



Indian Institute of Technology Bombay

**EE451 : Supervised Research Exposition**  
2022-23/I

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Analyzing the characteristics of Type-II  
Structures for Infrared Applications

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**Final Report**

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# Index

<b>1. Learning Phase</b>	...3
<b>2. Simulation - I</b>	...13
Mid-Wave Infrared InAs/GaSb Type-II Superlattice Photodetector With n-B-p Design Grown on GaAs Substrate	
<b>3. Simulation - II</b>	...18
Hybrid Type-II GaSb/GaAs Quantum Dot Structure	
<b>4. Appendix</b>	...24
<b>5. References</b>	...24

# 1. Learning Phase

Optical detectors or photodetectors are electromagnetic sensors that convert electromagnetic radiation into electric signals that can be measured through an appropriate device. Thus, the output electric signal is proportional to the incident light or electromagnetic radiation. They play a significant role in telecommunication systems.

In recent times, photodetectors in optical/telecommunication with Si based chips have attracted the industry for operations in visible spectral range. However, because of the higher bandgap of Si as compared to infrared photon, it is not suitable for the telecommunication spectrum ( $1.3\text{-}1.6 \mu\text{m}$ ). To overcome this issue, Ge-based photodetectors are used for telecommunication region due to its lower bandgap. But, there is another disadvantage associated with it due to thermal mismatch stress and costly processes. Thus, use of conventional Quantum Dots based Infrared Photodetectors (QDIPs) is not very feasible due to such complexity. So, research progressed to find an alternative.

Modern research methods have found an alternative in type-II superlattice photodiodes. Type-II SuperLattice (T2SL) is also referred as Strained Layer Superlattice (SLS). It is a technology that can be used to make high-quality cooled IR photodetectors with cut-off wavelength ranging from  $2\mu\text{m}$  to  $30\mu\text{m}$  which covers all kinds of wavelength bands. In this report, I'll try to summarize my studies related to these topics.

## Mercury Cadmium Telluride (MCT) :

The very first IR detector was based on PbS which could detect up to  $3\mu\text{m}$  wavelength. The detection spectrum was later extended using PbSe, PbTe, InSb and new semiconductor alloy materials such as Mercury Cadmium Telluride (MCT).

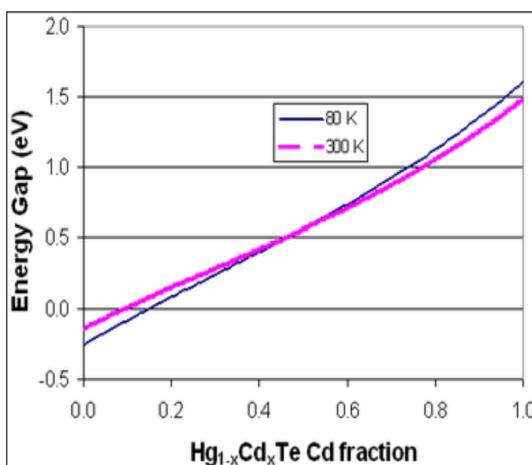


Figure 1  
Reference : [10]

Mercury Cadmium Telluride (Hg<sub>1-x</sub>Cd<sub>x</sub>Te) is a chemical compound with a tunable bandgap spanning the shortwave infrared (SWIR) to very long wave infrared regions (VLWIR). The amount of cadmium (Cd) in the alloy can be chosen so as to tune the optical absorption of the material to the desired infrared wavelength. CdTe is a semiconductor with a bandgap of approximately 1.5 eV at room temperature. HgTe is a semimetal, which means that its bandgap energy is zero. Mixing these two substances allows one to obtain any bandgap between 0 and 1.5 eV. MCT technology is grown on ZnCdTe and has some uniformity and dark current issues.

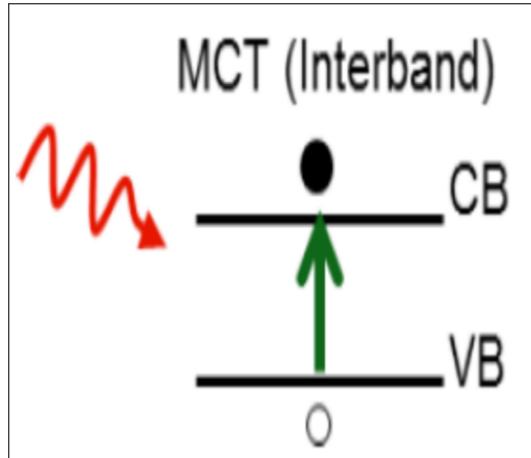


Figure 2  
Reference : [3]

### Quantum Well Infrared Photodetectors (QWIPs) :

Quantum Well Infrared Photodetectors (QWIP) are one of the simplest quantum mechanical device structures that can detect MWIR and LWIR radiation spectrum. They are known for their stability, high pixel-to-pixel uniformity, and high-pixel operability.

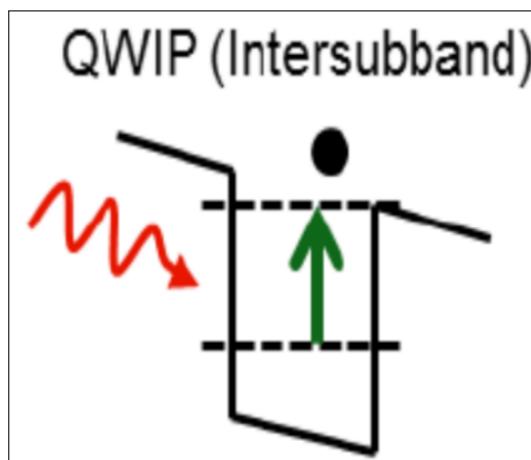


Figure 3  
Reference : [3]

In QWIPs, AlGaAs/GaAs QWs are grown GaAs substrates. QWIPs offer better uniformity but poor quantum efficiency over MCT. Depending on the material and the design of the quantum wells, the energy levels of the QWIP can range between 3 to  $20\mu\text{m}$ .

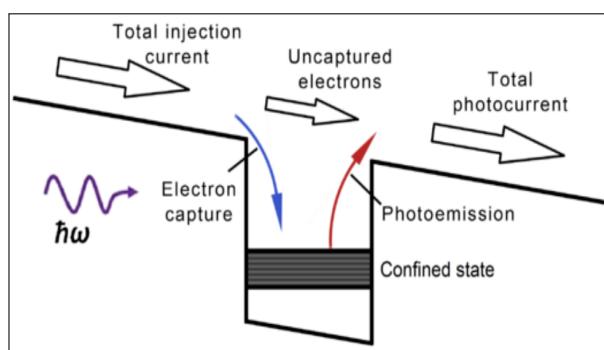


Figure 4  
Reference : [10]

To balance the loss of electrons from the quantum well, electrons are injected from the top emitter contact. Since the capture probability is smaller than one, extra electrons need to be injected and the total photocurrent can become larger than the photoemission current.

### Quantum Dot Infrared Photodetectors (QDIPs) :

In QDIPs, nanometer size features of one semiconductor material grown on other material are known

as Quantum dots. Generally, InAs dots are grown on GaAs substrate. QDIP is the next level innovation after MBE and its application is mainly in the focal plane arrays (FPAs). Quantum dots are formed by the release of compressive strain when the thickness of the film exceeds critical thickness. Layer-by-layer 2-D patterns are used to form 3-D island growth. These QDIPs are similar to QWIPs but quantum wells are replaced by quantum dots.

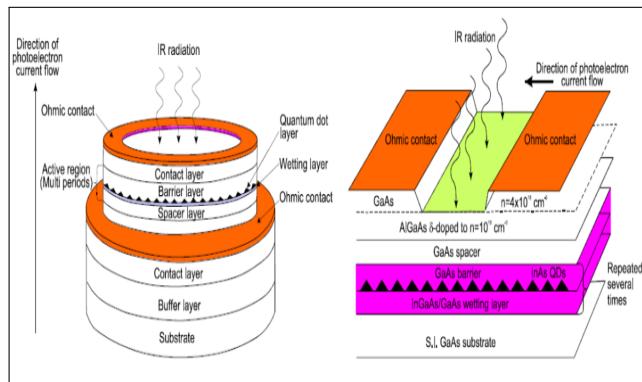


Figure 5  
Reference : [2]

Conventional (vertical) and lateral are the two types of QDIP structures shown in Fig. 5. Wavelength change is engineered by adjusting quantum well size and structure.

QD confinement barriers are created in the conduction and valence bands and hence, they provide a mechanism for quantum confinement in addition to the nanoscale size of QDs. Fig. 6 shows the energy band diagram of InAs QDs on GaAs. Free electrons are provided by doping Si.

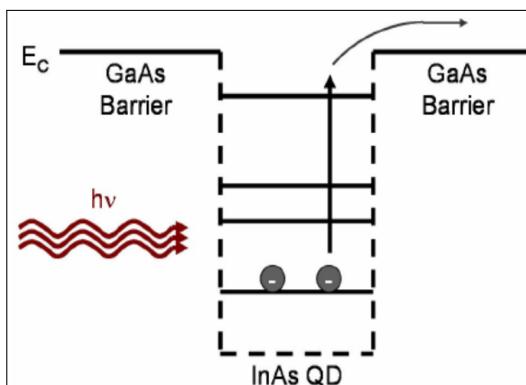


Figure 6  
Reference : [8]

The structure shown in Fig. 7 is the standard InAs/GaAs QDIP device heterostructure which comprises of an active region with top and bottom doped n-type contact layers. In the active region, 10-30 QD InAs layers are separated by large GaAs barriers to avoid strain propagation.

Currently, the Stranski-Krastanow (S-K) growth mode is the most successful approach to achieve defect-free, multiple-layer and high-density QDs. The thickness of GaAs cap layer is 25-60nm.

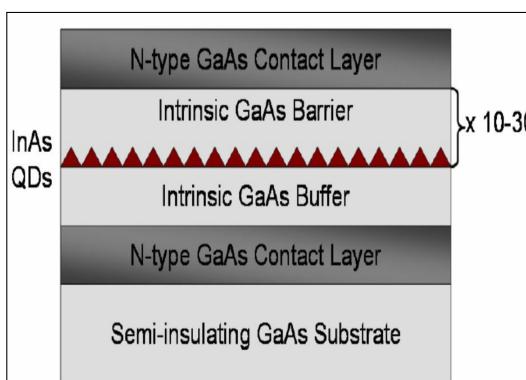


Figure 7  
Reference : [8]

There are two type of intraband transitions observed on these QDs :

i) ground state to excited state

ii) ground or excited to the continuum of energy levels near the band edge.

Generally, the QD absorption spectrum is broad due to size variations which deteriorates QDIP performance, especially due to dark current. Absorption efficiency and dark current also depend on dopant densities where higher dopant density increases dark current and lower dopant density decreases absorption efficiency.

AlGaAs is advantageous as a barrier material due to its larger bandgap that helps reduce dark current.

The boron doped devices use holes trapped in the QD valence band for the photogeneration of carriers play an important technology for far IR detection.

InAs QDs embedded in strain-relieving InGaAs quantum wells are called as DWELL heterostructures.

QDIPs using DWELL such as InAs/In<sub>0.15</sub>Ga<sub>0.85</sub>As not only permit greater control over detection wavelength tunability and also demonstrated excellent device performance.

**Advantages of QDIPs over QWIPs :**

i) Normal incidence absorption is observed in QDIPs whereas, in QWIPs, have to be perpendicular only.

ii) Signal to noise ratio of QDIPs is significantly high as compared to QWIPs mainly due to lower thermal generation of electrons.

iii) Lower dark current in QDIPs due to well confined 3-D alignment. Although, a major issue in QDIP is variation in dot size which results in degradation of the absorption coefficient. Detectivity decreases with increase in temperature. The performance of a very uniform QDIP is comparable with HgCdTe photodetectors.

**Colloidal QDIPs :**

A different approach is used where nanoparticles are synthesized by inorganic chemistry and these nanoparticles are called as colloidal QDIPs. They could improve performance as compared to epitaxial QDs due to :

i) more control over size-filtering leads to uniform QDs

ii) spherical shape of QDs

iii) greater selection of active region materials.

