计算机系统基础11

Exceptional Control Flow: Exceptions & Processes

Today

- **Exceptional Control Flow**
  异常
- Exceptions
- Processes

Control Flow

- **Processors do only one thing:**
  - From startup to shutdown, a CPU simply reads and executes (interprets) a sequence of instructions, one at a time
  - This sequence is the CPU's *control flow* (or *flow of control*)

Physical control flow

Time

<startup>
$\textbf{inst}_1$
$\textbf{inst}_2$
$\textbf{inst}_3$
…
$\textbf{inst}_n$
<shutdown>

Altering the Control Flow

- **Up to now: two mechanisms for changing control flow:**
  - Jumps and branches
  - Call and return

  React to changes in ***program state***

- **Insufficient for a useful system:**
  Difficult to react to changes in *system state*
  - Data arrives from a disk or a network adapter
  - Instruction divides by zero
  - User hits Ctrl-C at the keyboard
  - System timer expires

- **System needs mechanisms for "exceptional control flow"**

Exceptional Control Flow

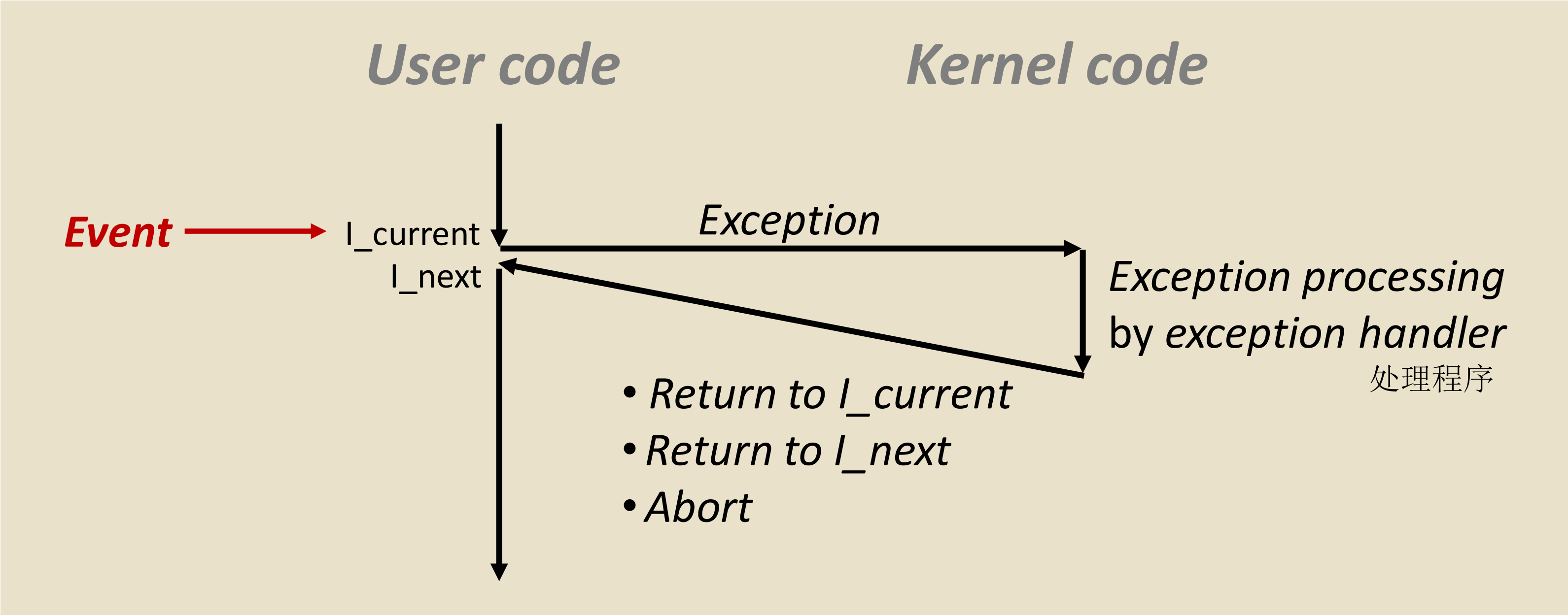
- **Exists at all levels of a computer system**
- **Low level mechanisms**
  - 1. **Exceptions**
    - Change in control flow in response to a system event (i.e., change in system state)
    - Implemented using combination of hardware and OS software
- **Higher level mechanisms**
  - 2. **Process context switch** 上下文
    - Implemented by OS software and hardware timer
  - 3. **Signals**
    - Implemented by OS software
  - 4. **Nonlocal jumps**: `setjmp()` and `longjmp()`
    - Implemented by C runtime library see fig 8-43

Today

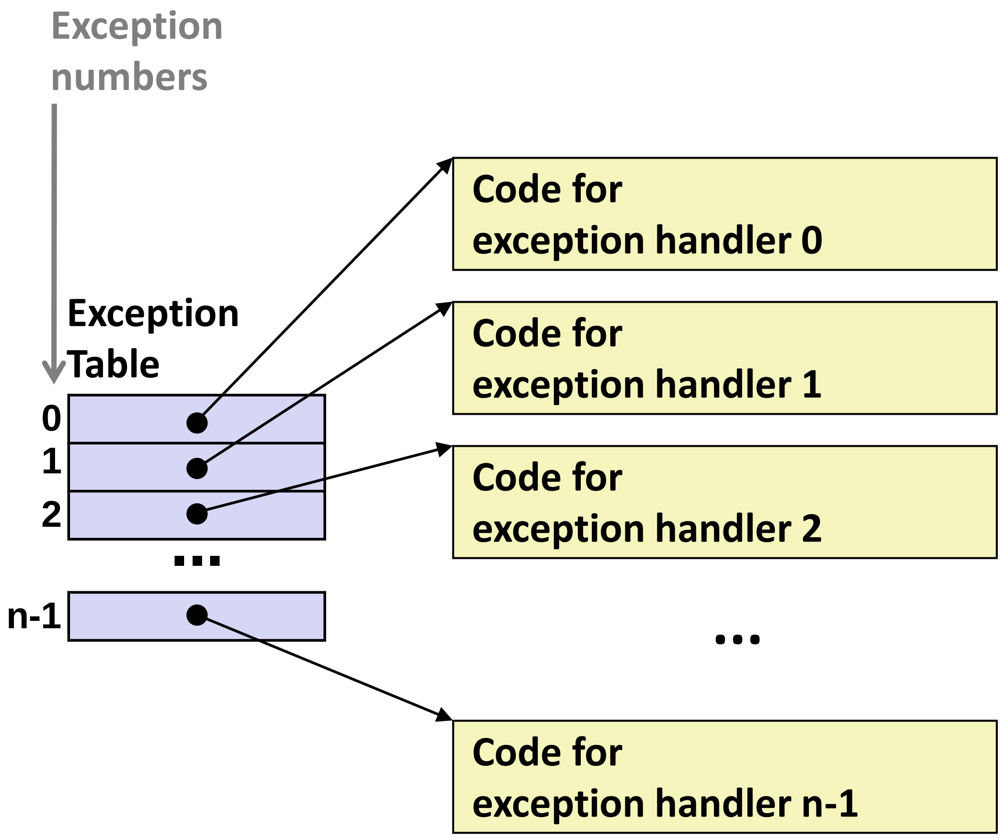
- Exceptional Control Flow
- **Exceptions**
- Processes

Exceptions

- **An *exception* is a transfer of control to the OS *kernel* in response to some *event* (i.e., change in processor state)**
  - Kernel is the memory-resident part of the OS
  - Examples of events: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C



