## 程序验证方法 研究生课程 Chapter 7 (7.3, 7.4) Disjoint Parallel Programs

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## Review ——PW&PT of While Program

#### PROOF SYSTEM PW:

AXIOM 1: SKIP

 $\{p\}$  skip  $\{p\}$ 

RULE 5: LOOP

 $\frac{\{p \wedge B\} \ S \ \{p\}}{\{p\} \ \mathbf{while} \ B \ \mathbf{do} \ S \ \mathbf{od} \ \{p \wedge \neg B\}}$ 

AXIOM 2: ASSIGNMENT

 ${p[u := t]} u := t {p}$ 

RULE 6: CONSEQUENCE

RULE 3: COMPOSITION

 $\frac{\{p\}\ S_1\ \{r\},\{r\}\ S_2\ \{q\}}{\{p\}\ S_1;\ S_2\ \{q\}}$ 

 $\frac{p \to p_1, \{p_1\} \ S \ \{q_1\}, q_1 \to q}{\{p\} \ S \ \{q\}}$ 

RULE 4: CONDITIONAL

$$\frac{\{p \land B\} \ S_1 \ \{q\}, \{p \land \neg B\} \ S_2 \ \{q\}\}}{\{p\} \ \textbf{if} \ B \ \textbf{then} \ S_1 \ \textbf{else} \ S_2 \ \textbf{fi} \ \{q\}}$$

## Review ——PW&PT of While Program

#### PROOF SYSTEM TW:

This system consists of the group of axioms and rules 1–4, 6, 7.

AXIOM 1: SKIP

$$\{p\}$$
  $skip$   $\{p\}$ 

AXIOM 2: ASSIGNMENT

$${p[u := t]} u := t {p}$$

RULE 3: COMPOSITION

$$\frac{\{p\}\ S_1\ \{r\}, \{r\}\ S_2\ \{q\}}{\{p\}\ S_1;\ S_2\ \{q\}}$$

RULE 4: CONDITIONAL

$$\frac{\{p \land B\} \ S_1 \ \{q\}, \{p \land \neg B\} \ S_2 \ \{q\}\}}{\{p\} \ \textbf{if} \ B \ \textbf{then} \ S_1 \ \textbf{else} \ S_2 \ \textbf{fi} \ \{q\}}$$

RULE 7: LOOP II

RULE 6: CONSEQUENCE

$$\frac{p \to p_1, \{p_1\} \ S \ \{q_1\}, q_1 \to q}{\{p\} \ S \ \{q\}}$$

#### partial correctness

$$\models \{p\} \ S \ \{q\} \ \text{iff} \ \mathcal{M}[S]([p]) \subseteq [q]$$

#### total correctness

$$\models_{tot} \{p\} \ S \ \{q\} \ \text{iff} \ \mathcal{M}_{tot}[S]([p]) \subseteq [q]$$

#### Review

$$\mathcal{M}[S]: \Sigma \to \mathcal{P}(\Sigma) \qquad \mathcal{M}[S](\sigma) = \{\tau \mid \langle S, \sigma \rangle \to^* \langle E, \tau \rangle \}$$

$$\mathcal{M}_{tot}[\![S]\!]: \Sigma \to \mathcal{P}(\Sigma \cup \{\bot\}) \qquad \mathcal{M}_{tot}[\![S]\!](\sigma) = \mathcal{M}[\![S]\!](\sigma) \cup \{\bot \mid S \text{ can diverge from } \sigma\}$$

#### Review

Lemma 7.7. (Sequentialization) Let  $S_1, ..., S_n$  be pairwise disjoint while programs. Then

$$\mathcal{M}[[S_1||...||S_n]] = \mathcal{M}[S_1; ...; S_n],$$

and

$$\mathcal{M}_{tot}[[S_1||...||S_n]] = \mathcal{M}_{tot}[[S_1; ...; S_n]].$$

#### RULE 23: SEQUENTIALIZATION

$$rac{\{p\}\ S_1;\ \ldots;\ S_n\ \{q\}\}}{\{p\}\ [S_1\|\ldots\|S_n]\ \{q\}}$$
 Sound for both partial and total correctness by Lemma 7.7

We get a sound PW (PT) proof system of Disjoint Parallel Programs by adding RULE 23 to previous PW (PT) of While Programs.