Fig. 8 is a schematic diagram of a colloidal heterostructure.

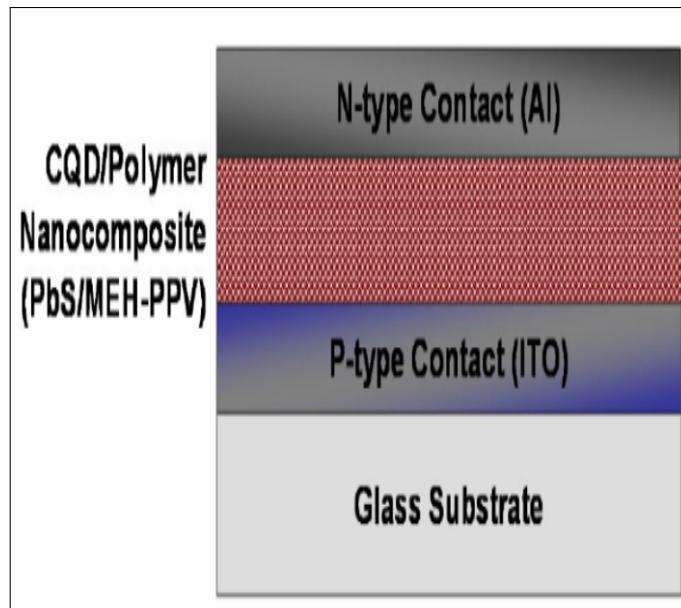


Figure 8  
Reference : [8]

The IR photodetection mechanism is explained in the energy spectrum where colloidal QDs are electron acceptors and the polymers are typically hole conductors. Hence, photoconduction through the nanocomposite occurs as electrons hop among QDs and holes transport through the polymer.

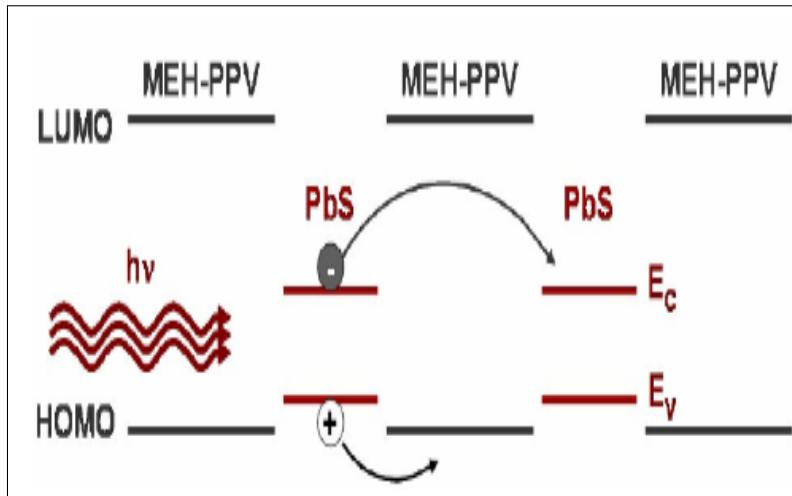


Figure 9  
Reference : [8]

### Photoluminescence studies of QDs :

In Glaser et al [6], PL studies are performed on 3 ML InSb, 3 ML GaSb and 4 ML AlSb S-K grown QDs. As compared to GaAs, lattice constants of InSb, GaSb and AlSb are 14.6%, 7.8% and 8.5% larger, respectively. QDs are immediately formed after the initial growth of 3 ML layers. To observe the PL of these quantum structures, a thin GaAs cap layer is deposited.

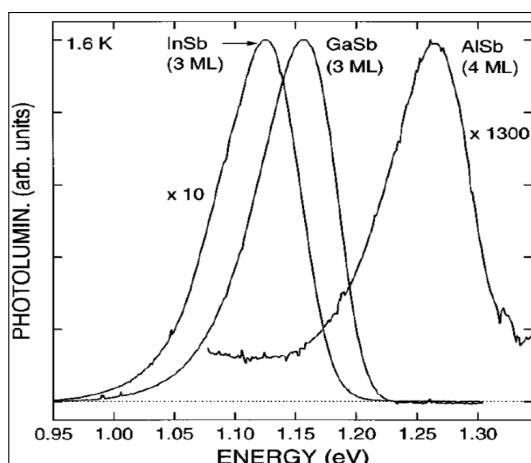


Figure 10  
Reference : [6]

Fig. 10 shows the PL spectra obtained at 1.6K from 3 ML InSb, 3 ML GaSb and 4 ML AlSb QDs. The width of these PL curves is due to the convolution of emission from QDs of different sizes.

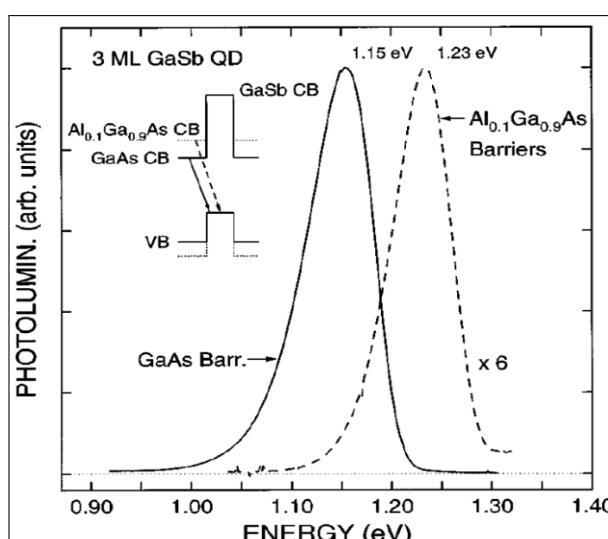


Figure 11  
Reference : [6]

In Fig. 11, a comparison is made between PL curves from 3 ML GaSb QDs grown on GaAs and  $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$  barrier layers. It is observed that the peak energy of 3 ML GaSb QDs grown on  $\text{Al}_{0.1}\text{Ga}_{0.9}\text{As}$  the substrate is shifted by 80meV as compared to GaAs substrate. It is due to changes in confinement energies due to the expected heavy mass of holes in the GaSb QDs.

### Type-II SuperLattice (T2SL) :

A system made of a repeating sequence of thin layers of different materials is known as a superlattice. If both the valence and the conduction band edge of the second material are above the band edges of the first material, it is called a broken type II band alignment.

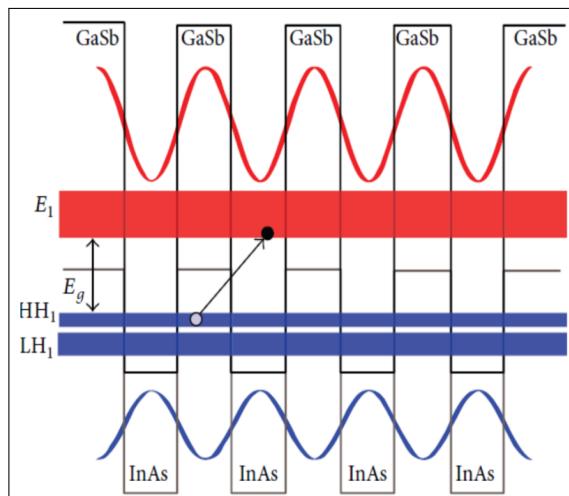


Figure 12

Reference : [1]

Electron and hole wavefunctions in InAs and GaSb of InAs/GaSb T2SL respectively are shown in Fig. 12. The operating range of this Type-II Superlattice Photodetector (T2SL) can be varied by varying the thickness of these layers. Several techniques such as Mobility Spectrum Analysis (MSA) are used to measure and analyze the electrical properties. The performance of T2SL devices strongly depends on structural perfection, interfacial roughness, etc. The high conversion quantum efficiency of T2SL is better than QWIPs. Though, the dark current density in T2SL is significantly higher than in MCT detectors. The SRH and thermally generated diffusion currents may be significantly reduced by the exclusion of the GaSb layer from InAs/GaSb T2SL stack.

It is a high-performance material used recently in IR detectors in MWIR and LWIR range. It consists of repeated monolayers of InAs and GaSb. InAs layers experience tensile strain due to lattice mismatch. Bandgap can be varied by changing the composition and thickness of monolayers. Dark current is a major issue that has two components, bulk leakage and surface leakage. They depend on the material quality and device fabrication respectively. A proper etching and sidewall passivation is used to improve surface leakage. Whereas, the use of GaAs as substrate reduces cost.

It is a QD method with type-II band alignment. The conduction band of matrix material is at lower energy than the valence band of dot (QD) material. Holes are trapped in quantized energy states whereas electrons are free to move in the conduction band.

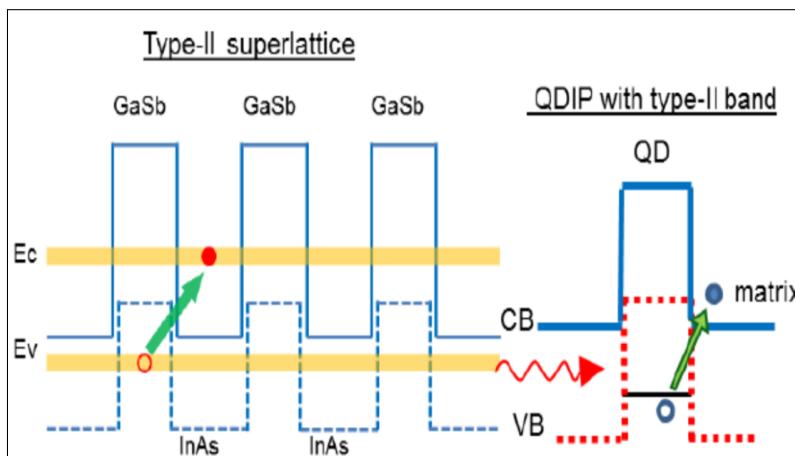


Figure 13  
Reference : [3]

GaAs QDs on InAs and InSb QDs on InAs are some combinations used where GaAs dots on InAs are tensely strained and InSb dots on InAs are compressively strained. Larger dots cause a red shift in the spectrum and vice versa.

## Advancements in T2SL :

### I) 'M' Structure Type-II Photodetectors :

A modification is done in pre-existing type-II superlattice system to make it a type-II M superlattice. A thin film of AlSb is inserted in the middle of the GaSb layer in normal type-II InAs/GaSb superlattice. The figure alongside shows the energy band diagram of type-II M superlattice. The AlSb barrier reduces the dark current.

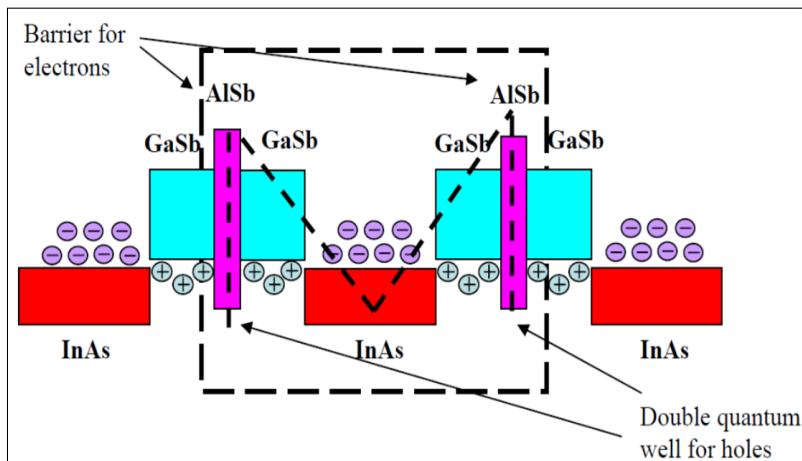


Figure 14  
Reference : [4]

The effective mass of electrons increases with an increase in the number of monolayers which decreases their mobility and blocks dark currents. Along with a thick GaSb superlattice, the AlSb layer provides a positive impact on electron-hole confinement which enhances the optical properties of this material. By inserting AlSb barrier in GaSb layer, the thickness of GaSb is reduced and two quantum wells for holes are created which results in better optical properties. As shown in the Fig. 15, the first valence band (V1) moves upward while the second valence band level (V2) remains unchanged as we increase the thickness of the AlSb barriers. At a certain thickness of AlSb, these two bands coincide.