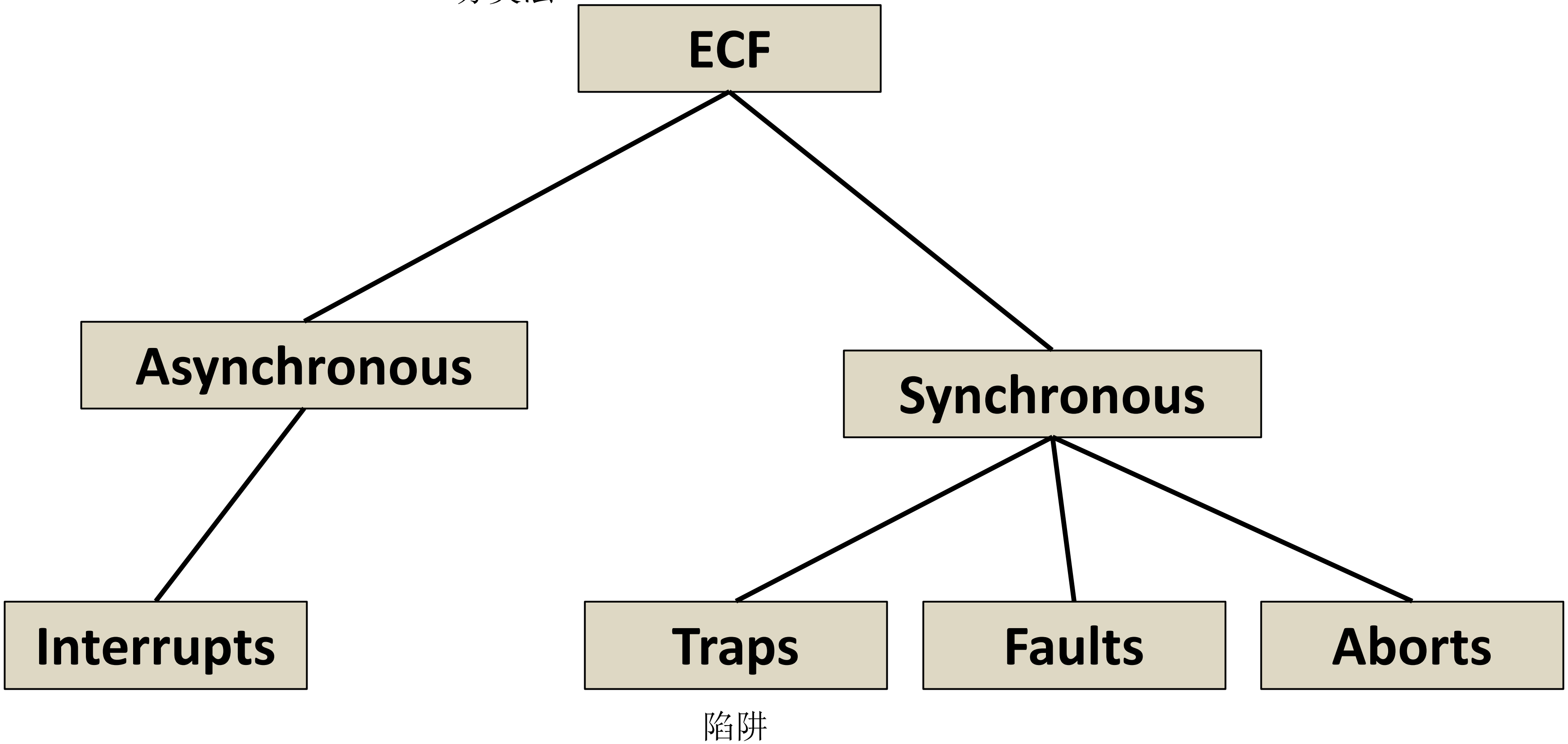
Exception Tables



- Each type of event has a unique exception number k(0-255)
- k = index into exception table (a.k.a. interrupt vector)
- Handler k is called each time exception k occurs

(partial) Taxonomy

分类法



Asynchronous Exceptions (Interrupts)

- **Caused by events external to the processor**
  - Indicated by setting the processor's *interrupt pin* 引脚
  - Handler returns to "next" instruction

- **Examples:**
  - Timer interrupt
    - Every few ms, an external timer chip triggers an interrupt
    - Used by the kernel to take back control from user programs
  - I/O interrupt from external device
    - Hitting Ctrl-C at the keyboard
    - Arrival of a packet from a network
    - Arrival of data from a disk

Synchronous Exceptions

- **Caused by events that occur as a result of executing an instruction:**
  - ***Traps***
    - Intentional, set program up to “trip the trap” and do something
    - Examples: ***system calls***, breakpoint traps, special instructions
    - Returns control to “next” instruction
  - ***Faults***
    - Unintentional but possibly recoverable
    - Examples: page faults (recoverable), protection faults (unrecoverable), floating point exceptions
    - Either re-executes faulting (“current”) instruction or aborts
  - ***Aborts***
    - Unintentional and unrecoverable
    - Examples: illegal instruction, parity error, machine check
    - Aborts current program

System Calls

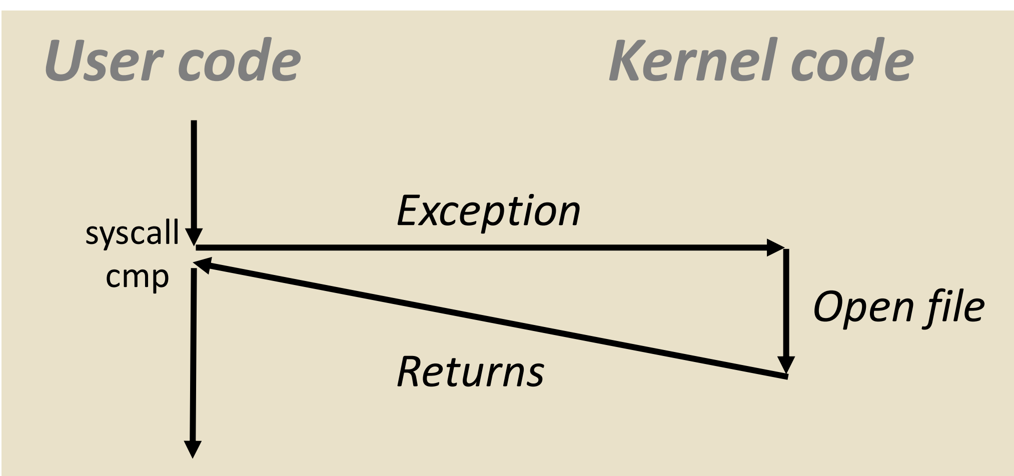
- Each x86-64 system call has a unique ID number
- Examples:

Number	*Name*	*Description*
0	`read`	Read file
1	`write`	Write file
2	`open`	Open file
3	`close`	Close file
4	`stat`	Get info about file
57	`fork`	Create process
59	`execve`	Execute a program
60	`_exit`	Terminate process
62	`kill`	Send signal to process

System Call Example: Opening File

- User calls: **`open(filename, options)`**
- Calls **`__open`** function, which invokes system call instruction **`syscall`**

```
00000000000e5d70 <__open>:
...
e5d79:   b8 02 00 00 00     mov    $0x2,%eax                   # open is syscall #2
e5d7e:   0f 05              syscall                            # Return value in %rax
e5d80:   48 3d 01 f0 ff ff  cmp    $0xfffffffffffff001,%rax
...
e5dfa:   c3                 retq
```



- `%rax` contains syscall number
- Other arguments in `%rdi`, `%rsi`, `%rdx`, `%r10`, `%r8`, `%r9`
- Return value in `%rax`
- Negative value is an error corresponding to negative `errno`

System Call I

- User calls: **open(f**
- Calls **__open** functi

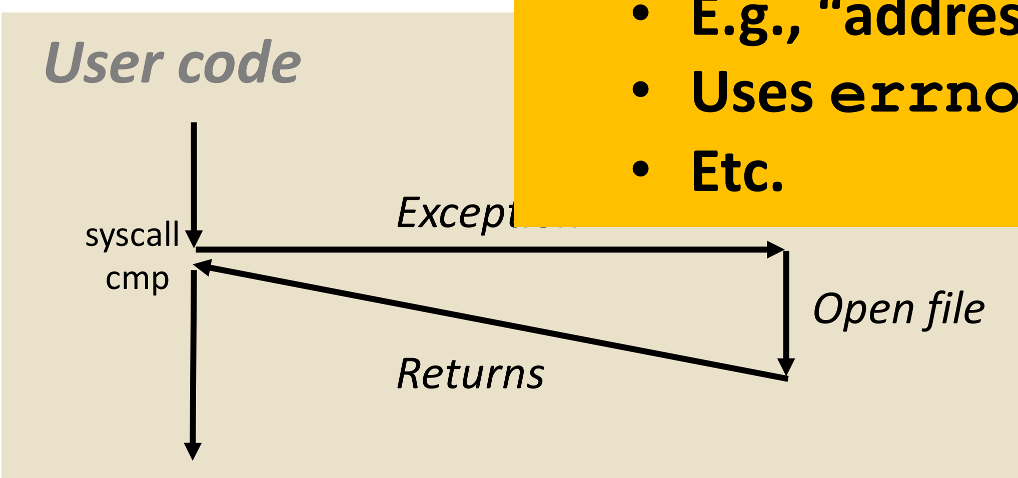
```
00000000000e5d70 <__ope
...
e5d79:   b8 02 00 00 00      m
e5d7e:   0f 05               sy
e5d80:   48 3d 01 f0 ff ff   cn
...
e5dfa:   c3                  ret
```

Almost like a function call

- **Transfer of control**
- **On return, executes next instruction**
- **Passes arguments using calling convention**
- **Gets result in `%rax`**

One Important exception!

