box) and data transfer time (height of the colored box), which can be very long. The third box shows the time needed to tear down the circuit. We have shown the case in which the receiver requests disconnection, which creates the maximum delay.

8.3 PACKET SWITCHING

In data communications, we need to send messages from one end system to another. If the message is going to pass through a **packet-switched network**, it needs to be divided into packets of fixed or variable size. The size of the packet is determined by the network and the governing protocol.

In packet switching, there is no resource allocation for a packet. This means that there is no reserved bandwidth on the links, and there is no scheduled processing time for each packet. Resources are allocated on demand. The allocation is done on a first-come, first-served basis. When a switch receives a packet, no matter what the source or destination is, the packet must wait if there are other packets being processed. As with other systems in our daily life, this lack of reservation may create delay. For example, if we do not have a reservation at a restaurant, we might have to wait.

In a packet-switched network, there is no resource reservation; resources are allocated on demand.

We can have two types of packet-switched networks: datagram networks and virtual-circuit networks.

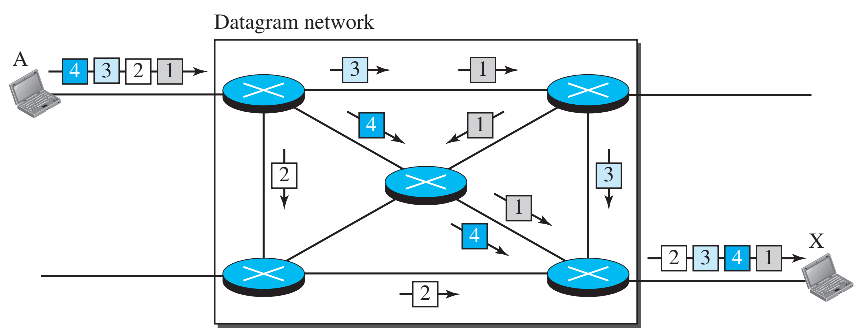
8.3.1 Datagram Networks

In a **datagram network**, each packet is treated independently of all others. Even if a packet is part of a multipacket transmission, the network treats it as though it existed alone. Packets in this approach are referred to as ***datagrams***.

Datagram switching is normally done at the network layer. We briefly discuss datagram networks here as a comparison with circuit-switched and virtual-circuit-switched networks. In Chapter 18 of this text, we go into greater detail.

Figure 8.7 shows how the datagram approach is used to deliver four packets from station A to station X. The switches in a datagram network are traditionally referred to as routers. That is why we use a different symbol for the switches in the figure.

Figure 8.7 *A datagram network with four switches (routers)*



In this example, all four packets (or datagrams) belong to the same message, but may travel different paths to reach their destination. This is so because the links may be involved in carrying packets from other sources and do not have the necessary bandwidth available to carry all the packets from A to X. This approach can cause the datagrams of a transmission to arrive at their destination out of order with different delays between the packets. Packets may also be lost or dropped because of a lack of resources. In most protocols, it is the responsibility of an upper-layer protocol to reorder the datagrams or ask for lost datagrams before passing them on to the application.

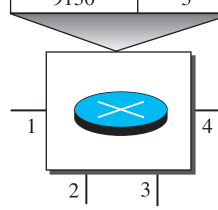
The datagram networks are sometimes referred to as *connectionless networks*. The term *connectionless* here means that the switch (packet switch) does not keep information about the connection state. There are no setup or teardown phases. Each packet is treated the same by a switch regardless of its source or destination.

Routing Table

If there are no setup or teardown phases, how are the packets routed to their destinations in a datagram network? In this type of network, each switch (or packet switch) has a routing table which is based on the destination address. The routing tables are dynamic and are updated periodically. The destination addresses and the corresponding forwarding output ports are recorded in the tables. This is different from the table of a circuit-switched network (discussed later) in which each entry is created when the setup phase is completed and deleted when the teardown phase is over. Figure 8.8 shows the routing table for a switch.

Figure 8.8 *Routing table in a datagram network*

Destination address	Output port
1232	1
4150	2
⋮	⋮
9130	3



A switch in a datagram network uses a routing table that is based on the destination address.

Destination Address

Every packet in a datagram network carries a header that contains, among other information, the destination address of the packet. When the switch receives the packet, this destination address is examined; the routing table is consulted to find the corresponding port through which the packet should be forwarded. This address, unlike the address in a virtual-circuit network, remains the same during the entire journey of the packet.

The destination address in the header of a packet in a datagram network remains the same during the entire journey of the packet.

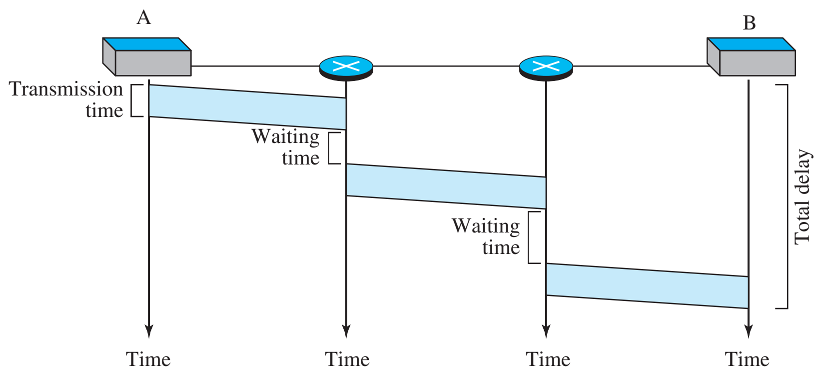
Efficiency

The efficiency of a datagram network is better than that of a circuit-switched network; resources are allocated only when there are packets to be transferred. If a source sends a packet and there is a delay of a few minutes before another packet can be sent, the resources can be reallocated during these minutes for other packets from other sources.

Delay

There may be greater delay in a datagram network than in a virtual-circuit network. Although there are no setup and teardown phases, each packet may experience a wait at a switch before it is forwarded. In addition, since not all packets in a message necessarily travel through the same switches, the delay is not uniform for the packets of a message. Figure 8.9 gives an example of delay in a datagram network for one packet.

Figure 8.9 *Delay in a datagram network*



The packet travels through two switches. There are three transmission times ($3T$), three propagation delays (slopes 3τ of the lines), and two waiting times ($w_1 + w_2$). We ignore the processing time in each switch. The total delay is

$$\textbf{Total delay} = 3T + 3\tau + w_1 + w_2$$

8.3.2 Virtual-Circuit Networks

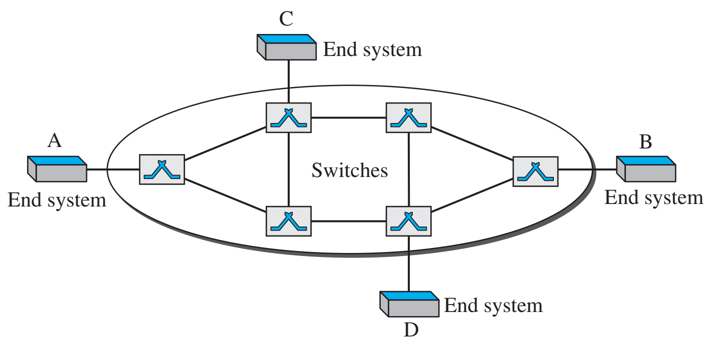
A **virtual-circuit network** is a cross between a circuit-switched network and a datagram network. It has some characteristics of both.

1. As in a circuit-switched network, there are setup and teardown phases in addition to the data transfer phase.
2. Resources can be allocated during the setup phase, as in a circuit-switched network, or on demand, as in a datagram network.
3. As in a datagram network, data are packetized and each packet carries an address in the header. However, the address in the header has local jurisdiction (it defines what the next switch should be and the channel on which the packet is being carried), not end-to-end jurisdiction. The reader may ask how the intermediate switches know where to send the packet if there is no final destination address carried by a packet. The answer will be clear when we discuss virtual-circuit identifiers in the next section.
4. As in a circuit-switched network, all packets follow the same path established during the connection.

5. A virtual-circuit network is normally implemented in the data-link layer, while a circuit-switched network is implemented in the physical layer and a datagram network in the network layer. But this may change in the future.

Figure 8.10 is an example of a virtual-circuit network. The network has switches that allow traffic from sources to destinations. A source or destination can be a computer, packet switch, bridge, or any other device that connects other networks.

Figure 8.10 *Virtual-circuit network*



Addressing

In a virtual-circuit network, two types of addressing are involved: global and local (virtual-circuit identifier).

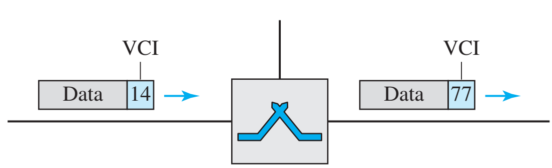
Global Addressing

A source or a destination needs to have a global address—an address that can be unique in the scope of the network or internationally if the network is part of an international network. However, we will see that a global address in virtual-circuit networks is used only to create a virtual-circuit identifier, as discussed next.

Virtual-Circuit Identifier

The identifier that is actually used for data transfer is called the ***virtual-circuit identifier* (VCI)** or the ***label***. A VCI, unlike a global address, is a small number that has only switch scope; it is used by a frame between two switches. When a frame arrives at a switch, it has a VCI; when it leaves, it has a different VCI. Figure 8.11 shows how the VCI in a data frame changes from one switch to another. Note that a VCI does not need to be a large number since each switch can use its own unique set of VCIs.

Figure 8.11 *Virtual-circuit identifier*



Three Phases

As in a circuit-switched network, a source and destination need to go through three phases in a virtual-circuit network: setup, data transfer, and teardown. In the setup phase, the source and destination use their global addresses to help switches make table entries for the connection. In the teardown phase, the source and destination inform the switches to delete the corresponding entry. Data transfer occurs between these two phases. We first discuss the data-transfer phase, which is more straightforward; we then talk about the setup and teardown phases.

Data-Transfer Phase

To transfer a frame from a source to its destination, all switches need to have a table entry for this virtual circuit. The table, in its simplest form, has four columns. This means that the switch holds four pieces of information for each virtual circuit that is already set up. We show later how the switches make their table entries, but for the moment we assume that each switch has a table with entries for all active virtual circuits. Figure 8.12 shows such a switch and its corresponding table.

Figure 8.12 *Switch and tables in a virtual-circuit network*

Incoming		Outgoing	
Port	VCI	Port	VCI
1	14	3	22
1	77	2	41

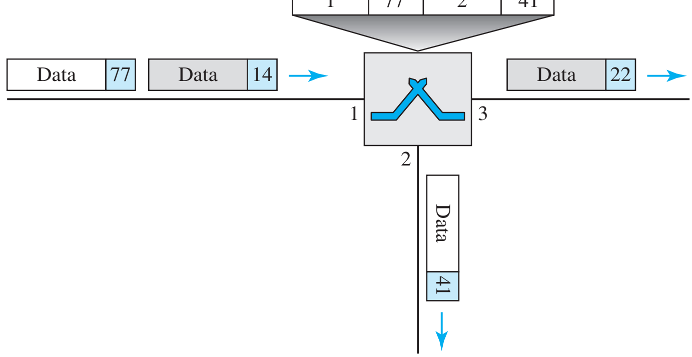


Figure 8.12 shows a frame arriving at port 1 with a VCI of 14. When the frame arrives, the switch looks in its table to find port 1 and a VCI of 14. When it is found, the switch knows to change the VCI to 22 and send out the frame from port 3.

