

# Probabilistic Context-Free Grammar

COMP90042

Natural Language Processing

Lecture 15

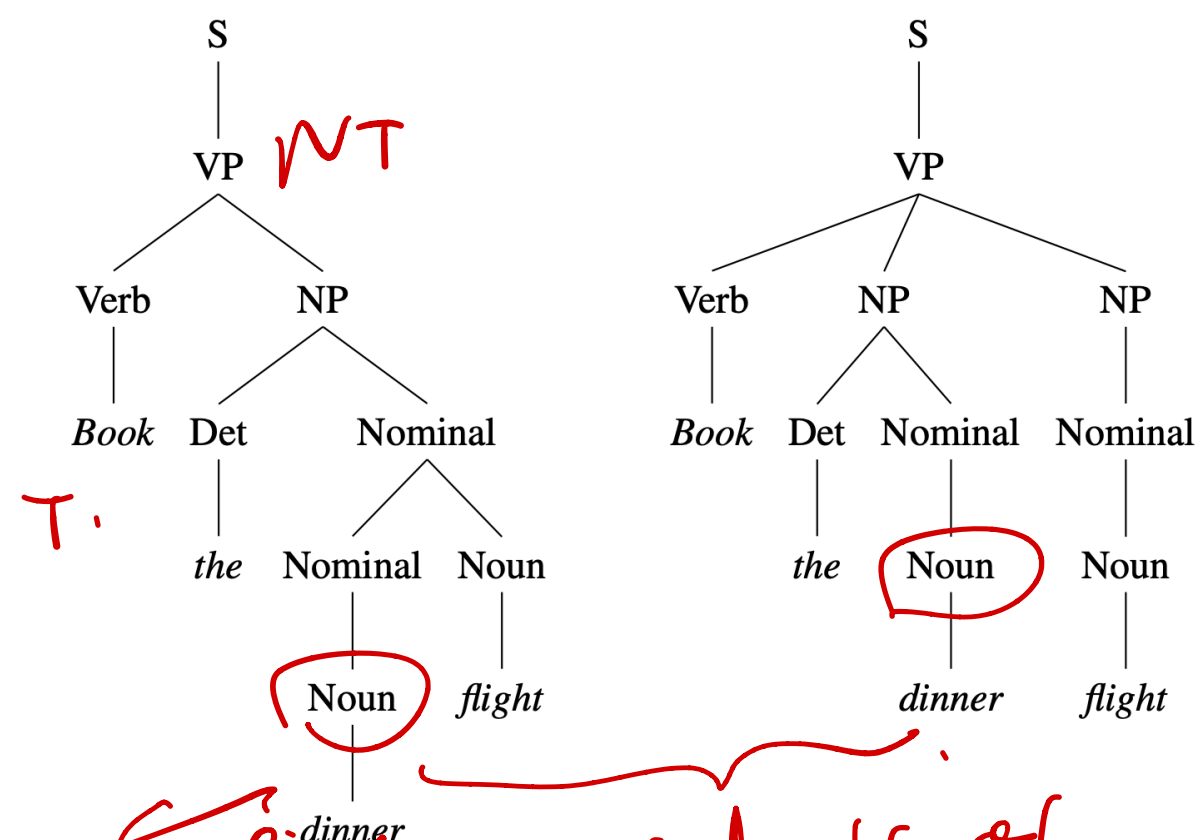


THE UNIVERSITY OF  
MELBOURNE

# Ambiguity In Parsing

- Context-free grammars assign hierarchical structure to language
  - ▶ Linguistic notion of a '*syntactic constituent*'
  - ▶ Formulated as generating all strings in the language; or
  - ▶ Predicting the structure(s) for a given string

- Raises problem of ambiguity, e.g., which is better?



book the flight on behalf of dinner<sup>2</sup>

# Outline

- Probabilistic context-free grammars (PCFGs)
- Parsing using dynamic programming
- Limitations of ‘context-free’ assumption and some solutions:
  - ▶ parent annotation
  - ▶ head lexicalisation

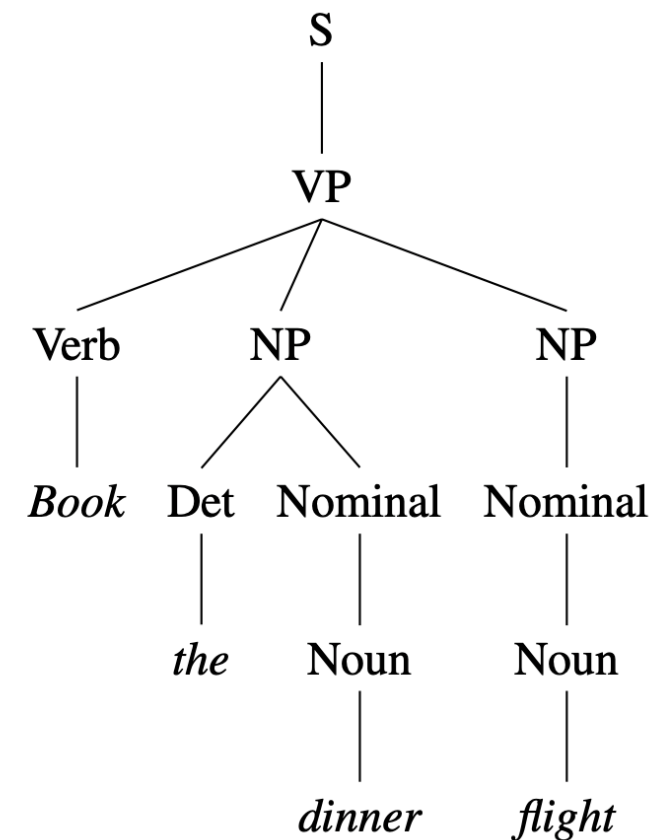
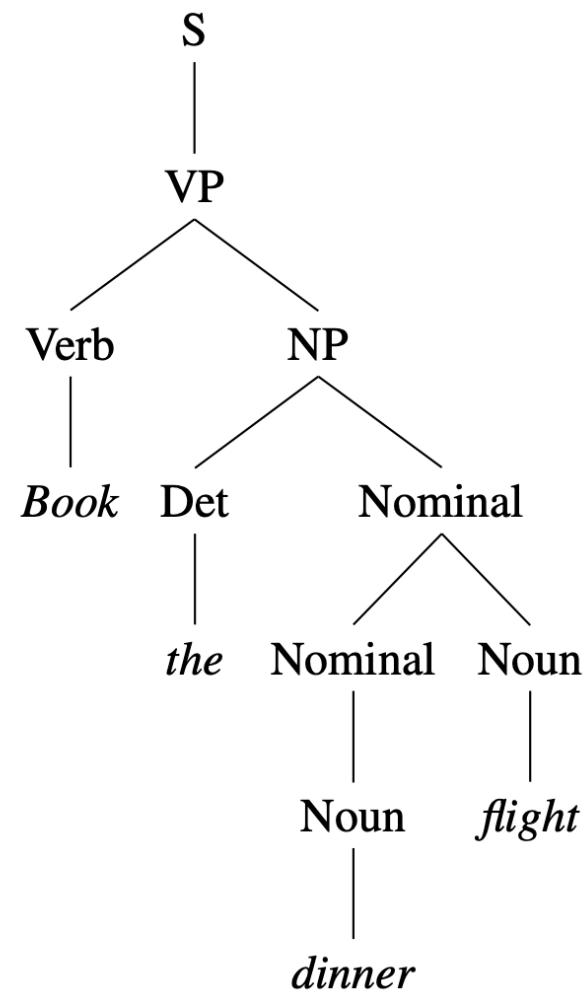
# Basics of Probabilistic CFGs

- As for CFGs, same symbol set:
  - ▶ Terminals: words such as *book*
  - ▶ Non-terminal: syntactic labels such as NP or NN
- Same productions (rules)
  - ▶ LHS non-terminal → ordered list of RHS symbols
- In addition, store a **probability** with each production
  - ▶ NP → DT NN [p = 0.45]
  - ▶ NN → cat [p = 0.02]
  - ▶ NN → leprechaun [p = 0.00001]
  - ▶ ...

# Probabilistic CFGs

- Probability values denote **conditional**
  - $\Pr(\text{LHS} \rightarrow \text{RHS})$
  - $\Pr(\text{RHS} \mid \text{LHS})$
- Consequently they:
  - must be positive values, between 0 and 1
  - must sum to one for given LHS
- E.g.,
  - $\text{NN} \rightarrow \text{aadvark} \quad [p = 0.0003]$
  - $\text{NN} \rightarrow \text{cat} \quad [p = 0.02]$
  - $\text{NN} \rightarrow \text{leprechaun} \quad [p = 0.0001]$
  - $\sum_x \Pr(\text{NN} \rightarrow x) = 1$

$$\sum_x \Pr(\text{NN} \rightarrow x) = 1$$



Rules			P	Rules			P
S	→	VP	.05	S	→	VP	.05
VP	→	Verb NP	.20	VP	→	Verb NP NP	.10
NP	→	Det Nominal	.20	NP	→	Det Nominal	.20
Nominal	→	Nominal Noun	.20	NP	→	Nominal	.15
Nominal	→	Noun	.75	Nominal	→	Noun	.75
Verb	→	book	.30	Nominal	→	Noun	.75
Det	→	the	.60	Verb	→	book	.30
Noun	→	dinner	.10	Det	→	the	.60
Noun	→	flight	.40	Noun	→	dinner	.10
				Noun	→	flight	.40

# Stochastic Generation with PCFGs

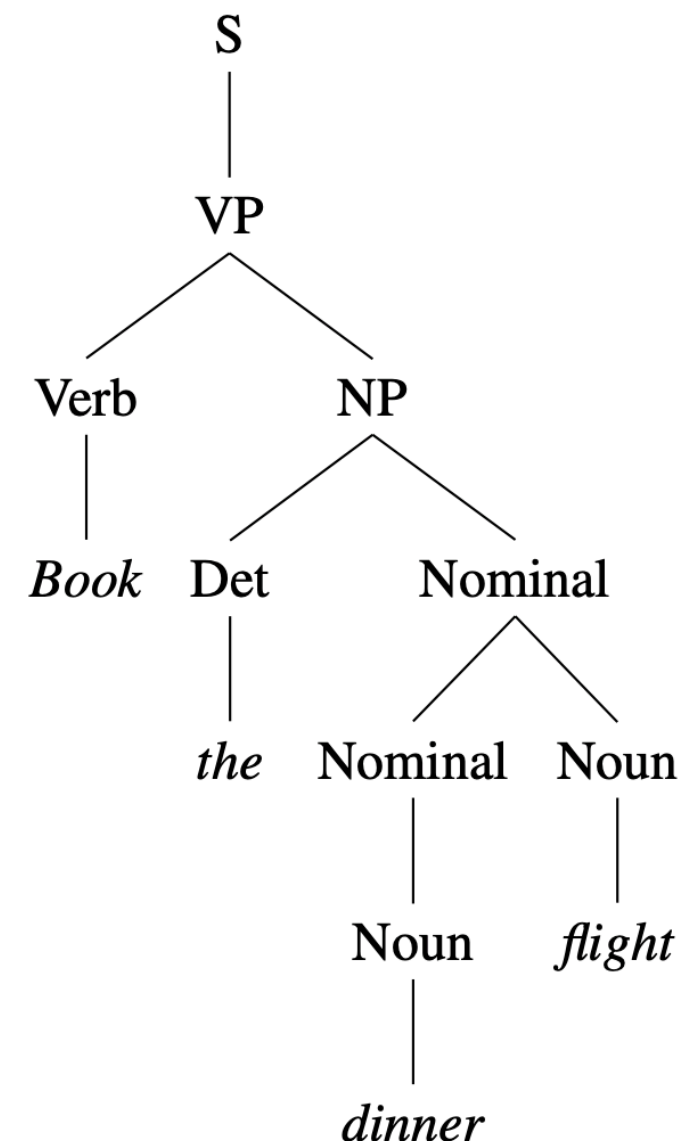
Almost the same as for CFG, with one twist:

1. Start with  $S$ , the sentence symbol
2. Choose a rule with  $S$  as the LHS
  - ▶ Randomly select a RHS according to  $\text{Pr}(\text{RHS} \mid \text{LHS})$   
e.g.,  $S \rightarrow VP$
  - ▶ Apply this rule, e.g., substitute  $VP$  for  $S$
3. Repeat step 2 for each non-terminal in the string (here,  $VP$ )
4. Stop when no non-terminals remain

Gives us a tree, as before, with a sentence as the yield

# How Likely is a Tree?

- Given a tree, we can compute its probability
  - Decomposes into probability of each production
- E.g., for (left) tree,
  - $P(\text{tree}) =$ 
    - $P(S \rightarrow VP) \times$
    - $P(VP \rightarrow \text{Verb NP}) \times$
    - $P(\text{Verb} \rightarrow \textit{Book}) \times$
    - $P(NP \rightarrow \text{Det Nominal}) \times$
    - $P(\text{Det} \rightarrow \textit{the}) \times$
    - $P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times$
    - $P(\text{Nominal} \rightarrow \text{Noun}) \times$
    - $P(\text{Noun} \rightarrow \textit{dinner}) \times$
    - $P(\text{Noun} \rightarrow \textit{flight})$





# How Likely is a Tree?

$P(\text{tree})$

$$= P(S \rightarrow VP) \times P(VP \rightarrow \text{Verb NP}) \times P(\text{Verb} \rightarrow \text{Book}) \times \\ P(NP \rightarrow \text{Det Nominal}) \times P(\text{Det} \rightarrow \text{the}) \times P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times \\ P(\text{Nominal} \rightarrow \text{Noun}) \times P(\text{Noun} \rightarrow \text{dinner}) \times P(\text{Noun} \rightarrow \text{flight})$$

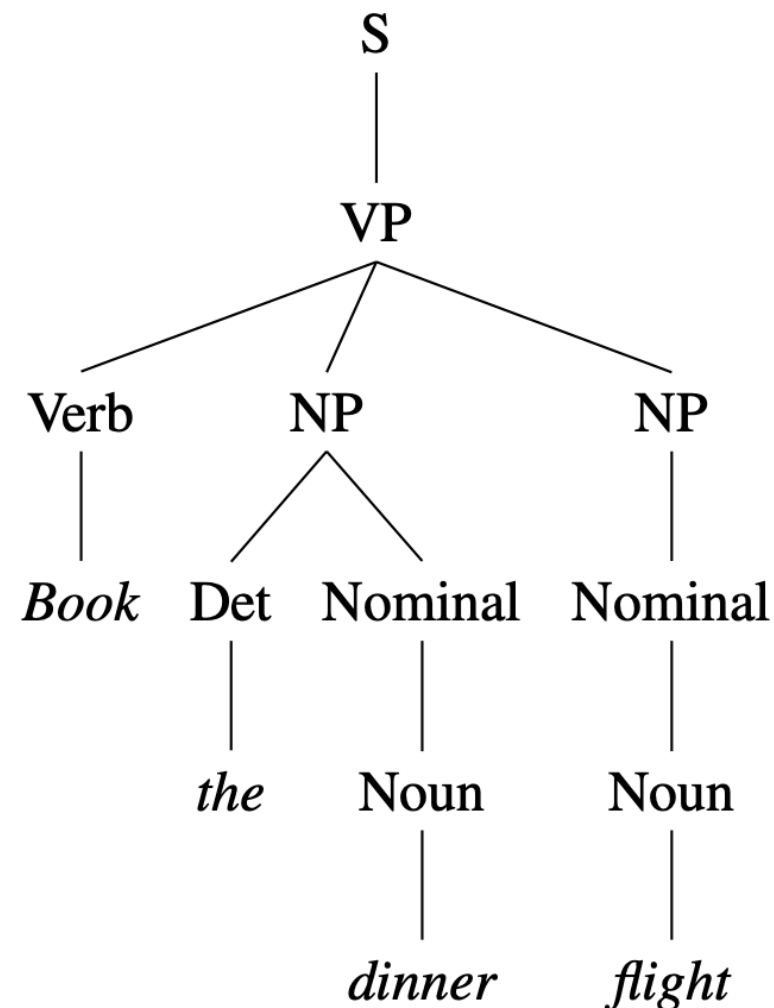
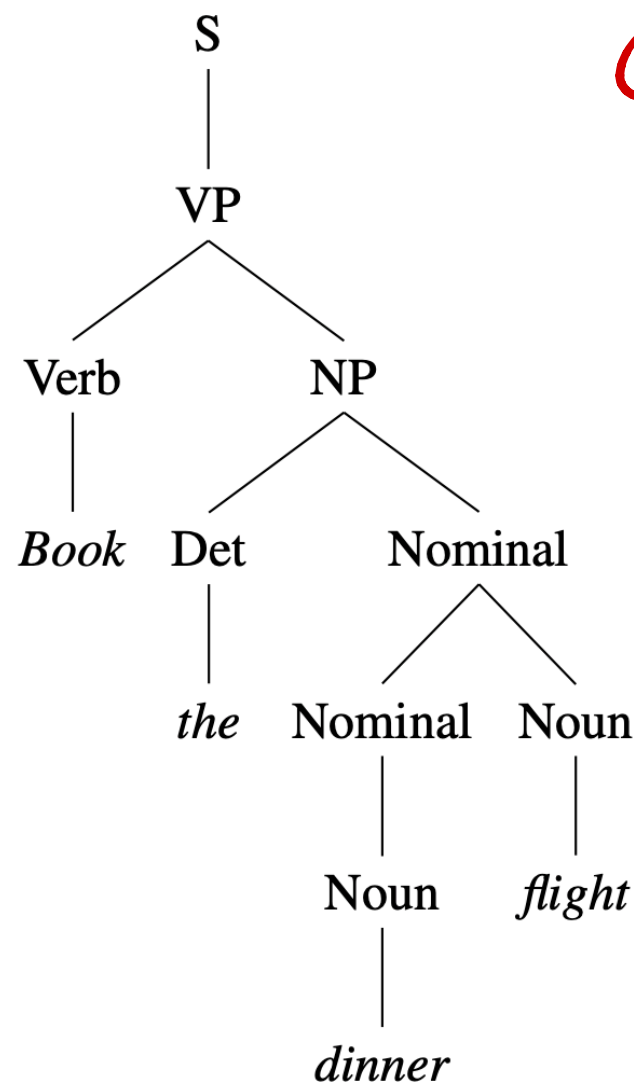
$$= 0.05 \times 0.20 \times 0.30 \times \\ 0.20 \times 0.60 \times 0.20 \times \\ 0.75 \times 0.10 \times 0.40$$

$$= 2.2 \times 10^{-6}$$

	Rules	P
S	$\rightarrow$ VP	.05
VP	$\rightarrow$ Verb NP	.20
NP	$\rightarrow$ Det Nominal	.20
Nominal	$\rightarrow$ Nominal Noun	.20
Nominal	$\rightarrow$ Noun	.75
Verb	$\rightarrow$ book	.30
Det	$\rightarrow$ the	.60
Noun	$\rightarrow$ dinner	.10
Noun	$\rightarrow$ flight	.40

# Resolving Parse Ambiguity

- Can select between different trees based on  $P(T)$
- $P(T_{\text{left}}) = 2.2 \times 10^{-6}$   $P(T_{\text{right}}) = 6.1 \times 10^{-7}$



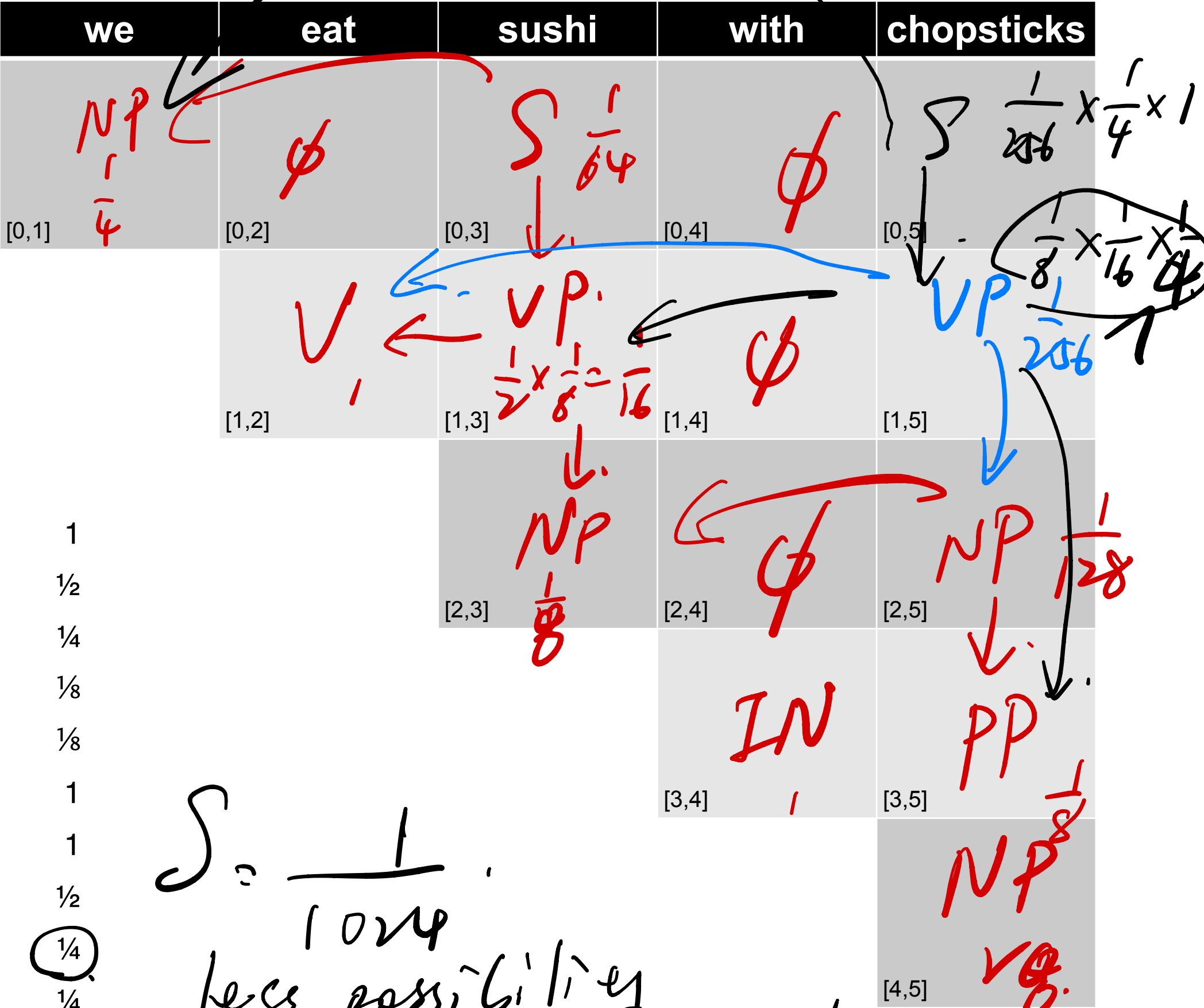
# Parsing PCFGs

- Instead of selecting between two trees, can we select a tree from the set of all possible trees?
- Before we looked at
  - ▶ **CYK**
  - ▶ for unweighted grammars (CFGs)
  - ▶ finds **all possible trees**
- But there are often 1000s, many completely nonsensical
- Can we solve for the **most probable tree**?

# CYK for PCFGs

- CYK finds **all trees** for a sentence; we want **best tree**
- Prob. CYK follows similar process to standard CYK
- Convert grammar to Chomsky Normal Form (CNF)
  - ▶ E.g.,  $VP \rightarrow \text{Verb NP NP}$  [0.10]
  - ▶ becomes  $VP \rightarrow \text{Verb NP+NP}$  [0.10]  
 $NP+NP \rightarrow \text{NP NP}$  [1.0]
  - ▶ where NP+NP is a new symbol.

