- ¹ Physcraper: a python package for continual update of evolutionary
- estimates using the Open Tree of Life
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Abstract

- 1. Phylogenies are a key part of research in many areas of biology. Tools that automatize some parts of
 the process of phylogenetic reconstruction, mainly molecular character matrix assembly, have been
 developed for the advantage of both specialists in the field of phylogenetics and nonspecialists. However,
 interpretation of results, comparison with previously available phylogenetic hypotheses, and choosing
 of one phylogeny for downstream analyses and discussion still impose difficulties to one that is not a
 specialist either on phylogenetic methods or on a particular group of study.
- 2. Physcraper is an open-source, command-line Python program that automatizes the update of published
 phylogenies by enriching underlying gene alignments with public DNA sequence data, and linking
 taxonomic information across databases. This provides a framework for comparison of published
 phylogenies with their updated versions, by using the conflict Application Programming Interface (API)
 function of the Open Tree of Life project.
- 3. Physcraper can be used by the nonspecialist, as a tool to generate phylogenetic hypotheses based on
 already available expert phylogenetic knowledge. Phylogeneticists and group specialists will find it
 useful as a tool to facilitate molecular dataset gathering and comparison of alternative phylogenetic
 hypotheses (topologies).
- 4. We hope that the Physcraper workflow demonstrates the benefits of doing open science for phylogenetics,
 encouraging more researchers to strive for better sharing practices. Physcraper can be used with any
 OS and is released under an open source license. Detailed instructions for installation and use are
 available at https://physcraper.readthedocs.
- 40 **Keywords**: gene tree, interoperability, open science, open tree of life, phylogeny, public database, python,
- 41 reproducibility, taxonomy, updated alignment

$_{42}$ 1 Introduction

Phylogenetic estimates of evolutionary relationships capture the shared history of living organisms, and provide key context for all our biological observations. Public biological databases constitute an amazing resource for evolutionary estimation, but a large portion of molecular data publicly available has never been incorporated into any phylogenetic estimate. Extending existing phylogenetic estimates with DNA sequence data from molecular databases in an automatic and continuous manner is possible by generating a connection between biological databases. Here, we propose Physcraper, a tool that uses a starting tree and single locus alignment, to take advantage of existing research and extend trees using molecular data that taxon specialists have assessed and deemed appropriate for the phylogenetic scope. Automated trees can provide a quick inference of potential phylogenetic relationships, of problems in the taxonomic assignments of sequences, and flag areas of systematic interest. One of the main challenges in connecting data from disparate biological databases is the prevalence of taxonomic idiosincracies across databases. To standardize taxonomic names, a unified taxonomy is necessary. The main aim of the Open Tree of Life (OpenTree from now on) project is to construct a comprehensive, dynamic and digitally-available tree of life by synthesizing published phylogenetic trees along with taxonomic data. This "synthetic" tree comprises 2.3 million tips, of which around 90,000 are represented by phylogenetic estimates - the rest of taxa are placed in the tree based on their taxonomic assignment. For this goal, OpenTree unifies taxonomy from various databases (Rees & Cranston 2017) such as the USA National Center for Biodiversity Information (NCBI) molecular database GenBank (Benson et al. 2000; Wheeler et al. 2000), the Global biodiversity Information Facility (GBIF) (Secretariat 2017), the Encyclopedia of Life (EOL) (Wilson 2003), etc., providing a key resource that can be used to connect data from all the aforementioned databases to phylogenetic data that has been standardized to OpenTree's unified taxonomy.

₆₄ 2 The Physcraper framework

The general Physcraper framework is shown in Figure 1. Next, we will describe the technical details of each step of the workflow.

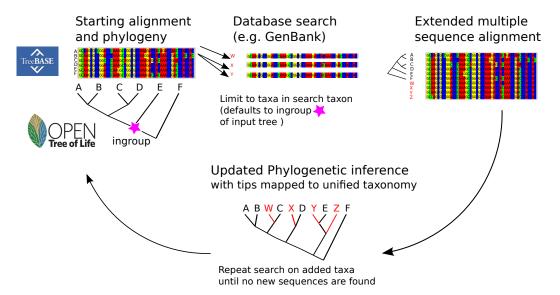


Figure 1: The Physcraper software is fully described on its documentation website at [physcraper.readthedocs.io](https://physcraper.readthedocs.io/en/latest/), along with installation instructions, tutorials, examples and function usage documentation.

