



## ● **Non Token based Algorithms:Lamport Algorithm**

A site communicates with other sites in order to determine which sites should execute the critical section next.

This requires the exchange of two or more successive rounds of messages among sites.

This approach uses timestamps instead of sequence numbers to order requests for the critical section.

Whenever a site makes a request for a critical section, it gets a timestamp. Timestamp is also used to resolve any conflict between critical section requests.

All algorithms which follow a non-token based approach maintain a logical clock. Logical clocks get updated according to Lamport's scheme

Example: Lamport's algorithm, Ricart–Agrawala algorithm

### **Lamport's Distributed Mutual Exclusion Algorithm**

It is a permission based algorithm proposed by Lamport as an illustration of his synchronization scheme for distributed systems.

In this algorithm, permission based timestamp is used to order critical section requests and to resolve any conflict between requests.

In Lamport's Algorithm, critical section requests are executed in the increasing order of timestamps i.e a request with smaller timestamp will be given permission to execute critical section first than a request with larger timestamp.

In this algorithm:

Three types of messages ( REQUEST, REPLY and RELEASE) are used and communication channels are assumed to follow FIFO order.

A site sends a REQUEST message to all other sites to get their permission to enter a critical section.

A site sends a REPLY message to a requesting site to give its permission to enter the critical section.

A site sends a RELEASE message to all other sites upon exiting the critical section.



PARSHWANATH CHARITABLE TRUST'S

# A.P. SHAH INSTITUTE OF TECHNOLOGY

Department of Computer Science and Engineering  
Data Science



Every site,  $S_i$ , keeps a queue to store critical section requests ordered by their timestamps.  $request\_queue_i$  denotes the queue of site  $S_i$

A timestamp is given to each critical section request using Lamport's logical clock.

Timestamp is used to determine priority of critical section requests. Smaller timestamp gets high priority over larger timestamp. The execution of critical section requests is always in the order of their timestamp.

Algorithm:

- To enter Critical section:

When a site  $S_i$  wants to enter the critical section, it sends a request message  $Request(T_{si}, i)$  to all other sites and places the request on  $request\_queue_i$ . Here,  $T_{si}$  denotes the timestamp of Site  $S_i$

When a site  $S_j$  receives the request message  $REQUEST(T_{si}, i)$  from site  $S_i$ , it returns a timestamped  $REPLY$  message to site  $S_i$  and places the request of site  $S_i$  on  $request\_queue_j$

- To execute the critical section:

A site  $S_i$  can enter the critical section if it has received the message with timestamp larger than  $(T_{si}, i)$  from all other sites and its own request is at the top of  $request\_queue_i$

- To release the critical section:

When a site  $S_i$  exits the critical section, it removes its own request from the top of its request queue and sends a timestamped  $RELEASE$  message to all other sites

When a site  $S_j$  receives the timestamped  $RELEASE$  message from site  $S_i$ , it removes the request of  $S_i$  from its request queue



## ● Ricart–Agrawala’s Algorithm

Ricart–Agrawala algorithm is an algorithm for mutual exclusion in a distributed system proposed by Glenn Ricart and Ashok Agrawala.

This algorithm is an extension and optimization of Lamport’s Distributed Mutual Exclusion Algorithm.

Like Lamport’s Algorithm, it also follows a permission-based approach to ensure mutual exclusion. In this algorithm:

- Two types of messages ( REQUEST and REPLY) are used and communication channels are assumed to follow FIFO order.
- A site sends a REQUEST message to all other sites to get their permission to enter the critical section.
- A site sends a REPLY message to another site to give its permission to enter the critical section.
- A timestamp is given to each critical section request using Lamport’s logical clock.
- Timestamp is used to determine priority of critical section requests. Smaller timestamp gets high priority over larger timestamp. The execution of critical section requests is always in the order of their timestamp.

