#### Memory

When a computer program runs, it needs memory to store data and instructions. Memory can be divided into two main types: stack and heap.

Stack: Stack memory is used for storing local variables, function parameters, and return addresses. It's organized in a last-in-first-out (LIFO) manner, meaning the most recently allocated memory is the first to be deallocated.

Heap: Heap memory is used for dynamic memory allocation. Unlike the stack, the heap memory is more flexible and can be allocated and deallocated in any order.

#### Static vs. Dynamic Memory Allocation:

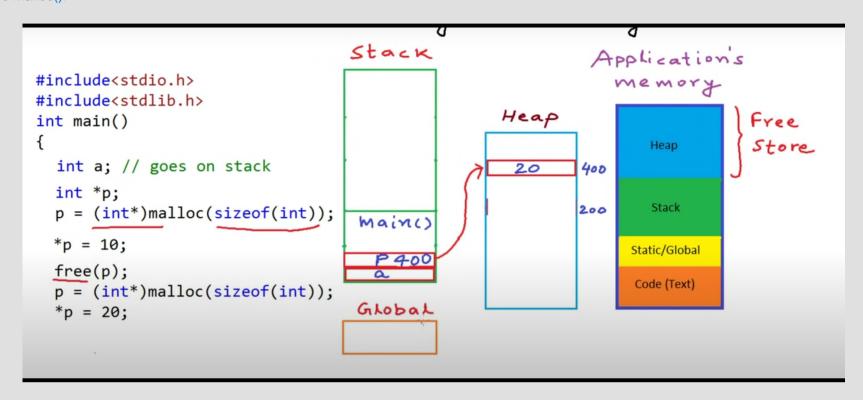
Static Memory Allocation: In static memory allocation, memory is allocated at compile time. This means the size of memory needed by the program is determined before the program runs, and it remains fixed throughout the execution.

Dynamic Memory Allocation: In dynamic memory allocation, memory is allocated at runtime (when the program is running). This allows programs to allocate memory as needed during execution, and the size of memory can change dynamically.

malloc() Function:

malloc() is a function in the C programming language (and similar languages) used for dynamic memory allocation. It stands for "memory allocation".

Here's how you use malloc():



malloc() takes the number of bytes to allocate as an argument and returns a pointer to the beginning of the allocated memory block. It's important to check if malloc() returns a null pointer, which indicates that the memory allocation failed due to insufficient memory.

```
#include <stdio.h>
int main() {
    // Static memory allocation
    int staticArray[5]; // Array size is fixed at compile time

    // Assigning values to the statically allocated array
    for (int i = 0; i < 5; i++) {
        staticArray[i] = i * 2;
    }

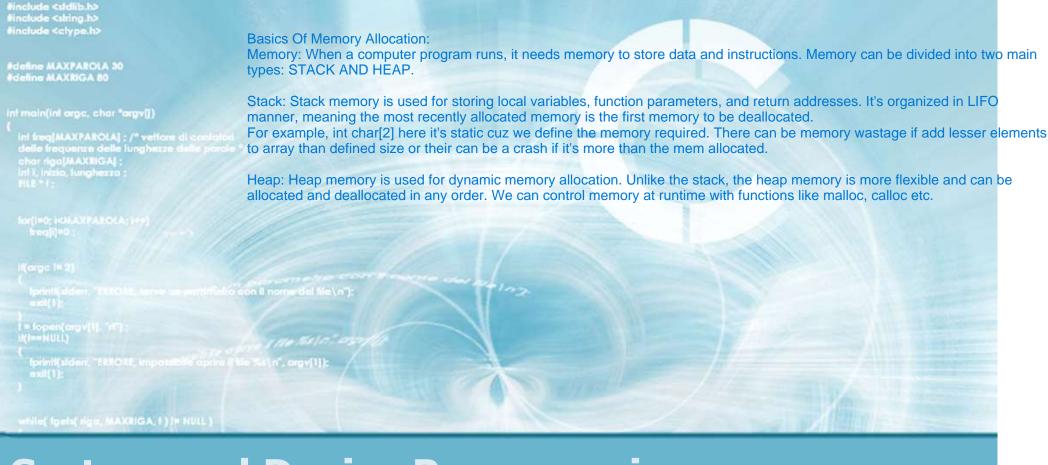
    // Accessing and printing the elements of the statically allocated array
    for (int i = 0; i < 5; i++) {
        printf("Element %d: %d\n", i, staticArray[i]);
    }

    return 0;
}</pre>
```

In this example, the size of the array staticArray is fixed at compile time, and the user cannot enter its size at runtime.

```
#include <stdio.h>
#include <stdlib.h>
int main() {
  // Dynamic memory allocation
  int *dynamicArray;
  int size;
  // Prompting the user to enter the size of the array
  printf("Enter the size of the array: ");
  scanf("%d", &size);
  // Allocating memory for the dynamic array based on user input
  dynamicArray = (int *)malloc(size * sizeof(int));
  // Checking if memory allocation was successful
  if (dvnamicArray == NULL) {
     printf("Memory allocation failed.\n");
     return 1; // Returning error code
  // Assigning values to the dynamically allocated array
  for (int i = 0; i < size; i++) {
     dynamicArray[i] = i * 2;
  // Accessing and printing the elements of the dynamically allocated array
  printf("Elements of the dynamically allocated array:\n");
  for (int i = 0; i < size; i++) {
     printf("Element %d: %d\n", i, dynamicArray[i]);
  // Freeing the dynamically allocated memory
  free(dynamicArray);
```

return 0; In this example, the user is prompted to enter the size of the array at runtime. The program then dynamically allocates memory for the array based on the user's input, allowing for more flexibility in the size of the array.



