

# Machine-Level Programming I: Basics

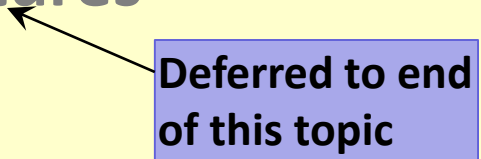
Professor Hugh C. Lauer

CS-2011, Machine Organization and Assembly Language

(Slides include copyright materials from *Computer Systems: A Programmer's Perspective*, by Bryant and O'Hallaron, and from *The C Programming Language*, by Kernighan and Ritchie)

# Today: Machine Programming I: Basics

- History of Intel processors and architectures
- **C, assembly, machine code**
- Assembly Basics: Registers, operands, move
- Arithmetic & logical operations

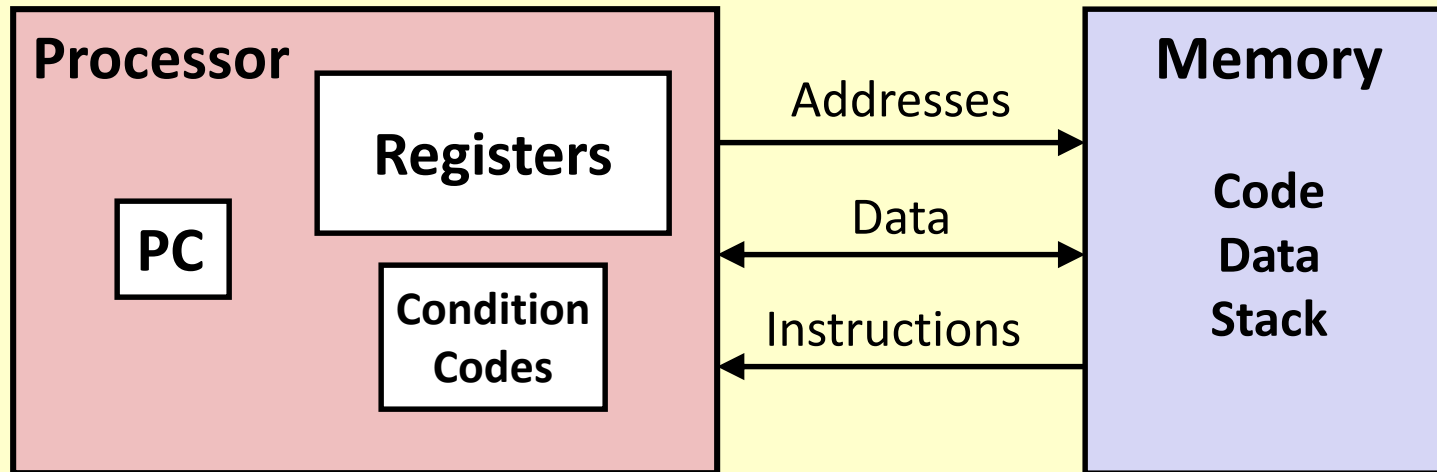


Deferred to end  
of this topic

# Definitions

- **Architecture:** (also ISA: instruction set architecture) The parts of a processor design that one needs to understand or write assembly/machine code.
  - Examples: instruction set specification, registers.
- **Microarchitecture:** Implementation of the architecture.
  - Examples: cache sizes and core frequency.
- **Code Forms:**
  - **Machine Code:** The byte-level programs that a processor executes
  - **Assembly Code:** A text representation of machine code
- **Example ISAs:**
  - Intel: x86, IA32, Itanium, x86-64
  - ARM: Used in almost all mobile phones

