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# CS3002 Information Security



## Modern Symmetric Ciphers

# Modern Ciphers



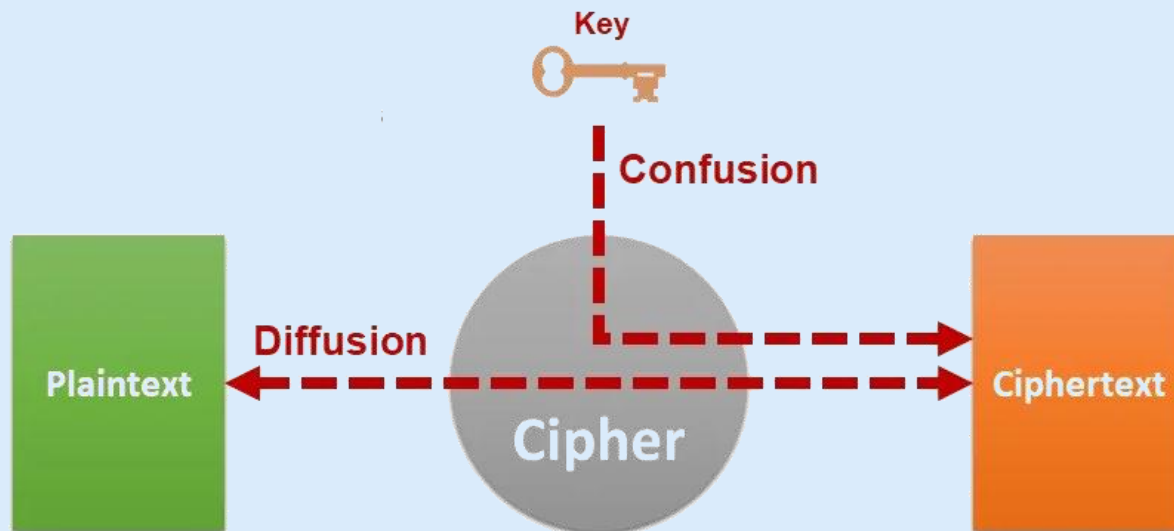
"A modern block cipher can be designed to act as a substitution cipher or a transposition cipher. This is the same idea as is used in traditional ciphers, except that the symbols to be substituted or transposed are bits instead of characters."

Forouzan, Cryptography & Network Security

# Strength of a cipher



- A cipher needs to completely obscure statistical properties of original message
- In 1945, Shannon suggested that a cipher needs to have these two properties:



# Confusion



- Confusion means that each character (e.g. bit) of the ciphertext should depend on several parts of the key, obscuring the connection between the two.
- This property makes it difficult to find key from ciphertext. If a single bit in key is changed, calculation of values of most or all bits in ciphertext will be affected.
  - In classical ciphers, substitution provides confusion

# Diffusion

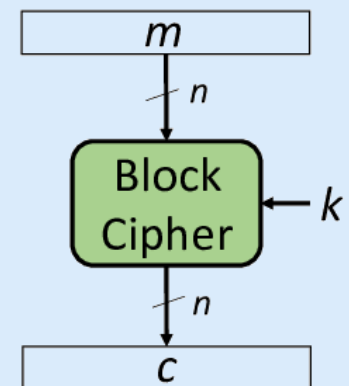


- Diffusion means the influence of one plaintext symbol is spread over many ciphertext symbols, so that statistical properties of plaintext remain hidden.
  - In classical ciphers, permutation provides diffusion
- Ciphers that only perform confusion or only diffusion are not secure enough.
  - Except for one-time pad, which is confusion only; but as discussed, it is impractical.
- A product cipher is the one which includes both of these operations.

# Block & Stream ciphers



- **Block ciphers** break messages into blocks of predetermined sizes – e.g. 64 bits or 128 bits.
  - Cipher encrypts one block of plaintext, creating a ciphertext block of same size.
  - Each character (e.g. bit) contributes to encryption of other characters in the block

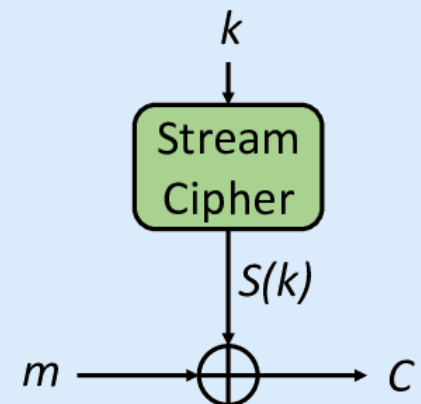


# Block & Stream ciphers



- In **stream ciphers**, encryption is done on much smaller unit (bit, byte etc.)
  - Units in plaintext are fed into encryption algorithm as a stream (one at a time), ciphertext is similarly outputted as a stream.
  - They are more suitable when data is a continuous stream

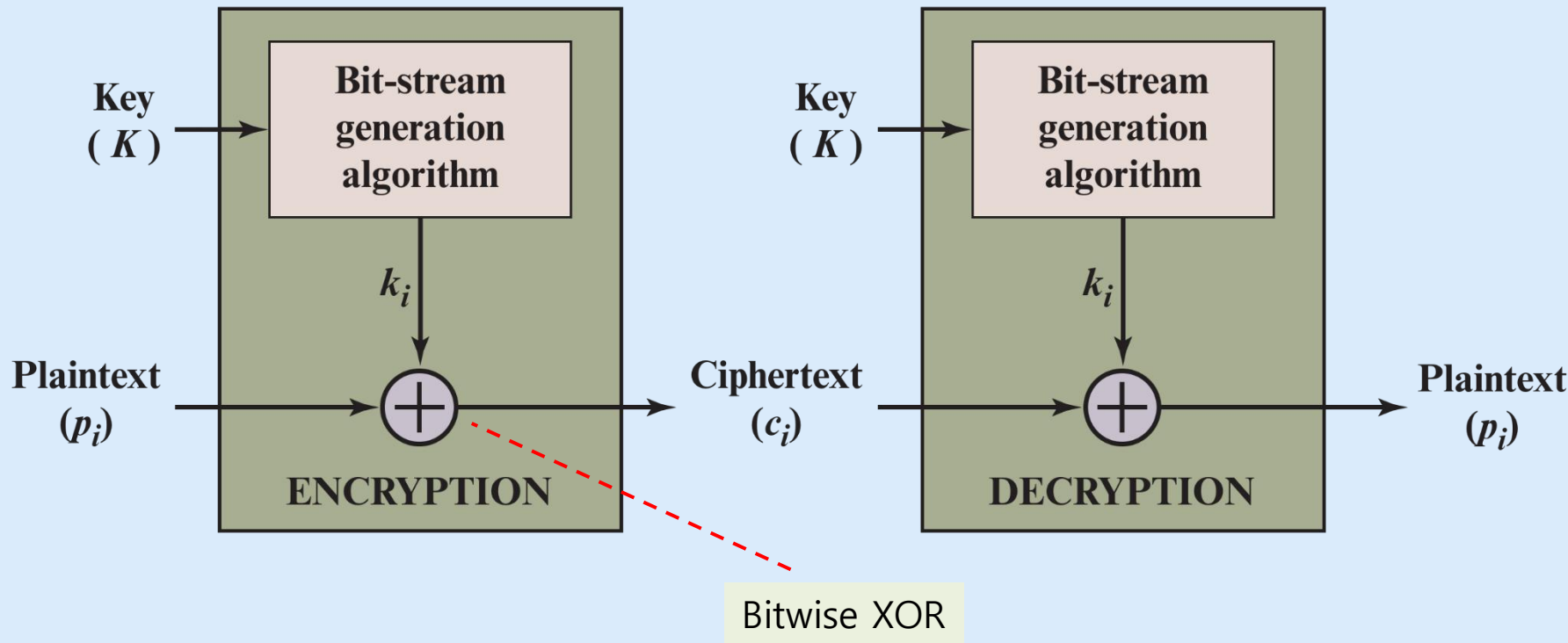
Stream ciphers *do not provide* diffusion, since there is a one-to-one mapping between units in plaintext and ciphertext.



# Block & Stream ciphers



## Stream ciphers high level structure





# XOR operation: extremely useful in crypto



XOR

0	0	0
0	1	1
1	0	1
1	1	0

AND

0	0	0
0	1	0
1	0	0
1	1	1

OR

0	0	0
0	1	1
1	0	1
1	1	1

Information Leak!

- Primary reason: It does not reveal any information about plaintext (both 0 and 1 are equally likely).
- Secondary advantage: Both encryption and decryption can be done the same way, by adding the key. XOR is a self-inverse function!

$$\begin{array}{ccccc} 010010 & \oplus & 101100 & = & 111110 \\ \text{plaintext} & & \text{key} & & \text{ciphertext} \end{array}$$

$$\begin{array}{ccccc} 111110 & \oplus & 101100 & = & 010010 \\ \text{ciphertext} & & \text{key} & & \text{plaintext} \end{array}$$

# Modern Encryption Algorithms



## Examples

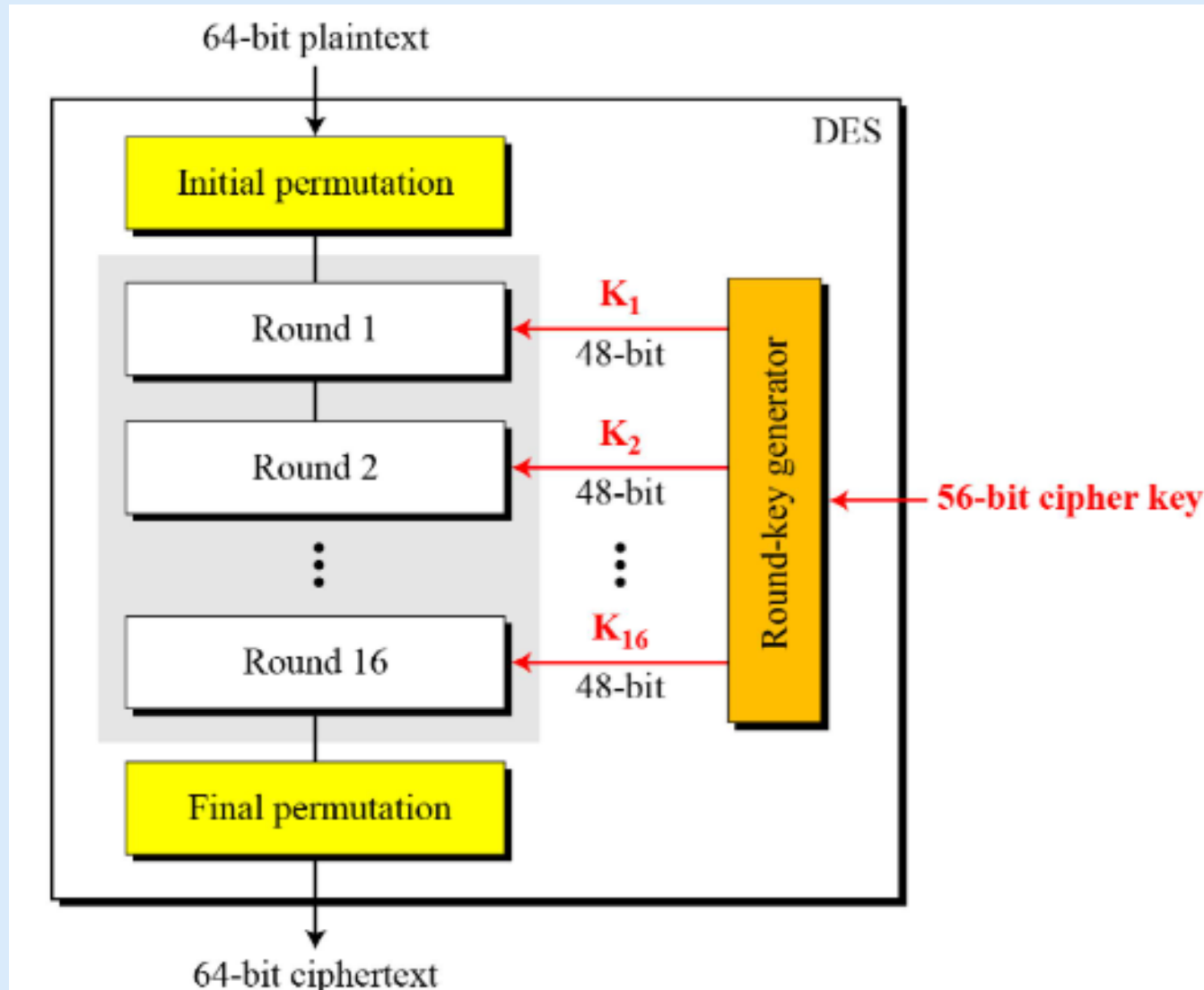
- Block Ciphers
  - DES
  - 3DES
  - AES
  - Twofish
- Stream Ciphers
  - RC4
  - ChaCha20

