HW4- Deep Neural Networks

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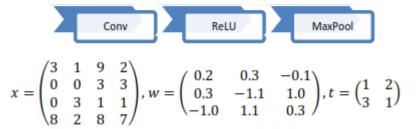
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1. Pen and Paper Exercises

a) CNN Operations

I.

i) In the following, we investigate a tiny CNN. It consists of a 2D convolutional layer, followed by a followed by 2D max-pooling. ReLU, convolutional layer has a kernel w of size 3 (and no bias term), a stride of 1, and a zero-padding of 1 (i.e., the boundary is padded with 0's). The max-pooling has a kernel size of 2 (the size of the window to take a max over). The input x is a 2D matrix (or an image with a single channel, i.e., gray image). We want to transform the image into the target t. To measure the difference between x and t, we use the mean absolute error (or L1 loss). For simplicity, we do not use a regularization term. \mathcal{L}_{L1} .



Perform a forward pass through the tiny CNN to calculate \mathcal{L}_{L1} .

$$\Lambda = \begin{bmatrix} 3 & 1 & 9 & 2^{\prime} \\ 0 & 0 & 3 & 3 \\ 0 & 3 & 1 & 1 \\ 8 & 2 & 8 & 7 \end{bmatrix},
\mathcal{N} = \begin{bmatrix} 0.2 & 0.3 & -0.1 \\ 0.3 & -1.1 & 1.0 \\ -1.0 & 1.1 & 0.3 \end{bmatrix},
\mathcal{N} = \begin{bmatrix} 3 & 1 & 2 \\ 3 & 1 & 1 \\ -1.0 & 1.1 & 0.3 \end{bmatrix},
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\mathcal{N} = \begin{bmatrix} 3 & 1 & 1 \\ 3 & 1 & 1 \\ -1.0 & 1 & 1 \\ -1.0 & 1 & 1 \end{bmatrix},
\mathcal{N} = \begin{bmatrix} 3 & 1 & 1 \\ 3 & 1 & 1 \\ -1.0 & 1 & 1 \\ -1.0$$

Padding, P=1, and Applying 3x3 Kilter with Stride=1

the output size is: (6-3+1)(6-3+1) = <1x4

 $J_{1} = (0 \times 0.2) + (0 \times 0.3) + (0 \times -0.1) + (0 \times 0.3) + (3 \times -1.1) + (1 \times 1) + 0$ $= 3 \cdot 3 + 1 = -2.8$

 $J_{12} = 0 + (3x0.3) + (1x-1.1) + (9x1) + (3x0.3) = 9.7$ $J_{13} = 0 + (1x0.3) + (9x-1.1) + (2x1) + 0 + (3x1.1) + (3x0.3)$ $= 3J_{3} = -3.4$

Jig=04(940.3)+(2x-1.1)+0+0+(3x1.1)+(8x0.3)

```
J21= (020.2) + (3x03)+(1x-0.1)+0+0+0+(5x0.3)
=> 321=1.7
322=(3x0.2)-(1x0.3)+(9x-0.1)+0+0+(501)+0+(501))
 + (NO.3)
=> 722= 6.6
J25=(1x0.2)+(9x03)+(2x-01)+0+0+(3x1)+(3x-1)
+ (1x11) + (1x0-3)=) +== 4.11
1924 = (9102)+(2x03)+0+(3x03)+(3x-1.1)+0+
(1x1)+(1x11)+(1-x1)
381=040+(3×1)+0+(8×1.1)+(2×0.5)=35=124
382=(3x-01)+0+(3x-1.1)+(1x1)+(3x-1)+(2x1.1)+
(8x0.3)=> 382=-6
332=0+(3x0.3)+(3x-0.1)+(3x0.3)+(1x-1.1)+(KI)+
(2x-1)+(8x1.1)+(7x0.3)=> J32=10.3
J34=(3x0.2)+13x0.5)+0+(1x0.3)+(1x-1.1)+0+(8x-1)
+(7x1.1)+0=>1334=0.4)
Ja, =0+0+ (3x-01)+0+0(8x-1.1)+(2x1)+0
11. F-=14C=
```