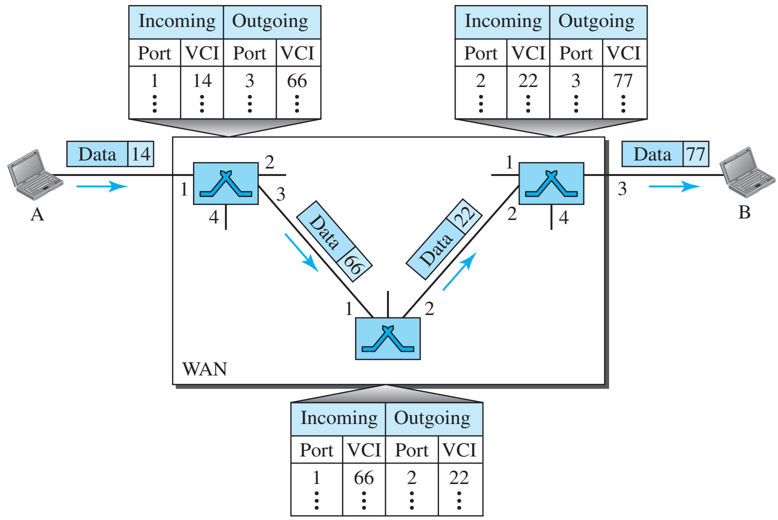
Figure 8.13 shows how a frame from source A reaches destination B and how its VCI changes during the trip. Each switch changes the VCI and routes the frame.

The data-transfer phase is active until the source sends all its frames to the destination. The procedure at the switch is the same for each frame of a message. The process creates a virtual circuit, not a real circuit, between the source and destination.

Setup Phase

In the setup phase, a switch creates an entry for a virtual circuit. For example, suppose source A needs to create a virtual circuit to B. Two steps are required: the setup request and the acknowledgment.

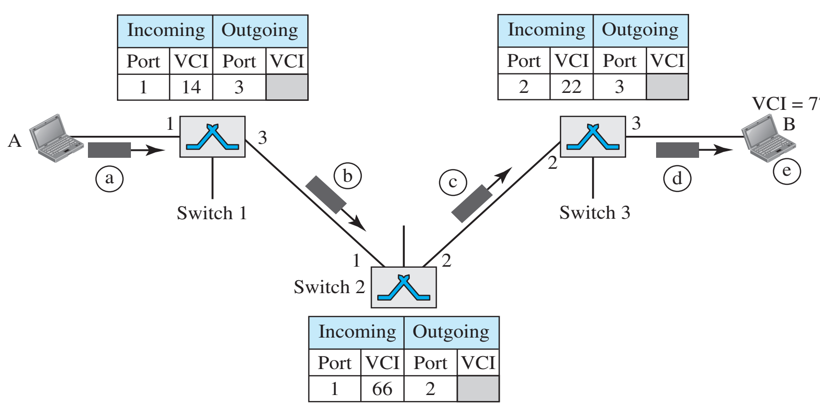
Figure 8.13 *Source-to-destination data transfer in a virtual-circuit network*



Setup Request

A setup request frame is sent from the source to the destination. Figure 8.14 shows the process.

Figure 8.14 *Setup request in a virtual-circuit network*



a. Source A sends a setup frame to switch 1.

b. Switch 1 receives the setup request frame. It knows that a frame going from A to B goes out through port 3. How the switch has obtained this information is a point covered in future chapters. The switch, in the setup phase, acts as a packet switch; it has a routing table which is different from the switching table. For the moment, assume that it knows the output port. The switch creates an entry in its table for this virtual circuit, but it is only able to fill three of the four columns. The switch assigns the incoming port (1) and chooses an available incoming VCI (14) and the outgoing port (3). It does not yet know the outgoing VCI, which will be found during the acknowledgment step. The switch then forwards the frame through port 3 to switch 2.

c. Switch 2 receives the setup request frame. The same events happen here as at switch 1; three columns of the table are completed: in this case, incoming port (1), incoming VCI (66), and outgoing port (2).

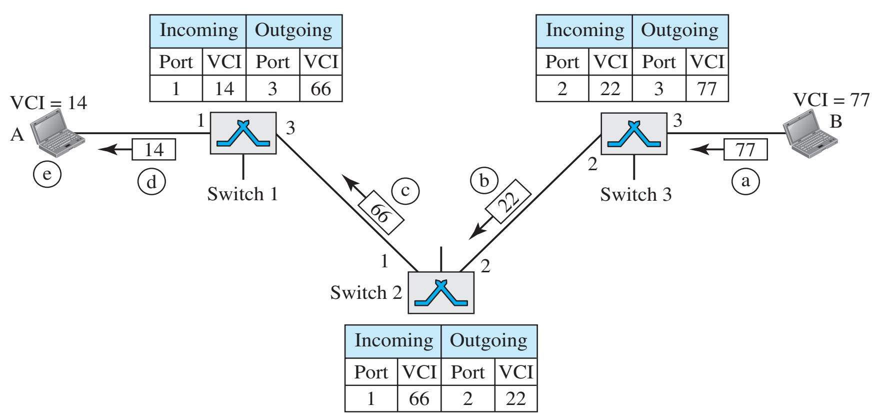
d. Switch 3 receives the setup request frame. Again, three columns are completed: incoming port (2), incoming VCI (22), and outgoing port (3).

e. Destination B receives the setup frame, and if it is ready to receive frames from A, it assigns a VCI to the incoming frames that come from A, in this case 77. This VCI lets the destination know that the frames come from A, and not other sources.

Acknowledgment

A special frame, called the *acknowledgment frame,* completes the entries in the switching tables. Figure 8.15 shows the process.

Figure 8.15 *Setup acknowledgment in a virtual-circuit network*



a. The destination sends an acknowledgment to switch 3. The acknowledgment carries the global source and destination addresses so the switch knows which entry in the table is to be completed. The frame also carries VCI 77, chosen by the destination as the incoming VCI for frames from A. Switch 3 uses this VCI to complete the outgoing VCI column for this entry. Note that 77 is the incoming VCI for destination B, but the outgoing VCI for switch 3.

b. Switch 3 sends an acknowledgment to switch 2 that contains its incoming VCI in the table, chosen in the previous step. Switch 2 uses this as the outgoing VCI in the table.

c. Switch 2 sends an acknowledgment to switch 1 that contains its incoming VCI in the table, chosen in the previous step. Switch 1 uses this as the outgoing VCI in the table.

d. Finally switch 1 sends an acknowledgment to source A that contains its incoming VCI in the table, chosen in the previous step.

e. The source uses this as the outgoing VCI for the data frames to be sent to destination B.

Teardown Phase

In this phase, source A, after sending all frames to B, sends a special frame called a *teardown request*. Destination B responds with a teardown confirmation frame. All switches delete the corresponding entry from their tables.

Efficiency

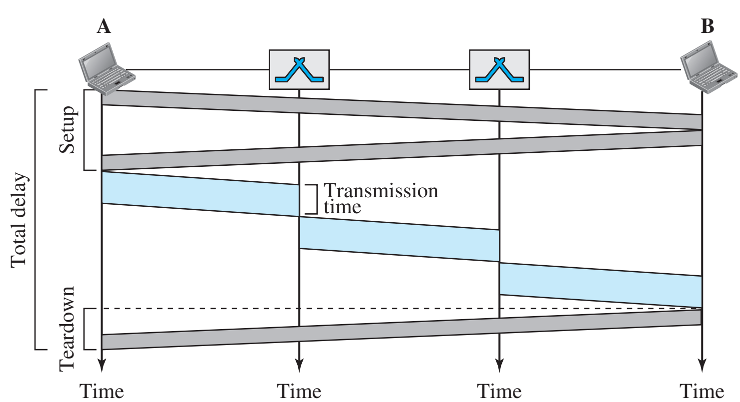
As we said before, resource reservation in a virtual-circuit network can be made during the setup or can be on demand during the data-transfer phase. In the first case, the delay for each packet is the same; in the second case, each packet may encounter different delays. There is one big advantage in a virtual-circuit network even if resource allocation is on demand. The source can check the availability of the resources, without actually reserving it. Consider a family that wants to dine at a restaurant. Although the restaurant may not accept reservations (allocation of the tables is on demand), the family can call and find out the waiting time. This can save the family time and effort.

In virtual-circuit switching, all packets belonging to the same source and destination travel the same path, but the packets may arrive at the destination with different delays if resource allocation is on demand.

Delay in Virtual-Circuit Networks

In a virtual-circuit network, there is a one-time delay for setup and a one-time delay for teardown. If resources are allocated during the setup phase, there is no wait time for individual packets. Figure 8.16 shows the delay for a packet traveling through two switches in a virtual-circuit network.

Figure 8.16 *Delay in a virtual-circuit network*



The packet is traveling through two switches (routers). There are three transmission times ($3T$), three propagation times (3τ), data transfer depicted by the sloping lines, a setup delay (which includes transmission and propagation in two directions), and a teardown delay (which includes transmission and propagation in one direction). We ignore the processing time in each switch. The total delay time is

Total delay + $3T$ + 3τ + setup delay + teardown delay

Circuit-Switched Technology in WANs

As we will see in Chapter 14, virtual-circuit networks are used in switched WANs such as ATM networks. The data-link layer of these technologies is well suited to the virtual-circuit technology.

Switching at the data-link layer in a switched WAN is normally implemented by using virtual-circuit techniques.

8.4 STRUCTURE OF A SWITCH

We use switches in circuit-switched and packet-switched networks. In this section, we discuss the structures of the switches used in each type of network.

8.4.1 Structure of Circuit Switches

Circuit switching today can use either of two technologies: the space-division switch or the time-division switch.

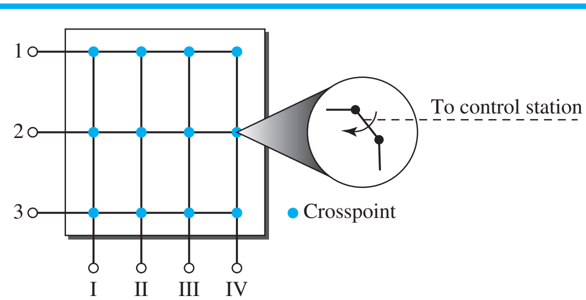
Space-Division Switch

In **space-division switching,** the paths in the circuit are separated from one another spatially. This technology was originally designed for use in analog networks but is used currently in both analog and digital networks. It has evolved through a long history of many designs.

Crossbar Switch

A **crossbar switch** connects n inputs to m outputs in a grid, using electronic micro-switches (transistors) at each **crosspoint** (see Figure 8.17). The major limitation of this design is the number of crosspoints required. To connect n inputs to m outputs using a

Figure 8.17 *Crossbar switch with three inputs and four outputs*

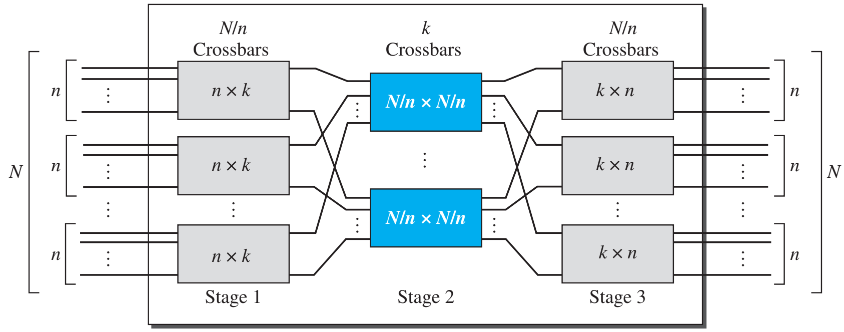


crossbar switch requires $n \times m$ crosspoints. For example, to connect 1000 inputs to 1000 outputs requires a switch with 1,000,000 crosspoints. A crossbar switch [?] with this number of crosspoints is impractical. Such a switch is also inefficient because statistics show that, in practice, fewer than 25 percent of the crosspoints are in use at any given time. The rest are idle.

Multistage Switch

The solution to the limitations of the crossbar switch is the **multistage switch,** which combines crossbar switches in several (normally three) stages, as shown in Figure 8.18. In a single crossbar switch, only one row or column (one path) is active for any connection. So we need $N \times N$ crosspoints. If we can allow multiple paths inside the switch, we can decrease the number of crosspoints. Each crosspoint in the middle stage can be accessed by multiple crosspoints in the first or third stage.

Figure 8.18 *Multistage switch*



To design a three-stage switch, we follow these steps:

1. We divide the N input lines into groups, each of n lines. For each group, we use one crossbar of size $n \times k$, where k is the number of crossbars in the middle stage. In other words, the first stage has N/n crossbars of $n \times k$ crosspoints.
2. We use k crossbars, each of size $(N/n) \times (N/n)$ in the middle stage.
3. We use N/n crossbars, each of size $k \times n$ at the third stage.

