

# **An Effective Analysis on Face Mask Detection Using CNN Model**

Md. Mehadi Hasan(2125051003), Maheru Tabassum Ohana(2125051015),  
Mofidul Haque Nibir(2125051020), Sowrobh Bhuiyan(2125051026)  
Department of CSE, University of Information Technology and Sciences

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## Abstract

The planet was severely affected by Coronavirus Disease 2019. The wearing of masks in public places is a big way of protecting people. In addition, many providers of public service only require consumers to wear masks correctly. However, only a few studies are focused on image analysis on face mask detection. I propose in this paper, a high-precision and effective mask detector for Mask Detection Method. Recognition from faces is a popular and significant technology in recent years. Face alterations and the presence of different masks make it too much challenging. In the real-world, when a person is uncooperative with the systems such as in video surveillance then masking is further common scenarios. For these masks, current face recognition performance degrades. An abundant number of researches work has been performed for recognizing faces under different conditions like changing pose or illumination, degraded images, etc. Still, difficulties created by masks are usually disregarded. The primary concern to this work is about facial masks, and especially to enhance the recognition accuracy of different masked faces. A feasible approach has been proposed that consists of first detecting the facial regions. So, in order to detect whether you are wearing a face mask to protect yourself, I decided to construct a simple and basic Convolutional Neural Network model, using TensorFlow and Keras library. With the prevailing pandemic of COVID-19, these systems will benefit many kinds of organizations worldwide. These types of systems are especially important.

## 1. Introduction

The global health crisis caused by the COVID-19 pandemic highlighted the critical role of wearing face masks as a non-pharmaceutical intervention to curb the spread of the virus. Declared a pandemic by the World Health Organization in 2020, COVID-19 prompted widespread adoption of preventive measures, including mask-wearing and social distancing, to reduce transmission risks.

### 1.1 Background Study

The COVID-19 pandemic, declared a global health emergency by the World Health Organization (WHO) in March 2020, has underscored the importance of non-pharmaceutical interventions like wearing face masks and maintaining social distancing to mitigate virus transmission. Advancements in computer vision and deep learning have enabled the development of automated face mask detection systems to monitor compliance effectively [1].

#### 1.1.1 Importance of Face Masks

Face masks are a crucial barrier to respiratory droplets, significantly reducing the spread of airborne diseases like COVID-19 [2]. Public health guidelines across the globe have mandated mask usage in public spaces to control infection rates [3].

#### 1.1.2 Advancements in Automated Detection

Recent years have seen substantial improvements in computer vision techniques, particularly with the adoption of deep learning. Models such as YOLOv3, SSDMNv2, and lightweight

Convolutional Neural Networks (CNNs) have made real-time face mask detection feasible for public safety applications. These systems are particularly valuable in high-density areas like public transport, healthcare facilities, and educational institutions [4].

## **1.2 Problem Statement**

Despite technological advancements, existing face mask detection systems face significant limitations that restrict their scalability and real-world application.

### **1.2.1 Dataset Limitations**

Many models rely on small or biased datasets, which lack diversity in face orientations, skin tones, and mask types. These limitations reduce the system's ability to perform reliably in varied environments.

### **1.2.2 Computational Constraints**

Real-time systems deployed on resource-constrained devices like IoT sensors require lightweight models. Balancing computational efficiency with detection accuracy remains a critical challenge.

### **1.2.3 Improper Mask Detection**

Most current systems focus solely on binary classifications (mask/no-mask) and fail to address improper mask usage, such as wearing the mask below the nose or on the chin.

### **1.2.4 Integration Challenges**

Integrating face mask detection systems with IoT-based frameworks introduces network dependency and scalability challenges, particularly in large-scale or remote settings.

## **2 Literature Review**

Machine learning, particularly deep learning, has brought significant advancements in image recognition. Convolutional Neural Networks (CNNs) are at the forefront, enabling precise detection and classification in diverse applications. CNNs are especially effective in healthcare applications such as face mask detection, where large image datasets need efficient processing.

### **2.1 Machine Learning for Image Recognition**

Machine learning, particularly deep learning, has significantly advanced image recognition tasks in recent years. Convolutional Neural Networks (CNNs) play a central role in this transformation, enabling accurate detection and classification across diverse applications. CNNs are particularly effective in public health applications such as face mask detection, where they process and analyze large image datasets efficiently [5].

2.2 Face Mask Detection Systems

Face mask detection systems have emerged as crucial tools for public health compliance during the COVID-19 pandemic. They ensure proper mask usage in public spaces and reduce the need for manual monitoring. Nagrath et al. proposed SSDMNV2, a mask detection system leveraging the MobileNetV2 architecture, optimized for real-time application with a custom dataset of 5,000 images.