# Assembly/Machine Code View



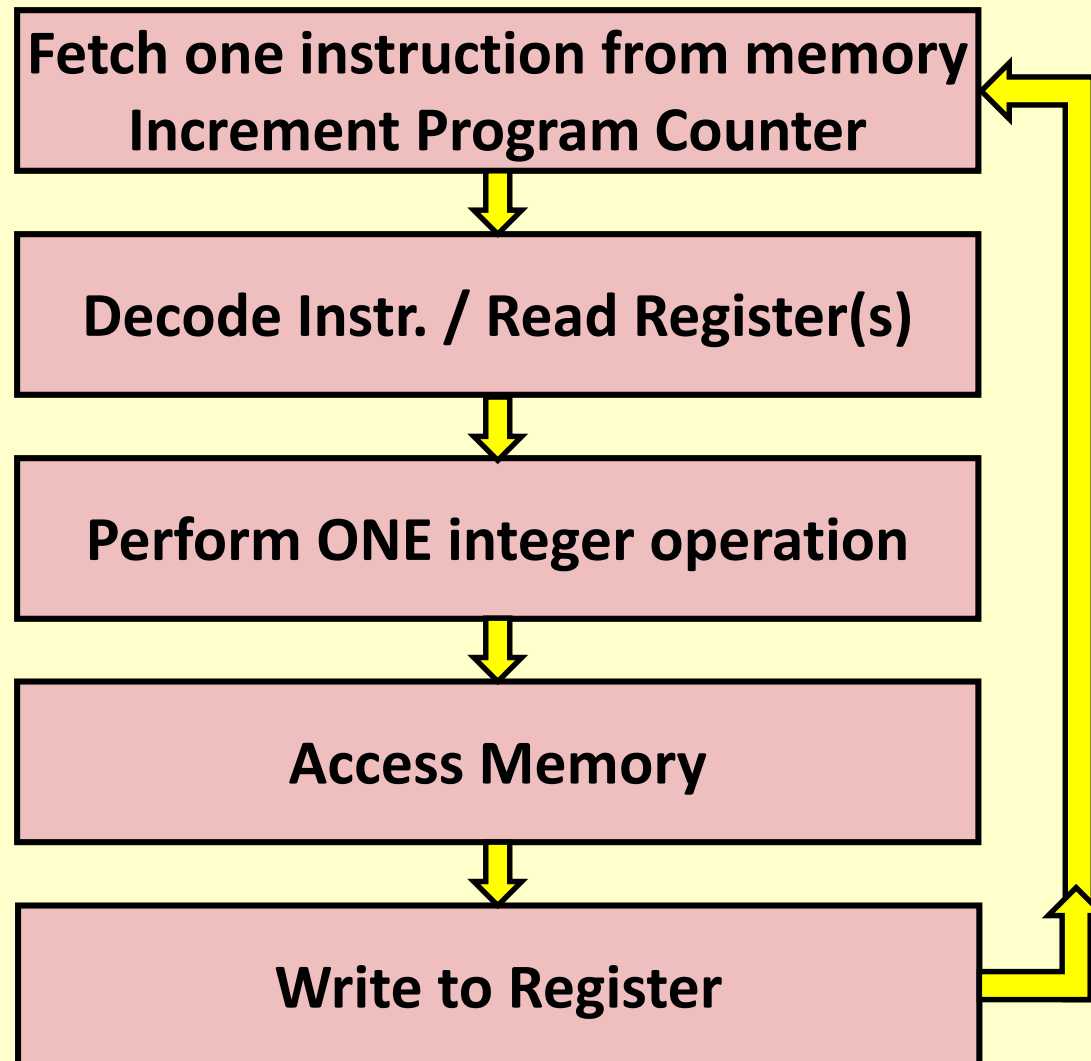
## Programmer-Visible State

- **PC: Program counter**
  - Address of next instruction
  - Called “RIP” (x86-64)
- **Register file**
  - Heavily used program data
- **Condition codes**
  - Store status information about most recent arithmetic or logical operation
  - Used for conditional branching
- **Memory**
  - Byte addressable array
  - Code and user data
  - Stack to support procedures (aka *functions*)

**CPU:– Archaic term for “Processor”  
— “Central Processing Unit”**



# Execution Model for Modern Processors



# Compiling Into Assembly

## C Code (sum.c)

```
long plus(long x, long y);

void sumstore(long x, long y,
              long *dest)
{
    long t = plus(x, y);
    *dest = t;
}
```

## Generated x86-64 Assembly

```
sumstore:
    pushq    %rbx    //save on stack
    movq     %rdx, %rbx
    call     plus
    movq     %rax, (%rbx)
    popq     %rbx    //restore from
    ret                          // stack
```

Obtain (on course virtual machine) with command

```
gcc -Og -S sum.c
```

Produces file `sum.s`

**Warning:** May get very different results on other systems (CCC Linux, Mac OS-X, ...) due to different versions of *gcc* and different compiler settings.



# Assembly Characteristics: Data Types

- **“Integer” data of 1, 2, 4, or 8 bytes**
  - Data values
  - Addresses (untyped pointers)
- **Floating point data of 4, 8, or 10 bytes**
- **Code: Byte sequences encoding series of instructions**
- **No aggregate types such as arrays or structures**
  - Just contiguously allocated bytes in memory

# Assembly Characteristics: Operations

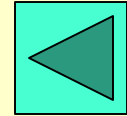
- **Transfer data between memory and register**
  - Load data from memory into register
  - Store register data into memory
- **Perform arithmetic function on register or memory data**
- **Transfer control**
  - Unconditional jumps to/from procedures
  - Conditional branches

Another archaic term:—  
“procedure” in the  
textbook (and these slides)  
means “function” in  
modern terminology!





# Object Code



## Code for `sumstore`

0x0400595:

0x53

0x48

0x89

0xd3

0xe8

0xf2

0xff

0xff

0xff

0x48

0x89

0x03

0x5b

0xc3

- Total of 14 bytes
- Each instruction 1, 3, or 5 bytes
- Starts at address 0x0400595

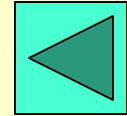
## ■ Assembler

- Translates `.s` into `.o`
- Binary encoding of each instruction
- Nearly-complete image of executable code
- Missing linkages between code in different files

## ■ Linker

- Resolves references between files
- Combines with static run-time libraries
  - E.g., code for `malloc`, `printf`
- Some libraries are *dynamically linked*
  - Linking occurs when program begins execution

# Machine Instruction Example



```
*dest = t;
```

## ■ C Code

- Store value **t** to memory designated by **dest**

```
movq %rax, (%rbx)
```

## ■ Assembly

- Move 8-byte value to memory
  - Quad words in x86-64 parlance
- Operands:
  - t**: Register **%rax**
  - dest**: Register **%rbx**
  - \*dest**: Memory **M[%rbx]**

```
0x40059e: 48 89 03
```

## ■ Object Code

- 3-byte instruction
- Stored at address **0x40059e**

# Disassembling Object Code

## Disassembled

```

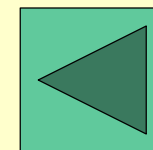
0000000000400595 <sumstore>:
  400595:  53                push    %rbx
  400596:  48 89 d3          mov     %rdx,%rbx
  400599:  e8 f2 ff ff ff   callq   400590 <plus>
  40059e:  48 89 03          mov     %rax, (%rbx)
  4005a1:  5b                pop     %rbx
  4005a2:  c3                retq

```

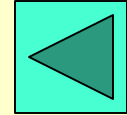
## ■ Disassembler

**objdump -d sum**

- Useful tool for examining object code
- Analyzes bit pattern of series of instructions
- Produces approximate rendition of assembly code
- Can dump either **a.out** file (complete executable) or **.o** file (single module)



# Alternate Disassembly



## Object

0x0400595:

0x53  
0x48  
0x89  
0xd3  
0xe8  
0xf2  
0xff  
0xff  
0xff  
0x48  
0x89  
0x03  
0x5b  
0xc3

