



# Comparison of Coulombic and Johnsen-Rahbek Electrostatic Chucking for EUV Lithography

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## **Acknowledgments**

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# Presentation Outline

- **Motivation and objectives**
- **Characteristics of electrostatic chucking**
- **Finite element (FE) model description and simulation results**
- **Chuck comparisons and conclusions**
  - **Clamping performance**
  - **Effects of reticle non-flatness**
  - **Effects of particle entrapment**

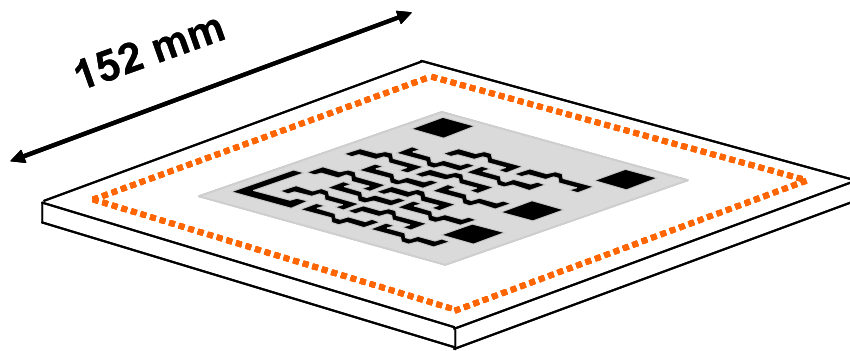


# EUVL Flatness Requirements

## SEMI Standard P37 and P40

- The flatness of the EUVL mask is a key issue to minimize image placement errors due to non-telecentric illumination.
- Achieving this level of flatness requires the use of an electrostatic chuck to hold the reticle.

Specifications in the EUVL Mask Standard (SEMI P37):



Quality Area = 142 mm × 142 mm

**Frontside and Backside  
in Quality Area (QA):**

~ 30 - 100 nm *p-v* flatness

**Low Order Thickness  
Variation (LOTV) in QA:**

~ 30 - 100 nm *p-v* flatness

Specifications in the EUVL Mask Chucking Standard (SEMI P40):

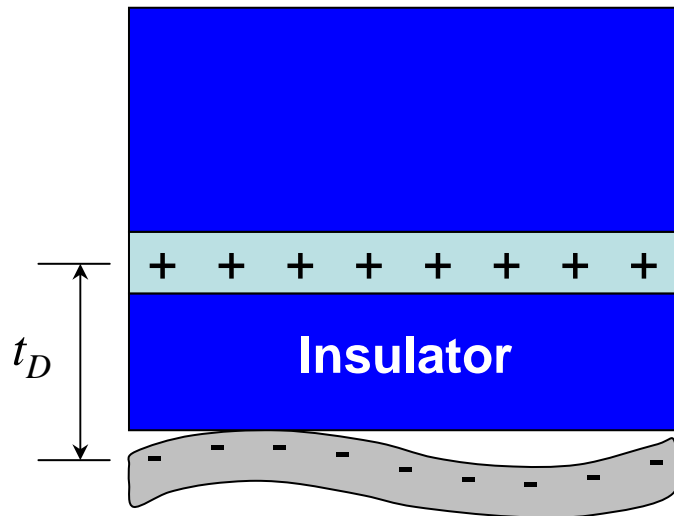
- stiffness  $\geq 30$  kN-m
- flatness  $\approx 50$  nm (*p-v*)



# Electrostatic Chucking

## Types of Chucks

### Coulomb



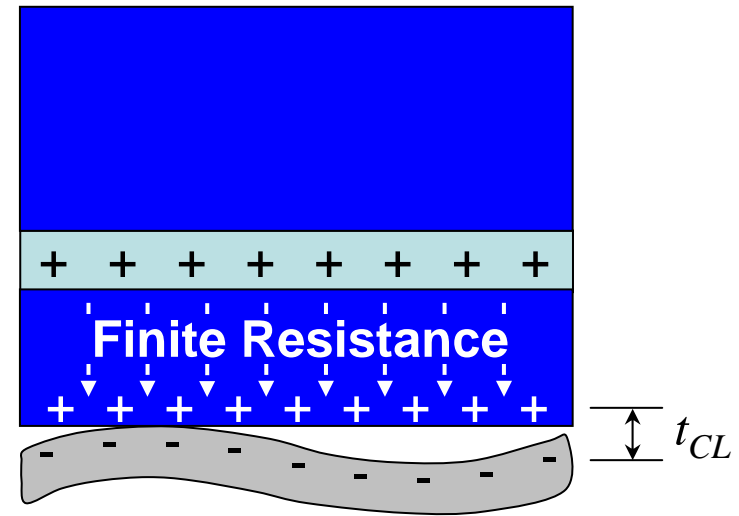
Chuck  
Body

Electrode

Dielectric

Reticle

### Johnsen-Rahbek



- Type of chuck is characterized by the dielectric material and the resulting mechanism of force generation.
- Chucks can be either monopolar or bipolar.
- Slab-type or pin-type based on the surface characteristics. A pin-type chuck is proposed to minimize the effects of particles.

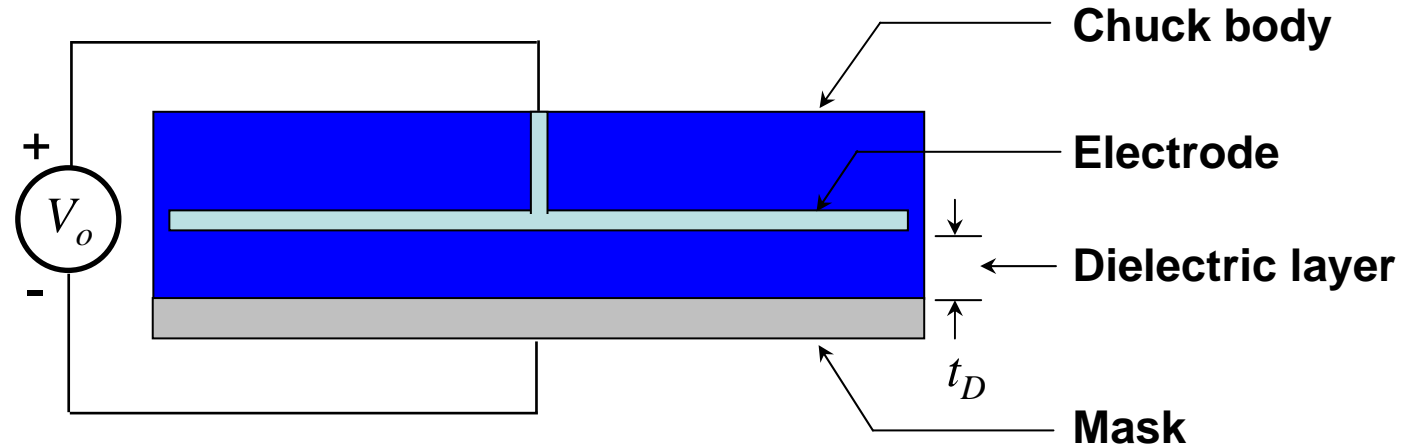


# Coulomb Chuck

## Schematic and Working Principle

### Monopolar Chuck

$$P = \frac{F}{A} = \frac{\epsilon_o V_o^2 K^2}{2(t_D + K\delta)^2}$$



$P$  = electrostatic pressure

$F$  = electrostatic force

$A$  = area of the electrode

$V_o$  = applied voltage

$\epsilon_o$  = permittivity of free space (or air gap)

$K$  = relative permittivity of the dielectric material

$t_D$  = the dielectric film thickness

$\delta$  = total gap between the backside of the mask and the dielectric surface

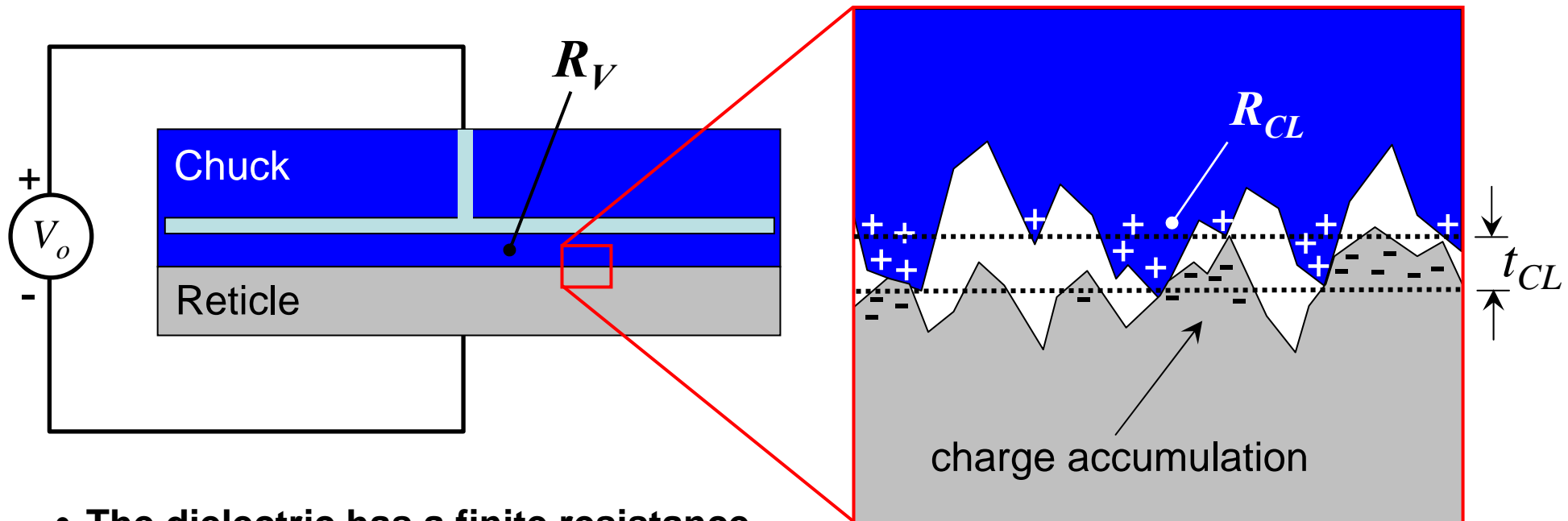
### Bipolar Chuck

$$P = \frac{F}{A} = \frac{\epsilon_o V_o^2 K^2}{8(t_D + K\delta)^2}$$



# Johnsen-Rahbek (J-R) Chuck

## Schematic and Working Principle



- The dielectric has a finite resistance.
- Current flowing through the dielectric and the substrate creates a charge layer at the dielectric-substrate interface (contact layer thickness  $t_{CL}$ ), yielding a strong attractive force.

