

Computer Graphics

Chapter 2: Perception and Color

Winter Term 2022/23

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Computer Graphics and Color Images

observer of
real scene

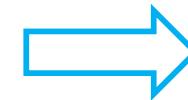


image with measured
color/brightness

scene

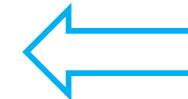
visually
identical?



observer of
scene on monitor



monitor



control of
monitor



storage,
processing,
image
manipulation,
output

Computer Graphics and Color Images

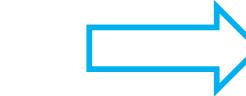
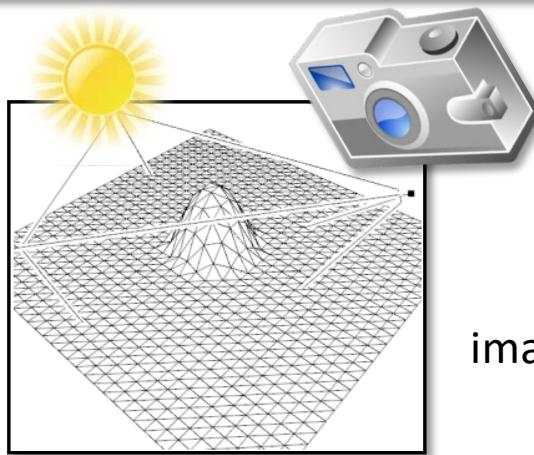


image synthesis

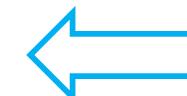
(geometry, material, camera, light sources, ...)



observer of
scene on monitor



monitor



control of
monitor



storage,
processing,
image
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output

Computer Graphics and Color Images

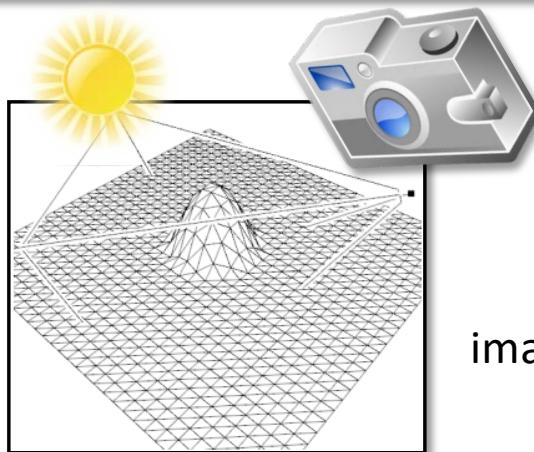
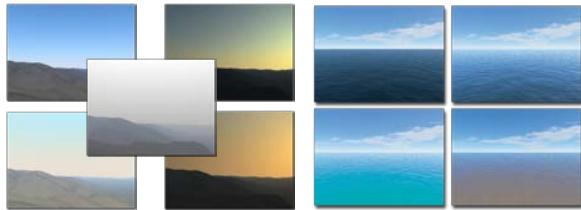


image synthesis

virtual scene
(geometry, material, camera, light sources, ...)

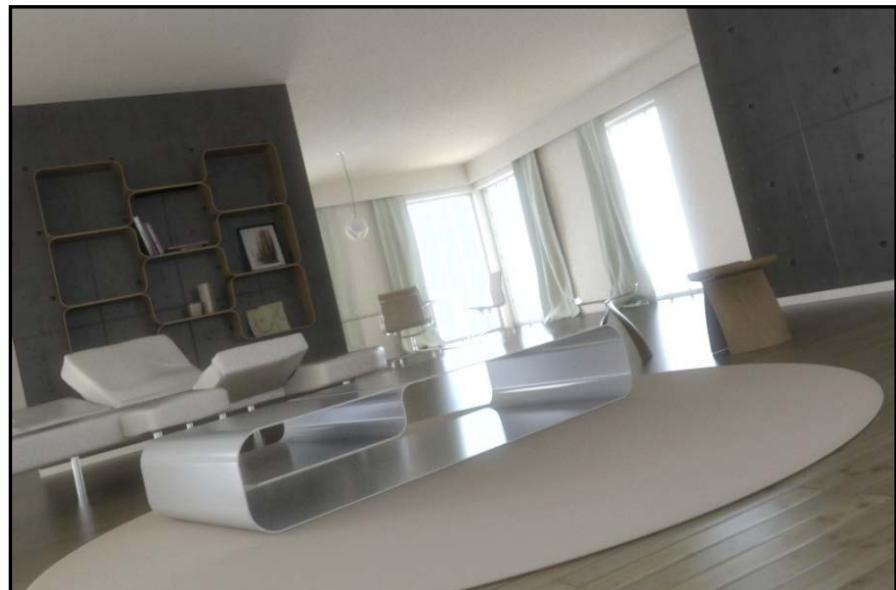
... complemented with real data

(textures, environment maps, 3D scanner, ...)



storage,
processing,
image
manipulation,
output

Example: Physically Correct Illumination



Example: Augmented Reality



Example: Augmented Reality

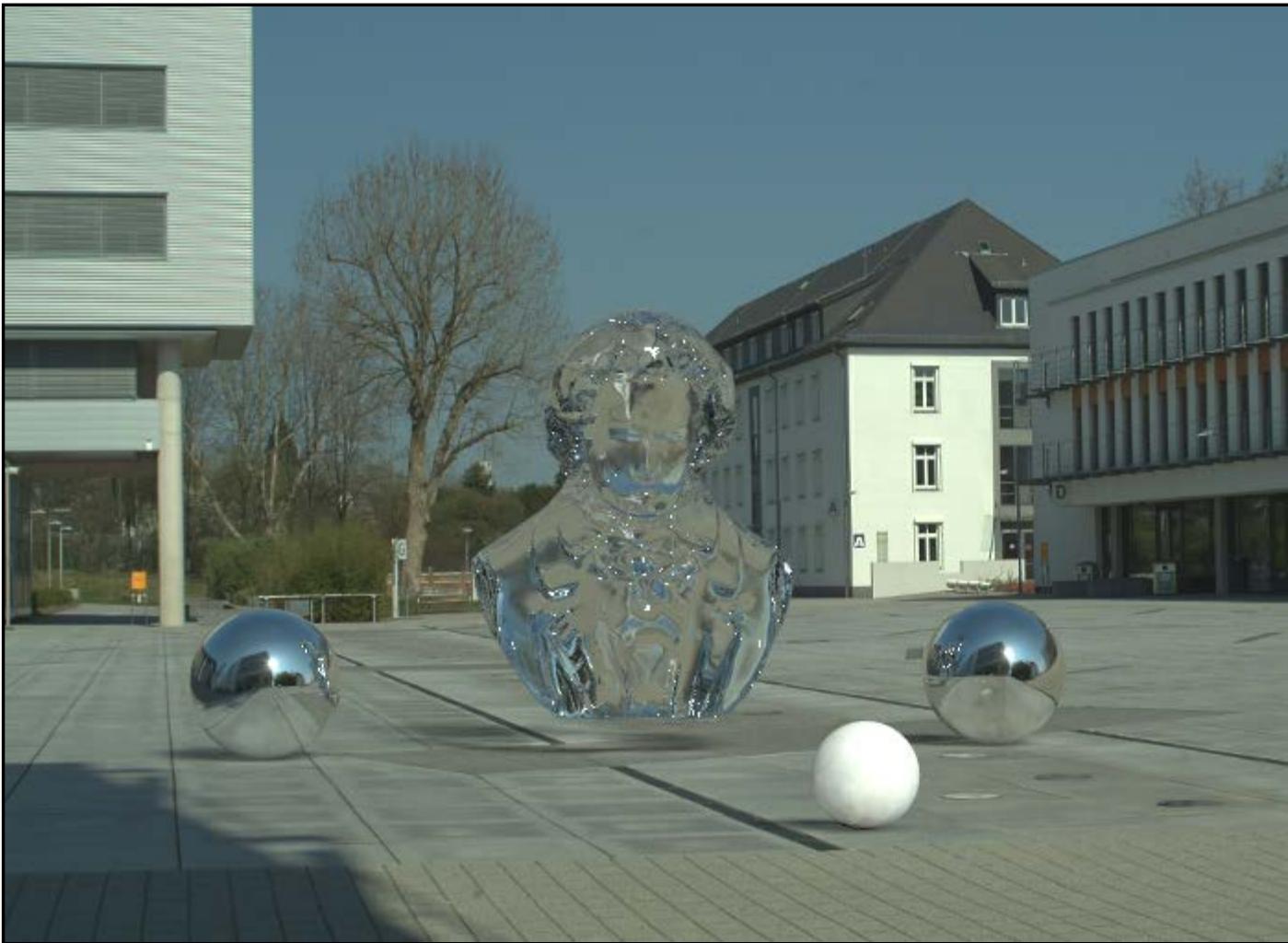
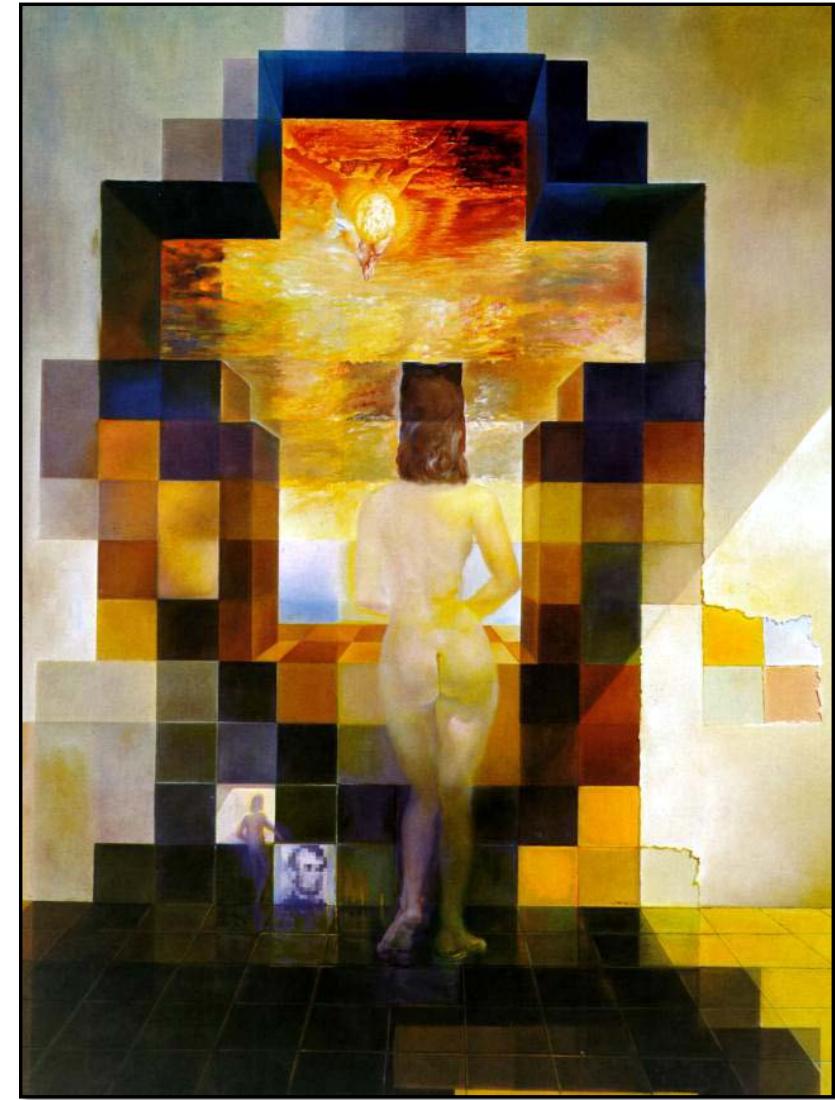


image: Thorsten Grosch

Outline

- Images and representation
- Light, vision, and perception
- Color vision, representation of color, and color spaces
- Raster images
 - Sampling
 - Simple operations on images



Salvador Dalí

"Gala Contemplating the Mediterranean Sea, which at 20 meters becomes the portrait of Abraham Lincoln", 1976 (Dalí Museum, St. Petersburg, Florida)

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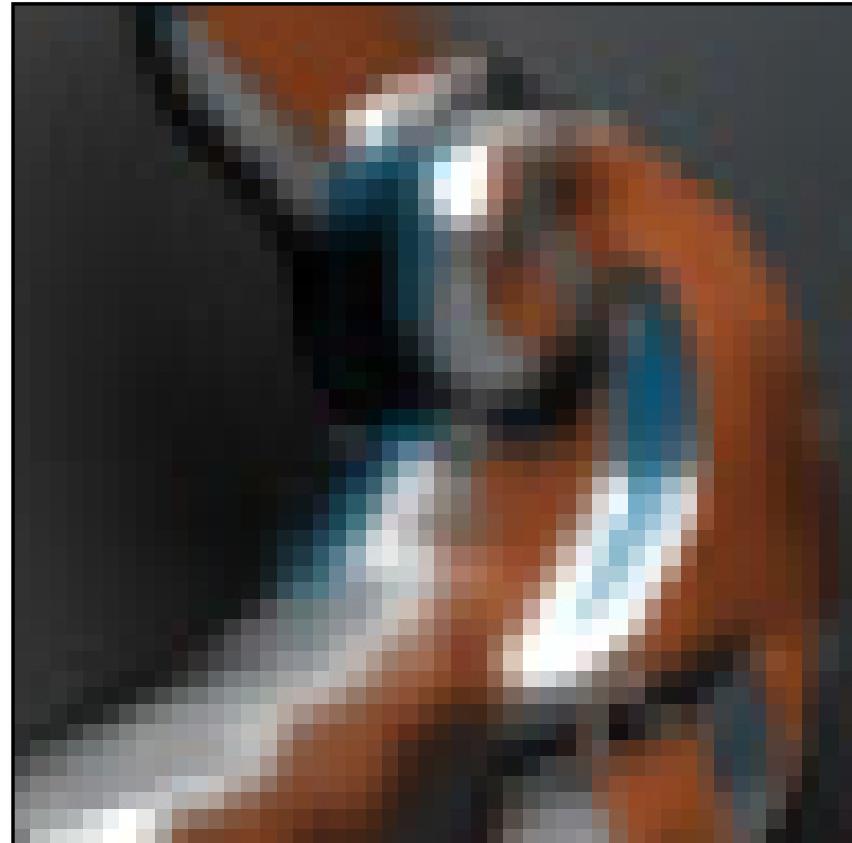
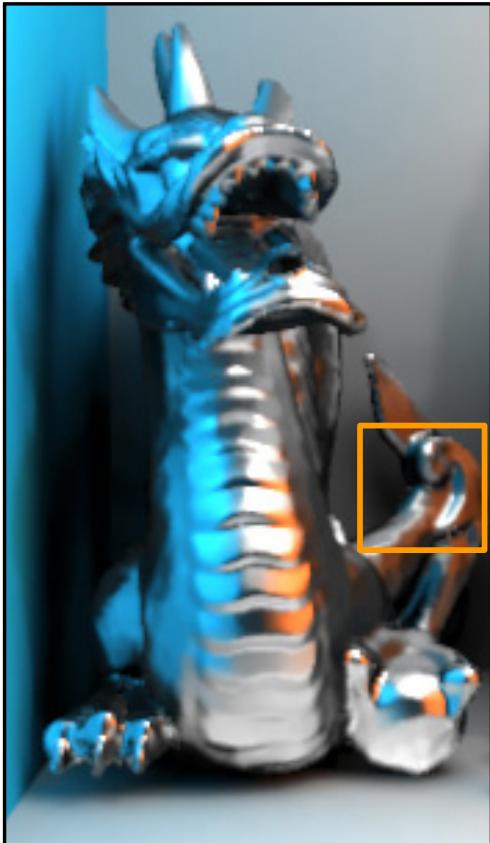
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Filip Sadlo

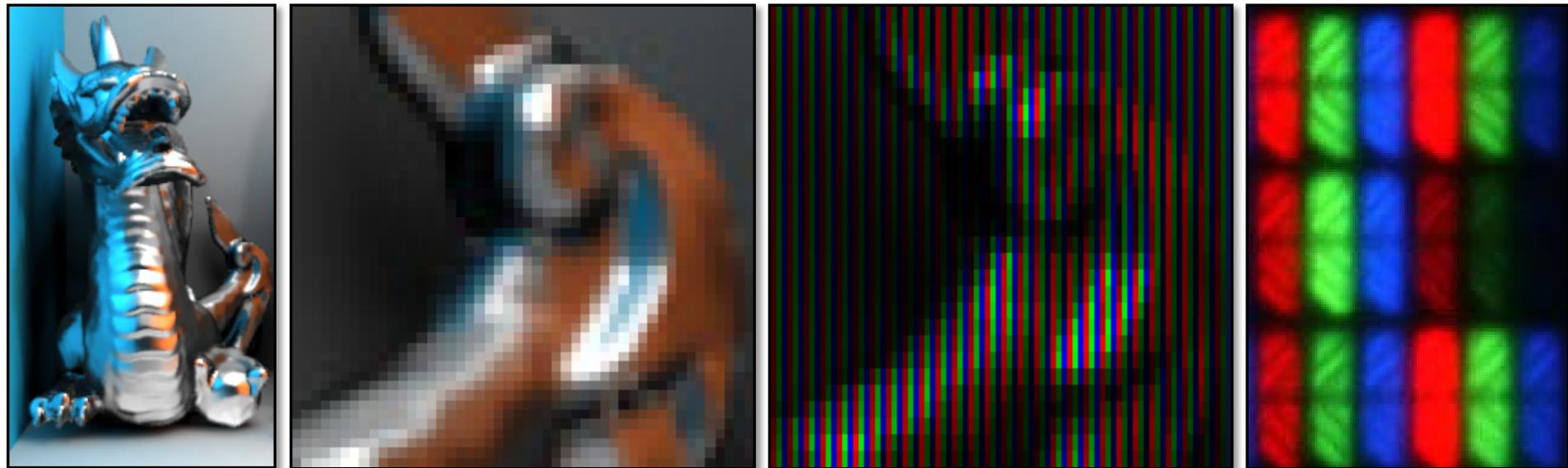
Computer Graphics and Color Images

- CG: generation and manipulation of images
 - Images are—in general—raster images:
2D arrays of colored pixels (picture elements)
 - But: what is light? Color?



Raster Displays and Images

- Devices for display and acquisition of images, e.g.:
 - Monitor: pixels, colors by mixing of red, green, and blue
 - Digital camera: sensor = 2D grid of light-sensitive pixels



Graphical Output Devices

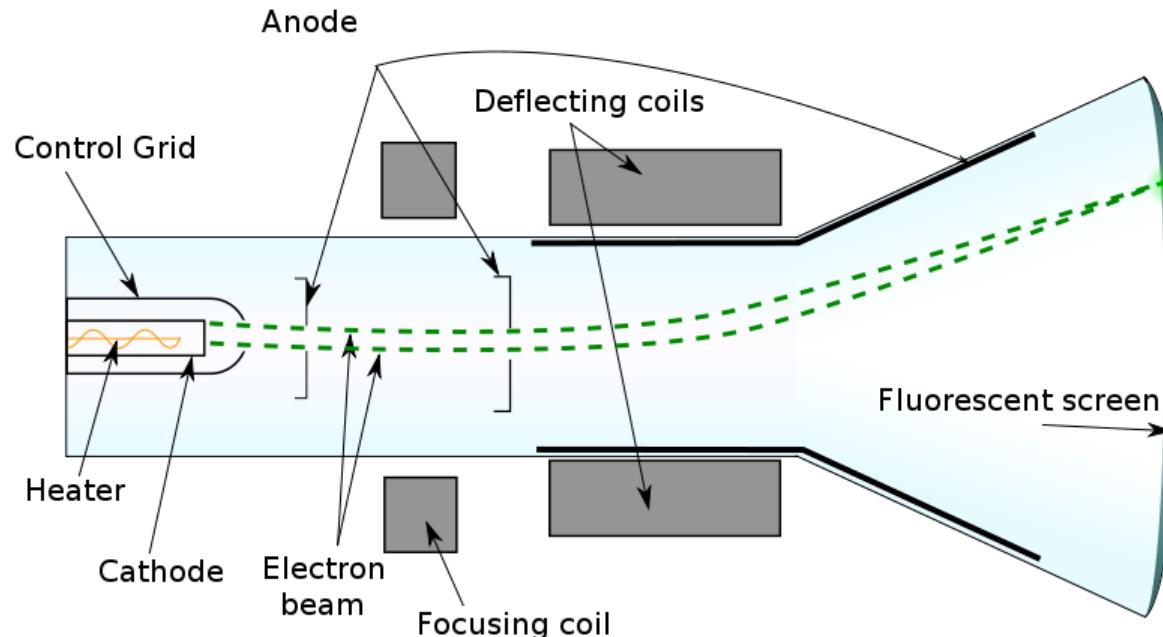
- **Hardcopy devices**

- Printer, plotter, video tapes, etc.

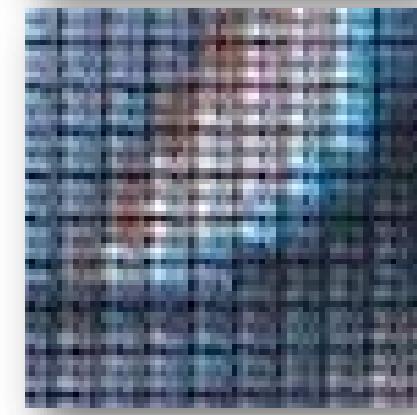
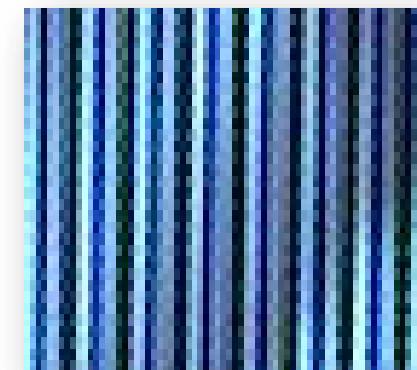
- **Display devices**

- Light-emitting: CRT, LED, plasma
- Light-propagating: LCD

- **CRT (cathode ray tube)**



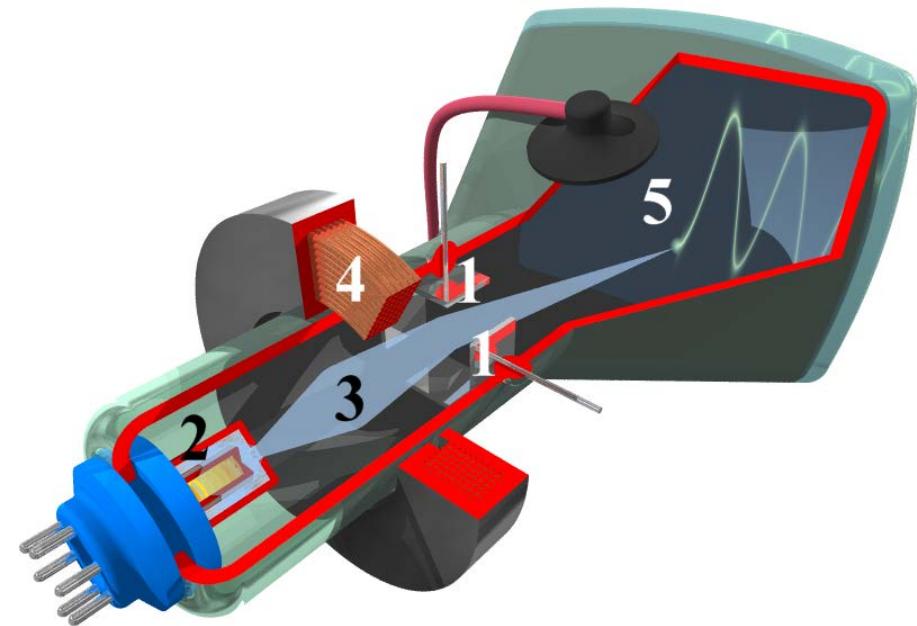
CRT aperture grill



TFT

Vector Monitor

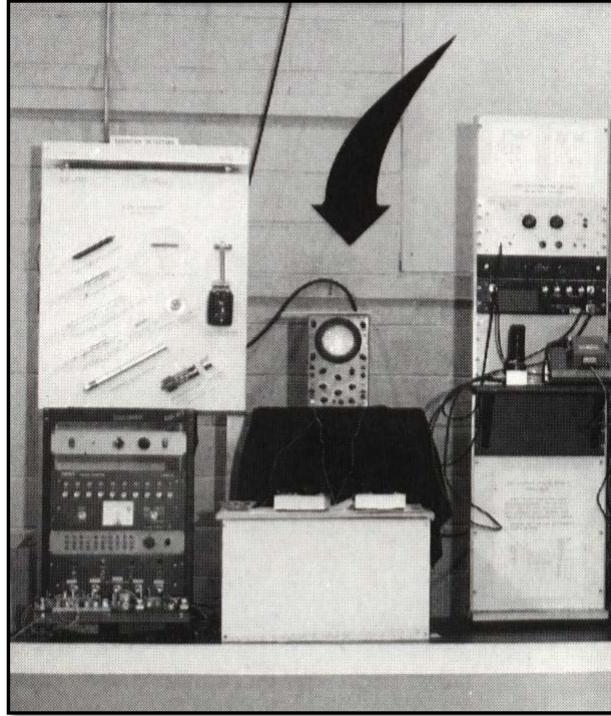
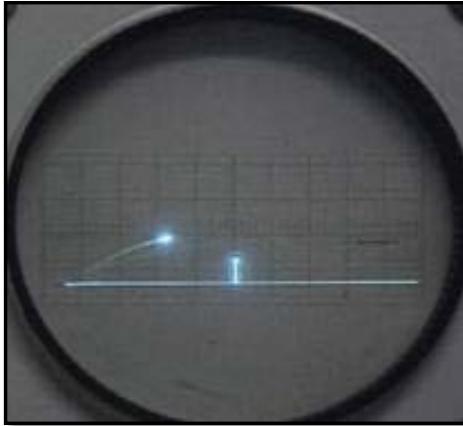
- Basically an oscilloscope
 - Deflecting coils (1), cathode (2), electron beam (3), focusing coil (4), phosphorous coating at inside of screen (5)
 - Electrons on phosphorous coating cause
 - Fluorescence (duration about $22 \mu\text{s}$) and
 - Phosphorescence (about 210 ms)
- Representation of an image
 - Draw objects (lines) continuously
 - Represent image repeatedly
 - High refresh rate necessary



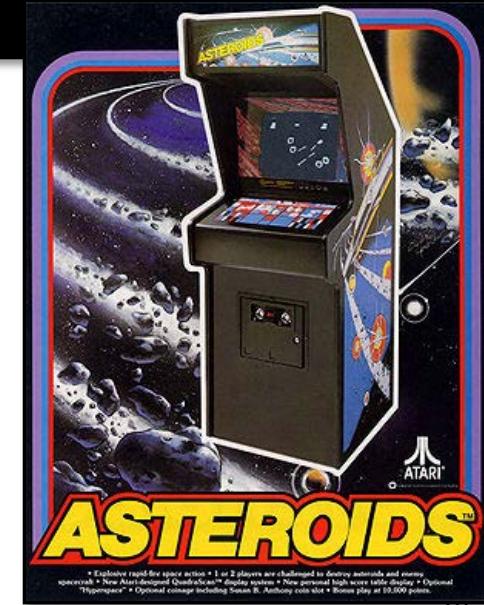
<http://en.wikipedia.org/wiki/Oscilloscope>

Vector Monitor

- Representation of image: draw objects (lines) continuously



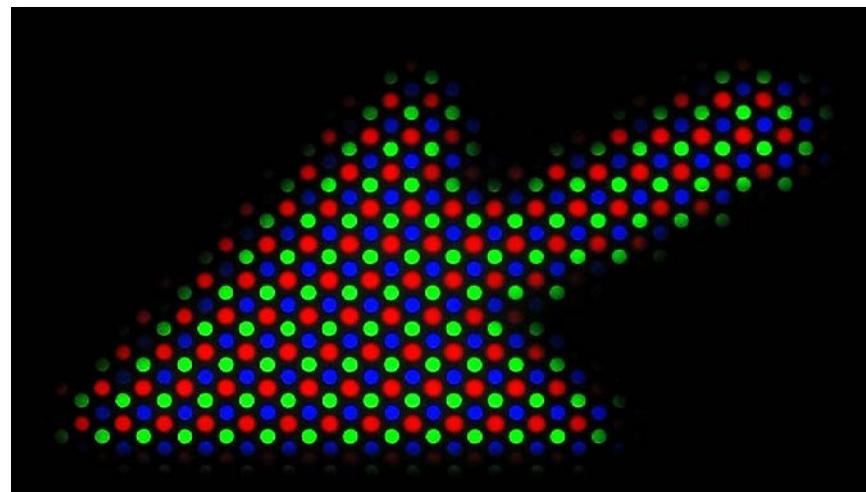
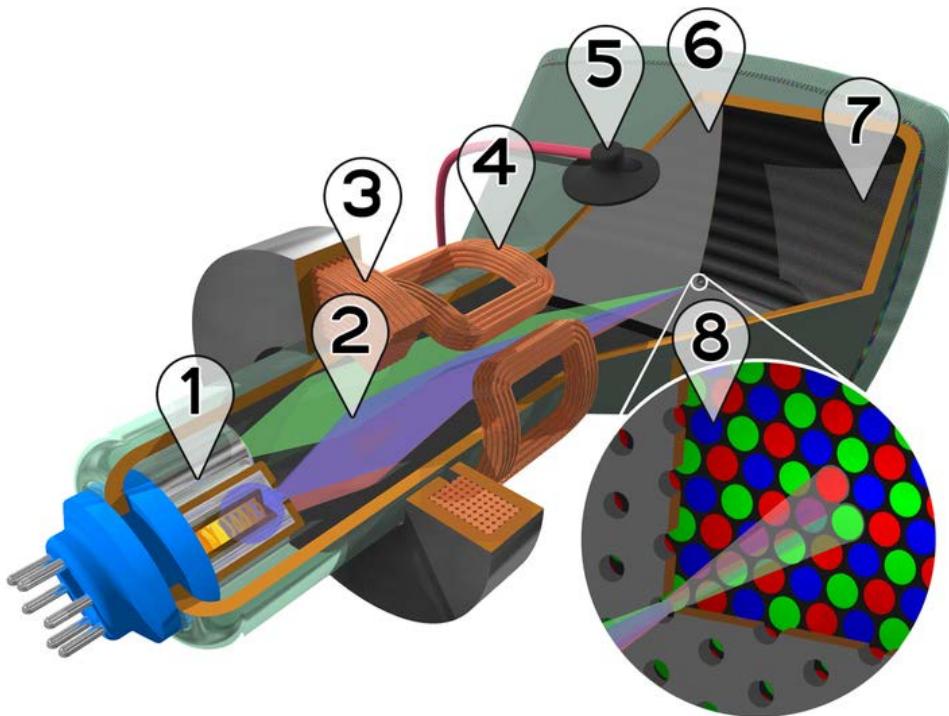
Asteroids, Atari 1979



www.gamersquarter.com/tennisfortwo/,
William Higinbotham 1958

Cathode Ray Tube, CRT

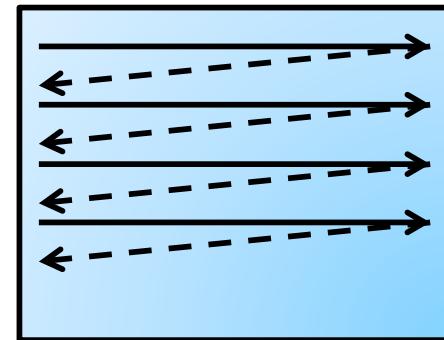
- Cathodes (1), electron beams (2), focusing coils (3), deflecting coils (4), anode connection (5), shadow mask (6), fluorescent coating with red, green, and blue pixels (7,8)



https://en.wikipedia.org/wiki/Shadow_mask

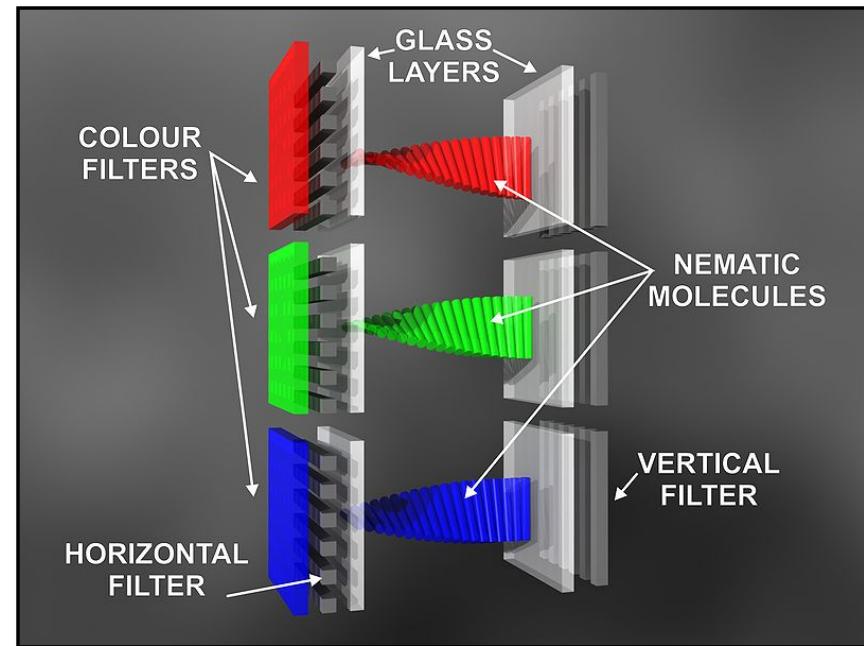
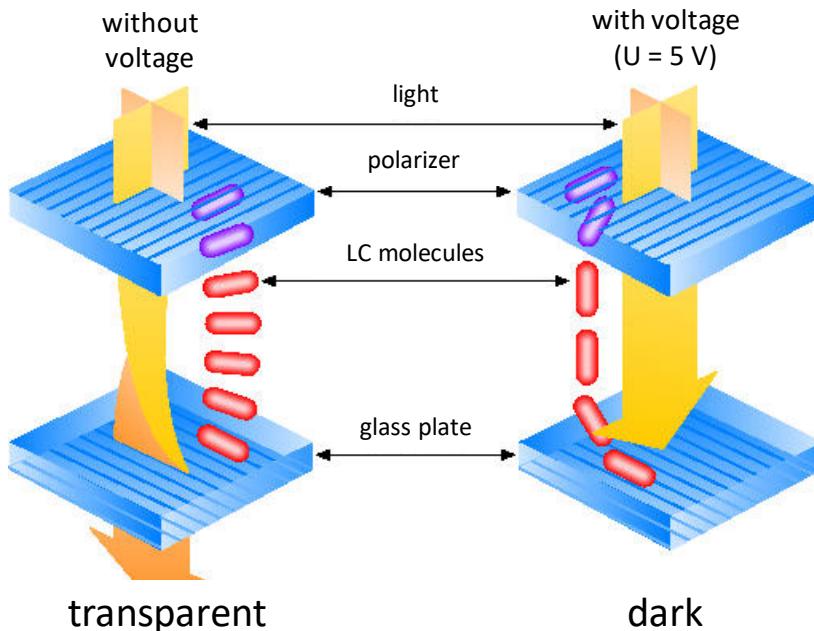
Cathode Ray Tube, CRT

- Principle of representation
 - Image is generated row by row (scan line) (left → right, top → bottom)
 - Flickerfree representation requires high speed (> 50 Hz)
 - Intensity of electron beams (at the moment at which a pixel is hit) determines brightness of the pixels
- For this, the image has to be available
 - Obvious: store image as 2D array of pixels
 - For each pixel, store the color with three values for red/green/blue
 - **Frame buffer**: memory in which the image is stored for display
 - Crucial advantage over vector monitors: once image is in frame buffer, display is independent of its computational cost



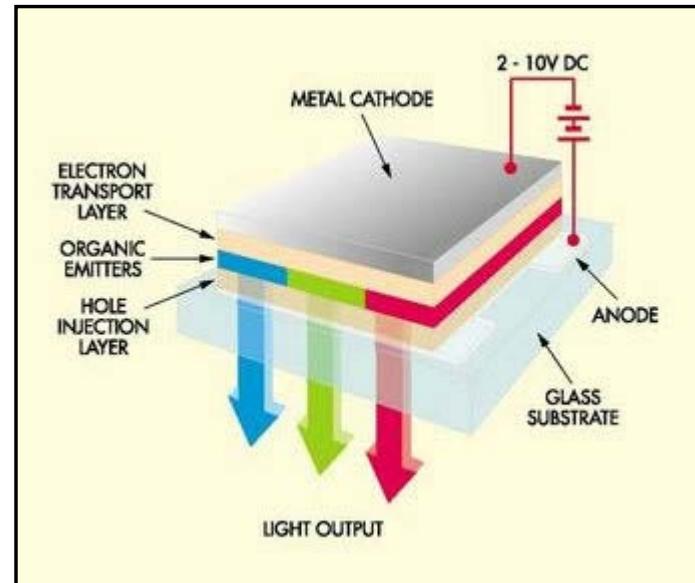
Liquid Crystal Display, LCD

- Inner surface of two glass plates coated with transparent electrode, liquid crystals in between
- Polarizing filters: 90° orientation to each other
- Orientation of liquid crystals
 - Without voltage: achieved by coating
 - With voltage: according to electric field
 - Liquid crystals modify polarization of light



Other Displays

- Further light-emitting screens
 - E.g., LED, Plasma
- Projectors
 - CRT, LCD, DLP/DMD, D-ILA
- LED (light-emitting diode)
 - (Anorganic) semiconductors
- OLED (organic light-emitting diode)
 - Thin-film displays made from organic semiconducting materials
 - Emit light if voltage is applied
- Electronic paper, e-ink, e-paper



Frame Buffer

- Imagine a frame buffer as follows ...

```
#define WIDTH 1024
#define HEIGHT 768

// pointer to rgb frame buffer memory
unsigned char *buffer = ...;

for ( y = 0; y < HEIGHT; y++ ) {
    for ( x = 0; x < WIDTH; x++ ) {
        // values between 0 and 255 (lowest/brightest intensity)
        buffer[ ( x + y * WIDTH ) * 3 + 0 ] = "red value";
        buffer[ ( x + y * WIDTH ) * 3 + 1 ] = "green value";
        buffer[ ( x + y * WIDTH ) * 3 + 2 ] = "blue value";
    }
}
```

- Modern systems do not provide direct access to this memory

Frame Buffer

- Imagine a frame buffer as follows ...

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unsigned char *buffer = ...;

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        buffer[ ( x + y * WIDTH ) * 3 + 2 ] = "blue value";
    }
}