We can calculate the total number of crosspoints as follows:

$$\frac{N}{n}(n \times k) + k\left(\frac{N}{n} \times \frac{N}{n}\right) + \frac{N}{n}(k \times n) = 2kN + k\left(\frac{N}{n}\right)^2$$

In a three-stage switch, the total number of crosspoints is

$$2kN + k\left(\frac{N}{n}\right)^2$$

which is much smaller than the number of crosspoints in a single-stage switch (N^2).

Example 8.3

Design a three-stage, 200×200 switch ($N = 200$) with $k = 4$ and $n = 20$.

Solution

In the first stage we have N/n or 10 crossbars, each of size 20×4. In the second stage, we have 4 crossbars, each of size 10×10. In the third stage, we have 10 crossbars, each of size 4×20. The total number of crosspoints is $2kN + k(N/n)^2$, or 2000 crosspoints. This is 5 percent of the number of crosspoints in a single-stage switch ($200 \times 200 = 40{,}000$).

The multistage switch in Example 8.3 has one drawback—**blocking** during periods of heavy traffic. The whole idea of multistage switching is to share the crosspoints in the middle-stage crossbars. Sharing can cause a lack of availability if the resources are limited and all users want a connection at the same time. *Blocking* refers to times when one input cannot be connected to an output because there is no path available between them—all the possible intermediate switches are occupied.

In a single-stage switch, blocking does not occur because every combination of input and output has its own crosspoint; there is always a path. (Cases in which two inputs are trying to contact the same output do not count. That path is not blocked; the output is merely busy.) In the multistage switch described in Example 8.3, however, only four of the first 20 inputs can use the switch at a time, only four of the second 20 inputs can use the switch at a time, and so on. The small number of crossbars at the middle stage creates blocking.

In large systems, such as those having 10,000 inputs and outputs, the number of stages can be increased to cut down on the number of crosspoints required. As the number of stages increases, however, possible blocking increases as well. Many people have experienced blocking on public telephone systems in the wake of a natural disaster when the calls being made to check on or reassure relatives far outnumber the regular load of the system.

Clos investigated the condition of nonblocking in multistage switches and came up with the following formula. In a nonblocking switch, the number of middle-stage switches must be at least $2n - 1$. In other words, we need to have $k \geq 2n - 1$.

Note that the number of crosspoints is still smaller than that in a single-stage switch. Now we need to minimize the number of crosspoints with a fixed N by using the Clos criteria. We can take the derivative of the equation with respect to n (the only variable) and find the value of n that makes the result zero. This n must be equal to or greater than $(N/2)^{1/2}$. In this case, the total number of crosspoints is greater than or equal to $4N\,[(2N)^{1/2} - 1]$. In other words, the minimum number of crosspoints according to the Clos criteria is proportional to $N^{3/2}$.

According to Clos criterion: $n = (N/2)^{1/2}$ *and* $k \geq 2n - 1$
Total number of crosspoints $\geq 4N\,[(2N)^{1/2} - 1]$

Example 8.4

Redesign the previous three-stage, 200×200 switch, using the Clos criteria with a minimum number of crosspoints.

Solution

We let $n = (200/2)^{1/2}$, or $n = 10$. We calculate $k = 2n - 1 = 19$. In the first stage, we have 200/10, or 20, crossbars, each with 10×19 crosspoints. In the second stage, we have 19 crossbars, each with 10 × 10 crosspoints. In the third stage, we have 20 crossbars each with 19 × 10 crosspoints. The total number of crosspoints is 20(10 × 19) + 19(10 × 10) + 20(19 × 10) = 9500. If we use a single-stage switch, we need 200 × 200 = 40,000 crosspoints. The number of crosspoints in this three-stage switch is 24 percent that of a single-stage switch. More points are needed than in Example 8.3 (5 percent). The extra crosspoints are needed to prevent blocking.

A multistage switch that uses the Clos criteria and a minimum number of crosspoints still requires a huge number of crosspoints. For example, to have a 100,000 input/output switch, we need something close to 200 million crosspoints (instead of 10 billion). This means that if a telephone company needs to provide a switch to connect 100,000 telephones in a city, it needs 200 million crosspoints. The number can be reduced if we accept blocking. Today, telephone companies use time-division switching or a combination of space- and time-division switches, as we will see shortly.

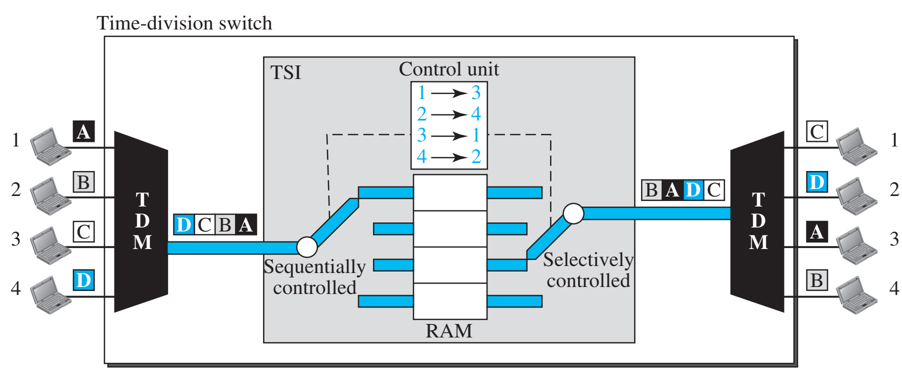
Time-Division Switch

Time-division switching uses time-division multiplexing (TDM) inside a switch. The most popular technology is called the **time-slot interchange (TSI).**

Time-Slot Interchange

Figure 8.19 shows a system connecting four input lines to four output lines. Imagine that each input line wants to send data to an output line according to the following pattern: (1 → 3), (2 → 4), (3 → 1), and (4 → 2), in which the arrow means "to."

Figure 8.19 *Time-slot interchange*



The figure combines a TDM multiplexer, a TDM demultiplexer, and a TSI consisting of random access memory (RAM) with several memory locations. The size of each location is the same as the size of a single time slot. The number of locations is the same as the number of inputs (in most cases, the numbers of inputs and outputs are equal). The RAM fills up with incoming data from time slots in the order received. Slots are then sent out in an order based on the decisions of a control unit.

Time- and Space-Division Switch Combinations

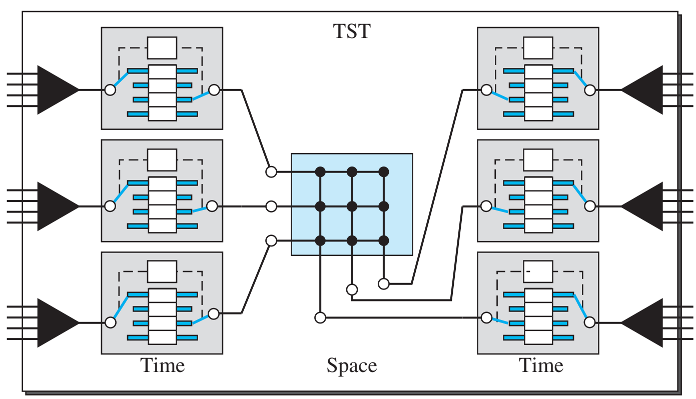
When we compare space-division and time-division switching, some interesting facts emerge. The advantage of space-division switching is that it is instantaneous. Its disadvantage is the number of crosspoints required to make space-division switching acceptable in terms of blocking.

The advantage of time-division switching is that it needs no crosspoints. Its disadvantage, in the case of TSI, is that processing each connection creates delays. Each time slot must be stored by the RAM, then retrieved and passed on.

In a third option, we combine space-division and time-division technologies to take advantage of the best of both. Combining the two results in switches that are optimized both physically (the number of crosspoints) and temporally (the amount of delay). Multistage switches of this sort can be designed as **time-space-time (TST) switches.**

Figure 8.20 shows a simple TST switch that consists of two time stages and one space stage and has 12 inputs and 12 outputs. Instead of one time-division switch, it divides the inputs into three groups (of four inputs each) and directs them to three time-slot interchanges. The result is that the average delay is one-third of what would result from using one time-slot interchange to handle all 12 inputs.

Figure 8.20 *Time-space-time switch*

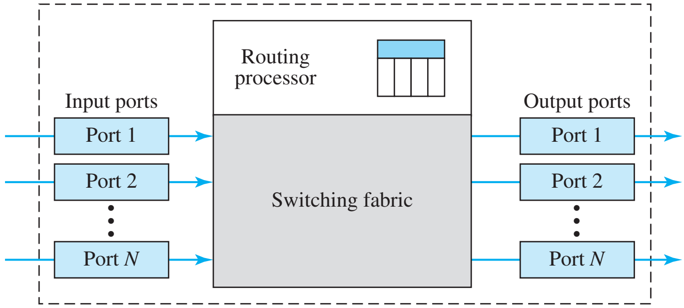


The last stage is a mirror image of the first stage. The middle stage is a space-division switch (crossbar) that connects the TSI groups to allow connectivity between all possible input and output pairs (e.g., to connect input 3 of the first group to output 7 of the second group).

8.4.2 Structure of Packet Switches

A switch used in a packet-switched network has a different structure from a switch used in a circuit-switched network.We can say that a packet switch has four components: **input ports, output ports,** the **routing processor,** and the **switching fabric,** as shown in Figure 8.21.

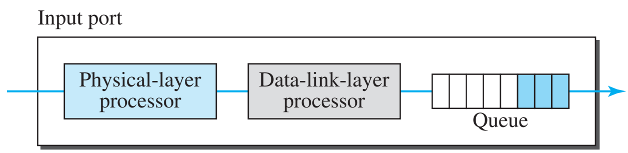
Figure 8.21 *Packet switch components*



Input Ports

An input port performs the physical and data-link functions of the packet switch. The bits are constructed from the received signal. The packet is decapsulated from the frame. Errors are detected and corrected. The packet is now ready to be routed by the network layer. In addition to a physical-layer processor and a data-link processor, the input port has buffers (queues) to hold the packet before it is directed to the switching fabric. Figure 8.22 shows a schematic diagram of an input port.

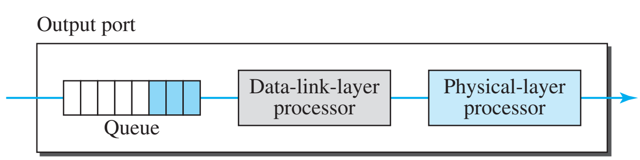
Figure 8.22 *Input port*



Output Port

The output port performs the same functions as the input port, but in the reverse order. First the outgoing packets are queued, then the packet is encapsulated in a frame, and finally the physical-layer functions are applied to the frame to create the signal to be sent on the line. Figure 8.23 shows a schematic diagram of an output port.

Figure 8.23 *Output port*



Routing Processor

The routing processor performs the functions of the network layer. The destination address is used to find the address of the next hop and, at the same time, the output port number from which the packet is sent out. This activity is sometimes referred to as **table lookup** because the routing processor searches the routing table. In the newer packet switches, this function of the routing processor is being moved to the input ports to facilitate and expedite the process.

Switching Fabrics

The most difficult task in a packet switch is to move the packet from the input queue to the output queue. The speed with which this is done affects the size of the input/output queue and the overall delay in packet delivery. In the past, when a packet switch was actually a dedicated computer, the memory of the computer or a bus was used as the switching fabric. The input port stored the packet in memory; the output port retrieved the packet from memory. Today, packet switches are specialized mechanisms that use a variety of switching fabrics. We briefly discuss some of these fabrics here.

Crossbar Switch

The simplest type of switching fabric is the crossbar switch, discussed in the previous section.

Banyan Switch

A more realistic approach than the crossbar switch is the **banyan switch** (named after the banyan tree). A banyan switch is a multistage switch with microswitches at each stage that route the packets based on the output port represented as a binary string. For n inputs and n outputs, we have $\log_2 n$ stages with $n/2$ microswitches at each stage. The first stage routes the packet based on the high-order bit of the binary string. The second stage routes the packet based on the second high-order bit, and so on. Figure 8.24 shows a banyan switch with eight inputs and eight outputs. The number of stages is $\log_2(8) = 3$.