- **Executed by Kernel**
- **Different set of privileges**
- **And other differences:**
  - **E.g., “address” of “function” is in `%rax`**
  - **Uses `errno`**
  - **Etc.**



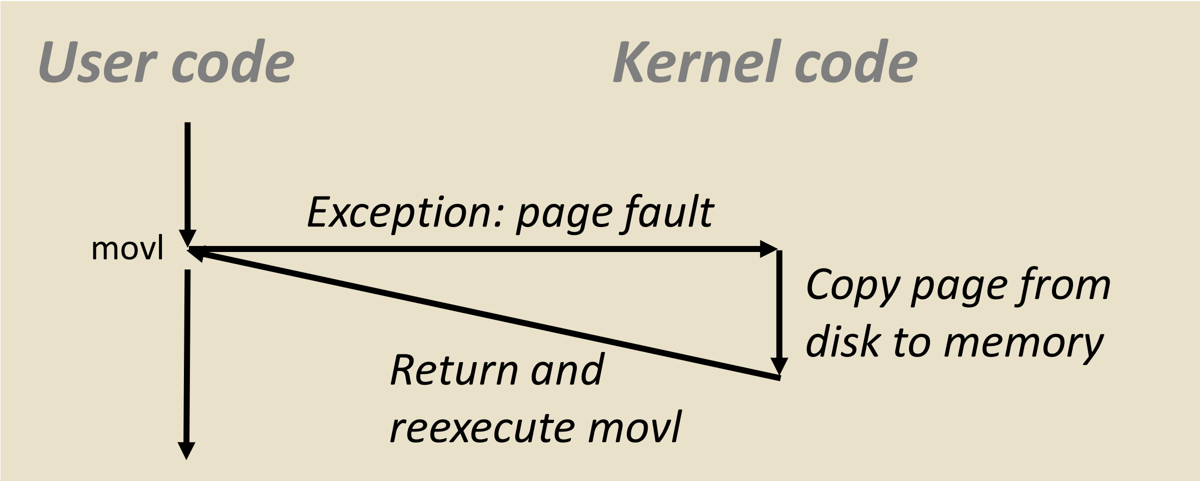
- Return value in `%rax`
- Negative value is an error corresponding to negative `errno`

Fault Example: Page Fault

- User writes to memory location
- That portion (page) of user's memory is currently on disk

```
int a[1000];
main ()
{
    a[500] = 13;
}
```

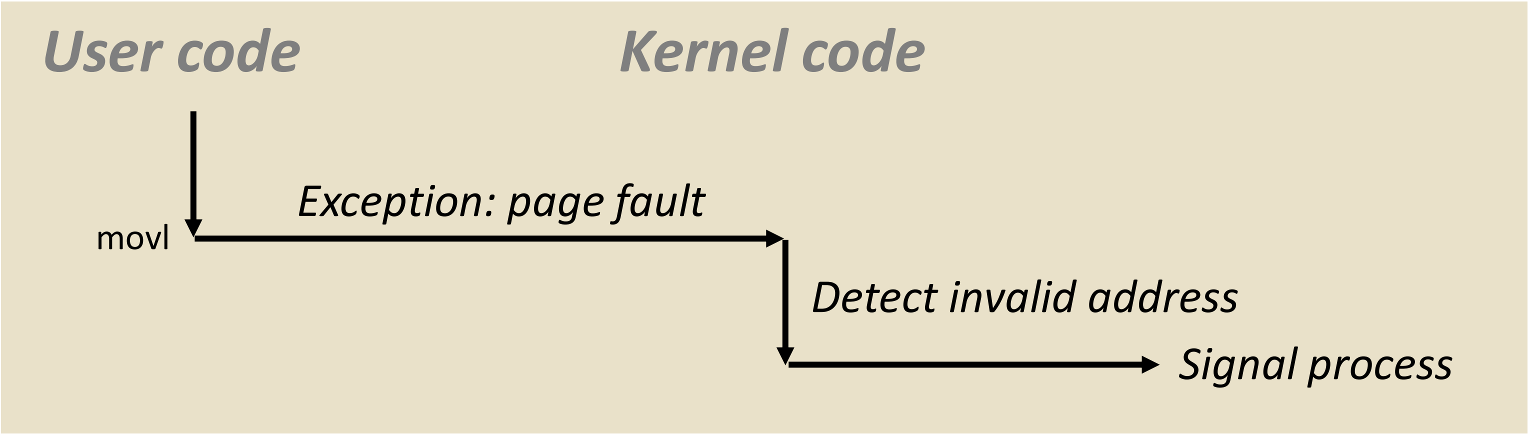
```
80483b7:      c7 05 10 9d 04 08 0d    movl    $0xd,0x8049d10
```



Fault Example: Invalid Memory Reference

```
int a[1000];
main ()
{
    a[5000] = 13;
}
```

```
80483b7:      c7 05 60 e3 04 08 0d    movl    $0xd,0x804e360
```



- Sends **SIGSEGV** signal to user process
- User process exits with "segmentation fault"

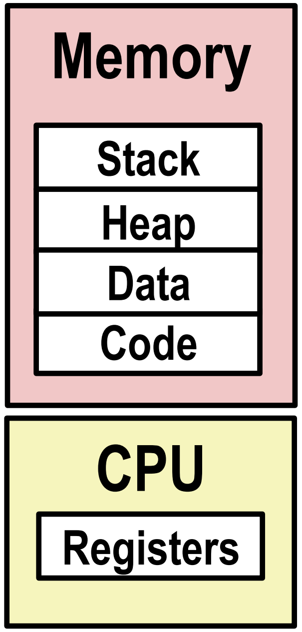
Today

- Exceptional Control Flow
- Exceptions
- **Processes**

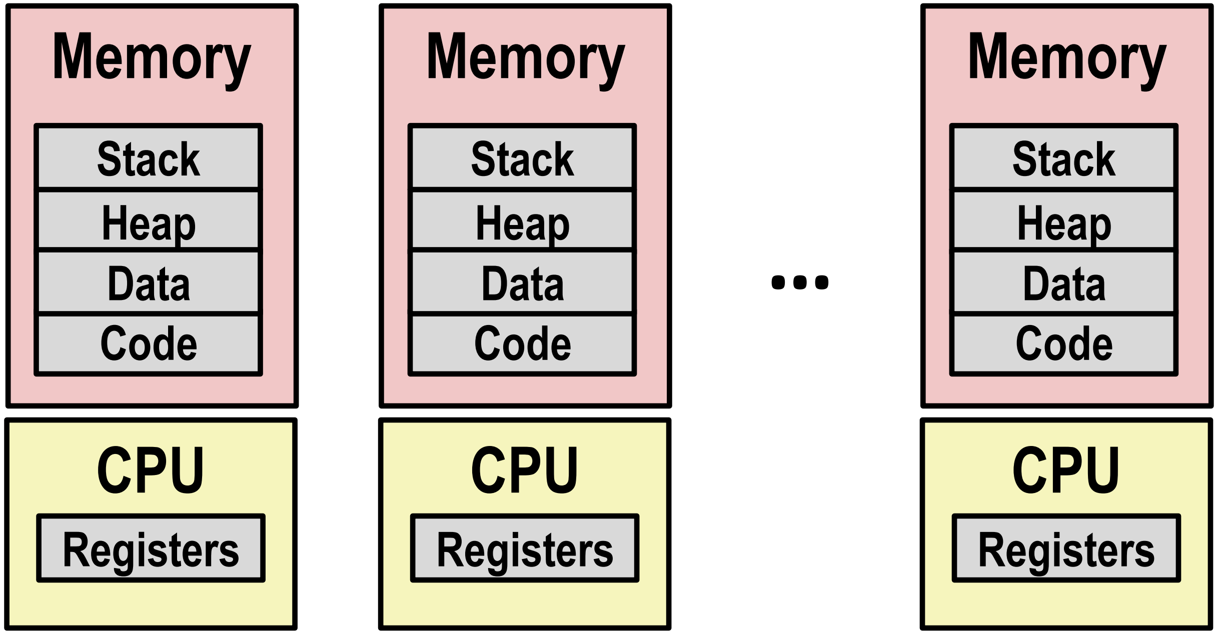
Processes

- **Definition: A *process* is an instance of a running program.**
  - One of the most profound ideas in computer science
  - Not the same as "program" or "processor"

