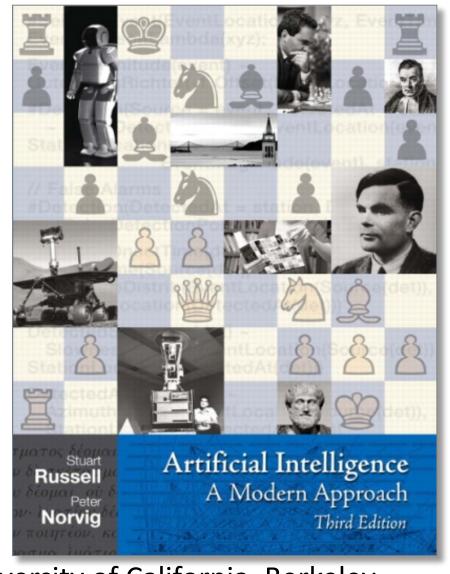
### Announcements

- HW 6 on reinforcement learning is due tonight
- The order of the "Perceptron" and "Generate a Novel" homeworks have been swapped to better align with lecture schedule.
- Perceptron HW is due Nov 12
- Generate a Novel HW is due Nov 19

## Naïve Bayes and Perceptrons

Read AIMA Section 20.1
Read AIMA Section 18.6.3
Read Chapter 1
Of Nielsen's "Neural Networks and
Deep Learning"



Slides courtesy of Dan Klein and Pieter Abbeel --- University of California, Berkeley

## Machine Learning

- Up until now: how use a model to make optimal decisions
- Machine learning: how to acquire a model from data / experience
  - Learning parameters (e.g. probabilities)
  - Learning structure (e.g. BN graphs)
  - Learning hidden concepts (e.g. clustering)
- Today: model-based classification with Naive Bayes and Perceptrons

## Review: Spam Classification

Input: an email

Output: spam/ham



Setup:

 Get a large collection of example emails, each labeled "spam" or "ham"

Note: someone has to hand label all this data!

Want to learn to predict labels of new, future emails



Features: The attributes used to make the ham / spam decision

Words: FREE!

■ Text Patterns: \$dd, CAPS

Non-text: SenderInContacts



Dear Sir.

First, I must solicit your confidence in this transaction, this is by virture of its nature as being utterly confidencial and top secret. ...

TO BE REMOVED FROM FUTURE MAILINGS, SIMPLY REPLY TO THIS MESSAGE AND PUT "REMOVE" IN THE SUBJECT.

99 MILLION EMAIL ADDRESSES FOR ONLY \$99



Ok, Iknow this is blatantly OT but I'm beginning to go insane. Had an old Dell Dimension XPS sitting in the corner and decided to put it to use, I know it was working pre being stuck in the corner, but when I plugged it in, hit the power nothing happened.

## Review: Digit Recognition

??

- Input: images / pixel grids
- Output: a digit 0-9
- Setup:
  - Get a large collection of example images, each labeled with a digit
  - Note: someone has to hand label all this data!
  - Want to learn to predict labels of new, future digit images

- Features: The attributes used to make the digit decision
  - Pixels: (6,8)=ON
  - Shape Patterns: NumComponents, AspectRatio, NumLoops
  - **...**

### Review Other Classification Tasks

Classification: given inputs x, predict labels y

#### Examples:

- Spam detection (input: document, classes: spam / ham)
- OCR (input: images, classes: characters)
- Medical diagnosis (input: symptoms, classes: diseases)
- Automatic essay grading (input: document, classes: grades)
- Fraud detection (input: account activity, classes: fraud / no fraud)
- Customer service email routing
- ... many more



Classification is an important commercial technology!

## Review: Model-Based Classification

### Model-based approach

- Build a model (e.g. Bayes' net) where both the label and features are random variables
- Instantiate any observed features
- Query for the distribution of the label conditioned on the features

### Challenges

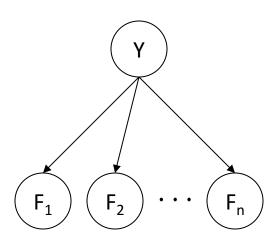
- What structure should the BN have?
- How should we learn its parameters?



## Naïve Bayes for Digits

- Naïve Bayes: Assume all features are independent effects of the label
- Simple digit recognition version:
  - One feature (variable) F<sub>ii</sub> for each grid position <i,j>
  - Feature values are on / off, based on whether intensity is more or less than 0.5 in underlying image
  - Each input maps to a feature vector, e.g.

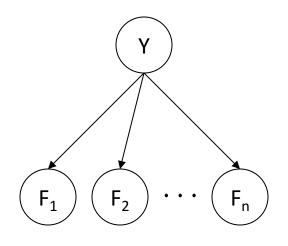
- Here: lots of features, each is binary valued
- Naïve Bayes model:  $P(Y|F_{0,0}\dots F_{15,15})\propto P(Y)\prod_{i,j}P(F_{i,j}|Y)$
- What do we need to learn?



## General Naïve Bayes

A general Naive Bayes model:

$$P(\mathsf{Y},\mathsf{F}_1\dots\mathsf{F}_n) = P(\mathsf{Y})\prod_i P(\mathsf{F}_i|\mathsf{Y})$$
 
$$|\mathsf{Y}|\,\mathsf{x}\,|\mathsf{F}|^n\,\mathsf{values} \qquad \qquad \mathsf{n}\,\mathsf{x}\,|\mathsf{F}|\,\mathsf{x}\,|\mathsf{Y}|$$
 parameters



- We only have to specify how each feature depends on the class
- Total number of parameters is *linear* in number of features
- Model is very simplistic, but often works anyway

## Inference for Naïve Bayes

- Goal: compute posterior distribution over label variable Y
  - Step 1: get joint probability of label and evidence for each label

$$P(Y, f_1 \dots f_n) = \begin{bmatrix} P(y_1, f_1 \dots f_n) \\ P(y_2, f_1 \dots f_n) \\ \vdots \\ P(y_k, f_1 \dots f_n) \end{bmatrix} \longrightarrow \begin{bmatrix} P(y_1) \prod_i P(f_i|y_1) \\ P(y_2) \prod_i P(f_i|y_2) \\ \vdots \\ P(y_k) \prod_i P(f_i|y_k) \end{bmatrix}$$