Figure 8.24 *A banyan switch*

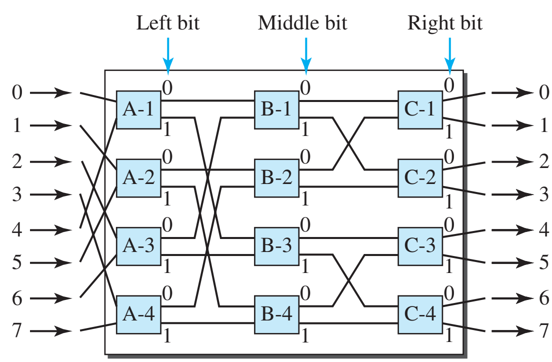
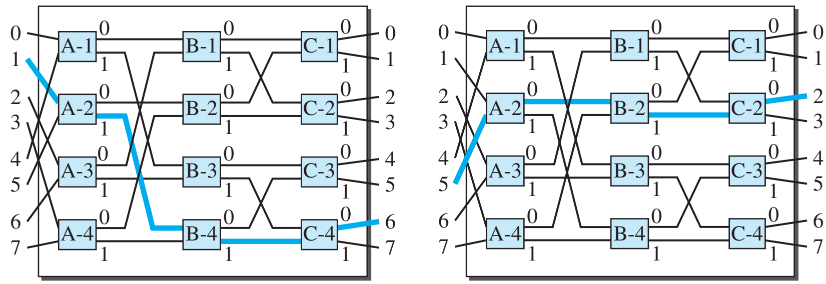


Figure 8.25 shows the operation. In part a, a packet has arrived at input port 1 and must go to output port 6 (110 in binary). The first microswitch (A-2) routes the packet

Figure 8.25 *Examples of routing in a banyan switch*



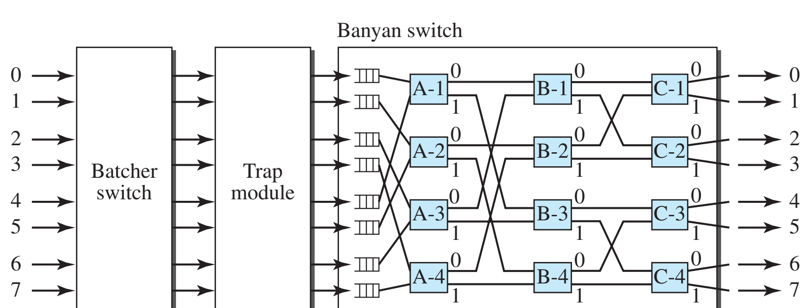
a. Input 1 sending a cell to output 6 (110) b. Input 5 sending a cell to output 2 (010)

based on the first bit (1), the second microswitch (B-4) routes the packet based on the second bit (1), and the third microswitch (C-4) routes the packet based on the third bit (0). In part b, a packet has arrived at input port 5 and must go to output port 2 (010 in binary). The first microswitch (A-2) routes the packet based on the first bit (0), the second microswitch (B-2) routes the packet based on the second bit (1), and the third microswitch (C-2) routes the packet based on the third bit (0).

Batcher-Banyan Switch The problem with the banyan switch is the possibility of internal collision even when two packets are not heading for the same output port. We can solve this problem by sorting the arriving packets based on their destination port.

K. E. Batcher designed a switch that comes before the banyan switch and sorts the incoming packets according to their final destinations. The combination is called the **Batcher-banyan switch.** The sorting switch uses hardware merging techniques, but we do not discuss the details here. Normally, another hardware module called a **trap** is added between the Batcher switch and the banyan switch (see Figure 8.26) The trap module prevents duplicate packets (the packets with the same output destination) from passing to the banyan switch simultaneously. Only one packet for each destination is allowed at each tick; if there is more than one, they wait for the next tick.

Figure 8.26 *Batcher-banyan switch*



CHAPTER 9

Using Telephone and Cable Networks for Data Transmission

Telephone networks were originally created to provide voice communication. The need to communicate digital data resulted in the invention of the dial-up modem. With the advent of the Internet came the need for high-speed downloading and uploading; the modem was just too slow. The telephone companies added a new technology, the *digital subscriber line* (DSL). Although dial-up modems still exist in many places all over the world, DSL provides much faster access to the Internet through the telephone network. In this chapter, we first discuss the basic structure of the telephone network. We then see how dial-up modems and DSL technology use these networks to access the Internet.

Cable networks were originally created to provide access to TV programs for those subscribers who had no reception because of natural obstructions such as mountains. Later the cable network became popular with people who just wanted a better signal. In addition, cable networks enabled access to remote broadcasting stations via microwave connections. Cable TV also found a good market in Internet access provision using some of the channels originally designed for video. After discussing the basic structure of cable networks, we discuss how cable modems can provide a high-speed connection to the Internet.

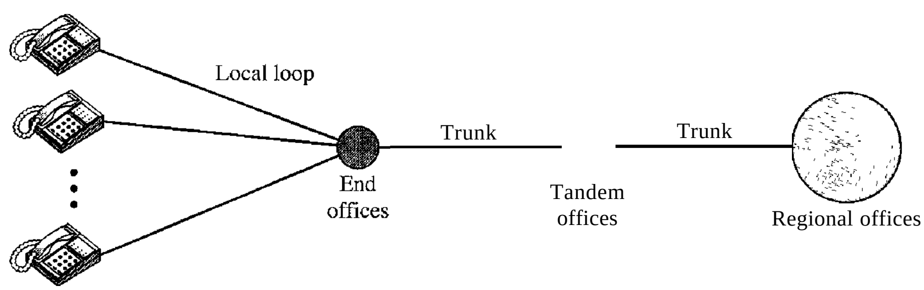
9.1 TELEPHONE NETWORK

Telephone networks use circuit switching. The telephone network had its beginnings in the late 1800s. The entire network, which is referred to as the plain old telephone system (POTS), was originally an analog system using analog signals to transmit voice. With the advent of the computer era, the network, in the 1980s, began to carry data in addition to voice. During the last decade, the telephone network has undergone many technical changes. The network is now digital as well as analog.

Major Components

The telephone network, as shown in Figure 9.1, is made of three major components: local loops, trunks, and switching offices. The telephone network has several levels of switching offices such as end offices, tandem offices, and regional offices.

Figure 9.1 *A telephone system*



Local Loops

One component of the telephone network is the local loop, a twisted-pair cable that connects the subscriber telephone to the nearest end office or local central office. The local loop, when used for voice, has a bandwidth of 4000 Hz (4 kHz). It is interesting to examine the telephone number associated with each local loop. The first three digits of a local telephone number define the office, and the next four digits define the local loop number.

Trunks

Trunks are transmission media that handle the communication between offices. A trunk normally handles hundreds or thousands of connections through multiplexing. Transmission is usually through optical fibers or satellite links.

Switching Offices

To avoid having a permanent physical link between any two subscribers, the telephone company has switches located in a switching office. A switch connects several local loops or trunks and allows a connection between different subscribers.

LATAs

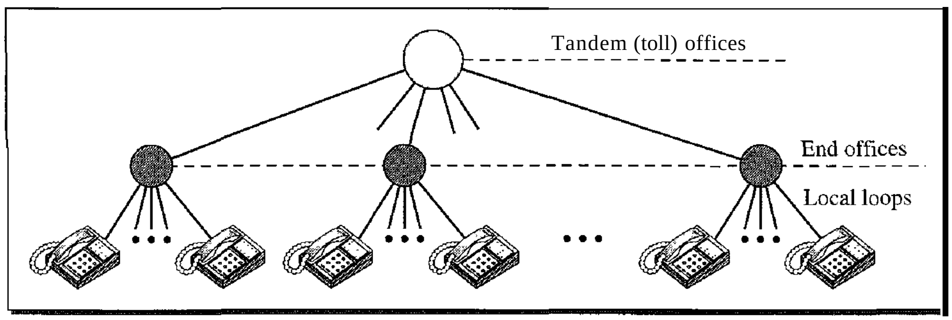
After the divestiture of 1984 (see Appendix E), the United States was divided into more than 200 local-access transport areas (LATAs). The number of LATAs has increased since then. A LATA can be a small or large metropolitan area. A small state may have one single LATA; a large state may have several LATAs. A LATA boundary may overlap the boundary of a state; part of a LATA can be in one state, part in another state.

Intra-LATA Services

The services offered by the common carriers (telephone companies) inside a LATA are called *intra-LATA* services. The carrier that handles these services is called a local exchange carrier (LEC). Before the Telecommunications Act of 1996 (see Appendix E), intra-LATA services were granted to one single carrier. This was a monopoly. After 1996, more than one carrier could provide services inside a LATA. The carrier that provided services before 1996 owns the cabling system (local loops) and is called the incumbent local exchange carrier (ILEC). The new carriers that can provide services are called competitive local exchange carriers (CLECs). To avoid the costs of new cabling, it was agreed that the ILECs would continue to provide the main services, and the CLECs would provide other services such as mobile telephone service, toll calls inside a LATA, and so on. Figure 9.2 shows a LATA and switching offices.

Intra-LATA services are provided by local exchange carriers. Since **1996**, there are two types of LECs: incumbent local exchange carriers and competitive local exchange carriers.

Figure 9.2 *Switching offices in a LATA*



Communication inside a LATA is handled by end switches and tandem switches. A call that can be completed by using only end offices is considered toll-free. A call that has to go through a tandem office (intra-LATA toll office) is charged.

Inter-LATA Services

The services between LATAs are handled by interexchange carriers (IXCs). These carriers, sometimes called long-distance companies, provide communication services between two customers in different LATAs. After the act of 1996 (see Appendix E), these services can be provided by any carrier, including those involved in intra-LATA services. The field is wide open. Carriers providing inter-LATA services include AT&T, MCI, WorldCom, Sprint, and Verizon.

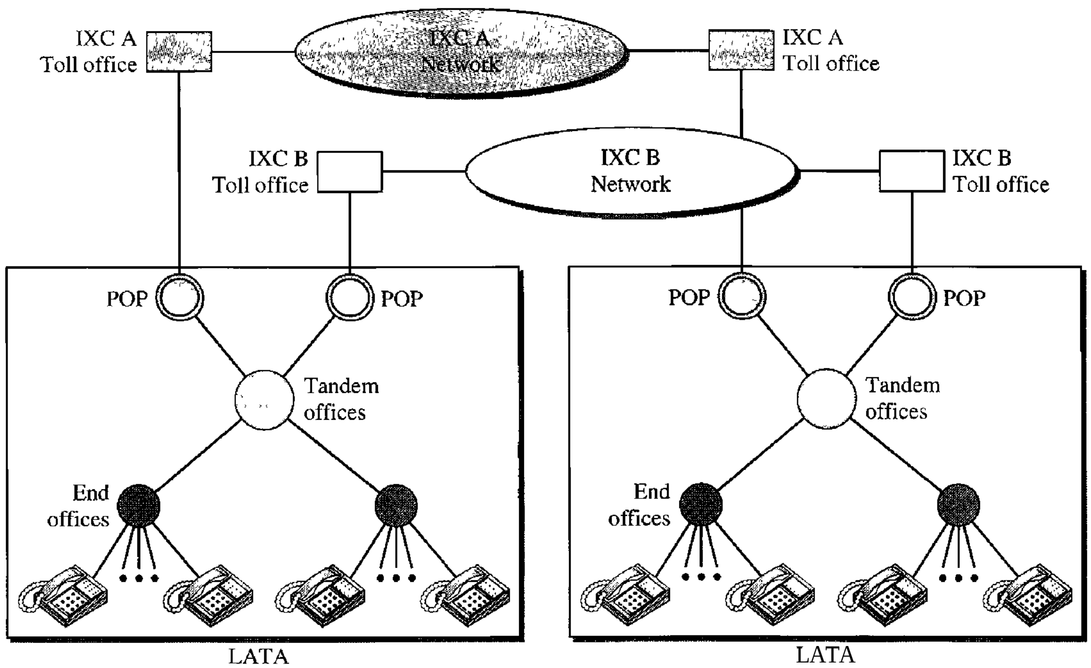
The IXCs are long-distance carriers that provide general data communications services including telephone service. A telephone call going through an IXC is normally digitized, with the carriers using several types of networks to provide service.