## **System and Device Programming**

#### **Review Exercises**

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## Exercise 01 (C and UNIX IO)

ASCII File with Records The file contains a sequence of records. Each record has three fields: An integer value. A string. A real number (floating-point number).

The fields have variable sizes and are separated by a variable number of white spaces.

Please, recall the SDP standards C, POSIX, C++

- An ASCII file stores a sequence of records
  - > Each record includes 3 fields
    - An integer value, a string, and a real number
  - All three fields have variable size and are separated by a variable number of white spaces

15345 Acceptable 26.50 146467 Average 23.75

# Exercise 01 (C and UNIX IO)

- Write a segment of C code that stores the records into a list of structures of type record\_t, using
  - The C library
  - The the POSIX/UNIX library

The typedef keyword is used to create an alias for the structure, making it easier to refer to as record t.

The next pointer is used to link multiple records together in a list.

The note about the UNIX system call read indicates that it manipulates files in binary form, which is important to keep in mind when dealing with file I/O operations.

```
15345 Acceptable 26.50
146467 Average 23.75
. . .
```

```
#define N 100

typedef struct record_s {
  int i;
  char s[N];
  this line declares a mem named next
  within the structure. Here next is a
  pointer to another record_s
  struct record_s *next;
} record_t;
```

Observation

The typedef keyword is used to create an alias or a new name for an existing data type. In this case, it's creating a new name record\_t that refers to the structure def that follows.

 Keep in mind that the UNIX system call read manipulates files in binary form

record t is a struct defined in the previous slide that

**C** Library

fclose (fd);

Simple solution: The file has a strict and regular format

specifies the format for each data record within the file. This represents the maximum length a line within a file can have #define L 2000 We can also use .... indicates the file name, fp is a pointer. fscanf(line,"%ld %s %f",...)!=EOF fp = fopen (..., "r");head initializes a pointer to null. This pointer will likely be used to maintain a linked list of the parsed records head = NULL; this iterates as long as fgets reads a line from fp successfully. Reads while (fgets (line, L, fp) != NULL) { lines untill max of L-1 characters, The cast (record\_t \*) ensures that the memory r = (record t \*) malloc (1 \* sizeof (record t)); allocated by malloc is interpreted as a pointer This is a function call to malloc, which allocates memory dynamically on the heap. if (r==NULL) { ... error ... } The argument to malloc specifies the number of bytes to allocate. Here: sscanf (line, "%ld %s %f", &r->n, r->s, &r->f); r->next = head; head = r;If the allocation is successful, malloc returns the memory address of the allocated block. This address is then assigned to the pointer variable r. r now becomes a pointer that points to the newly allocated memory, allowing you to stack lifo structure where you insert element access and manipulate the data stored within that memory using the structure members

(e.g., r->i, r->s, r->f).

Posix is not able to read using a string, so you have to compilacete the code a little bit.

### **Solution 2**

#### **POSIX Library**

Complex solution: read manipulates binary files

```
typedef struct tmp s {
                          binary file.
  int i;
  char s[N];
  float f;
} tmp t;
tmp t r;
fd = open (..., O_RDONLY);
head = NULL;
while (read (fd, &r, sizeof (tmp t)) != 0) {
close (fd);
```

The code uses the POSIX library, which is a collection of standards for operating system APIs. This particular code snippet appears to be using the read function from the POSIX library to read data from a

Buggy

Records do not have a fixed length (sizeof (tmp\_t))

> Buggy: There is a comment in the code that says "Buggy". This might indicate that the solution has an error. Potentially, the issue could be related to the fact that the size of the records being read from the binary file may not match the size of the tmp\_t structure.

Records without a fixed size: The comment "Records do not have a fixed length" indicates that the size of the data records in the binary file being read may vary. This could be an issue if the code is trying to read the data into a fixed-size structure (tmb t).

#### **POSIX Library**

```
Read entire line and convert
fd = open (..., O_RDONLY);
head = NULL;
               do {
                 i = 0;
close (fd);
                 do {
                   not end = read (fd, &c, sizeof(char));
                   if (not end != 0) line[i++] = c;
                 } while (c!='\n' \&\& not end);
                 if (not end) {
                   r = (record t *) malloc (1 * sizeof (record t));
                    sscanf (line, "%ld %s %f", &r->n, r->s, &r->f);
                   r->next = head; head = r;
```

} while (not end);

#### **POSIX Library**

```
Read and convert each field
do {
                                               (long int, string, float)
  n = 0;
  do {
    not_end = read (fd, &c, sizeof(char));
    if (c!=' ' \&\& not end) n = n * 10 + ((int) (c-'0'));
  } while (c!=' ' && not_end);
  c = skip_spaces (fd);
  i = 0; tmp1[i++] = c;
 do {
    not end = read (fd, &c, sizeof(char));
    if (c!=' ' \&\& not end) tmp1[i++] = c;
  } while (c!=' ' && not end);
  tmp1[i] = ' \0';
```

```
c = skip_spaces (fd);
 i = 0;
  tmp2[i++] = c;
 do {
   not_end = read (fd, &c, sizeof(char));
    if (c!=' ' \&\& not end) tmp2[i++] = c;
  } while (c!='\n' && not_end);
  if (not end) {
    r = (record t *) malloc (1 * sizeof (record t));
    r->n = n; strcpy (r->s, tmp1); r->f = atof (tmp2);
   r->next = head;
   head = r;
} while (not_end);
```

A control flow graph is a graphical representation of the flow of control flow between these basic blocks. The CFG helps visualize the possible paths of execution in a program.

EXERCISE 02 (fork)

Process Generation Tree: In a program using process creation system calls like fork(), a process generation tree represents the hierarchy of parent and child processes. Each node in the tree represents a process, with child processes branching off from parent processes. The tree helps visualize how processes are created and how they relate to each other.

