### CONCUR 2021

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# Decomposing Permutation Automata

# **Divide and conquer**



# **Divide and conquer**



### **Compositionality**

- 1. decomposition into smaller instances
- 2. .. that determine the original problem

# **Divide and conquer**

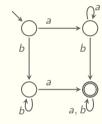


### **Compositionality**

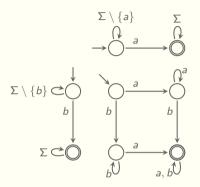
- 1. decomposition into smaller instances
- 2. .. that determine the original problem

### **Deterministic Finite state Automata**

- construct automata with less states
- .. which preserve the original *language*



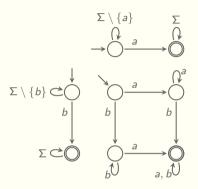
 ${\cal A}$  is a DFA



### **Decomposition using 2 DFAs**

- $oldsymbol{arphi} |\mathcal{B}_1| < |\mathcal{A}|$  and  $|\mathcal{B}_2| < |\mathcal{A}|$
- $\blacktriangleright \ \ L(\mathcal{A}) = L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$

 $\mathcal{A} = \mathcal{B}_1 imes \mathcal{B}$ 



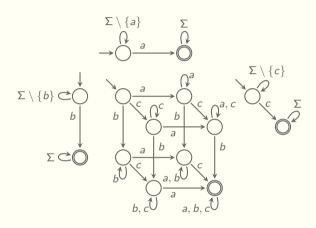
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- $L(\mathcal{A}) = L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$

### **Applications**

- Hardware design of DFAs
- Tractability of Model-checking



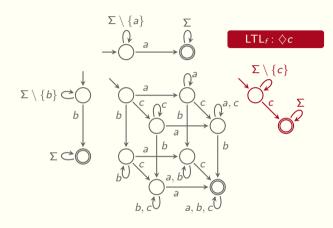
 $\mathcal{A} = \mathcal{B}_1 \times \mathcal{B}_2 \times \mathcal{B}_2$ 

### **Decomposition using 3 DFAs**

- $ightharpoonup |\mathcal{B}_i| < |\mathcal{A}| \text{ for all } i$
- $L(A) = \bigcap_i L(B_i)$

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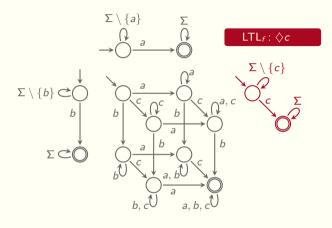


### **Decomposition using 3 DFAs**

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 $\Psi = \bigwedge \Phi_i$ 

### **Decomposition using 3 DFAs**

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### **Applications**

- Hardware design of DFAs
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# **General Setting**

### **Definitions**

### **Factors of DFA**

The DFA  $\mathcal B$  is a factor of the DFA  $\mathcal A$  when:

- $|\mathcal{B}| < |\mathcal{A}|$
- $L(A) \subseteq L(B)$

smaller size

coarser language @

### **Definitions**

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The DFA  $\mathcal B$  is a factor of the DFA  $\mathcal A$  when:

- $|\mathcal{B}| < |\mathcal{A}|$
- $L(A) \subseteq L(B)$

- smaller size 🔇
- coarser language @

### Compositionality of DFA

The DFA  $\mathcal{A}$  is *k-factor composite* if there exists  $\mathcal{B}_1, \mathcal{B}_2, \dots, \mathcal{B}_k$  factors such that:

$$L(A) = \bigcap_{i=1}^k L(B_i)$$

rejectance preservation 🖨

It is *composite* when it is k-factor composite for some k.

Decide whether $A$ is composite ( $k$ is not given)	

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1. List all automata with less states than  $\mathcal{A}$ 

ensures 🔇

 $[\mathcal{B}_1]$   $[\mathcal{B}_2]$   $[\mathcal{B}_3]$   $[\mathcal{B}_4]$   $[\mathcal{B}_5]$   $[\mathcal{B}_6]$   $\cdots$   $[\mathcal{B}_6]$ 

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- ensures 🔇
- ensures @

$$egin{bmatrix} egin{bmatrix} eta_1 \ \end{bmatrix} & egin{bmatrix} eta_2 \ \end{bmatrix} & egin{bmatrix} eta_3 \ \end{bmatrix} & egin{bmatrix} eta_4 \ \end{bmatrix} & egin{bmatrix} eta_5 \ \end{bmatrix} & egin{bmatrix} eta_6 \ \end{bmatrix} & \cdots & egin{bmatrix} eta_r \ \end{bmatrix}$$

