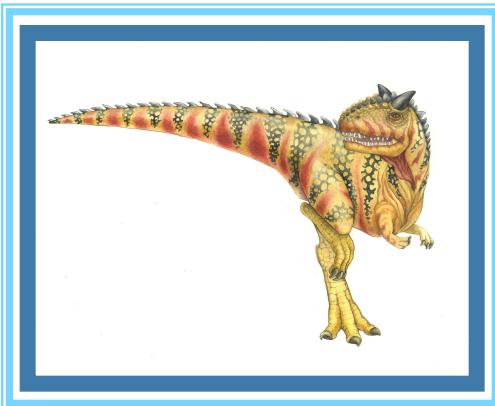


# Chapter 11: Mass-Storage Systems





# Chapter 11: Mass-Storage Systems

- Overview of Mass Storage Structure
- HDD Scheduling
- NVM Scheduling
- Error Detection and Correction
- Storage Device Management
- Swap-Space Management
- Storage Attachment
- RAID Structure





# Objectives

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- Describe the physical structure of secondary storage devices and the effect of a device's structure on its uses
- Explain the performance characteristics of mass-storage devices
- Evaluate I/O scheduling algorithms
- Discuss operating-system services provided for mass storage, including RAID





# Overview of Mass Storage Structure

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- Bulk of secondary storage for modern computers is **hard disk drives (HDDs)** and **nonvolatile memory (NVM)** devices
- **HDDs** spin platters of magnetically-coated material under moving read-write heads
  - Drives rotate at 60 to 250 times per second
  - **Transfer rate** is rate at which data flow between drive and computer
  - **Positioning time (random-access time)** is time to move disk arm to desired cylinder (**seek time**) and time for desired sector to rotate under the disk head (**rotational latency**)
  - **Head crash** results from disk head making contact with the disk surface -- That's bad
- Disks can be removable

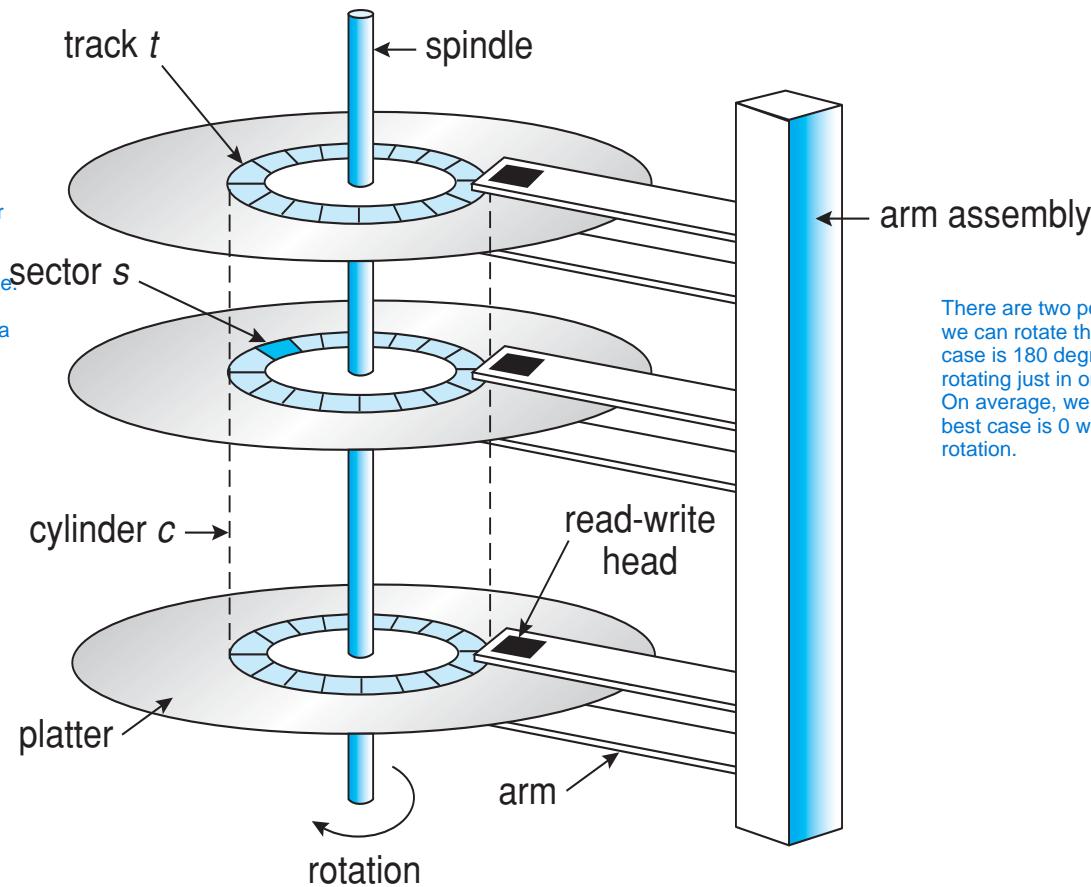




# Moving-head Disk Mechanism

Each track is sub-divided in sectors, for mechanic convenience its good to have not only one disc with two faces but multiple discs over the. We can read and write multiple disks at once. We exploit a certain way of physical parallelism. We have one bit for each face. The best organization for one byte. One bit face of one disc other bit on face of other disc we have 8 bits in parallel.

Cylinder is the set of all tracks with the same radius, at a given distance from the spindle.



There are two possible worst cases, if we assume we can rotate the disc in right direction, the worst case is 180 degree. if we assume that the disc is rotating just in one direction. So a full rotation. On average, we assume a direct access is needed, best case is 0 worst case 1 rotation and avg is half a rotation.





# Hard Disk Drives

- Platters range from .85" to 14" (historically)
  - Commonly 3.5", 2.5", and 1.8"
- Range from 30GB to 3TB per drive
- Performance
  - Transfer Rate – theoretical – 6 Gb/sec
  - Effective Transfer Rate – real – 1Gb/sec
  - Seek time from 3ms to 12ms – 9ms common for desktop drives
  - Average seek time measured or calculated based on 1/3 of tracks
  - Latency based on spindle speed
    - ▶  $1 / (\text{RPM} / 60) = 60 / \text{RPM}$
  - Average latency =  $\frac{1}{2}$  latency



Is searching for right cylinder.

