

Department of Computer Science and Engineering
Data Science



Module 5

Gated Recurrent Unit (GRU)

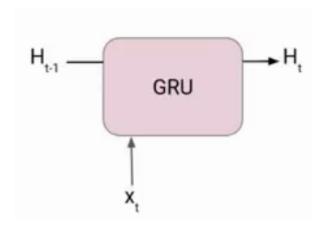
How GRU Solve the Limitations of Standard RNN?

There are various types of recurrent neural network to solve the issues with standard RNN, GRU is one of them. Here's how GRUs address the limitations of standard RNNs:

- **Gated Mechanisms:** Unlike standard RNNs, GRUs use special gates (Update gate and Reset gate) to control the flow of information within the network. These gates act as filters, deciding what information from the past to keep, forget, or update.
- **Mitigating Vanishing Gradients:** By selectively allowing relevant information through the gates, GRUs prevent gradients from vanishing entirely. This allows the network to learn long-term dependencies even in long sequences.
- Improved Memory Management: The gating mechanism allows GRU Activation Function to effectively manage the flow of information. The Reset gate can discard irrelevant past information, and the Update gate controls the balance between keeping past information and incorporating new information. This improves the network's ability to remember important details for longer periods.
- **Faster Training:** Due to the efficient gating mechanisms, GRU Activation Function can often be trained faster than standard RNNs on tasks involving long sequences. The gates help the network learn more effectively, reducing the number of training iterations required.

The Architecture of Gated Recurrent Unit

Now lets' understand how GRU works. Here we have a GRU cell which more or less similar to an LSTM cell or RNN cell.



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At each timestamp t, it takes an input Xt and the hidden state Ht-1 from the previous timestamp t-1. Later it outputs a new hidden state Ht which again passed to the next timestamp.

Now there are primarily two gates in a GRU as opposed to three gates in an LSTM cell. The first gate is the Reset gate and the other one is the update gate.

Reset Gate (Short term memory)

The Reset Gate is responsible for the short-term memory of the network i.e the hidden state (Ht). Here is the equation of the Reset gate.

$$r_1 = \sigma (x_1 * U_1 + H_{1-1} * W_1)$$

If you remember from the LSTM gate equation it is very similar to that. The value of **rt** will range from 0 to 1 because of the sigmoid function. Here Ur and Wr are weight matrices for the reset gate.

Update Gate (Long Term memory)

Similarly, we have an Update gate for long-term memory and the equation of the gate is shown below.

$$u_{t} = \sigma (x_{t} * U_{u} + H_{t-1} * W_{u})$$

The only difference is of weight metrics i.e Uu and Wu.

How GRU Works?

Prepare the Inputs:

• The GRU takes two inputs as vectors: the current input (X_t) and the previous hidden state (h_{t-1}) .

Gate Calculations:

- There are three gates in a GRU: Reset Gate, Update Gate, and Forget Gate (sometimes combined with Reset Gate). We'll calculate the values for each gate.
- To do this, we perform an element-wise multiplication (like a dot product for each element) between the current input and the previous hidden state vectors. This is done separately for each gate, essentially creating "parameterized" versions of the inputs specific to each gate.



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• Finally, we apply an activation function (a function that transforms the values) element-wise to each element in these parameterized vectors. This activation function typically outputs values between 0 and 1, which will be used by the gates to control information flow.

Now let's see the functioning of these gates in detail. To find the Hidden state Ht in GRU, it follows a two-step process. The first step is to generate what is known as the candidate hidden state. As shown below

Candidate Hidden State

$$\hat{H}_{t} = \tanh(x_{t} * U_{g} + (r_{t} \circ H_{t-1}) * W_{g})$$

It takes in the current input and the hidden state from the previous timestamp t-1 which is multiplied by the reset gate output rt. Later passed this entire information to the tanh function, the resultant value is the candidate's hidden state.

$$\hat{H}_t = \tanh(x_t * U_g + (r_t \circ H_{t-1}) * W_g)$$

The most important part of this equation is how we are using the value of the reset gate to control how much influence the previous hidden state can have on the candidate state.

If the value of rt is equal to 1 then it means the entire information from the previous hidden state Ht-1 is being considered. Likewise, if the value of rt is 0 then that means the information from the previous hidden state is completely ignored.