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- ensures **3**
- ensures @

$$L(\bigcap_{\mathcal{B}_1} \times \bigcap_{\mathcal{B}_4} \times \bigcap_{\mathcal{B}_6} \times \cdots \times \bigcap_{\mathcal{B}_n}) \supseteq L(\mathcal{A})$$

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- ensures @
- ensures 😑

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# Theorem of Kupferman and Mosheiff in MFCS'13 and J. Inf. Comput. 2015 [1]

▶ Compositionality is in ExpSpace and NLogSpace-hard for DFAs

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▶ Compositionality is in ExpSpace and NLogSpace-hard for DFAs

best known bounds

### Decide whether A is k-factor composite

- 1. List all Guess k automata smaller than  $\mathcal{A}$
- 2. Discard all  $\mathcal{B}_i$  for which  $L(\mathcal{A}) \nsubseteq L(\mathcal{B}_i)$
- 3. Check that  $\bigcap_i L(\mathcal{B}_i) = L(\mathcal{A})$

ensures 🔇

ensures 📵

ensures 🖨

$$L(\bigcap \mathcal{B}_1) \times \bigcap \mathcal{B}_4 \times \bigcap \mathcal{B}_6 \times \cdots \times \bigcap \mathcal{B}_k) = L(\mathcal{A})$$

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- 3. Check that  $\bigcap_i L(\mathcal{B}_i) = L(\mathcal{A})$

- ensures 🔇
- ensures ensures

$$L(\bigcap_{\mathcal{B}_1} \times \bigcap_{\mathcal{B}_4} \times \bigcap_{\mathcal{B}_6} \times \cdots \times \bigcap_{\mathcal{B}_k}) = L(\mathcal{A})$$

#### **Theorems**

- ▶ Compositionality is in ExpSpace and NLogSpace-hard for DFAs
- ▶ It is in PSPACE once parameterized to have at most k-factors

best known bounds

# **Contributions**

### Permutation automata

The transition function denotes a permutation on states for every input

forbidden pattern



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The transition function does not depends on the input order

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### Kupferman and Mosheiff in [1]

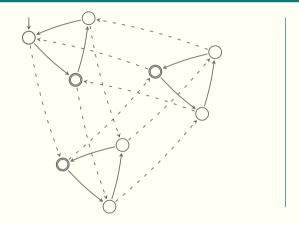
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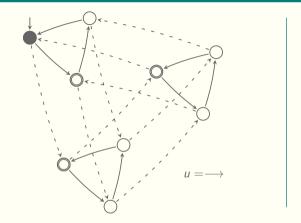
### Jecker, Mazzocchi and Wolf

- 1. Simpler structural characterizations
- .. implying better complexity results
- 2. Lower bound on minimal factor numbers

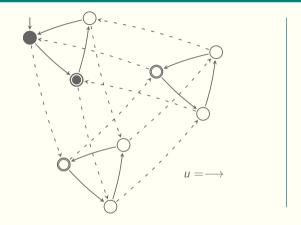
# **Commutative permutation DFAs**



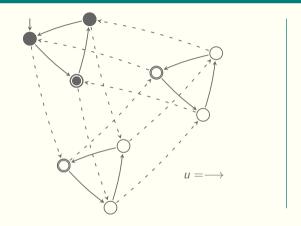
- permutation automaton
- commutative automaton



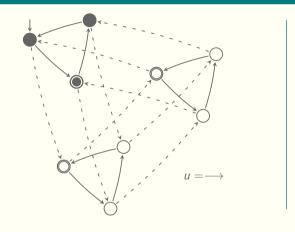
- permutation automaton
- ► commutative automaton

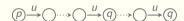


- permutation automaton
- commutative automaton

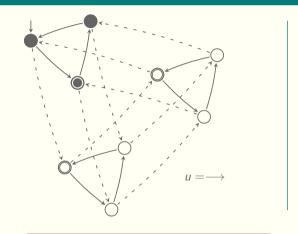


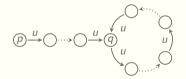
- permutation automaton
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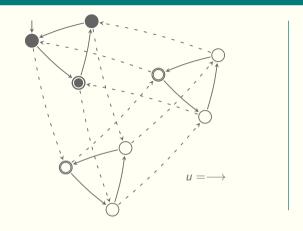