In order to convert rotations per minute to rotations per second we need to divide it by 60. So in the end 60 divided by 60.





# Hard Disk Performance

---

- **Access Latency** = **Average access time** = average seek time + average latency
  - For fastest disk  $3\text{ms} + 2\text{ms} = 5\text{ms}$
  - For slow disk  $9\text{ms} + 5.56\text{ms} = 14.56\text{ms}$
- Average I/O time = average access time + (amount to transfer / transfer rate) + controller overhead
- For example to transfer a 4KB block on a 7200 RPM disk with a 5ms average seek time, 1Gb/sec transfer rate with a .1ms controller overhead =
  - $5\text{ms} + 4.17\text{ms} + 0.1\text{ms} + \text{transfer time} =$
  - Transfer time =  $4\text{KB} / 1\text{Gb/s} * 8\text{Gb / GB} * 1\text{GB} / 1024^2\text{KB} = 32 / (1024^2) = 0.031 \text{ ms}$  The
  - Average I/O time for 4KB block =  $9.27\text{ms} + .031\text{ms} = 9.301\text{ms}$





# The First Commercial Disk Drive



1956

IBM RAMDAC computer  
included the IBM Model  
350 disk storage system

5M (7 bit) characters

50 x 24" platters

Access time = < 1 second





# Nonvolatile Memory Devices

this is wherer we move magnetic tech to solid state, the same tech used for ram mem. So they are transistors. Tech comes from ROM AND EROM because the orientation, cuz deciding between 0 and 1 is given by gates of a transistor. SO based on silicon. In silicon we are pretty much able to make very small and compact memories. The tech from non volatile mem is a little bit tricky. They are more reliable than discs but are more expensive. Compare the price of Rddis and solid state disk. ssd are much faster may have shorter life span because they have no moving parts.

- If disk-drive like, then called **solid-state disks (SSDs)**
- Other forms include **USB drives** (thumb drive, flash drive), DRAM disk replacements, surface-mounted on motherboards, and main storage in devices like smartphones
- Can be more reliable than HDDs
- More expensive per MB
- Maybe have shorter life span – need careful management
- Less capacity
- But much faster
- Busses can be too slow -> connect directly to PCI for example
- No moving parts, so no seek time or rotational latency

You have boards and chips, in volatile mem the organization you consider is an array of bytes, each byte has its own address at the base of address spaces process using address bases, here the tech is based on pages. Even though we know term page has another meaning in framework of netw management.

There is a symmetry bw reading and writing, reading is simpler writing is erasing something if already exists and then write.





# Nonvolatile Memory Devices

- Have characteristics that present challenges
- Read and written in “page” increments (think sector) but can’t overwrite in place
  - Must first be erased, and erases happen in larger “block” increments
  - Can only be erased a limited number of times before worn out –  $\sim 100,000$
  - Life span measured in **drive writes per day (DWPD)**
    - ▶ A 1TB NAND drive with rating of 5DWPD is expected to have 5TB per day written within warranty period without failing





# NAND Flash Controller Algorithms

- With no overwrite, pages end up with mix of valid and invalid data
- To track which logical blocks are valid, controller maintains **flash translation layer (FTL)** table
- Also implements **garbage collection** to free invalid page space
- Allocates **overprovisioning** to provide working space for GC
- Each cell has lifespan, so **wear leveling** needed to write equally to all cells

After a certain amount of time, after pages have been written and read a number of times. So this means in the disc there should be somewhere a table keeping track of valid and invalid tables. We should also consider the disc as a certain size and moving from a certain moment the net size is given by valid pages. As soon a page becomes dynamically invalid we are shrinking total number.

Let's try to have a logical and physical view of pages and let's think about moving data from one physical page to another in order to basically have a more leveled use of rewriting. The first and simplest form of leveling. Suppose you have a physical page 100 that should be rewritten. We will erase but write in another page.

So if a given page logical page will need many rewriting this will be distributed over the disc. This is a way trying to provide a distribution of rewriting over a disc.

Still not optimization cuz its local, there are diff strategies but this is not a topic that will not be covered.

valid page	valid page	invalid page	invalid page
invalid page	valid page	invalid page	valid page

NAND block with valid and invalid pages





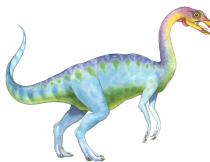
# Volatile Memory

volatile mem means ram mem, the one that loses data when you turn off power. Used for discs that are req temporality the time the comp is on. So between startup and shutdown. Certain os like linux basically have many data structures on file even though they could be in memory, the prog instead of storing some temp data on run mem they store data on file even though those kinda tables are only nec from boot to shutdown, so for the time the comp is on why? did the prog decide to put some temp data on the file instead of putting in memory an array of structure list or something, simply cuz they didn't want to grab a part of mem for it, stead mem from process. This is the classical compromize, I will lose in terms of speed but gain in terms of memory.

- DRAM frequently used as mass-storage device
  - Not technically secondary storage because volatile, but can have file systems, be used like very fast secondary storage
- **RAM drives** (with many names, including RAM disks) present as raw block devices, commonly file system formatted
- Computers have buffering, caching via RAM, so why RAM drives?
  - Caches / buffers allocated / managed by programmer, operating system, hardware
  - RAM drives under user control
  - Found in all major operating systems
    - ▶ Linux /dev/ram, macOS diskutil to create them, Linux /tmp of file system type tmpfs
- Used as high speed temporary storage
  - Programs could share bulk data, quickly, by reading/writing to RAM drive

physical formating is geometry of the discs, normally for a given a hard disc we can think about a logical formatting. One block one sector or multiple blocks multiple sectors. We start from the first track from the outermost cylinder. Not always sectors or blocks are in one to one correspondence. So moving from outermost to innermost. The problem would be provided by.





# Magnetic Tape

**Magnetic tape** was used as an early secondary-storage medium. Although it is nonvolatile and can hold large quantities of data, its access time is slow compared with that of main memory and drives. In addition, random access to magnetic tape is about a thousand times slower than random access to HDDs and about a hundred thousand times slower than random access to SSDs so tapes are not very useful for secondary storage. Tapes are used mainly for backup, for storage of infrequently used information, and as a medium for transferring information from one system to another.