- Draw the control flow graph and the process generation tree of the following C code snippet
- Indicate the generated output

#### Control Flow Graph (CFG):

We'll identify the basic blocks of code and the control flow between them. We'll represent the basic blocks as nodes and the control flow between them as directed edges.

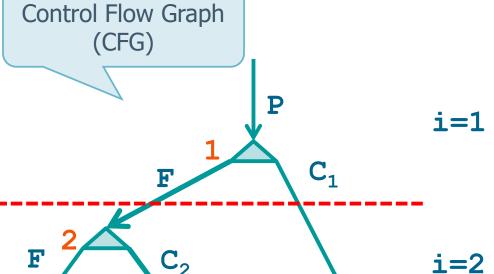
**Process Generation Tree:** 

We'll identify the parent and child processes created by the fork() calls. We'll represent each process as a node in the tree, with child processes branching off from parent processes,

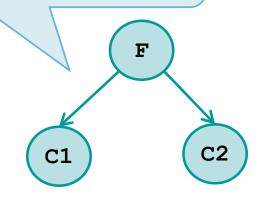
```
int main () {
   int i, pid;
   setbuf(stdout,0);
   for (i=1; i<3; i++)
                                         Fork is used to create a
      pid = fork (); p1, p2
                                         clone of the process. So
                                         after the fork you have two
       i++;
                                         process executing the
                                         same code and value
       if (pid!=0) {
                                ***IMP
           fork();
                                If fork() returns a negative value, the
                                creation of a child process was
          printf("X")
                               unsuccessful (typically due to a lack
                                of system resources).
                                If fork() returns a zero, then the
                                function has been called from the
                                newly created child process.
                                If fork() returns a positive value, this
   printf("X");
                                is the process ID of the child process
                                and this return happens in the parent
   return 1;
                                process.
```

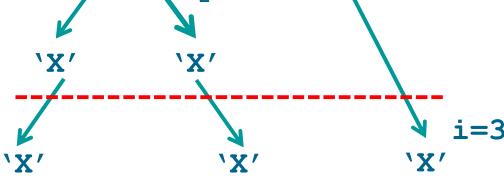
```
for (i=1; i<3; i++) {
  pid = fork (); // 1
  i++;
  if (pid!=0) {
    fork();
    printf("X"); // 2
  }
}
printf("X");</pre>
```

Output



Process Generation Tree





# Exercise 03 (fork, exec, system)

- Assume the following program runs with a unique parameter on the command line, i.e., the integer value 3
- Draw
  - > The control flow graph
  - > The process generation tree
- Indicate what it displays on standard output

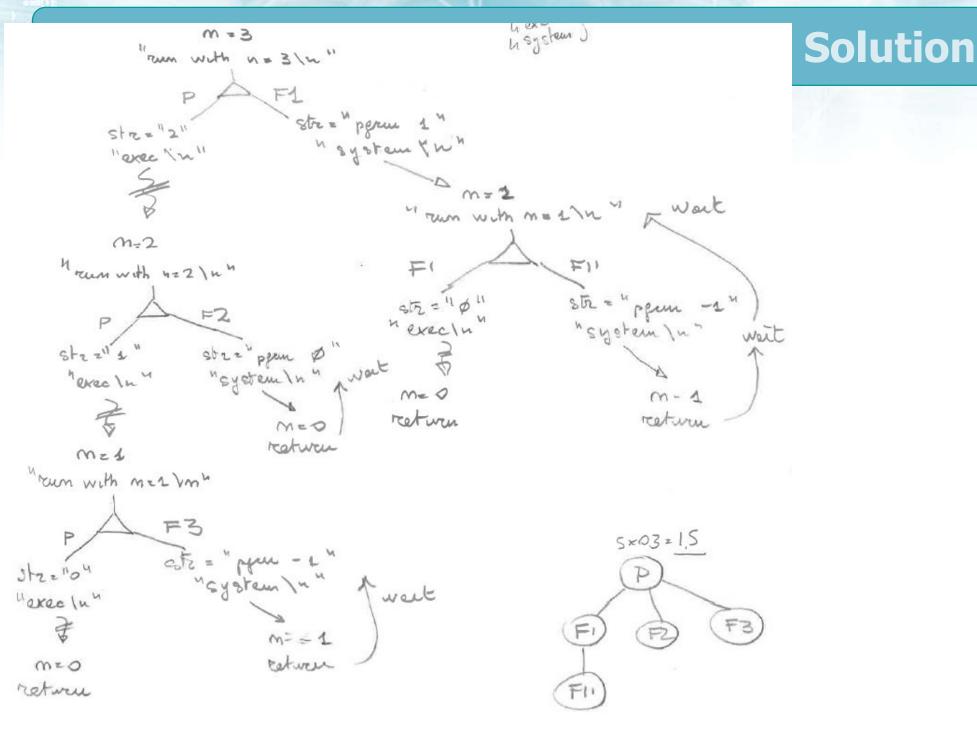
return (1);

