

# Chapter 4

## Network Layer: Data Plane

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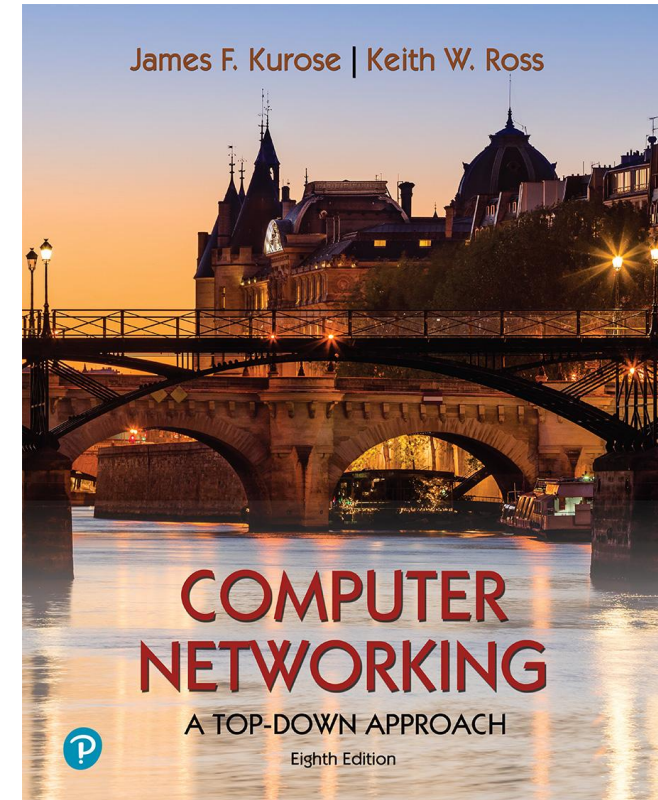
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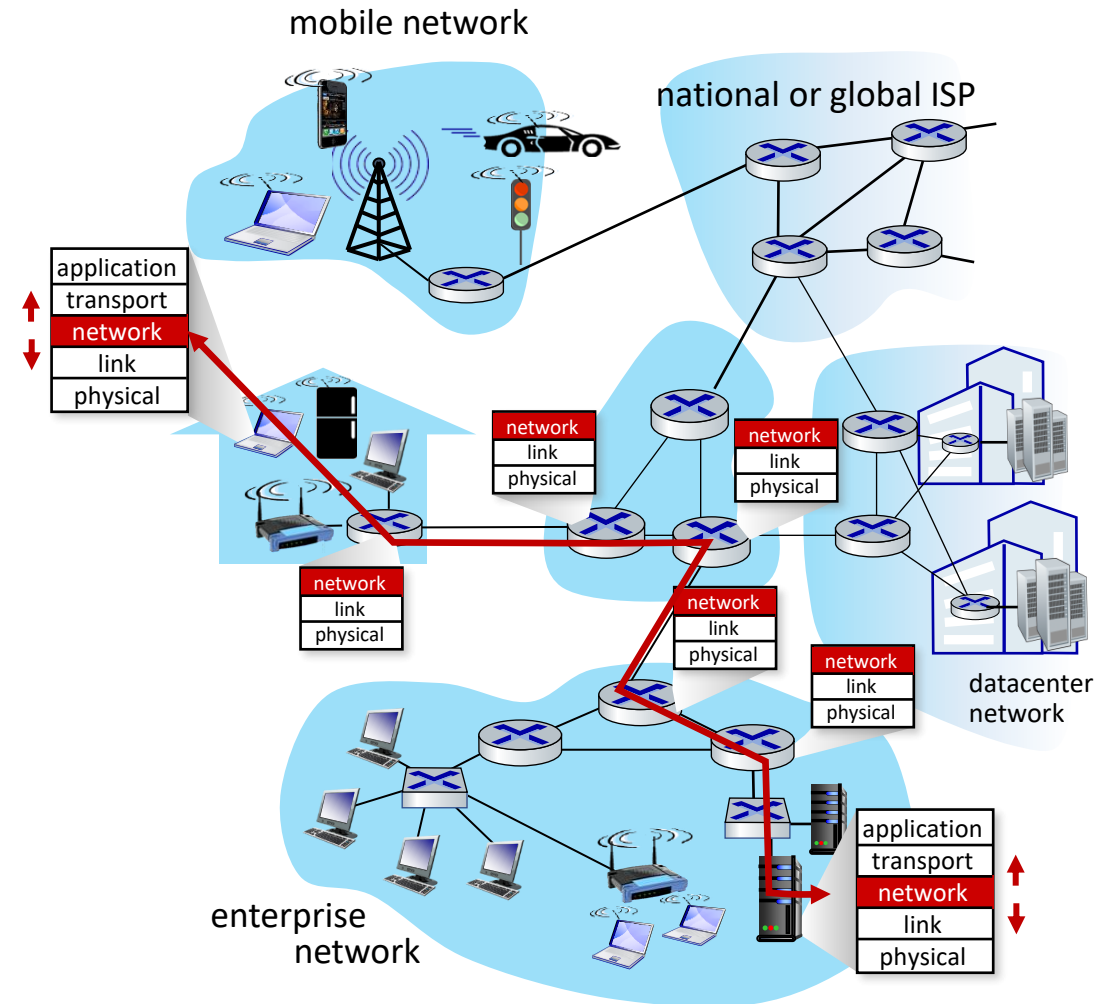
## *Computer Networking: A Top-Down Approach*

8<sup>th</sup> edition

Jim Kurose, Keith Ross  
Pearson, 2020

# Network-layer services and protocols

- transport segment from sending to receiving host
  - **sender:** encapsulates segments into datagrams, passes to link layer
  - **receiver:** decapsulates segments from datagrams, delivers them to transport layer
- network layer protocols in *every Internet device*: hosts, routers
- **routers:**
  - examines header fields in all datagrams passing through it
  - moves datagrams from input ports to output ports to transfer datagrams along end-end path



# Two key network-layer functions

## network-layer functions:

- *forwarding*: move packets from a router's input link to appropriate router output link
- *routing*: determine route taken by packets from source to destination
  - *routing algorithms and protocols*

## analogy: taking a trip

- *forwarding*: process of getting through single interchange
- *routing*: process of planning trip from source to destination



forwarding



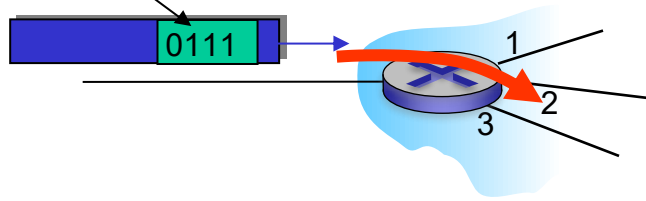
routing

# Network layer: data plane, control plane

## Data plane:

- *local*, per-router function
- determines how datagram arriving on router input port is forwarded to router output port
  - implements *forwarding*

values in arriving  
packet header



## Control plane:

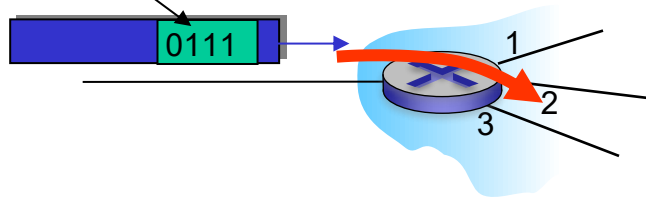
- *network-wide* logic
- determines how datagram is routed among routers along end-end path from source host to destination host
  - implements *routing*
- two control-plane approaches:
  - *traditional routing algorithms*: implemented in routers
  - *software-defined networking (SDN)*: implemented in (remote) servers

# Network layer: data plane, control plane

## Data plane:

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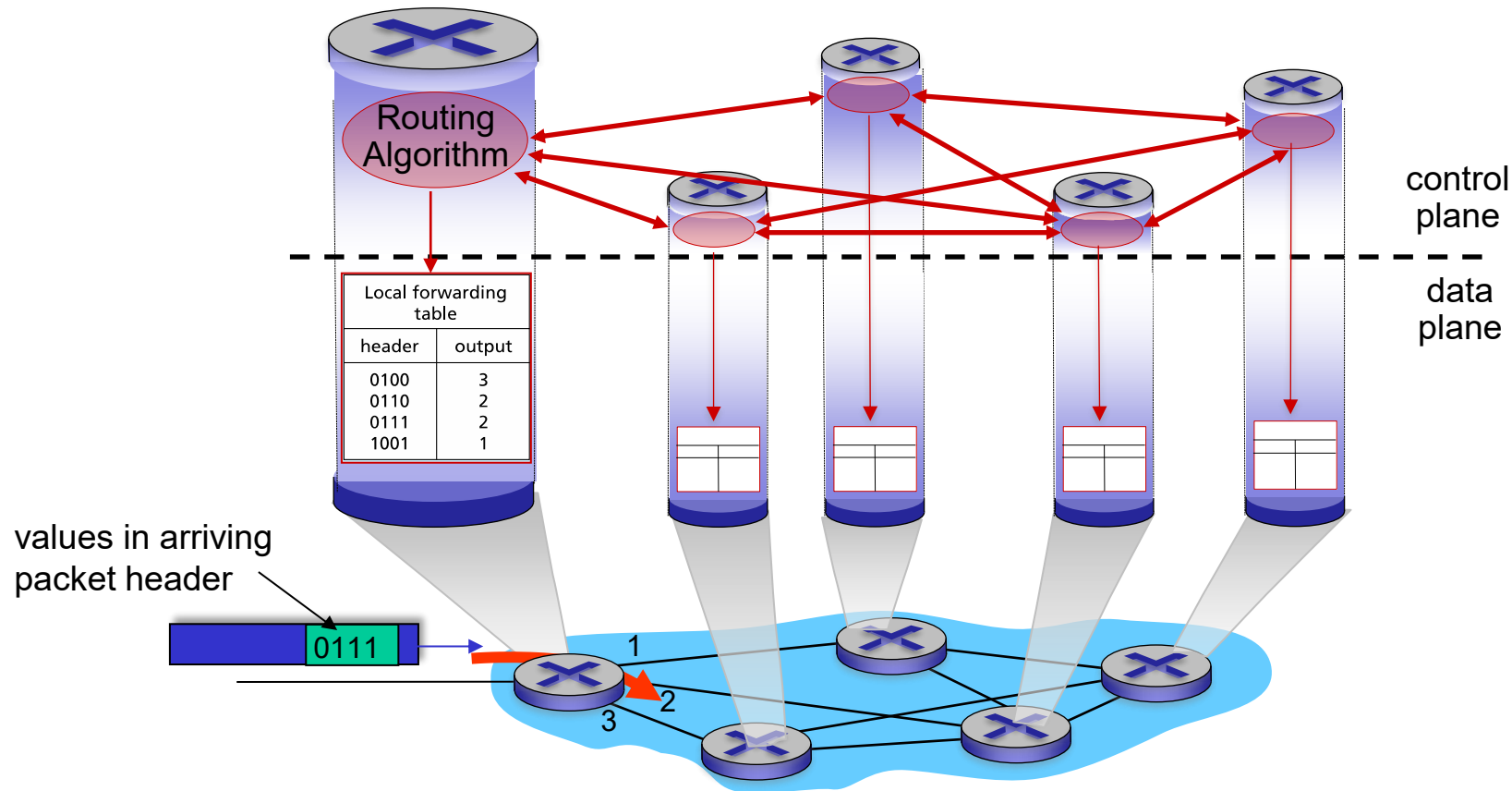
## Control plane:

- *network-wide* logic
- determines how datagram is routed among routers along end-end path from source host to destination host
  - implements *routing*
- two control-plane approaches:
  - *traditional routing algorithms*: implemented in routers
  - *software-defined networking (SDN)*: implemented in (remote) servers

out of scope

# Traditional routing: per-router control plane

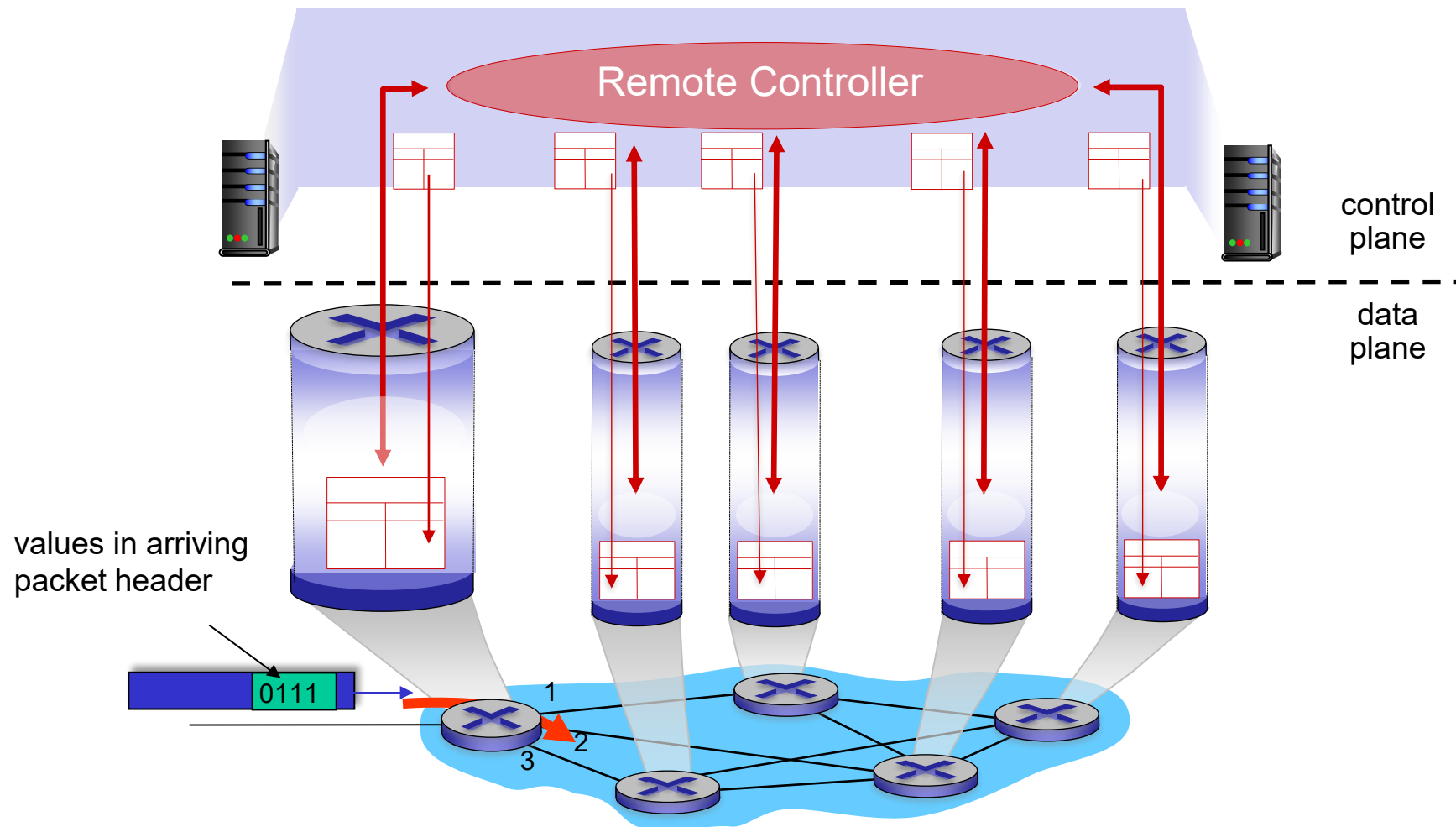
Individual routing algorithm components *in each and every router* interact in the control plane





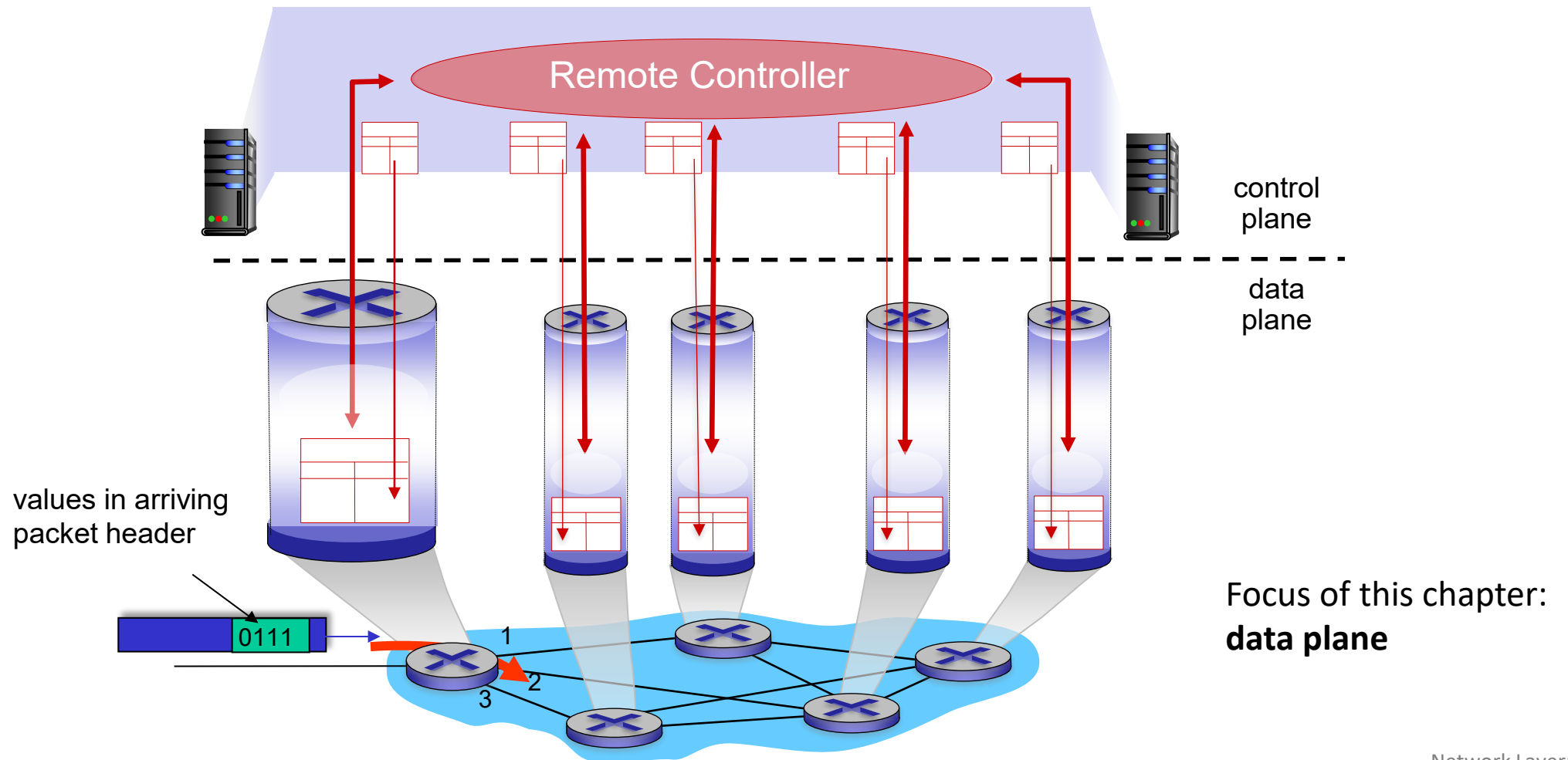
# Software-Defined Networking (SDN) control plane

Remote controller computes, installs forwarding tables in routers



# Software-Defined Networking (SDN) control plane

Remote controller computes, installs forwarding tables in routers





# Network service model

*Q:* What *service model* for “channel” transporting datagrams from sender to receiver?

example services for  
*individual* datagrams:

- guaranteed delivery
- guaranteed delivery with less than 40 msec delay
- ...

example services for a  
*flow* of datagrams:

- in-order datagram delivery
- guaranteed minimum bandwidth to flow
- ...