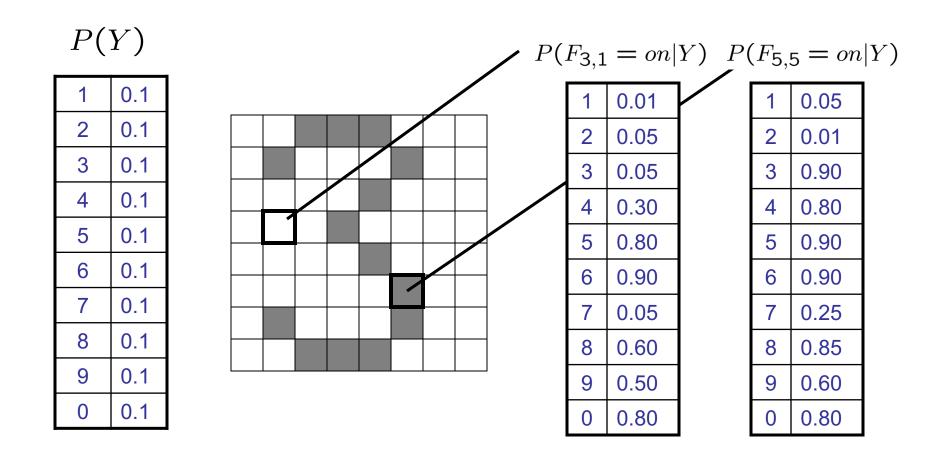
- Step 2: sum to get probability of evidence
- Step 3: normalize by dividing Step 1 by Step 2

$$P(Y|f_1 \dots f_n)$$

## General Naïve Bayes

- What do we need in order to use Naïve Bayes?
  - Inference method (we just saw this part)
    - Start with a bunch of probabilities: P(Y) and the P(F<sub>i</sub>|Y) tables
    - Use standard inference to compute  $P(Y|F_1...F_n)$
    - Nothing new here
  - Estimates of local conditional probability tables
    - P(Y), the prior over labels
    - P(F<sub>i</sub>|Y) for each feature (evidence variable)
    - lacktriangle These probabilities are collectively called the *parameters* of the model and denoted by  $oldsymbol{ heta}$
    - Up until now, we assumed these appeared by magic, but...
    - ...they typically come from training data counts: we'll look at this soon

## **Example: Conditional Probabilities**



## A Spam Filter

Naïve Bayes spam filter



#### Data:

- Collection of emails, labeled spam or ham
- Note: someone has to hand label all this data!
- Split into training, held-out, test sets



#### Classifiers

- Learn on the training set
- (Tune it on a held-out set)
- Test it on new emails



Dear Sir.

First, I must solicit your confidence in this transaction, this is by virture of its nature as being utterly confidencial and top secret....

TO BE REMOVED FROM FUTURE MAILINGS, SIMPLY REPLY TO THIS MESSAGE AND PUT "REMOVE" IN THE SUBJECT.

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## Naïve Bayes for Text

- Bag-of-words Naïve Bayes:
  - Features: W<sub>i</sub> is the word at positon i
  - As before: predict label conditioned on feature variables (spam vs. ham)
  - As before: assume features are conditionally independent given label
  - New: each W<sub>i</sub> is identically distributed

Word at position i, not i<sup>th</sup> word in the dictionary!

- Generative model:  $P(Y, W_1 ... W_n) = P(Y) \prod_i P(W_i|Y)$
- "Tied" distributions and bag-of-words
  - Usually, each variable gets its own conditional probability distribution P(F|Y)
  - In a bag-of-words model
    - Each position is identically distributed
    - All positions share the same conditional probs P(W|Y)
    - Why make this assumption?
  - Called "bag-of-words" because model is insensitive to word order or reordering

## Example: Spam Filtering

- Model:  $P(Y, W_1 \dots W_n) = P(Y) \prod_i P(W_i|Y)$
- What are the parameters?

### P(Y)

ham: 0.66 spam: 0.33

### P(W|spam)

the: 0.0156
to: 0.0153
and: 0.0115
of: 0.0095
you: 0.0093
a: 0.0086
with: 0.0080
from: 0.0075

### $P(W|\mathsf{ham})$

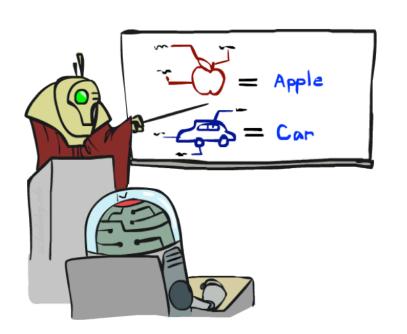
the: 0.0210
to: 0.0133
of: 0.0119
2002: 0.0110
with: 0.0108
from: 0.0107
and: 0.0105
a: 0.0100

Where do these tables come from?

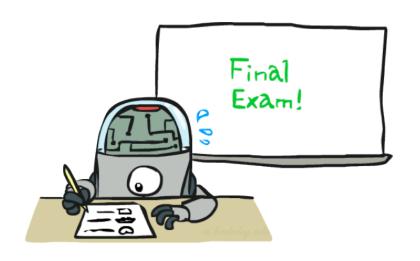
## Spam Example

Word	P(w spam)	P(w ham)	Tot Spam	Tot Ham
(prior)	0.33333	0.66666	-1.1	-0.4

## Training and Testing







## **Important Concepts**

- Data: labeled instances, e.g. emails marked spam/ham
  - Training set
  - Held out set
  - Test set
- Features: attribute-value pairs which characterize each x
- Experimentation cycle
  - Learn parameters (e.g. model probabilities) on training set
  - (Tune hyperparameters on held-out set)
  - Compute accuracy of test set
  - Very important: never "peek" at the test set!
- Evaluation
  - Accuracy: fraction of instances predicted correctly
- Overfitting and generalization
  - Want a classifier which does well on test data
  - Overfitting: fitting the training data very closely, but not generalizing well
  - We'll investigate overfitting and generalization formally in a few lectures

