



Dr Kevin Dutton – Primary Supervisor
Prof Elaine Fox - Oxford Centre for Emotions & Affective Neuroscience (OCEAN) –
Secondary Supervisor
Wing Commander Keith Dear – DPhil Student

Date: **XX XXX** 16

Participant Information Sheet

CUREC ethics reference number **XXXX/XXX** MOD Research Ethics Committee (MODREC)
Reference **XXX**/MODREC/17

This study complies, and at all times will comply, with the Declaration of Helsinki¹ as adopted at the 64th WMA General Assembly at Fortaleza, Brazil in October 2013.

1. Decision Making Experiment. You are invited to take part in research examining decision making.

2. Background and aims of the study. This research aims to study everyday behaviour and decision-making. The researcher is a DPhil student at Oxford University's Department of Experimental Psychology. The research is funded by the Ministry of Defence.

3. Why have I been invited to take part? You have been invited because you responded to the advert for participants.

4. Do I have to take part? No. You do not have to take part and may withdraw immediately and at any time throughout the experiment. You may ask questions about the study before deciding whether to proceed. If you choose to participate you may still withdraw yourself and any data collected about or from you at any time without penalty and without giving a reason, by advising the researchers of this decision. Students should note that there is no academic penalty for non-participation or withdrawal. Military personnel should similarly note that their chain of command will not be notified of, and that there is no penalty or career implication for their non-participation or withdrawal.

5. What will happen in the study? If you are happy to take part in the study, you will be briefed on the nature of the task and asked to sign a consent form. You will then be made ten financial decisions whilst paired with an anonymous partner over the internet (via 4G 'dongle' in remote locations), over ten rounds with different partners in every round. You will be randomly allocated a unique number. All data collected, specifically your decisions in the task and your survey responses will be tied only to this number and cannot be linked back to you. Therefore, all your actions are completely anonymous.

You will then complete three questionnaires on the computer to help us understand the factors that may have contributed to your decision. Again, all data you provide is linked only to your randomly assigned number and cannot be linked back to you.

At the end of the experiment you will be paid individually and will not see or meet other participants or anyone else after the experiment. You will be asked to leave the building

after collecting your money: £2 for your participation plus any additional money you earned in the task. Completing the experiment and surveys will take any longer than 45 minutes.

6. Benefits. There are no direct benefits though you will receive £2.00 in compensation for your time and you may gain money through the task.

7. Expenses. You will receive only the compensation for your time and possible additional money gained in the task. No travel or other expenses will be paid.

8. Are there any potential risks in taking part? There are no physical or psychological risks to taking part.

9. What happens to the research data provided?

Collection: Personal data (name, date attended, scanned copy of the consent form) will be held on an encrypted hard drive by the researcher. A separate encrypted hard drive will be used to hold the data supplied during the experiment.

Use/disclosure (including secure transfer arrangements of data: Personal data will be stored and used only to identify participants on arrival at the test location, to ensure no one is tested twice and to store consent forms. Experimental data will be anonymised and associated only with a randomly generated serial number. It will not be possible to link data to participant names after you have completed the experiment.

Access: All data may be accessed by University staff auditing the research. Anonymised experimental data will be available to the researcher and his supervisors and may be made available to other researchers both within and outside Oxford University.

Storage: All data will be stored for 5 years on encrypted hard drives. Personal data will be destroyed after 5 years. Experimental data may be retained indefinitely.

Destruction: Drives containing the personal data will be forensically wiped after 5 years.

10. Will the research be published? The University of Oxford is committed to the dissemination of its research for the benefit of society and the economy and, in support of this commitment, has established an online archive of research materials. This archive includes digital copies of student theses successfully submitted as part of a University of Oxford postgraduate degree programme. Holding the archive online gives easy access for researchers to the full text of freely available theses, thereby increasing the likely impact and use of that research.

If you agree to participate in this project, the research will be written up as a thesis. On successful submission of the thesis, it will be deposited both in print and online in the University archives, to facilitate its use in future research. The thesis will be published with open access meaning available to every internet user. The research may also be published in peer reviewed journals and available online. The research may also be used in presentations by the researcher. In all cases it will contain no reference to participants that could be traced back to them individually.