$$\begin{array}{l}
342 = 0 + (8x0.3) + (1x-0.1) + (8x0.3) + (2x-1.1) + (8x1) \\
+ 0 = 7342 = 9
\end{aligned}$$

$$343 = (3x0.2) + (1x0.3) + (1x-0.1) + (2x0.5) + (8x-1.1) \\
+ (7x1) = 343 = -4.4
\end{aligned}$$

$$\begin{array}{l}
344 = (1x0.2) + (1x0.3) + 0 + (8x0.3) + (7x-1.1) + 0 + 0
\end{aligned}$$

$$\begin{array}{l}
344 = (1x0.2) + (1x0.3) + 0 + (8x0.3) + (7x-1.1) + 0 + 0
\end{aligned}$$

$$\begin{array}{l}
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\end{aligned}$$

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344 = (1x0.2) + (1x0.3) + 0 + (8x0.3) + (7x-1.1) + 0 + 0
\end{aligned}$$

$$\begin{array}{l}
344 = (1x0.2) + (1x0.3) + 0 + (8x0.3) + (7x-1.1) + 0 + 0
\end{aligned}$$

$$\begin{array}{l}
344 = (1x0.2) + (1x0.3) + ($$

Π.

ii) Compute the analytical gradient for the (2D) maxpool layer. Hint: You already calculated the gradient for a ReLU back in exercise 2:

$$\frac{\partial \text{ReLU}(\mathbf{x})}{\partial \mathbf{x}} = \begin{cases} 1 & \mathbf{x} > 0 \\ 0 & \mathbf{x} \le 0 \end{cases}$$

The idea here is similar - for some entries the gradient will be backpropagated, for some it won't.

The gradient for a max function with respect to a single x_i will be

$$\frac{\partial \max(\mathbf{x})}{\partial x_i} = \begin{cases} 1 & x_i = \max(\mathbf{x}) \\ 0 & otherwise \end{cases}$$

We can see that the gradient will only be backpropagated through maximum values; for nonmaximum values, the gradient is zero.

Max-pooling applies the max operations to several patches in the input. We split the input \mathbf{x} into N patches \mathbf{z}_k . Then, the gradient for a single patch \mathbf{z}_k wrt. to an input x_i is

$$\frac{\partial \max(\mathbf{z}_k)}{\partial x_i} = \begin{cases} 1 & x_i = \max(\mathbf{z}_k) \\ 0 & otherwise \end{cases}$$

We sum up the gradients for overlapping patches

$$\frac{\partial \text{max_pool}(\mathbf{x})}{\partial x_i} = \sum_{\sum_{k \in \mathcal{R}(x_i)}} \frac{\partial \text{max}(\mathbf{z}_k)}{\partial x_i}$$

where $\mathcal{R}(x_i)$ indexes all patches for which x_i is in the receptive field. This is necessary, as a single x_i can be the maximum of several patches, e.g. for a max pool operation with a kernel size 3 and stride 1.

III.

iii) Derive the gradient for a 1D convolutional layer

١

where the input is $\mathbf{x} = [x_1, x_2, x_3, x_4, x_5]^{\mathsf{T}}$, the weight is $\mathbf{w} = [w_1, w_2]^{\mathsf{T}}$, and the output is $\mathbf{y} = \operatorname{conv}(\mathbf{x}, \mathbf{w})$. Derive the gradient for the filter weights $\frac{\partial \mathbf{y}}{\partial \mathbf{w}}$.

IV.

in) 85 with an upstream gradient of to carculate of on:

Perform matrix multiplication:

OL = 04 T D2

The De on one may not [81]

The result can be interpreted as a convolution

The result can be interpreted as a convoloution between the flipped input or and the upstream gradient of showing that the backward page is also a convolution.

b) CNN Arithmetic

b) CNN Arithmetics: We aim to calculate several properties for different configurations of 2D convolutional and max-pooling layers. For the layers, we use the same notation as PyTorch - input channels C_{in}, output channels C_{out}, kernel size K, stride S, and padding P. We use square kernels and equal stride/padding in each dimension; hence, we only need to specify scalars.

