
Perturbation Theory Hamiltonians

Background

In our representation of our Hamiltonian, we have

$$H^{(1)} = \sum_{ijk} \frac{1}{2} \frac{\partial g_{ij}}{\partial Q_k} p_i Q_k p_j + \frac{1}{6} \frac{\partial^3 V}{\partial Q_i \partial Q_j \partial Q_k} Q_i Q_j Q_k$$

then if we ask for element of this

$$\begin{aligned} \langle n | H^{(1)} | m \rangle &= \langle n | \sum_{ijk} \frac{1}{2} \frac{\partial g_{ij}}{\partial Q_k} p_i Q_k p_j + \frac{1}{6} \frac{\partial^3 V}{\partial Q_i \partial Q_j \partial Q_k} Q_i Q_j Q_k | m \rangle \\ &= \sum_{ijk} \frac{1}{2} \frac{\partial g_{ij}}{\partial Q_k} \langle n | p_i Q_k p_j | m \rangle + \frac{1}{6} \frac{\partial^3 V}{\partial Q_i \partial Q_j \partial Q_k} \langle n | Q_i Q_j Q_k | m \rangle \end{aligned}$$

we realize that we need to get representations of the operators $p Q p$ and $Q Q Q$

The form that these operator representations should take may not be entirely obvious.

A 2D Example

The first thing we should do is consider a 2-dimensional example, e.g. the operator xy . As it turns out, the representation for this in some general basis, $\{\phi\}$, unlike the case of something like $x^2 + y^2$ is unpleasant since it cannot be cleanly separated into some combination of 1D representations.

However, if we set up a direct product basis, i.e. one such that

$$\phi_n = \phi_{n_x} \phi_{n_y}$$

this becomes much nicer

In this case we have

$$\begin{aligned} \langle n | xy | m \rangle &= \langle n_y | \langle n_x | xy | m_x \rangle | m_y \rangle \\ &= \langle n_x | x | m_x \rangle \langle n_y | y | m_y \rangle \end{aligned}$$

and so if we can set up representations for both x and y , we can take the direct product of those representations and get a total representation

What we *do* need to keep in mind with this is that it will take us from a 2D matrix to a 4D tensor, as we have two quantum numbers ($n_x n_y$) for every n

Representation

What might this look like, then, for a product of harmonic oscillator wavefunction basis?

Let's assume we have N_x wavefunctions in x and N_y in y . This means the representations will be

$$X = \begin{pmatrix} 0 & \sqrt{1} & & & \\ \sqrt{1} & 0 & \sqrt{2} & & \\ & \sqrt{2} & \ddots & \ddots & \\ & & \ddots & \ddots & \sqrt{N_x} \\ & & & \sqrt{N_x} & 0 \end{pmatrix}$$

$$Y = \begin{pmatrix} 0 & \sqrt{1} & & & \\ \sqrt{1} & 0 & \sqrt{2} & & \\ & \sqrt{2} & \ddots & \ddots & \\ & & \ddots & \ddots & \sqrt{N_y} \\ & & & \sqrt{N_y} & 0 \end{pmatrix}$$

But then what happens when we have our total basis of size $N_x N_y$? Well we can assume that our basis functions are ordered like

$$\begin{aligned} \phi_1 &= \phi_{x=1} \phi_{y=1} \\ \phi_2 &= \phi_{x=2} \phi_{y=1} \\ &\vdots \\ \phi_{N_x} &= \phi_{x=N_x} \phi_{y=1} \\ \phi_{N_x+1} &= \phi_{x=1} \phi_{y=2} \\ &\vdots \\ \phi_{N_x N_y} &= \phi_{x=N_x} \phi_{y=N_y} \end{aligned}$$

and so when we look at our total array, it will look like

$$XY = \begin{pmatrix} X_{11} & Y_{11} & X_{12} & Y_{11} & \dots & X_{1N_x} & Y_{11} & X_{11} & Y_{12} & \dots & X_{1N_x} & Y_{1N_y} \\ X_{21} & Y_{11} & X_{22} & Y_{11} & & & & & & & & \vdots \\ & \vdots & & & \ddots & & \vdots & & & & & \\ X_{N_x 1} & Y_{11} & & & \dots & X_{N_x N_x} & Y_{11} & & & & & \\ X_{11} & Y_{21} & & & & & \ddots & & & & & \\ & \vdots & & & & & & & & & & \\ X_{N_x 1} & Y_{N_y 1} & & & \dots & & & & & & X_{N_x N_x} & Y_{N_y N_y} \end{pmatrix}$$

