

Spectrometer optimisation

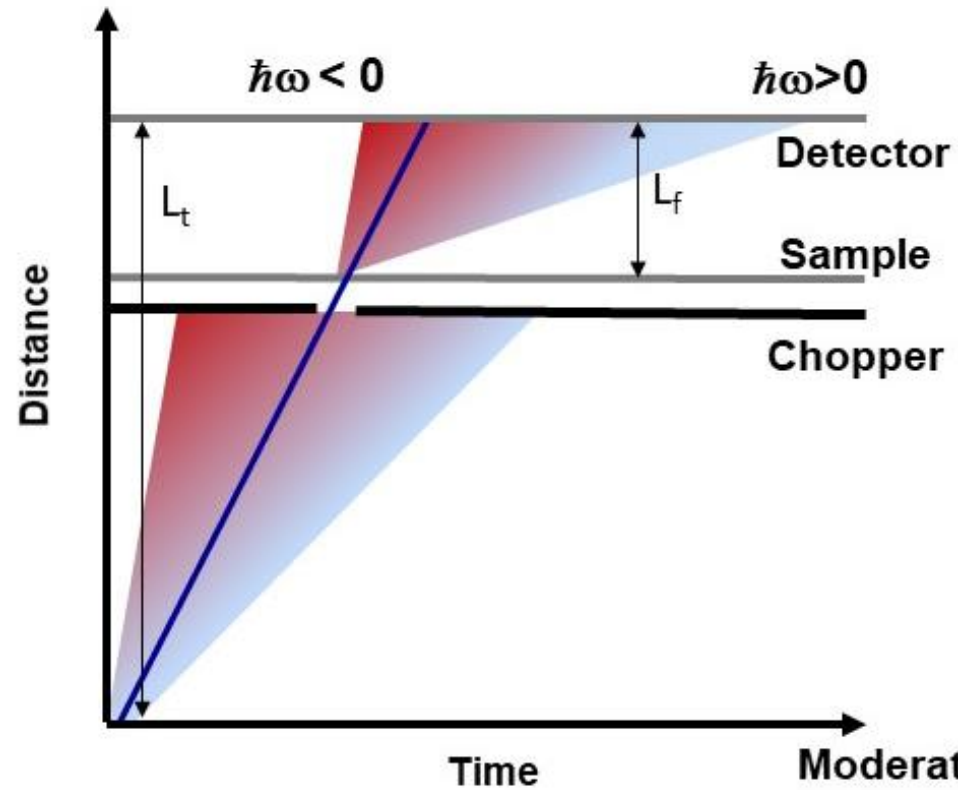
McStas Workshop 13th-15th April 2021

Rob Bewley

What will we be focussing on tof

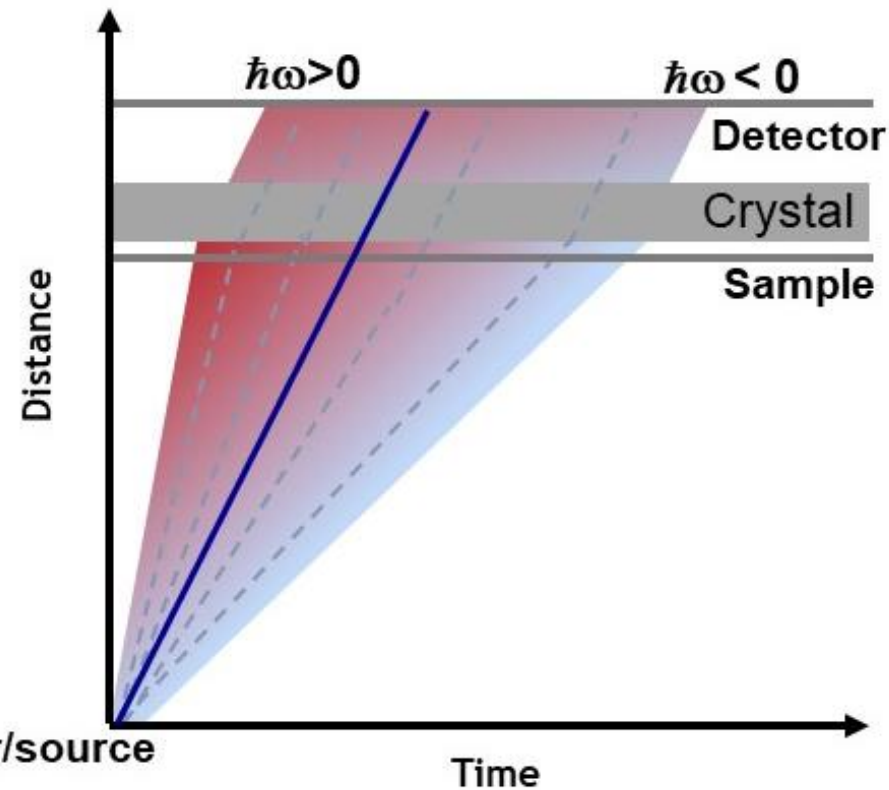
direct

LET, IN5, MERLIN, MAPS



in-direct

IRIS, TOSCA, VESUVIO



~~continuous~~

Triple ~~crystal~~, Lagrangian, spin echo

Where do you start designing your instrument ?

- You don't start with McStas !!!
- Need the **key drivers** (resolution, sample environment, any limitations on design)
- Parameter space is large. To optimise its crucial to understand the 'Maths' of your instrument
-how the resolution and flux depend on the instrument parameters
- What do we mean by an optimal design? – need some sort of FOM

What do we mean by an optimal design?

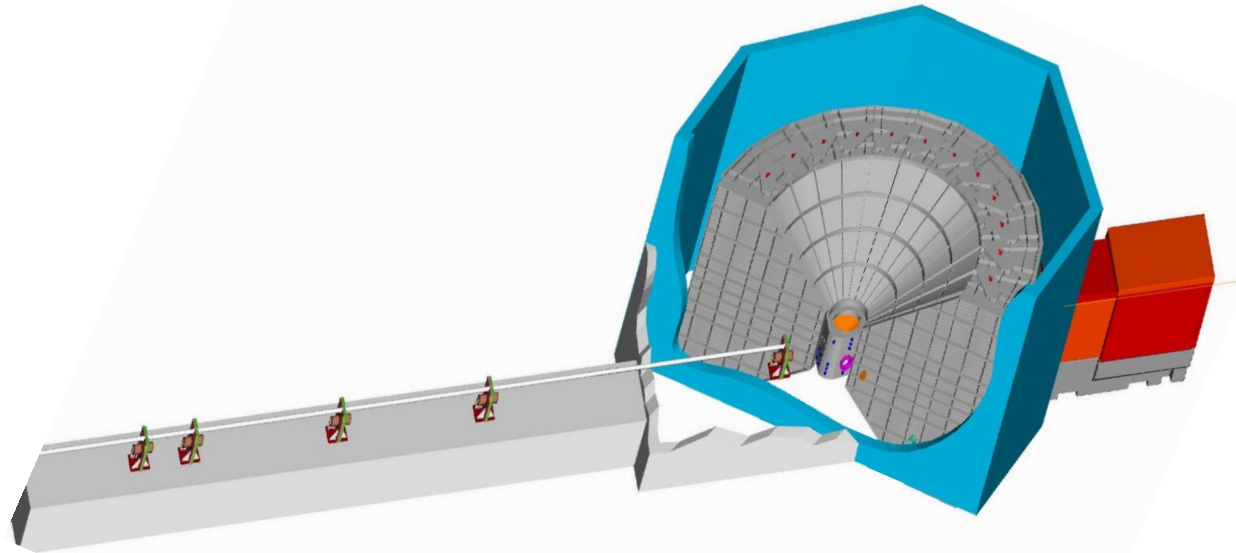
Need a Figure Of Merit

- For Engin-X $FOM = I\sigma^2/w^2$
- For spectrometers $FOM = \text{Signal}/\text{Background}$
- Optimal design will maximise the FOM for your key drivers
- Background Cannot really calculate or simulate. Minimise with shielding and design
- Rest of this talk is about the understanding the Maths of the instrument and how it relates to resolution and signal

Optimising Direct TOF spectrometer (LET)

'The Maths'

$$\Delta E^2 = \left[\left(\frac{2E_f \Delta t}{t_f} \right)^2 + \left(\frac{2E_f \Delta t_{ch} (L_2 + L_3 + L_1)}{t_f L_1} \right)^2 + \left(\frac{2E_f \Delta t m_{od} L_2}{t_f L_1} \right)^2 \right]$$



Optimising Direct TOF spectrometer (LET)

$$E = E_i - E_f \quad \text{where } E_i \text{ fixed}$$

$$\frac{\Delta E}{\Delta t} = - \frac{\Delta E_f}{\Delta t}$$

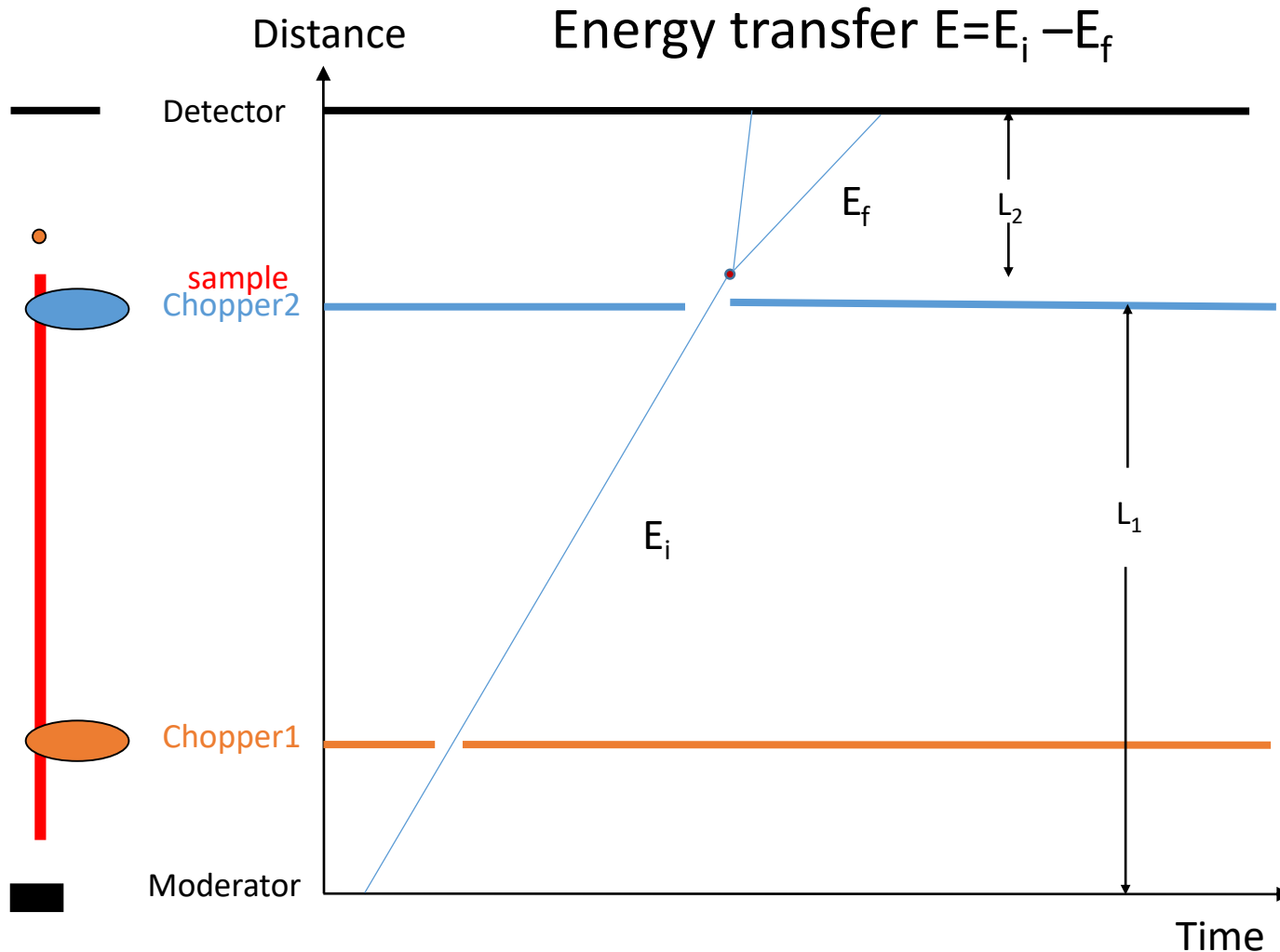
$$\text{Where } E_f = \frac{1}{2} m_n (L_2/t_f)^2$$

gives

$$\Delta E = \frac{2E_f \Delta t}{t_f} \quad ?$$

Where

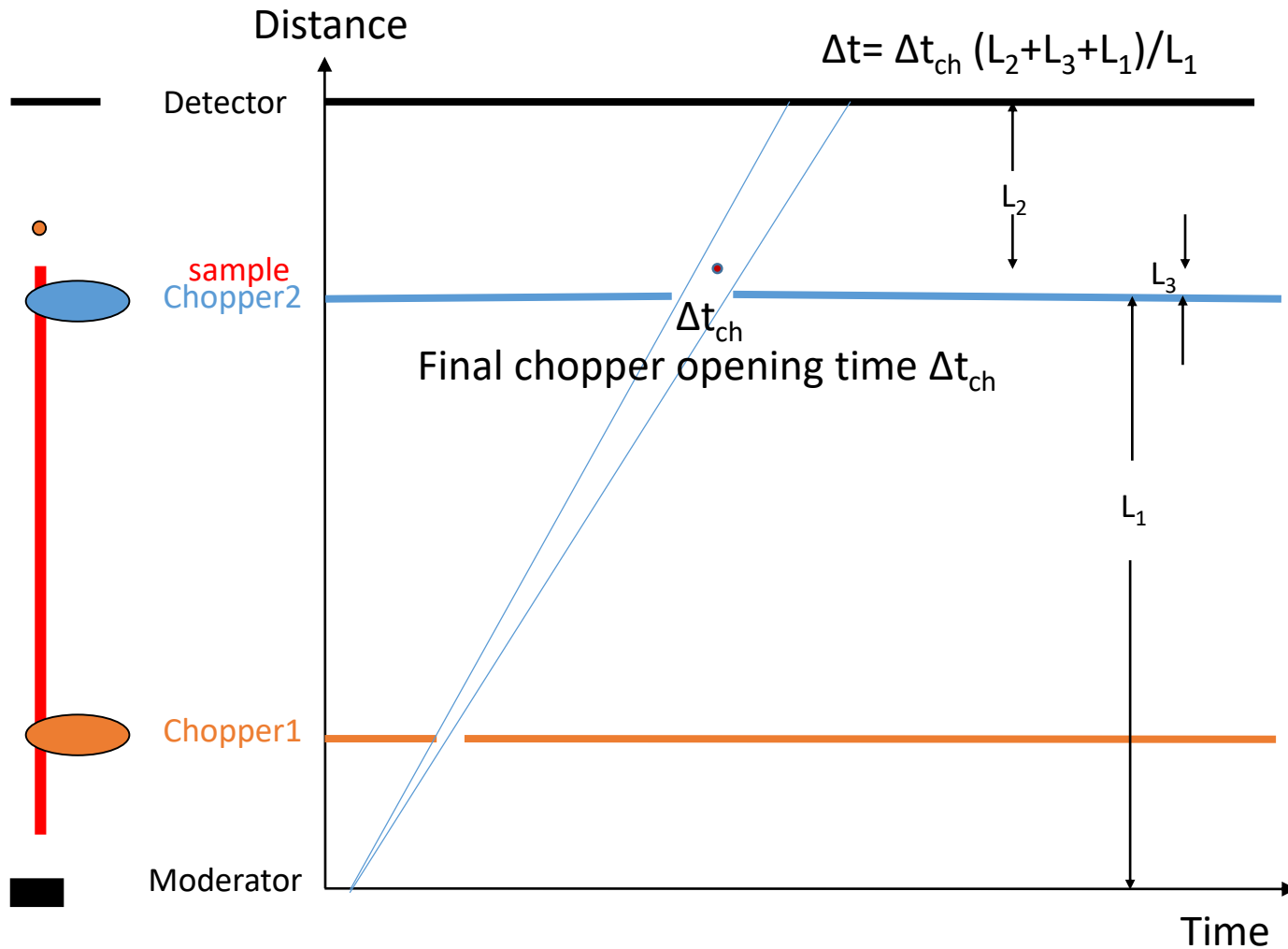
ΔE energy uncertainty
 Δt time uncertainty at detector
 E_f energy of neutron after sample
 t_f flight time sample to detector



Optimising Direct TOF spectrometer (LET)