# PCFG Parsing Example



S	→ NP VP	1
NP	→ NP PP	$\frac{1}{2}$
	→ we	$\frac{1}{4}$
	→ sushi	$\frac{1}{8}$
	→ chopsticks	$\frac{1}{8}$
PP	→ IN NP	1
IN	→ with	1
VP	→ <u>V NP</u>	$\frac{1}{2}$
	→ <u>VP PP</u>	$\frac{1}{4}$
	→ MD V	$\frac{1}{4}$
V	→ eat	1

$S = \frac{1}{1024}$   
less possibility cancelled out.

we	eat	sushi	with	chopsticks
<div>NP 1/4</div> <div>[0,1]</div>				
	<div>V 1</div> <div>[1,2]</div>			
		<div>NP 1/8</div> <div>[2,3]</div>		
			<div>IN 1</div> <div>[3,4]</div>	
				<div>NP 1/8</div> <div>[4,5]</div>

- S → NP VP

1
- NP → NP PP

1/2
- we

1/4
- sushi

1/8
- chopsticks

1/8
- PP → IN NP

1
- IN → with

1
- VP → V NP

1/2
- VP PP

1/4
- MD V

1/4
- V → eat

1

we	eat	sushi	with	chopsticks
<b>NP 1/4</b> [0,1]	∅ [0,2]	[0,3]	[0,4]	[0,5]
	<b>V 1</b> [1,2]	[1,3]	[1,4]	[1,5]
		<b>NP 1/8</b> [2,3]	[2,4]	[2,5]
			<b>IN 1</b> [3,4]	[3,5]
				<b>NP 1/8</b> [4,5]

- S → NP VP

1
- NP → NP PP

1/2
- we

1/4
- sushi

1/8
- chopsticks

1/8
- PP → IN NP

1
- IN → with

1
- VP → V NP

1/2
- VP PP

1/4
- MD V

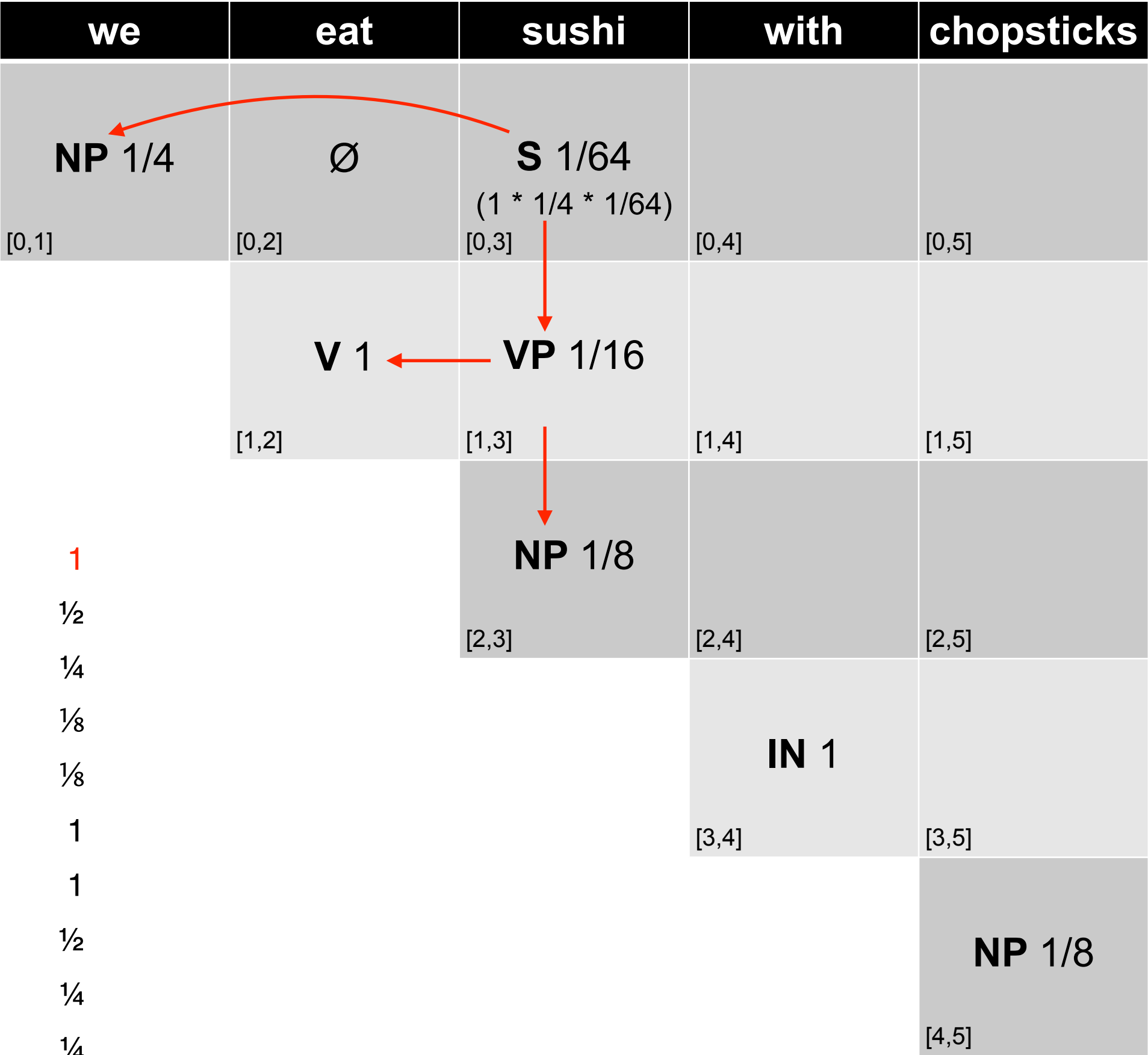
1/4
- V → eat

1

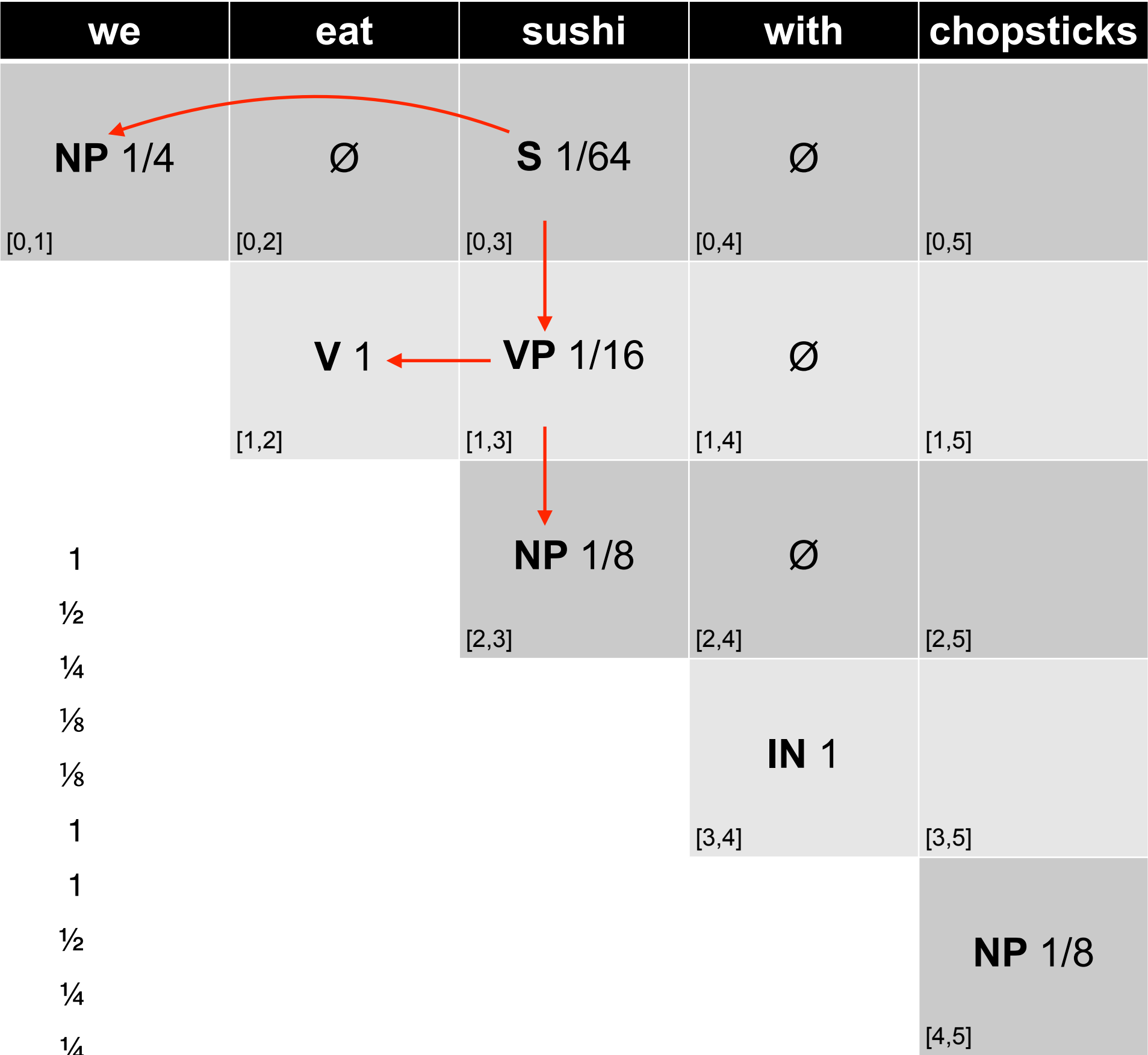


we	eat	sushi	with	chopsticks
<b>NP</b> 1/4 [0,1]	∅ [0,2]	 [0,3]	 [0,4]	 [0,5]
	<b>V</b> 1 [1,2]	<b>VP</b> 1/16 (1/2 * 1 * 1/8) [1,3]	 [1,4]	 [1,5]
		<b>NP</b> 1/8 [2,3]	 [2,4]	 [2,5]
			<b>IN</b> 1 [3,4]	 [3,5]
				<b>NP</b> 1/8 [4,5]

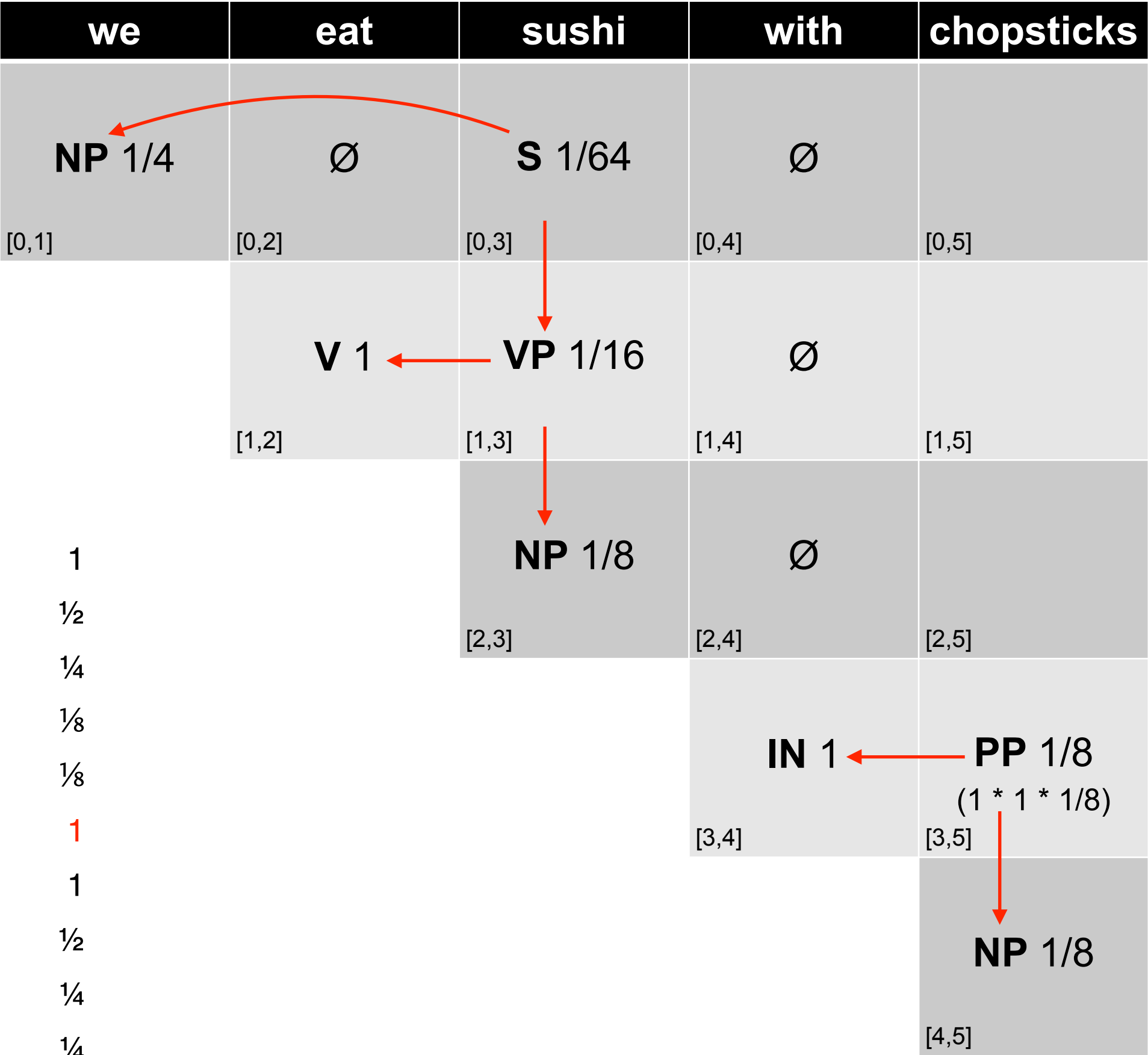
S	→	NP VP	1
NP	→	NP PP	1/2
	→	we	1/4
	→	sushi	1/8
	→	chopsticks	1/8
PP	→	IN NP	1
IN	→	with	1
VP	→	<b>V</b> <b>NP</b>	<b>1/2</b>
	→	VP PP	1/4
	→	MD V	1/4
V	→	eat	1