# Data Encryption Standard



- DES was once the most widely used encryption scheme
  - developed in early 1970s at IBM, slightly tweaked by NSA
  - the first modern, public, freely available encryption algorithm
  - Standardized by National Bureau of Standards in 1977
- Uses 64 bit plaintext block and 56 bit key to produce a 64 bit cipher text block

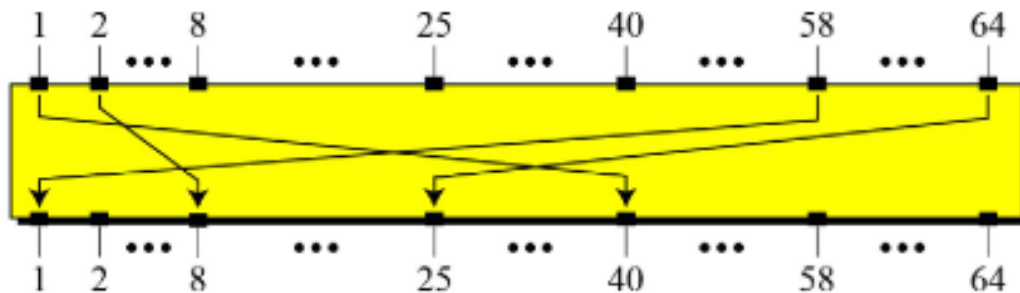
# DES Algorithm



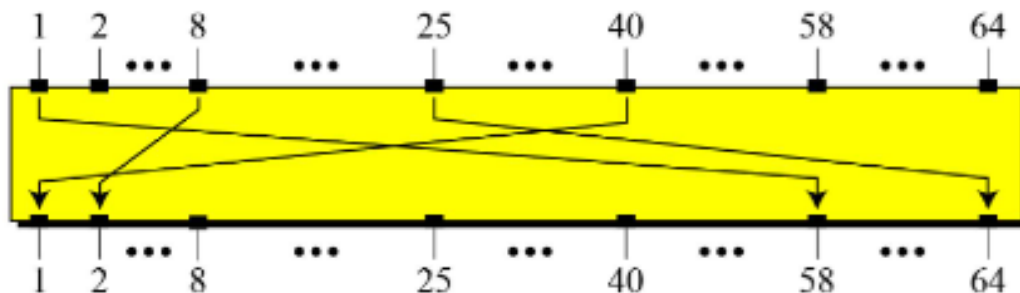
# DES Algorithm



Initial and final permutation  
(inverse of each other)



16 Rounds



*Initial Permutation*

58	50	42	34	26	18	10	02
60	52	44	36	28	20	12	04
62	54	46	38	30	22	14	06
64	56	48	40	32	24	16	08
57	49	41	33	25	17	09	01
59	51	43	35	27	19	11	03
61	53	45	37	29	21	13	05
63	55	47	39	31	23	15	07

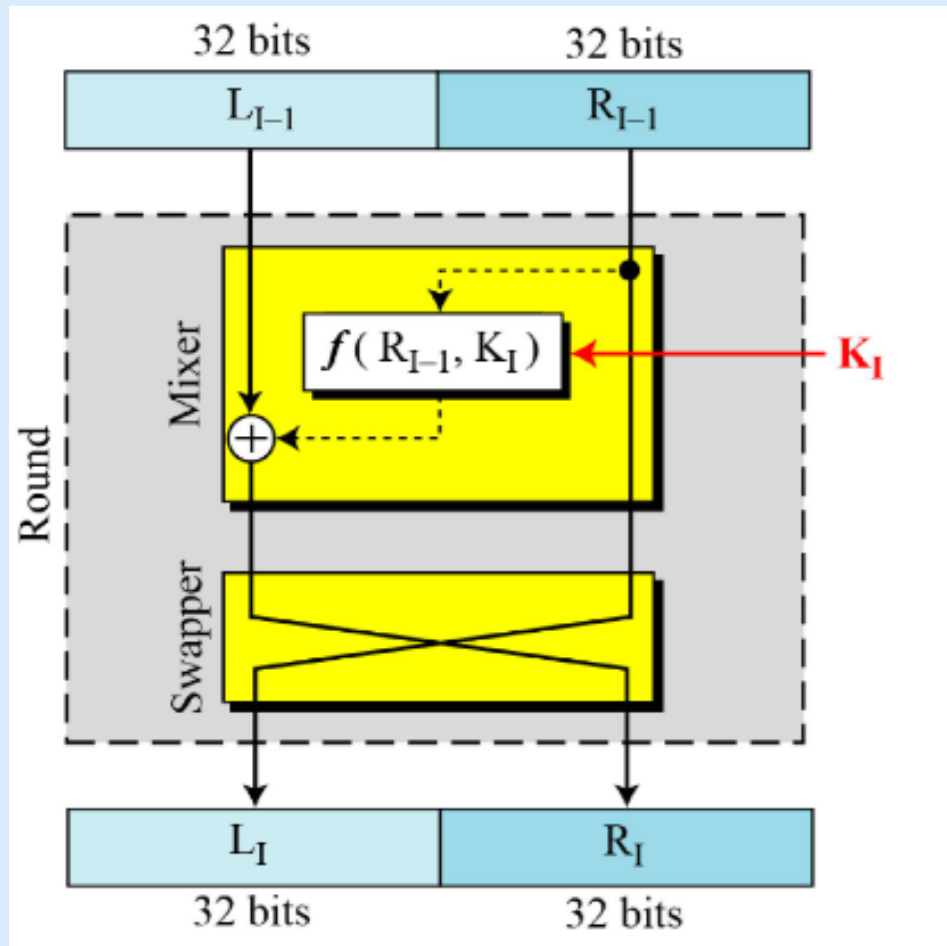
*Final Permutation*

40	08	48	16	56	24	64	32
39	07	47	15	55	23	63	31
38	06	46	14	54	22	62	30
37	05	45	13	53	21	61	29
36	04	44	12	52	20	60	28
35	03	43	11	51	19	59	27
34	02	42	10	50	18	58	26
33	01	41	09	49	17	57	25

# DES Round

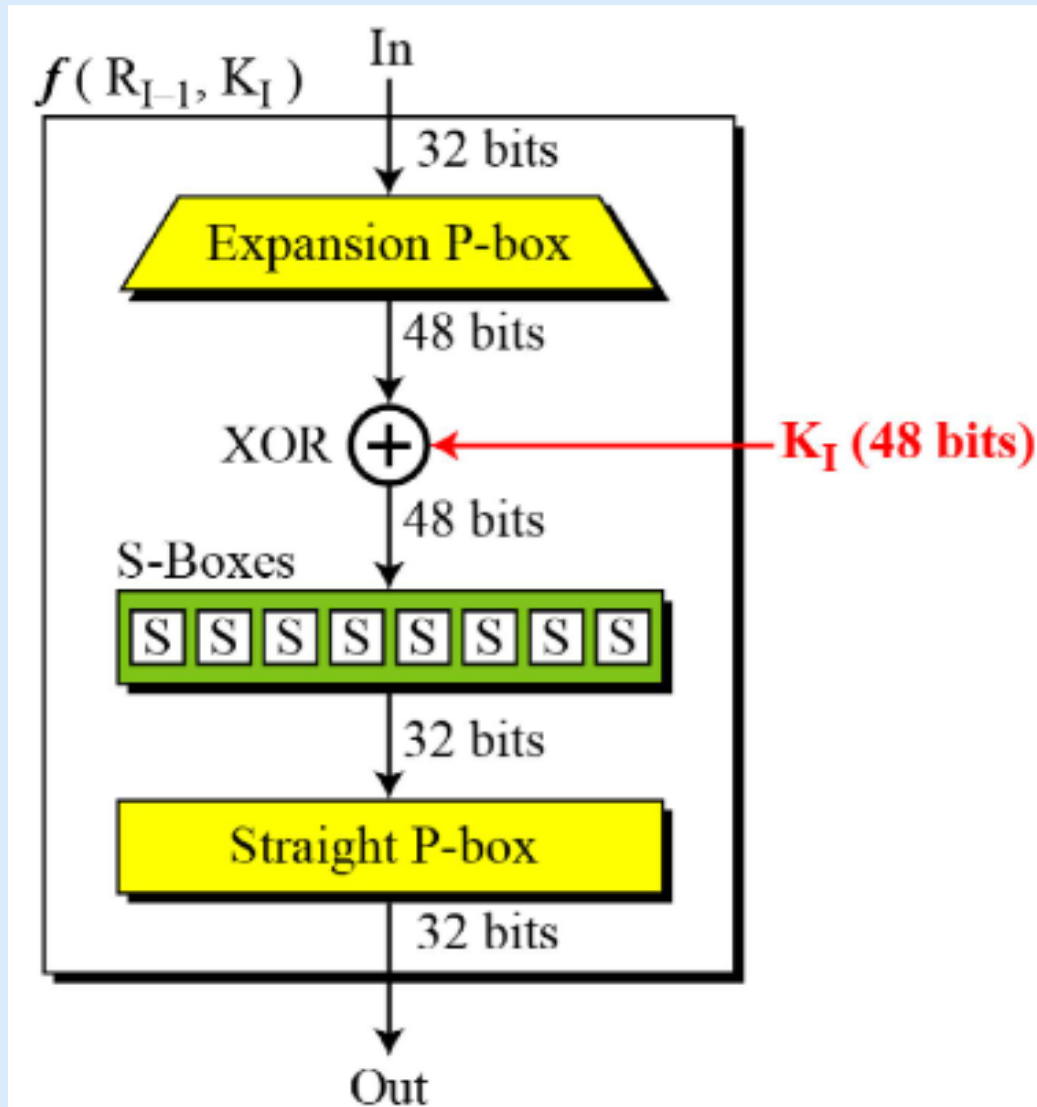


One round in DES (Feistel structure)