Sources of Δt 1) Chopper opening

$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

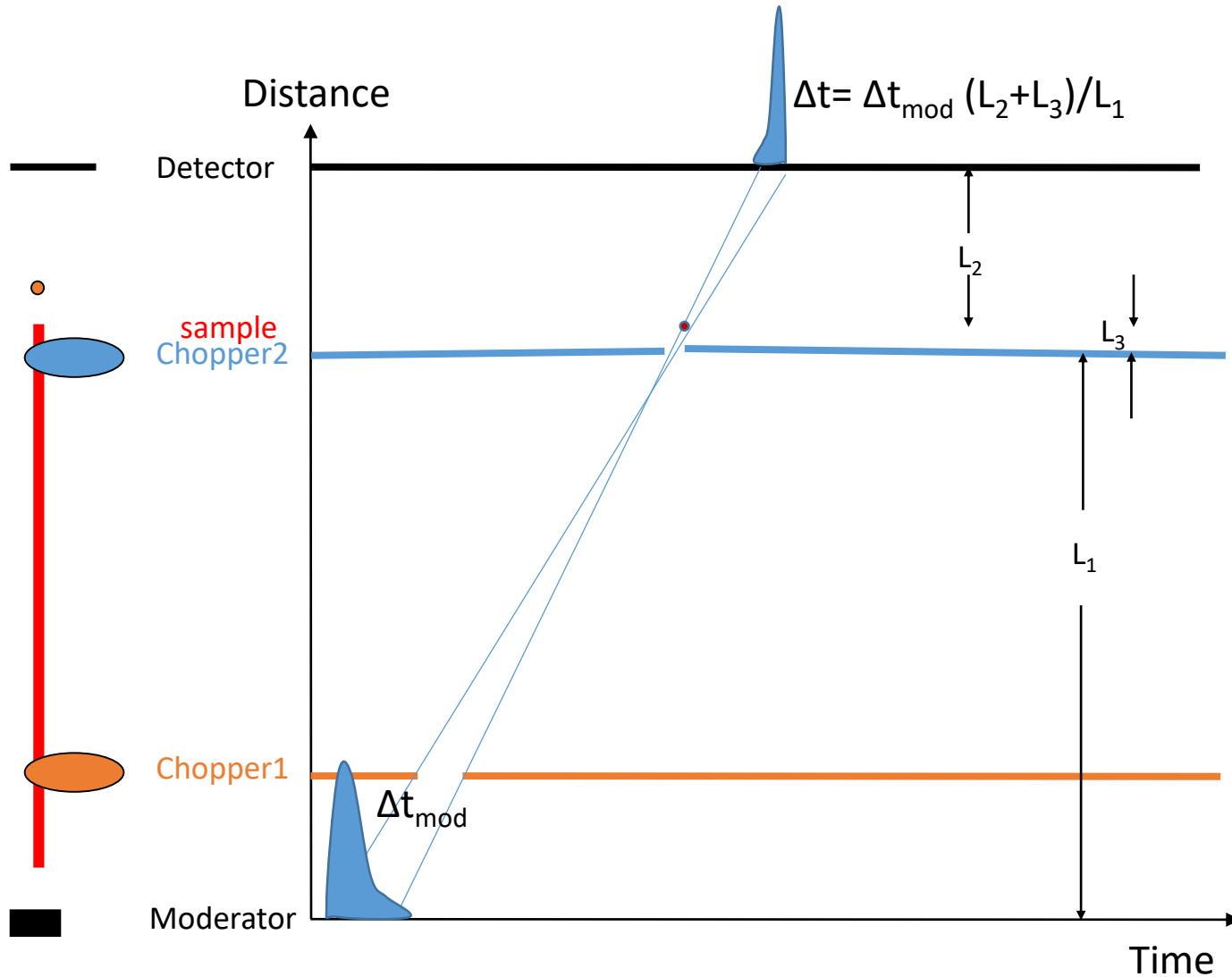


Chopper term

$$\Delta E = \frac{2E_f \Delta t c_h (L_2 + L_3 + L_1)}{t_f L_1}$$

Optimising Direct TOF spectrometer (LET)

Sources of Δt 2) Moderator



$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

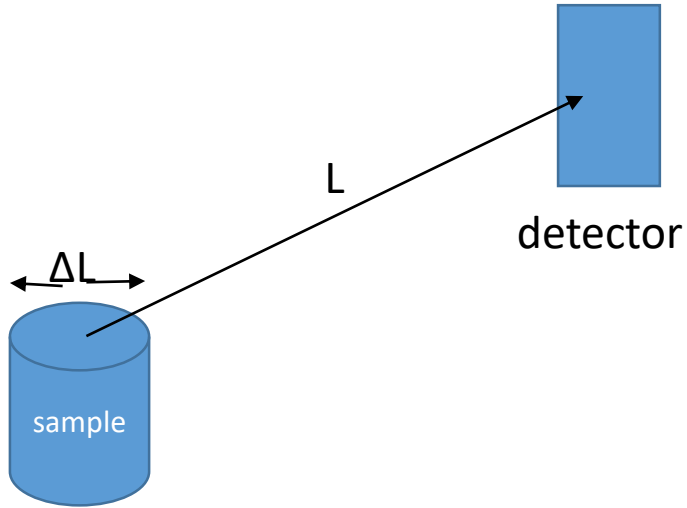
Moderator term

$$\Delta E = \frac{2E_f \Delta t m_{od} (L_2 + L_3)}{t_f L_1}$$

Optimising Direct TOF spectrometer (LET)

Sources of Δt

3) Sample/detector size



$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

$$\frac{\Delta t}{t_f} = \frac{\Delta L}{L}$$

On LET $\Delta L = 2$ cm and $L = 350$ cm (sample to detector distance)

$$\frac{\Delta E}{E_f} = \frac{2 \times 2}{350} \approx 1\%$$

- Sample size only significant when energy resolution is close to 1%

Optimising Direct TOF spectrometer (LET)

Assuming no correlations all the terms convolute together. A good approximation is to add in quadrature

$$\Delta E^2 = \Delta E_{\text{sam}}^2 + \Delta E_{\text{chop}}^2 + \Delta E_{\text{mod}}^2$$

$$\Delta E^2 = \overset{\text{sample}}{\left[\left(\frac{2E_f \Delta t_{\text{sam}}}{t_f} \right)^2 + \overset{\text{chopper}}{\left(\frac{2E_f \Delta t_{\text{chop}} (L_2 + L_3 + L_1)}{t_f L_1} \right)^2} + \overset{\text{moderator}}{\left(\frac{2E_f \Delta t m_{od} L_2}{t_f L_1} \right)^2} \right]}$$

What does it all mean?

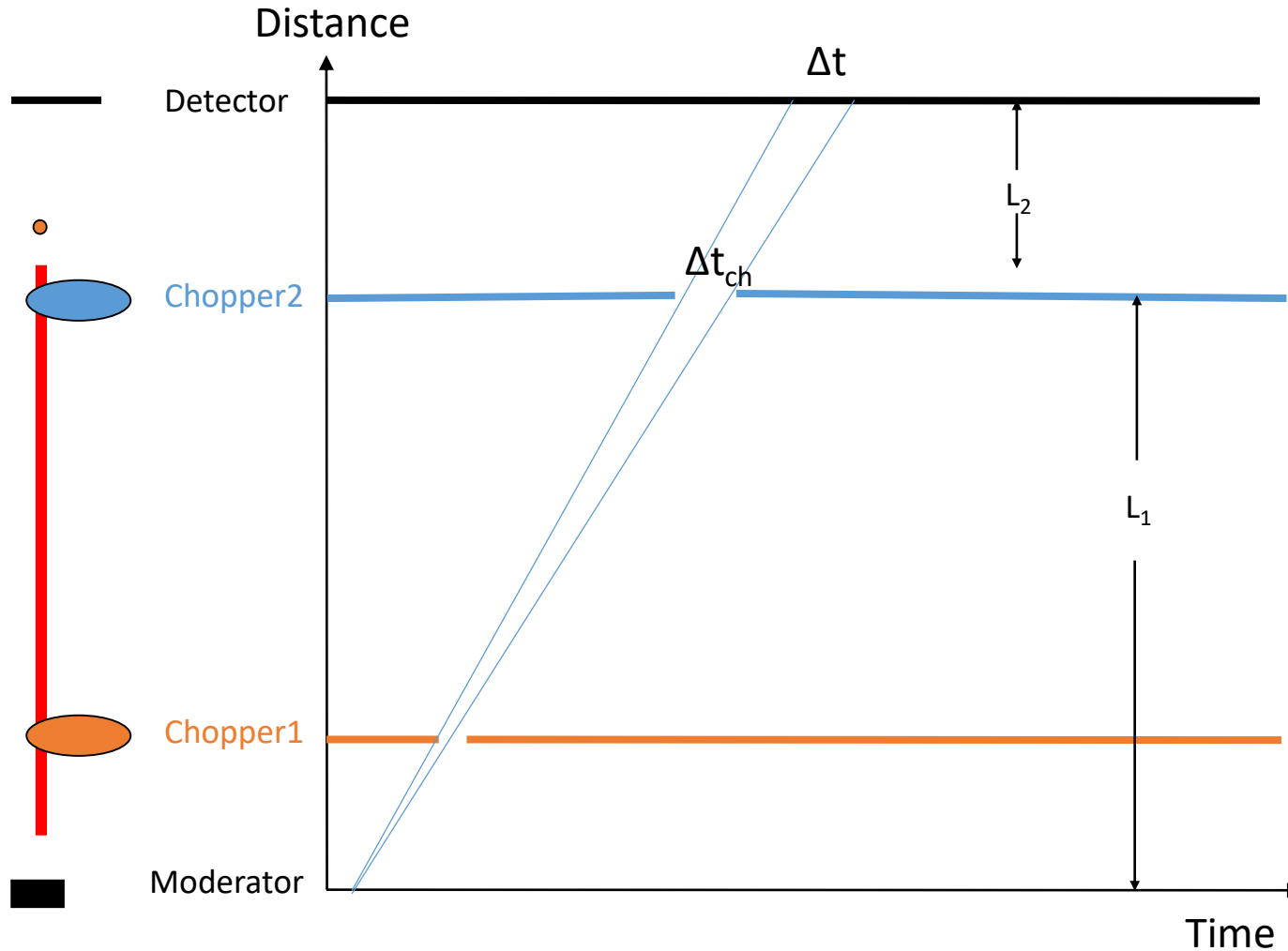
Optimising Direct TOF spectrometer (LET)

Optimising L_2

$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

$$\Delta E = \frac{2E_f \Delta t}{252.8 \lambda_f L_2}$$

- Better resolution with larger L_2
- For fixed ΔE count rate goes as L_2
- Make L_2 as large as possible
 - Gives better resolution
 - Gives larger count rate
 - Remember cost goes as L_2^2



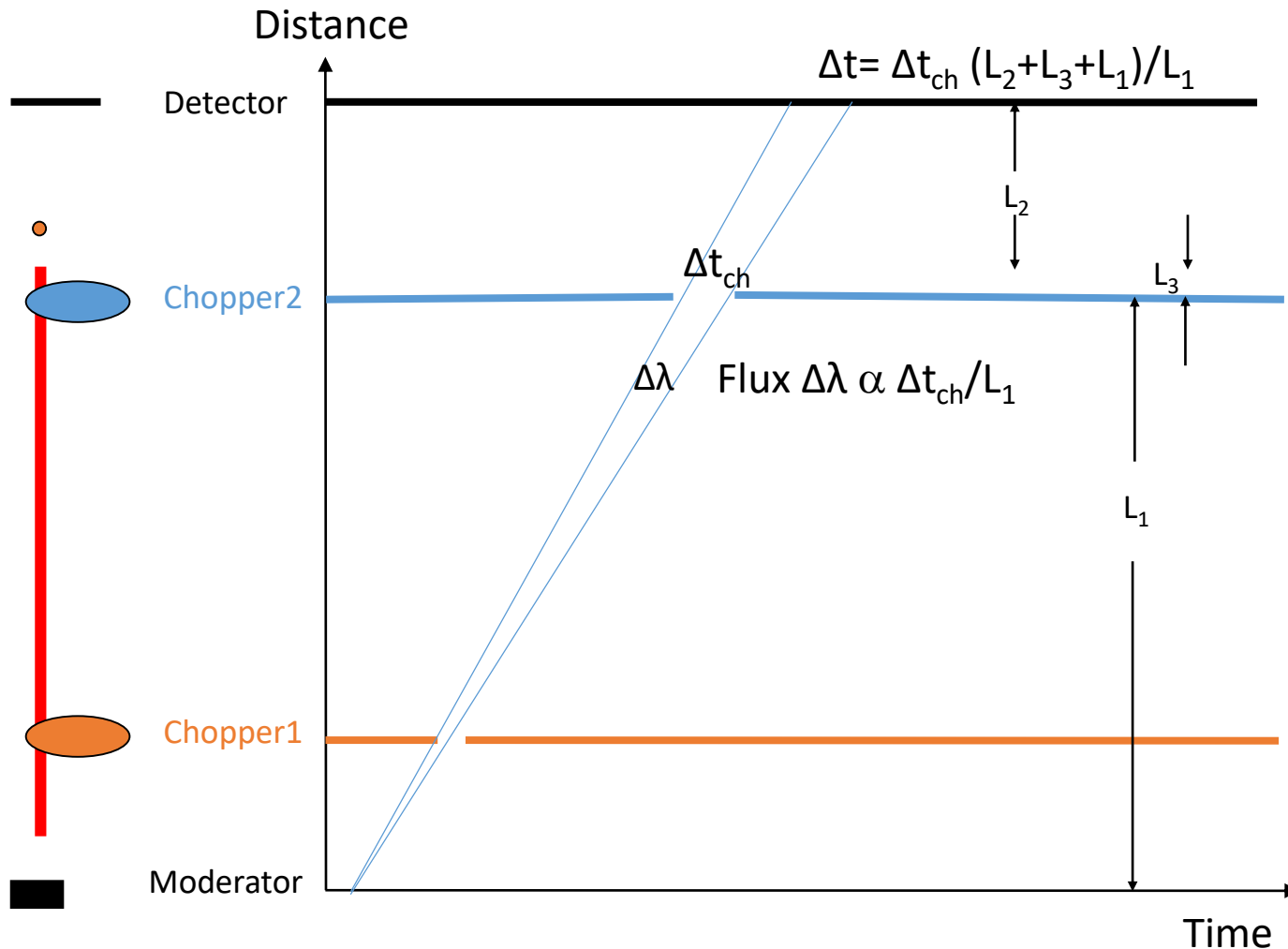


- Main cost of Direct geometry spectrometers from secondary
- LET has 40 m² of detectors (approx. £20M today)

Optimising Direct TOF spectrometer (LET)

Optimising L_1

$$\Delta E = \frac{2E_f \Delta t}{t_f}$$



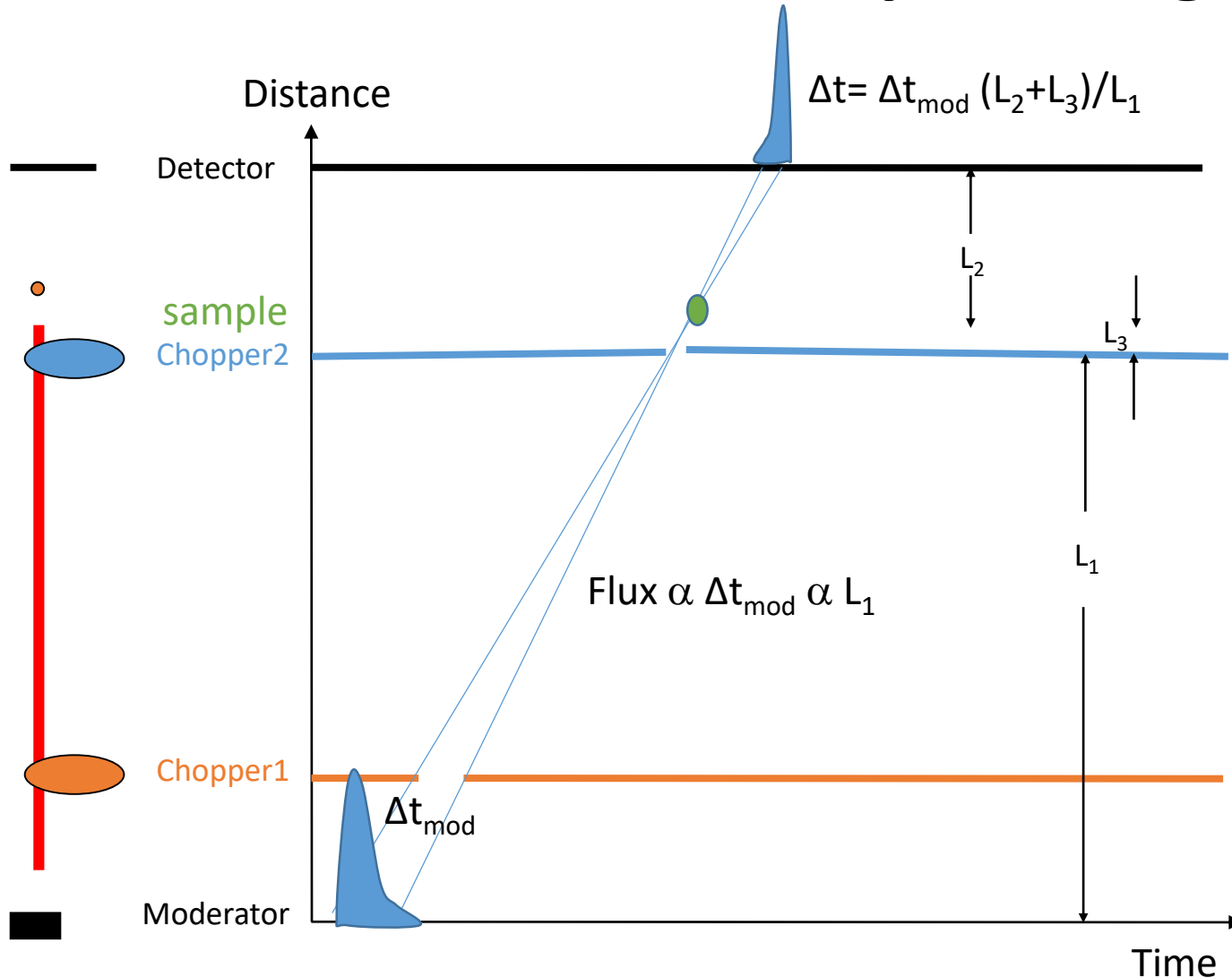
- keep ΔE constant just vary L_1
- So Δt , L_2 , L_3 are kept constant
- $\text{Flux} \propto \Delta t_{ch} / L_1 = \Delta t / (L_2 + L_3 + L_1)$
- $\text{Flux} \propto 1 / (a + L_1)$ where $(a = L_2 + L_3)$
- When $L_1 \gg L_2 + L_3$
- $\text{flux} \approx \propto 1 / L_1$ for constant ΔE

Make L_1 as short as possible ????