To avoid clutter, we use the following notation:

Conv
$$(C_{\text{in}} = 3, C_{\text{out}} = 16, K = 5, S = 2, P = 1) \equiv \text{Conv}(3,16,5,2,1)$$

MaxPool $(K = 2, S = 1, P = 0) \equiv \text{MaxPool}(2,1,0)$

 $FC(C_{in} = 4096, C_{out} = 1000) \equiv Linear(4096,1000)$

ReLU, Tanh, LeakyReLU have no arguments and are elementwise operations.

I.

i) The receptive field is defined as the region of all pixels in the input that produces a feature in the feature map f_k. We define the receptive field size R_k as the width of this input region (in this exercise width and hight of the receptive field are equal). Express the receptive field size R_k of a convolutional or pooling layer as function of stride S, kernel size K, and the receptive field size of the previous layer R_{k-1}. Let R₀ = 1. Then, R₁ corresponds to the receptive field size of the first layer.

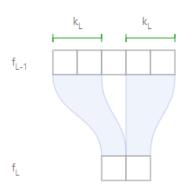
Hint: Sketch a 1D grid and apply several consecutive

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1D convolutions with $K = \{2,3\}$ and $S = \{1,2\}$. For each feature, answer how many other features influence its value. Based on these examples, you can see a recursive formula emerge.

Define r_l as the receptive field size of the final output feature map f_L , with respect to feature map f_l . In other words, r_l corresponds to the number of features in feature map f_l which contribute to generate one feature in f_L . Note that $r_L = 1$.

As a simple example, consider layer L, which takes features f_{L-1} as input, and generates f_L as output. Here is an illustration:

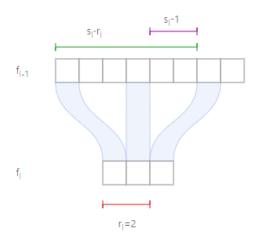


Kernel Size (k_L): 2 Padding (p_L, q_L): 0 Stride (s_I): 3

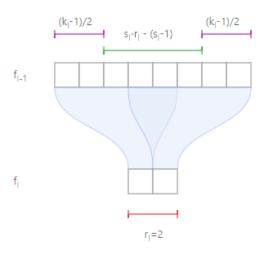
It is easy to see that k_L features from f_{L-1} can influence one feature from f_L , since each feature from f_L is directly connected to k_L features from f_{L-1} . So, $r_{L-1}=k_L$.

Now, consider the more general case where we know r_l and want to compute r_{l-1} . Each feature f_l is connected to k_l features from f_{l-1} .

First, consider the situation where $k_l=1$: in this case, the r_l features in f_l will cover $r_{l-1}=s_l\cdot r_l-(s_l-1)$ features in in f_{l-1} . This is illustrated in the figure below, where $r_l=2$ (highlighted in red). The first term $s_l\cdot r_l$ (green) covers the entire region where the features come from, but it will cover s_l-1 too many features (purple), which is why it needs to be deducted. 3



Kernel Size (k_i): 1 Padding (p_i, q_i): 0 Stride (s_i): 3 For the case where $k_l>1$, we just need to add k_l-1 features, which will cover those from the left and the right of the region. For example, if we use a kernel size of 5 ($k_l=5$), there would be 2 extra features used on each side, adding 4 in total. If k_l is even, this works as well, since the left and right padding will add to k_l-1 . 4



Kernel Size (k_l): 5 Padding (p_l, q_l): 0 Stride (s_l): 3

So, we obtain the general recurrence equation (which is <u>first-order</u>, <u>non-homogeneous</u>, <u>with</u> variable coefficients):

$$r_{l-1} = s_l \cdot r_l + (k_l - s_l) \tag{1}$$

This equation can be used in a recursive algorithm to compute the receptive field size of the network, r_0 . However, we can do even better: we can solve the recurrence equation and obtain a solution in terms of the k_l 's and s_l 's:

$$r_0 = \sum_{l=1}^{L} \left((k_l - 1) \prod_{i=1}^{l-1} s_i \right) + 1 \tag{2}$$