## Disassembled

Dump of assembler code for function sumstore:

```
0x0000000000400595 <+0>: push    %rbx
0x0000000000400596 <+1>: mov     %rdx,%rbx
0x0000000000400599 <+4>: callq  0x400590 <plus>
0x000000000040059e <+9>: mov     %rax, (%rbx)
0x00000000004005a1 <+12>: pop     %rbx
0x00000000004005a2 <+13>: retq
```

## ■ Within gdb Debugger

`gdb sum`

`disassemble sumstore`

■ Disassemble procedure

`x/14xb sumstore`

■ Examine the 14 bytes starting at `sumstore`

# What Can be Disassembled?

```
% objdump -d WINWORD.EXE

WINWORD.EXE:      file format pei-i386

No symbols in "WINWORD.EXE".
Disassembly of section .text:

30001000 <.text>:
30001000:
30001001:
30001003:
30001005:
3000100a:
```

**Reverse engineering forbidden by  
Microsoft End User License Agreement**

- Anything that can be interpreted as executable code
- Disassembler examines bytes and reconstructs assembly source

# Today: Machine Programming I: Basics

- History of Intel processors and architectures
- C, assembly, machine code
- **Assembly Basics: Registers, operands, move**
- Arithmetic & logical operations

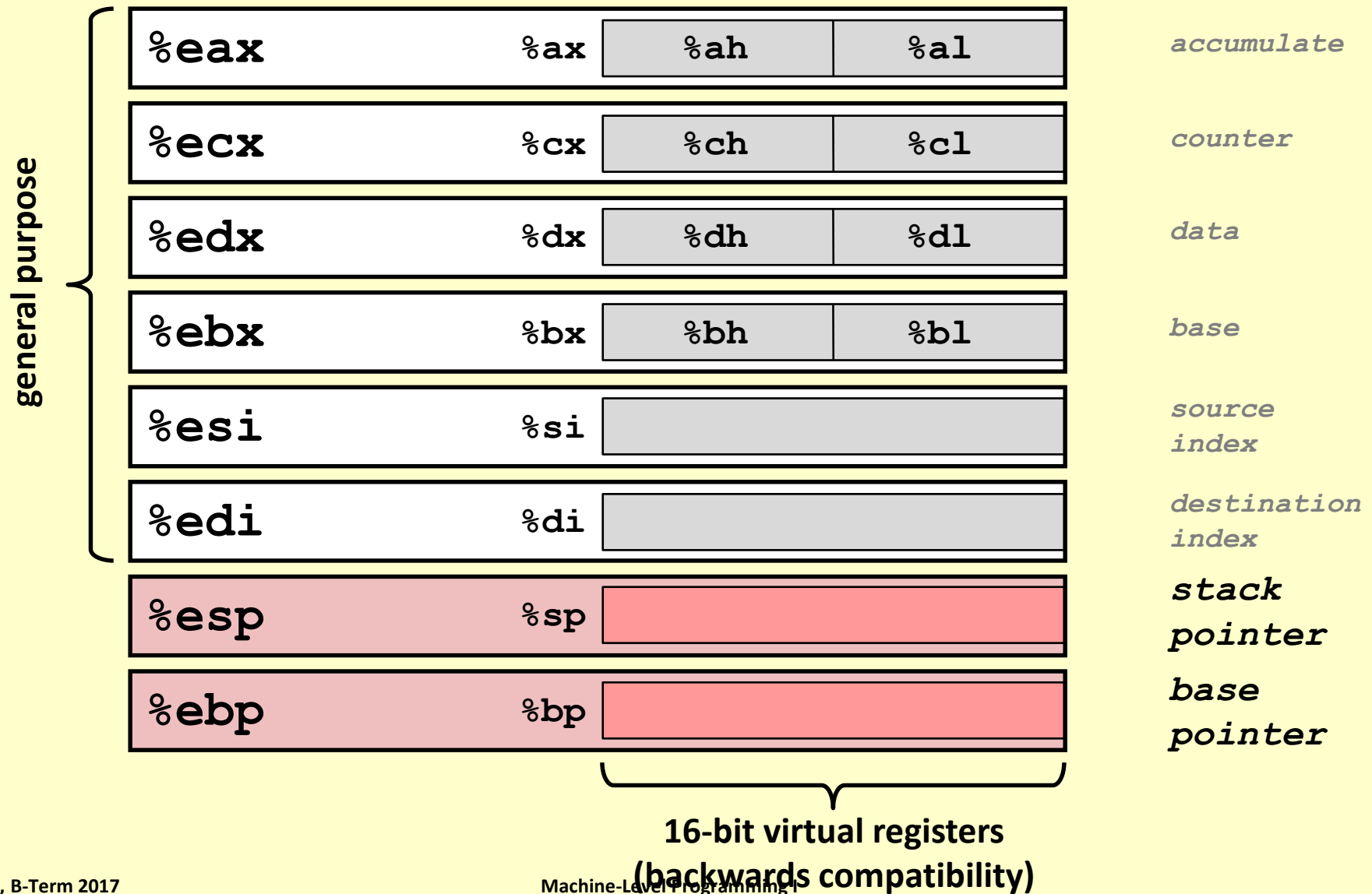
# x86-64 Integer Registers

<b>%rax</b>	<b>%eax</b>
<b>%rbx</b>	<b>%ebx</b>
<b>%rcx</b>	<b>%ecx</b>
<b>%rdx</b>	<b>%edx</b>
<b>%rsi</b>	<b>%esi</b>
<b>%rdi</b>	<b>%edi</b>
<b>%rsp</b>	<b>%esp</b>
<b>%rbp</b>	<b>%ebp</b>

<b>%r8</b>	<b>%r8d</b>
<b>%r9</b>	<b>%r9d</b>
<b>%r10</b>	<b>%r10d</b>
<b>%r11</b>	<b>%r11d</b>
<b>%r12</b>	<b>%r12d</b>
<b>%r13</b>	<b>%r13d</b>
<b>%r14</b>	<b>%r14d</b>
<b>%r15</b>	<b>%r15d</b>