Optimising Direct TOF spectrometer (LET)

Optimising L_1

$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

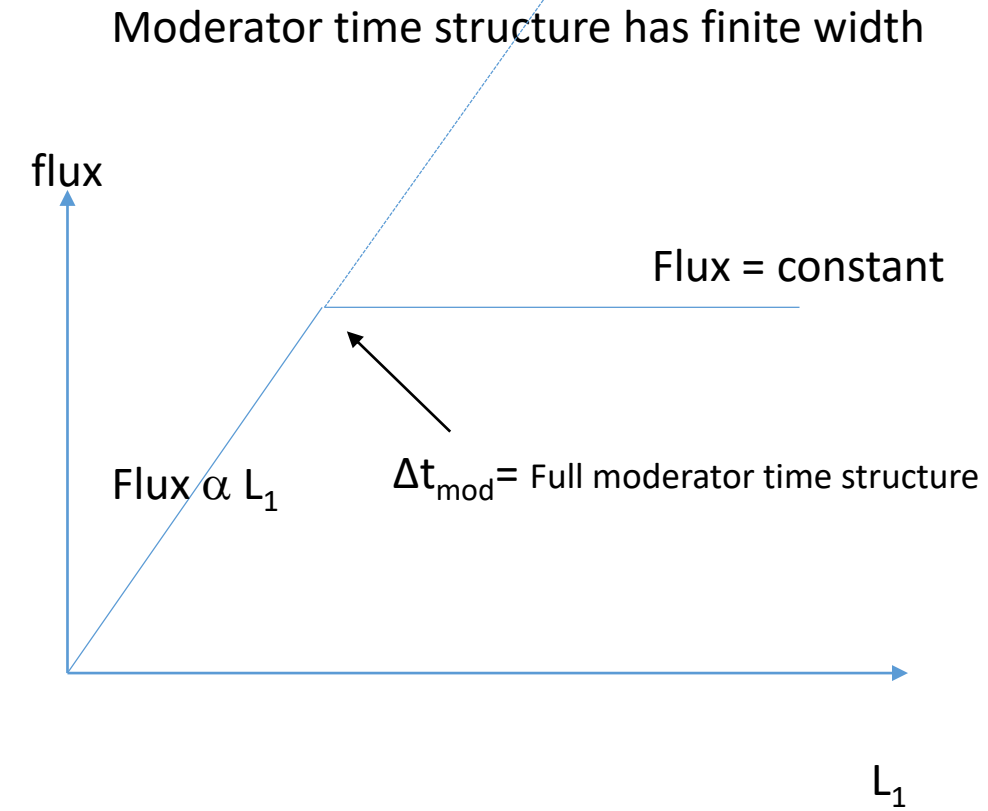
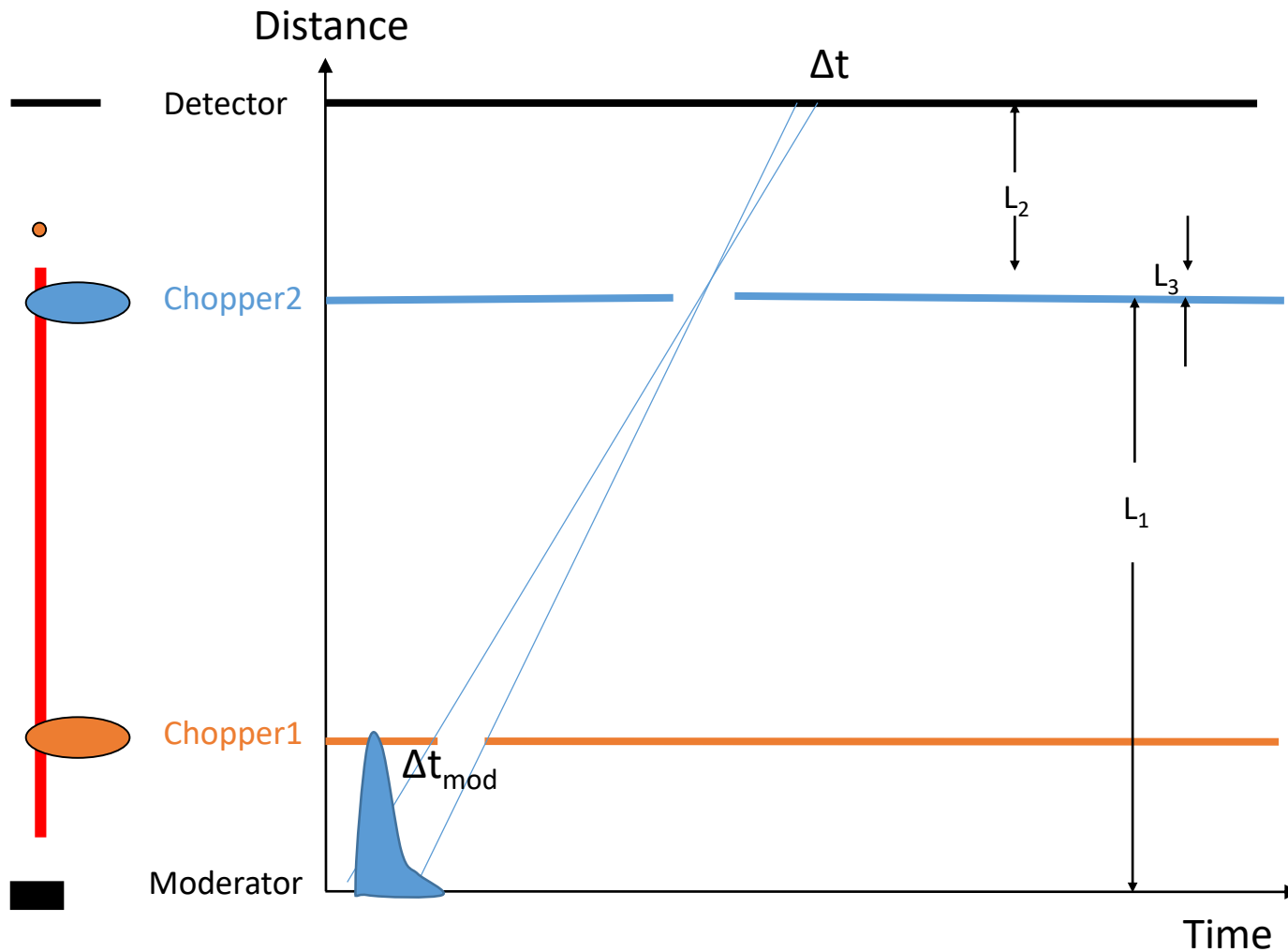


- keep ΔE constant just vary L_1
- So Δt , L_2 , L_3 are kept constant
- flux $\propto \Delta t_{\text{mod}} = L_1 \Delta t / (L_2 + L_3)$
- flux $\propto L_1$

So flux increases with L_1 ???

Optimising Direct TOF spectrometer (LET)

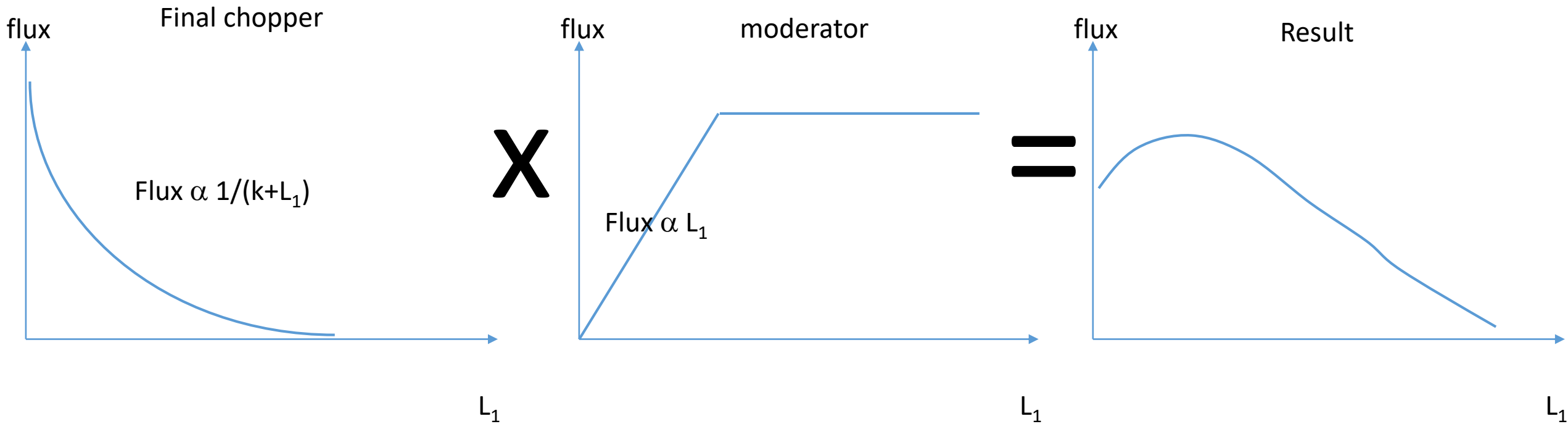
Optimising L_1



Optimising Direct TOF spectrometer (LET)

Optimising L_1

Total flux is chopper term x moderator term

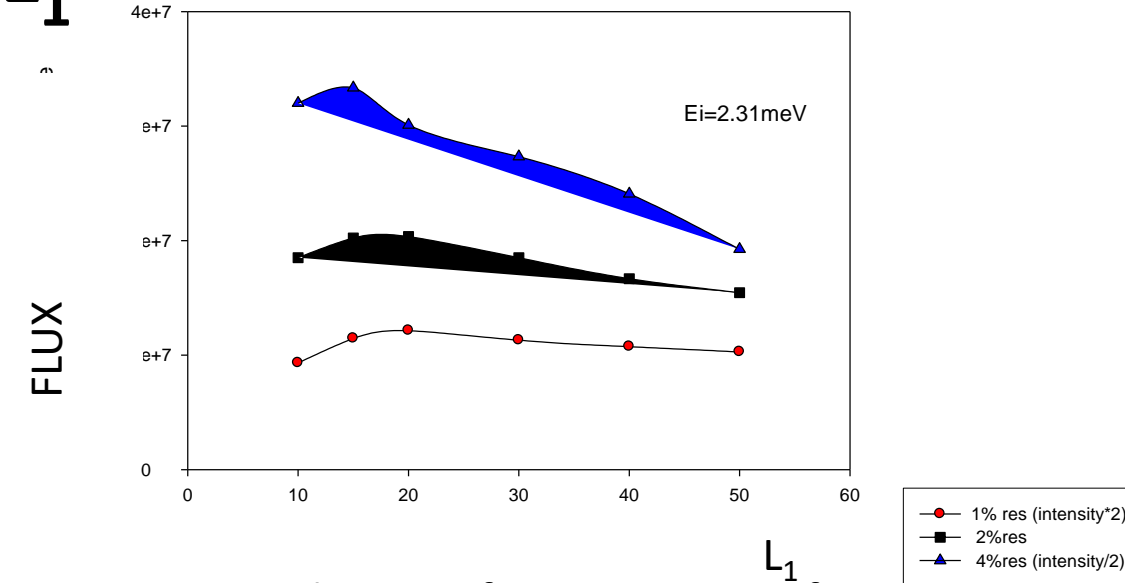
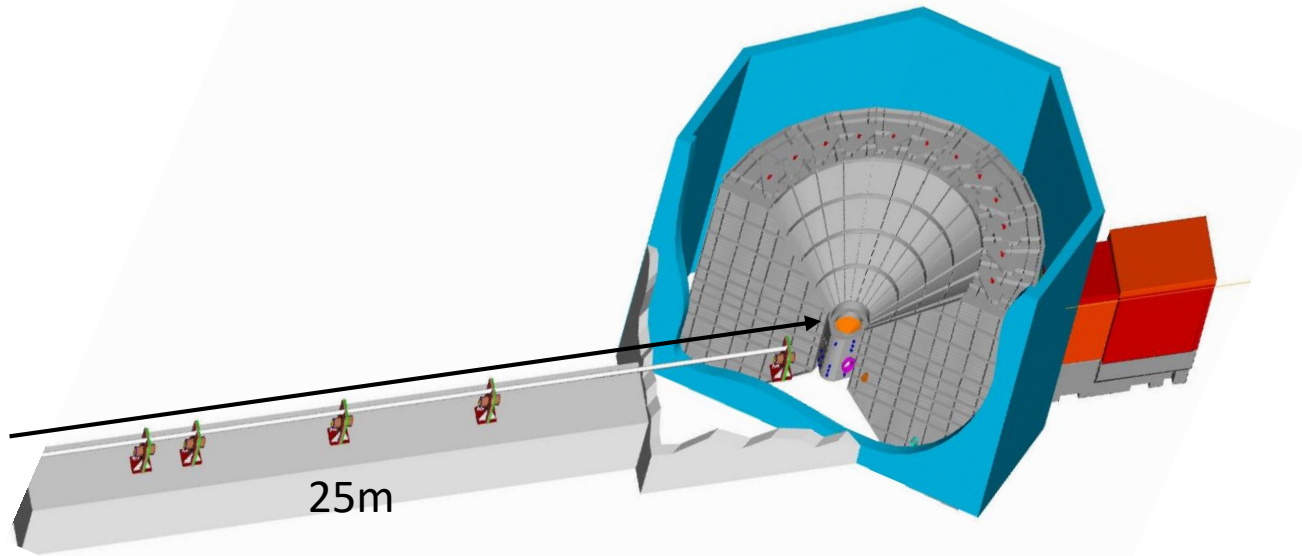


N.B This is nothing to do with guides. It's a consequence of the resolution equations

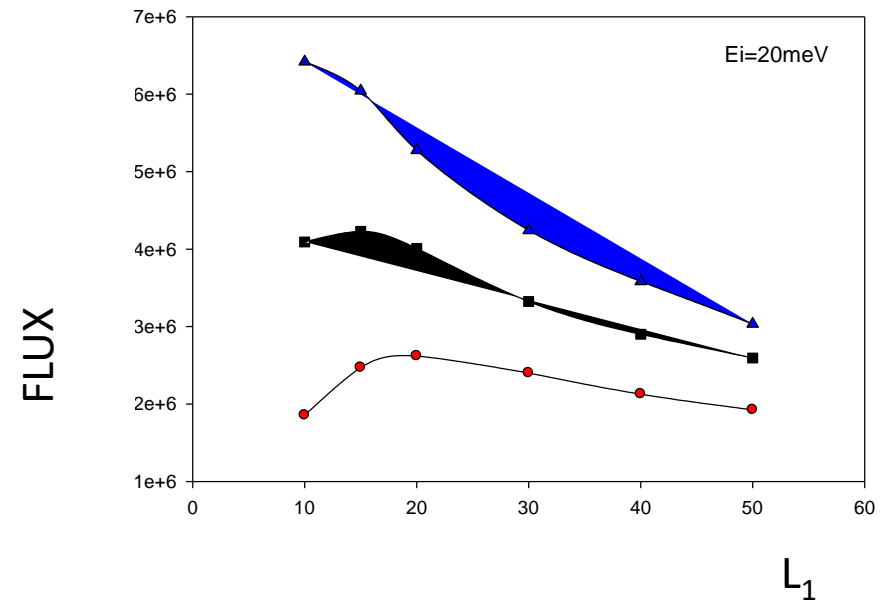
Optimising Direct TOF spectrometer (LET)