$t_{CL}$  = contact layer thickness  
(mean charge separation distance)  
 $R_V$  = volume resistance of the dielectric  
 $R_{CL}$  = effective resistance of contact layer

$t_{CL}$  is related to surface roughness



# Johnsen-Rahbek Chuck

## Phenomenological Model

Coulomb term

J-R term

$$P = \frac{\epsilon_0 V_0^2}{2} \left[ \left( \frac{K}{t_D + K(\delta + t_{CL})} \right)^2 + \alpha \left( \frac{(R_{CL} / R_V)}{t_{CL} \{1 + (R_{CL} / R_V)\}} \right)^2 \right]$$

$\epsilon_0$ : permittivity of free space

$K$ : relative dielectric constant

$R_{CL}$ : resistance of the contact layer

$R_V$ : volume resistance of the dielectric material

$\delta$ : physical gap between reticle and dielectric

$\alpha$ : empirical factor of the nonuniform charge distribution on the interface

$V_0$ : applied voltage

$t_D$ : dielectric layer thickness

$t_{CL}$ : contact layer thickness

In practice,  $R_{CL}$  and  $R_V$  can be measured;  $t_{CL}$  is then obtained from a measurement of pressure at a given voltage.

Often the Coulomb term is negligible, because  $t_D \gg t_{CL}$  in many cases.

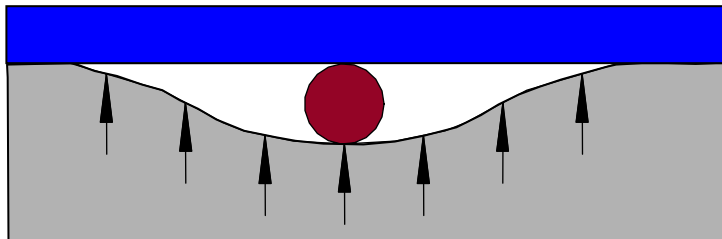


# Contrasting Chuck Properties

## Coulomb Characteristics

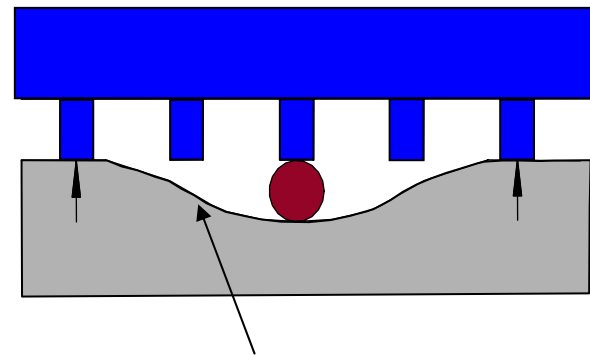
- Clamping pressure exists everywhere between reticle and chuck.
- Effects of nonflat substrates or particles don't affect the clamping force very much (for small gaps).

entrapped particle



## J-R Characteristics

- J-R force depends on contact between substrate and dielectric.
- How effectively will it deal with non-flat substrates or the presence of particles?



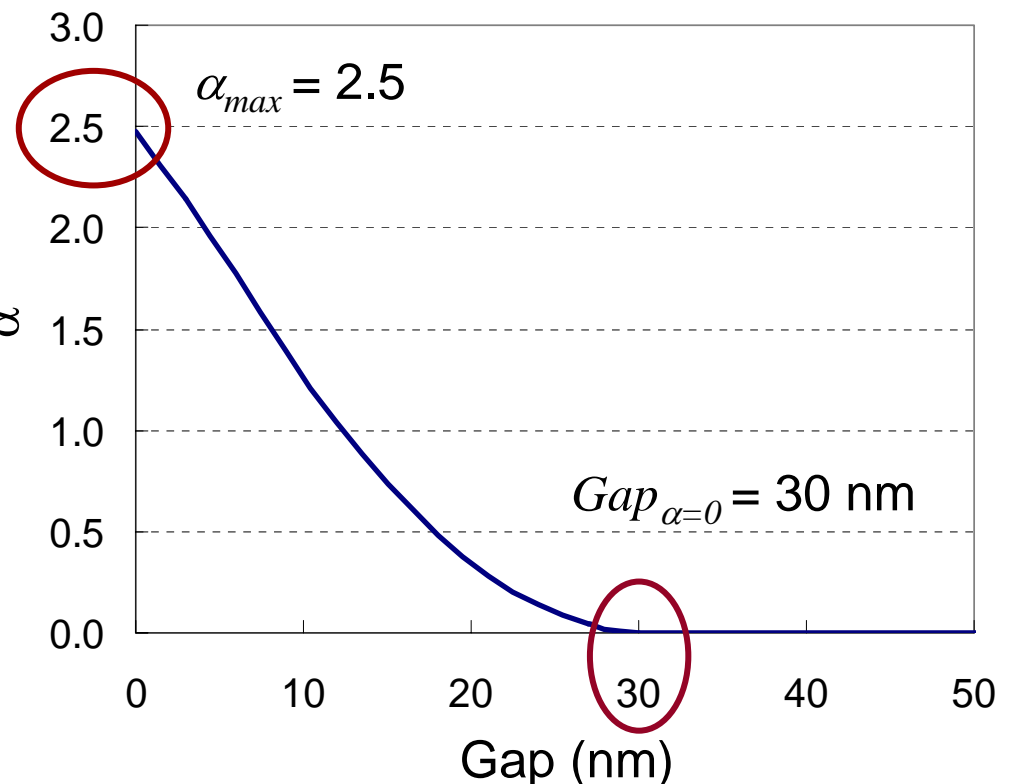
No J-R force here because  
no physical contact





# Nonuniform Distribution of Charge

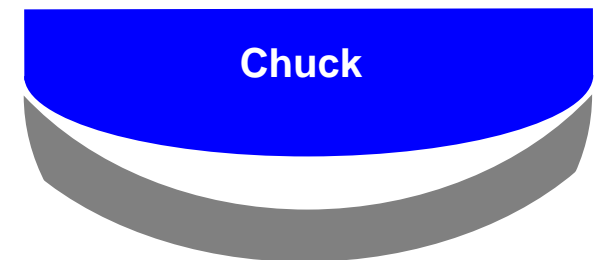
- The empirical factor  $\alpha$  represents the effect of the nonuniform distribution of charge on the interface surfaces.
- A relationship for  $\alpha$  as a function of gap has been assumed for modeling purposes and was initially introduced to help with FE model convergence.
- However, short range forces exist over a comparable distance:
  - van der Waals ( $\propto 1 / \text{gap}^3$ )
  - Casimir ( $\propto 1 / \text{gap}^4$ )
- So this gap dependence is physically reasonable.





# FE Simulation of Electrostatic Chucking

- Full 3-D FE models developed for both Coulomb and J-R chucks.
- Nonflatness measurements of the frontside and backside surfaces of the reticle, as well as the top surface of the chuck, are used as input.
- The non-flatness values are consistent with SEMI P37, P40
- Models include:
  - gap-dependent pressures
  - contact friction ( $\mu = 0.2$ )
  - stiffness of the chuck
- FE simulations predict:
  - final flatness of reticle patterned surface
  - final flatness of reticle backside surface
  - final bow of the chuck
  - final gap between the reticle and chuck



Gravity neglected.



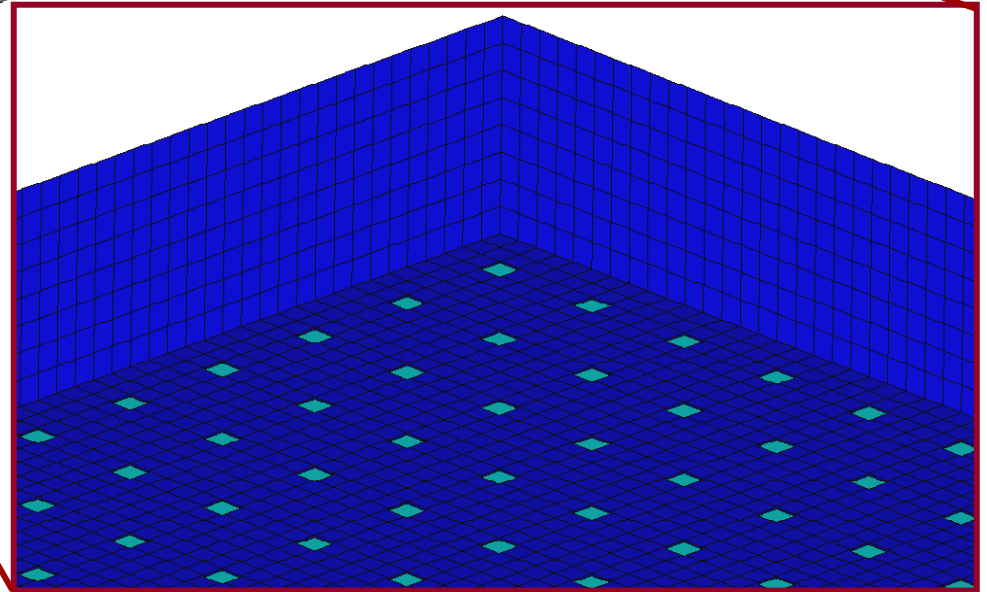
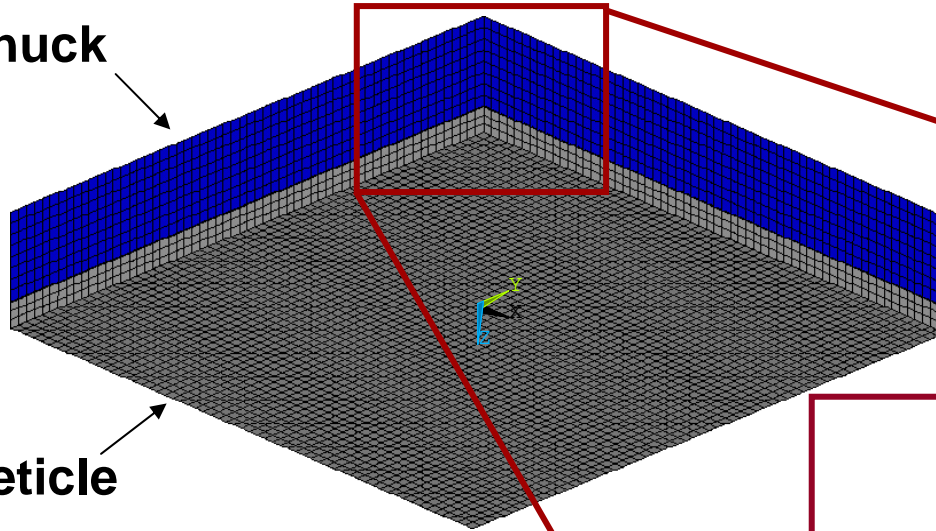


# FE Electrostatic Chucking Models

Chuck and Reticle

Chuck

Reticle



Chuck with Pin Array  
(with no reticle)