CopyImageToScreen( buffer );
```

- ... but there are always API functions that allow you to display some memory range in a window / on the screen

Vector Displays

- Image buildup difficult in case of complex scenes
- Lines and wireframe models
- High resolution

Vector Graphics (e.g., SVG)

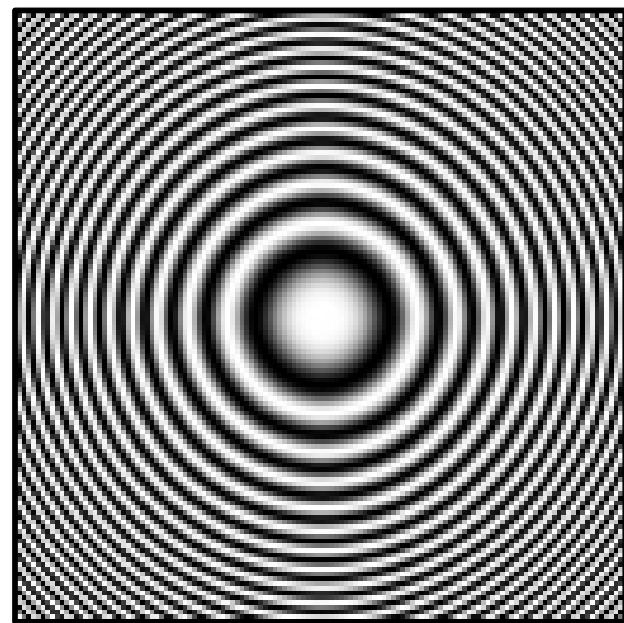
- Important for resolution-independent representation

Raster Graphics

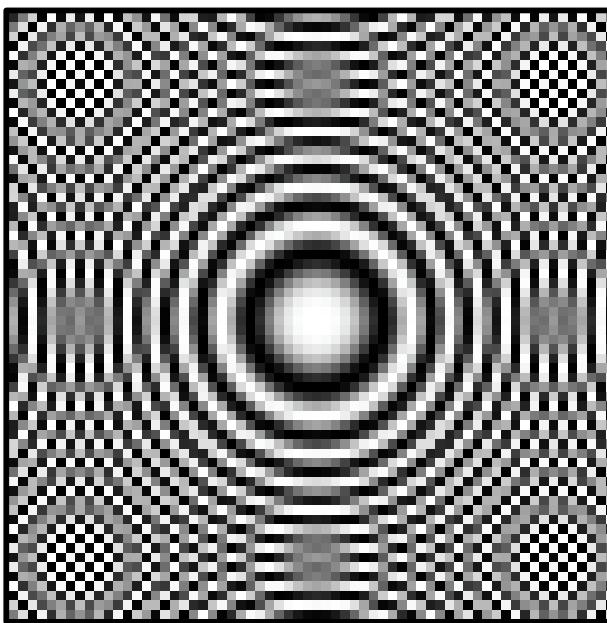
- Repeated image buildup is independent of scene complexity
- Typically filled, shaded surfaces
- Finite number of pixels: aliasing and Moiré effects
- Access to object “lost” (picking)

For the moment, we only talk about raster images and raster graphics

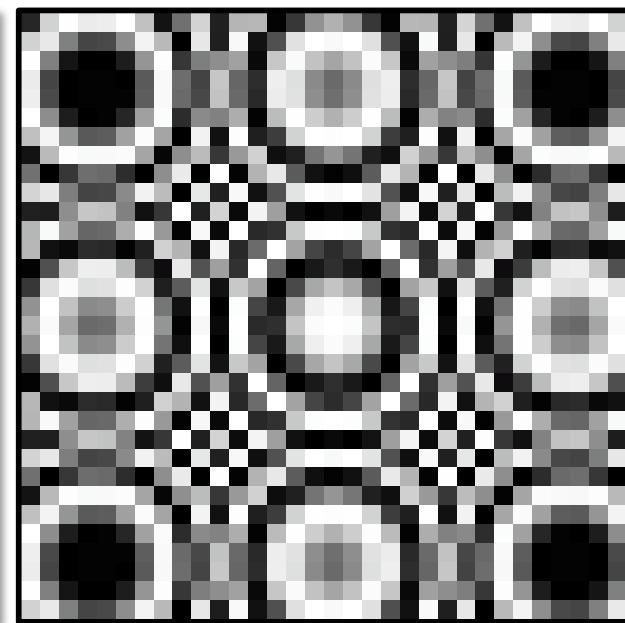
Preview: Aliasing



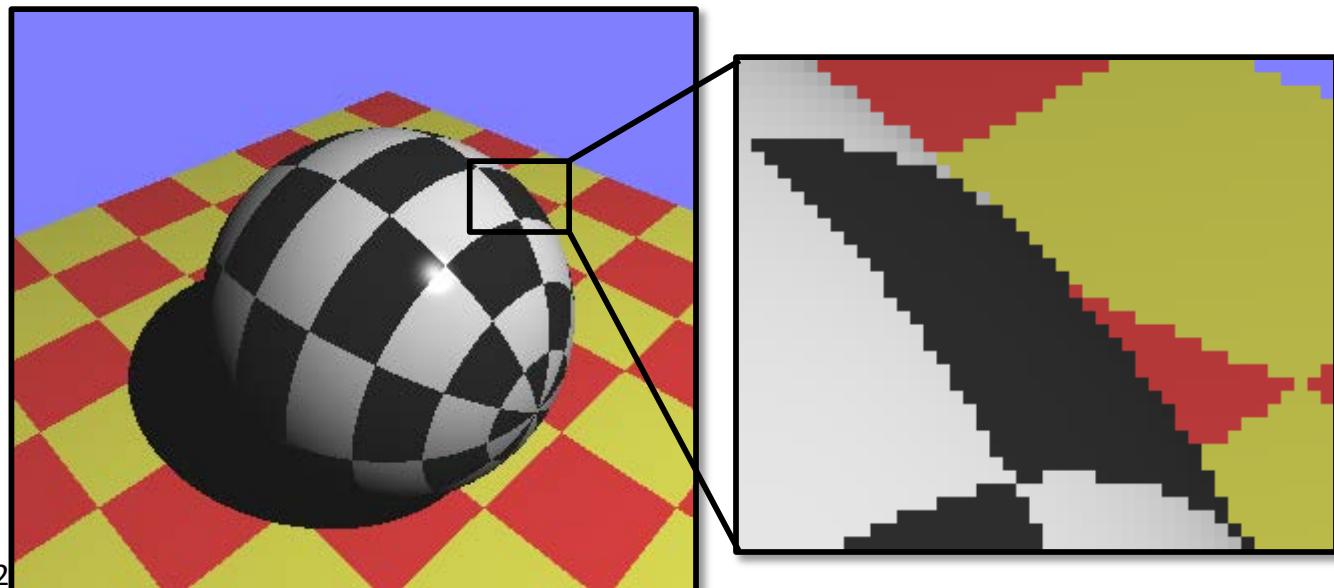
WIDTH=HEIGHT=128



WIDTH=HEIGHT=64

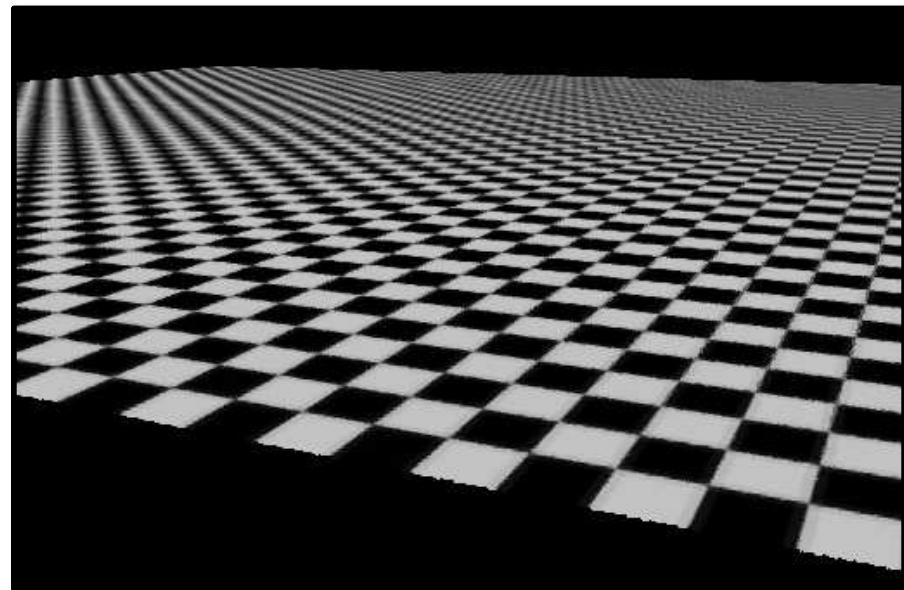
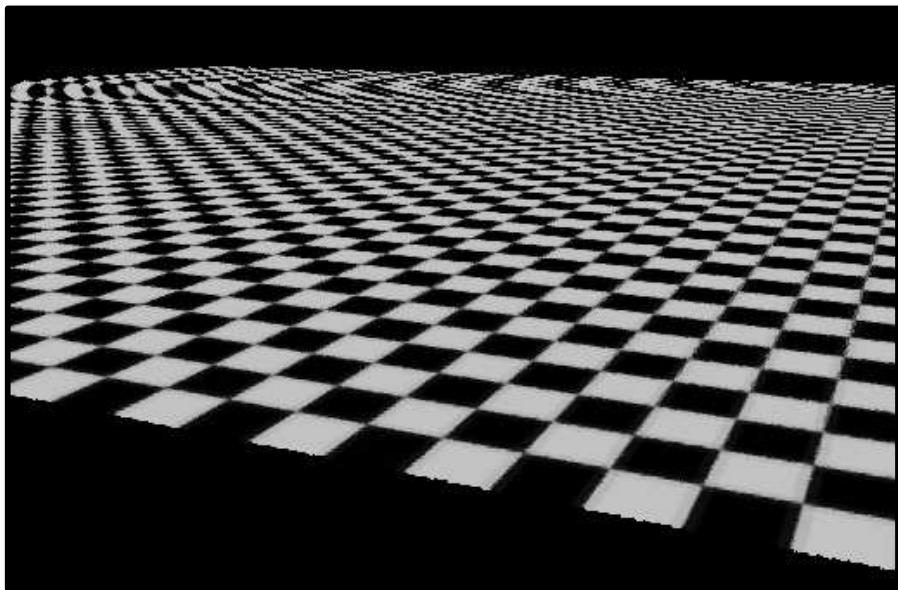


WIDTH=HEIGHT=32



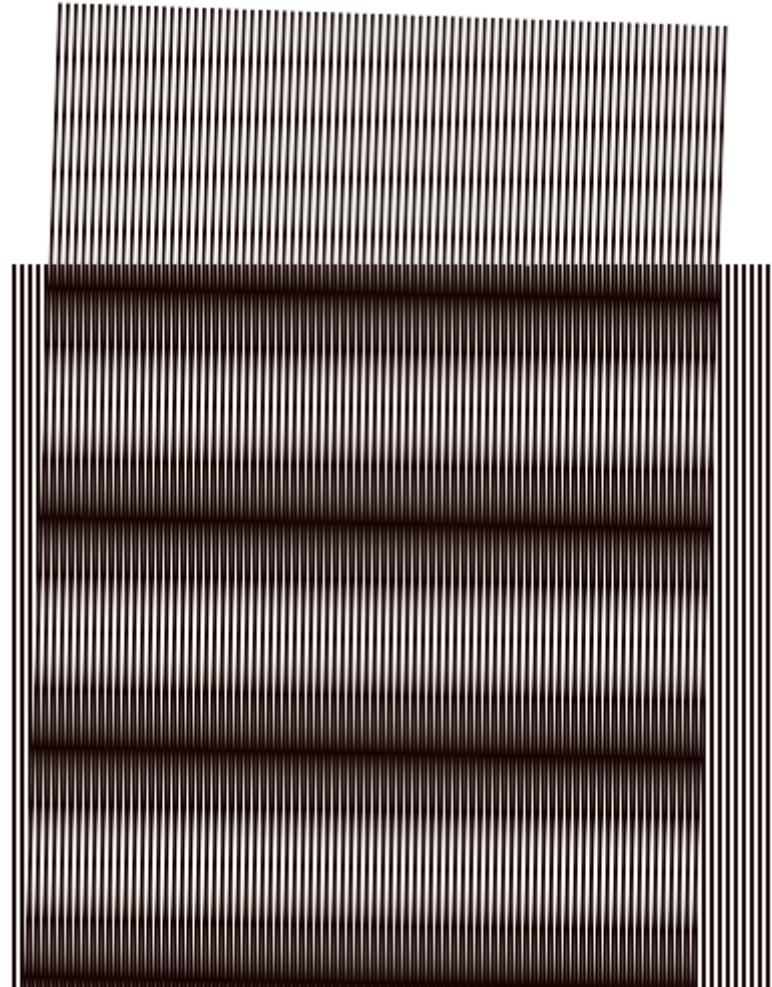
Preview: Aliasing

- ... the classical example for aliasing in computer graphics



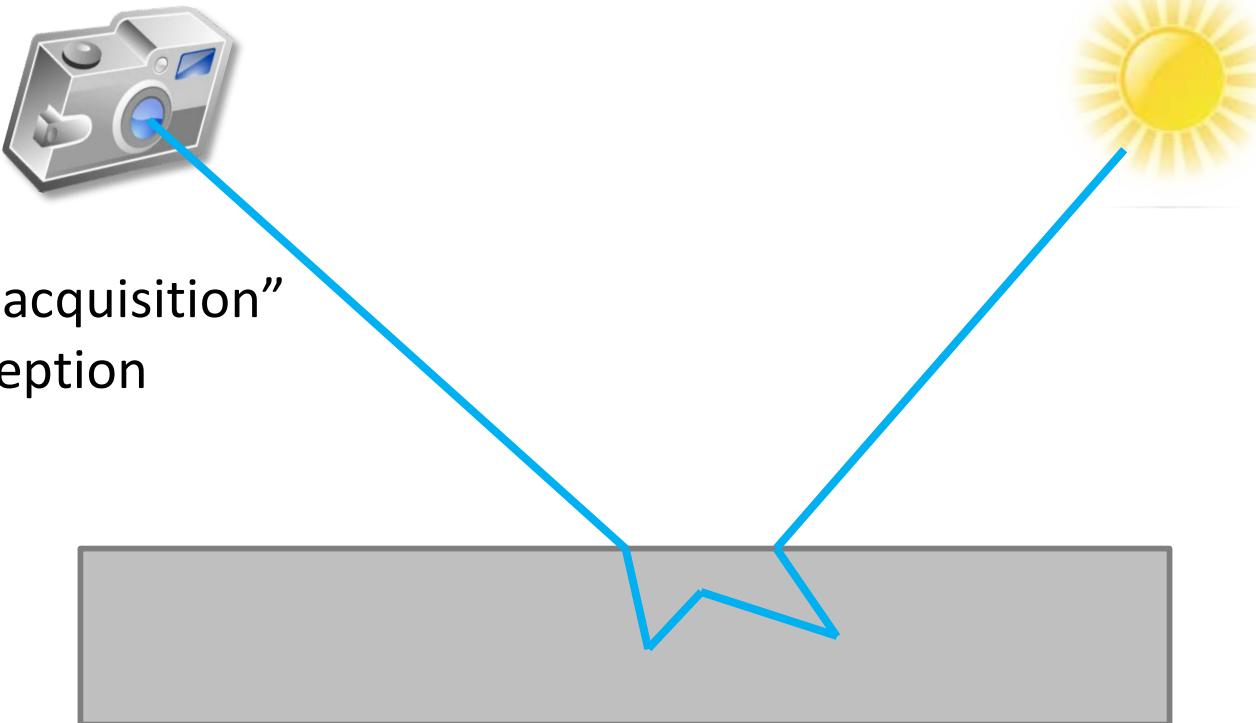
Moiré Effect

- Superposition of regular line rasters



Computer Graphics: Light and Perception

- Understanding **light** and **perception** is important for
 - Acquisition and output on different devices
 - Visualization
 - Realistic image generation
- Process of image generation
 - Light source / illumination
 - Absorption
 - Scattering
 - Reflection
 - “Exposure during acquisition”
resp. human perception



What is Light?

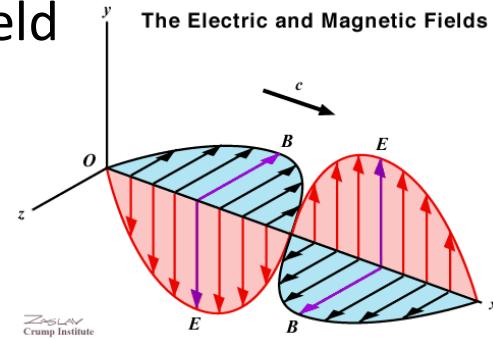
- Electromagnetic radiation

- Propagating waves of an electromagnetic field

- Wavelength

- Intensity

- Polarization



- Wave–particle dualism

- Wave optics: diffraction, interference (Maxwell, 1865)

- Particle character, light quantum / photons (Albert Einstein, 1905)

- Frequency ν , wavelength $\lambda=c/\nu$

- Energy of a photon: $E=h\nu$ [eV] or [J], with Planck constant h

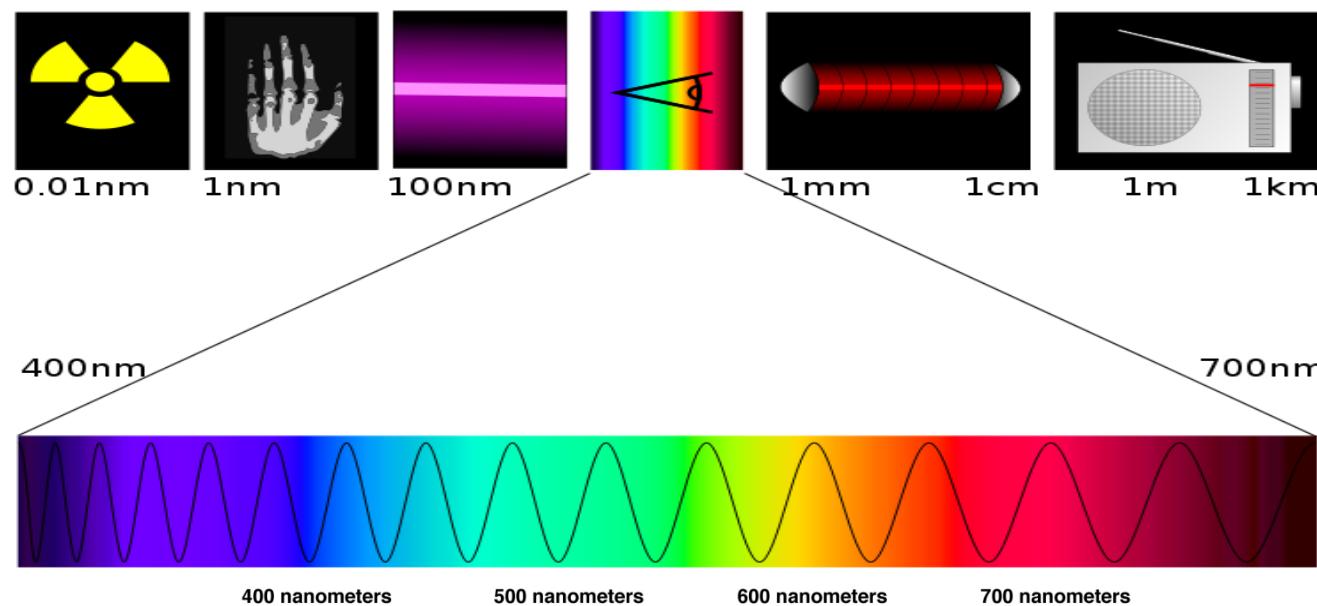
- Ray optics: geometrical optics as standard in CG

- Radiometry: radiation resistance, measurement of electromagn. radiation

- Photometry: inclusion of sensitivity of the observer

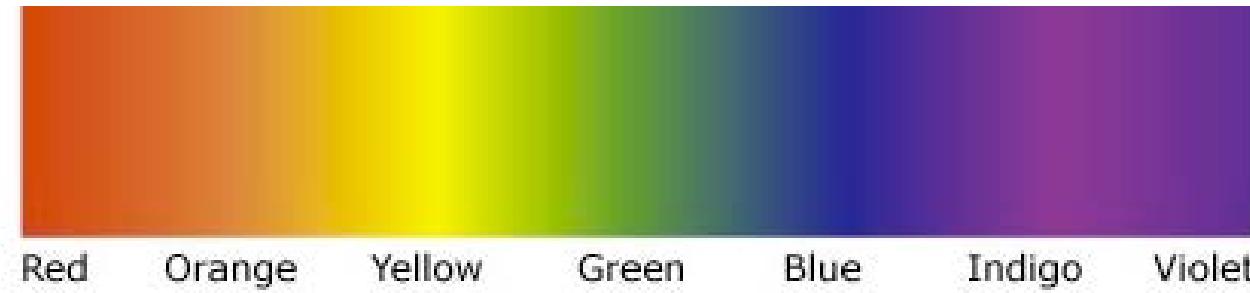
Radiometric Quantities

- Wavelength (λ)
 - Measured in meters (m)
 - Every wavelength represents a spectral color
 - Frequency $\nu=c/\lambda$ [Hz]
- Spectrum of electromagnetic radiation is wide
 - Visible light only a very small range: approx., 380–700 nm

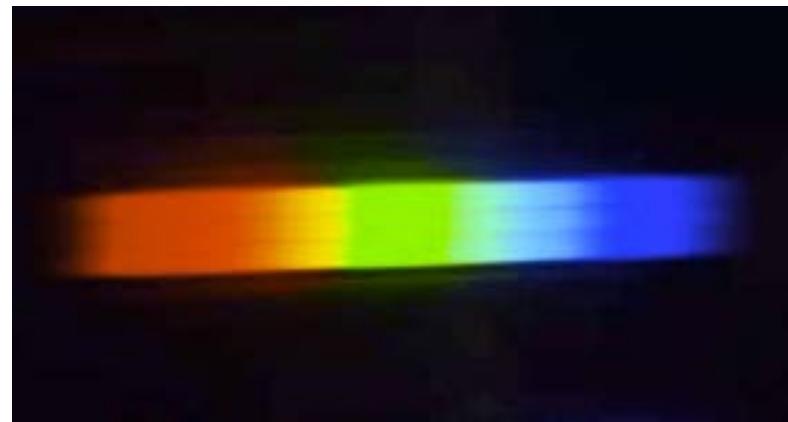


Spectral Colors

- Monochromatic light (only a single wavelength) ...



- ... appears as bright and clean color
 - Caution: what you see here is only a reproduction, no spectral color!



Visible Spectrum



image: Eric Rolph

Rainbow spectrum:



Radiometric Quantities

- *Radiant energy*

$$Q \quad \text{in joule [J]}$$

- *Radiant flux*

$$\Phi = \frac{dQ}{dt} \quad \text{in watts [W=J/s]}$$

- *Irradiance* (incident), *radiosity* (outgoing)

$$E = \frac{d\Phi}{dA} \quad \text{power per area in [W/m}^2]$$

- *Radiance*

$$L = \frac{d^2\Phi}{\cos\theta dA d\omega} \quad \text{power per solid angle } d\omega \text{ and per projected unit area } \cos\theta dA \text{ in [W/(sr}\cdot\text{m}^2)]}$$

- Note

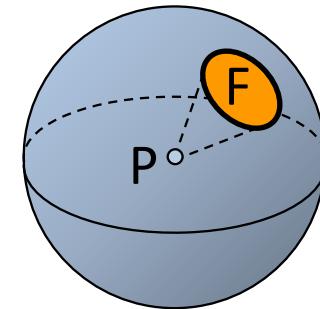
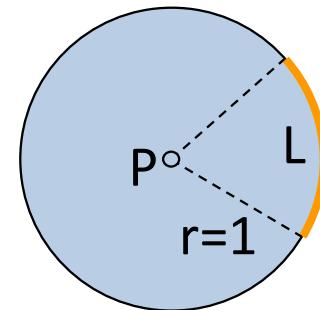
- These quantities are in general wavelength-dependent
- They ignore the polarization of light

Intellectual game regarding radiant flux

- Describes, e.g., how much energy is emitted by a light source or “how much energy is on the way”
 - 100 watts lightbulb $\rightarrow 1 \text{ W} = 1 \text{ Js}^{-1}$
 - Of course less visible light is emitted (thermal loss etc.)
- Example: 100 W light source, emitting photons at 500 nm
 - Frequency of a photon $\nu = \frac{c}{\lambda} = \frac{3 \cdot 10^8 \text{ ms}^{-1}}{500 \cdot 10^{-9} \text{ m}} = 6 \cdot 10^{14} \text{ s}^{-1}$
 - Energy of such a photon $h\nu \approx 4 \cdot 10^{-19} \text{ J}$
 - Number of photons $100 \text{ W} / (4 \cdot 10^{-19} \text{ J}) \approx 2.5 \cdot 10^{20} \text{ s}^{-1}$

Angle and Solid Angle

- Plane angle (θ)
 - Unit: radian
 - The angle of a curve **K** seen from a point **P** is the arclength **L** of the projection of **K** onto the unit circle around **P**
 - Circle has 2π (rad) (360 degrees)
 - $\theta = L/r$
- Solid angle (Ω)
 - Unit: steradian (1 sr is the area of 1 m^2 on a sphere with radius 1 m)
 - Solid angle of a surface **F** seen from a point **P** is the area of the projection of **F** onto the unit sphere around **P**
 - Sphere has a solid angle of 4π (sr)
(approx 40 000 degrees²)
 - $\Omega = F/r^2$



Why do I need to know that?

Photorealistic images of virtual scenes are generated by simulating light transport

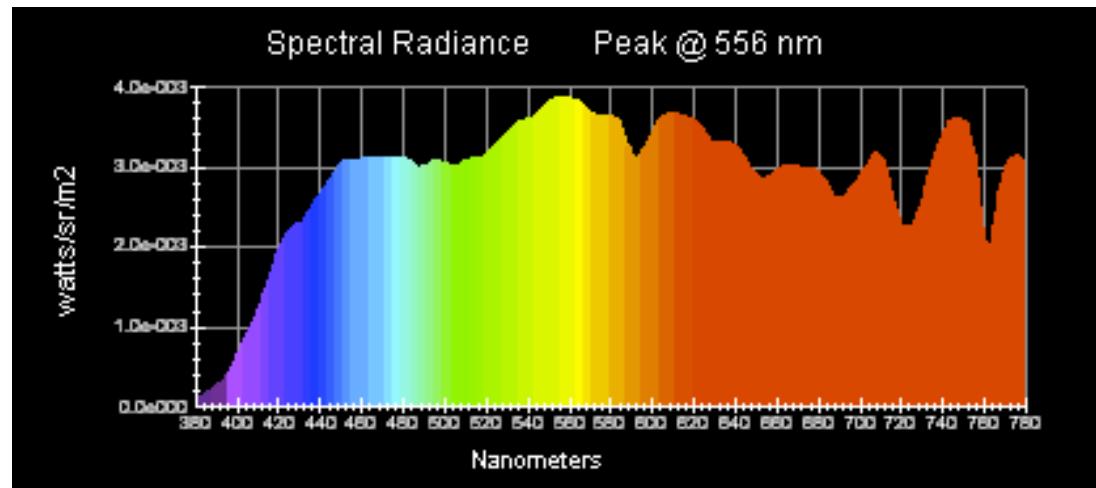
Example: Physically Based Rendering



image: Gianni Melis, computed with “fryrender”

Illumination

- Spectral composition of light
 - Consisting of many wavelengths
 - Each wavelength with a certain intensity
 - $P(\lambda)$ is the radiant power at wavelength λ
- Example: daylight



- The perception of such distributions is a product of the human visual system (HVS)

Other Colors

- Most colors are a mixture of (many) wavelengths

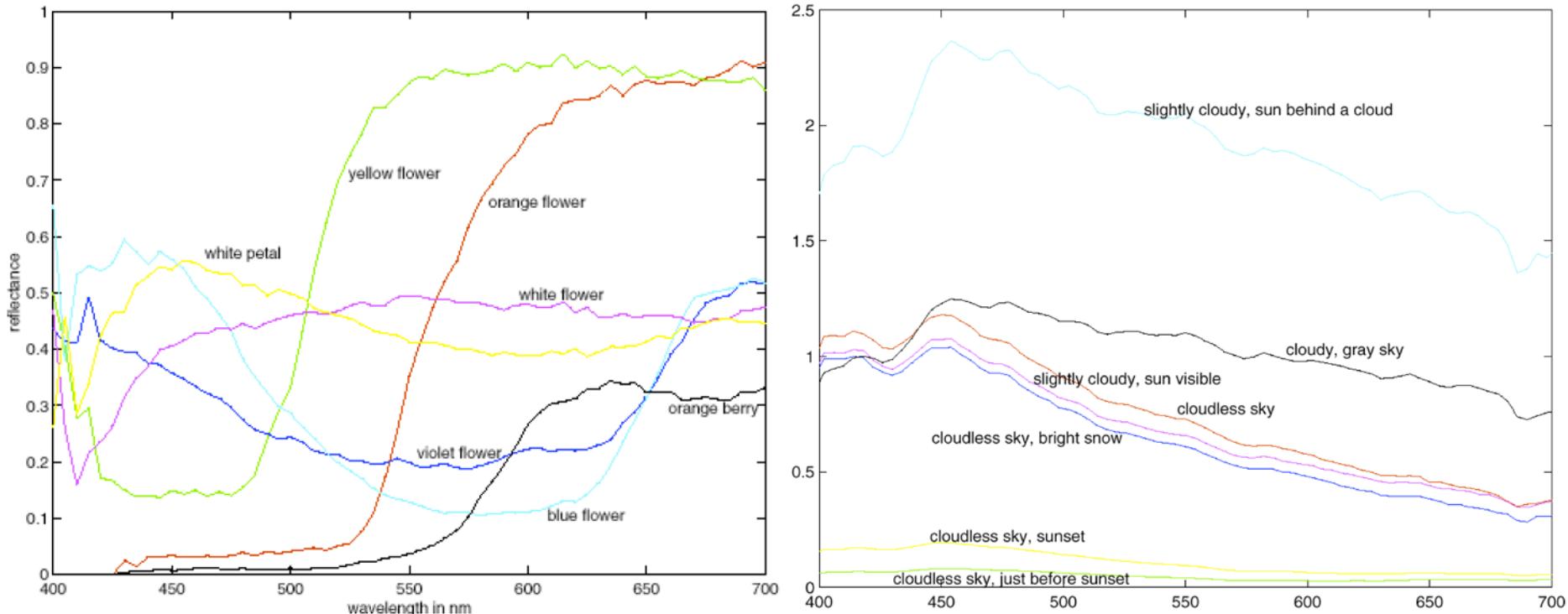


image: David Forsyth

Other Colors

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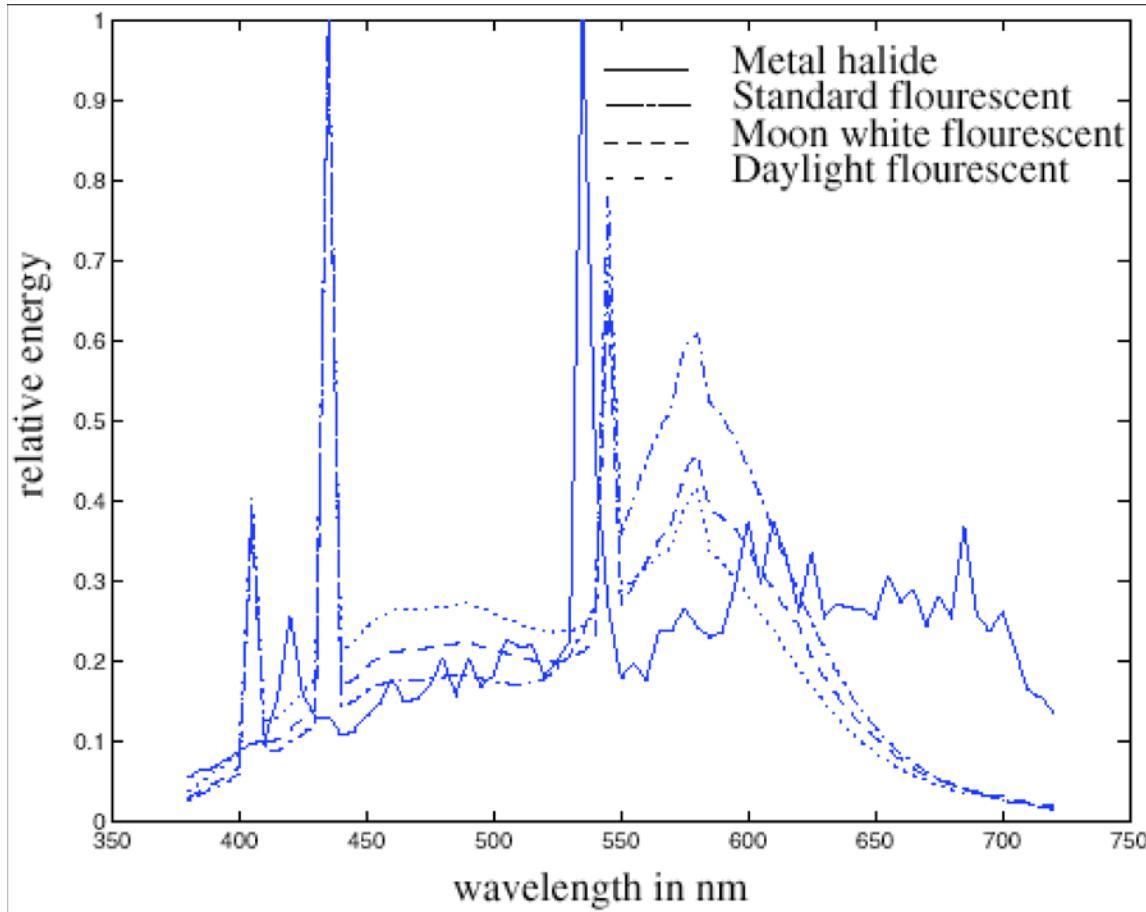
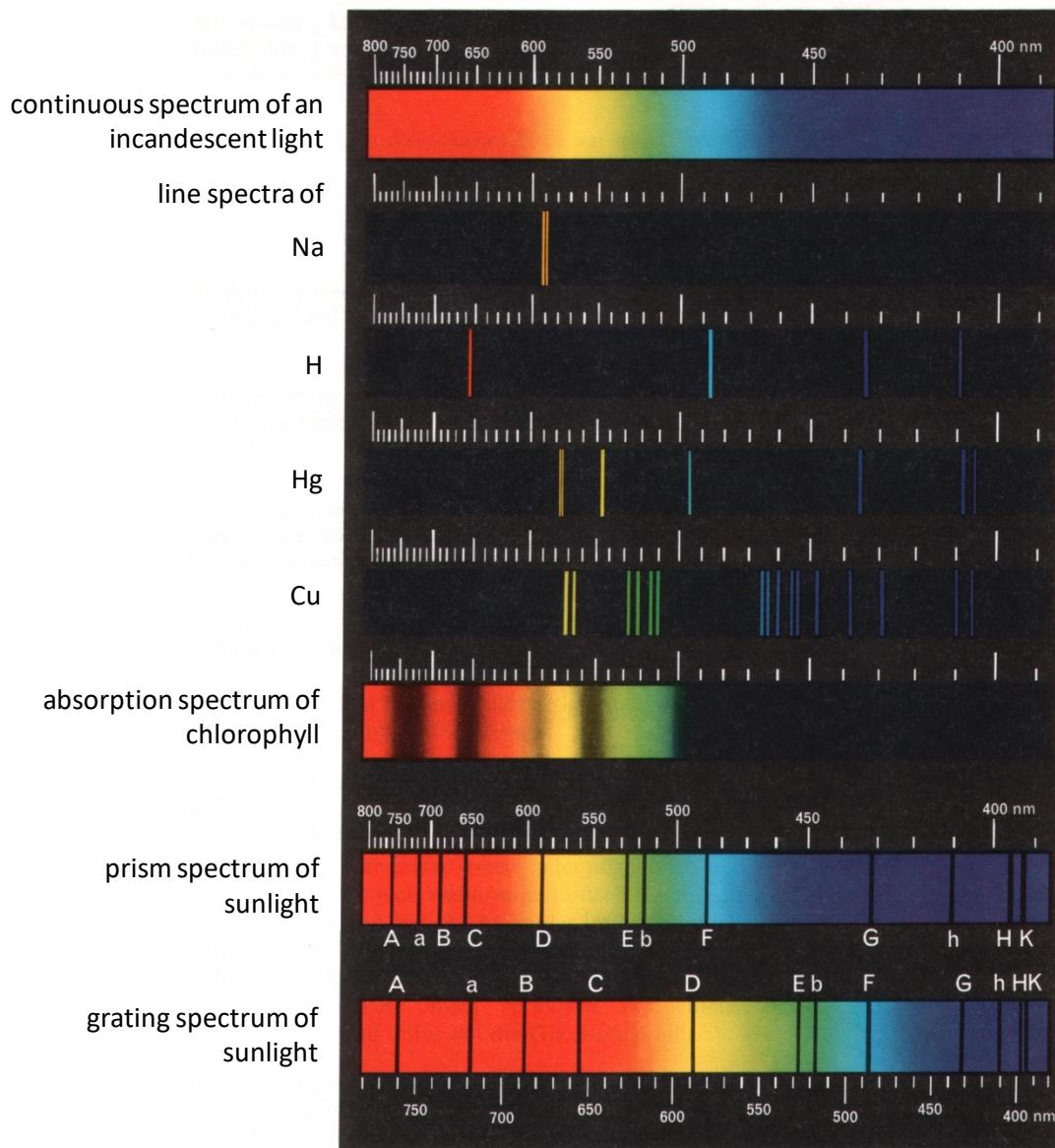
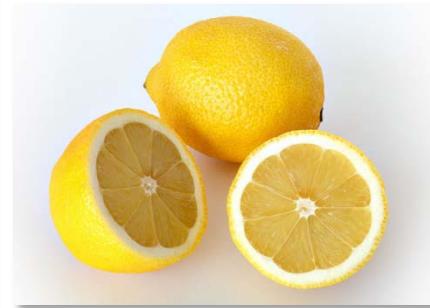
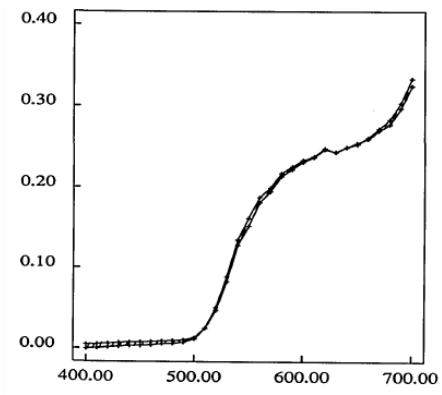
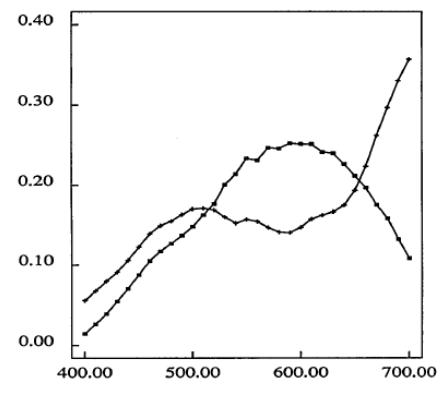


image: David Forsyth

Color Spectra



Color and Structure

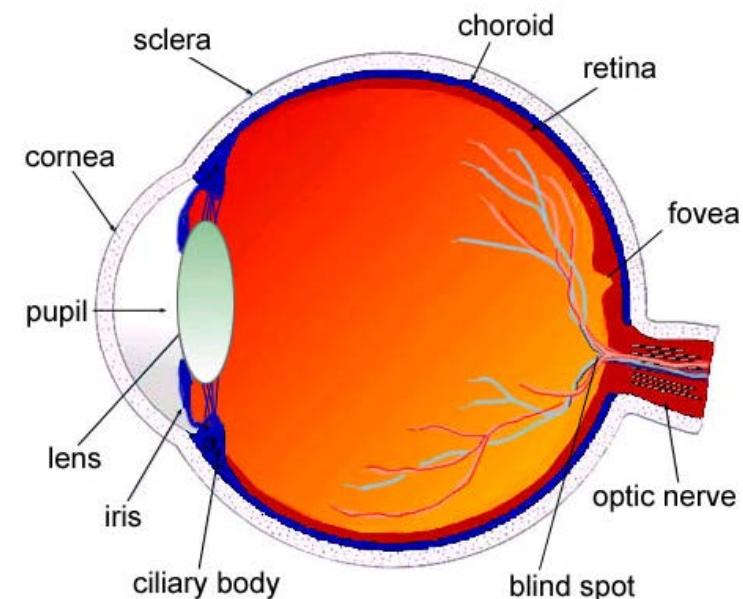


Perception vs. Measurement

- Human visual system
 - The human eye cannot capture the spectral composition of light
 - The eye does limited measurements
 - The eye adapts (physically) to exterior conditions
 - ... the brain also does, in various ways ...
- **Perceptual psychology:** Examination of the principles of sensory perception
 - Sensory receptors, signaling, ... up to the processing of the stimuli in the central nervous system
 - The perception process is not (yet) completely understood!

Human Eye

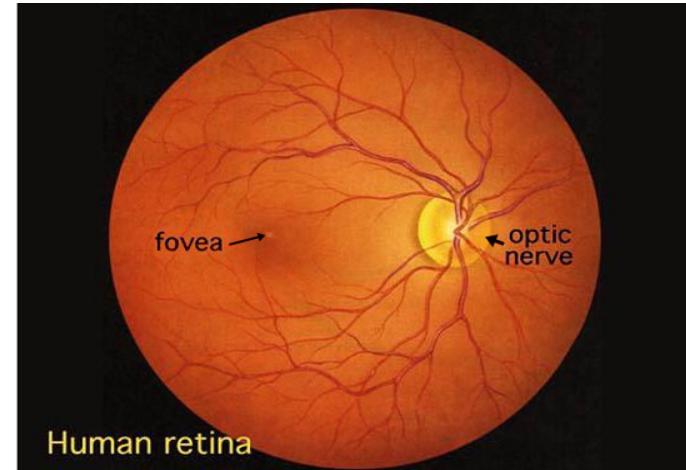
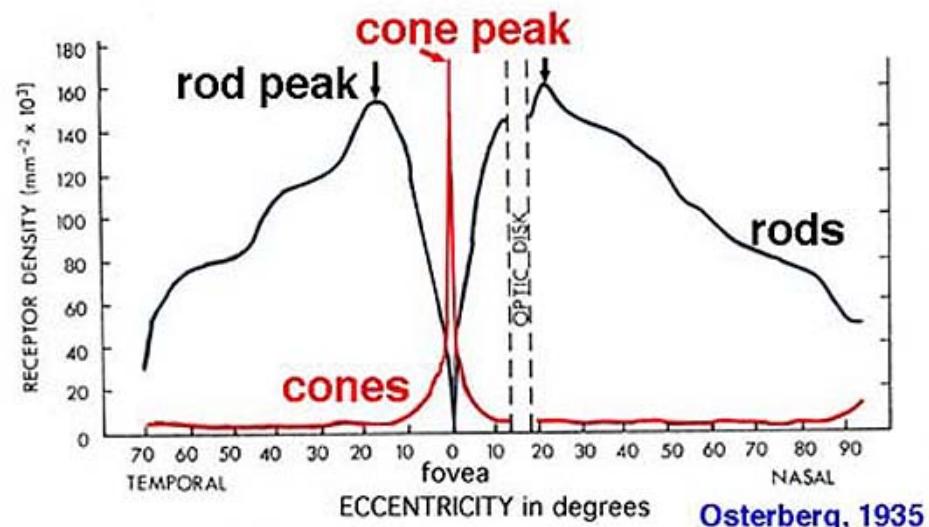
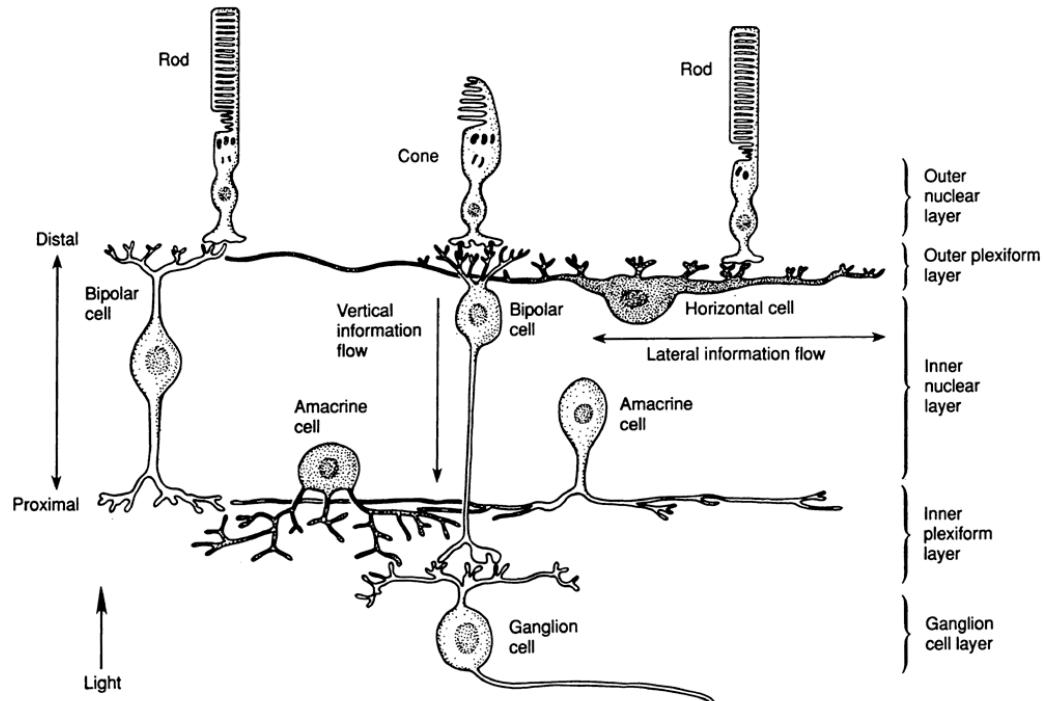
- Cornea and lens
 - Focus incoming light on retina
 - Refraction, focal length approx. 14–17 mm
- Pupil
 - Adjusts incoming light with pigmented iris
- Ciliary muscle: stretches lens, adjusts focal length
- Retina
 - Millions of light-sensitive cells
 - Chemical reaction, signal generation
 - Fovea centralis:
Region of sharpest vision
(approx. 1.5 mm diameter,
50–60 thousand light-sensitive cells)
 - Blind spot



Receptors in Human Eye

- 2 types of receptors in retina

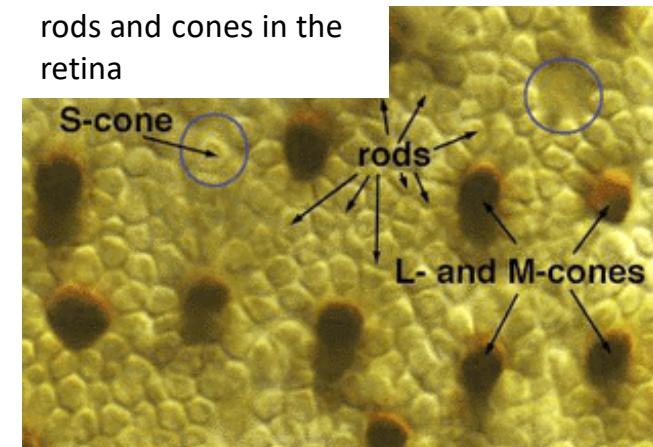
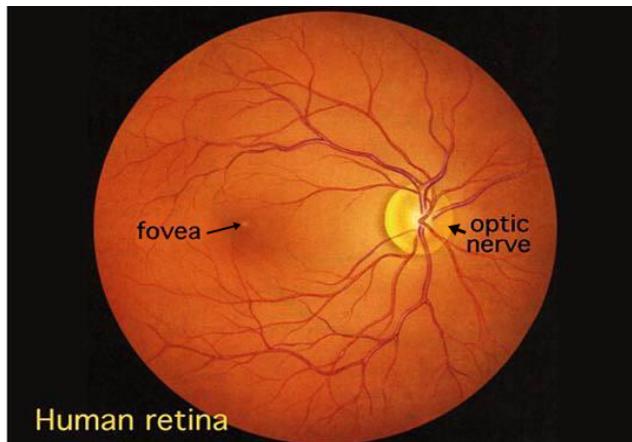
- Cones
 - (central, few,
3 types for colors)
- Rods
 - (peripheral, many,
black-and-white vision)



The Eye as a Sensor – Receptors

Light-sensitive receptors in the human eye

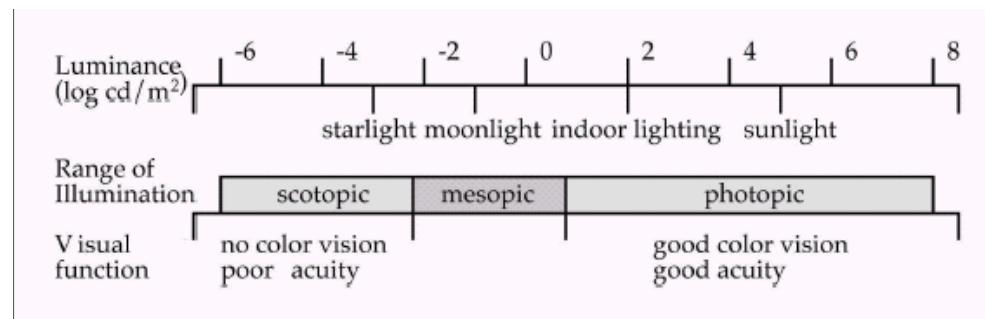
- Cones
 - Photopic vision (day) and trichromatic color vision
 - 3 types: different sensitivity (counts) w.r.t. light spectra, approx.:
 - S (7%) correspond to blue
 - M (37%) correspond to green
 - L (56%) correspond to red
 - Approx. 6–7 M concentrated around fovea, less sensitive
 - Very few females have fourth “yellow/orange” cone



The Eye as a Sensor – Receptors

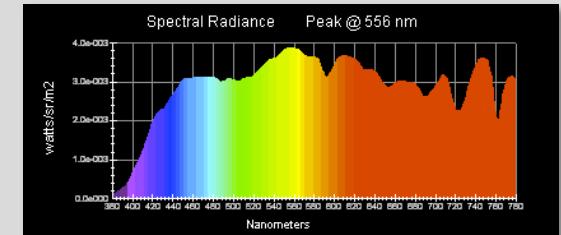
Light-sensitive receptors in the human eye

- Rods
 - Scotopic vision (night), monochromatic
 - Everywhere on retina, dominant in peripheral region (20°)
 - Approx. 120 M
 - 1000× more light-sensitive than cones—maximum at 555 nm (green)



[Ferwerda, James A., IEEE Computer Graphics and Applications, 5, 2001, pp. 22]

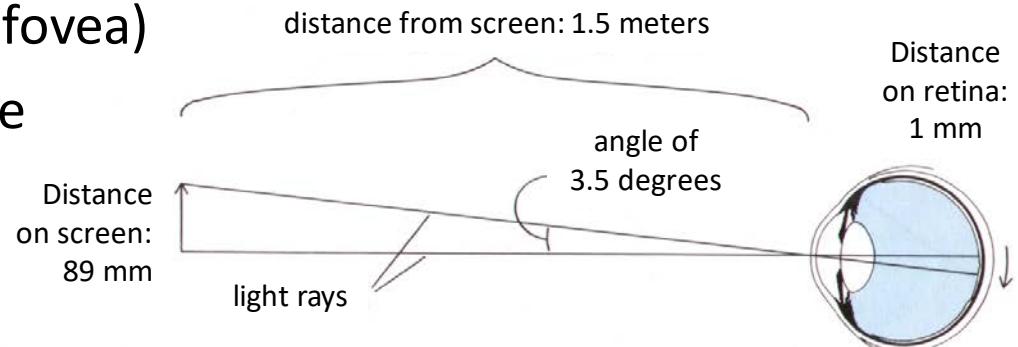
555 nm photopic peak is not a result of evolutionary optimization to sunlight peak at 556 nm
(Some paradoxes, errors, and resolutions ..., Soffer and Lynch, 1999)



The Eye as an Optical System

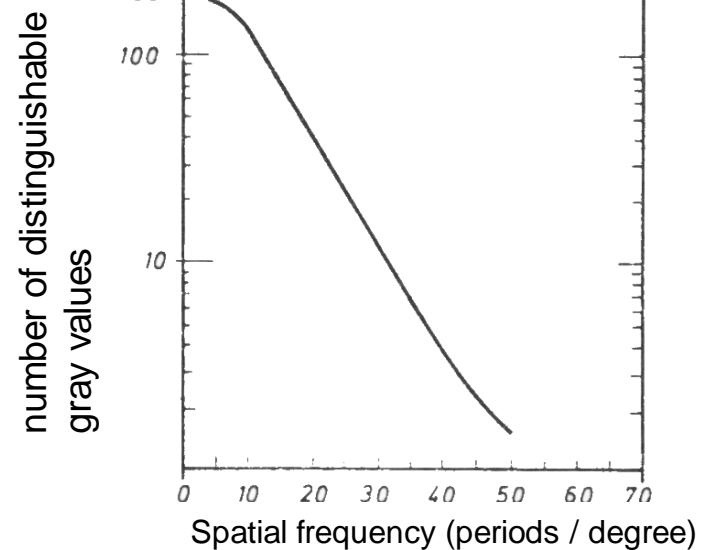
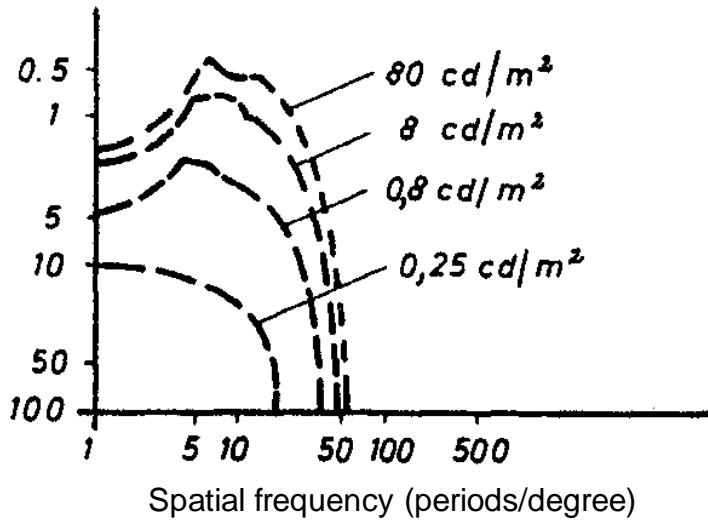
Foveola (approx. 0.35 mm diameter)

- Area of sharpest vision (within fovea)
- Approx. 1 cm^2 at 57 cm distance (approx. 1 degree)

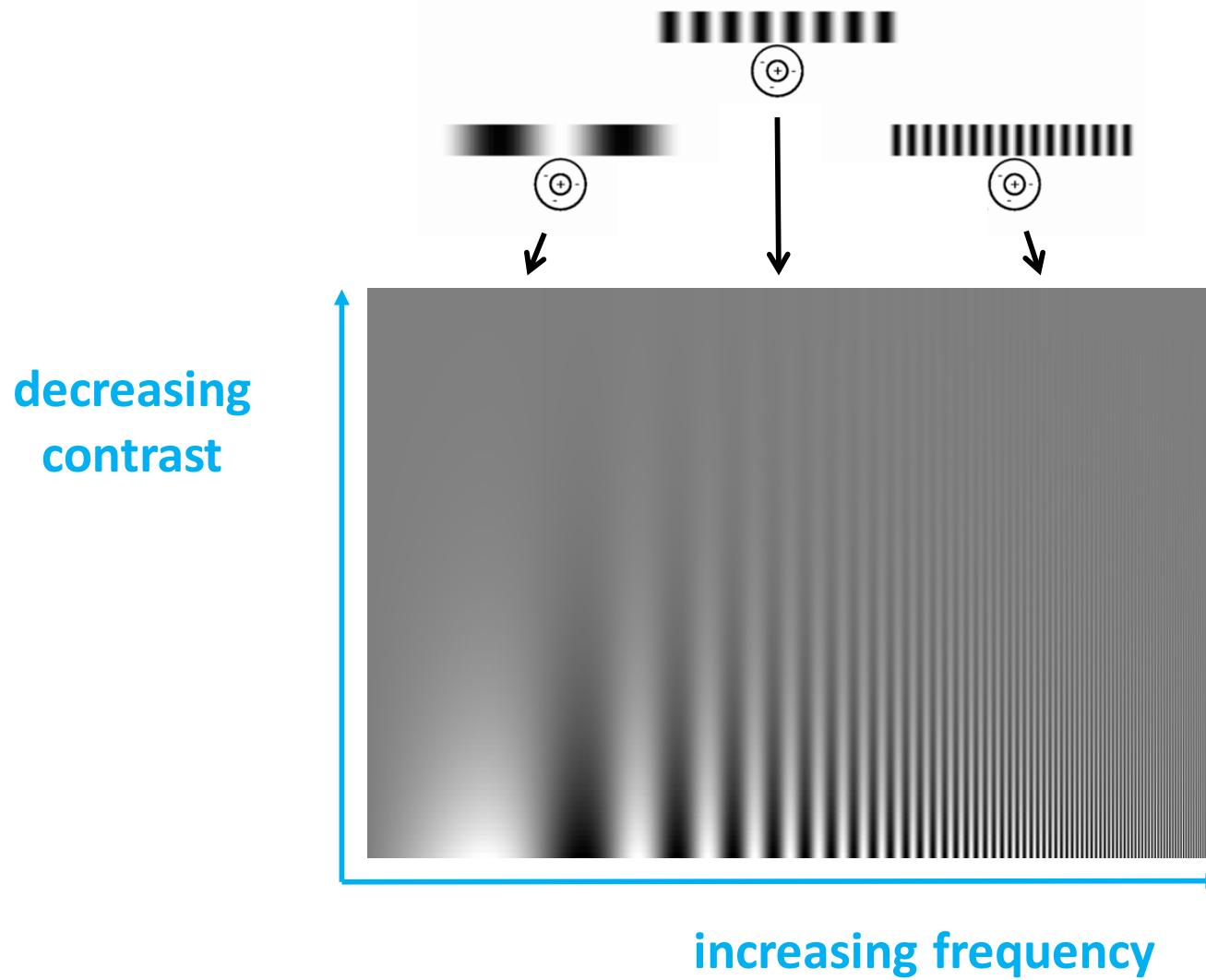


Contrast sensitivity

- Best at 5 periods/degree (3–4 mm at 1 m distance)
- Depends on brightness

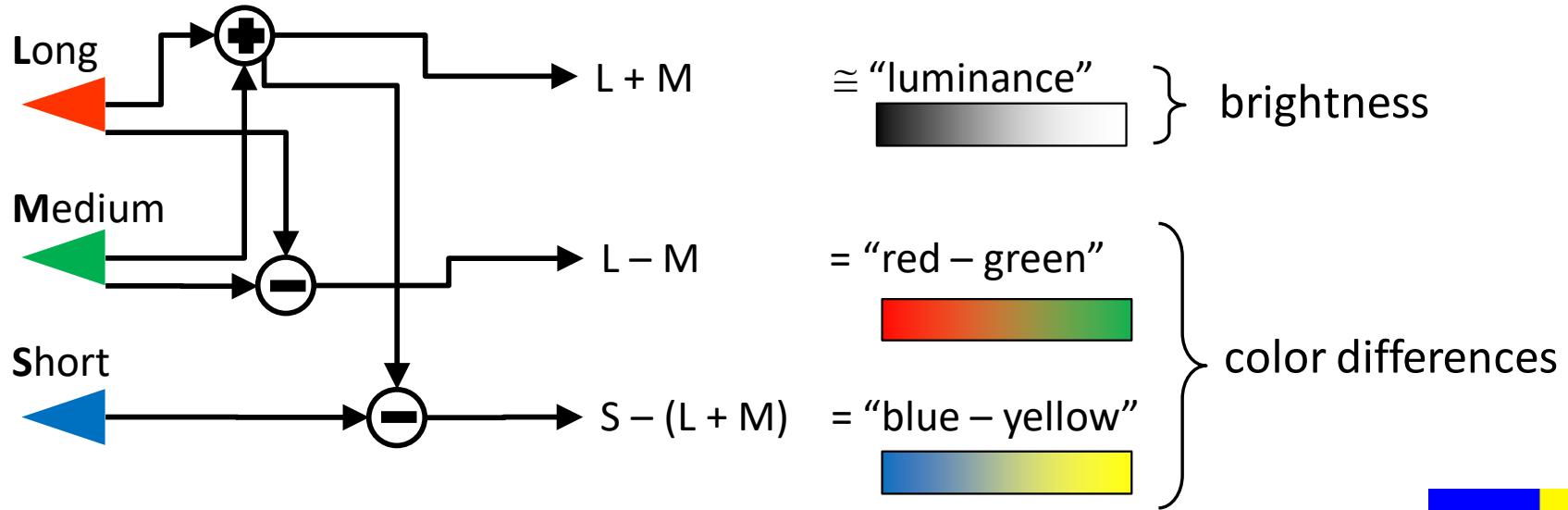


Contrast Sensitivity Function (CSF)

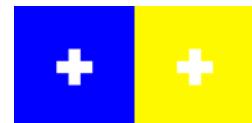


Opponent Process

- Color signals from cones are combined into two pairs of opponent colors and one brightness value (no RGB framebuffer in brain!):
- 2 chromatic and 1 achromatic channel (still 3-dimensional)
- The perceived brightness does not increase linearly with incident luminance



- There is reddish yellow, but no reddish green
- Oversaturation of a channel leads to complementary color
- 10% of males suffer from dyschromatopsia (red–green blindness)

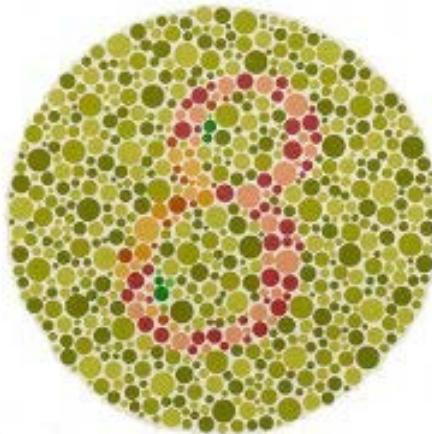


Opponent Process



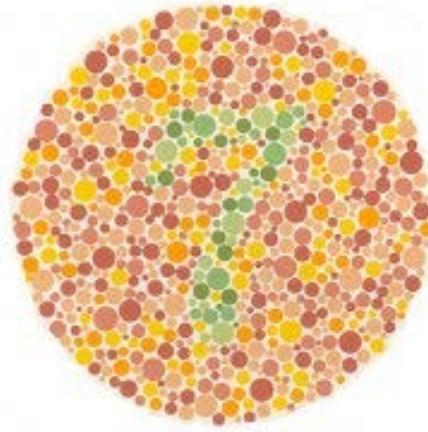
Color Blindness

- Color blindness at isoluminance
- Red–green blindness: Hereditary disease
 - Approx. 10 percent of males, 1 percent of females

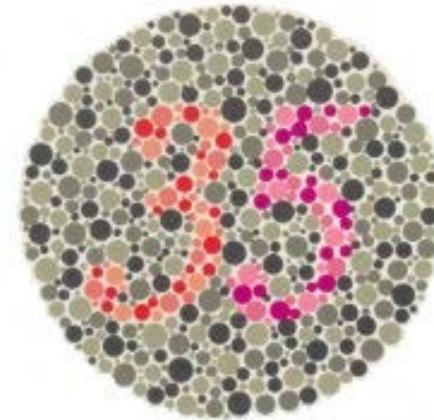