- permutation automaton
- commutative automaton

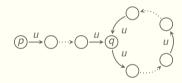




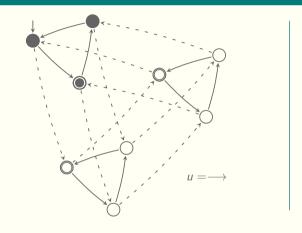
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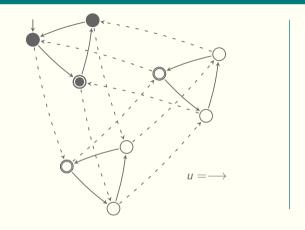
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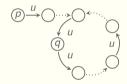




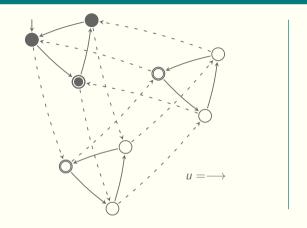
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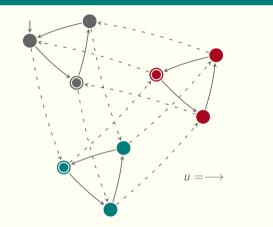


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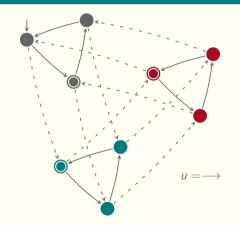


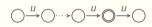
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 $\qquad \qquad [p]_u = \{\delta(p, u^n) : n \in \mathbb{N}\}$ 

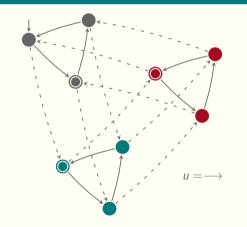


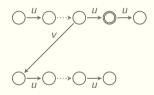


$$\bigcirc \longrightarrow \bigcirc \longrightarrow \bigcirc \longrightarrow \bigcirc$$

- permutation automaton
- commutative automaton

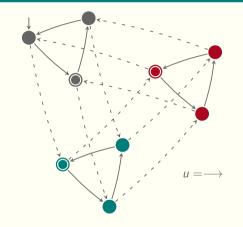
• 
$$[p]_u = \{\delta(p, u^n) : n \in \mathbb{N}\}$$



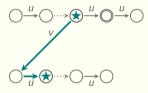


- permutation automaton
- commutative automaton

$$\triangleright [p]_u = \{\delta(p, u^n) : n \in \mathbb{N}\}$$

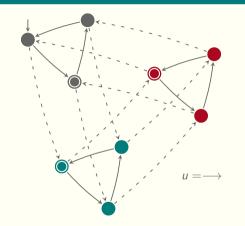


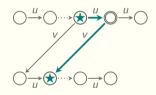




- permutation automaton
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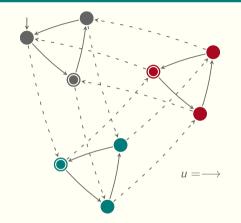
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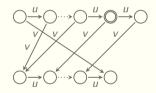




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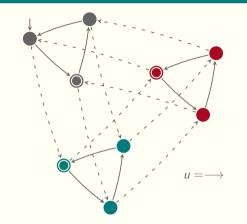
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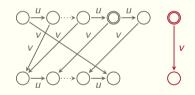




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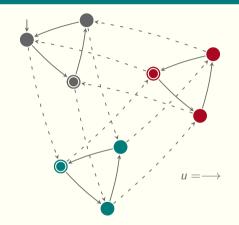
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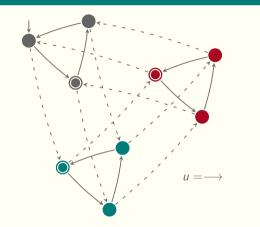
- permutation automaton
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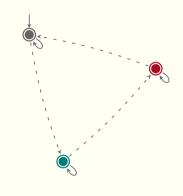
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- permutation automaton
- commutative automaton

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- permutation automaton
- commutative automaton

- ▶ effective merge of states ⇒ ensures
- ▶ relaxed acceptance ⇒ ensures

#### Word *u* that covers $p \in \neg F$

- $|[p]_u| > 1$
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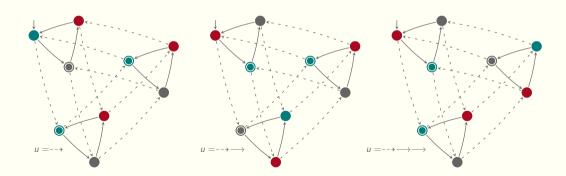