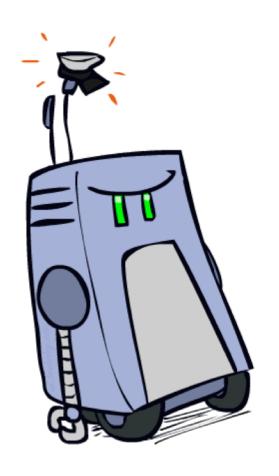
Training Data

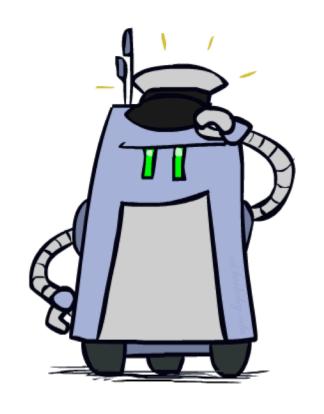
Held-Out Data

> Test Data



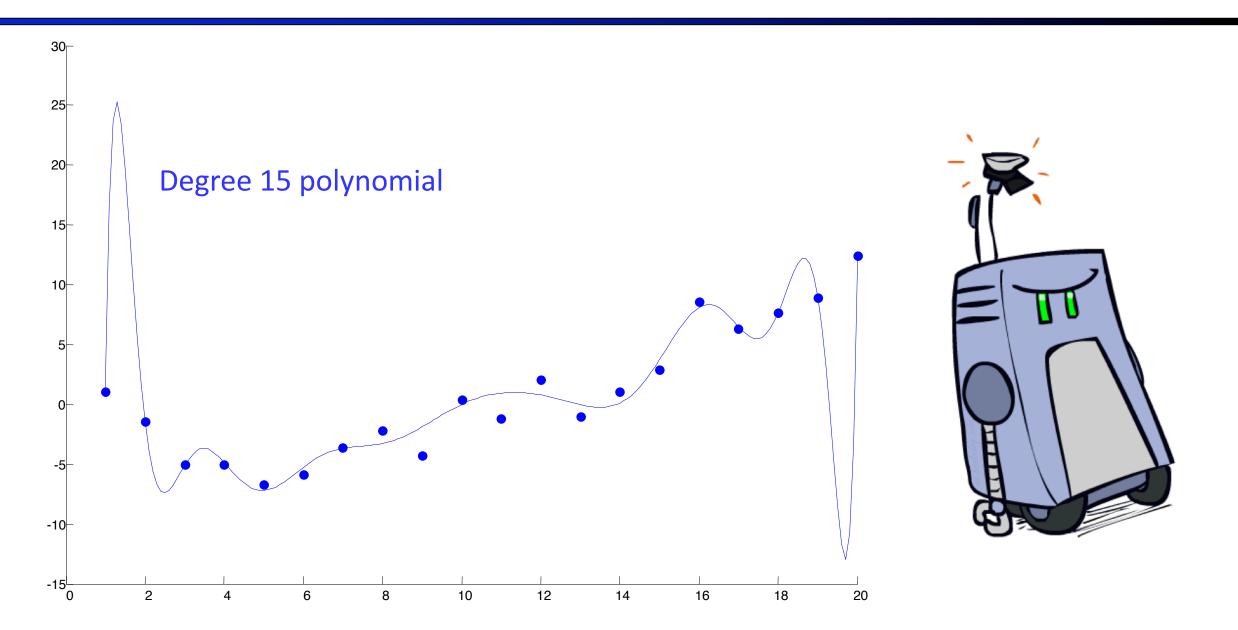
## Generalization and Overfitting







# Overfitting



## **Example: Overfitting**

$$P(\text{features}, C = 2)$$

$$P(C = 2) = 0.1$$

$$P(\text{on}|C=2) = 0.8$$

$$P(\text{on}|C=2) = 0.1$$

$$P(\text{off}|C=2) = 0.1$$

$$P(\mathsf{on}|C=2) = 0.01$$

P(features, C = 3)

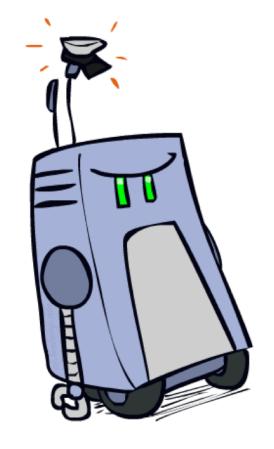
$$P(C = 3) = 0.1$$

$$P(\text{on}|C=3)=0.8$$

$$-P(\text{on}|C=3)=0.9$$

$$P(\text{off}|C=3)=0.7$$

$$-P(\text{on}|C=3)=0.0$$



2 wins!!

## **Example: Overfitting**

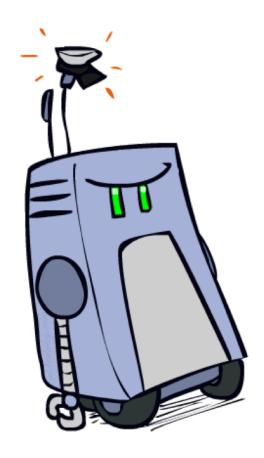
Posteriors determined by relative probabilities (odds ratios):

$$\frac{P(W|\mathsf{ham})}{P(W|\mathsf{spam})}$$

south-west : inf
nation : inf
morally : inf
nicely : inf
extent : inf
seriously : inf

```
\frac{P(W|\text{spam})}{P(W|\text{ham})}
```

```
screens : inf
minute : inf
guaranteed : inf
$205.00 : inf
delivery : inf
signature : inf
```



What went wrong here?