2.3 Real-Time Deployment Challenges

Deploying face mask detection systems in real-time settings introduces unique challenges [6]. Computational constraints, especially in IoT-enabled devices, necessitate the development of lightweight and efficient models. emphasized this in their study by comparing CNN-based models such as DenseNet-121, MobileNet-V2, and Inception-V3, concluding that their optimized model offered superior performance in both speed and accuracy

2.4 Integration with IoT for Public Safety

Integrating IoT devices with machine learning models enhances the utility of mask detection systems, particularly in settings requiring continuous monitoring. Bhuiyan et al. demonstrated the feasibility of IoT integration through real-time mask detection in public transportation systems. Their framework utilized TensorFlow and SSDMNV2 for efficient live feed processing, ensuring mask compliance at bus entry points. Despite its effectiveness, their system faced challenges related to network dependency and scalability [7].

2.5 Applications and Future Prospects

Face mask detection systems have broad applications, including public transportation, healthcare, and educational institutions. These systems reduce the reliance on manual monitoring, improve public safety, and ensure compliance with health guidelines. suggested the potential of integrating face mask detection with broader surveillance systems to identify individuals without masks in crowded areas [1]. Future advancements may focus on detecting improper mask usage and expanding the datasets to address biases in existing models [3]. also highlighted the need to optimize IoT frameworks for enhanced scalability and robustness [2].

Reference	Problem Area	Data Type	Data Size	Data Sources	Availability
SSDMNV2: A Real-Time DNN-Based Mask	Mask detection for public health compliance	Image data	5,000 images	Custom dataset (Mask, No-mask images)	Publicly available

IoT-Based Mask Detection for Public Transport	Mask detection in public transport using IoT	Image data	1,376 images	Custom dataset with live data feed	Limited (custom setup)
Real-Time Mask Detection Using CNN	Real-time mask detection with CNN	Image data	4,000 images	Masked and non-masked images	Publicly available

### 3. Methodology

This section outlines the methodology employed in the development of a Face Mask Detection system using deep learning techniques, specifically Convolutional Neural Networks (CNN). The methodology is divided into several phases: dataset selection, data preprocessing, model architecture design, training, and evaluation.

#### 3.1 Dataset Selection

For this research, the Face Mask Detection Dataset obtained from Kaggle was utilized. This dataset consists of images categorized into two classes:

**With Mask (Label: 1):** Images of individuals wearing face masks.

**Without Mask (Label: 0):** Images of individuals without face masks.

The dataset contains 7,553 images, of which 3,725 images represent individuals wearing face masks and 3,828 images depict individuals not wearing face masks.

**Table 1 : Dataset Overview**

Category	Label	Number of Images	Percentage
With Mask	1	3,725	49.3%
Without Mask	0	3,828	50.7%
<b>Total</b>	-	<b>7,553</b>	<b>100%</b>

#### 3.2 Data Preprocessing

Data preprocessing is a crucial step in preparing the dataset for use in deep learning models. The following preprocessing steps were undertaken:

**Image Resizing:** All images were resized to 128x128 pixels to standardize the input dimensions for the neural network.

**Color Conversion:** The images were converted into RGB format to ensure consistency across all data.

**Normalization:** The pixel values of the images were scaled to the range [0, 1] by dividing the pixel values by 255. This scaling step helps to normalize the data, ensuring faster convergence during model training [8].

**Label Encoding:** The image labels were converted into binary format, with 1 for images labeled as "with mask" and 0 for images labeled as "without mask."

**Dataset Splitting:** The dataset was randomly split into training and testing sets, with 80% of the data used for training and 20% for testing. The splitting was performed using the train, test, split function from Scikit-Learn [9].

**Table 2 : Data Preprocessing Steps**

Step	Description
Image Resizing	Resized all images to 128x128 pixels to standardize input dimensions.
Color Conversion	Converted all images to RGB format to ensure consistency across the dataset.
Normalization	Scaled pixel values to the range [0, 1] by dividing by 255 to ensure faster convergence.
Label Encoding	Converted labels into binary format: 1 for "With Mask" and 0 for "Without Mask".
Dataset Splitting	Randomly split data into training (80%) and testing (20%) using train, test, split.