7 2.1 The inputs: a tree and an alignment

- In order to take advantage of the OpenTree tools, it is reccommended that the input tree is either stored in the OpenTree Phylesystem, or submitted via OpenTree's curator application (McTavish et al. 2015). If the user is not ready to make the input tree public, tree tip labels must be standardized to the unified OpenTree taxonomy using the bulk Taxonomic Name Resolution Service TNRS tool. Should we saythat it is based on Boyle's 2012 algorithm? or is it a TNRS algorithm independently developed by the OpenTree team? This step is referred to as taxon name mapping. If taxonomic names can't be mapped, their taxonomic information will be excluded from further analysis. Mapping tip names to OpenTree's unified taxonomy saves a set of user defined characteristics that are essential for automatizing the phylogeny updating process. The most relevant of these is the taxonomic name mapping and the definition of ingroup and outgroup taxa, allowing to automatically set the root for the updated tree on the final steps of the pipeline. Currently, only trees connected to a published study can be stored in the Phylesystem. Users can choose from among the 2950 studies that have alignments on TreeBASE that are also stored in OpenTree's Phylesystem.
- The input alignment should be a single locus alignment that was used to generate the tree. Alignments

- are often stored in a public repository such as TreeBase (Piel et al. 2009; Vos et al. 2012), DRYAD 82 (www.datadryad.org), or a data repository associated with the journal where the tree was originally published. If the alignment is stored in TreeBase, Physcraper downloads it directly, either from the TreeBASE website (www.treebase.org) or through the TreeBASE GitHub repository (SuperTreeBASE; github.com/TreeBASE/supertreebase). If the alignment is on another repository, or constitutes personal data, a path to a local copy of the alignment has to be provided.
- Single locus alignments sometimes have fewer taxa than the tree inferred from the full concatenated data, simply because a single molecular marker usually does not cover all the taxa sampled for the full 89 phylogenetic analysis. Physcraper prunes the input tree to taxa found in the alignment, and verifies that all taxon names on the tips of the tree are in the DNA character matrix and vice versa. Technically, just 91 one taxon name (and its corresponding sequence in the alignment) is needed to continue the algorithm. 92 See next section. 93
- A summary "csv" file with the results from the taxon name standardization and pruning is produced 94 for the user.
- The standardized and pruned tree and alignment (checked tree and alignment from now on) are output as "newick" and "fasta" respectively in the "inputs" folder to be used in the following steps.

2.2DNA sequence search and filtering

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- Physcraper uses the GenBank DNA database as source to search for new sequences. The DNA sequence search can be performed on the GenBank remote database or in a GenBank local database set up by 100 the user, which can speed up the search process. Detailed instructions to setup a local database are 101 provided on Physcraper's software documentation. 102
- The next step is to identify a "search taxon" to constrain the sequence search on the GenBank database 103 within that taxonomic group. The search taxon can be chosen by the user from the NCBI taxonomy. If 104 none is provided, then the search taxon is automatically set using the taxa in the input tree labeled

as the "ingroup" (Fig. 1). The search taxon is The Most Recent Common Ancestor (MRCA) of the ingroup taxa in the OpenTree synthetic tree, that is also a named clade in the NCBI taxonomy. This is known in the OpenTree as the Most Recent Common Ancestral Taxon (MRCAT; also referred as the Least Inclusive Common Ancestral taxon - LICA). The MRCAT can be different from the phylogenetic MRCA when the latter is an unnamed clade in the synthetic tree. To identify the MRCAT of a group of taxon names, we use the OpenTree taxonomic tool v3 (Rees & Cranston 2017).

Users can provide a search taxon that is either a more or a less inclusive clade relative to the ingroup of the original phylogeny. If the search taxon is more inclusive, the sequence search will be performed outside the MRCAT of the matched taxa, e.g., including all taxa within the family or the order that the ingroup belongs to. If the search taxon is a less inclusive clade, the users can focus on enriching a particular clade/region within the ingroup of the phylogeny.