#### **Drawback of RULE 23**

It's convenient to use RULE 23 to show:

$$\models_{tot} \{x = y\} [x := x + 1 | y := y + 1] \{x = y\};$$

However, considering more complex situations:

S1 ... Sn are independent programs, each has its owe pre- and post-assertions.

We want to prove:

$$\models_{tot} \{p\} [S_1 \parallel \ldots \parallel S_n] \{q\}$$

First we should show:

$$\models_{tot} \{p\} \ S_1; \ \ldots; \ S_n \ \{q\}$$

Drawback, can we simplify this work?

Then by the composition rule:

$$\{p\}\ S_1\ \{r_1\},\ldots,\{r_{i-1}\}\ S_i\ \{\gamma_i\},\ldots,\{r_{n-1}\}\ S_n\ \{q\}$$

the pre- and post-assertions of different components of [S1|| ... ||Sn] must fit exactly.

Solution: RULE 24: DISJOINT PARALLELISM

$$\frac{\{p_i\}\ S_i\ \{q_i\}, i\in\{1,\ldots,n\}}{\{\bigwedge_{i=1}^n\ p_i\}\ [S_1\|\ldots\|S_n]\ \{\bigwedge_{i=1}^n\ q_i\}}$$
 where  $free(p_i,q_i)\cap change(S_j)=\emptyset$  for  $i\neq j$ . Due to this restriction, RULE 24 is weaker than RULE 23.

Each  $\{p_i\}$   $S_i$   $\{q_i\}$  can be proved in PW or TW for while programs, which means we cut the whole prove process into several parts, and then we combine the results using RULE 24.

$${x = y} [x := x + 1 | y := y + 1] {x = y}$$

For example, the correctness formula above cannot be proved using RULE 24.



We have an improved solution to solve this limitation.

**Improved** solution:

Clearly, we can use a fresh variable z to prove

$${x = z} x := x + 1 {x = z + 1}$$

and

$${y = z} \ y := y + 1 \ {y = z + 1}.$$



$${x = z \land y = z} [x := x + 1 | y := y + 1] {x = z + 1 \land y = z + 1}.$$

$$x = z + 1 \land y = z + 1 \rightarrow x = y$$
 Consequence RULE



$$\{x = z \land y = z\} [x := x + 1 | y := y + 1] \{x = y\}.$$



What to do next?

Improved solution:

$$(x = z \land y = z) [x := x + 1 || y := y + 1] \{x = y\}.$$



What to do next?

Obviously we have:

$${x = y} \ z := x \ {x = z \land y = z};$$



Composition RULE

$$\{x = y\} \ z := x; \ [x := x + 1 || y := y + 1] \ \{x = y\}$$
 next?

Solution: RULE 25 to drop z:=x

## **Auxiliary Variables**

**Definition 7.5.** Let A be a set of simple variables in a program S. We call A a set of auxiliary variables of S if each variable from A occurs in S only in assignments of the form z := t with  $z \in A$ .

They do not appear in Boolean expressions.

They cannot influence the control flow in S.

They are not used in assignments to variables outside of A.

They cannot influence the data flow in S.

e.g.  $S \equiv z := x; \ [x := x + 1 || y := y + 1].$   $\emptyset, \{y\}, \{z\}, \{x, z\}, \{y, z\}, \{x, y, z\}$  are all sets of auxiliary variables of S.

## **Auxiliary Variables**

RULE 25: AUXILIARY VARIABLES

$$\frac{\{p\}\ S\ \{q\}}{\{p\}\ S_0\ \{q\}}$$

where for some set of auxiliary variables A of S with  $\underline{free(q)} \cap A = \emptyset$ , the program  $S_0$  results from S by deleting all assignments to variables in A.