A tape is kept in a spool and is wound or rewound past a read–write head. Moving to the correct spot on a tape can take minutes, but once positioned, tape drives can read and write data at speeds comparable to HDDs. Tape capacities vary greatly, depending on the particular kind of tape drive, with current capacities exceeding several terabytes. Some tapes have built-in compression that can more than double the effective storage. Tapes and their drivers are usually categorized by width, including 4, 8, and 19 millimeters and 1/4 and 1/2 inch. Some are named according to technology, such as LTO-6 (Figure 11.5) and SDLT.



**Figure 11.5** An LTO-6 Tape drive with tape cartridge inserted.





# Disk Structure

---

- Disk drives are addressed as large 1-dimensional arrays of **logical blocks**, where the logical block is the smallest unit of transfer
  - Low-level formatting creates **logical blocks** on physical media
- The 1-dimensional array of logical blocks is mapped into the sectors of the disk sequentially
  - Sector 0 is the first sector of the first track on the outermost cylinder
  - Mapping proceeds in order through that track, then the rest of the tracks in that cylinder, and then through the rest of the cylinders from outermost to innermost
  - Logical to physical address should be easy
    - ▶ Except for bad sectors
    - ▶ Non-constant # of sectors per track via constant angular velocity





# Disk Attachment

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- Host-attached storage accessed through I/O ports talking to **I/O busses**
- Several busses available, including **advanced technology attachment (ATA)**, **serial ATA (SATA)**, **eSATA**, **serial attached SCSI (SAS)**, **universal serial bus (USB)**, and **fibre channel (FC)**.
- Most common is SATA
- Because NVM much faster than HDD, new fast interface for NVM called **NVM express (NVMe)**, connecting directly to PCI bus
- Data transfers on a bus carried out by special electronic processors called **controllers** (or **host-bus adapters, HBAs**)
  - Host controller on the computer end of the bus, device controller on device end
  - Computer places command on host controller, using memory-mapped I/O ports
    - ▶ Host controller sends messages to device controller
    - ▶ Data transferred via DMA between device and computer DRAM





# Address Mapping

---

- Disk drives are addressed as large 1-dimensional arrays of **logical blocks**, where the logical block is the smallest unit of transfer
  - Low-level formatting creates **logical blocks** on physical media
- The 1-dimensional array of logical blocks is mapped into the sectors of the disk sequentially
  - Sector 0 is the first sector of the first track on the outermost cylinder
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  - Logical to physical address should be easy
    - ▶ Except for bad sectors
    - ▶ Non-constant # of sectors per track via constant angular velocity





# HDD Scheduling

- The operating system is responsible for using hardware efficiently — for the disk drives, this means having a fast access time and disk bandwidth
- Minimize seek time
- Seek time  $\approx$  seek distance
- Disk **bandwidth** is the total number of bytes transferred, divided by the total time between the first request for service and the completion of the last transfer





# Disk Scheduling (Cont.)

---

- There are many sources of disk I/O request
  - OS
  - System processes
  - Users processes
- I/O request includes input or output mode, disk address, memory address, number of sectors to transfer
- OS maintains queue of requests, per disk or device
- Idle disk can immediately work on I/O request, busy disk means work must queue
  - Optimization algorithms only make sense when a queue exists
- In the past, operating system responsible for queue management, disk drive head scheduling
  - Now, built into the storage devices, controllers
  - Just provide LBAs, handle sorting of requests
    - ▶ Some of the algorithms they use described next





# Disk Scheduling (Cont.)

---

- Note that drive controllers have small buffers and can manage a queue of I/O requests (of varying “depth”)
- Several algorithms exist to schedule the servicing of disk I/O requests
- The analysis is true for one or many platters
- We illustrate scheduling algorithms with a request queue (0-199)