- The Basic Local Alignment Search Tool, BLAST (Altschul et al. 1990, 1997) is used to identify similarity between DNA sequences within the search taxon in a nucleotide database, and the sequences on the checked alignment. The blastn function from the BLAST command line tools (Camacho et al. 2009) is used for local database sequence searches. For remote database searches, we modified the BioPython (Cock et al. 2009) BLAST function from the NCBIWWW module to accept an alternative BLAST address (URL). This is useful when a user has no access to the computer capacity needed to setup a local database, and a local blast database can be set up on a remote machine to BLAST avoiding NCBI's required waiting times, which slow down the searches markedly.
- A constrained BLAST search is performed, in which each sequence in the alignment is BLASTed once against all database DNA sequences belonging to the search taxon. All results from each BLAST run are stored, and sequences with match scores better than the e-value cutoff (default to 0.00001) are saved along with their corresponding metadata, i.e., their GenBank accession number. The full sequence for each match is downloaded from NCBI into a dedicated library within the "physcraper" folder, allowing for secondary analyses to run significantly faster.

- BLAST result sequences will be discarded if they fall outside the user set min and max length cutoffs, set
 as proportions of the average length without gaps of sequences in the input alignment (defaults values
 of 80% and 120%, respectively). This filtering guarantees the exclusion of whole genome sequences,
 which create problems in multiple sequence alignment. The GenBank accession numbers of sequences
 removed to due to not meeting e-value or length cutoffs are stored in output files.
- All sequences accepted up to this point are assigned an internal identifier, and are further filtered.
- New sequences that are identical to existing sequences, or to subsets of an existing sequence are
 discarded, unless they represent a different taxon in the unified taxonomy than the existing sequence or
 they are longer than the sequence in the original alignment.
- Among the filtered sequences, there are often several representatives per taxon. Although it can be useful to keep some of them, for example, to investigate monophyly within species, there can be hundreds of exemplar sequences per taxon for some markers. To control the number of sequences per taxon in downstream analyses, 5 sequences per taxon are chosen at random. This number is set by default but can be modified by the user.
 - All BLAST and filtering parameters can be customized by the user.

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- Reverse, complement, and reverse-complement BLAST result sequences are identified and translated using BioPython internal functions (Cock *et al.* 2009).
- Iterative cycles of sequence similarity search can be performed, by blasting the newly found sequences
 until no new sequences are found. By default only one BLAST search cycle is performed in which only
 sequences in the processed original alignment are blasted.
- New sequences passing all filtering steps are added to the "csv" taxon summary file.
- A "fasta" file containing all new filtered and processed sequences resulting from the BLAST search is
 generated for the user, and is used as an input for alignment.

⁵⁴ 2.3 New DNA sequence alignment

- By default, Physcraper uses the software MUSCLE (Edgar 2004) to perform DNA sequence alignments.
- Instructions on how to install all software dependencies used by Physcraper are provided in the
- documentation.

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- The process to align new sequences consists of two steps. First, all new sequences are aligned using the default MUSCLE options.
- Second, a MUSCLE profile alignment is performed, in which the original alignment is used as a
 template to align the new sequences. This ensures that the final alignment follows the homology criteria
 established by the original alignment.
- The final alignment is not further processed by Physcraper. It is recommended that the alignment is checked by the user, by eye followed by manual refinement, or using a tool for automatic alignment processing (e.g., GBlocks; Castresana 2000, 2002).
- While curating the alignment is a critical step, it is not a reproducible one. The main reason for its
 lack of reproducibility might be that it is hard to track changes made on the alignment. A form of
 version control, to register the differences between the alignment that was produced by the software
 and the manually curated alignment will be ideal.
- Users may also use Physcraper to only gather new GenBank sequences, to then apply their own preferred alignment and phylogenetic inference methods.

