

# Hopf Algebra

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# Motivation

- Let  $R$  be an  $F$ -algebra (we may think  $F = \mathbb{C}$  or  $\mathbb{R}$ )
- $M$  be a right  $R$ -module ( $M \in \text{Mod} - R$ )
- $N$  be a left  $R$ -module ( $N \in R - \text{Mod}$ )
- In general,  $M \otimes_R N$  does not have an  $R$ -module structure.
- We want to see what conditions should be imposed on  $R$  to give the tensor product a module structure.

# Modules

- Now let  $R$  be a  $\mathbb{C}$  algebra and  $M, N \in R\text{-Mod}$
- Then,  $M \otimes_{\mathbb{C}} N$  is an  $R \otimes_{\mathbb{C}} R$  module

$$(r_1 \otimes r_2) \cdot (m \otimes n) := r_1 m \otimes r_2 n$$

- If we had a ring hom  $\Delta : R \rightarrow R \otimes_{\mathbb{C}} R$ , then we can possibly make  $M \otimes_{\mathbb{C}} N$  an  $R$ -mod by defining:

$$a \cdot (m \otimes n) := \Delta(a) \cdot (m \otimes n)$$

# Modules

- We would also like to have the  $R$ -mod isomorphism:

$$(L \otimes M) \otimes N \cong L \otimes (M \otimes N)$$

- Let  $\Delta(a) = \sum_i a_1^i \otimes a_2^i =: a_1 \otimes a_2$  (Sweedler notation)
- Then,

$$\Delta(a) \cdot ((l \otimes m) \otimes n) = a_1 \cdot (l \otimes m) \otimes a_2 n = (a_{11} l \otimes a_{12} m) \otimes a_2 n$$

and,

$$\Delta(a) \cdot (l \otimes (m \otimes n)) = a_1 l \otimes a_2 \cdot (m \otimes n) = a_1 l \otimes (a_{21} m \otimes a_{22} n)$$

# Modules

- To have our desired  $R$ -mod isomorphism, we must have:

$$(a_{11}l \otimes a_{12}m) \otimes a_2n = a_1l \otimes (a_{21}m \otimes a_{22}n)$$

- So, we would like to have:

$$(a_{11} \otimes a_{12}) \otimes a_2 = a_1 \otimes (a_{21} \otimes a_{22})$$

$$\implies \Delta(a_1) \otimes a_2 = a_1 \otimes \Delta(a_2)$$

$$\implies (\Delta \otimes id) \circ \Delta(a) = (id \otimes \Delta) \circ \Delta(a)$$

# Modules

- We also want  $\mathbb{C} \in R - \text{mod}$  such that
$$C \otimes M \cong M \cong M \otimes \mathbb{C}$$
- So we need a ring hom  $\varepsilon : R \rightarrow \mathbb{C}$
- Then we need:

$$a \cdot (c \otimes m) = a \cdot (cm) = a \cdot (m \otimes c)$$

$$\implies a_1 \cdot c \otimes a_2 m = a \cdot (cm) = a_1 m \otimes a_2 \cdot c$$

$$\implies \varepsilon(a_1)c \otimes a_2 m = a \cdot (cm) = a_1 m \otimes \varepsilon(a_2)c$$

- So, we would like to have:

$$\varepsilon(a_1) \otimes a_2 = a = a_1 \otimes \varepsilon(a_2)$$

$$\implies (\varepsilon \otimes id) \circ \Delta(a) = a = (id \otimes \varepsilon) \circ \Delta(a)$$

## Definition

A  $\mathbb{C}$ -bialgebra is a triplet  $(R, \Delta, \varepsilon)$  such that:

- $R$  is a  $\mathbb{C}$ -algebra
- The algebra morphism  $\Delta : R \rightarrow R \otimes R$  is a comultiplication map i.e it satisfies:

$$(\Delta \otimes id) \circ \Delta = (id \otimes \Delta) \circ \Delta \quad (\text{Coassociativity})$$