98, 183, 37, 122, 14, 124, 65, 67

Head pointer 53



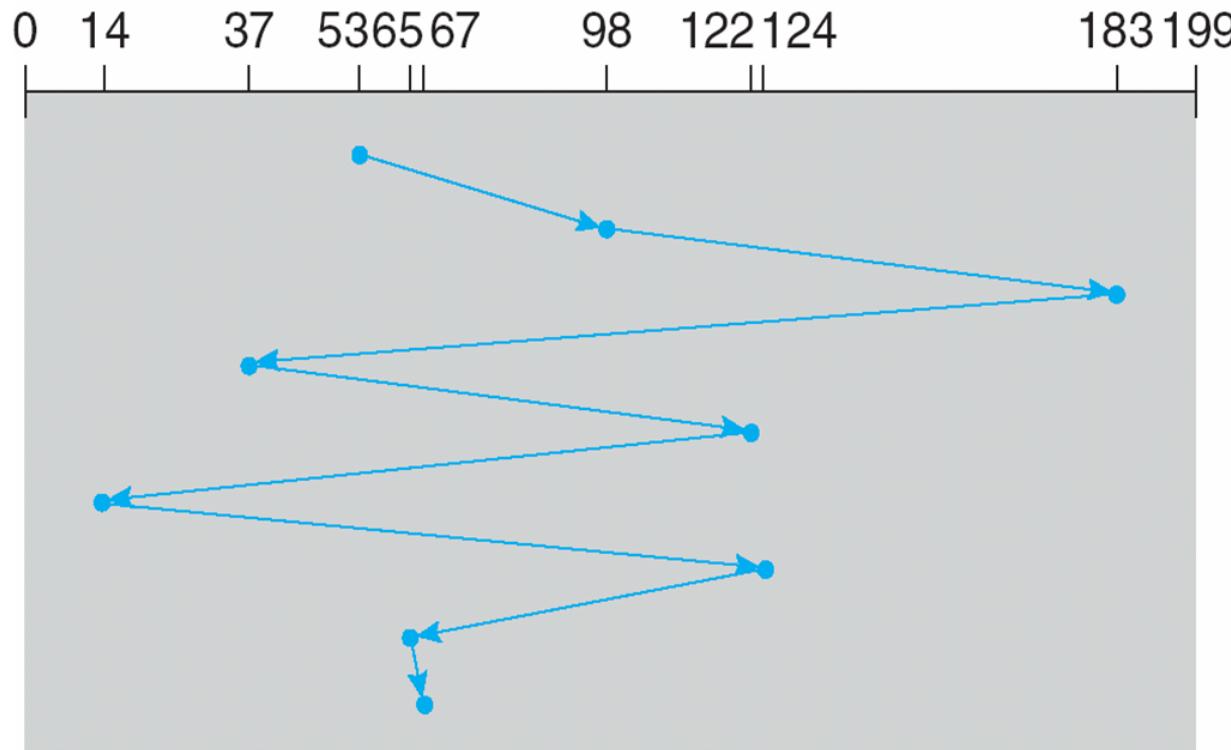


# FCFS

Illustration shows total head movement of 640 cylinders

queue = 98, 183, 37, 122, 14, 124, 65, 67

head starts at 53





# SCAN

---

- The disk arm starts at one end of the disk, and moves toward the other end, servicing requests until it gets to the other end of the disk, where the head movement is reversed and servicing continues.
- **SCAN algorithm** Sometimes called the **elevator algorithm**
- Illustration shows total head movement of 208 cylinders
- But note that if requests are uniformly dense, largest density at other end of disk and those wait the longest

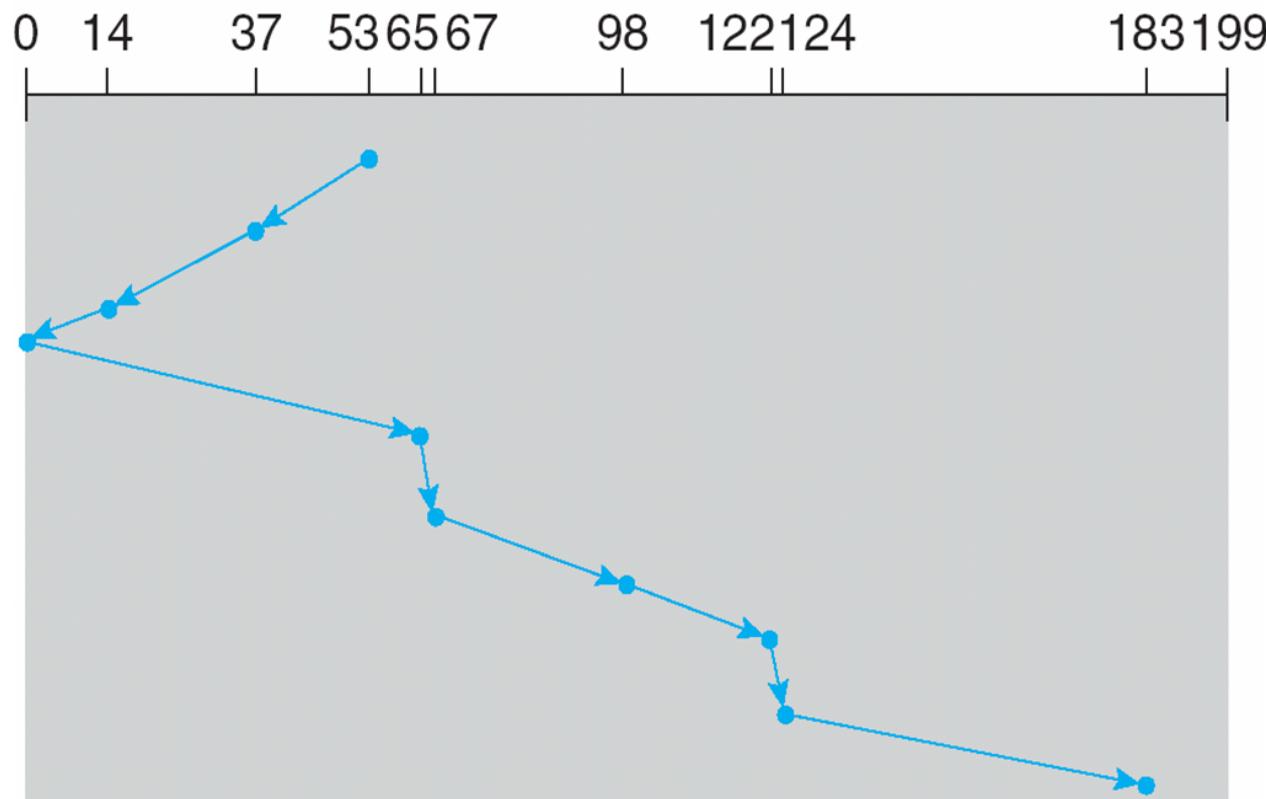




# SCAN (Cont.)

queue = 98, 183, 37, 122, 14, 124, 65, 67

head starts at 53





# C-SCAN

---

- Provides a more uniform wait time than SCAN
- The head moves from one end of the disk to the other, servicing requests as it goes
  - When it reaches the other end, however, it immediately returns to the beginning of the disk, without servicing any requests on the return trip
- Treats the cylinders as a circular list that wraps around from the last cylinder to the first one
- Total number of cylinders?

