



Water Services and Institutional Support Project (P162263)

EUROPE AND CENTRAL ASIA | Uzbekistan | Water Global Practice |
IBRD/IDA | Investment Project Financing | FY 2020 | Seq No: 7 | ARCHIVED on 27-Jun-2023 | ISR56601 |

Implementing Agencies: Joint Stock Company UzSuvta'minot, Ministry of Finance

Key Dates

Key Project Dates

Bank Approval Date: 12-Mar-2020

Effectiveness Date: 31-Mar-2022

Planned Mid Term Review Date: 30-Jan-2024

Actual Mid-Term Review Date:

Original Closing Date: 30-Jun-2027

Revised Closing Date: 30-Jun-2027

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The Project Development Objectives are to: (i) improve coverage, quality and efficiency of water supply and sanitation services in selected project areas; and (ii) strengthen the planning and regulatory capacity of the water supply and sewerage sector.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components Table

Name

Component 1. Sector policy, regulations and institutions:(Cost \$7.80 M)
Component 2. Energy efficiency financing facility:(Cost \$13.00 M)
Component 3. Regional infrastructure investments:(Cost \$220.00 M)
Component 4. Professionalization of participating water utilities:(Cost \$6.00 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	■ Moderately Satisfactory	■ Moderately Unsatisfactory
Overall Implementation Progress (IP)	■ Moderately Unsatisfactory	■ Moderately Unsatisfactory
Overall Risk Rating	■ Substantial	■ Substantial

Implementation Status and Key Decisions

The Water Services and Institutional Support Project (WASISP) "the Project" has become effective on March 31, 2022. Feasibility study (FS) for Kattakurgan Water Supply and Sewerage has been completed and approved by the Government. FSs for Syrdarya Water Supply, Syrdarya Sewage and Karakalpakstan Sewage are completed and cleared by the State Expertise Committee MIIT and MEF). The single Cabinet Resolution to be issued by June 30, 2023.

The project will receive US\$239 million IDA Credit as well as co-financing grant of 7.8 million from the Swiss Development Agency (SECO). it will benefit around 303,000 people in Syrdarya, Karakalpakstan and Kattakurgan through improvement of the water and/or wastewater services in these respective regions. The project will also strengthen the capacity of the newly established Joint Stock Company "Uzsuvtaminot" and benefitting Regional Suvtaminot to improve their operational and financial efficiencies and in pursuing the water supply and wastewater sector reform. Special



attention is given to utility resilience against climate change through reduction in non-revenue-water, wastewater collection, treatment and proper disposal and increasing energy efficiency. The selections of consultants for the detailed design and construction supervision as well as the energy efficiency are underway with the selection for the detailed design consultant for Kattakurgan is the most advance, being at the RFP stage.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Macroeconomic	<input type="checkbox"/> Low	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Sector Strategies and Policies	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Technical Design of Project or Program	<input type="checkbox"/> Substantial	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Institutional Capacity for Implementation and Sustainability	<input type="checkbox"/> High	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Fiduciary	<input type="checkbox"/> High	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial
Environment and Social	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate
Stakeholders	<input type="checkbox"/> Low	<input type="checkbox"/> Low	<input type="checkbox"/> Low
Other	--	--	--
Overall	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial

Results

PDO Indicators by Objectives / Outcomes

Improve coverage, quality and efficiency of water supply and sanitation services in selected areas				
► People provided with access to improved water sources (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	83,800.00
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be reported at the start of construction and rehabilitation of works. We expect to report the initial indicators starting from 2024.			
◻ People provided with access to improved water sources - Female (RMS requirement) (Number, Corporate Supplement)				