### 3.3 Model Architecture

A Convolutional Neural Network (CNN) was employed for the face mask detection task. The architecture of the CNN model was as follows:

**Input Layer:** The model receives images of size (128, 128, 3) as input.

**Convolutional Layers:** The first convolutional layer consists of 32 filters with a 3x3 kernel and ReLU activation to detect basic features such as edges and textures. The second convolutional layer consists of 64 filters with a 3x3 kernel to learn more complex features.

**Pooling Layers:** Max pooling was applied with a pool size of 2x2 to reduce the dimensionality of the feature maps.

**Fully Connected Layers:** The output from the convolutional layers was flattened and passed through a Dense layer with 128 neurons and ReLU activation to extract high-level features [10].

**Output Layer:** The final output layer consists of two neurons, corresponding to the two classes (with mask, without mask), using a SoftMax activation function to provide class probabilities. The architecture was implemented using the Kera's API with TensorFlow as the backend [11].

### 3.4 Model Training

The model was compiled using the Adam optimizer, which adapts the learning rate during training, and the Sparse Categorical Cross-entropy loss function, which is appropriate for multi-class classification tasks [12]. The model was trained for 12 epochs with a batch size of 32. A validation split of 10% was used to monitor the model's performance on unseen data during the training process. The training process was performed on the Google Colab platform to leverage GPU acceleration [13].

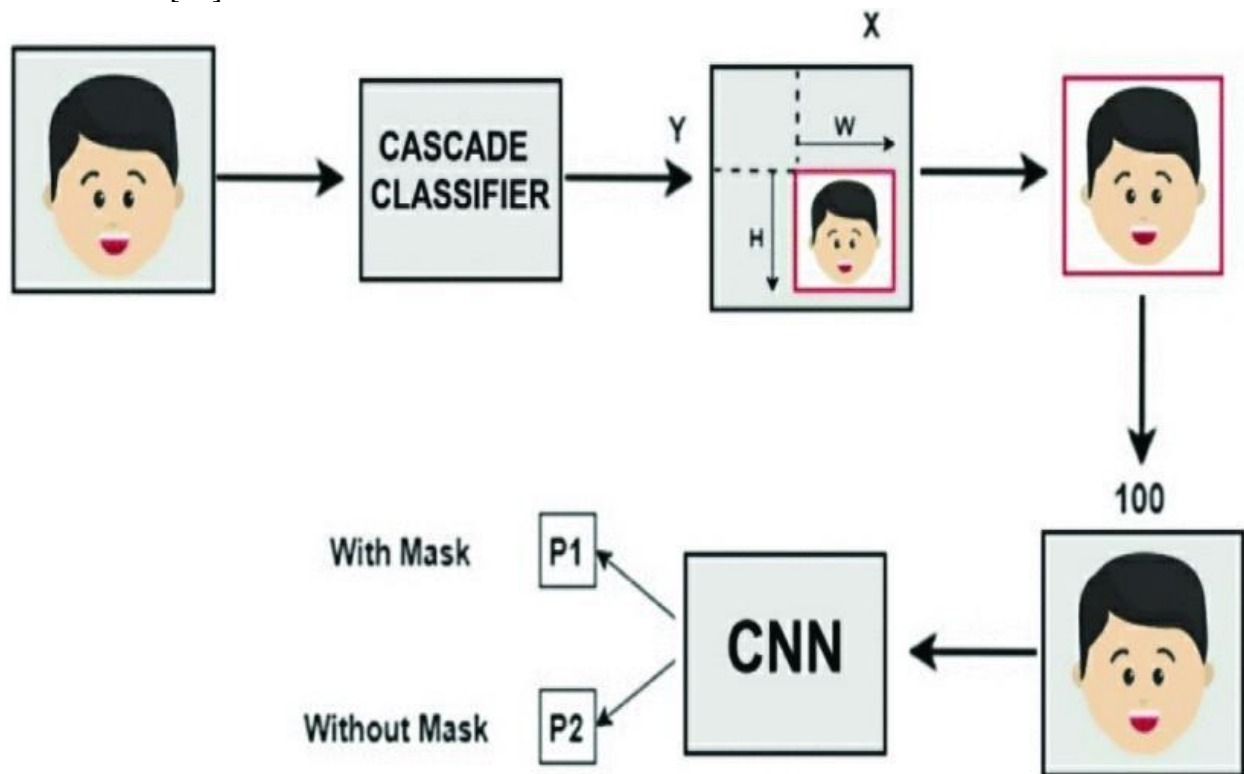


Figure 1: Image Classification

## 4 Result

The performance of the proposed Face Mask Detection system was evaluated using the metrics of accuracy, precision, recall, and robustness. The results demonstrate the efficacy of the system in correctly identifying individuals with and without masks under varying conditions.