$f(\dots)$  is the DES function

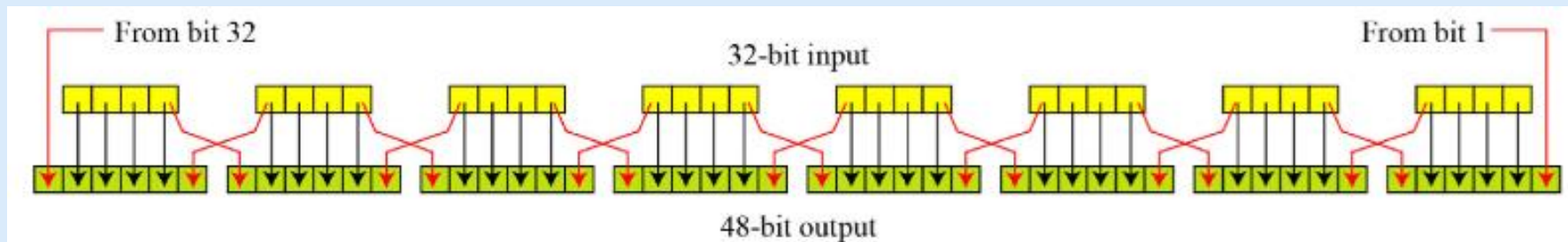
# DES Function



# DES Function: Expansion



## Expansion Mechanism

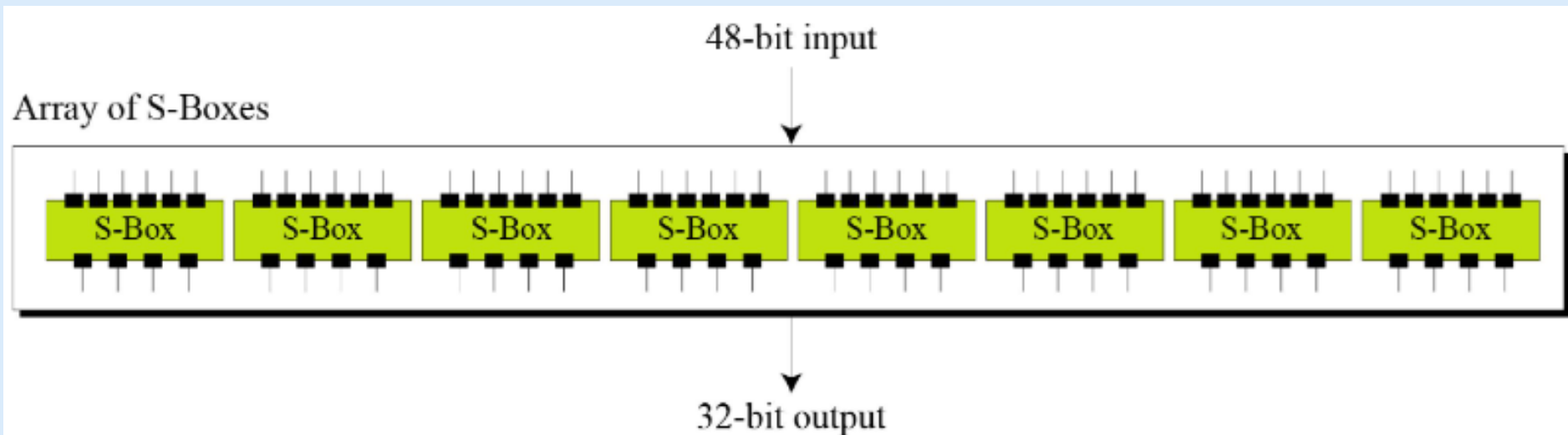


*Expansion P-box table*

32	01	02	03	04	05
04	05	06	07	08	09
08	09	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	31	31	32	01



# DES Function: S-Boxes

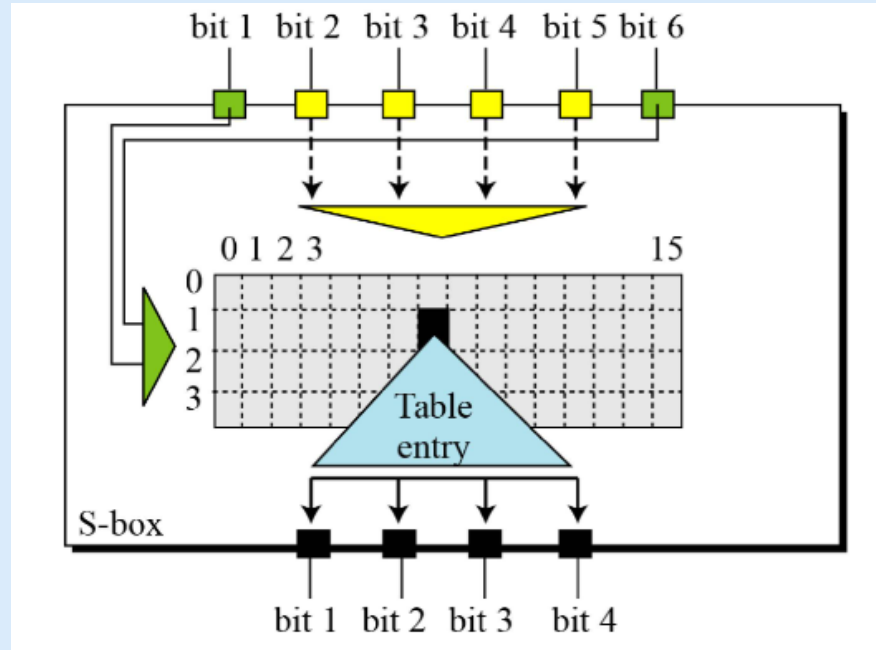


All 8 S-Boxes have same working principle, but different substitution tables

# DES Function: S-Box-1



Example: If input is  $101100_2$   
We check row 2 ( $10_2$ ) and  
column 6 ( $0110_2$ ) to get  
output 2, which is  $0010_2$



	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	14	04	13	01	02	15	11	08	03	10	06	12	05	09	00	07
1	00	15	07	04	14	02	13	10	03	06	12	11	09	05	03	08
2	04	01	14	08	13	06	02	11	15	12	09	07	03	10	05	00
3	15	12	08	02	04	09	01	07	05	11	03	14	10	00	06	13

Find the output if S-Box-1 input is 100011

# DES Function: S-Boxes



S-Box-2

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	15	01	08	14	06	11	03	04	09	07	02	13	12	00	05	10
1	03	13	04	07	15	02	08	14	12	00	01	10	06	09	11	05
2	00	14	07	11	10	04	13	01	05	08	12	06	09	03	02	15
3	13	08	10	01	03	15	04	02	11	06	07	12	00	05	14	09

S-Box-3

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	10	00	09	14	06	03	15	05	01	13	12	07	11	04	02	08
1	13	07	00	09	03	04	06	10	02	08	05	14	12	11	15	01
2	13	06	04	09	08	15	03	00	11	01	02	12	05	10	14	07
3	01	10	13	00	06	09	08	07	04	15	14	03	11	05	02	12

# DES Function: Straight P-Box



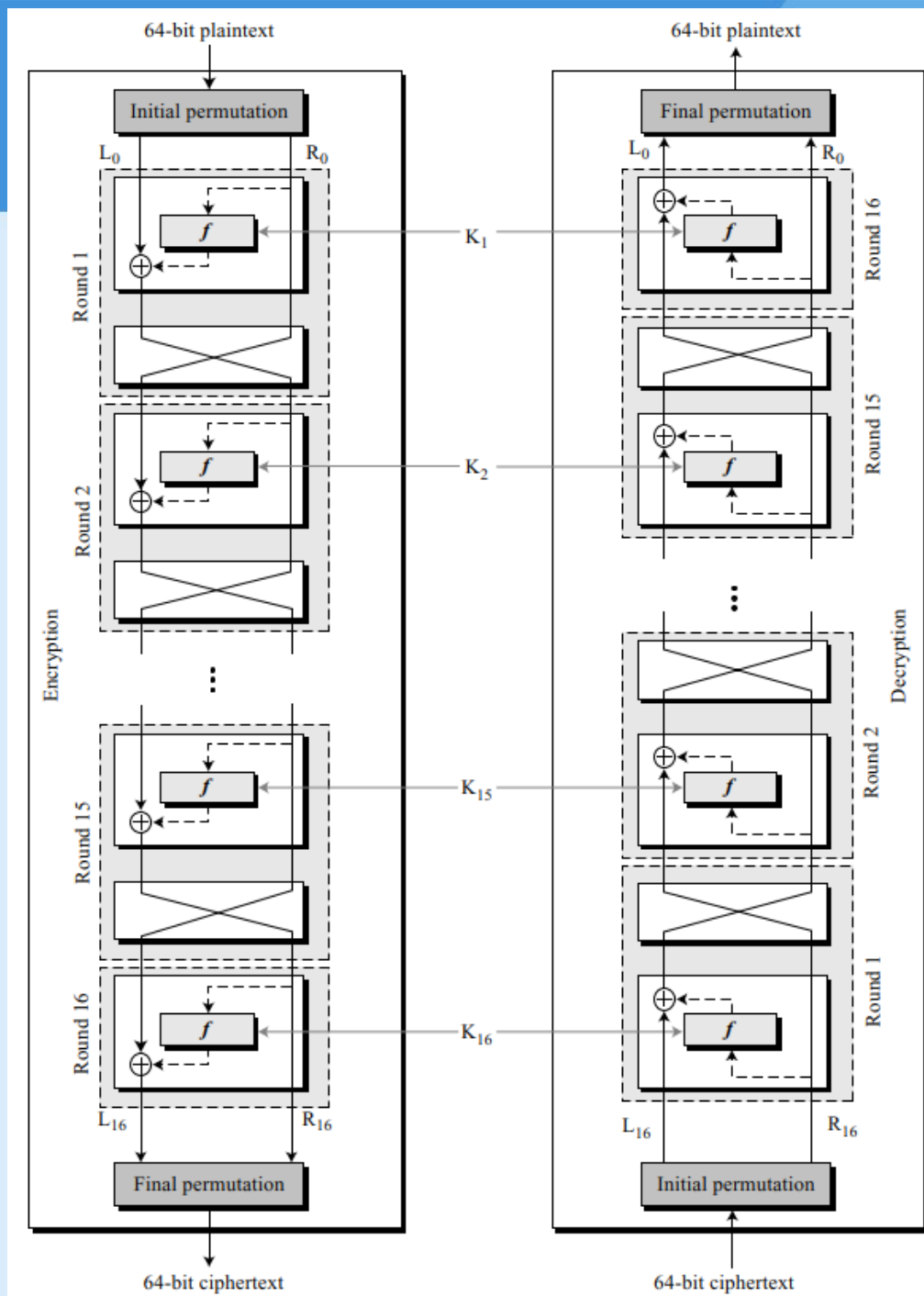
16	07	20	21	29	12	28	17
01	15	23	26	05	18	31	10
02	08	24	14	32	27	03	09
19	13	30	06	22	11	04	25

32-bit input to 32-bit output

16<sup>th</sup> bit of input becomes 1<sup>st</sup> bit of output, and so on.

# DES Decryption

- During encryption, skip the swapper in the last round.
- For decryption, go through the same procedure as encryption (i.e. initial permutation, 16 rounds, final permutation), just use the round keys in reverse order
  - this methodology is common in all Fiestel ciphers



# DES Strength Analysis



- DES is probably the most-scrutinized encryption algorithm, and to date no substantial mathematical weakness has been discovered.
- BUT... it is not resistant to brute force attacks.

# DES Strength Analysis



## Short key length

- With 56 bits, there are  $2^{56}$  possible keys, which is approximately  $7.2 \times 10^{16}$  keys, which can be broken in relatively short time by brute forcing.