11. Who has reviewed this project? This project has been reviewed by, and received ethics clearance through, the University of Oxford Central University Research Ethics Committee and also by the Ministry of Defence Research Ethics Committee.

12. Who do I contact if I have a concern about the study or I wish to complain? If you have a concern about any aspect of this project, please speak to the researcher Keith Dear on 07740197364 or keith.dear@psy.ox.ac.uk Alternatively you may contact Dr Kevin Dutton his primary supervisor or his secondary supervisor Prof Elaine Fox both on 01865

271424. All will do their best to answer your query. The researcher or his supervisors should acknowledge your concern within 10 working days and give you an indication of how he/she intends to deal with it. If you remain unhappy or wish to make a formal complaint, please contact the chair of the Research Ethics Committee at the University of Oxford (using the contact details below) who will seek to resolve the matter in a reasonably expeditious manner:

- a. Chair, **Medical Sciences Inter-Divisional Research Ethics Committee**; Email: ethics@medsci.ox.ac.uk; Address: icesResearch Serv, University of Oxford, Wellington Square, Oxford OX1 2JD.
- b. **MOD**. Use reference **XXX/MODREC/17**; Contact Wing Commander Guy Wood, [dstl], Porton Down, Salisbury, Wiltshire, SP4 0JQ. Telephone: Military 96770 5926, Civ: 030 6770 5926, Email: gmwood@dstl.gov.uk

Enclosure 1. (MOD) Arrangements of Payment of No Fault Compensation to Human Volunteers

ARRANGEMENTS FOR THE PAYMENT OF NO-FAULT COMPENSATION TO HUMAN VOLUNTEERS

1. This section sets out the arrangements for the payment of no-fault compensation to volunteers who suffer illness and/or personal injury as a direct result of participating as a non-patient (healthy) human volunteer in research conducted on behalf of the Ministry of Defence. The no-fault compensation arrangements only apply to volunteers (Military, Civilian, or non-Ministry of Defence) who participate in a Trial that has been approved by the MoD Research Ethics Committee.
2. A volunteer wishing to seek no-fault compensation under these arrangements should contact the Directorate of Judicial Engagement Policy, Common Law Claims & Policy (DJEP-CLCP) Ministry of Defence, Level 1, Spine 3, Zone J, Whitehall, SW1A 2SB who may need to ask the Claimant to be seen by a MoD medical adviser.
3. CLCP will consider reasonable requests for reimbursement of legal or other expenses incurred by volunteers in relation to pursuing their claim (e.g. private medical advice, clinical tests, legal advice on the level of compensation offered) provided that they have been notified of the Claimant's intention to make such a Claim.
4. If an injury is sufficiently serious to warrant an internal MoD inquiry, any settlement may be delayed at the request of the volunteer until the outcome is known and made available to the volunteer in order to inform his or her decision about whether to accept no-fault compensation or proceed with a common law claim. An interim payment pending any inquiry outcome may be made in cases of special need. It is the Claimant's responsibility to do all that he or she can to mitigate his or her loss.
5. In order to claim compensation under these no-fault arrangements, a volunteer must have sustained an illness and/or personal injury as a direct result of participation in a Trial. A claim must be submitted within three years of when the incident giving rise to the claim occurred, or, if symptoms develop at a later stage, within three years of such symptoms being medically documented.
6. The fact that a volunteer has been formally warned of possible injurious effects of the trial upon which a claim is subsequently based does not remove MoD's responsibility for payment of no-fault compensation. The level of compensation offered shall be determined by taking account of the level of compensation that a court would have awarded for the same injury, illness or death had it resulted from the Department's negligence.
7. In assessing the level of compensation, CLCP, in line with common law principles, will take into account the degree to which the Claimant may have been responsible for his or her injury or illness and a deduction may be made for contributory negligence accordingly.
8. In the event of CLCP and the injured party being unable to reach a mutually acceptable decision about compensation, the claim will be presented for arbitration to a nominated Queen's Counsel. CLCP will undertake to accept the outcome of any such arbitration. This does not affect in any way the rights of the injured party to withdraw from the negotiation and pursue his or her case as a common law claim through the Courts.