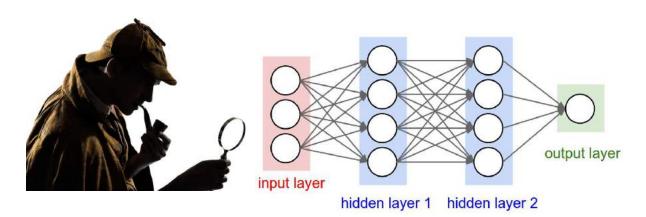
# NEURAL RENDERING MODEL (NRM): JOINT GENERATION AND PREDICTION FOR SEMI-SUPERVISED LEARNING

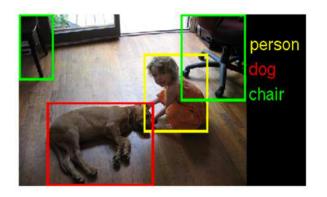
Tan Nguyen

Joint work with Nhat Ho, Ankit B. Patel, Anima Anandkumar, Michael I. Jordan, and Richard G. Baraniuk

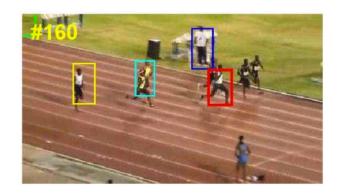




#### **Deep Learning – The Current State-of-the-Art**







**Object Recognition** 

Image Segmentation

**Object Tracking** 

Deep learning models, such as the Deep Convolutional Networks, achieve state-of-the-art performance in various visual perception tasks



## **Deep Learning – Behind the Scene**

Massive Amount of Labeled Data



Big Computer



**Efficient Frameworks** 





#### **Deep Learning – Behind the Scene**

Massive Amount of Labeled Data



**Big Computer** 



AWS, Google Cloud, Salesforce,

. . .

**Efficient Frameworks** 

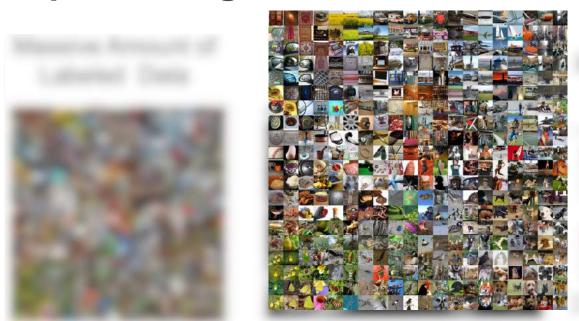


MXNet, PyTorch, Tensorflow,

. . .



#### **Deep Learning – Behind the Scene**

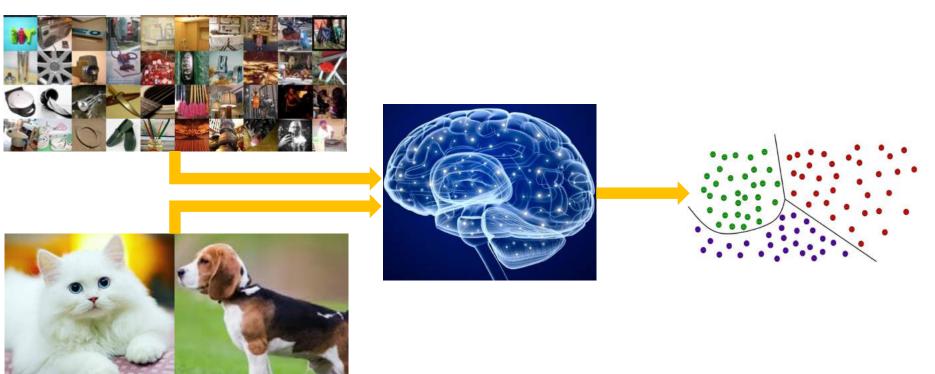


It is often costly or infeasible to acquire and annotate massive datasets



## **Semi-Supervised Learning**

Raw data from HTML



Cat

Dog



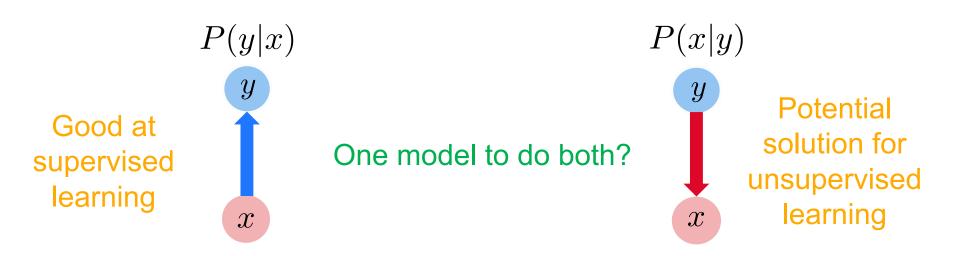
## Learning from Unlabeled Data is Challenging

