

# Solutions to the book: *Fulton, Algebraic Curves*

Meng-Gen Tsai  
plover@gmail.com

March 30, 2021

## Contents

<b>Chapter 1: Affine Algebraic Sets</b>	<b>5</b>
1.1. Algebraic Preliminaries . . . . .	5
Problem 1.1.* . . . . .	5
Problem 1.2.* . . . . .	6
Problem 1.3.* . . . . .	7
Problem 1.4.* . . . . .	8
Problem 1.5.* . . . . .	9
Problem 1.6.* . . . . .	9
Problem 1.7.* . . . . .	10
1.2. Affine Space and Algebraic Sets . . . . .	12
Problem 1.8.* . . . . .	12
Problem 1.9. . . . .	13
Problem 1.10. . . . .	13
Problem 1.11. . . . .	13
Problem 1.12. . . . .	14
Problem 1.13. . . . .	15
Problem 1.14.* . . . . .	17
Problem 1.15.* . . . . .	19
1.3. The Ideal of a Set of Points . . . . .	19
Problem 1.16.* . . . . .	19
Problem 1.17.* . . . . .	20
Problem 1.18.* . . . . .	21
Problem 1.19. . . . .	22
Problem 1.20.* . . . . .	23
Problem 1.21.* . . . . .	23
1.4. The Hilbert Basis Theorem . . . . .	24
Problem 1.22.* (Correspondence theorem for rings) . . . . .	24
1.5. Irreducible Components of an Algebraic Set . . . . .	27
Problem 1.23. . . . .	27

Problem 1.24.	28
Problem 1.25.	28
Problem 1.26.	29
Problem 1.27.	30
Problem 1.28.	31
Problem 1.29.*	31
1.6. Algebraic Subsets of the Plane	32
Problem 1.30.	32
Problem 1.31.	32
1.7. Hilbert's Nullstellensatz	34
Problem 1.32.	34
Problem 1.33.	35
Problem 1.34.	37
Problem 1.35.	37
Problem 1.36.	38
Problem 1.37.*	39
Problem 1.38.*	40
Problem 1.39.	40
Problem 1.40.	41
1.8. Modules; Finiteness Conditions	43
Problem 1.41.*	43
Problem 1.42.	43
Problem 1.43.*	44
Problem 1.44.*	44
Problem 1.45.*	45
1.9. Integral Elements	46
Problem 1.46.* (Transitivity of integral extensions)	46
Problem 1.47.*	47
Problem 1.48.*	48
Problem 1.49.*	48
Problem 1.50.*	49
1.10. Field Extensions	50
Problem 1.51.*	50
Problem 1.52.* (Splitting fields)	52
Problem 1.53.*	52
Problem 1.54.*	53
<b>Chapter 2: Affine Varieties</b>	<b>55</b>
2.1. Coordinate Rings	55
Problem 2.1.*	55
Problem 2.2.*	55
Problem 2.3.*	56
Problem 2.4.*	57
Problem 2.5.	57
2.2. Polynomial Maps	58
Problem 2.6.*	58

Problem 2.7.*	59
Problem 2.8.	60
Problem 2.9.*	61
Problem 2.10.*	62
Problem 2.11.	62
Problem 2.12.	64
Problem 2.13.	65
2.3. Coordinate Changes	66
Problem 2.14.* (Linear subvariety)	66
2.4. Rational Functions and Local Rings	68
2.5. Discrete Valuation Rings	68
Problem 2.23.*	68
Problem 2.24.*	69
Problem 2.25. ( $p$ -adic integers)	71
Problem 2.26.*	72
Problem 2.27.	72
Problem 2.28.*	74
Problem 2.29.*	76
Problem 2.30.*	77
Problem 2.31. (Formal power series)	78
Problem 2.32. (Power series expansion)	79
2.6. Forms	83
Problem 2.33.	83
Problem 2.34.	84
Problem 2.35.*	84
Problem 2.36.	86
2.7. Direct Products of Rings	86
Problem 2.37.	86
Problem 2.38.*	87
2.8. Operations with Ideals	87
Problem 2.39.*	87
Problem 2.40.* (Chinese remainder theorem)	89
Problem 2.41.*	91
Problem 2.42.* (Isomorphism theorems for rings)	92
Problem 2.45.*	94
Problem 2.46.*	94
2.9. Ideals with a Finite Number of Zeros	95
Problem 2.47.	95
2.10. Quotient Modules and Exact Sequences	95
Problem 2.48.*	95
Problem 2.51.	96
2.11. Free Modules	97

<b>Chapter 3: Local Properties of Plane Curves</b>	<b>98</b>
3.1. Multiple Points and Tangent Lines . . . . .	98
3.2. Multiplicities and Local Rings . . . . .	98
3.3. Intersection Numbers . . . . .	98
<b>Chapter 4: Projective Varieties</b>	<b>99</b>
4.1. Projective Space . . . . .	99
4.2. Projective Algebraic Sets . . . . .	99
4.3. Affine and Projective Varieties . . . . .	99
4.4. Multiprojective Space . . . . .	99
<b>Chapter 5: Projective Plane Curves</b>	<b>100</b>
5.1. Definitions . . . . .	100
5.2. Linear Systems of Curves . . . . .	100
5.3. Bézout's Theorem . . . . .	100
5.4. Multiple Points . . . . .	100
5.5. Max Noether's Fundamental Theorem . . . . .	100
5.6. Applications of Noether's Theorem . . . . .	100
<b>Chapter 6: Varieties, Morphisms, and Rational Maps</b>	<b>101</b>
6.1. The Zariski Topology . . . . .	101
6.2. Varieties . . . . .	101
6.3. Morphisms of Varieties . . . . .	101
6.4. Products and Graphs . . . . .	101
6.5. Algebraic Function Fields and Dimension of Varieties . . . . .	101
6.6. Rational Maps . . . . .	101
<b>Chapter 7: Resolution of Singularities</b>	<b>102</b>
7.1. Rational Maps of Curves . . . . .	102
7.2. Blowing up a Point in $\mathbf{A}^2$ . . . . .	102
7.3. Blowing up a Point in $\mathbf{P}^2$ . . . . .	102
7.4. Quadratic Transformations . . . . .	102
7.5. Nonsingular Models of Curves . . . . .	102
<b>Chapter 8: Riemann-Roch Theorem</b>	<b>103</b>
8.1. Divisors . . . . .	103
8.2. The Vector Spaces $L(D)$ . . . . .	103
8.3. Riemann's Theorem . . . . .	103
8.4. Derivations and Differentials . . . . .	103
8.5. Canonical Divisors . . . . .	103
8.6. Riemann-Roch Theorem . . . . .	103

# Chapter 1: Affine Algebraic Sets

## 1.1. Algebraic Preliminaries

### Problem 1.1.\*

Let  $R$  be a domain.

- (a) If  $f, g$  are forms of degree  $r, s$  respectively in  $R[x_1, \dots, x_n]$ , show that  $fg$  is a form of degree  $r + s$ .
- (b) Show that any factor of a form in  $R[x_1, \dots, x_n]$  is also a form.

*Proof of (a).*

- (1) Write

$$f = \sum_{(i)} a_{(i)} x^{(i)},$$
$$g = \sum_{(j)} b_{(j)} x^{(j)},$$

where  $\sum_{(i)}$  is the summation over  $(i) = (i_1, \dots, i_n)$  with  $i_1 + \dots + i_n = r$  and  $\sum_{(j)}$  is the summation over  $(j) = (j_1, \dots, j_n)$  with  $j_1 + \dots + j_n = s$ .

- (2) Hence,

$$fg = \sum_{(i)} \sum_{(j)} a_{(i)} b_{(j)} x^{(i)} x^{(j)}$$
$$= \sum_{(i), (j)} a_{(i)} b_{(j)} x^{(k)}$$

where  $(k) = (i_1 + j_1, \dots, i_n + j_n)$  with  $(i_1 + j_1) + \dots + (i_n + j_n) = r + s$ . Each  $x^{(k)}$  is the form of degree  $r + s$  and  $a_{(i)} b_{(j)} \in R$ . Hence  $fg$  is a form of degree  $r + s$ .

□

*Proof of (b).*

- (1) Given any form  $f \in R[x_1, \dots, x_n]$ , and write  $f = gh$ . It suffices to show that  $g$  is a form as well. (So does  $h$ .)
- (2) Write

$$g = g_0 + \dots + g_r, \quad h = h_0 + \dots + h_s$$

where  $g_r \neq 0$  and  $h_s \neq 0$ . So

$$f = gh = g_0h_0 + \cdots + g_rh_s.$$

Since  $R$  is a domain,  $R[x_1, \dots, x_n]$  is a domain and thus  $g_rh_s \neq 0$ . The maximality of  $r$  and  $s$  implies that  $\deg f = r + s$ . Therefore, by the maximality of  $r + s$ ,  $f = g_rh_s$ , or  $g = g_r$ , or  $g$  is a form.

□

**Problem 1.2.\***

Let  $R$  be a UFD,  $K$  the quotient field of  $R$ . Show that every element  $z$  of  $K$  may be written  $z = a/b$ , where  $a, b \in R$  have no common factors; this representative is unique up to units of  $R$ .