- **Process provides each program with two key abstractions:**
  - ***Logical control flow***
    - Each program seems to have exclusive use of the CPU
    - Provided by kernel mechanism called *context switching*
  - ***Private address space***
    - Each program seems to have exclusive use of main memory.
    - Provided by kernel mechanism called *virtual memory*

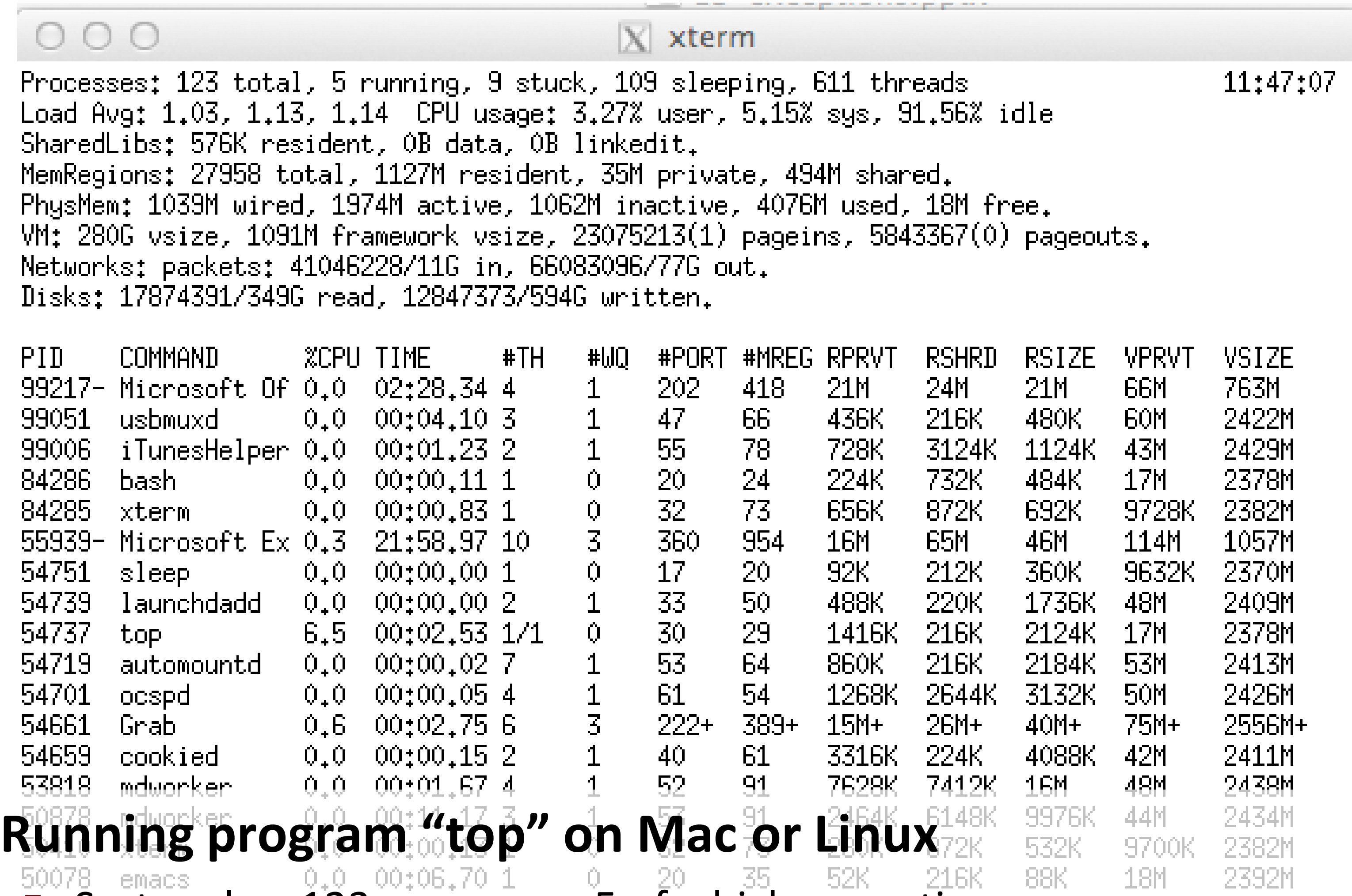


Multiprocessing



- **Computer runs many processes simultaneously**
  - Applications for one or more users
    - Web browsers, email clients, editors, …
  - Background tasks
    - Monitoring network & I/O devices

Multiprocessing Example



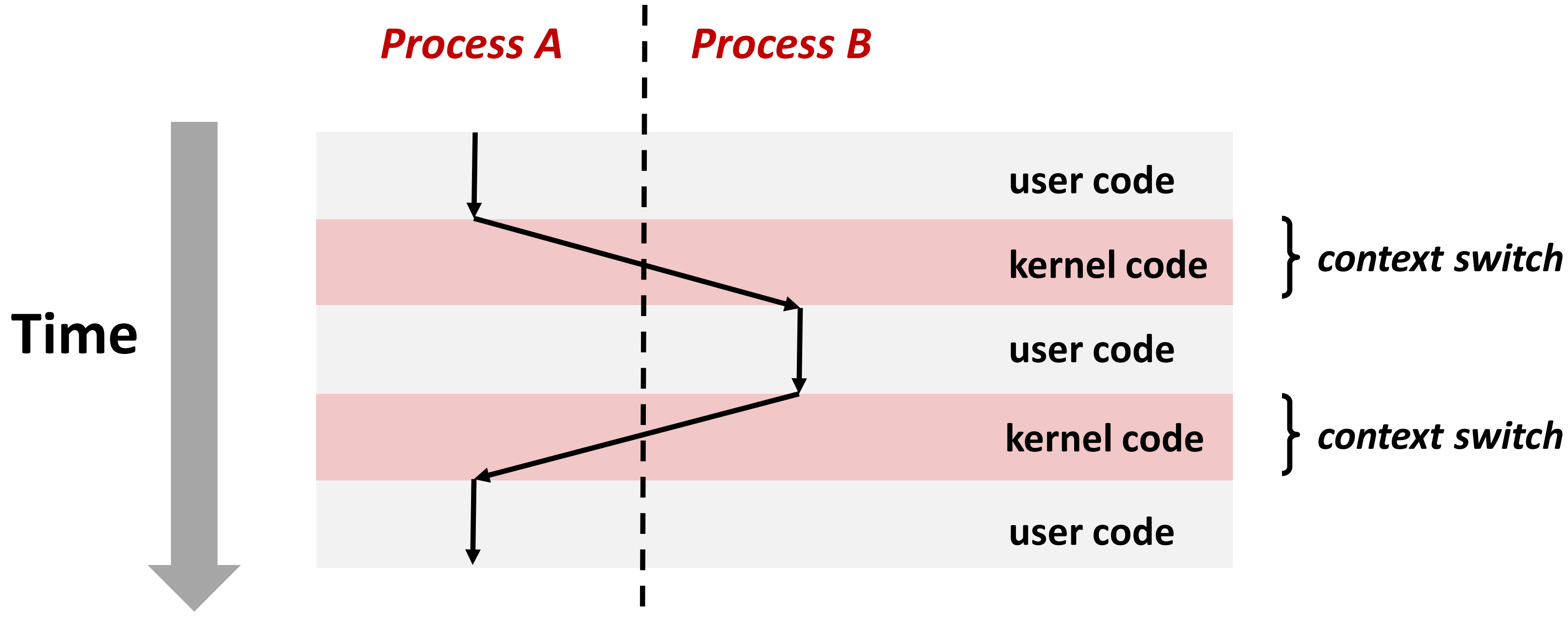
```
Processes: 123 total, 5 running, 9 stuck, 109 sleeping, 611 threads          11:47:07
Load Avg: 1.03, 1.13, 1.14  CPU usage: 3.27% user, 5.15% sys, 91.56% idle
SharedLibs: 576K resident, 0B data, 0B linkedit.
MemRegions: 27958 total, 1127M resident, 35M private, 494M shared.
PhysMem: 1039M wired, 1974M active, 1062M inactive, 4076M used, 18M free.
VM: 280G vsize, 1091M framework vsize, 23075213(1) pageins, 5843367(0) pageouts.
Networks: packets: 41046228/11G in, 66083096/77G out.
Disks: 17874391/349G read, 12847373/594G written.

PID    COMMAND      %CPU TIME     #TH   #WQ  #PORT #MREG RPRVT  RSHRD  RSIZE  VPRVT  VSIZE
99217- Microsoft Of 0.0  02:28.34 4     1    202   418   21M    24M    21M    66M    763M
99051  usbmuxd      0.0  00:04.10 3     1    47    66    436K   216K   480K   60M    2422M
99006  iTunesHelper 0.0  00:01.23 2     1    55    78    728K   3124K  1124K  43M    2429M
84286  bash         0.0  00:00.11 1     0    20    24    224K   732K   484K   17M    2378M
84285  xterm        0.0  00:00.83 1     0    32    73    656K   872K   692K   9728K  2382M
55939- Microsoft Ex 0.3  21:58.97 10    3    360   954   16M    65M    46M    114M   1057M
54751  sleep        0.0  00:00.00 1     0    17    20    92K    212K   360K   9632K  2370M
54739  launchdadd   0.0  00:00.00 2     1    33    50    488K   220K   1736K  48M    2409M
54737  top          6.5  00:02.53 1/1   0    30    29    1416K  216K   2124K  17M    2378M
54719  automountd   0.0  00:00.02 7     1    53    64    860K   216K   2184K  53M    2413M
54701  ocspd        0.0  00:00.05 4     1    61    54    1268K  2644K  3132K  50M    2426M
54661  Grab         0.6  00:02.75 6     3    222+  389+  15M+   26M+   40M+   75M+   2556M+
54659  cookied      0.0  00:00.15 2     1    40    61    3316K  224K   4088K  42M    2411M
53818  mdworker     0.0  00:01.67 4     1    52    91    7628K  7412K  16M    48M    2438M
50878  mdworker     0.0  00:14.17 3     1    53    91    2464K  6148K  9976K  44M    2434M
[illegible]                                                             532K   9700K  2382M
50078  emacs        0.0  00:06.70 1     0    20    35    52K    216K   88K    18M    2392M
```