- The algebra morphism  $\varepsilon : R \rightarrow \mathbb{C}$  is a counit map i.e it satisfies:

$$(\varepsilon \otimes id) \circ \Delta = id = (id \otimes \varepsilon) \circ \Delta \quad (\text{counit law})$$

# Bialgebra example

## Example

*For a group  $G$ ,  $\mathbb{C}[G]$  becomes a bialgebra with:*

- $\Delta(g) = g \otimes g$
- $\varepsilon(g) = 1$

## Example

*For a Lie algebra  $\mathfrak{g}$ , its universal algebra  $U(\mathfrak{g})$  becomes a bialgebra with:*

- $\Delta(v) = v \otimes 1 + 1 \otimes v$
- $\varepsilon(v) = 0$



# Duals

- Now let  $M \in R\text{-mod}$  with its  $\mathbb{C}$ -vector space dual  $M^*$
- We want to see what conditions should be imposed on  $R$  to make  $M^*$  an  $R$ -module
- If we wanted to define:

$$(a \cdot f)(v) := f(av)$$

Then we would have:

$$(a \cdot (b \cdot f))(v) = (b \cdot f)(av) = f(bav) \neq f(abv) = ((ab) \cdot f)(v)$$

Thus, it does not provide us with any  $R$ -mod structure.

- However, if we have an antialgebra morphism  $S : R \rightarrow R$   
i.e  $S(ab)=S(b)S(a)$  and  $S(a + b) = S(a) + S(b)$ ,

then we can possibly make  $M^*$  an  $R$ -module by defining the following action:

$$(a \cdot f)(v) := f(S(a)v)$$

And we have our desired relation:

$$(a \cdot (b \cdot f))(v) = f(S(b)S(a)v) = f(S(ab)v) = ((ab) \cdot f)(v)$$

# Duals

- We further want the following maps to be  $R$ -algebra morphisms.

$$\text{ev}_1 : M^* \otimes M \rightarrow \mathbb{C}$$

$$\text{ev}_2 : M \otimes M^* \rightarrow \mathbb{C}$$

$$\text{coev}_1 : \mathbb{C} \rightarrow M \otimes M^*$$

$$\text{coev}_2 : \mathbb{C} \rightarrow M^* \otimes M$$

- Then for all  $f \otimes v \in M^* \otimes M$ , we need:

$$\text{ev}_1(a \cdot (f \otimes v)) = a \cdot \text{ev}_1(f \otimes v)$$

$$\implies \text{ev}_1(a_1 f \otimes a_2 \cdot v) = a \cdot (f(v))$$

$$\implies (a_1 \cdot f)(a_2 v) = \varepsilon(a) f(v)$$

$$\implies f(S(a_1) a_2 v) = f(\varepsilon(a) v)$$

$$\implies S(a_1) a_2 = \varepsilon(a) \mathbf{1}_R$$

# Duals

- Also for the map  $\text{coev}_1 : \mathbb{C} \rightarrow M \otimes M^*$ , we need,

$$\text{coev}_1(a \cdot 1) = a \cdot \text{coev}_1(1)$$

$$\implies \text{coev}_1(\varepsilon(a)) = a \cdot \sum_i (e_i \otimes e^i)$$

$$\implies \varepsilon(a) \sum_i (e_i \otimes e^i) = \sum_i (a_1 e_i \otimes a_2 e^i)$$

$$\implies \varepsilon(a) \sum e_i \cdot e^i(v) = \sum a_1 e_i \cdot a_2 e^i(v)$$

$$\implies \varepsilon(a)v = \sum a_1 e_1 \cdot e^i(S(a_2)v) = a_1 S(a_2)v$$

$$\implies \varepsilon(a)\mathbf{1}_R = a_1 S(a_2)$$

- If  $S$  is invertible, then the conditions from the last two results imply  $\text{ev}_2$  and  $\text{coev}_2$  are algebra morphisms too.