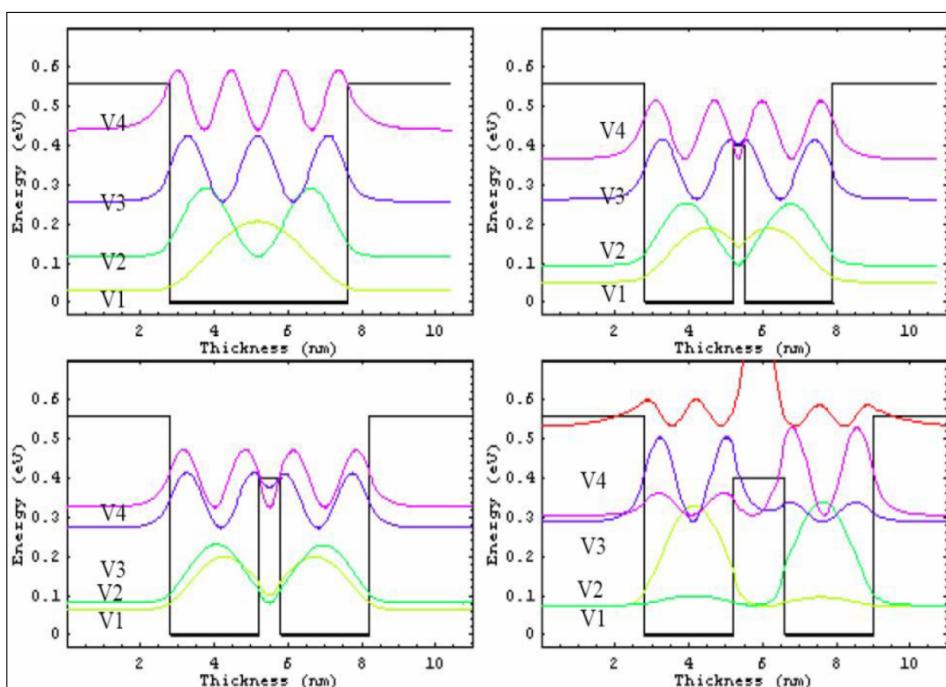


Figure 15  
Reference : [4]

## II) T2SL with n-B-p design :

To tackle the dark current issue in the conventional p-i-p architecture of InAs/GaSb type-II superlattice, various heterostructures such as n-B-n, n-B-p and complementary p-B-i-B-n designs which utilize the engineering freedom of band structure. GaAs substrate offers more advantages compared to GaSb but its layers are highly mismatched. In these structures, most of the depletion electric field drops across the wide bandgap barrier layer, reducing the dark current.

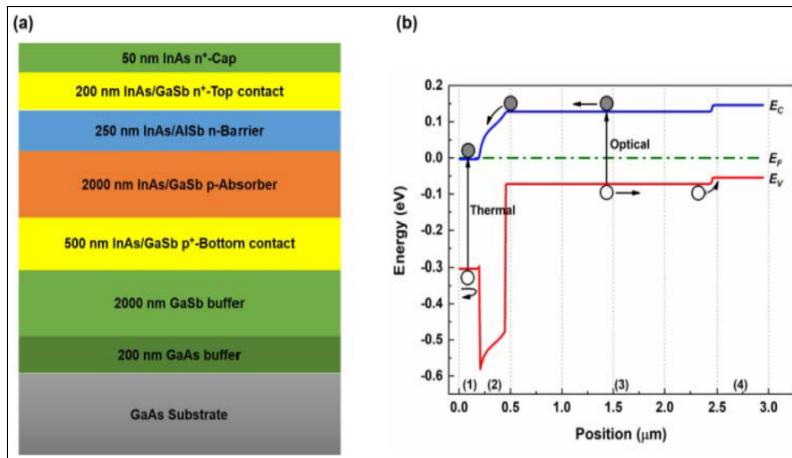


Figure 16

Reference : [5]

Optical and Thermal transitions are associated with photocurrent and dark current respectively. In n-B-n design, the photocurrent is based on minority holes whereas in n-B-p design, the p-doped absorber enables the higher mobility electrons to be the minority photocarriers, which is capable to attain higher quantum efficiency.

## III) Radiactive recombination in T2QDs :

Uniform layers of strained coherent islands can be formed using self-organizing effects in the S-K growth. In the GaSb/GaAs system, after depositing a certain number of GaSb MLs, 3-D GaSb QDs on GaAs are formed. TEM studies show that well-resolved GaSb dots are formed starting at approximately 1.2 nm GaSb deposition. These QDs are rectangular in shape with size of 20nm.

Fig. 17 shows band energy structure of completely relaxed and pseudomorphic (compressively strained) GaSb/GaAs heterostructures. Conduction band in the pseudomorphic structure is increased sharply due to compressive strain.

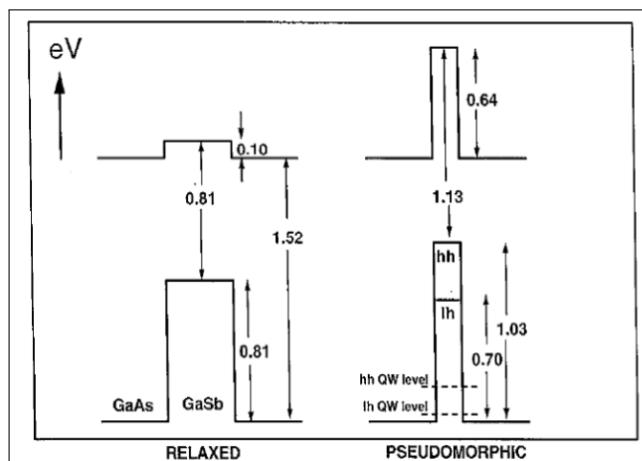


Figure 17

Reference : [7]

Fig. 18 shows PL spectra of three different GaSb/GaAs structures having average GaSb layer thickness 0.3, 0.75 and 1.2 nm. Narrow, sharp and high energy spectrum is observed for low thickness of GaSb layer due to formation of type-II quantum well. However, for more thick GaSb layers, energy becomes lower and spectrum becomes broad due to formation of conventional QDs.

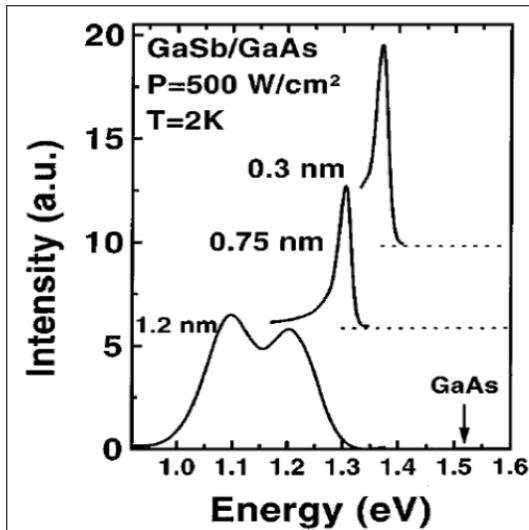


Figure 18  
Reference : [7]

Temperature dependence :

The intensity of PL spectra decreases with an increase in temperature and a red shift is observed as well. The lower temperature region is dominated by typical type-I QDs whereas at higher temperatures, the spectrum is dominated by type-II QDs.

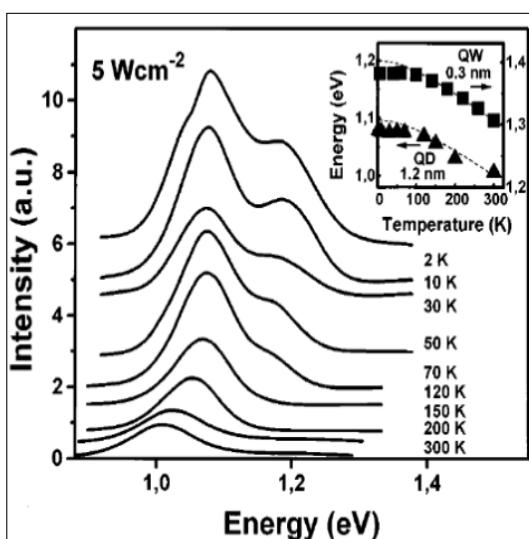


Figure 19  
Reference : [7]

#### IV) Hybrid T2QDs :

Due to their short interband recombination time, type-I QDs might act as recombination centers which makes it difficult to achieve efficiency in InAs/GaAs QD solar cells. Whereas, the spatial separation of electrons and holes in type-II QDs reduces spontaneous recombination. However, it reduces the photon absorption efficiency of type-II QDs as compared to type-I QDs.

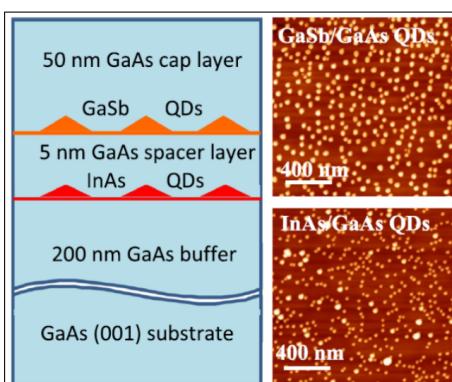


Figure 20

Reference : [9]

It is postulated that GaSb/GaAs QDs can be excellent to the InAs/GaAs solar cell as the formation of InGaAs QWs provides additional absorption. To analyze this, we first grow InAs QDs where a 5nm GaAs spacer is used to cap them. Then, we grow GaSb QDs with 50nm GaAs capping layer. The densities of both QDs are almost similar but the dimensions of GaSb QDs are larger.

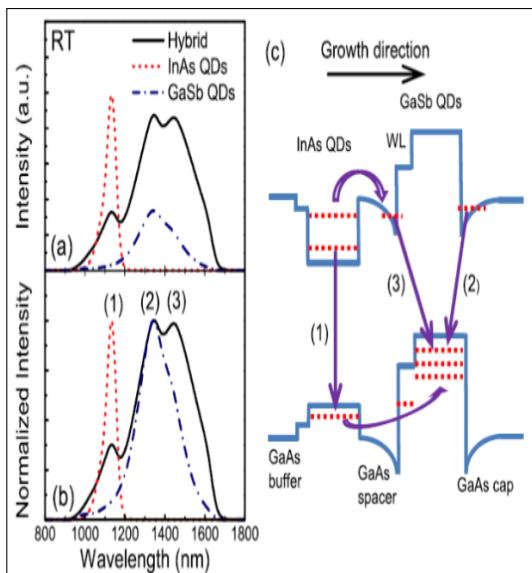


Figure 21

Reference : [9]

To study the PL spectrum, we performed PL measurements at room temperature. Hybrid structure has a broader spectrum as compared to InAs and GaAs QDs. The integrated PL intensity of the hybrid QD sample is 4.1 times higher than the GaSb QD sample and 4.6 times higher than the InAs QD sample, respectively. The hybrid QD structure exhibits a clear enhancement in optical performance and they show promise for creating high efficiency QD solar cells.

### Conclusions :

Depending on the application and cost, different types of quantum structure designs are implemented. Innovations have been made in order to improve the systems. T2SL is such a kind of innovation. The unique combination of band structure engineering flexibility and material properties of InAs/GaSb T2SL provides a prospective benefit in the realization of next generation IR imagers. But, despite such promising results, these structures require additional research.