Normal color viewing: 8

Red–green blind: 3 or nothing



Normal color viewing: 7
Color blind: nothing



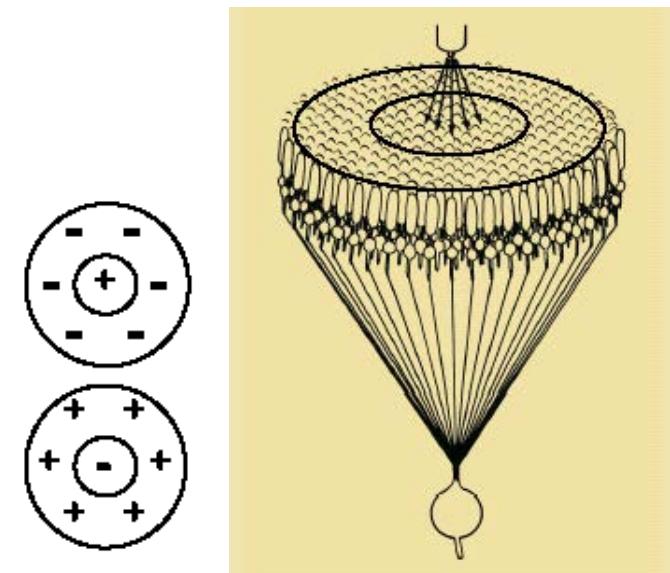
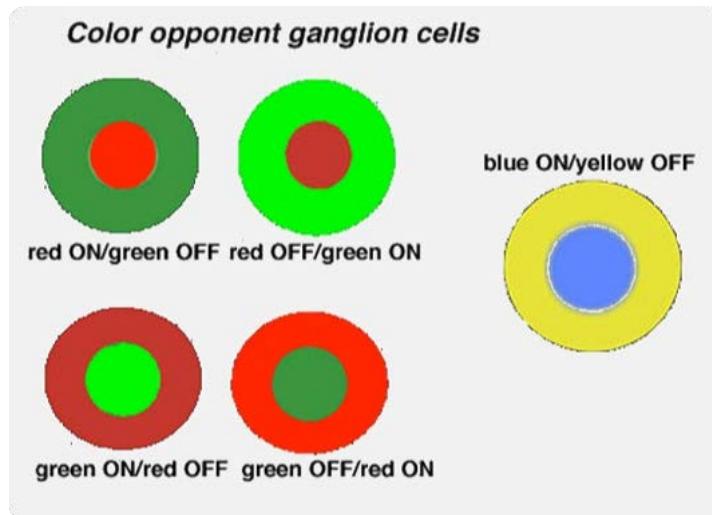
Normal color viewing: 35

Red blind: 5

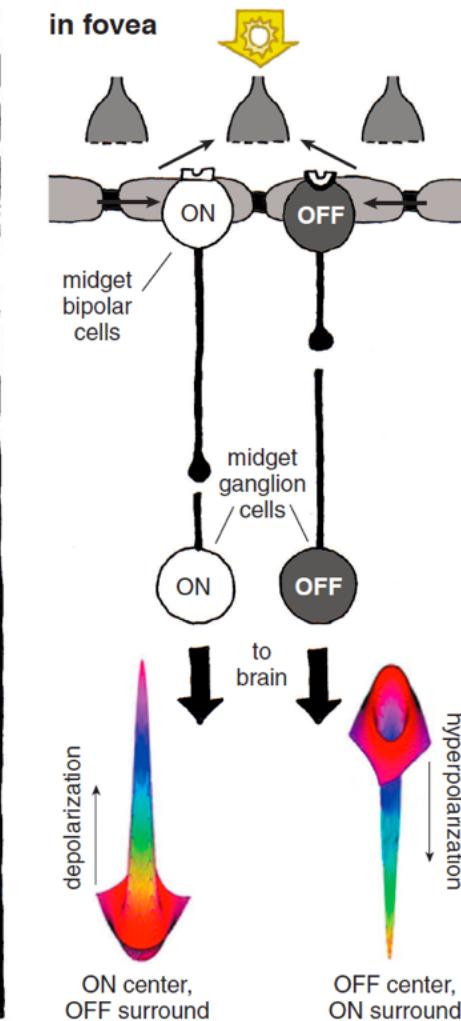
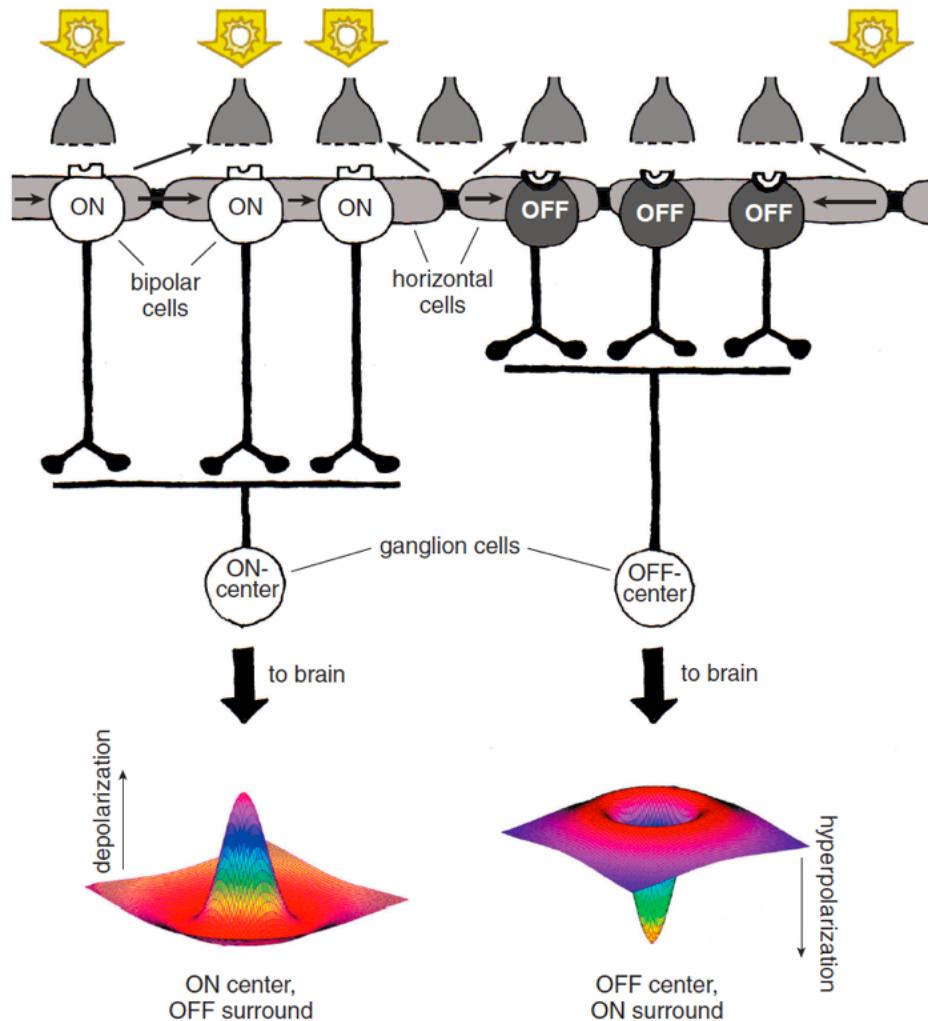
Green blind: 3

Receptive Fields

- Approx. 130 million photoreceptors are connected with approx. 1 million ganglion cells
- They form circularly receptive fields of different sizes
- Two types:
 - ON: excitation in the center, inhibition in periphery
 - OFF: excitation in periphery, inhibition in center
- Lateral inhibition
- They react to difference in intensity or color
- Recognition of orientation and texture

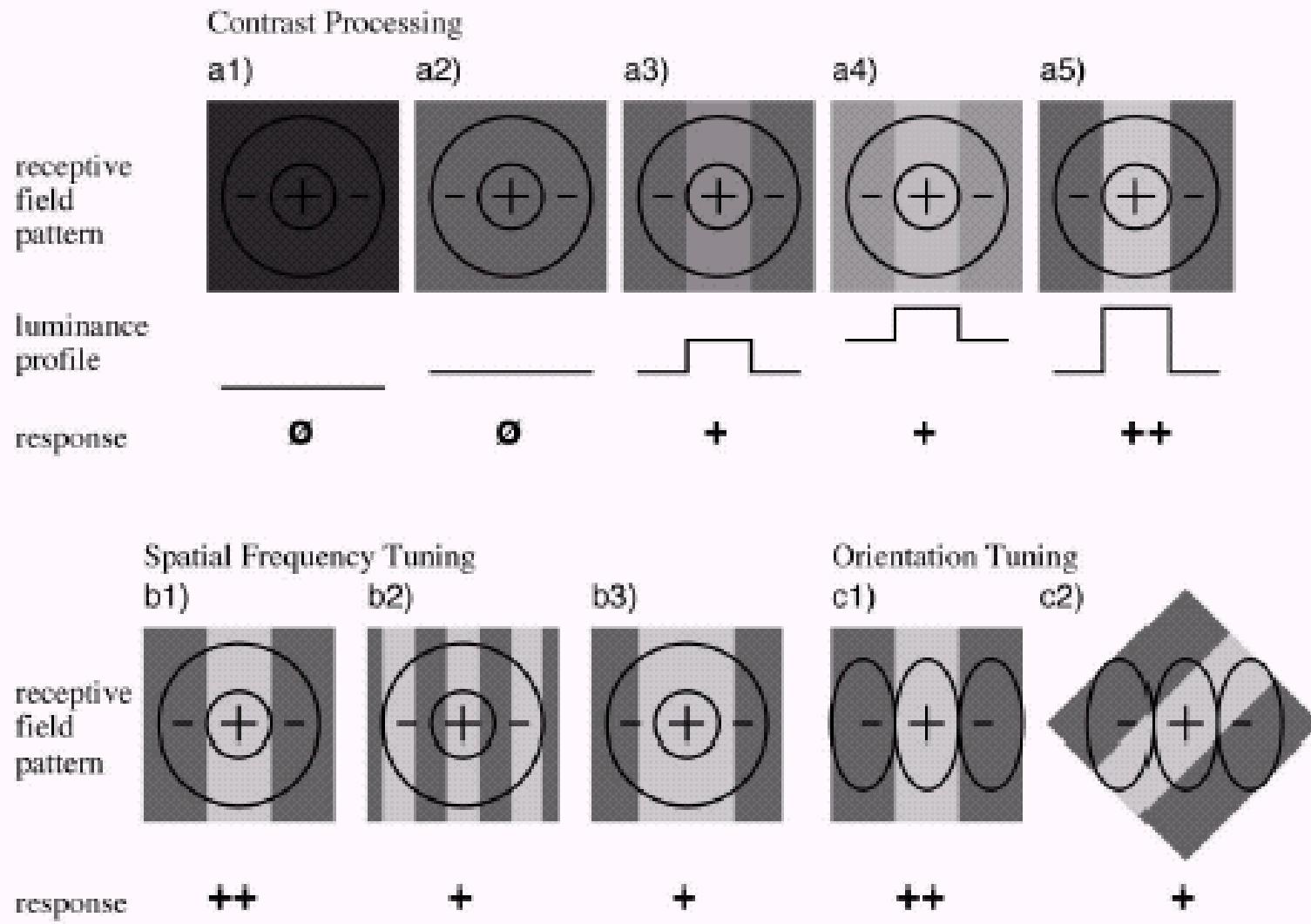


Receptive Fields

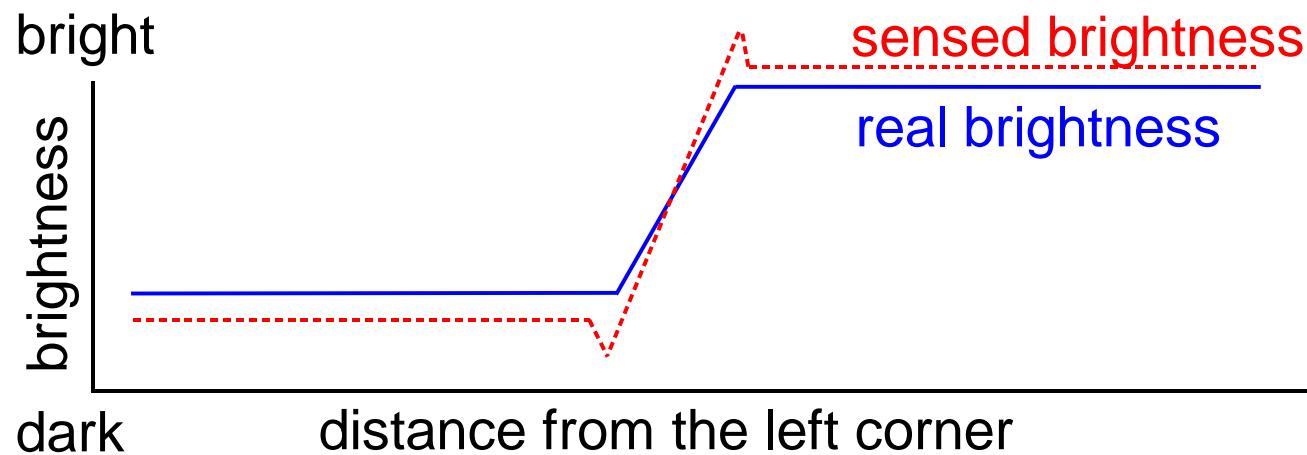
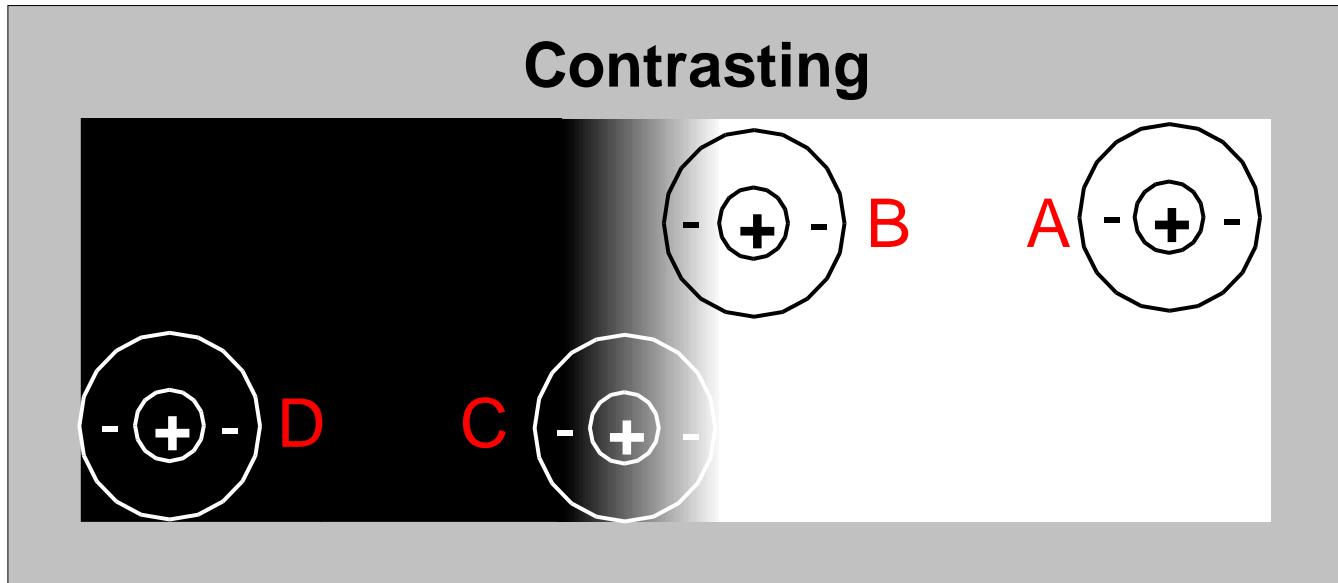


Kolb, 2003

Receptive Fields

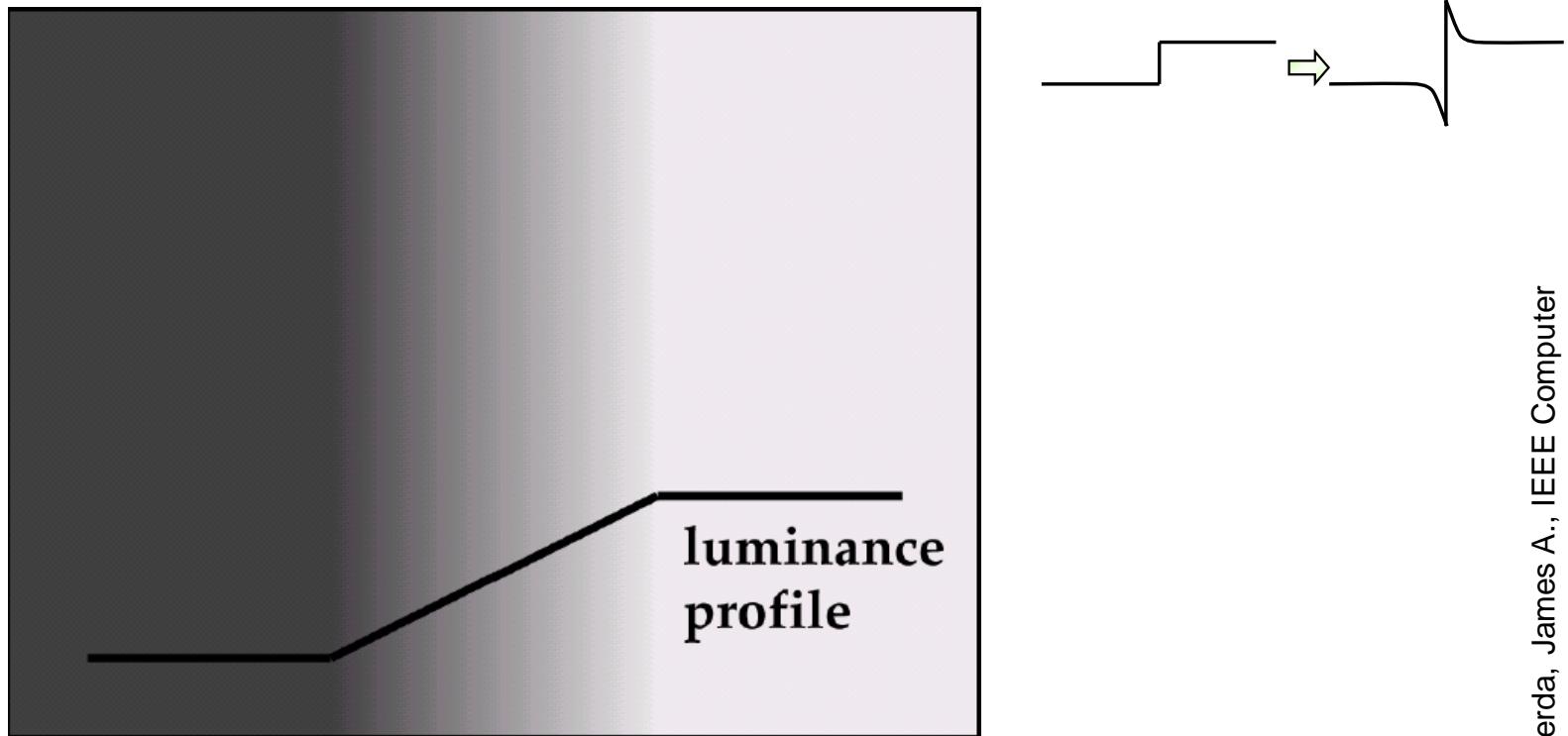


Receptive Fields – Contrast Enhancement



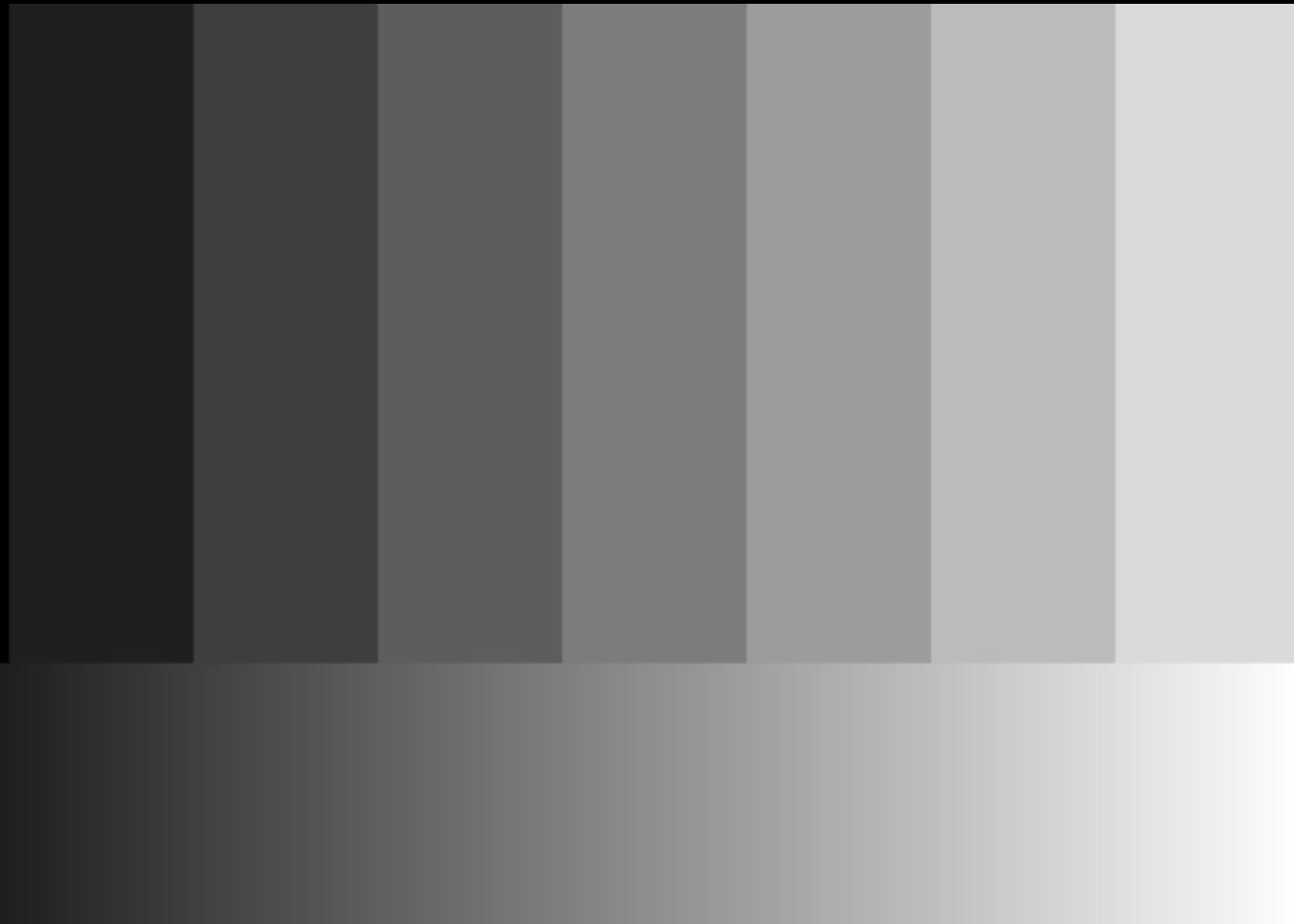
Receptive Fields

- Mach bands
- Edge recognition system increases luminance gradient



[Ferwerda, James A., IEEE Computer Graphics and Applications, 5, 2001, pp. 22]

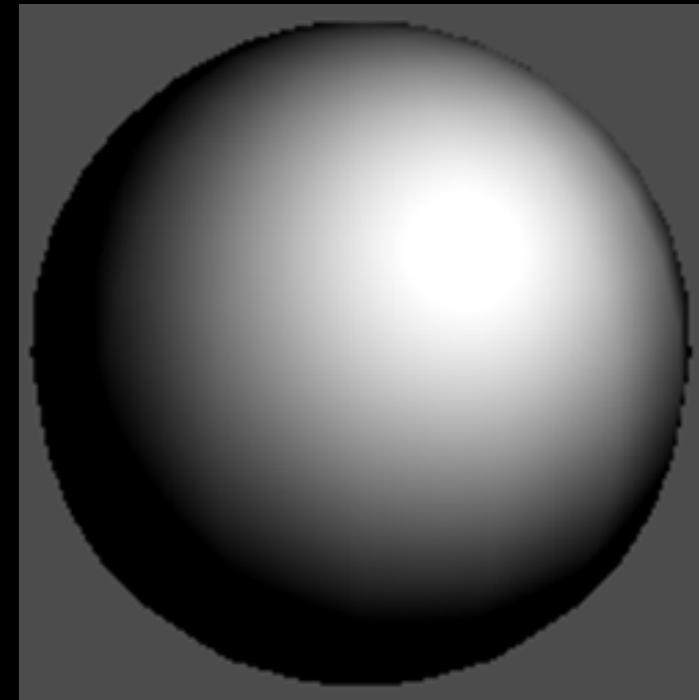
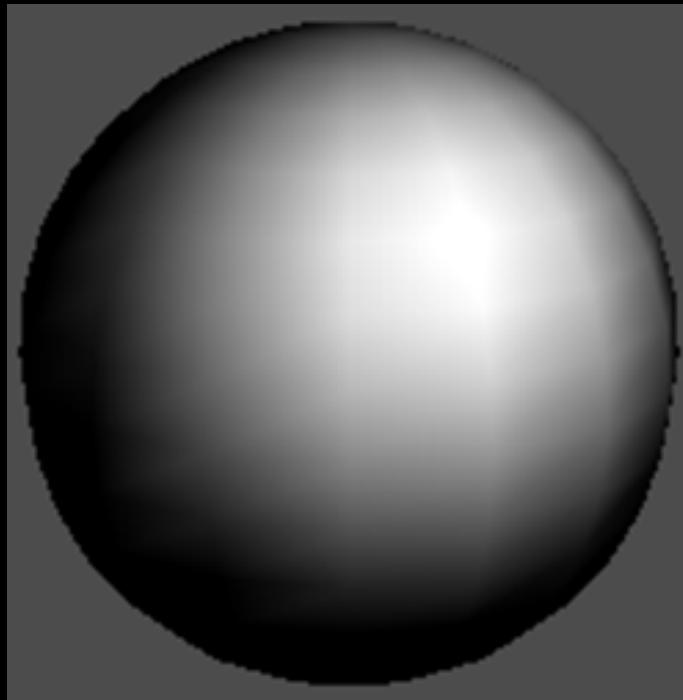
Mach Bands



Mach Bands

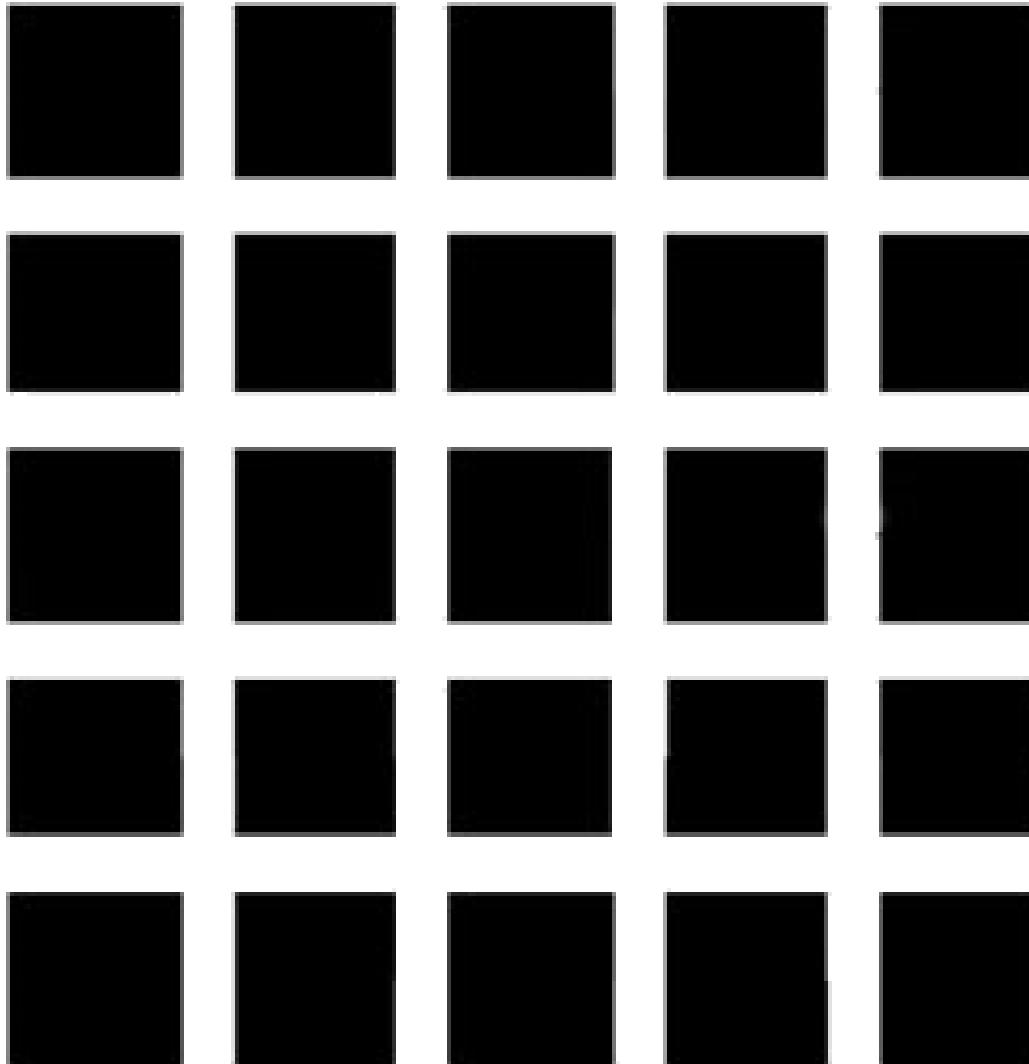


Mach Bands



Hermann Grid

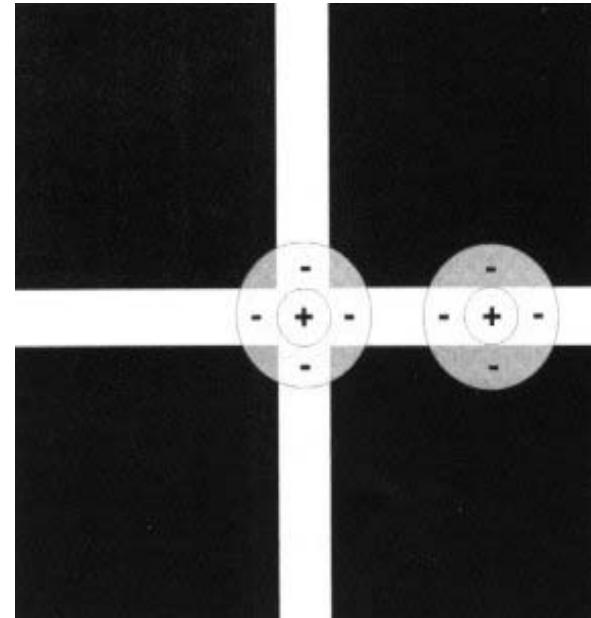
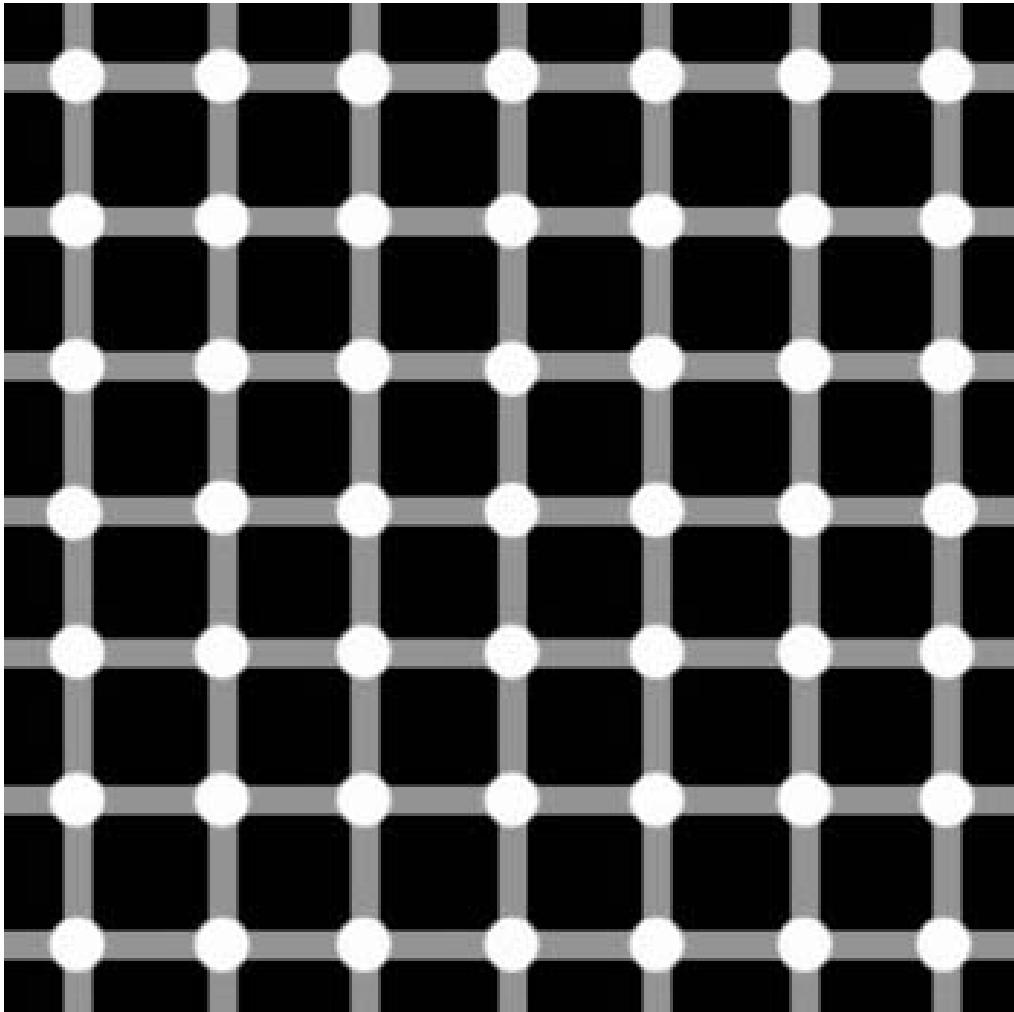
- Due to lateral inhibition



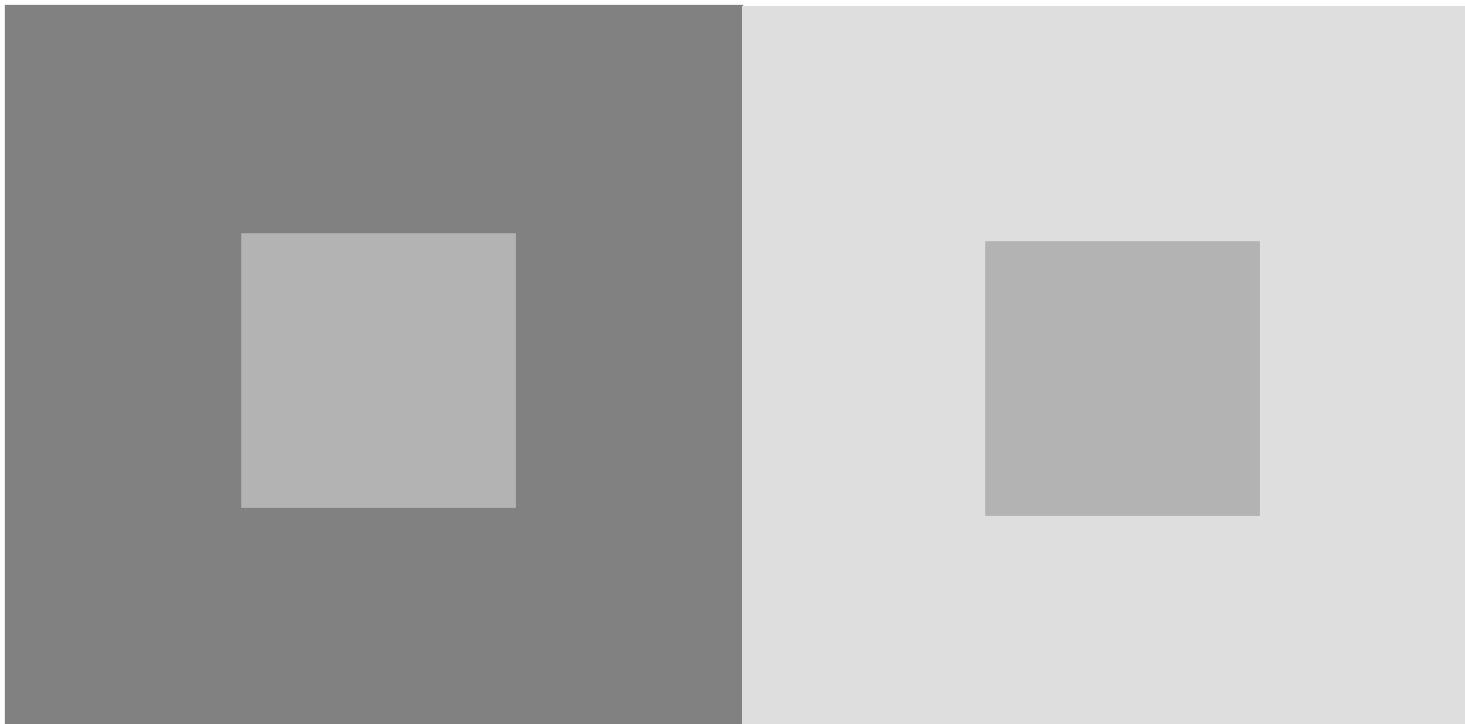
Hermann Grid

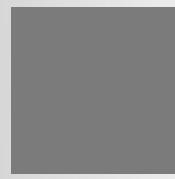
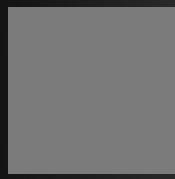
- Variant of Hermann grid

[http://www.physics.utoledo.edu/~lsa/_color/27_pattern.htm]



- Simultaneous contrast





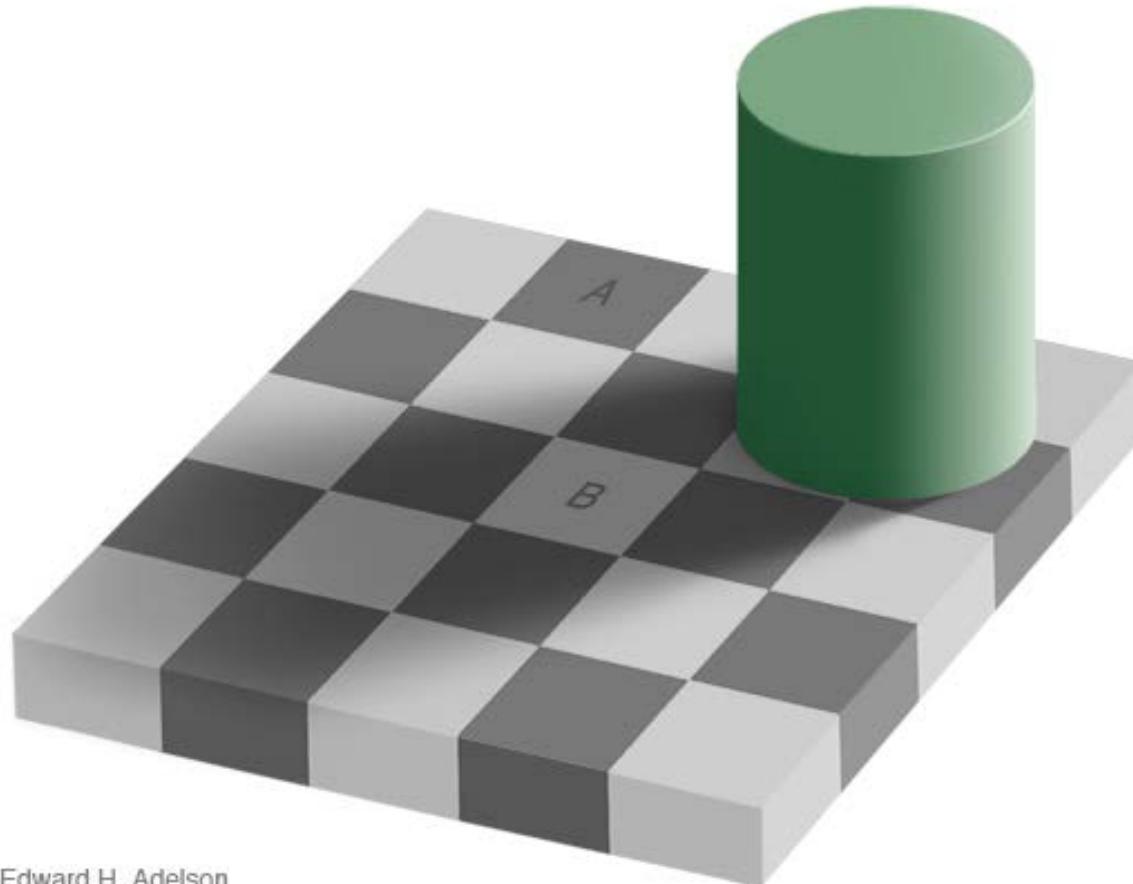


Perception



Perception

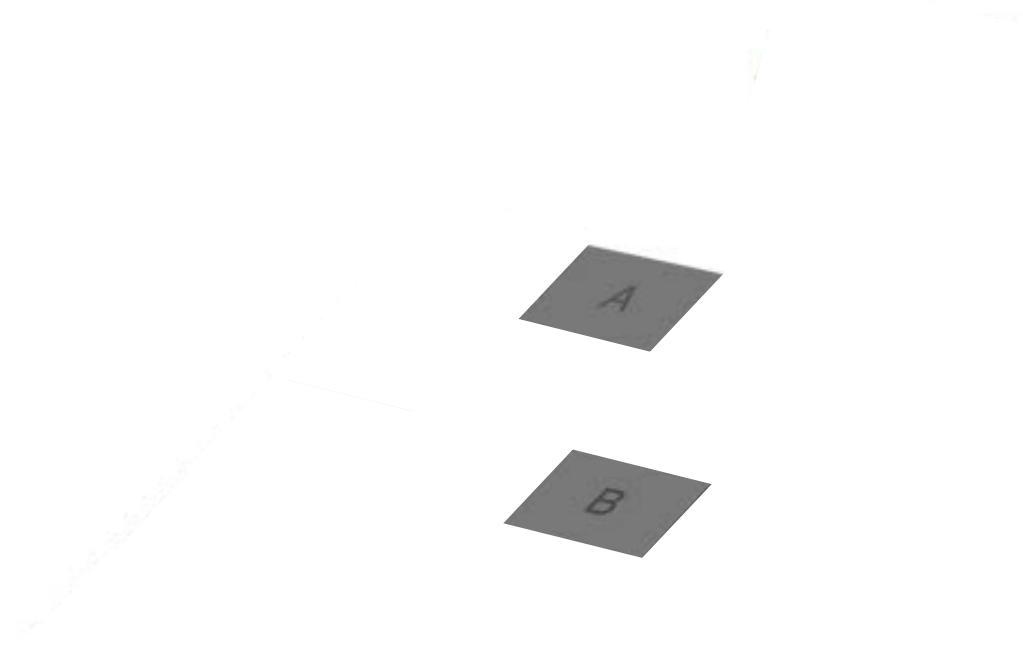
- Adelson's checkerboard



Edward H. Adelson

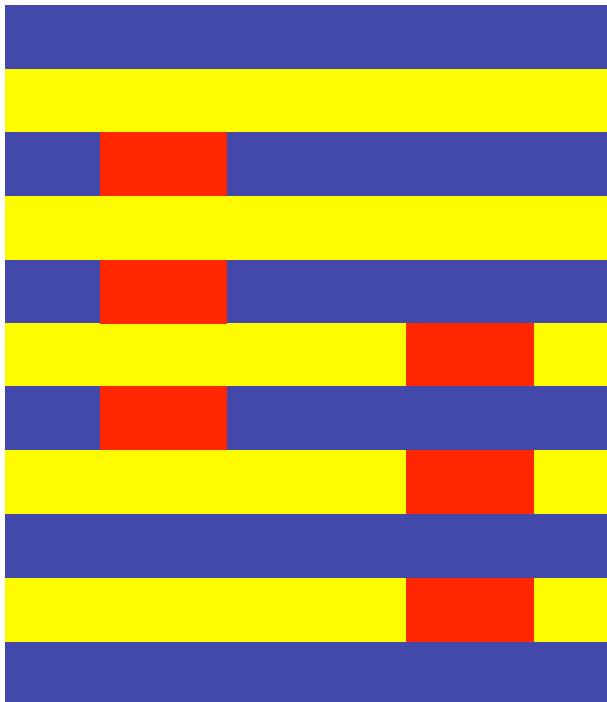
Perception

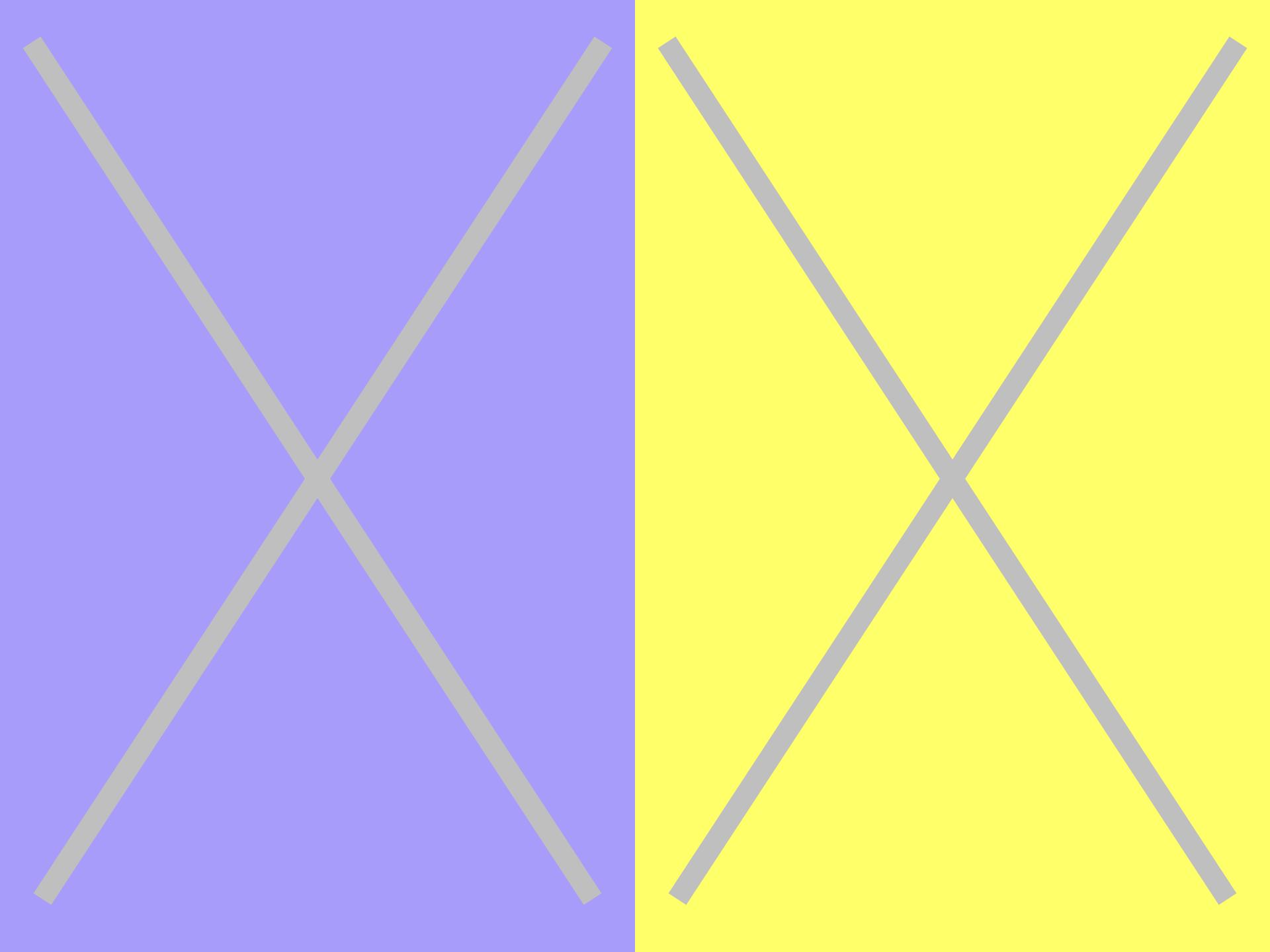
- Adelson's checkerboard:
A and *B* have identical gray value

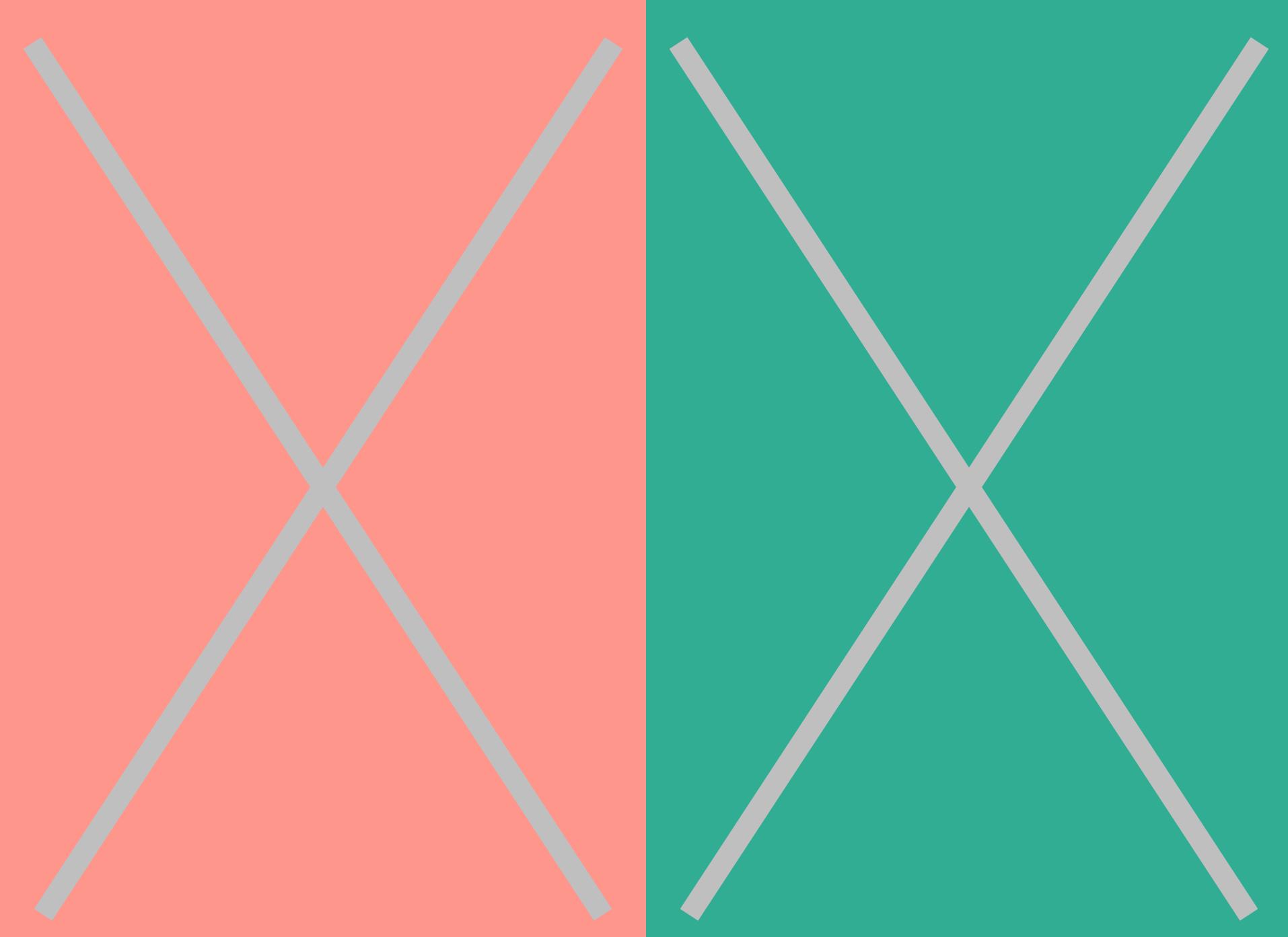


Edward H. Adelson

- Question: what color is [255,0,0]?
- Answer: **red**



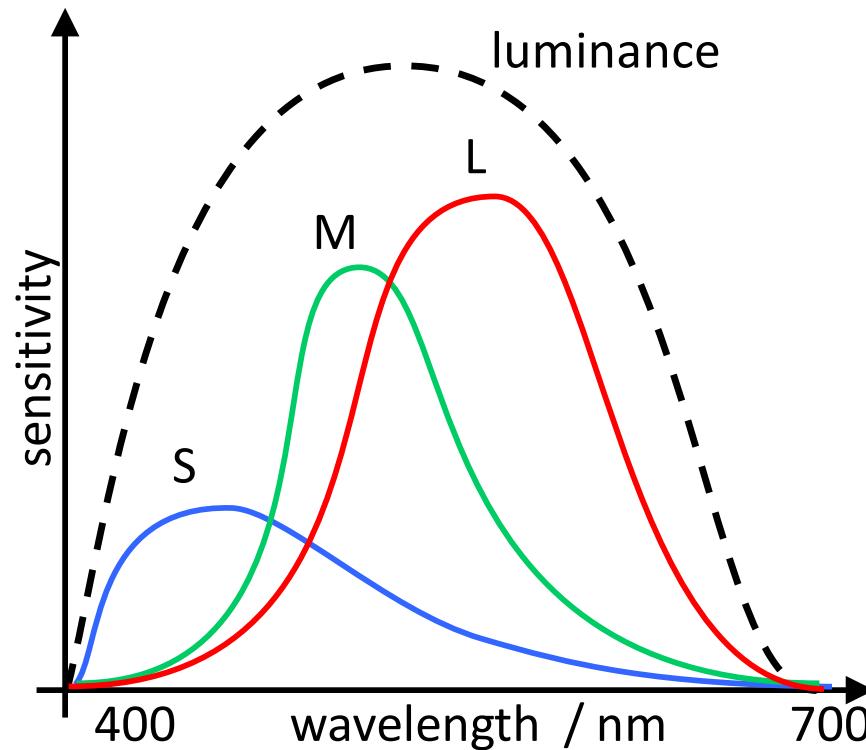




Trichromatic Color Vision

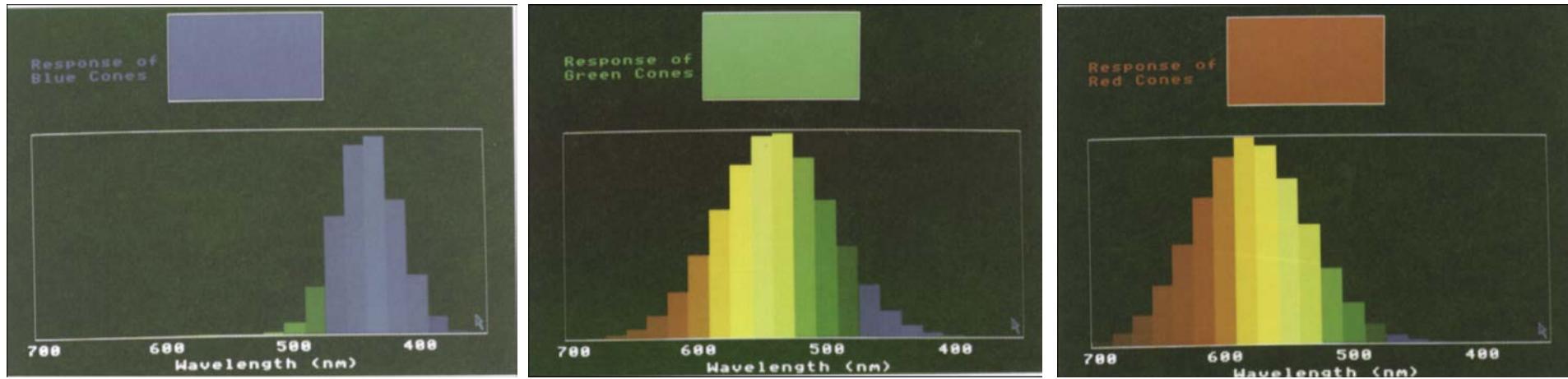
- Perceptual response to light of different wavelength:

$$s = \int S(\lambda)P(\lambda)d\lambda \quad m = \int M(\lambda)P(\lambda)d\lambda \quad l = \int L(\lambda)P(\lambda)d\lambda$$



Trichromatic Color Vision

- Response to S-receptor roughly corresponds to blue light
- M- and L-receptors cover larger wavelength ranges
 - I.e., not only “green” and “red”
 - Rather yellow-green and orange-red



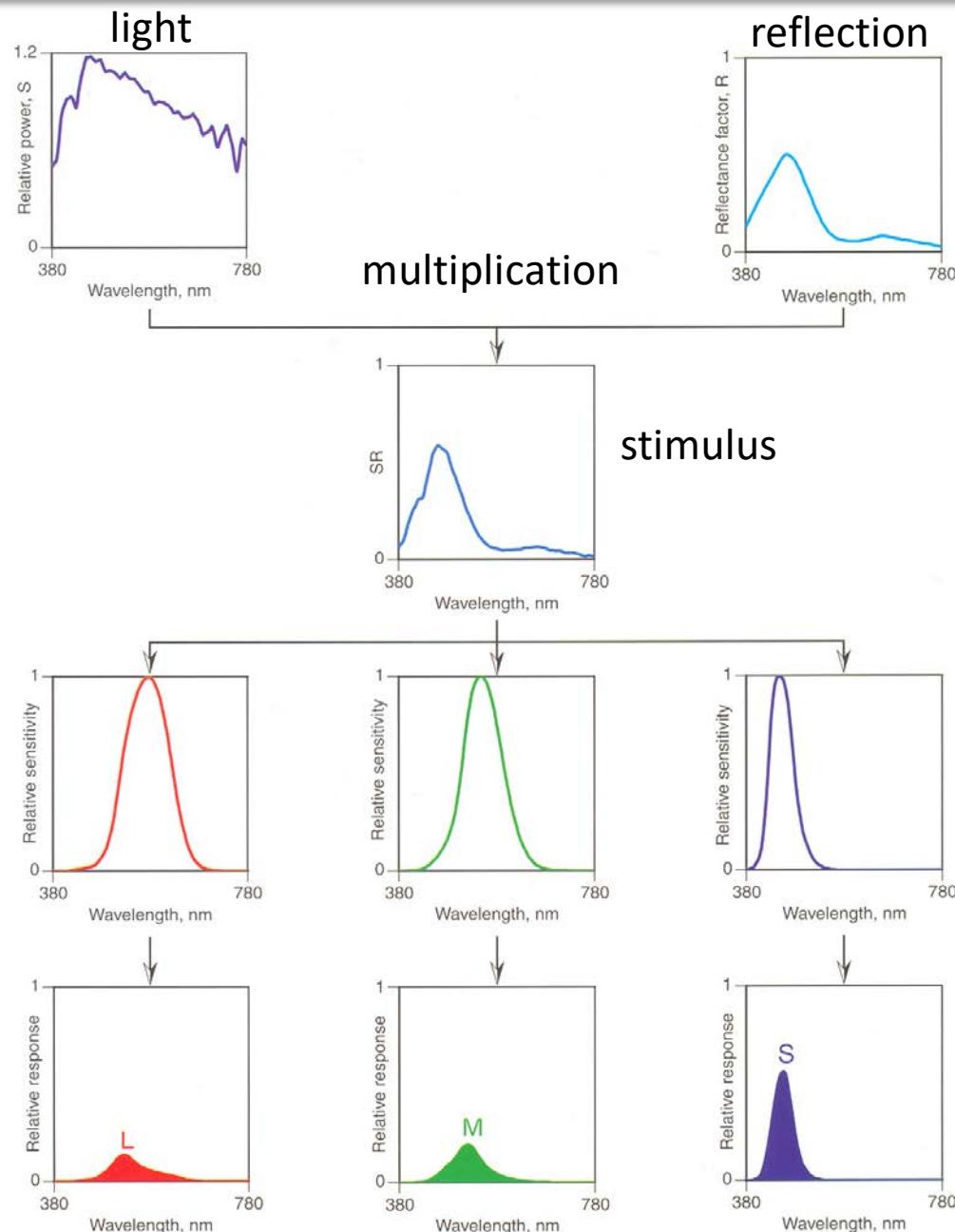
images: David Forsyth

Trichromatic Color Vision

sensitivity curves

multiplication of
wavelengths

integration

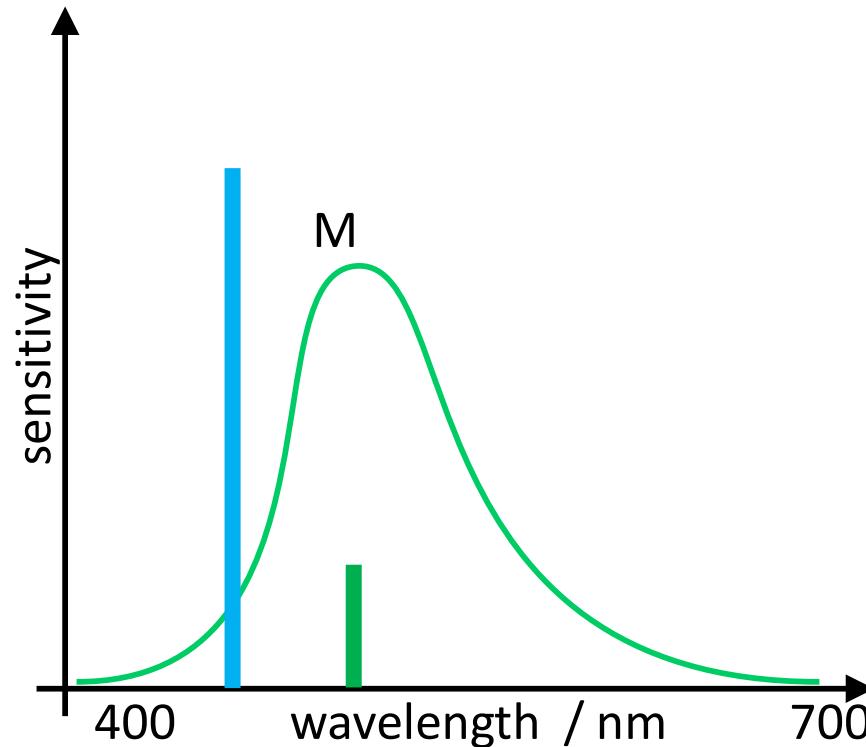


Trichromatic Color Vision

- Perceptual response to light of different wavelength:

$$s = \int S(\lambda)P(\lambda)d\lambda \quad m = \int M(\lambda)P(\lambda)d\lambda \quad l = \int L(\lambda)P(\lambda)d\lambda$$

- Different wavelength and intensity, but identical M-response

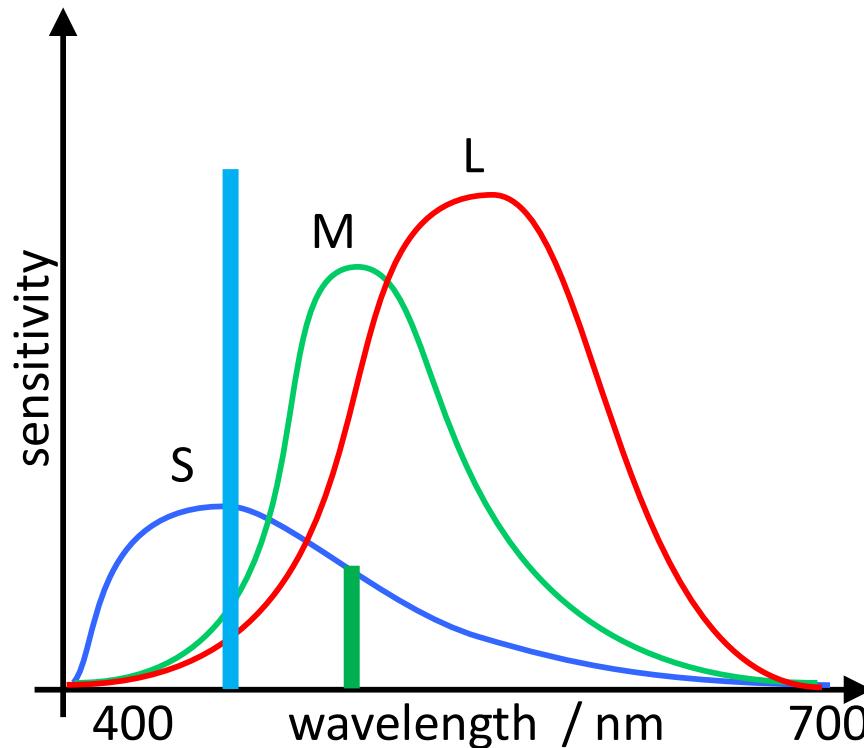


Trichromatic Color Vision

- Perceptual response to light of different wavelength:

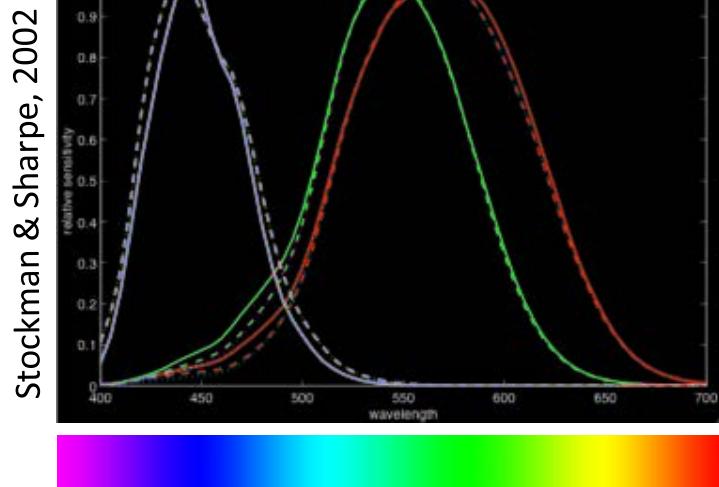
$$s = \int S(\lambda)P(\lambda)d\lambda \quad m = \int M(\lambda)P(\lambda)d\lambda \quad l = \int L(\lambda)P(\lambda)d\lambda$$

- Different wavelength and intensity, but different response w.r.t. different receptors!

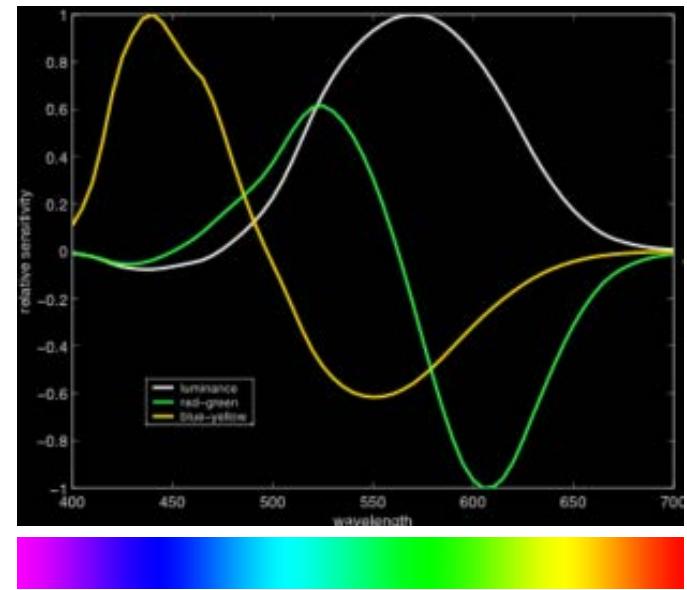


Trichromatic Color Vision

- Efficient encoding of L, M, S cone responses:
 - De-correlation of LMS cone responses in opponent basis functions



PCA



G. Buchsbaum and A. Gottschalk, Trichromacy, Opponent Colours Coding and Optimum Colour Information Transmission in the Retina. Proc. of the Royal Society of London. Series B, Biological Sciences, 1983.

Metamerism

- Perceptual response to light of different wavelength:
 $s = \int S(\lambda)P(\lambda)d\lambda$ $m = \int M(\lambda)P(\lambda)d\lambda$ $l = \int L(\lambda)P(\lambda)d\lambda$
- Different light spectra $P(\lambda)$ can cause identical response (s, m, l)!
- Metamerism: different spectra can look identically

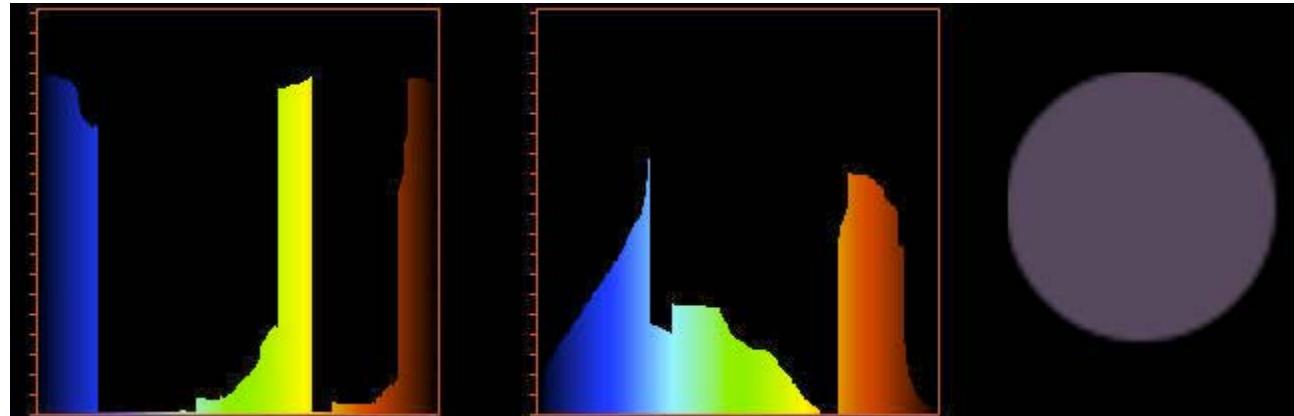
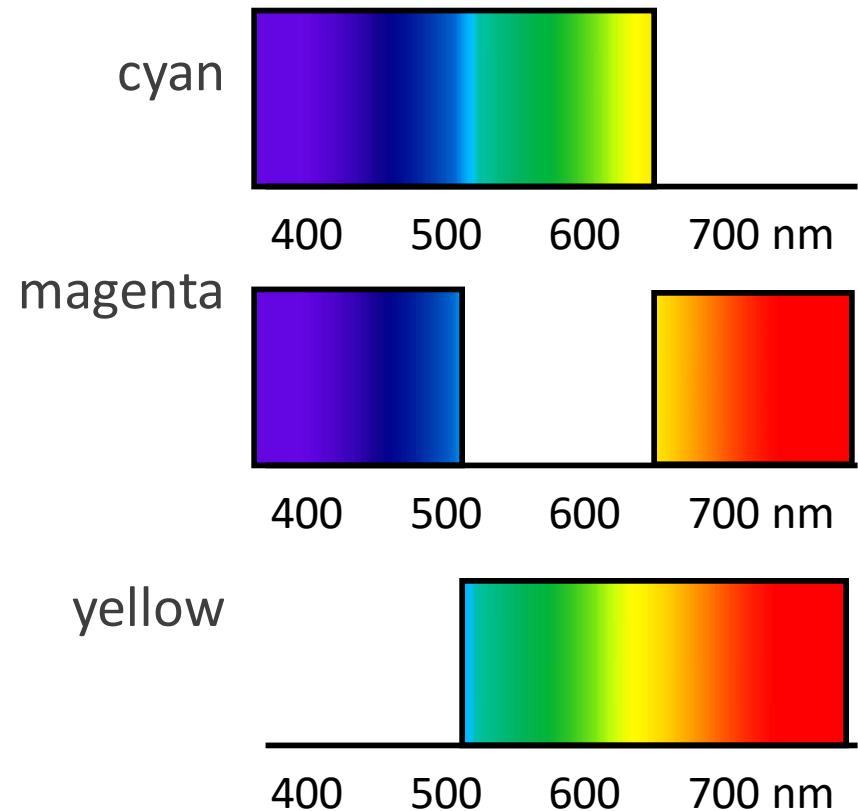
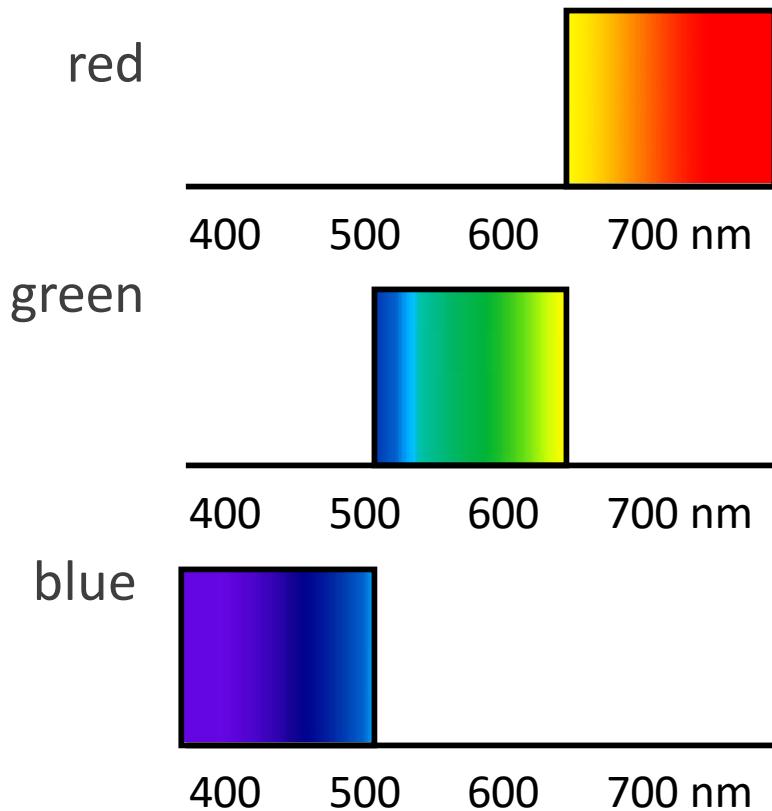


image: Hughes, Bell, Doppelt

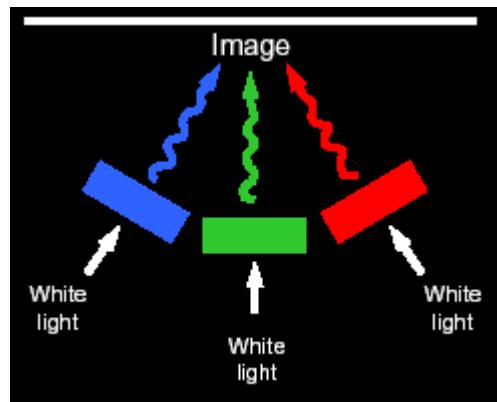
Additive and Subtractive Color Mixing

- Basic colors of
 - additive color mixing: red, green, and blue
 - subtractive color mixing: cyan, magenta, and yellow

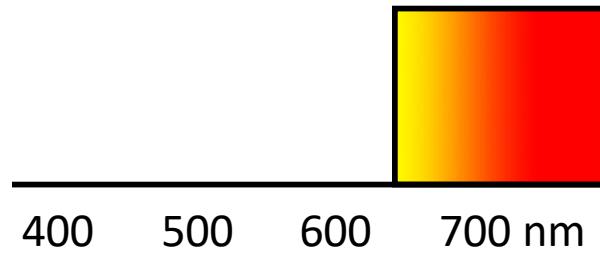


Additive Color Mixing

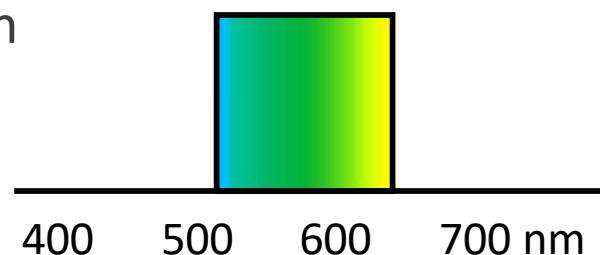
- Combination of colors by **addition of the spectra**
 - E.g. CRT monitors, multiple projectors on one screen



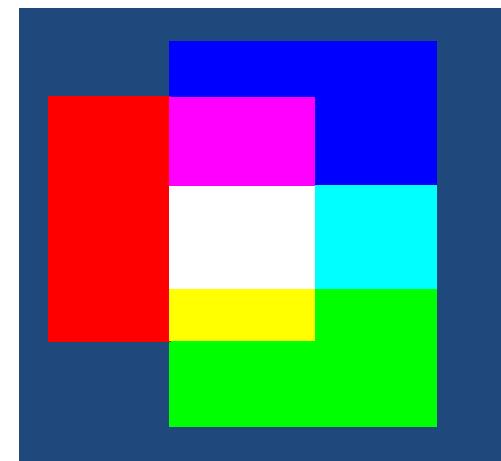
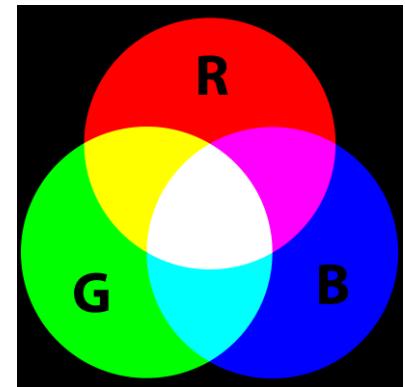
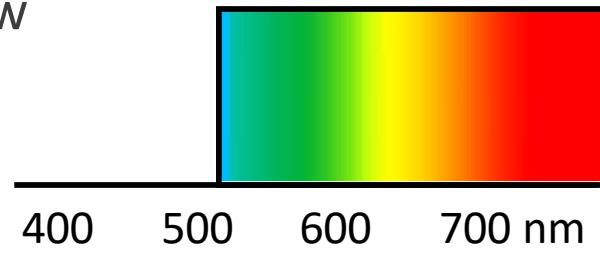
red



green

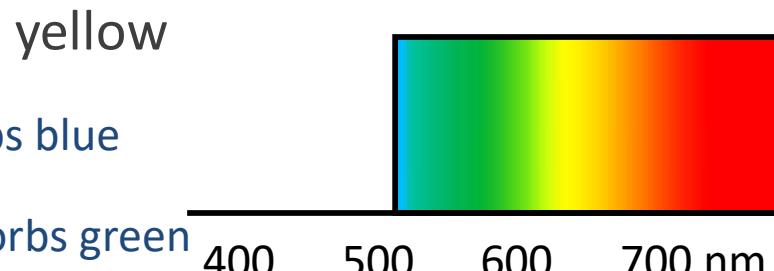
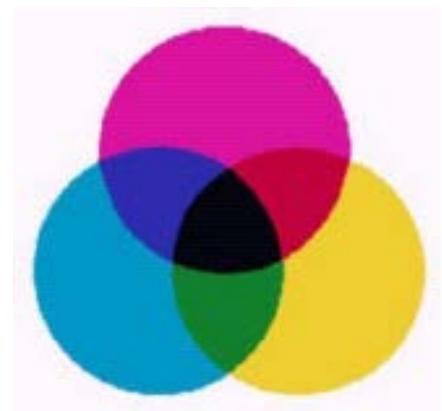
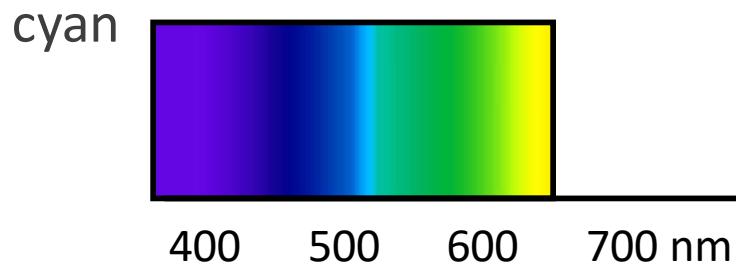


yellow

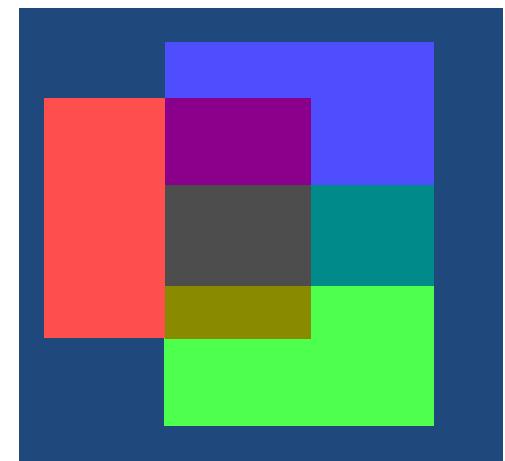
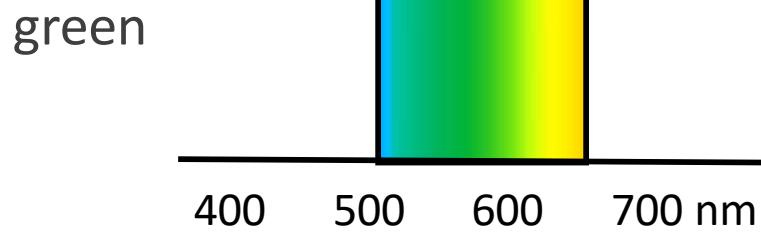
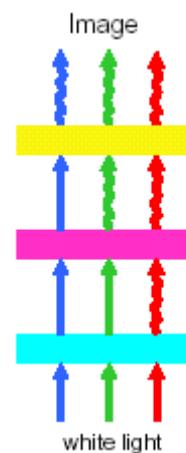


Subtractive Color Mixing

- Combination of colors by **multiplication of the spectra**
 - E.g. photographic film, color pens, color printer



Image



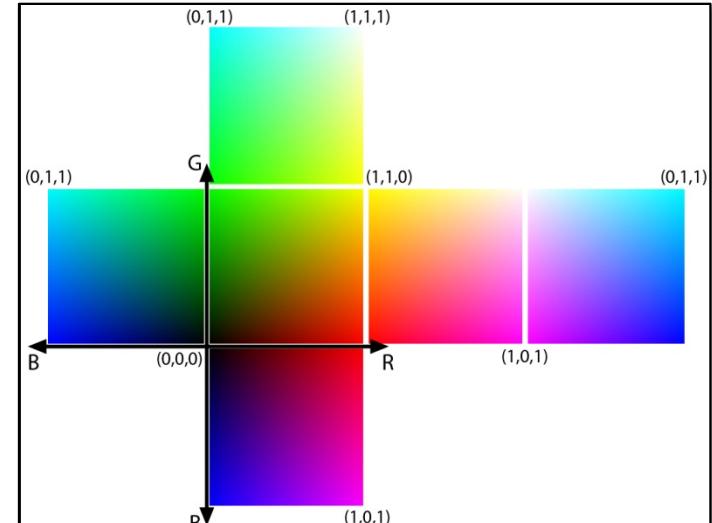
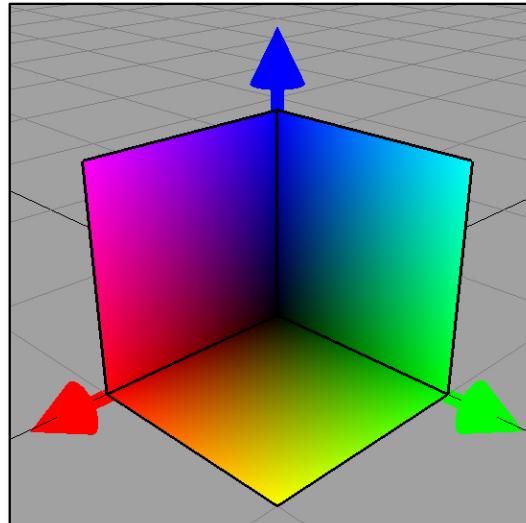
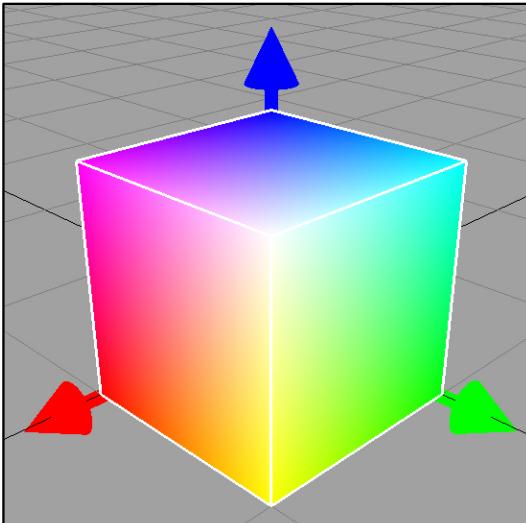
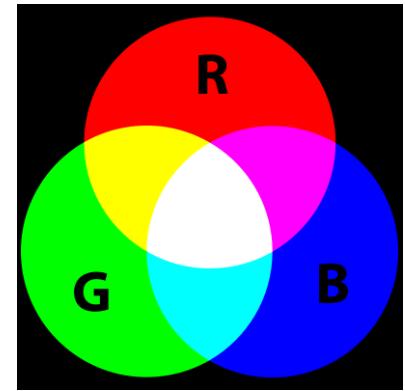
Grassmann's Law

After Hermann Günther Graßmann (1809–1877):

- Every color impression can be described by means of 3 basic quantities
 - Color is a 3-dimensional quantity
 - E.g., color hue, saturation, lightness, or red, green, blue, or ...
- The color hue of an additively mixed color depends only on the color impression of the original colors
 - I.e., conclusions about spectral composition not possible
- Intensity of an additively mixed color corresponds to sum of intensities of original colors

RGB Color Space

- Hardware-oriented color representation
 - 3 primary colors (red, green, blue) represent basis
 - Results in 3-dimensional color space:
 $\mathbf{C} = r\mathbf{R} + g\mathbf{G} + b\mathbf{B}$ with $(r, g, b) \in [0,1]^3$
 - The coefficients r, g, b are called **tristimulus values**
 - The definition of primary colors depends on respective RGB space
 - Additive color mixing suits well for monitors, projectors, ...
 - Luminance approximation: $Y = 0.3r + 0.59g + 0.11b$



Foreground–background

	Black	Black	Black	Black	Black	Black	Black	Black
White		White	White	White	White	White	White	White
Red	Red		Red	Red	Red	Red	Red	Red
Yellow	Yellow	Yellow		Yellow	Yellow	Yellow	Yellow	Yellow
Green	Green	Green	Green	Green	Green	Green	Green	Green
Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue	Blue

Rule of thumb:

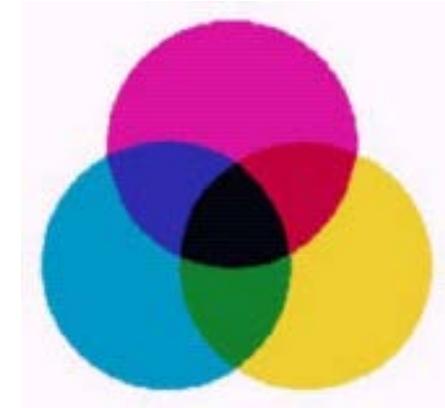
Difference of luminance foreground/background > 0.2

CMY(K) Color Space

- Subtractive color space (cyan, magenta, yellow)

- Dual space to RGB

$$\begin{matrix} C \\ M \\ Y \end{matrix} = \begin{matrix} 1 \\ 1 \\ 1 \end{matrix} - \begin{matrix} R \\ G \\ B \end{matrix}$$



- Each primary color absorbs part of the spectrum
 - Ink, dyes, pigments

- CMYK

- Printers typically use an additional 4. "key" color
 - Key (or black) is pure black
 - Practical reasons
 - No covering black
 - Saving of ink

$$\begin{aligned} K &= \min(C, M, Y) \quad \text{and} \\ C' &= C - K \\ Y' &= Y - K \\ M' &= M - K \end{aligned}$$

Color Models in Television

▪ YIQ Model (old US television NTSC)

- Y = luminance
- I, Q = color differences

$$\begin{pmatrix} Y \\ I \\ Q \end{pmatrix} = \begin{pmatrix} 0,3 & 0,59 & 0,11 \\ 0,6 & -0,28 & -0,32 \\ 0,21 & -0,52 & 0,3 \end{pmatrix} \cdot \begin{pmatrix} R \\ G \\ B \end{pmatrix}$$

▪ YUV Model (in European PAL system and newer NTSC)

$$U = 0,493 \cdot (B - Y)$$

$$V = 0,877 \cdot (R - Y)$$

$$\begin{pmatrix} I \\ Q \end{pmatrix} = \begin{pmatrix} -\sin 33^\circ & \cos 33^\circ \\ \cos 33^\circ & \sin 33^\circ \end{pmatrix} \cdot \begin{pmatrix} U \\ V \end{pmatrix}$$

chrominance components

▪ YCbCr Model

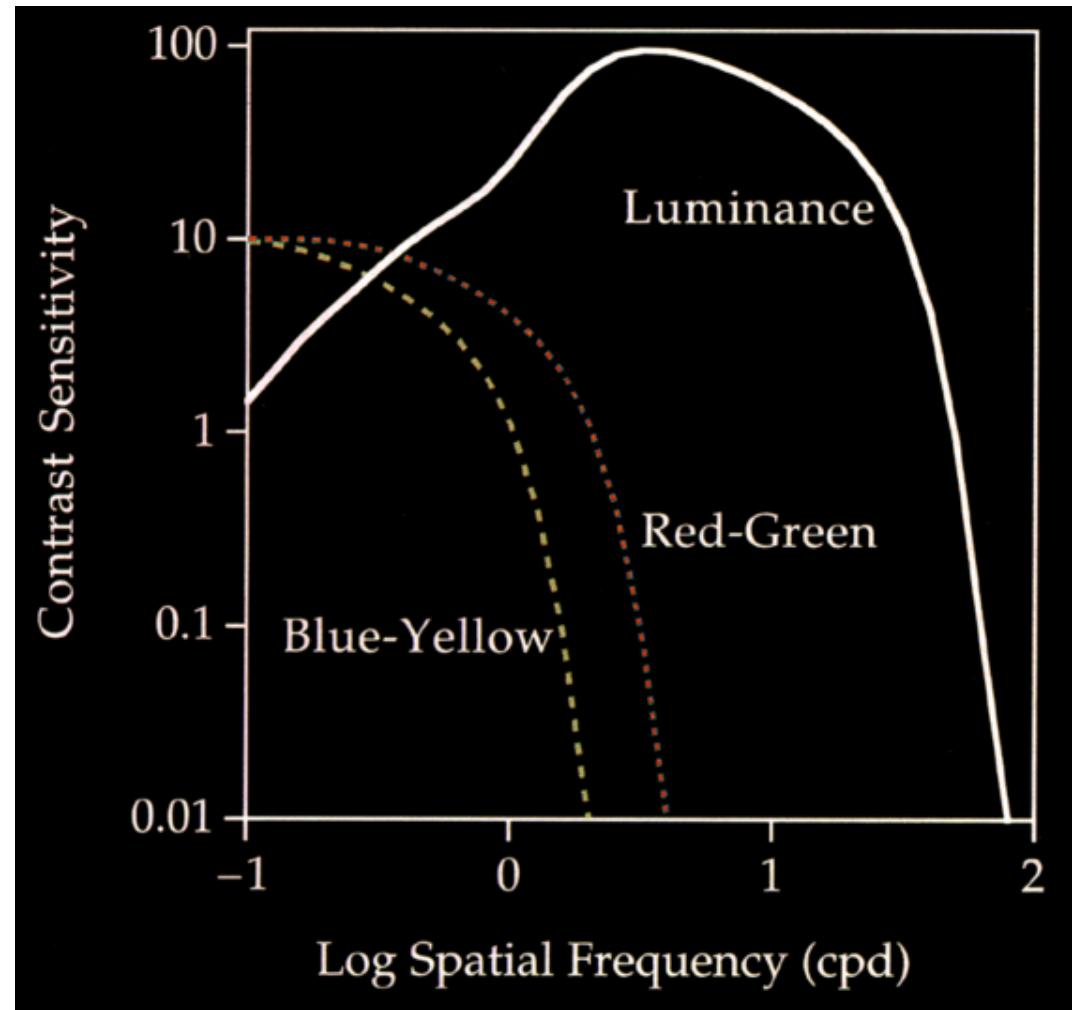
- Y = luma
- C_b = blue-yellow
- C_r = red-green

$$\begin{bmatrix} Y \\ C_b \\ C_r \end{bmatrix} = \begin{bmatrix} 0.2990 & 0.5870 & 0.1140 \\ -0.1687 & -0.3313 & 0.5000 \\ 0.5000 & -0.4187 & -0.0813 \end{bmatrix} \begin{bmatrix} R' \\ G' \\ B' \end{bmatrix}$$

- R', G', B' : *nonlinear* encoded sRGB values (sRGB: standard RGB space)

Contrast Sensitivity Luminance–Chrominance

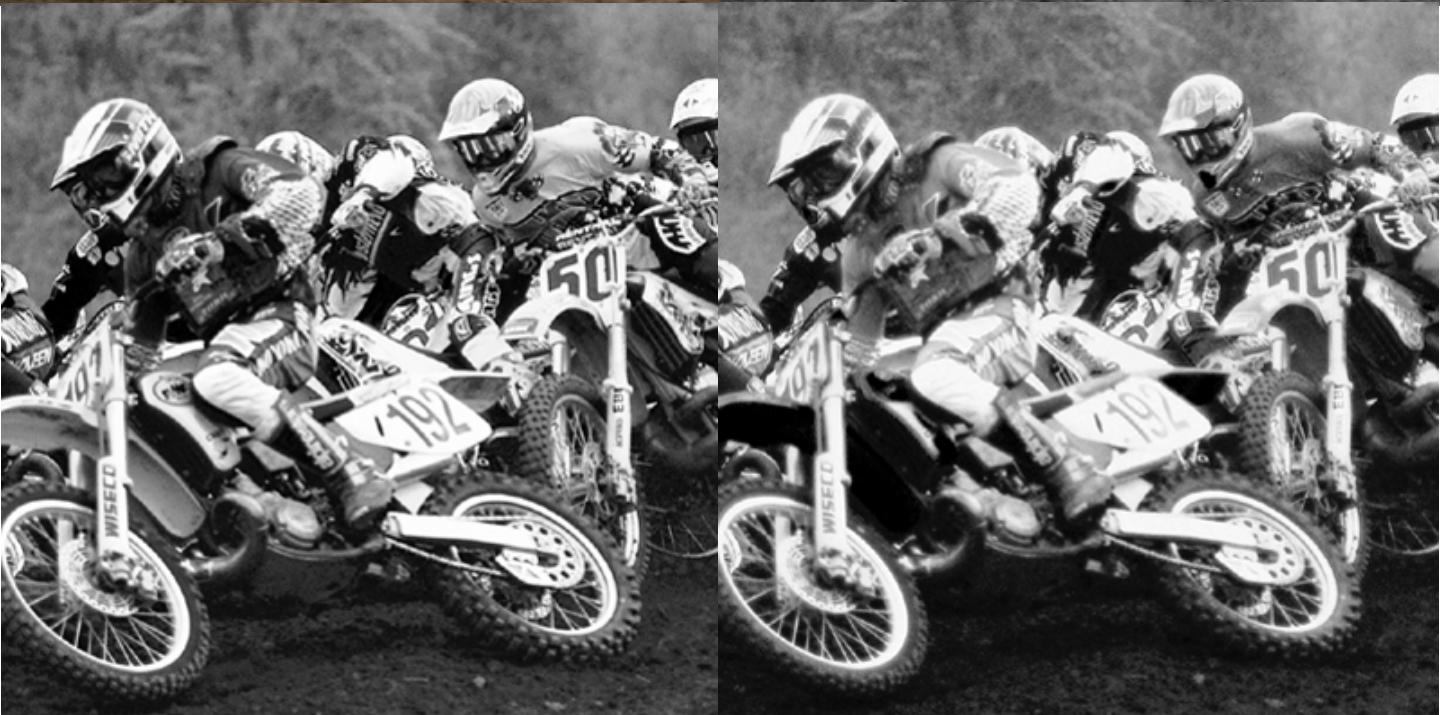
- Color differences contribute less to contrast than luminance!
- Application:
compression
- Examples
 - RBG vs. YCrCb channels
 - Compression via
 $64 \times$ subsampling
chrominance first,
then RGB



Images: Sabine Süsstrunk EPFL



red



green

blue



Y



Cr

Cb

Contrast Sensitivity Luminance–Chrominance



chrominance subsampled by 64

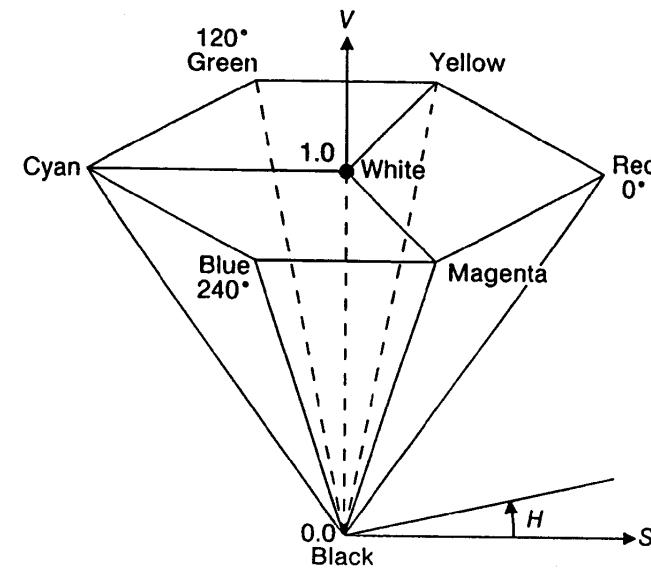
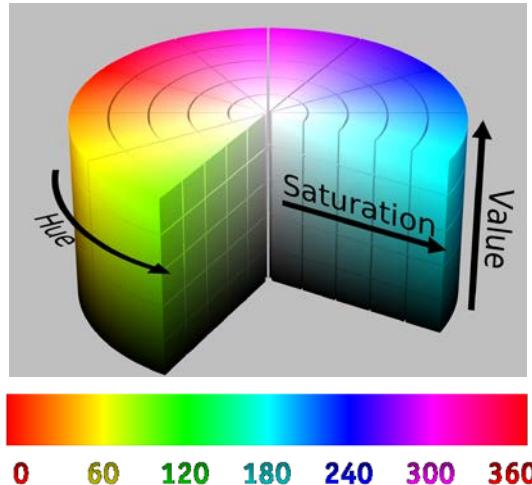
Contrast Sensitivity Luminance–Chrominance



RGB subsampled by 64

HSV Color Space

- Perceptually based color system
tone (hue), saturation, lightness (value)
 - Representation as a cylinder or (hexagonal) cone