Optimising L_1

- Optimal L_1 is slightly less than 20m
- For LET set $L_1=25$ m as need space for detectors

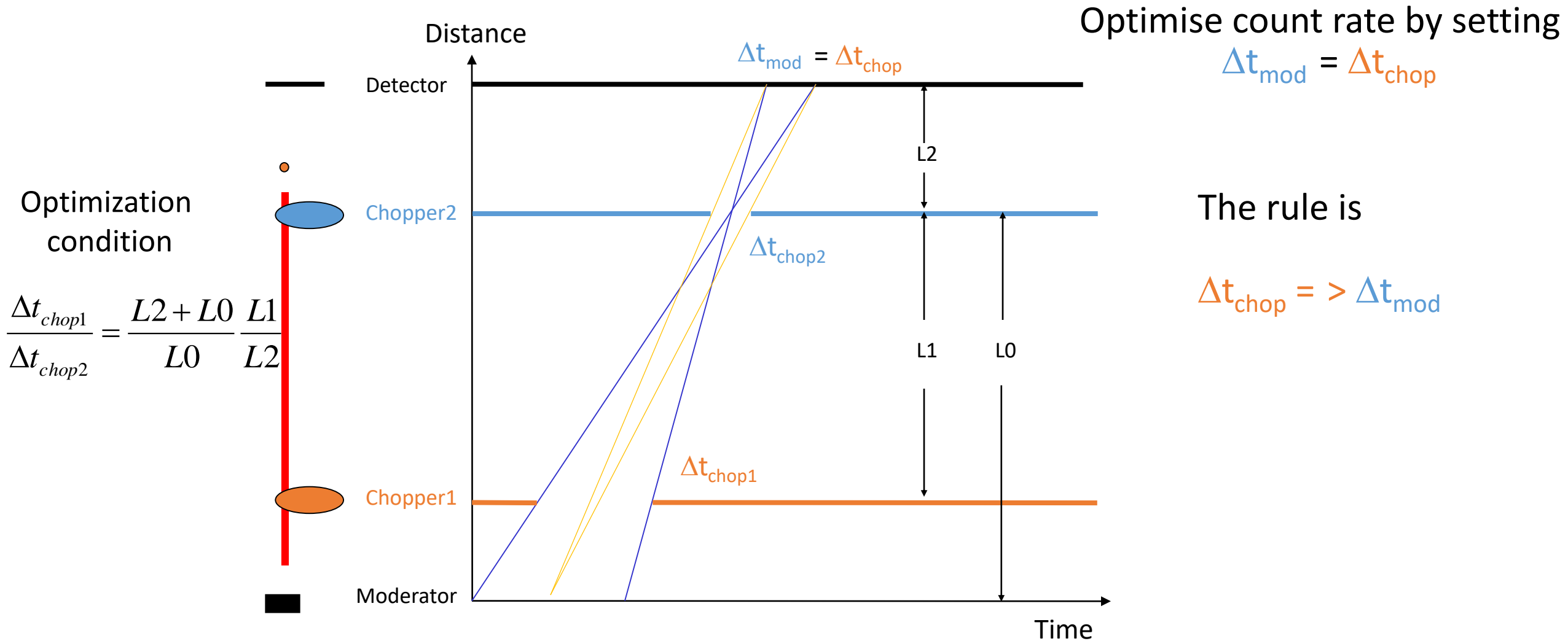


McStas simulations of L_1 optimisation for LET



Optimising Direct TOF spectrometer (LET)

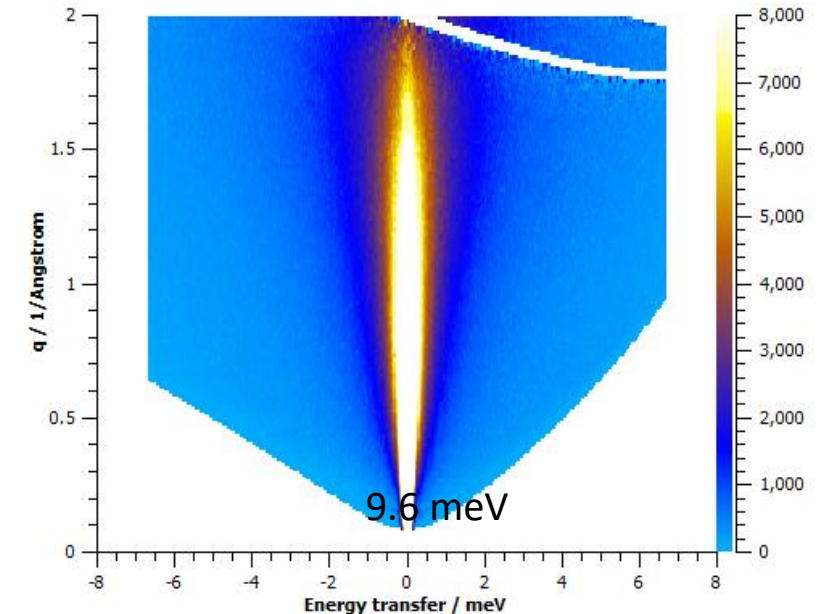
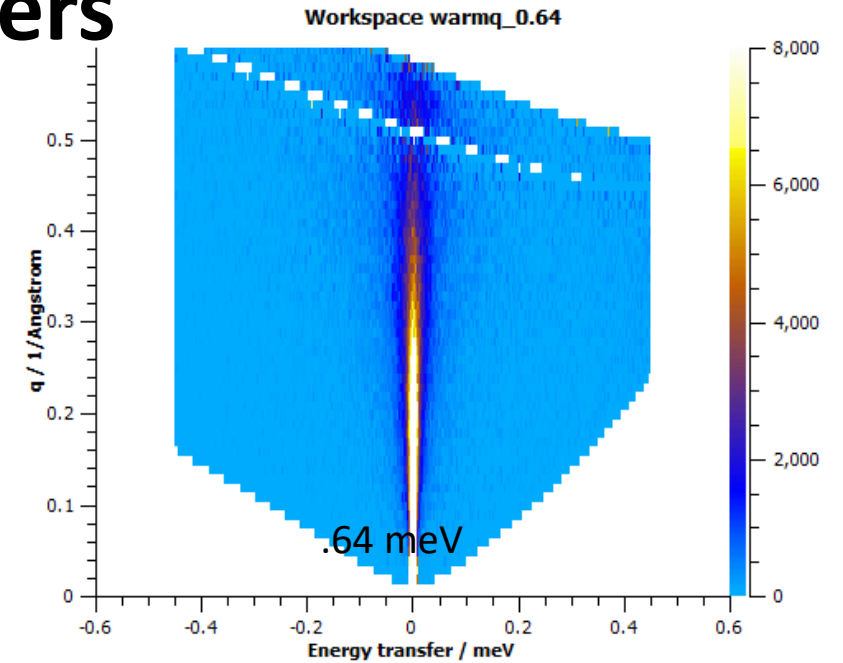
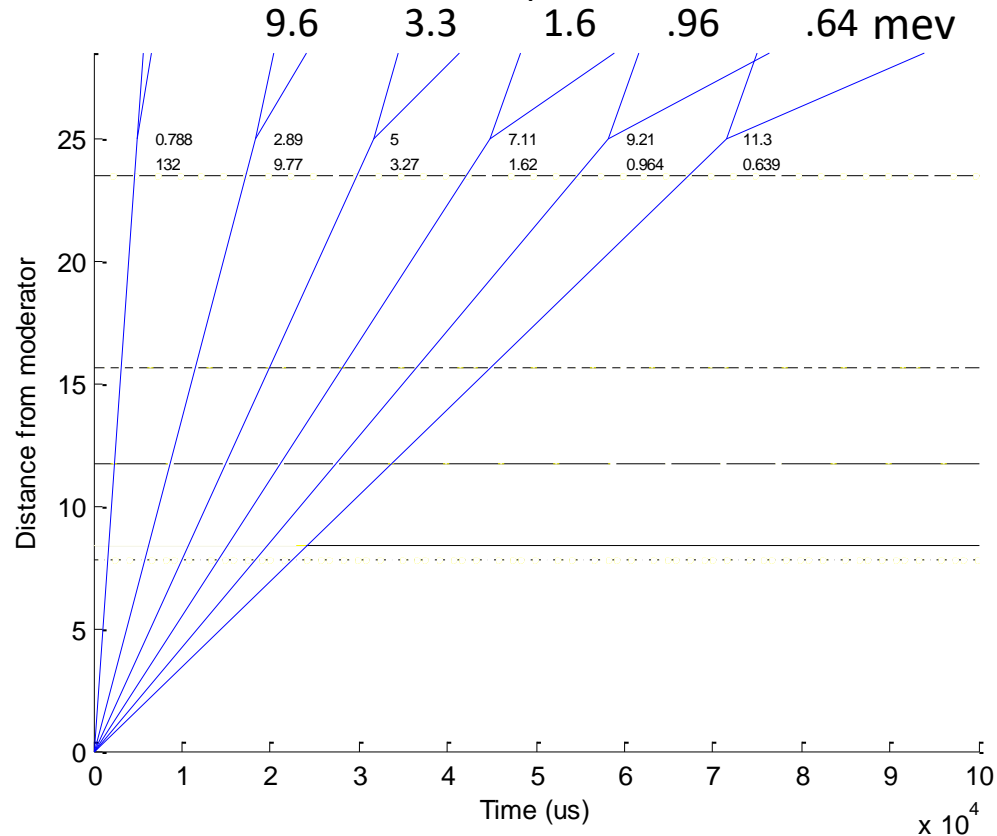
Optimising chopper openings



Optimising Direct TOF spectrometer (LET)

Optimising choppers

- Single measurement use fraction of time frame
- LET has a special chopper arrangement allowing multiple E_i



Optimising Direct TOF spectrometer (LET)

SUMMARY

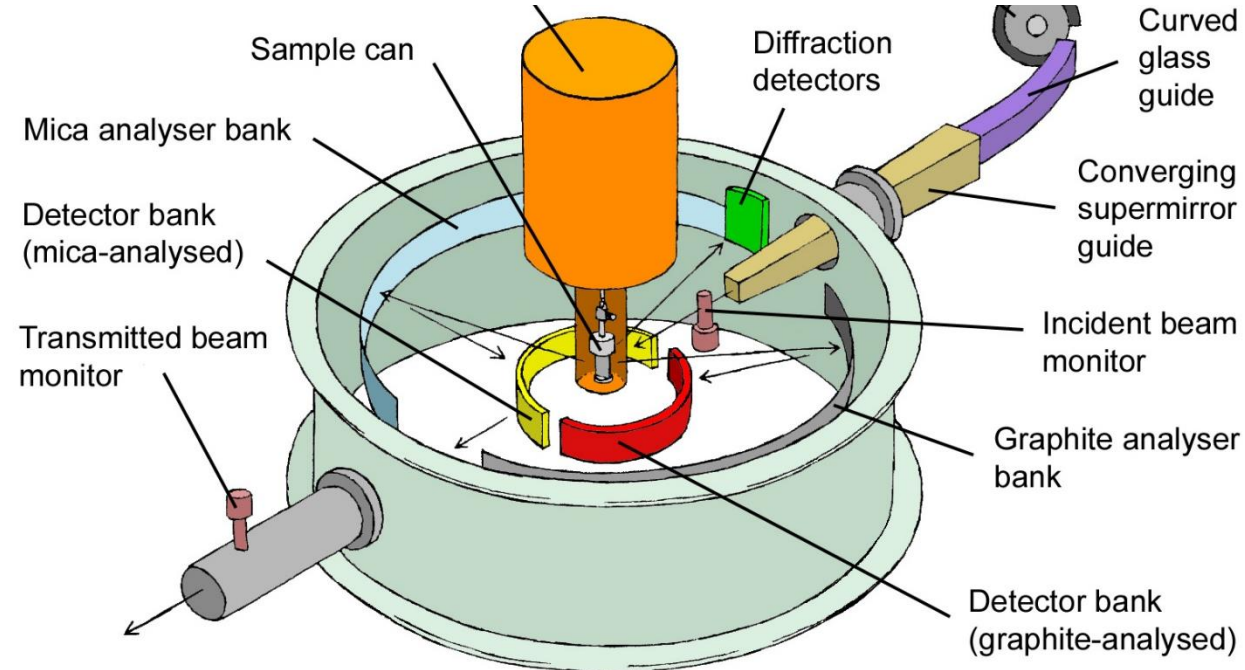
RULES to maximise your signal

- Make L2 as large as possible (better resolution and flux)
- There is an optimal L1
- Match moderator and chopper terms $\Delta E_{\text{mod}} = \Delta E_{\text{chop}}$ when possible
- Include RRM to fill the time frame

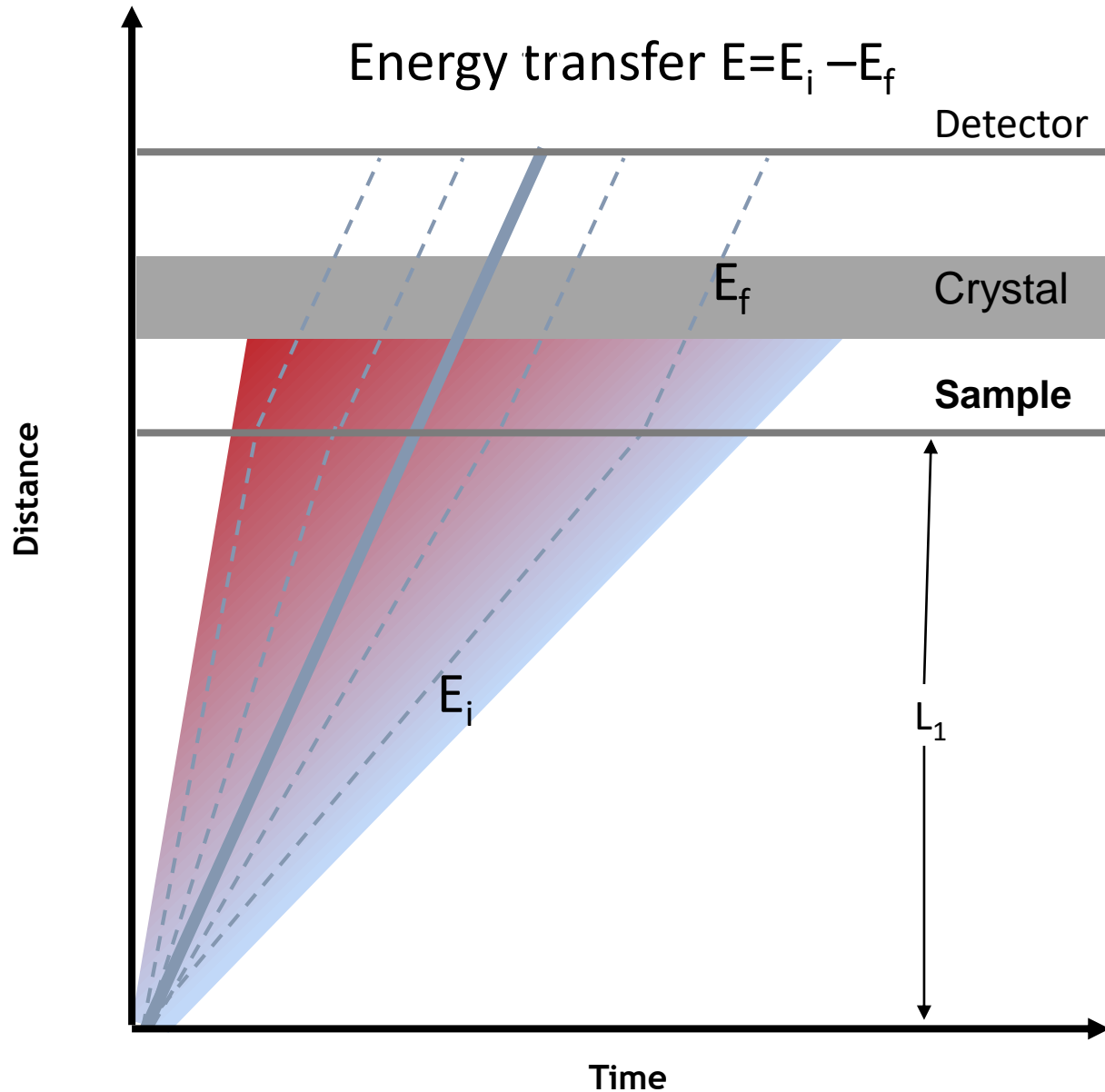
Optimising an In-direct TOF spectrometer (IRIS)