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	41,900.00
<div><input type="checkbox"/> People provided with access to improved water sources - Syrdarya region (Number, Custom Breakdown)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	60,500.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<div><input type="checkbox"/> People provided with access to improved water sources - Kattakurgan (Number, Custom Breakdown)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	23,300.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<div><input checked="" type="checkbox"/> People provided with access to improved sanitation services (Number, Corporate)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	219,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be reported at the launch of civil work contracts, indicator will be report with initial indicators in 2024.			
<div><input type="checkbox"/> People provided with access to improved sanitation services - Female (RMS requirement) (Number, Corporate Supplement)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	108,500.00
<div><input type="checkbox"/> People provided with access to improved sanitation services - Karakalpakstan (Number, Custom Breakdown)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	120,900.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<div><input type="checkbox"/> People provided with access to improved sanitation services - Syrdarya region (Number, Custom Breakdown)</div>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	59,600.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<div><input type="checkbox"/> People provided with access to improved sanitation services - Kattakurgan (Number, Custom Breakdown)</div>				



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	38,500.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
► Continuity of water supply (hours per day) in participating water utilities (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	11.00	11.00	11.00	24.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This is critical indicator prescribing quality of delivered service to population. With start of rehabilitation and construction of works together with professionalization of water utilities this indicator will be improved. We expect that this indicator will be only reported at beginning of 2025. This is chronic and systematic issue across all Uzbekistan.			
► Percentage of water samples compliant with safety standards (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	98.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	Implementing Agency, JSC "UzSuvtaminot" with local water utilities' quality laboratories will submit the baseline data in consultation with a Sanitary Service of the Ministry of Health. We expect that PIU will submit baseline data in December of 2023.			
► Reduced energy consumption (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	17.50
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be reported after start of rehabilitation and construction works. We expect that initial data will be reported in 2024 for Kattakurgan and in 2025 for other three sub-infrastructure project sites.			
☐ Per cubic meter of water supplied (Percentage, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20.00
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
☐ Per cubic meter of wastewater treated (Percentage, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	15.00



Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Strengthen the planning and regulatory capacity of the water and sewerage sector				
► State of the Sector Annual Reports prepared and publicly disclosed (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	No	No	Yes
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027

Overall Comments

No progress has been made as the works are yet to start.

Intermediate Results Indicators by Components

Component 1. Sector policy, regulations and institutions				
► Water meters installed (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	50,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	The technical specifications and draft of ToR has been shared with PIU. It is expected that tender process will be launched in August 2023 and installation will start at the beginning of 2024 across all Uzbekistan.			
► Sector-wide monitoring and benchmarking system established and operational (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	No	No	Yes
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This is another soft part of project activities. Project plans to hire an international consultant on best practices worldwide to design Uzbekistan country specific needs for benchmarking. We expect that initial reporting will be only practical in 2025 with serious capacity building and consultation processes.			
► Medium-term investment and performance improvement plans developed and implemented (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3.00



Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be reported in 2025 with implementation of serous capacity building activities and policy dialogues with various stakeholders. We plan to conduct several international study tours and hire international consultants.			
► Communication and citizen engagement strategy developed and adopted (Yes/No, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	No	No	Yes
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This is indicator will developed by support of consultants based on best global practices. At the moment, PIU is preparing a draft of ToR and RFP. We expect to report in 2024.			
► Number of audited annual financial statements prepared by participating water utilities in accordance with IFRS for SMEs (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	6.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	PIU is working on ToR for hiring independent auditor. It is expected that PIU will submit draft of ToR in September 2023 for Bank clearance.			

Component 2. Energy efficiency financing facility				
► Utilities accessing Energy Efficiency Facility under the project (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	8.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	Energy Efficiency Consultant is being procured. Evaluation report for shortlisting is under review by PIU and in December 2023 will be submitted to Bank no-objection.			
► Kilowatt hours saved through energy efficiency measures (Number (Thousand), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator only be reported after commencement of construction and rehabilitation works. We expect that initial indicator will be reported in early 2025.			



Component 3. Regional infrastructure investments

► Households directly benefitting from water supply infrastructure works under the project (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	74,300.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be reported at the end of 2024 per design of project and start of civil works.			