172 2.4 Tree reconstruction and comparison

- A Maximum Likelihood (ML) gene tree is reconstructed for each alignment provided, using the software

 RAxML (Stamatakis 2014) with default settings, such as a GTRCAT model of molecular evolution and

 100 bootstrap replicates with the default algorithm. Currently only the number of bootsrap replicates

 can be specified by the user.
- By default, the original tree is used as a starting tree for the ML searches. Alternatively, users can set the original tree as a full topological constraint, or ignore it completely for the searches.
 - Bootstrap results are summarized with the SumTrees module of DendroPy (current version 4.4.0;

- Sukumaran & Holder 2010).
- Physcraper's final result is an updated phylogenetic hypothesis for the locus provided in the input alignment.
- Tips on all trees generated by Physcraper are defined by a taxon "name space". The taxon metadata captures the NCBI accession information, as well as the taxon identifiers, allowing the user to perform comparisons and conflict analyses.
- Two ways to compare the updated tree with the original tree are implemented in Physcraper. First,

 Robinson Foulds weighted and unweighted metrics are estimated using Dendropy functions (Sukumaran

 & Holder 2010).
- Second, a conflict analysis is performed. This is a node by node comparison between the the synthetic

 OpenTree and the original and updated tree individually. This is performed with OpenTree's conflict

 Application Programming Interface (Redelings & Holder 2017).
- For the conflict analysis to be meaningful, the root of the tree needs to be accurately defined.
- A suggested default rooting based on OpenTree's taxonomy is implemented for now. This approach
 uses the taxon labels for all the tips in the updated tree, pulls an inferred subtree from OpenTree's
 taxonomy and then applies the same rooting to the inferred updated tree. However, if the updated tree
 changes expectations from taxonomy, the root may no longer be appropriate. Automatic identification
 of a phylogenetic tree root is indeed a difficult problem that has not been solved yet. The best way
 right now is for users to define outgroup directly on the updated tree, so trees are accurately rooted.

199 3 Examples

We will illustrate the utility of Physcraper in here with two use-case scenarios. One in which the user is interested in a particular group. Another one in which the user is interested in a particular phylogeny. A tutorial as well as illustrated examples of commands for every step needed to perform a Physcraper analysis are available elsewhere.

$_{204}$ 3.1 The hollies

A student is interested in the genus *Ilex*, the only extant clade within the family Aquifoliaceae, order Aquifoliales of flowering plants. The genus encompasses between 400-600 living species. A review of literature (google scholar search for "ilex phylogeny") reveals that there are several published phylogenetic trees showing relationships within the hollies (Cuénoud et al. 2000; Setoguchi & Watanabe 2000; Selbach-Schnadelbach et al. 2009; Manen et al. 2010), but only two have their data available publicly (Gottlieb et al. 2005; Yao et al. 209 2020). Gottlieb et al. (2005) made tree and alignment data available in TreeBASE. The tree sampling 48 species was integrated to the OpenTree Phylesystem and is part of OpenTree's synthetic tree. The most 211 recent Ilex tree from Yao et al. (2020) has been made available in the OpenTree Phylesystem and in the 212 DRYAD repository. It is the best sampled yet for the genus, with 200 species. However, it has not been 213 added to OpenTree's synthetic tree yet. This makes it a perfect case to test the basic functionalities of 214 Physcraper: we know that the sequences of the most recently published tree have been made available on the 215 GenBank database. Hence, we expect that updating the oldest tree should at least contain the same species 216 sampled in the largest tree. 217

DESCRIBE RESULTS: SUMMARY OF NEW TAXA FOUND RELATIVE TO ORIGINAL TREE AND
RELATIVE TO OpenTree RF DISTANCE INTERPRETATION HOW MUCH TIME THE BLAST RUN
TOOK ML ESTIMATES OF UPDATED TREE VS ORIGINAL TREE

FIGURE: FACE TO FACE ORIGINAL VS UPDATED PHYLOGENY, IN RED NEW TAXA NOT IN
OpenTree.

3.2 The Malvaceae

A postdoc started working with a new reserach group. They are interested in solving relationships among
lineages of the Malvaceae, a family of flowering plants with almost 6 000 known species, containing the
relatives of cacao, cotton, durian and okra. A review of the literature shows them that there are many
phylogenetic trees encompassing some of the linegaes in the group. However, the head of the research group
wants to use a particular marker they believe to be the best one to be able to solve the relationships in the

- 229 group. They have been working on the alignment for a long time and they want to incorporate new data into
- $_{230}$ the hypothesis of homology that they have been curating and that they trust.