*Proof.*

- (1) Show that every element  $z$  of  $K$  may be written  $z = a/b$ , where  $a, b \in R$  have no common factors. Given any  $z = a/b \in K$  where  $a, b \in R$ . Write

$$\begin{aligned} a &= p_1 \cdots p_n, \\ b &= q_1 \cdots q_m \end{aligned}$$

where all  $p_1, \dots, p_n, q_1, \dots, q_m$  are irreducible in  $R$ . (It is possible since  $R$  is a UFD.) For each  $i$ , suppose  $p_i \mid q_j$  for some  $i, j$ . Write  $q_j = p_i u$  for some  $u \in R$ . By the irreducibility of  $p_i$  and  $q_j$ ,  $u$  is a unit. So

$$z = \frac{a}{b} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{q_1 \cdots \widehat{q_j} \cdots q_m} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{u q_1 \cdots \widehat{q_j} \cdots q_m}.$$

Continue this method we can write  $z = \frac{a'}{b'}$  where  $a'$  and  $b'$  have no common factors.

- (2) Write  $z = a/b = a'/b'$  where

- (a)  $a, b, a', b' \in R$ ,
- (b)  $a$  and  $b$  have no common factors,
- (c)  $a'$  and  $b'$  have no common factors.

Write

$$\begin{aligned} a &= p_1 \cdots p_n, \\ b &= q_1 \cdots q_m, \\ a' &= p'_1 \cdots p'_{n'}, \\ b' &= q'_1 \cdots q'_{m'} \end{aligned}$$

where all  $p_i, q_j, p'_{i'}, q'_{j'}$  are irreducible in  $R$ . As  $z = a/b = a'/b'$ ,  $ab' = a'b$  or

$$p_1 \cdots p_n q'_1 \cdots q'_{m'} = p'_1 \cdots p'_{n'} q_1 \cdots q_m.$$

- (3) For  $i = 1$ ,  $p_1 = u_1 p'_{i'}$  for some unit  $u_1 \in R$  since  $a$  and  $b$  have no common factors and all  $p_1, q_j, p'_{i'}$  are irreducible. Hence

$$u_1 \widehat{p_1} p_2 \cdots p_n q'_1 \cdots q'_{m'} = p'_1 \cdots \widehat{p'_{i'}} \cdots p'_{n'} q_1 \cdots q_m.$$

Continue this method, we have  $n \leq n'$  and all  $p_1, \dots, p_n$  are canceled.

- (4) Conversely, we can apply the argument in (3) to  $i' = 1, \dots, n'$  to conclude that  $n' \leq n$ . Therefore,  $n = n'$  and

$$\underbrace{u_1 \cdots u_n}_{\text{a unit in } R} q'_1 \cdots q'_{m'} = q_1 \cdots q_m.$$

Hence,  $b = ub'$  where  $u = u_1 \cdots u_n$  is a unit in  $R$ . Similarly,  $a = va'$  where  $v$  is a unit in  $R$ . So the representative of  $z \in K$  is unique up to units of  $R$ .

□

### Problem 1.3.\*

Let  $R$  be a PID. Let  $\mathfrak{p}$  be a nonzero, proper, prime ideal in  $R$ .

- (a) Show that  $\mathfrak{p}$  is generated by an irreducible element.
- (b) Show that  $\mathfrak{p}$  is maximal.

*Proof of (a).*

- (1) Let  $\mathfrak{p} = (a)$  be a nonzero, proper, prime ideal in  $R$ . It suffices to show that  $a$  is irreducible.
- (2) Suppose  $a = bc$ . By the primality of  $\mathfrak{p}$ ,  $b \in \mathfrak{p}$  or  $c \in \mathfrak{p}$ . Suppose  $b \in \mathfrak{p} = (a)$ . (The case  $c \in \mathfrak{p}$  is similar.) Then there is a  $d \in R$  such that  $b = ad$ . Hence,  $a = bc = adc$  or  $(1 - dc)a = 0$ .
- (3) Since  $R$  is a domain,  $1 = dc$  or  $a = 0$ .  $a = 0$  implies that  $\mathfrak{p} = (0)$  is a zero ideal, contrary to the assumption. Therefore,  $1 = dc$ , or  $c$  is a unit, or  $a$  is irreducible.

□

*Proof of (b).*

- (1) Given any ideal  $I = (b)$  of  $R$  containing  $\mathfrak{p} = (a)$ . As the generator  $a$  of  $\mathfrak{p}$  is in  $\mathfrak{p} \subseteq I$ , there is some  $c \in R$  such that  $a = bc$ . By the irreducibility of  $a$  (in  $(a)$ ),  $b$  is a unit or  $c$  is a unit.
- (2)  $b$  is a unit implies that  $I = R$ .  $c$  is a unit implies that  $I = \mathfrak{p}$ . In any case, we conclude that  $\mathfrak{p}$  is maximal.

□

**Problem 1.4.\***

Let  $k$  be an infinite field,  $f \in k[x_1, \dots, x_n]$ . Suppose  $f(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in k$ . Show that  $f = 0$ . (Hint: Write

$$f = \sum f_i x_n^i, \quad f_i \in k[x_1, \dots, x_{n-1}].$$

Use induction on  $n$ , and the fact that  $f(a_1, \dots, a_{n-1}, x_n)$  has only a finite number of roots if any  $f_i(a_1, \dots, a_{n-1}) \neq 0$ .)

*Proof.*

- (1) Induction on  $n$ . The case  $n = 1$ . (Reductio ad absurdum) If there were a nonzero  $f \in k[x_1]$  such that  $f(a) = 0$  for all  $a \in k$ . Note that  $f$  has at most  $\deg f < \infty$  roots, contrary to the infinity of  $k$ .
- (2) Assume that the conclusion holds for  $n - 1$ , then for any  $f \in k[x_1, \dots, x_n]$  we can write

$$f = \sum f_i x_n^i, \quad f_i \in k[x_1, \dots, x_{n-1}]$$

as  $f \in (k[x_1, \dots, x_{n-1}])[x_n]$ . Suppose  $f(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in k$ . For fixed  $a_1, \dots, a_{n-1}$ , the polynomial  $f(a_1, \dots, a_{n-1}, x_n) \in k[x_n]$  has all distinct roots in an infinite field  $k$ . By (1),  $f(a_1, \dots, a_{n-1}, x_n) = 0 \in k[x_n]$ , or each  $f_i(a_1, \dots, a_{n-1}) = 0$ . As all  $a_1, \dots, a_{n-1}$  run over  $k$ , we can apply the induction hypothesis each  $f_i(x_1, \dots, x_{n-1}) = 0 \in k[x_1, \dots, x_{n-1}]$ . Hence,  $f = 0 \in k[x_1, \dots, x_n]$ .

□

*Note.* If  $k$  is a finite field of order  $q = p^k$ , then the polynomial  $f(x) = x^q - x$  has  $q$  distinct roots in  $k$ .



**Problem 1.5.\***

Let  $k$  be any field. Show that there are an infinitely number of irreducible monic polynomials in  $k[x]$ . (Hint: Suppose  $f_1, \dots, f_n$  were all of them, and factor  $f_1 \cdots f_n + 1$  into irreducible factors.)

*Proof (Due to Euclid).*

- (1) If  $f_1, \dots, f_n$  were all irreducible monic polynomials, then we consider

$$g = f_1 \cdots f_n + 1 \in k[x].$$

So there is an irreducible monic polynomial  $f = f_i$  dividing  $g$  for some  $i$  since

$$\deg g = \deg f_1 + \cdots + \deg f_n \geq 1$$

and  $k[x]$  is a UFD.

- (2) However,  $f$  would divide the difference

$$g - f_1 \cdots f_{i-1} f_{i+1} \cdots f_n = 1,$$

contrary to  $\deg f_i \geq 1$ .

□

**Problem 1.6.\***

Show that any algebraically closed field is infinite. (Hint: The irreducible monic polynomials are  $x - a$ ,  $a \in k$ .)

*Proof (Due to Euclid).*

- (1) Let  $k$  be an algebraically closed field. If  $a_1, \dots, a_n$  were all elements in  $k$ , then we consider a monic polynomials

$$f(x) = (x - a_1) \cdots (x - a_n) + 1 \in k[x].$$

- (2) Since  $k$  is algebraically closed, there is an element  $a \in k$  such that  $f(a) = 0$ . By assumption,  $a = a_i$  for some  $1 \leq i \leq n$ , and thus  $f(a) = f(a_i) = 1$ , contrary to the fact that a field is a commutative ring where  $0 \neq 1$  and all nonzero elements are invertible.

□

**Problem 1.7.\***

Let  $k$  be a field,  $f \in k[x_1, \dots, x_n]$ ,  $a_1, \dots, a_n \in k$ .

(a) Show that

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

(b) If  $f(a_1, \dots, a_n) = 0$ , show that  $f = \sum_{i=1}^n (x_i - a_i)g_i$  for some (not unique)  $g_i$  in  $k[x_1, \dots, x_n]$ .

*Proof of (a).*

(1) Regard  $k[x_1, \dots, x_n]$  as  $(k[x_1, \dots, x_{n-1}])[x_n]$ . Since  $(k[x_1, \dots, x_{n-1}])[x_n]$  is a Euclidean domain with a function

$$f \in (k[x_1, \dots, x_{n-1}])[x_n] \mapsto \deg_{x_n} f \in \mathbb{Z}_{\geq 0}$$

satisfying the division-with-remainder property.