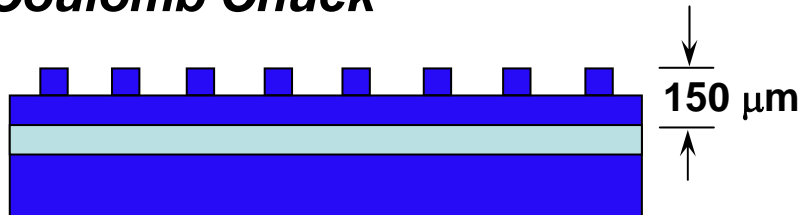


# Chuck Geometry and Stiffness

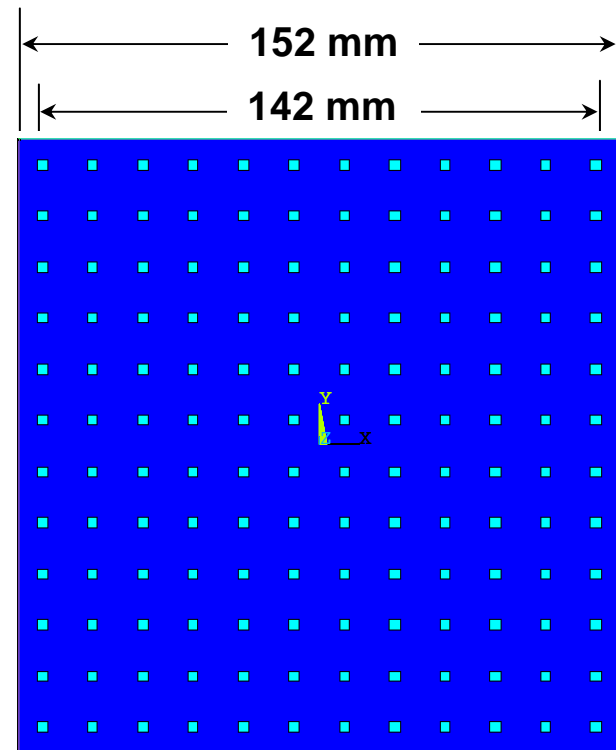
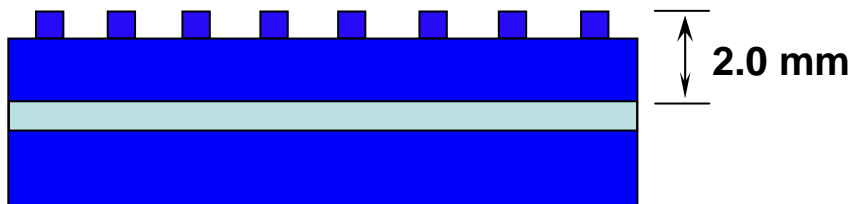
## Dielectric Layer

## Pin Layout

### *Coulomb Chuck*



### *J-R Chuck*



## Chuck Body (Bulk Layer)

Effective stiffness = 380 kN-m

Elastic modulus = 380 GPa

Poisson's ratio = 0.24

Pin coverage area: 142 mm × 142 mm

Pin size: 2.5 mm × 2.5 mm × 10 μm

Pin pitch: 12.67 mm

Pin coverage: 4%



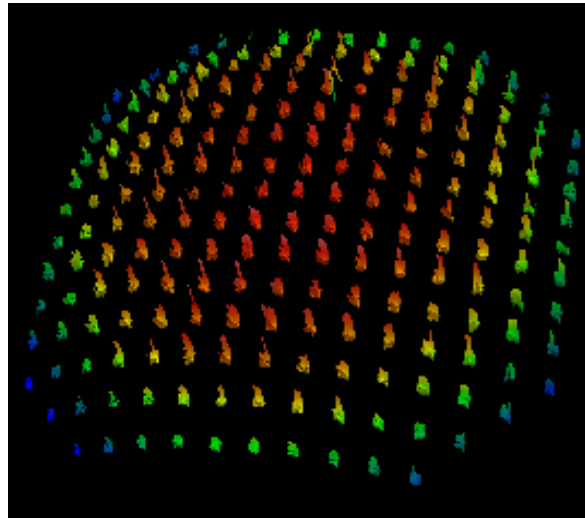
# Nonflatness of Electrostatic Chuck

- Nonflatness of a Coulomb chuck was measured interferometrically.
- Measured chuck data scaled to meet the flatness specified in the EUVL chucking standard.

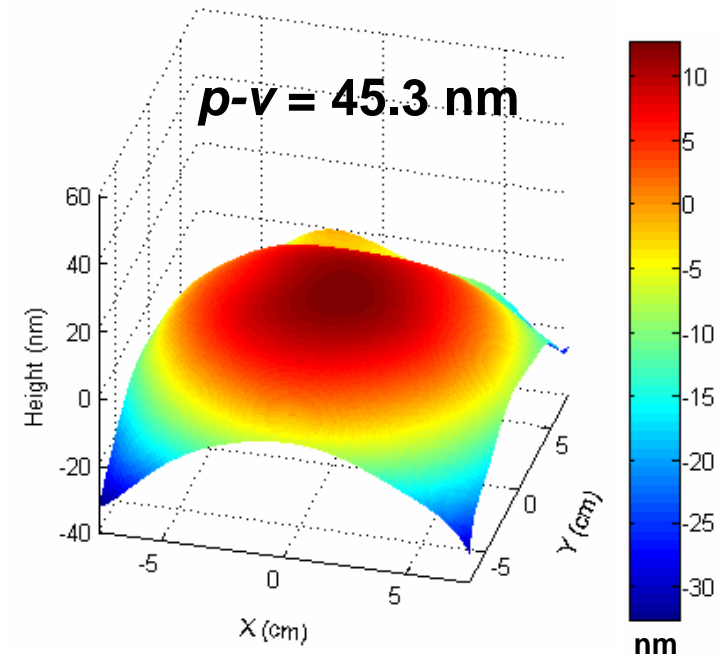
Coulomb Pin Chuck



Interferometric Measurement  
of Chuck Surface



Mathematical Fit



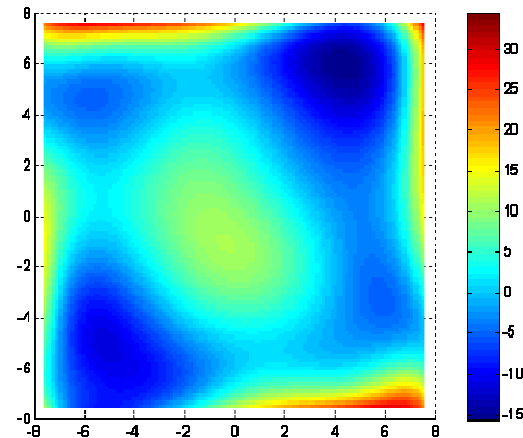
Interferometric measurement of the chuck surface is represented by Legendre polynomials and used as input into the FE models.



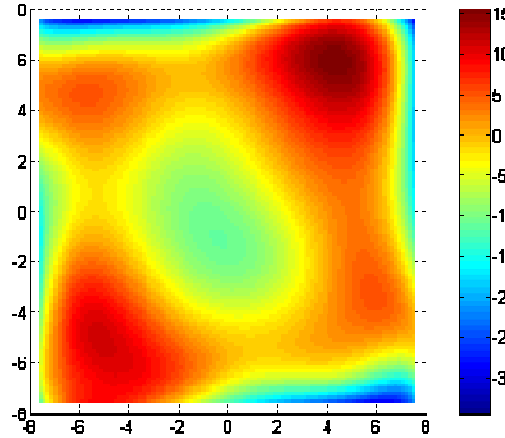
# Polished Nonflatness of Reticle

## Example Case

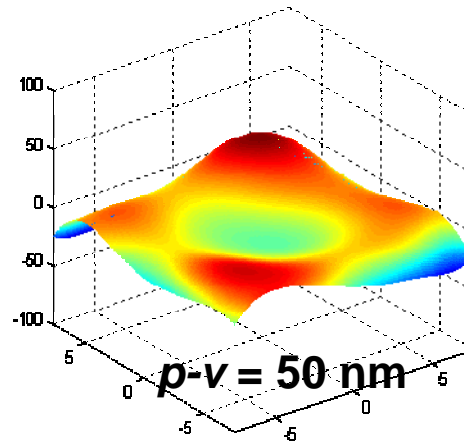
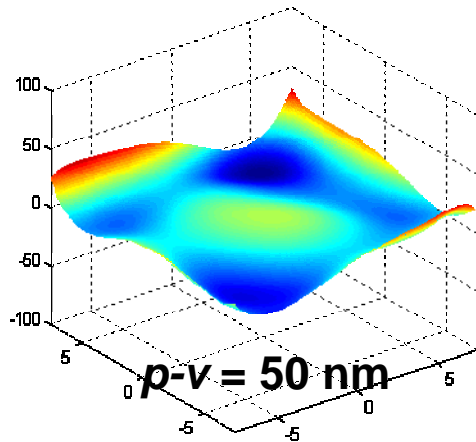
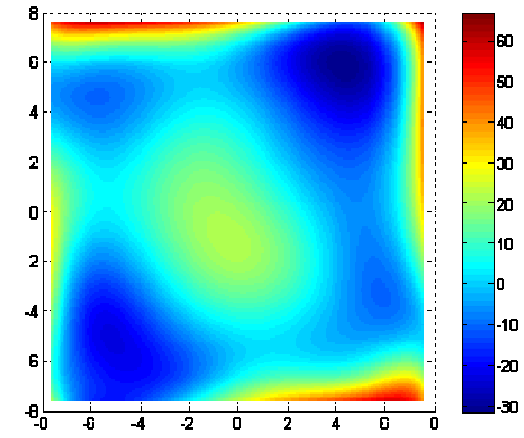
### Frontside (FS)



### Backside (BS)



### Thickness Variation



**Max = 100 nm**

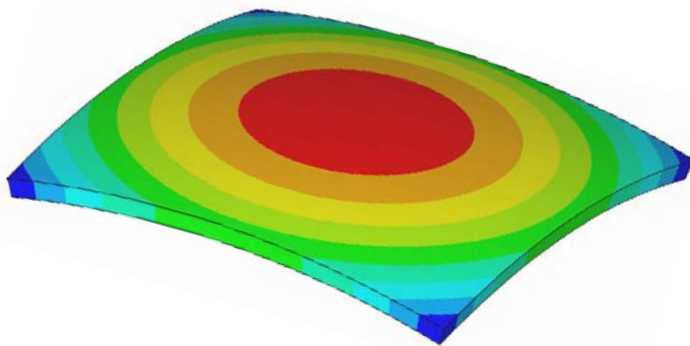
- Thickness variation was calculated by subtracting the backside flatness data from the frontside flatness data.