which we could rewrite as

$$XY = \begin{pmatrix} XY_{11} & XY_{12} & \dots & XY_{1N_y} \\ XY_{21} & XY_{22} & & \\ \vdots & & \ddots & \vdots \\ XY_{N_y 1} & & \dots & XY_{N_y N_y} \end{pmatrix}$$

which is the Kronecker product of the matrices X and Y , or in more standard notation

$$XY = X \otimes Y$$

The ND case follows the same pattern, being

$$X=X_1\otimes X_2\otimes\ldots\otimes X_N$$

Representation of $H^{(1)}$

With all this in place, we can now think about how we will handle just the second part of $H^{(1)}$

$$\sum_{ijk} \frac{\partial^3 V}{\partial Q_i \partial Q_j \partial Q_k} Q_i Q_j Q_k$$

as we've shown previously, we can get a full tensor representation of the derivatives, i.e.

$$(V_{Q^3})_{ijk} = \frac{\partial^3 V}{\partial Q_i \partial Q_j \partial Q_k}$$

This term has dimension (M, M, M) where M is the total number of coordinates in our system.

The question, then, is what to do with Q^3 ?

Here we need to decide how many quanta of excitation we will allow to be in each of our modes.

We will let this be N_i . This means our basis elements look like

$$\phi_n = \prod_{i=1}^M \varphi_{n_i}$$

and we have a total basis size of

$$N = \prod_{i=1}^M N_i$$

and so we expect that $H^{(1)}$ should have dimension (N, N)

Thus we expect to have representation of QQQ that has dimension (M, M, M, N, N) , which can of course get memory intensive *very* quickly unless we can control the size of N or by computing individual elements of $H^{(1)}$, like

$$\begin{aligned} (H^{(1)})_{n,m} &= (H^{(1)})_{n_{x_1} n_{x_2} \dots n_{x_M}, m_{x_1} m_{x_2} \dots m_{x_M}} \\ &= V_{Q^3} \odot (Q^3 \dots nm) \end{aligned}$$

where the \odot is telling us to contract the axes of V_{Q^3} with the first three axes of Q^3

But, saving that for another day, we're still left with the question of how to represent Q^3 , given that for $M > 3$ the indices i, j, k don't cover the full set of combinations of axes.

In this case, we can think of this as

$$Q^3 = Q^3 I^{(M-3)}$$

and so we end up having

$$Q^3 = I_{N_1} \otimes I_{N_2} \otimes \dots \otimes Q_i \otimes I_{N_{i+1}} \otimes \dots \otimes Q_j \otimes I_{N_{j+1}} \otimes \dots \otimes Q_k \otimes I_{N_{k+1}} \otimes \dots \otimes I_{N_M}$$

which is a truly massive tensor

On the other hand, it is also an extremely *sparse* tensor, and so by using sparse matrix methods we can end up storing very little of it, if we decide that we *do* want to do a direct calculation of the tensor.

Explicit Form

There is also a mild complication that we haven't dealt with here, either, which is that sometimes we won't have three distinct indices. That is sometimes we'll have $Q_x Q_y Q_z$ but other times we might have $Q_x Q_y Q_x$. These terms will have different representations:

$$\begin{aligned} Q_x Q_y Q_z &\Rightarrow Q_x \otimes Q_y \otimes Q_z \\ Q_x Q_y Q_x &\Rightarrow Q_x^2 \otimes Q_y \end{aligned}$$

where that Q_x^2 term is, as usual, a matrix power of the representation of Q_x , not a direct term wise multiplication.

This can also make indexing something of a challenge to think about. Let's consider three modes (we'll call them x, y, and z) and in each of these we put up to 1 quantum of excitation.