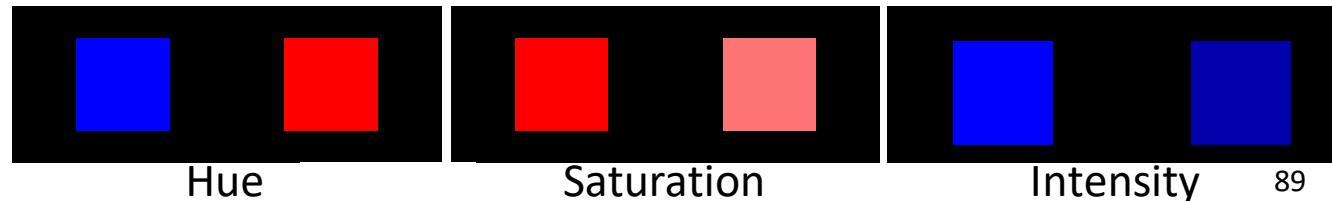


- Neither additive nor subtractive
- But: intuitive and thus often used in user interfaces
- Conversion RGB \leftrightarrow HSV

$$V = \max(r, g, b), S = (\max - \min)/\max,$$

$$H \in [0^\circ, 360^\circ]$$

(case analysis)



Color Model, Color Space, and Stimuli

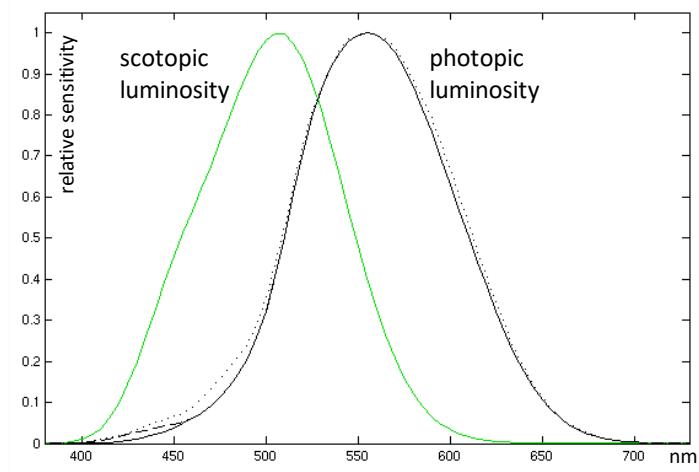
- A **color model** is a mathematical model to describe colors with value tuples
 - Typically 3-tuples (e.g., RGB), sometimes 4 (CMYK)
- A **color space** is the set of all colors that can be described with a *given model*
- The **tristimulus values** describe a color in a given color space (i.e., the values are meaningless if the color model and color space are not provided)

Photometric Quantities

- Photometry: quantities equivalent to radiometry
 - Weighted with brightness sensitivity curve (luminous efficiency function)
- CIE¹ photopic luminous efficiency function
 - E.g., radiant flux to luminous flux
$$\bar{y}(\lambda) = S(\lambda) + M(\lambda) + L(\lambda)$$
- Luminous flux in lumen [lm]
 - Analog to radiant flux

$$F = 683.02 \frac{\text{lm}}{\text{W}} \int \bar{y}(\lambda) P(\lambda) d\lambda$$

¹ Commission Internationale de l'Eclairage



Photometric Quantities

- *Luminous energy*

$$Q_v \quad \text{in lumen second (Talbot) [lm·s]}$$

- *Luminous flux*

$$\Phi_v = \frac{dQ_v}{dt} \quad \text{in lumen [lm=Talbot/s(=cd·sr)]}$$

- Illumination intensity: *illuminance*

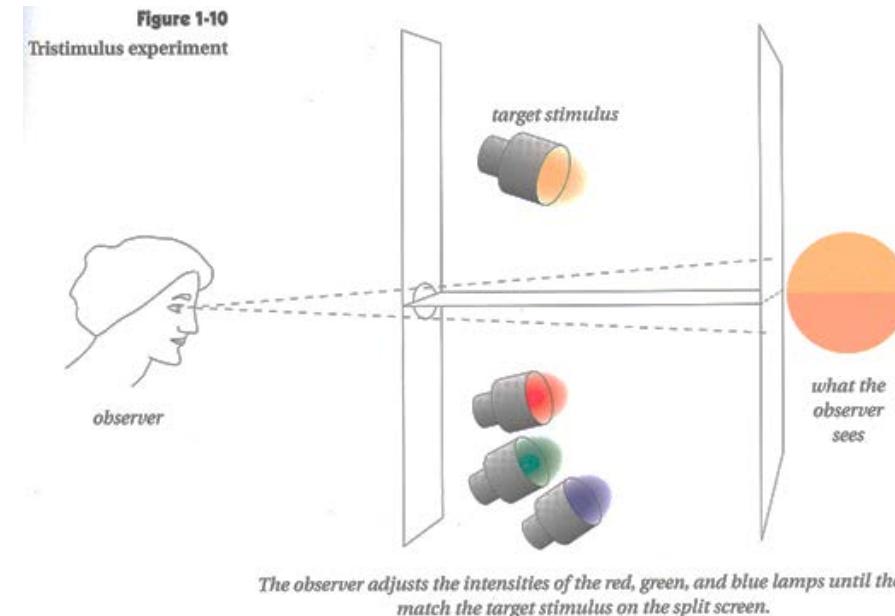
$$E_v = \frac{d\Phi_v}{dA} \quad \text{in lux [lux=lm/m^2]}$$

- Light density: *luminance*

$$L_v = \frac{d^2\Phi_v}{\cos\theta dA d\omega} \quad \text{luminous flux per solid angle and per projected unit area in [lm/(sr·m^2)]}$$

CIE Color Matching Functions

- Perceptual experiments
 - W. David Wright (1928) and John Guild (1931)
 - Two colors projected to screen
 - Reference color given
 - Comparison color by mixing of three primary colors
 - Participant adjusts intensities of primaries until perception match

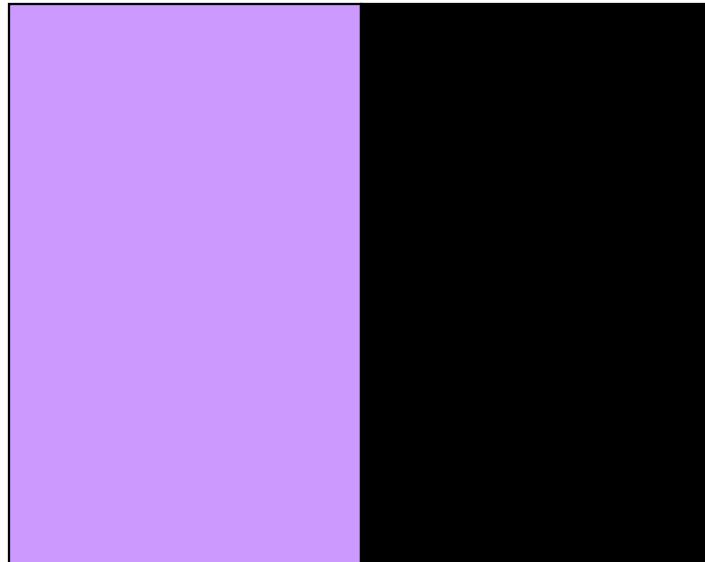


CIE Color Matching Functions

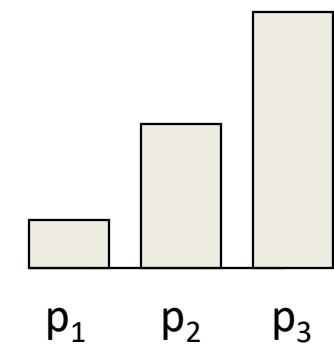
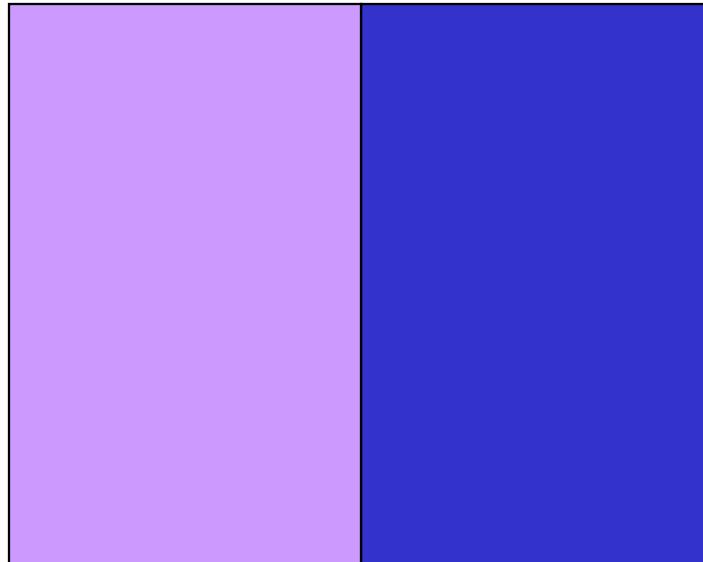
- Two colors projected on one screen
 - Reference color given
 - Comparison color by mixing of three primary colors
 - Participant adjusts intensities of primaries until perception match
- Not all colors could be reproduced!
 - In such cases, one of the primary colors was “added” to the test color
 - Color adjustment with the remaining primary colors
 - Primary color regarded as *negative* comparative value

Color Matching – Experiment 1

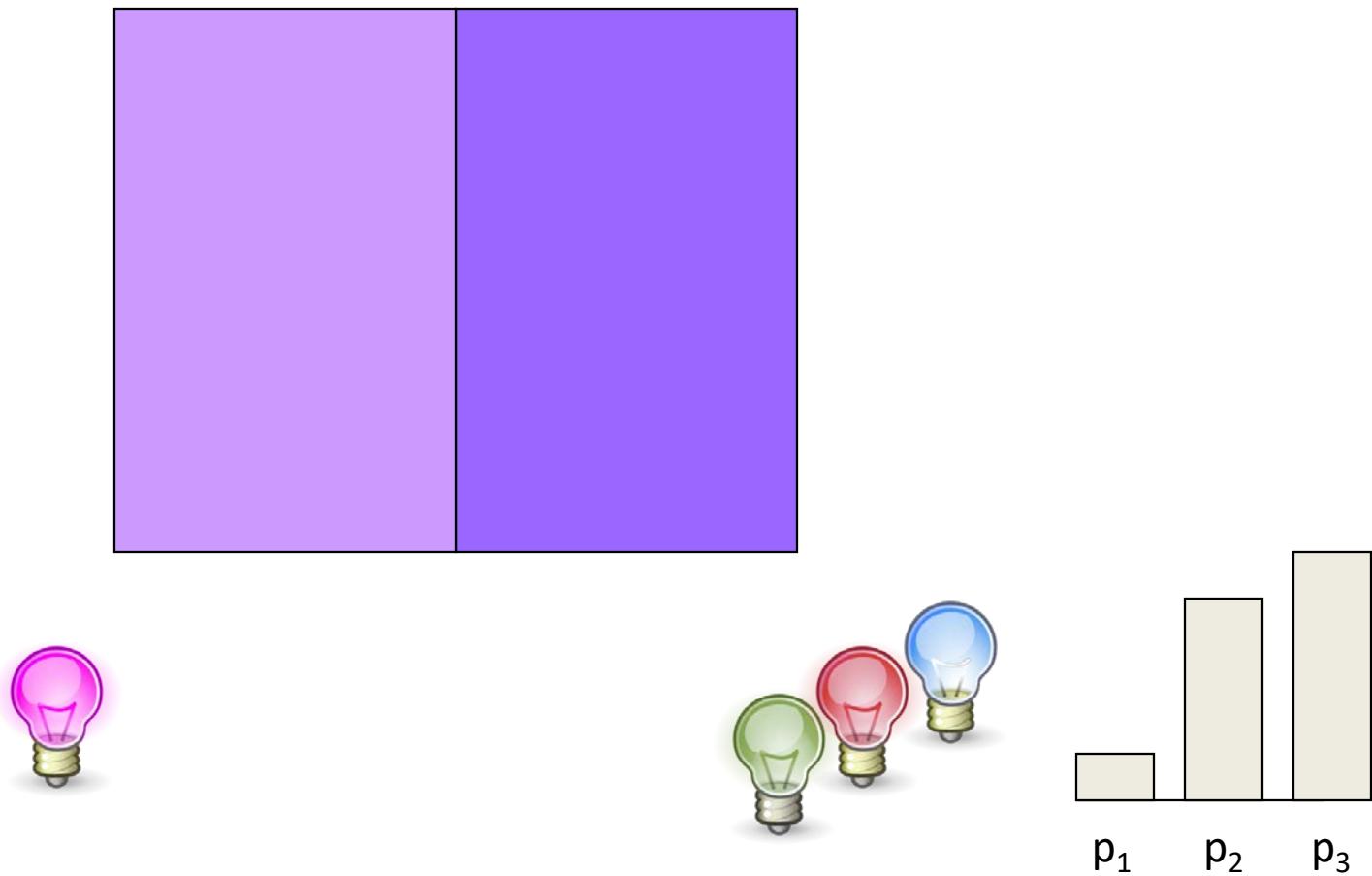
- Primary colors 435.8 nm (blue), 546.1 nm (green), 700 nm (red)



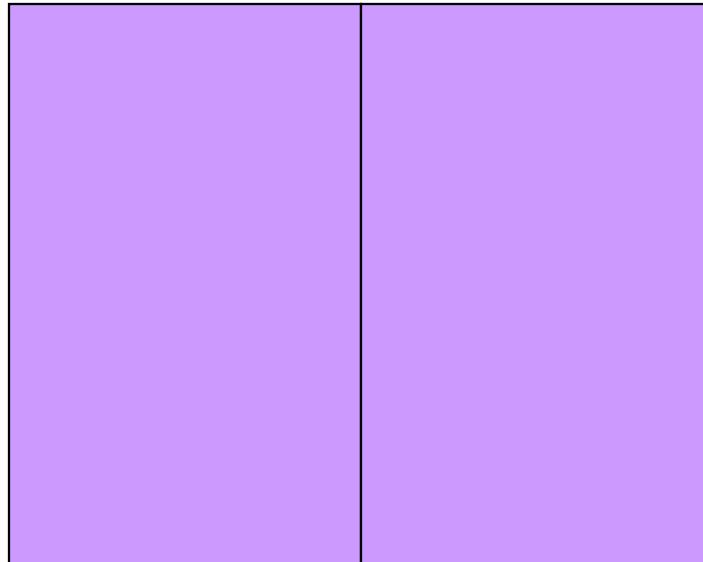
Color Matching – Experiment 1



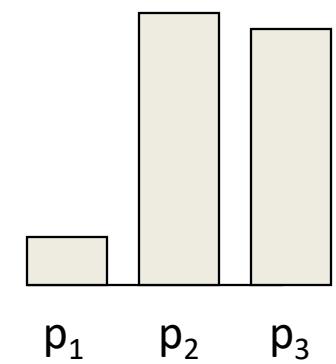
Color Matching – Experiment 1



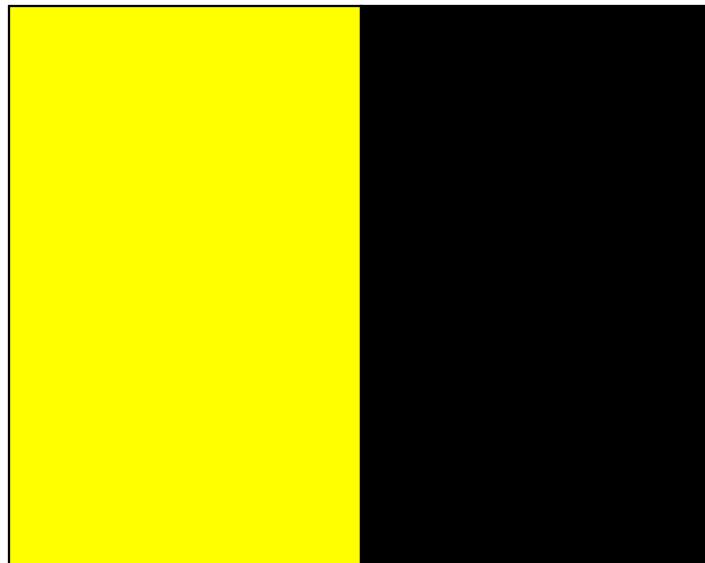
Color Matching – Experiment 1



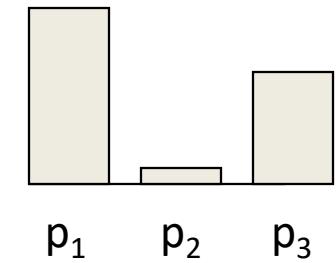
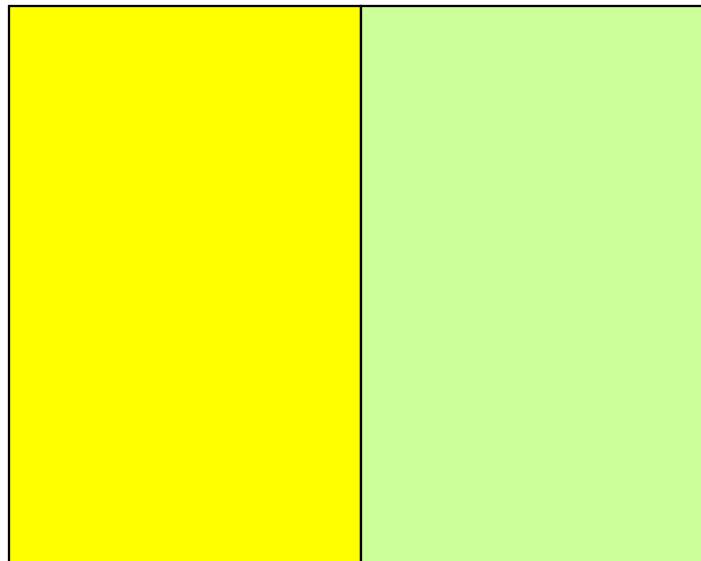
intensities of primary colors to reproduce reference color



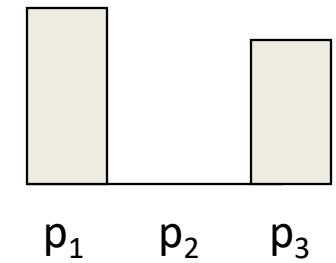
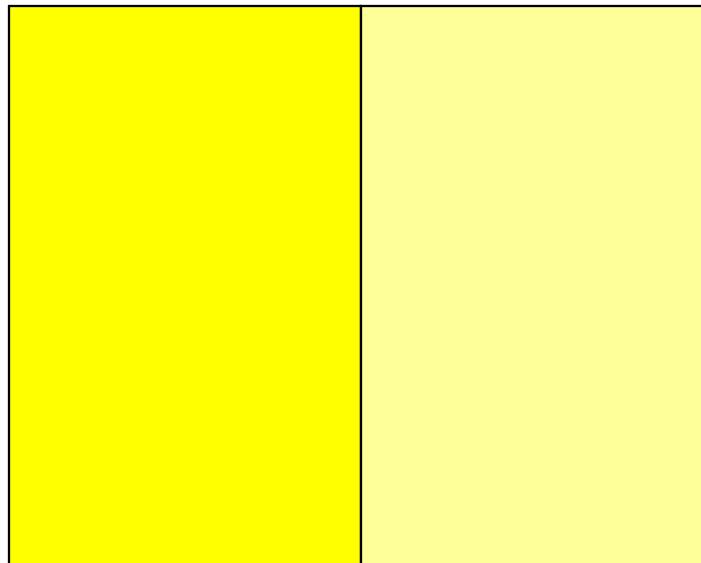
Color Matching – Experiment 2



Color Matching – Experiment 2

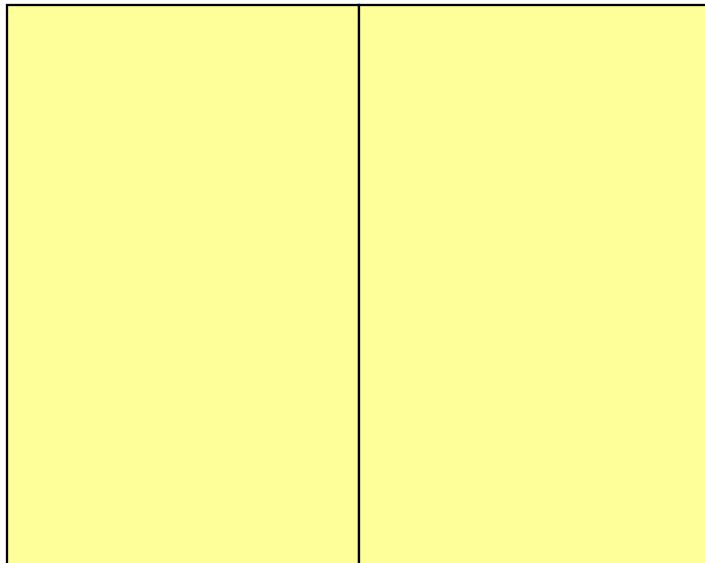


Color Matching – Experiment 2

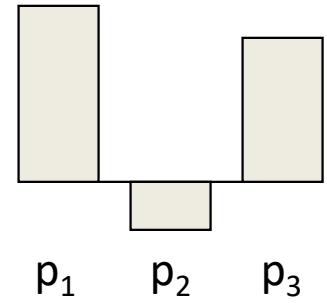


Color Matching – Experiment 2

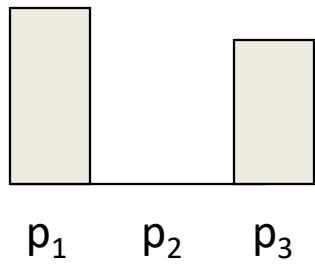
“negative” intensity
of p_2 was needed
(added to reference)



intensities of primary
colors to reproduce
reference color:



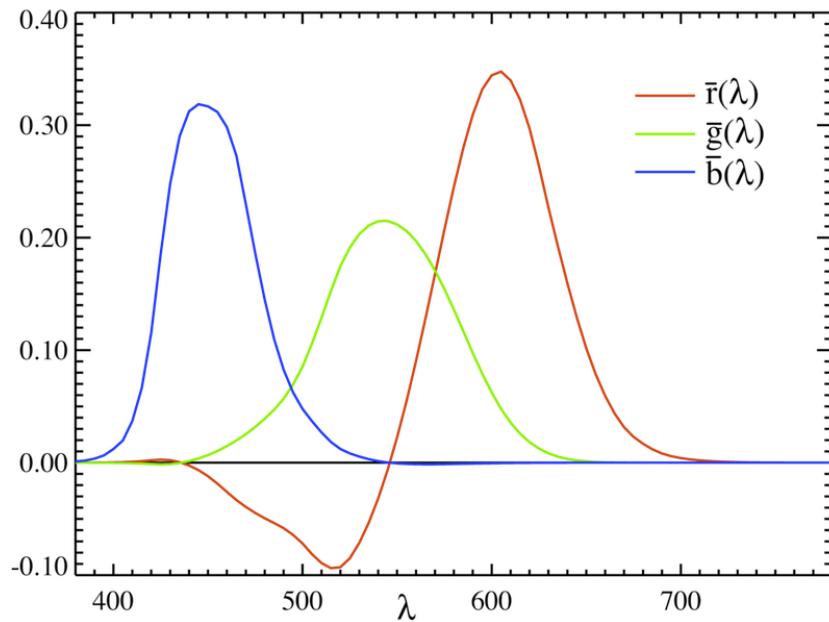
p_1 p_2 p_3



CIE Color Matching Functions

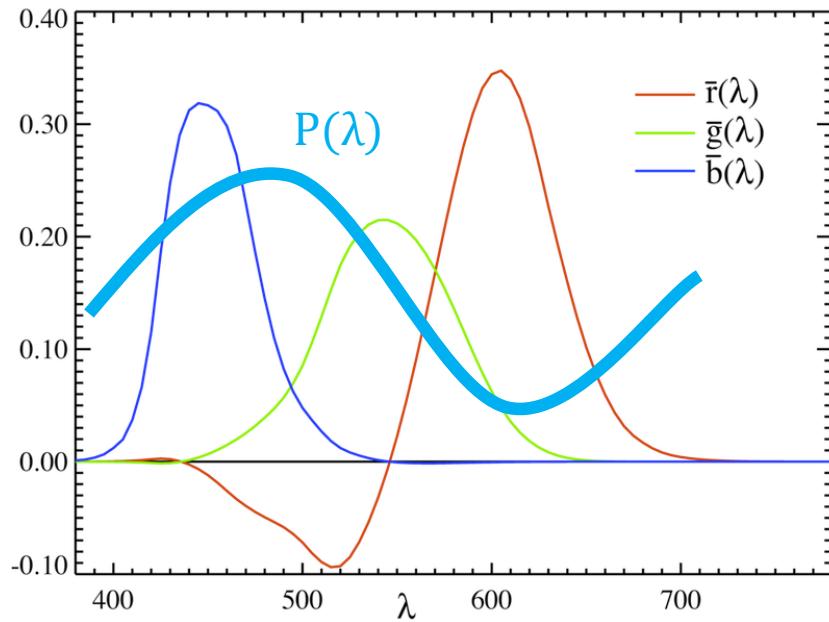
- By reproduction of the spectral colors with the RGB primary colors, one obtains the so-called **color matching functions**
- “How do I need to adjust the lamps to reproduce a color?”
- Tristimulus values for general spectra:

$$r = \int \bar{r}(\lambda)P(\lambda)d\lambda \quad g = \int \bar{g}(\lambda)P(\lambda)d\lambda \quad b = \int \bar{b}(\lambda)P(\lambda)d\lambda$$



Color Matching – Meaning

- Given a spectrum $P(\lambda)$
- Compute response to 3 sensitivity curves (tristimulus values)
- Choose intensity of primary colors (435.8 nm, 546.1 nm, 700 nm) according to the 3 responses
- One obtains a metamer color
- But: responses can be negative



CIE Color Matching Functions

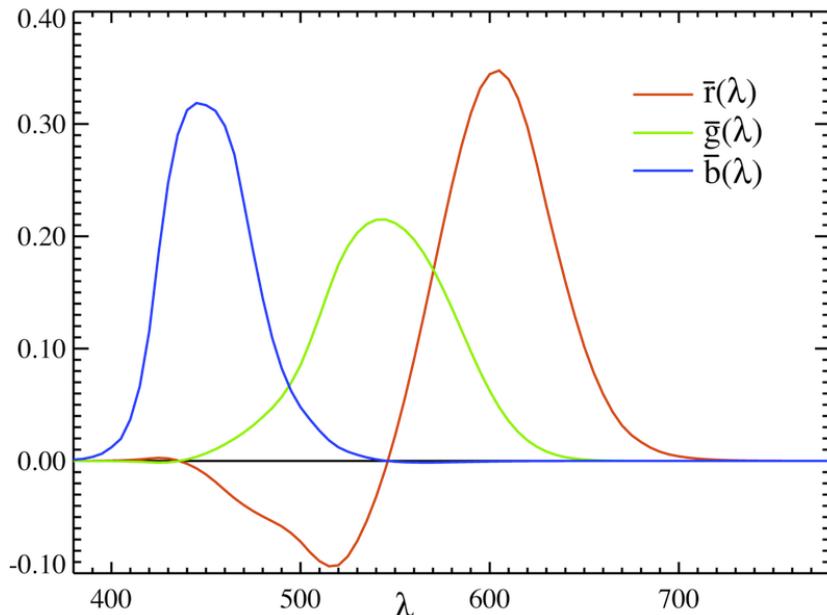
- By reproduction of the spectral colors with the RGB primary colors, one obtains the so-called **color matching functions**

- Tristimulus values:

$$r = \int \bar{r}(\lambda) P(\lambda) d\lambda \quad g = \int \bar{g}(\lambda) P(\lambda) d\lambda \quad b = \int \bar{b}(\lambda) P(\lambda) d\lambda$$

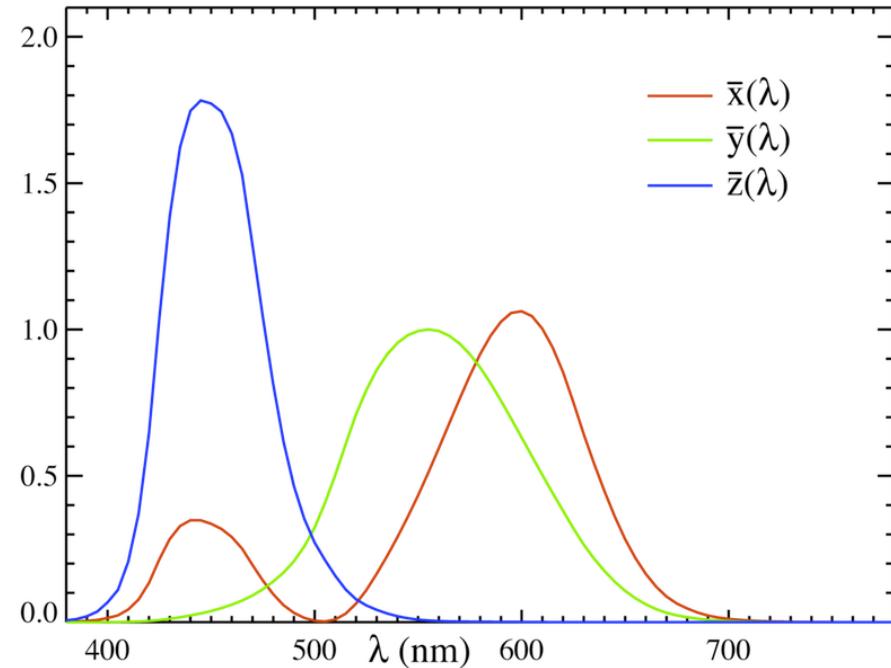
- Problems:

- Negative comparative values
- Some spectral colors **cannot be realized** by combination of 3 primaries!
- RGB is not a perfect color space—but technically feasible!



XYZ Color Space (CIE 1931)

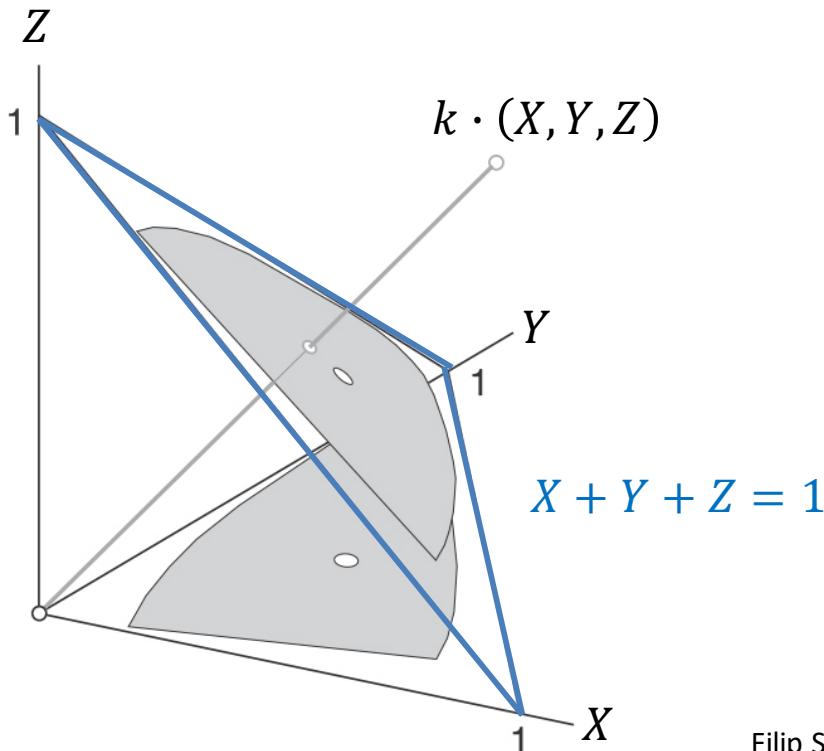
- Goal:
 - Nonnegative color matching functions
 - Description of all perceivable colors
- Imaginary oversaturated primary colors (not physically realizable)
- Y -component chosen such that it corresponds to luminance $\bar{y}(\lambda)$
 - $X = \int \bar{x}(\lambda)P(\lambda)d\lambda$
 - $Y = \int \bar{y}(\lambda)P(\lambda)d\lambda$
 - $Z = \int \bar{z}(\lambda)P(\lambda)d\lambda$
- XYZ are the tristimulus values for the “CIE standard observer”
- CIE 1931 addresses fovea (2°)
- CIE 1964 covers 10° (excluding 2°)



Chromaticity (CIE xyY Color Space)

Representation of all visible colors

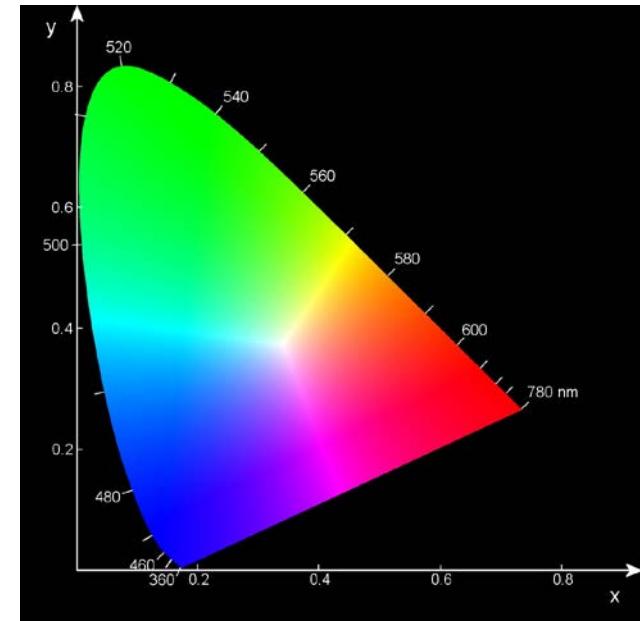
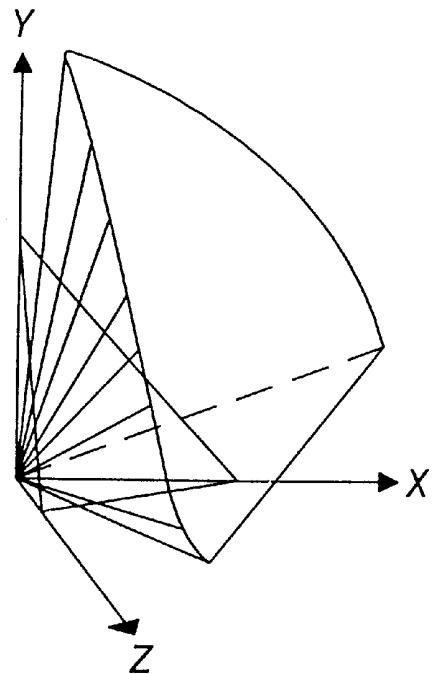
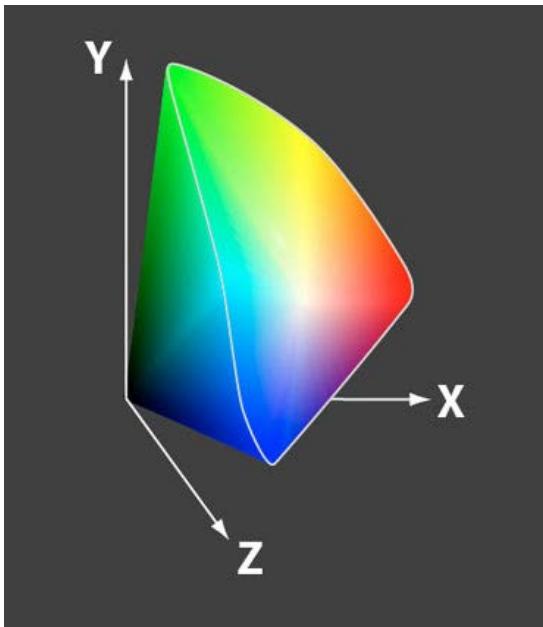
- Observation: all $k \cdot (X, Y, Z)$ ($k > 0$) represent same color, only intensity varies
 - Normalization onto $X + Y + Z = 1$ plane
- Subsequent projection onto the XY-plane (omit z)
- xy -diagram still contains all colors



$$x = \frac{X}{X + Y + Z}$$
$$y = \frac{Y}{X + Y + Z}$$
$$z = \frac{Z}{X + Y + Z} = 1 - x - y$$

Chromaticity (CIE xyY Color Space)

- Split information into brightness Y and color (chromaticity) xy
- Normalize $x = \frac{X}{X+Y+Z}$, $y = \frac{Y}{X+Y+Z}$, and $z = \frac{Z}{X+Y+Z} = 1 - x - y$
- If a color is given by x, y , one needs to additionally provide brightness Y
→ Tristimulus values can be determined from CIE xyY
 - $X = \frac{Y}{y}x$ and $Z = \frac{Y}{y}(1 - x - y)$

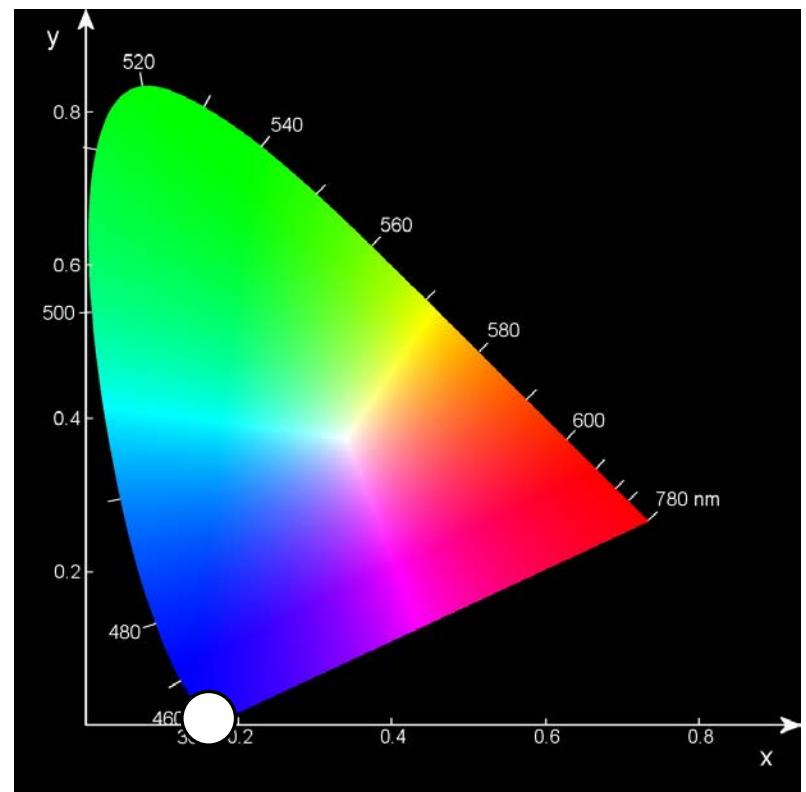
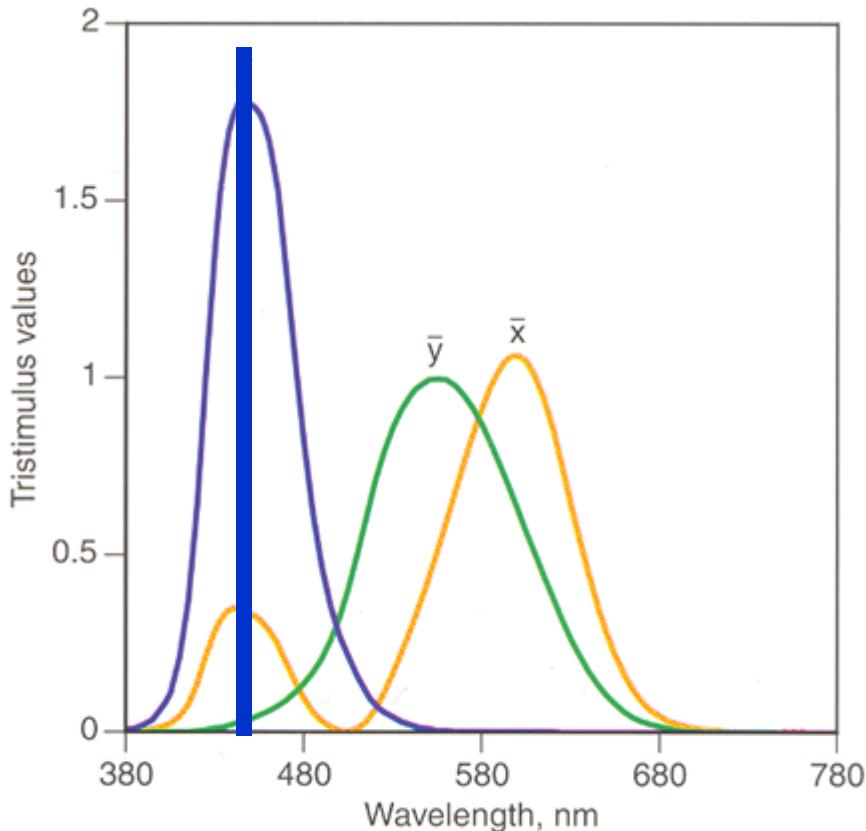


chromaticity values only depend
on wavelength and saturation ₁₀₈

Spectral Colors in Chromaticity Diagram

- Large Z -value $\rightarrow x$ and y small

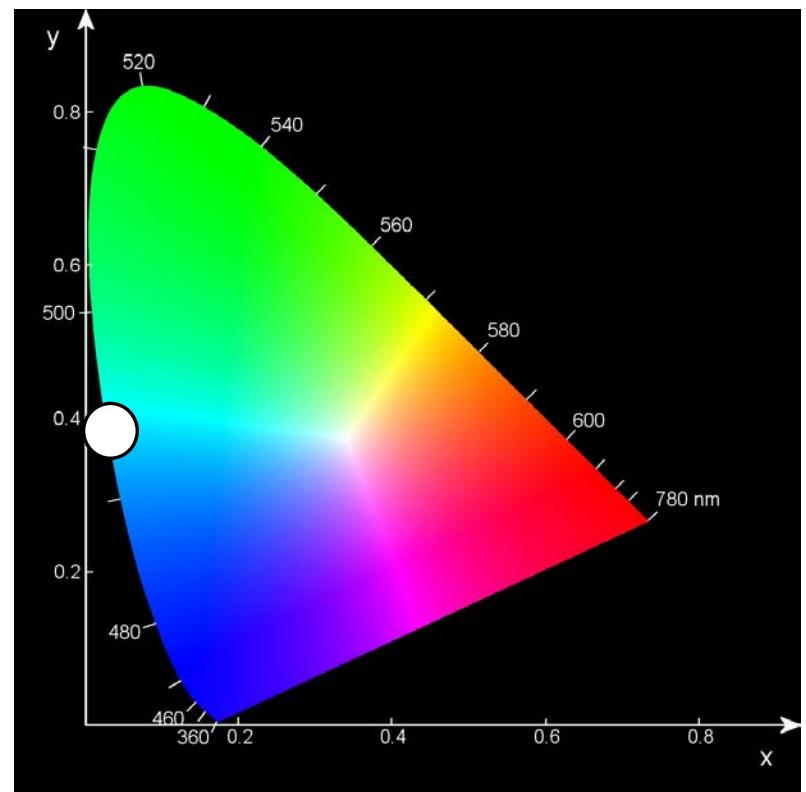
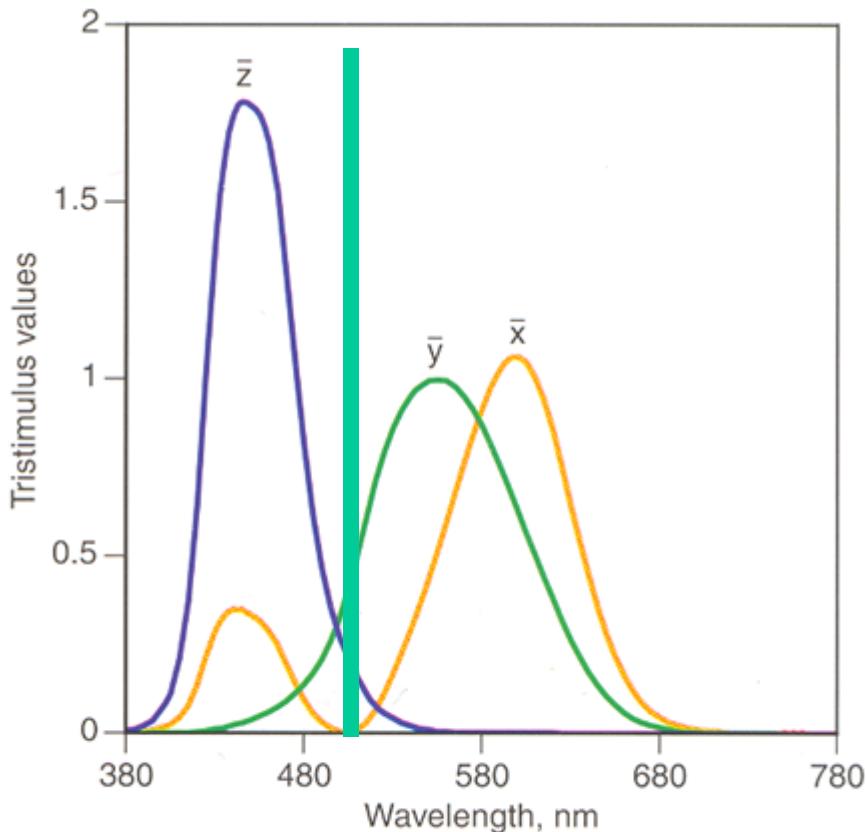
- $x = \frac{X}{X+Y+Z}$ and $y = \frac{Y}{X+Y+Z}$



The 1931 standard observer, as it is usually shown.

Spectral Colors in Chromaticity Diagram

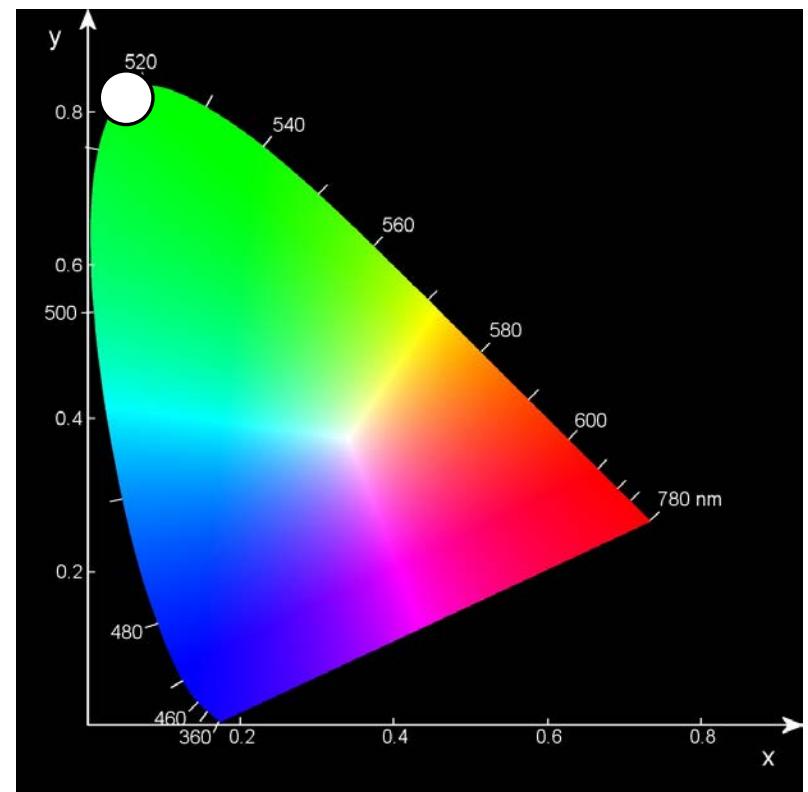
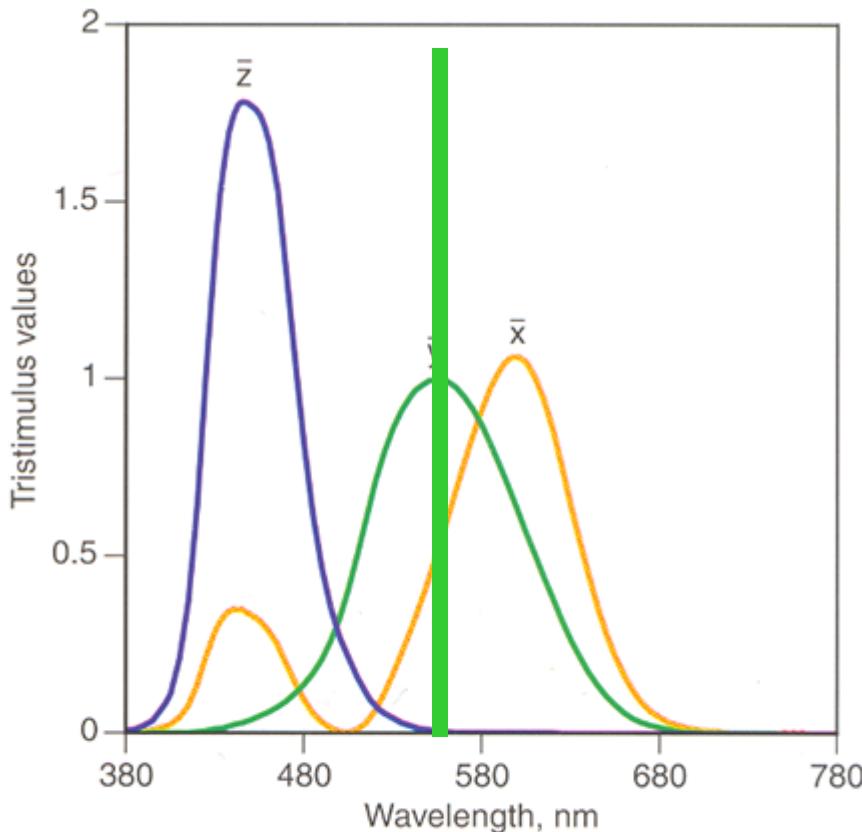
- y larger
- $x = \frac{X}{X+Y+Z}$ and $y = \frac{Y}{X+Y+Z}$



The 1931 standard observer, as it is usually shown.

Spectral Colors in Chromaticity Diagram

- y is large
- $x = \frac{X}{X+Y+Z}$ and $y = \frac{Y}{X+Y+Z}$

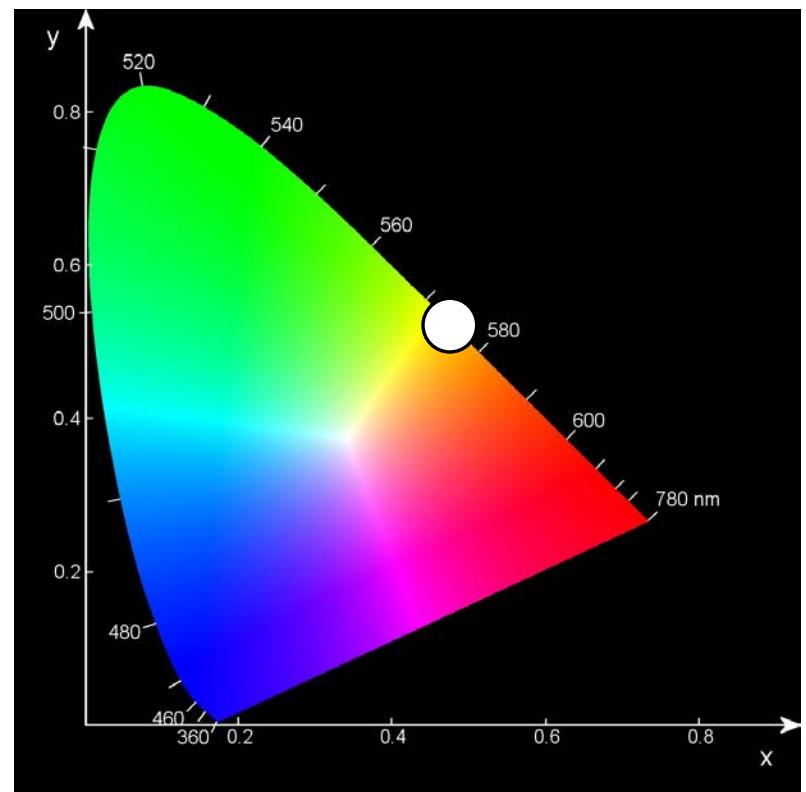
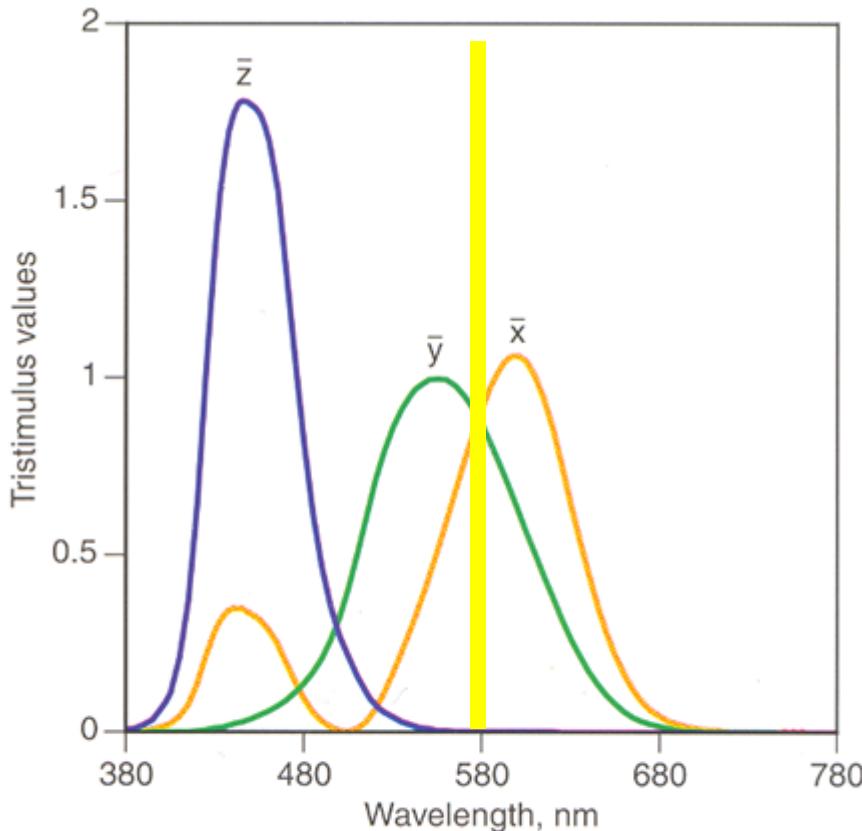


The 1931 standard observer, as it is usually shown.

Spectral Colors in Chromaticity Diagram

- y slowly decreases, x increases

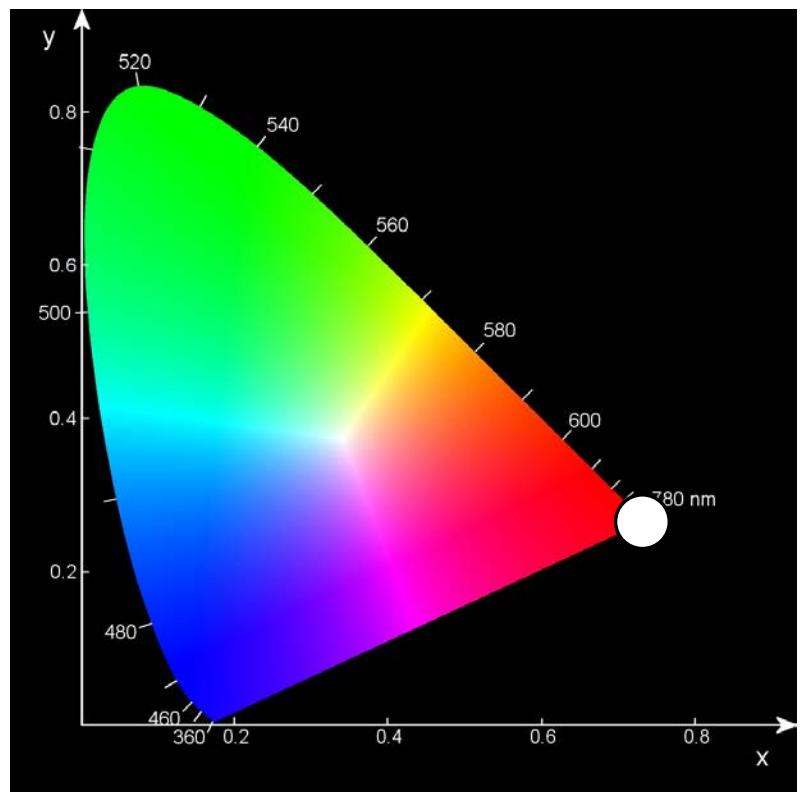
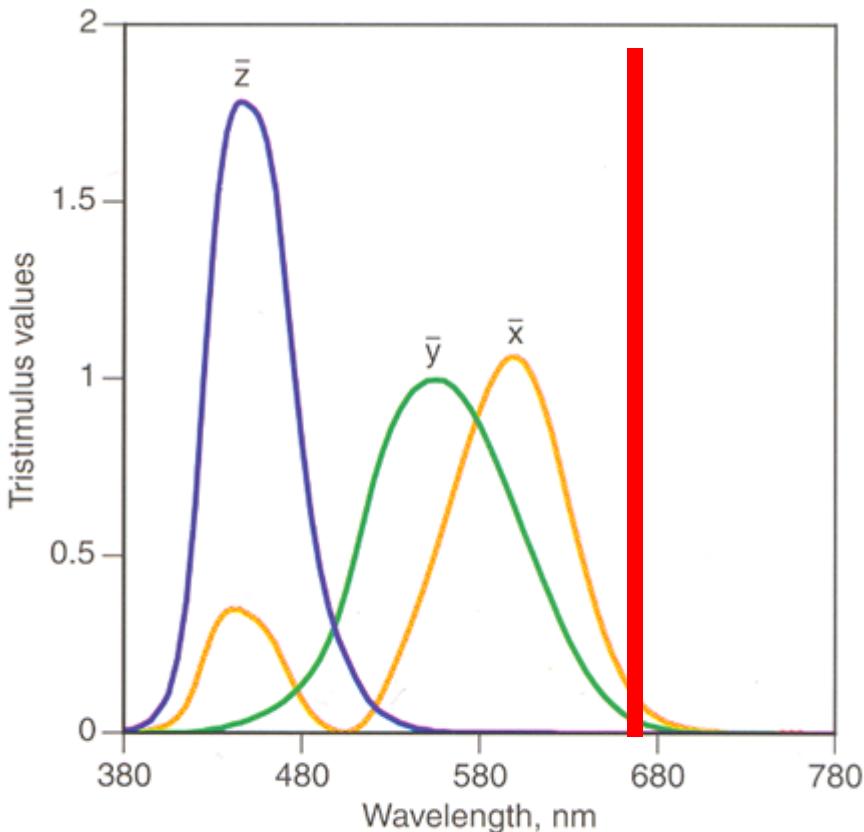
- $x = \frac{X}{X+Y+Z}$ and $y = \frac{Y}{X+Y+Z}$



The 1931 standard observer, as it is usually shown.

Spectral Colors in Chromaticity Diagram

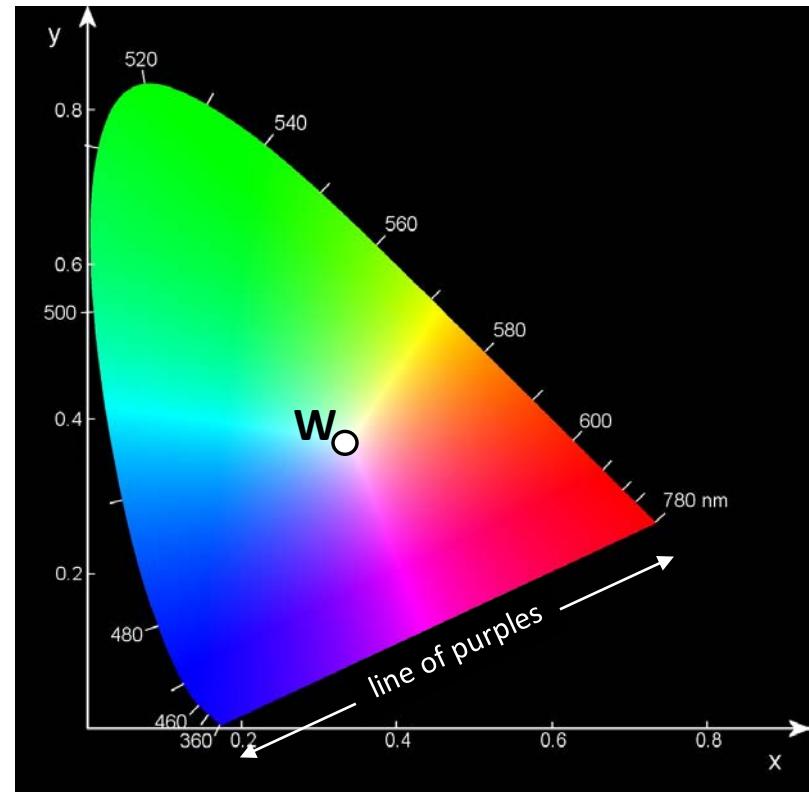
- y decreases further, x is still large (we divide by $X + Y + Z$)
- $x = \frac{X}{X+Y+Z}$ and $y = \frac{Y}{X+Y+Z}$



The 1931 standard observer, as it is usually shown.

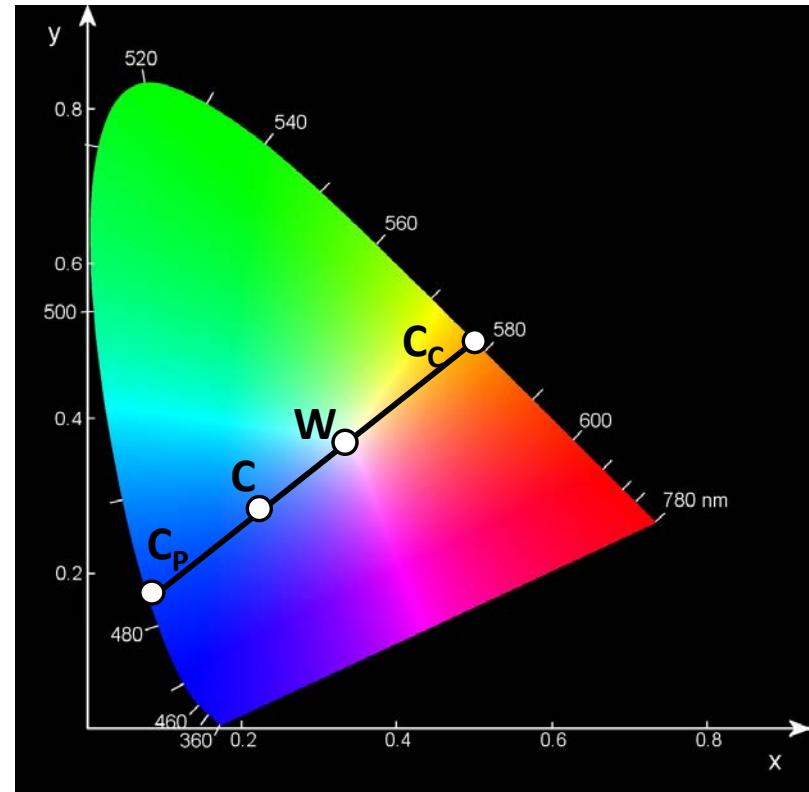
Chromaticity Diagram (CIE xyY Color Space)

- Contains all visible colors (**gamut** of human perception)
- White point W ($x=y=z=1/3$) (roughly corresponds to sunlight)
- Spectral colors are located along boundary curve and correspond to monochromatic light
- Strange shape results from the sensitivity curves
- Line of purples: set of all fully saturated colors which are *not* spectral colors



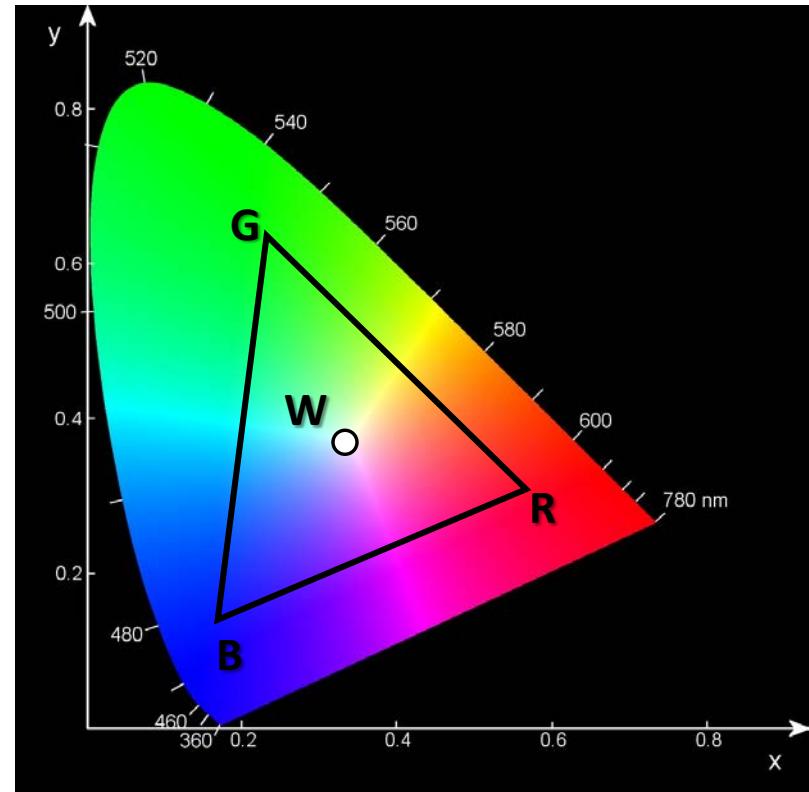
Chromaticity Diagram (CIE xyY Color Space)

- Colors along line between 2 boundary points can be obtained by mixing the respective colors at the endpoints
- Pure color C_p : line from white point through C to pure color
- Complementary color C_c : line through white point to opposite boundary
- All colors within a triangle by mixing colors at its vertices



Chromaticity Diagram (CIE xyY Color Space)

- The representable colors of an output device (resp. recordable colors of an acquisition device) are described by the **gamut**
- Gamut mapping: mapping of gamuts between devices with the goal to avoid (reduce) color shifts
- Example: RGB monitor
 - $C = rR + gG + bB$
with $(r, g, b) \in [0,1]^3$
 - The 3 primary colors span a triangle within the diagram
 - Colors outside of this gamut would require negative r, g, or b
 - If 3 primaries are given, larger gamut can only be achieved by using additional primary colors!



Dynamic Range

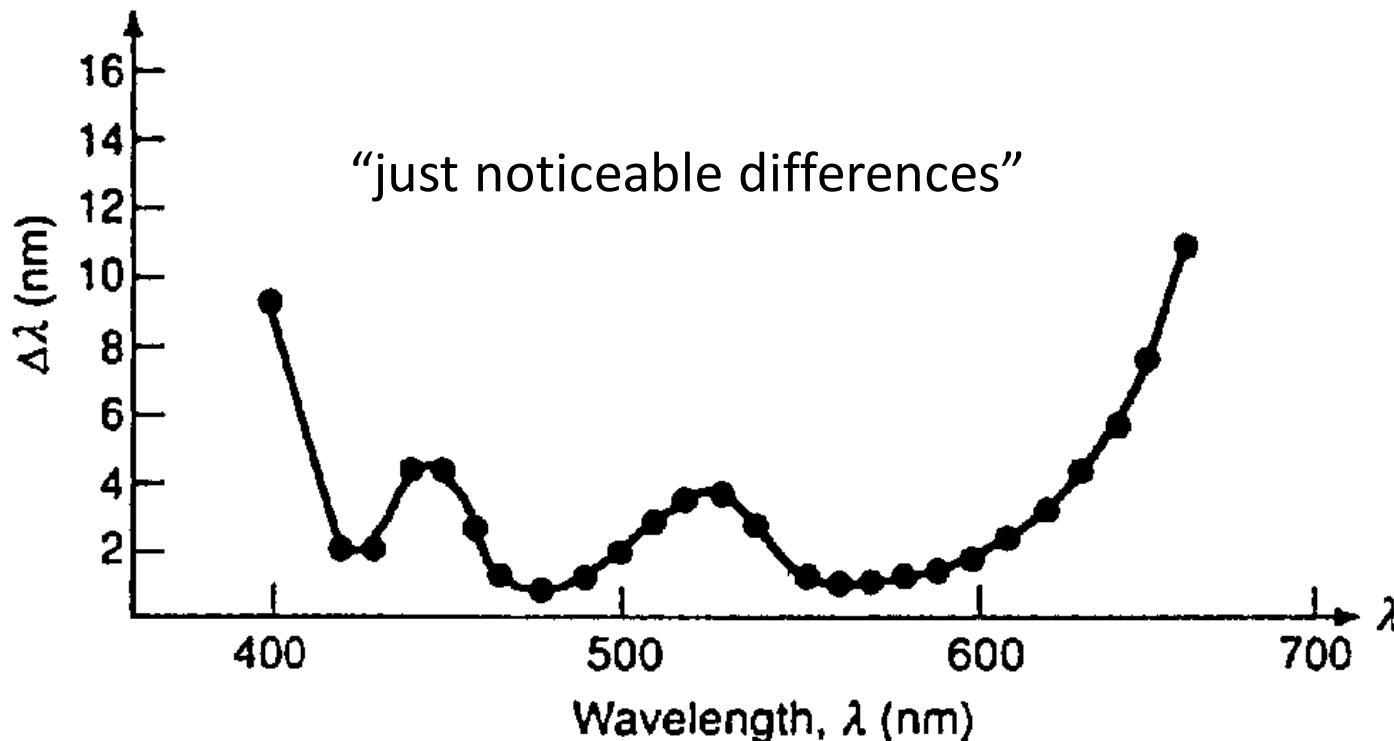