- Can reference low-order 4 bytes (also low-order 1 & 2 bytes)

# Some History: IA32 Registers





# Moving Data

## ■ Moving Data

`movq Source, Dest:`

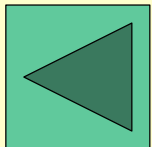
## ■ Operand Types

- **Immediate:** Constant integer data
  - Example: `$0x400`, `$-533`
  - Like C constant, but prefixed with ' `$` '
  - Encoded with 1, 2, or 4 bytes
- **Register:** One of 16 integer registers
  - Example: `%rax`, `%r13`
  - But `%rsp` reserved for special use
  - Others have special uses for particular instructions
- **Memory:** 8 consecutive bytes of memory at address given by register
  - Simplest example: `(%rax)`
  - Various other “address modes”

`%rax``%rcx``%rdx``%rbx``%rsi``%rdi``%rsp``%rbp``%rN`

# movq Operand Combinations

	Source	Dest	Src, Dest	C Analog
movq	Imm	Reg	movq \$0x4, %rax	temp = 0x4;
		Mem	movq \$-147, (%rax)	*p = -147;
	Reg	Reg	movq %rax, %rdx	temp2 = temp1;
		Mem	movq %rax, (%rdx)	*p = temp;
	Mem	Reg	movq (%rax), %rdx	temp = *p;



**Cannot do memory-memory transfer with a single instruction**

# Simple Memory Addressing Modes

## ■ Normal (R) Mem[Reg[R]]

- Register R specifies memory address
- Aha! Pointer dereferencing in C

```
movq (%rcx), %rax
```

## ■ Displacement D(R) Mem[Reg[R]+D]

- Register R specifies start of memory region
- Constant displacement D specifies offset

```
movq 8(%rbp), %rdx
```

# Example of Simple Addressing Modes

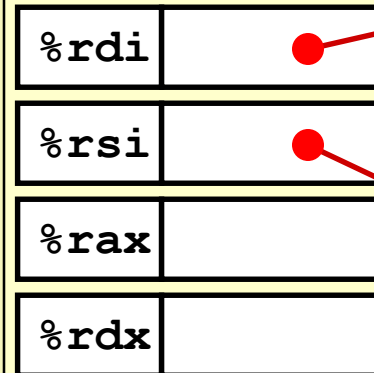
```
void swap
(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

```
swap:
    movq    (%rdi), %rax
    movq    (%rsi), %rdx
    movq    %rdx, (%rdi)
    movq    %rax, (%rsi)
    ret
```

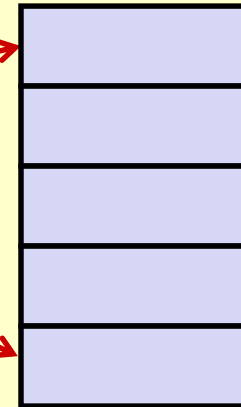
# Understanding Swap()

```
void swap
(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

## Registers



## Memory



### Register Value

Register	Value
%rdi	xp
%rsi	yp
%rax	t0
%rdx	t1

### swap:

```
movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret
```

# Understanding Swap()

## Registers

<code>%rdi</code>	0x120
<code>%rsi</code>	0x100
<code>%rax</code>	
<code>%rdx</code>	