## Generalization and Overfitting

- Relative frequency parameters will overfit the training data!
  - Just because we never saw a 3 with pixel (15,15) on during training doesn't mean we won't see it at test time
  - Unlikely that every occurrence of "minute" is 100% spam
  - Unlikely that every occurrence of "seriously" is 100% ham
  - What about all the words that don't occur in the training set at all?
  - In general, we can't go around giving unseen events zero probability
- As an extreme case, imagine using the entire email as the only feature
  - Would get the training data perfect (if deterministic labeling)
  - Wouldn't generalize at all
  - Just making the bag-of-words assumption gives us some generalization, but isn't enough
- To generalize better: we need to smooth or regularize the estimates

## **Parameter Estimation**



### Parameter Estimation

- Estimating the distribution of a random variable
- Elicitation: ask a human (why is this hard?)
- Empirically: use training data (learning!)
  - E.g.: for each outcome x, look at the *empirical rate* of that value:

$$P_{\mathsf{ML}}(x) = \frac{\mathsf{count}(x)}{\mathsf{total samples}}$$



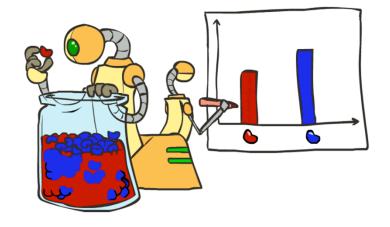




$$P_{\rm ML}({\bf r}) = 2/3$$

This is the estimate that maximizes the likelihood of the data

$$L(x,\theta) = \prod_{i} P_{\theta}(x_i)$$



## Maximum Likelihood

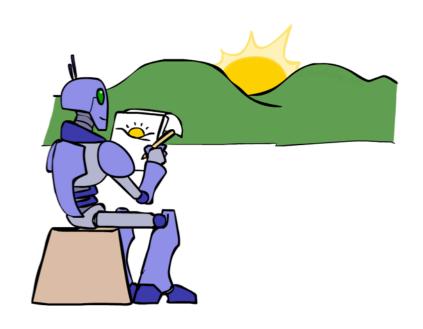
Relative frequencies are the maximum likelihood estimates

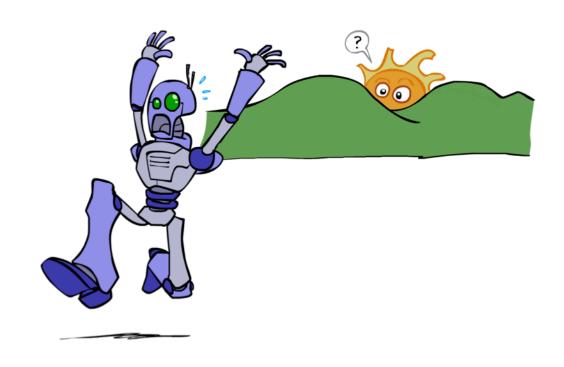
$$\theta_{ML} = \underset{\theta}{\arg\max} P(\mathbf{X}|\theta)$$

$$= \underset{\theta}{\arg\max} \prod_{i} P_{\theta}(X_{i})$$

$$P_{\text{ML}}(x) = \frac{\text{count}(x)}{\text{total samples}}$$

## **Unseen Events**





## Laplace Smoothing

### Laplace's estimate:

 Pretend you saw every outcome once more than you actually did

$$P_{LAP}(x) = \frac{c(x) + 1}{\sum_{x} [c(x) + 1]}$$
$$= \frac{c(x) + 1}{N + |X|}$$

Can derive this estimate with Dirichlet priors

$$P_{ML}(X) =$$

$$P_{LAP}(X) =$$

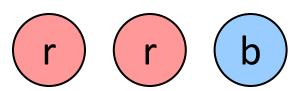
## Laplace Smoothing

- Laplace's estimate (extended):
  - Pretend you saw every outcome k extra times

$$P_{LAP,k}(x) = \frac{c(x) + k}{N + k|X|}$$

- What's Laplace with k = 0?
- k is the strength of the prior
- Laplace for conditionals:
  - Smooth each condition independently:

$$P_{LAP,k}(x|y) = \frac{c(x,y) + k}{c(y) + k|X|}$$



$$P_{LAP,0}(X) =$$

$$P_{LAP,1}(X) =$$

$$P_{LAP,100}(X) =$$

## Estimation: Linear Interpolation\*

- In practice, Laplace often performs poorly for P(X|Y):
  - When |X| is very large
  - When |Y| is very large
- Another option: linear interpolation
  - Also get the empirical P(X) from the data
  - Make sure the estimate of P(X|Y) isn't too different from the empirical P(X)

$$P_{LIN}(x|y) = \alpha \hat{P}(x|y) + (1.0 - \alpha)\hat{P}(x)$$

- What if  $\alpha$  is 0? 1?
- For even better ways to estimate parameters, take CIS 530 next semester. ©

## Real NB: Smoothing

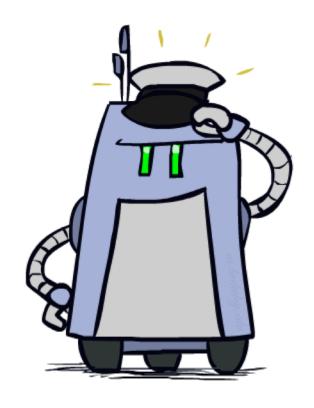
- For real classification problems, smoothing is critical
- New odds ratios:

$$\frac{P(W|\mathsf{ham})}{P(W|\mathsf{spam})}$$

helvetica: 11.4
seems: 10.8
group: 10.2
ago: 8.4
areas: 8.3

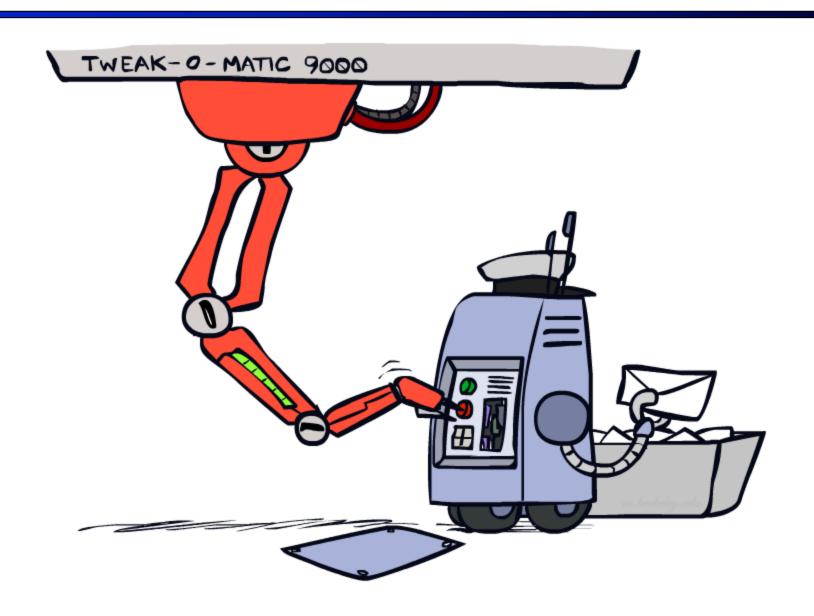
$$\frac{P(W|\text{spam})}{P(W|\text{ham})}$$

verdana : 28.8
Credit : 28.4
ORDER : 27.2
<FONT> : 26.9
money : 26.5
...