□ Households directly benefitting from water supply infrastructure works under the project - Syrdarya region (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	53,900.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027

□ Households directly benefitting from water supply infrastructure works under the project - Kattakurgan (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20,400.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027

► Households directly benefitting from sewerage infrastructure works under the project (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	84,500.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will be report in 2024 with start of rehabilitation and construction works.			

□ Households directly benefitting from sewerage infrastructure works under the project - Karakalpakstan (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	51,500.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027

□ Households directly benefitting from sewerage infrastructure works under the project - Syrdarya region (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	14,100.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027



<input type="checkbox"/> Households directly benefitting from sewerage infrastructure works under the project - Kattakurgan (Number, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	18,900.00
Date	30-May-2022	07-Dec-2022	29-May-2023	30-Jun-2027
▶Wastewater collection and treatment capacity (Cubic meters/year, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	6,100,000.00	6,100,000.00	6,100,000.00	24,900,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<input type="checkbox"/> Wastewater collection and treatment capacity - Karakalpakstan (Cubic meters/year, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	4,500,000.00	4,500,000.00	4,500,000.00	15,500,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<input type="checkbox"/> Wastewater collection and treatment capacity - Syrdarya region (Cubic meters/year, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	400,000.00	400,000.00	400,000.00	3,800,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
<input type="checkbox"/> Wastewater collection and treatment capacity - Kattakurgan (Cubic meters/year, Custom Breakdown)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	1,200,000.00	1,200,000.00	1,200,000.00	5,600,000.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
▶Percentage of customer satisfaction with the quality of water supply services (Text, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	30%	75%
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	During the Feasibility Studies (4 studies) preparation there has been number of consultations with local communities and customers in the participating regions (Syrdarya, Karakalpakstan and Kattakurgan) as well as during preparation of the ESF. In addition, and during the first mission (launching) in May 2022, the team also participated in CE meetings.			
<input type="checkbox"/> Percentage of women satisfied with the quality of water supply services (Text, Custom Supplement)				



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	30%	75%
► Reduction in person-hours per month spent by women and girls collecting water in selected project areas (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	PIU will hire independent consultant for gender mainstreaming and related sub-activities. ToR is being prepared and will be shared with Bank for its no-objection in 2023.			

Component 4. Professionalization of participating water utilities

► Non-revenue water (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	50.00	50.00	50.00	35.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	31-Dec-2025

► Increase in budget allocation for the professional development of the utility staff (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1.60
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	This indicator will depend on revenues of utilities and overall tariff recovery schemes in Uzbekistan. We expect that initial reporting will be in 2025.			

► Number of Gender Enhancement Plans adopted (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3.00
Date	30-Aug-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	PIU plans to hire individual consultant to develop gender enhancement plans. ToR is being drafted and soon will be shared with Bank for its no-objection in March 2023.			

► Customer and stakeholder engagement meetings to discuss results of satisfaction surveys and Participating Water Utilities' action plans for service improvements (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	0.00	0.00	0.00	9.00
Date	23-Dec-2019	07-Dec-2022	29-May-2023	30-Jun-2027
Comments:	No formalized customer and stakeholder engagement surveys took place yet. However, the work plan takes into consideration these surveys. As per the workplan the PIU is drafting ToR and expect to submit for Bank no-objection in July 2023 to hire independent consultant to support in carrying out the surveys. Nonetheless, it is good to note that during the Feasibility Studies (4 studies) preparation, there has been consultations in the participating regions (Syrdarya, Karakalpakstan and Kattakurgan) as well as during preparation of the ESF. Moreover, and during the first mission (launching) in May 2022, the team also participated in citizen engagement meetings.			

Overall Comments

Majority of indicators will show progress only after the start of rehabilitation and construction works. In addition, soft indicators will be reported after comprehensive learning, capacity building and training activities and only at last two years of project. Some of indicators will be revisited during the mid-term review for better reflecting current reforms in sector and client needs.