Then if we're asking for QQQ_{nm} we are really asking for this tensor

$$\begin{aligned} QQQ_{nm} &= \begin{pmatrix} (Q_x Q_x Q_x)_{nm} & (Q_x Q_x Q_y)_{nm} & (Q_x Q_x Q_z)_{nm} \\ (Q_x Q_y Q_x)_{nm} & (Q_x Q_y Q_y)_{nm} & (Q_x Q_y Q_z)_{nm} \\ (Q_x Q_z Q_x)_{nm} & (Q_x Q_z Q_y)_{nm} & (Q_x Q_z Q_z)_{nm} \\ (Q_y Q_x Q_x)_{nm} & (Q_y Q_x Q_y)_{nm} & (Q_y Q_x Q_z)_{nm} \\ (Q_y Q_y Q_x)_{nm} & (Q_y Q_y Q_y)_{nm} & (Q_y Q_y Q_z)_{nm} \\ (Q_y Q_z Q_x)_{nm} & (Q_y Q_z Q_y)_{nm} & (Q_y Q_z Q_z)_{nm} \\ (Q_z Q_x Q_x)_{nm} & (Q_z Q_x Q_y)_{nm} & (Q_z Q_x Q_z)_{nm} \\ (Q_z Q_y Q_x)_{nm} & (Q_z Q_y Q_y)_{nm} & (Q_z Q_y Q_z)_{nm} \\ (Q_z Q_z Q_x)_{nm} & (Q_z Q_z Q_y)_{nm} & (Q_z Q_z Q_z)_{nm} \end{pmatrix} \\ &= \begin{pmatrix} (Q_x^3)_{nm} & (Q_x^2 Q_y)_{nm} & (Q_x^2 Q_z)_{nm} \\ (Q_x^2 Q_y)_{nm} & (Q_x Q_y^2)_{nm} & (Q_x Q_y Q_z)_{nm} \\ (Q_x^2 Q_z)_{nm} & (Q_x Q_z Q_y)_{nm} & (Q_x Q_z^2)_{nm} \\ (Q_x^2 Q_y)_{nm} & (Q_x Q_y^2)_{nm} & (Q_y Q_x Q_z)_{nm} \\ (Q_x Q_y^2)_{nm} & (Q_y^3)_{nm} & (Q_y^2 Q_z)_{nm} \\ (Q_y Q_z Q_x)_{nm} & (Q_y^2 Q_z)_{nm} & (Q_y Q_z^2)_{nm} \\ (Q_x^2 Q_z)_{nm} & (Q_z Q_x Q_y)_{nm} & (Q_x Q_z^2)_{nm} \\ (Q_z Q_y Q_x)_{nm} & (Q_y^2 Q_z)_{nm} & (Q_y Q_z^2)_{nm} \\ (Q_x Q_z^2)_{nm} & (Q_y Q_z^2)_{nm} & (Q_z^3)_{nm} \end{pmatrix} \end{aligned}$$

Then the question is: what is nm ?

To answer this we need to think about how our total Hamiltonian is structured. A given element of this looks like

$$\langle n | H | m \rangle = \langle n | T | m \rangle + \langle n | V | m \rangle$$

and keeping in mind that our basis is the direct product of the basis sets

$$\begin{aligned}\Phi_x &= \{|0\rangle_x, |1\rangle_x\} \\ \Phi_y &= \{|0\rangle_y, |1\rangle_y\} \\ \Phi_z &= \{|0\rangle_z, |1\rangle_z\}\end{aligned}$$

so our overall basis is

$$\begin{aligned}\Phi = & \{|0\rangle_x |0\rangle_y |0\rangle_z, |1\rangle_x |0\rangle_y |0\rangle_z, |0\rangle_x |1\rangle_y |0\rangle_z, |0\rangle_x |0\rangle_y |1\rangle_z, \\ & |1\rangle_x |1\rangle_y |0\rangle_z, |1\rangle_x |0\rangle_y |1\rangle_z, |0\rangle_x |1\rangle_y |1\rangle_z, |1\rangle_x |1\rangle_y |1\rangle_z\}\end{aligned}$$

and then n refers to one of these basis functions and m to another, i.e. (removing the redundant subscripts)

$$\Phi_n = |n_x\rangle |n_y\rangle |n_z\rangle$$

So overall

$$QQQ_{nm} = \langle n_x | \langle n_y | \langle n_z | \left(\begin{array}{ccc} (Q_x^3) & (Q_x^2 Q_y) & (Q_x^2 Q_z) \\ (Q_x^2 Q_y) & (Q_x Q_y^2) & (Q_x Q_y Q_z) \\ (Q_x^2 Q_z) & (Q_x Q_z Q_y) & (Q_x Q_z^2) \\ (Q_x^2 Q_y) & (Q_x Q_y^2) & (Q_y Q_x Q_z) \\ (Q_x Q_y^2) & (Q_y^3) & (Q_y^2 Q_z) \\ (Q_y Q_z Q_x) & (Q_y^2 Q_z) & (Q_y Q_z^2) \\ (Q_x^2 Q_z) & (Q_z Q_x Q_y) & (Q_x Q_z^2) \\ (Q_z Q_y Q_x) & (Q_y^2 Q_z) & (Q_y Q_z^2) \\ (Q_x Q_z^2) & (Q_y Q_z^2) & (Q_z^3) \end{array} \right) |m_x\rangle |m_y\rangle |m_z\rangle$$

this is a real pain to show in full, so we'll just focus on the three types of terms in the middle block