(2) Apply the division algorithm for  $f$  and nonzero  $x_n - a_n$  to produce a quotient  $q$  and remainder  $r$  with  $f = (x_n - a_n)q + r$  and either  $r = 0$  or  $\deg_{x_n}(r) < \deg_{x_n}(x_n - a_n) = 1$ . That is,  $r \in k[x_1, \dots, x_{n-1}]$  is a constant in  $(k[x_1, \dots, x_{n-1}])[x_n]$ . Continue this process to get that  $f$  is of the form

$$f = \sum_{i_n} f_{i_n} (x_n - a_n)^{i_n}$$

where  $f_{i_n} \in k[x_1, \dots, x_{n-1}]$ .

(3) Use the same argument in (2) for each  $f_{i_n} \in k[x_1, \dots, x_{n-1}]$ , we have

$$\begin{aligned} f_{i_n} &= \sum_{i_{n-1} \in k[x_1, \dots, x_{n-2}]} \underbrace{f_{i_n, i_{n-1}}}_{\in k[x_1, \dots, x_{n-2}]} (x_{n-1} - a_{n-1})^{i_{n-1}} \\ f_{i_n, i_{n-1}} &= \sum_{i_{n-2} \in k[x_1, \dots, x_{n-3}]} \underbrace{f_{i_n, i_{n-1}, i_{n-2}}}_{\in k[x_1, \dots, x_{n-3}]} (x_{n-2} - a_{n-2})^{i_{n-2}}, \\ &\dots \\ f_{i_n, \dots, i_2} &= \sum_{i_1 \in k} \underbrace{f_{i_n, \dots, i_1}}_{\in k} (x_1 - a_1)^{i_1}. \end{aligned}$$

Note that  $f_{i_n, \dots, i_1} \in k$ , we can write

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

by replacing all  $f_{i_n, \dots, i_k}$  by  $f_{i_n, \dots, i_{k-1}}$  for  $k = n, n-1, \dots, 2$ .

(4) Or use the induction on  $n$ .

□

*Proof of (b).*

(1) Write

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k$$

by (a).

(2) As  $f(a_1, \dots, a_n) = 0$ ,  $\lambda_{(i)} = 0$  if all  $i_1, \dots, i_n$  are zero, that is, there is no nonzero constant term in the representation of  $f$ . Hence, for each term

$$f_{(i)} := \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}$$

with  $\lambda_{(i)} \neq 0$ , there exists one  $i_k > 0$  for some  $1 \leq k \leq n$ . So we can write

$$f_{(i)} = (x_k - a_k) \underbrace{(\lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_k - a_k)^{i_k-1} \cdots (x_n - a_n)^{i_n})}_{:= g_{(i)} \in k[x_1, \dots, x_n]}.$$

Note that the expression of  $f_{(i)}$  is not unique since there may exist more than one  $i_k > 0$  as  $1 \leq k \leq n$ .

(3) Now we iterate each nonzero term in  $f$ , apply the factorization in (2), and then group by each  $x_k - a_k$ . Therefore, we can write

$$f = \sum_{i=1}^n (x_i - a_i) g_i$$

for some  $g_1 \in k[x_1, \dots, x_n]$ .

(4) The expression of  $f$  is not unique. For example, take  $f(x, y) = x^2 + 2xy + y^2 \in k[x, y]$ . As  $f(0, 0) = 0$ , we can write

$$\begin{aligned} f(x, y) &= x \cdot \underbrace{(x + 2y)}_{g_1} + y \cdot \underbrace{y}_{g_2}, \text{ or} \\ &= x \cdot \underbrace{(x + y)}_{g_1} + y \cdot \underbrace{(x + y)}_{g_2}, \text{ or} \\ &= x \cdot \underbrace{x}_{g_1} + y \cdot \underbrace{(2x + y)}_{g_2}. \end{aligned}$$

□

## 1.2. Affine Space and Algebraic Sets

### Problem 1.8.\*

Show that the algebraic subsets of  $\mathbf{A}^1(k)$  are just the finite subsets, together with  $\mathbf{A}^1(k)$  itself.

*Proof.*

(1) Show that  $k[x]$  is a PID if  $k$  is a field.

- (a) Let  $I$  be an ideal of  $k[x]$ .
- (b) If  $I = \{0\}$  then  $I = (0)$  and  $I$  is principal.
- (c) If  $I \neq \{0\}$ , then take  $f$  to be a polynomial of minimal degree in  $I$ . It suffices to show that  $I = (f)$ . Clearly,  $(f) \subseteq I$  since  $I$  is an ideal. Conversely, for any  $g \in I$ ,

$$g(x) = f(x)h(x) + r(x)$$

for some  $h, r \in k[x]$  with  $r = 0$  or  $\deg r < \deg f$  (as  $k[x]$  is a Euclidean domain). Now as

$$r = g - fh \in I,$$

$r = 0$  (otherwise contrary to the minimality of  $f$ ), we have  $g = fh \in (f)$  for all  $g \in I$ .

(2) Let  $Y$  be an algebraic subset of  $\mathbf{A}^1(k)$ , say  $Y = V(I)$  for some ideal  $I$  of  $k[x]$ . Since  $k[x]$  is a PID,  $I = (f)$  for some  $f \in k[x]$ .

- (a) If  $f = 0$ , then  $I = (0)$  and  $Y = V(0) = \mathbf{A}^1(k)$ .
- (b) If  $f \neq 0$ , then  $f(x) = 0$  has finitely many roots in  $k$ , say  $a_1, \dots, a_m \in k$ . Hence,

$$Y = V(I) = V(f) = \{f(a) = 0 : a \in k\} = \{a_1, \dots, a_m\}$$

is a finite subsets of  $\mathbf{A}^1(k)$ .

By (a)(b), the result is established.

□

*Notes.*

- (1) By the Hilbert basis theorem,  $k[x]$  is Noetherian as  $k$  is Noetherian. Hence, for any algebraic subset  $Y = V(I)$  of  $\mathbf{A}^1(k)$ , we can write  $I = (f_1, \dots, f_m)$ . Note that

$$Y = V(I) = V(f_1) \cap \dots \cap V(f_m).$$

Now apply the same argument to get the same conclusion.

- (2) Suppose  $k = \bar{k}$ .  $\mathbf{A}^1(k)$  is irreducible, because its only proper closed subsets are finite, yet it is infinite (because  $k$  is algebraically closed, hence infinite).

**Problem 1.9.**

If  $k$  is a finite field, show that every subset of  $\mathbf{A}^n(k)$  is algebraic.

*Proof.*

- (1) Every subset of  $\mathbf{A}^n(k)$  is finite since  $|\mathbf{A}^n(k)| = |k|^n$  is finite.
- (2) Note that  $V(x_1 - a_1, \dots, x_n - a_n) = \{(a_1, \dots, a_n)\} \subseteq \mathbf{A}^n(k)$  (Property (5) in §1.2) and any finite union of algebraic sets is algebraic (Property (4) in §1.2). Thus, every subset of  $\mathbf{A}^n(k)$  is algebraic (by (1)).

□

**Problem 1.10.**

Give an example of a countable collection of algebraic sets whose union is not algebraic.

*Proof.*

- (1) Let  $k = \mathbb{Q}$  be an infinite field.  $V(x - a) = \{a\}$  is an algebraic sets for all  $a \in \mathbb{Q}$ . In particular,  $V(x - a) = \{a\}$  is algebraic for all  $a \in \mathbb{Z}$ .
- (2) Note that

$$Y := \bigcup_{a \in \mathbb{Z}} V(x - a) = \mathbb{Z}$$

is a countable union of algebraic sets. Since  $Y$  is a proper subset of  $k = \mathbb{Q}$ , it cannot be algebraic by Problem 1.8.

□

**Problem 1.11.**

Show that the following are algebraic sets:

- (a)  $\{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\}$ ;
- (b)  $\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\}$ ;
- (c) the set of points in  $\mathbf{A}^2(\mathbb{R})$  whose polar coordinates  $(r, \theta)$  satisfy the equation  $r = \sin(\theta)$ .

*Proof of (a).*

- (1) The twisted cubic curve

$$Y = \{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\} = V(x^2 - y) \cap V(x^3 - z)$$

is algebraic. We say that  $Y$  is given by the parametric representation  $x = t, y = t^2, z = t^3$ .

- (2) The generators for the ideal  $I(Y)$  are  $x^2 - y$  and  $x^3 - z$ .  
 (3)  $Y$  is an affine variety of dimension 1.  
 (4) The affine coordinate ring  $A(Y)$  is isomorphic to a polynomial ring in one variable over  $k$ .

□

*Proof of (b).* The circle

$$\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\} = V(x^2 + y^2 - 1)$$

is algebraic. □

*Proof of (c).* The circle

$$\{(r, \theta) : r = \sin(\theta)\} = V(x^2 + y^2 - y)$$

is algebraic again. □

### Problem 1.12.

Suppose  $C$  is an affine plane curve, and  $L$  is a line in  $\mathbf{A}^2(k)$ ,  $L \not\subseteq C$ . Suppose  $C = V(f)$ ,  $f \in k[x, y]$  a polynomial of degree  $n$ . Show that  $L \cap C$  is a finite set of no more than  $n$  points. (Hint: Suppose  $L = V(y - (ax + b))$ , and consider  $f(x, ax + b) \in k[x]$ .)