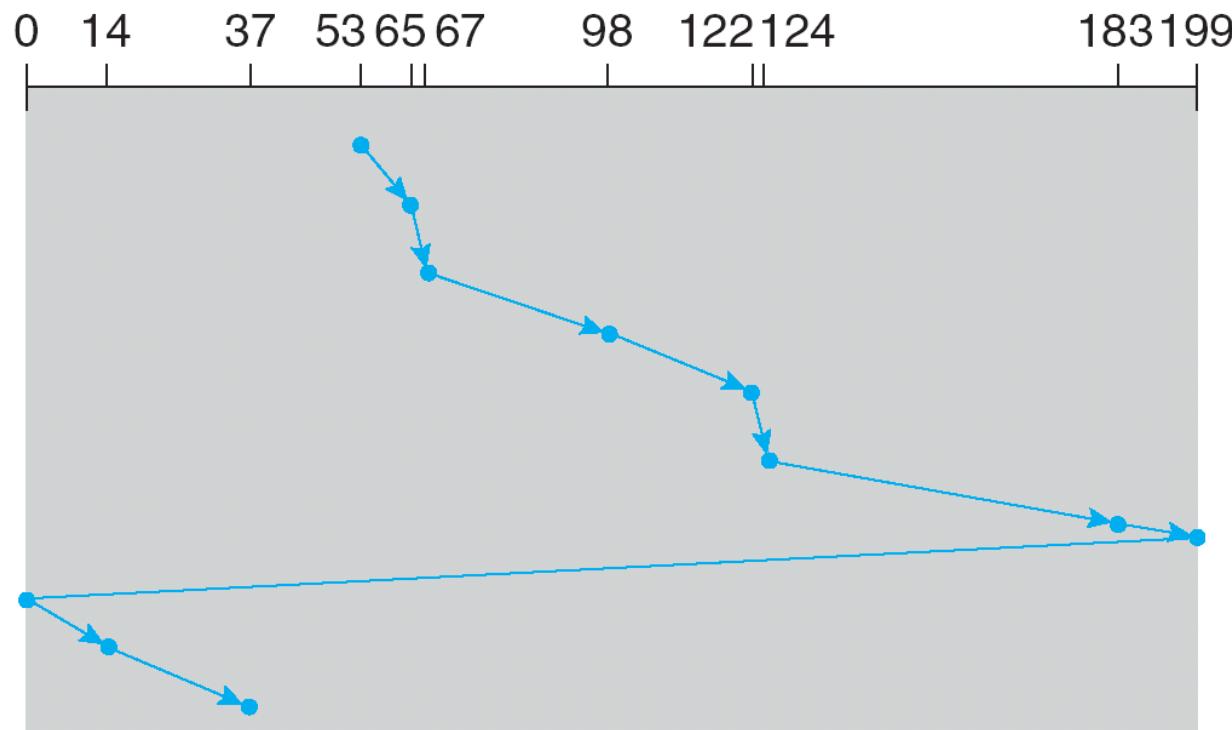




# C-SCAN (Cont.)

queue = 98, 183, 37, 122, 14, 124, 65, 67

head starts at 53





# Selecting a Disk-Scheduling Algorithm

- SSTF is common and has a natural appeal
- SCAN and C-SCAN perform better for systems that place a heavy load on the disk
  - Less starvation, but still possible
- To avoid starvation Linux implements **deadline** scheduler
  - Maintains separate read and write queues, gives read priority
    - ▶ Because processes more likely to block on read than write
  - Implements four queues: 2 x read and 2 x write
    - ▶ 1 read and 1 write queue sorted in LBA order, essentially implementing C-SCAN
    - ▶ 1 read and 1 write queue sorted in FCFS order
    - ▶ All I/O requests sent in batch sorted in that queue's order
    - ▶ After each batch, checks if any requests in FCFS older than configured age (default 500ms)
      - If so, LBA queue containing that request is selected for next batch of I/O
- In RHEL 7 also **NOOP** and **completely fair queueing** scheduler (**CFQ**) also available, defaults vary by storage device





# NVM Scheduling

- No disk heads or rotational latency but still room for optimization
- In RHEL 7 **NOOP** (no scheduling) is used but adjacent LBA requests are combined
  - NVM best at random I/O, HDD at sequential
  - Throughput can be similar
  - **Input/Output operations per second (IOPS)** much higher with NVM (hundreds of thousands vs hundreds)
  - But **write amplification** (one write, causing garbage collection and many read/writes) can decrease the performance advantage





# Error Detection and Correction

- Fundamental aspect of many parts of computing (memory, networking, storage)
- **Error detection** determines if there a problem has occurred (for example a bit flipping)
  - If detected, can halt the operation
  - Detection frequently done via parity bit
- Parity one form of **checksum** – uses modular arithmetic to compute, store, compare values of fixed-length words
  - Another error-detection method common in networking is **cyclic redundancy check (CRC)** which uses hash function to detect multiple-bit errors
- **Error-correction code (ECC)** not only detects, but can correct some errors
  - Soft errors correctable, hard errors detected but not corrected





# Storage Device Management

---

- **Low-level formatting, or physical formatting** — Dividing a disk into sectors that the disk controller can read and write
  - Each sector can hold header information, plus data, plus error correction code (**ECC**)
  - Usually 512 bytes of data but can be selectable
- To use a disk to hold files, the operating system still needs to record its own data structures on the disk
  - **Partition** the disk into one or more groups of cylinders, each treated as a logical disk
  - **Logical formatting** or “making a file system”
  - To increase efficiency most file systems group blocks into **clusters**
    - ▶ Disk I/O done in blocks
    - ▶ File I/O done in clusters



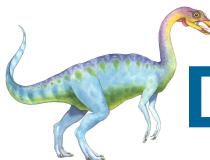


# Storage Device Management (cont.)

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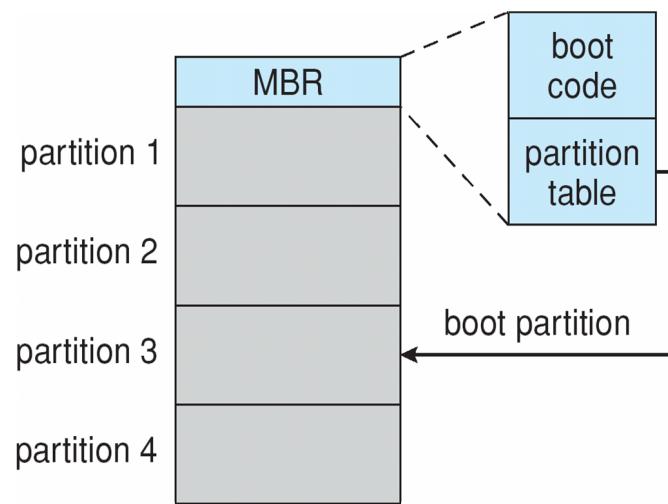
- **Root partition** contains the OS, other partitions can hold other Oses, other file systems, or be raw
  - **Mounted** at boot time
  - Other partitions can mount automatically or manually
- At mount time, file system consistency checked
  - Is all metadata correct?
    - ▶ If not, fix it, try again
    - ▶ If yes, add to mount table, allow access
- Boot block can point to boot volume or boot loader set of blocks that contain enough code to know how to load the kernel from the file system
  - Or a boot management program for multi-os booting





