



## 0/1 Knapsack

### Problem statement

We have a knapsack / bag which we have to fill with objects. If the object is represented with 0 which means object is absent. And if the object is represented with 1 which means object is present.

The knapsack problem which we solve using greedy approach is a fractional knapsack.   
 ~~This~~ (profit/weight ratio)

The 0/1 knapsack problem solved using dynamic programming is different approach.

Either the full obj is present or absent. No fraction is considered.

### Example

object	ob1	ob2	ob3
weight	2	4	8
profit	20	25	60

Knapsack capacity ( $M$ ) = 12



Our target is to fill the knapsack with the objects to get the maximum profit.

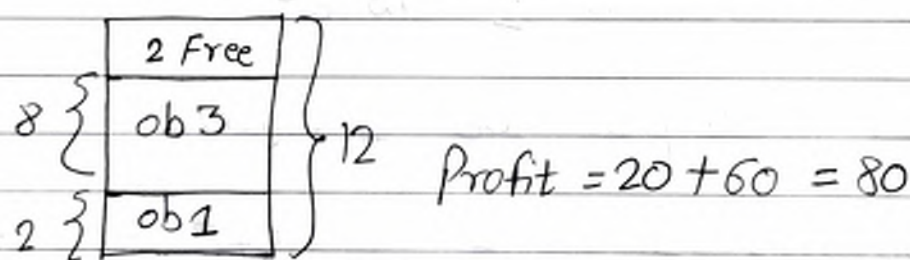
If we first calculate  $\frac{\text{profit}}{\text{weight}}$  ratio for each object.

Object	obj1	obj2	obj3
$\frac{\text{profit}}{\text{weight}}$ ratio	10	6.2	7.5

Highest Plw ratio is for obj1 with weight 2



Next highest Plw ratio is for obj3 with weight 8



As this is 0/1 knapsack we can not select the objects in fractional part.

But through this approach we can not achieve the optimal solution.





To solve the 0/1 knapsack problem we ~~requr~~ apply brute-force technique/method.

As per brute-force technique, if we have  $n$  objects as  $1, 2, 3, 4, \dots, n$

for all the objects

For each object we have 2 <sup>(choices)</sup> options either we will put the object in the bag or we will not put the object in the bag.

1	2	3	4	...	n
2	2	2	2		2
choices	choices	choices	choices		choices

Total  $2^n$  choices

In our example we 3 objects.

So we have  $2^n = 2^3$  choices = 8 choices

Out of these 8 choices 1 each choice will give the optimal solution.

Now how to find out the optimal solution out 8.



ob  
If we go with brute force method.

	ob1	ob2	ob3	Profit
1	0	0	0	No profit
2	0	0	1	60
3	0	1	0	25
4	0	1	1	85
5	1	0	0	20
6	1	0	1	80
7	1	1	0	45
8	1	1	1	Not possible as capacity of knapsack is 12.

Example,

$$\begin{aligned}\text{weights} &= \{3, 4, 6, 5\} \\ \text{Profits} &= \{2, 3, 1, 4\} \\ M &= 8\end{aligned}$$

Total 4 objects are there so total we have  $2^4$   
 $= 16$  possibilities.

Using dynamic programming approach

Brute force approach is not always feasible.





Using dynamic programming approach for 0/1

Knapsack problem:-

		$i \backslash w$	capacity of knapsack								
			0	1	2	3	4	5	6	7	8
$w_i$	$i$	0	0	0	0	0	0	0	0	0	0
3	1	0	0	0	0	2	2	2	2	2	2
4	2	0	0	0	0	2	3	3	3	5	5
5	3	0	0	0	0	2	3	4	4	5	6
6	4	0	0	0	0	2	3	4	4	5	6

$w_i$  must be written in ascending order.  
so the sequence is  $\{3, 4, 5, 6\}$

First row & first col<sup>m</sup> set to zero.