*Proof.*

- (1) Say  $L = V(y - (ax + b))$  be a line in  $\mathbf{A}^2(k)$ . (The case  $L = V(x - (ay + b))$  is similar.)  
 (2) Note that  $L \not\subseteq C$  implies that  $(y - (ax + b)) \nmid f$ . Hence, the polynomial

$$g : x \mapsto f(x, ax + b) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of  $g$  in  $k$  is no more than  $n$ .

(3) Hence,

$$\begin{aligned}
L \cap C &= V(y - (ax + b)) \cap V(f) \\
&= \{(x, y) \in \mathbf{A}^2(k) : y = ax + b \text{ and } f(x, y) = 0\} \\
&= \{(x, y) \in \mathbf{A}^2(k) : f(x, ax + b) = 0\}
\end{aligned}$$

is finite of no more than  $n$  points.

□

**Problem 1.13.**

Show that each of the following sets is not algebraic:

- (a)  $\{(x, y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}$ .
- (b)  $\{(z, w) \in \mathbf{A}^2(\mathbb{C}) : |z|^2 + |w|^2 = 1\}$ , where  $|x + iy|^2 = x^2 + y^2$  for  $x, y \in \mathbb{R}$ .
- (c)  $\{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}$ .

*Proof of (a).*

- (1) (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}$$

were algebraic, then there is a subset  $S$  of  $\mathbb{R}[x, y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{R})$ . ( $(89, 64) \in \mathbf{A}^2(\mathbb{R}) - Y$ .)
- (3) Take a fixed line  $L = V(y)$  in  $\mathbf{A}^2(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f) \cap L \supseteq \bigcap_{f \in S} V(f) \cap L = Y \cap L = \{(n\pi, 0) \in \mathbf{A}^2(\mathbb{R}) : n \in \mathbb{Z}\},$$

which is infinite. By problem 1.12,  $y \mid f$ . As  $f$  runs over  $S$ ,  $Y \subseteq V(y) = L$ , contradicts that  $(0, \frac{\pi}{2}) \in L - Y$ .

□

*Proof of (b).*

- (1) Similar to (a). (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{C}) : |x|^2 + |y|^2 = 1\}$$

were algebraic, then there is a subset  $S$  of  $\mathbb{C}[x, y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{C})$ .  $((89, 64) \in \mathbf{A}^2(\mathbb{C}) - Y)$   
(3) Take a fixed line  $L = V(x)$  in  $\mathbf{A}^2(\mathbb{C})$ . For each affine curve  $f \in S$ , we have

$$V(f) \cap L \supseteq \bigcap_{f \in S} V(f) \cap L = Y \cap L = \{(0, y) \in \mathbf{A}^2(\mathbb{C}) : |y| = 1\},$$

which is infinite (since  $Y$  contains a unit circle in the complex plane). By problem 1.12,  $x \mid f$ . As  $f$  runs over  $S$ ,  $Y \subseteq V(x) = L$ , contradicts that the origin  $(0, 0) \in L - Y$ .

□

*Proof of (c).*

- (1) Similar to (a) and (b).  
(2) Suppose  $C$  is an affine plane curve, and  $L$  is a line in  $\mathbf{A}^3(k)$ ,  $L \not\subseteq C$ . Suppose  $C = V(f)$ ,  $f \in k[x, y, z]$  a polynomial of degree  $n$ . Show that  $L \cap C$  is a finite set of no more than  $n$  points. The proof is similar to Problem 1.12.  
(a) Say  $L = V(y - (ax + b), z - (cx + d))$  be a line in  $\mathbf{A}^3(k)$ .  
(b) Note that  $L \not\subseteq C$  implies that  $(y - (ax + b)) \nmid f$  and  $(z - (cx + d)) \nmid f$ . Hence, the polynomial

$$g : x \mapsto f(x, ax + b, cx + d) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of  $g$  in  $k$  is no more than  $n$ .

- (c) Hence,

$$\begin{aligned} L \cap C &= V(y - (ax + b), z - (cx + d)) \cap V(f) \\ &= \{(x, y) \in \mathbf{A}^2(k) : y = ax + b, z = cx + d \text{ and } f(x, y) = 0\} \\ &= \{(x, y) \in \mathbf{A}^2(k) : f(x, ax + b, cx + d) = 0\} \end{aligned}$$

is finite of no more than  $n$  points.



(3) (Reductio ad absurdum) If

$$Y := \{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}$$

were algebraic, then there is a subset  $S$  of  $\mathbb{R}[x, y, z]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

(4)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^3(\mathbb{R})$ . ((1989, 6, 4)  $\in \mathbf{A}^3(\mathbb{R}) - Y$ .)

(5) Take a fixed line  $L = V(x - 1, y)$  in  $\mathbf{A}^3(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f) \cap L \supseteq \bigcap_{f \in S} V(f) \cap L = Y \cap L = \{(1, 0, 2n\pi) \in \mathbf{A}^3(\mathbb{R}) : n \in \mathbb{Z}\},$$

which is infinite. By (2),  $(x - 1) \mid f$  and  $y \mid f$ . As  $f$  runs over  $S$ ,  $Y \subseteq V(x - 1, y) = L$ , contradicts that  $(1, 0, \pi) \in L - Y$ .

□

**Supplement.** A circular disk of radius 1 in the plane  $xy$  rolls without slipping along the  $x$  axis. The figure described by a point of the circumference of the disk is called a **cycloid**. The parametrized curve  $\alpha : \mathbb{R} \rightarrow \mathbb{R}^2$  is

$$\begin{cases} x = t - \sin t \\ y = 1 - \cos t. \end{cases}$$

The cycloid is not algebraic (as (a)).

#### Problem 1.14.\*

Let  $f$  be a nonconstant polynomial in  $k[x_1, \dots, x_n]$ ,  $k$  algebraically closed. Show that  $\mathbf{A}^n(k) - V(f)$  is infinite if  $n \geq 1$ , and  $V(f)$  is infinite if  $n \geq 2$ . Conclude that the complement of any proper algebraic set is infinite. (Hint: See Problem 1.4.)

*Proof.*

(1) Show that  $\mathbf{A}^n(k) - V(f)$  is infinite if  $n \geq 1$ . Since  $f$  is a nonconstant polynomial in  $k[x_1, \dots, x_n]$ , we may assume that  $\deg_{x_n}(f) > 0$ . Hence

$$x_n \mapsto f(1, \dots, 1, x_n)$$

is a nonconstant polynomial of degree  $\deg_{x_n}(f) > 0$  in  $k[x_n]$ . So  $f$  has finitely many roots in  $k$ , say  $\xi_1, \dots, \xi_m$  ( $m \geq 0$ ). Hence,

$$(1, \dots, 1, x_n) \neq 0$$

whenever  $x_n \neq \xi_m$ . Such subset in  $\mathbf{A}^1(k)$  is infinite since  $k = \bar{k}$  (Problem 1.6). Therefore,

$$\begin{aligned}\mathbf{A}^n(k) - V(f) &= \{(a_1, \dots, a_n) \in \mathbf{A}^n(k) : f(a_1, \dots, a_n) \neq 0\} \\ &\supseteq \{a_n \in \mathbf{A}^1(k) : f(1, \dots, 1, x_n) \neq 0\}\end{aligned}$$

is infinite.

(2) Show that  $V(f)$  is infinite if  $n \geq 2$ .

(a) Similar to (1). Since  $f$  is a nonconstant polynomial in  $k[x_1, \dots, x_n]$ , we may assume that  $m := \deg_{x_n}(f) > 0$ . Write

$$f = \sum_{i=0}^m f_i(x_1, \dots, x_{n-1})x_n^i.$$

Note that each  $f_i$  is well-defined since  $n \geq 2$ .

(b) If  $f_n$  is constant in  $k[x_1, \dots, x_{n-1}]$ , then  $f_n$  is nonzero (since  $m > 0$ ) or  $V(f_n) = \emptyset$ . If  $f_n$  is nonconstant in  $k[x_1, \dots, x_{n-1}]$ , then the set  $\mathbf{A}^{n-1}(k) - V(f_n)$  is infinite by (1). In any case,

$$\mathbf{A}^{n-1}(k) - V(f_n)$$

is infinite.

(c) For each  $P = (a_1, \dots, a_{n-1}) \in \mathbf{A}^{n-1}(k) - V(f_n)$ ,

$$g_P : x_n \mapsto f(P, x_n) = f(a_1, \dots, a_{n-1}, x_n)$$

defines a polynomial in  $k[x_n]$  of degree  $m > 0$ . Since  $k = \bar{k}$ ,  $g_P$  has at least one root  $Q \in k$ . Hence

$$V(f) \supseteq \{(P, Q) \in \mathbf{A}^n(k) : P \in \mathbf{A}^{n-1}(k) - V(f_n), g_P(Q) = 0\}$$

is infinite since the set  $\mathbf{A}^{n-1}(k) - V(f_n)$  is infinite.

*Note.* It is not true if  $k \neq \bar{k}$ . For example,  $V(x^2 + y^2 + 1) = \emptyset$  in  $\mathbf{A}^2(\mathbb{R})$ .