#### Word *u* that covers $p \in \neg F$

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# Key equivalence → sketch a commutative permutation DFA is k-factor composite

k words suffice to cover all non-finals states



#### Word $\overline{u}$ that covers $\overline{p} \in \neg F$

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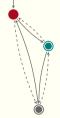
#### Key equivalence

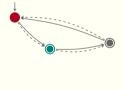
**≫** sketch

a commutative permutation DFA is k-factor composite  $\ensuremath{\updownarrow}$ 

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#### Deciding compositionality of commutative permutation DFAs (k is not given)

- 1. For each rejecting state  $p \in \neg F$ 
  - 1.1 Guess  $p' \neq p$  defining  $A: p \xrightarrow{u} p'$
  - 1.2 Check on-the-fly  $\delta(p, u^i) \in \neg F$  for all  $i \in \{1, \dots, |\mathcal{A}|\}$

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#### Theorem

▶ Compositionality is in NLogSpace for commutative permutation DFAs

#### Word *u* that covers $p \in \neg F$

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- $[p]_u \cap F = \emptyset$

#### Key equivalence

**≫** sketch

#### Deciding *k*-factor compositionality of commutative permutation DFAs

- 1. Guess  $W = \{(p_1, p_1'), (p_2, p_2'), \dots, (p_k, p_k') : p_j \neq p_j'\}$
- 2. For each rejecting state  $p \in \neg F$ 
  - 2.1 Guess  $(p_j, p'_j) \in W$  defining  $A : p_j \xrightarrow{u_j} p'_j$
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→ sketch

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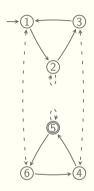
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#### **Theorems**

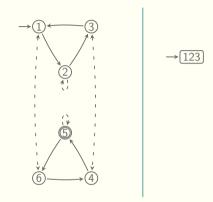
- ▶ Compositionality is in NLogSpace for commutative permutation DFAs
- ▶ It is NP-COMPLETE once parameterized to have at most k-factors

# **Permutation DFAs**



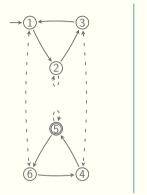
- permutation automaton
- ▶ **NOT** commutative

- define (or induce) group states
- .. while being consistent with transitions



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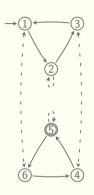
- define (or induce) groups of states
- .. while being consistent with transitions



→<u>123</u>

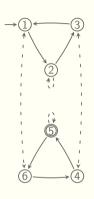
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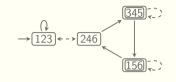
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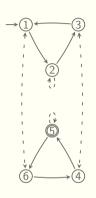
- define (or induce) groups of states
- .. while being consistent with transitions





- permutation automaton
- NOT commutative

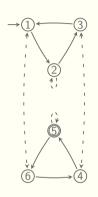
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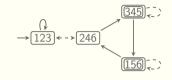




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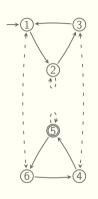


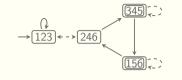


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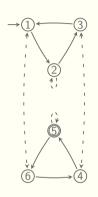


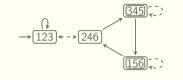


- needs to be checked
- •
- lacksquare

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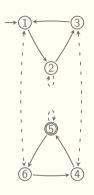
#### Factor? Usefull?

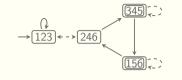
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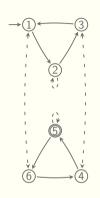


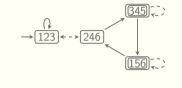


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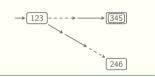
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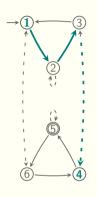


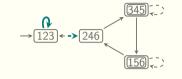
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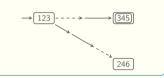
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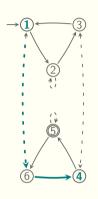


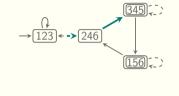
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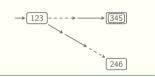
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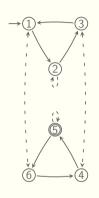