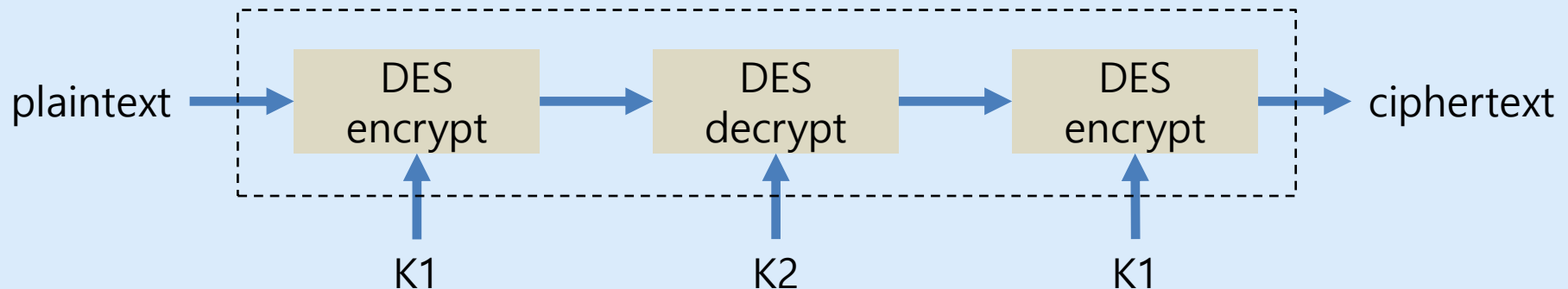
Chronology of DES Cracking	
Broken for the first time	1997
Broken in 56 hours	1998
Broken in 22 hours and 15 minutes	1999
Capable of broken in 5 minutes	2021

- More powerful and parallelized hardware yields quicker results
- Within 26 hours when using a specialized hardware:  
<https://crack.sh>

# Triple DES (3DES)



- Repeats basic DES algorithm three times
- Using either two or three unique keys
  - key size of 112 or 168 bits.
- much more secure but also much slower



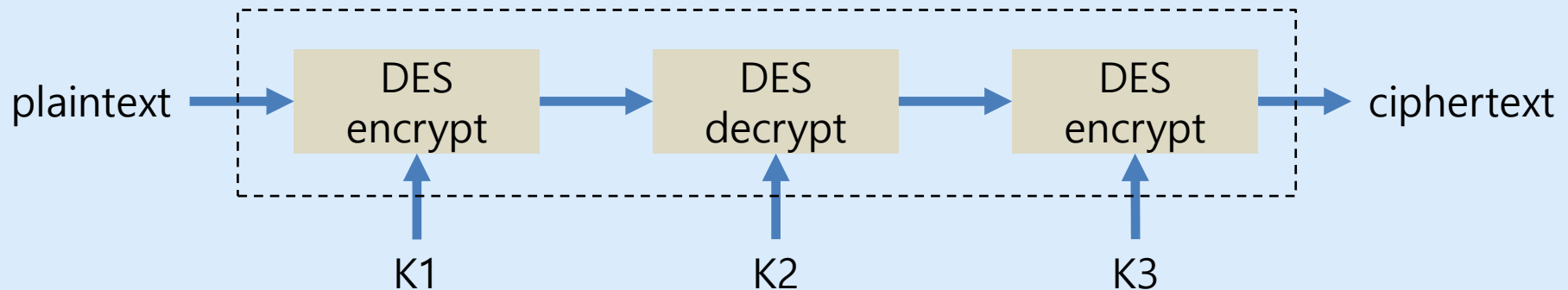
With **two** keys, Effective key length = 112 bits



# Triple DES (3DES)



- Repeats basic DES algorithm three times
- Using either two or three unique keys
  - key size of 112 or 168 bits.
- much more secure but also much slower



With **three** keys, Effective key length = 168 bits

# Advanced Encryption Standard

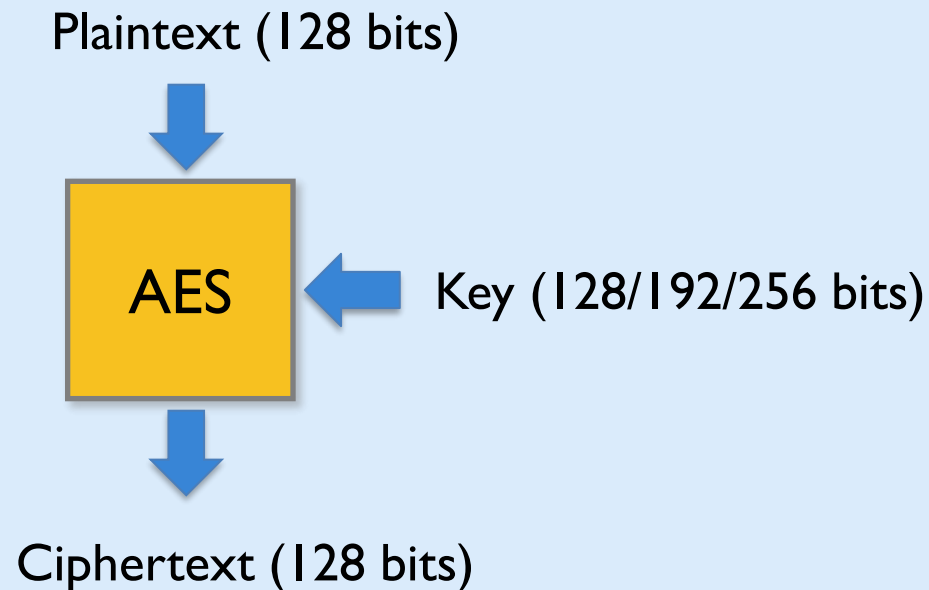


- NIST called for proposals in 1997
  - goals: efficiency, security, HW/SW suitability
  - key length 128, 192, 256 bits
  - Selected Rijndael in Nov 2001 and declared as 'AES'
- Symmetric block cipher
- Uses 128 bit data block & 128/192/256 bit keys
- Now widely available commercially