(3) Note that

$$\mathbf{A}^n(k) - V(S) = \mathbf{A}^n(k) - \bigcap_{f \in S} V(f) = \bigcup_{f \in S} (\mathbf{A}^n(k) - V(f)).$$

Thus the complement of any proper algebraic set is infinite by (1).

□

**Problem 1.15.\***

Let  $V \subseteq \mathbf{A}^n(k)$ ,  $W \subseteq \mathbf{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) : (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set in  $\mathbf{A}^{n+m}(k)$ . It is called the **product** of  $V$  and  $W$ .

*Proof.*

(1) Write

$$\begin{aligned} V &= V(S_V) = \{P \in \mathbf{A}^n(k) : f(P) = 0 \forall f \in S_V\} \\ W &= V(S_W) = \{Q \in \mathbf{A}^m(k) : g(Q) = 0 \forall g \in S_W\}, \end{aligned}$$

where  $S_V \subseteq k[x_1, \dots, x_n]$  and  $S_W \subseteq k[y_1, \dots, y_m]$ . It suffices to show that

$$V \times W = V(S),$$

where  $S \subseteq k[x_1, \dots, x_n, y_1, \dots, y_m]$  is the union of  $S_V$  and  $S_W$ .

(2) Here we can identify  $S_V$  with the subset of  $k[x_1, \dots, x_n, y_1, \dots, y_m]$  by noting that

$$k[x_1, \dots, x_n] \hookrightarrow (k[y_1, \dots, y_m])[x_1, \dots, x_n] = k[x_1, \dots, x_n, y_1, \dots, y_m].$$

Here we regard  $k$  as a subring of  $k[y_1, \dots, y_m]$ . Similar treatment to  $S_W$ .

(3) By construction,  $V \times W \subseteq V(S)$ . Conversely, given any  $(P, Q) \in V(S) \subseteq \mathbf{A}^{n+m}(k)$ , we have  $h(P, Q) = 0$  for all  $h \in S = S_V \cup S_W$  (by (2)). By construction,  $f(P) = 0$  for all  $f \in S_V$  since  $f$  only involve  $x_1, \dots, x_n$ . Hence,  $P \in V$ . Similarly,  $Q \in W$ . Therefore,  $(P, Q) \in V \times W$ .

□

### 1.3. The Ideal of a Set of Points

**Problem 1.16.\***

Let  $V, W$  be algebraic sets in  $\mathbf{A}^n(k)$ . Show that  $V = W$  if and only if  $I(V) = I(W)$ .

*Proof.*

(1) (Proof of Property (6) in §1.3.) Show that if  $X \subseteq Y$ , then  $I(X) \supseteq I(Y)$ . If  $f \in I(Y)$  then  $f(P) = 0$  for all  $P \in Y$ . So  $f(P) = 0$  for all  $P \in X \subseteq Y$  or  $f \in I(X)$ .

- (2) (Proof of Property (8) in §1.3.)  $I(V(S)) \supseteq S$  for any set  $S$  of polynomials;  $V(I(X)) \supseteq X$  for any set  $X$  of points.
- (a) If  $f \in S$  then  $f$  vanishes on  $V(S)$ , hence  $f \in IV(S)$ .
  - (b) If  $P \in X$  then every polynomial in  $I(X)$  vanishes at  $P$ , so  $P$  belongs to the zero set of  $I(X)$ .
- (3) (Proof of Property (9) in §1.3.)  $V(I(V(S))) = V(S)$  for any set  $S$  of polynomials, and  $I(V(I(X))) = I(X)$  for any set  $X$  of points. So if  $V$  is an algebraic set,  $V = V(I(V))$ , and if  $I$  is the ideal of an algebraic set,  $I = I(V(I))$ .
- (a) In each case, it suffices to show that the left side is a subset of the right side. (by Properties (6)(8) in §1.3).
  - (b) If  $P \in V(S)$  then  $f(P) = 0$  for all  $f \in I(V(S))$ , so  $P \in V(I(V(S)))$ .
  - (c) If  $f \in I(X)$  then  $f(P) = 0$  for all  $P \in V(I(X))$ . Thus  $f$  vanishes on  $V(I(X))$ , so  $f \in I(V(I(X)))$ .
- (4) Show that  $V = W$  if and only if  $I(V) = I(W)$ .
- (a) By Property (6) in §1.3,  $I(V) \supseteq I(W)$  if  $V \subseteq W$  and  $I(V) \subseteq I(W)$  if  $V \supseteq W$ . Thus,  $I(V) = I(W)$  if  $V = W$ .
  - (b) Conversely,  $I(V) = I(W)$  implies that  $V(I(V)) = V(I(W))$  by Property (3) in §1.2 and similar argument in (a). By Property (9) in §1.3,  $V(I(V)) = V$  and  $V(I(W)) = W$ . Thus,  $V = W$ .

□

**Problem 1.17.\***

- (a) Let  $V$  be an algebraic set in  $\mathbf{A}^n(k)$ ,  $P \in \mathbf{A}^n(k)$  a point not in  $V$ . Show that there is a polynomial  $f \in k[x_1, \dots, x_n]$  such that  $f(Q) = 0$  for all  $Q \in V$ , but  $f(P) = 1$ . (Hint:  $I(V) \neq I(V \cup \{P\})$ .)
- (b) Let  $P_1, \dots, P_r$  be distinct points in  $\mathbf{A}^n(k)$ , not in an algebraic set  $V$ . Show that there are polynomials  $f_1, \dots, f_r \in I(V)$  such that  $f_i(P_j) = 0$  if  $i \neq j$ , and  $f_i(P_i) = 1$ . (Hint: Apply (a) to the union of  $V$  and all but one point.)
- (c) With  $P_1, \dots, P_r$  and  $V$  as in (b), and  $a_{ij} \in k$  for  $1 \leq i, j \leq r$ , show that there are  $g_i \in I(V)$  with  $g_i(P_j) = a_{ij}$  for all  $i$  and  $j$ . (Hint: Consider  $\sum_j a_{ij} f_j$ .)

*Proof of (a).*

- (1) Since  $I(V) \subsetneq I(V \cup \{P\})$  (by Problem 1.16), there is a polynomial  $f \in k[x_1, \dots, x_n]$  such that  $f(Q) = 0$  for all  $Q \in V$ , but  $f(P) \neq 0$ .

- (2) Since  $k$  is a field,  $(f(P))^{-1} \in k$ . Consider the polynomial  $(f(P))^{-1}f \in k[x_1, \dots, x_n]$ . It is well-defined. Also,  $((f(P))^{-1}f)(Q) = (f(P))^{-1}f(Q) = 0$  for all  $Q \in V$ , but  $(f(P))^{-1}f(P) = (f(P))^{-1}f(P) = 1$ .

□

*Proof of (b).*

- (1) For  $1 \leq i \leq$ , define

$$W = V \cup \{P_1, \dots, P_r\}$$

$$W_i = V \cup \{P_1, \dots, \widehat{P_i}, \dots, P_r\}.$$

Here  $W = W_i \cup \{P_i\} \neq W_i$ .

- (2) By (a), there is a polynomial  $f_i \in k[x_1, \dots, x_n]$  such that  $f_i(Q) = 0$  for all  $Q \in W_i$ , but  $f_i(P_i) = 1$ . Here  $f_i \in I(V)$  and  $f_i(P_j) = \delta_{ij}$  where  $\delta_{ij}$  is the Kronecker delta.

□

*Proof of (c).*

- (1) For each  $1 \leq i \leq r$ , define

$$g_i = \sum_j a_{ij} f_j \in k[x_1, \dots, x_n].$$

- (2)  $g_i \in I(V)$  since  $g_i$  is a linear combination of  $f_j$  and  $I(V)$  is an ideal.

- (3) Also,

$$g_i(P_j) = \sum_{j'} a_{ij'} f_{j'}(P_j) = \sum_{j'} a_{ij'} \delta_{j'j} = a_{ij}.$$

□

### **Problem 1.18.\***

Let  $I$  be an ideal in a ring  $R$ . If  $a^n \in I$ ,  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ . Show that  $\text{rad}(I)$  is an ideal, in fact a radical ideal. Show that any prime ideal is radical.

*Proof.*

- (1) Show that  $(a + b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$ . By the binomial theorem,

$$(a + b)^{n+m} = \sum_{i=0}^{n+m} a^i b^{n+m-i}.$$

For each term  $a^i b^{n+m-i}$ , either  $i \geq n$  holds or  $n + m - i \geq m$  holds, and thus  $a^i b^{n+m-i} \in I$  (since  $a^n \in I$ ,  $b^m \in I$  and  $I$  is an ideal). Hence, the result is established.

- (2) Show that  $\text{rad}(I)$  is an ideal.

- (a)  $0 \in \text{rad}(I)$  since  $0 = 0^1 \in I$  for any ideal in  $R$ .
- (b)  $(a + b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$  by (1).
- (c)  $(-a)^{2n} = (a^n)^2 \in I$  if  $a^n \in I$  (since  $I$  is an ideal).
- (d)  $(ra)^n = r^n a^n \in I$  if  $a^n \in I$  and  $r \in R$  (since  $I$  is an ideal and  $R$  is commutative).