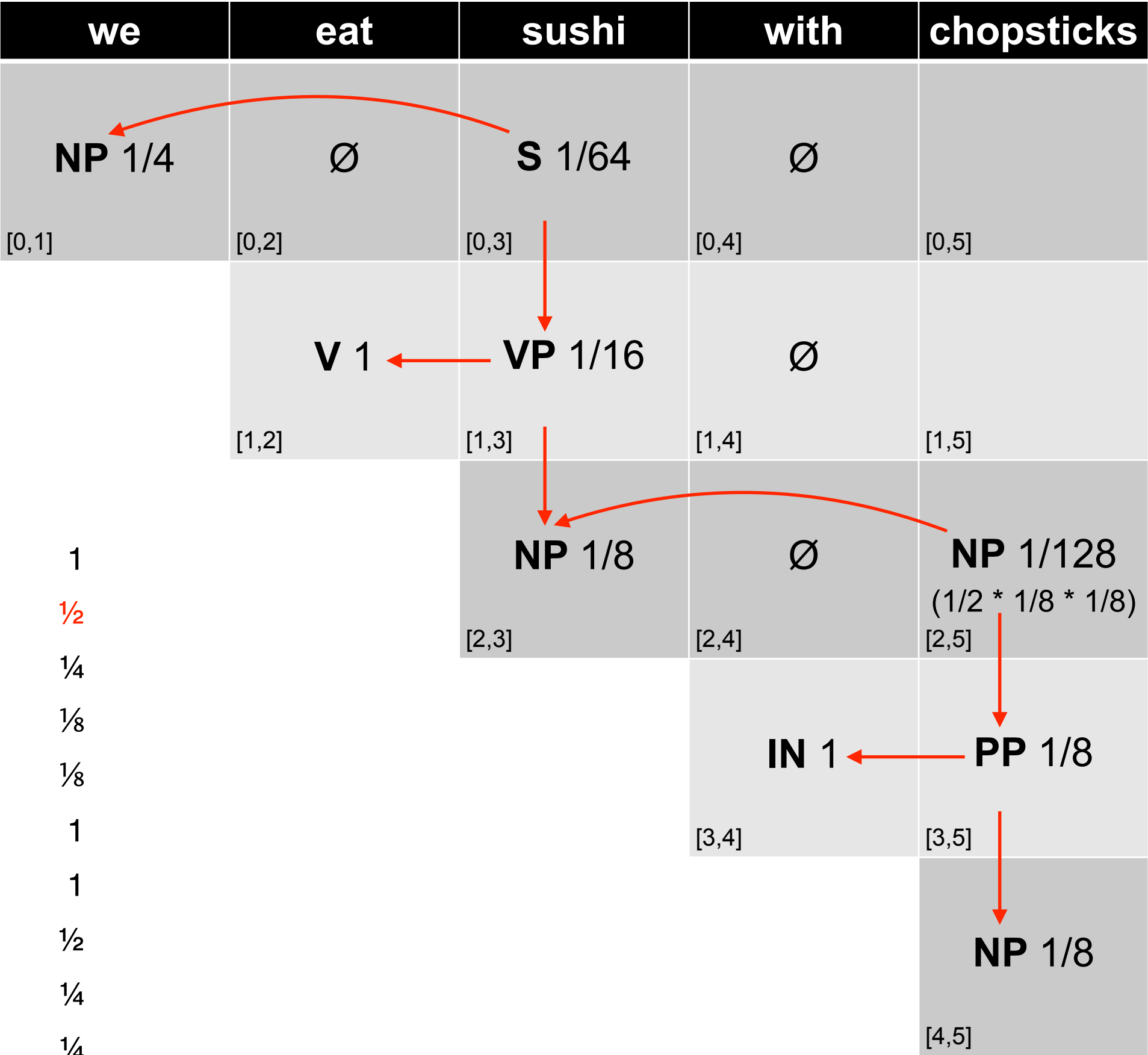
- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



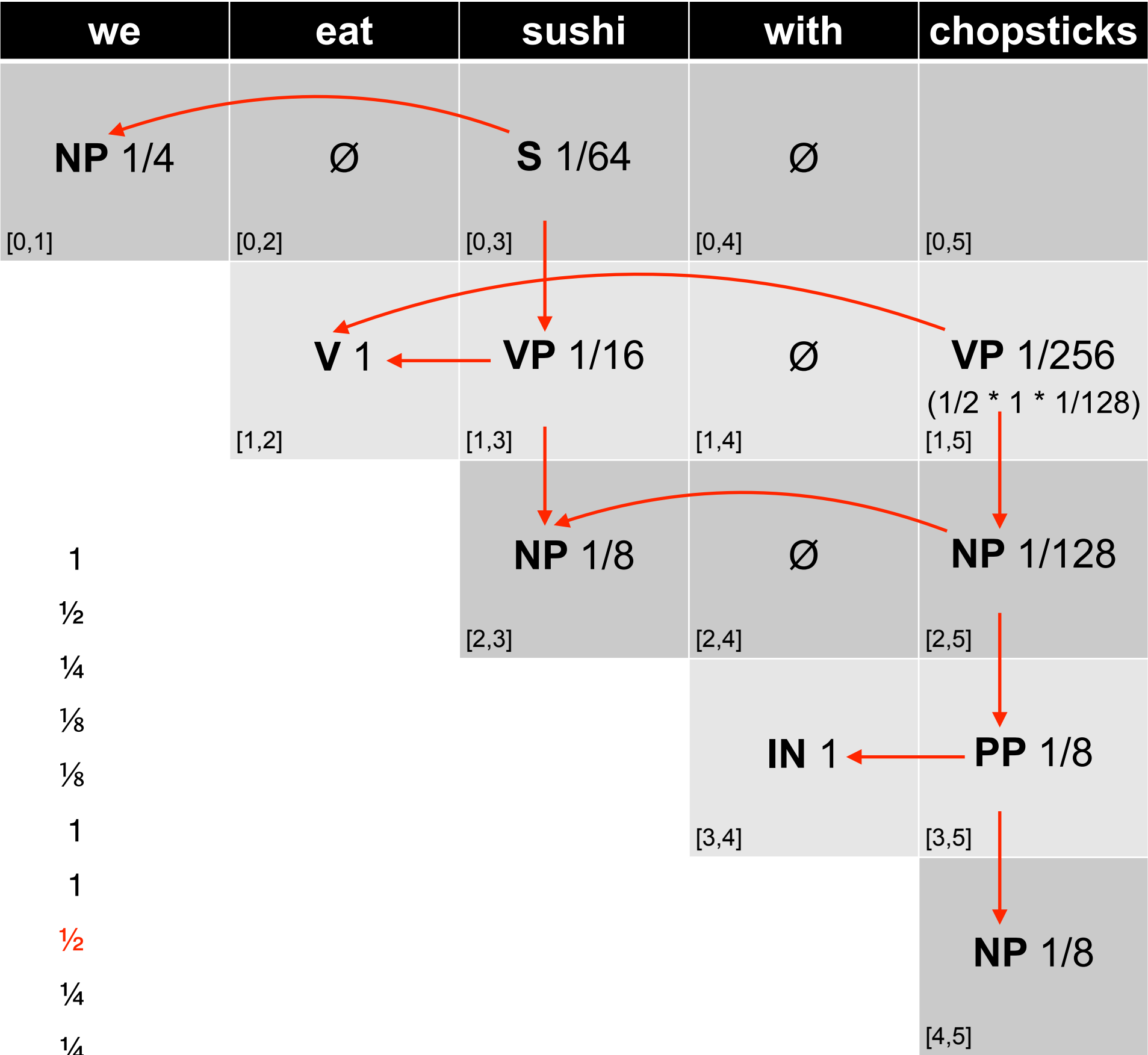
- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



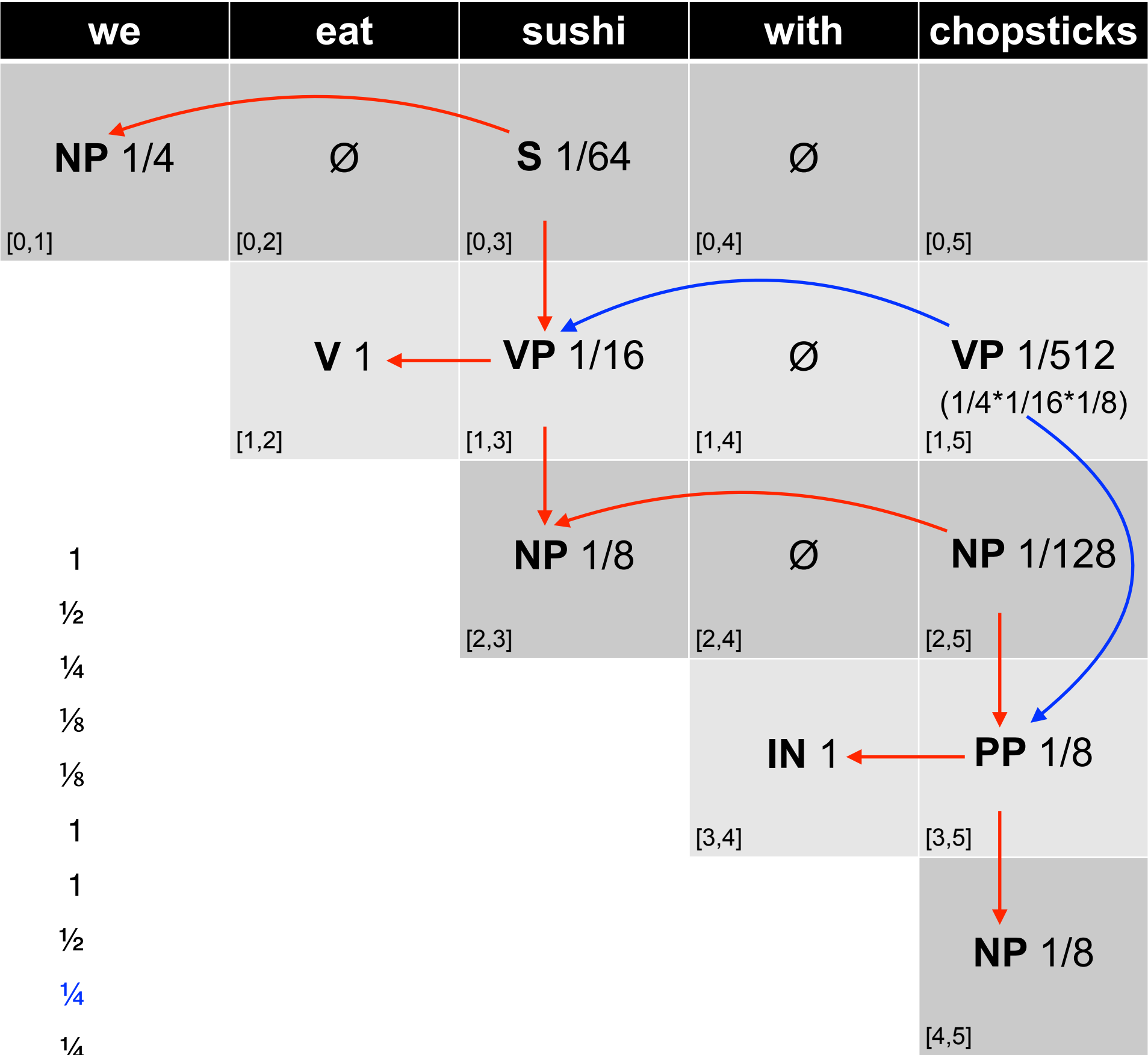
- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → **IN** **NP** **1**
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