# Network-layer service model

| Network Architecture | Service Model      | Quality Guarantees? |       |           |
|----------------------|--------------------|---------------------|-------|-----------|
|                      |                    | Loss                | Order | Bandwidth |
| Internet             | <i>best effort</i> | no                  | no    | no        |

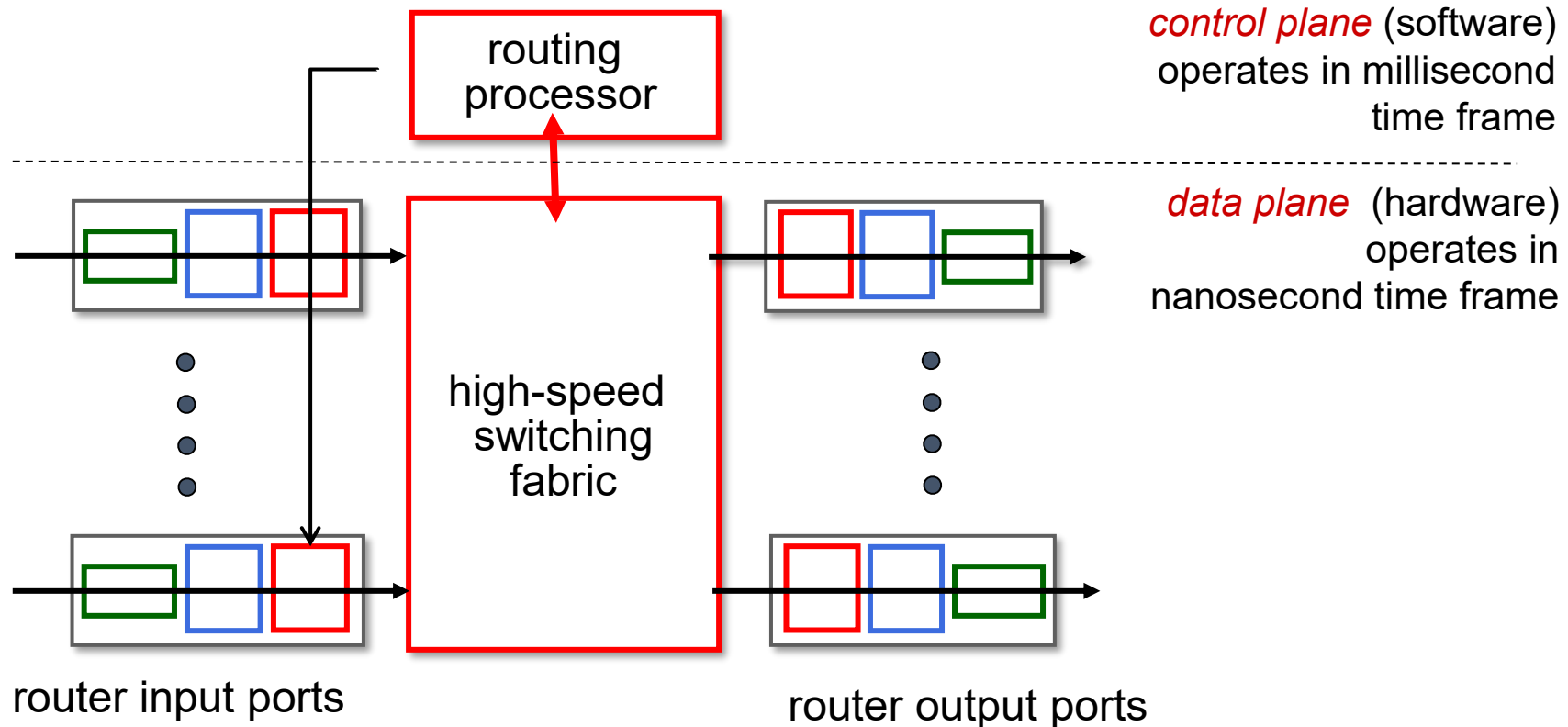
Internet “best effort” service model

*No* guarantees on:

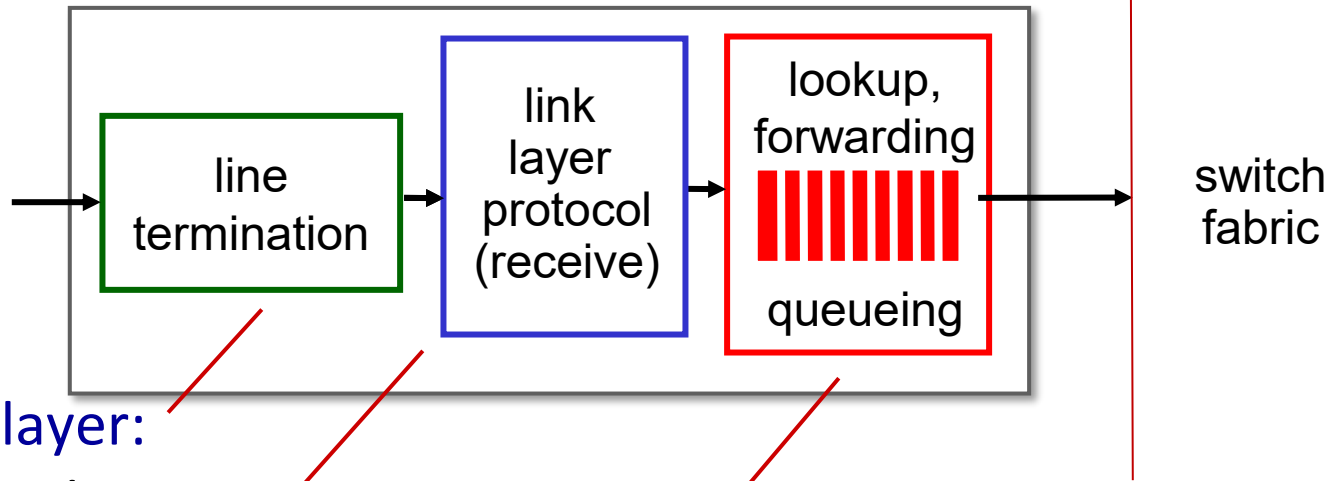
- i. successful datagram delivery to destination
- ii. order of delivery
- iii. bandwidth available to end-end flow

# Router architecture overview

high-level view of generic router architecture:



# Input port functions



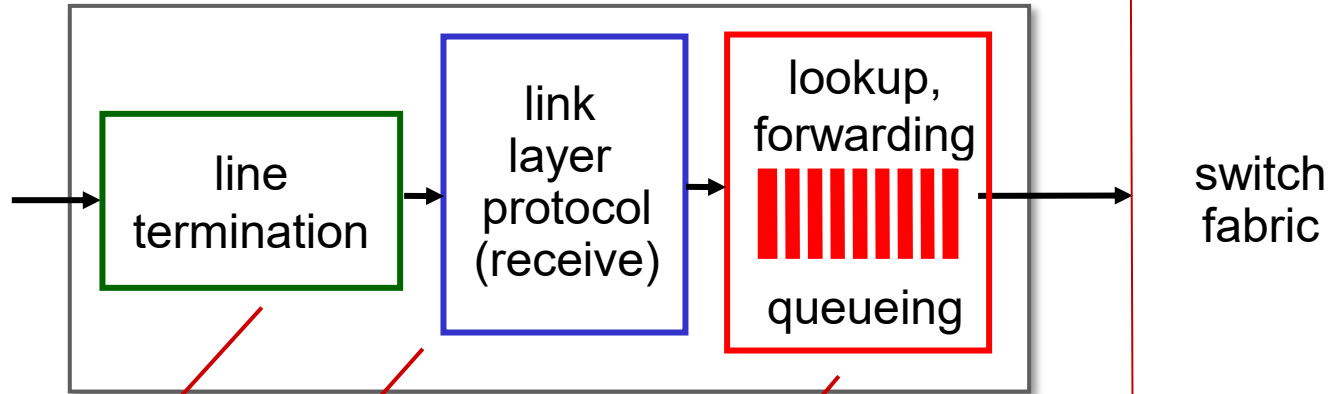
physical layer:  
bit-level reception

link layer:  
e.g., Ethernet  
(chapter 6)

## decentralized switching/forwarding:

- using header field values, lookup output port using forwarding table in input port memory (*“match plus action”*)
  - **destination-based forwarding:** forward based only on destination network-layer (i.e., IP) address (traditional)
    - We will see how it works in a few slides...

# Input port functions



physical layer:  
bit-level reception

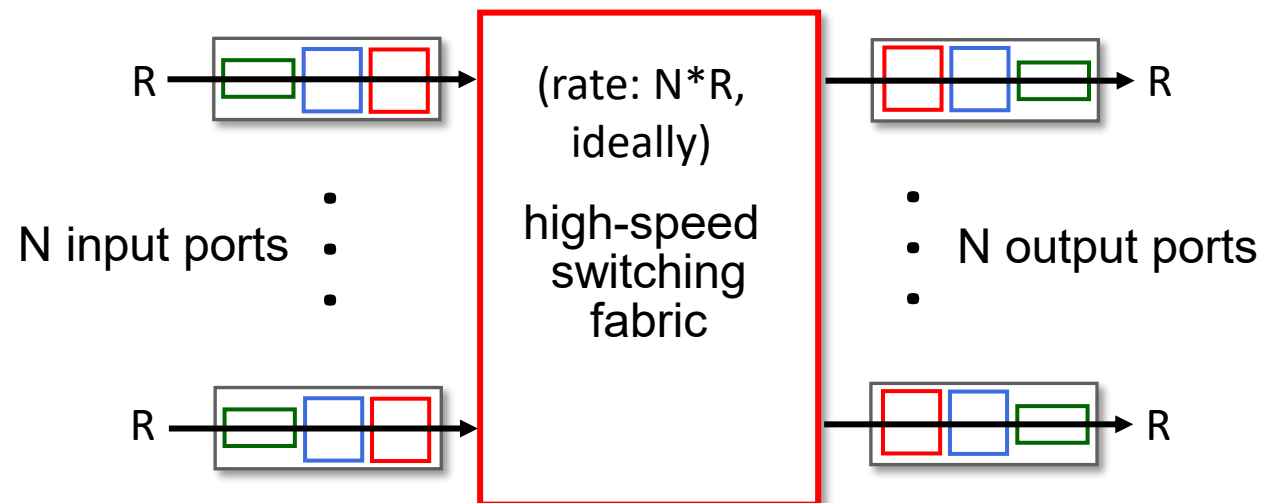
link layer:  
e.g., Ethernet  
(chapter 6)

## decentralized switching/forwarding:

- using header field values, lookup output port using forwarding table in input port memory (*“match plus action”*)
- goal: complete input port processing in a very short time
- **input port queueing:** if datagrams arrive faster than *switching rate* of switching fabric

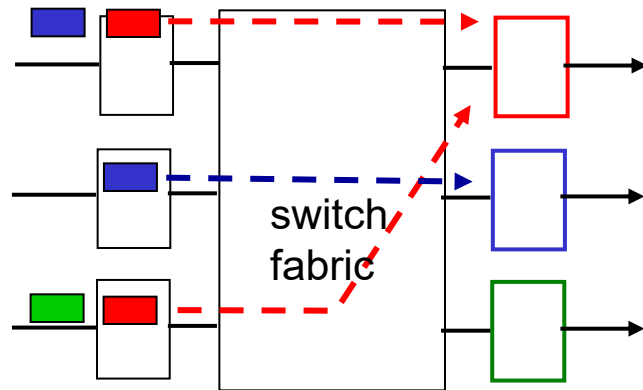
# Switching fabric

- transfer packet from input port to appropriate output port
- **switching rate**: rate at which packets can be transferred from input ports to output ports
  - often measured as multiple of input/output port line rate (i.e., rate supported by the port)
  - N inputs: switching rate at least N times line rate R desirable → negligible input port queuing is ensured

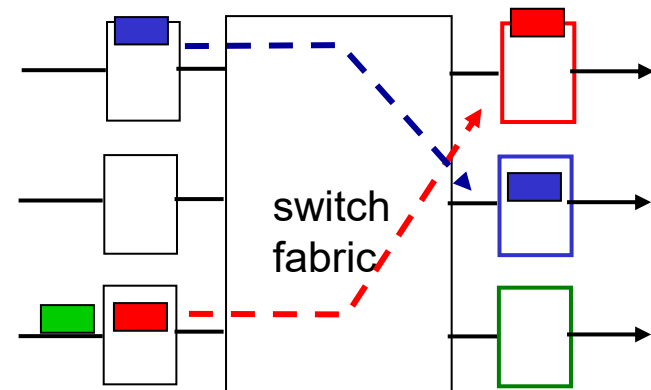


# Input port queuing

- if switching rate slower than input ports combined → significant queueing may occur at input queues
  - queueing delay and loss due to input buffer overflow!
- **Head-of-the-Line (HOL) blocking:** queued datagram at front of queue prevents others in queue from moving forward



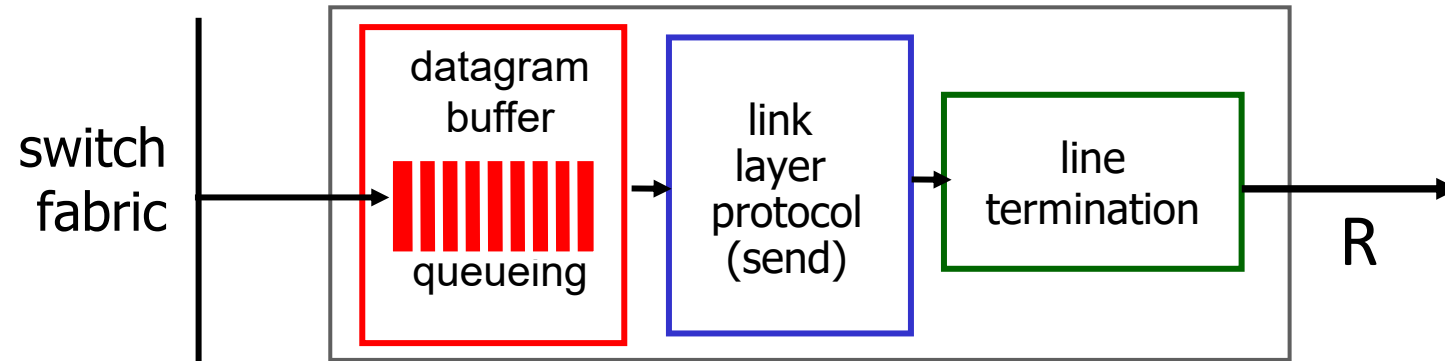
output port contention: only one red datagram can be transferred; lower red packet is *blocked*



one packet time later: green packet has experienced *HOL blocking*

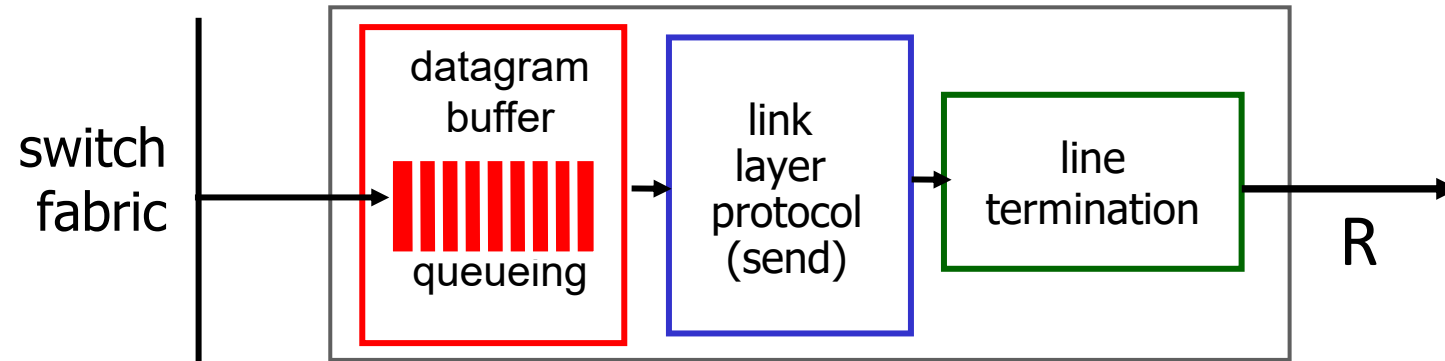


# Output port queuing



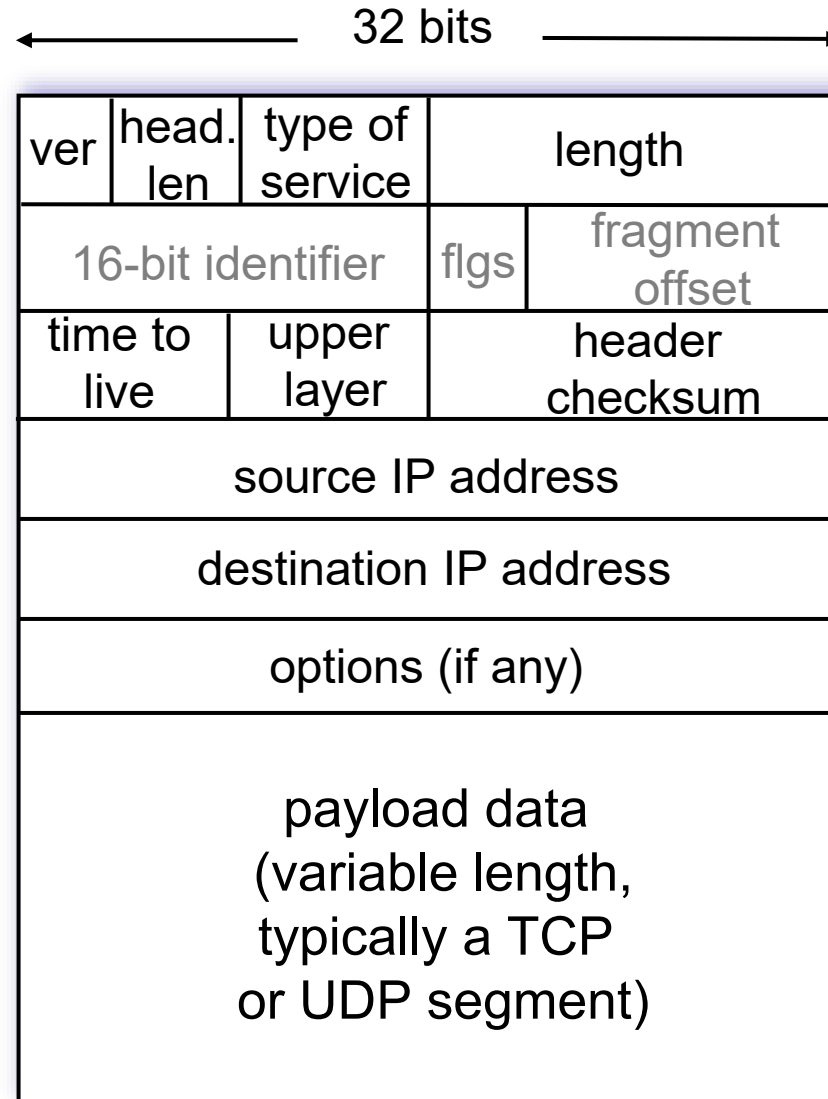
- Buffering occurs when arrival rate from the switching fabric exceeds output line speed
  - *Queueing delay and loss due to output port buffer overflow!*