## Memory

Address	
0x120	123
0x118	
0x110	
0x108	
0x100	456

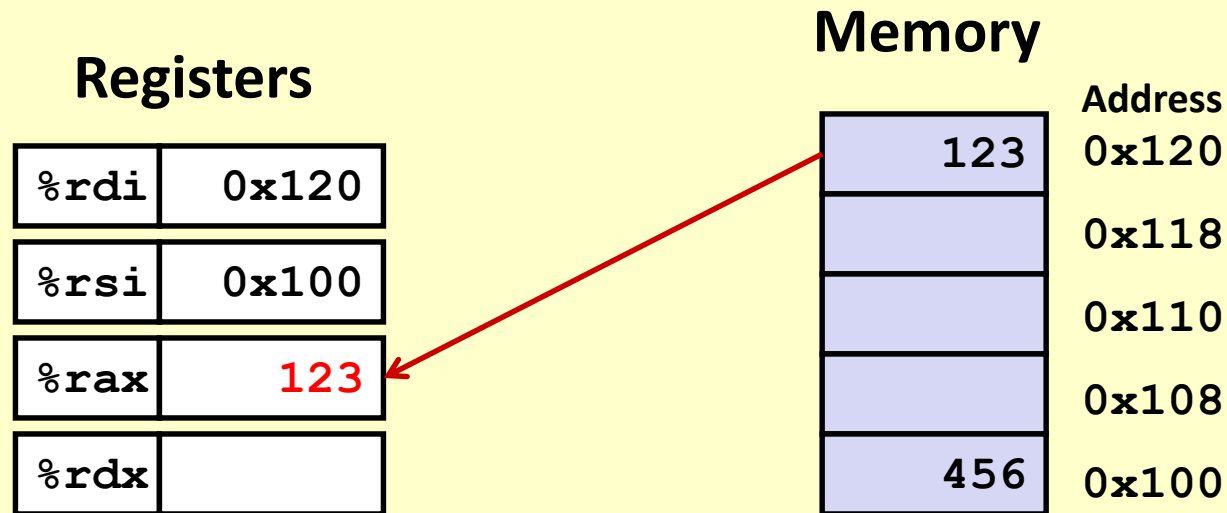
**swap:**

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

# Understanding Swap()



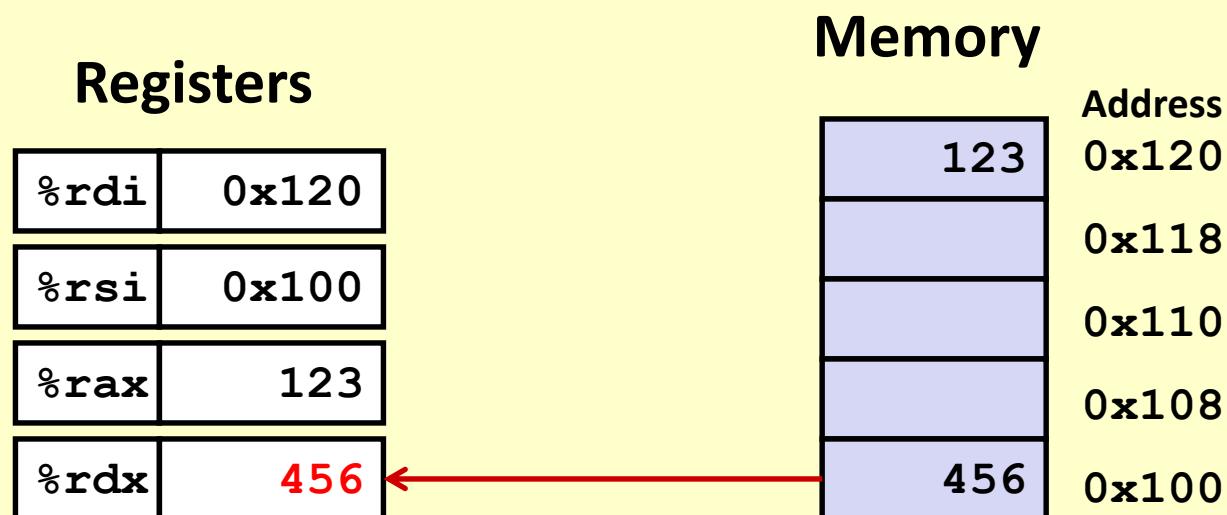
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

# Understanding Swap()



**swap:**

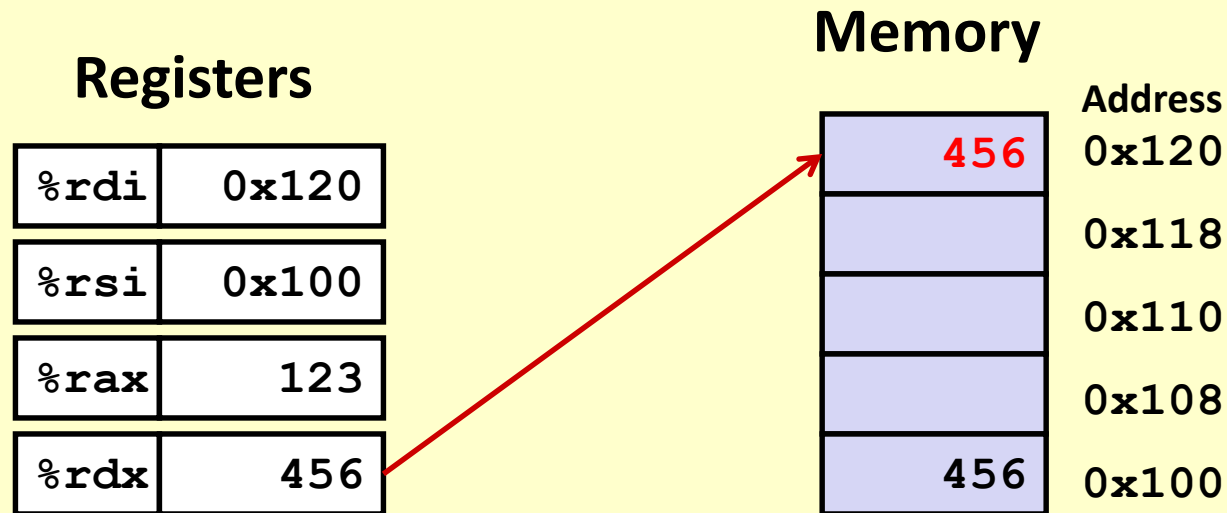
```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```



# Understanding Swap()



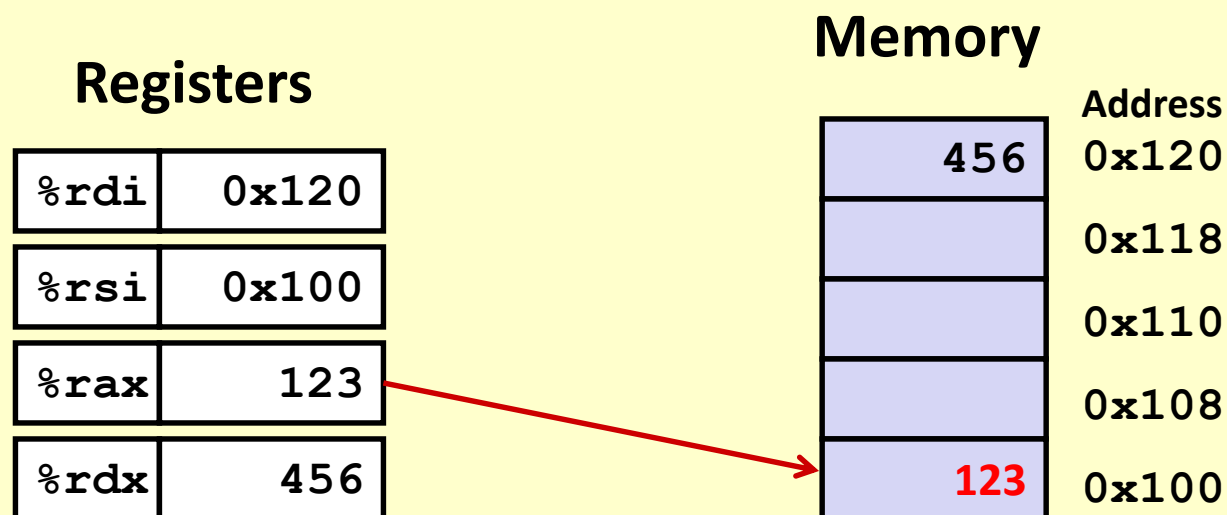
swap:

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

# Understanding Swap()



**swap:**