‘The Maths’

$$\Delta E^2 = \left[\left(\frac{2E_i \Delta t_{sam}}{t_i} \right)^2 + \left(2 \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right) \left(\frac{t_f E_i}{t_i} + E_f \right) \right)^2 + \left(\frac{2E_i \Delta t m_{od}}{t_i} \right)^2 \right]$$



Optimising an Indirect TOF spectrometer (IRIS)



$$E = E_i - E_f \quad \text{where } E_f \text{ fixed}$$

$$\frac{\Delta E}{\Delta t} = - \frac{\Delta E_i}{\Delta t}$$

$$\text{Where } E_i = \frac{1}{2} m_n (L_1/t_i)^2$$

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$

ΔE

energy uncertainty

Δt

time uncertainty at detector

E_i

energy of neutron on sample

t_i

flight time moderator to sample

Comparison In-direct v direct

In-direct

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$

Direct

$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

$t_i \gg t_f$ so ΔE smaller on In-direct for same Δt (In-direct for high resolution)

OR

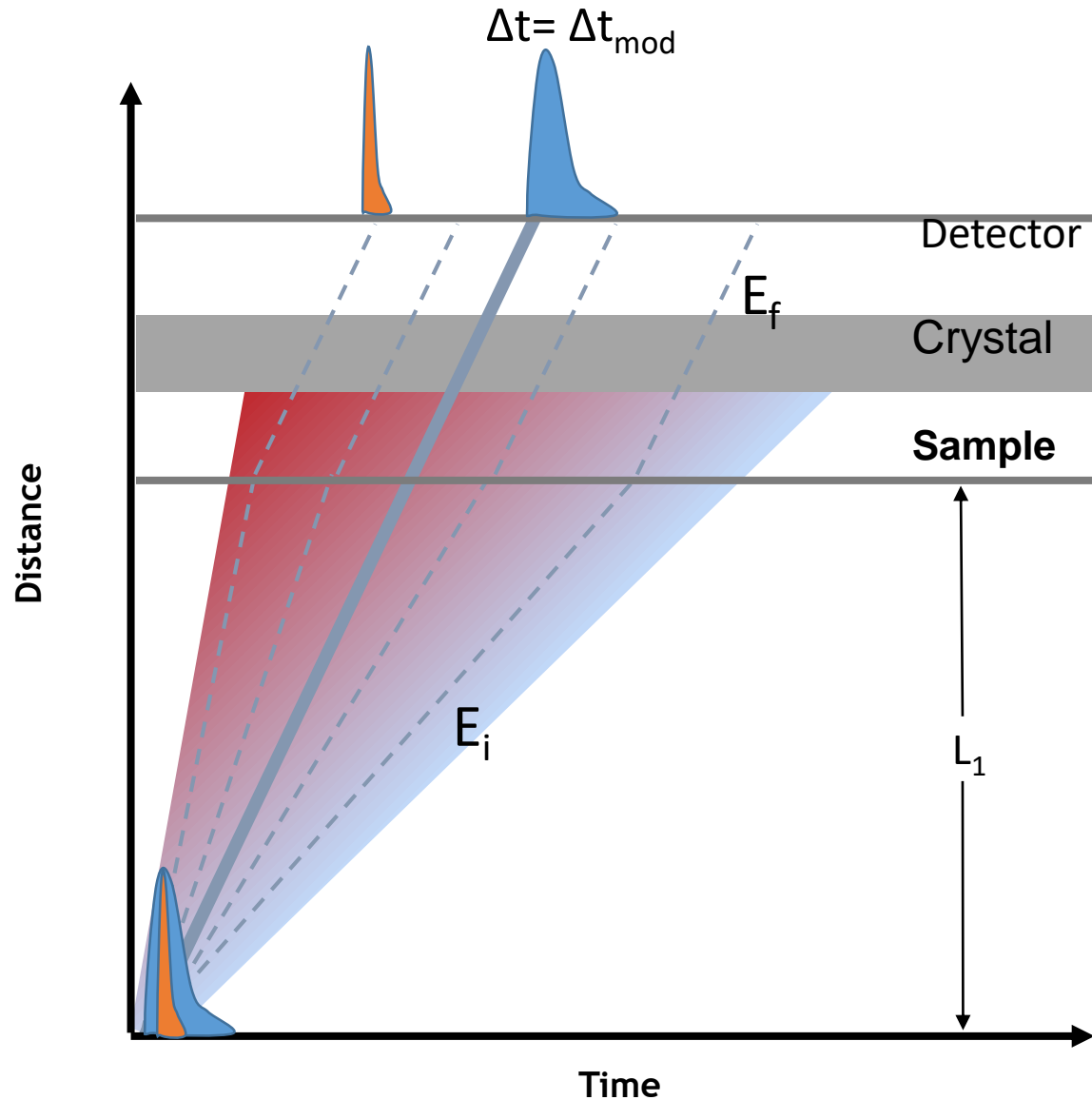
For same ΔE can accept larger Δt and hence more flux (In-direct for higher count rate)

Optimising an Indirect TOF spectrometer (IRIS)

Sources of Δt

1) Moderator

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$



- Δt_{mod} doesn't alter from moderator to detector

$$\Delta E = \frac{2E_i \Delta t m_{od}}{t_i}$$

RESOLUTION

- Increasing L_1 reduces contribution of Δt_{mod} on ΔE

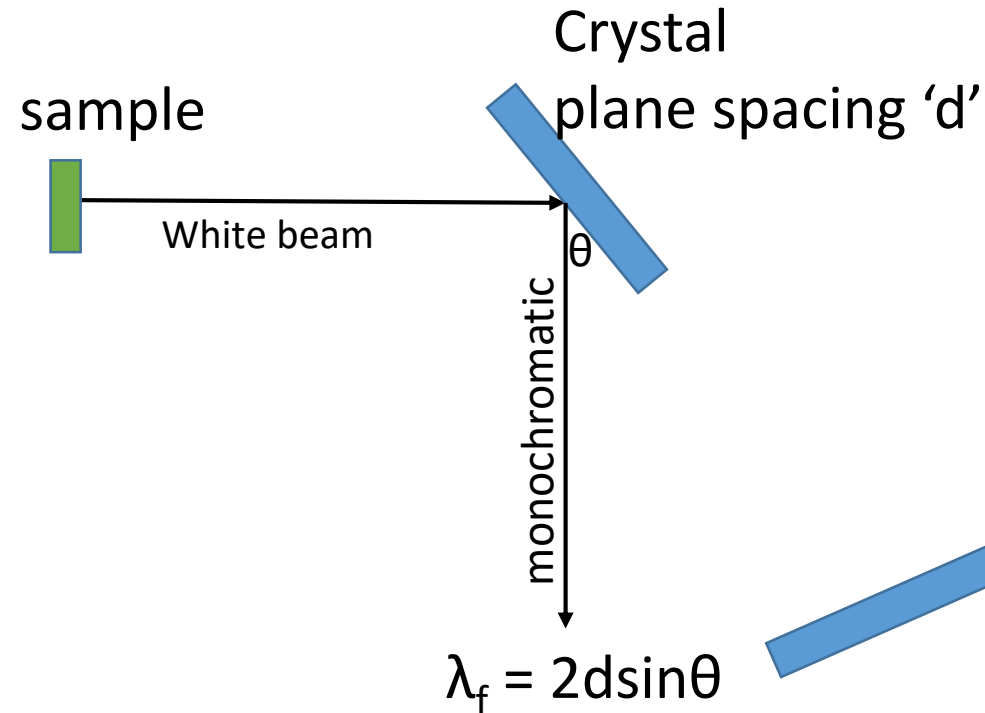
FLUX

- Flux $\propto \Delta t_{mod}$ but is independent of L_1 or L_2

Optimising an Indirect TOF spectrometer (IRIS)

Sources of Δt

2) Analyser Crystal



Differentiating Bragg law gives

$$\frac{\Delta \lambda_f}{\lambda_f} = \frac{\Delta d}{d} + \cot \theta \Delta \theta$$

$\Delta \lambda$ is wavelength spread

Δd is spread in d spacing

θ is Bragg angle

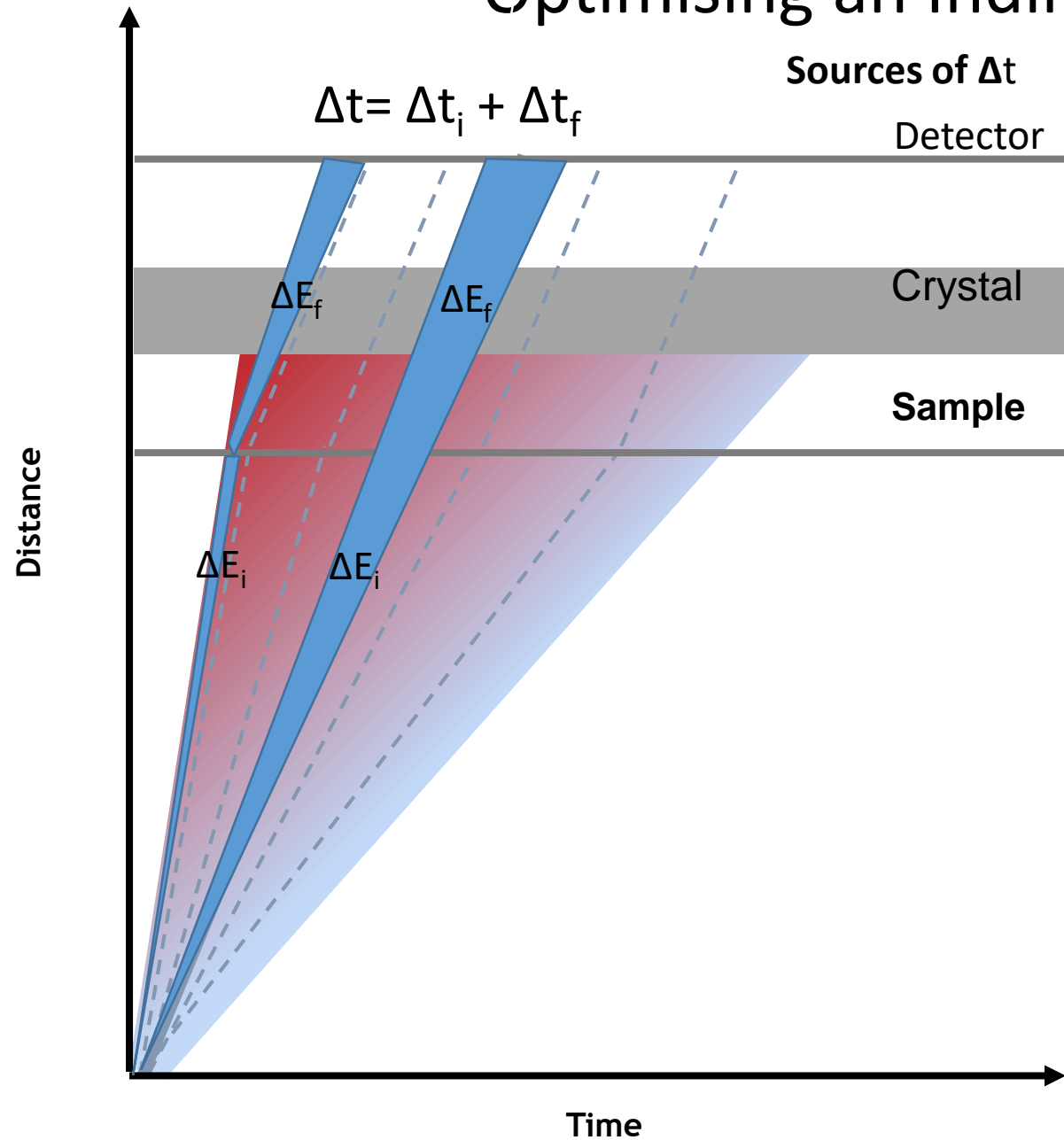
$\Delta \theta$ Bragg angle spread (mosaic + sample size)

$$\frac{\Delta E}{E} = \frac{2 \Delta \lambda}{\lambda}$$

thus
$$\Delta E_f = 2 E_f \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right)$$

ΔE_f is the energy spread of neutrons coming off the analyser

Optimising an Indirect TOF spectrometer (IRIS)



2) Analyser Crystal

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$

- 1) $\Delta E_f = 2E_f \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right)$ is a constant
- 2) Or $\Delta t_f = t_f \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right)$
- 3) BUT $\Delta E_i = \Delta E_f$ for any constant energy transfer
- 4) gives $\Delta t_i = t_i \frac{E_f}{E_i} \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right)$ from 1) and 3)
- 5) $\Delta t = \Delta t_i + \Delta t_f$
- Thus $\Delta t = \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right) \left(t_i \frac{E_f}{E_i} + t_f \right)$ from 2) and 4)
- Or $\Delta E = 2 \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right) \left(\frac{t_f E_i}{t_i} + E_f \right)$

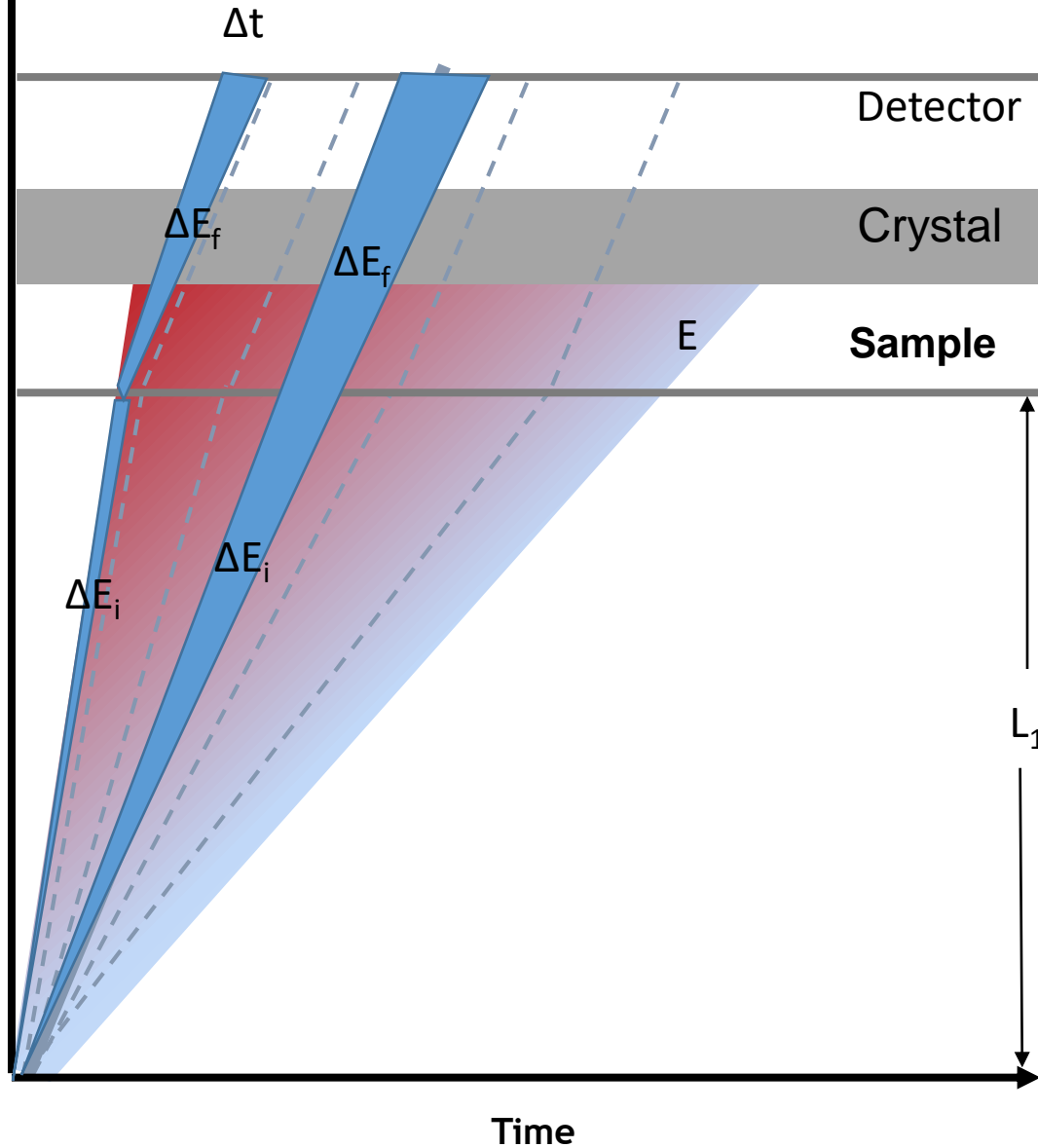
Optimising an Indirect TOF spectrometer (IRIS)

Sources of Δt

2) Analyser Crystal

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$

Distance



RESOLUTION

- $\Delta E = 2 \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right) \left(\frac{t_f E_i}{t_i} + E_f \right)$
- As $\theta \rightarrow 90$ $\cot \theta \Delta \theta \rightarrow 0$ minimise ΔE