**Interferometric measurements represented by Legendre polynomials are used as input into the FE models.**

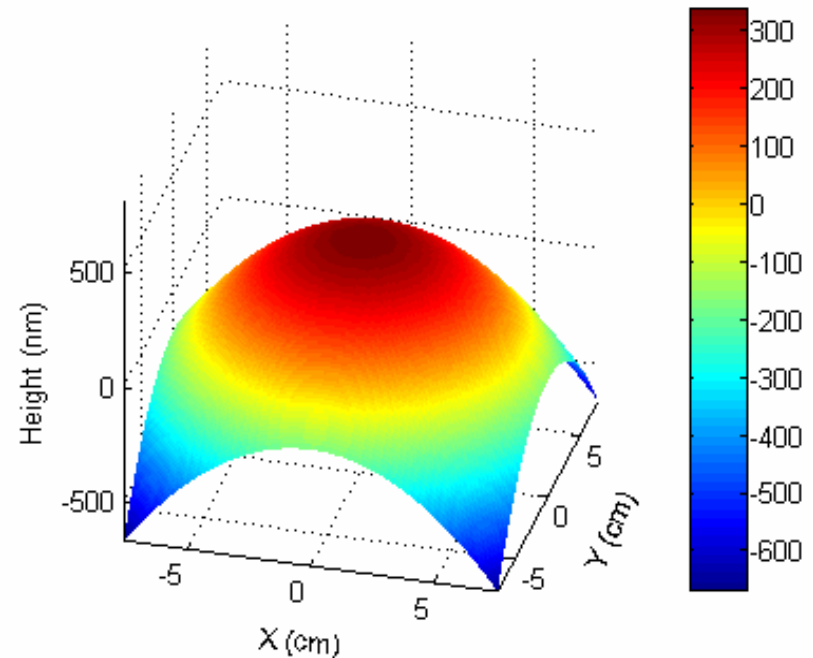


# Simulating Reticle Multi-layer Thin Film Deposition

- After generating the FE model of the EUV substrate with the FS and BS nonflatness, the deposition of the ideal (uniform stress and thickness) layers is simulated.
- For the Example Case, the out-of-plane distortion (OPD) of the FS is 1000 nm *p-v*. The shape is convex due to the net compressive stress.



FE Model illustrating OPD contours.



OPD Frontside ( $p-v = 1000$  nm)



# Pressure as a Function of Gap

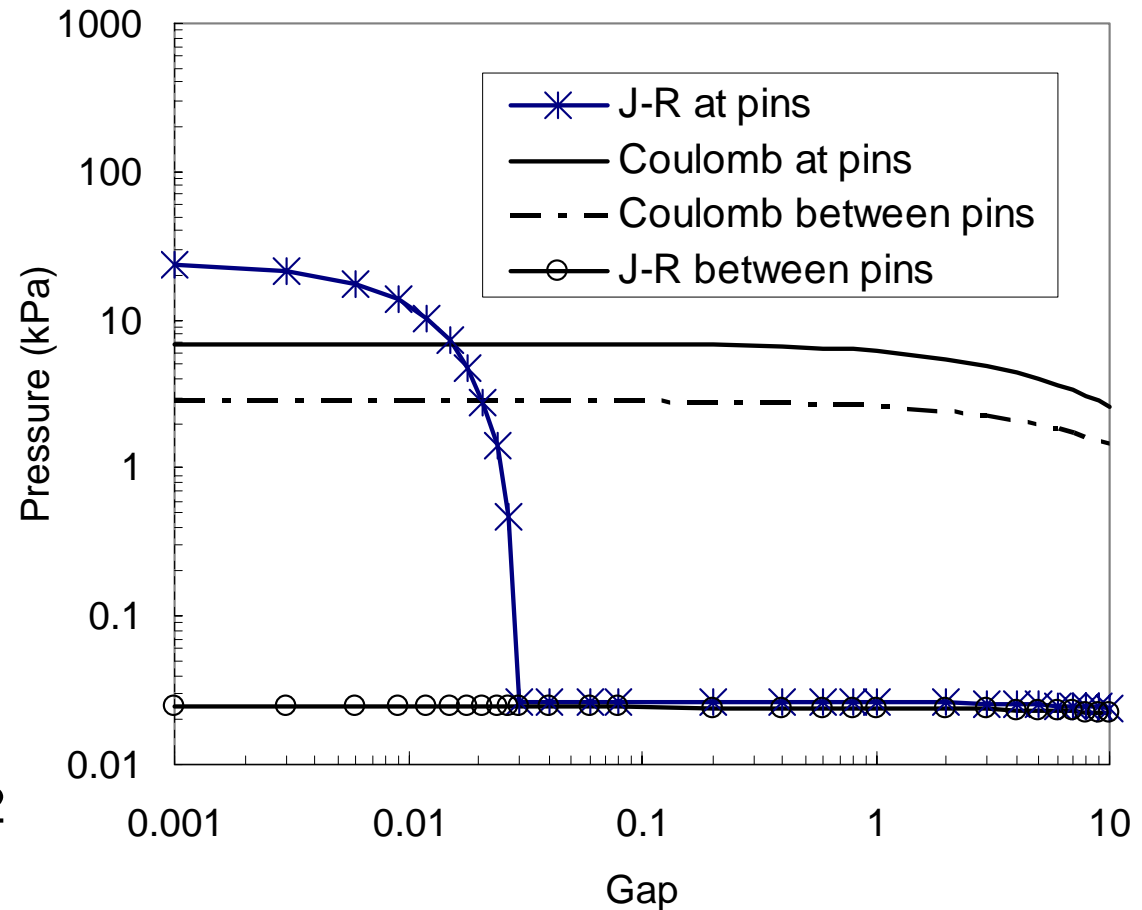
## Ave. Pressure of 3 kPa

### Coulomb Chuck Parameters (insulating dielectric)

$t_D = 150 \text{ } \mu\text{m}$        $V_o = 633 \text{ V}$   
 $t_{CL} = 1 \text{ } \mu\text{m}$        $K = 10$   
Pin height =  $10 \text{ } \mu\text{m}$

### J-R Chuck Parameters (finite resistance dielectric)

$t_D = 2 \text{ mm}$        $V_o = 492 \text{ V}$   
 $t_{CL} = 1 \text{ } \mu\text{m}$        $K = 10$   
Pin height =  $10 \text{ } \mu\text{m}$        $R_{CL}/R_V = 0.2$