This expression makes intuitive sense, which can be seen by considering some special cases. For example, if all kernels are of size 1, naturally the receptive field is also of size 1. If all strides are 1, then the receptive field will simply be the sum of (k_l-1) over all layers, plus 1, which is simple to see. If the stride is greater than 1 for a particular layer, the region increases proportionally for all layers below that one. Finally, note that padding does not need to be taken into account for this derivation.

Q2)
i) In more general way to calculate receptive field, we can also see:

Nast = 1-1+2P=K+1

where now is the number of outful features for the honger is and nil is the number of input feature of lader i

Then we need to calculate the jump, the jump represents the camulative stride, we also have:

1) = j = j = XS

where jet is the jump of Previous layer.

Ahally, the receptive field in forward columns in arithmetic definition is conculated by:

Fi=r:-1+(k-1)ji-1

Ti=r:-1+(k-1)Tisk

II.

- ii) Calculate the receptive field size \mathcal{R}_k at each layer for the following architectures:
 - 1) Conv (32,128,3,1,1) Relu Conv (128,128,4,4,1).
 - 2) (Conv (3,3,5,2,2) Relu) * 6 (The same block 6 times).
 - 3) Conv (3,64,3,2,1) Relu MaxPool(4,3,0) Conv (64,128,3,1,1) Relu MaxPool(2, 2,0).

(2)

(ii) from last part formula we have; Y := Y : 1 + (k-1) 3 + 1(2) NOW whe calculate all three parts!

(3) Arch (1) -> Canv((32,128,3,1,1)-tell-conv((128,128,54)))

(4) Arch (1) -> Canv((32,128,3,1,1)-tell-conv((128,128,54)))

(5) Arch (1) -> Canv((32,128,3,1,1)-tell-conv((128,128,54)))

(6) Arch (1) -> Canv((32,128,3,5,1,1)-tell-conv((128,128,54)))

(7) Arch (1) -> Canv((3,-1)x| = 3 , k=3, s=1)

(8) Arch (2) -> (Canv((3,-1)x| = 6 , k=4, s=1)

(8) Arch (2) -> (Canv((3,3,5,2,2)-tell)) x 6

(9) Arch (2) -> (Canv((3,3,5,2,2)-tell)) x 6

for block 2406: $R_{1k} = R_{1k-1} + (5-1) \cdot 2^{k-1}$ = 7) $R_2 = 5 + (5-1) \cdot 2^{2} = 29$ $R_3 = 13 + (5-1) \cdot 2^2 = 29$ $R_4 = 29 + (5-1) \cdot 2^3 = 61$ $R_5 = 61 + (5-1) \cdot 2^9 = 125$ $R_6 = 125 + (5-1) \cdot 2^5 = 253$

3) Arch3 \rightarrow conv(3,64,3,2,1)-Rell-max pool (4,3,0)- conv(64,128,3,51)-rell-max pool (2,2,0)

Ro=1 \rightarrow Ri=1+(3+1)2=5

Rell does not affect the receptive field size. \rightarrow Maxpooling with 2-2 S-2 \rightarrow Conv \Rightarrow k=3, S=1, p=1 \rightarrow R2= \Rightarrow \Rightarrow Conv \Rightarrow k=3, S=1, p=1
Again rely observed affect the reseptive size, maxpool (2,2,0), k=2, S=2

R2=9+(2-1)x2=13

III.

iii) We pass an image of size C = 3, W = 512, H = 512 through the network below. Fill in values of question marks below so that the architecture is valid. Then report the tensor size (C_{out}, H, W) after each layer when the image passes through the net:

Conv(?,?,3,1,1)
$$\rightarrow$$
 ReLU \rightarrow MaxPool (2,2) \rightarrow Conv (64,?,3,1,1) \rightarrow ReLU \rightarrow MaxPool (2,2) \rightarrow Conv (128,256,3,1,1) \rightarrow ReLU \rightarrow MaxPool (2,2) \rightarrow Conv(?,512,3,1,1) \rightarrow ReLU \rightarrow MaxPool (2,2)

in age size=3, W=512

(mage size=3, W=512

1) (onv(?,?,3,1,1) - Pelv-max pool(2,2)

The input has three channels, and since

the next convolution layer has 64 input size

30, We have => conv(3,64,3,1,1)

it can be implied that:

(out=64, and wort & Hout can be calculated with wort = Hout = [wint=2] +1

considering le=3, S=1, P=1

wort=Hout=B12+2x1-3]+1=512

The support will be the next convidention

2) So the result of this carehadian is:

(=3, cout=04, what=Hout=S12

After maxposling with s=2, k=2, p=2

After max

Lower, So it is: Cont=158

Nit IC=3, S=1, P=1=2 Hout = Wort = 256 (framed)

New maxporling we have 1 S=2, k=2, P=2

Thout=Wort = 256/2=188

Tensor size: (128,128,128)

3) conv (128, 256, 3, 131) -> Rely -> mappol (2,2)

Cont = 256

K = 3, P = 1, S = 1 => Hout = Wort = 128

Maxpooling -> 1c=2, S=2, P=0 -> Hout = Wort = 64

Tensor Size = (256, 64, 64)

4) Conv (?,512,53,1,1) - spelu - maxpol (2,2)

Cin = 256 - input channed due to last layer

Coul = 512

k=3, P=1, S=1=) Walt = Coul = 64

Maxpoling => k=2, S=2, P=3=) Walt = Coul = 32

Tensor Siz=(512,32,32)

IV.

iv) The network architecture above is the (simplified) convolutional part of a network called VGG16 by (Simonyan et al., 2014). As mentioned in the lecture, this stack of convolutional and max-pooling layers is often followed by a few fully-connected layers. The first fully-connected layer of a VGG16 is a FC(25088, 4096). Calculate the number of trainable parameters for 1st and 2nd convolutional layers and the first fully-connected layer.

(22)
iv)

1) First compositional layer—sconv (3,64,3,1)

=> Parlemeters: (k xcin+1) (nut = (3x3x3+1)x64=1792

2) Second consolvational layer—sconv(64,128,3,1)

=> Parlemeters: (3x3x64+1)x128=73586

First fully connected layer. (f((25088,4096)x)

Parmeters numbers:

=> Parlemeters: (Cin+1)x(out=(25088+1)x(4096=102764544)

2. MNIST classification with CNN

In this part a CNN has been implemented for MNIST data, loss values and accuracies will be reported by chaning hyperparameters of CNN:

a) Calculate the normalization constants for MNIST

Here is the output for calculated the normalization constants:

```
Min Pixel Value: 0
Max Pixel Value: 255
Mean Pixel Value 33.31842041015625
Pixel Values Std: 78.56748962402344
Scaled Mean Pixel Value 0.13066047430038452
Scaled Pixel Values Std: 0.30810779333114624
```

b) Build a Pytorch dataloader

The code lines for the dataloader considering normalization constants and reducing the size to 14*14:

```
# b) Build a Pytorch dataloader
transform = Compose([Resize((14, 14)), ToTensor(),
Normalize((train_mean,), (train_std,))])

train_dataset = MNIST(root='./data', train=True, download=True,
transform=transform)

test_dataset = MNIST(root='./data', train=False, download=True,
transform=transform)

train_loader = DataLoader(train_dataset, batch_size=64, shuffle=True)
test_loader = DataLoader(test_dataset, batch_size=64, shuffle=False)
```

