

Week #09. 3D Image Processing

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# **Agenda**

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Introduction

construction

Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

- 1 Introduction
- 2 3D Image Reconstruction
- 3 Image Processing with 3D CNNs
- 4 Image Processing with 3D T
- **5** Conclusion & Discussion



## **QA** - Session

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Introduction

3D Image Reconstruction

Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

#### **Questions:**

- Does the third dimension (time or depth) matter?
- Is it difficult to transfer a few video frames into a 3D image?
- Are 3D CNNs applicable for videos or 3D images processing?
- Does the third dimension (time or depth) matter in classification, or in segmentation, or in OD?
- How to solve a problem of multiple static object detection using video data (e.g. a person with a camera revises a car or a flat)?
- 3D CNNs or 2D CNNs + LSTM, or 3D Transformers?



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#### Introduction

3D Image Re construction

Image Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

# **Section 1. Introduction**



### Does 3D Matter?

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#### Introduction

3D Image Reconstruction

Image Processing with 3D CNN

Image Processing with 3D T

Conclusion &

### The Third Dimension in Computer Vision

- **2D vs 3D**. Traditional 2D vision works on flat images, while 3D vision processes volumetric data (e.g., depth, time, or spatial structure).
- **Why 3D?** Many real-world problems require understanding spatial relationships, depth, or temporal dynamics.

#### A Few More Questions

- How do we reconstruct and process 3D images effectively?
- Does depth/time improve classification, segmentation, or object detection, or overfits them?



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Introduction

3D Image Reconstruction

Image Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

# **Section 2. 3D Image Reconstruction**



# Let's Refer to HuggingFace

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Introducti

3D Image Reconstruction

Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

Please Check Unit 8 of the HF Community Computer Vision Course and the Following Paragraphs:

- Representations for 3D Data
- Novel View Synthesis
- Neural Radiance Fields (NeRFs)



# Video Frames to 3D: Rendering with a Smartphone Application

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Introduction

3D Image Reconstruction

Image Processing with 3D CN

Image Processing with 3D T

Conclusion &

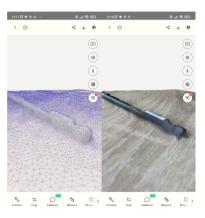


Figure: 3D model reconstructed from a video (about 20 frames): surface mesh (left) reconstructed pen (right).



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Introduction

3D Image Re construction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

# Section 3. Image Processing with 3D CNNs



# Recap (2D): Convolution Applied to an Image

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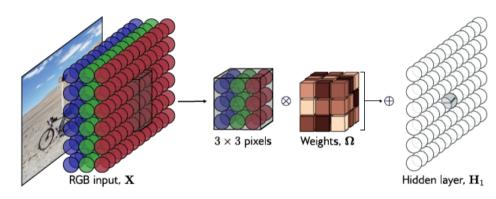
Introduction

3D Image Reconstruction

Image Processing with 3D CNNs

Image Processing with 3D 7

Conclusion & Discussion





# Recap (2D): Padding, Pooling, and Striding

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Introduction

3D Image Reconstruction

Image Processing with 3D CNNs

Image Processing with 3D 7

Conclusion & Discussion

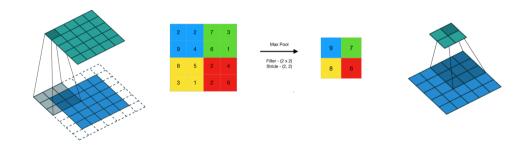


Figure: Padding (left), Pooling (middle), and Striding (right). CNNs by Neurohive.



# **Convolution Operations: Dimensions & Tensor Ranks**

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3D Image Reconstruction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion &

#### Table 1: Input/Output Tensor Sizes

|    | Input Size                                   | Filter Size  | Output Size   |
|----|--|--|---|
| 1D | $N \times C_{in} \times L$                   | $C_{out} \times C_{in} \times k$                   | $N \times C_{out} \times \left  \frac{L+2p-k}{s} + 1 \right $   |
| 2D | $N \times C_{in} \times H \times W$          | $C_{out} \times C_{in} \times k \times k$          | $N 	imes C_{out} 	imes \left   \left   rac{H+2p-k}{s} + 1  ight   \left   \left   \left   rac{W+2p-k}{s} + 1  ight    ight  $   |
| 3D | $N \times C_{in} \times D \times H \times W$ | $C_{out} \times C_{in} \times k \times k \times k$ | $N \times C_{out} \times \left\lfloor \frac{D+2p-k}{s} + 1 \right\rfloor \times \left\lfloor \frac{H+2p-k}{s} + 1 \right\rfloor \times \left\lfloor \frac{W+2p-k}{s} + 1 \right\rfloor$ |

#### Table 2: Tensor Ranks & Convolution Directions

| Conv Type | Input Rank | Filter Rank | Convolution Directions   |
|-----------|------------|-------------|--------------------------|
| 1D        | 3          | 3           | 1 (length)               |
| 2D        | 4          | 4           | 2 (height, width)        |
| 3D        | 5          | 5           | 3 (depth, height, width) |

Where N is the batch size, C is the number of channels, L is the (signal) length, H, W, D are the height, width, and depth, respectively, k is the kernel size, p is padding, and s is stride



## 3D CNN: Mathematical Formulation

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3D Image Reconstruction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

## 3D Convolution Operation

Extends 2D convolution to volumetric data. For input tensor  $\mathcal{X} \in \mathbb{R}^{C \times D \times H \times W}$ :

$$\mathcal{Y}_{d,h,w}^{(l)} = \sum_{c=0}^{C-1} \sum_{i=0}^{k_d-1} \sum_{j=0}^{k_h-1} \sum_{k=0}^{k_w-1} \mathcal{W}_{c,i,j,k}^{(l)} \cdot \mathcal{X}_{c,d+i,h+j,w+k}^{(l-1)} + b^{(l)}$$

where  $W \in \mathbb{R}^{C \times k_d \times k_h \times k_w}$  is 3D kernel Maturana and Scherer [2015].