- (3) Show that  $\text{rad}(\text{rad}(I)) = \text{rad}(I)$ . It suffices to show  $\text{rad}(\text{rad}(I)) \subseteq \text{rad}(I)$ . Given any  $a \in \text{rad}(\text{rad}(I))$ . By definition  $a^n \in \text{rad}(I)$  for some positive integer  $n$ . Again by definition  $(a^n)^m = a^{nm} \in I$  for some positive integer  $m$ . As  $nm$  is a positive integer,  $a \in \text{rad}(I)$ .

- (4) Show that every prime ideal  $\mathfrak{p}$  is radical. Given any  $a \in \text{rad}(\mathfrak{p})$ , that is,  $a^n \in \mathfrak{p}$  for some positive integer. Write  $a^n = aa^{n-1}$  if  $n > 1$ . By the primality of  $\mathfrak{p}$ ,  $a \in \mathfrak{p}$  or  $a^{n-1} \in \mathfrak{p}$ . If  $a \in \mathfrak{p}$ , we are done. If  $a^{n-1} \in \mathfrak{p}$ , we continue this descending argument (or the mathematical induction) until the power of  $a$  is equal to 1. Hence  $\mathfrak{p}$  is radical.

□

### Problem 1.19.

Show that  $I = (x^2 + 1) \subseteq \mathbb{R}[x]$  is a radical (even a prime) ideal, but  $I$  is not the ideal of any set in  $\mathbf{A}^1(\mathbb{R})$ .

*Proof.*

- (1) Show that  $I = (x^2 + 1)$  is a prime ideal in  $\mathbb{R}[x]$ . Given any  $fg \in I$ . It suffices to show that  $f \in I$  or  $g \in I$ . By definition of  $I$ , there is a polynomial  $h \in \mathbb{R}[x]$  such that  $fg = (x^2 + 1)h$ . So  $(x^2 + 1) \mid f$  or  $(x^2 + 1) \mid g$  since  $x^2 + 1$  is irreducible in a unique factorization domain  $\mathbb{R}[x]$ . Therefore,  $f \in I$  or  $g \in I$ .
- (2) Show that  $I$  is not the ideal of any set in  $\mathbf{A}^1(\mathbb{R})$ . Since  $x^2 + 1$  has no roots in  $\mathbb{R}$ ,  $I$  cannot be the ideal of any nonempty set in  $\mathbf{A}^1(\mathbb{R})$ . Besides,  $I(\emptyset) = (1) \neq (x^2 + 1)$ .

□

**Problem 1.20.\***

Show that for any ideal  $I$  in  $k[x_1, \dots, x_n]$ ,  $V(I) = V(\text{rad}(I))$ , and  $\text{rad}(I) \subseteq I(V(I))$ .

*Proof.*

- (1) Show that  $V(I) = V(\text{rad}(I))$ . Since  $I \subseteq \text{rad}(I)$ , it suffices to show that  $V(I) \subseteq V(\text{rad}(I))$ . Given any  $P \in V(I)$ . For any  $f \in \text{rad}(I)$ ,  $f^n \in I$  for some positive integer  $n > 0$ . Note that

$$0 = (f^n)(P) = f(P)^n$$

since  $f^n \in I$  and  $P \in V(I)$ . As  $k$  is a domain,  $f(P)^n = 0$  implies  $f(P) = 0$ . So  $P \in V(\text{rad}(I))$ .

- (2) By Properties (6)(8) in §1.3,

$$I(V(I)) = I(V(\text{rad}(I))) \supseteq \text{rad}(I).$$

□

*Note.*

- (1) By the Hilbert's Nullstellensatz,  $I(V(I)) = \text{rad}(I)$  if  $k = \bar{k}$ .  
 (2) Take  $I = (x^2 + 1)$  as an ideal in  $\mathbb{R}[x]$ . Note that  $I(V(I)) = I(\emptyset) = (1)$  and  $\text{rad}(I) = I = (x^2 + 1)$ . So the equality in  $\text{rad}(I) \subsetneq I(V(I))$  might not hold if  $k \neq \bar{k}$ . (See Problem 1.19.)

**Problem 1.21.\***

Show that  $I = (x_1 - a_1, \dots, x_n - a_n) \subseteq k[x_1, \dots, x_n]$  is a maximal ideal, and that the natural homomorphism from  $k$  to  $k[x_1, \dots, x_n]/I$  is an isomorphism.

*Proof.*

- (1) Show that  $I$  is a maximal ideal. Suppose that  $J$  is an ideal such that  $J \supsetneq I$ . Take any  $f \in J - I$ . By Problem 1.7(a),

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

As  $f \notin I$ , there is a nonzero constant term in  $f$ , say  $\lambda \in k - \{0\}$ . Note that  $f - \lambda \in I \subsetneq J$ . Hence,

$$\lambda = f - (f - \lambda) \in J$$

since  $J$  is an ideal. As  $\lambda \neq 0$ ,  $J = k[x_1, \dots, x_n]$  is not a proper ideal containing  $I$ .

- (2) Let  $\varphi : k \rightarrow k[x_1, \dots, x_n]/I$  be the natural homomorphism. (That is,  $\varphi : \lambda \rightarrow \lambda + I \in k[x_1, \dots, x_n]/I$ .)
- (3) Show that  $\varphi$  is surjective. Given any  $f + I \in k[x_1, \dots, x_n]/I$ . By Problem 1.7(a),

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

So

$$\begin{aligned} f + I &= \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n} + I \\ &= \left( f(a_1, \dots, a_n) + \sum_{\text{nonconstant}} \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n} \right) + I \\ &= f(a_1, \dots, a_n) + I. \end{aligned}$$

(Here the summation over all nonconstant terms is in  $I$ .) Hence

$$\varphi : f(a_1, \dots, a_n) \in k \mapsto f + I.$$

- (4) Show that  $\varphi$  is injective.  $\ker(\varphi) = \{\lambda \in k : \lambda \in I\} = k \cap I = \{0\}$  since  $I$  is a proper ideal.
- (5) By (2)(3)(4),  $\varphi : k \rightarrow k[x_1, \dots, x_n]/(x_1 - a_1, \dots, x_n - a_n)$  is an isomorphism.

□

## 1.4. The Hilbert Basis Theorem

### Problem 1.22.\* (Correspondence theorem for rings)

Let  $I$  be an ideal in a ring  $R$ ,  $\pi : R \rightarrow R/I$  the natural homomorphism.

- (a) Show that for every ideal  $J'$  of  $R/I$ ,  $\pi^{-1}(J') = J$  is an ideal of  $R$  containing  $I$ , and for every ideal  $J$  of  $R$  containing  $I$ ,  $\pi(J) = J'$  is an ideal of  $R/I$ . This sets up a natural one-to-one correspondence between  $\{\text{ideals of } R/I\}$  and  $\{\text{ideals of } R \text{ that contain } I\}$ .
- (b) Show that  $J'$  is a radical ideal if and only if  $J$  is radical. Similarly for prime and maximal ideals.



- (c) Show that  $J'$  is finitely generated if  $J$  is. Conclude that  $R/I$  is Noetherian if  $R$  is Noetherian. Any ring of the form  $k[x_1, \dots, x_n]/I$  is Noetherian.

*Proof of (a).*

- (1) Show that for every ideal  $J'$  of  $R/I$ ,  $\pi^{-1}(J') = J$  is an ideal of  $R$  containing  $I$ .

- (a) Show that  $J$  contains  $I$ . Note that  $\pi^{-1}(0) = I \subseteq \pi^{-1}(J') = J$ . So  $J$  contains  $I$ . In particular,  $J \neq \emptyset$  since  $I \neq \emptyset$ .
- (b) Show that  $J$  is a additive subgroup of  $R$ . It suffices to show that  $a - b \in J$  for any  $a \in J$  and  $b \in J$ . Actually,

$$\pi(a - b) = \pi(a) - \pi(b) \in J'$$

implies  $a - b \in \pi^{-1}(J') = J$ .

- (c) Show that for every  $r \in R$  and every  $a \in J$ , the product  $ra \in J$ . In fact,

$$\pi(ra) = \pi(r)\pi(a) \in J'$$

implies  $ra \in \pi^{-1}(J') = J$ .

- (2) Show that for every ideal  $J$  of  $R$  containing  $I$ ,  $\pi(J) = J'$  is an ideal of  $R/I$ .

- (a) Show that  $J'$  is nonempty. Note that  $\pi(a) = 0 \in \pi(I) \subseteq \pi(J) = J'$  for any  $a \in I$ . So  $J'$  is nonempty since  $J$  is nonempty.
- (b) Show that  $J'$  is a additive subgroup of  $R/I$ . It suffices to show that  $\pi(a) - \pi(b) \in J'$  for any  $\pi(a) \in J'$ ,  $\pi(b) \in J'$ ,  $a \in J$  and  $b \in J$ . It is trivial since

$$\pi(a) - \pi(b) = \pi(a - b) \in \pi(J) = J',$$

$\pi$  is a ring homomorphism and  $J$  is an ideal.

- (c) Show that for every  $\pi(r) \in R/I$  ( $r \in R$ ) and every  $\pi(a) \in J'$  ( $a \in J$ ), the product  $\pi(r)\pi(a) \in J'$ . It is trivial since

$$\pi(r)\pi(a) = \pi(ra) \in \pi(J) = J',$$

$\pi$  is a ring homomorphism and  $J$  is an ideal.