$$\begin{aligned}\langle n_x | \langle n_y | \langle n_z | Q_y^3 | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_y | Q_y^3 | m_y \rangle \langle n_x | m_x \rangle \langle n_z | m_z \rangle \\ \langle n_x | \langle n_y | \langle n_z | Q_x^2 Q_y | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_x | Q_x^2 | m_x \rangle \langle n_y | Q_y | m_y \rangle \langle n_z | m_z \rangle \\ \langle n_x | \langle n_y | \langle n_z | Q_x Q_y^2 | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_x | Q_x | m_x \rangle \langle n_y | Q_y^2 | m_y \rangle \langle n_z | m_z \rangle \\ \langle n_x | \langle n_y | \langle n_z | Q_y^2 Q_z | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_y | Q_y^2 | m_y \rangle \langle n_z | Q_z | m_z \rangle \langle n_x | m_x \rangle \\ \langle n_x | \langle n_y | \langle n_z | Q_y Q_z^2 | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_y | Q_y | m_y \rangle \langle n_z | Q_z^2 | m_z \rangle \langle n_x | m_x \rangle \\ \langle n_x | \langle n_y | \langle n_z | Q_y Q_x Q_z | m_x \rangle | m_y \rangle | m_z \rangle &= \langle n_x | Q_x | m_x \rangle \langle n_y | Q_y | m_y \rangle \langle n_z | Q_z | m_z \rangle\end{aligned}$$

and assuming that x , y , and z all have the same representation for Q this means the whole tensor

is

$$QQQ_{nm} = \begin{pmatrix} \begin{pmatrix} Q^3_{n_x m_x} \delta_{n_y m_y} \delta_{n_z m_z} & Q^2_{n_x m_x} Q_{n_y m_y} \delta_{n_z m_z} & Q^2_{n_x m_x} Q_{n_z m_z} \delta_{n_y m_y} \\ Q^2_{n_x m_x} Q_{n_y m_y} \delta_{n_z m_z} & Q_{n_x m_x} Q^2_{n_y m_y} \delta_{n_z m_z} & Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} \\ Q^2_{n_x m_x} Q_{n_z m_z} \delta_{n_y m_y} & Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} & Q_{n_x m_x} Q^2_{n_z m_z} \delta_{n_y m_y} \end{pmatrix} \\ \begin{pmatrix} Q^2_{n_x m_x} Q_{n_y m_y} \delta_{n_z m_z} & Q_{n_x m_x} Q^2_{n_y m_y} \delta_{n_z m_z} & Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} \\ Q_{n_x m_x} Q^2_{n_y m_y} \delta_{n_z m_z} & Q^3_{n_y m_y} \delta_{n_x m_x} \delta_{n_z m_z} & Q^2_{n_y m_y} Q_{n_z m_z} \delta_{n_x m_x} \\ Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} & Q^2_{n_y m_y} Q_{n_z m_z} \delta_{n_x m_x} & Q_{n_y m_y} Q^2_{n_z m_z} \delta_{n_x m_x} \end{pmatrix} \\ \begin{pmatrix} Q^2_{n_x m_x} Q_{n_z m_z} \delta_{n_y m_y} & Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} & Q_{n_x m_x} Q^2_{n_z m_z} \delta_{n_y m_y} \\ Q_{n_x m_x} Q_{n_y m_y} Q_{n_z m_z} & Q^2_{n_y m_y} Q_{n_z m_z} \delta_{n_x m_x} & Q_{n_y m_y} Q^2_{n_z m_z} \delta_{n_x m_x} \\ Q_{n_x m_x} Q^2_{n_z m_z} \delta_{n_y m_y} & Q_{n_y m_y} Q^2_{n_z m_z} \delta_{n_x m_x} & Q^3_{n_z m_z} \delta_{n_x m_x} \delta_{n_y m_y} \end{pmatrix} \end{pmatrix}$$

which looks imposing, but really ends up being quite simple. If, for instance, we have

$$\begin{aligned} n_x=1 & \quad m_x=0 \\ n_y=0 & \quad m_y=1 \\ n_z=1 & \quad m_z=1 \end{aligned}$$

based on ordering our basis as

$$\begin{aligned} \Phi = & \{ |0\rangle_x |0\rangle_y |0\rangle_z, |1\rangle_x |0\rangle_y |0\rangle_z, |0\rangle_x |1\rangle_y |0\rangle_z, |0\rangle_x |0\rangle_y |1\rangle_z, \\ & |1\rangle_x |1\rangle_y |0\rangle_z, |1\rangle_x |0\rangle_y |1\rangle_z, |0\rangle_x |1\rangle_y |1\rangle_z, |1\rangle_x |1\rangle_y |1\rangle_z \} \end{aligned}$$