#### **Key Advantages:**

- Captures spatial-temporal features
- Preserves 3D structure
- Robust to viewpoint changes



## **Architectural Innovations in 3D CNNs**

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Introduction

construction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

#### VoxNet ?

- Input: 32x32x32 occupancy grid
- Architecture: Conv3D(32, 5x5x5) MaxPool(2x2x2) -FC(128) → FC(n classes)
- Application: Real-time object recognition

## O-CNN Wang et al. [2017]

- Octree-based sparse convolution
- Adaptive depth partitioning
- Memory efficiency:  $\mathcal{O}(N \log N)$  vs dense  $\mathcal{O}(N^3)$
- Handles high-res 3D data (up to 512<sup>3</sup>)

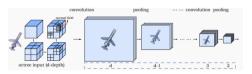


Figure: Octree structure in O-CNN Wang et al. [2017]



# 3D CNN Applications & Trade-offs

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Introduction

3D Image Re construction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

## **Key Applications**

- Robotics: VoxNet for object grasping ?
- Medical Imaging: 3D tumor segmentation
- Autonomous Driving: LiDAR processing

## Memory-Computation Trade-off

Complexity = 
$$\underbrace{C_{\text{in}} \times C_{\text{out}} \times k_d \times k_h \times k_w}_{\text{3D Kernel Parameters}} \times \underbrace{D \times H \times W}_{\text{Feature Map Size}}$$

- VoxNet:  $32^3$  grids  $\rightarrow 32MB/scan$
- O-CNN: Reduces memory by 70% Wang et al. [2017]

## Key Insight

INNOPOLIS INS > 2D when spatial relationships are critical, but require careful memory management

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Introduction

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Image Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

# Section 4. Image Processing with 3D T



# 3D Transformers: Beyond 2D Attention

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Introduction

3D Image Re construction

Image Processing with 3D CNNs

Image Processing with 3D T

Conclusion & Discussion

#### Core Idea

- Extend the self-attention mechanism to 3D data (point clouds, voxels, meshes).
- Model relationships between all parts of a 3D object/scene.
- No fixed grid assumption (unlike CNNs).

## **Key Questions**

- How does self-attention work in 3D space?
- Are Transformers better than CNNs for 3D tasks?
- How to handle computational complexity?



## **How 3D Transformers Work**

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Image Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

### Key Components

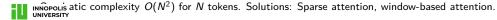
- Tokenization: Convert 3D data (points/voxels) into tokens.
- Positional Encoding: Inject 3D coordinates (e.g., (x, y, z)).
- Multi-Head Attention: Compute interactions between tokens.

#### Self-Attention Formula

$$\mathsf{Attention}(Q, \mathcal{K}, \mathcal{V}) = \mathsf{softmax}\left(rac{Q\mathcal{K}^{\mathcal{T}}}{\sqrt{d}}
ight) \mathcal{V}$$

- Q, K, V: Queries, Keys, Values (projections of input tokens).
- d: Dimension of token embeddings.

## Challenge



# Paper reading

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Introductio

3D Image Reconstruction

Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

### Voxel Transformer for 3D Object Detection

We present Voxel Transformer (VoTr), a novel and effective voxel-based Transformer backbone for 3D object detection from point clouds. Conventional 3D convolutional backbones in voxel-based 3D detectors cannot efficiently capture large context information, which is crucial for object recognition and localization, owing to the limited receptive fields. In this paper, we resolve the problem by introducing a Transformer-based architecture that enables long-range relationships between voxels by self-attention. Given the fact that non-empty voxels are naturally sparse but numerous, directly applying standard Transformer on voxels is non-trivial. To this end, we propose the sparse voxel module and the submanifold voxel module, which can operate on the empty and non-empty voxel positions effectively. To further enlarge the attention range while maintaining comparable computational overhead to the convolutional counterparts, we propose two attention mechanisms for multi-head attention in those two modules: Local Attention and Dilated Attention, and we further propose Fast Voxel Query to accelerate the querying process in multi-head attention. VoTr contains a series of sparse and submanifold voxel modules and can be applied in most voxel-based detectors. Our proposed VoTr shows consistent improvement over the convolutional baselines while maintaining computational efficiency on the KITTI dataset and the Waymo Open dataset. Mao et al. [2021].



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Introduction

3D Image Re construction

Image Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

# Section 5. Conclusion & Discussion



## 3D CNNs vs 3D Transformers

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3D Image Reconstruction

Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

| Feature            | 3D CNNs                         | 3D Transformers                   |
|--------------------|---------------------------------|-----------------------------------|
| Inductive Bias     | Strong (local spatial patterns) | Weak (learns patterns from data)  |
| Global Context     | Limited                         | Excellent                         |
| Scalability        | Small to medium datasets        | Large datasets                    |
| Compute Efficiency | Efficient                       | Expensive                         |
| Data Requirements  | Works well with small datasets  | Needs large datasets              |
| Use Cases          | Medical imaging, robotics       | Autonomous driving, 3D generation |

Table: Comparison of 3D CNNs and 3D Transformers

#### **Key Takeaways:**

- Use 3D CNNs for small datasets and local feature extraction.
- Use **3D Transformers** for large datasets and global context.
- Consider **hybrid models** for state-of-the-art performance.



# **Bibliography**

CV-2025

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Introductio

construction

Processing with 3D CNN

Image Processing with 3D T

Conclusion & Discussion

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