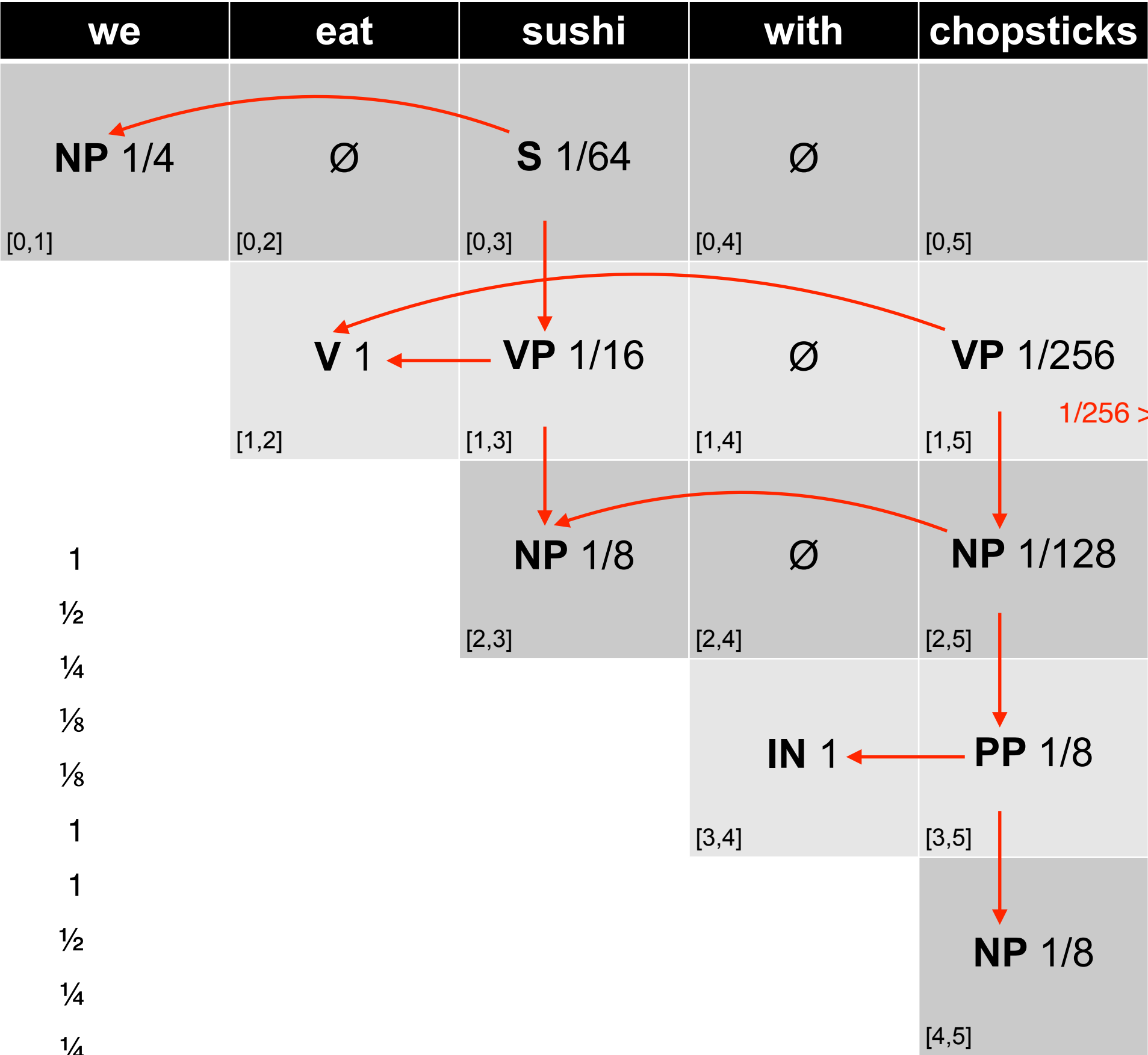
- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



S	→	NP VP	1
NP	→	NP PP	1/2
	→	we	1/4
	→	sushi	1/8
	→	chopsticks	1/8
PP	→	IN NP	1
IN	→	with	1
VP	→	V NP	1/2
	→	VP PP	1/4
	→	MD V	1/4
V	→	eat	1

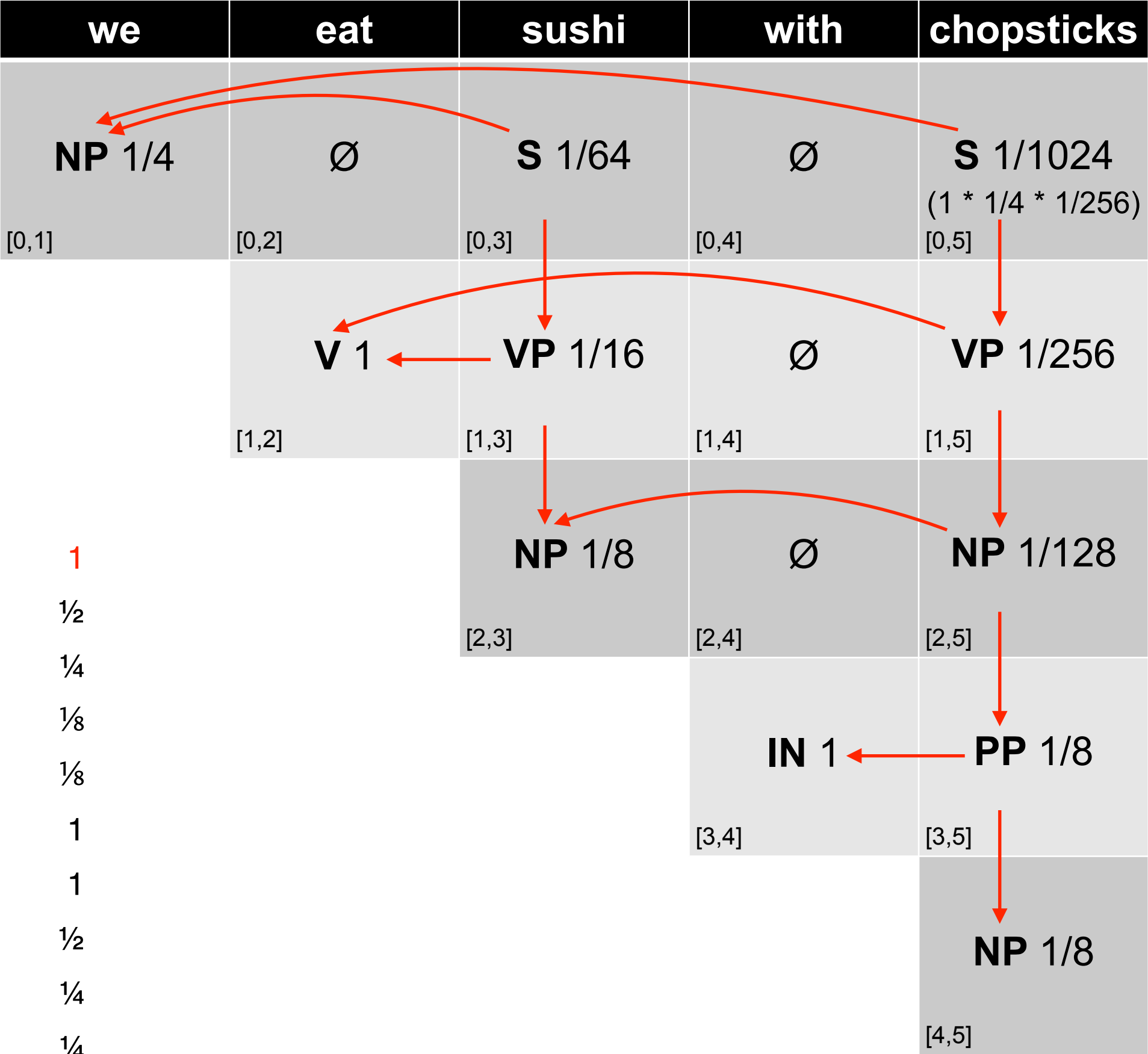


- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - **VP PP** 1/4
  - MD V 1/4
- V → eat 1