- Not only gamut is limited, but also minimum and maximum intensity
 - Monitor: emitted light, print: reflected light
 - “Darkest black” vs. “brightest white”



image: Jack Tumblin

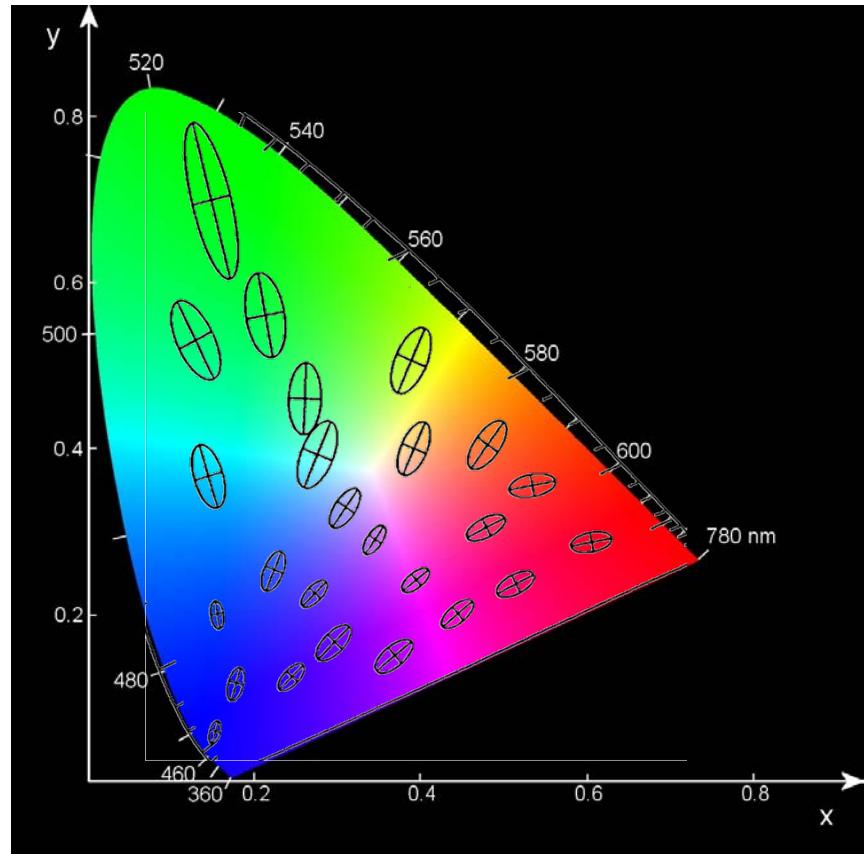
Perceptually Uniform Color Models

- CIE XYZ is not an intuitive system:
changes in color values do not result in equally large differences in
perceived color
- CIE L*u*v*; CIE L*a*b* are obtained by nonlinear transformations of the
CIE XYZ, with the goal of a perceptually uniform color space



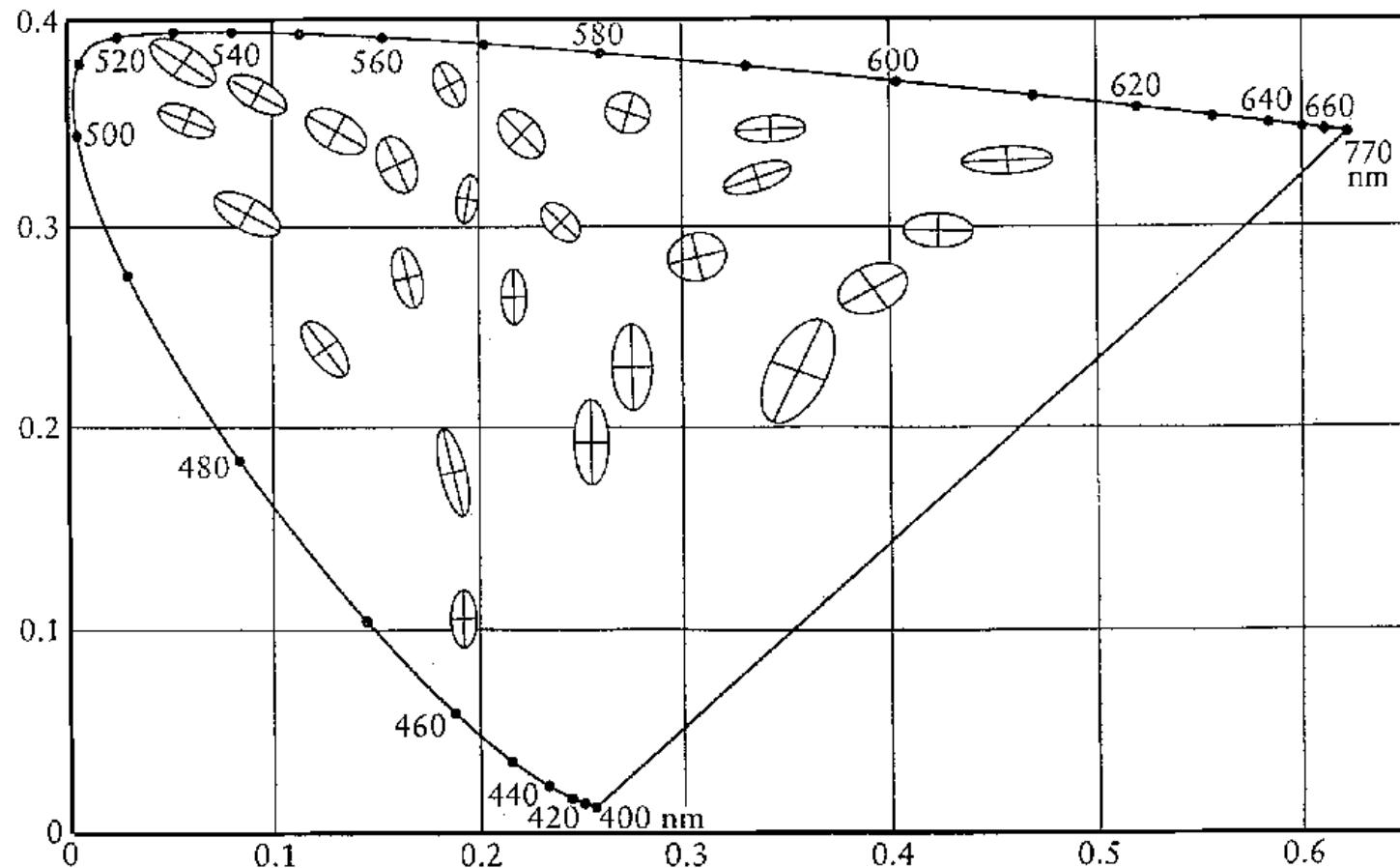
MacAdam ellipses in CIE xy

- Colors within a MacAdam ellipse indistinguishable from color at its center
- Size and orientation of the ellipses vary substantially within color space
- Size of ellipses overemphasized here by factor of 10!



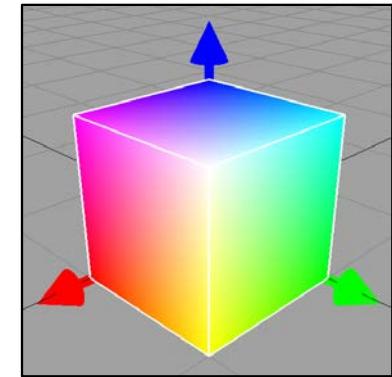
Perceptually Uniform Color Models

MacAdams ellipses in approx. perceptually uniform color space (CIE L*u*v*)

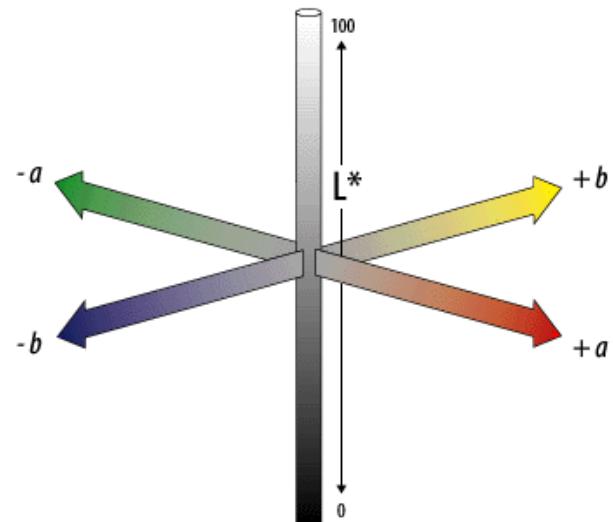


Psychophysical Color Model

- Most color models are rather guided by technical constraints, such as
 - which colors can be represented? (RGB, CIE)
 - how can choice of colors be simplified? (HSV)
 - ...



- But: What is the perceived difference between two colors?
- CIE L*a*b* model
 - Luminance, red–green, blue–yellow
 - Intuitive reproduction of the perception
 - Euclidean distances in color space correspond to perceived color distances



Example: CIELAB, CIE Lab, CIE L*a*b*

- Linear RGB to XYZ:

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} t_{1,1} & t_{1,2} & t_{1,3} \\ t_{2,1} & t_{2,2} & t_{2,3} \\ t_{3,1} & t_{3,2} & t_{3,3} \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

- XYZ to CIELAB:

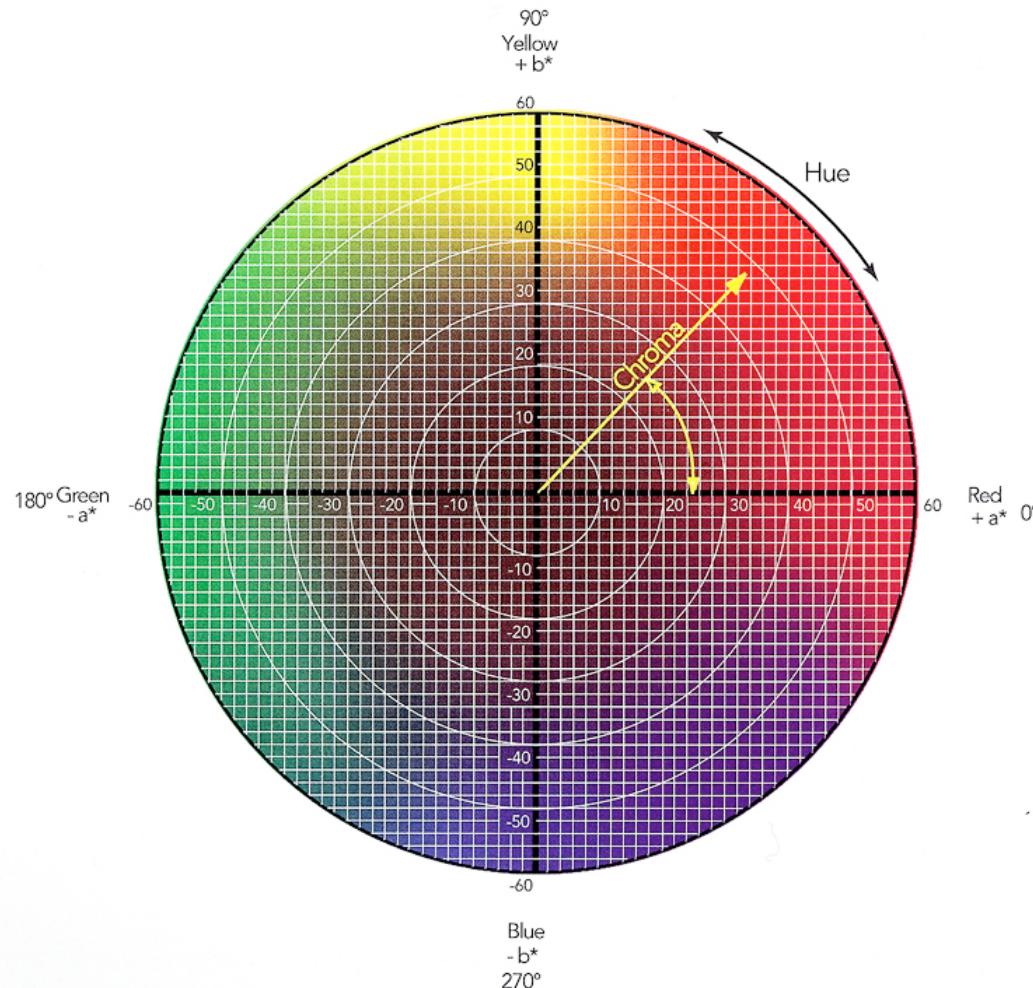
$$L^* = 116\left(\frac{Y}{Y_n}\right)^{1/3} - 16 \quad \text{for } \frac{Y}{Y_n} > 0.008856$$

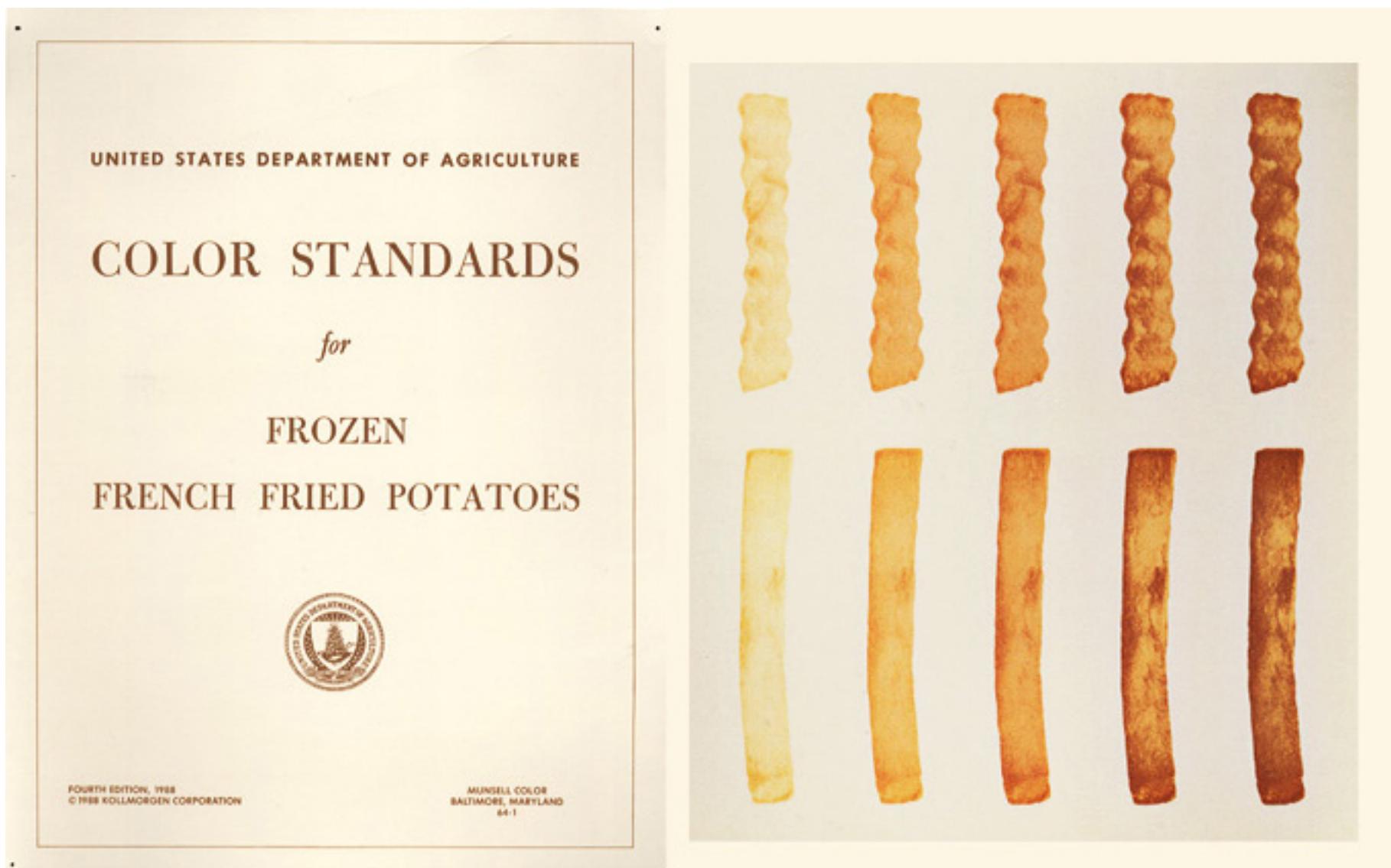
$$a^* = 500\left[\left(\frac{X}{X_n}\right)^{1/3} - \left(\frac{Y}{Y_n}\right)^{1/3}\right]$$

$$b^* = 200\left[\left(\frac{Y}{Y_n}\right)^{1/3} - \left(\frac{Z}{Z_n}\right)^{1/3}\right]$$

- L^* : lightness, a^* : red–green, b^* : blue–yellow
- X_n, Y_n, Z_n : tristimulus value of reference white point

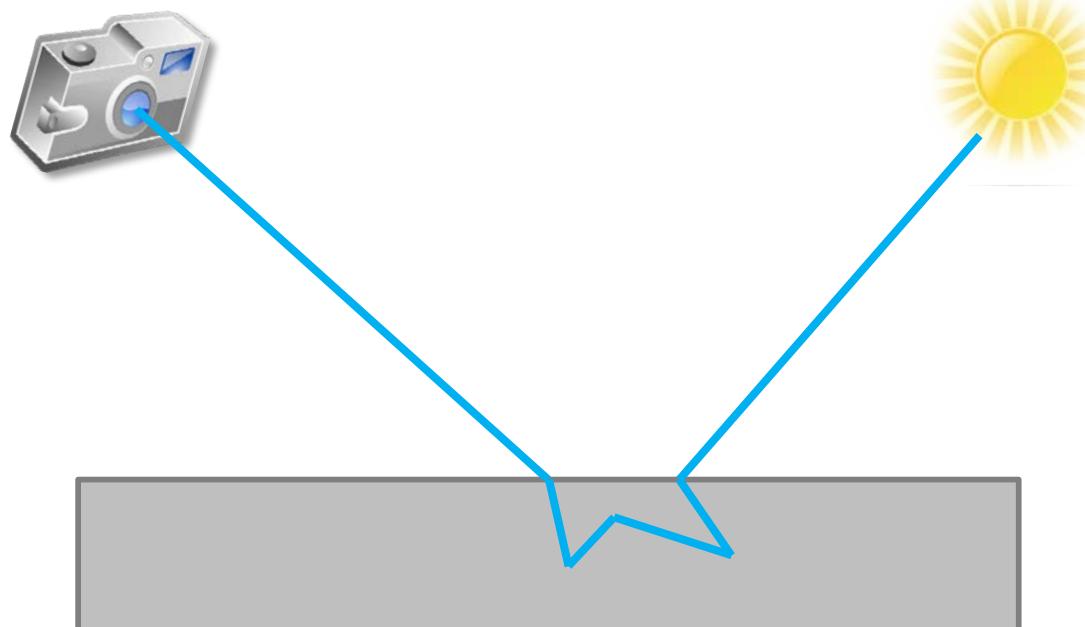
Example: CIELAB, CIE Lab, CIE L*a*b*





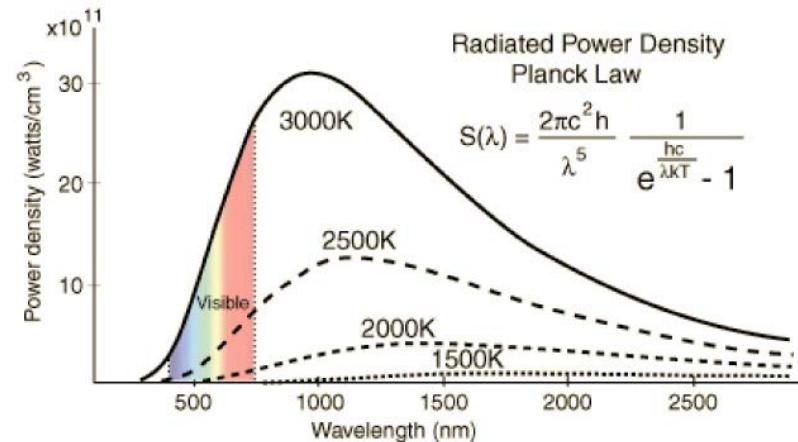
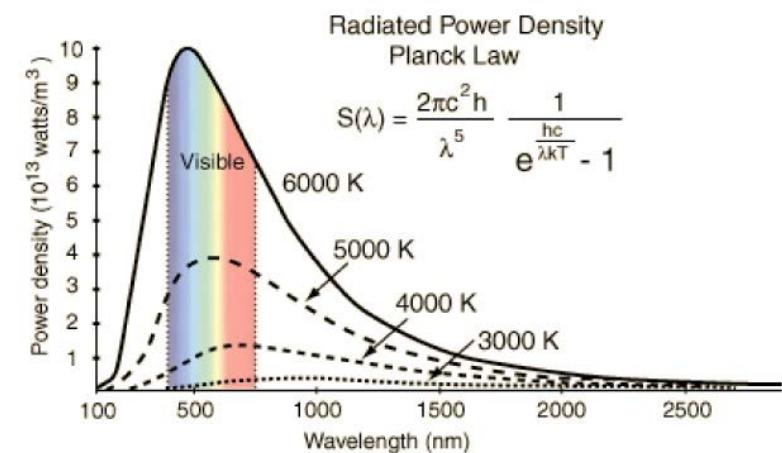
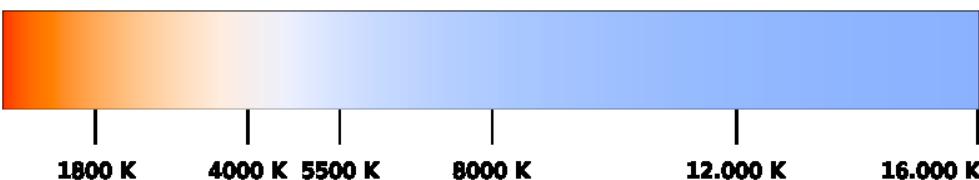
Color Phenomena

- Generally, light does not shine directly into the eye
- Light follows transport paths, e.g.,
 - from the light source
 - through the atmosphere
 - onto object surfaces (possibly within material)
 - through the atmosphere
- The color depends on all these steps!



Thermal Radiation Source

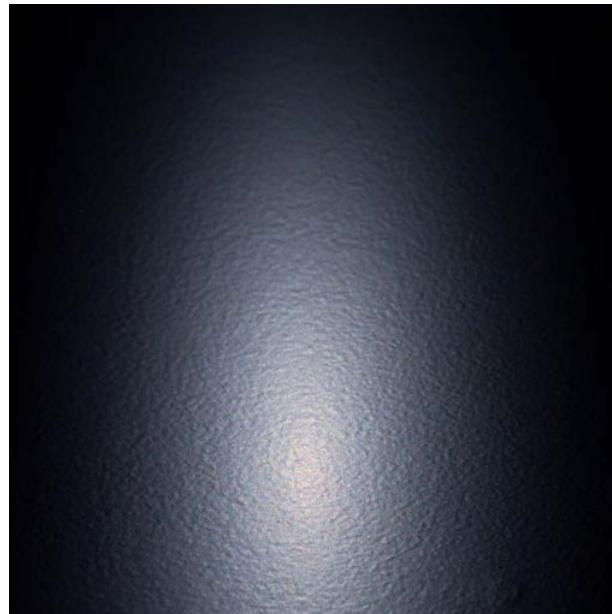
- Warm objects emit energy
- Wavelength/frequency is temperature-dependent
- If temperature is high enough, part of emitted energy is within visible spectrum
- Black body radiation
 - Idealized radiation source
 - Color temperature = temperature of black body corresponding to a certain color



Reflection at Surfaces



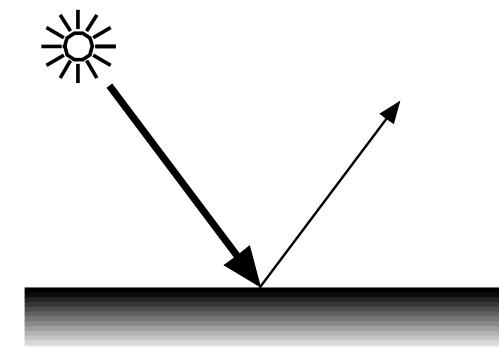
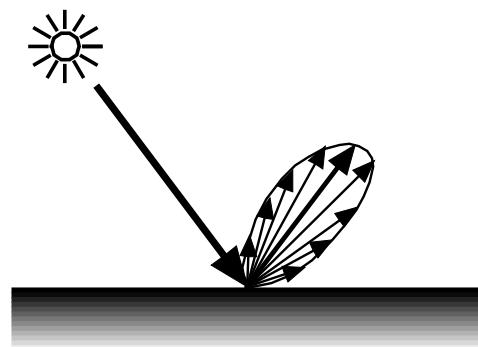
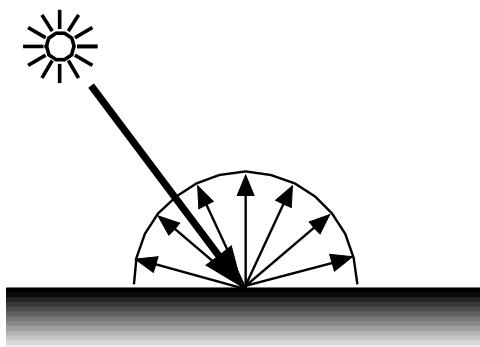
diffuse surface



shiny surface

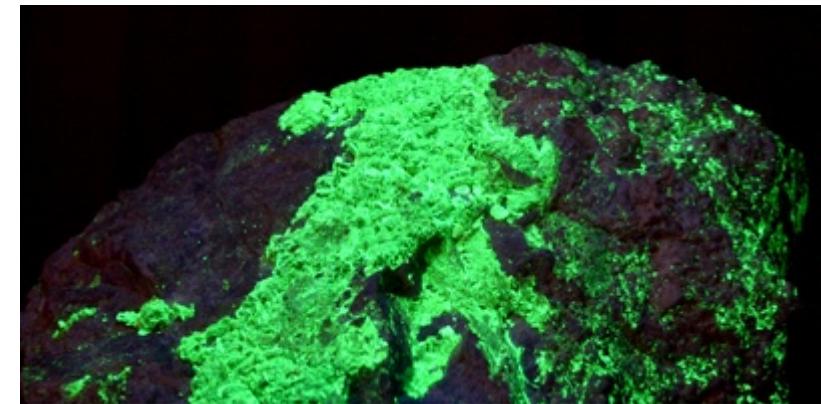
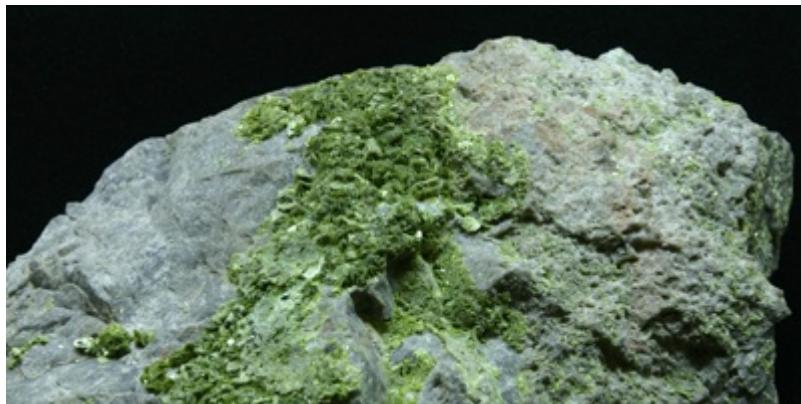


perfect mirror



Luminescence

- Luminescence phenomena (cold light)
 - Absorption of photons, reemission of light at different wavelength
 - Fluorescence: typically short-time ($\sim 10^{-6}$ s), induced by electromagnetic radiation
 - Phosphorescence: longer time scales, up to several hours
 - Time-dependency and spectral effects



Uranium ore: Autunite

(left: normal light, right: fluorescence due to illumination with UV light)

www.geoberg.de

Light Transport

- Transport path
 - Sun → atmosphere → water (refraction) → surface →
→ water (refraction) → atmosphere → eye



Light Transport

- Scattering within atmosphere



Light Transport

- Haze/scattering within atmosphere



Henrik Wann Jensen

Light Transport

- Scattering, emission, ...



Raster Graphics

- Framebuffer and representation
 - Gamma correction, alpha channel
- Signals and sampling
- Image manipulation

Raster Graphics

- Discrete representation of an image
 - Rectangular grid of pixels
 - Resolution: width \times height = number of pixels
 - E.g., 640×480 , 1280×1024 , ...
 - Color depth: number of bits per pixel
- The image is stored in the framebuffer for the representation on displays (monitors, projectors, ...)

Type	Color	Color Depth
binary, black/white		1 bit/pixel
gray level, intensity		8 bit/pixel (12 bit/pixel medical application)
color with lookup table (LUT)		8 bit/pixel \rightarrow 256 entries 24 bit / LUT entry
true color		24 bit/pixel

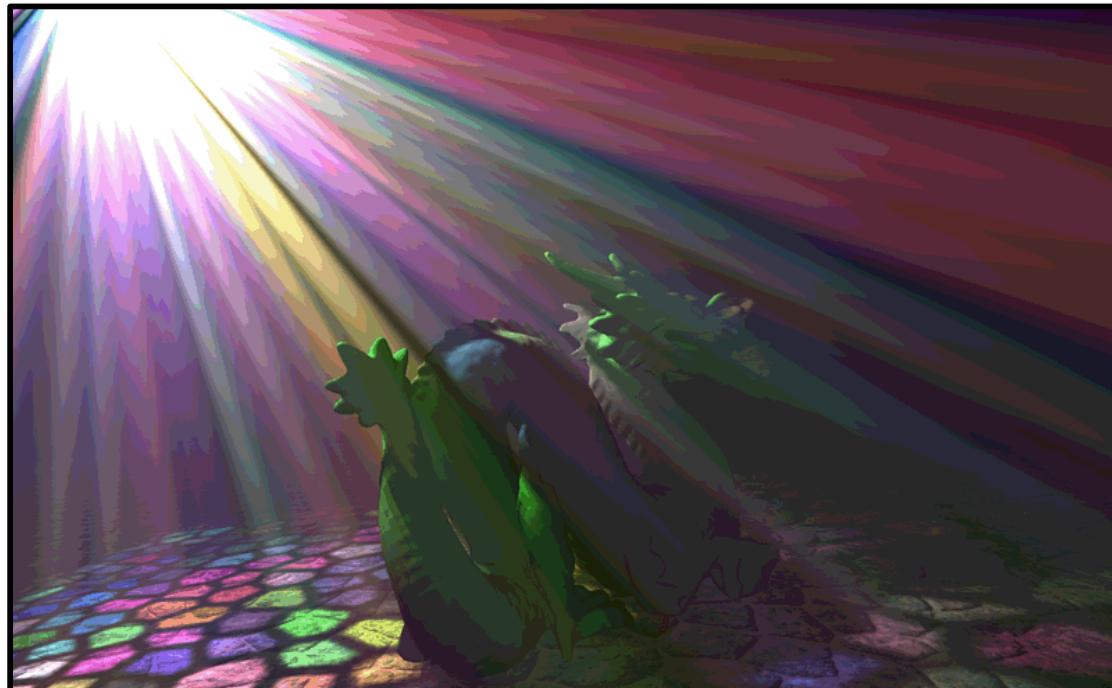
Displays, Framebuffer, and Representation

- Typical values for framebuffers
 - Resolution $1920 \times 1080 \approx 2$ M pixels, color depth: 24 bit (8 bit RGB)
 - Approx. 6 MB data \rightarrow approx. 356 MB/s bandwidth at 60 Hz display
 - Formerly: 16 bit framebuffer (5 bit red, 6 bit green, 5 bit blue “HiColor”)



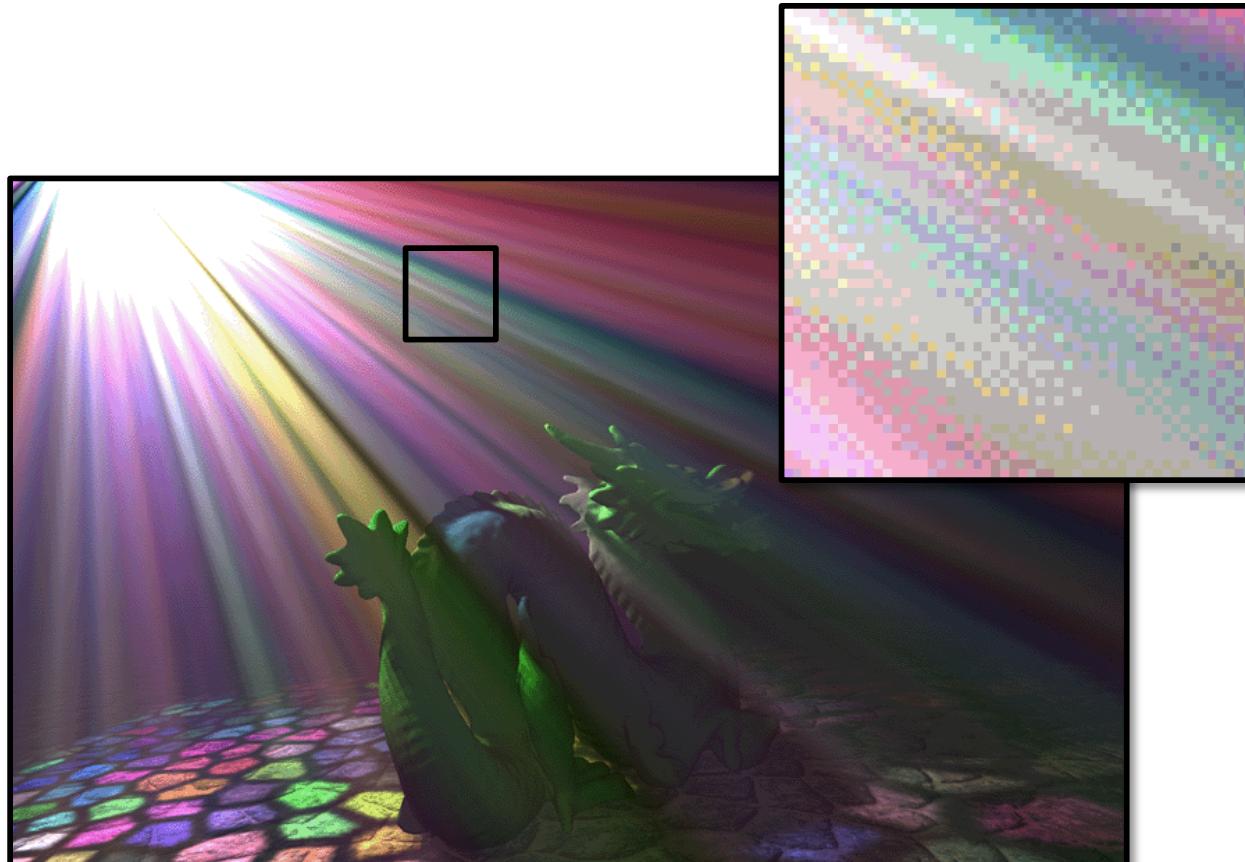
Framebuffer (Historical)

- 8 bit framebuffer
 - Lookup table (256 freely definable colors out of 2^{24})
 - Among others: VGA graphics cards, GIF data format

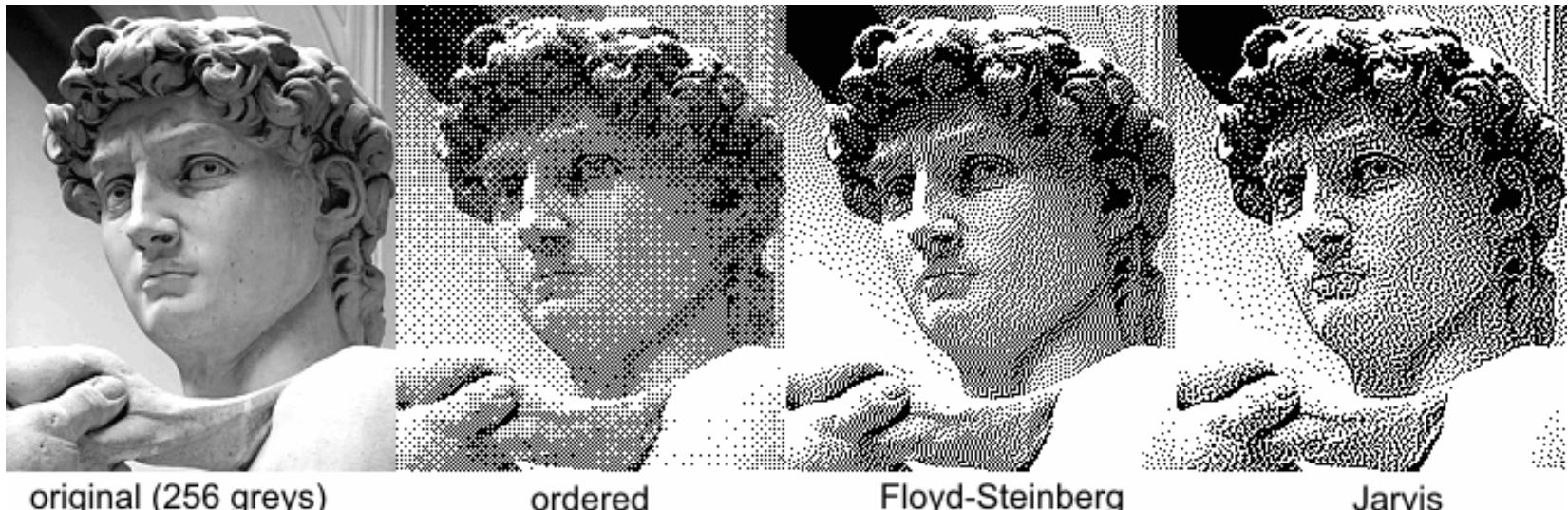


Framebuffer (Historical)

- 8 bit framebuffer (256 freely definable colors out of 2^{24})
 - Dithering: simulate missing colors by certain arrangement of available colors
 - Perception as mixed colors



Example: Dithering



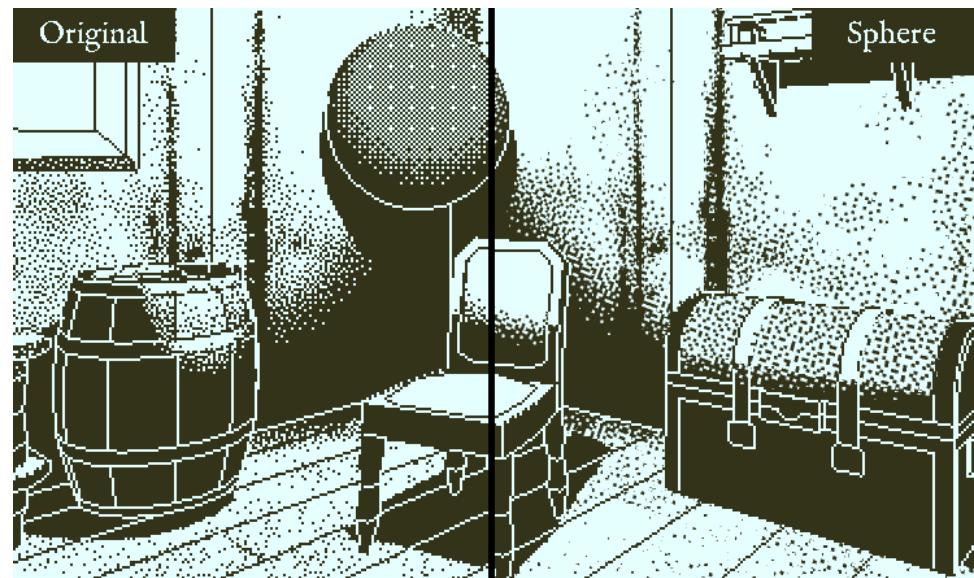
original (256 greys)

ordered

Floyd-Steinberg

Jarvis

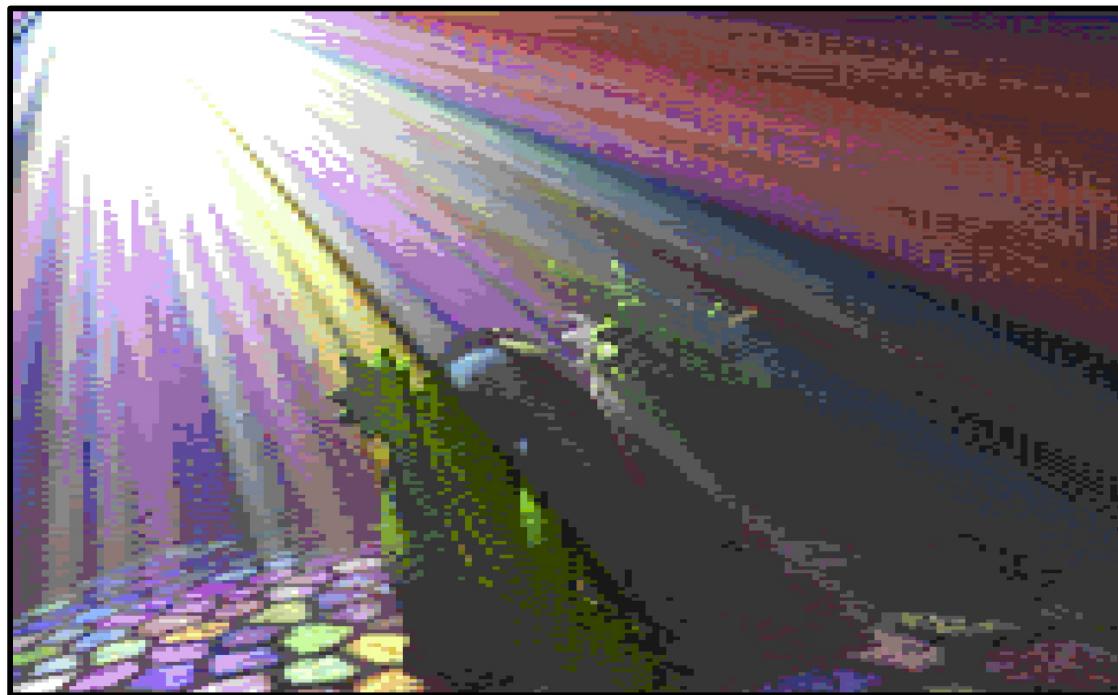
- Recent application:
Return of the Obra Dinn
(2018)



(<https://forums.tigsource.com/index.php?topic=40832.msg1363742#msg1363742>)

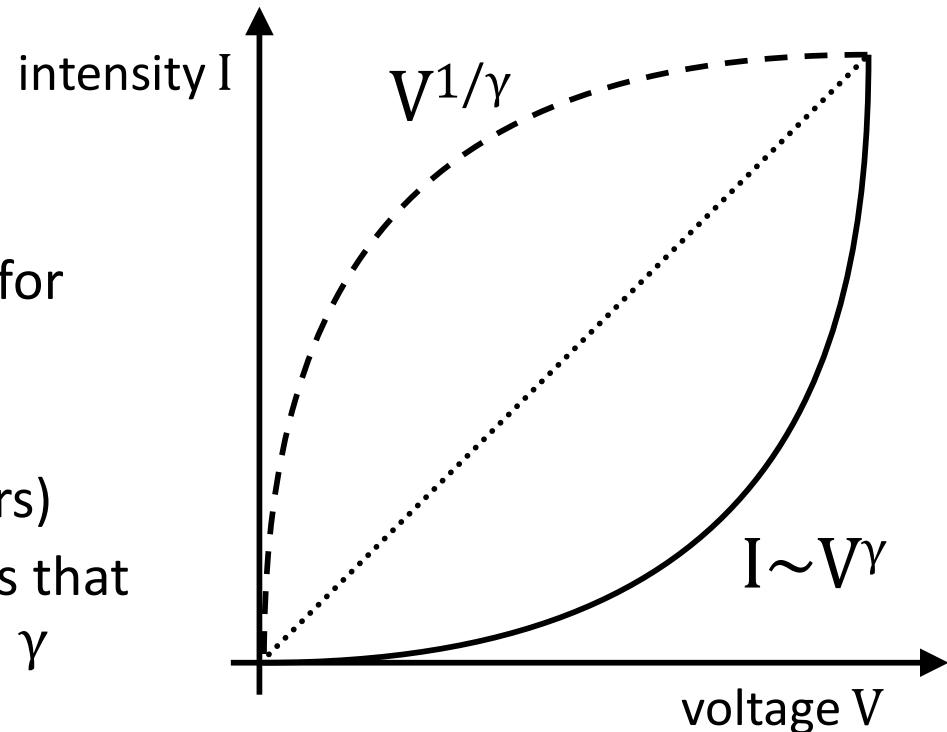
Framebuffer (Nostalgic ...)

- Commodore C64 (1982)
 - 160×200 pixels, four colors (out of 16) per 4×8 block



Gamma Correction for Displays

- Root of all “evil”: CRT monitors
 - Number of emitted photons from fluorescent coating increases (nonlinearly) with a power of applied voltage
 - But voltage is linear with the values in framebuffer
 - Today’s monitors, e.g., LCDs, emulate this behavior!
- Relation intensity—voltage resp. pixel values
 - $I \sim V^\gamma$ ($\gamma \approx 2.3$)
 I = intensity
 V = voltage
 γ = gamma value
- Gamma correction: adapt intensity for driving display
 - In software
 - In hardware (graphics card drivers)
 - Caution: there are image formats that already store values for a certain γ

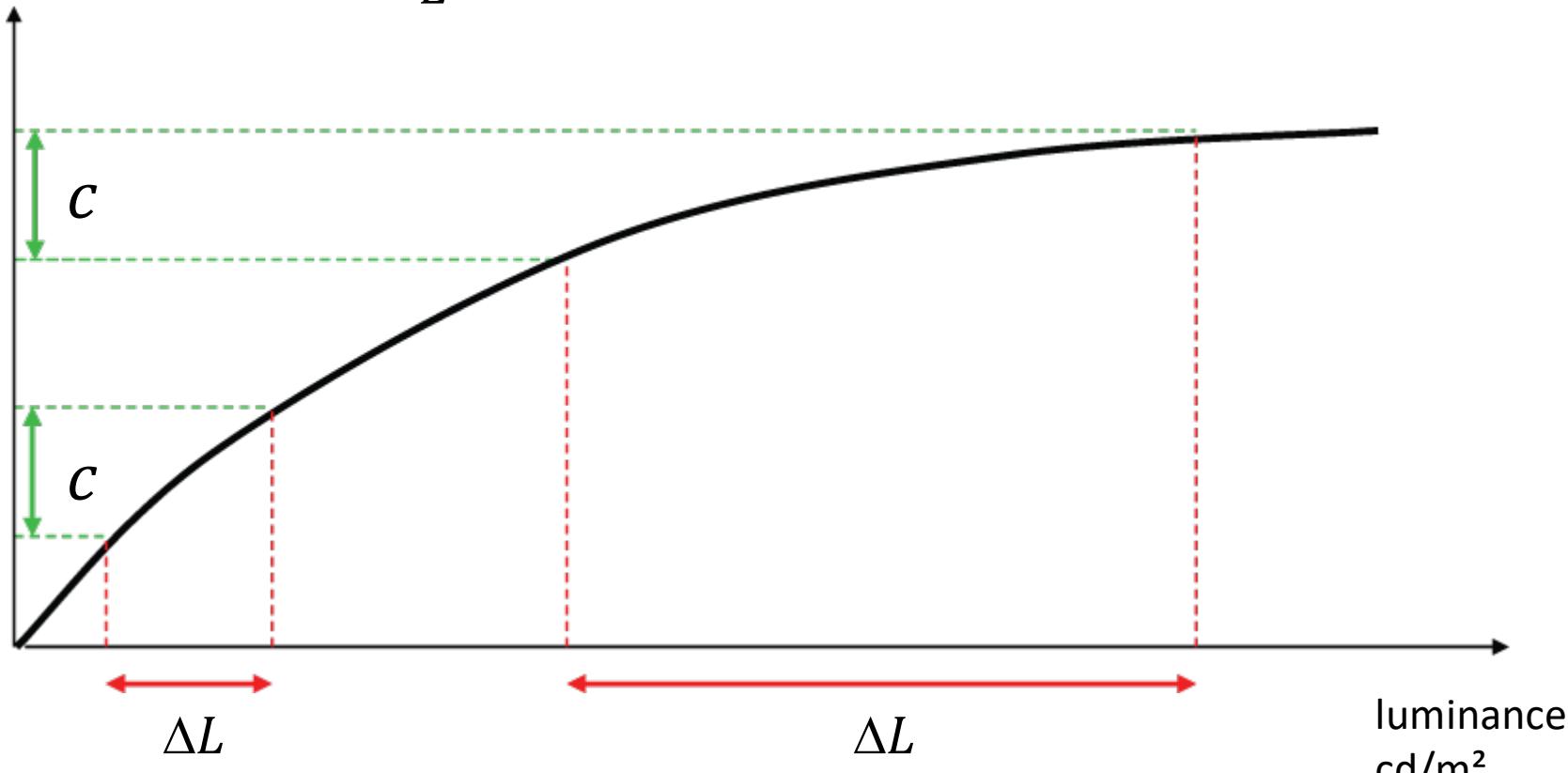


Weber–Fechner Law

- The subjectively perceived intensity of sensations is proportional to the logarithm of the intensity of the physical stimulus
 - Proportion 1:2 is perceived as contrast identical to proportion 100:200

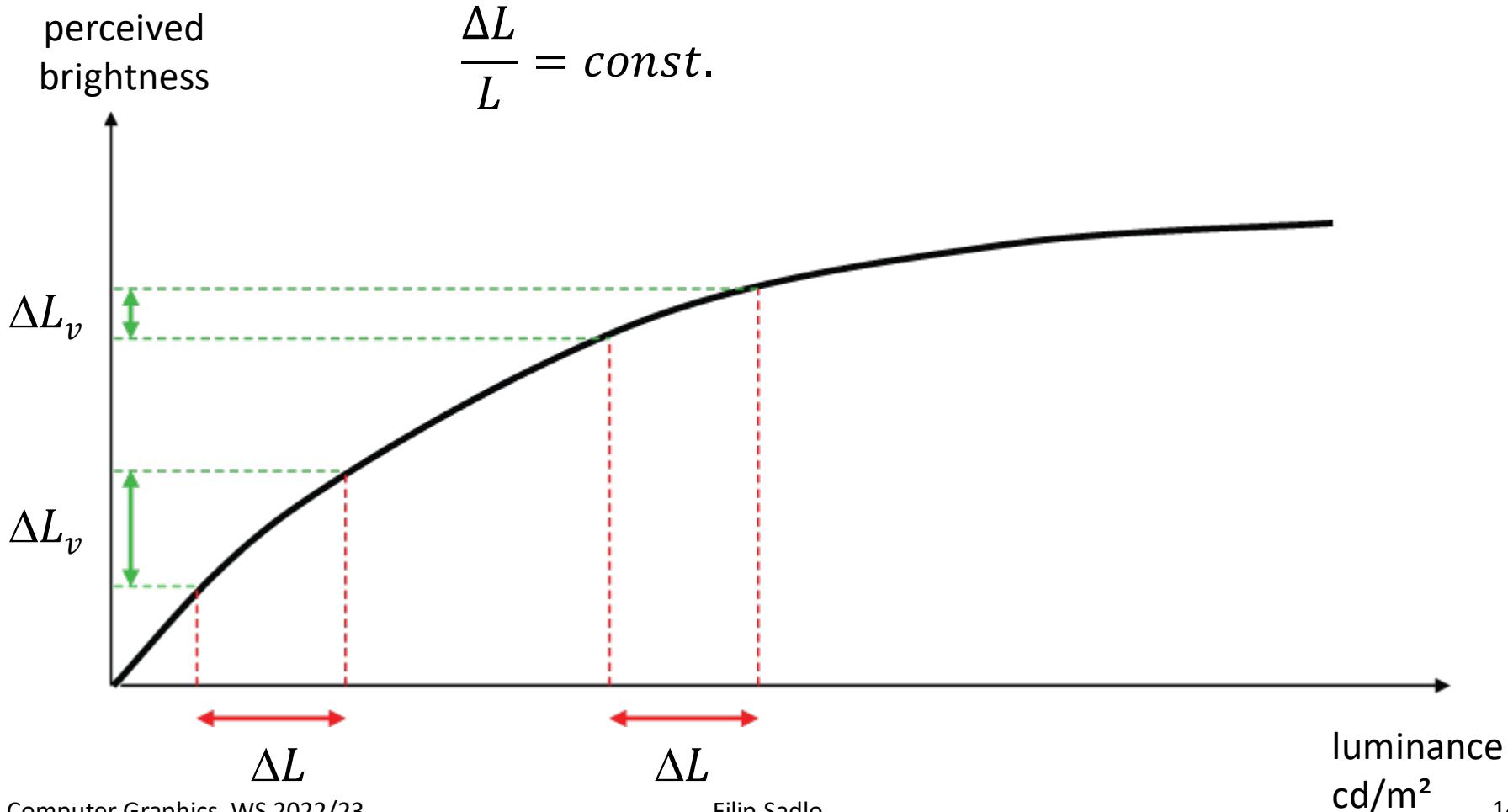
perceived
brightness

$$\frac{\Delta L}{L} = \text{const.}$$



Weber–Fechner Law

- The subjectively perceived intensity of sensations is proportional to the logarithm of the intensity of the physical stimulus
 - Equal differences in luminance are perceived logarithmically



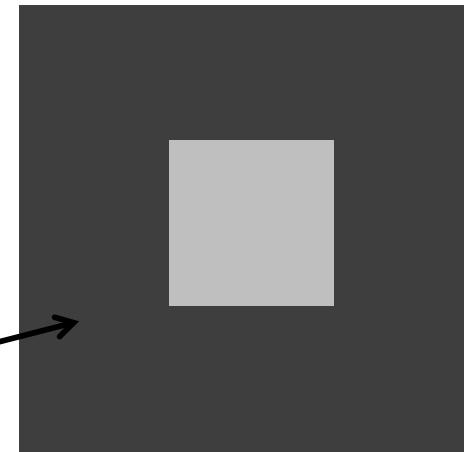
Weber–Fechner Law

- The *subjectively perceived intensity* of sensations is *proportional to the logarithm of the intensity* of the physical stimulus
- Just noticeable brightness difference

Just noticeable
difference (JND)

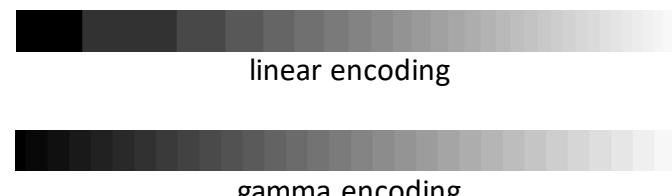
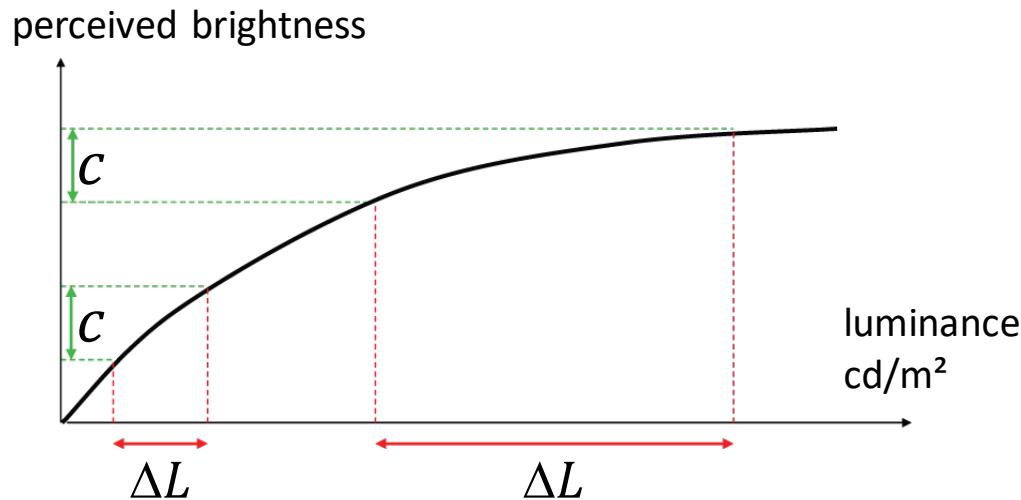
$$\frac{\Delta L}{L} = \text{const.} \approx 1\% \text{ to } 2\%$$

background
brightness



Gamma-Compressed Images

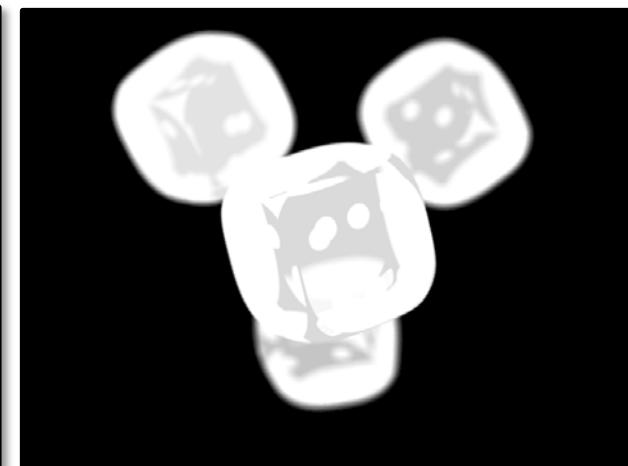
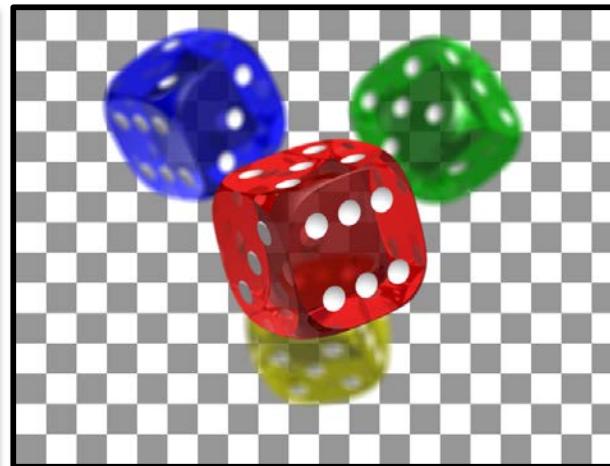
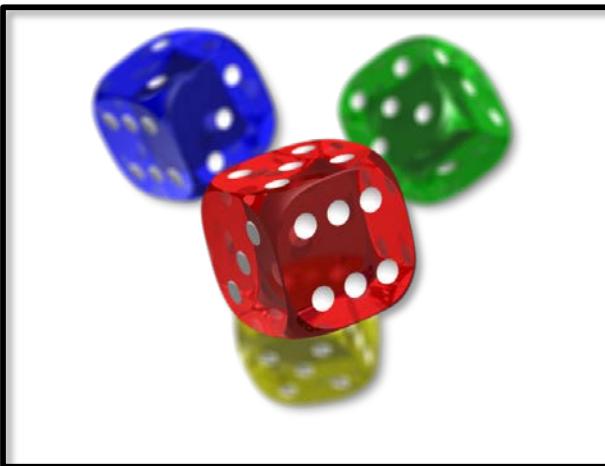
- Human perception is based on relations, not on absolute brightness
 - “This gray is twice as bright as the other”
- For linear encoding
 - Many values between 128 and 255
 - Only few values between 1 and 2



- Therefore, images sometimes stored with gamma compression ($I' \sim I^{1/\gamma}$, with $\gamma \approx 2$)
 - It is only coincidence that this approximately fits monitors

Alpha Channel

- Images are often encoded/stored with 32 bit/pixel
 - 24 bit color information and
 - 8 bit alpha channel (α = opacity, opposite of transparency)
 - So-called RGBA format
 - E.g., in framebuffer of the graphics card, textures, ...
- Essential for image editing/manipulation, matting / blue-screen techniques, textures in computer graphics, ...



Raster Graphics and Image Processing

- Raster graphics (recap)
 - Advantage for display of images: repeated display is independent of scene complexity (framebuffer)
 - Properties
 - Uniform, discrete sampling of a signal
 - A piecewise constant function (here: illustration in 1D)
 - “Aliasing” and Moiré effects, “jaggies” (staircase effects)

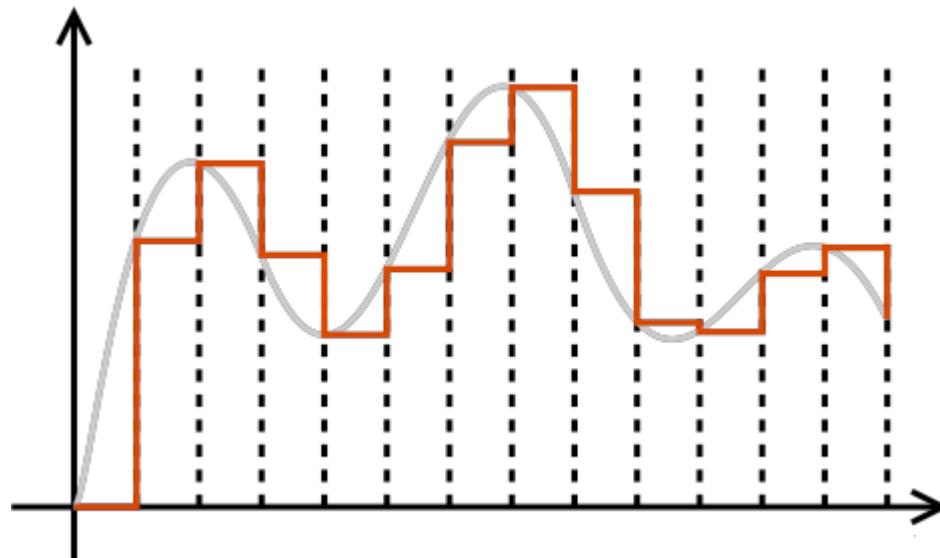
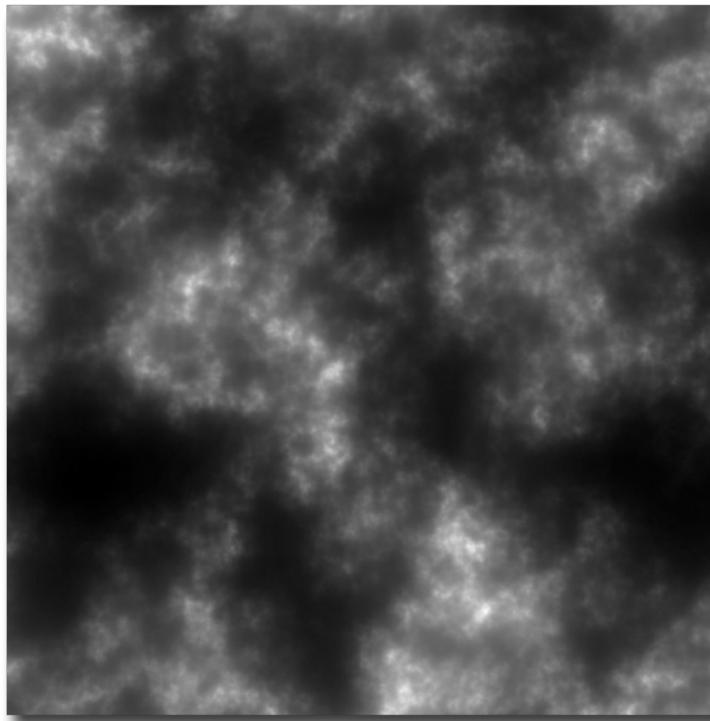
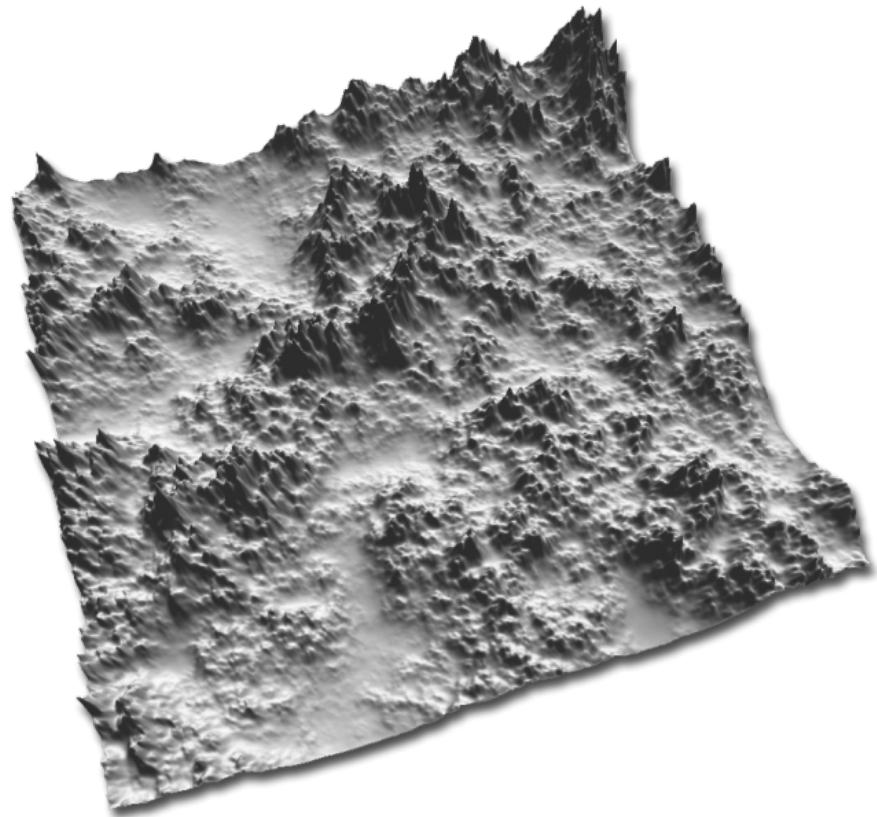


Image \leftrightarrow Signal



2D height field



representation as surface in 3D

Image, Signal, and Sampling

- Gray-level image of $f(d) = \cos ad^2$ with $d = \|\mathbf{x}\|$, $\mathbf{x} \in [-1,1]^2$, $a \in \mathbb{R}$

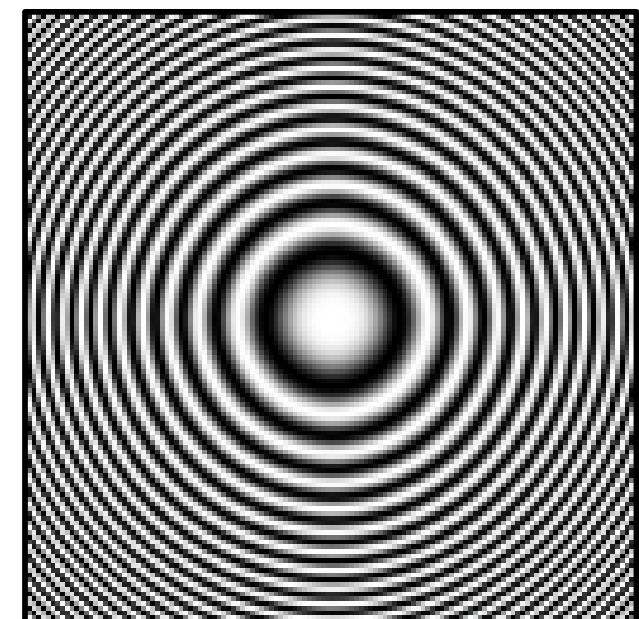
```
#define WIDTH 128
#define HEIGHT 128