c) Implement the CNN class

Implementing CNN class with determined hyperparameters in the question:

```
# c) Implement the CNN class
```

```
class CNN(nn.Module):
    def init (self):
        super(CNN, self). init ()
        self.conv1 = nn.Conv2d(1, 16, 3, 1, 0)
        self.relu1 = nn.ReLU()
        self.conv2 = nn.Conv2d(16, 32, 3, 1, 0)
        self.relu2 = nn.ReLU()
        self.pool = nn.MaxPool2d(2, 2, 0)
        self.dropout1 = nn.Dropout(0.25)
        self.fc1 = nn.Linear(800, 128)
        self.relu3 = nn.ReLU()
        self.dropout2 = nn.Dropout(0.5)
        self.fc2 = nn.Linear(128, 10)
        self.log softmax = nn.LogSoftmax(dim=1)
    def forward(self, x):
        x = self.conv1(x)
       x = self.relu1(x)
        x = self.conv2(x)
       x = self.relu2(x)
        x = self.pool(x)
       x = self.dropout1(x)
        x = x.view(-1, 800)
       x = self.fcl(x)
       x = self.relu3(x)
        x = self.dropout2(x)
       x = self.fc2(x)
        x = self.log softmax(x)
        return x
```

d) Implement the training loop

Here is the code lines for training loop:

```
# d) Implement the training loop
model = CNN()
criterion = nn.NLLLoss()
optimizer = optim.Adam(model.parameters(), lr=0.001)
train_losses = []
train_loss_epochs=[]
num_epochs = 150
for epoch in range(num_epochs):
    running_loss = 0.0
    for i, data in enumerate(train_loader, 0):
        inputs, labels = data
```

```
outputs = model(inputs)
  loss = criterion(outputs, labels)
  optimizer.zero_grad()
  loss.backward()
  optimizer.step()
  running_loss += loss.item()
  train_losses.append(loss.item())
  train_loss_epochs.append(running_loss / len(train_loader))
  print(f'Epoch [{epoch+1}/{num_epochs}], Loss:
{running_loss/len(train_loader)}')
```

e) Reach a test accuracy of > 99% on MNIST with CNN

The loss values over iterations with mentioned hyperparameters in the question has been shown in Fig (1) and over epochs in Fig (2).

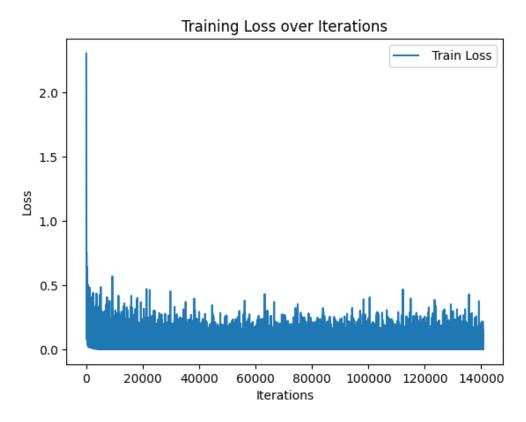


Figure 1. Loss values over iterations

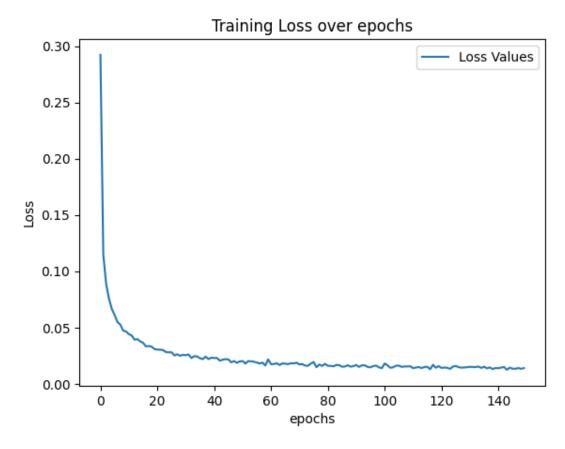


Figure 2. Loss values over epochs

The output of test accuracy after 150 epochs for downscaled data has been obtained as follows:

Accuracy of the network on the 10000 test images: 98.8%