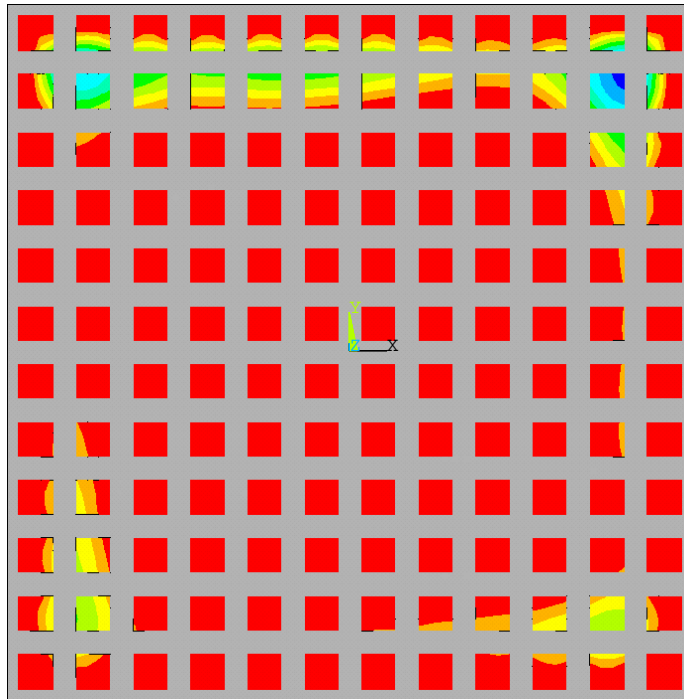


# Final Resulting Gap

## After Chucking with $P = 3$ kPa

**Coulomb**

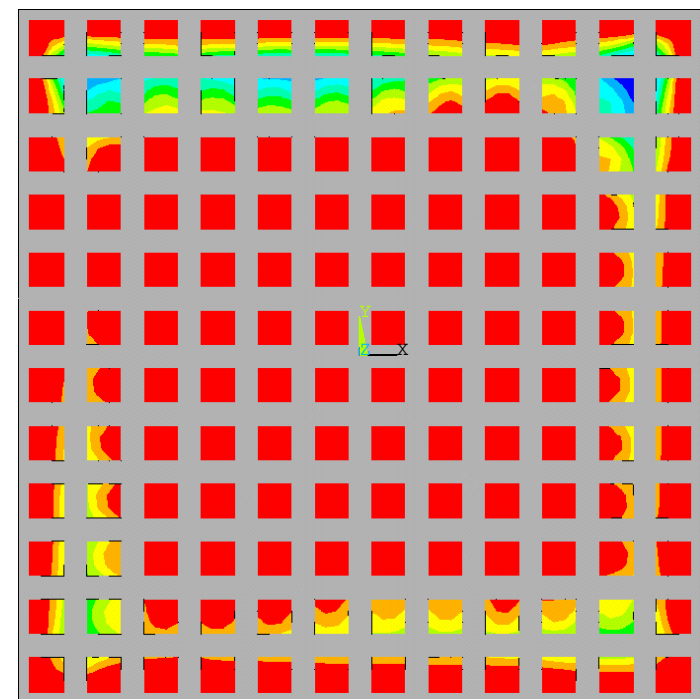
$$V_o = 633 \text{ V}$$



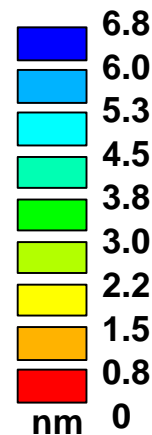
Max gap = 6.8 nm

**Johnsen-Rahbek**

$$V_o = 492 \text{ V}$$



Max gap = 6.3 nm



Gap Before Chucking  
Max: 1  $\mu\text{m}$

**Note: Size of pin areas exaggerated for display purposes.**

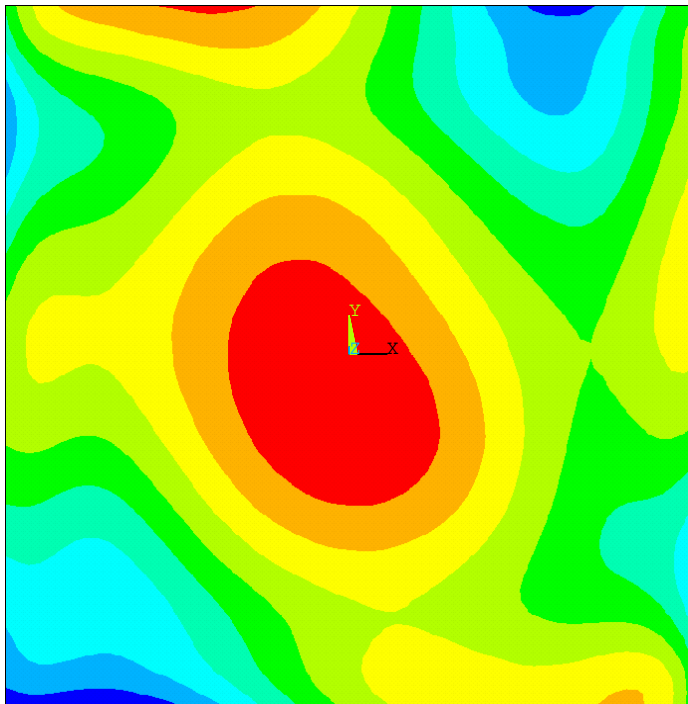


# Finite Element Reticle Pattern Surface

## Nonflatness after Chucking with $P = 3$ kPa

**Coulomb**

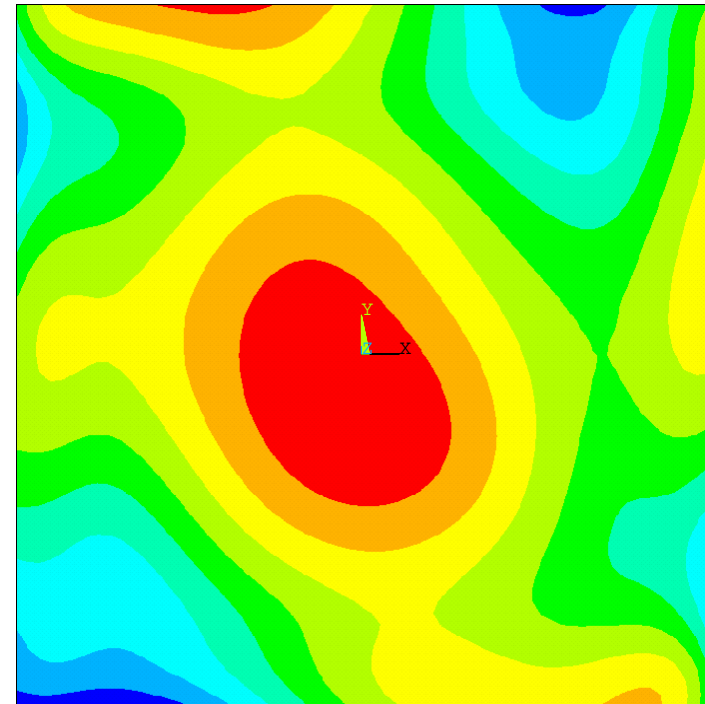
$$V_o = 633 \text{ V}$$



$p-v = 87.8 \text{ nm}$   
QA  $p-v = 75.2 \text{ nm}$

**Johnsen-Rahbek**

$$V_o = 492 \text{ V}$$



$p-v = 86.7 \text{ nm}$   
QA  $p-v: 74.8 \text{ nm}$

Before Chucking  
 $p-v = 1.0 \mu\text{m}$

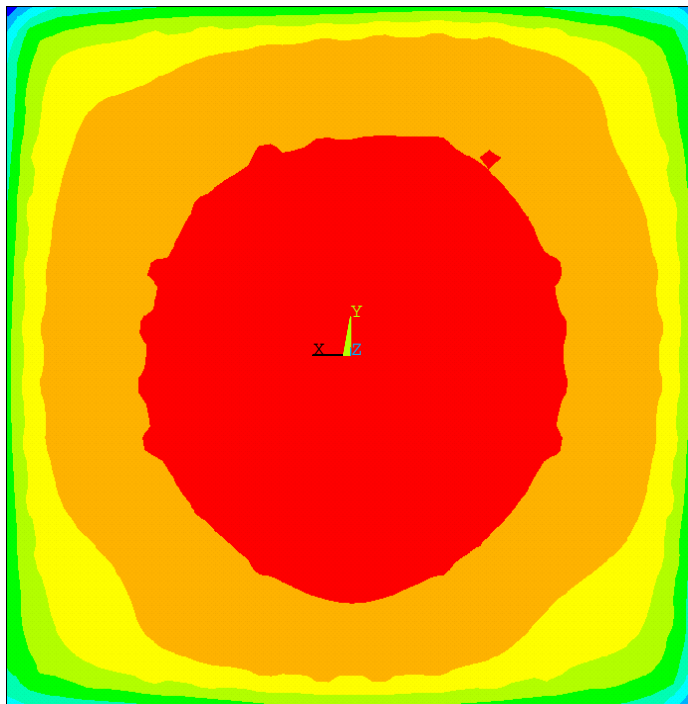


# Finite Element Reticle Chucking Surface

## Nonflatness after Chucking with $P = 3$ kPa

**Coulomb**

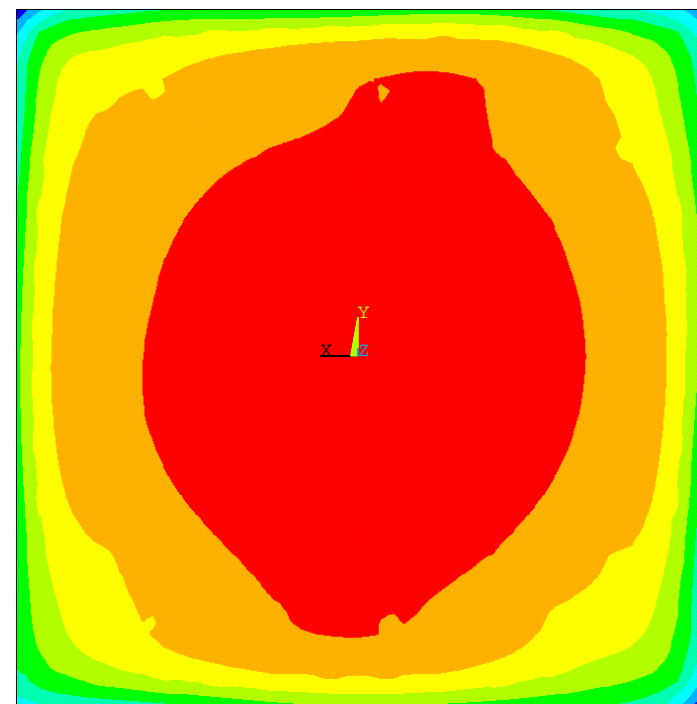
$$V_o = 633 \text{ V}$$



$p-v = 88.3 \text{ nm}$   
QA  $p-v$ : 47.8 nm

**Johnsen-Rahbek**

$$V_o = 492 \text{ V}$$



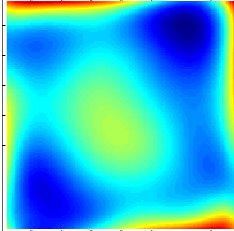
$p-v$ : 85.5 nm  
QA  $p-v$ : 47.2 nm

Before Chucking  
 $p-v = 1.0 \text{ } \mu\text{m}$



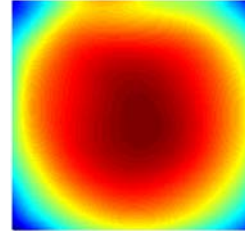
# Reticle Pattern Surface From Analytical Prediction