# Device Storage Management (Cont.)

- Raw disk access for apps that want to do their own block management, keep OS out of the way (databases for example)
- Boot block initializes system
  - The bootstrap is stored in ROM, firmware
  - **Bootstrap loader** program stored in boot blocks of boot partition
- Methods such as **sector sparing** used to handle bad blocks



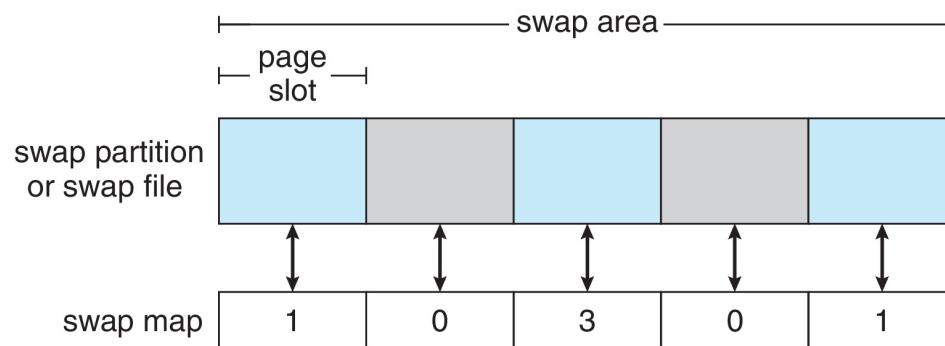
Booting from secondary storage in Windows





# Swap-Space Management

- Used for moving entire processes (swapping), or pages (paging), from DRAM to secondary storage when DRAM not large enough for all processes
- Operating system provides **swap space management**
  - Secondary storage slower than DRAM, so important to optimize performance
  - Usually multiple swap spaces possible – decreasing I/O load on any given device
  - Best to have dedicated devices
  - Can be in raw partition or a file within a file system (for convenience of adding)
  - Data structures for swapping on Linux systems:





# Storage Attachment

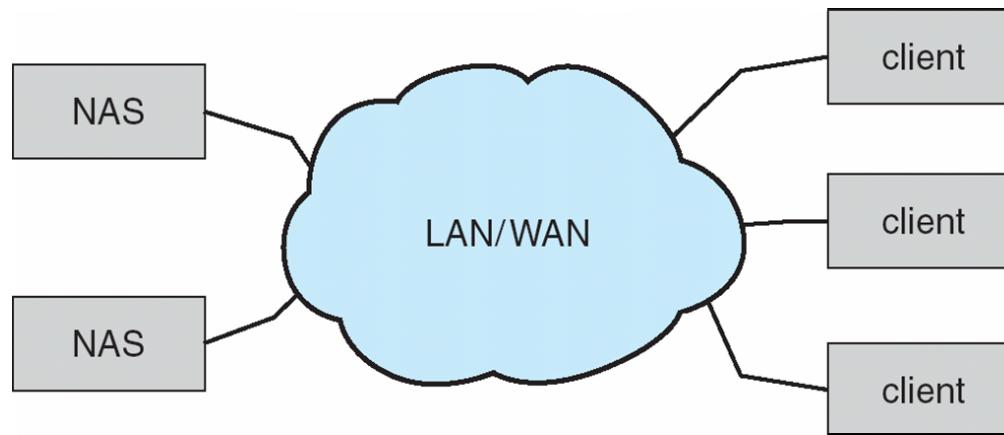
- Computers access storage in three ways
  - host-attached
  - network-attached
  - cloud
- Host attached access through local I/O ports, using one of several technologies
  - To attach many devices, use storage busses such as USB, firewire, thunderbolt
  - High-end systems use **fibre channel (FC)**
    - ▶ High-speed serial architecture using fibre or copper cables
    - ▶ Multiple hosts and storage devices can connect to the FC fabric





# Network-Attached Storage

- Network-attached storage (**NAS**) is storage made available over a network rather than over a local connection (such as a bus)
  - Remotely attaching to file systems
- NFS and CIFS are common protocols
- Implemented via remote procedure calls (RPCs) between host and storage over typically TCP or UDP on IP network
- **iSCSI** protocol uses IP network to carry the SCSI protocol
  - Remotely attaching to devices (blocks)





# Cloud Storage

- Similar to NAS, provides access to storage across a network
  - Unlike NAS, accessed over the Internet or a WAN to remote data center
- NAS presented as just another file system, while cloud storage is API based, with programs using the APIs to provide access
  - Examples include Dropbox, Amazon S3, Microsoft OneDrive, Apple iCloud
  - Use APIs because of latency and failure scenarios (NAS protocols wouldn't work well)





# Storage Array

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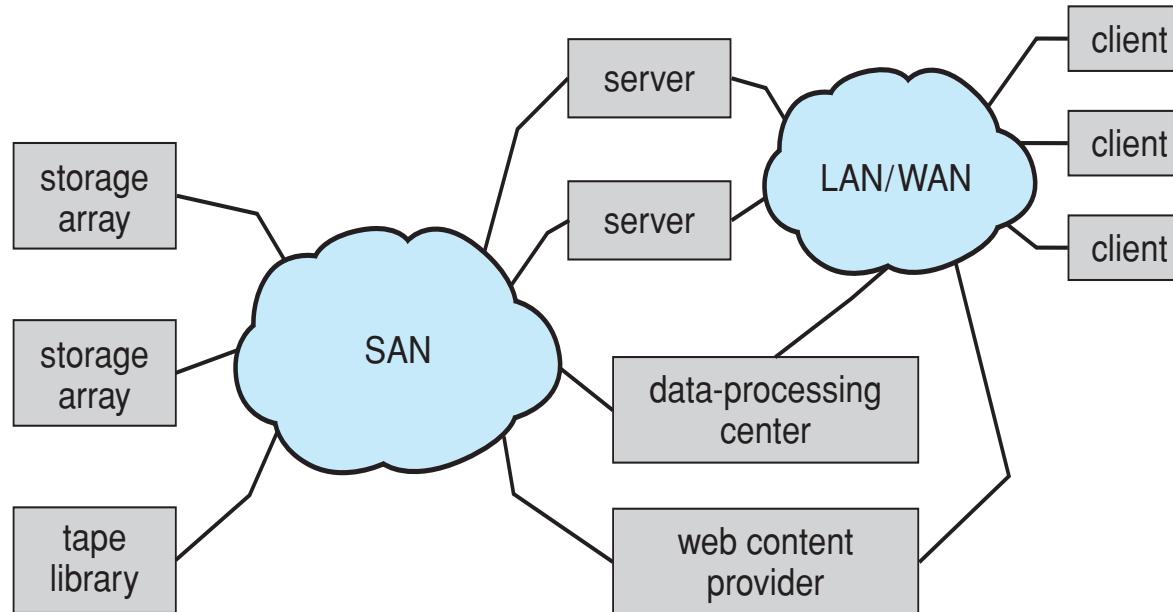
- Can just attach disks, or arrays of disks
- Avoids the NAS drawback of using network bandwidth
- Storage Array has controller(s), provides features to attached host(s)
  - Ports to connect hosts to array
  - Memory, controlling software (sometimes NVRAM, etc)
  - A few to thousands of disks
  - RAID, hot spares, hot swap (discussed later)
  - Shared storage -> more efficiency
  - Features found in some file systems
    - ▶ Snapshots, clones, thin provisioning, replication, deduplication, etc