## Exercise 03 (fork, exec, system)

integer variable. pid\_t wait(int \*status) status: A pointer to an integer where the exit status of the terminated child process will be stored. If this parameter is

```
int main (int argc, char *argv[])
                                                                                                                                 #include <stdio.h>
                      char str[20];
                                                                                                                                 #include <stdlib.h>
                      int n = atoi (arqv[1]); Converts the first command-line argument to an
integer and stores it in n. (n=3)
                                                                                                                                 #include <unistd.h>
                      setbuf (stdout, 0); Disables buffering for standard output, ensuring that printf outputs are immediately flushed to the screen.
                                                                                                                                 #include <sys/wait.h>
                      if (n<=0) return (1); If n is less than or equal to 0, the program exits with a
                     printf ("run with n=%d\n", n);
                                                                                                                When execlp() (or any other exec family function) is called successfully, it replaces the current process image with a new process image. This
                      if (fork()>0) { Creates a new process. The parent process will execute the code inside the if block, and the child
                                                                                                                means that the code following the execip() call does not get executed because the current process is entirely replaced by the new program.
                                                                                                                However, if execlp() fails (e.g., if the specified executable is not found), it returns -1, and the code following the executp() call will be executed. This is
                                                                                                                why it's important to handle errors properly when using exec functions.
sprintf(str, "%d", n-1);: Formats the sprintf (str, "%d", n-1);
string str to contain the value of n - 1. printf("exec\n"); Prints "exec".
                           printf ("exec\n");
                                                                                                                                                      execlp(argv[0], argv[0], str, (char *)0);: Replaces the current process image with a new
                           execlp (argv[0], argv[0], str, (char *) 0); process image, running the same program with n-1 as the argument. This means the
                                                                                                                                                      program will restart with n decremented by 1.
                      } else {
                                                                                                                                                Yes, that's correct. The system() function behaves differently from the exec family of functions. When
                           sprintf (str, "%s %d", arqv[0], n-2);
                                                                                                                                                you use system(), the code after the system() call will usually run because system() creates a new
                                                                                                                                                process to execute the specified command and waits for that process to complete before returning
                                                                                                                                                control to the calling process.
                           printf ("system\n");
                                                                   sprintf(str, "%s %d", argv[0], n - 2);: Formats the string str to contain the program name and n - 2 as the argument.
                           system (str);
                                                                   printf("system\n");: Prints "system".
                                                                    system(str);: Executes the program again using the system function, with n - 2 as the argument. This creates a new process to run the command.
                     wait ((int *) 0);
                                                                         The parent process waits for the
                                                                                                       The wait() function is used by a parent process to wait for the termination of a child process. It can optionally store the exit status of the child process in an
                                                                          child process to finish.
```

NULL, the exit status is not stored.



## Output

- $\rightarrow$  run with n=3 1 time
- $\triangleright$  run with n=2 1 time
- $\triangleright$  run with n=1 2 times
- exec
  4 times
- > system 4 times

# **Exercise 04 (orphans and zombies)**

- Illustrate the following concepts
  - Orphan process
  - Zombie process
- Report two code segments
  - > The first one to generate an orphan process
  - > The second one to generate a zombie process

## A process

- ➤ Is said to be an **orphan** process if its parent ends before waiting for it
  - When the parent ends, the orphan process is "adopted" by a special process, typically the init process
- ➤ Is said to be a **zombie** process if it terminates before its parent issuer a wait
  - Its Process Control Bock (PCB) is stored by the OS to be available to the parent when the parent ends
  - It wastes OS resources

```
Parent process
if(fork()){
   exit(0);
                                               Orphan process
} else{
   // This process waits for a second
   // Thus, the parent should terminate before it
   sleep(1);
                                    Parent process
   exit(0);
                 if(fork()) {
                   // This process waits for a second
                   // Thus, the child should terminate before it
                   sleep(1);
                   exit(0);
                                    Zombie process
                 } else {
                   exit(0);
```

An init and a zombie process on a Linux machine

```
USER
                    VSZ
                                  STAT START
     PID %CPU %MEM
                         RSS TTY
                                              TIME COMMAND
       1 0.3 0.0 166876 12112 ?
                                      22:56
                                              0:01 /sbin/init splash
root
                                  Ss
root 2 0.0 0.0
                                  S 22:56
                                              0:00 [kthreadd]
                                  I< 22:56
root 3 0.0 0.0 0
                           0 ?
                                              0:00 [rcu gp]
root 4 0.0 0.0
                           0 ?
                                     22:56
                                  I<
                                              0:00 [rcu par gp]
quer 2274
        0.0
             0.0
                  11540 5840 pts/0 Ss
                                      22:57
                                              0:00 bash
quer 3065 2.1 0.1 770444 74016 pts/0 Sl
                                     23:00
                                              0:03 emacs
                   2772
                         948 pts/0 S 23:00
quer 3082 0.0
              0.0
                                              0:00 ./a
                           0 pts/0 Z 23:00
quer 3084 0.0
             0.0
                      0
                                              0:00 [a] <defunct>
quer 3119
                        3784 pts/0 R+ 23:02
         0.0
              0.0
                  12992
                                              0:00 ps -aux
```

## **Exercise 05 (signals)**

## A process P

- ➤ Generates two child processes P1 and P2
- > It goes into a wait state and
  - Every time it receives a message from P1 (P2), it displays the message "Signal received from P1(P2)"
  - If it receives 3 signals from the same process, it terminates processes P1 and P2 and it ends
- Process P1 and P2 run through an infinite cycle
  - They wait for a random time and then send a signal to the parent
    - P1 sends signals SIGUSR1
    - P2 sends signals SIGUSR2

```
#include <signal.h>
int last sig = -1;
                                          #include <sys/types.h>
int last last sig = -1;
                                          #include <sys/wait.h>
int finish = 0;
                                          #include <unistd.h>
                                          #include <stdio.h>
                                          #include <stdlib.h>
void sign handler(int sig) {
  if (sig==SIGUSR1)
   printf("Signal received from P1\n");
  else if (sig==SIGUSR2)
   printf("Signal received from P2\n");
  if (sig == last sig && last sig == last last sig) {
    finish = 1;
  } else {
    last last sig = last sig;
    last sig = sig;
```

```
int main() {
  int pid1, pid2;
  char cmd[100];
  if ( (signal(SIGUSR1, sign handler) == SIG ERR)
    || (signal(SIGUSR2, sign handler) == SIG ERR) ) {
    printf("Error initializing signal handler");
    exit(-1);
                               Child 1
 pid1 = fork();
                                 P1
  if (pid1==0) {
    while (1) {
      sleep( rand()%2 );
     kill(getppid(), SIGUSR1);
  } else {
```

```
pid2 = fork();
                               Child 2
  if (pid2==0) {
                                 P2
    while (1) {
      sleep(rand()%3);
      kill(getppid(), SIGUSR2);
  } else {
                                    Parent
    while (1) {
      pause();
      if (finish) {
        sprintf(cmd, "kill -9 %d", pid1); system(cmd);
        sprintf(cmd, "kill -9 %d", pid2); system(cmd);
        exit(0);
                                                       Kill children
                                                      before ending
return (0);
```