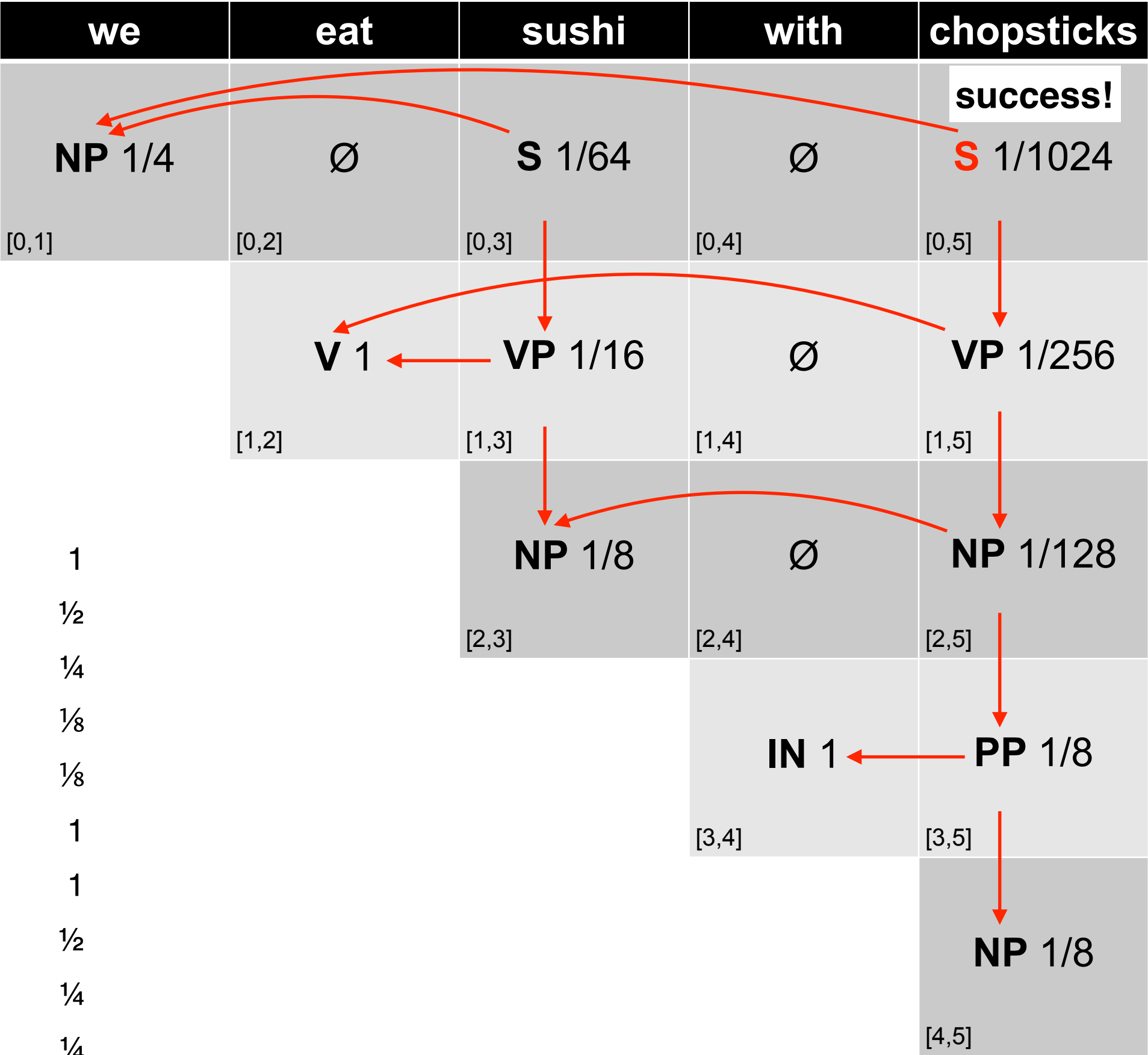


- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1





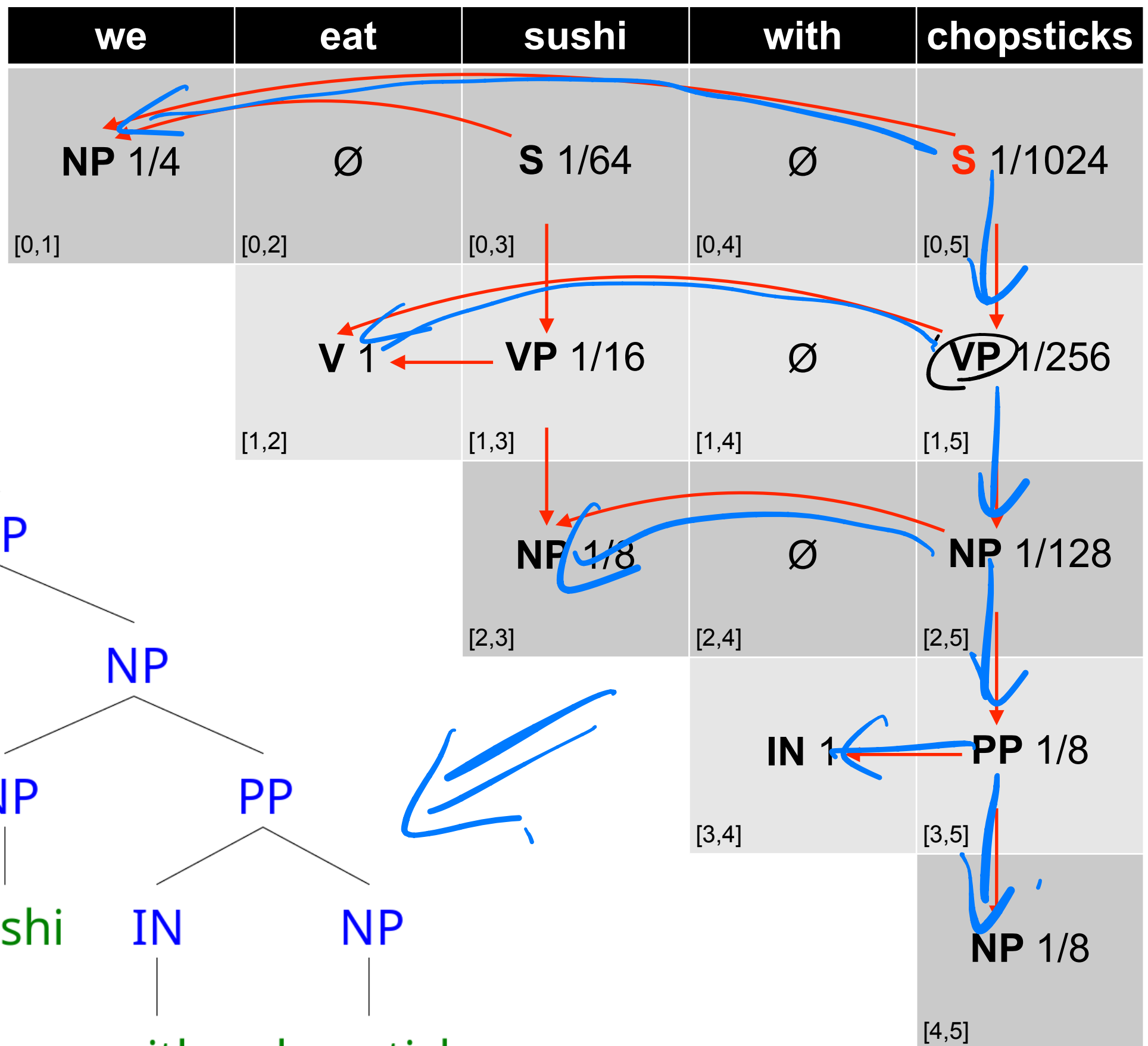
- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1



- S → NP VP 1
- NP → NP PP 1/2
  - we 1/4
  - sushi 1/8
  - chopsticks 1/8
- PP → IN NP 1
- IN → with 1
- VP → V NP 1/2
  - VP PP 1/4
  - MD V 1/4
- V → eat 1

# Prob CYK: Retrieving the Parses

- S in the top-right corner of parse table indicates success
- Retain back-pointer to best analysis
- To get parse(s), follow pointers back for each match
- Convert back from CNF by removing new non-terminals



$$P(T) = 1/1024$$

# Prob. CYK

Storing only the best probable.  
Tree

```

function PROBABILISTIC-CYK(words, grammar) returns most probable parse
                                                    and its probability

for  $j \leftarrow$  from 1 to LENGTH(words) do
  for all  $\{ A \mid A \rightarrow words[j] \in grammar \}$ 
     $table[j-1, j, A] \leftarrow P(A \rightarrow words[j])$ 
  for  $i \leftarrow$  from  $j-2$  downto 0 do
    for  $k \leftarrow i+1$  to  $j-1$  do
      for all  $\{ A \mid A \rightarrow BC \in grammar,$ 
        and  $table[i, k, B] > 0$  and  $table[k, j, C] > 0 \}$ 
        if  $(table[i, j, A] < P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C])$  then
           $table[i, j, A] \leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ 
           $back[i, j, A] \leftarrow \{k, B, C\}$ 
  return BUILD_TREE( $back[1, LENGTH(words), S]$ ),  $table[1, LENGTH(words), S]$ 

```

PCFK  
and possibility

CYK can be thought of as storing all events with probability = 1

**function** CKY-PARSE(words, grammar) **returns** table

**for**  $j \leftarrow$  **from** 1 **to** LENGTH(words) **do**

**for all**  $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

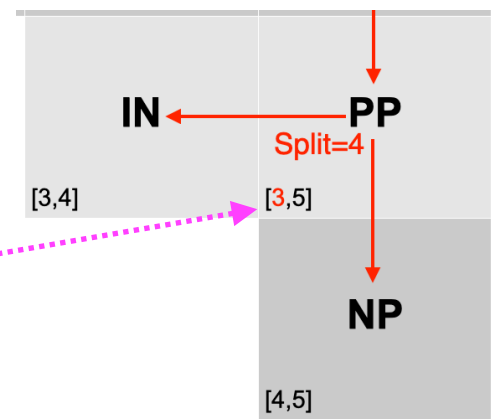
$\text{table}[j-1, j] \leftarrow \text{table}[j-1, j] \cup A$

**for**  $i \leftarrow$  **from**  $j-2$  **downto** 0 **do**

**for**  $k \leftarrow i+1$  **to**  $j-1$  **do**

**for all**  $\{A \mid A \rightarrow BC \in \text{grammar} \text{ and } B \in \text{table}[i, k] \text{ and } C \in \text{table}[k, j]\}$

$\text{table}[i, j] \leftarrow \text{table}[i, j] \cup A$



**Figure 12.5** The CKY algorithm.

validity test now looks to see that the child chart cells have non-zero probability

**function** PROBABILISTIC-CKY(words, grammar) **returns** most probable parse and its probability

**for**  $j \leftarrow$  **from** 1 **to** LENGTH(words) **do**

**for all**  $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

$\text{table}[j-1, j, A] \leftarrow P(A \rightarrow \text{words}[j])$

**for**  $i \leftarrow$  **from**  $j-2$  **downto** 0 **do**

**for**  $k \leftarrow i+1$  **to**  $j-1$  **do**

**for all**  $\{A \mid A \rightarrow BC \in \text{grammar},$   
**and**  $\text{table}[i, k, B] > 0 \text{ and } \text{table}[k, j, C] > 0 \}$

**if**  $(\text{table}[i, j, A] < P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C])$  **then**

$\text{table}[i, j, A] \leftarrow P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C]$

$\text{back}[i, j, A] \leftarrow \{k, B, C\}$

**return** BUILD\_TREE( $\text{back}[1, \text{LENGTH}(\text{words}), S]$ ),  $\text{table}[1, \text{LENGTH}(\text{words}), S]$

Instead of storing set of symbols, store the probability of best scoring tree fragment covering span  $[i, j]$  with root symbol A

Overwrite lower scoring analysis if this one is better, and record the best production

chart now stores probabilities for each span and symbol

# Complexity of CYK

- What's the space and time complexity of this algorithm?
  - in terms of  $n$  the length of the input sentence

$C(\text{space}) = n^2 \rightarrow \text{Table.}$

$C(\text{time}) = n^3 \rightarrow$   $\left. \begin{array}{l} \text{left to right} \\ \text{bottom to up} \\ \text{splits \& checks} \end{array} \right\} \begin{array}{l} 3 \text{ loops} \end{array}$

# Issues with PCFG



# CFG, PCFG Problem 1: Poor Independence Assumptions

- Rewrite decisions made independently, whereas inter-dependence is often needed to capture global structure.

- NP → Det N

$P(\text{RHS} \mid \text{LHS})$

- Probability of this rule independent of rest of tree

does not matter how NP comes.

	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

NP statistics in the Switchboard corpus

cannot represent this

NP → Det N.