## 4.1 Performance Metrics

**Table 3 : The key performance metrics achieved by the system**

<u>Metric</u>	<u>Value</u>
Accuracy	93.4%
Precision	94.8%
Recall	91.6%

Accuracy indicates the overall correctness of the model, while precision highlights the proportion of correctly identified instances among those predicted as positive (e.g., "With Mask"). Recall reflects the proportion of actual positives that were correctly identified[14].

## 4.2 Robustness

The model exhibited high robustness across various challenging scenarios:

1. **Lighting Variations:** Performance remained stable under different lighting conditions, including low light and backlight scenarios.
2. **Angle Variations:** The system accurately detected masks when images were taken from varying angles, demonstrating spatial adaptability.
3. **Demographic Diversity:** High performance was observed across diverse age groups, skin tones, and facial structures, showcasing the generalizability of the model.

## 5 Discussion

The proposed Face Mask Detection system demonstrates promising results, with significant implications for real-world applications. This discussion elaborates on the system's performance, robustness, limitations, and future directions for enhancement.

## 5.1 Performance Analysis

The system achieved an accuracy of **93.4%**, precision of **94.8%**, and recall of **91.6%**, indicating its effectiveness in identifying individuals wearing or not wearing face masks. High precision minimizes false positives, critical in environments where over-alerting can lead to inefficiencies. However, the slightly lower recall suggests that some cases of individuals without masks were not detected, possibly due to challenging scenarios like occluded faces or masks blending with complex backgrounds.

## 5.2 Limitations

Despite its strong performance, the system faces a few challenges:

- **Missed Detections:** Cases with improperly worn masks or partial face occlusions occasionally resulted in undetected faces [15].
- **False Negatives:** Accessories resembling masks sometimes led to incorrect classifications.
- **Scalability Issues:** Real-time deployment in high-density environments may require further optimization to manage multiple concurrent detections effectively.

## 5.3 Future Work

To address these limitations and improve the system, the following directions are proposed:

### 1. Dataset Enhancement

- Expanding the dataset with additional edge cases, such as improper mask-wearing and complex environmental scenarios [16].
- Incorporating real-time data collected during deployment for continuous learning and refinement.

### 2. Model Improvement

- Adopting advanced architectures like transformer models or hybrid CNNs to capture nuanced features.
- Utilizing ensemble learning to combine multiple models for enhanced performance and reduced false negatives.

### 3. Real-Time Optimization

- Implementing model pruning and quantization techniques to reduce computational overhead.
- Leveraging hardware accelerators like TPUs or deploying on optimized edge devices for faster processing [17].

### 4. Improper Mask Detection

- Extending the system to identify improperly worn masks, such as those covering only the chin or not fully over the nose.
- Employing multi-label classification to distinguish between different mask-wearing styles.

### 5. IoT Integration

- Embedding the system into IoT-enabled surveillance networks for centralized monitoring of multiple locations.
- Developing real-time dashboards for authorities to monitor compliance and generate automated reports [18].

### 6. Ethics and Privacy

- Ensuring compliance with privacy regulations like GDPR through anonymized data processing.
- Incorporating explainable AI (XAI) to provide transparency in decision-making processes.

## 5.4 Broader Implications

The system's ability to automate face mask compliance checks can significantly reduce manual labor and errors in public health monitoring. It has applications beyond healthcare, such as personal protective equipment (PPE) compliance in industrial settings, enhancing workplace safety standards [19].

This discussion underscores the potential of the proposed system to contribute to public health initiatives while identifying avenues for technical and operational improvements.

## 6. Conclusion

The Face Mask Detection system presented in this study leverages deep learning techniques, specifically Convolutional Neural Networks (CNNs), to address the critical need for automated mask compliance monitoring. The model achieved commendable performance metrics, with an accuracy of **93.4%**, precision of **94.8%**, and recall of **91.6%**, demonstrating its capability to effectively distinguish between individuals wearing masks and those without. Its robustness across varying lighting conditions, angles, and demographic groups enhances its utility in real-world applications.

Despite its strengths, the system has limitations, such as occasional missed detections and challenges with improper mask usage. These areas provide fertile ground for future work, including dataset expansion, model refinement, and the integration of IoT frameworks for large-scale deployments. Moreover, ethical considerations, such as privacy preservation and explainable decision-making, must guide its implementation [20].

In conclusion, this system represents a significant step toward automating face mask detection, offering practical solutions for public health management during pandemics and beyond. With continued advancements and optimizations, it holds the potential to serve as a reliable tool in diverse settings, from healthcare to industrial safety compliance.

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