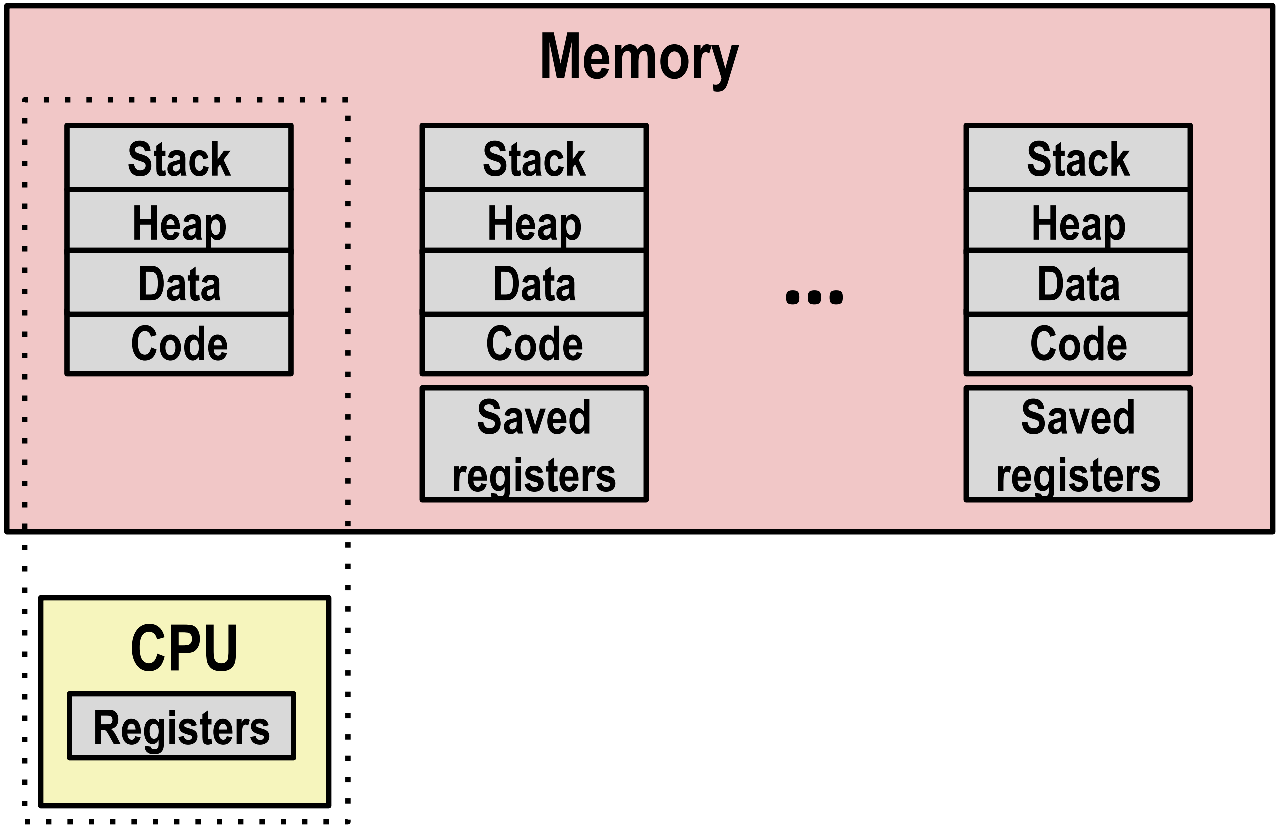
- **Running program "top" on Mac or Linux**
  - System has 123 processes, 5 of which are active
  - Identified by Process ID (PID)

Context Switching

- **Processes are managed by a shared chunk of memory-resident OS code called the *kernel***
  - Important: the kernel is not a separate process, but rather runs as part of some existing process.
- **Control flow passes from one process to another via a *context switch***