# Hopf Algebra

Note that we can write:

$$S(a_1)a_2 = m \circ (S \otimes id) \circ \Delta(a) \quad \text{and} \quad a_1S(a_2) = m \circ (id \otimes S) \circ \Delta(a)$$

## Definition

*A Hopf Algebra is a tuple  $(H, \Delta, \varepsilon, S)$  such that:*

- *$(H, \Delta, \varepsilon)$  is a bialgebra*
- *Antialgebra morphism  $S : R \rightarrow R$  is an antipode map i.e it satisfies:*

$$m \circ (S \otimes id) \circ \Delta = \varepsilon(\cdot) \mathbf{1}_H = m \circ (id \otimes S) \circ \Delta$$

## Example

- $\mathbb{C}[G]$  is a Hopf algebra with  $S(g) = g^{-1}$ .
- $U(\mathfrak{g})$  is a Hopf algebra with  $S(v) = -v$

# Braiding

- Now we want to see when we have  $H$ –algebra isomorphism between  $M \otimes N$  and  $N \otimes M$
- Note that if  $\Delta = \tau \circ \Delta$  (cocommutativity), then the  $H$ –algebra isomorphism can be simply given by  $m \otimes n \rightarrow n \otimes m$  or in other words  $x \rightarrow \tau(x)$ .

In this case, we call  $H$  a symmetric Hopf algebra.

- However, this condition is too strong. We often look for weaker conditions. For example, consider:

$$x \rightarrow \tau(\mathcal{R}x)$$

where  $\mathcal{R} = \sum \mathcal{R}_1 \otimes \mathcal{R}_2$  is an invertible element in  $H \otimes H$ .

- We want the map to be  $R$ -linear.

$$\tau(\mathcal{R}a(m \otimes n)) = a\tau(\mathcal{R}(m \otimes n))$$

$$\implies \tau(\mathcal{R}_1 a_1 m \otimes \mathcal{R}_2 a_2 n) = a\tau(\mathcal{R}_1 m \otimes \mathcal{R}_2 n)$$

$$\implies \mathcal{R}_2 a_2 n \otimes \mathcal{R}_1 a_1 m = a_1 \mathcal{R}_2 n \otimes a_2 \mathcal{R}_1 m$$

$$\implies \mathcal{R}_1 a_1 m \otimes \mathcal{R}_2 a_2 n = a_2 \mathcal{R}_1 m \otimes a_1 \mathcal{R}_2 n$$

$$\implies \mathcal{R}\Delta(a)(m \otimes n) = \tau(\Delta(a))\mathcal{R}(m \otimes n)$$

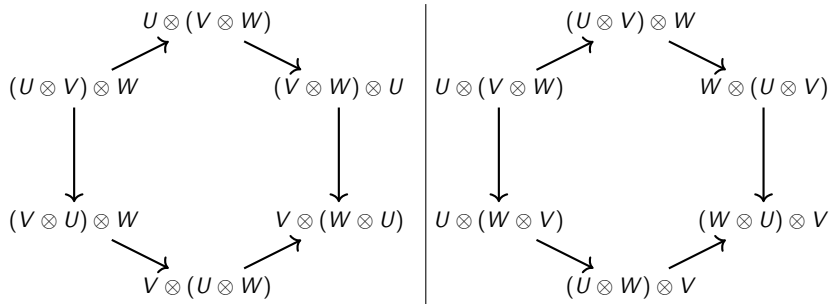
- So, we would like to have:

$$\mathcal{R}\Delta(a) = \tau(\Delta(a))\mathcal{R}$$

$$\implies \mathcal{R}\Delta(a)\mathcal{R}^{-1} = \tau(\Delta(a))$$

# Braiding

- We also want the following hexagon identities to hold.