## 2. Simulation - I

### Mid-Wave Infrared InAs/GaSb Type-II Superlattice Photodetector With n-B-p Design Grown on GaAs Substrate

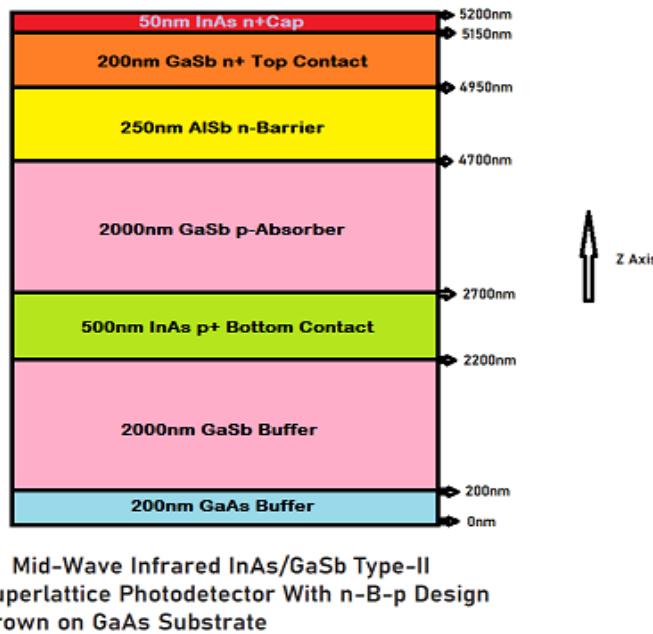


Figure 22

The first simulation I have done is a MWIR InAs/GaSb T2SL photodetector with a unipolar barrier heterostructure, namely the n-B-p architecture on GaAs substrate. The device design consists of an n-type contact, an n-type wide bandgap barrier and a p-type absorber. Similar to the n-B-n design, in n-B-p structure most of the depletion electric field drops across the wide bandgap barrier layer which is designed to reduce the G-R component of dark current. On the other hand, unlike the n-B-n design, in n-B-p variant the n-barrier/p-absorber junction offers a built-in potential, which enables zero-bias operation of the device.

In addition, in n-B-n design the photocurrent is based on minority holes, while in n-B-p structure the p-doped absorber enables the higher mobility electrons to be the minority photocarriers, which is capable to attain higher quantum efficiency.

#### Simulation Results :

Simulations are performed in nextnano software. The simulations are performed at 77 K.

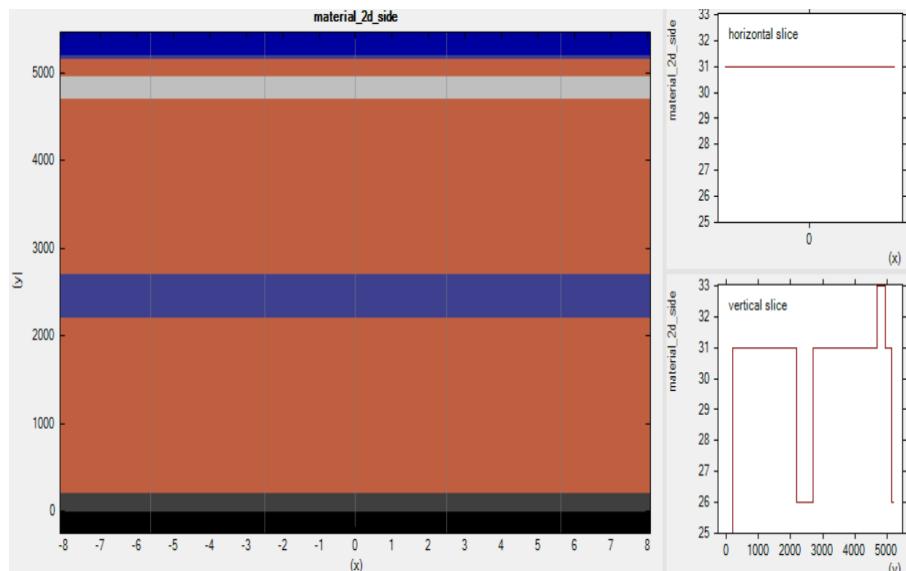


Figure 23 : Material Structure

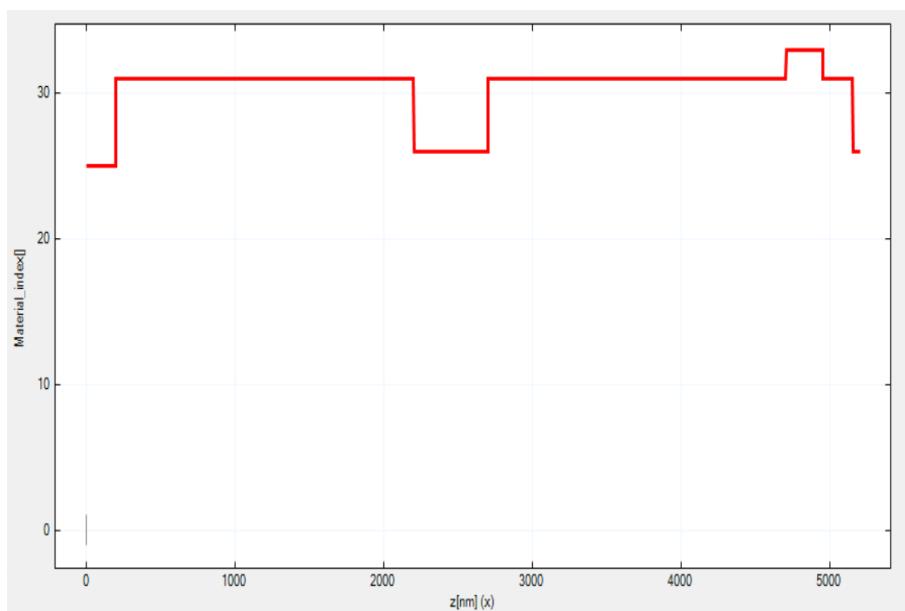


Figure 24 : Material Structure (Z-Axis)