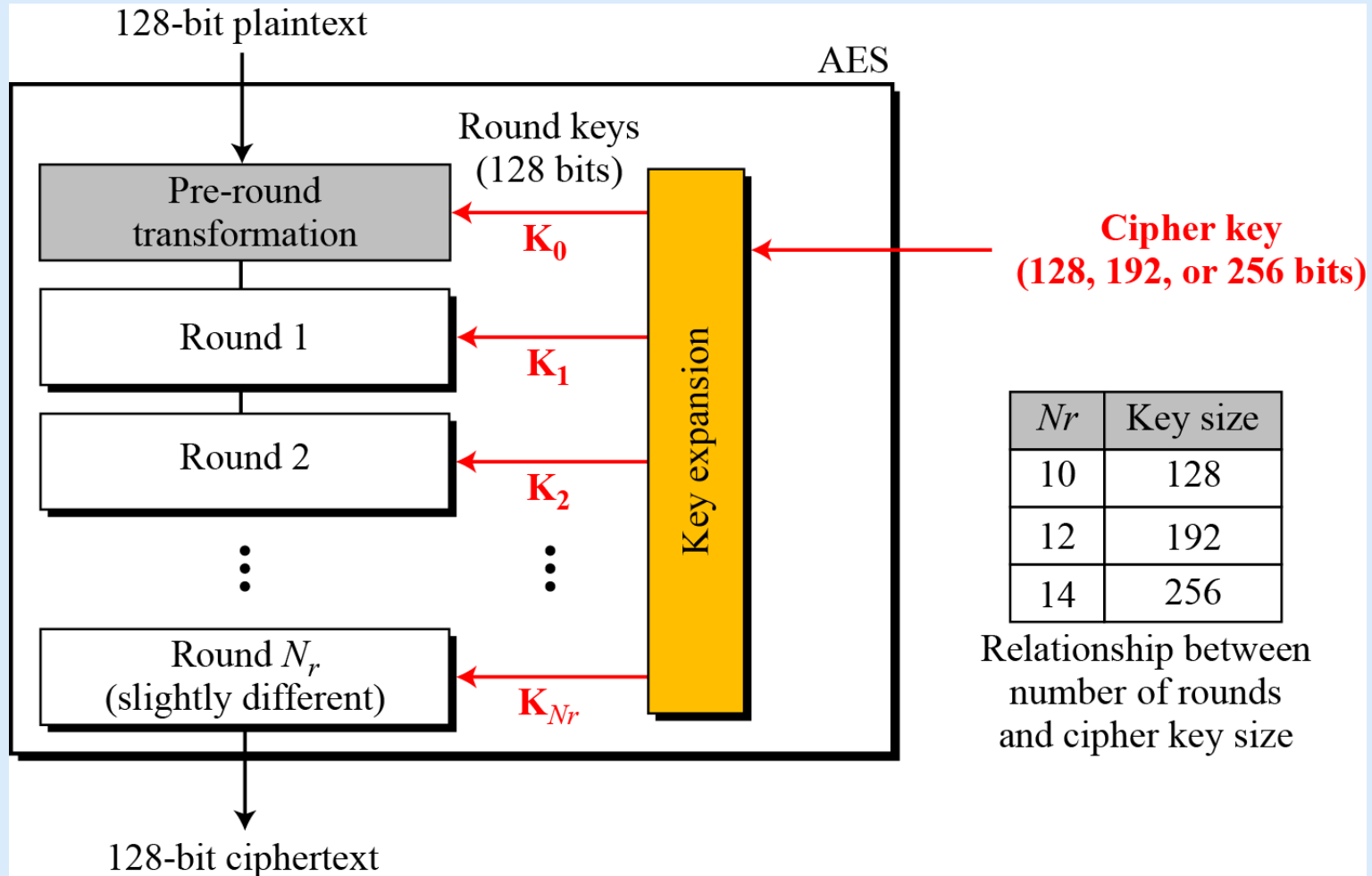
# The AES Cipher - Rijndael



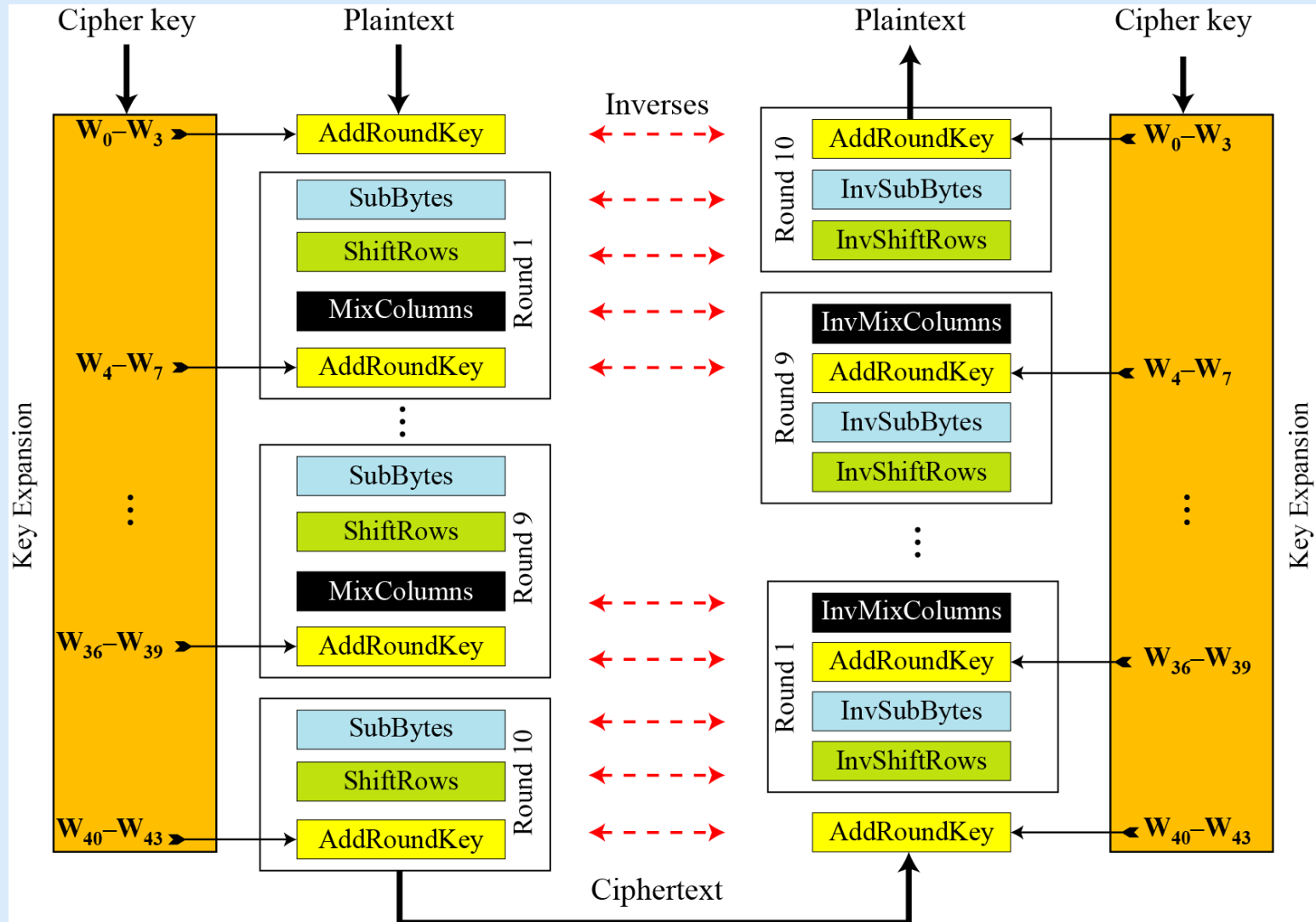
- An iterative rather than Feistel cipher
  - processes data as block of 4 columns of 4 bytes (128 bits)
  - operates on entire data block in every round



# Multiple rounds



# Overall Structure

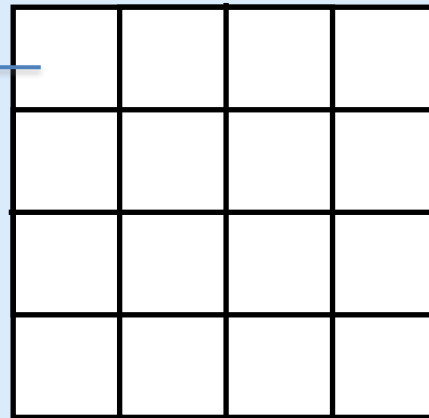


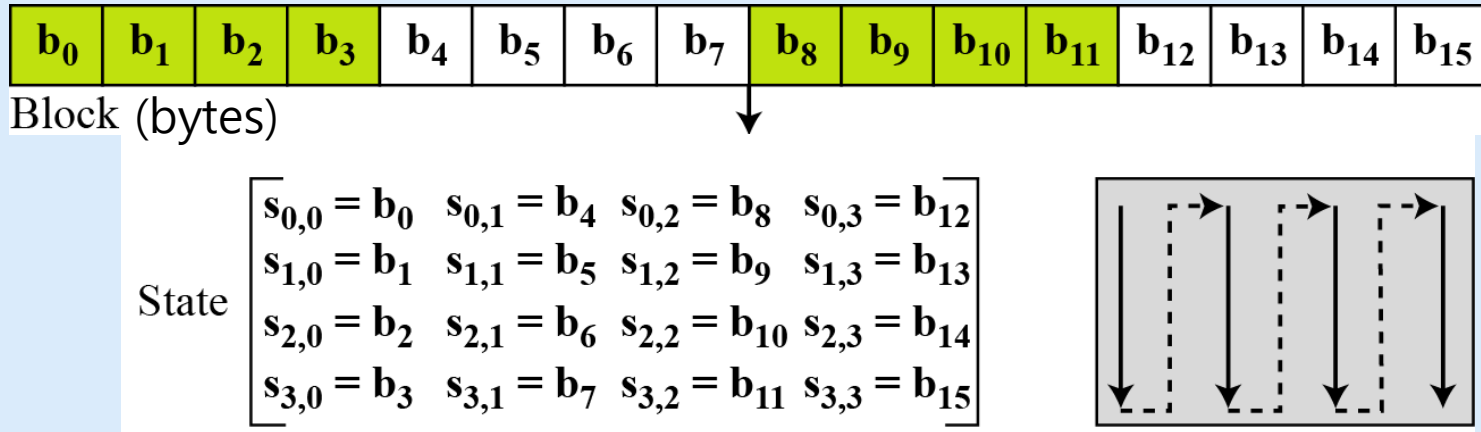
# Structure of 128-bit block



- Data block viewed as table of bytes
- Represented as 4 by 4 matrix of bytes.
- Key is expanded to array of 32 bits words

1 byte

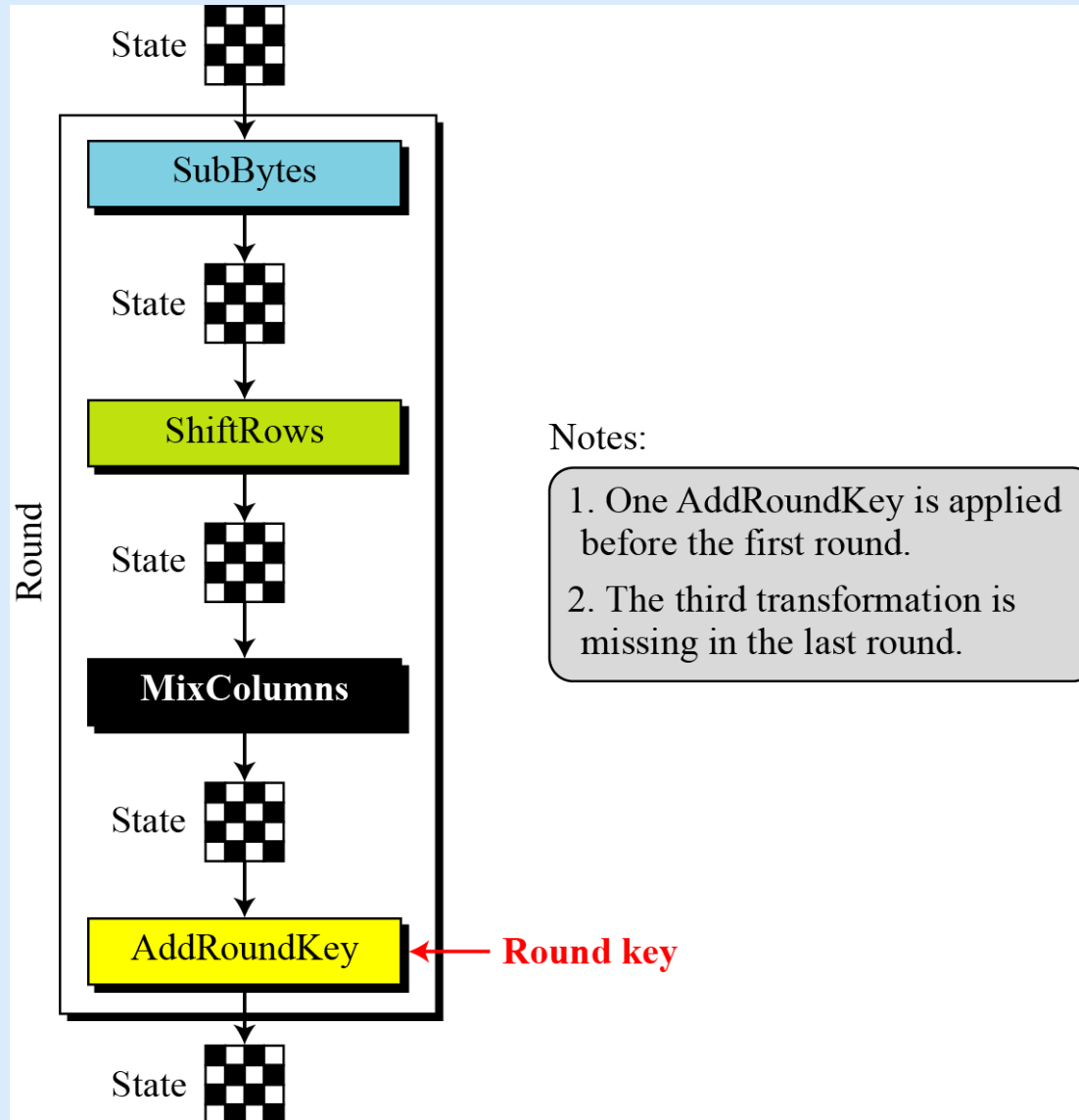




## Example - changing plaintext to State

Text	A	E	S	U	S	E	S	A	M	A	T	R	I	X	<b>Z</b>	<b>Z</b>
Hexadecimal	00	04	12	14	12	04	12	00	0C	00	13	11	08	23	19	19
								00	12	0C	08					
								04	04	00	23					
								12	12	13	19					
								14	00	11	19					
								State								