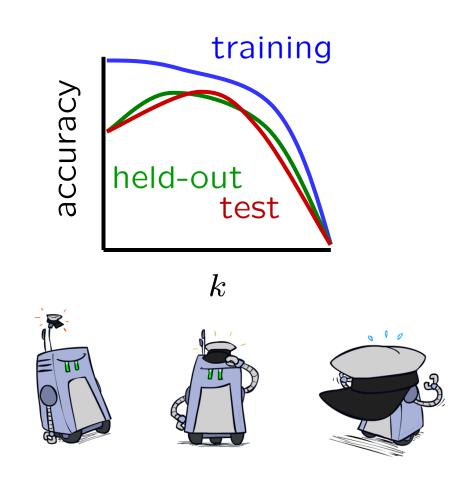
Do these make more sense?

## Tuning

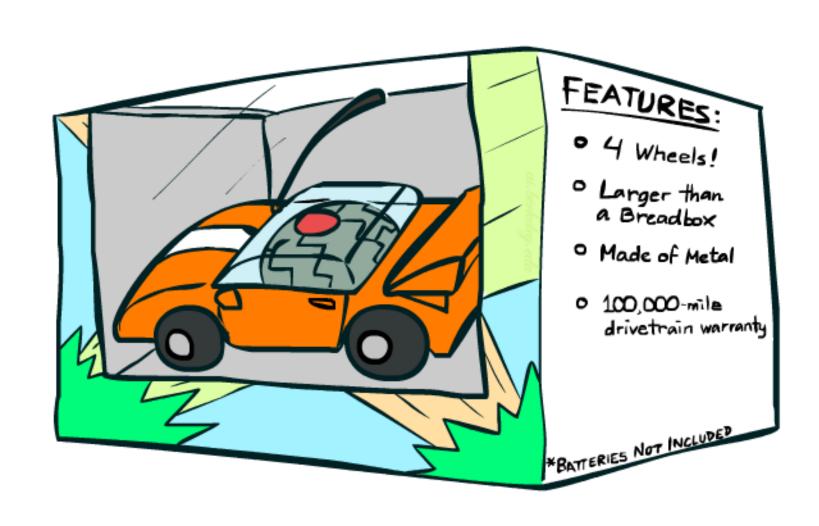


## Tuning on Held-Out Data

- Now we've got two kinds of unknowns
  - Parameters: the probabilities P(X|Y), P(Y)
  - Hyperparameters: e.g. the amount / type of smoothing to do, k,  $\alpha$
- What should we learn where?
  - Learn parameters from training data
  - Tune hyperparameters on different data
    - Why?
  - For each value of the hyperparameters, train and test on the held-out data
  - Choose the best value and do a final test on the test data



## **Features**



## Errors, and What to Do

#### Examples of errors

Dear GlobalSCAPE Customer,

GlobalSCAPE has partnered with ScanSoft to offer you the latest version of OmniPage Pro, for just \$99.99\* - the regular list price is \$499! The most common question we've received about this offer is - Is this genuine? We would like to assure you that this offer is authorized by ScanSoft, is genuine and valid. You can get the . . .

. . . To receive your \$30 Amazon.com promotional certificate, click through to  $% \left( 1\right) =\left( 1\right) +\left( 1\right) +\left($ 

http://www.amazon.com/apparel

and see the prominent link for the \$30 offer. All details are there. We hope you enjoyed receiving this message. However, if you'd rather not receive future e-mails announcing new store launches, please click . . .

## What to Do About Errors?

- Need more features— words aren't enough!
  - Have you emailed the sender before?
  - Have 1K other people just gotten the same email?
  - Is the sending information consistent?
  - Is the email in ALL CAPS?
  - Do inline URLs point where they say they point?
  - Does the email address you by (your) name?
- Can add these information sources as new variables in the NB model
- Next class we'll talk about classifiers which let you easily add arbitrary features more easily



#### Baselines

- First step: get a baseline
  - Baselines are very simple "straw man" procedures
  - Help determine how hard the task is
  - Help know what a "good" accuracy is
- Weak baseline: most frequent label classifier
  - Gives all test instances whatever label was most common in the training set
  - E.g. for spam filtering, might label everything as ham
  - Accuracy might be very high if the problem is skewed
  - E.g. calling everything "ham" gets 66%, so a classifier that gets 70% isn't very good...
- For real research, usually use previous work as a (strong) baseline

#### Confidences from a Classifier

#### The confidence of a probabilistic classifier:

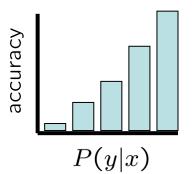
Posterior over the top label

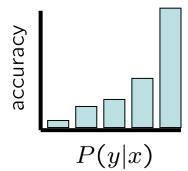
$$confidence(x) = \max_{y} P(y|x)$$

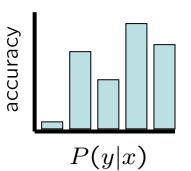
- Represents how sure the classifier is of the classification
- Any probabilistic model will have confidences
- No guarantee confidence is correct

#### Calibration

- Weak calibration: higher confidences mean higher accuracy
- Strong calibration: confidence predicts accuracy rate
- What's the value of calibration?