# Output port queuing



- Buffering occurs when arrival rate from the switching fabric exceeds output line speed
  - *Queueing delay and loss due to output port buffer overflow!*
- *Drop policy*: which datagrams to drop if buffers are almost full?
  - ➡ Datagrams are discarded
- *Scheduling discipline* chooses among queued datagrams for transmission
  - ➡ Priority scheduling – who gets best performance

# IP Datagram format



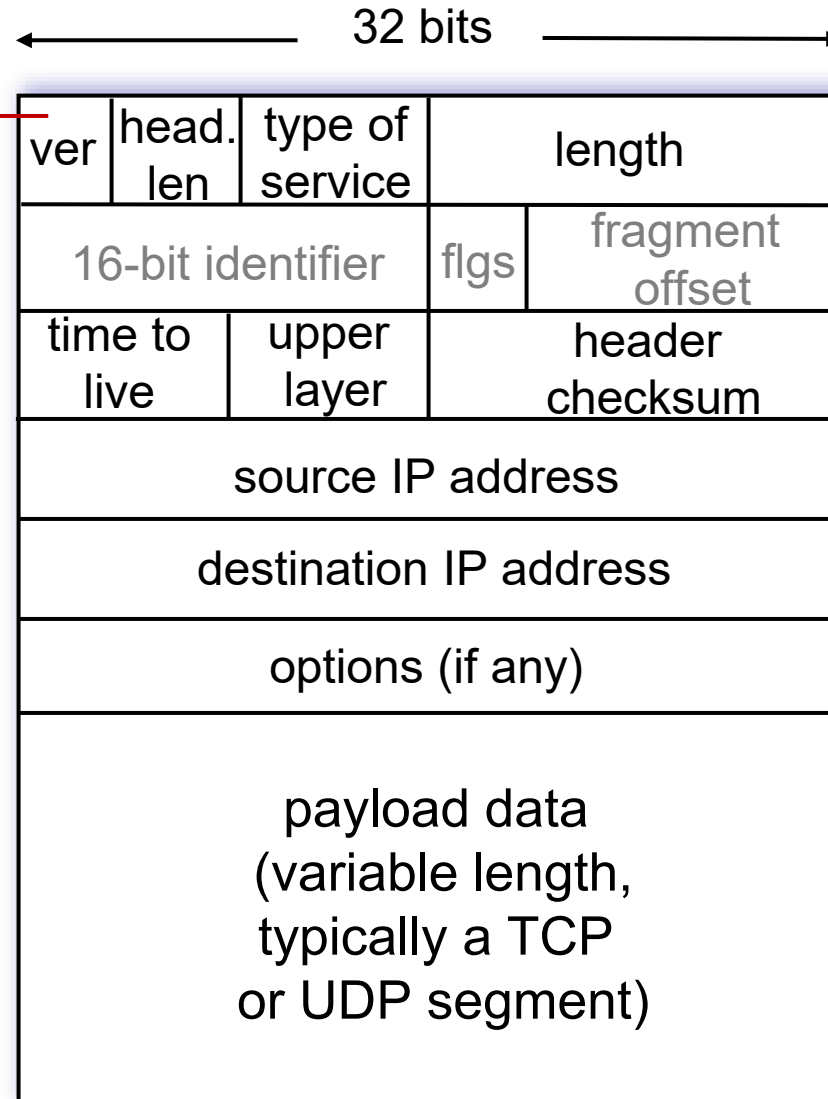
## Overhead TCP/IP

- 20 bytes of TCP
- 20 bytes of IP
- = 40 bytes + app layer overhead for TCP+IP

Maximum length: **65K bytes**  
Typically: **1500 bytes** (or less)

# IP Datagram format

IP protocol version number

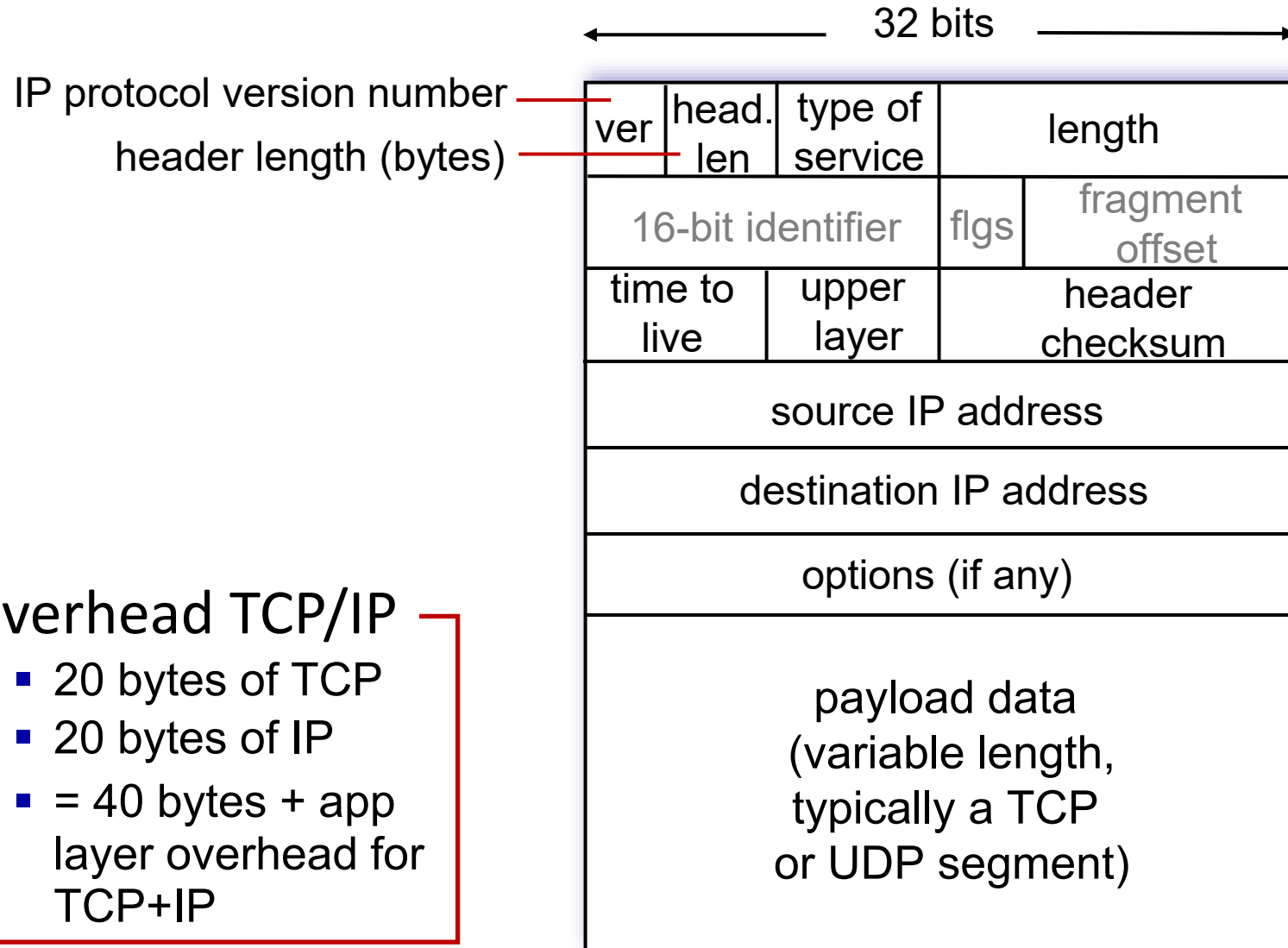


## Overhead TCP/IP

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# IP Datagram format

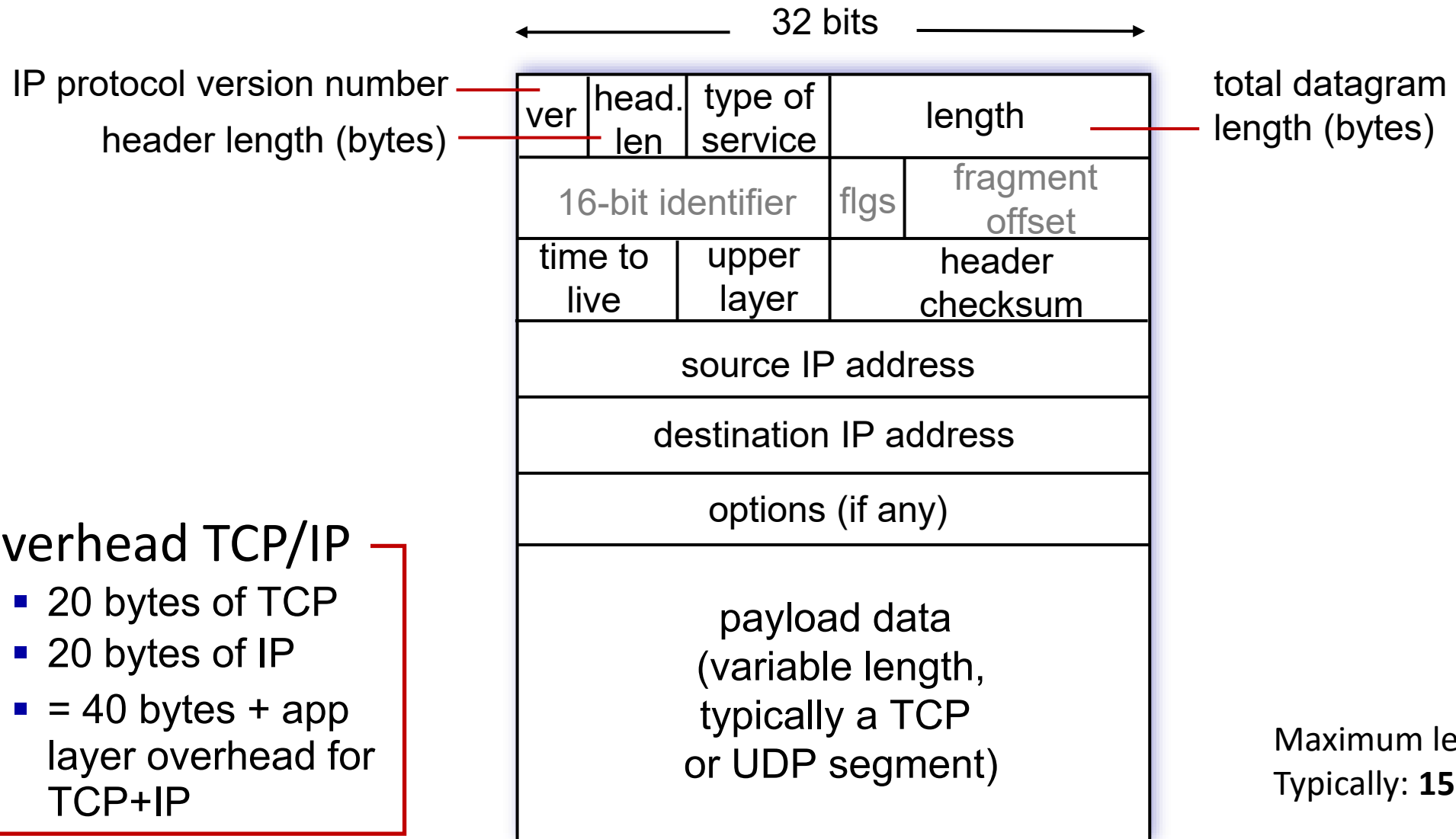


## Overhead TCP/IP

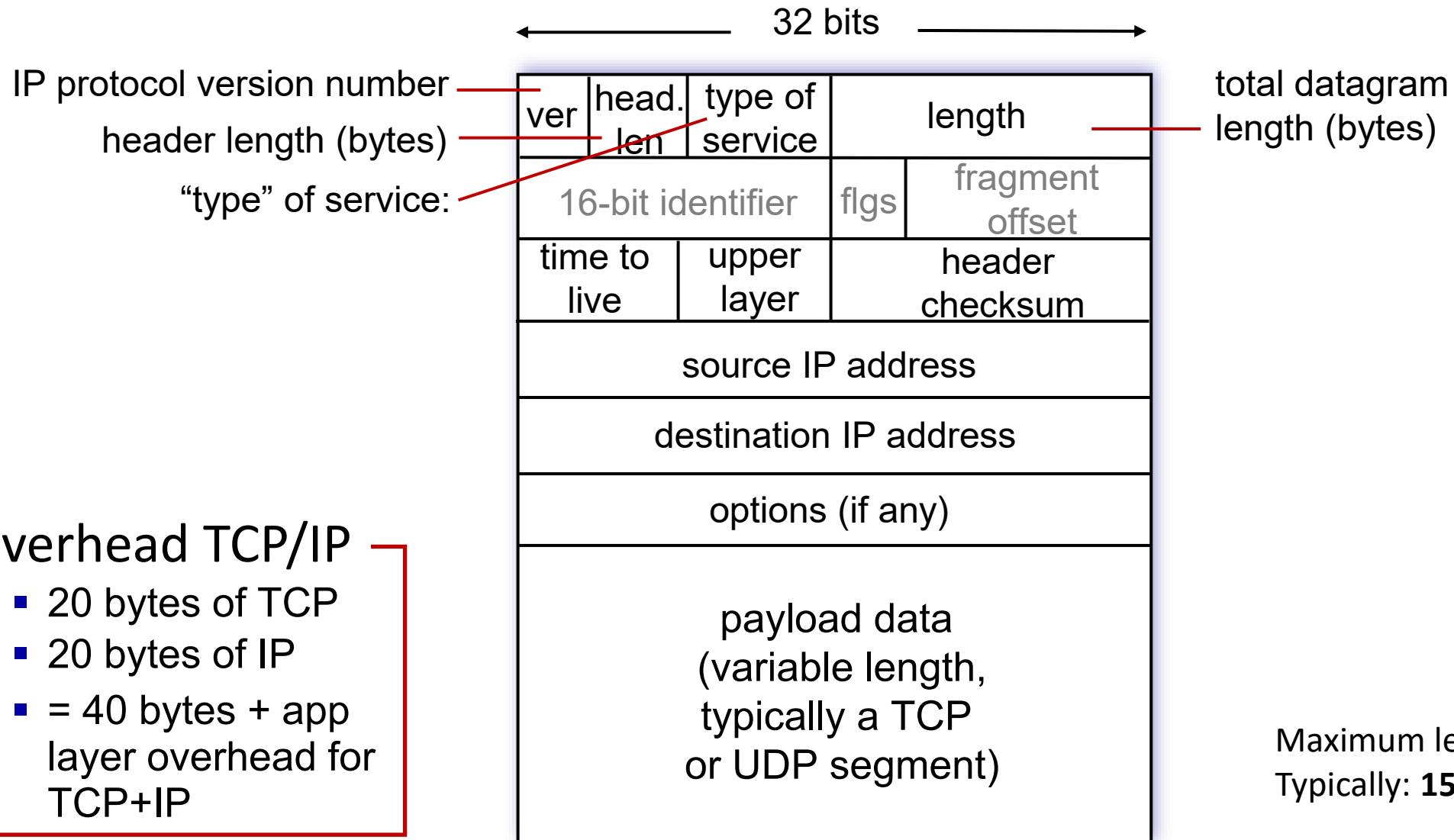
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# IP Datagram format