```

movq    (%rdi), %rax    # t0 = *xp
movq    (%rsi), %rdx    # t1 = *yp
movq    %rdx, (%rdi)    # *xp = t1
movq    %rax, (%rsi)    # *yp = t0
ret

```

# Simple Memory Addressing Modes

## ■ Normal (R) Mem[Reg[R]]

- Register R specifies memory address
- Aha! Pointer dereferencing in C

```
movq (%rcx), %rax
```

## ■ Displacement D(R) Mem[Reg[R]+D]

- Register R specifies start of memory region
- Constant displacement D specifies offset

```
movq 8(%rbp), %rdx
```

# Complete Memory Addressing Modes

## ■ Most General Form

**$D(Rb, Ri, S)$                        $Mem[Reg[Rb] + S * Reg[Ri] + D]$**

- D:     Constant “displacement” 1, 2, or 4 bytes
- Rb:    Base register: Any of 16 integer registers
- Ri:    Index register: Any, except for `%rsp`
- S:     Scale: 1, 2, 4, or 8 (*why these numbers?*)

## ■ Special Cases

**$(Rb, Ri)$                        $Mem[Reg[Rb] + Reg[Ri]]$**

**$D(Rb, Ri)$                        $Mem[Reg[Rb] + Reg[Ri] + D]$**

**$(Rb, Ri, S)$                        $Mem[Reg[Rb] + S * Reg[Ri]]$**

# Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8(%rdx)</code>	<code>0xf000 + 0x8</code>	
<code>(%rdx,%rcx)</code>	<code>0xf000 + 0x100</code>	
<code>(%rdx,%rcx,4)</code>	<code>0xf000 + 4*0x100</code>	
<code>0x80(,%rdx,2)</code>	<code>2*0xf000 + 0x80</code>	

# Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8(%rdx)</code>	<code>0xf000 + 0x8</code>	<code>0xf008</code>
<code>(%rdx,%rcx)</code>	<code>0xf000 + 0x100</code>	
<code>(%rdx,%rcx,4)</code>	<code>0xf000 + 4*0x100</code>	
<code>0x80(,%rdx,2)</code>	<code>2*0xf000 + 0x80</code>	

# Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8(%rdx)</code>	<code>0xf000 + 0x8</code>	<code>0xf008</code>
<code>(%rdx,%rcx)</code>	<code>0xf000 + 0x100</code>	<code>0xf100</code>
<code>(%rdx,%rcx,4)</code>	<code>0xf000 + 4*0x100</code>	
<code>0x80(,%rdx,2)</code>	<code>2*0xf000 + 0x80</code>	

# Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8(%rdx)</code>	<code>0xf000 + 0x8</code>	<code>0xf008</code>
<code>(%rdx,%rcx)</code>	<code>0xf000 + 0x100</code>	<code>0xf100</code>
<code>(%rdx,%rcx,4)</code>	<code>0xf000 + 4*0x100</code>	<code>0xf400</code>
<code>0x80(,%rdx,2)</code>	<code>2*0xf000 + 0x80</code>	



# Address Computation Examples

<code>%rdx</code>	<code>0xf000</code>
<code>%rcx</code>	<code>0x0100</code>

Expression	Address Computation	Address
<code>0x8(%rdx)</code>	<code>0xf000 + 0x8</code>	<code>0xf008</code>
<code>(%rdx,%rcx)</code>	<code>0xf000 + 0x100</code>	<code>0xf100</code>
<code>(%rdx,%rcx,4)</code>	<code>0xf000 + 4*0x100</code>	<code>0xf400</code>
<code>0x80(,%rdx,2)</code>	<code>2*0xf000 + 0x80</code>	<code>0x1e080</code>

# Today: Machine Programming I: Basics

- History of Intel processors and architectures
- C, assembly, machine code
- Assembly Basics: Registers, operands, move
- **Arithmetic & logical operations**

# Address Computation Instruction

Reading Assignment: §3.5

## ■ `leaq Src, Dst`

- *Src* is address mode expression
- Set *Dst* to address denoted by expression

## ■ Uses

- Computing addresses without a memory reference
  - E.g., translation of `p = &x[i];`
- Computing arithmetic expressions of the form  $x + k * y$ 
  - $k = 1, 2, 4, \text{ or } 8$

```
long m12(long x)
{
    return x*12;
}
```

Converted to ASM by compiler:

```
leaq (%rdi,%rdi,2), %rax # t <- x+x*2
salq $2, %rax           # return t<<2
```

# Some Arithmetic Operations

## ■ Two Operand Instructions:

<i>Format</i>	<i>Computation</i>
<code>addq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} + \text{Src}$
<code>subq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} - \text{Src}$
<code>imulq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} * \text{Src}$
<code>salq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} \ll \text{Src}$ <i>Also called shlq</i>
<code>sarq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} \gg \text{Src}$ <i>Arithmetic</i>
<code>shrq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} \gg \text{Src}$ <i>Logical</i>
<code>xorq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} \wedge \text{Src}$
<code>andq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest} \& \text{Src}$
<code>orq</code>	<i>Src, Dest</i> $\text{Dest} = \text{Dest}   \text{Src}$

## ■ Watch out for argument order!

## ■ No distinction between signed and unsigned int (why?)

# Some Arithmetic Operations

## ■ One Operand Instructions

`incq`     *Dest*      $Dest = Dest + 1$

`decq`     *Dest*      $Dest = Dest - 1$

`negq`     *Dest*      $Dest = -Dest$

`notq`     *Dest*      $Dest = \sim Dest$

## ■ See book for more instructions

# Arithmetic Expression Example