# Details of each round



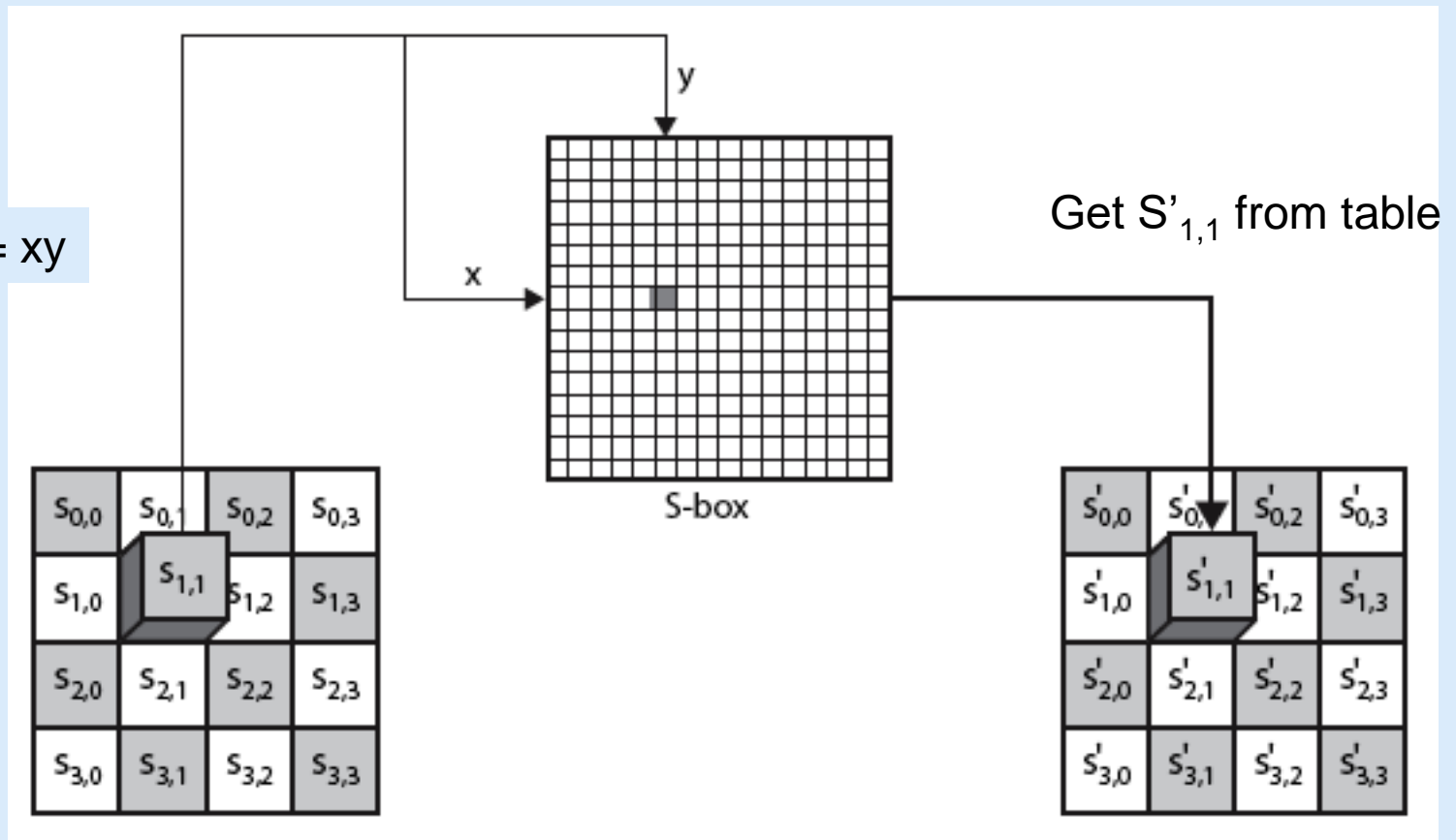


# SubBytes Operation



The SubBytes operation involves 16 independent byte-to-byte substitutions.

\* Let  $S_{1,1} = xy$



\* Interpret a byte as two hexadecimal digits  $xy$

# SubBytes Table



Implemented by table lookup

		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

# InvSubBytes Table

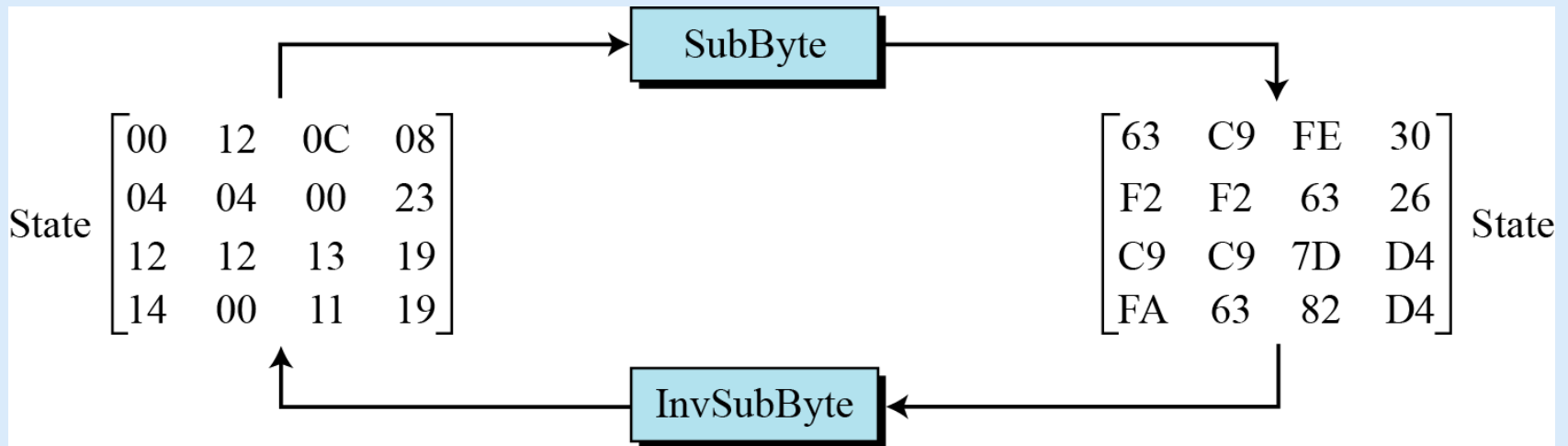


		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
	1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CB
	2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E
	3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
	4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
	5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
	6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	B3	45	06
	7	D0	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	6B
	8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
	9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6E
	A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	1B
	B	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4
	C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F
	D	60	51	7F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF
	E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
	F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D

# SubByte Example



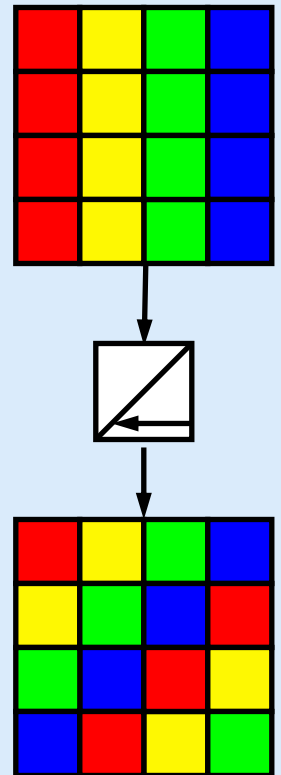
- The SubBytes and InvSubBytes transformations are inverses of each other.



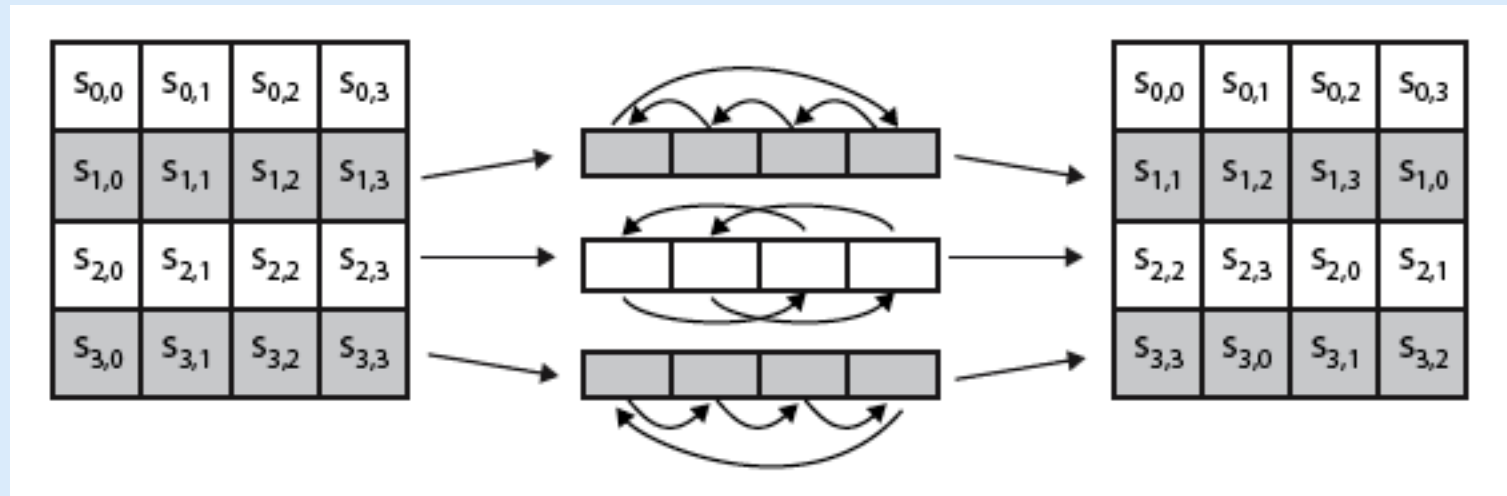
# ShiftRows



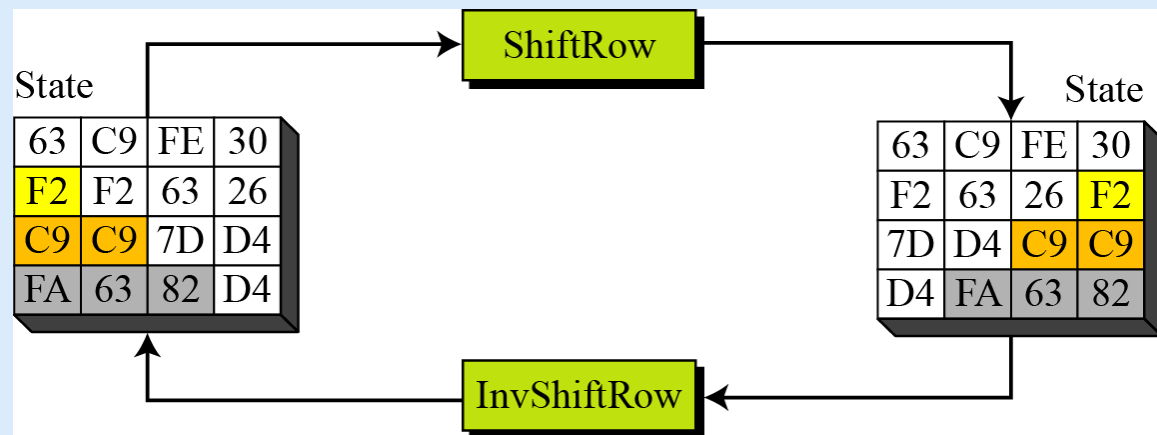
- Shifting permutes the bytes.
- Do a circular byte shift in each row
  - 1st row is unchanged
  - 2nd row does 1 byte circular shift to left
  - 3rd row does 2 byte circular shift to left
  - 4th row does 3 byte circular shift to left
- In the encryption, the transformation is called ShiftRows
- In the decryption, the transformation is called InvShiftRows and the shifting is to the right