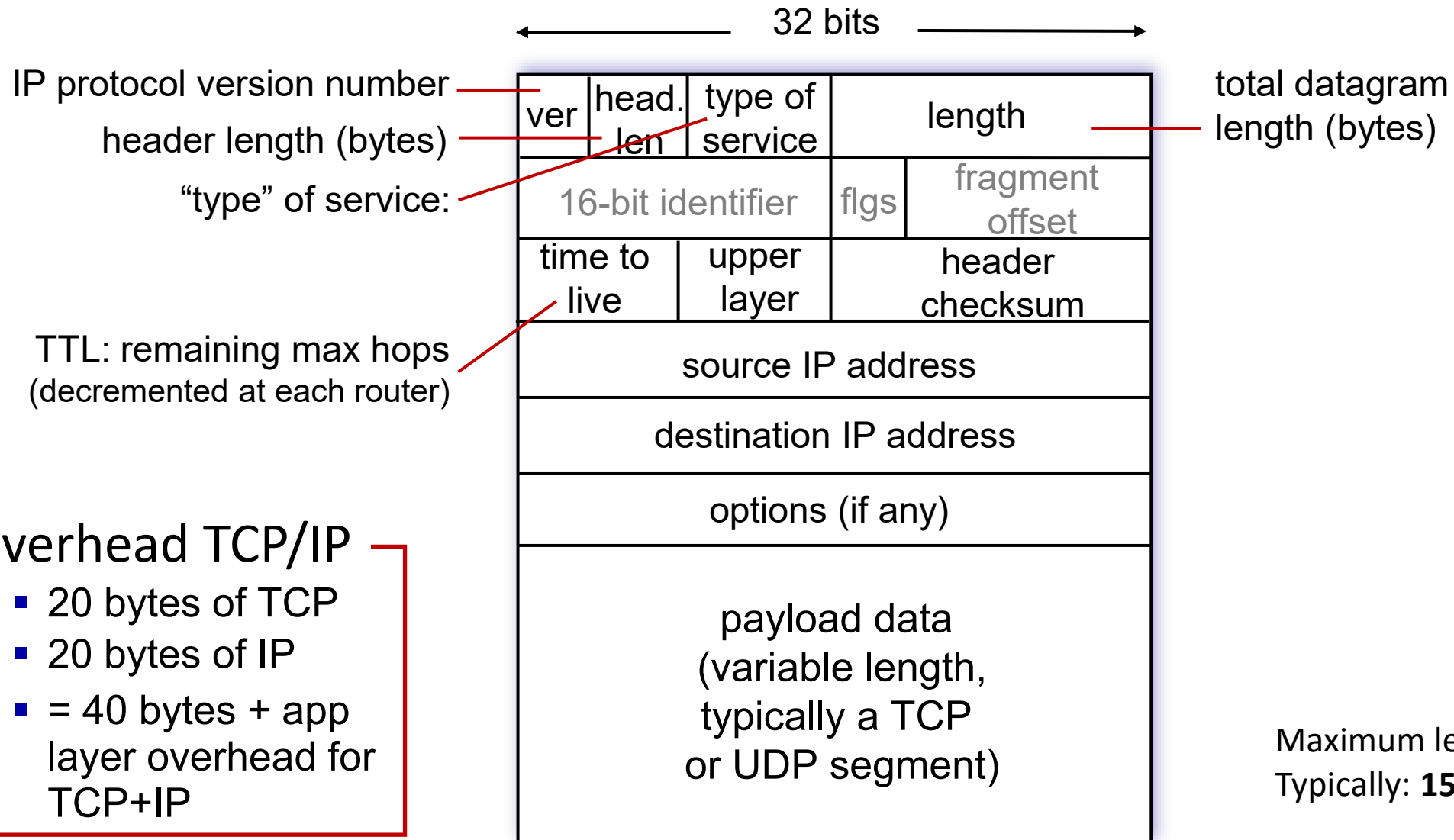


# IP Datagram format

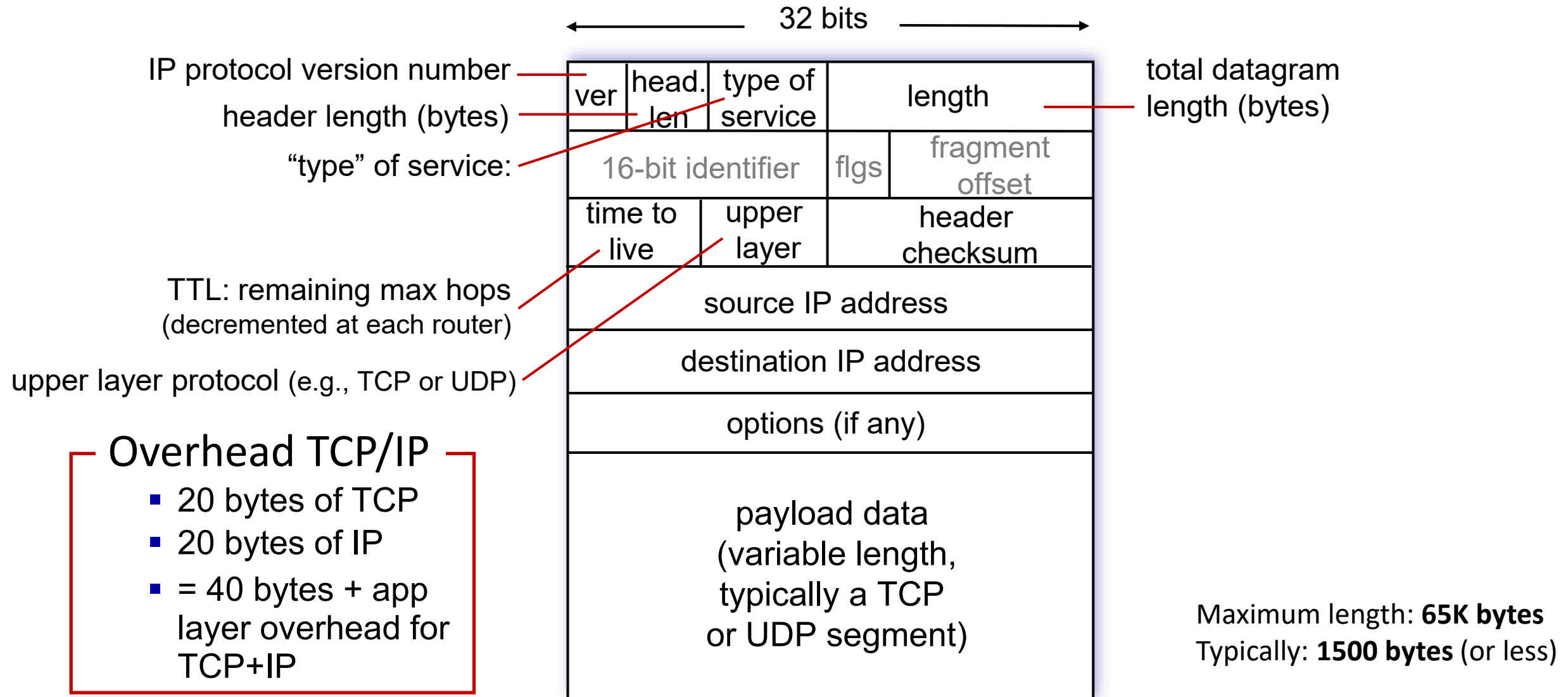




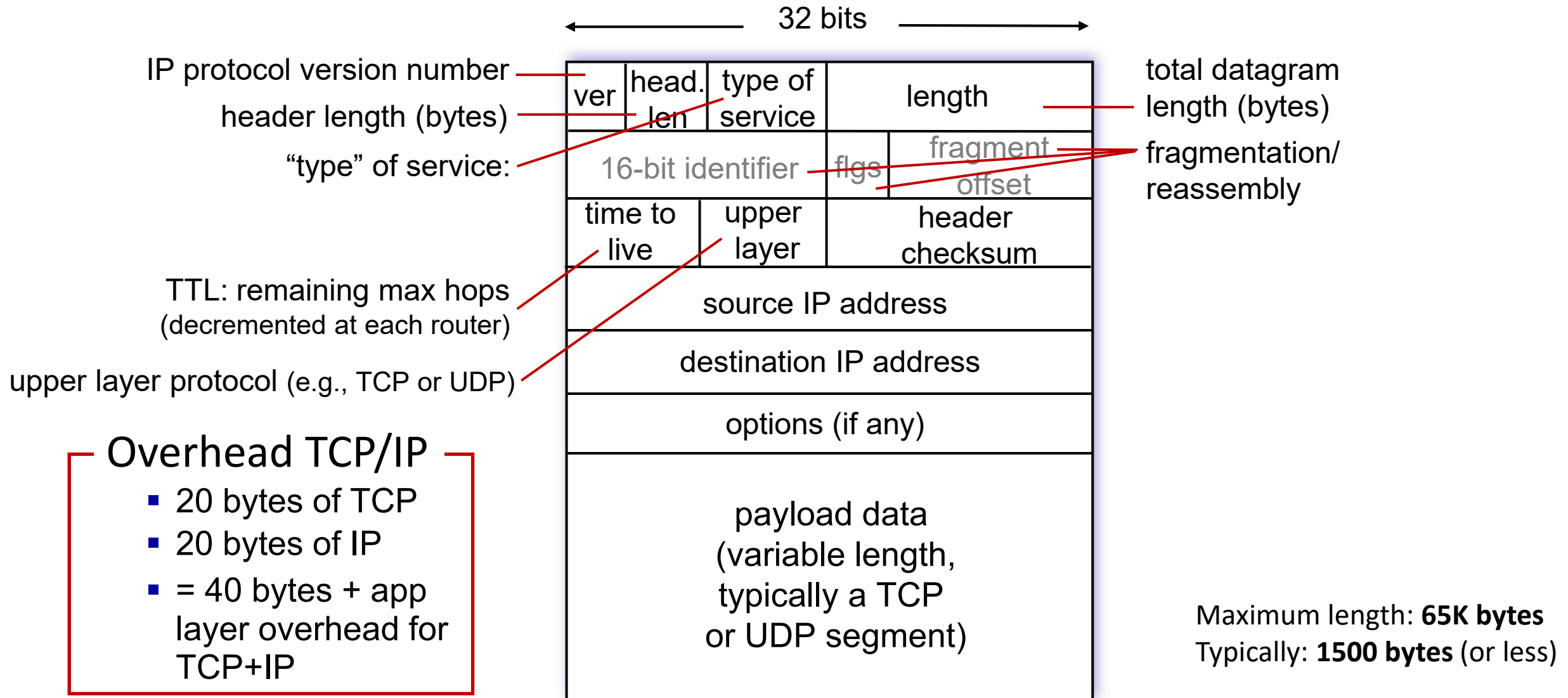
# IP Datagram format



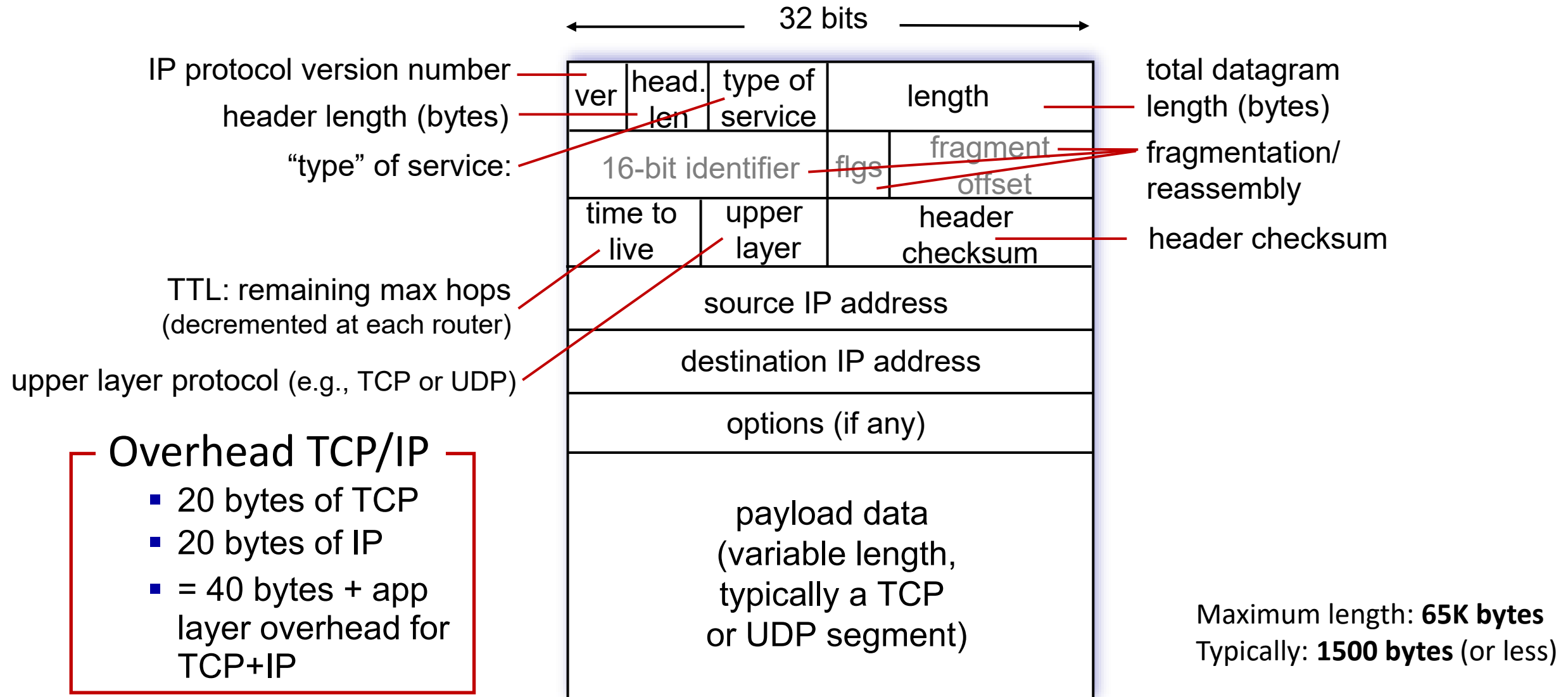
# IP Datagram format



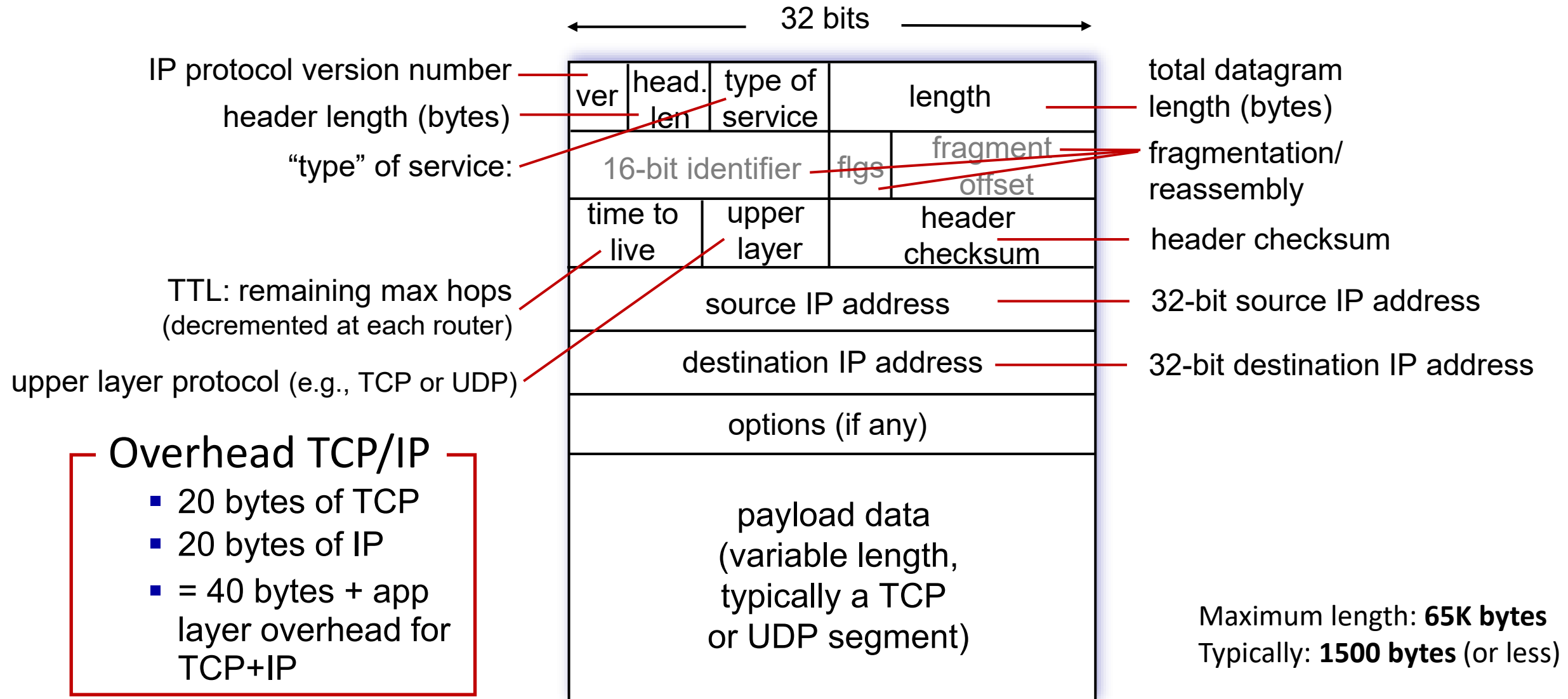
# IP Datagram format



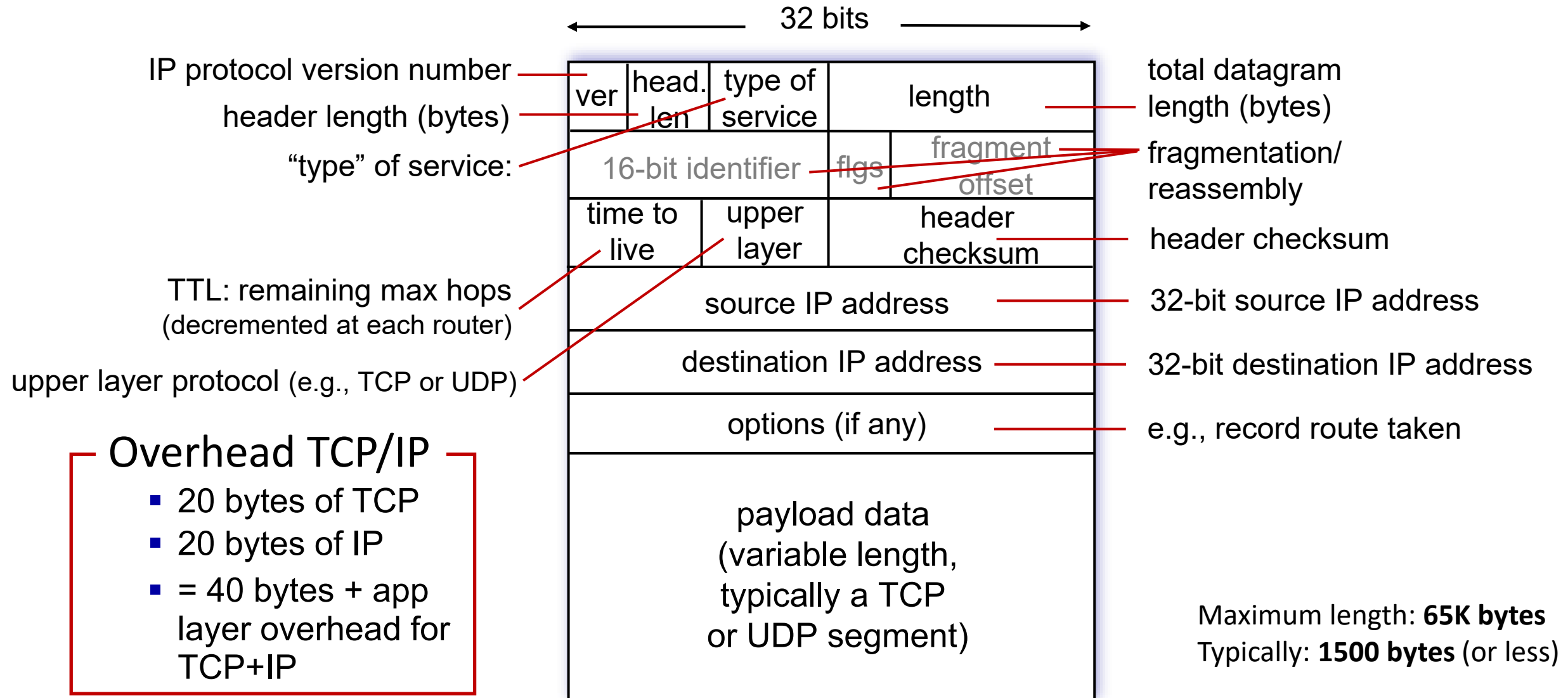
# IP Datagram format



# IP Datagram format

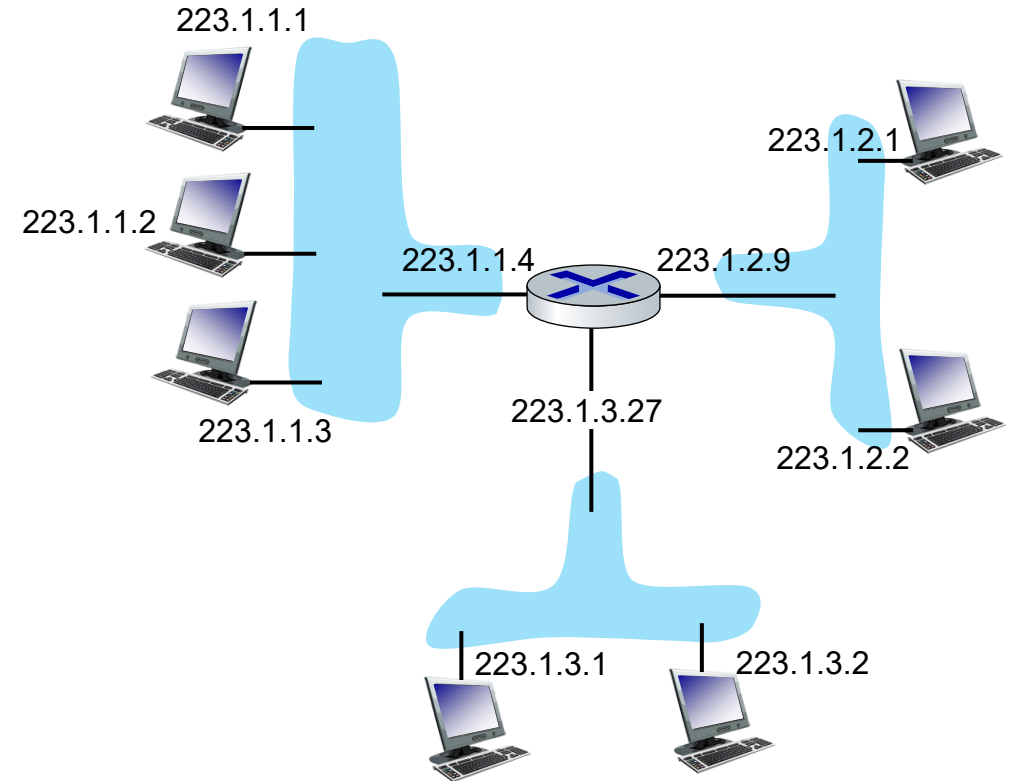


# IP Datagram format



# IP addressing: introduction

- **IP address:** 32-bit identifier associated with each host or router *interface*
- **interface:** connection between host/router and physical link
  - router's typically have multiple interfaces
    - *port* and *interface* are synonyms in our context
  - host typically has one or two interfaces (e.g., wired Ethernet, wireless 802.11)



dotted-decimal IP address notation:

223.1.1.1 =  $\underbrace{11011111}_{223} \underbrace{00000001}_1 \underbrace{00000001}_1 \underbrace{00000001}_1$



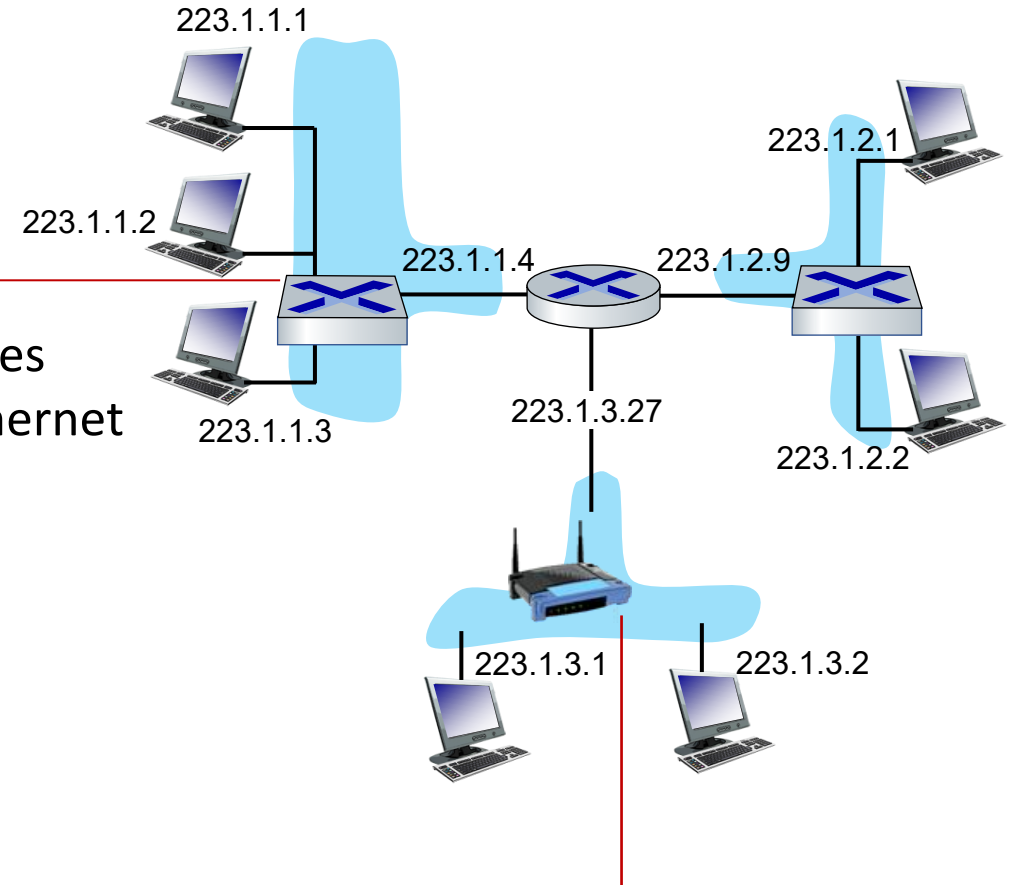
# IP addressing: introduction

Q: how are interfaces actually connected?