Value of  $i$  are the no of objects

For value of (2,4)

$$\max(3 + w - w_i -$$

$$\begin{aligned} & \max((3 + w - w_i), 2) \\ &= \max((3 + 4 - 4), 2) \\ &= \max((3 + 0), 2) \\ &= \max(3, 2) \\ &= 3 \end{aligned}$$



For value of (2,5)

$$\begin{aligned} & \max((3 + W - W_i), 2) \\ &= \max((3 + (5 - 4)), 2) \end{aligned}$$

$$= \max((3 + (1)), 2)$$

check value for 1 in previous step it is 0

$$= \max((3 + 0), 2)$$

$$= \max(3, 2)$$

$$= 3$$

For value (2,6)

$$\max((3 + W - W_i), 2)$$

$$= \max((3 + (6 - 4)), 2)$$

$$= \max((3 + (2)), 2)$$

$$= \max((3 + 0), 2)$$

$$= \max(3, 2)$$

$$= 3$$

For value of (2,7)

$$= \max((3 + (7 - 4)), 2)$$

$$= \max((3 + (3)), 2)$$

$$= \max(3 + 2, 2)$$

$$= \max(5, 2)$$

$$= 5$$

For value of (2,8)

$$= \max((3 + (8 - 4)), 2)$$

$$= \max((3 + (4)), 2)$$

$$= \max((3 + 2), 2)$$

$$= \max(5, 2)$$

$$= 5$$





For value of (3,5)

$$\begin{aligned} &= \max (4 + (5-5), 3) \\ &= \max (4 + 0, 3) \\ &= \max (4, 3) \\ &= 4 \end{aligned}$$

For value of (3,6)

$$\begin{aligned} &= \max (4 + (6-5), 3) \\ &= \max (4 + 1, 3) \\ &= \max (4 + 0, 3) \\ &= \max (4, 3) \\ &= 4 \end{aligned}$$

For value of (3,7)

$$\begin{aligned} &= \max ((4 + (7-5)), 5) \\ &= \max ((4 + 2), 5) \\ &= \max (4 + 0, 5) \\ &= \max (4, 5) \\ &= 5 \end{aligned}$$

For value of (3,8)

$$\begin{aligned} &= \max ((4 + (8-5)), 5) \\ &= \max ((4 + 3), 5) \\ &= \max ((4 + 2), 5) \\ &= \max (6, 5) \\ &= 6 \end{aligned}$$



For value of (4,6)

$$\begin{aligned} &= \max((1 + (6-6)), 4) \\ &= \max((1 + (0)), 4) \\ &= \max((1 + 0), 4) \\ &= \max(1, 4) \\ &= 4 \end{aligned}$$

For value of (4,7)

$$\begin{aligned} &= \max((1 + (7-6)), 5) \\ &= \max((1 + (1)), 5) \\ &= \max((1 + 0), 5) \\ &= \max(1, 5) \\ &= 5 \end{aligned}$$

For value of (4,8)

$$\begin{aligned} &= \max((1 + (8-6)), 6) \\ &= \max((1 + (2)), 6) \\ &= \max((1 + 0), 6) \\ &= \max(1, 6) \\ &= 6 \end{aligned}$$

★★ Formula to calculate value of each cell of matrix m

$$m[i, w] = \max(m[i-1, w], m[i-1, w - w[i]]) + P[i]$$





Now how to decide ~~we~~ if we have to select the item or not. Currently pointer is pointing to last col<sup>m</sup> of last row.

$$x_i = \{ob1, ob2, ob3, ob4\}$$

check last col<sup>m</sup> of last row which is 6  
& check last col<sup>m</sup> of 2<sup>nd</sup> last row which is 6

As both of them are same we shift the pointer to 2<sup>nd</sup> last row & we are not

$$x_i = \{ \_, \_, 0, - \}$$
 selecting ob4

Now compare

last col<sup>m</sup>  
of 2<sup>nd</sup> last  
row

6

&

last col<sup>m</sup> of  
3<sup>rd</sup> last  
row

5

As both are not same we select item with ~~profit~~ weight 5 which is present in 2<sup>nd</sup> last row.

$$x_i = \{ \_, \_, 0, 1 \}$$

$$\text{Total Profit} = 6$$

$$\text{Profit of ob4} = 4$$

$$\text{Remaining Profit} = 6 - 4 = 2$$



For ob1 i.e. 2<sup>nd</sup> row last col<sup>m</sup> we have profit of 2. So we select ob1

Remaining profit = 2  
Profit of ob1 is 2

Now remaining profit =  $2 - 2 = 0$

$$x_i = \{1, 0, 0, 1\}$$