## Exercise 06 (pipes)

- A program runs three processes (P1, P2, and P3) connected to each other by three pipes (P1-P2, P2-P3, and P3-P1)
- The three processes work in a circular manner
  - ➤ Each process Pi (i.e. P1, P2, or P3) reads an integer from standard input
  - Transfer the integer to the next process (i.e. P2, P3, or P1, respectively)
  - ➤ The next process in the chain sleeps a number of seconds equal to that value and it repeats the same operation
- Assume that processes do not terminate

```
#include <stdio.h>
#include <string.h>
#include <stdlib.h>
#include <unistd.h>
#include <sys/wait.h>

static void p1(int, int);
static void p2(int, int);
static void p3(int, int);
```

```
If the pipe is closed at the other end:
int main () {
                                               Read returns 0
  int p12[2], p23[2], p31[2];
                                            Write returns SIGPIPE
  setbuf (stdout, 0);
 pipe (p12); pipe (p23); pipe (p31);
  if (fork()!=0) {
    close(p12[0]); close(p23[0]); close(p23[1]); close(p31[1]);
   p1 (p31[0], p12[1]);
  } else {
    if (fork()!=0) {
      close(p12[1]); close(p23[0]); close(p31[0]); close(p31[1]);
      p2 (p12[0], p23[1]);
    } else {
      close(p12[0]); close(p12[1]); close(p23[1]); close(p31[0]);
     p3 (p23[0], p31[1]);
  return (0);
```

```
static void p1 (int pr, int pw) {
  int n;
 n = 0;
 while (1) {
    fprintf (stdout, "P1 waiting: %d secs\n", n);
    sleep (n);
    fprintf (stdout, "P1 reading: ");
    scanf ("%d", &n);
    write (pw, &n, sizeof (int));
                                            One process must write
    read (pr, &n, sizeof (int));
                                            first to start the pipeline
  return;
```

```
static void p2 (int pr, int pw) {
  int n;
  while (1) {
    read (pr, &n, sizeof (int));
    fprintf (stdout, "P2 waiting: %d secs\n", n);
    sleep (n);
    fprintf (stdout, "P2 reading: ");
    scanf ("%d", &n);
    write (pw, &n, sizeof (int));
  }
  return;
}
```

The other processes must read first

```
static void p3 (int pr, int pw) {
  int n;
  while (1) {
    read (pr, &n, sizeof (int));
    fprintf (stdout, "P3 waiting: %d secs\n", n);
    sleep (n);
    fprintf (stdout, "P3 reading: ");
    scanf ("%d", &n);
    write (pw, &n, sizeof (int));
}
    return;

The other processes must read first
```

## **Exercise 07 (IO and pipes)**

- A file stores an undefined number of strings, each one stored on a different file line
- Two processes P1 and P2 want to
  - > Use the file to exchange strings between them
  - Synchronize their R/W operations on the file using one or more pipes
    - When P1 runs P2 waits and vice versa

# **Exercise 07 (IO and pipes)**

- Each process
  - Reads the contents of the file and displays it on standard output
  - > Store a new set of strings into the file
    - The new set of strings is read from the keyboard
    - The string end stop this phase
- The entire process must terminate when either P1 or P2 reads the string END

```
... includes ...
#define N 100
... prototypes ...
int main (int argc, char **argv) {
  int fd1[2], fd2[2];
  int childPid;
 pipe (fd1);
 pipe (fd2);
  childPid = fork();
  if (childPid == 0) {
    child (argv[1], fd1, fd2);
  } else {
   parent (argv[1], fd1, fd2);
 wait ((void *)0);
  exit (1);
```

See source code (in u02s02e) for all implementation details

```
void parent (char *name, int *fd1, int *fd2) {
  char c:
  int stop = 0;
  close (fd1[0]); close (fd2[1]);
 while (stop==0) {
   printf ("PARENT WAKE UP (PID=%d) \n", getpid());
    read file (name);
    stop = write file (name);
    c = (stop==0) ? '0' : '1';
    write (fd1[1], &c, sizeof (char));
    if (stop==1)
     break;
    read (fd2[0], &c, sizeof (char));
    stop = (c=='0') ? 0 : 1;
  close (fd1[1]); close (fd2[0]);
  return;
```

```
void child (char *name, int *fd1, int *fd2) {
  char c:
  int stop = 0;
  close (fd1[1]); close (fd2[0]);
  while (stop==0) {
    read (fd1[0], &c, sizeof (char));
   printf ("CHILD WAKE UP (PID=%d) \n", getpid());
    if (c=='1')
     break:
    read file (name);
    stop = write file (name);
    c = (stop==0) ? '0' : '1';
    write (fd2[1], &c, sizeof (char));
  close (fd1[0]); close (fd2[1]);
  return;
```

```
void read_file (char *name) {
  FILE *fp;
  char str[N];

  fp = fopen (name, "r");
  while (fscanf (fp, "%s", str) != EOF) {
    fprintf (stdout, " %s\n", str);
  }
  fclose (fp);

return;
}
```

```
int write file (char *name) {
 FILE *fp;
 char str[N];
 fp = fopen (name, "w");
 do {
   fprintf (stdout, " str: ");
   scanf ("%s", str);
   if (strcmp (str, "end") != 0 && strcmp (str, "END") != 0) {
      fprintf (fp, " %s\n", str);
  } while (strcmp (str, "end") != 0 && strcmp (str, "END") != 0);
 fclose (fp);
 if (strcmp (str, "END") == 0)
   return 1;
 else
   return 0;
```