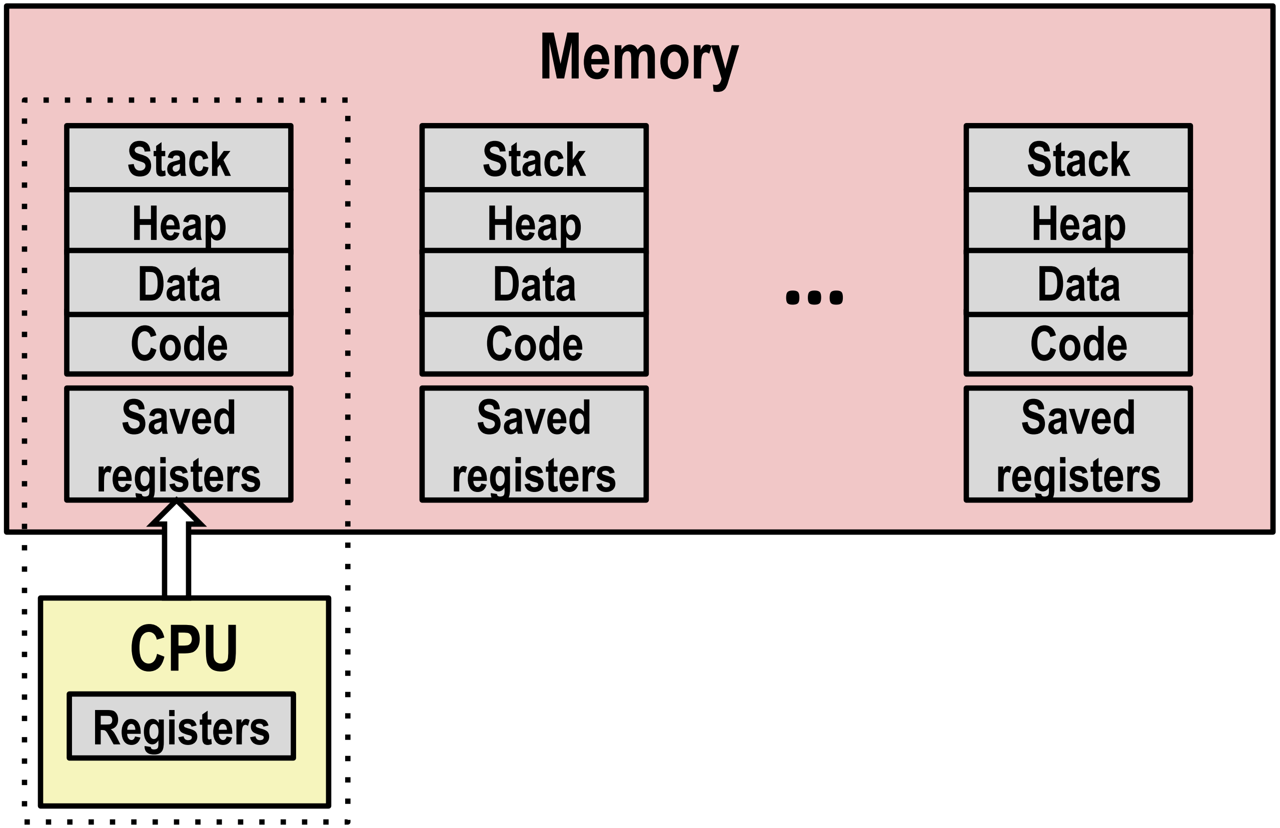


Context Switching (Uniprocessor)



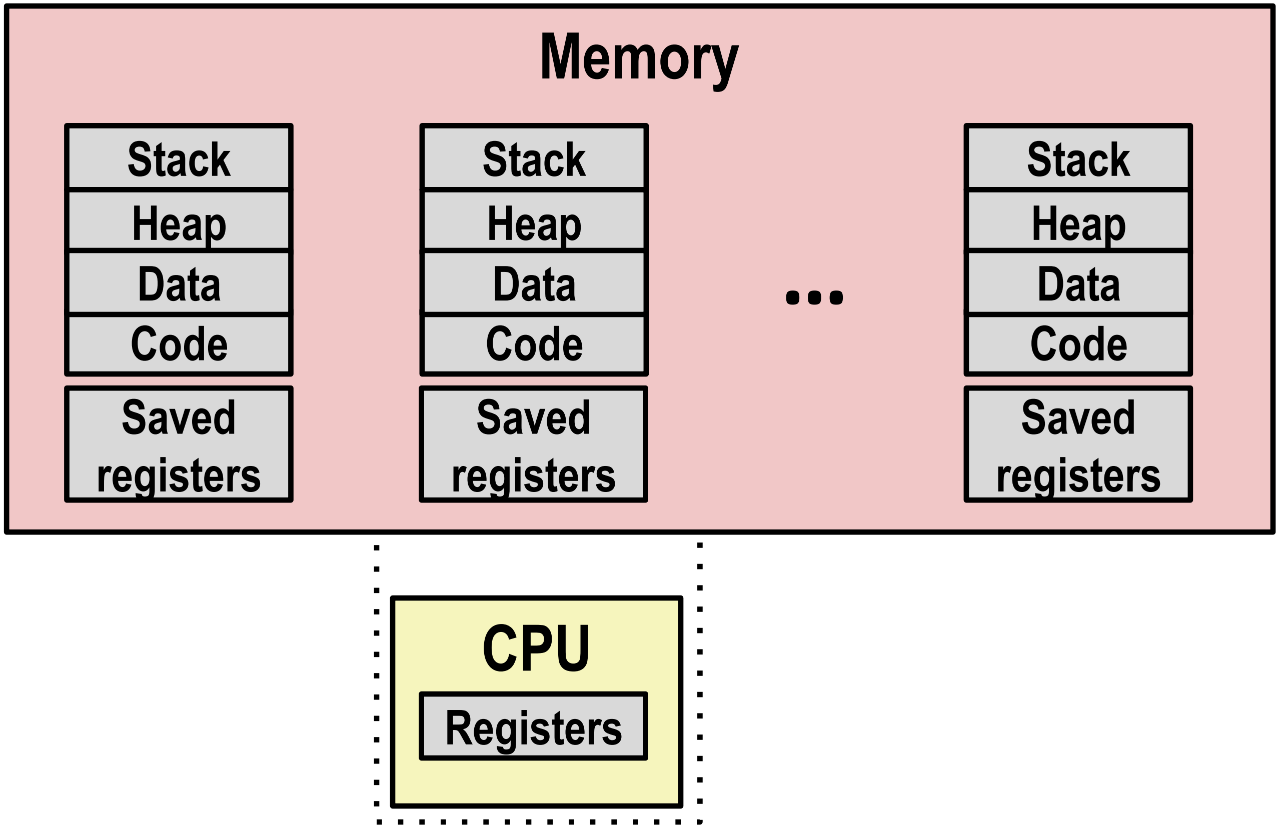
- **Single processor executes multiple processes concurrently**
  - Process executions interleaved (multitasking)
  - Address spaces managed by virtual memory system (like last week)
  - Register values for nonexecuting processes saved in memory

Context Switching (Uniprocessor)



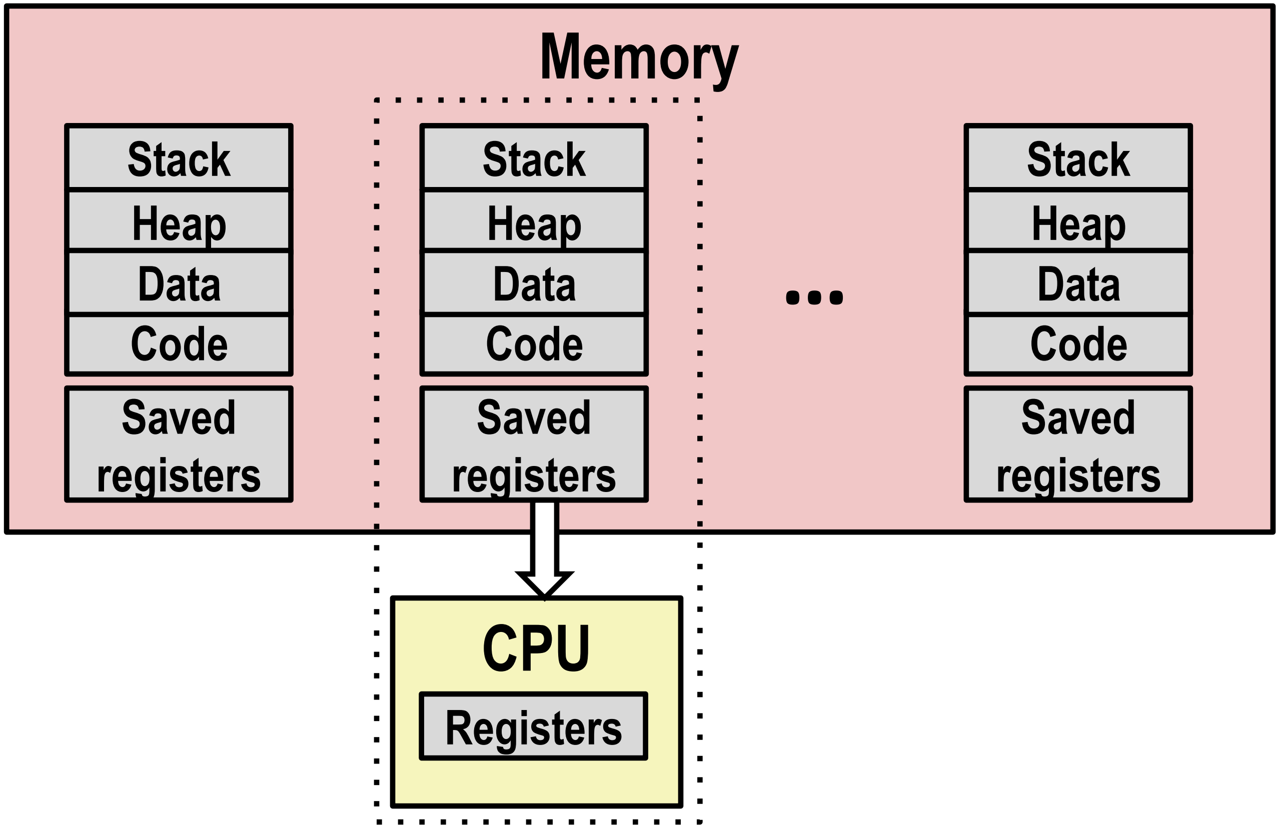
- Save current registers in memory

Context Switching (Uniprocessor)