Raw data from HTML



One solution for unsupervised learning is to employ the hidden structure in the data to facilitate the learning

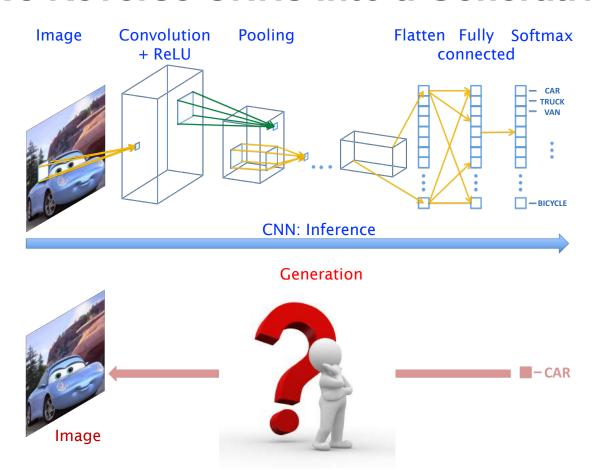
#### **Predictive vs Generative Models**



- CNNs are state-of-the-art predictive models
- What class of P(x|y) yields CNNs for P(y|x)?

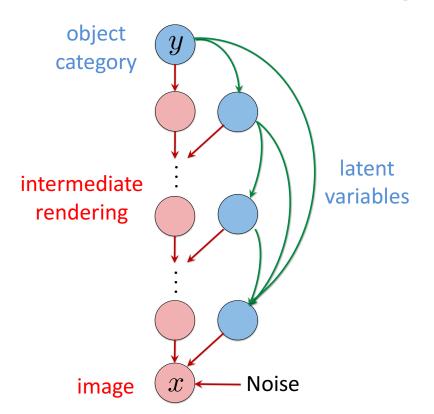


#### How can We Reverse CNNs into a Generative Model?





## **Neural Rendering Model (NRM)**

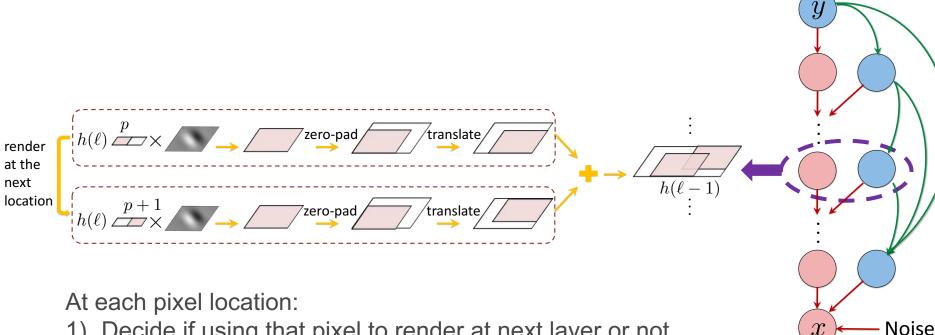


Reverse-engineer CNN to:

- Design the corresponding generative model
- Formulate the joint priors for latent variables



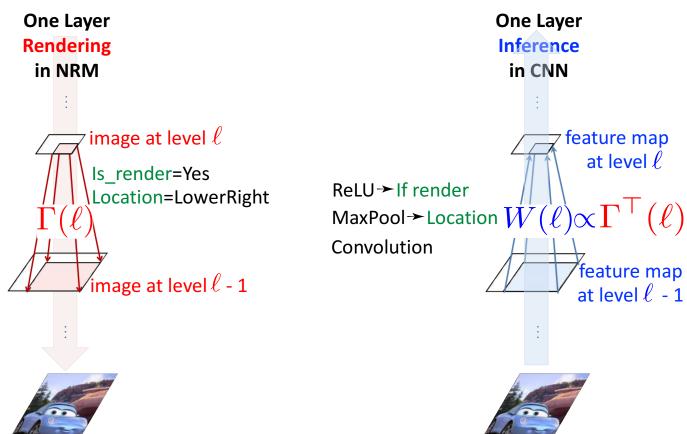
Rendering in One Layer of NRM



- Decide if using that pixel to render at next layer or not
- Zero-pad the rendered patch
- Translate the rendered feature locally within each patch
- Combine all patches together to form the intermediate rendered image at the next layer