```
long arith
(long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}
```

arith:

```
leaq    (%rdi,%rsi), %rax
addq    %rdx, %rax
leaq    (%rsi,%rsi,2), %rdx
salq    $4, %rdx
leaq    4(%rdi,%rdx), %rcx
imulq   %rcx, %rax
ret
```

## Interesting Instructions

- **leaq**: address computation
- **salq**: shift
- **imulq**: multiplication
  - But, only used once

# Understanding Arithmetic Expression

## Example

```

long arith
(long x, long y, long z)
{
    long t1 = x+y;
    long t2 = z+t1;
    long t3 = x+4;
    long t4 = y * 48;
    long t5 = t3 + t4;
    long rval = t2 * t5;
    return rval;
}

```

Where is the constant "48" in machine code?

arith:

```

leaq    (%rdi,%rsi), %rax    # t1
addq    %rdx, %rax          # t2
leaq    (%rsi,%rsi,2), %rdx
salq    $4, %rdx            # t4
leaq    4(%rdi,%rdx), %rcx   # t5
imulq   %rcx, %rax          # rval
ret

```

Register	Use(s)
%rdi	Argument x
%rsi	Argument y
%rdx	Argument z
%rax	t1, t2, rval
%rdx	t4
%rcx	t5

# Today: Machine Programming I: Basics

- History of Intel processors and architectures
- C, assembly, machine code
- Assembly Basics: Registers, operands, move
- Arithmetic & logical operations



# Intel x86 Processors

Reading Assignment: §3.1

- **Dominate laptop/desktop/server market**
- **Evolutionary design**
  - Backwards compatible up until 8086, introduced in 1978
  - Added more features as time goes on
- **Complex instruction set computer (CISC)**
  - Many different instructions with many different formats
    - But, only small subset encountered with Linux programs
  - Hard to match performance of Reduced Instruction Set Computers (RISC)
  - But, Intel has done just that!
    - In terms of speed. Less so for low power.

# Intel x86 Evolution: Milestones

<i><b>Name</b></i>	<i><b>Date</b></i>	<i><b>Transistors</b></i>	<i><b>MHz</b></i>
■ <b>8086</b>	<b>1978</b>	<b>29K</b>	<b>5-10</b>
<ul style="list-style-type: none"><li>■ First 16-bit Intel processor. Basis for IBM PC &amp; DOS</li><li>■ 1MB address space</li></ul>			
■ <b>386</b>	<b>1985</b>	<b>275K</b>	<b>16-33</b>
<ul style="list-style-type: none"><li>■ First 32 bit Intel processor , referred to as IA32</li><li>■ Added “flat addressing”, capable of running Unix</li></ul>			
■ <b>Pentium 4E</b>	<b>2004</b>	<b>125M</b>	<b>2800-3800</b>
<ul style="list-style-type: none"><li>■ First 64-bit Intel x86 processor, referred to as x86-64</li></ul>			
■ <b>Core 2</b>	<b>2006</b>	<b>291M</b>	<b>1060-3500</b>
<ul style="list-style-type: none"><li>■ First multi-core Intel processor</li></ul>			
■ <b>Core i7</b>	<b>2008</b>	<b>731M</b>	<b>1700-3900</b>
<ul style="list-style-type: none"><li>■ Four cores (most modern desktop PCs)</li></ul>			

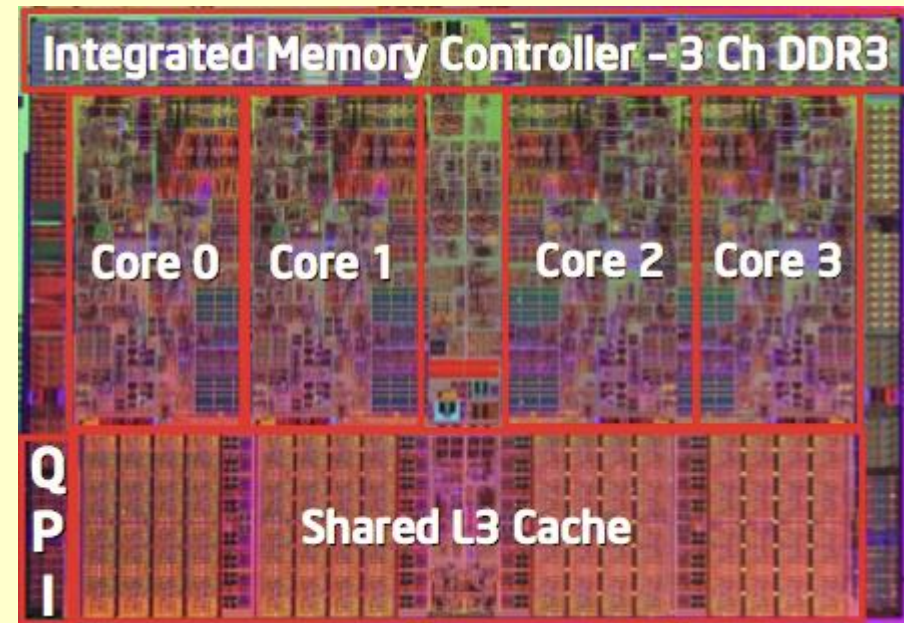
# Intel x86 Processors, cont.

## ■ Machine Evolution

■ 386	1985	0.3M
■ Pentium	1993	3.1M
■ Pentium/MMX	1997	4.5M
■ PentiumPro	1995	6.5M