# **Exercise 08 (thread analysis)**

- Suppose that the following program is executed passing the value 5 in the command line
- Report the exact output it generates

```
... includes ...
                                                              See source code (in
                                                                u02s02e) for all
... prototypes ...
                                                             implementation details
                                    passed here in *argv[]
int main (int argc, char *argv[]) {
  pthread t thread;
                                                                                 5-2=3
                                                      we a thread t1 with n = 5
  int n = atoi (argv[1]);
                                                                                 3+1=4
                                                      and we just wait for the thread to
                                                                                 4-2=2
  setbuf (stdout, 0);
                                                                                 2+1=2
                                                                                 3-2=1
  pthread create (&thread, NULL, T1, &n);
                                                                                 1+1=2
                                                                                 2-2=0
  pthread join (thread, NULL); Here we wait for the thread to end
                                                                                 start exiting
                                                                                 start exiting
  return 1;
                                                                                 and so on and so forth
```

### **Exercise**

```
void *T1 (void *p) {
  pthread_t thread;
  int *pn = (int *) p; Here we convert the null pointer to an integer
  int n = *pn; We are getting the integer value which is 5
  if (n>0) {
    n-=2;
    printf ("(%d)", n); here n = 3
        pthread_create (&thread, NULL, T2, &n);
  } else{
    pthread_join (thread, NULL);
    pthread_exit (NULL);
    pthread_exit (NULL);
}
void *T2 (void *p) {
    pthread_t thread;
```

(3) (4) (2) ..... thihs happens until n is lesser than 0. And then it exits. pthread\_join waits for it to en

### **Exercise**

```
void *T1 (void *p) {
  pthread_t thread;
  int *pn = (int *) p;
  int n = *pn;
  if (n>0) {
    n-=2;
    printf ("(%d)", n);
    pthread_create (&thread, NULL, T2, &n);
  }
  pthread_join (thread, NULL);
  pthread_exit (NULL);
  void *T2 (x)
}
```

Output

```
(3) [4] (2) [3] (1) [2] (0)
```

```
void *T2 (void *p) {
  pthread_t thread;
  int *pn = (int *) p;
  int n = *pn;
  if (n>0) {
    n+=1;
    printf ("[%d]", n);
    pthread_create (&thread, NULL, T1, &n);
  }
  pthread_join (thread, NULL);
  pthread_exit (NULL);
}
```

# **Exercise 09 (synchronization)**

- A program executes a high number of threads T
- ❖ In order not to exceed the hardware resources, it forces the code section SC to be executed simultaneously by a maximum of N threads, with N << T</p>
  - ➤ This behavior is achieved by defining a semaphore sem initialized to the value N, and then accessing SC only through the following code section

```
sem_wait (sem);
SC
sem_post (sem);
```

## **Exercise 09 (synchronization)**

- Later on, the programmer wants to run some other threads that consume twice the hardware resources of the former threads
  - The programmer's idea is to manage the access to SC of these threads through the following piece of code

```
sem_wait (sem);
sem_wait (sem);
SC
sem_post (sem);
sem_post (sem);
```

Is this correct?

- The solution is prone to deadlock
  - ➤ If N threads execute one sem\_wait, before the second sem\_wait, is executed by at least one of them, they would be blocked because no thread could enter the critical section (CS), and consequently execute the sem\_post, which allows other threads to enter the critical section
- A possible solution is to make the couple of sem\_wait "atomic"
  - We can use mutual exclusion on sem\_wait
  - Pay attention to single sem\_wait to

```
pthread_muted_t m;
pthread_mutex_init (&m, NULL);
ptrhead_mutex_lock (&m);
sem_wait (sem);
sem wait (sem);
ptrhead_mutex_unlock (&m);
SC
sem_post (sem);
sem_post (sem);
```

## **Exercise 10 (synch - pseudo-code)**

- In a system there are two threads
  - One of type A and one of type B
  - > Thread A can call function fA
  - > Thread B can call function fB
- The
  - > First thread to call fA or fB is blocked
  - Second thread to call fA or fB is not blocked and it also unlocks the thread previously blocked
- Functions fA and fB can be reused by threads A and B an indefinite number of times

## **Exercise 10 (synch - pseudo-code)**

- Using the pseudo-code primitives wait and signal write functions **fA** and **fB** 
  - > Use the minimum number of semaphores
  - > Define all global variables and all semaphore initialization values Example Scenario:

## Example

- > A calls the function **fA** and it blocks
- > B calls the function **fB** and it unlocks without being blocked on fA, thread B executes
- > B calls the function **fB** and it blocks
- A calls the function fA and unlocks B

Thread A Calls fA First:

Thread A calls function fA.

Since this is the first call to fA, thread A becomes blocked, waiting for thread B to call its function.

Thread B is free to execute its function.

Thread B Calls fB:

Thread B calls function fB.

After finishing fB, thread B unblocks thread A, allowing it to proceed.

Thread A Calls fA Again:

Now that thread A is unblocked, it can call function fA again.

Thread A executes fA without being blocked.

After finishing fA, thread A unblocks thread B.

Thread B Calls fB Again:

Thread B, now unblocked, calls function fB again. Thread B executes fB without being blocked.