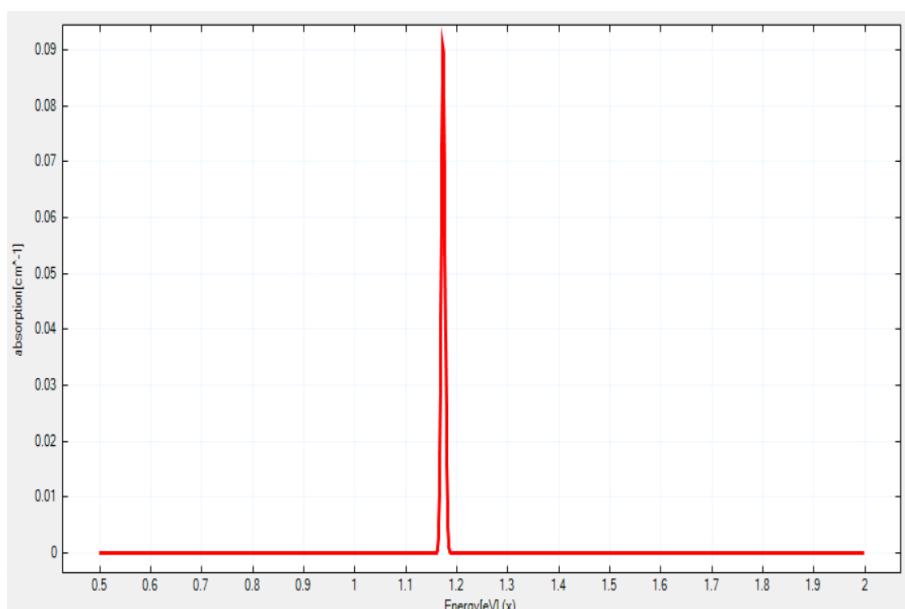


Figure 25 : Absorption Spectrum

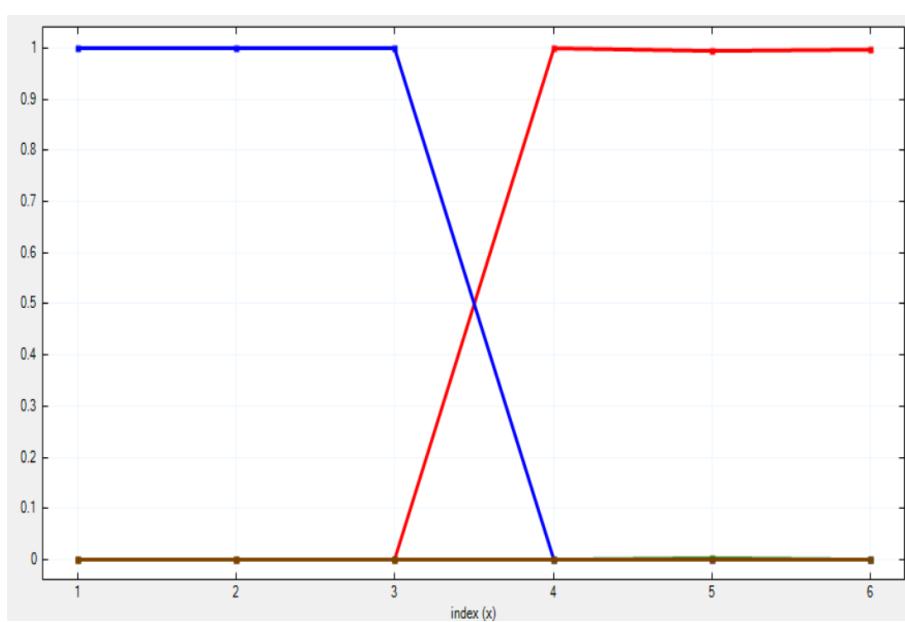


Figure 26 : Component K

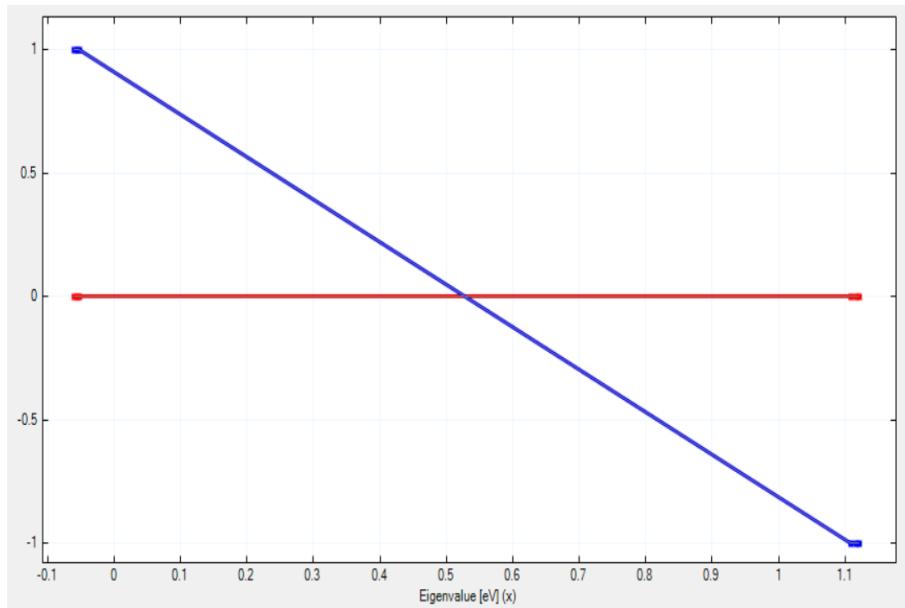


Figure 27 : Eigenvalue Spectrum

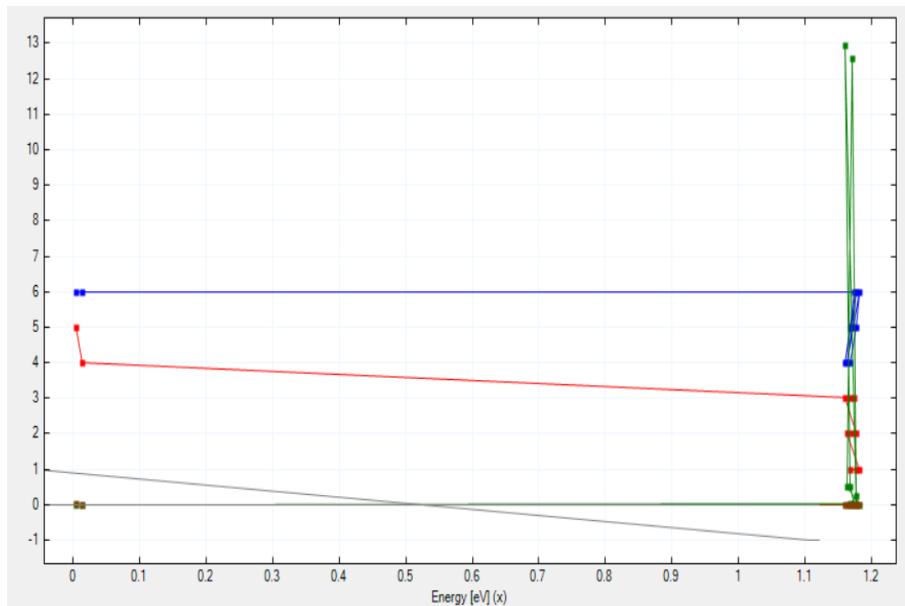


Figure 28 : Transition Spectrum

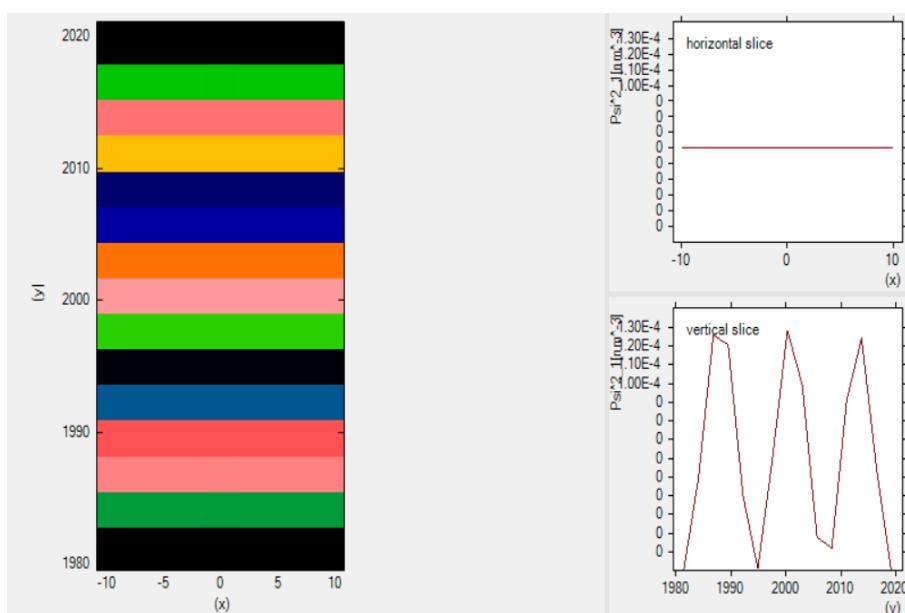


Figure 29 : Dot Probabilities

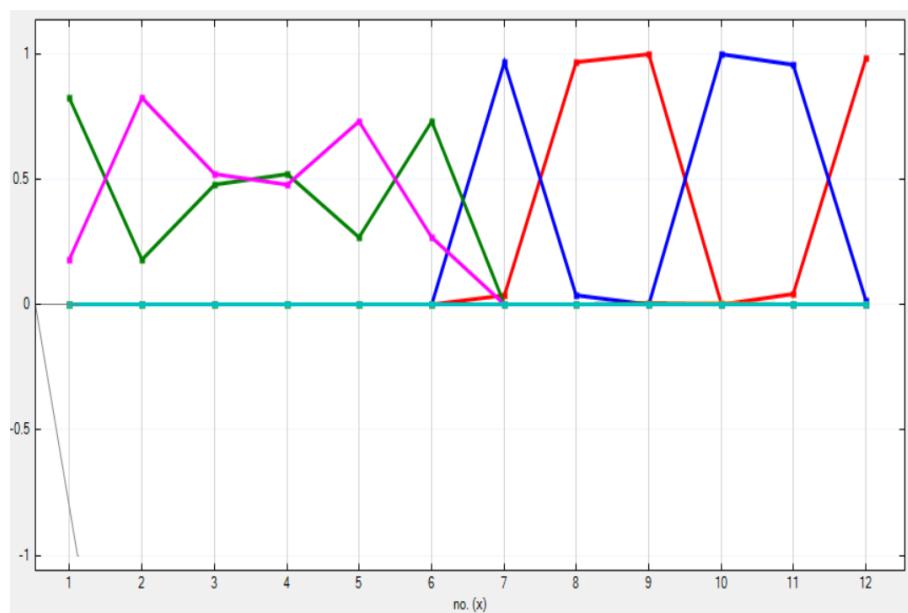


Figure 30 : Spinor Component

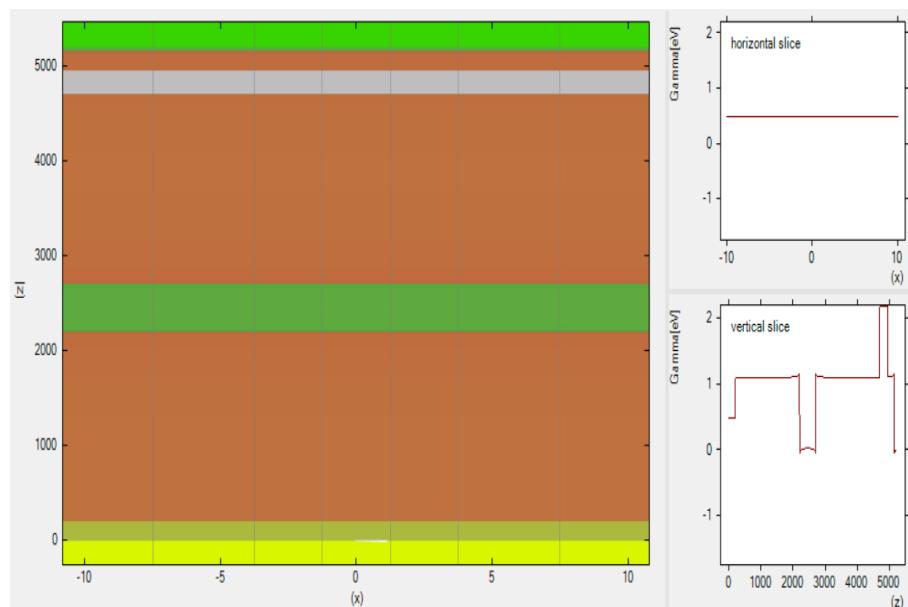


Figure 31 : Band Edges

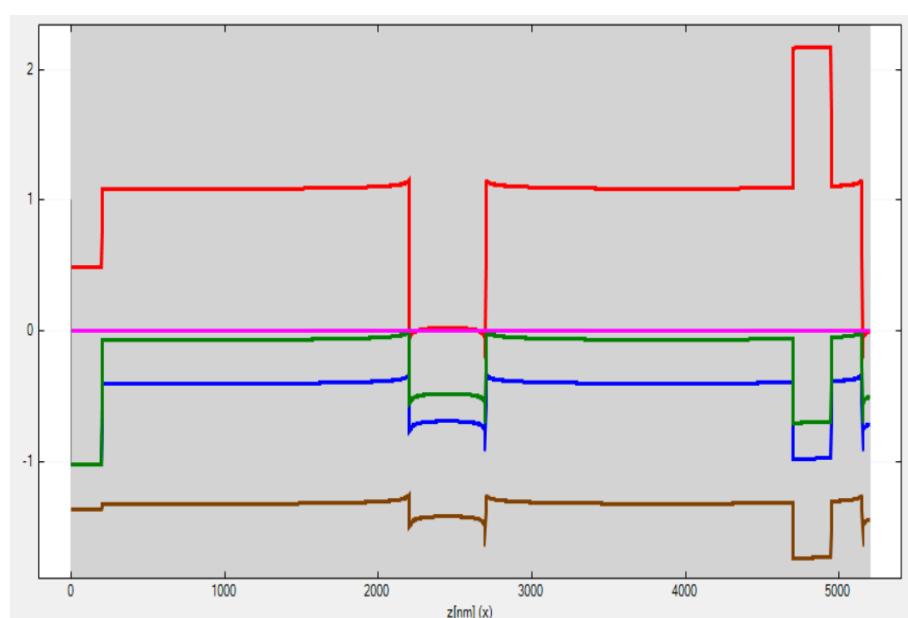


Figure 32 : Band Edges (Z-Axis)