A: we'll partially learn about that in chapter 6

*For now:* don't need to worry about how one interface is connected to another (with no router in between)

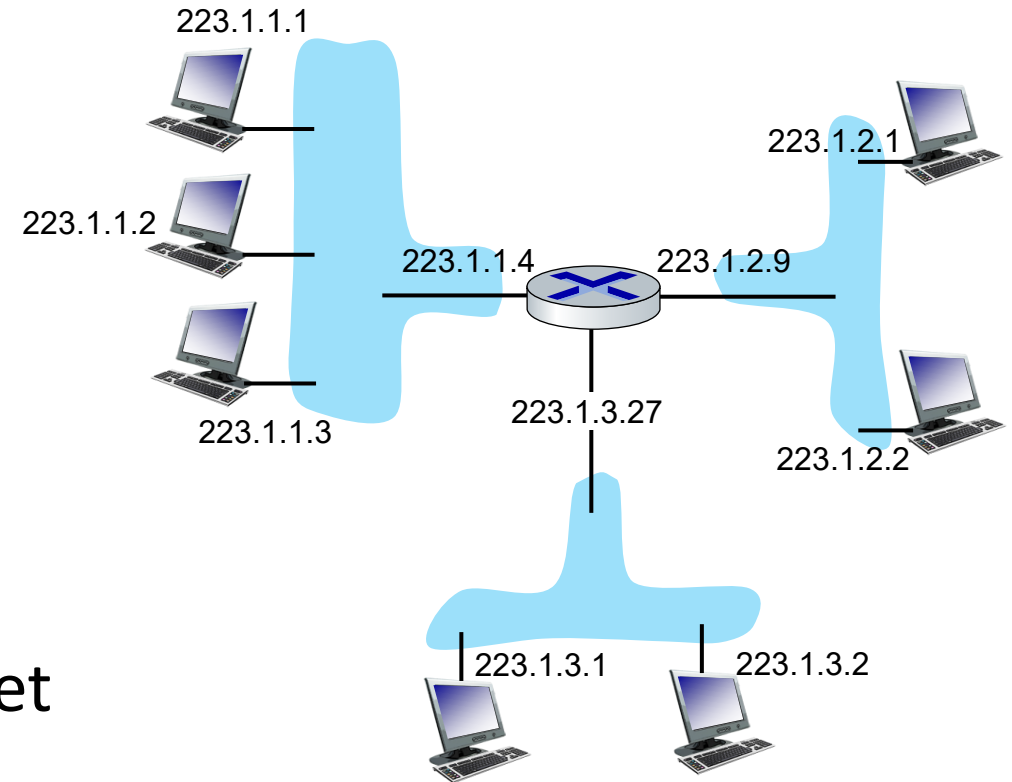
A: wired Ethernet interfaces connected by Ethernet switches



A: wireless WiFi interfaces connected by WiFi base station (not investigated)

# Subnets

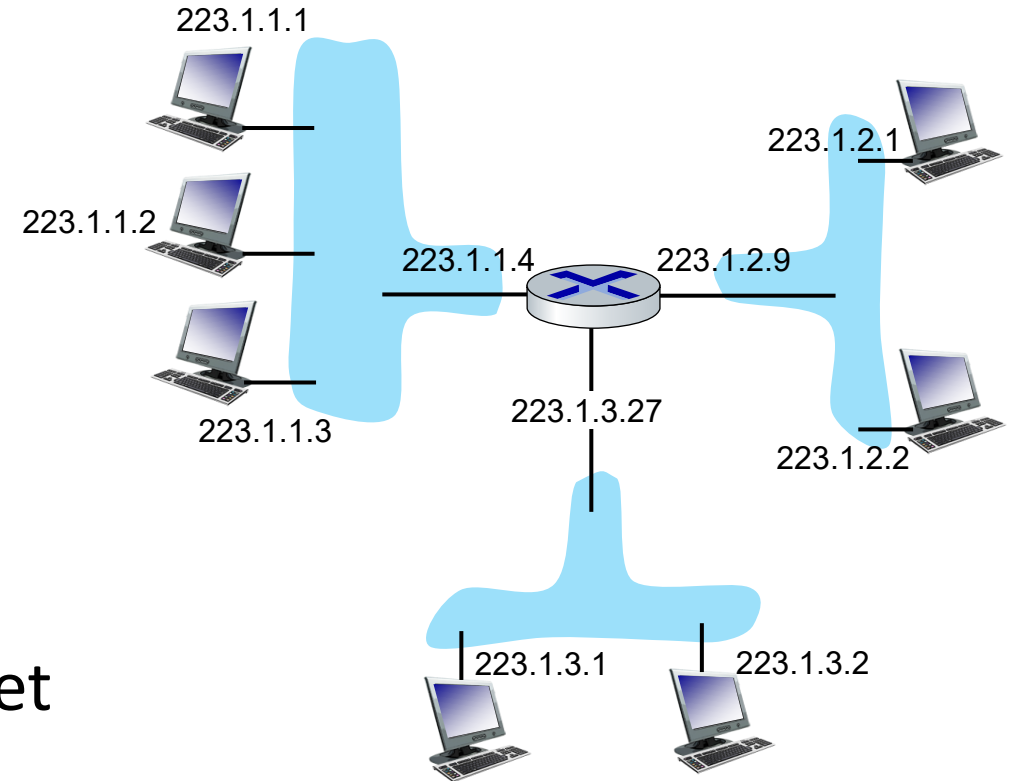
- *What's a subnet?*
  - device interfaces that can reach each other **without passing through a router**
- IP addresses have a structure:
  - **subnet part**: devices in same subnet have common high order bits
  - **host part**: remaining low order bits



How many subnets in this case?

# Subnets

- *What's a subnet?*
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  - **subnet part**: devices in same subnet have common high order bits
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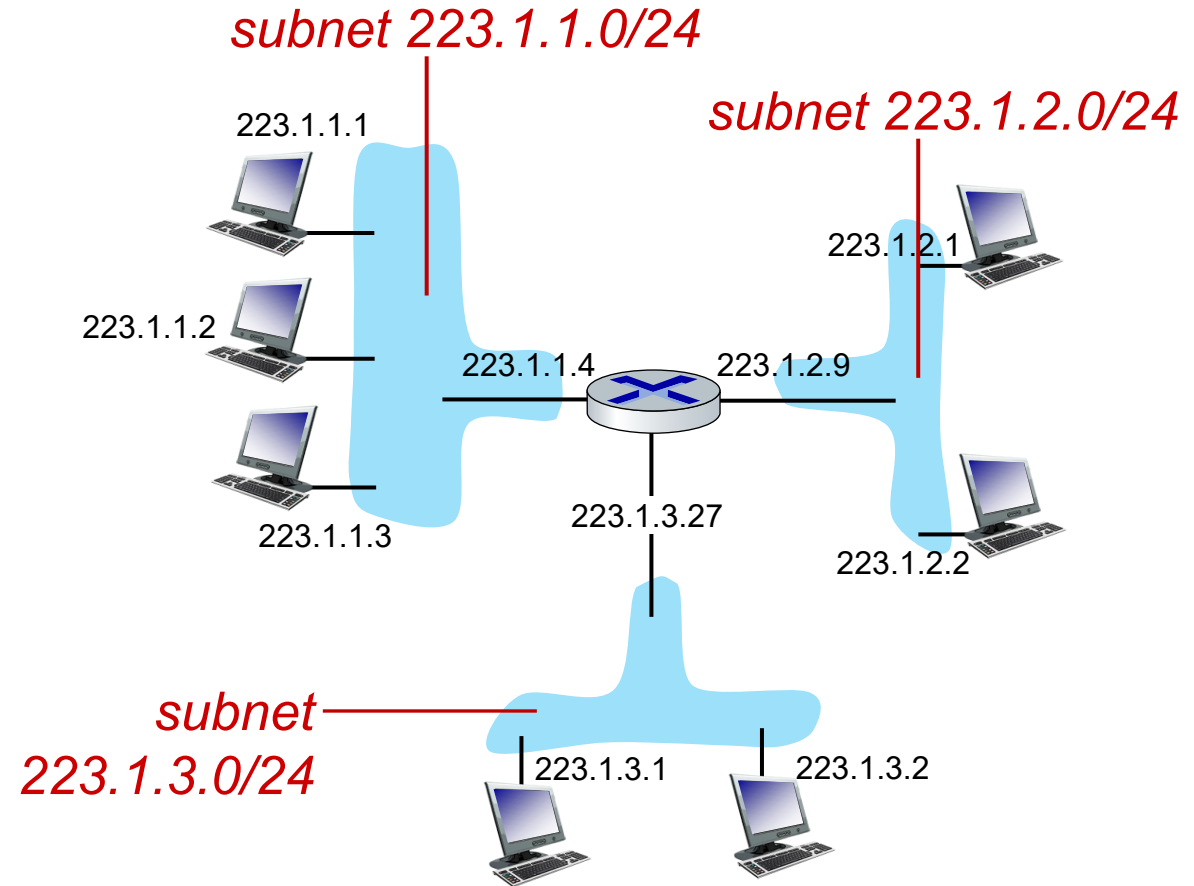
How many subnets in this case?

Network consisting of 3 subnets

# Subnets

## *Recipe for defining subnets:*

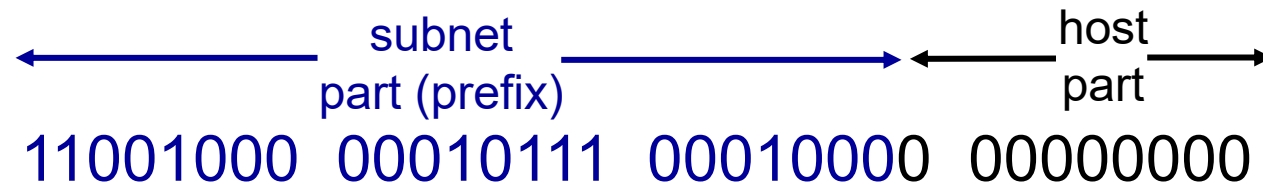
- detach each interface from its host or router, creating “islands” of isolated networks
- each isolated network is called a *subnet*
- what do the **/24** subnet addresses mean?
  - They are the *subnet addresses* (all 0s for the host part)



# IP addressing: Classless (CIDR)

## CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: **a.b.c.d/x**, where x is # bits in subnet portion of address



200.23.16.0/23

Alternative  
representation of /23:  
**Subnet Mask**

11111111 11111111 11111110 00000000  
255.255.254.0

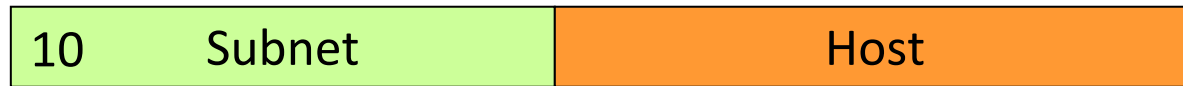
# IP addressing: Classful

First byte

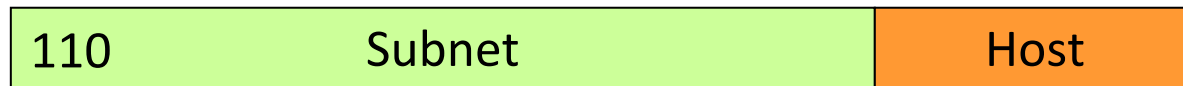
Class A  
(0-127)



Class B  
(128-191)



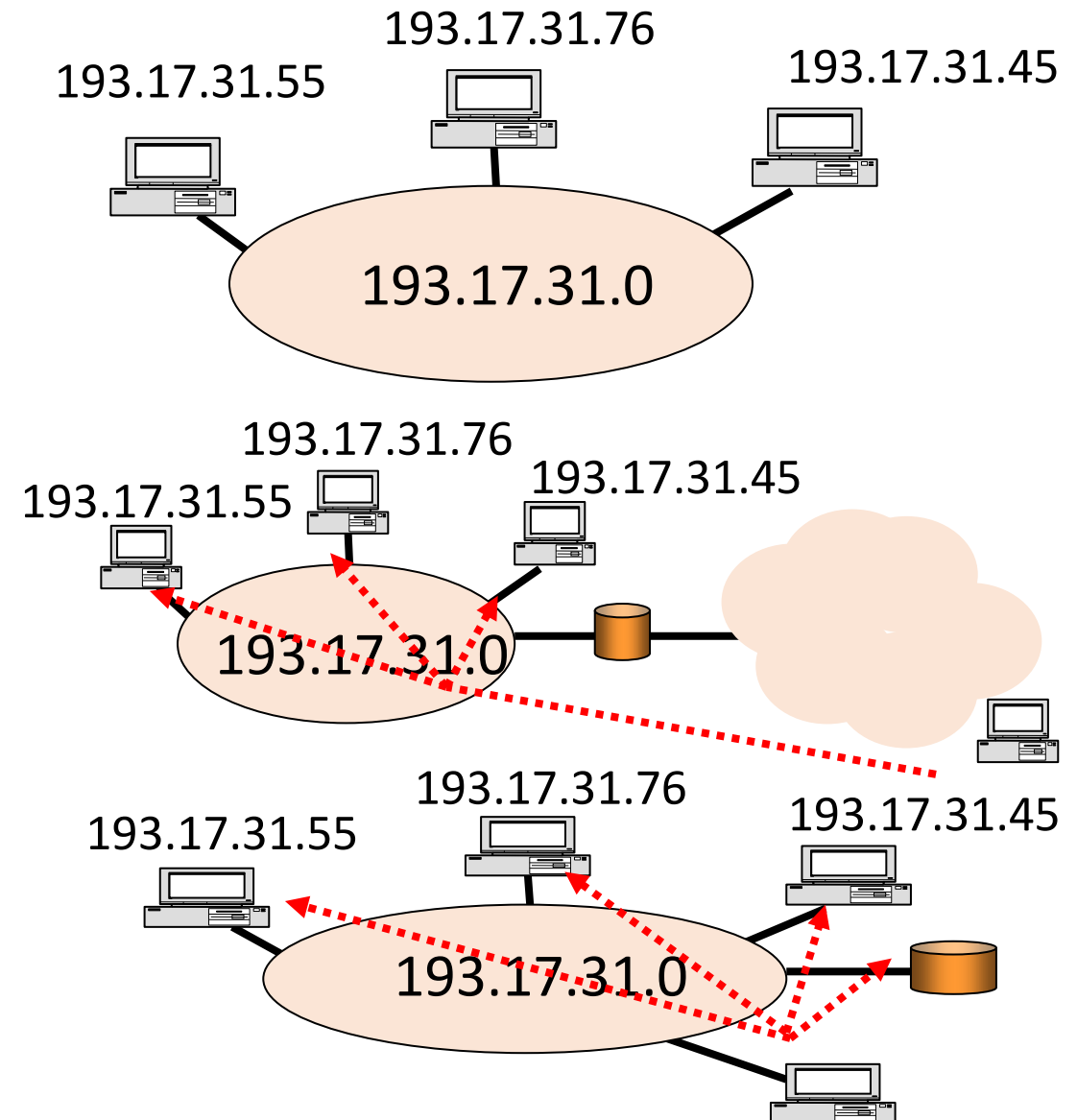
Class C  
(192-223)



- No need for subnet mask
  - Subnet and host portions can be identified by looking at the first bits of subnet portion
- **Not used anymore**
  - Addressing is too rigid!

# Special IP addresses

- **Subnet address:** host part with all zeros
  - E.g. 193.17.31.0/24
- **Direct broadcast address:** host part with all ones
  - E.g. 193.17.31.255
- **Limited broadcast address:** all ones (255.255.255.255)
  - Broadcast in the same subnet
  - The message cannot overcome routers





# Destination-based forwarding

# Destination-based forwarding

**Example of forwarding table**

|                  | Destination IP Address Range           | Link interface |
|------------------|--|----------------|
| Subnet mask: \21 | 11001000   00010111   00010***   ***** | 0              |
| Subnet mask: \24 | 11001000   00010111   00011000   ***** | 1              |
| Subnet mask: \21 | 11001000   00010111   00011***   ***** | 2              |
|                  | otherwise                              | 3              |

# Destination-based forwarding

**Example of forwarding table**

|                  | Destination IP Address Range           | Link interface |
|------------------|--|----------------|
| Subnet mask: \21 | 11001000   00010111   00010***   ***** | 0              |
| Subnet mask: \24 | 11001000   00010111   00011000   ***** | 1              |
| Subnet mask: \21 | 11001000   00010111   00011***   ***** | 2              |
|                  | otherwise                              | 3              |

examples:

11001000   00010111   00010110   10100001   which interface?

11001000   00010111   00011000   10101010   which interface?