- No way to represent this contextual differences in PCFG probabilities

# Poor Independence Assumptions

	Pronoun	Non-Pronoun
Subject	91%	9%
Object	34%	66%

NP statistics in the Switchboard corpus

~~$NP \rightarrow DT NN .28$~~   
 ~~$NP \rightarrow PRP .25$~~

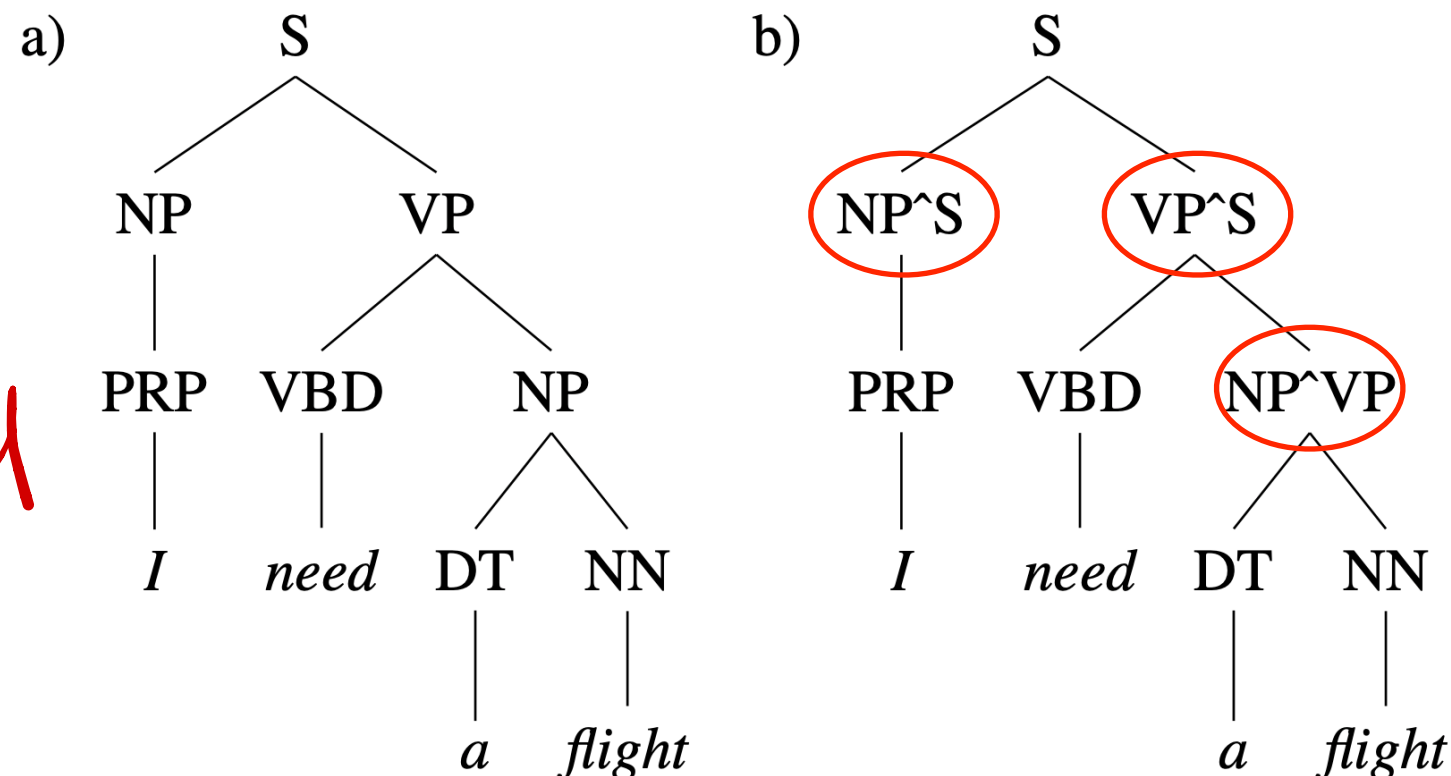
PCFG probabilities based on Switchboard corpus

- No way to capture the fact that in subject position,  $NP \rightarrow PRP$  should go up to 0.91
  - While in object position  $NP \rightarrow DT NN$  should go up to 0.66
  - Solution: add a condition to denote whether NP is a subject or object
- No way to capture this*

# Solution: Parent Conditioning

- Make non-terminals more explicit by incorporating parent symbol into each symbol

has a  
parent  
attached  
to it.

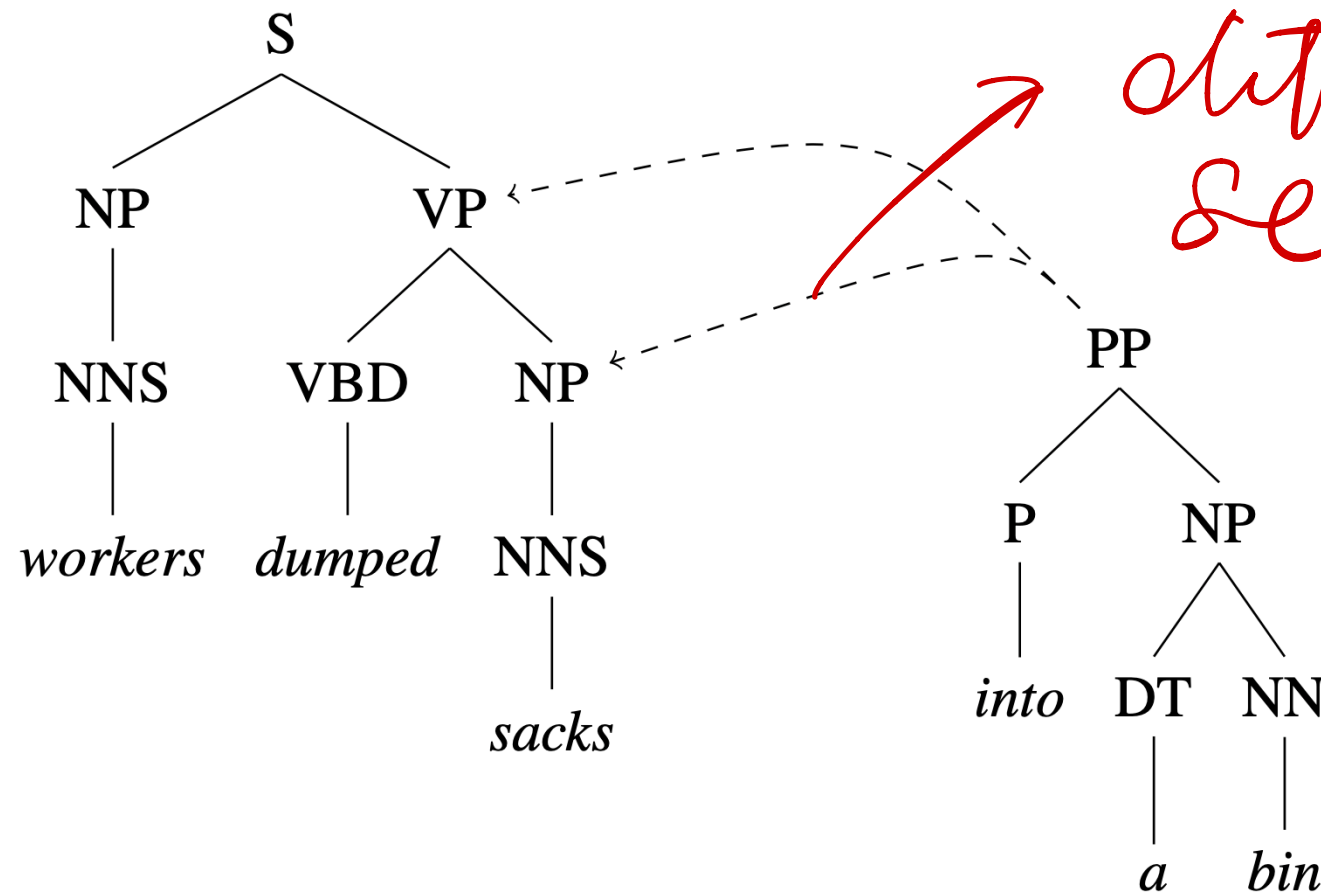


- NP^S represents subject position (left)
- NP^VP denotes object position (right)

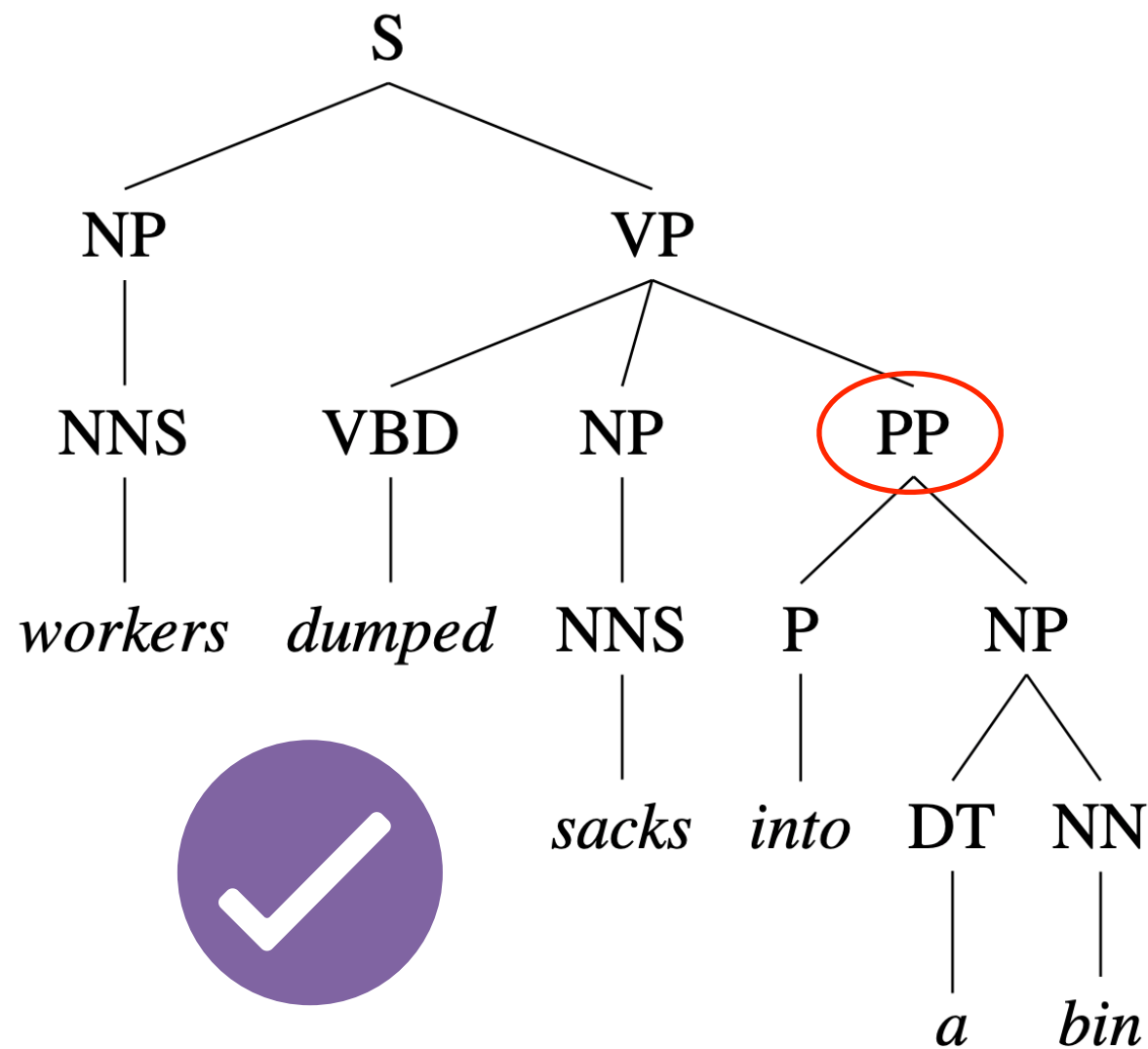
# PCFG Problem 2:

## Lack of Lexical Conditioning

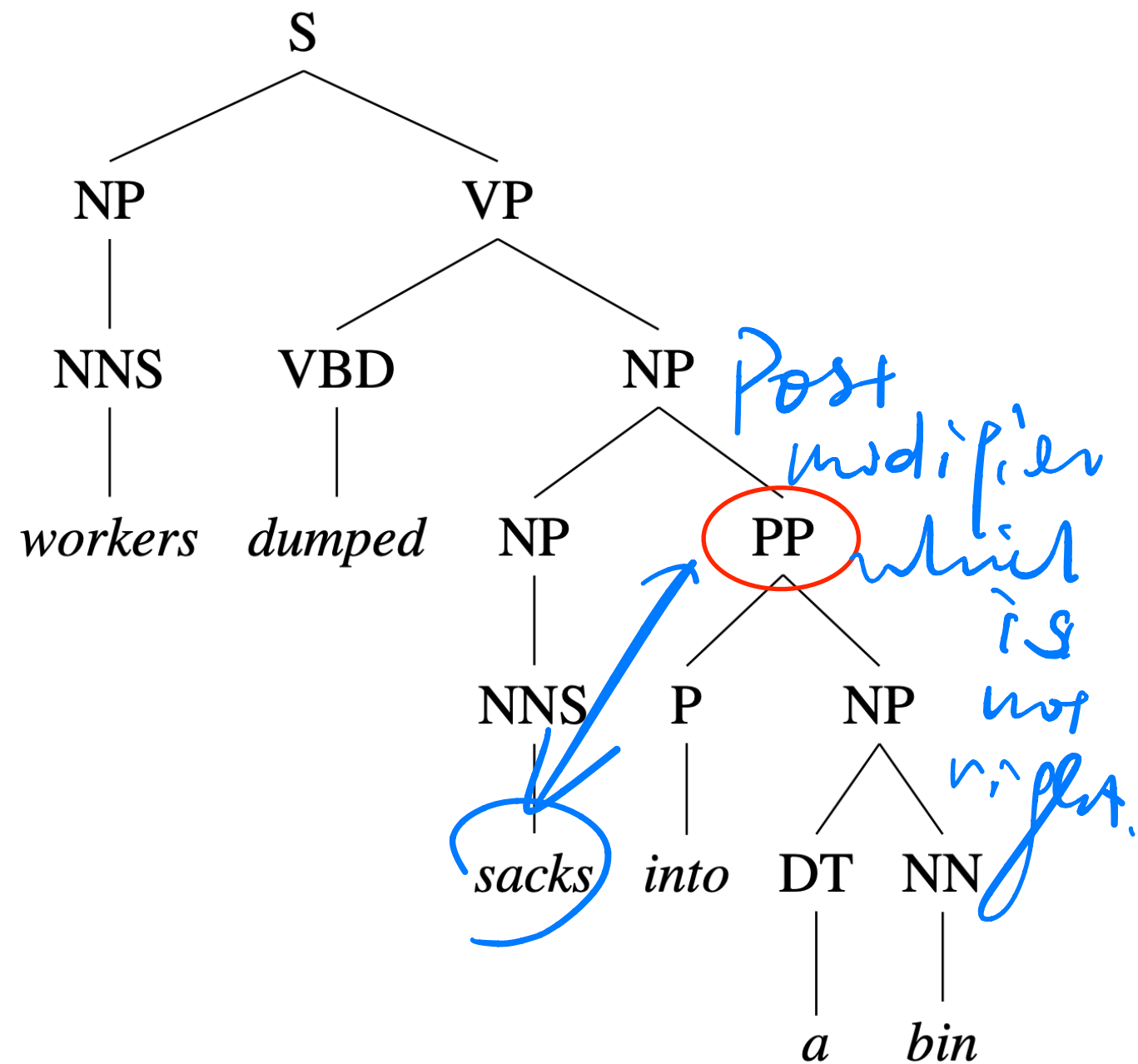
- Lack of sensitivity to words in tree
- Prepositional phrase (PP) attachment ambiguity
  - ▶ *Worker dumped sacks into a bin*