Original tree Updated tree

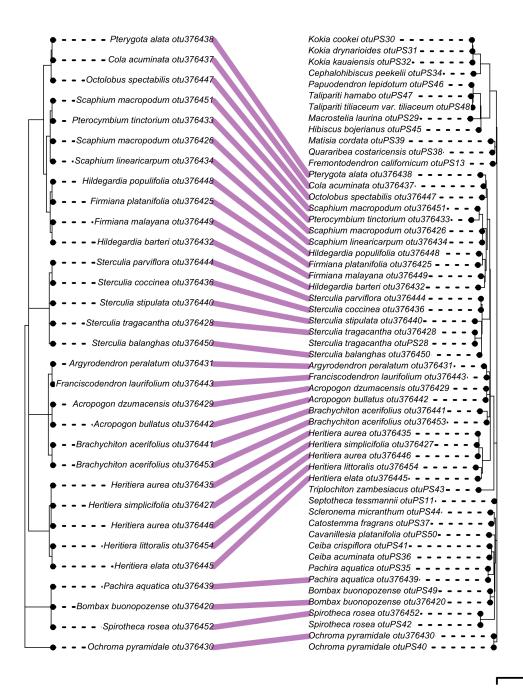


Figure 2: Comparison of original tree and tree updated with Physcraper, family Malvaceae.

²³¹ 4 Discussion

Data repositories hold even more information than meets the eye. Besides the actual data, they are rich sources of metadata that can be used for the advantage of all areas of biology as well as the advancement of scientific policy and applications.

COMPARE WITH PERFORMANCE OF OTHER PIPELINES FOR SEQUENCE SCRAPING WHY WE
 DID NOT MAKE A BENCHMARK COMPARISON

Many pipelines are making use of DNA data repositories in different ways. Most of them focus on efficient
ways to mine the data – getting the most homologs. Some focus on accurate ways of mining the data – getting
real and clean homologs. Others focus on refining the alignment. Most focus on generating full trees de novo,
mainly for regions of the Tree of Life that have no phylogenetic assessment yet in published studies, but also
for regions that have already been studied and which have phylogenetic data. However, expert phylogenetic
knowledge is also an important source of data in public and open repositories that is not being used to its
full potential.

All these tools are key efforts for advancing towards reproducibility in phylogenetics, a field that has relied
on processes which are somewhat artisanal. Here, we highlight the potential of taking advantage of this
careful curation work in previous phylogenetic estimates. By taking sources of information available from
data repositories and present a method to link data from different repositories, while leveraging the knowledge
and intuition of the expert community to build up our phylogenetic knowledge, we can use not only data
accumulated in molecular data repositories, but phylogenetic knowledge accumulated in phylogenetic tree
repositories.

While not generating full phylogenies de novo, Physcraper is still capable of generating new phylogenetic knowledge. Moreover, it can combine phylogenies with data from repositories other than molecular data. For example geographic locations (using GBIF ids), fossils (using PBDB ids), etc. from Robert: I think you can sell the program more here. Why is it better than the other methods? You mentioned in lab meeting that its difficult to run other programs, talk about that here, talk about the speed and other advantages

Physcraper has the potential to be applied for the advantage of the field to rapidly *HOW FAST IS "RAPID"*mention it in results and then here again place newly discovered species phylogenetically (Webb et al. 2010),

obtain trees for ecophylogenetic studies (Helmus & Ives 2012), help to systematize molecular databases, i.e.,

curate taxonomic assignations (San Mauro & Agorreta 2010), and rapidly generate custom species trees for

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downstream analyses (Stoltzfus et al. 2013).

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²⁶⁸ 6 Authors' Contributions

EJM: Conceived study, wrote most of the code, documentation and tests. MK: Wrote code for ncbidataparser module, filtering of sequences per OTU and using offline blast searches, wrote documentation and tests.

LLSR: Wrote the manuscript, alignment code, documentation, performed analyses and developed examples.

All authors contributed to the manuscript.

7 Data Avilability

8 References

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