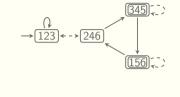
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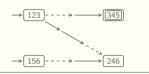
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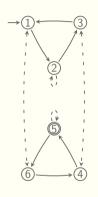


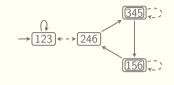
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# Characterization by set coverage

#### **Set** *S* **that covers** $p \in \neg F$

- $|\{\delta(S,u): u \in \Sigma^*\}| < |\mathcal{A}|$
- ▶  $\exists u \ \{p\} \subseteq \delta(S, u) \subseteq \neg F$

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### Key equivalence

a permutation DFA is composite



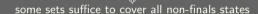
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### Deciding compositionality of permutation DFAs (k is not given)

- 1. For each rejecting state  $p \in \neg F$ 
  - 1.1 Guess *S*
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some sets suffice to cover all non-finals states

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#### Theorem

▶ Compositionality is in NP for permutation DFAs

# Width matters

Width of  $A = \min k$  such that A = k-composite

Width of  $A = \min k$  such that A is k-composite

#### **Decompositions of a natural** $n \in \mathbb{N}$

**Prime decomposition**:  $n = n_1 \times n_2 \times \cdots \times n_k$  where all  $n_i \in \mathbb{N}$  are prime.

**2-Decomposition**:  $n = n' \times n''$  with n' < n and n'' < n.

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### DFA are set of naturals (alphabet size is the representation base)

▶ DFA of width 3 by Kupferman and Mosheiff in [1]

### Width of $A = \min k$ such that A is k-composite

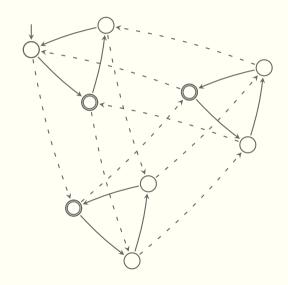
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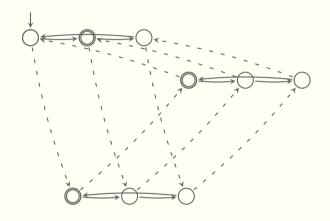
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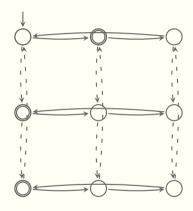
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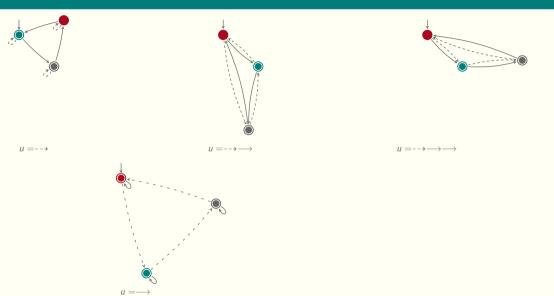
#### **DFA** are set of naturals (alphabet size as representation base)

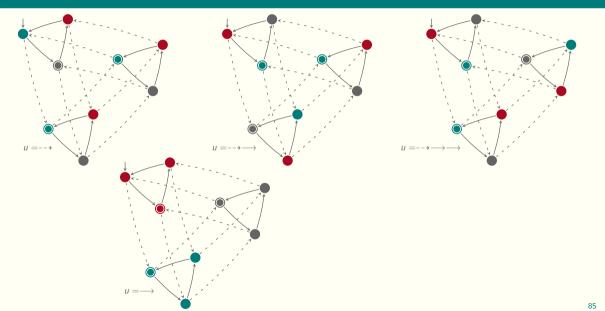
- ▶ DFA of width 3 by Kupferman and Mosheiff in [1]
- Family of unary DFAs of unbounded width by Jecker, Mazzocchi and Kupferman in MFCS'20 [2]