Points of Presence

As we discussed, intra-LATA services can be provided by several LECs (one ILEC and possibly more than one CLEC). We also said that inter-LATA services can be provided by several IXCs. How do these carriers interact with one another? The answer is, via a switching office called a **point** of presence (POP). Each IXC that wants to provide inter-LATA services in a LATA must have a POP in that LATA. The LECs that provide services inside the LATA must provide connections so that every subscriber can have access to all POPs. Figure 9.3 illustrates the concept.

A subscriber who needs to make a connection with another subscriber is connected first to an end switch and then, either directly or through a tandem switch, to a POP. The

Figure 9.3 *Point ofpresences (POPs)*



call now goes from the POP of an IXC (the one the subscriber has chosen) in the source LATA to the POP of the same IXC in the destination LATA. The call is passed through the toll office of the IXC and is carried through the network provided by the IXC.

Signaling

The telephone network, at its beginning, used a circuit-switched network with dedicated links (multiplexing had not yet been invented) to transfer voice communication. As we saw in Chapter 8, a circuit-switched network needs the setup and teardown phases to establish and terminate paths between the two communicating parties. In the beginning, this task was performed by human operators. The operator room was a center to which all subscribers were connected. A subscriber who wished to talk to another subscriber picked up the receiver (off-hook) and rang the operator. The operator, after listening to the caller and getting the identifier of the called party, connected the two by using a wire with two plugs inserted into the corresponding two jacks. A dedicated circuit was created in this way. One of the parties, after the conversation ended, informed the operator to disconnect the circuit. This type of signaling is called in-band signaling because the same circuit can be used for both signaling and voice communication.

Later, the signaling system became automatic. Rotary telephones were invented that sent a digital signal defining each digit in a multidigit telephone number. The switches in the telephone companies used the digital signals to create a connection between the caller and the called parties. Both in-band and out-of-band signaling were used. In in-band signaling, the 4-kHz voice channel was also used to provide signaling. In out-of-band signaling, a portion of the voice channel bandwidth was used for signaling; the voice bandwidth and the signaling bandwidth were separate.

As telephone networks evolved into a complex network, the functionality of the signaling system increased. The signaling system was required to perform other tasks such as

1. Providing dial tone, ring tone, and busy tone
2. Transferring telephone numbers between offices
3. Maintaining and monitoring the call
4. Keeping billing information
5. Maintaining and monitoring the status of the telephone network equipment
6. Providing other functions such as caller ID, voice mail, and so on

These complex tasks resulted in the provision of a separate network for signaling. This means that a telephone network today can be thought of as two networks: a signaling network and a data transfer network.

The tasks of data transfer and signaling are separated in modern telephone networks: data transfer is done by one network, signaling by another.

However, we need to emphasize a point here. Although the two networks are separate, this does not mean that there are separate physical links everywhere; the two networks may use separate channels of the same link in parts of the system.

Data Transfer Network

The data transfer network that can carry multimedia information today is, for the most part, a circuit-switched network, although it can also be a packet-switched network. This network follows the same type of protocols and model as other networks discussed in this book.

Signaling Network

The signaling network, which is our main concern in this section, is a packet-switched network involving the layers similar to those in the OSI model or Internet model, discussed in Chapter 2. The nature of signaling makes it more suited to a packet-switching network with different layers. For example, the information needed to convey a telephone address can easily be encapsulated in a packet with all the error control and addressing information. Figure 9.4 shows a simplified situation of a telephone network in which the two networks are separated.

The user telephone or computer is connected to the signal points (SPs). The link between the telephone set and SP is common for the two networks. The signaling network uses nodes called signal transport ports (STPs) that receive and forward signaling messages. The signaling network also includes a service control point (SCP) that controls the whole operation of the network. Other systems such as a database center may be included to provide stored information about the entire signaling network.

Signaling System Seven (5S7)

The protocol that is used in the signaling network is called Signaling System Seven (SS7). It is very similar to the five-layer Internet model we saw in Chapter 2, but the layers have different names, as shown in Figure 9.5.

Figure 9.4 *Data transfer and signaling networks*

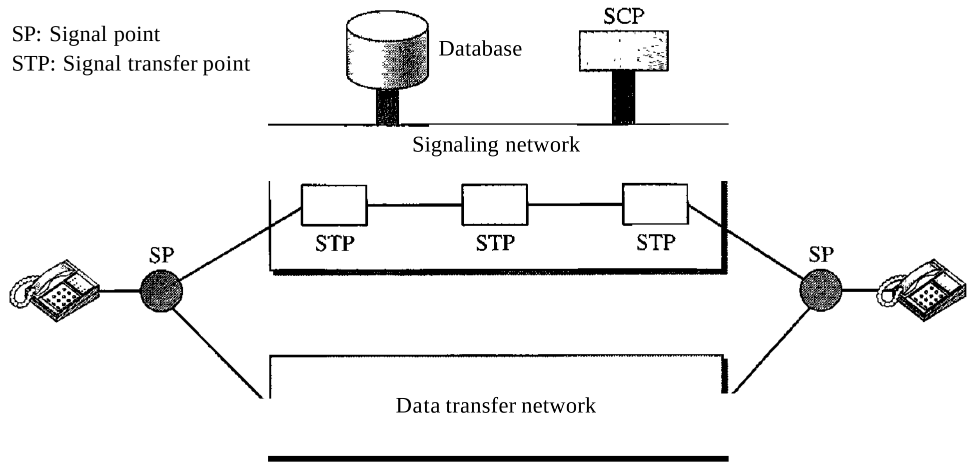
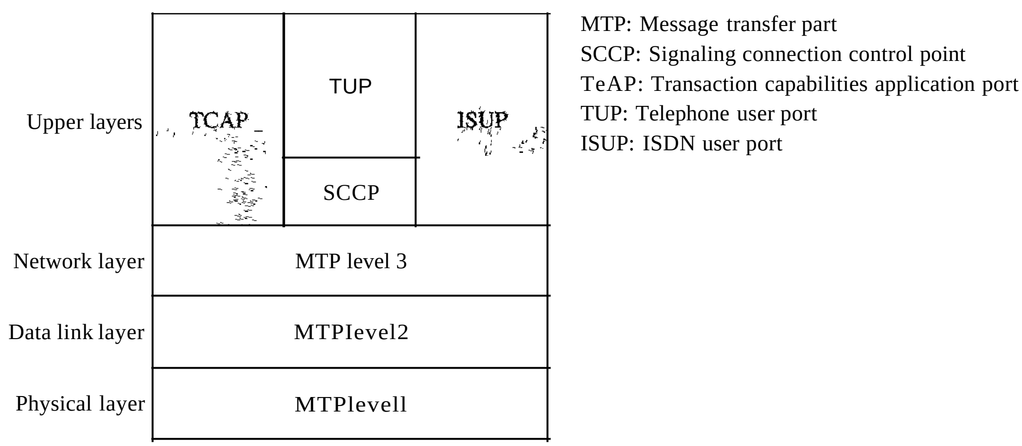


Figure 9.5 *Layers in SS7*



Physical Layer: MTP Level 1 The physical layer in SS7 called message transport part (MTP) level I uses several physical layer specifications such as T-l (1.544 Mbps) and DCa (64 kbps).

Data Link Layer: MTP Level 2 The MTP level 2 layer provides typical data link layer services such as packetizing, using source and destination address in the packet header, and CRC for error checking.

Network Layer: MTP Level 3 The MTP level 3 layer provides end-to-end connectivity by using the datagram approach to switching. Routers and switches route the signal packets from the source to the destination.

Transport Layer: SCCP The signaling connection control point (SCCP) is used for special services such as SaO-call processing.

Upper Layers: TUP, TCAP, and ISUP There are three protocols at the upper layers. Telephone user port (TUP) is responsible for setting up voice calls. It receives the dialed digits and routes the calls. Transaction capabilities application port (TCAP) provides remote calls that let an application program on a computer invoke a procedure on another computer. ISDN user port (ISUP) can replace TUP to provide services similar to those of an ISDN network.

Services Provided by Telephone Networks

Telephone companies provide two types of services: analog and digital.

Analog Services

In the beginning, telephone companies provided their subscribers with analog services. These services still continue today. We can categorize these services as either analog switched services or analog leased services.

Analog Switched Services This is the familiar dial-up service most often encountered when a home telephone is used. The signal on a local loop is analog, and the bandwidth is usually between 0 and 4000 Hz. A local call service is normally provided for a flat monthly rate, although in some LATAs, the carrier charges for each call or a set of calls. The rationale for a non flat-rate charge is to provide cheaper service for those customers who do not make many calls. A toll call can be intra-LATA or inter-LATA. If the LATA is geographically large, a call may go through a tandem office (toll office) and the subscriber will pay a fee for the call. The inter-LATA calls are long-distance calls and are charged as such.

Another service is called 800 service. If a subscriber (normally an organization) needs to provide free connections for other subscribers (normally customers), it can request the 800 service. In this case, the call is free for the caller, but it is paid by the callee. An organization uses this service to encourage customers to call. The rate is less expensive than that for a normal long-distance call.

The wide-area telephone service (WATS) is the opposite of the 800 service. The latter are inbound calls paid by the organization; the former are outbound calls paid by the organization. This service is a less expensive alternative to regular toll calls; charges are based on the number of calls. The service can be specified as outbound calls to the same state, to several states, or to the whole country, with rates charged accordingly.

The 900 services are like the 800 service, in that they are inbound calls to a subscriber. However, unlike the 800 service, the call is paid by the caller and is normally much more expensive than a normal long-distance call. The reason is that the carrier charges *two* fees: the first is the long-distance toll, and the second is the fee paid to the callee for each call.

Analog Leased Service An analog leased service offers customers the opportunity to lease a line, sometimes called a *dedicated line,* that is permanently connected to another customer. Although the connection still passes through the switches in the telephone network, subscribers experience it as a single line because the switch is always closed; no dialing is needed.

Digital Services

Recently telephone companies began offering digital services to their subscribers. Digital services are less sensitive than analog services to noise and other forms of interference.

The two most common digital services are switched/56 service and digital data service (DDS). We already discussed high-speed digital services-the T lines-in Chapter 6. We discuss the other services in this chapter.

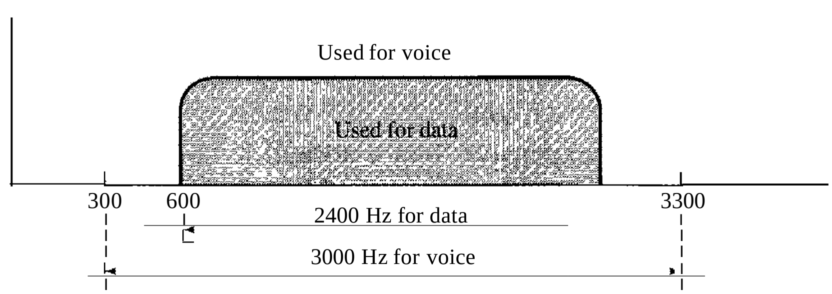
Switched/56 Service Switched/56 service is the digital version of an analog switched line. It is a switched digital service that allows data rates of up to 56 kbps. To communicate through this service, both parties must subscribe. A caller with normal telephone service cannot connect to a telephone or computer with switched/56 service even if the caller is using a modem. On the whole, digital and analog services represent two completely different domains for the telephone companies. Because the line in a switched! 56 service is already digital, subscribers do not need modems to transmit digital data. However, they do need another device called a digital service unit (DSU).

Digital Data Service Digital data service (DDS) is the digital version of an analog leased line; it is a digital leased line with a maximum data rate of 64 kbps.