#### Summary

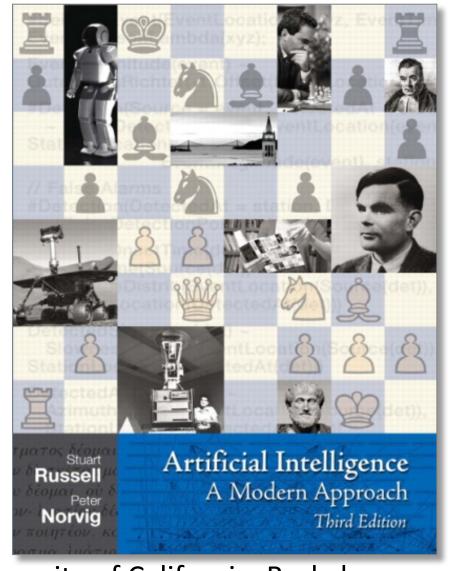
- Bayes rule lets us do diagnostic queries with causal probabilities
- The naïve Bayes assumption takes all features to be independent given the class label
- We can build classifiers out of a naïve Bayes model using training data
- Smoothing estimates is important in real systems
- Classifier confidences are useful, when you can get them

#### Perceptrons

Read AIMA - Section 18.6.3

And Chapter 1

Of Nielsen's "Neural Networks and Deep Learning"



Slides Courtesy of Dan Klein and Pieter Abbeel --- University of California, Berkeley

#### **Error-Driven Classification**

The image part with relationship ID rid2 was not found in the file.	
The image part man relationship to that may not related in the inc.	

#### Errors, and What to Do

#### Examples of errors

Dear GlobalSCAPE Customer,

GlobalSCAPE has partnered with ScanSoft to offer you the latest version of OmniPage Pro, for just \$99.99\* - the regular list price is \$499! The most common question we've received about this offer is - Is this genuine? We would like to assure you that this offer is authorized by ScanSoft, is genuine and valid. You can get the . . .

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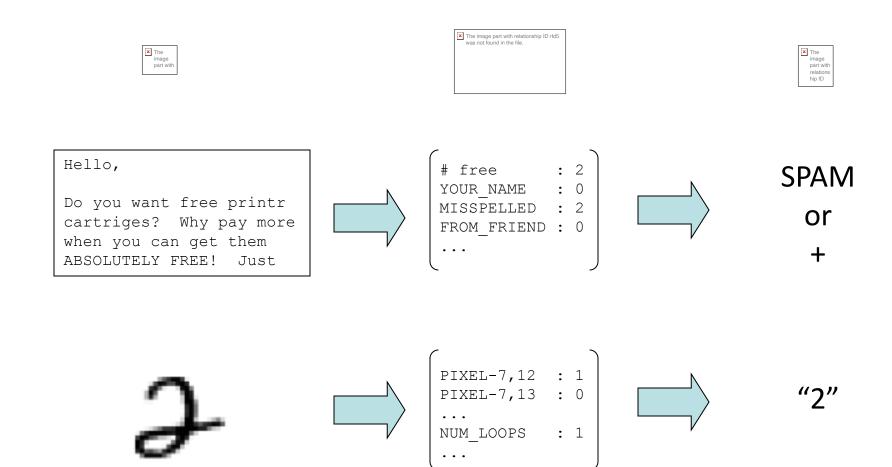
#### What to Do About Errors

- Problem: there's still spam in your inbox
- Need more features words aren't enough!
  - Have you emailed the sender before?
  - Have 1M other people just gotten the same email?
  - Is the sending information consistent?
  - Is the email in ALL CAPS?
  - Do inline URLs point where they say they point?
  - Does the email address you by (your) name?
- Naïve Bayes models can incorporate a variety of features, but tend to do best in homogeneous cases (e.g. all features are word occurrences)

### **Linear Classifiers**

➤ The image part with relationship ID rld3 was not found in the	ile.	

#### Feature Vectors



# Some (Simplified) Biology

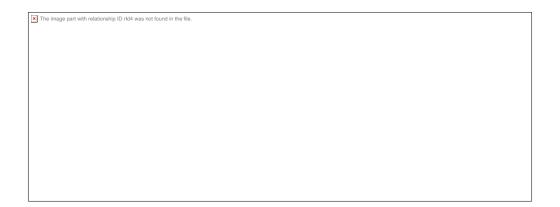
Very loose inspiration: human neurons

The image part with relationship ID rid2 was not found in the file.	
	٦

The image part with relationship ID rid2 was not found in the file.	

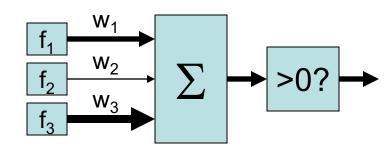
#### **Linear Classifiers**

- Inputs are feature values
- Each feature has a weight
- Sum is the activation



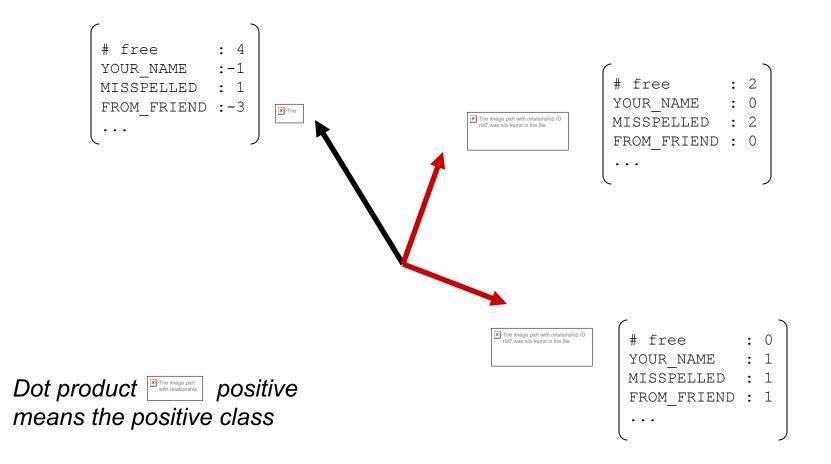


- If the activation is:
  - Positive, output +1
  - Negative, output -1



#### Weights

- Binary case: compare features to a weight vector
- Learning: figure out the weight vector from examples