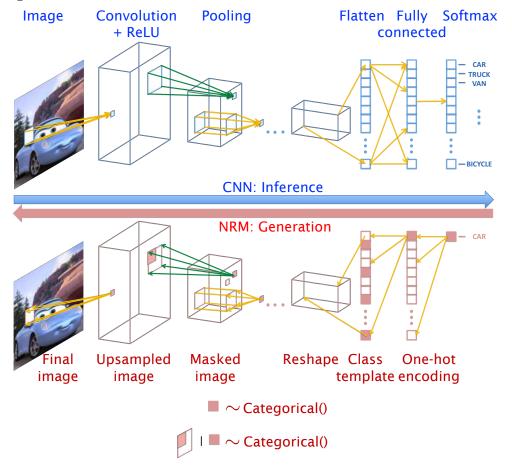


#### Correspondence between NRM and CNN



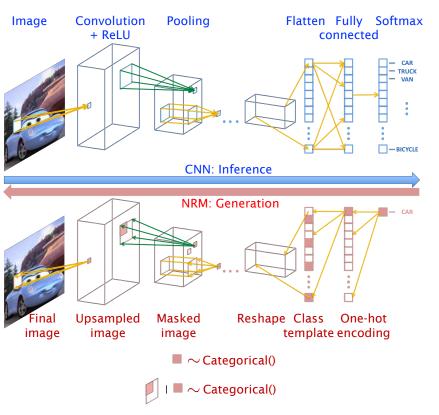


#### Correspondence between NRM and CNN





## **Losses for Semi-Supervised Learning**



- Conditional log-likelihood in the NRM ⇒ Cross-entropy loss for training CNNs with labeled data
- Expected complete-data log-likelihood in the NRM ⇒
   Reconstruction loss + Rendering
   Path Normalization (RPN)
   regularizer for training CNNs with unlabeled data

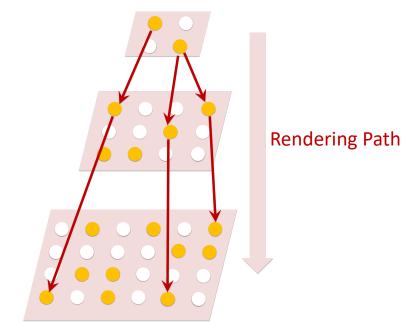


#### **Statistical Guarantees for NRM**

NRM is consistent - when training NRM with enough data, the objective value will converge to the optimal one

Bound on the generalization error

$$Risk \le \frac{Number\ of\ active\ rendering\ paths}{n^{1/2}}$$





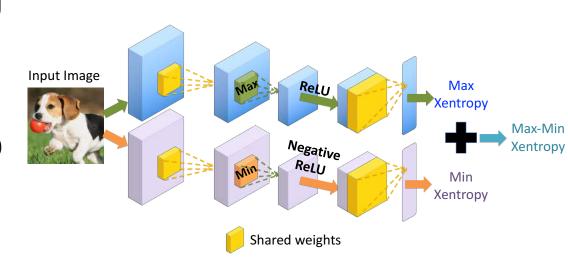
## **Max-min cross-entropy** $\longrightarrow$ max-min networks

Cross-Entropy Loss for Training the CNNs with Labeled Data

$$\min_{\theta \in \mathcal{A}_{\gamma}} H_{p,q}(y|x, z^{\max})$$

$$\geq \min_{(z_i)_{i=1}^n, \theta} \frac{1}{n} \sum_{i=1}^n -\log p(y_i|x_i, z_i; \theta)$$

Max-Min Loss for Training the CNNs with Labeled Data



$$\alpha^{\max} H_{p,q}(y|x,z^{\max}) + \alpha^{\min} H_{p,q}(y|x,z^{\min})$$

- Max-Min cross-entropy maximizes the posteriors of correct labels and minimizes the posteriors of incorrect labels.
- Co-learning: Max and Min networks try to learn from each other



#### **Empirical results**

#### **Semi-Supervised Learning**

- NRM + Max-Min improves SOTA by 0.7%-1.8% on CIFAR10, CIFAR100, SVHN.
- Especially in low labeled data setting.