After finishing fB, thread B unblocks thread A.

```
m mutext and s is semaphore
                    If flag==0 no one is waiting
init(m, 1);
                    flag==1 someone is waiting
                                                           m is the mutual
init(s, 0);
                                                           exclusion mutex
int flag=0;
                                           fB()
                       Noone is waiting
                                              wait(m);
                         Go to sleep
                                              if (flag==0) {
fA() {
                                                flag=1;
  wait(m);
                                                signal(m);
  if (flag==0) {
                                                wait(s);
                                                                   fA is waiting
     flag=1;
                                              } else {
                                                                     (free it)
     signal(m);
                                                flag=0;
    wait(s);
                     fB is waiting
                                                signal(m);
  } else {
                       (free it)
                                                signal(s);
     flag=0;
     signal(m);
     signal(s);
                                                              s is the waiting
                                                               semaphore
```

 $s is \, 0 \\$ 

## Exercise 11 (synch - C)

- A program includes several threads and a shared variable that indicates one of two possible states (RED or GREEN)
- Each thread can call the
  - > Function **change** to modify the state variable
    - If a thread calls change when the state is GREEN (RED) it changes the shared state variable to RED (GREEN)
  - Function red (green)
    - It blocks a thread when the state is GREEN (RED)
    - Does not block a thread when the state is RED (GREEN)

## Exercise 11 (synch - C)

- Implement functions change, red, and green
  - Assume that the initial state is RED for all threads
- Example of execution
  - > T1 calls red and it does not block
  - > T2 calls red and it does not block
  - > T3 calls green and it blocks
  - > T4 calls green and it blocks
  - > T1 calls red and it does not block
  - ➤ T5 calls change, the status changes to GREEN, and T3 and T4 are released (unblocked)
  - > T5 calls green and it does not block

```
#define RED 0
#define GREEN 1
int state = RED;
sem t s red;
sem t s green;
sem t m;
sem init(&s red, 0, 1);
sem init(&s green, 0, 0);
sem init(&m, 0, 1);
red(){
  sem wait(&s red);
  sem post(&s red);
green(){
  sem wait(&s green);
  sem_post(&s_green);
```

```
change(){
  sem wait(&m);
  if(state == RED) {
    state = GREEN;
    sem wait(&s red);
    sem_post(&s_green);
  } else {
    state = RED;
    sem wait(&s green);
    sem post(&s red);
  sem post(&m);
```

## Exercise 12 (synch)

- A program runs N threads of type A and N threads of type B
  - ➤ N is a positive integer passed on the command line to the program (e.g., 10)
  - ➤ All threads are identified by their category (i.e., A or B) and a creation index (i.e., 1, 2, ..., N)
- We want to coordinate the effort of all threads to always run a thread of the category A before one thread of the category B

## Exercise 12 (synch)

❖ In the following there are two examples of correct executions with N=10

A3 B1 A1 B8 A2 B7 A8 B6 A9 B3 A7 B9 A6 B2 A5 B4 A4 B5 A10 B10 A10 B5 A6 B1 A7 B3 A1 B4 A3 B2 A2 B9 A8 B8 A5 B6 A4 B10 A9 B7

- Note that the order in which the threads of each category are executed is not fixed but depends on the threads scheduling
- Write the code using the C language
  - Realize the complete program, including the creation of the threads

```
. includes ...
... prototypes ...
sem s a, s b;
int main(int argc, char *argv[]){
  int N ;
  int i = 0;
 pthread t *threads A;
 pthread t *threads B;
  int *id;
                            Semaphores
                            initialization
 N = atoi(argv[1]);
                                           Data structure
                                                               OS
                                             allocation
  sem_init(&s_a, 0, 1);
                                                               IDs
  sem init(&s b, 0, 0);
  threads A = (pthread t*)malloc(N*sizeof(pthread t));
  threads B = (pthread t*)malloc(N*sizeof(pthread t));
  id = (int*)malloc(N*sizeof(int));
                                                              User
                                                              IDs
```

Thread generation

```
for (i=0; i<N;i++) {
  id[i] = i;
  pthread create(&threads A[i], NULL, TA, (void *)&(id[i]));
 pthread create(&threads B[i], NULL, TB, (void *)&(id[i]));
                                            Thread wait
for (i=0; i<N;i++) {
                                               (join)
 pthread join(threads A[i], NULL);
 pthread join(threads B[i], NULL);
free(threads A);
free(threads B);
free(id);
return 0;
                     Data structure
                      deallocation
```

# static void \*TA(void \*arg) { int id; id = \*((int\*)arg); sem\_wait(&s\_a); printf("A%d ", id); sem\_post(&s\_b); pthread\_exit(NULL); }

## **Solution**

Semaphores initialization (main)!

```
sem_init(&s_a, 0, 1);
sem_init(&s_b, 0, 0);
```

```
static void *TB(void *arg) {
  int id;
  id = *((int*)arg);
  sem_wait(&s_b);
  printf("B%d ", id);
  sem_post(&s_a);
  pthread_exit(NULL);
}
```

# Exercise 13 (IO, thread, synch)

- A file, of undefined length and in ASCII format, contains a list of integer numbers
- Write a program that, after receiving a value k (integer) and a string from command line, generates k threads and wait them
- Each thread
  - Reads the file concurrently, and performs the sum of the integer numbers it reads from file
  - When the end of file is reached, it **prints** the number of integer numbers it has read and the computed sum
  - Terminates

# Exercise 13 (IO, thread, synch)

- After all threads terminate, the main thread has to print the total number of integer numbers and the total sum
- Example

```
7
9
2
-4
15
0
3
```

Example of execution

```
2 Threads
```

```
pgrm 2 file.txt
```

Thread 1: Sum=18 #Line=3 Thread 2: Sum=14 #Line=4 Total : Sum=32 #Line=7

The faster thread gets next record

- Solution 1
  - > Let the threads run freely (**dynamic** partition)
    - Simple implementation
      - Just protect file I/O (read/write)
    - High thread contention
      - Quite slow in practice

Thread 1
Thread 3
Thread 2
Thread 1
Thread 2
Thread 2
...