# Destination-based forwarding

| Destination IP Address Range            | Link interface |
|---|----------------|
| 11001000 00010111 00010*** *****        | 0              |
| 11001000 00010111 00011000 *****        | 1              |
| 11001000 <b>match!</b> 1 00011*** ***** | 2              |
| otherwise                               | 3              |

examples:

|                            |          |                  |
|----------------------------|----------|------------------|
| 11001000 00010111 00010110 | 10100001 | which interface? |
| 11001000 00010111 00011000 | 10101010 | which interface? |

# Destination-based forwarding

| Destination IP Address Range     | Link interface |
|----------------------------------|----------------|
| 11001000 00010111 00010*** ***** | 0              |
| 11001000 00010111 00011000 ***** | 1              |
| 11001000 00010111 00011*** ***** | 2              |
| otherwise                        | 3              |

match!

examples:

|                                     |                  |
|-------------------------------------|------------------|
| 11001000 00010111 00010110 10100001 | which interface? |
| 11001000 00010111 00011000 10101010 | which interface? |

# Destination-based forwarding

## longest prefix match

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

chosen!

| Destination IP Address Range |          |          |       | Link interface |
|------------------------------|----------|----------|-------|----------------|
| 11001000                     | 00010111 | 00010*** | ***** | 0              |
| 11001000                     | 00010111 | 00011000 | ***** | 1              |
| 11001000                     | 00010111 | 00011*** | ***** | 2              |
| otherwise                    |          |          |       | 3              |

match!

examples:

|          |          |          |          |                  |
|----------|----------|----------|----------|------------------|
| 11001000 | 00010111 | 00010110 | 10100001 | which interface? |
| 11001000 | 00010111 | 00011000 | 10101010 | which interface? |

# IP addresses: how to get one?

That's actually **two** questions:

1. **Q:** How does a **host** get IP address within its network (host part of address)?
2. **Q:** How does a **network** get IP address for itself (network part of address)

How does a **host** get IP address?

- Statically specified in config file of the OS (e.g., /etc/rc.config in UNIX)
- **DHCP:** Dynamic Host Configuration Protocol → dynamically get address from a server (called *DHCP server*)
  - “plug-and-play”

# DHCP: Dynamic Host Configuration Protocol

**goal:** host *dynamically* obtains IP address from network server when it “joins” the network

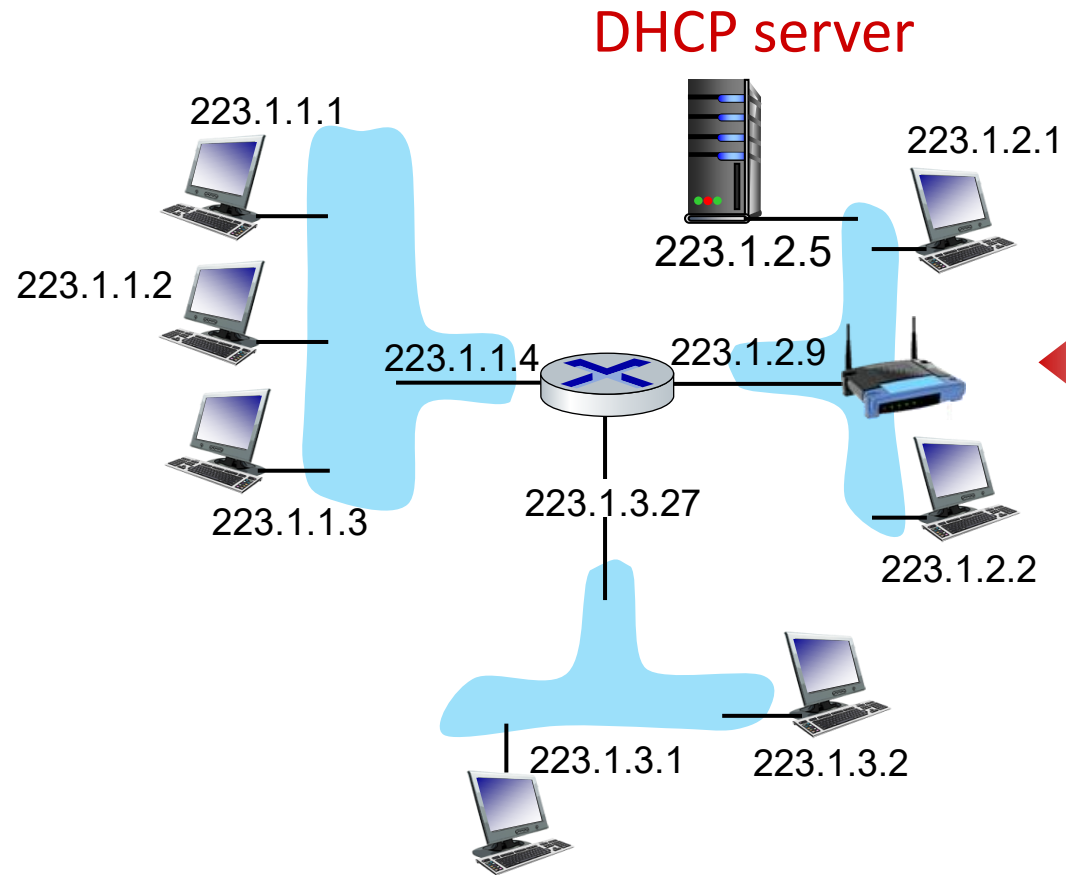
- can renew its lease on address in use
- allows reuse of addresses (only hold address while connected/on)

## DHCP overview:

- host broadcasts **DHCP discover** msg [*optional*]
- DHCP server responds with **DHCP offer** msg [*optional*]
- host requests IP address: **DHCP request** msg
- DHCP server sends address: **DHCP ack** msg



# DHCP client-server scenario



Typically, DHCP server will be co-located in router, serving subnets to which router is attached



arriving **DHCP client** needs address in this network

# DHCP client-server scenario

DHCP server: 223.1.2.5



Arriving client



# DHCP client-server scenario

DHCP server: 223.1.2.5



**DHCP discover**

Broadcast: is there a DHCP  
server out there?

Arriving client



# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPaddr: 0.0.0.0  
transaction ID: 654

Arriving client



# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

Broadcast: I'm a DHCP  
server! Here's an IP address  
you can use

# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPaddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPaddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs



# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs

The two steps above can be skipped “if a client remembers and wishes to reuse a previously allocated network address” [RFC 2131]

# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs

## DHCP request

Broadcast: OK. I would like to  
use this IP address!



# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs

## DHCP request

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 223.1.2.4  
transaction ID: 655  
lifetime: 3600 secs

# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs

## DHCP request

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 223.1.2.4  
transaction ID: 655  
lifetime: 3600 secs

## DHCP ACK

Broadcast: OK. You've got  
that IP address!

# DHCP client-server scenario

DHCP server: 223.1.2.5



## DHCP discover

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 0.0.0.0  
transaction ID: 654

Arriving client



## DHCP offer

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 654  
lifetime: 3600 secs

## DHCP request

src IP, port: 0.0.0.0, 68  
dest IP, port: 255.255.255.255, 67  
assignedIPAddr: 223.1.2.4  
transaction ID: 655  
lifetime: 3600 secs

## DHCP ACK

src IP, port: 223.1.2.5, 67  
dest IP, port: 255.255.255.255, 68  
assignedIPAddr: 223.1.2.4  
transaction ID: 655  
lifetime: 3600 secs

# DHCP: more than IP addresses

DHCP can return more than just allocated IP address on subnet:

- name and IP address of the **local DNS server**
- **subnet mask** (indicating network versus host portion of address)
- **default gateway** (IP address of first-hop router)

# IP addresses: how to get one?

*Q:* how does *network* get subnet part of IP address?

*A:* gets allocated portion of its provider ISP's address space

ISP's block      11001000 00010111 00010000 00000000    200.23.16.0/20

Let's assume that there are 8 organizations that need to get a subnet address → ISP can then split its address space in 8 blocks:

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|                |  |          |                |
|----------------|--|----------|----------------|
| Organization 0 | <u>11001000 00010111 0001</u> <b>000</b> 0 | 00000000 | 200.23.16.0/23 |
| Organization 1 | <u>11001000 00010111 0001</u> <b>001</b> 0 | 00000000 | 200.23.18.0/23 |
| Organization 2 | <u>11001000 00010111 0001</u> <b>010</b> 0 | 00000000 | 200.23.20.0/23 |
| ...            | .....                                      | ....     | ....           |
| Organization 7 | <u>11001000 00010111 0001</u> <b>111</b> 0 | 00000000 | 200.23.30.0/23 |

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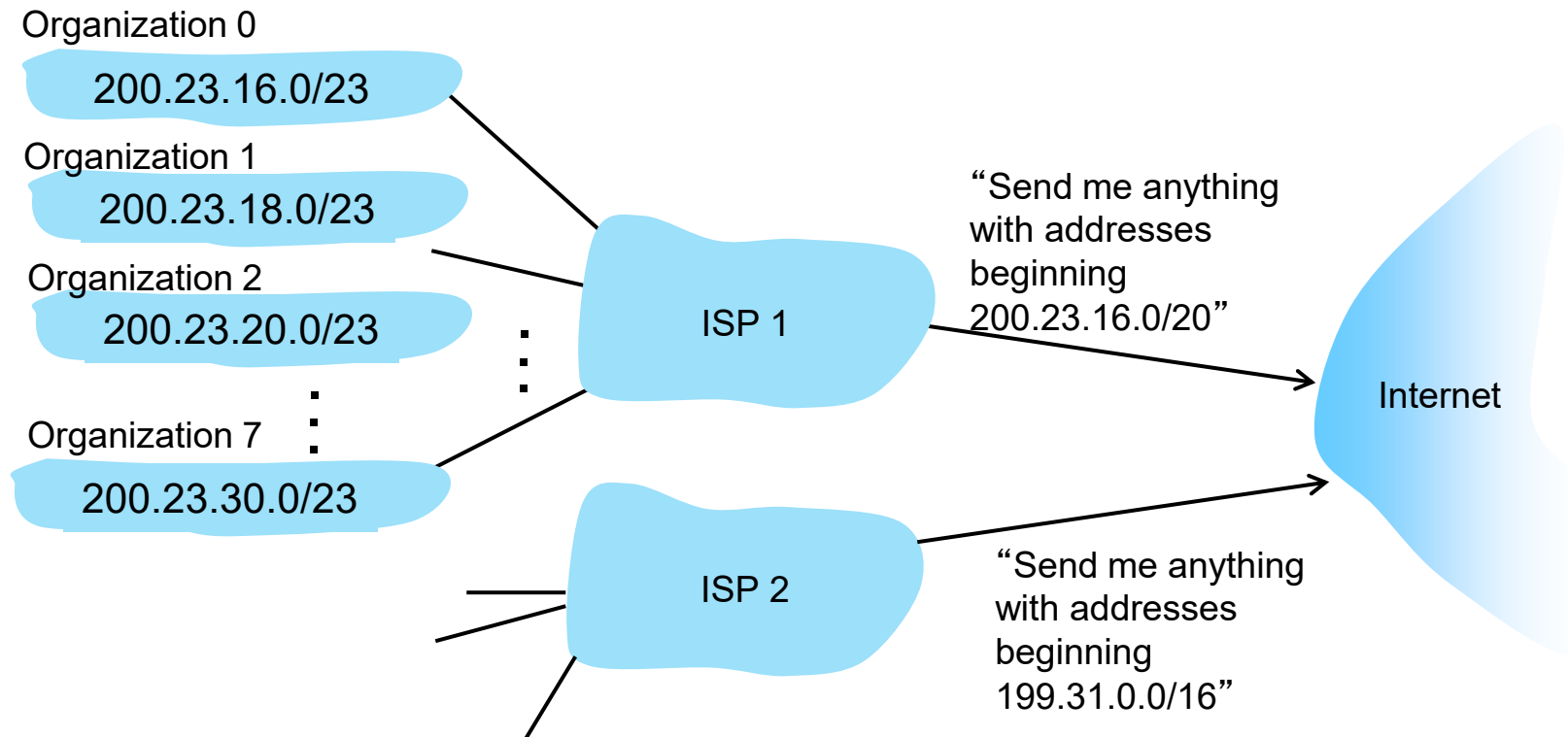
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| ...            | .....                                      | ....     | ....           |
| Organization 7 | <u>11001000 00010111 0001</u> <b>111</b> 0 | 00000000 | 200.23.30.0/23 |

This operation is called *subnetting* or *hierarchical addressing*

# Hierarchical addressing: route aggregation

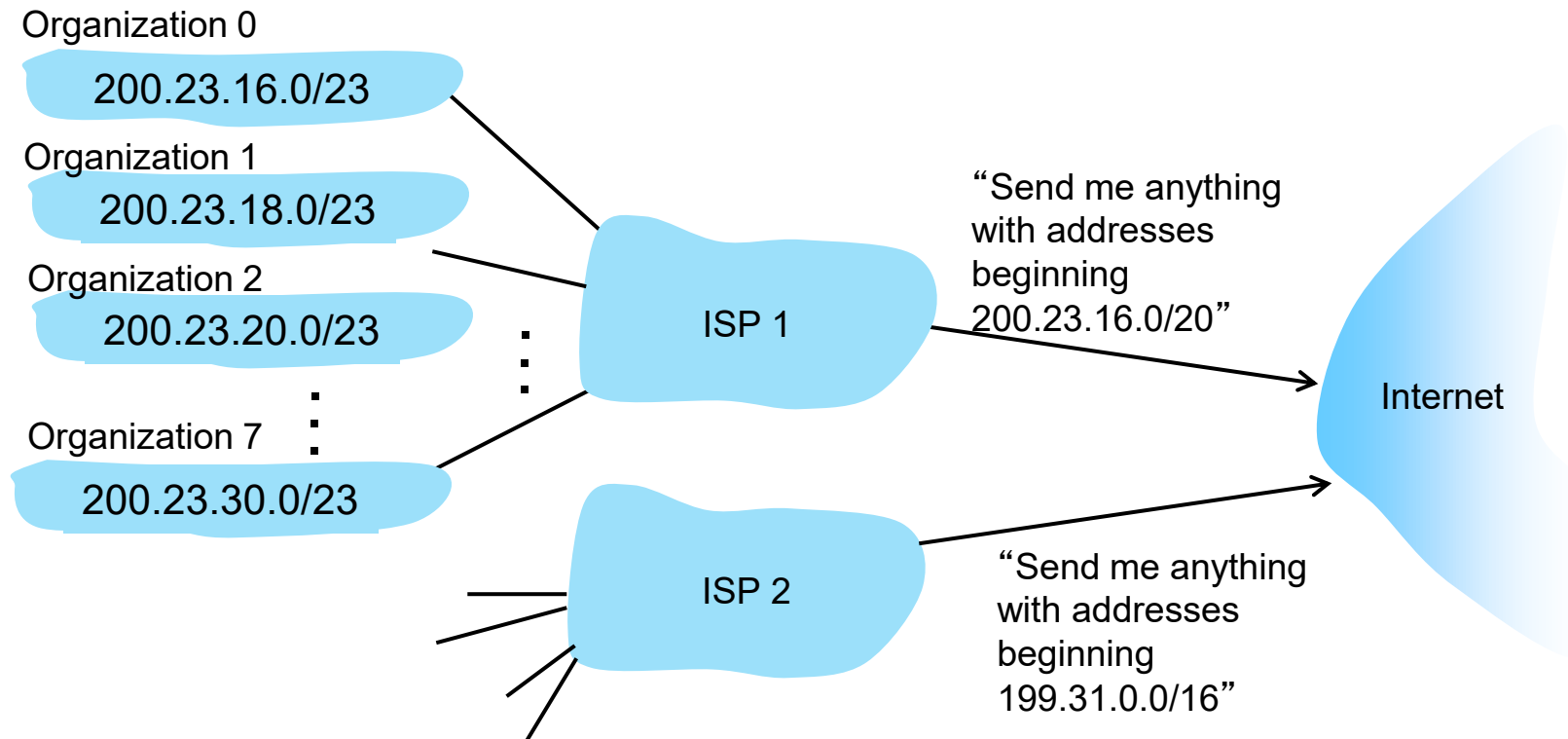
hierarchical addressing allows efficient advertisement of routing information (*route aggregation*):





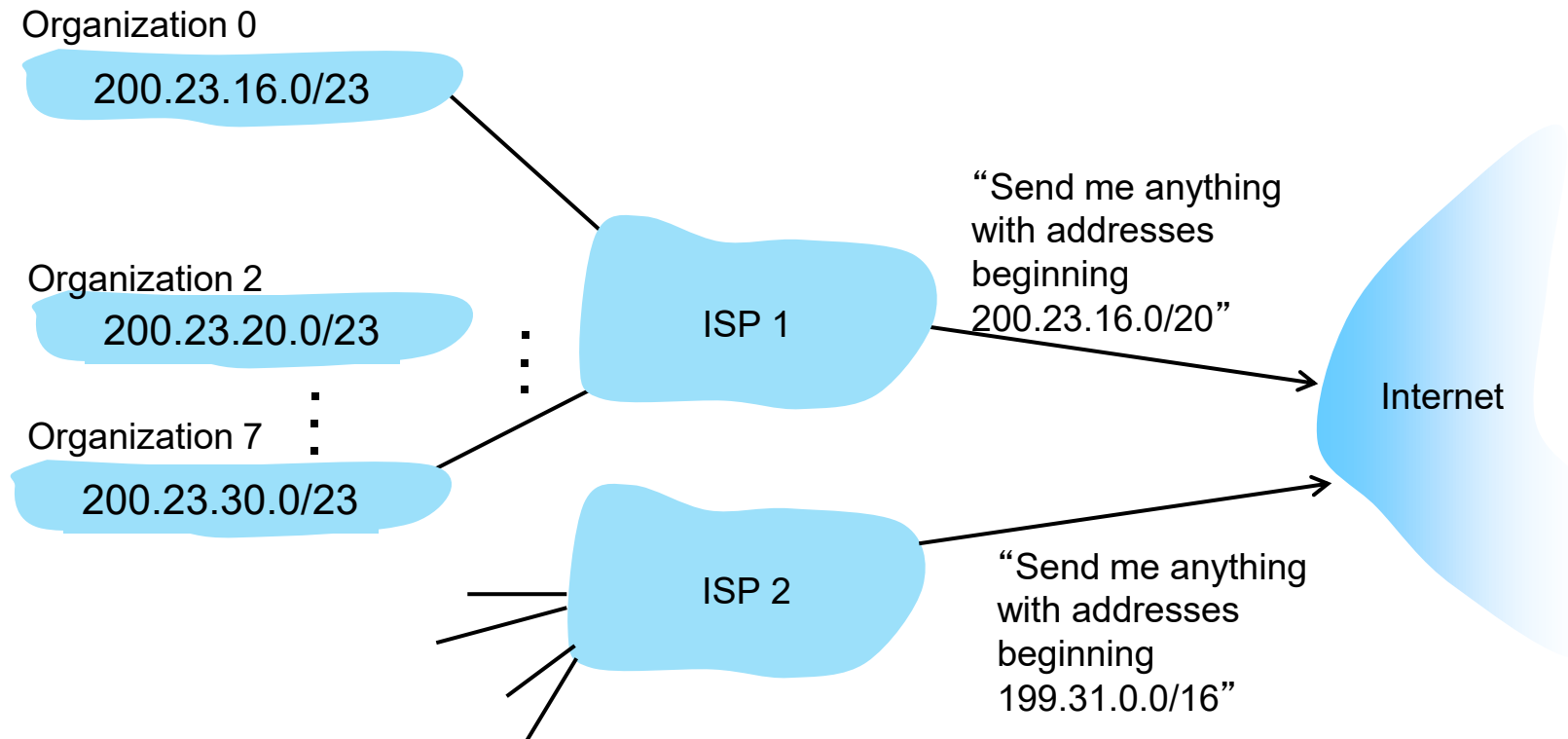
# Hierarchical addressing: more specific routes