# ShiftRows Scheme



Example

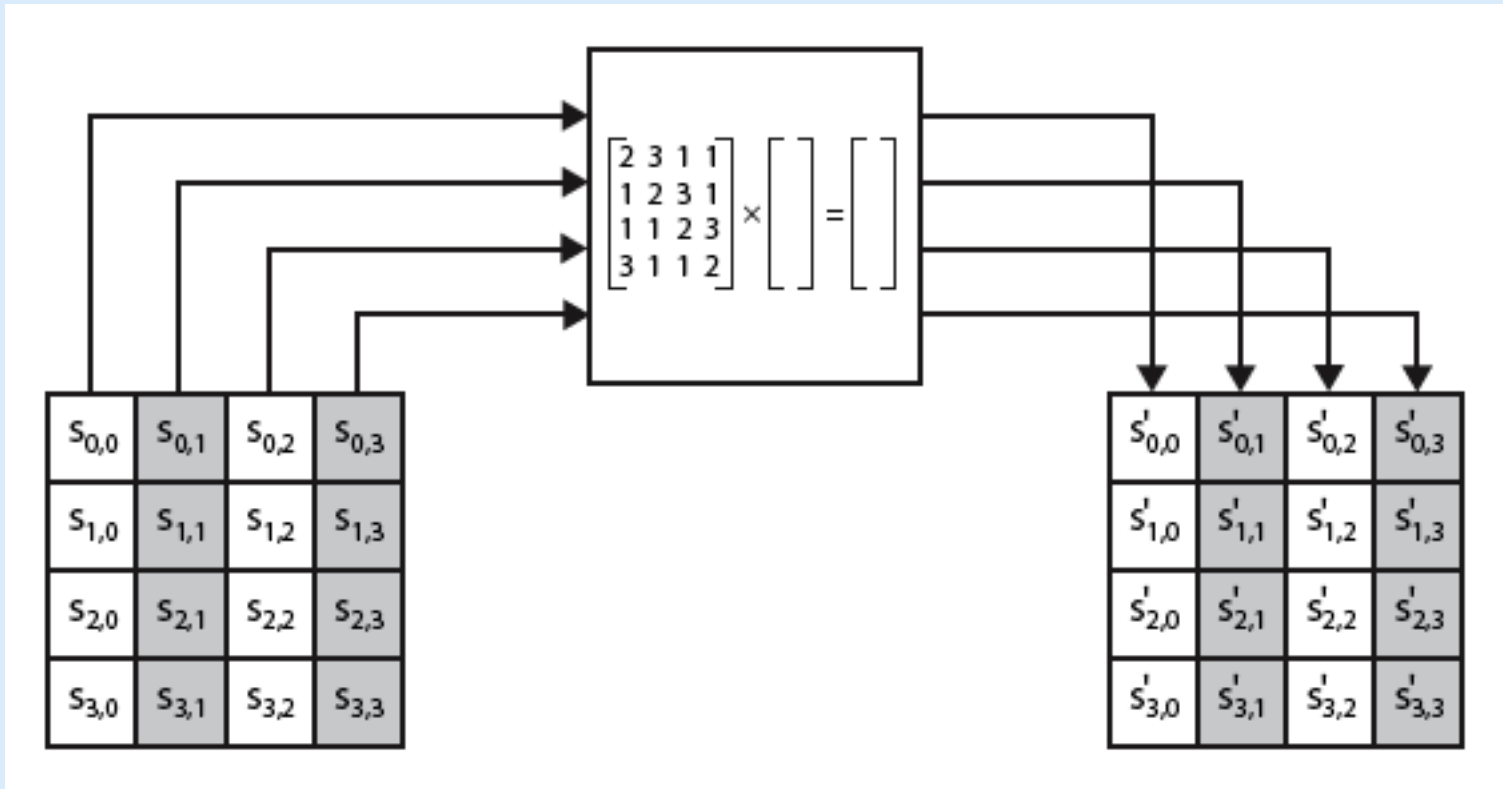


# MixColumns



- ShiftRows and MixColumns provide diffusion to the cipher
- In MixColumns, each column is processed separately
- Each byte is replaced by a value dependent on all 4 bytes in the column
- Effectively a matrix multiplication in finite field  $GF(2^8)$  using prime polynomial  $x^8+x^4+x^3+x+1$

# MixColumns Scheme



The MixColumns transformation operates at the column level; it transforms each column of the state to a new column.



# MixColumn and InvMixColumn



During decryption, inverse mixing matrix is used

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \xleftrightarrow{\text{Inverse}} \begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix}$$

$C$   $C^{-1}$

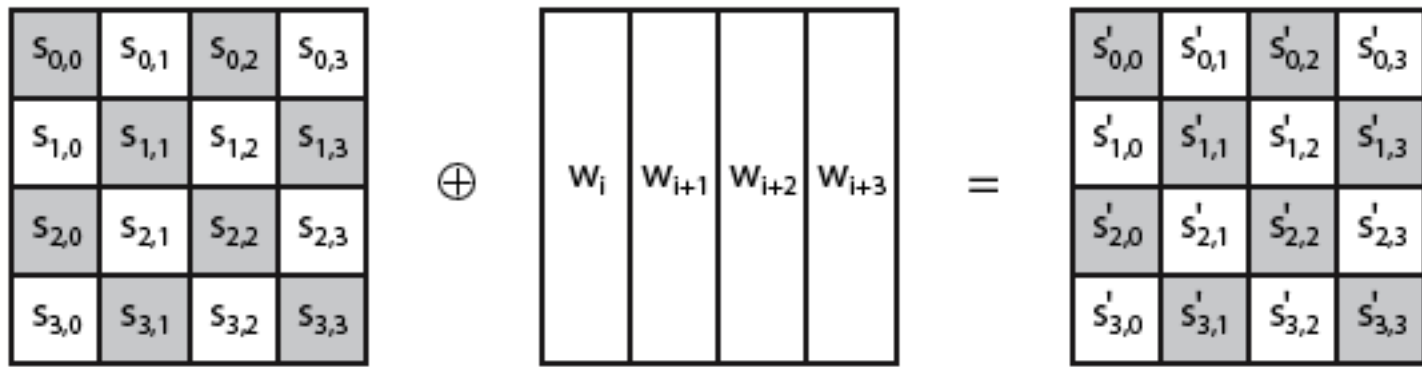
# AddRoundKey



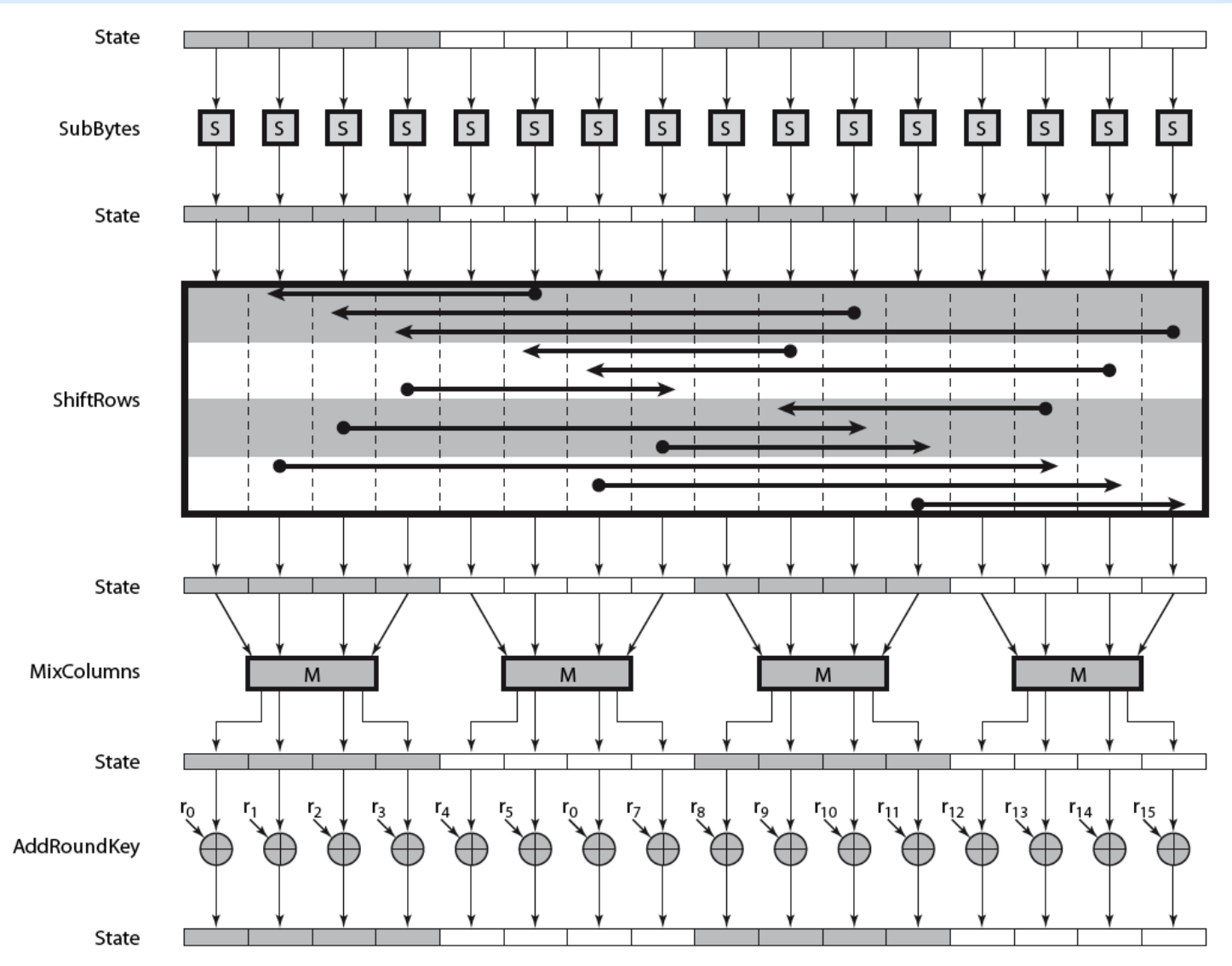
XOR state with 128-bits of the round key

- AddRoundKey proceeds one column at a time.
  - adds a round key word with each state column matrix
  - the operation is matrix addition
- Inverse for decryption is identical
  - since XOR is its own inverse, with same keys

# AddRoundKey Scheme



# AES Round



# AES Key Scheduling (generating round keys)



- takes 128-bits (16-bytes) key and expands into array of 44 words (32-bit each)

<i>Round</i>	<i>Words</i>			
Pre-round	$w_0$	$w_1$	$w_2$	$w_3$
1	$w_4$	$w_5$	$w_6$	$w_7$
2	$w_8$	$w_9$	$w_{10}$	$w_{11}$
...	...			
$N_r$	$w_{4N_r}$	$w_{4N_r+1}$	$w_{4N_r+2}$	$w_{4N_r+3}$

# AES Security



- AES was designed after DES.
  - Most of the known attacks on DES were already tested on AES.
- Brute-Force Attack
  - AES is definitely more secure than DES due to the larger-size key.
- Statistical Attacks
  - Numerous tests have failed to do statistical analysis of the ciphertext
- Differential and Linear Attacks
  - There are no differential and linear attacks on AES as yet.