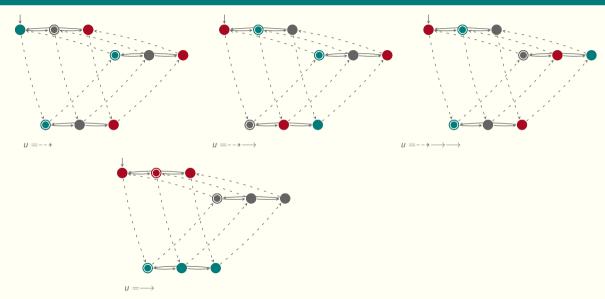


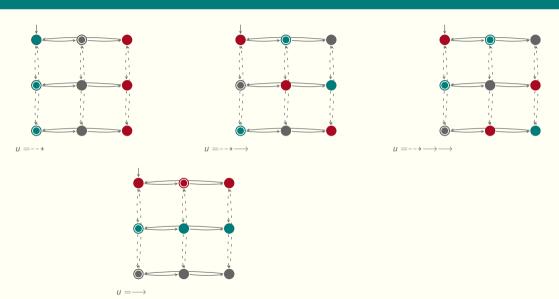


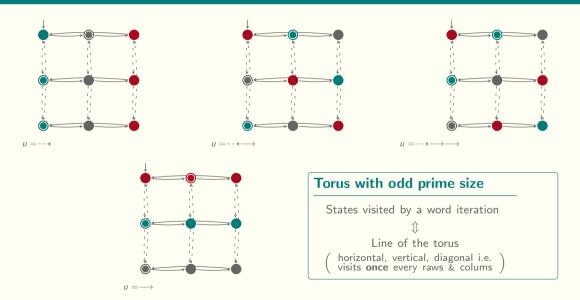


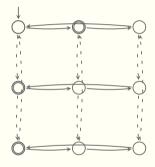




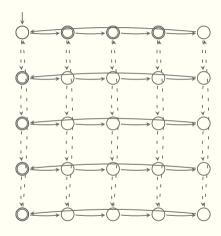




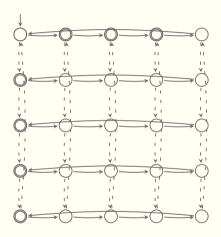




 $\triangleleft$  size of dimensions = 3  $\triangleright$ 



 $\triangleleft \cdots$  size of dimensions = n (odd prime)  $\cdots \triangleright$ 



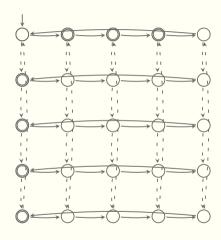
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### **Compositionality criteron**

k-factor composite

k words suffice to cover all non-finals

k lines of non-final suffice to cover them all



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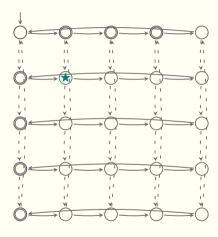
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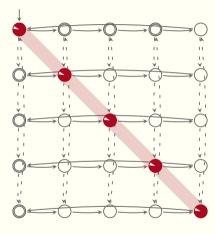
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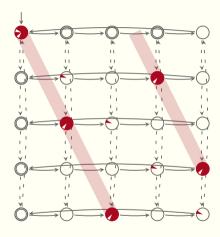
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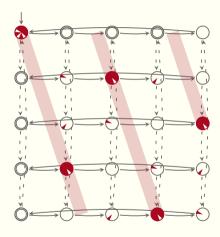
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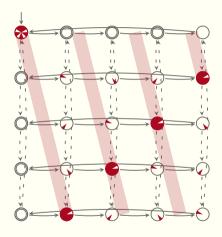
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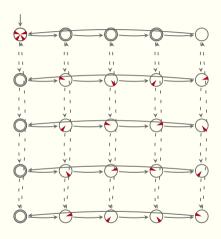
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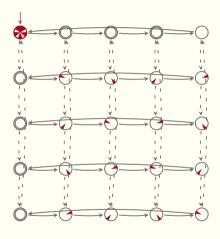
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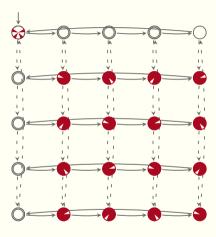
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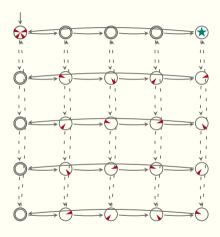
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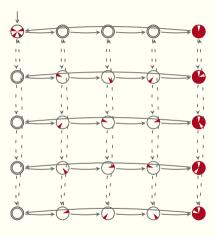
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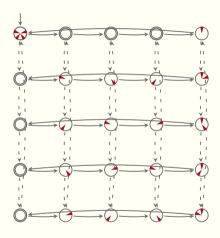
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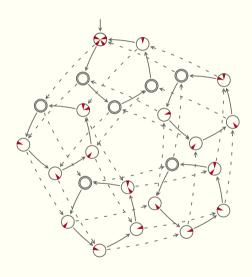
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#### Width

minimal number of lines which, together,

#### Theorem

The Torus DFA with odd prime size n requires  $\sqrt{n}$  factors to be decomposed