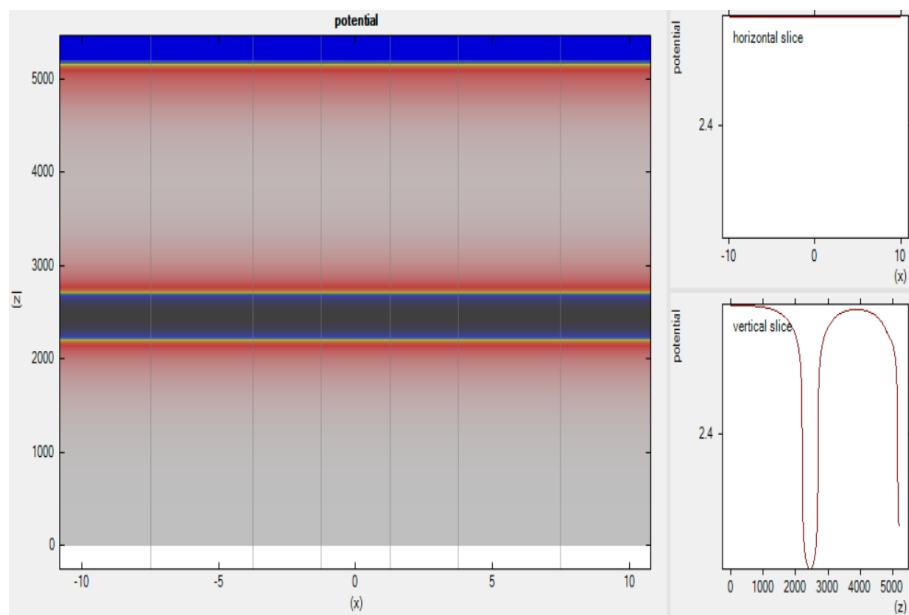


Figure 33 : Potential Plot

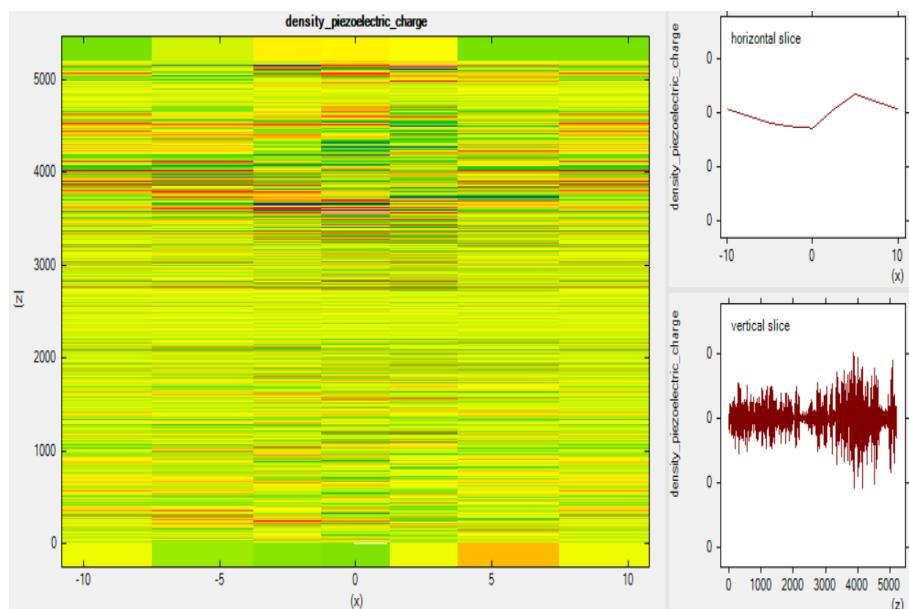


Figure 34 : Piezoelectric Charge Density

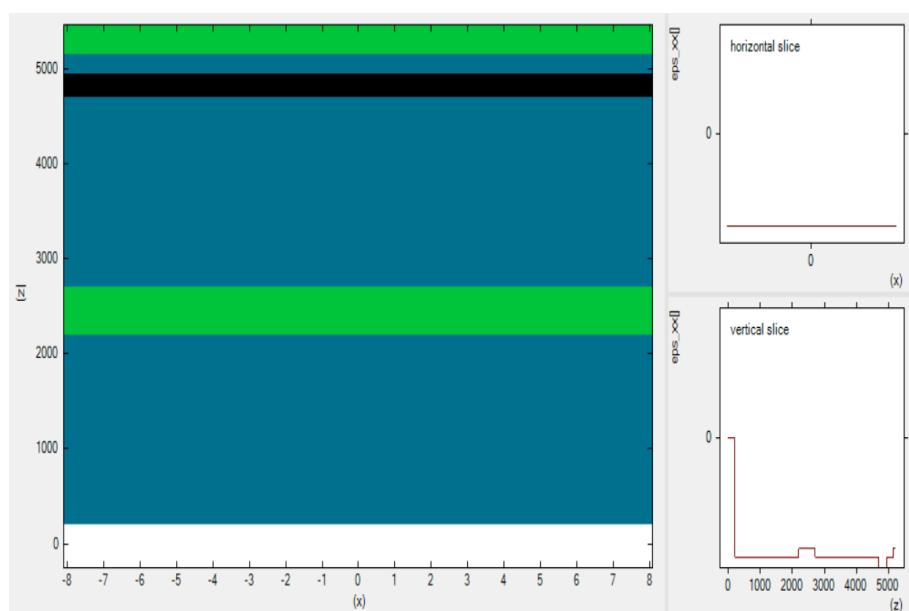


Figure 35 : Strain Simulation

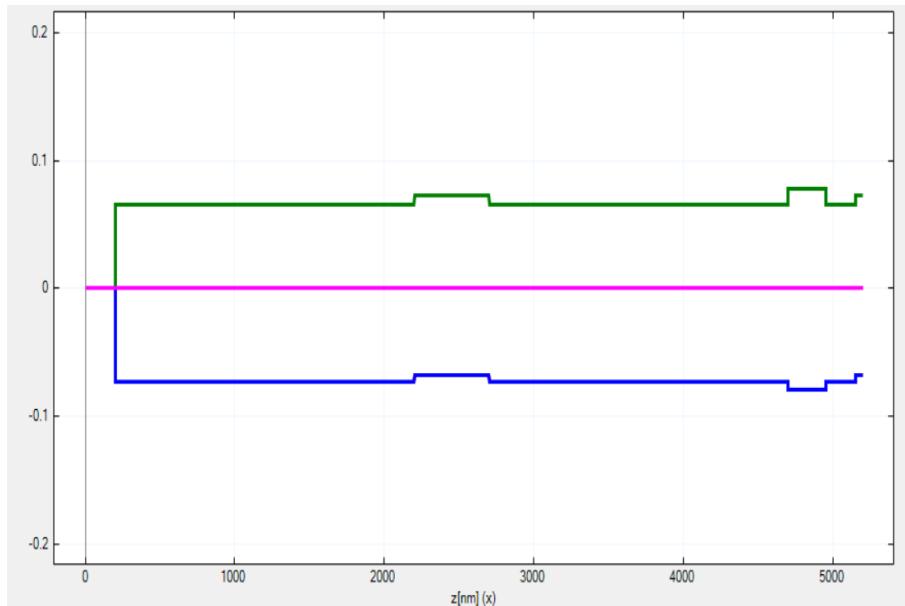
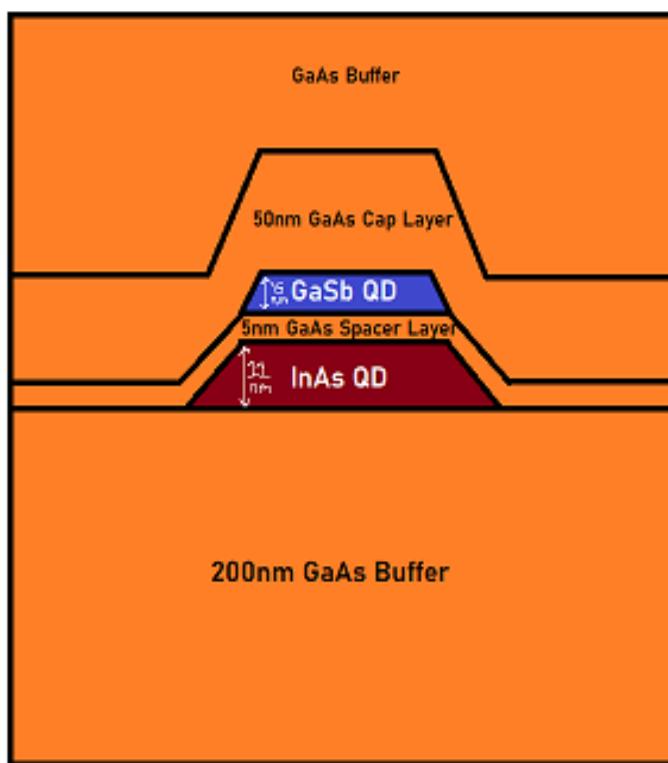


Figure 36 : Strain Simulation (Z-Axis)

### 3. Simulation - II

#### Hybrid Type-II GaSb/GaAs Quantum Dot Structure



Hybrid type-I InAs/GaAs and type-II GaSb/GaAs quantum dot structure

Figure 37

The structure shown in Fig. 37 is a hybrid type-II GaSb/GaAs quantum dot structure. Two layers of Quantum Dots, InAs (11nm in height) and GaSb (15nm in height) are separated by a 5nm GaAs Spacer Layer.

The spatial separation of electrons and holes in type-II QDs reduces spontaneous recombination, enhancing solar cells' quantum efficiency. But, it reduces the photon absorption efficiency. InAs and GaSb Quantum Dots provide additional absorption.

## Simulation Results :

Simulations are performed in nextnano software. The simulations are performed at room temperature (300 K).