unsigned char img[ WIDTH * HEIGHT ];

for ( y = 0; y < HEIGHT; y++ ) {
    for ( x = 0; x < WIDTH; x++ ) {
        float dx = (float)( 2 * x - WIDTH ) / (float) WIDTH;
        float dy = (float)( 2 * y - HEIGHT ) / (float) HEIGHT;
        float d2 = dx * dx + dy * dy;

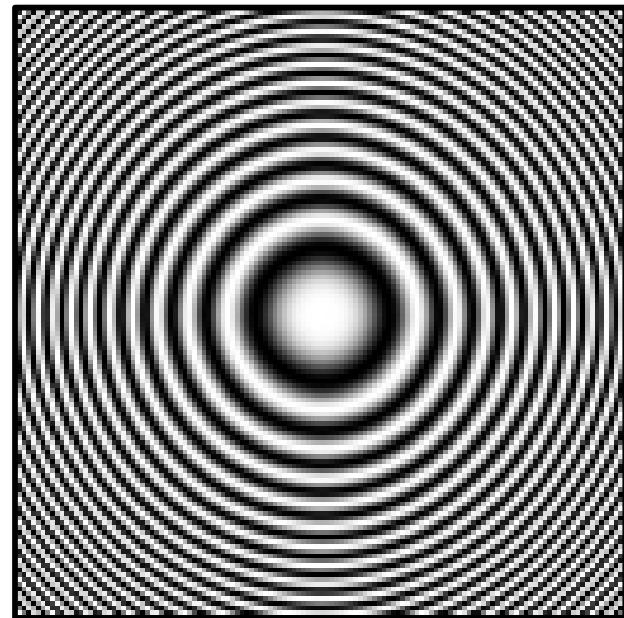
        // f(d) with a = 64
        float f = cosf( 64.0f * d2 );