Thickness Variation



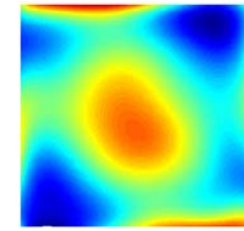
+

Chuck Nonflatness

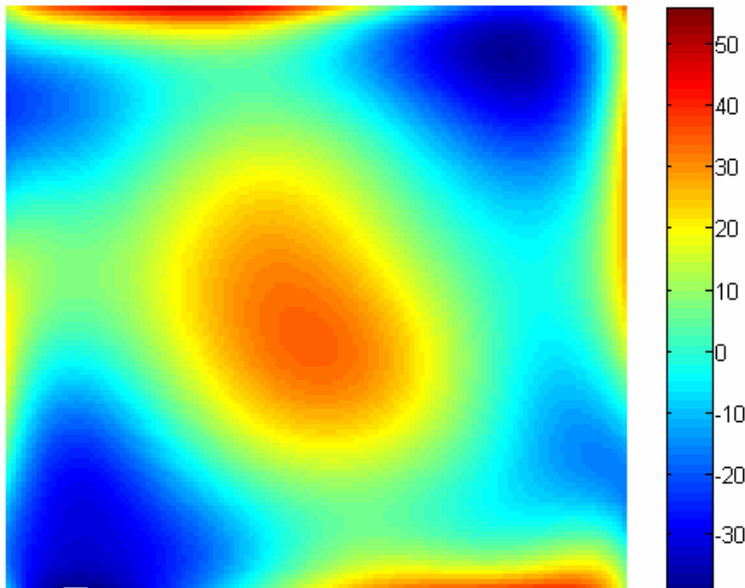


=

Reticle Flatness Prediction

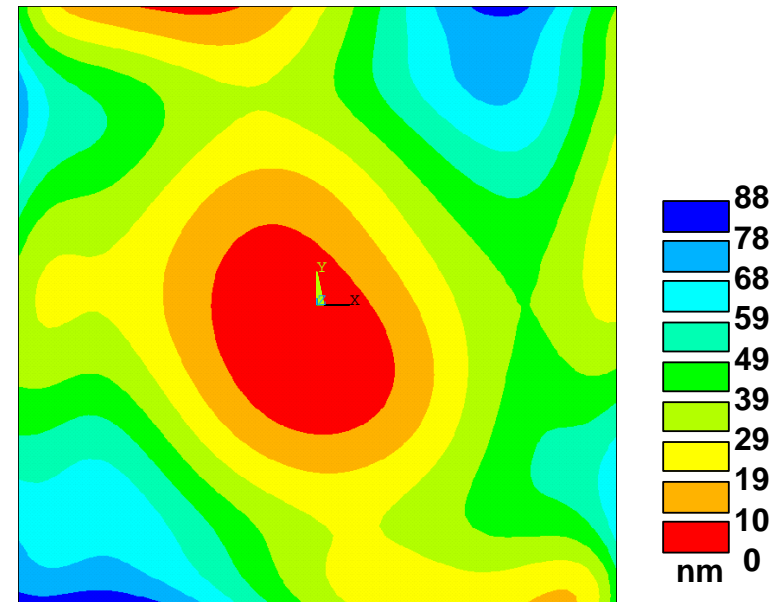


**Complete Chucking Final Flatness**  
(from interferometer measurements only)



$p-v = 94.9 \text{ nm}$

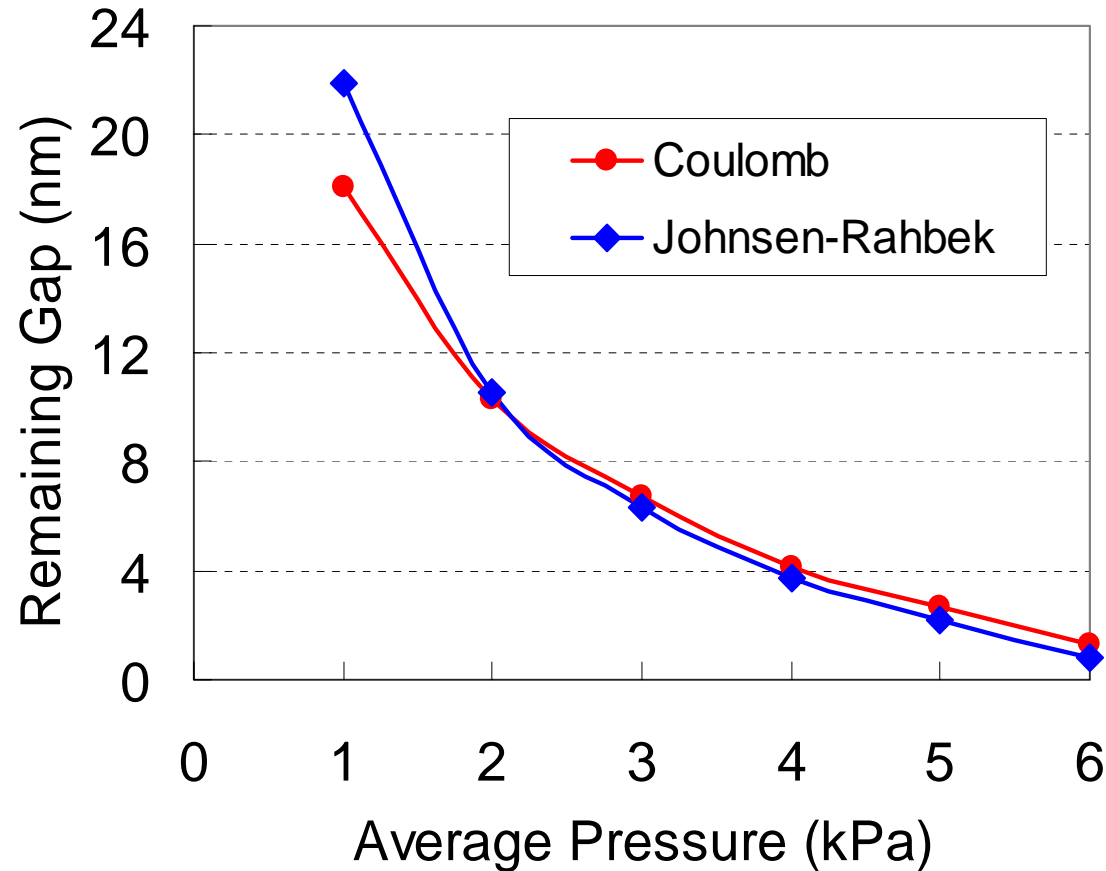
**J-R Chuck Final Flatness**  
(from FE model)



$p-v = 86.7 \text{ nm}$



# Summary of Simulation Results



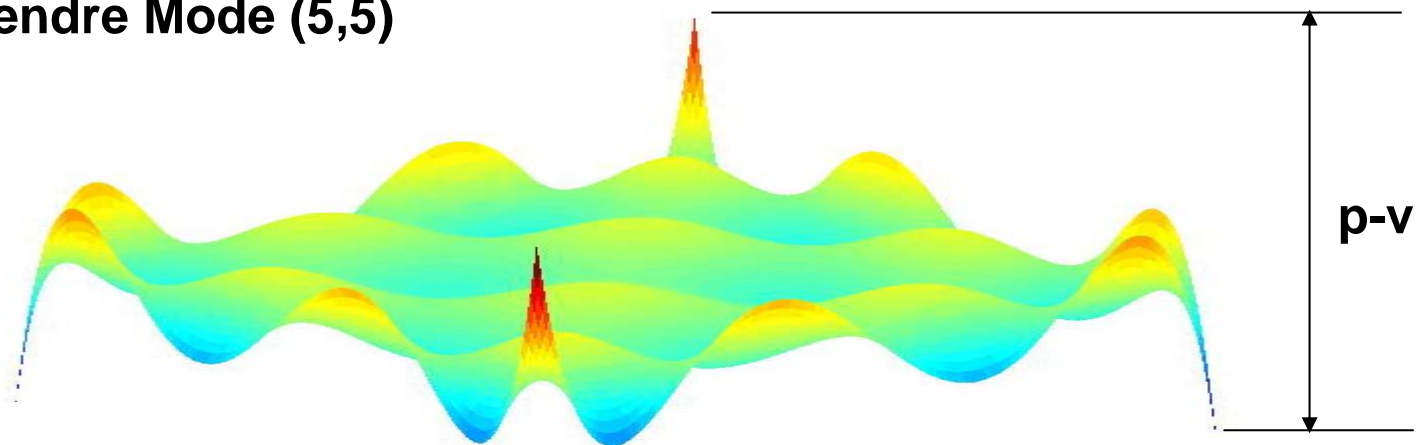
- **Conclude there is little difference in basic clamping properties between Coulomb and Johnsen-Rahbek chucks**



# Reticle Nonflatness Results

- The effects of reticle blank non-flatness (before application of the multi-layers) were also studied.
- Non-flat blanks were simulated using 2D Legendre polynomials. Below is Legendre mode (5,5).

**Legendre Mode (5,5)**

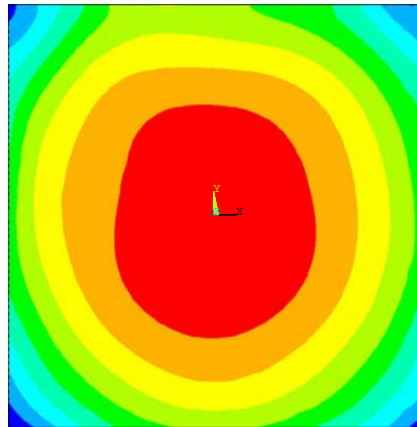




# Legendre Mode (5,5); p-v: 100 nm

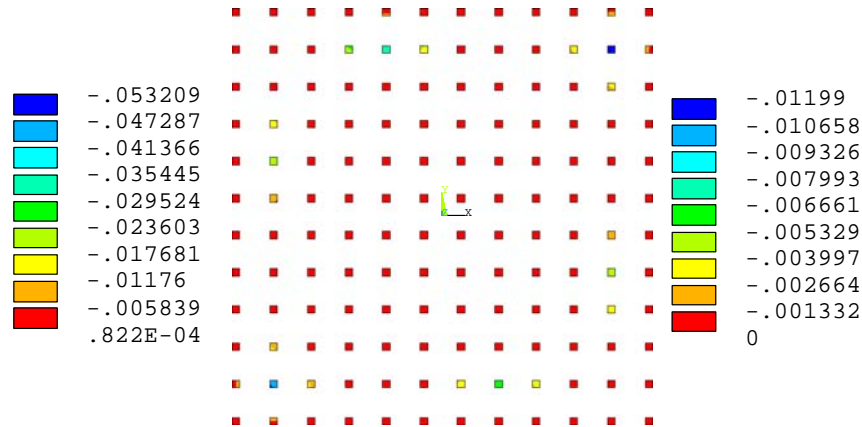
## JR Chuck Model

### Final Chuck Shape



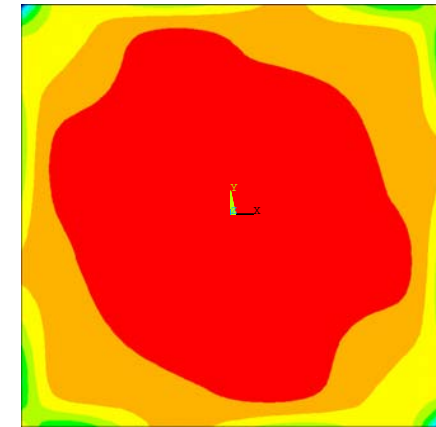
p-v: 53.3 nm

### Residual Gap

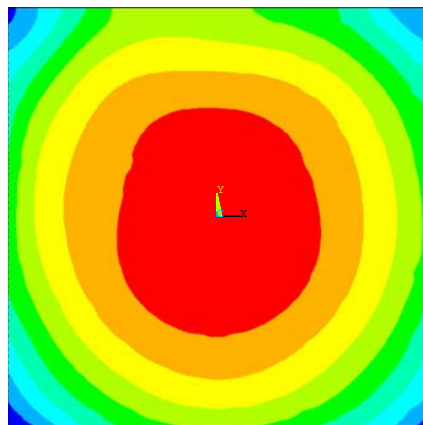


Max gap: 12.0 nm

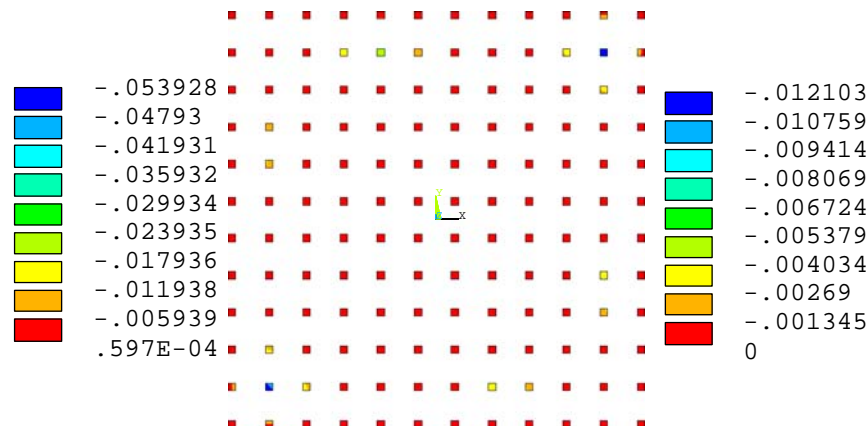
### Final Reticle Pattern Surface

p-v: 123.9 nm  
qa p-v: 51.9 nm

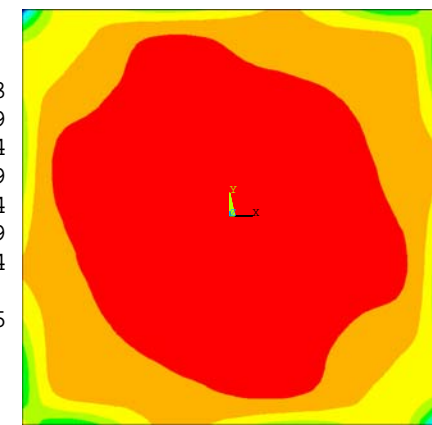
## Coulomb Chuck Model



p-v: 54.0 nm



Max gap: 12.1 nm

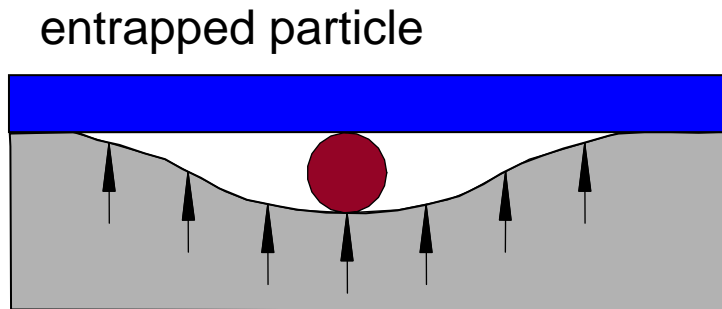
p-v: 126.2 nm  
qa p-v: 52.9 nm





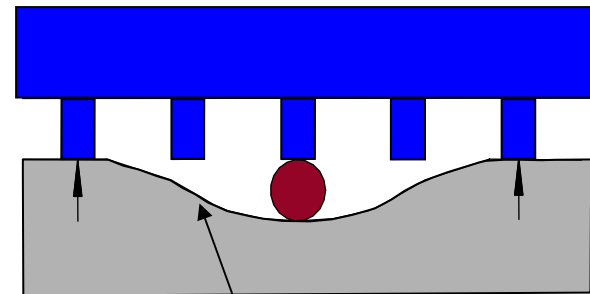
# Effects of Particle Entrapment: Coulomb vs. Johnsen-Rahbek Electrostatic Chucks