we get

$$QQQ_{65} = \begin{pmatrix} \begin{pmatrix} Q^3_{10} \delta_{01} \delta_{11} & Q^2_{10} Q_{01} \delta_{11} & Q^2_{10} Q_{11} \delta_{01} \\ Q^2_{10} Q_{01} \delta_{11} & Q_{10} Q^2_{01} \delta_{11} & Q_{10} Q_{01} Q_{11} \\ Q^2_{10} Q_{11} \delta_{01} & Q_{10} Q_{01} Q_{11} & Q_{10} Q^2_{11} \delta_{01} \end{pmatrix} \\ \begin{pmatrix} Q^2_{10} Q_{01} \delta_{11} & Q_{10} Q^2_{01} \delta_{11} & Q_{10} Q_{01} Q_{11} \\ Q_{10} Q^2_{01} \delta_{11} & Q^3_{01} \delta_{10} \delta_{11} & Q^2_{01} Q_{11} \delta_{10} \\ Q_{10} Q_{01} Q_{11} & Q^2_{01} Q_{11} \delta_{10} & Q_{01} Q^2_{11} \delta_{10} \end{pmatrix} \\ \begin{pmatrix} Q^2_{10} Q_{11} \delta_{01} & Q_{10} Q_{01} Q_{11} & Q_{10} Q^2_{11} \delta_{01} \\ Q_{10} Q_{01} Q_{11} & Q^2_{01} Q_{11} \delta_{10} & Q_{01} Q^2_{11} \delta_{10} \\ Q_{10} Q^2_{11} \delta_{01} & Q_{01} Q^2_{11} \delta_{10} & Q^3_{11} \delta_{10} \delta_{01} \end{pmatrix} \end{pmatrix}$$

assuming we're working with harmonic oscillator wavefunctions, this gives us

$$Q = \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad Q^2 = \begin{pmatrix} \frac{1}{2} & 0 & \frac{1}{\sqrt{2}} \\ 0 & \frac{3}{2} & 0 \\ \frac{1}{\sqrt{2}} & 0 & \frac{5}{2} \end{pmatrix} \quad Q^3 = \begin{pmatrix} 0 & \frac{3}{2\sqrt{2}} & 0 \\ \frac{3}{2\sqrt{2}} & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$$

and so

$$\begin{aligned}
Q_{10} &= \frac{1}{\sqrt{2}} & Q_{11} &= 0 & Q_{01} &= \frac{3}{\sqrt{2}} \\
Q^2_{10} &= 0 & Q^2_{11} &= \frac{3}{2} & Q^2_{01} &= 0 \\
Q^3_{10} &= \frac{3}{\sqrt{2}} & Q^3_{11} &= 0 & Q^3_{01} &= \frac{3}{\sqrt{2}}
\end{aligned}$$

and so

$$\text{QQQ}_{65} = \left(\begin{array}{c} \left(\begin{array}{ccc} \frac{3}{2\sqrt{2}} \delta_{01} \delta_{11} & 0 * \frac{1}{\sqrt{2}} \delta_{11} & 0 * 0 \delta_{01} \\ 0 * \frac{1}{\sqrt{2}} \delta_{11} & \frac{1}{\sqrt{2}} * 0 \delta_{11} & \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 \\ 0 * 0 \delta_{01} & \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 & \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{01} \end{array} \right) \\ \left(\begin{array}{ccc} 0 * \frac{1}{\sqrt{2}} \delta_{11} & \frac{1}{\sqrt{2}} * 0 \delta_{11} & \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 \\ \frac{1}{\sqrt{2}} * 0 \delta_{11} & \frac{3}{2\sqrt{2}} \delta_{10} \delta_{11} & 0 * 0 \delta_{10} \\ \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 & 0 * 0 \delta_{10} & \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{10} \end{array} \right) \\ \left(\begin{array}{ccc} 0 * 0 \delta_{01} & \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 & \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{01} \\ \frac{1}{\sqrt{2}} * \frac{1}{\sqrt{2}} * 0 & 0 * 0 \delta_{10} & \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{10} \\ \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{01} & \frac{1}{\sqrt{2}} * \frac{3}{2} \delta_{10} & 0 \delta_{10} \delta_{01} \end{array} \right) \end{array} \right) = \left(\begin{array}{c} \left(\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right) \\ \left(\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right) \\ \left(\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right) \end{array} \right)$$

And so looked like a very large tensor turns out to be identically 0. This is a pretty common phenomenon with these and if we are trying to be very computationally efficient we can use selection rules to guide which terms we actually need to consider.