

COMP2313

Formal Specification and Verification

Lecture 4: Rocq - Basics (continue) -

Proof by Case Analysis

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Prelude

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Recall: Proof Techniques So Far

We have learned several proof techniques:

- **Simplification** with `simpl`
- **Reflexivity** with `reflexivity`
- **Rewriting** with `rewrite`
- **Introduction** with `intros`

Today we learn a new powerful technique: **Case Analysis**

- Used when a proof requires considering different cases
- Essential for reasoning about finite data types
- Implemented using the `destruct` tactic

The destruct Tactic

The destruct Tactic

```
Theorem plus_1_neq_0 : forall n : nat,  
  (n + 1) =? 0 = false.
```

Proof.

```
intros n.  
destruct n as [| n'] eqn:E.  
- (* n = 0 *)  
  reflexivity.  
- (* n = S n' *)  
  reflexivity.
```

Qed.

- `=?` checks equality and returns a bool (true/false)
- `destruct n` splits into cases: 0 and `S n'`
- `as [| n']` names the variables in each constructor case: empty before `|` means 0, `n'` after `|` names the inner value inside
- `eqn:E` saves the case equation into the context as `E`
- – separate subgoals

Understanding Case Analysis

After destruct n :

Case 1: $n = 0$

$n : \text{nat}$

$E : n = 0$

=====

$(0 + 1) =? 0 = \text{false}$

Case 2: $n = S n'$

$n, n' : \text{nat}$

$E : n = S n'$

=====

$(S n' + 1) =? 0 = \text{false}$

Both simplify to $\text{false} = \text{false}$.

Why Case Analysis Works

The `destruct` tactic leverages the structure of inductive types:

```
Inductive nat : Type :=
| 0          (* Zero *)
| S (n:nat). (* Successor *)
```

- Every natural number is **either** 0 **or** $S\ n'$ for some n'
- To prove something for all natural numbers, prove it for both cases
- No other cases are possible!

Safety Analysis by Case Analysis

Big Idea: Exhaustive Case Analysis for Safety Verification

Case analysis splits a value into all possible constructors and solves each case separately. By handling every constructor exhaustively, we guarantee safety properties hold universally, if it's safe in all cases, it's always safe.

Key Points:

- **Follows the structure of the type:** bool gives 2 cases, nat gives 2 cases, day gives 7 cases, one case per constructor.
- **Peels off constructor layers:** destruct n turns an unknown n into concrete cases 0 and S n', making computation possible.
- **Exhaustive by design:** Rocq forces you to handle every constructor, you cannot miss a case, guaranteeing complete safety coverage.
- **Proves safety through impossibility** showing a bad case cannot occur in any constructor proves it never occurs.

Case Analysis on Booleans

Case Analysis on Booleans

```
Theorem negb_involutive : forall b : bool,  
  negb (negb b) = b.
```

Proof.

```
intros b.  
destruct b eqn:E.  
- (* b = true *)  
  reflexivity.  
- (* b = false *)  
  reflexivity.
```

Qed.

- Boolean has two cases: true and false
- No as [l n'] needed because bool's constructors true and false have no inner values to name, unlike S (n : nat) which carries a value inside

Understanding Boolean Case Analysis

After destruct b:

Case 1: $b = \text{true}$

E : $b = \text{true}$

=====

$\text{negb}(\text{negb true}) = \text{true}$

Simplifies to: $\text{true} = \text{true}$

Case 2: $b = \text{false}$

E : $b = \text{false}$

=====

$\text{negb}(\text{negb false}) = \text{false}$

Simplifies to: $\text{false} = \text{false}$

Let's Reflect

Task: Prove the following theorem about boolean AND operation using only case analysis)

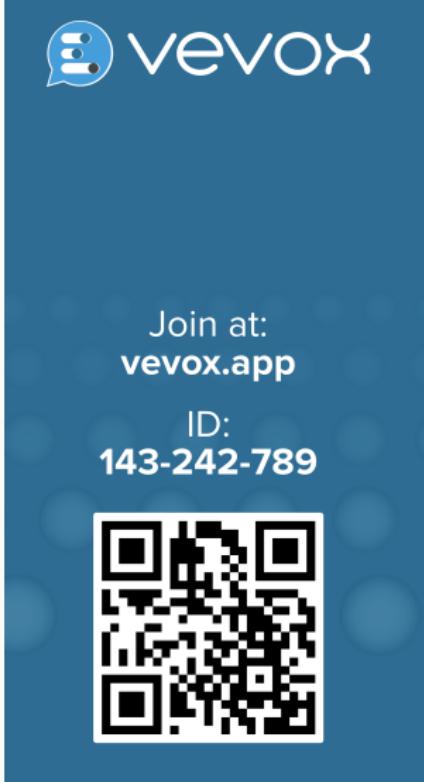
Theorem andb3_exchange :

$$\begin{aligned} \text{forall } b \text{ c } d, \text{andb } (\text{andb } b \text{ c) } d \\ = \text{andb } (\text{andb } b \text{ d) } c. \end{aligned}$$

Proof.

(* Replace Admitted with your Solution,
do not forget to add Qed. at the end*)

Admitted.



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Solution: andb3_exchange

Theorem andb3_exchange :

forall b c d, andb (andb b c) d = andb (andb b d) c.