Algorithm:

- To enter Critical section:
  - When a site  $S_i$  wants to enter the critical section, it sends a timestamped REQUEST message to all other sites.
  - When a site  $S_j$  receives a REQUEST message from site  $S_i$ , It sends a REPLY message to site  $S_i$  if and only if
    - Site  $S_j$  is neither requesting nor currently executing the critical section.
    - In case Site  $S_j$  is requesting, the timestamp of Site  $S_i$ ’s request is smaller than its own request.
- To execute the critical section:



- Site  $S_i$  enters the critical section if it has received the REPLY message from all other sites.
- To release the critical section:
  - Upon exiting the site  $S_i$  sends a REPLY message to all the deferred requests.

## ● Maekawa's Algorithm

Instead of requesting permission to execute the critical section from all other sites, Each site requests only a subset of sites which is called a quorum. Any two subsets of sites or Quorum contains a common site. This common site is responsible to ensure mutual exclusion

Example: Maekawa's Algorithm

Maekawa's Algorithm is a quorum based approach to ensure mutual exclusion in distributed systems.

As we know, In permission based algorithms like Lamport's Algorithm, Ricart-Agrawala Algorithm etc. a site request permission from every other site but in quorum based approach, A site does not request permission from every other site but from a subset of sites which is called quorum.

In this algorithm:

- Three types of messages ( REQUEST, REPLY and RELEASE) are used.
- A site sends a REQUEST message to all other sites in its request set or quorum to get their permission to enter the critical section.
- A site sends a REPLY message to a requesting site to give its permission to enter the critical section.
- A site sends a RELEASE message to all other sites in its request set or quorum upon exiting the critical section.

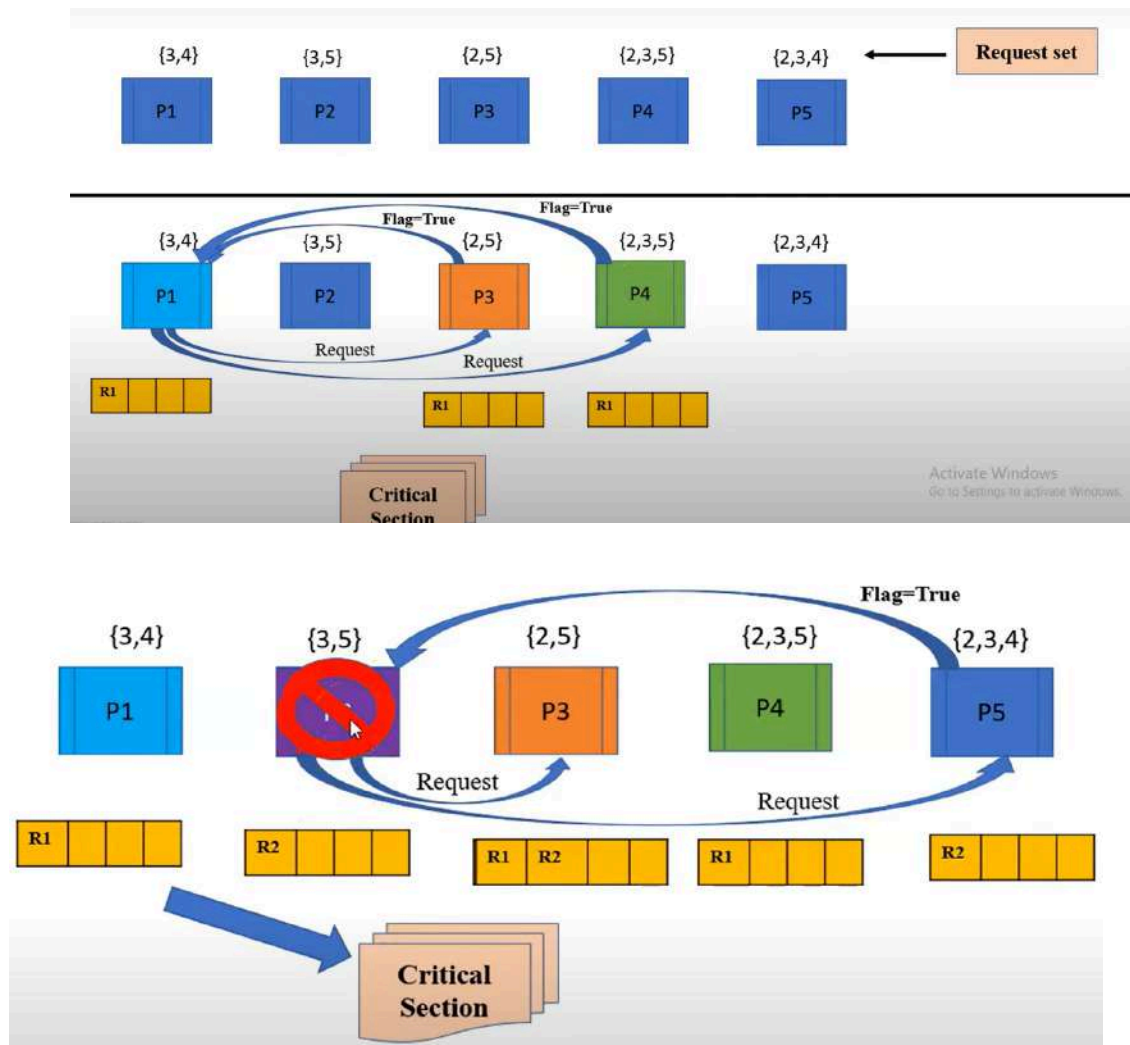
Assumptions and Rules:

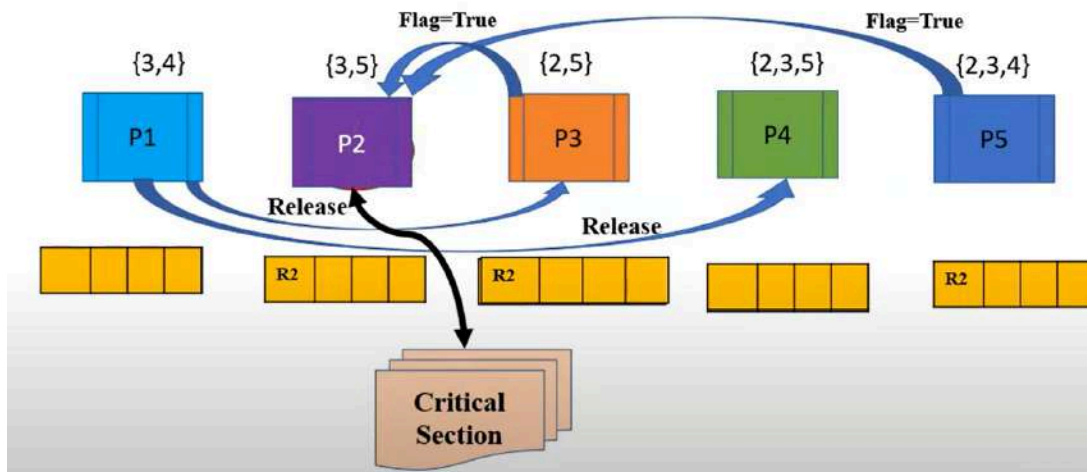
- Every process should belong to some group.



- If any process wants to access Critical section then it will send request to group members and receive reply from group members
- Rules and Process:
- The intersection of two groups  $\neq$  Null
- The Process should be part of any group
- Single process cannot be considered as a single group.

Example:





Algorithm:

- To enter Critical section:

When a site  $S_i$  wants to enter the critical section, it sends a request message  $REQUEST(i)$  to all other sites in the request set  $R_i$ .

When a site  $S_j$  receives the request message  $REQUEST(i)$  from site  $S_i$ , it returns a  $REPLY$  message to site  $S_i$  if it has not sent a  $REPLY$  message to the site from the time it received the last  $RELEASE$  message. Otherwise, it queues up the request.

- To execute the critical section:

A site  $S_i$  can enter the critical section if it has received the  $REPLY$  message from all the site in request set  $R_i$

- To release the critical section:

When a site  $S_i$  exits the critical section, it sends  $RELEASE(i)$  message to all other sites in request set  $R_i$

When a site  $S_j$  receives the  $RELEASE(i)$  message from site  $S_i$ , it send  $REPLY$  message to the next site waiting in the queue and deletes that entry from the queue

In case queue is empty, site  $S_j$  update its status to show that it has not sent any  $REPLY$  message since the receipt of the last  $RELEASE$  message.