# Storage Area Network

- Common in large storage environments
- Multiple hosts attached to multiple storage arrays – flexible





# Storage Area Network (Cont.)

- SAN is one or more storage arrays
  - Connected to one or more Fibre Channel switches or **InfiniBand (IB)** network
- Hosts also attach to the switches
- Storage made available via **LUN Masking** from specific arrays to specific servers
- Easy to add or remove storage, add new host and allocate it storage
- Why have separate storage networks and communications networks?
  - Consider iSCSI, FCOE



A Storage Array





# RAID Structure

- RAID – redundant array of inexpensive disks
  - multiple disk drives provides reliability via redundancy
- Increases the mean time to failure
- Mean time to repair – exposure time when another failure could cause data loss
- Mean time to data loss based on above factors
- If mirrored disks fail independently, consider disk with 1300,000 mean time to failure and 10 hour mean time to repair
  - Mean time to data loss is  $100,000^2 / (2 * 10) = 500 * 10^6$  hours, or 57,000 years!
- Frequently combined with NVRAM to improve write performance
- Several improvements in disk-use techniques involve the use of multiple disks working cooperatively





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# RAID Structure

- RAID – redundant array of inexpensive disks
  - multiple disk drives provides reliability via redundancy
- Increases the mean time to failure
- Mean time to repair – exposure time when another failure could cause data loss
- Mean time to data loss based on above factors
- If mirrored disks fail independently, consider disk with 100,000 (hours) mean time to failure and 10 hour mean time to repair
  - Mean time to data loss is  $100,000^2 / (2 * 10) = 500 * 10^6$  hours, or 57,000 years!
- Frequently combined with NVRAM to improve write performance
- Several improvements in disk-use techniques involve the use of multiple disks working cooperatively





# RAID Structure

Mirrored RAID: 2 disks

$$\text{MTTF}_{(1\text{st\_fail})} = \text{MTTF}/2 = 100,000/2 = 50,000 \text{ hours}$$

$$\text{Prob}_{(2\text{nd\_fail\_during\_repair})} = \text{MTTR}/\text{MTTF} = 10/100,000 = 10^{-4}$$

Mean time to data loss =  $\text{MTTF}_{(1\text{st\_fail+2nd\_fail\_during\_repair})}$

$$\begin{aligned}\text{MTTF}_{(\text{fail+fail\_during\_repair})} &= \text{MTTF}_{(1\text{st\_fail})} / \text{Prob}_{(2\text{nd\_fail\_during\_repair})} \\ &= \text{MTTF}^2/(2*\text{MTTR}) = 10^{10}/(2*10) \\ &= 5*10^8 \text{ hours}\end{aligned}$$

(hours) **mean time to failure** and 10 hours **mean time to repair**

- Mean time to data loss is  $100,000^2 / (2 * 10) = 500 * 10^6$  hours, or 57,000 years!
- Frequently combined with **NVRAM** to improve write performance
- Several improvements in disk-use techniques involve the use of multiple disks working cooperatively





# RAID (Cont.)

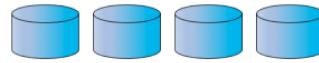
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- Disk **striping** uses a group of disks as one storage unit
- RAID is arranged into six different levels
- RAID schemes improve performance and improve the reliability of the storage system by storing redundant data
  - **Mirroring or shadowing (RAID 1)** keeps duplicate of each disk
  - Striped mirrors (**RAID 1+0**) or mirrored stripes (**RAID 0+1**) provides high performance and high reliability
  - **Block interleaved parity (RAID 4, 5, 6)** uses much less redundancy
- RAID within a storage array can still fail if the array fails, so automatic **replication** of the data between arrays is common
- Frequently, a small number of **hot-spare** disks are left unallocated, automatically replacing a failed disk and having data rebuilt onto them





# RAID Levels



(a) RAID 0: non-redundant striping.



(b) RAID 1: mirrored disks.



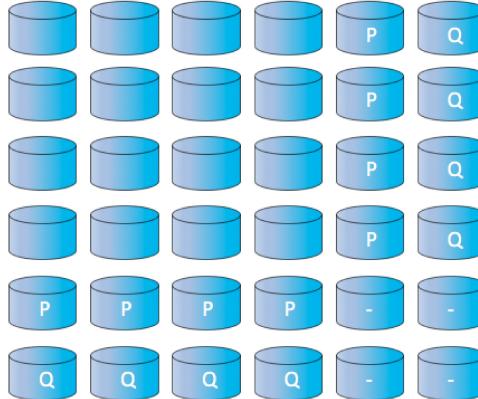
(c) RAID 4: block-interleaved parity.



(d) RAID 5: block-interleaved distributed parity.



(e) RAID 6: P + Q redundancy.