### In a nutshell

	composite?	k-composite?
DFAs	ExpSpace [1]	PSPACE
permutation DFAs	PSPACE [1] NP/FPT	
commutative permutation DFAs	PTIME [1] NLOGSPACE	NP-complete
unary (i.e. singleton alphabet) DFAs	LogSpace [2]	LogSpace





### In a nutshell

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permutation DFAs	PSPACE [1] NP/FPT	
commutative permutation DFAs	PTIME [1] NLOGSPACE	NP-complete
unary (i.e. singleton alphabet) DFAs	LogSpace [2]	LogSpace

### Large decompositions (n states and m letters)

- unary commutative permutation DFAs requiring ln(n)/ln(ln(n)) factors to be decomposed [2]
- lacktriangle commutative permutation DFAs requiring  $(\sqrt[m]{n}-1)^{m-1}$  factors to be decomposed





I. Jecker, O. Kupferman and N. Mazzocchi Unary Prime Languages In *MFCS* proceedings, 2020

# appendix

# Sketch: Characterization by coverage

#### *k*-covered implies *k*-composite

- 1.  $\forall p \in \neg F \ u_p \text{ covers } p$
- 2.  $p_1 \stackrel{a}{\to} p_2 \Leftrightarrow \delta(p_1, u_p^i) \stackrel{a}{\to} \delta(p_2, u_p^i)$

$$w \notin L(\mathcal{A}) \iff \exists p \in \neg F, \ \mathcal{A} \colon p_{I} \stackrel{w}{\to} p$$

$$\stackrel{2}{\Longleftrightarrow} \exists p \in \neg F, \ \mathcal{B}_{p} \colon [p_{I}] \stackrel{w}{\to} [p]$$

$$\stackrel{1}{\Longleftrightarrow} \exists p \in \neg F, \ w \notin L(\mathcal{B}_{p})$$

$$\iff w \notin \bigcap_{p} L(\mathcal{B}_{p})$$

 $L(\mathcal{A}) = \bigcap_{p} L(\mathcal{B}_{p})$ 

# Sketch: Characterization by coverage

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•  $L(A) = \bigcap_{p} L(B_p)$ 

#### *k*-composite implies *k*-covered

- 1.  $L(A) = \bigcap_i L(B_i)$
- 2.  $\forall \mathcal{B}_i \begin{cases} w \notin L(\mathcal{B}_i) \implies w \notin L(\mathcal{A}) \\ |\mathcal{B}_i| < |\mathcal{A}| \end{cases}$

$$\exists \lambda \ \delta(\rho, u^{\lambda}) = \rho$$

$$\exists v_i \begin{cases} \mathcal{B}_i : q \xrightarrow{v_i} q \\ \mathcal{A} : \rho \xrightarrow{v_i} \rho' \neq \rho \end{cases}$$

$$w \notin L(\mathcal{A}) \quad \stackrel{1}{\Longrightarrow} \exists i, \ w \notin L(\mathcal{B}_i)$$

$$\stackrel{3}{\Longrightarrow} \exists i, \ wv_i^* \notin L(\mathcal{B}_i)$$

$$\stackrel{2}{\Longrightarrow} \exists i, \ wv_i^* \notin L(\mathcal{A})$$

ightharpoonup All  $v_i$  cover together rejecting states of A

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$$|\beta_{i}| < |A|$$

$$\exists \lambda \ \delta(p, u^{\lambda}) = p$$

$$\exists v_{i} \begin{cases} \mathcal{B}_{i} : q \xrightarrow{v_{i}} q \\ \mathcal{A} : p \xrightarrow{v_{i}} p' \neq p \end{cases}$$

$$w \notin L(\mathcal{A}) \quad \stackrel{1}{\Longrightarrow} \exists i, \ w \notin L(\mathcal{B}_{i})$$

$$\stackrel{3}{\Longrightarrow} \exists i, \ wv_{i}^{*} \notin L(\mathcal{B}_{i})$$

$$w \notin L(\mathcal{A}) \quad \stackrel{2}{\Longrightarrow} \exists i, \ wv_{i}^{*} \notin L(\mathcal{A})$$

ightharpoonup All  $v_i$  cover together rejecting states of  $\mathcal{A}$