        // mapping of f(d) to [0..255]
        img[ x + y * WIDTH ] =
            ( f + 1.0f ) * 127.5f;
    }
}
```

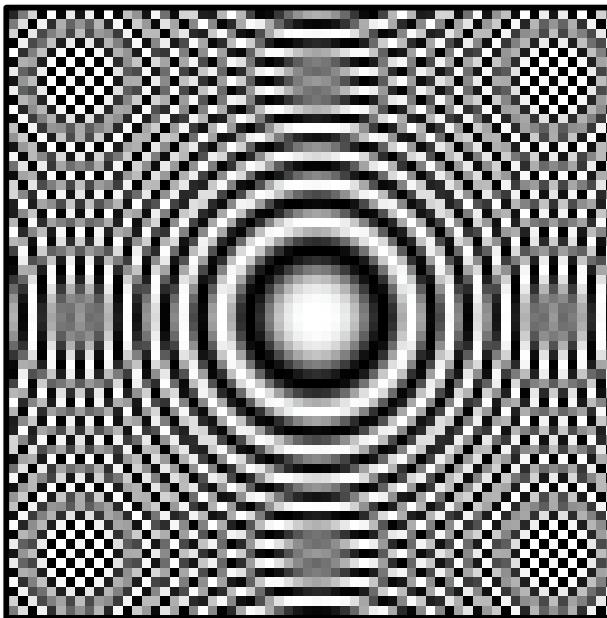


Image, Signal, and Sampling

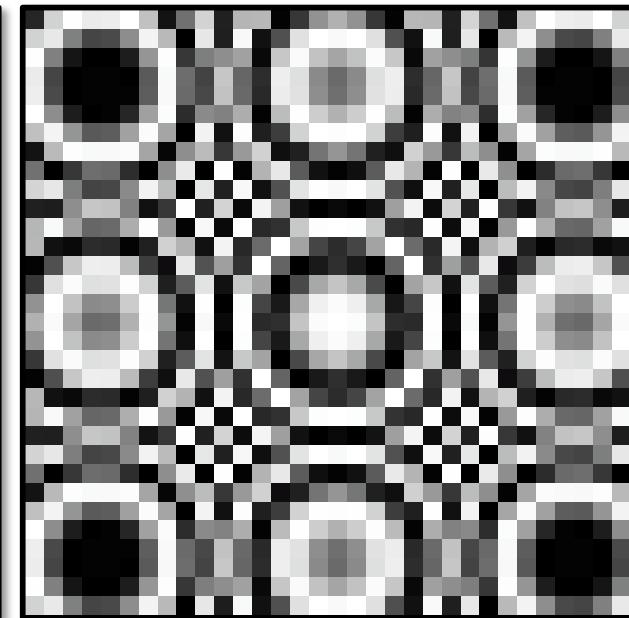
- Gray-level image of $f(d) = \cos ad^2$ with $d = \|\mathbf{x}\|$, $\mathbf{x} \in [-1,1]^2$, $a \in \mathbb{R}$



WIDTH=HEIGHT=128



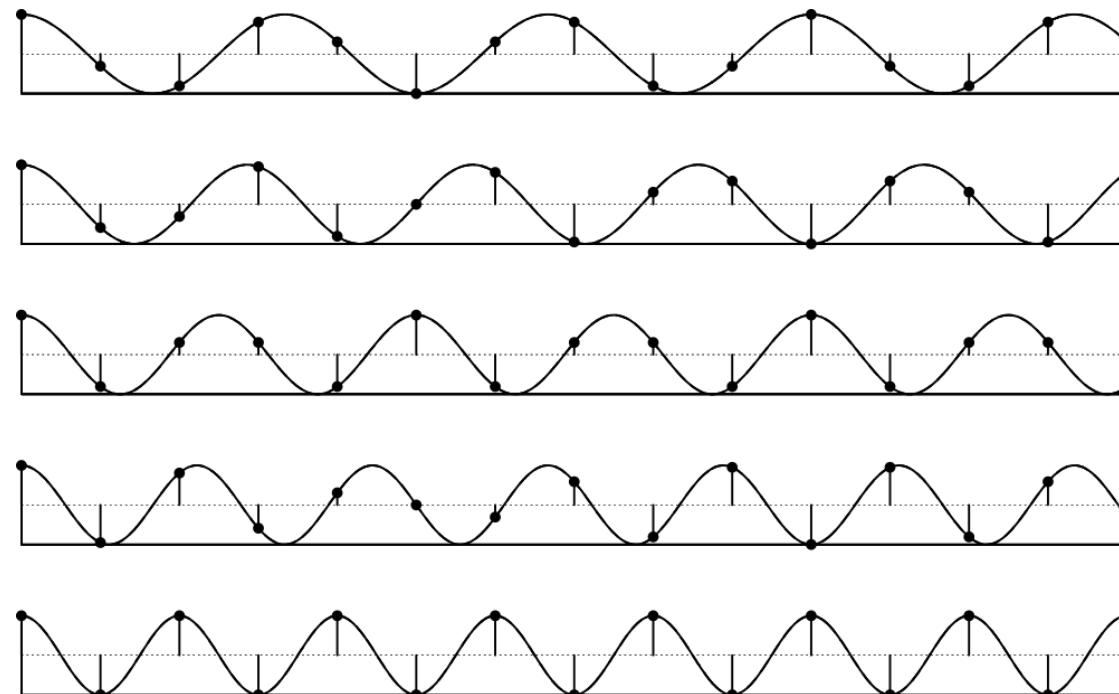
WIDTH=HEIGHT=64



WIDTH=HEIGHT=32

Image, Signal, and Sampling

- Nyquist–Shannon sampling theorem:
A continuous, band-limited signal with a max. frequency f_{\max} has to be sampled at a frequency larger than $2f_{\max}$ to be able to exactly reconstruct the original signal from the discretized signal
- Example: $f_{\text{sampler}} > 2f_{\max}$, reconstructed signal identical to original

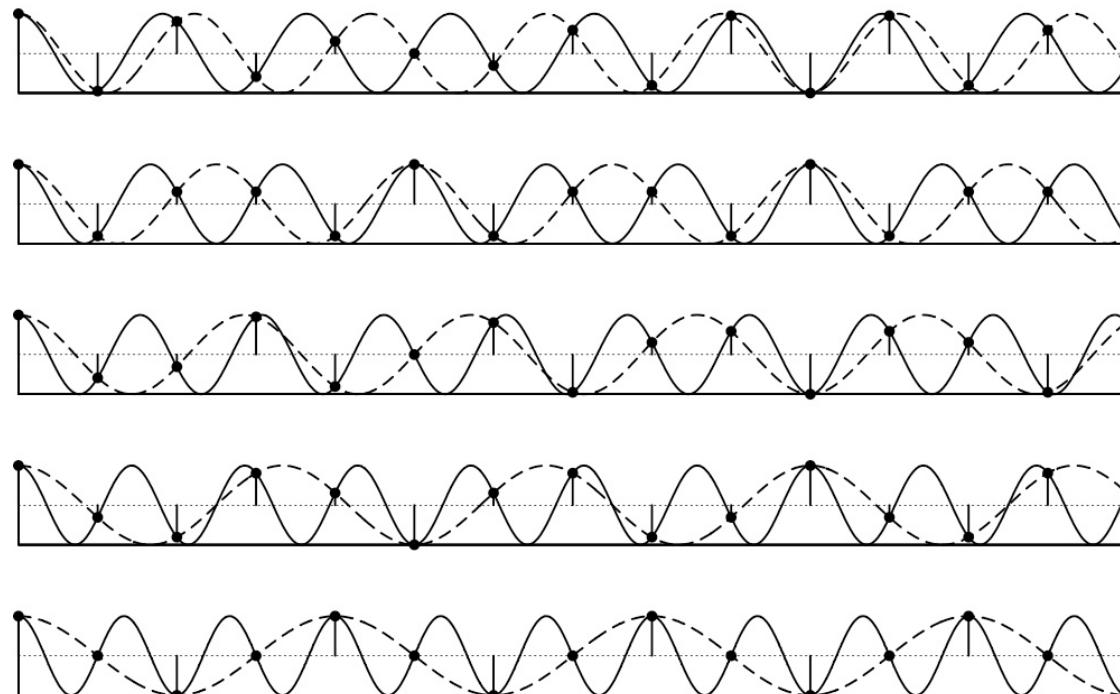


Image, Signal, and Sampling

- Nyquist–Shannon sampling theorem:

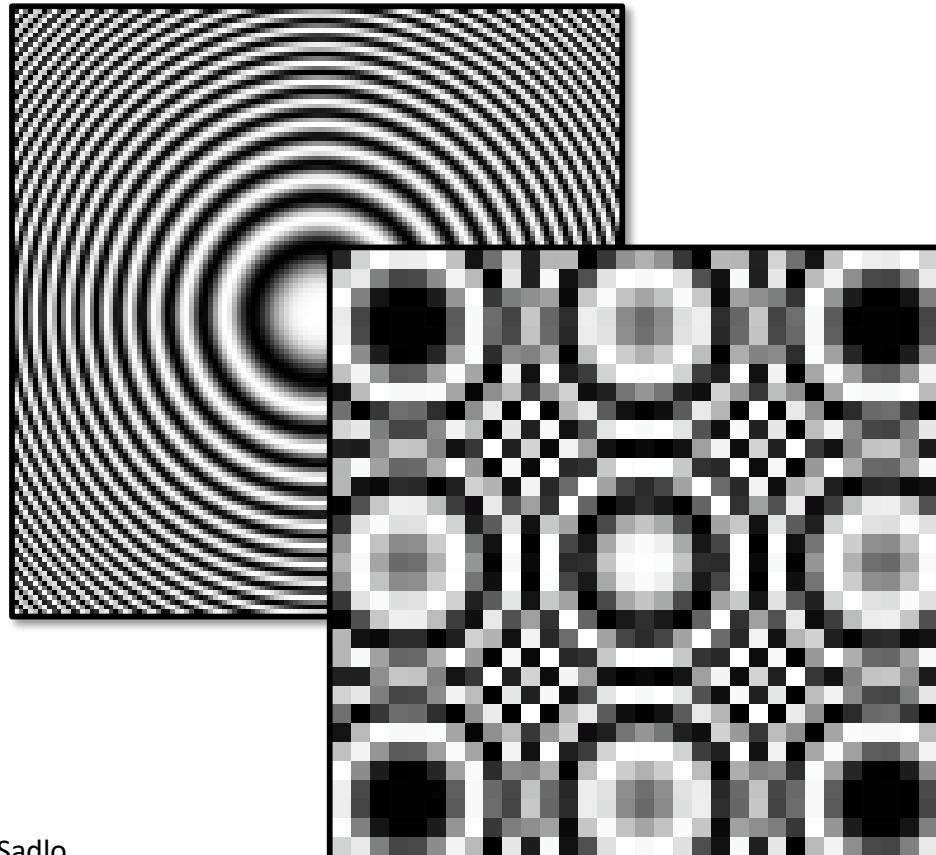
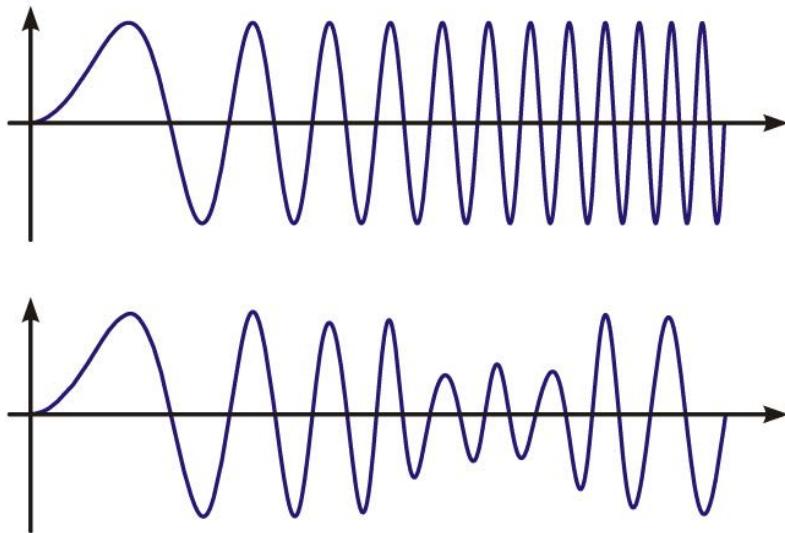
A continuous, band-limited signal with a max. frequency f_{\max} has to be sampled at a frequency larger than $2f_{\max}$ to be able to exactly reconstruct the original signal from the discretized signal

- Example: $f_{\text{sampI}} \leq 2f_{\max}$ ——— orig. signal ----- reconstr. signal



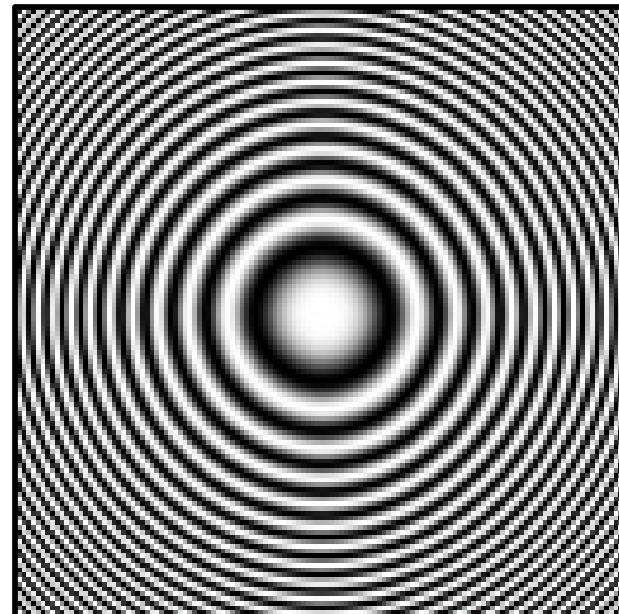
Image, Signal, and Sampling

- Aliasing effect: error introduced by sampling of signals
 - There are frequencies in reconstructed signal (i.e., in representation on monitor) that are not contained in original signal
- 1D and 2D version of our example: original and reconstruction

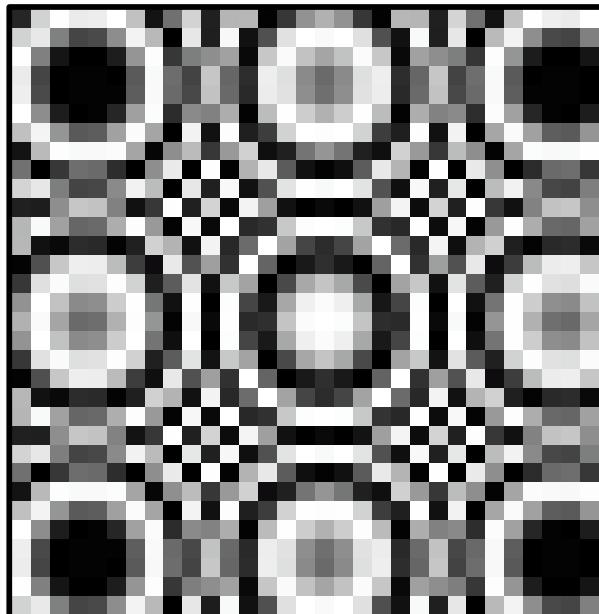


Image, Signal, and Sampling

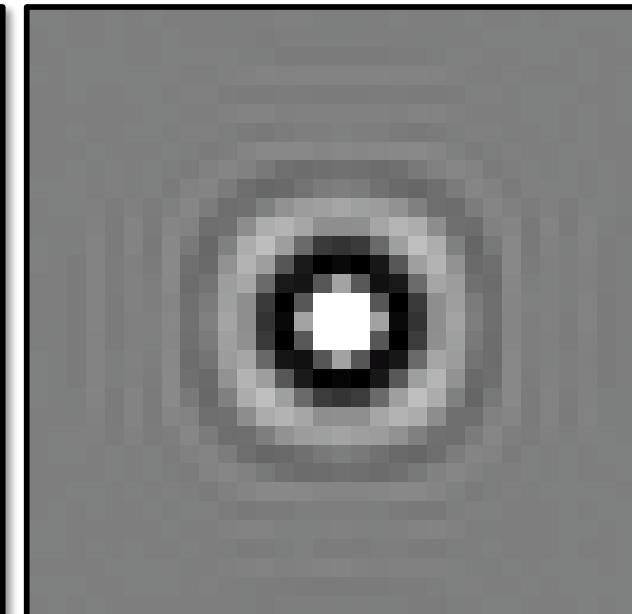
- Aliasing effect: error introduced by sampling of signals
 - There are frequencies in reconstructed signal (i.e., in representation on monitor) that are not contained in original signal
- Solution approaches:
 - Filtering of signal prior to sampling (“removal” of high frequencies):
 - In general not possible!
 - Higher sampling of signal, together with averaging (right image)



sufficient sampling



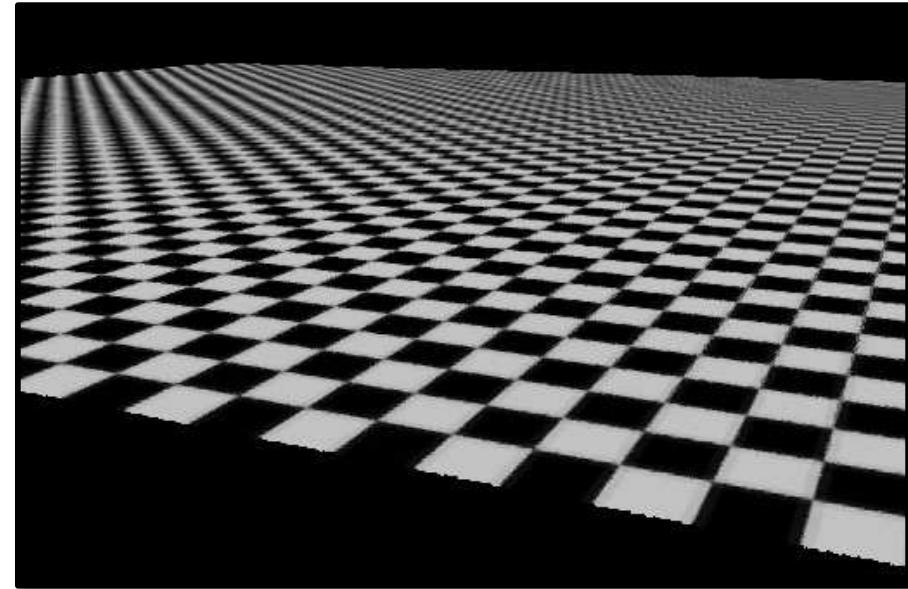
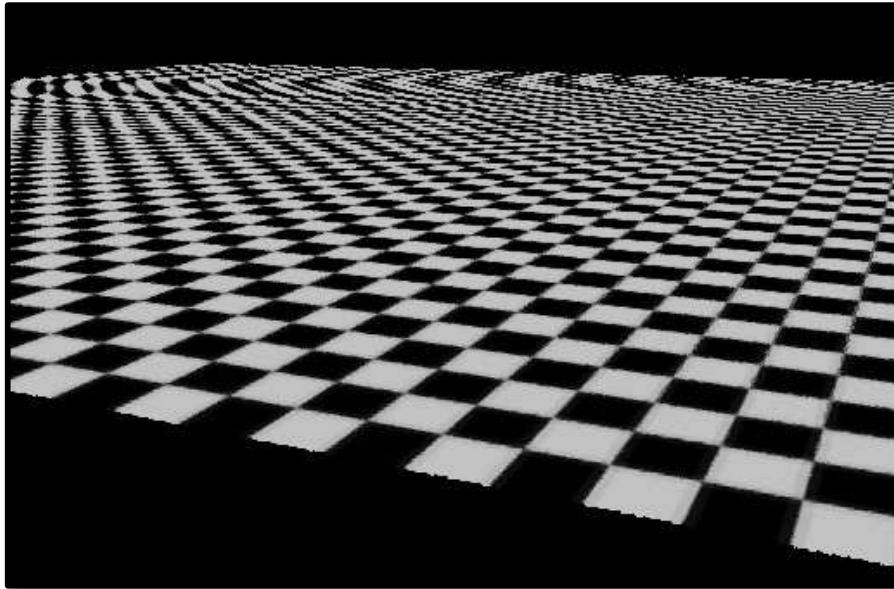
sampling too low → aliasing



antialiasing by oversampling

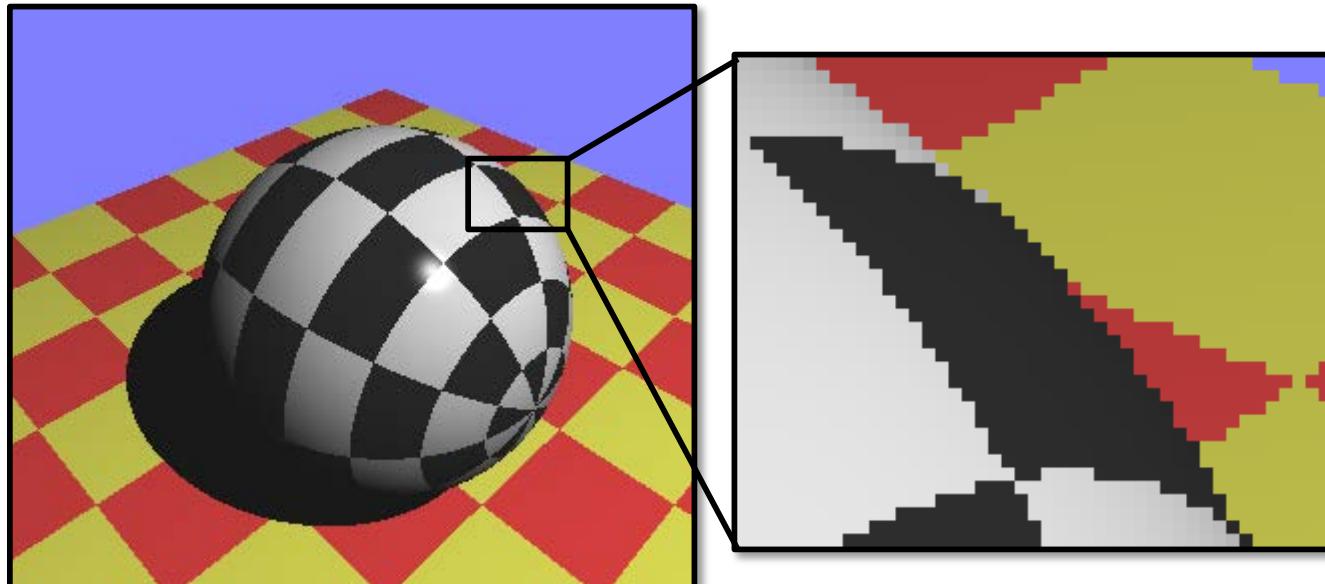
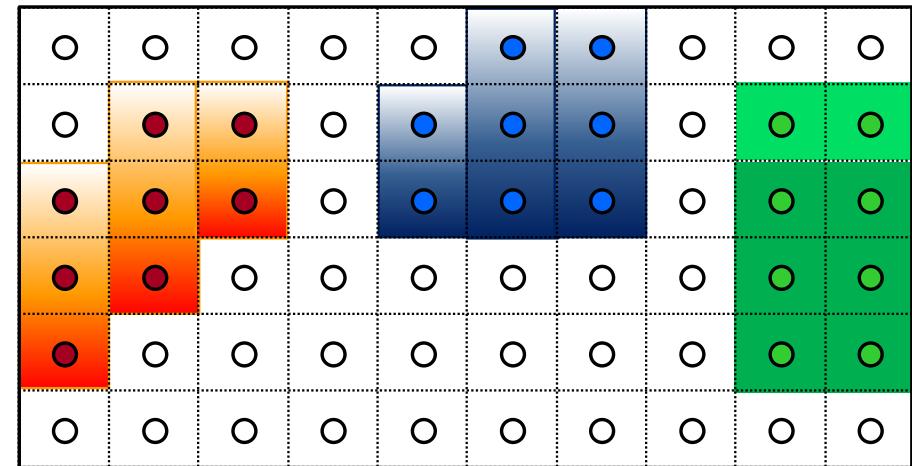
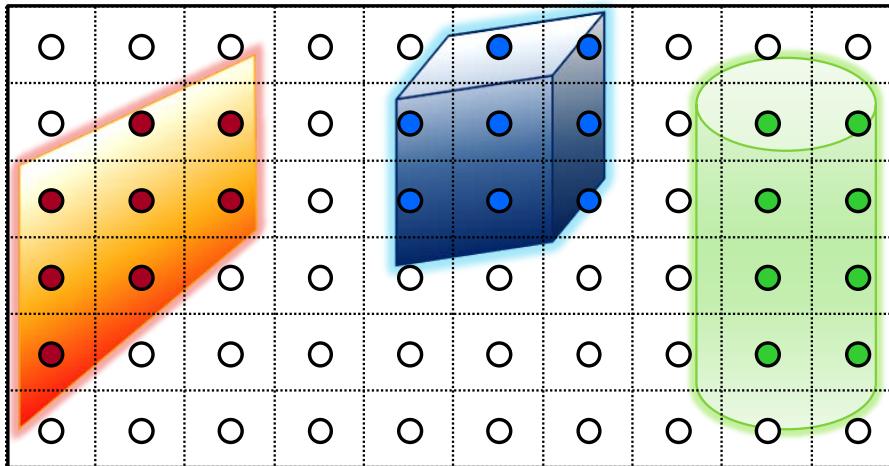
Aliasing Example

- ... the classical example for aliasing in computer graphics



Jaggies

- A type of aliasing that is frequent in computer graphics



Jaggies

- “Edge smoothing”
 - In general by (smart) oversampling (see later)

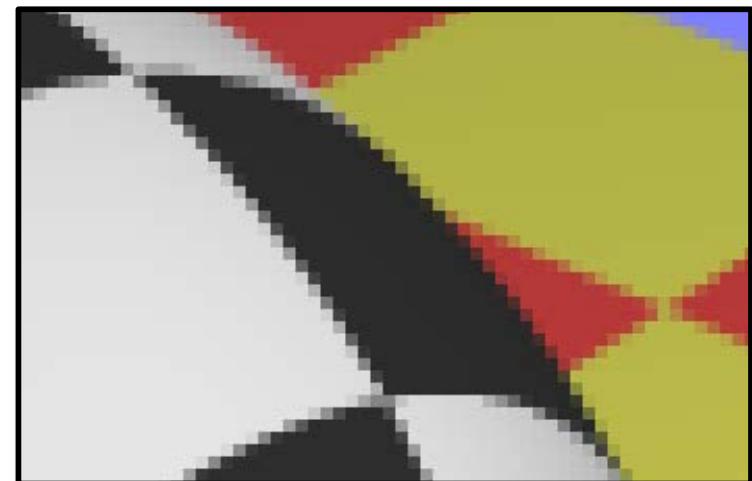
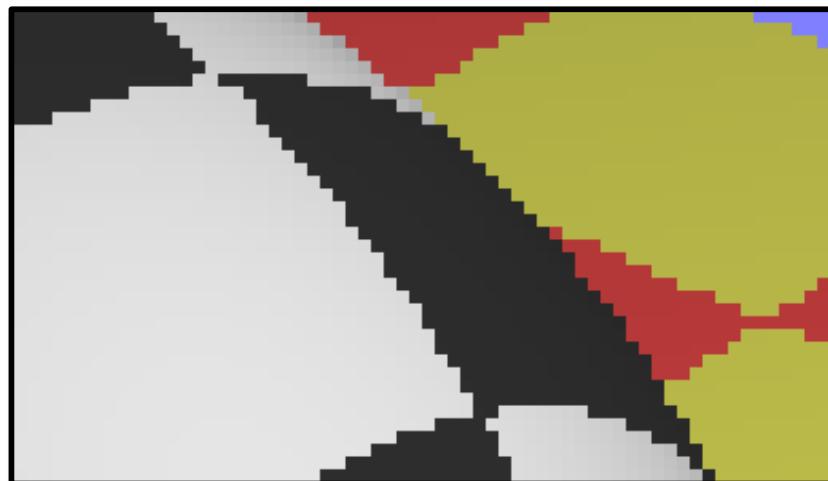
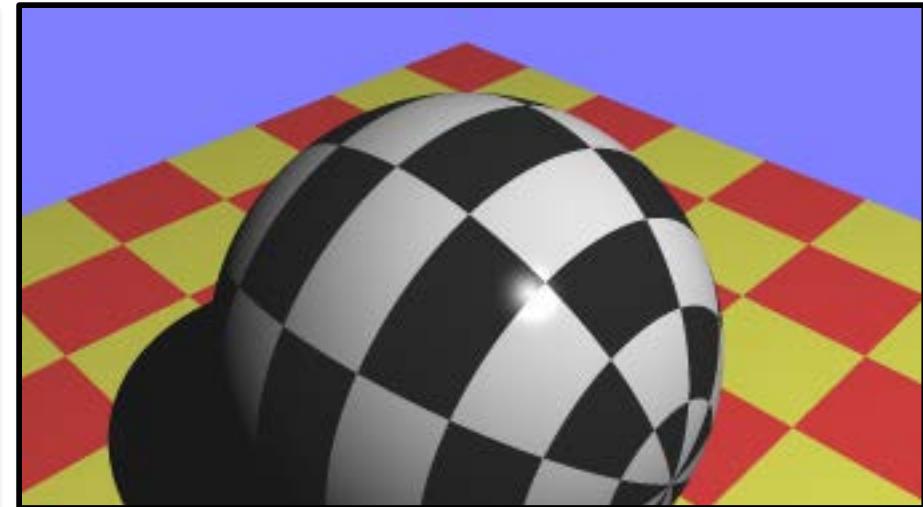
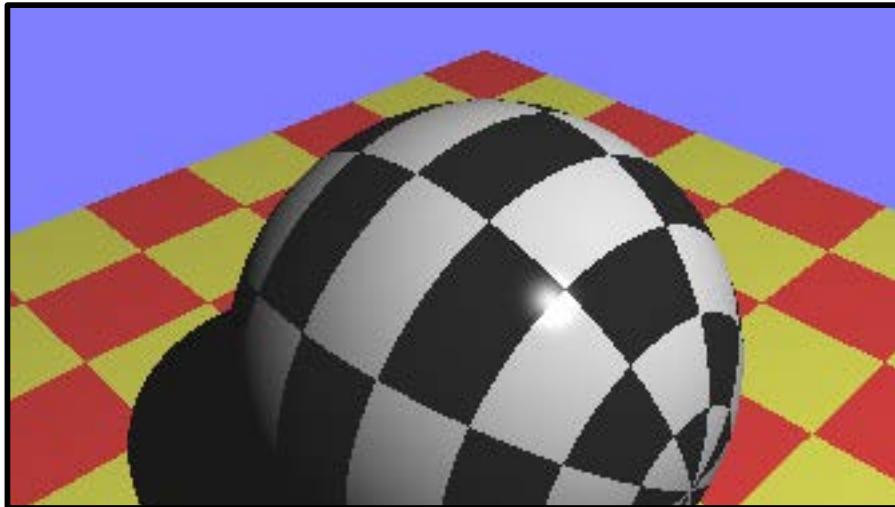


Image operations in color space

- Modification of color of a pixel based on current color at this position
 - No consideration of other (neighboring) pixels
- Composition of functions
 - Image function $f: \mathbb{R}^2 \rightarrow \mathbf{C}$ assigns to a position $\mathbf{u} \in \mathbb{R}^2$ in the image a color: $f(\mathbf{u}) \in \mathbf{C}$
 - Image operation is a function $g: \mathbf{C} \rightarrow \mathbf{C}'$
 - Example: modification of brightness
 - \mathbf{C}' may, but does not need to, be same color space as \mathbf{C}
 - The color at position \mathbf{u} is then $g(f(\mathbf{u}))$
 - Or: the image is now described by the function $g \circ f$

Example: Modification of Brightness

- Double brightness (here: gray-level image): $g(x) = 2x$



Example: Modification of Contrast

- Double contrast:

$$g(\mathbf{x}) = 2(\mathbf{x} - 0.5) + 0.5$$

$$g(f(\mathbf{u})) \in [-0.5; 1.5]^3$$



$$f(\mathbf{u}) \in [0; 1]^3$$



$$g(\mathbf{x}) = 0.5(\mathbf{x} - 0.5) + 0.5$$



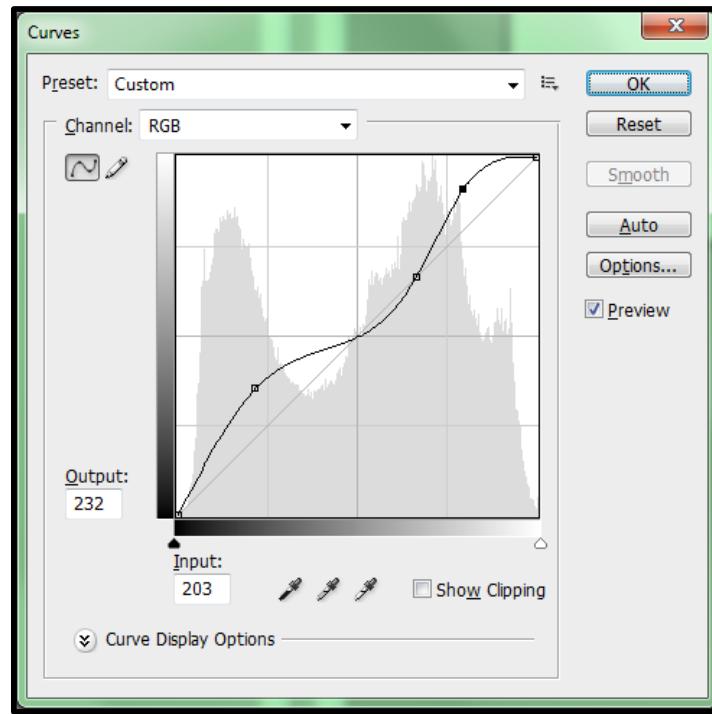
Example: Desaturation

- Often-used mapping (recap): $Y = 0.3R + 0.59G + 0.11B$



Gradation Curves

- Visual manipulation of function g
- Precise control



Operations in Color Space and Image Space

- New color of a pixel depends on
 - its current color
 - the colors of its neighboring pixels
- Many image operations (so-called filters) can be expressed by means of convolution
 - Examples: blur, sharpening, edge detection, embossing, ...

Convolution – Linear Filters

- Image function f assigns a color to each position
- A convolution computes the “overlap” of a function f with a second function g , which is shifted (mirrored about the y -axis) over f

$$[f * g](t) = \int_{-\infty}^{\infty} f(\tau)g(t - \tau)d\tau$$

- g is the filter function
- The convolution of two functions is again a function

Convolution

- Convolution of two box functions f and g
- Results in a triangle function: the area of the product of f and g for each translation

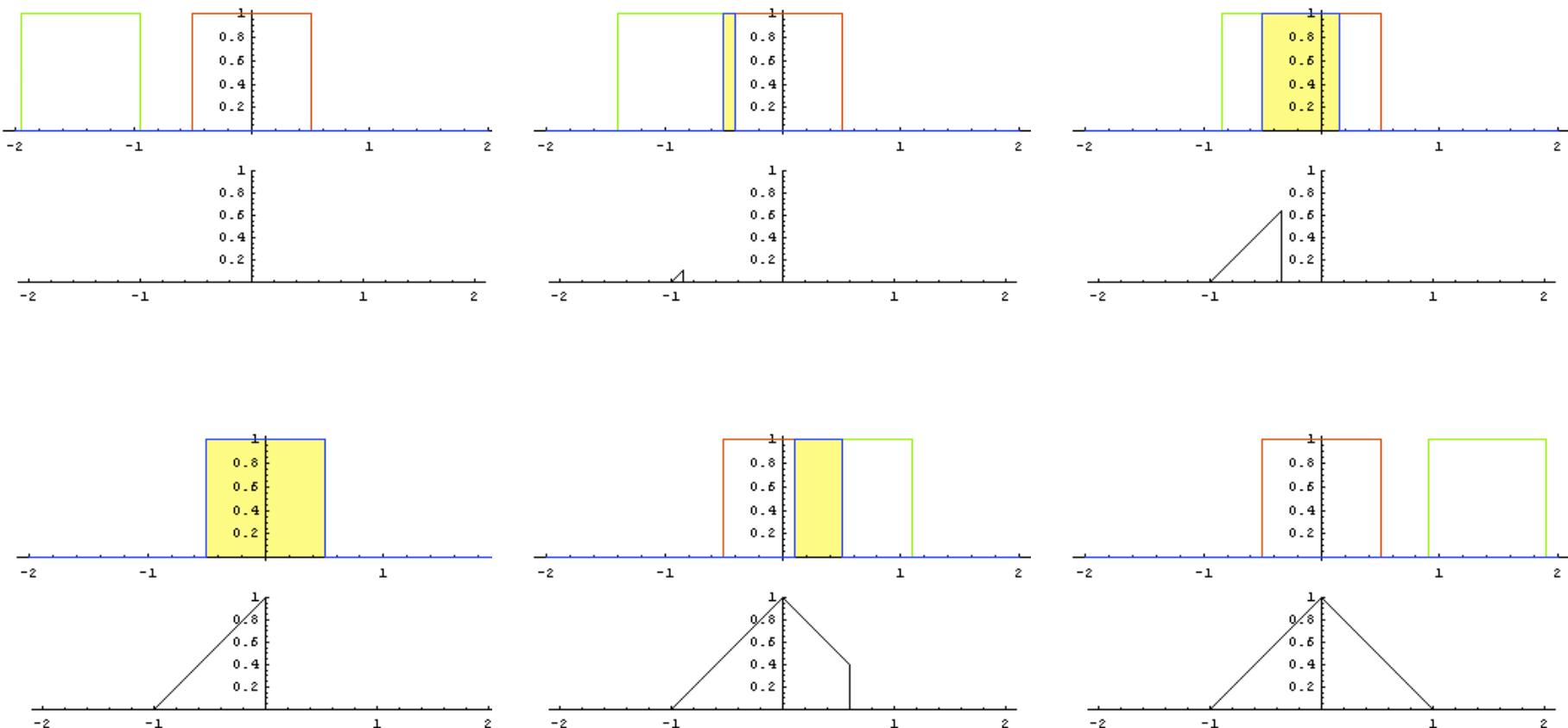


image: Wikipedia

Discrete Convolution

- If f and g are discrete (e.g., only defined at integer positions), then discrete convolution is

$$[f * g](n) = \sum_{i=-\infty}^{\infty} f(i)g(n - i)$$

- Principle
 - Filter function (“kernel”) g is centered around n -th pixel
 - Weighting of each pixel according to the value of g at that position
 - Summation of the weighted color values results in color of n -th pixel

Convolution in 2D

- Continuous

$$[f * g](s, t) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\sigma, \tau) g(s - \sigma, t - \tau) d\sigma d\tau$$

- Discrete

$$[f * g](m, n) = \sum_{i=-\infty}^{\infty} \sum_{j=-\infty}^{\infty} f(i, j) g(m - i, n - j)$$

- Filters $g(\cdot, \cdot)$ are often nonzero only within a (small) interval

$$[f * g](m, n) = \sum_{i=m-a}^{m+a} \sum_{j=n-b}^{n+b} f(i, j) g(m - i, n - j)$$

with $-a \leq m - i \leq a$, and $-b \leq n - j \leq b$

Example: Discrete Convolution in 2D