## Coulomb Chuck



Force generated everywhere

## J-R Chuck



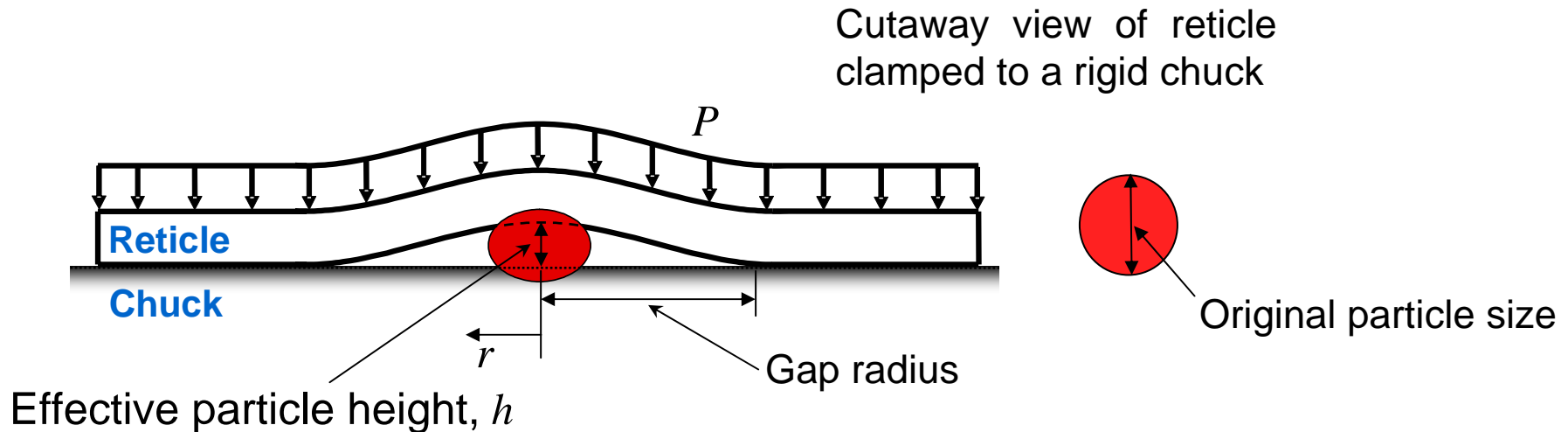
No J-R force here because  
no physical contact

- Do entrapped particles have similar effects on both types of chuck?





# Particle Macro-Scale Model Details

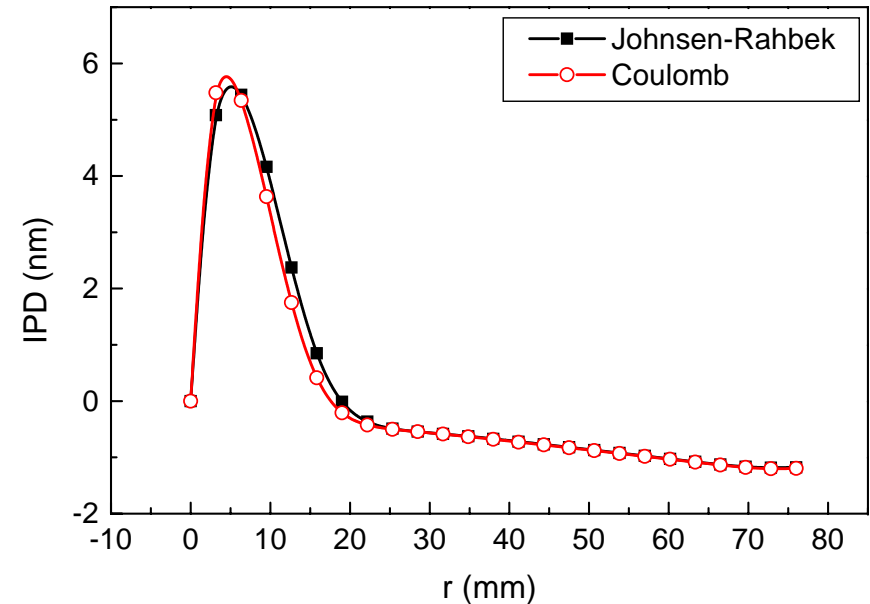
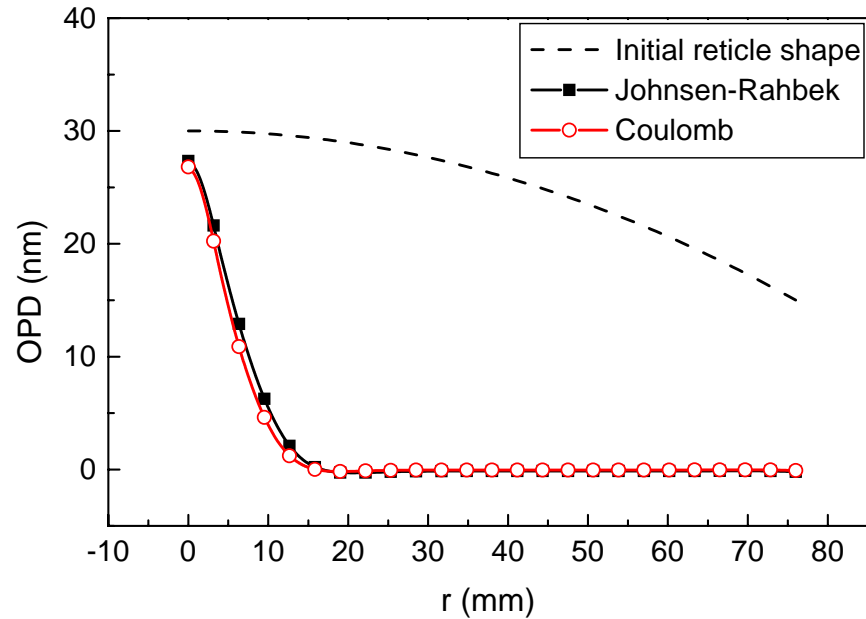


- Reticle is assumed to be of ULE<sup>®</sup> material and initially bowl shaped
- Chuck is perfectly flat and rigid
- Effective particle height ( $h$ ) is the residual height of the deformed particle (neglecting local deformation of the chuck and reticle surfaces). Pressure loading ( $P$ ) is gap dependent with a maximum pressure of 15 kPa occurring at zero gap. Note: in this model the effective particle height says nothing about the original particle size.
- $r$  is the radial coordinate from the location of the particle

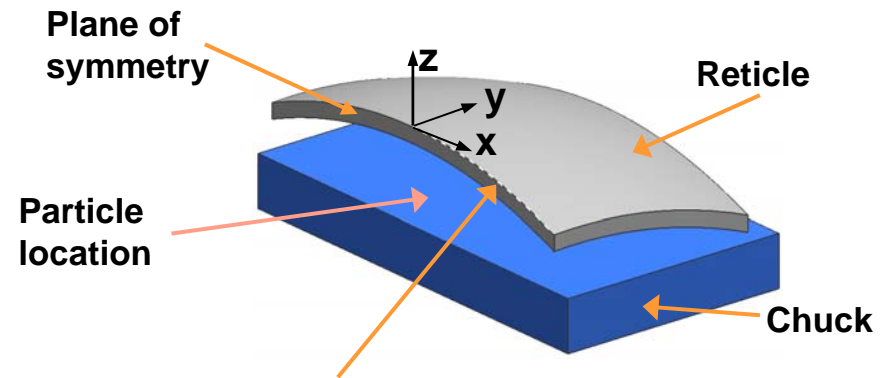


# Effective Particle Height: 30 nm

## Initial Reticle Profile: Bowl



### Half Symmetry Model

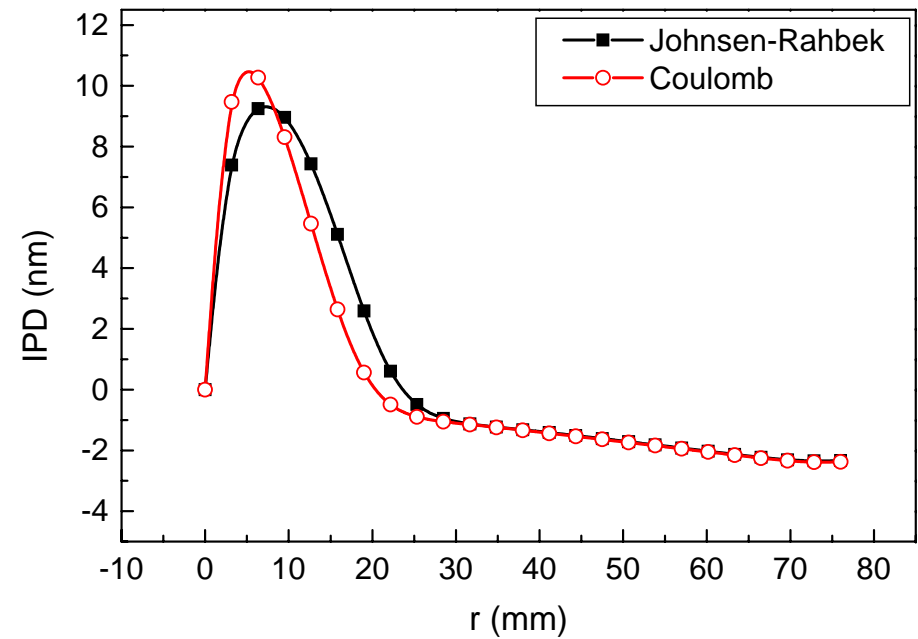
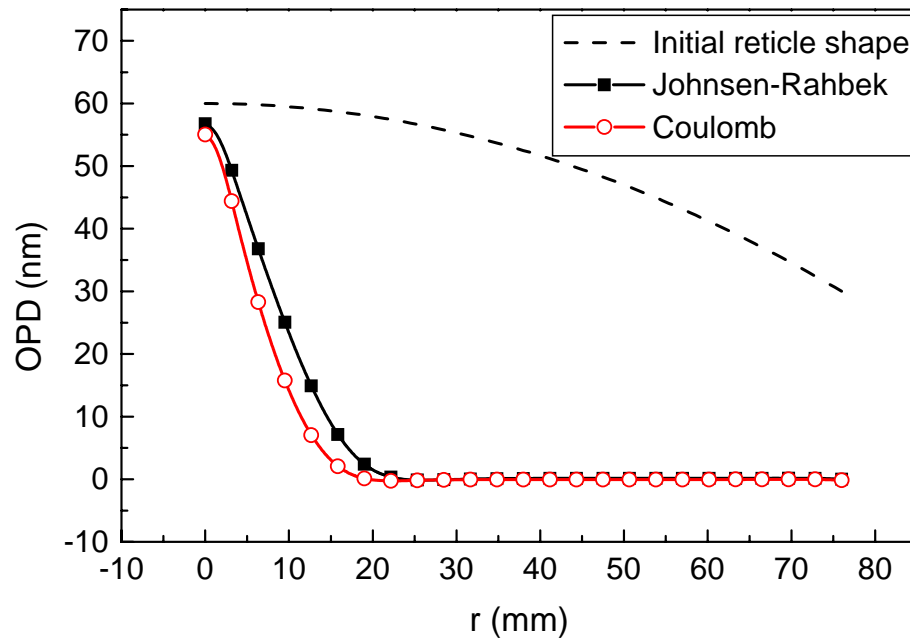