### **Decision Rules**

#### **Binary Decision Rule**

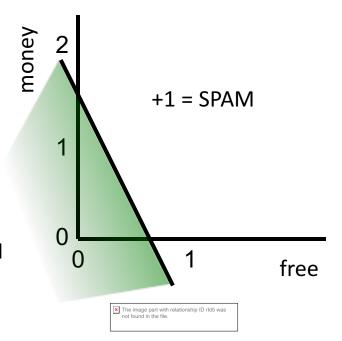
- In the space of feature vectors
  - Examples are points
  - Any weight vector is a hyperplane
  - One side corresponds to Y=+1
  - Other corresponds to Y=-1



BIAS : -3
free : 4
money : 2

-1 = HAM





# Weight Updates

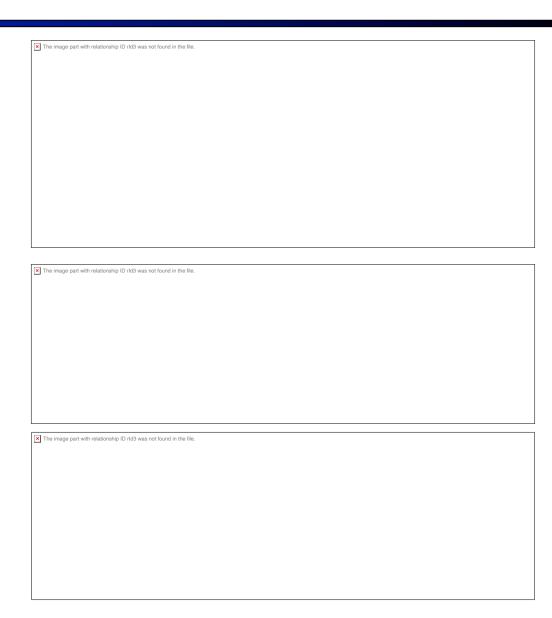
The image part with relationship ID rld2 was not found in the file.		

## Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
  - Classify with current weights

■ If correct (i.e., y=y\*), no change!

If wrong: adjust the weight vector



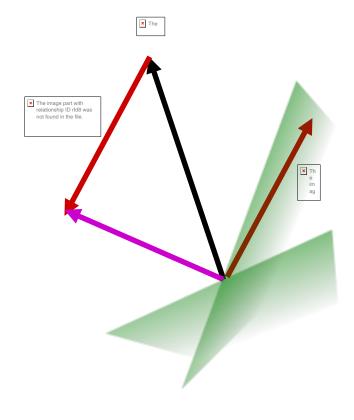
#### Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
  - Classify with current weights



- If correct (i.e., y=y\*), no change!
- If wrong: adjust the weight vector by adding or subtracting the feature vector. Subtract if y\* is -1.





#### 3Blue1Brown

- Need a refresher on linear algebra topics like vector addition and matrix multiplication?
- I recommend the wonderful YouTube series by Grant Sanderson.
   His channel is called 3Blue1Brown.
- Grant gives intuitive visual tutorials to a ton of math concepts
- Here is his "Essence of Linear Algebra" series:
  - https://www.youtube.com/playlist?list=PLZHQObOWTQDPD3MizzM2x
     VFitgF8hE ab

## **Examples: Perceptron**

Separable Case



#### Multiclass Decision Rule

- If we have multiple classes:
  - A weight vector for each class:



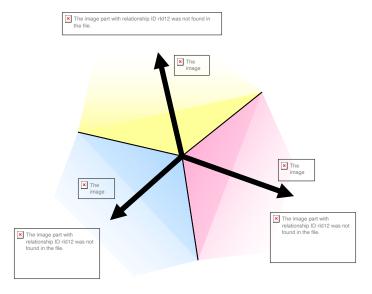
Score (activation) of a class y:



Prediction highest score wins







Binary = multiclass where the negative class has weight zero

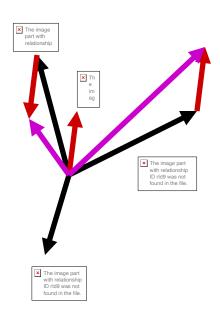
#### Learning: Multiclass Perceptron

- Start with all weights = 0
- Pick up training examples one by one
- Predict with current weights



- If correct, no change!
- If wrong: lower score of wrong answer, raise score of right answer





#### Example: Multiclass Perceptron

"win the vote"

"win the election"

"win the game"

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BIAS : 1
win : 0
game : 0
vote : 0
the : 0

The image part with relationship ID rld6 was not found in the file.

BIAS : 0
win : 0
game : 0
vote : 0
the : 0

The image part with relationship ID rld6 was not found in the file.

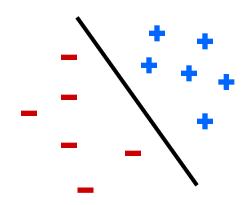
BIAS : 0
win : 0
game : 0
vote : 0
the : 0

## **Properties of Perceptrons**

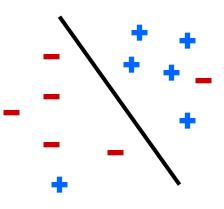
- Separability: true if some parameters get the training set perfectly correct
- Convergence: if the training is separable, perceptron will eventually converge (binary case)
- Mistake Bound: the maximum number of mistakes (binary case) related to the margin or degree of separability



#### Separable

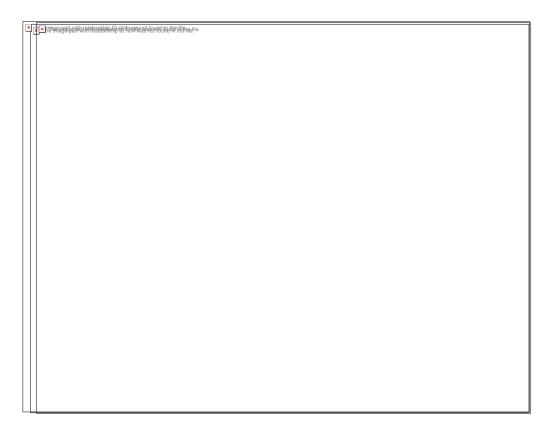


Non-Separable



# Examples: Perceptron

Non-Separable Case



# Improving the Perceptron

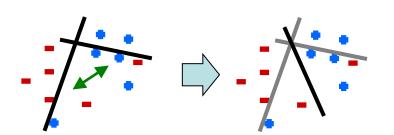
The image part with relationship (0) fill did was not found in the file.					
	× The	image part with relationship ID rld2 was not found in the file.			