FLUX

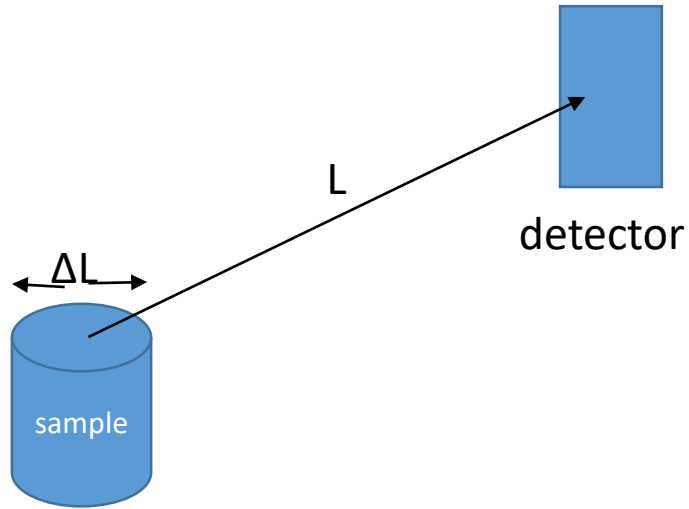
- $\text{Flux} \propto \Delta E_f = 2E_f \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right)$
- Increase flux with decreasing θ but independent of L_1 and L_2

Optimising an Indirect TOF spectrometer (IRIS)

Sources of Δt

3) Sample/detector size

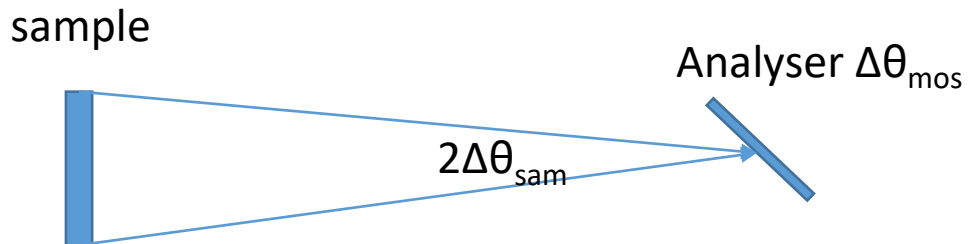
$$\Delta E = \frac{2E_i \Delta t}{t_i}$$



$$\Delta E = \frac{2E_i \Delta t s_{am}}{t_i}$$

Where $\frac{\Delta t_{sam}}{t_f} = \frac{\Delta L}{L}$

More important is the angular uncertainty from sample size $\Delta\theta_{sam}$



The total uncertainty $\Delta\theta^2 = \Delta\theta_{sam}^2 + \Delta\theta_{mos}^2$

Optimising an Indirect TOF spectrometer (IRIS)

Assuming no correlations all the terms convolute together. A good approximation is to add in quadrature

$$\Delta E^2 = \Delta E_{\text{sam}}^2 + \Delta E_{\text{ana}}^2 + \Delta E_{\text{mod}}^2$$

sample

analyser

moderator

$$\Delta E^2 = \left[\left(\frac{2E_i \Delta t_{\text{sam}}}{t_i} \right)^2 + \left(2 \left(\frac{\Delta d}{d} + \cot \theta \Delta \theta \right) \left(\frac{t_f E_i}{t_i} + E_f \right) \right)^2 + \left(\frac{2E_i \Delta t_{\text{mod}}}{t_i} \right)^2 \right]$$

Optimising an Indirect TOF spectrometer (IRIS)

Summary

- Flux from moderator or analyser does not depend on L1 or L2
- Only thing changing flux is energy spread accepted by analyser $\Delta E = E \cot \theta \Delta \theta$
- Flux increases as Bragg angle θ reduces but resolution worsens
- ΔE_{mod} reduces with L1 so make L1 large for high resolution (reduces bandwidth)

$$\Delta E_{\text{mod}} = \frac{2E_i \Delta t m_0 c}{t_i}$$

- For a high resolution instrument like IRIS to maximise flux want $\Delta E_{\text{ana}} = \Delta E_{\text{mod}}$
- Matching resolution terms just like we did on LET

Optimising an Indirect TOF spectrometer (IRIS)

IRIS resolution

IRIS parameters

$E_f = 1.84 \text{ meV}$ $\Delta t_{\text{mod}} = 120 \text{ } \mu\text{s}$
 $L = 36.41 \text{ m}$ $t_i = 61371 \text{ } \mu\text{s}$ $\Delta d/d = 6 \times 10^{-4}$
 $2\theta = 175^\circ$ $\Delta\theta = 1.3^\circ$

$$\Delta E_{\text{mod}} = \frac{2E_i \Delta t_{\text{mod}}}{t_i}$$

$$\Delta E_{\text{mod}} = 7.2 \text{ } \mu\text{eV}$$

$$\Delta E_{\text{ana}} = 2 \left(\frac{\Delta d}{d} + \cot\theta \Delta\theta \right) \left(\frac{t_f E_i}{t_i} + E_f \right)$$

$$\Delta E_{\text{ana}} = 3.6 \text{ } \mu\text{eV}$$

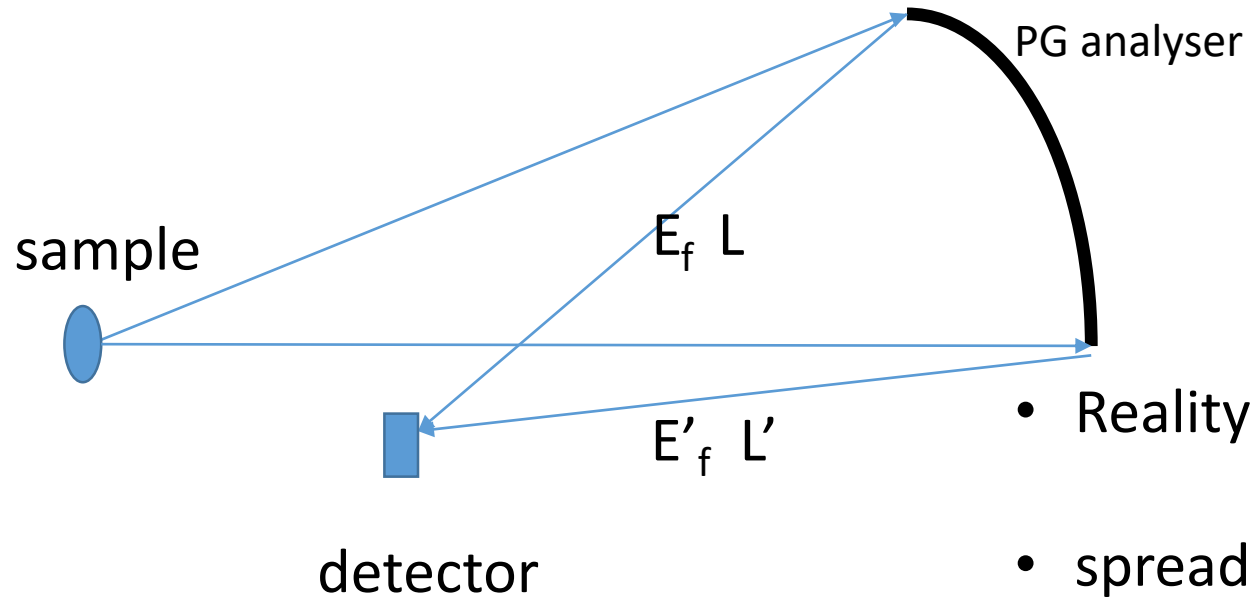
$$\Delta E = \sqrt{\Delta E_{\text{mod}}^2 + \Delta E_{\text{ana}}^2} = 8 \text{ } \mu\text{eV}$$

IRIS resolution = $17 \text{ } \mu\text{eV}$ why the large difference?

Optimising an Indirect TOF spectrometer (IRIS)

IRIS resolution

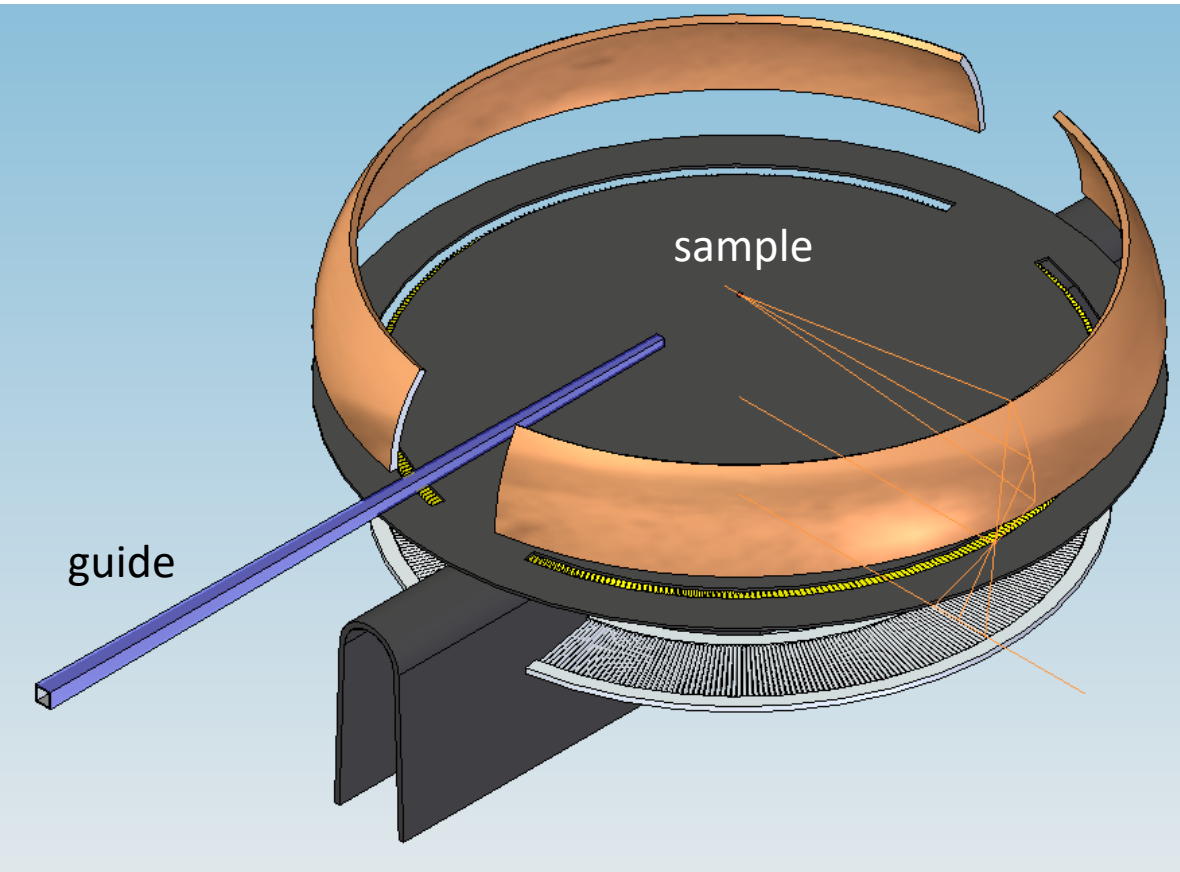
- Real resolution worse than calculated due to analyser size



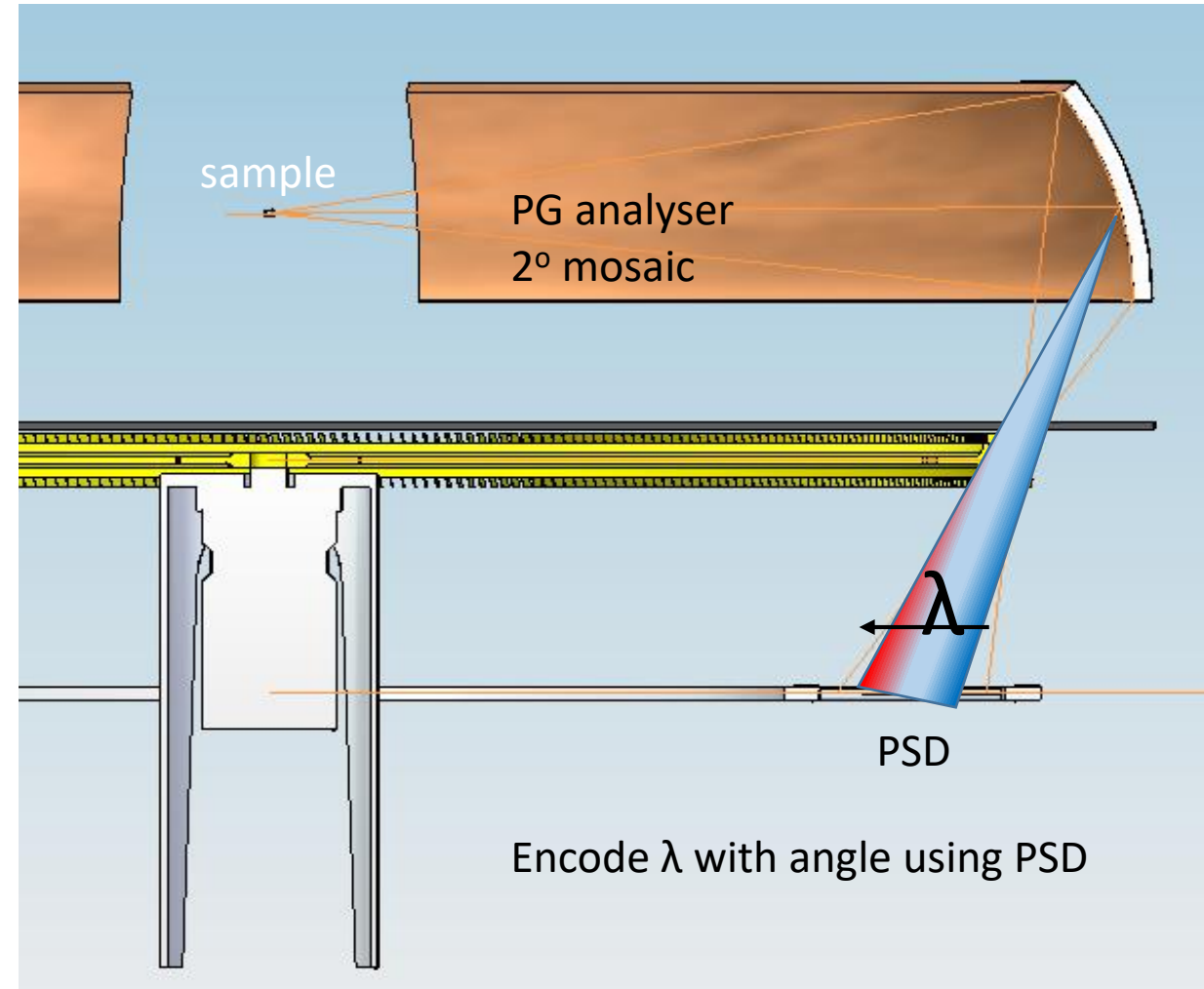
- Reality of a large analyser focussing to a detector
- spread of ΔE_f and ΔL degrade resolution

Nearly all the pain in designing in-direct is how to reduce spread of final energies E_f and path lengths L