# PP Attachment Ambiguity



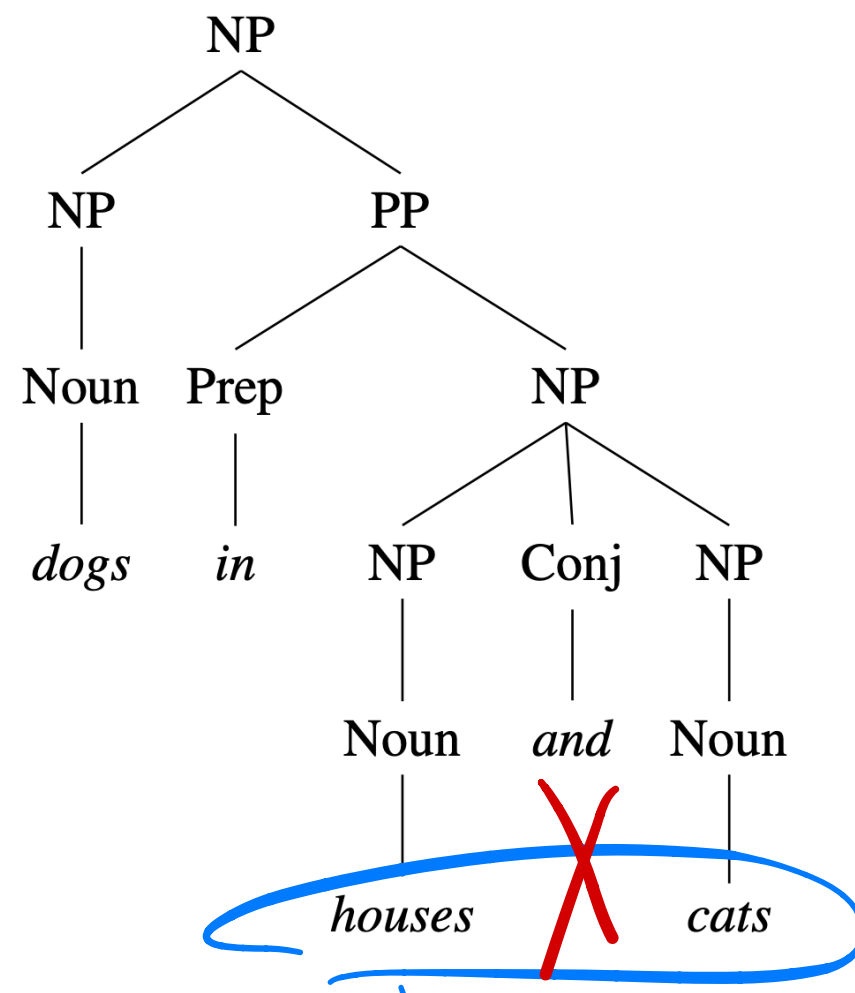
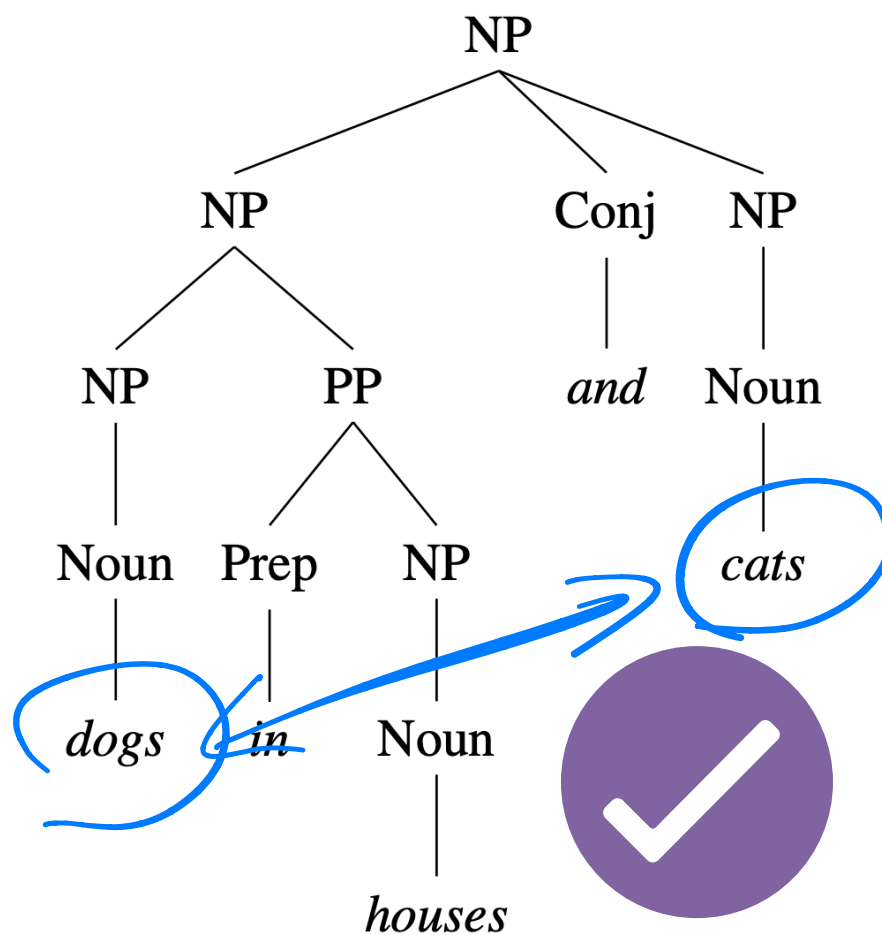
"into a bin" describes the resulting location of the sacks



sacks to be dumped are the ones which are already "into a bin"

# Coordination Ambiguity

- dogs in houses and cats*



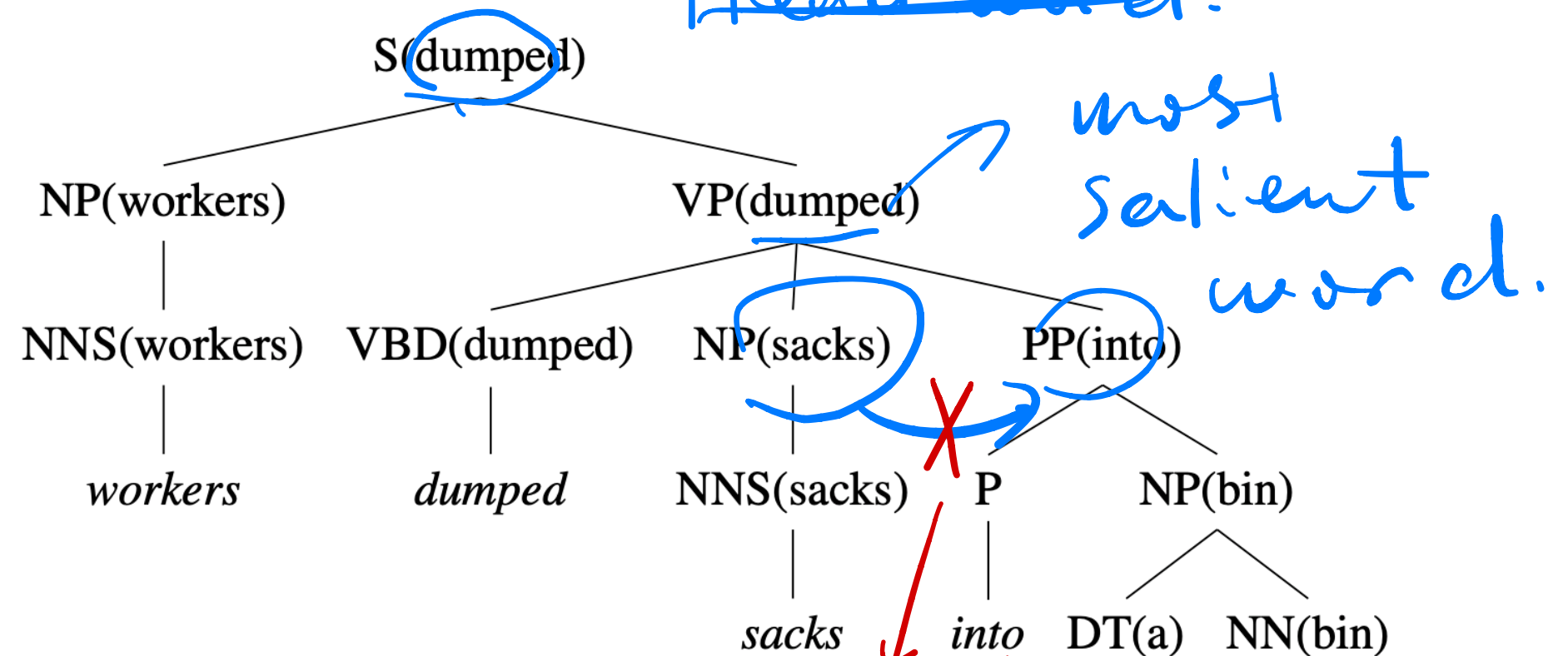
- dogs* is semantically a better conjunct for *cats* than *houses* (dogs can't fit into houses!)

# Solution: Head Lexicalisation

*Non Terminal*

- Record head word with parent symbols
  - the most salient child of a constituent, usually the noun in a NP, verb in a VP etc

*Head word.*



*most salient word.*

*cannot use into  
⇒ to modify sacks*

- VP → VBD NP PP

VP(dumped) → VBD(dumped) NP(sacks) PP(into)

# Head Lexicalisation

- Incorporate head words into productions, such that the most important links between words is captured
  - ▶ rule captures correlations between head tokens of phrases
  - ▶ VP(dumped) / NP(sacks) for PP(into)
- Grammar symbol inventory expands massively!
  - ▶ Many of the productions much too specific, seen very rarely
  - ▶ Learning more involved to avoid sparsity problems (e.g., zero probabilities)

low frequency



# A Final Word

- PCFGs widely used, and there are efficient parsers available.
  - ▶ Collins parser, Berkeley parser, Stanford parser
  - ▶ all use some form of lexicalisation
- But there are other grammar formalisms
  - ▶ Lexical function grammar
  - ▶ Head-driven phrase structure grammar
  - ▶ Next lecture: dependency grammar

# Required Reading

- J&M3 Ch. 14 – 14.6 (skip 14.6.1)