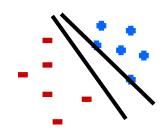
### Problems with the Perceptron

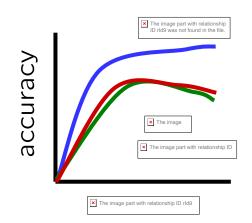
- Noise: if the data isn't separable, weights might thrash
  - Averaging weight vectors over time can help (averaged perceptron)

 Mediocre generalization: finds a "barely" separating solution

- Overtraining: test / held-out accuracy usually rises, then falls
  - Overtraining is a kind of overfitting











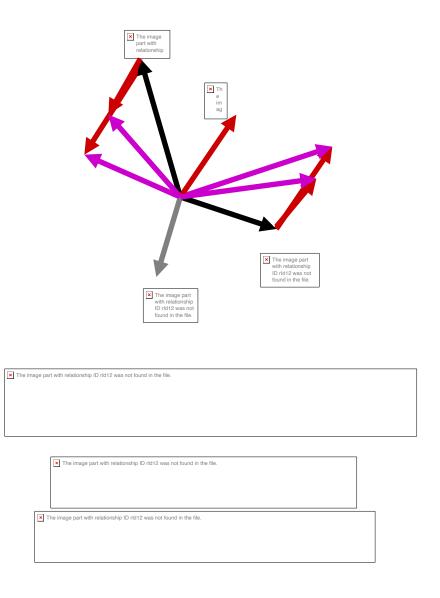


## Fixing the Perceptron

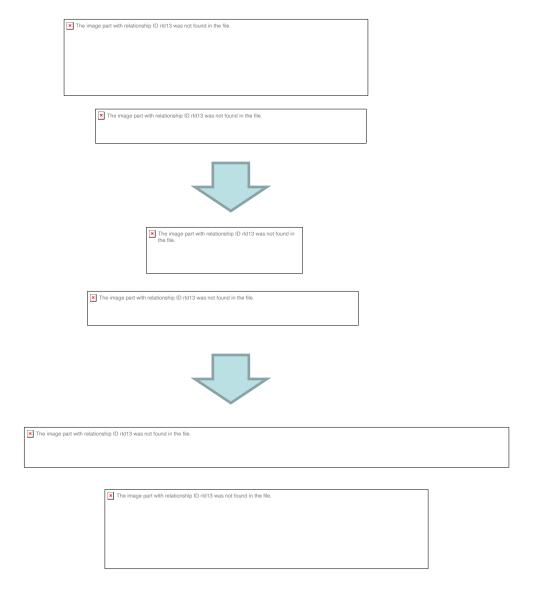
- Idea: adjust the weight update to mitigate these effects
- MIRA\*: choose an update size that fixes the current mistake...
- ... but, minimizes the change to w



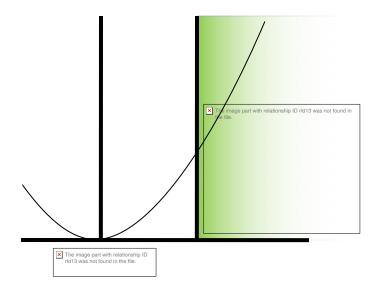
The +1 helps to generalize



## Minimum Correcting Update







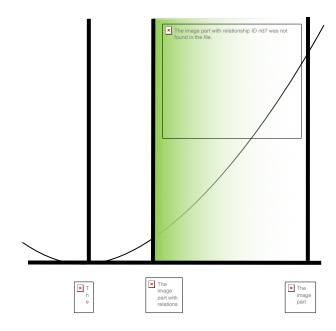
min not  $\tau$ =0, or would not have made an error, so min will be where equality holds

#### Maximum Step Size

- In practice, it's also bad to make updates that are too large
  - Example may be labeled incorrectly
  - You may not have enough features
  - Solution: cap the maximum possible value of  $\tau$  with some constant C

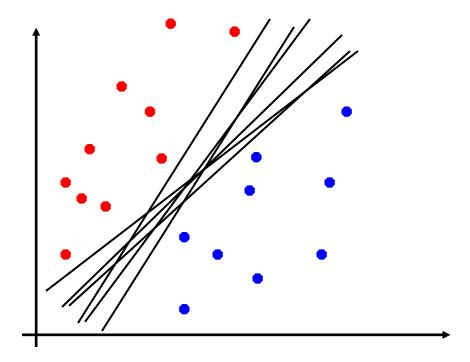


- Corresponds to an optimization that assumes non-separable data
- Usually converges faster than perceptron
- Usually better, especially on noisy data



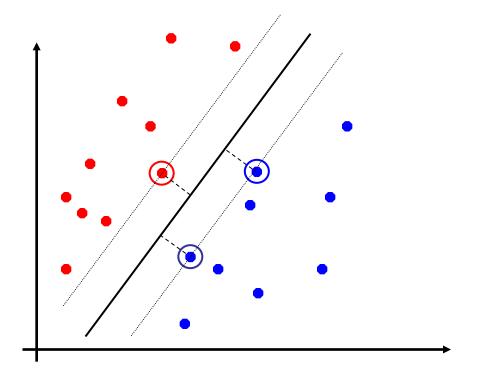
## **Linear Separators**

Which of these linear separators is optimal?



### **Support Vector Machines**

- Maximizing the margin: good according to intuition, theory, practice
- Only support vectors matter; other training examples are ignorable
- Support vector machines (SVMs) find the separator with max margin
- Basically, SVMs are MIRA where you optimize over all examples at once



#### **MIRA**

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#### SVM



#### Classification: Comparison

#### Naïve Bayes

- Builds a model training data
- Gives prediction probabilities
- Strong assumptions about feature independence
- One pass through data (counting)

#### Perceptrons / MIRA:

- Makes less assumptions about data
- Mistake-driven learning
- Multiple passes through data (prediction)
- Often more accurate