Performance-Based Conditions

Data on Financial Performance

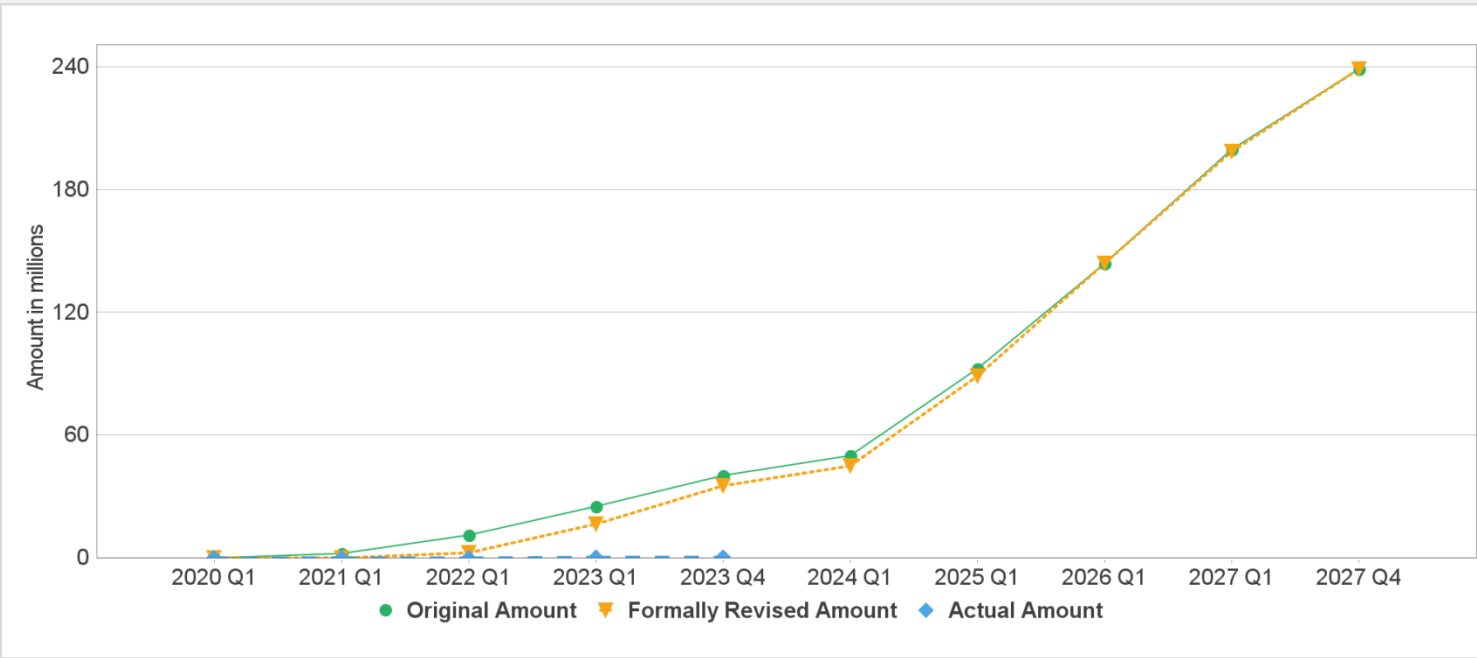
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P162263	IDA-65540	Effective	USD	49.00	49.00	0.00	0.33	48.67	0.7%
P162263	IDA-65550	Effective	USD	190.00	190.00	0.00	0.48	189.53	0.3%
P162263	TF-B2646	Effective	USD	4.00	4.00	0.00	0.00	4.00	0%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P162263	IDA-65540	Effective	12-Mar-2020	17-May-2021	31-Mar-2022	30-Jun-2027	30-Jun-2027
P162263	IDA-65550	Effective	12-Mar-2020	17-May-2021	31-Mar-2022	30-Jun-2027	30-Jun-2027
P162263	TF-B2646	Effective	17-May-2021	17-May-2021	31-Mar-2022	30-Jun-2025	30-Jun-2025

Cumulative Disbursements



Restructuring History

Level 2 Approved on 20-May-2021

Related Project(s)

There are no related projects.