- (3) By (1)(2), we setup the correspondence between

$$\{\text{ideals of } R/I\} \longleftrightarrow \{\text{ideals of } R \text{ that contain } I\}.$$

Note that this correspondence preserves the subset relation, and thus this correspondence is one-to-one.

□

*Proof of (b).*

- (1) *Show that  $J'$  is radical if  $J$  is radical.* It suffices to show that  $(a + I)^n = a^n + I \in J'$  implies that  $a + I \in J'$ . Note that

$$(a + I)^n = a^n + I \in J'$$

implies that  $a^n \in J$  or  $a \in J$  since  $J$  is radical. Hence  $a + I \in J/I = J'$ .

- (2) *Show that  $J$  is radical if  $J'$  is radical.* It suffices to show that  $a^n \in J$  implies that  $a \in J$ . Note that

$$\pi(a^n) = \pi(a)^n \in J'$$

implies that  $\pi(a) \in J'$  since  $J'$  is radical.  $a \in \pi^{-1}(J') = J$ .

- (3) *Show that  $J'$  is prime if  $J$  is prime.* It suffices to show that  $(a + I)(b + I) = ab + I \in J'$  implies that  $a + I \in J'$  or  $b + I \in J'$ . Note that

$$(a + I)(b + I) = ab + I \in J'$$

implies that  $ab \in J$ . So  $a \in J$  or  $b \in J$  by the primality of  $J$ . Hence  $a + I \in J'$  or  $b + I \in J'$ .

- (4) *Show that  $J$  is prime if  $J'$  is prime.* It suffices to show that  $ab \in J$  implies that  $a \in J$  or  $b \in J$ . Note that

$$\pi(ab) = \pi(a)\pi(b) \in J'$$

implies that  $\pi(a) \in J'$  or  $\pi(b) \in J'$  by the primality of  $J'$ . So  $a \in \pi^{-1}(J') = J$  or  $b \in \pi^{-1}(J') = J$ .

- (5) *Show that  $J'$  is maximal if  $J$  is maximal.* Suppose  $\mathfrak{m}$  is an ideal containing  $J'$ . By (a),  $\pi^{-1}(\mathfrak{m})$  is an ideal containing  $J$ . So  $\pi^{-1}(\mathfrak{m}) = J$  or  $\pi^{-1}(\mathfrak{m}) = R$  by the maximality of  $J$ . Hence,  $\mathfrak{m} = \pi(J) = J'$  or  $\mathfrak{m} = \pi(R) = R/I$ .

- (6) *Show that  $J$  is maximal if  $J'$  is maximal.* Suppose  $\mathfrak{m}$  is an ideal containing  $J$ . By (a),  $\pi(\mathfrak{m})$  is an ideal containing  $J'$ . So  $\pi(\mathfrak{m}) = J'$  or  $\pi(\mathfrak{m}) = R/I$  by the maximality of  $J'$ . Hence,  $\mathfrak{m} = \pi^{-1}(J') = J$  or  $\mathfrak{m} = \pi^{-1}(R/I) = R$ .

□

*Note.*

- (1) Note that

$$R/J \cong (R/I)/(J/I)$$

if  $J$  is an ideal of  $R$  such that  $I \subseteq J$ .

- (2) Hence,  $J$  is prime iff  $R/J \cong (R/I)/(J/I)$  is a domain iff  $J/I$  is prime.  
(3) Also,  $J$  is maximal iff  $R/J \cong (R/I)/(J/I)$  is a field iff  $J/I$  is maximal.

*Proof of (c).*

- (1) *Show that  $J'$  is finitely generated if  $J$  is.* Suppose  $J$  is generated by  $a_1, \dots, a_m$ . It suffices to show that  $J'$  is generated by

$$a_1 + I, \dots, a_m + I \in J/I.$$

Given any  $a + I \in J'$  where  $a \in J$ . Write  $a = \sum_{1 \leq i \leq m} r_i a_i$  for some  $r_i \in R$ . Then

$$a + I = \sum r_i a_i + I = \sum (r_i + I)(a_i + I)$$

is generated by  $a_1 + I, \dots, a_m + I$ .

- (2) *Show that  $R/I$  is Noetherian if  $R$  is Noetherian.* Note that  $R$  is an ideal of itself.  
(3) *Show that any ring of the form  $k[x_1, \dots, x_n]/I$  is Noetherian.* By the corollary to the Hilbert basis theorem,  $k[x_1, \dots, x_n]$  is Noetherian. By (2), the ring  $k[x_1, \dots, x_n]/I$  is Noetherian.

□

## 1.5. Irreducible Components of an Algebraic Set

### Problem 1.23.

*Give an example of a collection of ideals  $\mathcal{S}$  ideals in a Noetherian ring such that no maximal member of  $\mathcal{S}$  is a maximal ideal.*

*Proof.*

- (1) Let  $R$  be any Noetherian ring. Let  $\mathcal{S}$  be any collection of ideals containing  $R$  itself. Then the only maximal member of  $\mathcal{S}$  is  $R$ , which is not a maximal ideal.  
(2) Or let  $R$  be any Noetherian ring and  $R$  is not a field. ( $R = k[x_1, \dots, x_n]$  where  $k$  is a field for example.) Let  $\mathcal{S} = \{(0)\}$ . Then the only maximal member of  $\mathcal{S}$  is  $(0)$ , which is not maximal since  $R$  is not a field.

□

**Problem 1.24.**

Show that every proper ideal in a Noetherian ring is contained in a maximal ideal. (Hint: If  $I$  is the ideal, apply the lemma to  $\{\text{proper ideals that contain } I\}$ .)

*Proof.*

- (1) Say  $I$  be any proper ideal in a Noetherian ring. Let

$$\mathcal{S} = \{\text{proper ideals that contain } I\}.$$

Apply the lemma to  $\mathcal{S}$  to get that  $\mathcal{S}$  has a maximal member  $\mathfrak{m} \in \mathcal{S}$ .

- (2) Show that  $\mathfrak{m}$  is maximal. Since  $\mathfrak{m} \in \mathcal{S}$ ,  $\mathfrak{m}$  is a proper ideal in  $R$ . Suppose  $\mathfrak{m}' \supsetneq \mathfrak{m}$  is a proper ideal containing  $\mathfrak{m}$ . As  $\mathfrak{m}$  contains  $I$ ,  $\mathfrak{m}'$  also contains  $I$  or  $\mathfrak{m}' \in \mathcal{S}$ . By the maximality of  $\mathfrak{m}$ ,  $\mathfrak{m}' \subseteq \mathfrak{m}$ . So  $\mathfrak{m}' = \mathfrak{m}$ .

□

**Problem 1.25.**

- (a) Show that  $V(y - x^2) \subseteq \mathbf{A}^2(\mathbb{C})$  is irreducible, in fact,  $I(V(y - x^2)) = (y - x^2)$ .
- (b) Decompose  $V(y^4 - x^2, y^4 - x^2y^2 + xy^2 - x^3) \subseteq \mathbf{A}^2(\mathbb{C})$  into irreducible components.

*Proof of (a).*

- (1) Let  $I = (y - x^2)$  be an ideal of  $\mathbb{C}[x, y]$ . Since  $\mathbb{C}$  is algebraically closed,

$$I(V(I)) = \text{rad}(I)$$

by the Hilbert's Nullstellensatz. It suffices to show that  $I$  is prime, or to show that  $y - x^2$  is prime. Since  $\mathbb{C}[x, y]$  is a UFD, it suffices to show that  $y - x^2$  is irreducible.

- (2) Show that  $y - x^2$  is irreducible in  $\mathbb{C}[x, y]$ . Write

$$y - x^2 \in (\mathbb{C}[y])[x].$$

Note that  $\mathbb{C}[y]$  is a UFD and  $y$  is the constant term. If we can show that  $y$  is prime in  $\mathbb{C}[y]$ , then by the Eisenstein's criterion we can say  $y - x^2$  is irreducible in  $(\mathbb{C}[y])[x]$ .

- (3) As  $\mathbb{C}[y]/(y) \cong \mathbb{C}$  is a field or a domain,  $(y)$  is maximal or prime. Hence,  $y - x^2$  is irreducible.

(4) Or apply Corollary 1 to Proposition 2 in the next section to (2)(3).

□

*Proof of (b).*

(1) Write

$$\begin{aligned}
 Y &:= V(y^4 - x^2, y^4 - x^2y^2 + xy^2 - x^3) \\
 &= V((y^2 - x)(y^2 + x), (y^2 - x^2)(y^2 + x)) \\
 &= V(y^2 + x) \cup V(y^2 - x, y^2 - x^2) \\
 &= V(y^2 + x) \cup V(y^2 - x, x(x - 1)) \\
 &= V(y^2 + x) \cup V(x, y) \cup V(y + 1, x - 1) \cup V(y - 1, x - 1).
 \end{aligned}$$

(2) Here  $V(y^2 + x)$  is irreducible as (a). Besides,  $V(x, y)$ ,  $V(y + 1, x - 1)$  and  $V(y - 1, x - 1)$  are irreducible since all corresponding ideals are maximal (by the Hilbert's Nullstellensatz and Problem 1.21).