■ Pentium III	1999	8.2M
■ Pentium 4	2001	42M
■ Core 2 Duo	2006	291M
■ Core i7	2008	731M

## ■ Added Features

- Instructions to support multimedia operations
- Instructions to enable more efficient conditional operations
- Transition from 32 bits to 64 bits
- More cores



# 2015 State of the Art

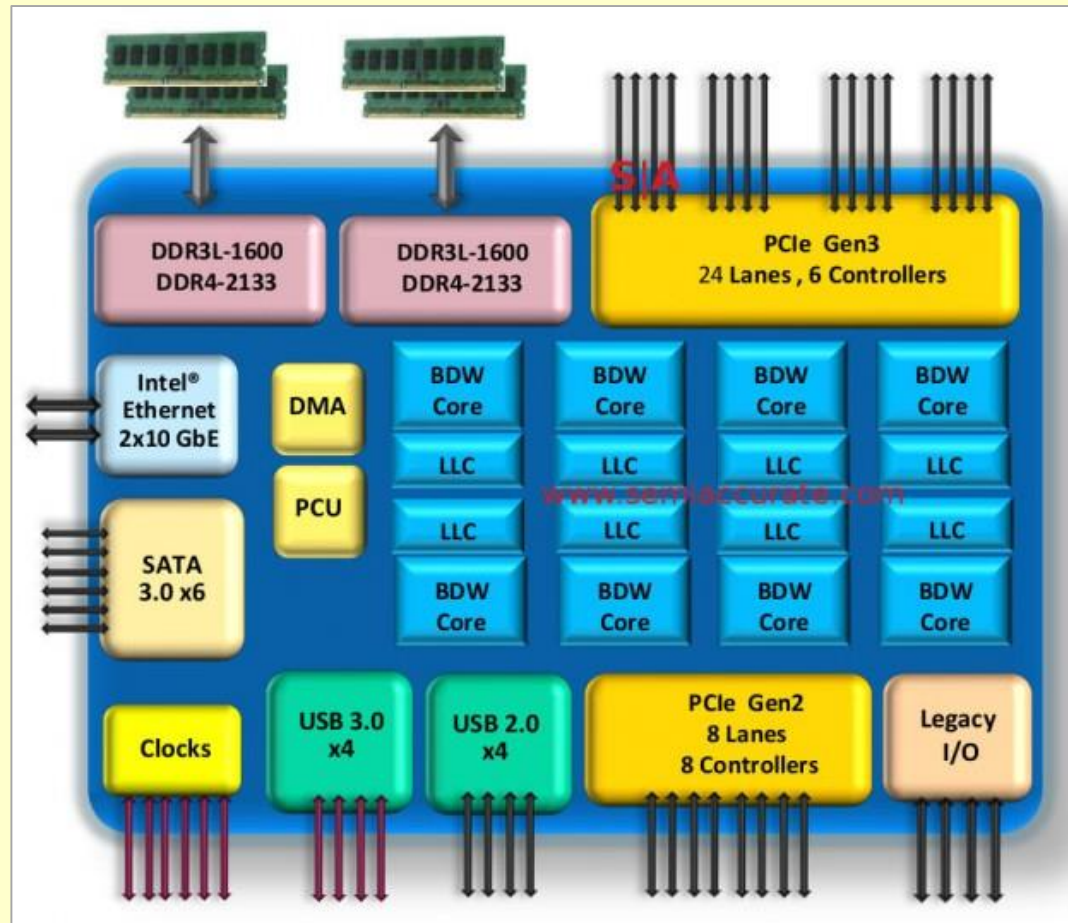
- Core i7 Broadwell 2015

## ■ Desktop Model

- 4 cores
- Integrated graphics
- 3.3-3.8 GHz
- 65W

## ■ Server Model

- 8 cores
- Integrated I/O
- 2-2.6 GHz
- 45W



# Intel's 64-Bit History

- **2001: Intel Attempts Radical Shift from IA32 to IA64**
  - Totally different architecture (Itanium)
  - Executes IA32 code only as legacy
  - Performance disappointing
- **2003: AMD Steps in with Evolutionary Solution**
  - x86-64 (now called “AMD64”)
- **Intel Felt Obligated to Focus on IA64**
  - Hard to admit mistake or that AMD is better

# x86 Clones: Advanced Micro Devices (AMD)

## ■ Historically

- AMD has followed just behind Intel
- A little bit slower, a lot cheaper

## ■ Then

- Recruited top circuit designers from Digital Equipment Corp. and other downward trending companies
- Built Opteron: tough competitor to Pentium 4
- Developed x86-64, their own extension to 64 bits

## ■ Recent Years

- Intel got its act together
  - Leads the world in semiconductor technology
- AMD has fallen behind
  - Relies on external semiconductor manufacturer

# Intel's 64-Bit History

- **2001: Intel Attempts Radical Shift from IA32 to IA64**
  - Totally different architecture (Itanium)
  - Executes IA32 code only as legacy
  - Performance disappointing
- **2003: AMD Steps in with Evolutionary Solution**
  - x86-64 (now called “AMD64”)
- **Intel Felt Obligated to Focus on IA64**
  - Hard to admit mistake or that AMD is better
- **2004: Intel Announces EM64T extension to IA32**
  - Extended Memory 64-bit Technology
  - Almost identical to x86-64!
- **All but low-end x86 processors support x86-64**
  - But, lots of code still runs in 32-bit mode

# Our Coverage

## ■ IA32

- The traditional x86
- For CS-2011: RIP, D-Term 2016

## ■ x86-64

- The standard
- CS-2011> `gcc hello.c`
- CS-2011> `gcc -m64 hello.c`     `//same code!`

## ■ Presentation

- Book covers x86-64
- Web aside on IA32
- We will only cover x86-64



# Machine Programming I: Summary

- **History of Intel processors and architectures**
  - Evolutionary design leads to many quirks and artifacts
- **C, assembly, machine code**
  - New forms of visible state: program counter, registers, ...
  - Compiler must transform statements, expressions, procedures into low-level instruction sequences
- **Assembly Basics: Registers, operands, move**
  - The x86-64 move instructions cover wide range of data movement forms
- **Arithmetic**
  - C compiler will figure out different instruction combinations to carry out computation

# Questions?