- Organization 1 moves from ISP 1 to ISP 2
- ISP 2 now advertises a more specific route to Organization 1



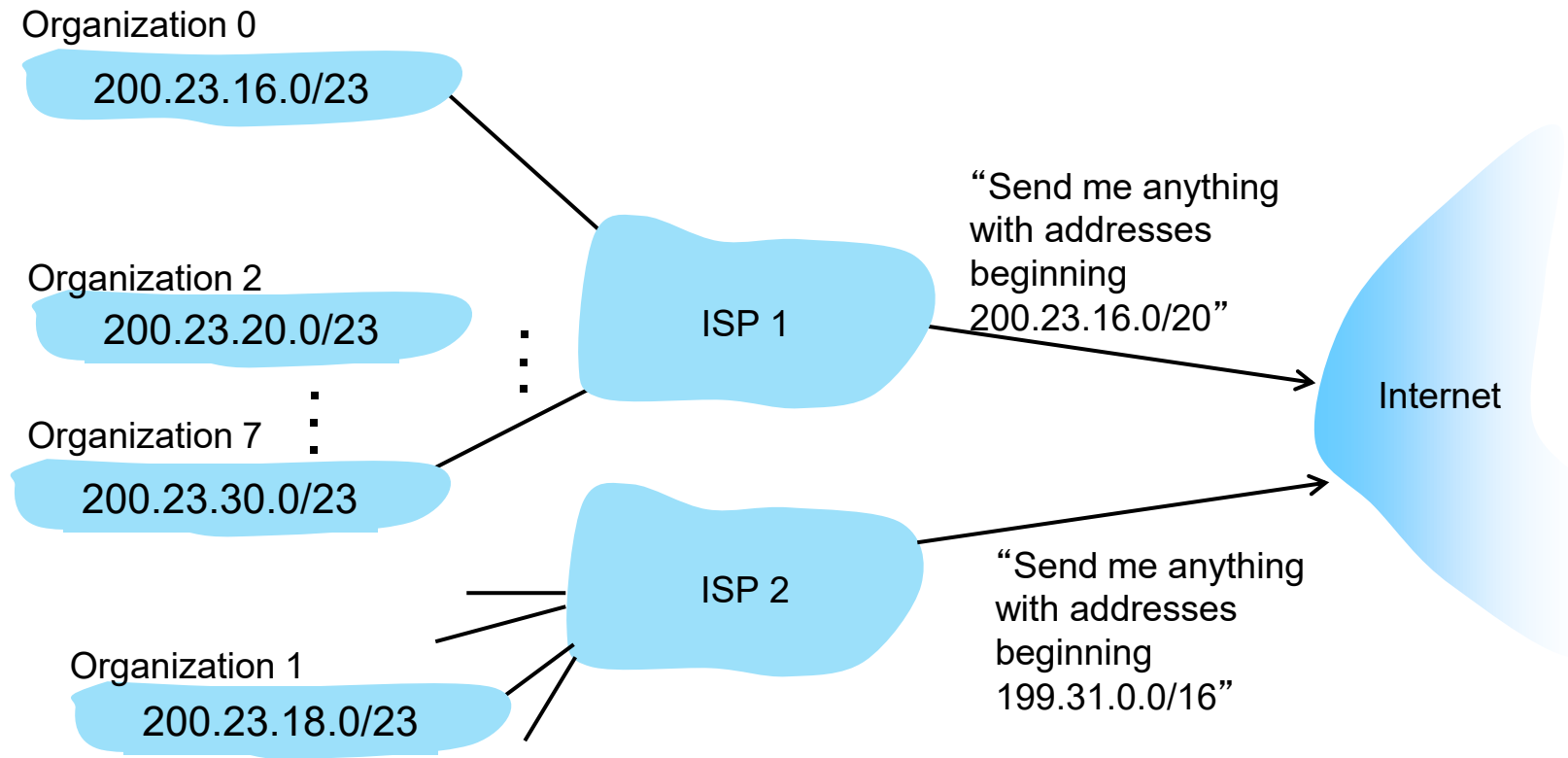
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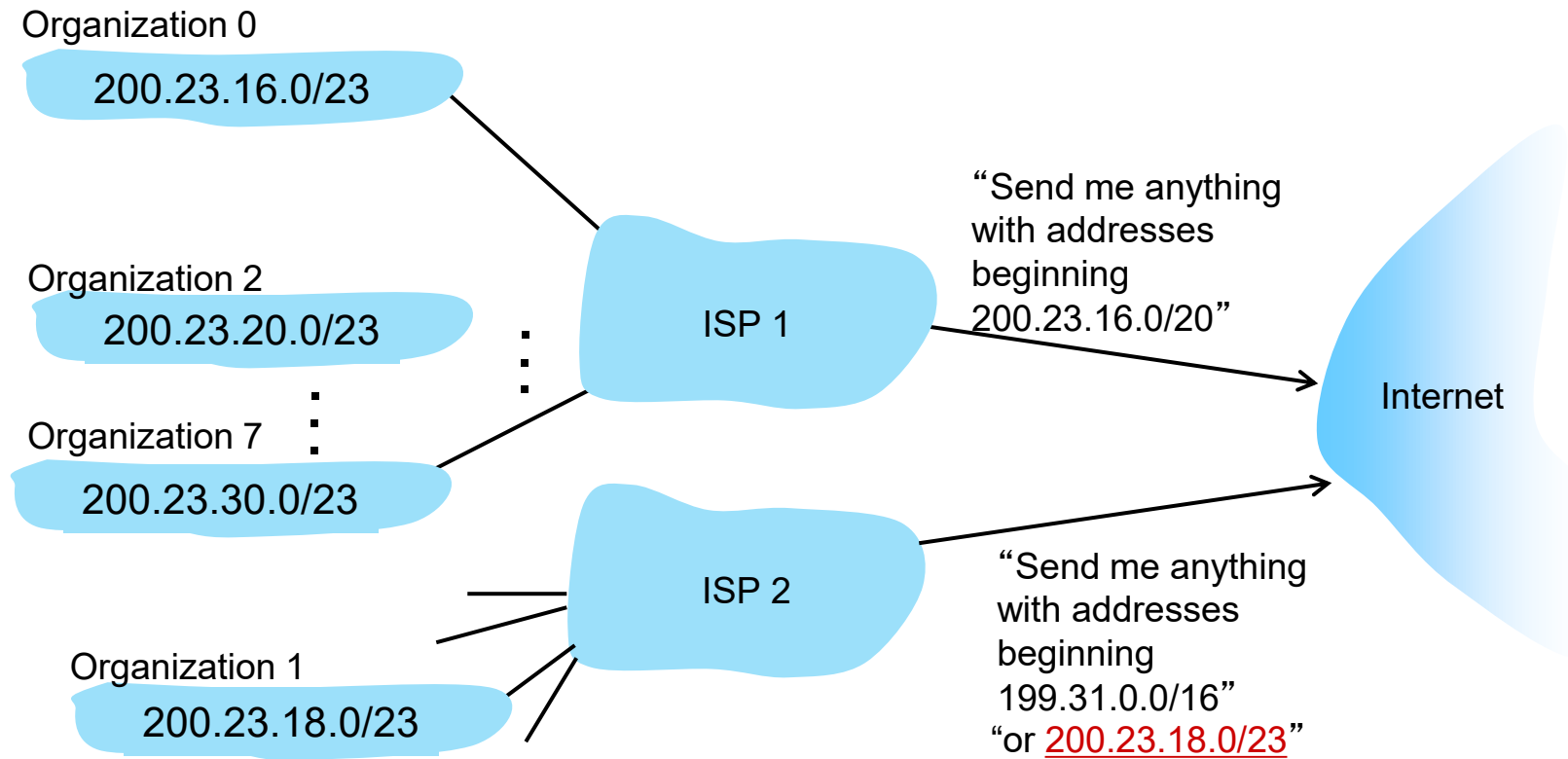
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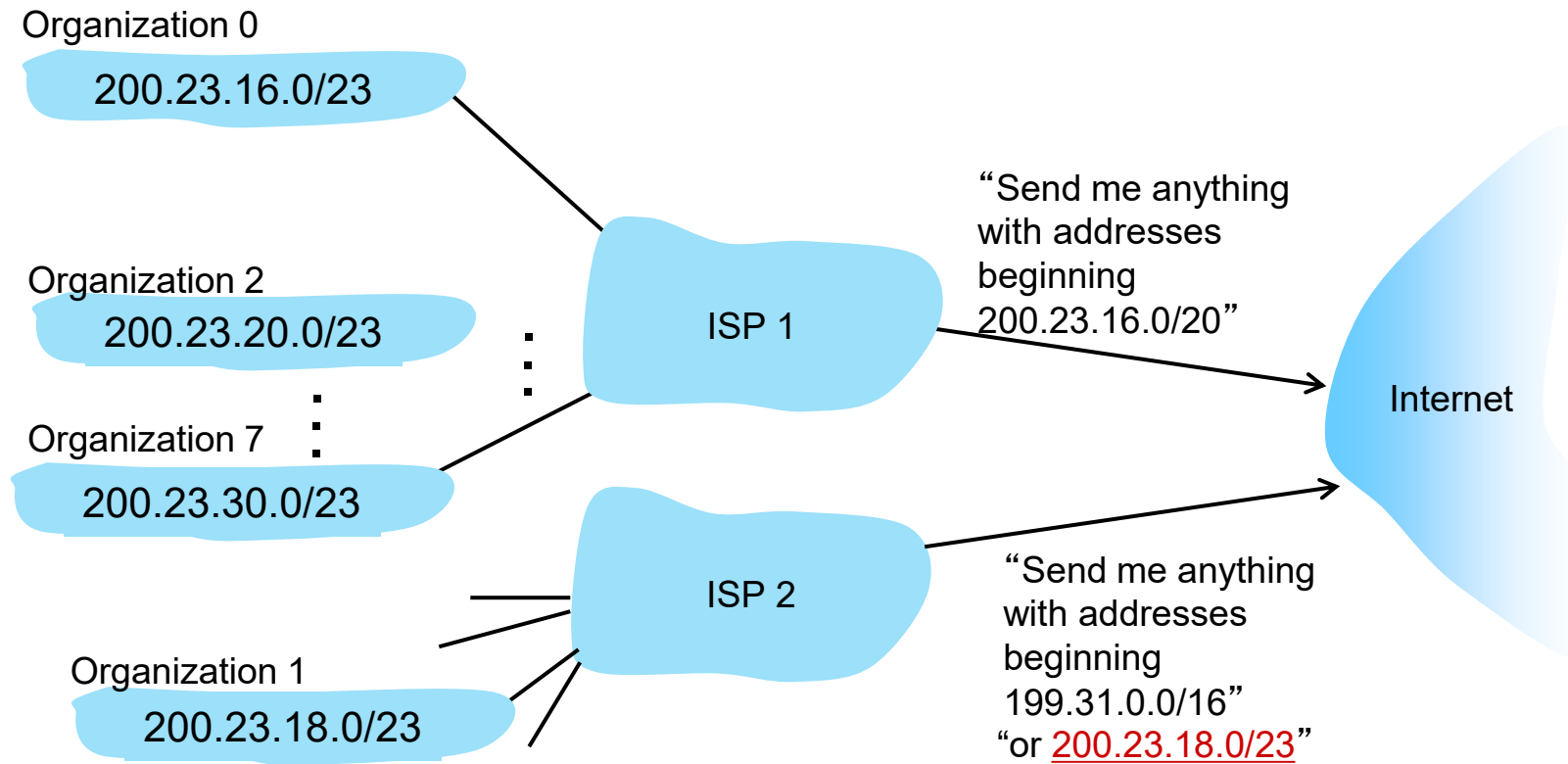
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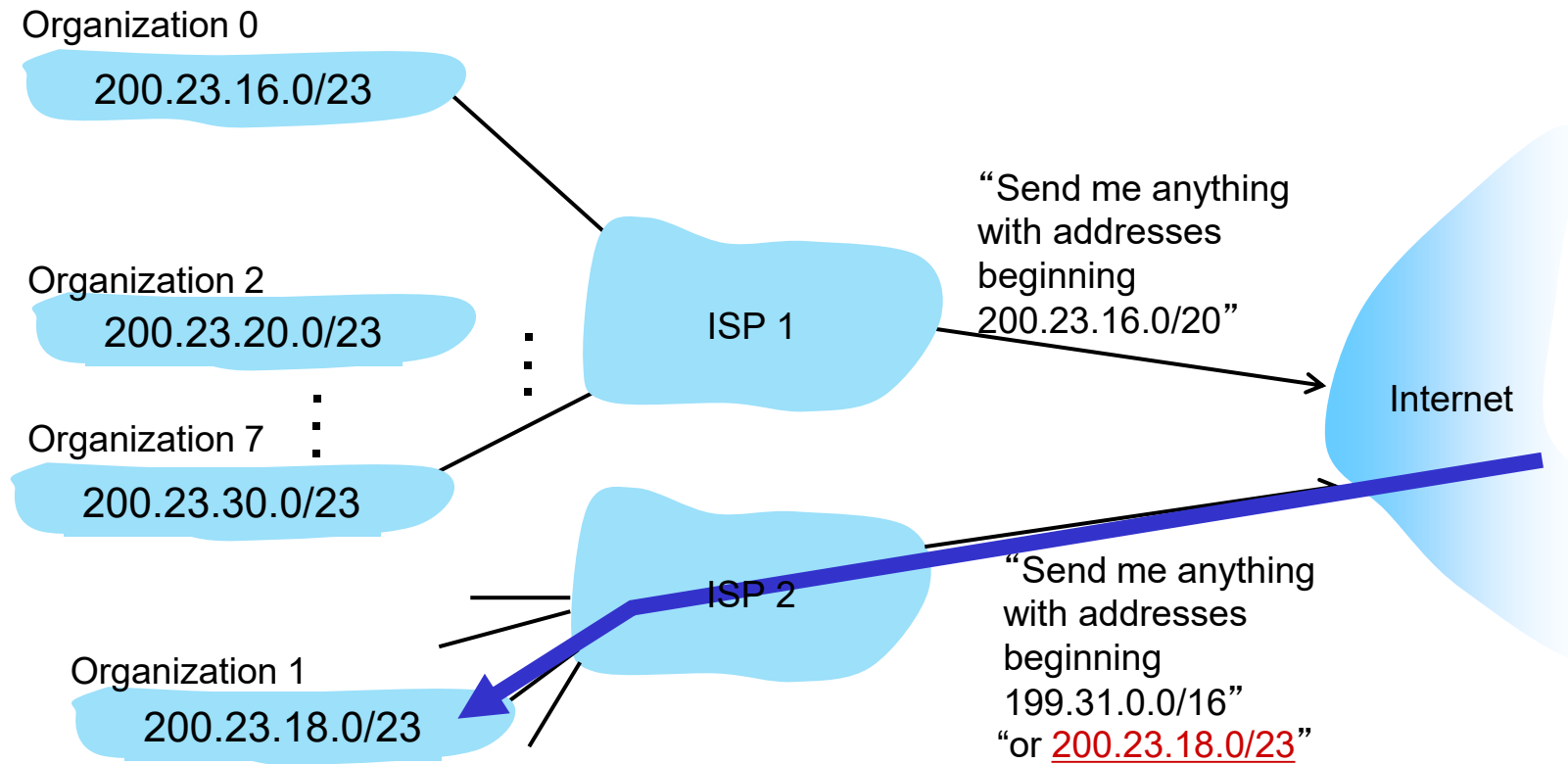
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# Hierarchical addressing: more specific routes

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# IP addressing: last words...

**Q:** how does an ISP get block of addresses?

**A:** **ICANN:** Internet Corporation for Assigned Names and Numbers  
<http://www.icann.org/>

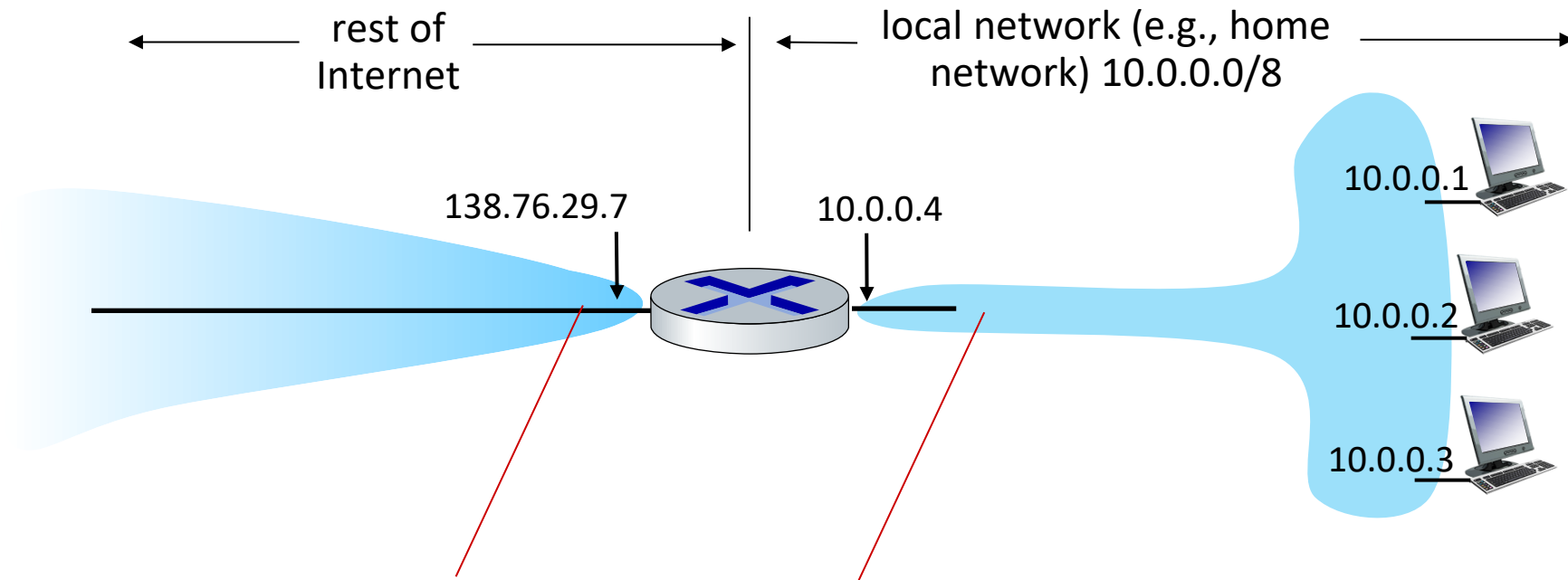
**Q:** are there enough 32-bit IP addresses?