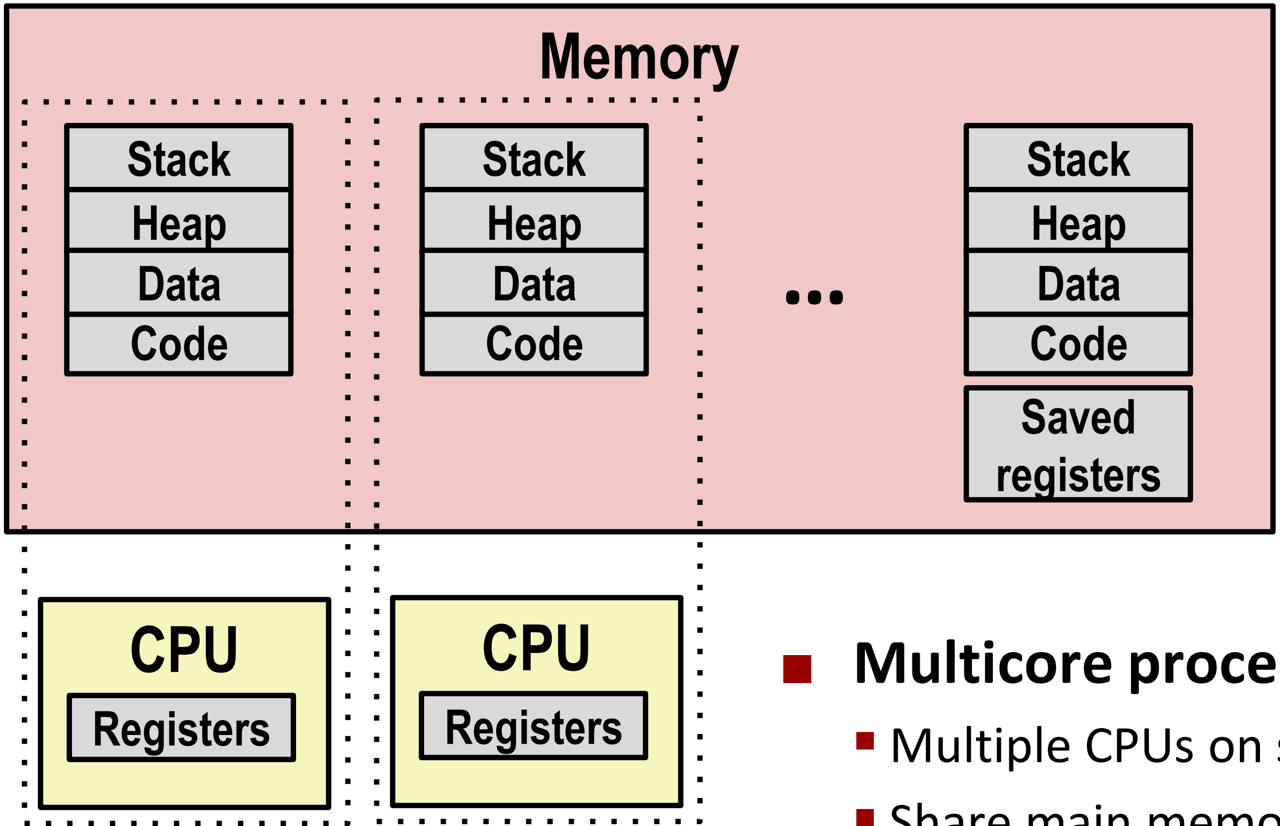
- Schedule next process for execution

Context Switching (Uniprocessor)



- Load saved registers and switch address space (context switch)

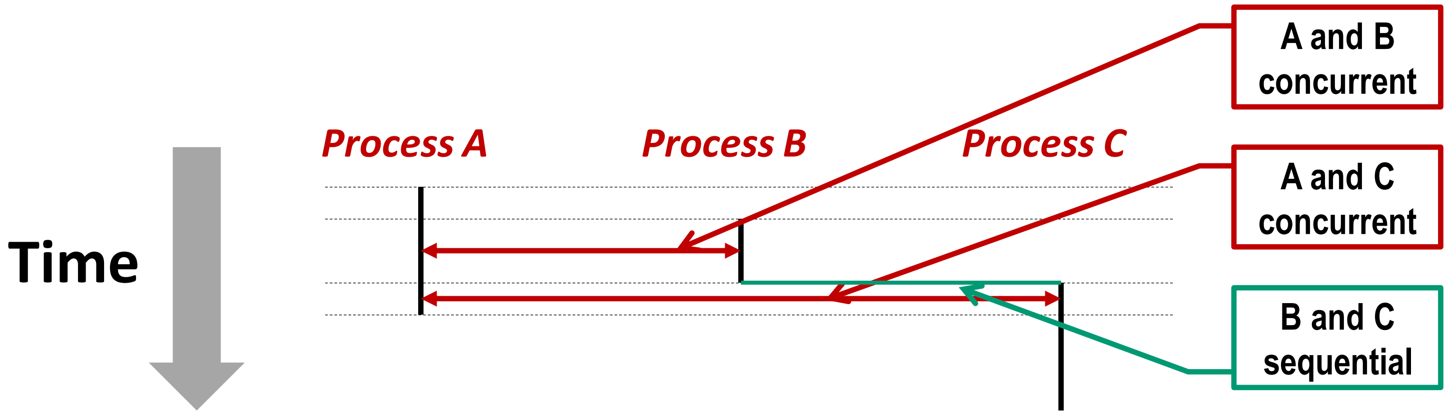
Context Switching (Multicore)



- **Multicore processors**
  - Multiple CPUs on single chip
  - Share main memory (and some caches)
  - Each can execute a separate process
    - Scheduling of processors onto cores done by kernel

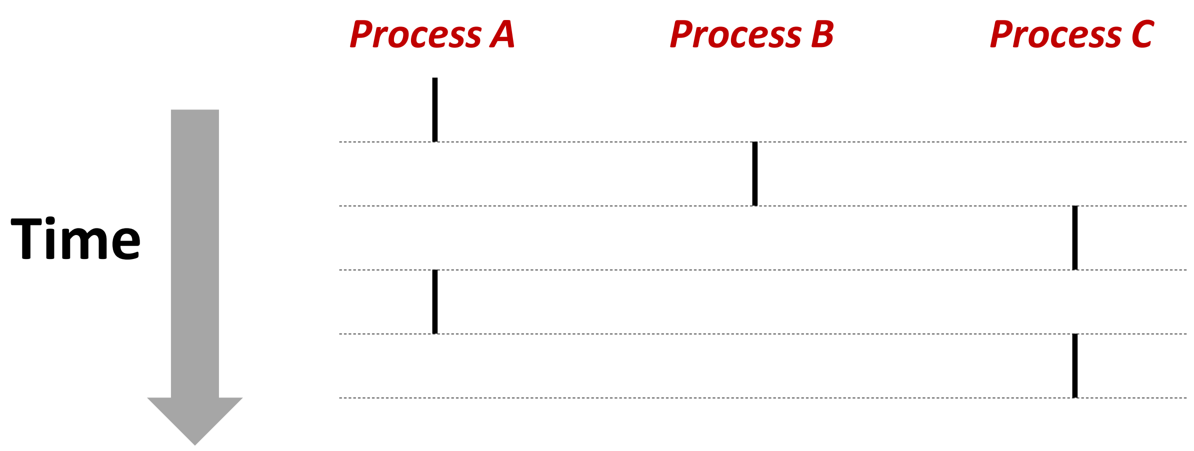
User View of Concurrent Processes

- Two processes *run* ***concurrently*** (*are concurrent)* if their execution overlaps in time
- Otherwise, they are ***sequential***
- Appears as if concurrent processes run in parallel with each other
  - This means they can interfere with each other (more on that in a couple weeks)