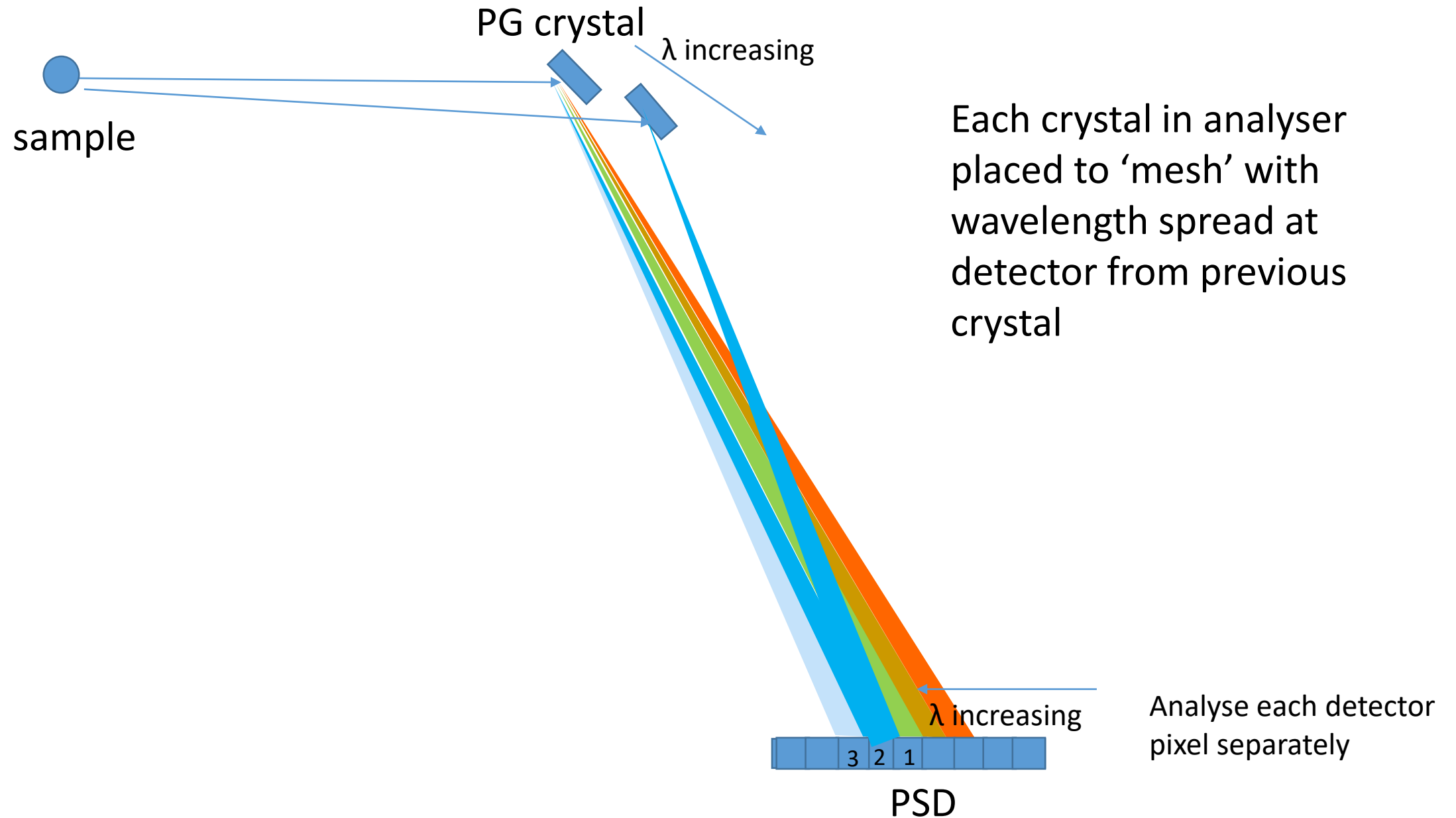
MUSHROOM



Use prismatic effect of PG crystals

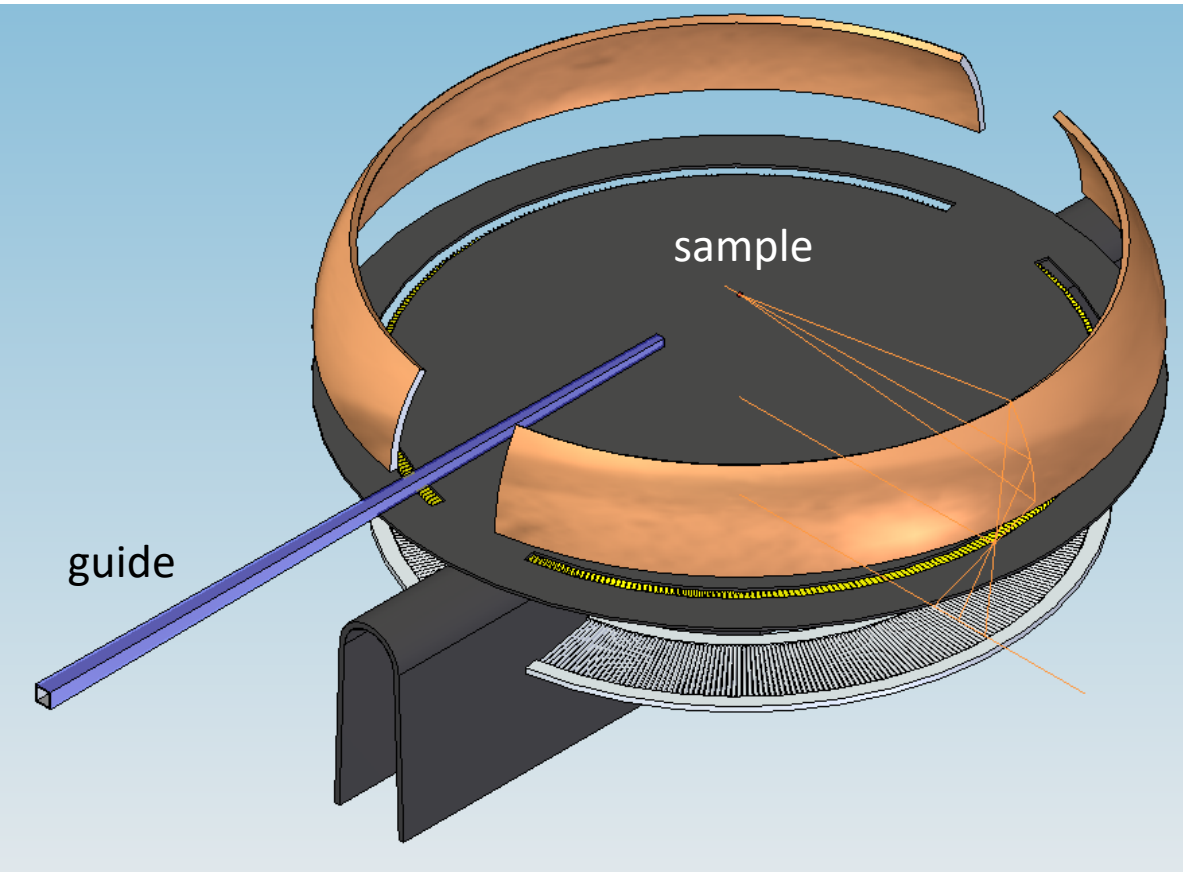


MUSHROOM

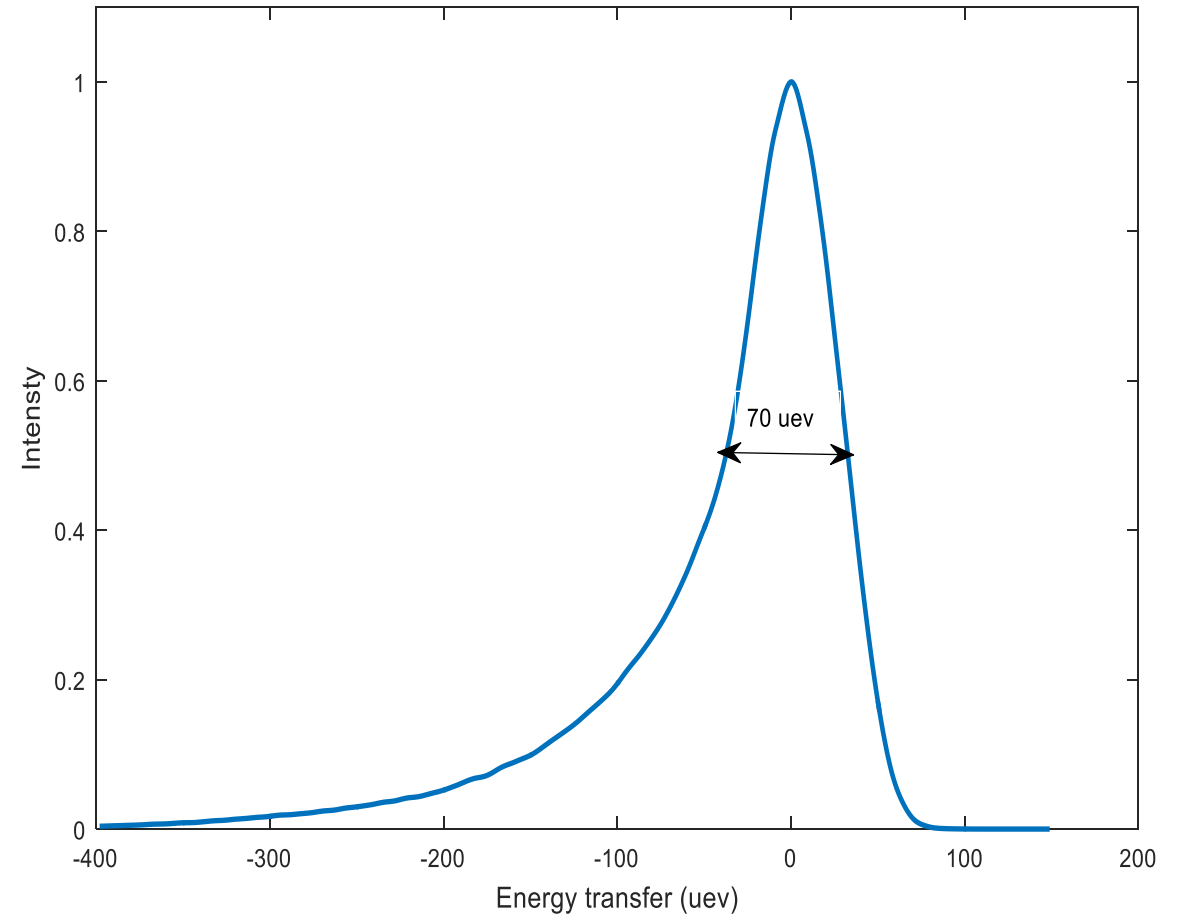


MUSHROOM

Mushroom has 70 μeV resolution (elastic)
Would be 260 μeV without λ encoding



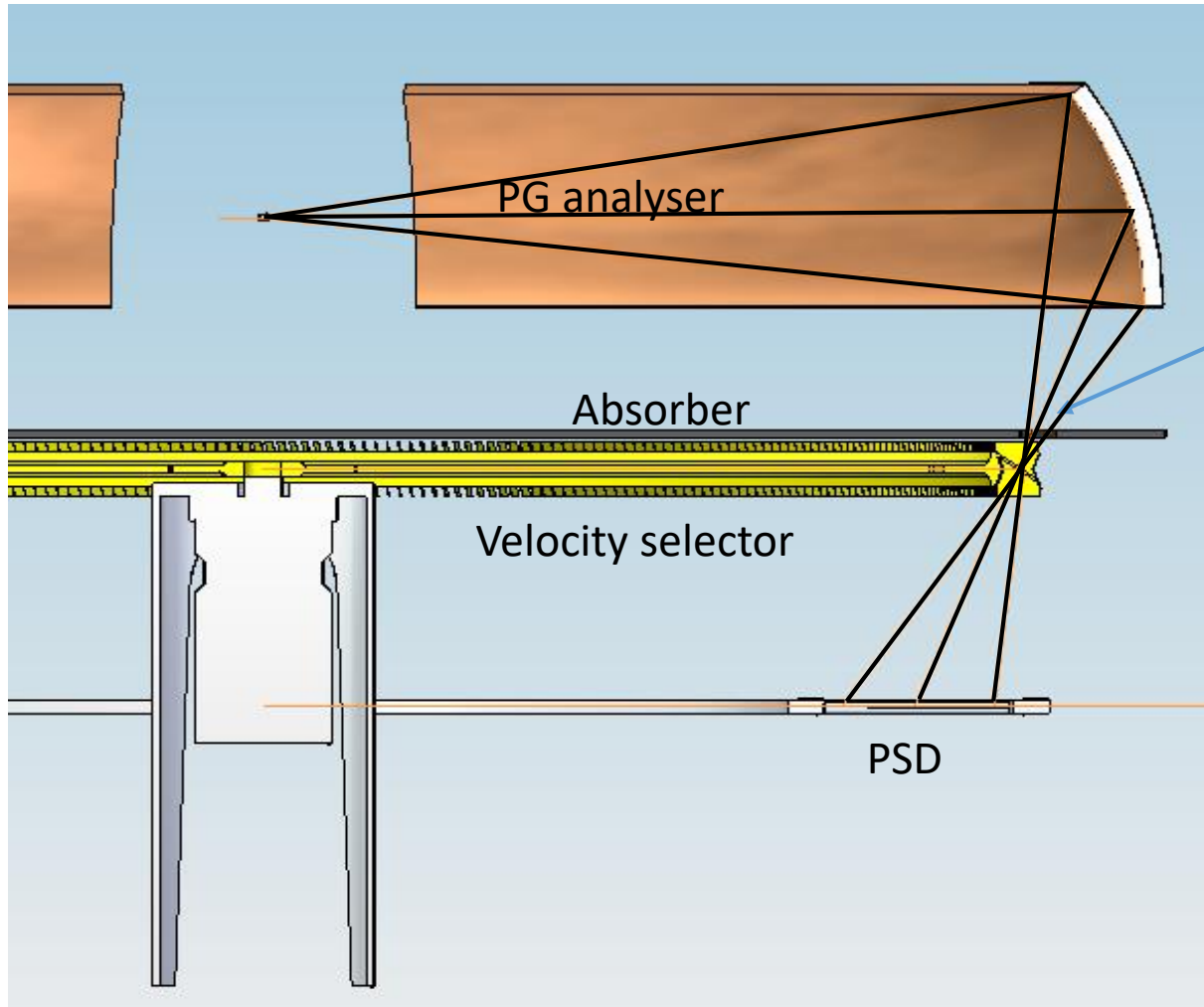
McStas simulations



The large $\Delta\lambda$ range accepted by analyser gives 50 x flux of LET at same resolution

MUSHROOM

background minimisation



Neutron all focus through a point at velocity selector

Point on detector can only see point on analyser to minimised background from thermal scattering from PG crystals

Mushroom designed to maximise signal/background

Optimising a TOF spectrometer

SUMMARY

- Don't start with McStas
- Know your key drivers
- FOM is signal/background
- Demonstrated how crucial it is to understand the 'Maths' of the instrument
 - how it relates to resolution
 - how it relates to count rate
- Without this information you WILL NOT build an optimal instrument