# Quasitriangular Hopf Algebra

- It can be shown that the Hexagon identities are true if:

$$(\Delta \otimes id)\mathcal{R} = \mathcal{R}_{13}\mathcal{R}_{23}, \quad (id \otimes \Delta)\mathcal{R} = \mathcal{R}_{13}\mathcal{R}_{12}$$

where,  $\mathcal{R}_{13} = \mathcal{R}_1 \otimes 1 \otimes \mathcal{R}_2$ ,  $\mathcal{R}_{23} = 1 \otimes \mathcal{R}_1 \otimes \mathcal{R}_2$   
and  $\mathcal{R}_{12} = \mathcal{R}_1 \otimes \mathcal{R}_2 \otimes 1$

## Definition

A Hopf algebra  $H$  is called quasitriangular if there is an invertible  $\mathcal{R} \in H \otimes H$ , such that:



$$\mathcal{R}\Delta(a)\mathcal{R}^{-1} = \tau(\Delta(a))$$



$$(\Delta \otimes id)\mathcal{R} = \mathcal{R}_{13}\mathcal{R}_{23}, \quad (id \otimes \Delta)\mathcal{R} = \mathcal{R}_{13}\mathcal{R}_{12}$$

# Quantized Heisenberg Algebra

## Example

*We know that the Heisenberg algebra is generated by  $X, Y, H$  with:*

$$[H, X] = [H, Y] = 0, \quad [X, Y] = H$$

*Now, we define the  $q$ -Heisenberg algebra to be the algebra generated by  $X, Y, K = q^{\frac{H}{2}}, K^{-1} = q^{-\frac{H}{2}}$  subject to the conditions:*

$$[K^{\pm 1}, X] = [K^{\pm 1}, Y] = 0, \quad [X, Y] = \frac{K - K^{-1}}{q - q^{-1}}$$

*If we take  $q = e^{\hbar}$ , then taking  $\hbar \rightarrow 0$  i.e.  $q \rightarrow 1$  in the  $q$ -Heisenberg algebra, we can recover the Heisenberg algebra.*

# Quantized Heisenberg Algebra

## Example

*The  $q$ -Heisenberg algebra has a Hopf algebra structure.*

*The comultiplication map defined by:*

$$\Delta(K) = K \otimes K, \quad \Delta(X) = X \otimes K + K^{-1} \otimes X,$$

$$\Delta(Y) = Y \otimes K + K^{-1} \otimes Y$$

*The counit map defined by:*

$$\varepsilon(K) = 1, \quad \varepsilon(X) = \varepsilon(Y) = 0$$

*The antipode map given by:*

$$S(K) = K^{-1}, \quad S(X) = -X, \quad S(Y) = -Y$$

# Quantized Heisenberg Algebra

## Example

*The extended  $q$ -Heisenberg algebra has additional generators  $L^{\pm 1} = q^{\pm N}$  with:*

$$LXL^{-1} = q^{-1}X, \quad LY L^{-1} = qY, \quad [L, K] = 0$$

*For  $q \rightarrow 1$ , we get the commutation relations satisfied by the number operator  $N$ .*

*The Hopf algebra structure is extended by:*

$$\Delta(L) = L \otimes L, \quad \varepsilon(L) = 0, \quad S(L) = L^{-1}$$

*It is in fact quasitriangular over  $\mathbb{C}[q, q^{-1}]$  with*

$$\mathcal{R} = q^{-(N \otimes H + H \otimes N)} e^{(q - q^{-1})(KX \otimes K^{-1}Y)}$$

# Summary

## Theorem (Tannaka duality)

- $R$  is a  $\mathbb{C}$ -algebra  $\implies R\text{-mod}$  is an Abelian category
- $R$  is a bialgebra  $\implies R\text{-mod}$  is a monoidal category
- $R$  is a Hopf algebra  $\implies R\text{-mod}$  is a rigid monoidal category
- $R$  is a quasi triangular Hopf algebra  $\implies R\text{-mod}$  is a braided rigid monoidal category

# Further reading

- Shahn Majid, Foundations of Quantum Group Theory
- Chari and Pressley, A Guide to Quantum Groups
- P Schlösser, Quantum Groups talk on YouTube,  
<https://youtu.be/tMpZxrzYwbM?si=DXAn27y3RRGvyh9I>
- Christoph Schweigert, Hopf algebras, quantum groups  
and topological field theory

Thank you!