# Implementation Aspects



- The algorithms used in AES are so simple that they can be easily implemented using cheap processors and a minimum amount of memory.
  - Very efficient
  - Implementation was a key factor in its selection as the AES cipher
- Several modern CPU architectures include AES instructions

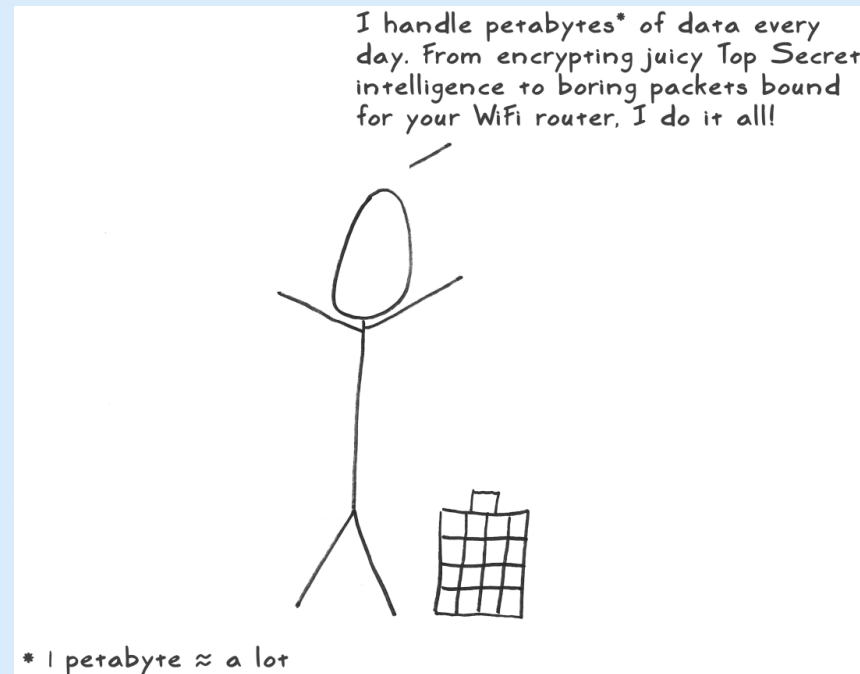
[https://en.wikipedia.org/wiki/AES\\_instruction\\_set](https://en.wikipedia.org/wiki/AES_instruction_set)

# AES illustrated



A stick figure guide

<http://www.moserware.com/2009/09/stick-figure-guide-to-advanced.html>





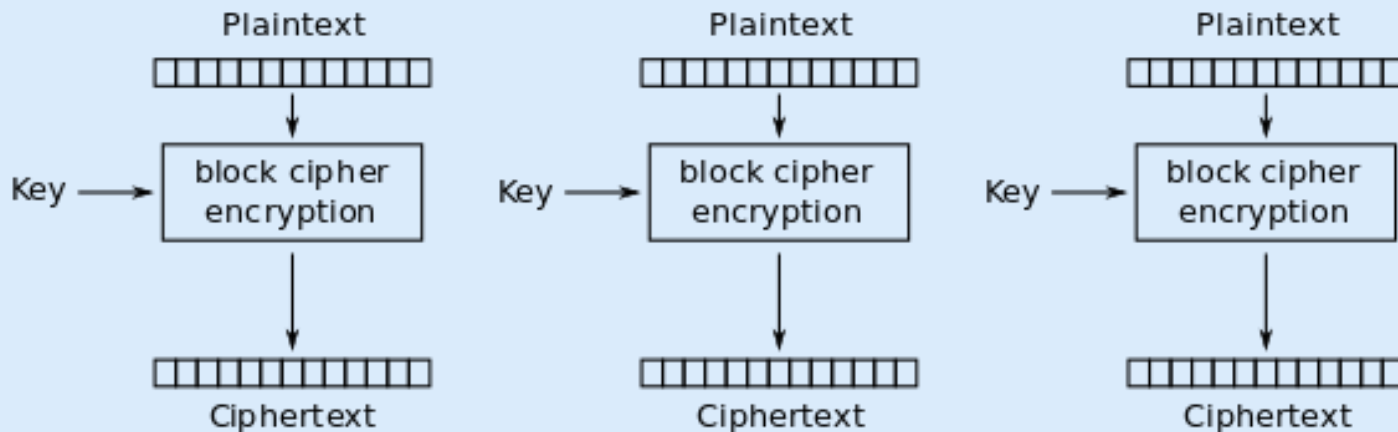
# Block Cipher Modes of Operation



# ECB mode

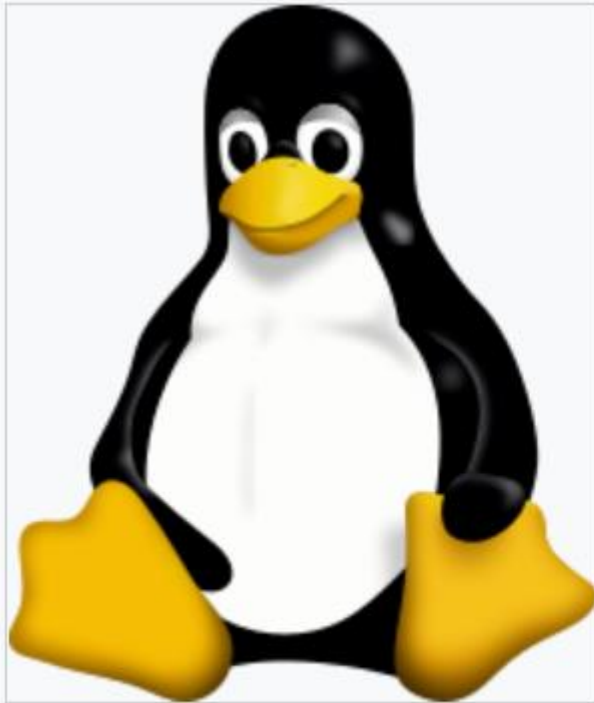


- Block ciphers operate only on small fixed size chunks like 64 bits (DES), 128 bits (AES) etc.
- To encrypt large data, one (lazy) option is to simply divide the whole data in blocks and encrypt them separately with same key. This is ECB mode.



Electronic Codebook (ECB) mode encryption

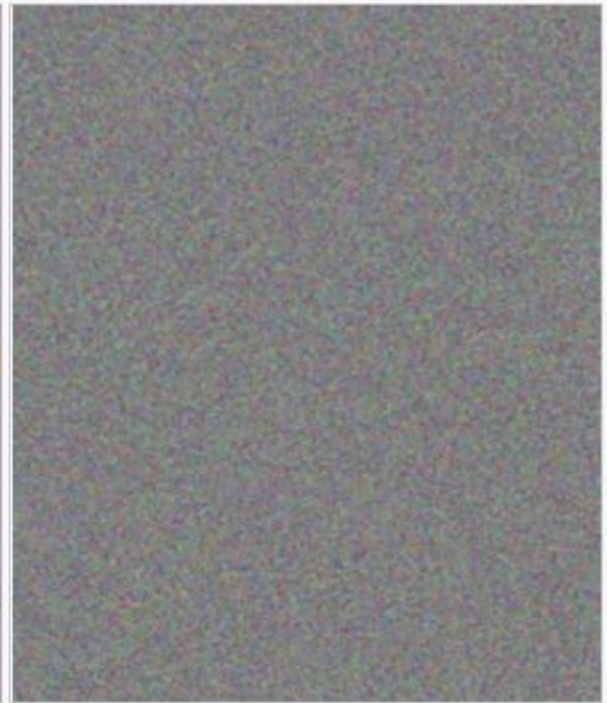
# ECB mode: problem



Original image



Using ECB allows patterns to be easily discerned

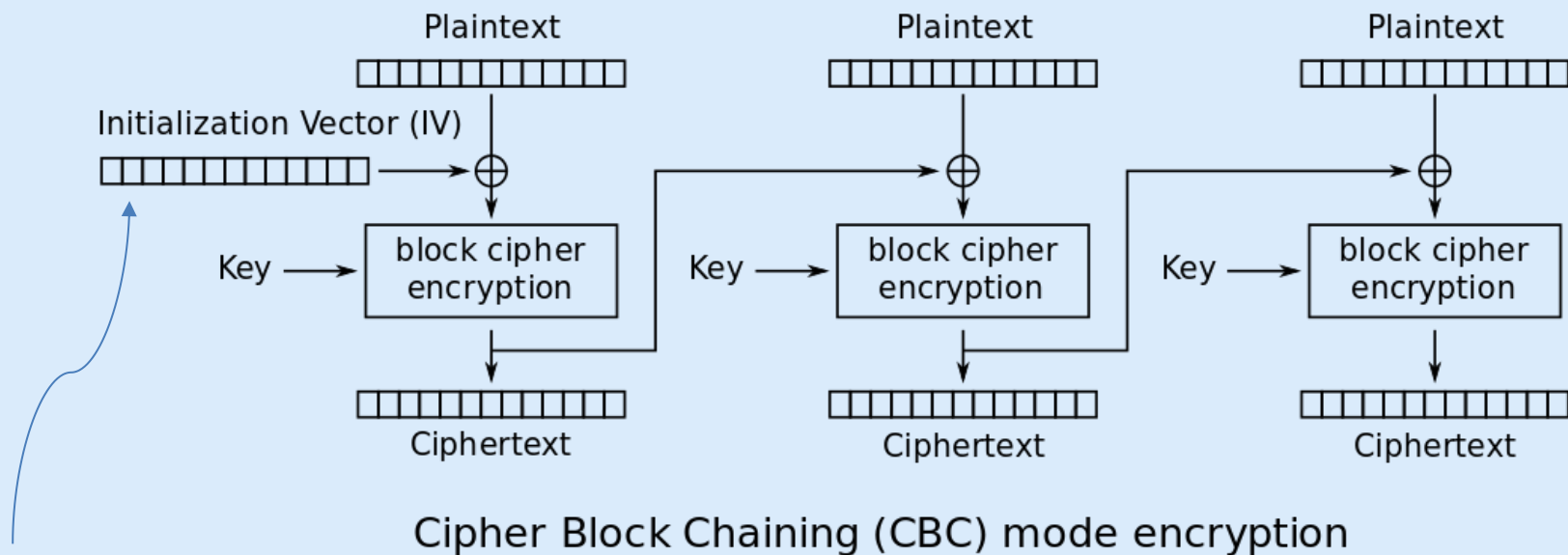


Modes other than ECB result in pseudo-randomness

# CBC mode



- More secure modes are available, such as Cipher Block Chaining (CBC)
- Each ciphertext block depends on all plaintext blocks processed up to that point

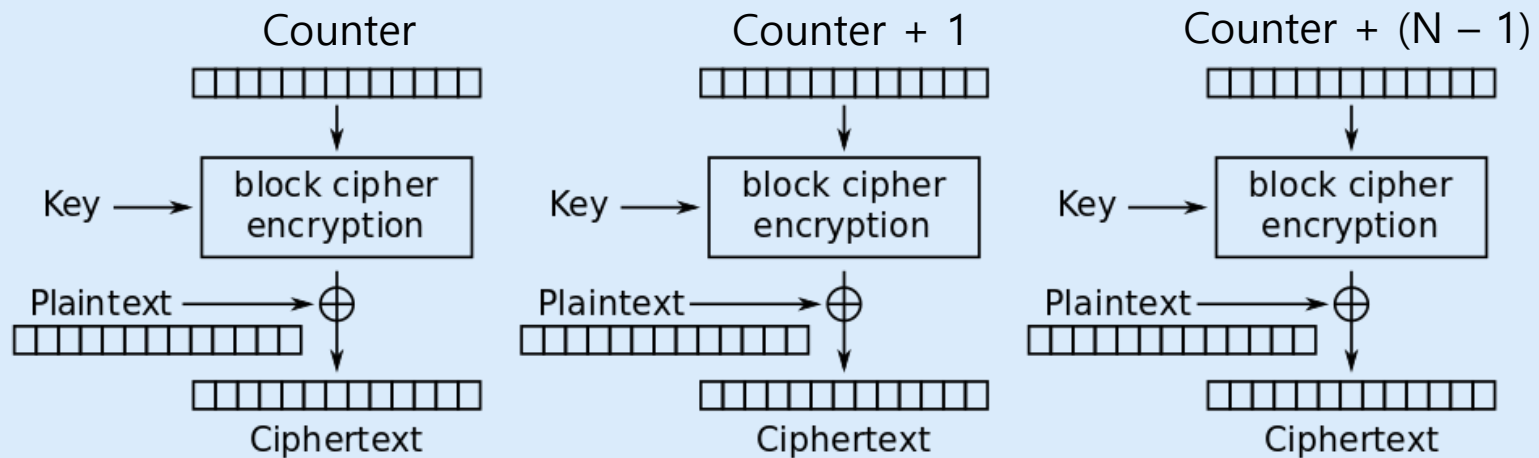


Any fixed (non-secret)  
value to start with

# CTR mode



- Another one is Counter mode
- Start with any pre-defined counter value and then keep incrementing.
- Can encrypt blocks in parallel (unlike CBC mode)



Counter (CTR) mode encryption