Hidden State

Once we have the candidate state, it is used to generate the current hidden state Ht. It is where the Update gate comes into the picture. Now, this is a very interesting equation, instead of using a separate gate like in LSTM and GRU Architecture we use a single update gate to control both the historical information which is Ht-1 as well as the new information which comes from the candidate state.

$$H_{t} = u_{t} \circ H_{t-1} + (1-u_{t}) \circ \hat{H}_{t}$$



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Now assume the value of ut is around 0 then the first term in the equation will vanish which means the new hidden state will not have much information from the previous hidden state. On the other hand, the second part becomes almost one that essentially means the hidden state at the current timestamp will consist of the information from the candidate state only.

$$H_{1} = u_{1} \circ H_{1.1} + (1-u_{1}) \circ \hat{H}_{1}$$

Similarly, if the value of ut is on the second term will become entirely 0 and the current hidden state will entirely depend on the first term i.e the information from the hidden state at the previous timestamp t-1.

$$H_{t} = u_{t} \circ H_{t-1} + (1-u_{t}) \circ \hat{H}_{t}$$

Hence we can conclude that the value of ut is very critical in this equation and it can range from 0 to 1.

Advantages and Disadvantages of GRU

Advantages of GRU

- Faster Training and Efficiency: Compared to LSTMs (Long Short-Term Memory networks), GRUs have a simpler architecture with fewer parameters. This makes them faster to train and computationally less expensive.
- Effective for Sequential Tasks: GRUs excel at handling long-term dependencies in sequential data like language or time series. Their gating mechanisms allow them to selectively remember or forget information, leading to better performance on tasks like machine translation or forecasting.
- Less Prone to Gradient Problems: The gating mechanisms in GRUs help mitigate the vanishing/exploding gradient problems that plague standard RNNs. This allows for more stable training and better learning in long sequences.

Disadvantages of GRU

• Less Powerful Gating Mechanism: While effective, GRUs have a simpler gating mechanism compared to LSTMs which utilize three gates. This can limit their ability to capture very complex relationships or long-term dependencies in certain scenarios.

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- **Potential for Overfitting:** With a simpler architecture, LSTM and GRU Architecture might be more susceptible to overfitting, especially on smaller datasets. Careful hyperparameter tuning is crucial to avoid this issue.
- **Limited Interpretability:** Understanding how a GRU Activation Function arrives at its predictions can be challenging due to the complexity of the gating mechanisms. This makes it difficult to analyze or explain the network's decision-making process.

Applications of Gated Recurrent Unit

Here are some applications of GRUs where their ability to handle sequential data shines:

Natural Language Processing (NLP)

- **Machine translation:** GRUs can analyze the context of a sentence in one language and generate a grammatically correct and fluent translation in another language.
- **Text summarization:** By processing sequences of sentences, LSTM and GRU Architecture can identify key points and generate concise summaries of longer texts.
- **Chatbots:** GRUs can be used to build chatbots that can understand the context of a conversation and respond in a natural way.
- **Sentiment Analysis:** GRUs excel at analyzing the sequence of words in a sentence and understanding the overall sentiment (positive, negative, or neutral).

Speech Recognition

GRUs can analyze the sequence of audio signals in speech to transcribe it into text. They can be particularly effective in handling variations in speech patterns and accents.

Time Series Forecasting

GRUs can analyze historical data like sales figures, website traffic, or stock prices to predict future trends. Their ability to capture long-term dependencies makes them well-suited for forecasting tasks.

Anomaly Detection

GRUs can identify unusual patterns in sequences of data, which can be helpful for tasks like fraud detection or network intrusion detection.

Music Generation

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GRUs can be used to generate musical pieces by analyzing sequences of notes and chords. They can learn the patterns and styles of different musical genres and create new music that sounds similar.

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These are just a few examples, and the potential applications of GRUs continue to grow as researchers explore their capabilities in various fields.

Conclusion

Gated Recurrent Units (GRUs) represent a significant advancement in recurrent neural networks, addressing the limitations of standard RNNs. With their efficient gating mechanisms, GRUs effectively manage long-term dependencies in sequential data, making them valuable for various applications in natural language processing, speech recognition, and time series forecasting. While offering advantages like faster training and effective memory management, GRUs also have limitations such as potential overfitting and reduced interpretability. As AI continues to evolve, GRUs remain a powerful tool in the machine learning toolkit, balancing efficiency and performance for sequential data processing tasks.

Key Takeaways:

- Moreover, GRUs represent an advancement over standard RNNs, addressing their limitations by using gating mechanisms to control information flow.
- Specifically, the Reset Gate manages short-term memory, while the Update Gate controls long-term memory in GRUs.
- Additionally, GRUs feature a simpler architecture compared to Long Short-Term Memory (LSTM) networks, making them faster to train and computationally less expensive.
- Furthermore, GRUs excel at handling long-term dependencies in sequential data, making them valuable for tasks like machine translation, text summarization, and time series forecasting.