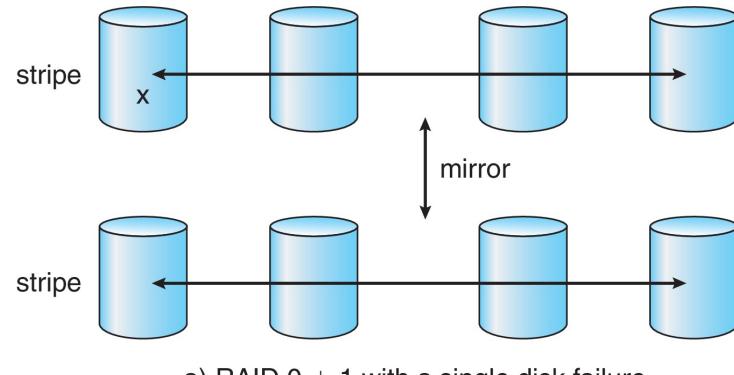


(f) Multidimensional RAID 6.

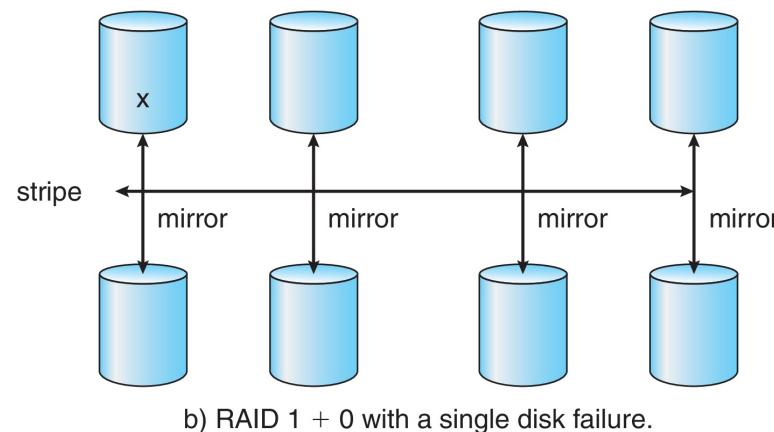




# RAID (0 + 1) and (1 + 0)



a) RAID 0 + 1 with a single disk failure.



b) RAID 1 + 0 with a single disk failure.





# Other Features

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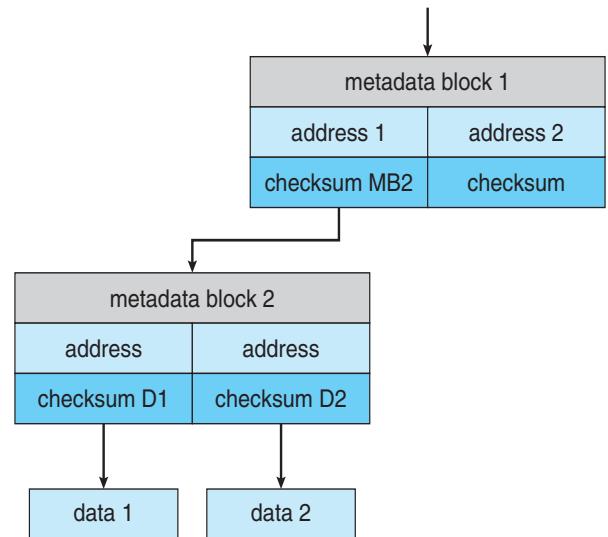
- Regardless of where RAID implemented, other useful features can be added
- **Snapshot** is a view of file system before a set of changes take place (i.e. at a point in time)
  - More in Ch 12
- Replication is automatic duplication of writes between separate sites
  - For redundancy and disaster recovery
  - Can be synchronous or asynchronous
- Hot spare disk is unused, automatically used by RAID production if a disk fails to replace the failed disk and rebuild the RAID set if possible
  - Decreases mean time to repair





# Extensions

- RAID alone does not prevent or detect data corruption or other errors, just disk failures
- Solaris ZFS adds **checksums** of all data and metadata
- Checksums kept with pointer to object, to detect if object is the right one and whether it changed
- Can detect and correct data and metadata corruption
- ZFS also removes volumes, partitions
  - Disks allocated in **pools**
  - Filesystems with a pool share that pool, use and release space like `malloc()` and `free()` memory allocate / release calls

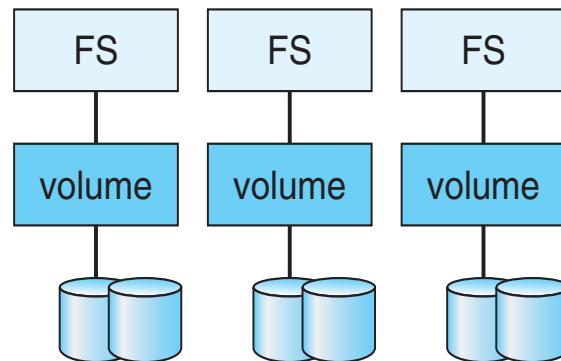


ZFS checksums all metadata and data

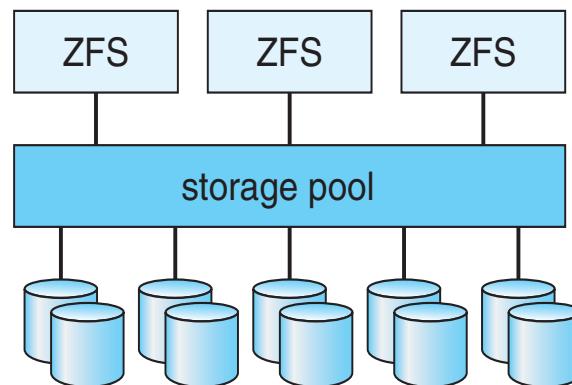




# Traditional and Pooled Storage



(a) Traditional volumes and file systems.



(b) ZFS and pooled storage.





# Object Storage

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- General-purpose computing, file systems not sufficient for very large scale
- Another approach – start with a storage pool and place objects in it
  - Object just a container of data
  - No way to navigate the pool to find objects (no directory structures, few services)
  - Computer-oriented, not user-oriented
- Typical sequence
  - Create an object within the pool, receive an object ID
  - Access object via that ID
  - Delete object via that ID
- Object storage management software like **Hadoop file system** (**HDFS**) and **Ceph** determine where to store objects, manages protection
  - Typically by storing N copies, across N systems, in the object storage cluster
  - **Horizontally scalable**
  - **Content addressable, unstructured**



# End of Chapter 11