Attention: taking  $A = \{y\}$  and

$$S \equiv z := x; [x := x + 1 || y := y + 1],$$

the literal deletion of the assignment y := y + 1 would yield

$$z := x; \ [x := x + 1 | \boxed{\bullet}]$$

hole

We fill in such "holes" by skip:

$$S' \equiv z := x; \ [x := x + 1 || skip].$$

Summarizing, for proofs of partial correctness of disjoint parallel programs we use the following proof system PP.

#### PROOF SYSTEM PP:

This system consists of the group of axioms and rules 1–6, 24, 25 and A2–A6.

For proofs of total correctness of disjoint parallel programs we use the following proof system TP.

#### PROOF SYSTEM TP:

This system consists of the group of axioms and rules 1–5, 7, 24, 25 and A3–A6.

## Case Study: Find Positive Element

An integer array a.

A constant  $N \ge 1$ .

The task is to find

the smallest index  $k \in \{1,...,N\}$  with a[k] > 0 if such an element of a exists; otherwise the dummy value k = N + 1 should be returned.

```
{true}

FIND

\{1 \le k \le N + 1 \land \forall (1 \le l < k) : a[l] \le 0 \land (k \le N \to a[k] > 0)\}
```

We'll prove this correctness formula in the sense of total correctness.

Clearly, we require  $a \notin change(FIND)$ 

## Case Study: Find Positive Element

```
 \begin{cases} \mathbf{true} \\ FIND \\ \{1 \le k \le N+1 \ \land \ \forall (1 \le l < k) : a[l] \le 0 \ \land \ (k \le N \to a[k] > 0) \} \end{cases}
```

#### We split FIND into two parallel components:

$$S_1 \equiv$$
 while  $i <$  oddtop do if  $a[i] > 0$  then  $oddtop := i$  else  $i := i + 2$  fi od.

**Odd** index

# $S_2 \equiv$ while j < eventop do if a[j] > 0 then eventop := j else j := j + 2 fi od.

End of the search

**Even index** 

```
FIND \equiv i := 1; \ j := 2; \ oddtop := N+1; \ eventop := N+1; [S_1 || S_2]; k := min(oddtop, eventop).
```

## Case Study: Find Positive Element

```
 \begin{cases} \mathbf{true} \} \\ FIND \\ \{1 \le k \le N+1 \ \land \ \forall (1 \le l < k) : a[l] \le 0 \ \land \ (k \le N \to a[k] > 0) \} \end{cases}
```

An adaptation of the postcondition of FIND.

First , we prove:  $\{i=1 \wedge oddtop = N+1\}$   $S_1$   $\{q_1\}$ 

#### loop invariant $p_1$

$$p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1$$

$$\wedge \forall l : (odd(l) \wedge 1 \leq l < i \rightarrow a[l] \leq 0)$$

$$\wedge (oddtop \leq N \rightarrow a[oddtop] > 0).$$

$$S_1 \equiv \mathbf{while}$$
if

#### bound function $t_1$

$$t_1 \equiv oddtop + 1 - i.$$

$$S_1 \equiv$$
 while  $i < oddtop$  do if  $a[i] > 0$  then  $oddtop := i$  else  $i := i + 2$  fi od.