#### **Supervised Learning**

 Max-Min improves SOTA on CIFAR10 by 0.26% and on ImageNet by 0.17% (top 5 error)

Max-Min NRM achieves competitive/state-of-the-art results on semi-supervised & supervised learning



## **Semi-Supervised Learning Results**

Error rate percentage on CIFAR-10	0
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Error rate percentage on CIFAR-100				
	10K labels 50K images	50K labels 50K images		
Π model (Laine & Aila, 2017) Temporal Ensembling (Laine & Aila, 2017)	$39.19 \pm 0.36$ $38.65 \pm 0.51$	$26.32 \pm 0.04$ $26.30 \pm 0.15$		
Supervised-only NRM+RPN+Max-Min	$44.56 \pm 0.30$ $37.75 \pm 0.66$	$26.42 \pm 0.17$ <b>24.38 <math>\pm</math> 0.29</b>		
Error rate percentage on CIFAR-10  1K labels 50K lab 50K images 50K im				
Mean Teacher (Tarvainen & Valpola, 2017)	$21.55 \pm 1.48$	$5.94 \pm 0.15$		
Supervised-only NRM+RPN+Mean Teacher+Max-Min	$46.43 \pm 1.21$ $19.79 \pm 0.74$	$5.82 \pm 0.15$ $4.88 \pm 0.09$		

## **Supervised Learning Results**

Error rate percentage on CIFAR-100 and ImageNet

	CIFAR10	ImageNet (top 5 error)
Baseline Max-Min	$2.56$ $2.30 \pm 0.02$	7.21 <b>7.04</b>



#### **Conclusions**

- We develop the Neural Rendering Model (NRM) whose bottom-up inference corresponds to a CNN architecture of choice
- We derive consistency guarantee and generalization bound for the NRM and CNNs
- We propose the new Max-Min network and the new Max-Min cross-entropy loss function for learning
- Our Max-Min network achieve state-of-the-art empirical results for semi-supervised and supervised learning



#### **Future Work**

- Develop the dynamic NRM to train on time-series data
- Combine NRM and GANs to generate better-looking images
- Use the likelihood from the NRM for out-of-distribution detection
- Explore different noise distributions to derive new CNNs

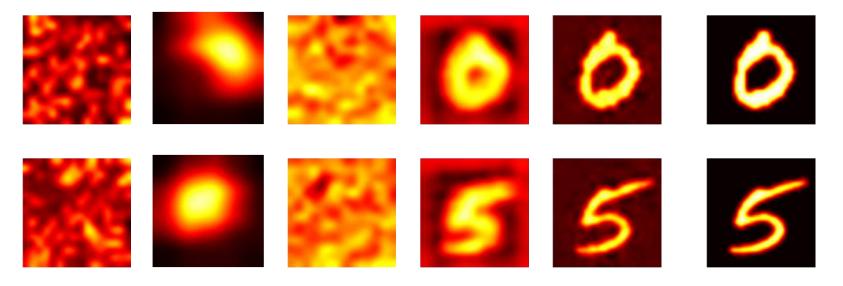


## **Back-up Slides**



## Reconstructed Images from NRM

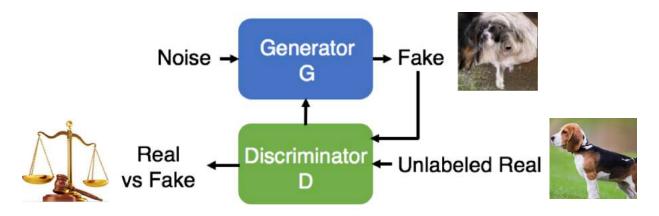
Layer 4 Layer 3 Layer 2 Layer 1 Layer 0 Original





## Semi-Supervised Learning with Generative Models?

#### **Generative Adversarial Networks**



#### Peril

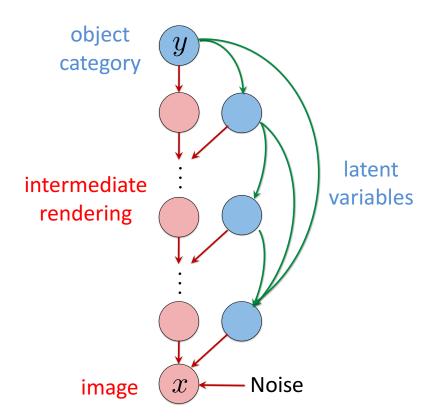
- Feedback is real vs. fake: different from prediction
- Introduces artifacts

#### **Merits**

- Somehow captures statistics of natural images
- Learnable



#### **Connection to Predictive Coding**



- The latent dependency in NRM ≈ backward connections in predictive coding
- The joint prior of NRM adds bias terms after convolutions in CNNs ≈ backward/feedback neurons in the backward pathways in the brain