9.2 DIAL-UP MODEMS

Traditional telephone lines can carry frequencies between 300 and 3300 Hz, giving them a bandwidth of 3000 Hz. All this range is used for transmitting voice, where a great deal of interference and distortion can be accepted without loss of intelligibility. As we have seen, however, data signals require a higher degree of accuracy to ensure integrity. For safety's sake, therefore, the edges of this range are not used for data communications. In general, we can say that the signal bandwidth must be smaller than the cable bandwidth. The effective bandwidth of a telephone line being used for data transmission is 2400 Hz, covering the range from 600 to 3000 Hz. Note that today some telephone lines are capable of handling greater bandwidth than traditional lines. However, modem design is still based on traditional capability (see Figure 9.6).

Figure 9.6 *Telephone line bandwidth*

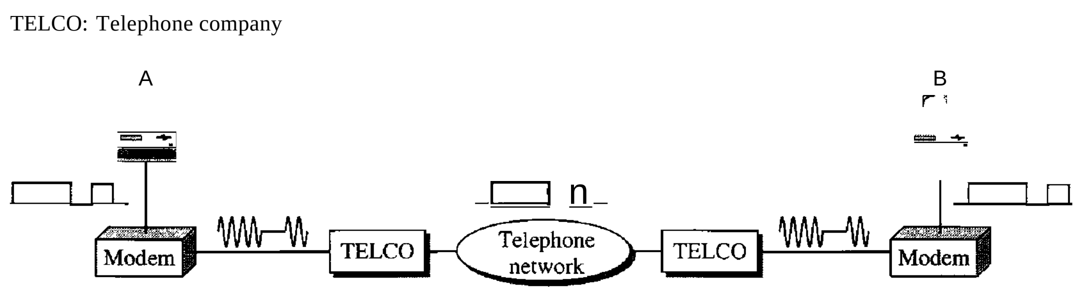


The term modem is a composite word that refers to the two functional entities that make up the device: a signal modulator and a signal demodulator. A modulator creates a bandpass analog signal from binary data. A demodulator recovers the binary data from the modulated signal.

Modem stands for modulator/demodulator.

Figure 9.7 shows the relationship of modems to a communications link. The computer on the left sends a digital signal to the modulator portion of the modem; the data are sent as an analog signal on the telephone lines. The modem on the right receives the analog signal, demodulates it through its demodulator, and delivers data to the computer on the right. The communication can be bidirectional, which means the computer on the right can simultaneously send data to the computer on the left, using the same modulation/demodulation processes.

Figure 9.7 *Modulation/demodulation*



Modem Standards

Today, many of the most popular modems available are based on the V-series standards published by the ITU-T. We discuss just the most recent series.

V.32 and V.32bis

The V.32 modem uses a combined modulation and encoding technique called trellis-coded modulation. Trellis is essentially QAM plus a redundant bit. The data stream is divided into 4-bit sections. Instead of a quadbit (4-bit pattern), however, a *pentabit* (5-bit pattern) is transmitted. The value of the extra bit is calculated from the values of the data bits. The extra bit is used for error detection.

The Y.32 calls for 32-QAM with a baud rate of 2400. Because only 4 bits of each pentabit represent data, the resulting data rate is 4 x 2400 = 9600 bps. The constellation diagram and bandwidth are shown in Figure 9.8.

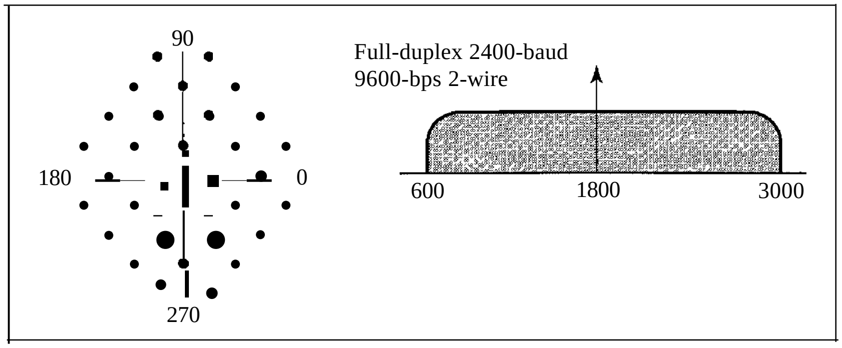
The V.32bis modem was the first of the ITU-T standards to support 14,400-bps transmission. The Y.32bis uses 128-QAM transmission (7 bits/baud with I bit for error control) at a rate of 2400 baud (2400 x 6 = 14,400 bps).

An additional enhancement provided by Y.32bis is the inclusion of an automatic fall-back and fall-forward feature that enables the modem to adjust its speed upward or downward depending on the quality of the line or signal. The constellation diagram and bandwidth are also shown in Figure 9.8.

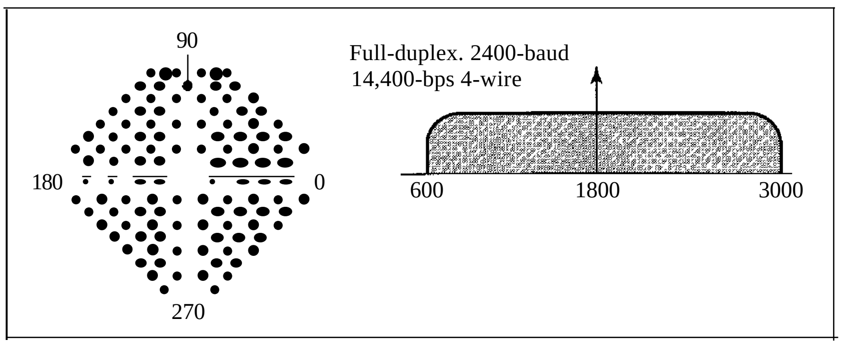
V.34bis

The V.34bis modem provides a bit rate of 28,800 with a 960-point constellation and a bit rate of 33,600 bps with a 1664-point constellation.

Figure 9.8 *The V.32 and V.32bis constellation and bandwidth*



a. Constellation and bandwidth for V.32



b. Constellation and bandwidth for V.32bis

V.90

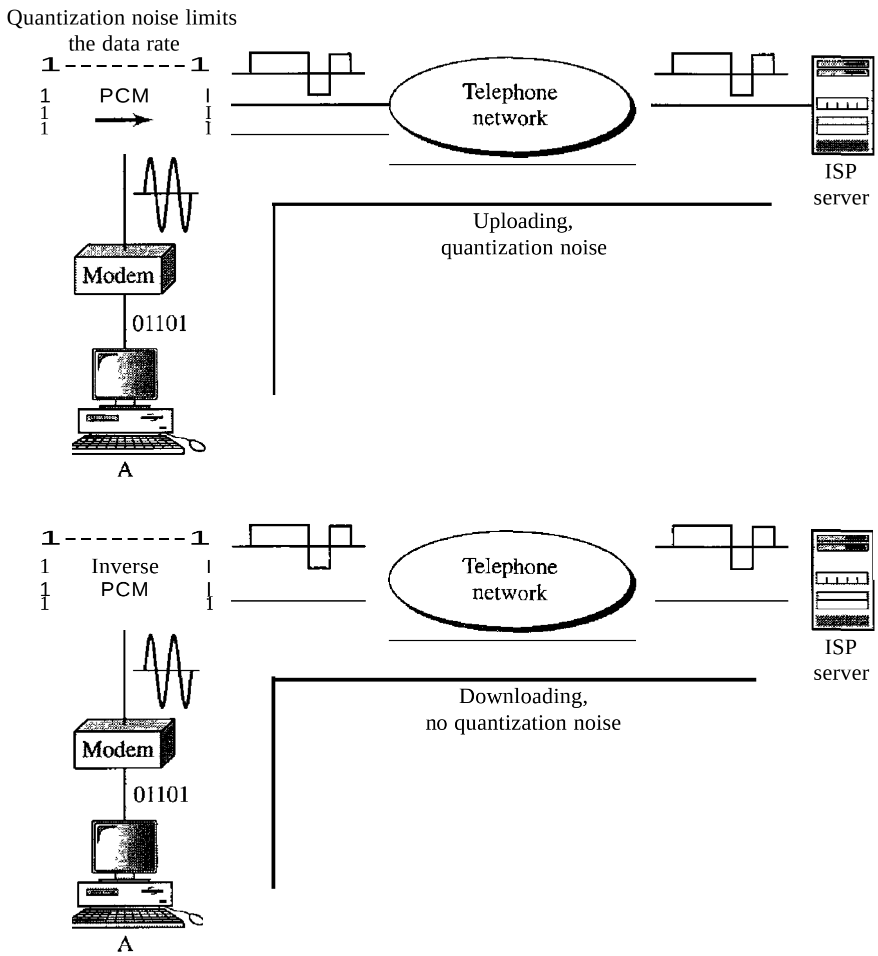
Traditional modems have a data rate limitation of 33.6 kbps, as determined by the Shannon capacity (see Chapter 3). However, V.90 modems with a bit rate of 56,000 bps are available; these are called 56K modems. These modems may be used only if one party is using digital signaling (such as through an Internet provider). They are asymmetric in that the downloading rate (flow of data from the Internet service provider to the PC) is a maximum of 56 kbps, while the uploading rate (flow of data from the PC to the Internet provider) can be a maximum of 33.6 kbps. Do these modems violate the Shannon capacity principle? No, in the downstream direction, the SNR ratio is higher because there is no quantization error (see Figure 9.9).

In uploading, the analog signal must still be sampled at the switching station. In this direction, quantization noise (as we saw in Chapter 4) is introduced into the signal, which reduces the SNR ratio and limits the rate to 33.6 kbps.

However, there is no sampling in the downloading. The signal is not affected by quantization noise and not subject to the Shannon capacity limitation. The maximum data rate in the uploading direction is still 33.6 kbps, but the data rate in the downloading direction is now 56 kbps.

One may wonder how we arrive at the 56-kbps figure. The telephone companies sample 8000 times per second with 8 bits per sample. One of the bits in each sample is used for control purposes, which means each sample is 7 bits. The rate is therefore 8000 x 7, or 56,000 bps or 56 kbps.

Figure 9.9 *Uploading and downloading in 56K modems*



V.92

The standard above V90 is called **V.92.** These modems can adjust their speed, and if the noise allows, they can upload data at the rate of 48 kbps. The downloading rate is still 56 kbps. The modem has additional features. For example, the modem can interrupt the Internet connection when there is an incoming call if the line has call-waiting service.

9.3 DIGITAL SUBSCRIBER LINE

After traditional modems reached their peak data rate, telephone companies developed another technology, DSL, to provide higher-speed access to the Internet. Digital subscriber line (DSL) technology is one of the most promising for supporting high-speed digital communication over the existing local loops. DSL technology is a set of technologies, each differing in the first letter (ADSL, VDSL, HDSL, and SDSL). The set is often referred to as xDSL, where *x* can be replaced by A, V, H, or S.

ADSL

The first technology in the set is asymmetric DSL (ADSL). ADSL, like a 56K modem, provides higher speed (bit rate) in the downstream direction (from the Internet to the resident) than in the upstream direction (from the resident to the Internet). That is the reason it is called asymmetric. Unlike the asymmetry in 56K modems, the designers of ADSL specifically divided the available bandwidth of the local loop unevenly for the residential customer. The service is not suitable for business customers who need a large bandwidth in both directions.

ADSL is an asymmetric communication technology designed for residential users; it is not suitable for businesses.

Using Existing Local Loops

One interesting point is that ADSL uses the existing local loops. But how does ADSL reach a data rate that was never achieved with traditional modems? The answer is that the twisted-pair local loop is actually capable of handling bandwidths up to 1.1 MHz, but the filter installed at the end office of the telephone company where each local loop terminates limits the bandwidth to 4 kHz (sufficient for voice communication). If the filter is removed, however, the entire 1.1 MHz is available for data and voice communications.

The existing local loops can handle bandwidths up to 1.1 MHz.

Adaptive Technology

Unfortunately, 1.1 MHz is just the theoretical bandwidth of the local loop. Factors such as the distance between the residence and the switching office, the size of the cable, the signaling used, and so on affect the bandwidth. The designers of ADSL technology were aware of this problem and used an adaptive technology that tests the condition and bandwidth availability of the line before settling on a data rate. The data rate of ADSL is not fixed; it changes based on the condition and type of the local loop cable.

ADSL is an adaptive technology. The system uses a data rate based on the condition of the local loop line.

Discrete Multitone Technique

The modulation technique that has become standard for ADSL is called the discrete multitone technique (DMT) which combines QAM and FDM. There is no set way that the bandwidth of a system is divided. Each system can decide on its bandwidth division. Typically, an available bandwidth of 1.104 MHz is divided into 256 channels. Each channel uses a bandwidth of 4.312 kHz, as shown in Figure 9.10. Figure 9.11 shows how the bandwidth can be divided into the following:

- Voice. Channel 0 is reserved for voice communication.
- Idle. Channels 1 to 5 are not used and provide a gap between voice and data communication.

Figure 9.10 *Discrete multitone technique*

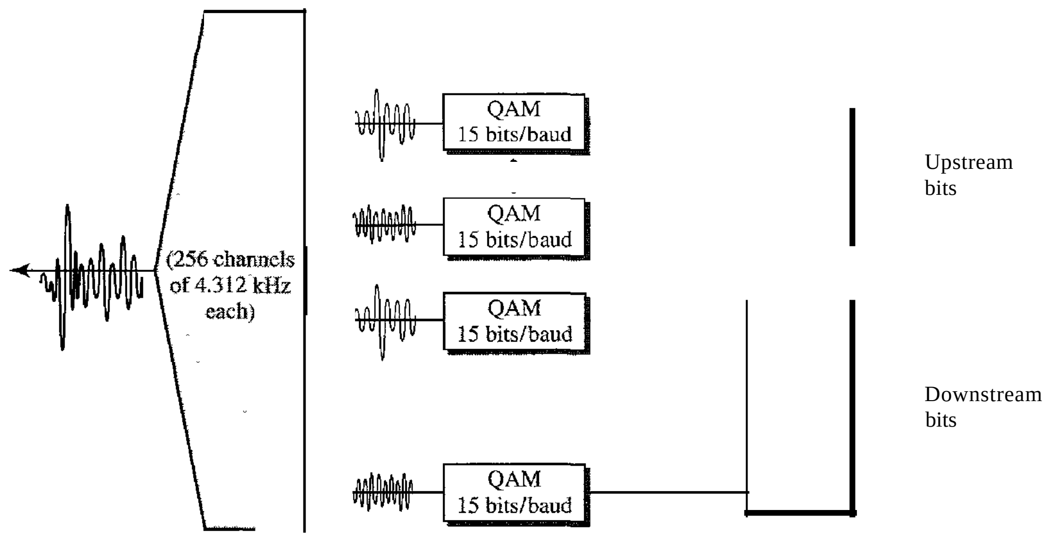
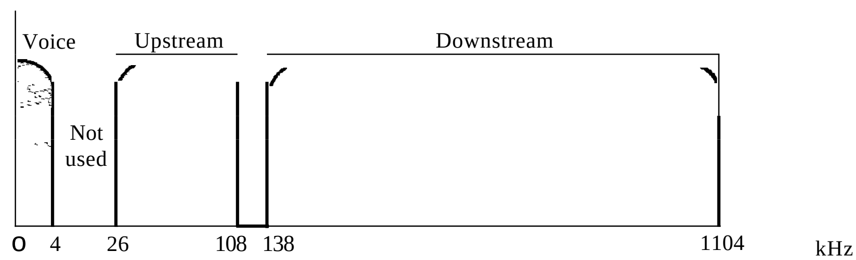


Figure 9.11 *Bandwidth division in ADSL*

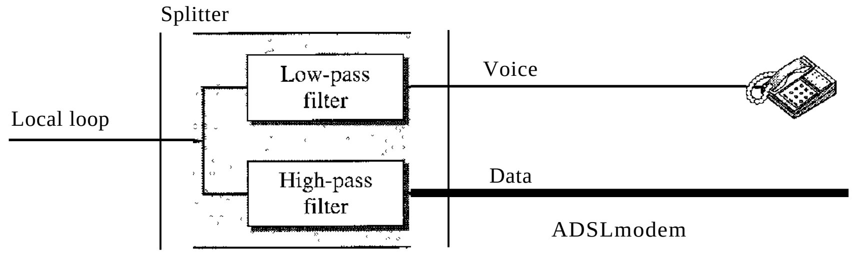


- Upstream data and control. Channels 6 to 30 (25 channels) are used for upstream data transfer and control. One channel is for control, and 24 channels are for data transfer. If there are 24 channels, each using 4 kHz (out of 4.312 kHz available) with QAM modulation, we have 24 x 4000 x 15, or a 1.44-Mbps bandwidth, in the upstream direction. However, the data rate is normally below 500 kbps because some of the carriers are deleted at frequencies where the noise level is large. In other words, some of channels may be unused.
- Downstream data and control. Channels 31 to 255 (225 channels) are used for downstream data transfer and control. One channel is for control, and 224 channels are for data. If there are 224 channels, we can achieve up to 224 x 4000 x 15, or 13.4 Mbps. However, the data rate is normally below 8 Mbps because some of the carriers are deleted at frequencies where the noise level is large. In other words, some of channels may be unused.

Customer Site: ADSL Modem

Figure 9.12 shows an ADSL modem installed at a customer's site. The local loop connects to a splitter which separates voice and data communications. The ADSL modem modulates and demodulates the data, using DMT, and creates downstream and upstream channels.

Figure 9.12 *ADSL modem*

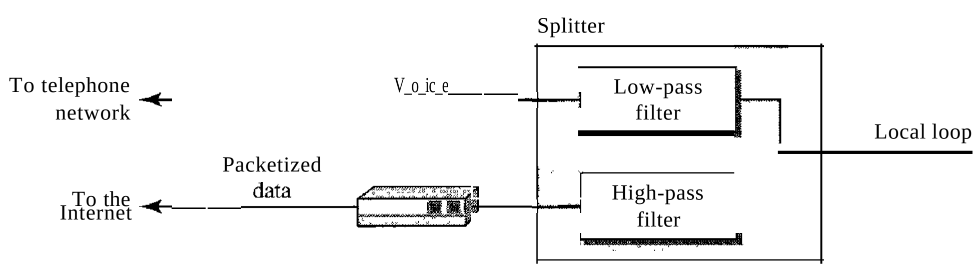


Note that the splitter needs to be installed at the customer's premises, normally by a technician from the telephone company. The voice line can use the existing telephone wiring in the house, but the data line needs to be installed by a professional. All this makes the ADSL line expensive. We will see that there is an alternative technology, Universal ADSL (or ADSL Lite).

Telephone Company Site: DSLAM

At the telephone company site, the situation is different. Instead of an ADSL modem, a device called a digital subscriber line access multiplexer (DSLAM) is installed that functions similarly. In addition, it packetizes the data to be sent to the Internet (ISP server). Figure 9.13 shows the configuration.

Figure 9.13 *DSLAM*



ADSL Lite

The installation of splitters at the border of the premises and the new wiring for the data line can be expensive and impractical enough to dissuade most subscribers. A new version of ADSL technology called ADSL Lite (or Universal ADSL or splitterless ADSL) is available for these subscribers. This technology allows an ASDL Lite modem to be plugged directly into a telephone jack and connected to the computer. The splitting is done at the telephone company. ADSL Lite uses 256 DMT carriers with 8-bit modulation (instead of 15-bit). However, some of the carriers may not be available because errors created by the voice signal might mingle with them. It can provide a maximum downstream data rate of 1.5 Mbps and an upstream data rate of 512 kbps.

HDSL

The high-bit-rate digital subscriber line (HDSL) was designed as an alternative to the T-lline (1.544 Mbps). The T-1line uses alternate mark inversion (AMI) encoding, which is very susceptible to attenuation at high frequencies. This limits the length of a T-l line to 3200 ft (1 km). For longer distances, a repeater is necessary, which means increased costs.

HDSL uses 2B1Q encoding (see Chapter 4), which is less susceptible to attenuation. A data rate of 1.544 Mbps (sometimes up to 2 Mbps) can be achieved without repeaters up to a distance of 12,000 ft (3.86 km). HDSL uses two twisted pairs (one pair for each direction) to achieve full-duplex transmission.

SDSL

The symmetric digital subscriber line (SDSL) is a one twisted-pair version ofHDSL. It provides full-duplex symmetric communication supporting up to 768 kbps in each direction. SDSL, which provides symmetric communication, can be considered an alternative to ADSL. ADSL provides asymmetric communication, with a downstream bit rate that is much higher than the upstream bit rate. Although this feature meets the needs of most residential subscribers, it is not suitable for businesses that send and receive data in large volumes in both directions.

VDSL

The very high-bit-rate digital subscriber line (VDSL), an alternative approach that is similar to ADSL, uses coaxial, fiber-optic, or twisted-pair cable for short distances. The modulating technique is DMT. It provides a range of bit rates (25 to 55 Mbps) for upstream communication at distances of 3000 to 10,000 ft. The downstream rate is normally 3.2 Mbps.

Summary

Table 9.1 shows a summary of DSL technologies. Note that the data rate and distances are approximations and can vary from one implementation to another.

Table 9.1 *Summary ofDSL technologies*

Technology	*Downstream Rate*	*Upstream Rate*	*Distance (jt)*	*Twisted Pairs*	*Line Code*
ADSL	1.5-6.1 Mbps	16-640 kbps	12,000	1	DMT
ADSL Lite	1.5 Mbps	500 kbps	18,000	1	DMT
HDSL	1.5-2.0 Mbps	1.5-2.0 Mbps	12,000	2	2B1Q
SDSL	768 kbps	768 kbps	12,000	1	2B1Q
VDSL	25-55 Mbps	3.2 Mbps	3000-10,000	1	DMT

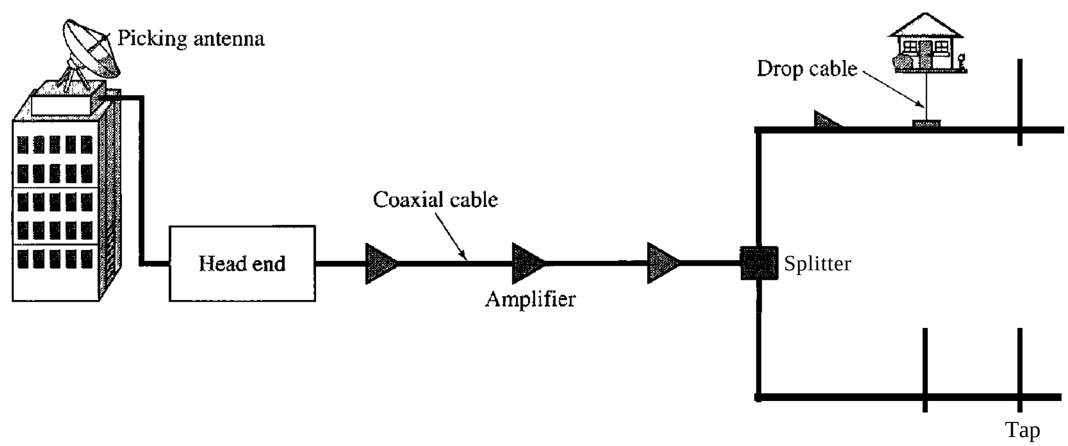
9.4 CABLE TV NETWORKS

The cable TV network started as a video service provider, but it has moved to the business of Internet access. In this section, we discuss cable TV networks per se; in Section 9.5 we discuss how this network can be used to provide high-speed access to the Internet.

Traditional Cable Networks

Cable TV started to distribute broadcast video signals to locations with poor or no reception in the late 1940s. It was called community antenna TV (CATV) because an antenna at the top of a tall hill or building received the signals from the TV stations and distributed them, via coaxial cables, to the community. Figure 9.14 shows a schematic diagram of a traditional cable TV network.

Figure 9.14 *Traditional cable TV network*



The cable TV office, called the head end, receives video signals from broadcasting stations and feeds the signals into coaxial cables. The signals became weaker and weaker with distance, so amplifiers were installed through the network to renew the signals. There could be up to 35 amplifiers between the head end and the subscriber premises. At the other end, splitters split the cable, and taps and drop cables make the connections to the subscriber premises.

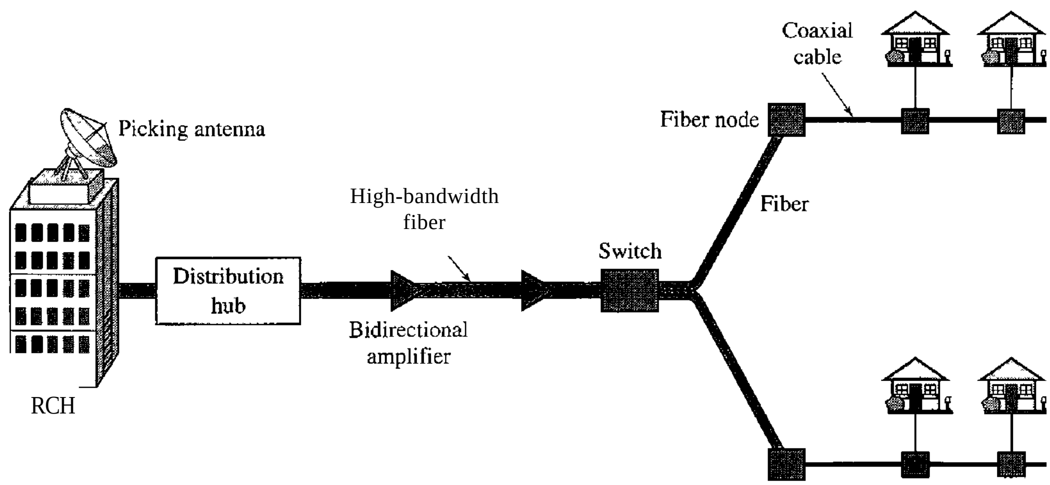
The traditional cable TV system used coaxial cable end to end. Due to attenuation of the signals and the use of a large number of amplifiers, communication in the traditional network was unidirectional (one-way). Video signals were transmitted downstream, from the head end to the subscriber premises.

Communication in the traditional cable TV network is unidirectional.

Hybrid Fiber-Coaxial (HFC) Network

The second generation of cable networks is called a hybrid fiber-coaxial (HFC) network. The network uses a combination of fiber-optic and coaxial cable. The transmission medium from the cable TV office to a box, called the fiber node, is optical fiber; from the fiber node through the neighborhood and into the house is still coaxial cable. Figure 9.15 shows a schematic diagram of an HFC network.

Figure 9.15 *Hybridfiber-coaxial (HFC) network*



The regional cable head (RCH) normally serves up to 400,000 subscribers. The RCHs feed the distribution hubs, each of which serves up to 40,000 subscribers. The distribution hub plays an important role in the new infrastructure. Modulation and distribution of signals are done here; the signals are then fed to the fiber nodes through fiber-optic cables. The fiber node splits the analog signals so that the same signal is sent to each coaxial cable. Each coaxial cable serves up to 1000 subscribers. The use of fiber-optic cable reduces the need for amplifiers down to eight or less.

One reason for moving from traditional to hybrid infrastructure is to make the cable network bidirectional (two-way).

Communication in an HFC cable TV network can be bidirectional.

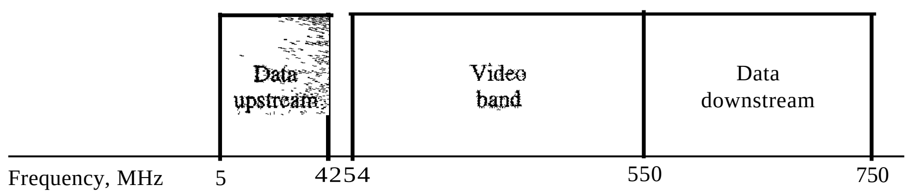
9.5 CABLE TV FOR DATA TRANSFER

Cable companies are now competing with telephone companies for the residential customer who wants high-speed data transfer. DSL technology provides high-data-rate connections for residential subscribers over the local loop. However, DSL uses the existing unshielded twisted-pair cable, which is very susceptible to interference. This imposes an upper limit on the data rate. Another solution is the use of the cable TV network. In this section, we briefly discuss this technology.

Bandwidth

Even in an HFC system, the last part of the network, from the fiber node to the subscriber premises, is still a coaxial cable. This coaxial cable has a bandwidth that ranges from 5 to 750 MHz (approximately). To provide Internet access, the cable company has divided this bandwidth into three bands: video, downstream data, and upstream data, as shown in Figure 9.16.

Figure 9.16 *Division of coaxial cable band by CATV*



Downstream Video Band

The downstream video band occupies frequencies from 54 to 550 MHz. Since each TV channel occupies 6 MHz, this can accommodate more than 80 channels.

Downstream Data Band

The downstream data (from the Internet to the subscriber premises) occupies the upper band, from 550 to 750 MHz. This band is also divided into 6-MHz channels.

Modulation Downstream data band uses the 64-QAM (or possibly 256-QAM) modulation technique.

Downstream data are modulated using the 64-QAM modulation technique.

Data Rate There is 6 bits/baud in 64-QAM. One bit is used for forward error correction; this leaves 5 bits of data per baud. The standard specifies 1 Hz for each baud; this means that, theoretically, downstream data can be received at 30 Mbps (5 bits/Hz x 6 MHz). The standard specifies only 27 Mbps. However, since the cable modem is normally connected to the computer through a 10Base-T cable (see Chapter 13), this limits the data rate to 10 Mbps.

The theoretical downstream data rate is 30 Mbps.

Upstream Data Band

The upstream data (from the subscriber premises to the Internet) occupies the lower band, from 5 to 42 MHz. This band is also divided into 6-MHz channels.

Modulation The upstream data band uses lower frequencies that are more susceptible to noise and interference. For this reason, the QAM technique is not suitable for this band. A better solution is QPSK.

Upstream data are modulated using the QPSK modulation technique.

Data Rate There are 2 bitslbaud in QPSK. The standard specifies 1 Hz for each baud; this means that, theoretically, upstream data can be sent at 12 Mbps (2 bitslHz x 6 MHz). However, the data rate is usually less than 12 Mbps.

The theoretical upstream data rate is 12 Mbps.

Sharing

Both upstream and downstream bands are shared by the subscribers.

Upstream Sharing

The upstream data bandwidth is 37 MHz. This means that there are only six 6-MHz channels available in the upstream direction. A subscriber needs to use one channel to send data in the upstream direction. The question is, "How can six channels be shared in an area with 1000,2000, or even 100,000 subscribers?" The solution is timesharing. The band is divided into channels using FDM; these channels must be shared between subscribers in the same neighborhood. The cable provider allocates one channel, statically or dynamically, for a group of subscribers. If one subscriber wants to send data, she or he contends for the channel with others who want access; the subscriber must wait until the channel is available.

Downstream Sharing

We have a similar situation in the downstream direction. The downstream band has 33 channels of 6 MHz. A cable provider probably has more than 33 subscribers; therefore, each channel must be shared between a group of subscribers. However, the situation is different for the downstream direction; here we have a multicasting situation. If there are data for any of the subscribers in the group, the data are sent to that channel. Each subscriber is sent the data. But since each subscriber also has an address registered with the provider; the cable modem for the group matches the address carried with the data to the address assigned by the provider. If the address matches, the data are kept; otherwise, they are discarded.

CMandCMTS

To use a cable network for data transmission, we need two key devices: a cable modem (CM) and a cable modem transmission system (CMTS).

CM

The cable modem (CM) is installed on the subscriber premises. It is similar to an ADSL modem. Figure 9.17 shows its location.

CMTS

The cable modem transmission system (CMTS) is installed inside the distribution hub by the cable company. It receives data from the Internet and passes them to the combiner, which sends them to the subscriber. The CMTS also receives data from the subscriber and passes them to the Internet. Figure 9.18 shows the location of the CMTS.

Figure 9.17 *Cable modem (CM)*

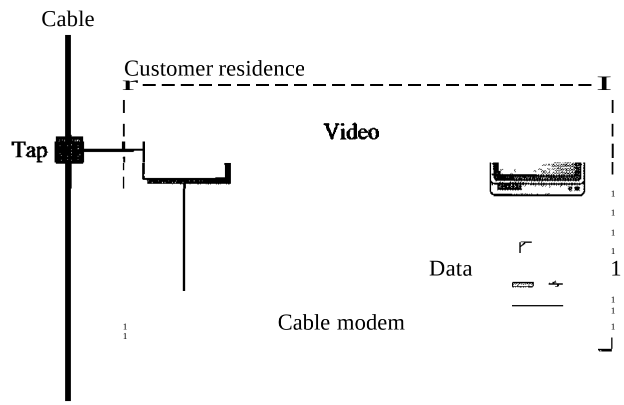
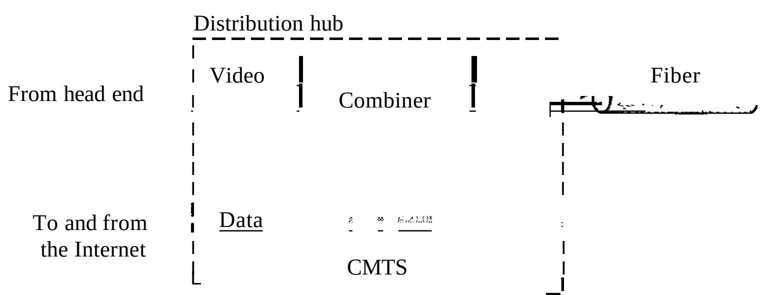


Figure 9.18 *Cable modem transmission system (CMTS)*



Data Transmission Schemes: DOeSIS

During the last few decades, several schemes have been designed to create a standard for data transmission over an HFC network. Prevalent is the one devised by Multimedia Cable Network Systems (MCNS), called Data Over Cable System Interface Specification (DOCSIS). DOCSIS defines all the protocols necessary to transport data from a CMTS to aCM.

Upstream Communication

The following is a very simplified version of the protocol defined by DOCSIS for upstream communication. It describes the steps that must be followed by a CM:

1. The CM checks the downstream channels for a specific packet periodically sent by the CMTS. The packet asks any new CM to announce itself on a specific upstream channel.
2. The CMTS sends a packet to the CM, defining its allocated downstream and upstream channels.
3. The CM then starts a process, called ranging, which determines the distance between the CM and CMTS. This process is required for synchronization between all

CMs and CMTSs for the minislots used for timesharing of the upstream channels. We will learn about this timesharing when we discuss contention protocols in Chapter 12.

4. The CM sends a packet to the ISP, asking for the Internet address.
5. The CM and CMTS then exchange some packets to establish security parameters, which are needed for a public network such as cable TV.
6. The CM sends its unique identifier to the CMTS.
7. Upstream communication can start in the allocated upstream channel; the CM can contend for the minislots to send data.

Downstream Communication

In the downstream direction, the communication is much simpler. There is no contention because there is only one sender. The CMTS sends the packet with the address of the receiving eM, using the allocated downstream channel.

9.6 RECOMMENDED READING

For more details about subjects discussed in this chapter, we recommend the following books. The items in brackets [...] refer to the reference list at the end of the text.

Books

[CouOl] gives an interesting discussion about telephone systems, DSL technology, and CATV in Chapter 8. [Tan03] discusses telephone systems and DSL technology in Section 2.5 and CATV in Section 2.7. [GW04] discusses telephone systems in Section 1.1.1 and standard modems in Section 3.7.3. A complete coverage of residential broadband (DSL and CATV) can be found in [Max99].

9.7 KEY TERMS

56Kmodem
800 service
900 service
ADSL Lite
ADSLmodem
analog leased service
analog switched service
asymmetric DSL (ADSL)
cable modem (CM)
cable modem transmission system (CMTS)
cable TV network
common carrier
community antenna TV (CATV)
competitive local exchange carrier (CLEC)
Data Over Cable System Interface Specification (DOCSIS)
demodulator
digital data service (DDS)
digital service
digital subscriber line (DSL)
digital subscriber line access multiplexer (DSLAM)
discrete multitone technique (DMT)
distribution hub