**Odd** index

```
p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1
\{\mathbf{inv}: p_1\}\{\mathbf{bd}: t_1\}
                                                            \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
while i < oddtop do
                                                            \land (oddtop \leq N \rightarrow a[oddtop] > 0).
      \{p_1 \wedge i < oddtop\}
      if a[i] > 0 then \{p_1 \wedge i < oddtop \wedge a[i] > 0\}
                                    1 \le i \le N+1 \land odd(i) \land 1 \le i \le i+1
                                \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
                                                                                                AXIOM 2: ASSIGNMENT
                                 \land (i \leq N \rightarrow a[i] > 0)
                                                                                                {p[u := t]} u := t {p}
                             oddtop := i
                             \{p_1\}
                     else \{p_1 \wedge i < oddtop \wedge a[i] \leq 0\}
                                 1 \leq oddtop \leq N + 1 \wedge odd(i+2)
                                \land 1 \leq i+2 \leq oddtop+1
                                \land \forall l : (odd(l) \land 1 \leq l < i + 2 \rightarrow a[l] \leq 0)
                                \land (oddtop \leq N \rightarrow a[oddtop] > 0)
                             i := i + 2
                             \{p_1\}
                                                                                     S_1 \equiv  while i < oddtop  do
      fi
                                                                                                  if a[i] > 0 then oddtop := i
      \{p_1\}
                                                                                                                 else i := i + 2 fi
od
                                                                                            od.
\{p_1 \land oddtop \leq i\}
                                                                                                          Odd index
\{q_1\}.
```

```
p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1
\{\mathbf{inv}: p_1\}\{\mathbf{bd}: t_1\}
                                                             \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
while i < oddtop do
                                                             \land (oddtop \leq N \rightarrow a[oddtop] > 0).
      \{p_1 \wedge i < oddtop\}
      if a[i] > 0 then \{p_1 \wedge i < oddtop \wedge a[i] > 0\}
                                     1 \le i \le N + 1 \land odd(i) \land 1 \le i \le i + 1
                                 \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
                                 \land (i \leq N \rightarrow a[i] > 0)
                             oddtop := i
                                                                                                RULE 6: CONSEQUENCE
                              \{p_1\}
                                                                                                 p \to p_1, \{p_1\} \ S \ \{q_1\}, q_1 \to q
                     _{
m else}
                             \{p_1 \wedge i < oddtop \wedge a|i| \leq 0\}
                                 1 \leq oddtop \leq N + 1 \wedge odd(i+2)
                                                                                                                \{p\} S \{q\}
                                 \land 1 \leq i+2 \leq oddtop+1
                                 \land \forall l : (odd(l) \land 1 \leq l < i + 2 \rightarrow a[l] \leq 0)
                                 \land (oddtop \leq N \rightarrow a[oddtop] > 0)
                             i := i + 2
                              \{p_1\}
                                                                                       S_1 \equiv  while i < oddtop  do
      fi
                                                                                                    if a[i] > 0 then oddtop := i
      \{p_1\}
                                                                                                                   else i := i + 2 fi
od
                                                                                              od.
\{p_1 \land oddtop \leq i\}
                                                                                                            Odd index
\{q_1\}.
```

```
p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1
\{\mathbf{inv}: p_1\}\{\mathbf{bd}: t_1\}
                                                            \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
while i < oddtop do
                                                            \land (oddtop \leq N \rightarrow a[oddtop] > 0).
      \{p_1 \wedge i < oddtop\}
      if a[i] > 0 then \{p_1 \wedge i < oddtop \wedge a[i] > 0\}
                               1 \leq i \leq N+1 \land odd(i) \land 1 \leq i \leq i+1
                                \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
                                \land (i \leq N \rightarrow a[i] > 0)
                             oddtop := i
                             \{p_1\}
                                                                                                 Proof of the ELSE
                     else \{p_1 \wedge i < oddtop \wedge a[i] \leq 0\}
                             { 1 < oddtop < N + 1 \land odd(i + 2)
                                                                                                 part is the same as
                                \land 1 \leq i+2 \leq oddtop+1
                                                                                                 IF part's.
                                \land \forall l : (odd(l) \land 1 \leq l < i + 2 \rightarrow a[l] \leq 0)
                                \land (oddtop \leq N \rightarrow a[oddtop] > 0)
                             i := i + 2
                             \{p_1\}
                                                                                     S_1 \equiv \text{while } i < oddtop \ do
      fi
                                                                                                   if a[i] > 0 then oddtop := i
      \{p_1\}
                                                                                                                 else i := i + 2 fi
od
                                                                                            od.
\{p_1 \land oddtop \leq i\}
                                                                                                          Odd index
\{q_1\}.
```

```
p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1
\{\mathbf{inv}: p_1\}\{\mathbf{bd}: t_1\}
                                                            \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
while i < oddtop do
                                                            \land (oddtop \leq N \rightarrow a[oddtop] > 0).
      \{p_1 \wedge i < oddtop\}
      if a[i] > 0 then \{p_1 \wedge i < oddtop \wedge a[i] > 0\}
                                1 \leq i \leq N+1 \land odd(i) \land 1 \leq i \leq i+1
                                \land \forall l : (odd(l) \land 1 \leq l < i \rightarrow a[l] \leq 0)
                                \land (i \leq N \rightarrow a[i] > 0)
                             oddtop := i
                             \{p_1\}
                     else \{p_1 \wedge i < oddtop \wedge a[i] \leq 0\}
                               1 \leq oddtop \leq N+1 \wedge odd(i+2)
                                \land 1 \leq i+2 \leq oddtop+1
                                \land \forall l : (odd(l) \land 1 \leq l < i + 2 \rightarrow a[l] \leq 0)
                                \land (oddtop \leq N \rightarrow a[oddtop] > 0)
                             i := i + 2
                             \{p_1\}
                                                                                     S_1 \equiv  while i < oddtop  do
      fi
                                                                                                   if a[i] > 0 then oddtop := i
                                    RULE 6: CONSEQUENCE
      \{p_1\}
                                                                                                                 else i := i + 2 fi
                                     p \to p_1, \{p_1\} \ S \ \{q_1\}, q_1 \to q
od
                                                                                             od.
\{p_1 \land oddtop \leq i\}
                                                                                                          Odd index
```