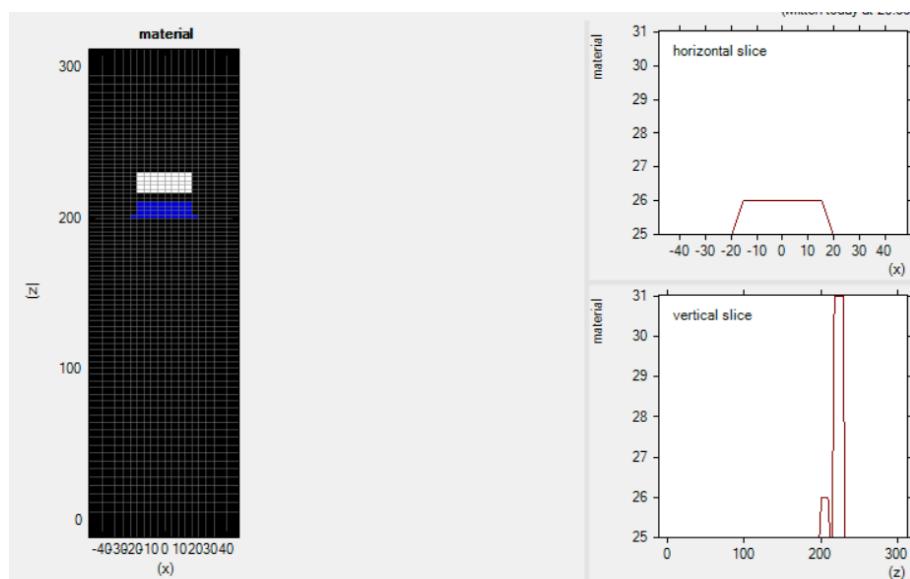


Figure 38 : Material Structure of Type-II Hybrid QD Structure

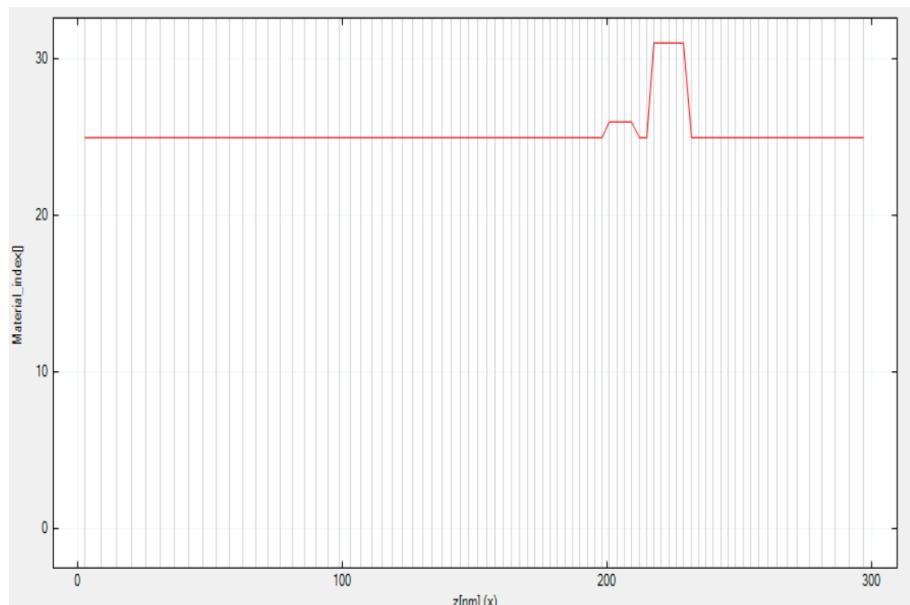


Figure 39 : Material Structure (Z-Axis) of Type-II Hybrid QD Structure

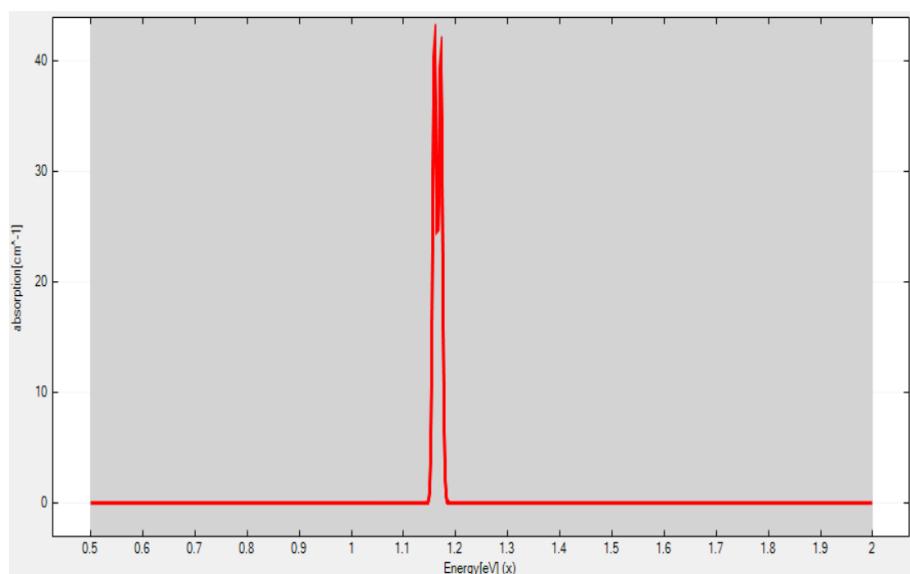


Figure 40 : Absorption Spectrum of Type-II Hybrid QD Structure

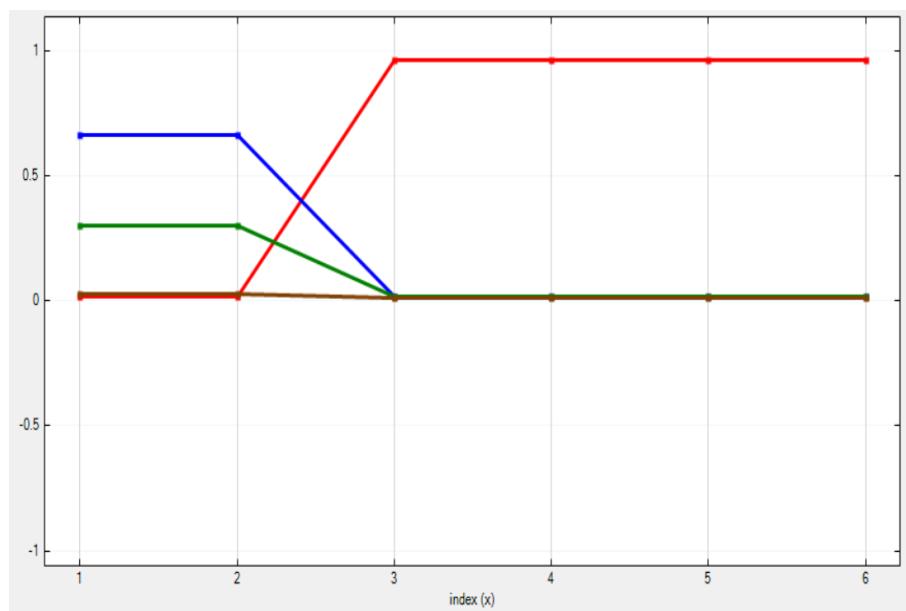


Figure 41 : Component K of Type-II Hybrid QD Structure

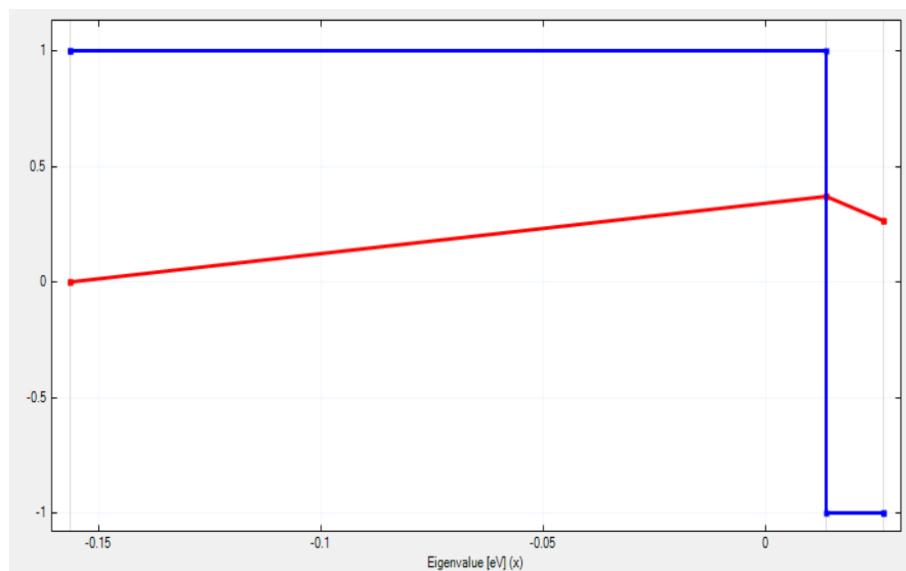


Figure 42 : Eigenvalue Spectrum of Type-II Hybrid QD Structure

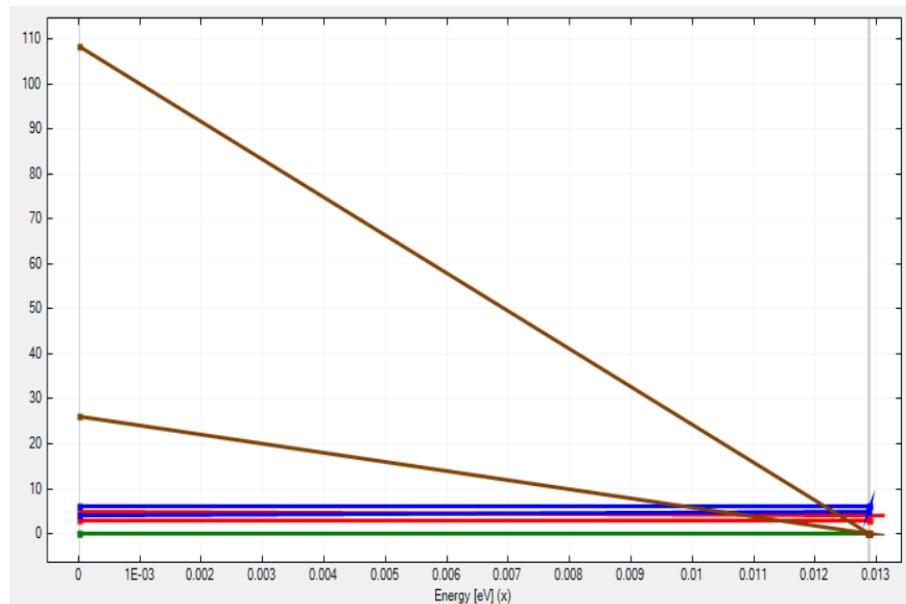


Figure 43 : Transition Spectrum of Type-II Hybrid QD Structure

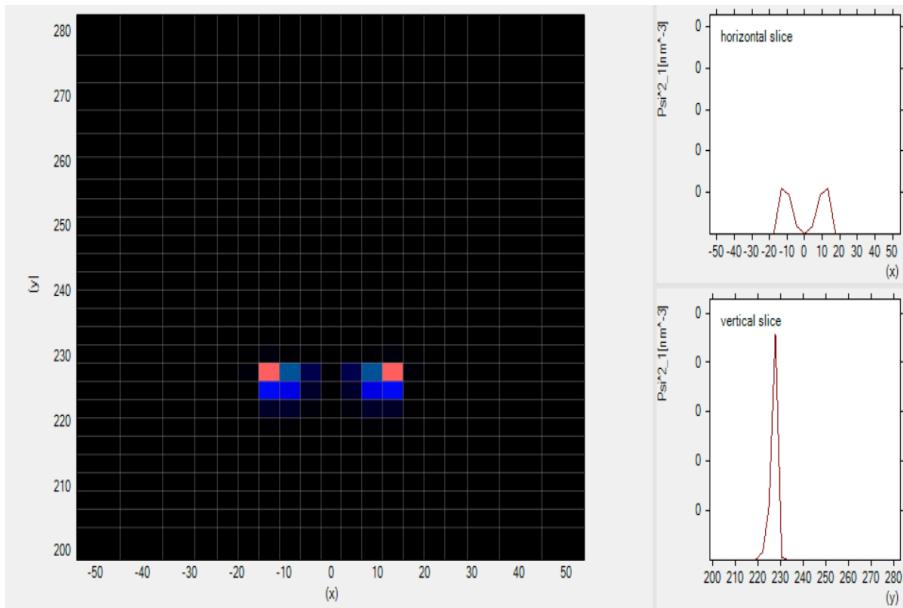


Figure 44 : Dot Probabilities of Type-II Hybrid QD Structure

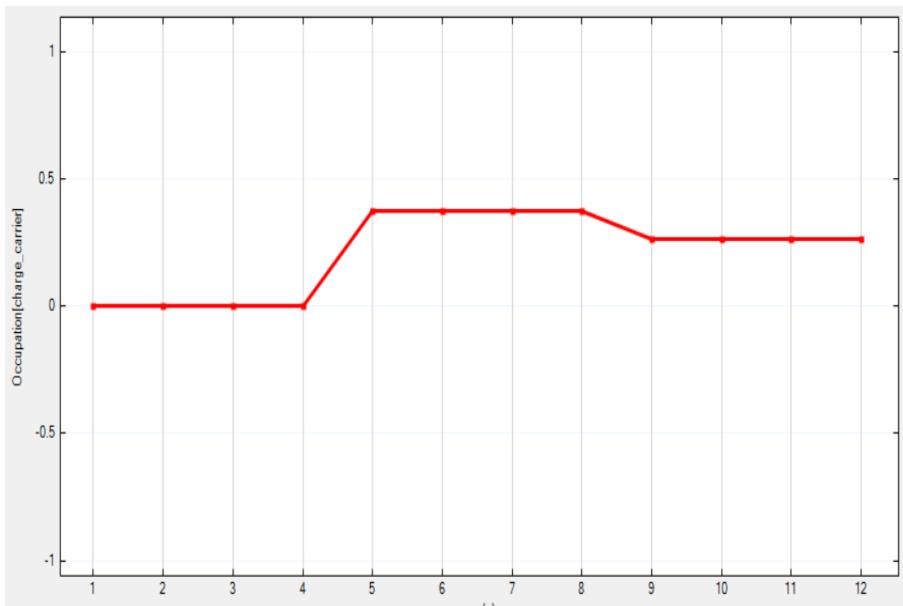


Figure 45 : Dot Occupancy of Type-II Hybrid QD Structure

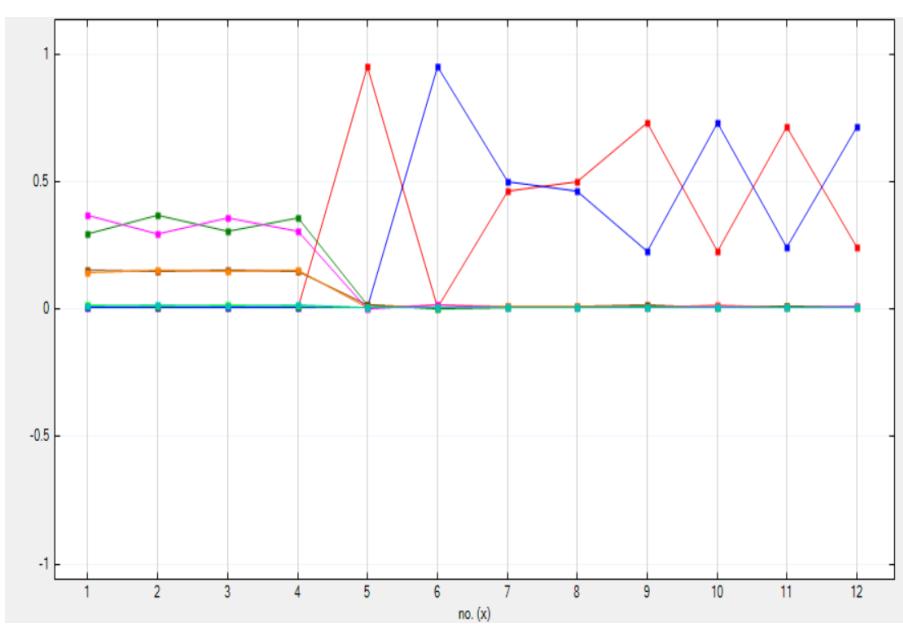


Figure 46 : Spinor Component of Type-II Hybrid QD Structure

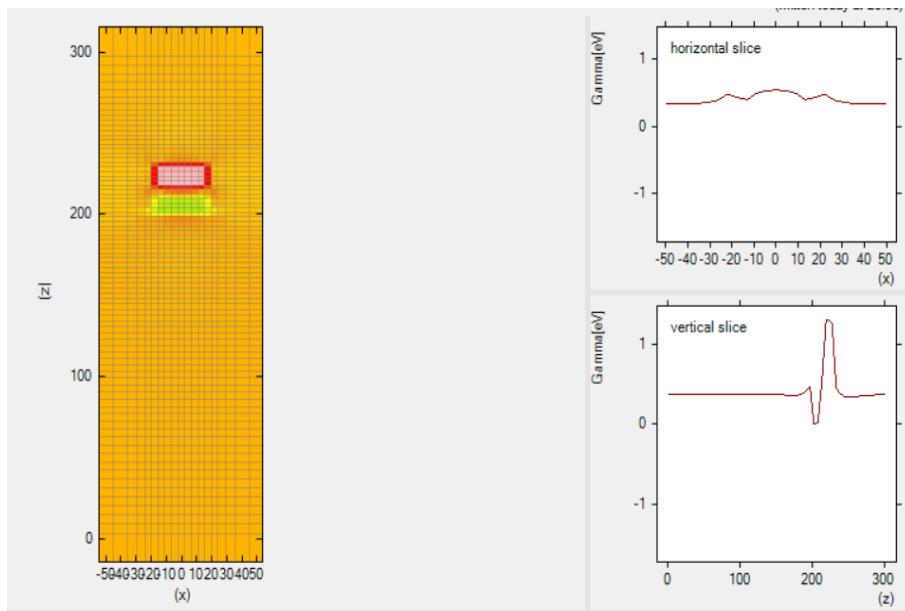