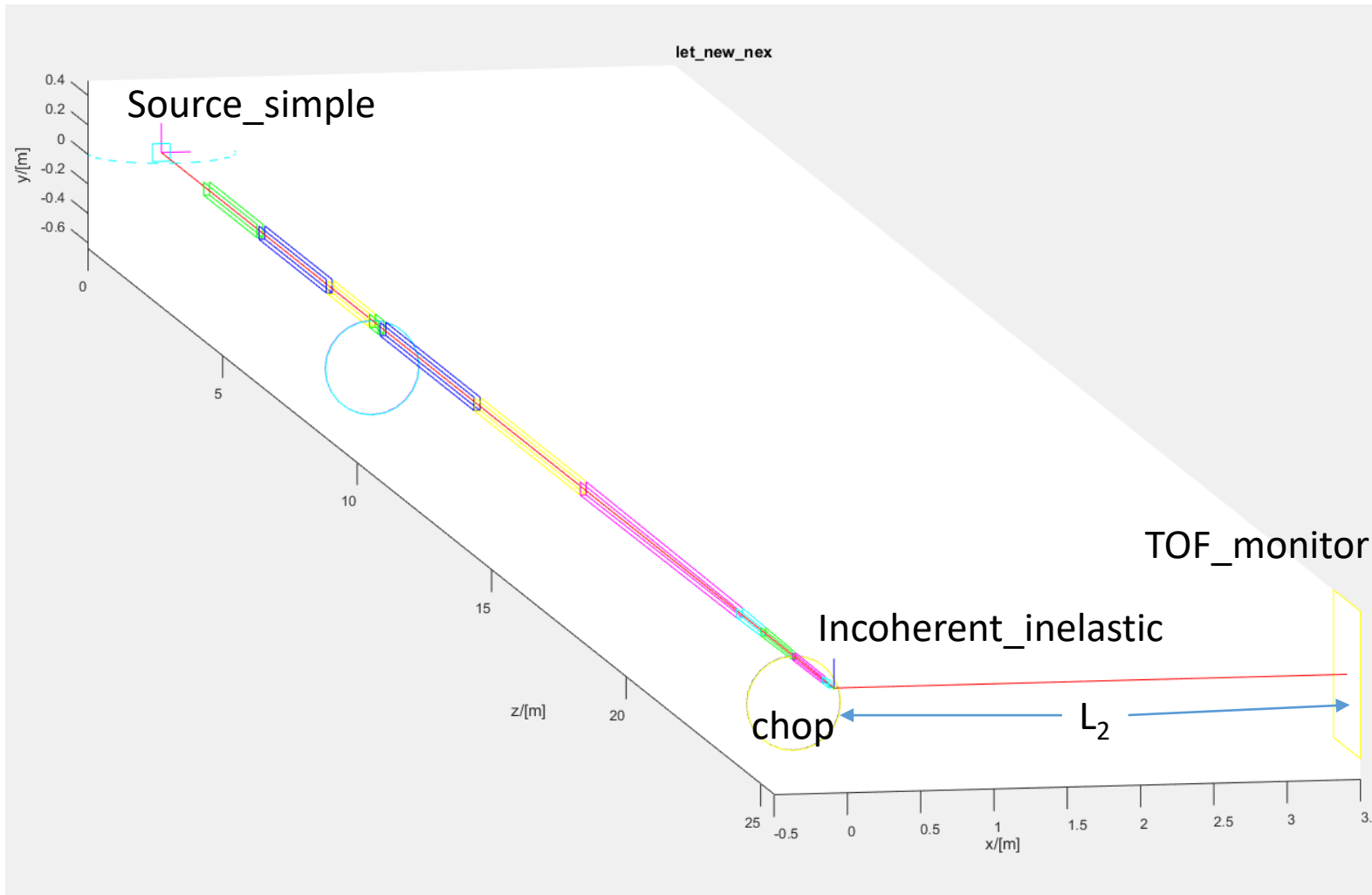
Have not even mentioned guides yet

.....but we will leave that for another day



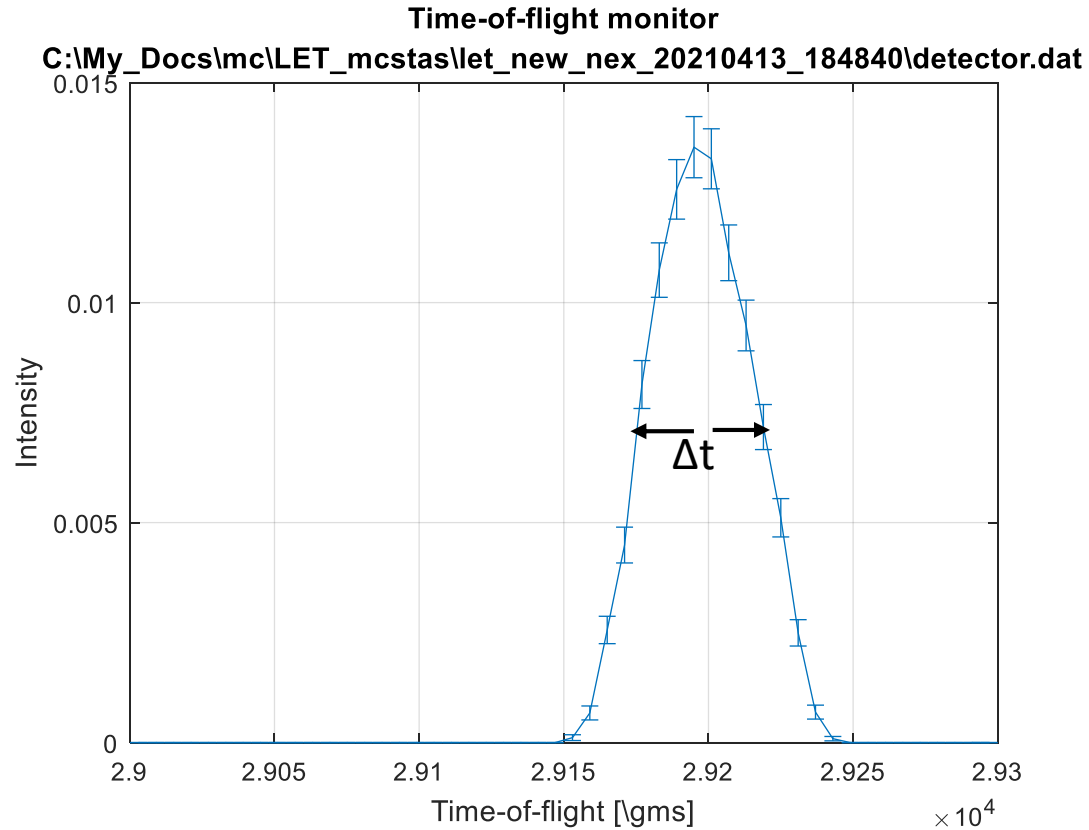
Things to try

Direct geometry



- Keep E_i and chop opening the same
- Scatter to same solid angle
- Vary L_2
- Determine resolution and count rate v L_2

COMPONENT sample=Incoherent_inelastic(
radius=0.005,yheight=0.01,focus_ah=5,
focus_ah=5,target_z=0.0,target_y=0.0,
target_x=1.0)
AT (0, 0, 24.999) RELATIVE Origin



remember

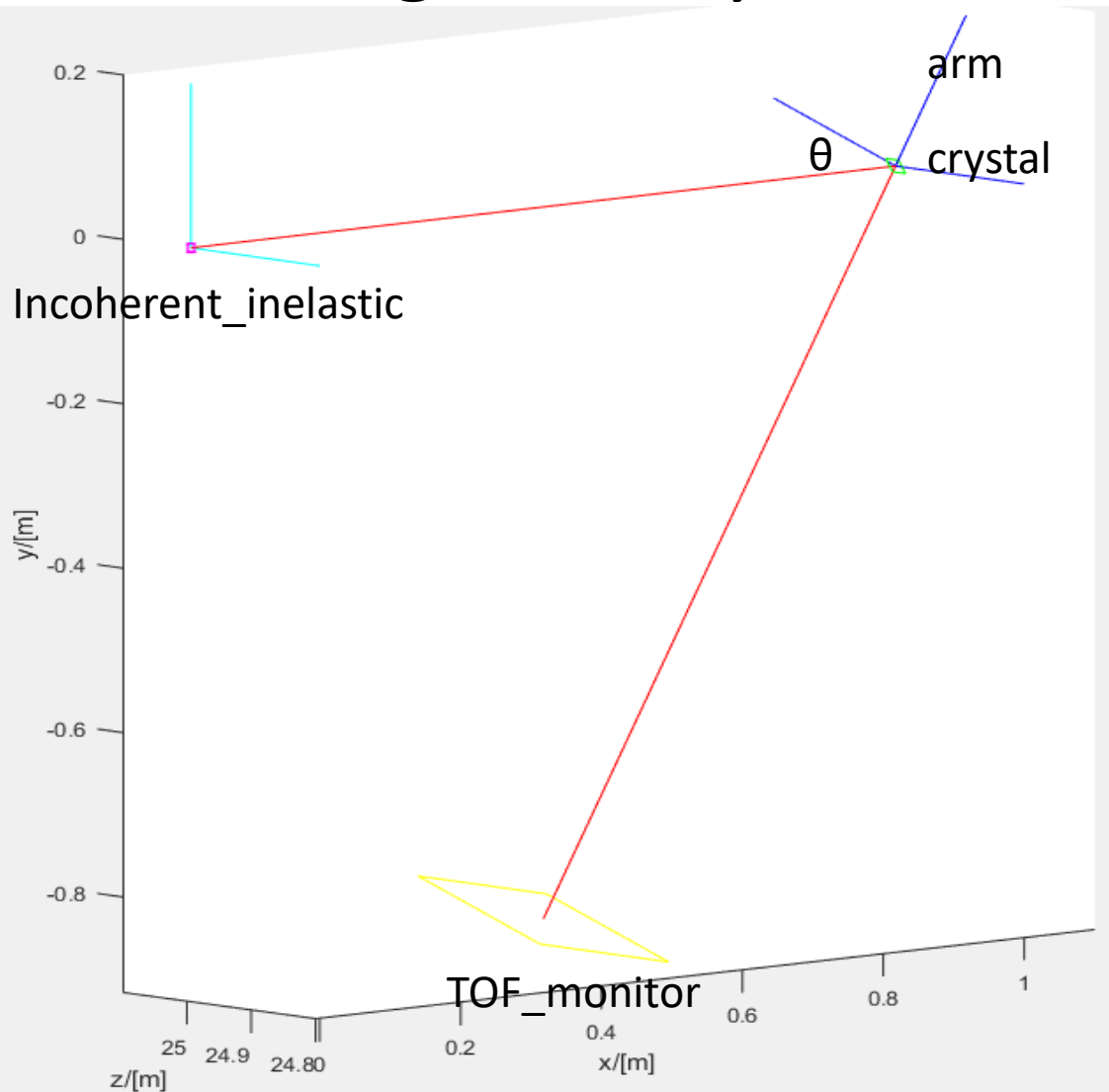
$$\Delta E = \frac{2E_f \Delta t}{t_f}$$

- Elastic so $E_f = E_i$
- know L_2 so calculate t_f
- Get Δt from monitor (it's the FWHM)
- NOW calculate resolution ΔE

- Should be able to predict Δt from geometry and chopper opening
- How does resolution and flux vary with L_2 should be able to predict
- If time. Vary L_2 but keep ΔE constant by varying chopper opening. Plot flux versus L_2

Things to try

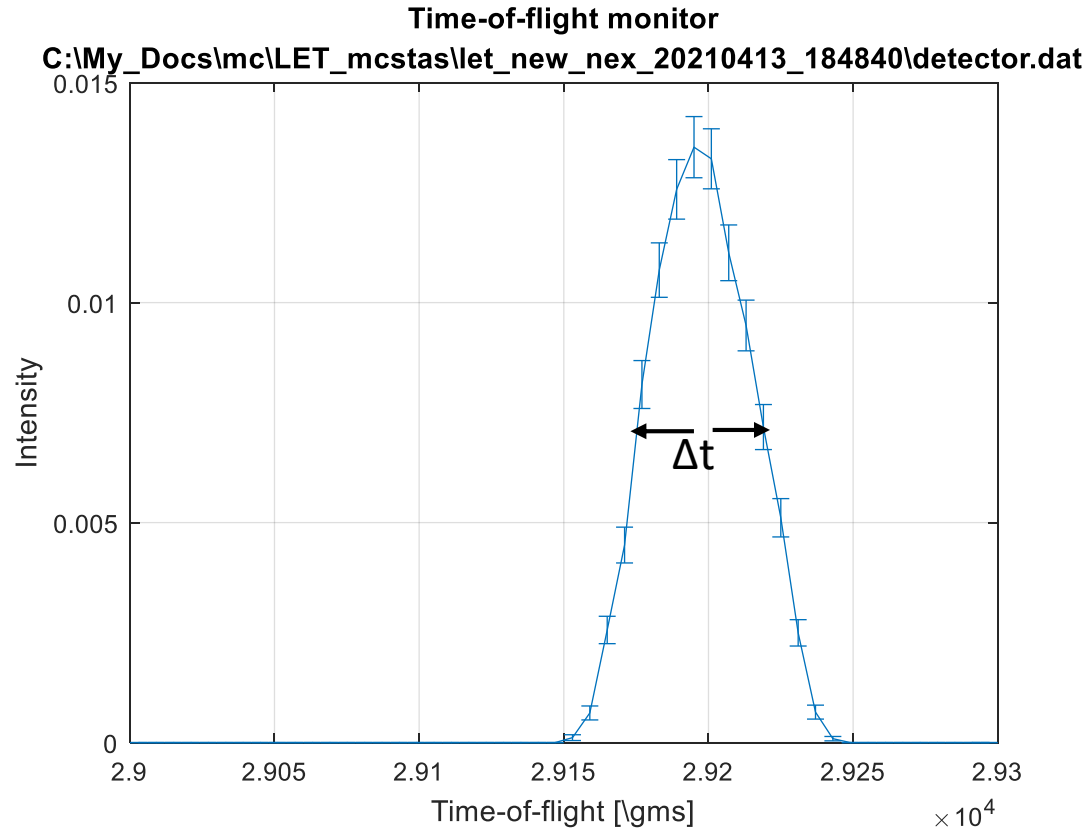
In-direct geometry



- Scatter from incoherent sample to analyser (remember to focus)
- Vary θ
- Determine resolution and count rate v θ

Trick is to use arm component to rotate crystal and TOF_monitor

COMPONENT analyser=Monochromator_flat(zwidth=0.02, yheight=0.02, mosaich=120.0, mosaicv=120.0, Q=1.8734)
AT (0,0,1) RELATIVE detectorarm
ROTATED (-rot, 90,0) RELATIVE detectorarm



remember

$$\Delta E = \frac{2E_i \Delta t}{t_i}$$

- Elastic so $E_f = E_i$
 - know L_1 so calculate t_i
 - Get Δt from monitor (it's the FWHM)
 - NOW calculate resolution ΔE
-
- Should be able to predict Δt from geometry and crystal angle
 - How does resolution and flux vary with θ should be able to predict


```
DEFINE INSTRUMENT LET_Mantid(E0=5, dE=0.1, Res5=240,slit=0.028,L2=3.5)
```

```
DECLARE
```

```
%{  
double v_foc;  
double lam_min,lam_max,den;
```

```
%}
```

```
// #include <math.h>
```

```
INITIALIZE
```

```
%{  
v_foc = SE2V*sqrt(E0);  
%}
```

```
TRACE
```

```
COMPONENT Origin = Arm()  
  AT (0,0,0) ABSOLUTE
```

```
COMPONENT Source = Source_simple(radius=0.05, dist=1.7, focus_xw=.04, focus_yh=.09, E0=E0, dE=dE)  
  AT (0, 0, 0) RELATIVE Origin
```

```
COMPONENT guide1 = Guide_channeled(  
  w1 = 0.04, h1 = 0.09, w2 = 0.02, h2 = 0.04868, l = 22.68, alphax = 4.38, alphay = 4.38 ,  
  W=3e-3, mx = 4, my = 4)  
  AT (0, 0, 1.7) RELATIVE Origin
```

My solution Direct

```

COMPONENT funnel = Guide_channeled(
  w1 = 0.031, h1 = 0.05711, w2 = 0.02, h2 = 0.04868, l = 1.117, alphas = 4.38, alphas = 4.38 ,
  W=3e-3, mx = 4, my = 4)
AT (0, 0, 22.373) RELATIVE Origin

COMPONENT Res5 = Chopper(
  R = 0.320, f = 2*PI*Res5, n=2, w=slit, pha=23.496/v_foc)
AT (0, 0, 23.496) RELATIVE Origin

COMPONENT Res5_counter = Chopper(
  R = 0.320, f = -2*PI*Res5, n=2, w=slit, pha=-23.504/v_foc)
AT (0, 0, 23.504) RELATIVE Origin

COMPONENT endguide = Guide_channeled(
  w1 = 0.02, h1 = 0.0484 , w2 = 0.020, h2 = 0.04, l = 1.1, alphas = 4.38, alphas = 4.38 ,
  W=3e-3, mx = 4, my = 4)
AT (0, 0, 23.52) RELATIVE Origin

COMPONENT snot = Guide_channeled(
  w1 = 0.02, h1 = 0.04 , w2 = 0.02, h2 = 0.04, l = 0.23, alphas = 4.38, alphas = 4.38 ,
  W=3e-3, mx = 4, my = 4)
AT (0, 0, 24.622) RELATIVE Origin

COMPONENT sample = Incoherent_inelastic(radius=0.005,height=0.01,efmin=2.5,efmax=6.5,
  focus_aw=5, focus_ah=5,target_z=0.0,target_y=0.0,target_x=1,sigma_abs=0, sigma_inc=5.08)
AT (0, 0, 24.999) RELATIVE Origin

COMPONENT detectorarm = Arm()
AT (0, 0,0 ) RELATIVE sample
ROTATED (0,90.0,0) RELATIVE sample

COMPONENT TOF_target = TOF_monitor(
  nt = 100, filename = "detector.dat",xmin = -0.5,
  xmax = 0.5, ymin = -0.5, ymax = 0.5, tmin=(1e6*(25+L2)/v_foc)-200, tmax =(1e6*(25+L2)/v_foc)+200)
AT (0, 0, L2) RELATIVE detectorarm

```

My solution Direct

```
DEFINE INSTRUMENT LET_Mantid(l0=4,dl=3,rot=0)
```

```
TRACE
```

```
COMPONENT Origin = Arm()  
  AT (0,0,0) ABSOLUTE
```

```
COMPONENT Source = Source_simple(radius=0.1, dist=25, focus_xw=.01, focus_yh=.01, lambda0=l0, dlambd=dl)  
  AT (0, 0, 0) RELATIVE Origin
```

```
COMPONENT sampleMantid = Incoherent_inelastic(radius=0.005,yheight=0.01,efmin=2.5,efmax=6.5,focus_aw=1,  
focus_ah=1,target_z=0.0,target_y=0.0,target_x=1,sigma_abs=0, sigma_inc=5.08)  
  AT (0, 0, 24.999) RELATIVE Origin
```

```
COMPONENT detectorarm = Arm()  
  AT (0, 0,0 ) RELATIVE sampleMantid  
  ROTATED (0,90.0,0) RELATIVE sampleMantid
```

```
COMPONENT graphite_analyser31=Monochromator_flat(zwidth=0.02, yheight=0.02, mosaich=120.0,  
mosaicv=120.0,Q=1.8734)  
  AT (0,0,1) RELATIVE detectorarm  
  ROTATED (-rot, 90,0) RELATIVE detectorarm
```

```
COMPONENT crystalarm = Arm()  
  AT (0, 0,1 ) RELATIVE detectorarm  
  ROTATED (-2*rot,0,0) RELATIVE detectorarm
```

```
COMPONENT TOF_target = TOF_monitor(  
  nt = 1000, filename = "detector.dat",xmin = -0.1,  
  xmax = 0.1, ymin = -0.1, ymax = 0.1, tmin=25000, tmax =50000)  
  AT (0, 0, -1) RELATIVE crystalarm  
END
```

My solution to in-direct