Results reported are for nodes along  $0 \leq x \leq 76$  mm on the top surface of the reticle



# Effective Particle Height: 60 nm

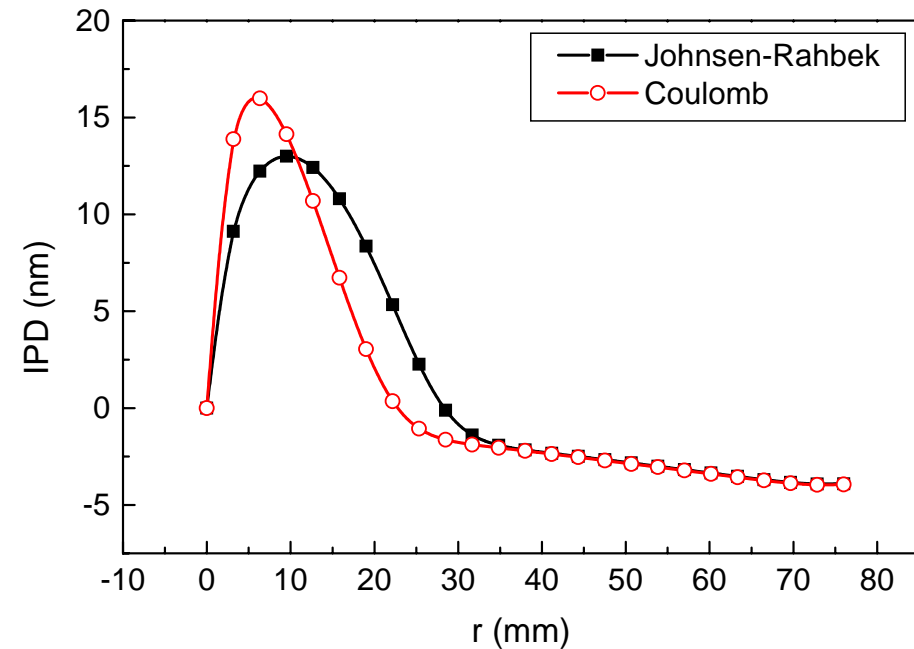
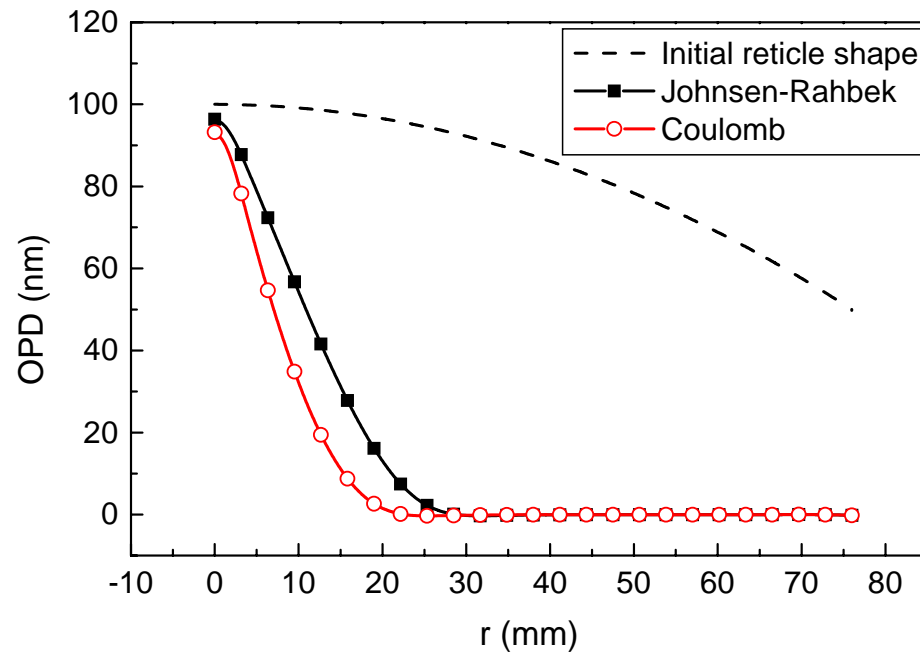
## Initial Reticle Profile: Bowl





# Effective Particle Height: 100 nm

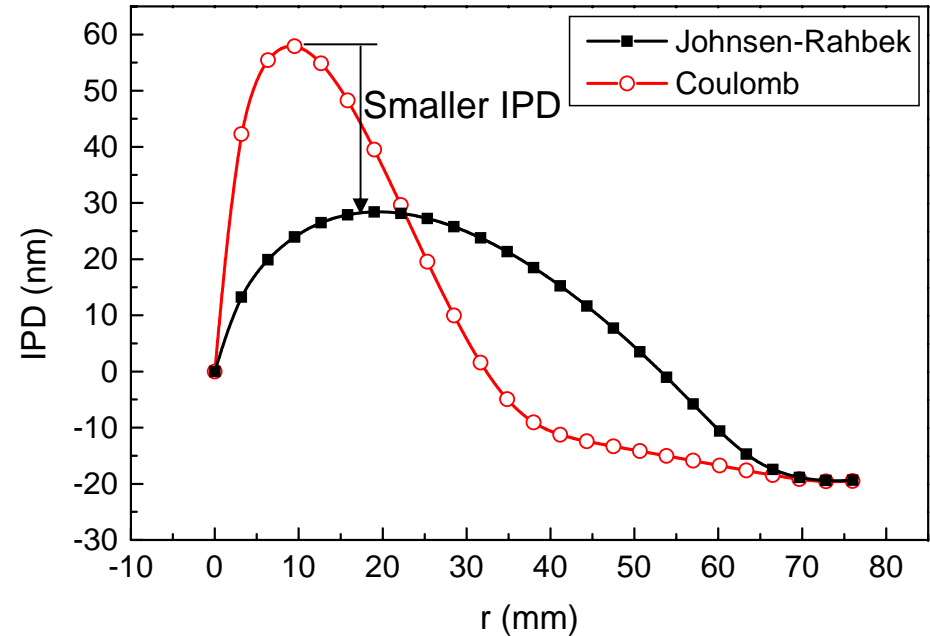
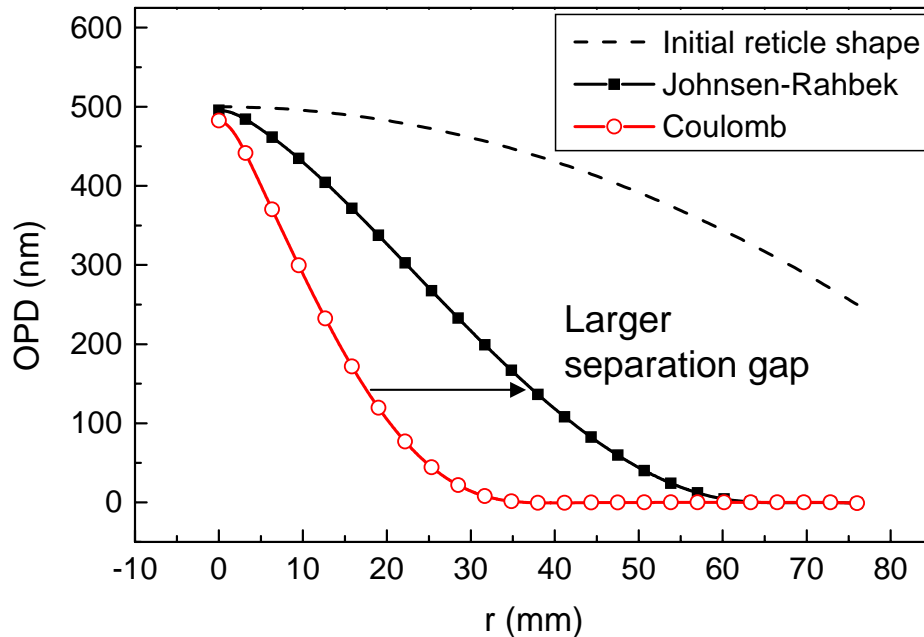
## Initial Reticle Profile: Bowl





# Effective Particle Height: 500 nm

## Initial Reticle Profile: Bowl



- The larger separation gap means the J-R chuck doesn't clamp as strongly.
- But the IPD is significantly smaller than for the Coulomb chuck.



# Conclusions

- The J-R chuck is not as effective in “flattening” trapped particles as the Coulomb chuck for large effective heights, but the associated IPD is smaller.
- However for effective particle heights comparable to the SEMI non-flatness specs ( $< 100$  nm), there is little difference between the two types of chuck.
- Effective particle height can be significantly less than real particle size.
- The quantitative effects of particle and chuck/substrate deformation are being investigated.



# Chuck Comparison

	<b>Coulomb</b>		<b>Johnsen-Rahbek</b>	
	<b>Advantages</b>	<b>Disadvantages</b>	<b>Advantages</b>	<b>Disadvantages</b>
<b>Lithography industry experience</b>	considerable			limited
<b>Applied voltage</b>		limited clamping force - requires high voltage	higher force per volt in contact areas	
<b>Force</b>	force insensitive to gap, spatially uniform	some distortion of reticle between pins	no distortion of reticle between pins	force highly dependent on gap, not spatially uniform
<b>Tolerance to particles</b>	force not dependent on particle presence	needs tall pins to tolerate particles – this reduces force	pin height is irrelevant – more particle tolerant	less able to handle particles on pins
<b>Heat generation</b>				some ohmic heating due to leakage current; not serious problem



# Summary and Conclusions

- The successful implementation of EUV lithography requires the use of an electrostatic chuck to support and flatten the mask during scanning exposure.
- A phenomenological model describing the force-gap relationship for a J-R chuck is presented and compared to the Coulomb response.
- Full 3-D FE structural models have been developed to compare the clamping performance of the two types of chucks. The relative advantages and disadvantages of both have been identified.
- The effects of entrapped particles on the clamping performance of the two kinds of chuck have been examined in a global model.
- FE simulation results are currently being used to establish specifications for chuck geometry and to identify the range of flatness variations that can be accommodated with electrostatic chucking.