Proof.

```
intros b c d. destruct b eqn:Eb.
```

```
- destruct c eqn:Ec.
```

```
{ destruct d eqn:Ed.
```

```
  - reflexivity.
```

```
  - reflexivity. }
```

```
{ destruct d eqn:Ed.
```

```
  - reflexivity.
```

```
  - reflexivity. }
```

```
- destruct c eqn:Ec.
```

```
{ destruct d eqn:Ed.
```

```
  - reflexivity.
```

```
  - reflexivity. }
```

```
{ destruct d eqn:Ed.
```

```
  - reflexivity.
```

```
  - reflexivity. }
```

Qed.

Multiple Case Analysis

Case Analysis with Multiple Variables

```
Theorem andb_commutative : forall b c,  
  andb b c = andb c b.
```

Proof.

```
intros b c.  destruct b eqn:Eb.  
- (* b = true *)  
  destruct c eqn:Ec.  
    (* c = true *)  
    + reflexivity.  
    (* c = false *)  
    + reflexivity.  
- (* b = false *)  
  destruct c eqn:Ec.  
    (* c = true *)  
    + reflexivity.  
    (* c = false *)  
    + reflexivity.
```

Qed.

Understanding Multiple Cases

With two boolean variables, we have 4 cases:

1. $b = \text{true}, c = \text{true}$: $\text{and} b \text{ true true} = \text{and} b \text{ true true}$
2. $b = \text{true}, c = \text{false}$: $\text{and} b \text{ true false} = \text{and} b \text{ false true}$
3. $b = \text{false}, c = \text{true}$: $\text{and} b \text{ false true} = \text{and} b \text{ true false}$
4. $b = \text{false}, c = \text{false}$: $\text{and} b \text{ false false} = \text{and} b \text{ false false}$

Each case simplifies and is proved by reflexivity.

Organizing Proofs

Bullet Styles

Rocq supports multiple bullet styles for organizing subgoals:

- - (single dash)
- + (plus sign)
- * (asterisk)
- { and } (braces)

Proof.

```
intros b c.  
destruct b.  
{ destruct c.  
  { reflexivity. }  
  { reflexivity. } }  
{ destruct c.  
  { reflexivity. }  
  { reflexivity. } }
```

Qed.

Nested Bullets

Use different bullet levels for nested cases:

Proof.

```
intros.  
destruct x.  
- (* x case 1 *)  
  destruct y.  
  + (* y case 1 *)  
    reflexivity.  
  + (* y case 2 *)  
    reflexivity.  
- (* x case 2 *)  
  destruct y.  
  + (* y case 1 *)  
    reflexivity.  
  + (* y case 2 *)  
    reflexivity.
```

Qed.

Comments in Proofs

Always add comments to clarify what each case represents:

Proof.

```
intros n.  
destruct n as [| n'] eqn:E.  
- (* n = 0 *)  
  (* Proof for base case *)  
  reflexivity.  
- (* n = S n' *)  
  (* Proof for successor case *)  
  reflexivity.
```

Qed.

- Makes proofs easier to understand
- Helps when reviewing or debugging proofs
- Good practice for collaborative work

When Case Analysis is Not Enough

Limitations of Case Analysis

Consider trying to prove:

```
Theorem plus_n_0 : forall n:nat,  
  n = n + 0.
```

Proof.

```
intros n.
```

```
simpl.
```

(* Nothing happens! *)

- simpl cannot reduce $n + 0$ because n is a variable
- Case analysis with destruct only helps with the base case
- The successor case cannot be proved without the result for smaller numbers

Why Destruct Fails Here

Let's try using `destruct`:

Proof.

```
intros n.  
destruct n as [| n'] eqn:E.  
- (* n = 0 *)  
  simpl. reflexivity. (* This works! *)  
- (* n = S n' *)  
  simpl.  
  (* Goal: S n' = S (n' + 0) *)  
  (* We're stuck! *)
```

- Base case: $0 = 0 + 0$ is provable
- Successor case: $S n' = S (n' + 0)$ requires knowing $n' = n' + 0$
- We need a more powerful technique: `induction`

Summary

Summary: Case Analysis

1. The `destruct` Tactic:

- Splits proof into cases based on type constructors
- Works on any inductive type
- Essential for finite case analysis

2. Organizing Proofs:

- Use bullets (-, +, *) to structure cases
- Add comments for clarity

3. When to Use:

- Finite number of cases
- Each case provable independently
- No dependency on previous cases

Key Takeaways

- **Case analysis is exhaustive:** Must cover all constructors
- **Cases are independent:** Each proved separately
- **Good for finite types:** Booleans, small enumerations
- **Structure matters:** Use bullets and comments
- **Not always sufficient:** Some proofs need induction

When case analysis fails: If proving case n requires knowing the result for case $n - 1$, you need induction (next lecture).

Proof Strategies So Far

Technique	When to Use
reflexivity	When both sides are identical
simpl	To evaluate/reduce expressions
rewrite	To use equations/hypotheses
destruct	For case analysis on finite types

Next lecture: induction for recursive/infinite types

Additional Resources

- Software Foundations full textbook:
softwarefoundations.cis.upenn.edu
- Rocq: <https://rocq-prover.org/>

Next Lecture

Topics for next time:

- Mathematical induction
- The induction tactic
- Proving properties for all natural numbers
- Helper lemmas and complex proofs

Questions?