Figure 47 : Band Edges of Type-II Hybrid QD Structure

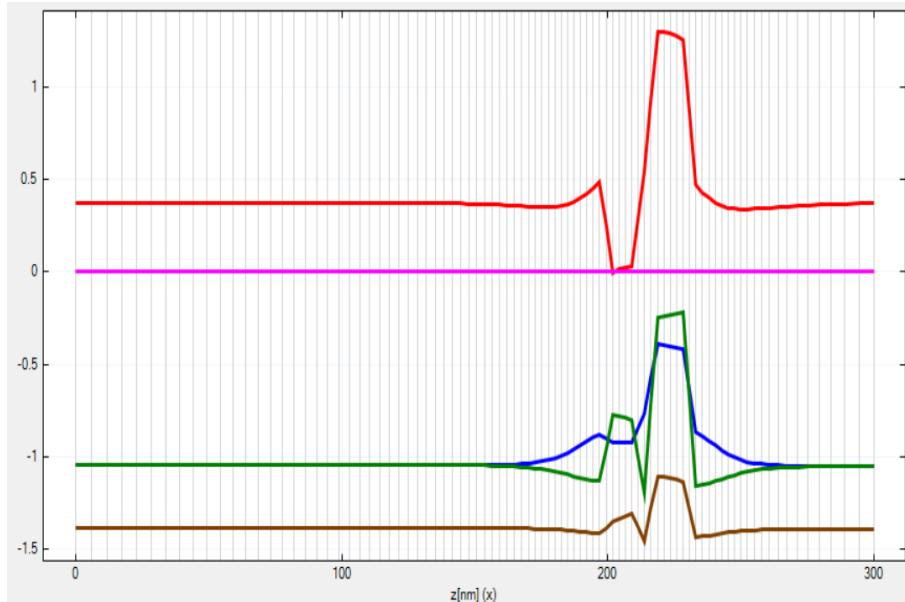


Figure 48 : Band Edges (Z-Axis) of Type-II Hybrid QD Structure

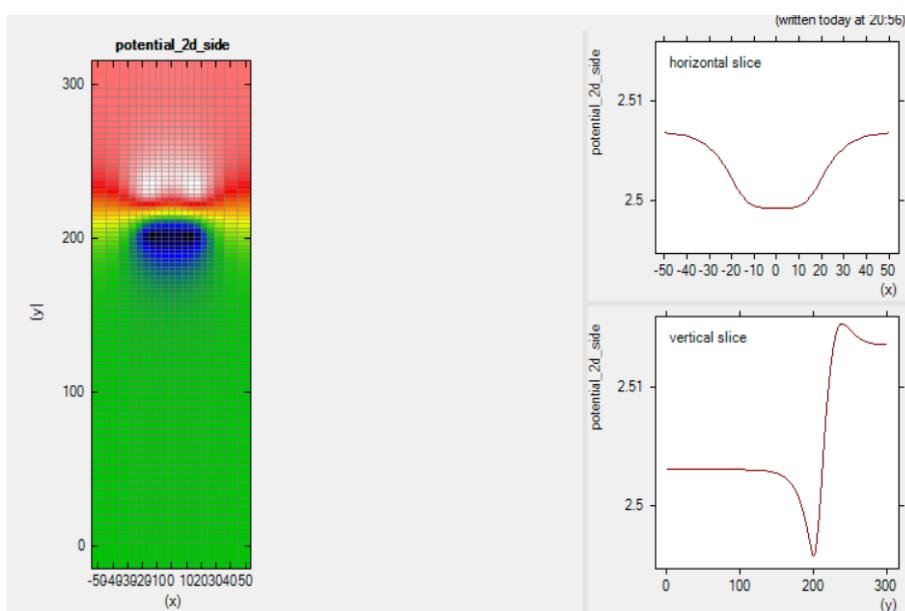


Figure 49 : Potential Plot of Type-II Hybrid QD Structure

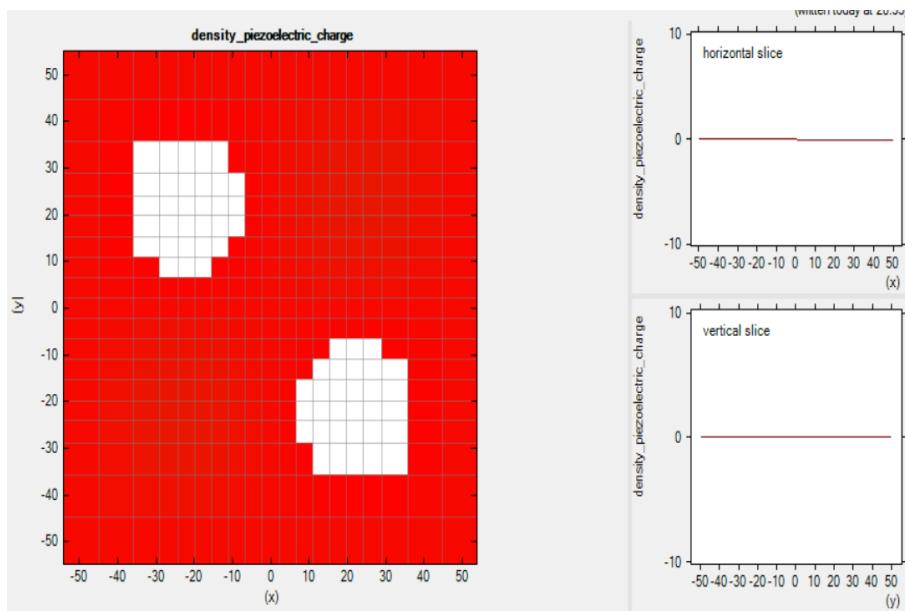


Figure 50 : Piezoelectric Charge Density (XY-Axis) of Type-II Hybrid QD Structure

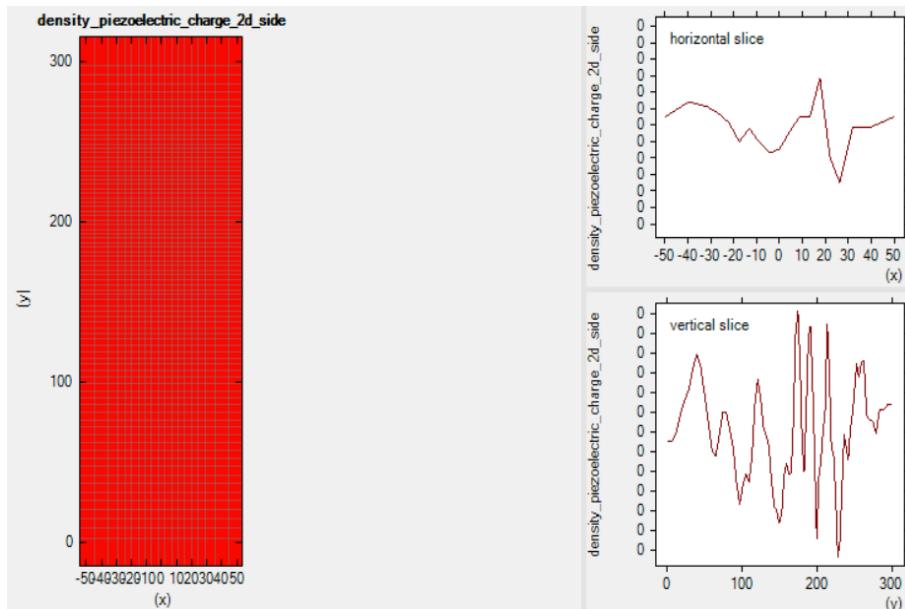


Figure 51 : Piezoelectric Charge Density (XZ-Axis) of Type-II Hybrid QD Structure

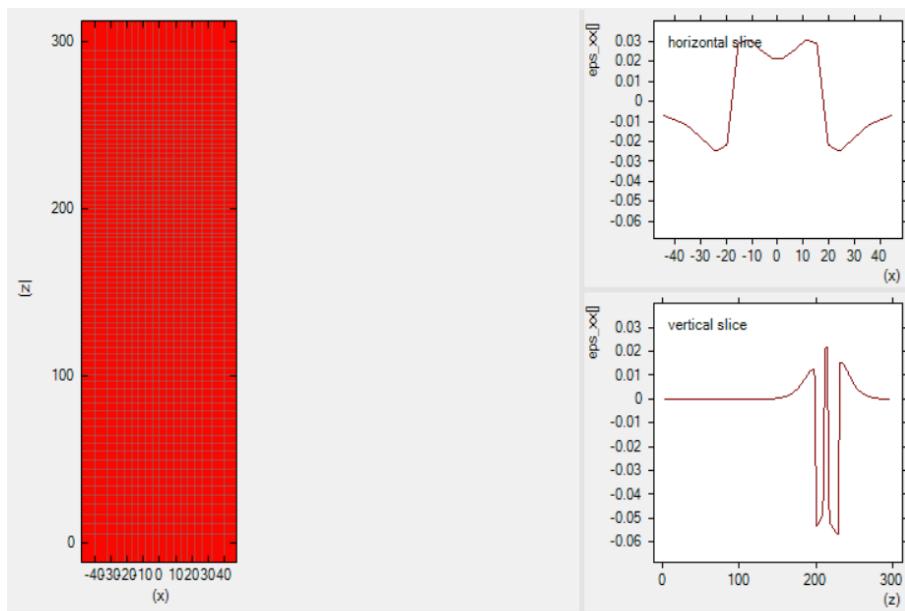


Figure 52 : Strain Simulation of Type-II Hybrid QD Structure

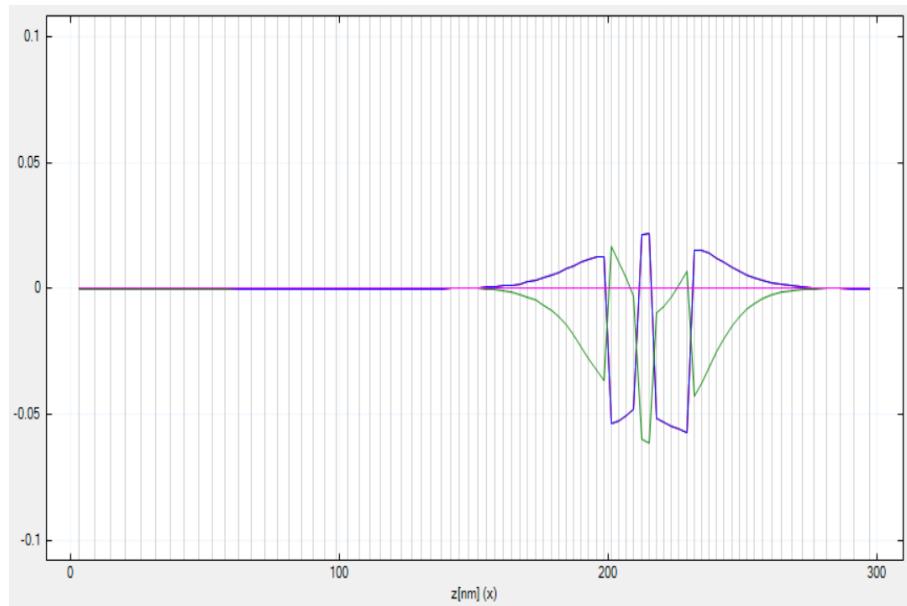


Figure 53 : Strain Simulation (Z-Axis) of Type-II Hybrid QD Structure

## 4. Appendix

The code files used to generate the results and other resources are in the GitHub repository link :  
<https://github.com/MayurWare/EE451-SRE>

## 5. References

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