- ICANN allocated last chunk of IPv4 addresses in 2011
- IPv6 has 128-bit address space
- NAT (next) helps IPv4 address space exhaustion

“Who the hell knew how much address space we needed?” - *Vint Cerf* (reflecting on decision to make IPv4 address 32 bits long)

# NAT: network address translation

**NAT:** all devices in local network share just **one** IPv4 address as far as outside world is concerned



*all* datagrams *leaving* local network have *same* source NAT IP address: 138.76.29.7, but *different* source port numbers

datagrams with source or destination in this network have 10.0.0.0/8 address for source, destination (as usual)



# NAT: network address translation

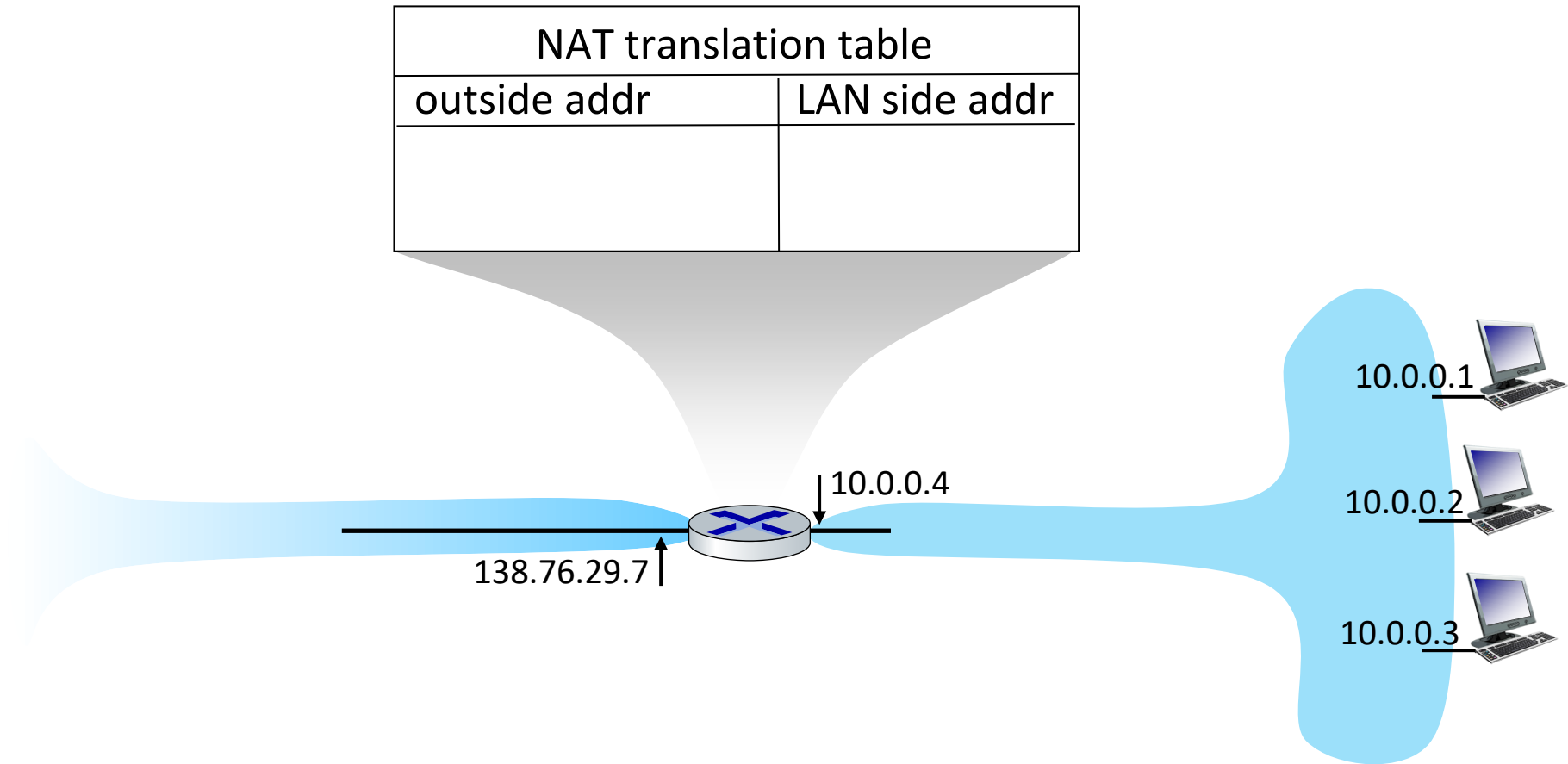
- all devices in local network have 32-bit addresses in a “private” IP address space (10.0.0.0/8, 172.16.0.0/12, 192.168.0.0/16 prefixes) that can only be used in local network
- advantages:
  - just **one** “public” IP address needed from provider ISP for *all* devices
  - can change addresses of host in local network without notifying outside world
  - can change ISP without changing addresses of devices in local network
  - security: devices inside local net not directly addressable, visible by outside world

# NAT: network address translation

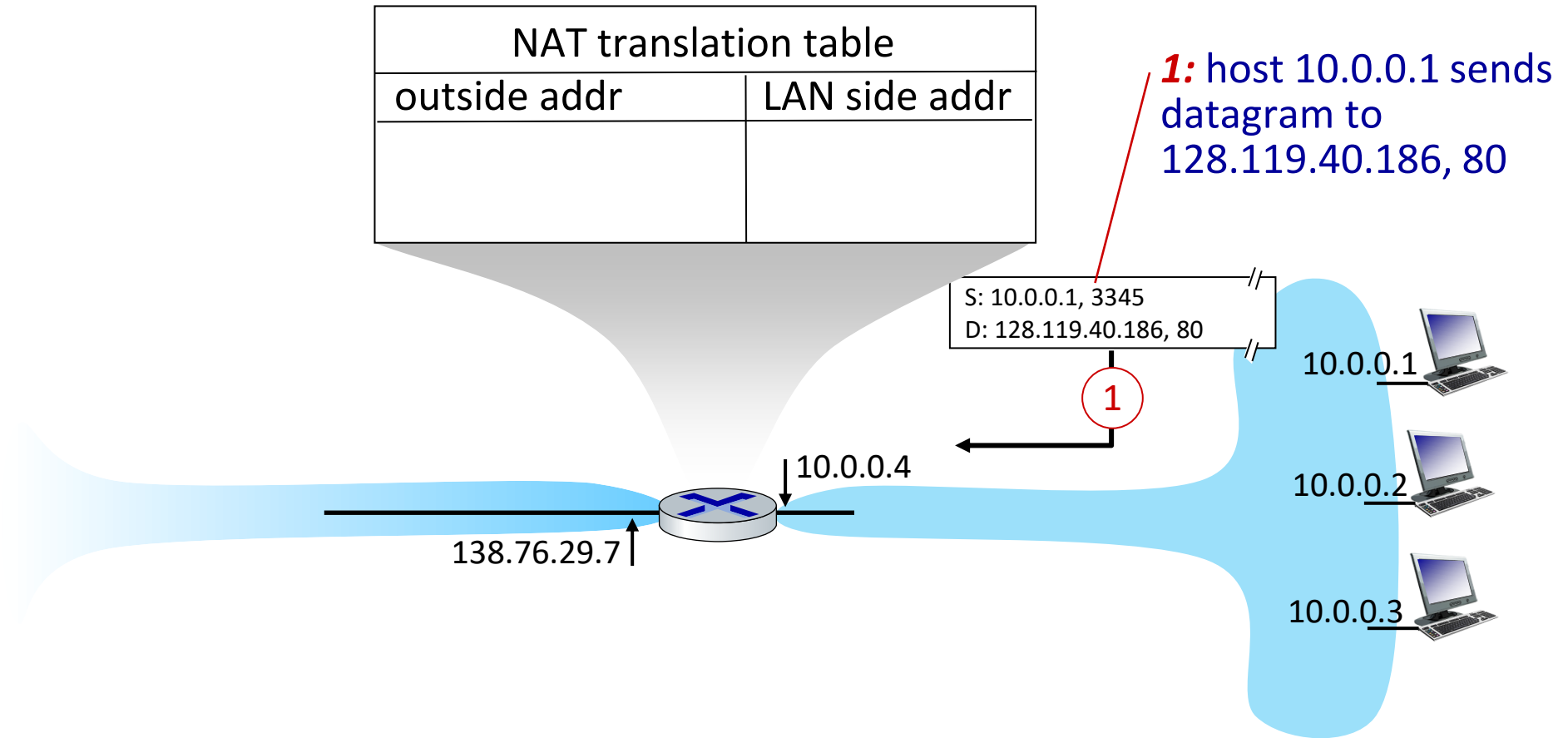
**implementation:** NAT router must (transparently):

- **outgoing datagrams:** replace (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)
  - remote clients/servers will respond using (NAT IP address, new port #) as destination address
- **remember (in NAT translation table)** every (source IP address, port #) to (NAT IP address, new port #) translation pair
- **incoming datagrams:** replace (NAT IP address, new port #) in destination fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

# NAT: network address translation

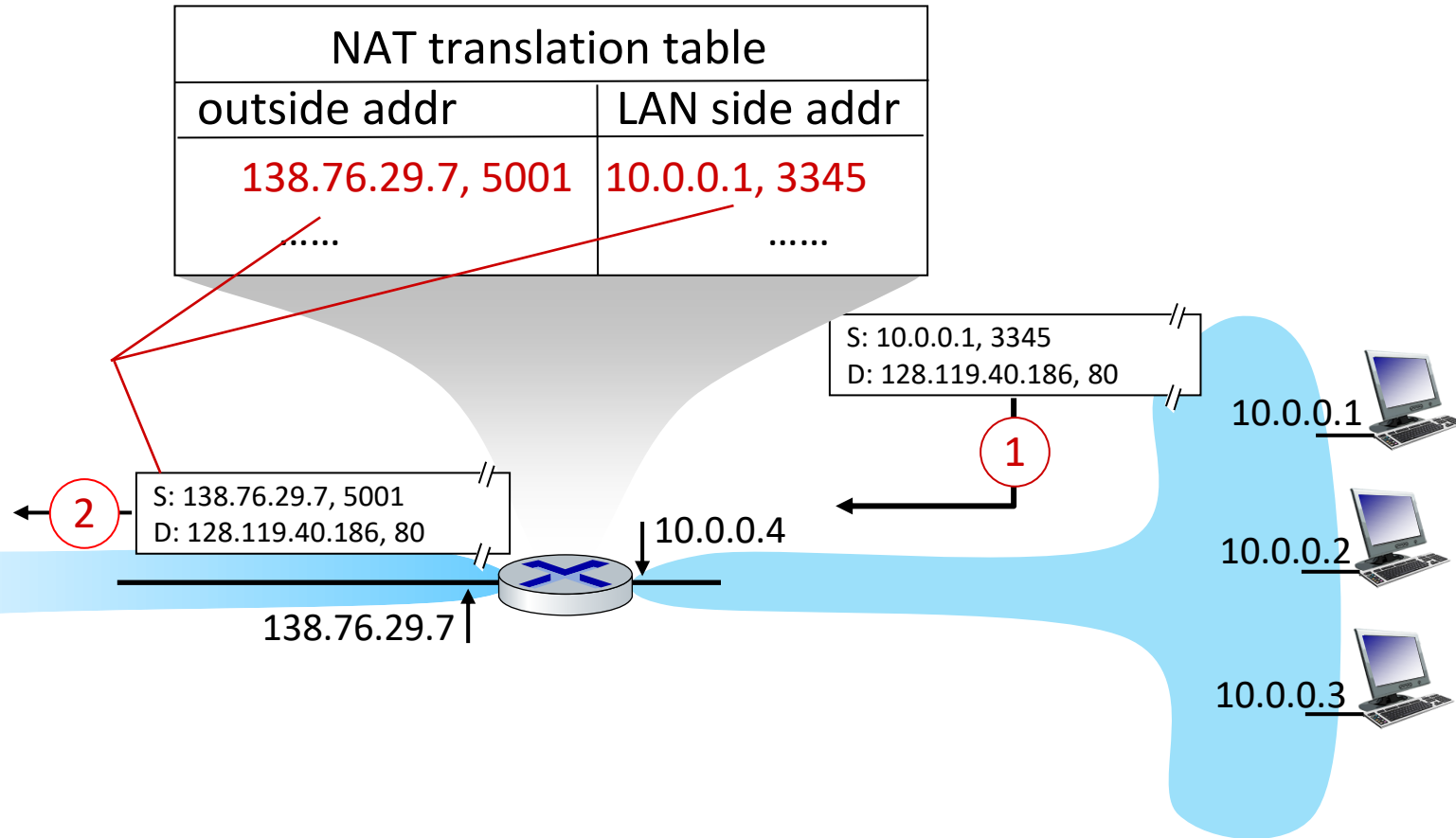


# NAT: network address translation

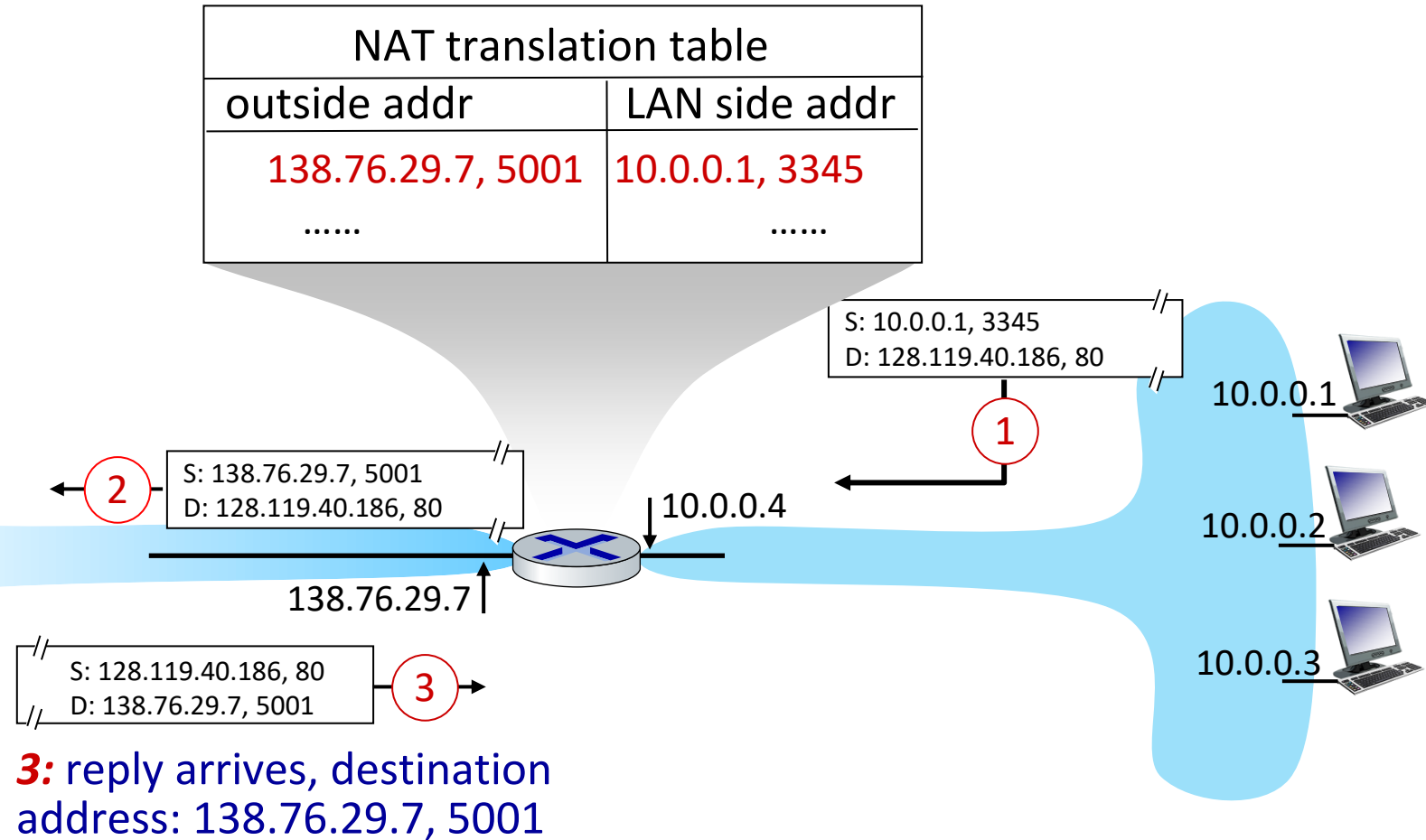


# NAT: network address translation

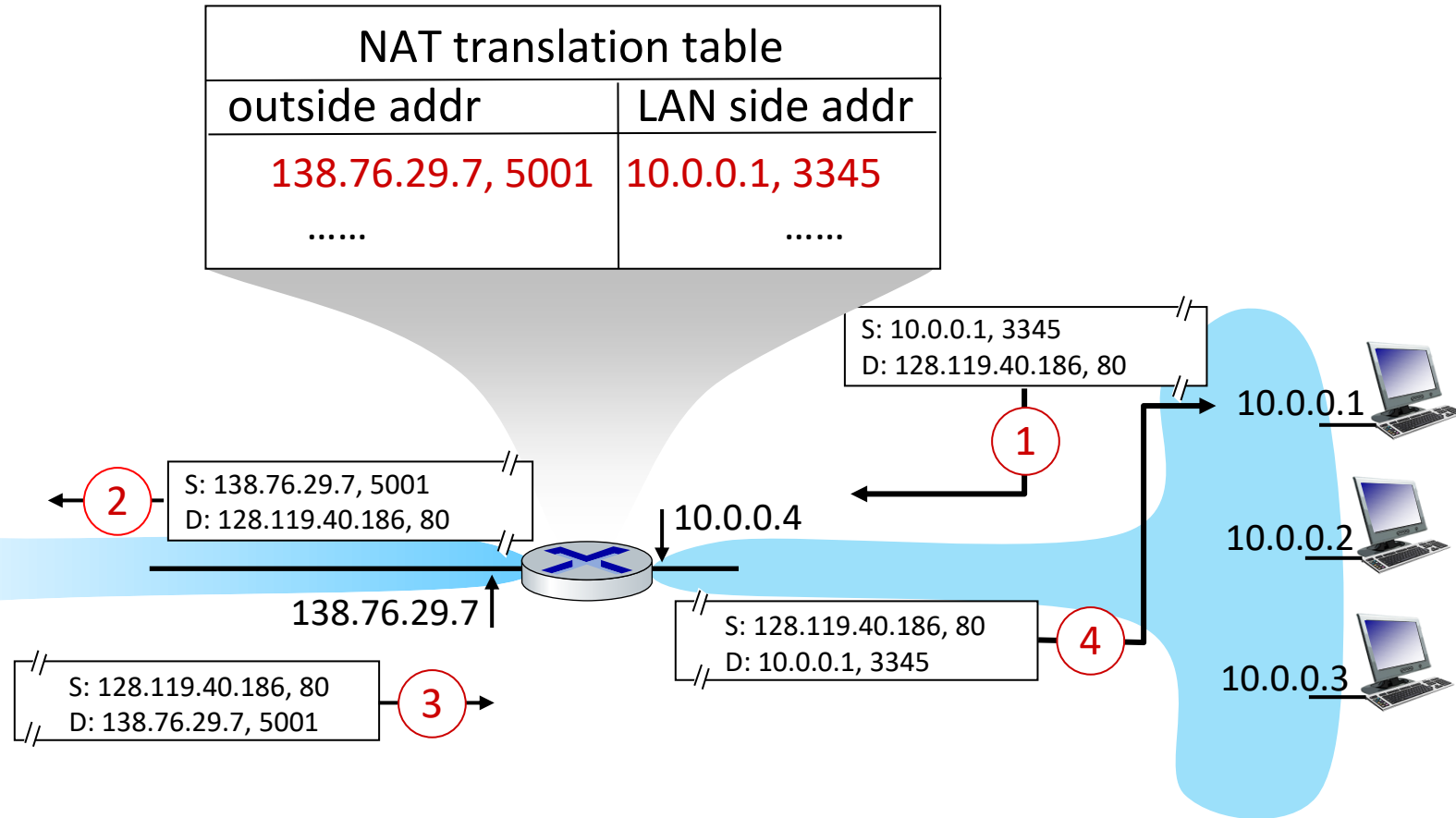
**2:** NAT router changes datagram source address from 10.0.0.1, 3345 to 138.76.29.7, 5001, updates table



# NAT: network address translation



# NAT: network address translation



# NAT: network address translation

- NAT has been controversial:
  - routers “should” only process up to layer 3
    - violates end-to-end argument (port # manipulation by network-layer device)
  - address “shortage” should be solved by IPv6
- but NAT is here to stay:
  - extensively used in home and institutional nets, 4G/5G cellular nets