Each thread gets its own part of the file

**Solution** 

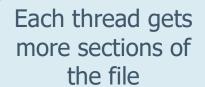
### Solution 2

Assign to each thread 1/N of the file (static partition)

Partition scheme 1

- No contention
- Workload: Efficiency is limited by the slower thread

Input File Input File Thread 1 Thread 1 Output File Thread 1 Thread 2 Thread 3 Thread 1 Thread 2 Thread 2 Thread 2 Thread 3 **Partition** Thread 3 scheme 2

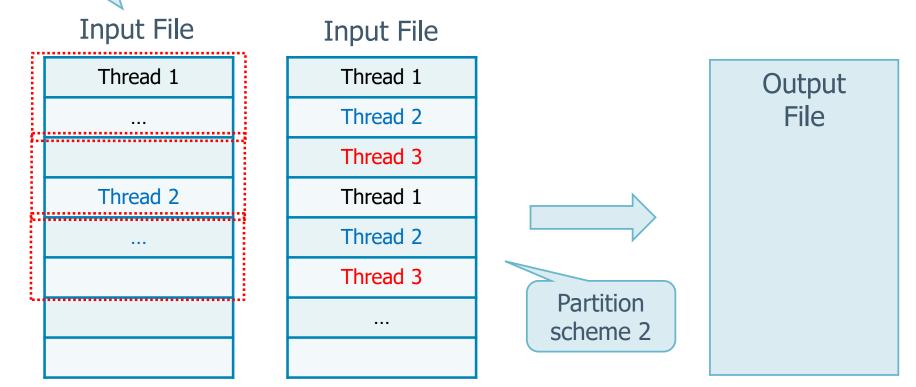


### Solution 3

➤ As solution 2 but create more partitions than threads as solution 1

Partition scheme 1

- Limited contention
- Workload: Balanced through multiple partitions



#### **Implementation** following solution 1

Includes, variables and prototypes

```
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <ctype.h>
#include <unistd.h>
#include <sys/types.h>
#include <semaphore.h>
#include <pthread.h>
#define L 100
struct threadData {
 pthread t threadId;
 int id;
 FILE *fp;
  int line;
  int sum;
};
static void *readFile (void *);
sem t sem;
```

It must be unique (i.e., it is global or it is passed as parameter to threads through this structure)

Main Part 1

### **Solution**

```
int main (int argc,char *argv[]) {
  int i, nT, total, line;
  struct threadData *td;
  void *retval;
  FILE *fp;
  nT = atoi (argv[1]);
  td = (struct threadData *) malloc
       (nT * sizeof (struct threadData));
  fp = fopen (argv[2], "r");
  if (td==NULL || fp==NULL) {
    fprintf (stderr, "Error ...\n");
    exit (1);
                                    I/O Protection-Synch
  sem init (&sem, 0, 1);
                                    A mutex is sufficient
```

Not shared

Init to 1

Main Part 2

## **Solution**

File pointer common to all the threads

```
for (i=0; i<nT; i++) {
  td[i].id = i;
  td[i].fp = fp;
  td[i].line = td[i].sum = 0;
  pthread create (&(td[i].threadId),
    NULL, readFile, (void *) &td[i]);
total = line = 0;
for (i=0; i<nT; i++) {
 pthread join (td[i].threadId, &retval);
  total += td[i].sum;
  line += td[i].line;
fprintf (stdout, "Total: Sum=%d #Line=%d\n",
  total, line);
sem destroy (&sem);
fclose (fp);
return (1);
```

Thread function

```
static void *readFile (void *arg) {
  int n, retVal;
  struct threadData *td;
                                                       Mutual
 td = (struct threadData *) arg;
                                                    exclusion for
 do {
    sem wait (&sem);
                                                     file reading
    retVal = fscanf (td->fp, "%d", &n);
    sem post (&sem);
    if (retVal!=EOF) {
      td->line++;
      td->sum += n;
    sleep (1); // Delay Threads
  } while (retVal!=EOF);
  fprintf (stdout, "Thread: %d Sum=%d #Line=%d\n",
    td->id, td->sum, td->line);
 pthread exit ((void *) 1);
```

## **Exercise 14 (barrier)**

A function f is formed by two distinct sessions A and B, executed only once in sequence

```
... f (...) {
    A
    B
}
```

- The function f is executed by N threads in parallel
  - > Each thread can run f at most once
  - > N is an integer value, defined but unknown

## **Exercise 14 (barrier)**

- The user would like to synchronize the N threads such that section B is executed by a thread only after all threads have terminated the execution of section A
  - ➤ In other words, the N threads must synchronize after running on A and before one of them is running on B
- Write the require C code to implement such a behavior

... f (...) {
 A
 B
}

**Barrier** will be formally introduced in Unit 06, Section 07

```
#define N ...
sem_t m;
sem_t sync;
int nwait = 0;

sem_init(&m, 0, 1);
sem_init(&sync, 0, 0);
```

```
void f(void) {
                      m is the mutual
  A();
                      exclusion mutex
  sem wait(&m);
  nwait++;
  if(nwait==N) {
    for(c=0; c<N; c++)
      sem_post (&sync);
  sem_post (&m);
  sem wait(&sync);
  B();
                      sync is the waiting
  sem wait(&m);
                         semaphore
  nwait--;
  sem post(&m);
```