```
#define WIDTH 128
#define HEIGHT 128

// an atypical floating-point frame buffer
float img[ WIDTH * HEIGHT ];

// gray-level image in floating-point repres. (0.0 = black, 1.0 = white)
float f[ WIDTH * HEIGHT ];

// discrete filter function with a=b=3
float g_table[ 7 * 7 ];
#define g( i, j ) g_table[ ((i)+3) + ((j)+3) * 7 ]
int a = 3, b = 3;

for ( n = 0; n < HEIGHT; n++ ) {
    for ( m = 0; m < WIDTH; m++ ) {

        float res = 0.0f;

        for ( int j = n - b; j <= n + b; j++ )
            for ( int i = m - a; i <= m + a; i++ )
                res += f[ i + j * WIDTH ] * g( m - i, n - j );

        img[ m + n * WIDTH ] = res;
    }
}
```



Caution:
this example does not perform
range checks!

Example: Discrete Convolution in 2D

- Assumption: all values outside of domain of f are 0
(other possible assumptions: periodic boundaries, repetition, ...)
- In this example, the kernel matrix is symmetric, thus one cannot see that it is mirrored
 - Instead of mirroring the kernel, one could, of course, also adjust the indexing ...

$$[f * g](m, n) = \sum_{i=m-a}^{m+a} \sum_{j=n-b}^{n+b} f(i, j)g(m - i, n - j)$$

2	3	1
0	5	1
1	0	8

 $*$

0	-1	0
-1	5	-1
0	-1	0

 $=$

7	7	1
-8	21	-9
5	-14	39

Example: Discrete Convolution in 2D

0	-1	0	
-1	2	3	1
0	0	5	1
1	0	8	

7	?	?
?	?	?
?	?	?

Example: Discrete Convolution in 2D

0	-1	0
2 -1	3 5	1 -1
0 0	5 -1	1 0
1	0	8

7	7	?
?	?	?
?	?	?

Example: Discrete Convolution in 2D

	0	-1	0
2	3 -1	1 5	-1
0	5 0	1 -1	0
1	0	8	

7	7	1
?	?	?
?	?	?

Example: Discrete Convolution in 2D

0	2 -1	3 0	1
-1	0 5	5 -1	1
0	1 -1	0 0	8

7	7	1
-8	?	?
?	?	?

Example: Discrete Convolution in 2D

2 0	3 -1	1 0
0 -1	5 5	1 -1
1 0	0 -1	8 0

7	7	1
-8	21	?
?	?	?

Example: Discrete Convolution in 2D

2	3 0	1 -1	0
0	5 -1	1 5	-1
1	0 0	8 -1	0

7	7	1
-8	21	-9
?	?	?

Example: Discrete Convolution in 2D

	2	3	1
0	0	5	1
-1	1	0	8
0	-1	0	

7	7	1
-8	21	-9
5	?	?

Example: Discrete Convolution in 2D

2	3	1
0 0	5 -1	1 0
1 -1	0 5	8 -1
0	-1	0

7	7	1
-8	21	-9
5	-14	?

Example: Discrete Convolution in 2D

2	3	1	
0	5 0	1 -1	0
1	0 -1	8 5	-1
	0	-1	0

7	7	1
-8	21	-9
5	-14	39

Example: Discrete Convolution in 2D

$$\begin{matrix} 2 & 3 & 1 \\ 0 & 5 & 1 \\ 1 & 0 & 8 \end{matrix} * \begin{matrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{matrix} = \begin{matrix} 7 & 7 & 1 \\ -8 & 21 & -9 \\ 5 & -14 & 39 \end{matrix}$$

Filter: Blur

- Normalized kernel: entries sum up to 1 → total brightness is preserved



$$\begin{matrix} & * & \begin{matrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{matrix} & / 9 \end{matrix}$$



Filter: Edge Detection

- “Negative Laplace operator”



*

0	-1	0
-1	4	-1
0	-1	0



Filter: Sharpen



*

0	-1	0
-1	5	-1
0	-1	0



Filter: Emboss



*

-1	-1	0
-1	1	1
0	1	1



Morphological Operators

- Structurally changing operation (nonlinear filter)
- Originally defined on binary images A
- Consider set of neighboring pixels
 - Set of neighboring pixels: structural element B
 - Operation: set or delete pixels
- $(\hat{B})_z$ represents translation/centering of B around a pixel z
- **Dilation**

B	0	1	0
	1	1	1
	0	1	0

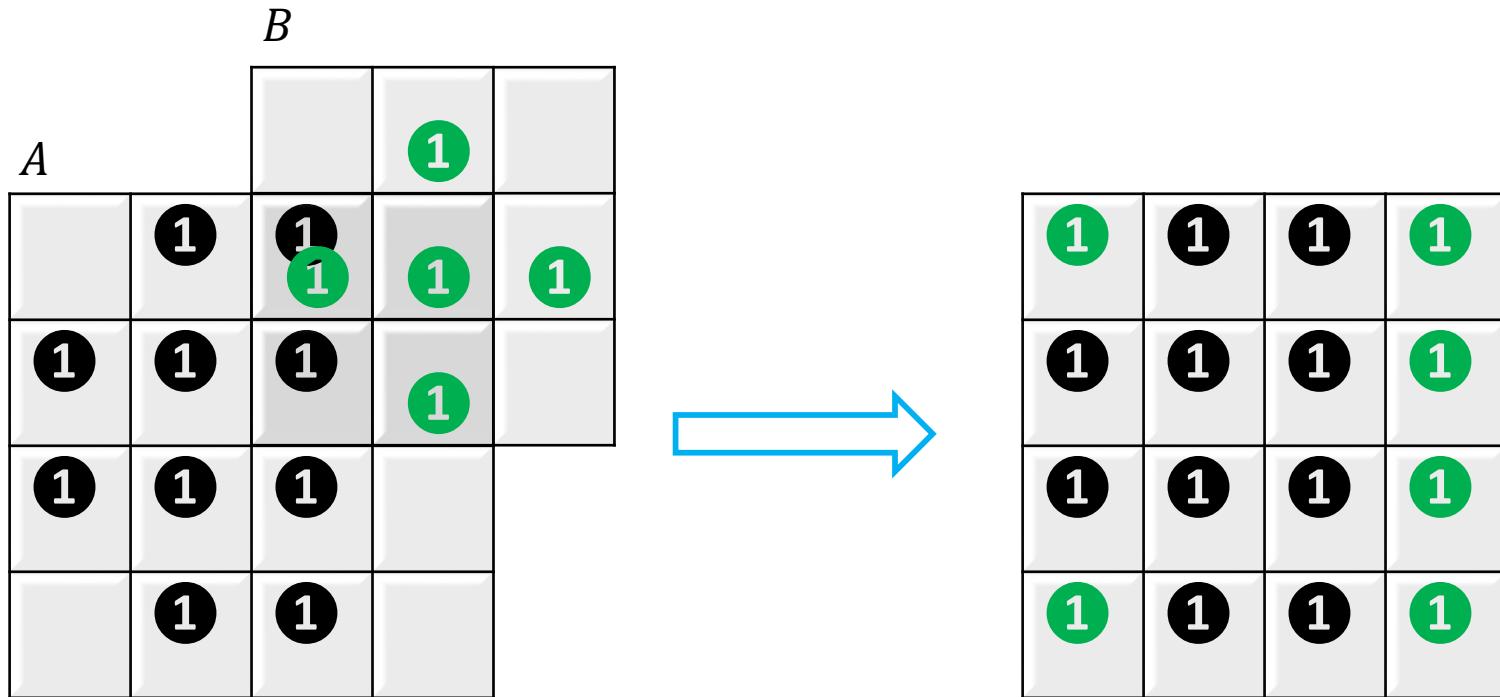
$$A \oplus B = \{z \mid (\hat{B})_z \cap A \neq \emptyset\}$$

- Set of all pixels z , at which a “1” in $(\hat{B})_z$ overlaps at least with one “1” in A
- **Erosion**

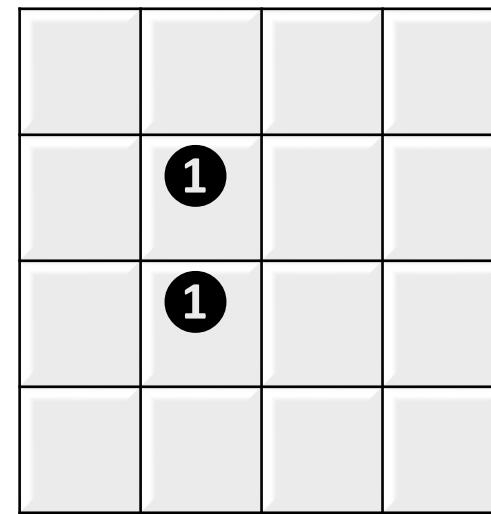
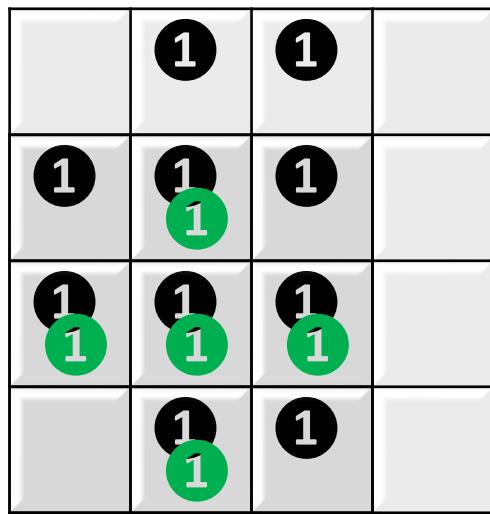
$$A \ominus B = \{z \mid (\hat{B})_z \subseteq A\}$$

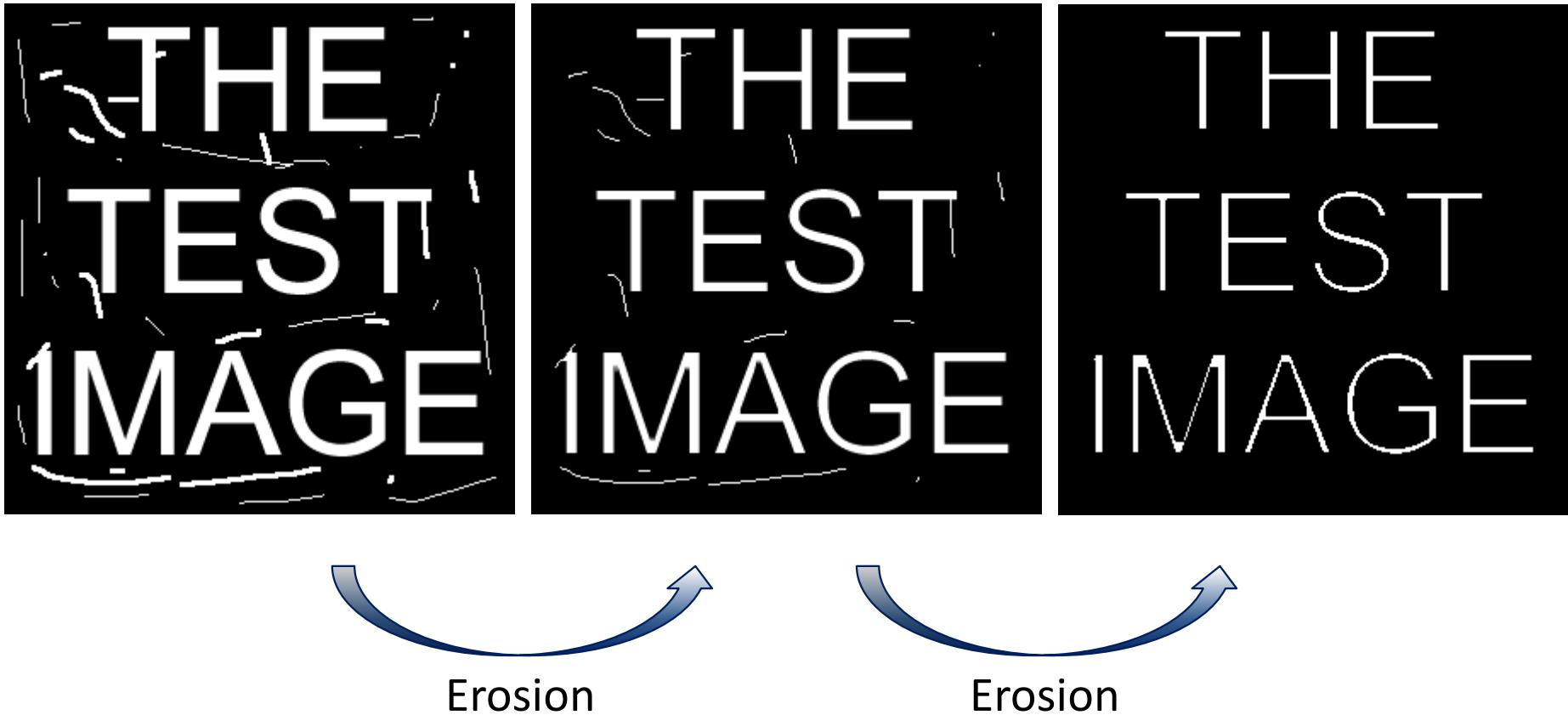
- Set of all pixels z , at which all “1” in $(\hat{B})_z$ overlap with a “1” in A
- Or: if there is at least one “1” in $(\hat{B})_z$ that overlaps a “0” in A , then respective pixel is not set in resulting image

Dilation



Erosion



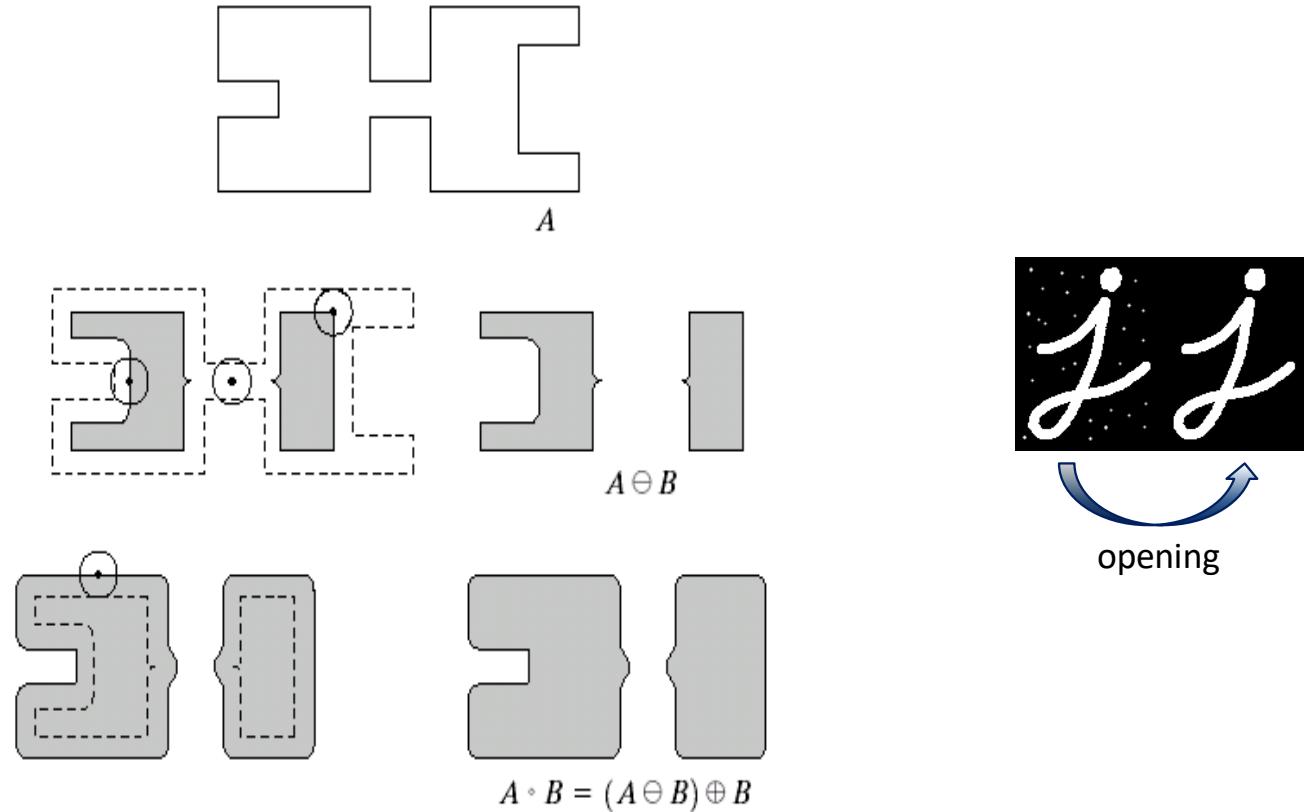


Opening and Closing

- **Opening:** smooths parts of contour, removes protrusions and bridges

$$A \odot B = (A \ominus B) \oplus B$$

- Erosion, followed by dilation:



Opening and Closing

- **Closing:** smooths parts of contour, closes smaller gaps

$$A \odot B = (A \oplus B) \ominus B$$

- Dilation, followed by erosion:

