

1. For the following linear operators, find  $\text{Spec}(\varphi)$  (see Definition 21.9) and, for every  $\lambda \in \text{Spec}(\varphi)$ , find a basis for  $E_\varphi(\lambda)$  (see Definition 21.10):

(a) (1 point)  $\varphi: \mathbb{R}[x; n] \rightarrow \mathbb{R}[x; n]$  where

$$\varphi: p(x) \mapsto xp(x)', \quad \text{for every } p(x) \in \mathbb{R}[x; n];$$

(for example, if  $p(x) = 2x^3 - 7x + 9$ , then,  $\varphi(p(x)) = x(2x^3 - 7x + 9)' = x(6x^2 - 7) = 6x^3 - 7x$ )

[**hint:** use the fact that if  $\mathbb{V}$  is finite dimensional and  $\varphi: \mathbb{V} \rightarrow \mathbb{V}$  is a linear operator, then,  $|\text{Spec}(\varphi)| \leq \dim(\mathbb{V})$  (we will prove this fact later)]

(b) (1 point)  $\varphi: \text{Mat}_3(\mathbb{R}) \rightarrow \text{Mat}_3(\mathbb{R})$  where

$$\varphi: A \mapsto A^T, \quad \text{for every } A \in \text{Mat}_3(\mathbb{R});$$

[**hint:** if  $\varphi$  is a linear operator and  $\varphi(\mathbf{x}) = \lambda \mathbf{x}$  then  $\varphi \circ \varphi(\mathbf{x}) = ?$ ; in our case,  $\varphi \circ \varphi(A) = ?$ ]

(c) (1 point)  $\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  where

$$\varphi: \begin{bmatrix} x \\ y \\ z \end{bmatrix} \mapsto \begin{bmatrix} 4 & -5 & 2 \\ 5 & -7 & 3 \\ 6 & -9 & 4 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \end{bmatrix}, \quad \text{for every } [x, y, z]^T \in \mathbb{R}^3;$$

[**hint:** see Problems 2 and 3 from Seminar 21; also you may take a look at the remark on the next page]

(d) (2 points)  $\varphi: \mathbb{R}[x; n] \rightarrow \mathbb{R}[x; n]$  where

$$\varphi: p(x) \mapsto p(ax + b), \quad \text{for every } p(x) \in \mathbb{R}[x; n],$$

and  $a \neq \pm 1$ ,  $b$  are some fixed real numbers.

(for example, if  $p(x) = 3x^2 - 2x + 9$ , then,  $\varphi(p(x)) = 3(ax + b)^2 - 2(ax + b) + 9 = 3a^2x^2 + (6ab - 2a)x + 3b^2 - 2b + 9$ )

[**hint:** suppose that  $\lambda \in \text{Spec}(\varphi)$  and  $x+B \in E_\varphi(\lambda)$ , then,  $\varphi(x+B) = \lambda(x+B) = (ax+b)+B$ , therefore,  $\lambda = ?$  and  $B = ?$ ; then what can you say about  $\varphi((x+B)^k)$ ?; do not forget that  $|\text{Spec}(\varphi)| \leq \dim(\mathbb{V})$ ]

$$c) \quad \begin{vmatrix} 4-\lambda & -5 & 2 \\ 5 & -7-\lambda & 3 \\ 6 & -9 & 4-\lambda \end{vmatrix} = \lambda^2 - \lambda^3 = 0 \Rightarrow \begin{cases} \lambda_1 = 0 \\ \lambda_2 = 1 \end{cases}$$

$$\Rightarrow \text{Spec}(\varphi) = \{0, 1\}$$

$$\Rightarrow \begin{bmatrix} 4 & -5 & 2 \\ 5 & -7 & 3 \\ 6 & -9 & 4 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \Rightarrow \begin{bmatrix} x & y & z \\ 4 & -5 & 2 \\ 5 & -7 & 3 \\ 6 & -9 & 4 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

$$\Downarrow$$

$$E_0 = \left\langle \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} \right\rangle \Leftarrow \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} c/3 \\ 2c/3 \\ c \end{bmatrix}$$

$$\begin{bmatrix} 3 & -5 & 2 \\ 5 & -8 & 3 \\ 6 & -9 & 3 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \Rightarrow \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} c \\ c \\ c \end{bmatrix} \Rightarrow E_1 = \left\langle \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\rangle$$

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a) coordinate matrix of  $\varphi$  is:

$$\begin{bmatrix} 1 & 0 & 0 & 0 & \dots & 0 \\ 0 & 2 & 0 & 0 & \dots & 0 \\ 0 & 0 & 3 & 0 & \dots & 0 \\ 0 & 0 & 0 & 4 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \dots & n+1 \end{bmatrix} \Rightarrow \text{Spec}(\varphi) = \{1, 2, 3, \dots, n, n+1\}$$

$$\text{basis for } \lambda \text{ for } \text{Spec}(\varphi) = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ \lambda \\ \vdots \\ 0 \end{bmatrix} \leftarrow \lambda \text{'s position}$$

$$\text{i.e. } E_3 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad (n+1 \text{ rows})$$

b) we have only two types of such matrices:

$$\left. \begin{array}{l} \bullet \text{ symmetric (eigenval: } 1) \\ \bullet \text{ skew-symmetric (eigenval: } -1) \end{array} \right\} \Rightarrow \text{Spec}(\varphi) = \{-1, 1\}$$

$$E_{-1} = \left\langle \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \right\rangle$$

$$E_1 = \left\langle \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \right\rangle$$

d) we can find coordinate matrix of  $\varphi$ :

$$A = \begin{bmatrix} 1 & b & b^2 & b^3 & \dots & b^n \\ 0 & a & ab & 3ab^2 & nab^{n-1} \\ 0 & 0 & a^2 & 3a^2b & na^2b^{n-2} \\ 0 & 0 & 0 & a^3 & \vdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \\ 0 & 0 & 0 & 0 & a^n \end{bmatrix} \quad \begin{array}{l} \text{(using Pascal's triangle i.e.)} \\ \text{(for } \mathbb{R}[x, n]) \end{array}$$

hence, since it's upper triangular matrix,  $\det(A) = (1 \cdot a \cdot a^2 \cdot \dots \cdot a^n)$

then, to find all eigent values:

$$\det(A - \lambda I_{n+1}) = (1-\lambda)(a-\lambda)(a^2-\lambda) \cdot (a^3-\lambda) \dots (a^n-\lambda) = 0:$$

$$\text{then } \text{Spec}(\varphi) = \{1, a, a^2, a^3, a^4, \dots, a^n\} \text{ for } \mathbb{R}[x, n] \text{ for } \lambda \in \text{Spec}(\varphi)$$

$$\text{for each eigent value: } E_\varphi(\lambda) = \left\langle \begin{bmatrix} 1-\lambda \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \begin{bmatrix} b \\ a-\lambda \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \begin{bmatrix} b^2 \\ 2ab \\ a^2-\lambda \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} b^n \\ nab^{n-1} \\ na^2b^{n-2} \\ \vdots \\ na^{n-1}b \\ a^n-\lambda \end{bmatrix} \right\rangle \quad \text{Is hint ignoring okay?}$$

2. (1 point) Find *all*  $\varphi$ -invariant subspaces (see Def. 21.6) of the linear operator

$$\varphi: \mathbb{R}^2 \rightarrow \mathbb{R}^2, \quad \varphi: \begin{bmatrix} x \\ y \end{bmatrix} \mapsto \begin{bmatrix} 5 & -6 \\ 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \end{bmatrix}.$$

[**hint:** let  $\mathbb{U}$  be a  $\varphi$ -invariant subspaces of  $\mathbb{R}^2$ ; since  $\mathbb{R}^2$  is a two dimensional vector space, the dimension of  $\mathbb{U}$  is either 0, 1, or 2; if the dimension of  $\mathbb{U}$  is 0 or 2 then it is clear that ?; if the dimension of  $\mathbb{U}$  is 1, then,  $\mathbb{U} = \langle \mathbf{x} \rangle$ , for some  $\mathbf{x} \in \mathbb{R}^2 \setminus \{\mathbf{0}\}$ , and ?]

Invariant subspaces of  $V$  under  $\varphi$  is:

1)  $\{\bar{0}\}$       3)  $\ker(\varphi)$

2)  $V$       4)  $\operatorname{Im}(\varphi)$

In case if  $\varphi$  is a linear operator 1) = 3) 2) = 4);

$$\ker(\varphi): \left[ \begin{array}{cc|c} 5 & -6 & 0 \\ 1 & 0 & 0 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right] \Rightarrow \ker(\varphi) = \{\bar{0}\}$$

$\Rightarrow$  only  $\varphi$  invariant subspace of  $V$  is  $V$  is self.



3. (2 points) Let

$$A = \frac{1}{6} \cdot \begin{bmatrix} -57 & -15 & 222 \\ -72 & -18 & 276 \\ -21 & -5 & 80 \end{bmatrix} \in \text{Mat}_3(\mathbb{R}).$$

Then, find  $\lim_{n \rightarrow +\infty} A^n$ .

[hint: see Problems 4 and 3 from Seminar 21; in this problem, one can use a machine to find  $\det(A - \lambda I_3)$ ]

first of all:  $\det(A - xI_3) = 0 \Leftrightarrow \begin{vmatrix} -\frac{19}{2} - x & -5/2 & 37 \\ -12 & -3 - x & 46 \\ -\frac{7}{2} & -5/6 & \frac{40}{3} - x \end{vmatrix} = 0 \Rightarrow$

$$\Rightarrow 750 + \frac{1}{3}x + \frac{5}{6}x^2 - x^3 - \frac{4501}{6} = 0 \Rightarrow \begin{cases} x = -1/2 \\ x = 1/3 \\ x = 1 \end{cases} \Rightarrow \text{spec}(\varphi) = \{1, 1/3, -1/2\}$$

let's think about  $A$  as a matrix

representation of some linear transformation ( $\varphi$ ) ( $\varphi: \bar{x} \rightarrow A\bar{x}$ )

then  $E_\varphi(1) = \begin{bmatrix} 11/4 \\ 13/4 \\ 1 \end{bmatrix}$ ;  $E_\varphi(-1/2) = \begin{bmatrix} 3 \\ 4 \\ 1 \end{bmatrix}$ ;  $E_\varphi(1/3) = \begin{bmatrix} 3 \\ 3 \\ 1 \end{bmatrix}$



(It's ocher! I mean finding the eigenvectors: just sub  $\lambda$  and find  $\ker$ )  $\leftarrow$  basis I mean

then  $B = \begin{bmatrix} 11/4 & 3 & 3 \\ 13/4 & 4 & 3 \\ 1 & 1 & 1 \end{bmatrix}$  (called eigen-basis for  $\mathbb{R}^3$  under  $\varphi$ )

$$\Rightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1/2 & 0 \\ 0 & 0 & 1/3 \end{bmatrix} \text{ hence } \begin{bmatrix} -19/2 & -5/2 & 37 \\ -12 & -3 & 46 \\ -7/2 & -5/6 & 40/3 \end{bmatrix} \stackrel{A}{=} \begin{bmatrix} 11/4 & 3 & 3 \\ 13/4 & 4 & 3 \\ 1 & 1 & 1 \end{bmatrix} \stackrel{B}{=} \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1/2 & 0 \\ 0 & 0 & 1/3 \end{bmatrix} \stackrel{D}{=} \begin{bmatrix} 11/4 & 3 & 3 \\ 13/4 & 4 & 3 \\ 1 & 1 & 1 \end{bmatrix} \stackrel{B^{-1}}{=} \begin{bmatrix} 11/4 & 3 & 3 \\ 13/4 & 4 & 3 \\ 1 & 1 & 1 \end{bmatrix}^{-1}$$

$$\lim_{n \rightarrow +\infty} (D^n) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \lim_{n \rightarrow +\infty} (A^n) = \begin{bmatrix} -11 & 0 & 33 \\ -13 & 0 & 39 \\ -4 & 0 & 12 \end{bmatrix}$$

4. (2 points) The  $n$ -th *Fibonacci number*, denoted by  $F_n$ , is defined as following

$$F_n = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \geq 2. \end{cases}$$

Thus, the beginning of the Fibonacci sequence is:

$$0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, \dots$$

Following the instructions, find a closed form expression for  $F_n$  (it is called the Binet formula).

**Instructions:**

(a) using the definition of  $F_n$ , find a matrix  $A$  such that

$$\begin{bmatrix} F_n \\ F_{n-1} \end{bmatrix} = A \cdot \begin{bmatrix} F_{n-1} \\ F_{n-2} \end{bmatrix}, \quad \text{for every } n \geq 1;$$

(b) find  $A^n$  (see Problems 4 and 3 from Seminar 21; if you have some  $\sqrt{5}$  in your formula, it is a good sign);

(c) note that, due to Item (a), we have:

$$\begin{bmatrix} F_n \\ F_{n-1} \end{bmatrix} = A^{n-1} \cdot \begin{bmatrix} F_1 \\ F_0 \end{bmatrix}, \quad \text{for every } n \geq 1;$$

$$\begin{aligned} a) \quad A = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad \begin{bmatrix} F_n \\ F_{n-1} \end{bmatrix} &= \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} F_{n-1} \\ F_{n-2} \end{bmatrix} \\ &\stackrel{\Updownarrow}{=} \begin{bmatrix} F_{n-1} + F_{n-2} \\ F_{n-1} \end{bmatrix} \end{aligned}$$

$$b) = \begin{vmatrix} 1-x & 1 \\ 1 & -x \end{vmatrix} = 0 \Leftrightarrow x^2 - x - 1 = 0 \Leftrightarrow \begin{cases} x = \frac{1-\sqrt{5}}{2} \\ x = \frac{1+\sqrt{5}}{2} \end{cases}$$

$$\Rightarrow E_{\frac{1-\sqrt{5}}{2}} = \left\langle \begin{bmatrix} \frac{1-\sqrt{5}}{2} \\ 1 \end{bmatrix} \right\rangle ; E_{\frac{1+\sqrt{5}}{2}} = \left\langle \begin{bmatrix} \frac{1+\sqrt{5}}{2} \\ 1 \end{bmatrix} \right\rangle$$

$$C = \begin{bmatrix} \frac{1-\sqrt{5}}{2} & \frac{1+\sqrt{5}}{2} \\ 1 & 1 \end{bmatrix} \Rightarrow A^n = \begin{bmatrix} \frac{1-\sqrt{5}}{2} & \frac{1+\sqrt{5}}{2} \\ 1 & 1 \end{bmatrix} \begin{bmatrix} \frac{1+\sqrt{5}}{2} & 0 \\ 0 & \frac{1-\sqrt{5}}{2} \end{bmatrix}^n \begin{bmatrix} \frac{1-\sqrt{5}}{2} & \frac{1+\sqrt{5}}{2} \\ 1 & 1 \end{bmatrix}^{-1}$$

c) I don't really understand that is req. but anyway, maybe you want:

$$\text{If } \begin{bmatrix} F_n \\ F_{n-1} \end{bmatrix} = A \begin{bmatrix} F_{n-1} \\ F_{n-2} \end{bmatrix} \rightarrow \begin{bmatrix} F_n \\ F_{n+1} \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n \begin{bmatrix} 1 \\ 0 \end{bmatrix} \Leftrightarrow \begin{bmatrix} F_n \\ F_{n+1} \end{bmatrix} = A^n \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$\text{also we may notice, that } F_n = \frac{\varphi^n - \psi^n}{\sqrt{5}} ; \quad \varphi = \frac{1+\sqrt{5}}{2} ; \quad \psi = \frac{1-\sqrt{5}}{2}$$

5\*)  $A = \begin{bmatrix} a_1^2 & a_1 a_2 & \dots & a_1 a_n \\ a_2 a_1 & a_2^2 & \dots & a_2 a_n \\ a_3 a_1 & a_3 a_2 & \dots & a_3 a_n \\ \vdots & \vdots & \ddots & \vdots \\ a_n a_1 & a_n a_2 & \dots & a_n^2 \end{bmatrix}$  if  $a_1 = x \wedge a_2 = x^2 \wedge a_3 = x^3 \wedge a_n = x^4 \dots a_n = x^n$ .

for  $n=1$ :  $\lambda = a_1$

for  $n=2$ :  $(a_1^2 - \lambda)(a_2^2 - \lambda) - (a_1 a_2 - \lambda)^2 = -a_1^2 \lambda - a_2^2 \lambda + 2a_1 a_2 \lambda = 0 \Rightarrow \lambda = 0$  if  $a_1 \neq a_2$   
 $\lambda = \mathbb{R}$  if  $a_1 = a_2$

for  $n=3$ :  $\begin{vmatrix} a_1^2 - \lambda & a_1 a_2 & a_1 a_3 \\ a_2 a_1 & a_2^2 - \lambda & a_2 a_3 \\ a_3 a_1 & a_3 a_2 & a_3^2 - \lambda \end{vmatrix} = 0 \Leftrightarrow a_1^2 \lambda^2 + a_2^2 \lambda^2 + a_3^2 \lambda^2 - \lambda^3 = 0 \Rightarrow \begin{cases} \lambda = 0 \\ \lambda = a_1^2 + a_2^2 + a_3^2 \end{cases}$

okay  $\dim(\ker(\varphi)) \neq 0$ , since  $a_1 = x$ ;  $a_2 = x$ ;  $a_3 = x^2$ ;  $a_4 = x^3$ ; ...  $a_n = x^{n-1}$

hence  $A = \begin{bmatrix} x^2 & x^2 & x^3 & \dots & x^n \\ x^2 & x^2 & x^4 & \dots & x^{n+1} \\ x^3 & x^3 & x^5 & \dots & x^{n+2} \\ x^4 & x^4 & x^6 & \dots & x^{n+3} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x^n & x^{n+1} & x^{n+2} & \dots & x^{2n-1} \end{bmatrix}$ , obviously  $\det(A) = 0$ , since 1 and 2nd column are equal;  
 then  $\ker(\varphi) \neq \{0\}$ ; at least if  $a_2 = a_1$ ;  $a_3 = a_1^2$ ;  $a_4 = a_1^3$ ; ...  $a_n = a_1^{n-1}$ ;