Traditional (Uniprocessor) Reality

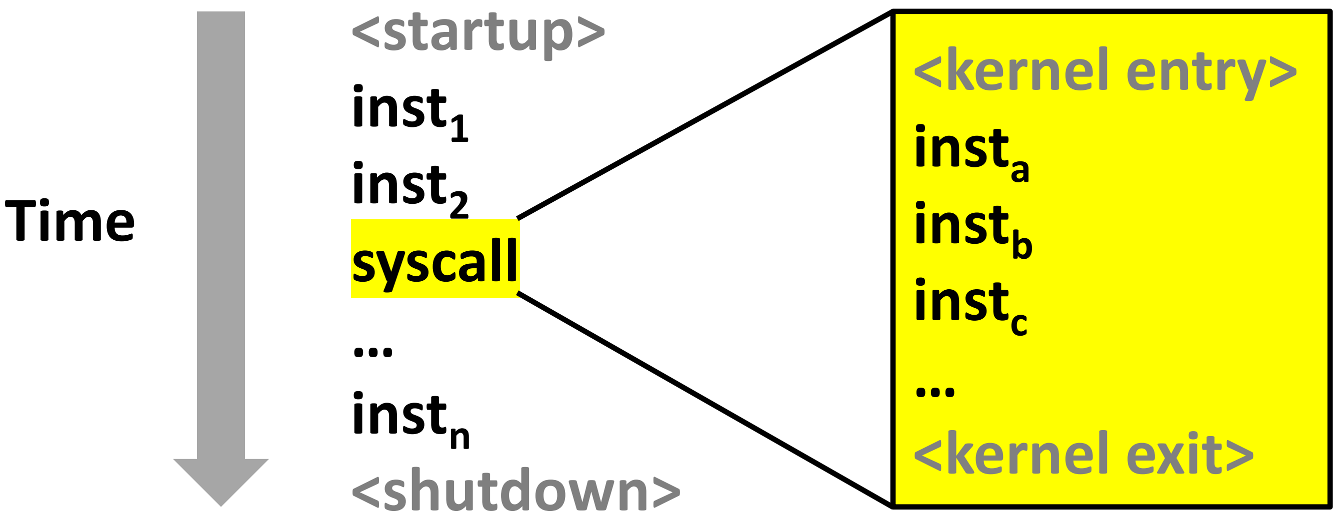
- Only one process runs at a time
- A and B execution is *interleaved*, not truly concurrent
- Similarly for A and C
- Still possible for A and B / A and C to interfere with each other



How does the kernel take control?

- **The CPU executes instructions in sequence**
- **We don’t write “now run kernel code” in our programs…**
  - *Or do we??*

Physical control flow



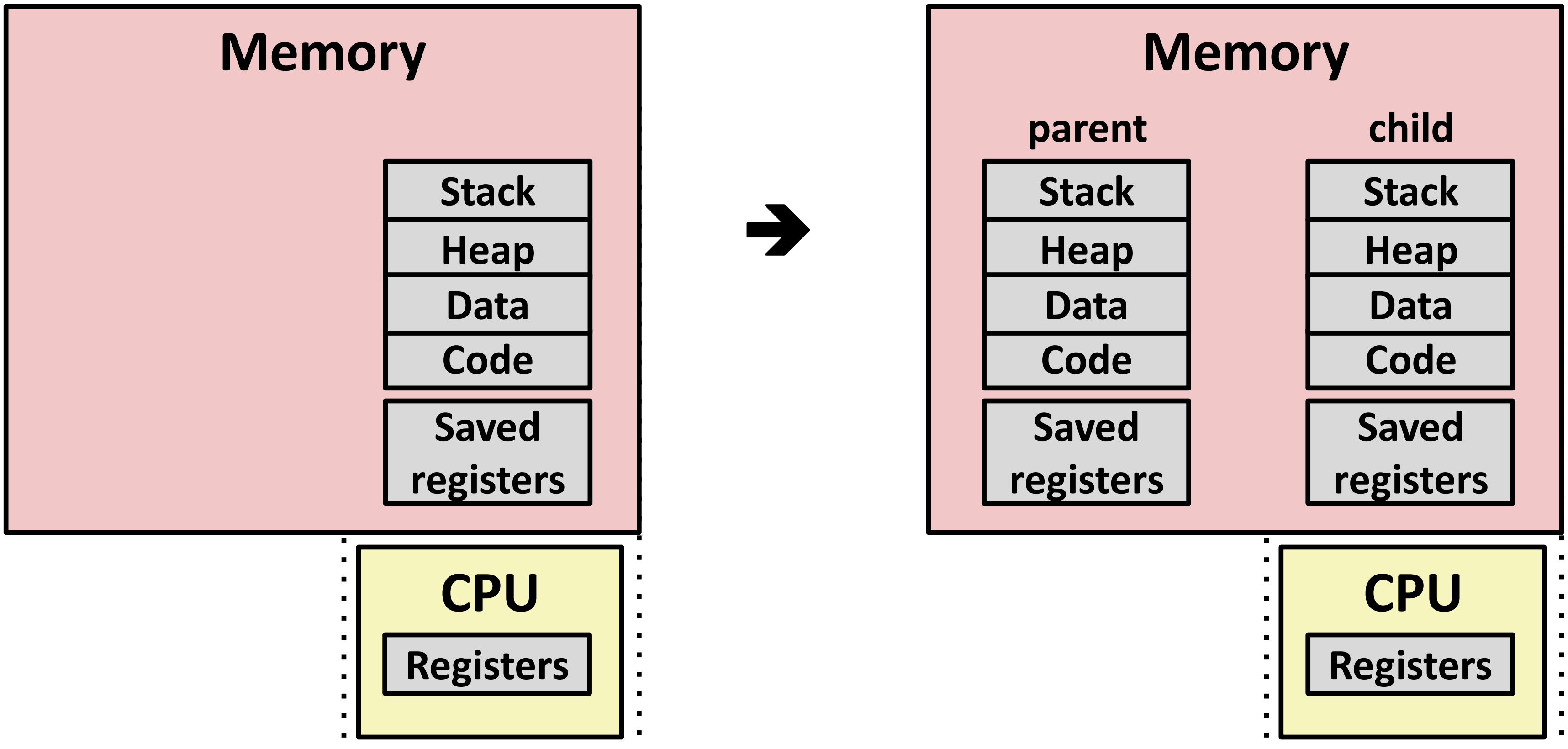
Creating Processes

- ***Parent process*** **creates a new running** ***child process*** **by calling `fork`**

- **`int fork(void)`**
  - Returns 0 to the child process, child's PID to parent process
  - Child is *almost* identical to parent:
    - Child get an identical (but separate) copy of the parent's virtual address space.
    - Child gets identical copies of the parent's open file descriptors
    - Child has a different PID than the parent

- **`fork` is interesting (and often confusing) because it is called** ***once*** **but returns** ***twice***

Conceptual View of `fork`



- **Make complete copy of execution state**
  - Designate one as parent and one as child
  - Resume execution of parent or child

fork Example

```
int main(int argc, char** argv)
{
    pid_t pid;
    int x = 1;

    pid = Fork();
    if (pid == 0) {  /* Child */
         printf("child : x=%d\n", ++x);
         return 0;
    }

    /* Parent */
    printf("parent: x=%d\n", --x);
    return 0;
}                                fork.c
```

- Call once, return twice
- Concurrent execution
  - Can't predict execution order of parent and child

```
linux> ./fork
parent: x=0
child : x=2
```

```
linux> ./fork
child : x=2
parent: x=0
```

```
linux> ./fork
parent: x=0
child : x=2
```

```
linux> ./fork
parent: x=0
child : x=2
```

fork Example

```
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{
    pid_t pid;
    int x = 1;

    pid = Fork();
    if (pid == 0) {  /* Child */
        printf("child : x=%d\n", ++x);
        return 0;
    }

    /* Parent */
    printf("parent: x=%d\n", --x);
    return 0;
}
```

```
linux> ./fork
parent: x=0
child : x=2
```

- Call once, return twice
- Concurrent execution
  - Can't predict execution order of parent and child
- Duplicate but separate address space
  - `x` has a value of 1 when fork returns in parent and child
  - Subsequent changes to `x` are independent
- Shared open files
  - `stdout` is the same in both parent and child

Summary

- **Exceptions**
  - Events that require nonstandard control flow
  - Generated externally (interrupts) or internally (traps and faults)

- **Processes**
  - At any given time, system has multiple active processes
  - Only one can execute at a time on any single core
  - Each process appears to have total control of processor + private memory space

教材阅读

- **第8章 8.1.1-8.1.2、 8.2**