#### RULE 24: DISJOINT PARALLELISM

where  $free(p_i, q_i) \cap change(S_j) = \emptyset$  for  $i \neq j$ .

$$p_1 \equiv 1 \leq oddtop \leq N + 1 \wedge odd(i) \wedge 1 \leq i \leq oddtop + 1$$
$$\wedge \forall l : (odd(l) \wedge 1 \leq l < i \rightarrow a[l] \leq 0)$$
$$\wedge (oddtop \leq N \rightarrow a[oddtop] > 0).$$

$$p_2 \equiv 2 \leq eventop \leq N + 1 \land even(j) \land j \leq eventop + 1$$
$$\land \forall l : (even(l) \land 1 \leq l < j \rightarrow a[l] \leq 0)$$
$$\land (eventop \leq N \rightarrow a[eventop] > 0),$$

```
FIND \equiv i := 1; \ j := 2; \ oddtop := N+1; \ eventop := N+1; [S_1 || S_2]; k := min(oddtop, eventop).
```

```
ASSIGNMENT
{true}
                                                                         RULE
i := 1; \ j := 2; \ oddtop := N + 1; \ eventop := N + 1;
                                                                         Then
\{p_1 \wedge p_2\}
                                                                         CONSEQUENCE
                                                                         RULE
 [S_1||S_2];
\{q_1 \wedge q_2\}
    1 \leq min(oddtop, eventop) \leq N+1
   \land \forall (1 \leq l < min(oddtop, eventop)) : a[l] \leq 0
   \land (min(oddtop, eventop) \leq N \rightarrow a[min(oddtop, eventop)] > 0)
k := min(oddtop, eventop)
\{1 \le k \le N+1 \land \forall (1 \le l < k) : a[l] \le 0 \land (k \le N \to a[k] > 0)\}.
```

```
FIND \equiv i := 1; \ j := 2; \ oddtop := N+1; \ eventop := N+1; [S_1 || S_2]; k := min(oddtop, eventop).
```

```
{true}
i := 1; j := 2; oddtop := N + 1; eventop := N + 1;
\{p_1 \wedge p_2\}
  [S_1||S_2];
\{q_1 \wedge q_2\}
                                                                                                           CONSEQUENCE
    1 \leq \min(oddtop, eventop) \leq N + 1
 \land \ \forall (1 \leq l < \min(oddtop, eventop)) : a[l] \leq 0
                                                                                                          RULE
 \land \ (min(oddtop, eventop) \leq N \rightarrow a[min(oddtop, eventop)] > 0) \} \land k := min(oddtop, eventop) \\ \{1 \leq k \leq N+1 \ \land \ \forall (1 \leq l < k) : a[l] \leq 0 \ \land \ (k \leq N \rightarrow a[k] > 0) \}.
```

ASSIGNMENT RULE