□

**Problem 1.26.**

Show that  $f = y^2 + x^2(x - 1)^2 \in \mathbb{R}[x, y]$  is an irreducible polynomial, but  $V(f)$  is reducible.

*Proof.*

(1) Show that  $f$  is an irreducible polynomial.

(a) Suppose

$$f = (f_2(x)y^2 + f_1(x)y + f_0(x)) \cdot g(x)$$

for some  $f_i(x), g(x) \in \mathbb{R}[x]$ . So

$$f_2(x)g(x) = 1, \quad f_1(x)g(x) = 0, \quad f_0(x)g(x) = x^2(x - 1)^2.$$

Hence,

$$f_2(x)y^2 + f_1(x)y + f_0(x) = uf, \quad g(x) = u^{-1},$$

where  $u$  is a unit in  $\mathbb{R}$ .

(b) Suppose

$$f = (f_1(x)y + f_0(x)) \cdot (g_1(x)y + g_0(x))$$

for some  $f_i(x), g_j(x) \in \mathbb{R}[x]$ . So

$$\begin{aligned} f_1(x)g_1(x) &= 1, \\ f_1(x)g_0(x) + f_0(x)g_1(x) &= 0, \\ f_0(x)g_0(x) &= x^2(x-1)^2. \end{aligned}$$

So  $f_1(x) = u, g_1(x) = u^{-1}$  for some unit  $u \in \mathbb{R}$ . Hence,

$$u^2 g_0(x)^2 = -x^2(x-1)^2,$$

which is absurd since  $\mathbb{R}$  is not algebraically closed.

(c) By (a)(b),  $f$  is irreducible in  $\mathbb{R}[x, y]$ .

- (2) Show that  $V(f)$  is reducible.  $V(f) = \{(0, 0), (1, 0)\} = V(x, y) \cup V(x-1, y)$ .  
Here  $V(x, y)$  and  $V(x-1, y)$  are all proper algebraic sets in  $V(f)$ .

□

**Problem 1.27.**

Let  $V, W$  be algebraic sets in  $\mathbf{A}^n(k)$  with  $V \subseteq W$ . Show that each irreducible component of  $V$  is contained in some irreducible component of  $W$ .

*Proof.*

- (1) Write two decompositions of  $V, W$  into irreducible components as

$$\begin{aligned} V &= V_1 \cup \cdots \cup V_r, \\ W &= W_1 \cup \cdots \cup W_s, \end{aligned}$$

- (2) For each irreducible component  $V_i$  of  $V$ , consider  $V_i \cap W$ :

$$V_i \cap W = (V_i \cap W_1) \cup \cdots \cup (V_i \cap W_s).$$

By the irreducibility of  $V_i$ , there is only one  $j$  such that  $V_i \cap W_j = V_i$  and other intersections are empty. Therefore, each irreducible component  $V_i$  is contained in some irreducible component  $W_j$  of  $W$ .

□

**Problem 1.28.**

If  $V = V_1 \cup \cdots \cup V_r$  is the decomposition of an algebraic set into irreducible components, show that  $V_i \not\subseteq \bigcup_{j \neq i} V_j$ .

*Proof.*

- (1) (Reductio ad absurdum) If

$$V_i \subseteq \bigcup_{j \neq i} V_j$$

for some  $i$ , then

$$V = V_1 \cup \cdots \cup \widehat{V_i} \cup \cdots \cup V_r$$

is another decomposition of an algebraic set into irreducible components.

- (2) By Theorem 2 in §1.5, the number of irreducible components is unique determined, contrary to the assumption and (1).

□

**Problem 1.29.\***

Show that  $\mathbf{A}^n(k)$  is irreducible if  $k$  is infinite.

*Proof.*

- (1) (Reductio ad absurdum) If  $\mathbf{A}^n(k)$  were reducible, then  $\mathbf{A}^n(k) = V_1 \cup V_2$  where  $V_1, V_2$  are algebraic sets in  $\mathbf{A}^n(k)$ ,  $V_1$  and  $V_2$  are nonempty and proper in  $\mathbf{A}^n(k)$ .
- (2) Take  $P_i \in V_i$  for  $i = 1, 2$ . By Problem 1.17, there are two polynomials  $f_1, f_2 \in k[x_1, \dots, x_n]$  such that  $f_i(Q) = 0$  for all  $Q \in V_i$  and  $f_1(P_2) = f_2(P_1) = 1$ .
- (3) By construction,  $(f_1 f_2)(a_1, \dots, a_n) = 0$  for any  $a_1, \dots, a_n \in k$ . As  $k$  is infinite,  $f_1 f_2 = 0$  by Problem 1.4. Since  $k[x_1, \dots, x_n]$  is a domain,  $f_1 = 0$  or  $f_2 = 0$ , contrary to  $f_1(P_2) = f_2(P_1) \neq 0$ .

□

*Note.*  $\mathbf{A}^n(k)$  is reducible if  $k$  is finite.

## 1.6. Algebraic Subsets of the Plane

### Problem 1.30.

Let  $k = \mathbb{R}$ .

- (a) Show that  $I(V(x^2 + y^2 + 1)) = (1)$ .
- (b) Show that every algebraic subset of  $\mathbf{A}^2(\mathbb{R})$  is equal to  $V(f)$  for some  $f \in \mathbb{R}[x, y]$ .

This indicates why we usually require that  $k$  be algebraically closed.

*Proof of (a).*  $I(V(x^2 + y^2 + 1)) = I(\emptyset) = (1)$  since  $x^2 + y^2 + 1 \geq 1$  is never zero for any  $x, y \in \mathbb{R}$ .  $\square$

*Proof of (b).*

- (1) Given any algebraic subset  $V$  of  $\mathbf{A}^2(\mathbb{R})$ .  $V = V(1)$  if  $V = \emptyset$ .  $V = V(0)$  if  $V = \mathbf{A}^2(\mathbb{R})$ . Now suppose  $V$  is a nonempty proper algebraic subset  $V$  of  $\mathbf{A}^2(\mathbb{R})$ . Write  $V = V_1 \cup \cdots \cup V_m$ , where each  $V_i$  is irreducible. Here  $V_i \neq \emptyset$  and  $V_i \neq \mathbf{A}^2(\mathbb{R})$  for all  $i$ .
- (2) As  $k = \mathbb{R}$  is infinite, Corollary 2 to Proposition 2 implies that each  $V_i$  is either a point or an irreducible plane curves  $V(f_i)$ , where  $f_i$  is an irreducible polynomial and  $V(f_i)$  is infinite.
- (3) If  $V_i = \{(a_i, b_i)\}$  is a point, then define

$$f_i(x, y) = (x - a_i)^2 + (y - b_i)^2.$$

By the property of  $\mathbb{R}$ ,  $V_i = V(f_i)$ .

- (4) Define  $f = f_1 \cdots f_m \in \mathbb{R}[x, y]$ . Hence,

$$\begin{aligned} V &= V_1 \cup \cdots \cup V_m \\ &= V(f_1) \cup \cdots \cup V(f_m) \\ &= V(f_1 \cdots f_m) \\ &= V(f). \end{aligned}$$

$\square$

### Problem 1.31.

- (a) Find the irreducible components of  $V(y^2 - xy - x^2y + x^3)$  in  $\mathbf{A}^2(\mathbb{R})$ , and also in  $\mathbf{A}^2(\mathbb{C})$ .



(b) Do the same for  $V(y^2 - x(x^2 - 1))$ , and for  $V(x^3 + x - x^2y - y)$ .

*Proof of (a).*

(1) Note that

$$\begin{aligned} V(y^2 - xy - x^2y + x^3) &= V((y - x^2)(y - x)) \\ &= V(y - x^2) \cup V(y - x). \end{aligned}$$

(2) Note that  $y - x^2$  and  $y - x$  are irreducible in  $\mathbb{C}[x, y]$  and thus also in  $\mathbb{R}[x, y]$  by the similar argument in Problem 1.25(a). Also,  $V(y - x^2)$  and  $V(y - x)$  are infinite in  $\mathbf{A}^2(\mathbb{R})$  and thus also in  $\mathbf{A}^2(\mathbb{C})$ .

(3) Therefore,  $V(y - x^2)$  and  $V(y - x)$  are the irreducible components of  $V(y^2 - xy - x^2y + x^3)$  in  $\mathbf{A}^2(\mathbb{R})$  and also in  $\mathbf{A}^2(\mathbb{C})$ .

□

*Outline of (b).*

- (1) The elliptic curve  $V(y^2 - x(x + 1)(x - 1))$  is irreducible over  $\mathbf{A}^2(\mathbb{R})$ .
- (2) The elliptic curve  $V(y^2 - x(x + 1)(x - 1))$  is irreducible over  $\mathbf{A}^2(\mathbb{C})$ .
- (3) The irreducible component of  $V(x^3 + x - x^2y - y)$  over  $\mathbf{A}^2(\mathbb{R})$  is  $V(x - y)$ .
- (4) The irreducible components of  $V(x^3 + x - x^2y - y)$  over  $\mathbf{A}^2(\mathbb{C})$  are  $V(x + i)$ ,  $V(x - i)$  and  $V(x - y)$ .

*Proof of (b).*

(1) Similar to Problem 1.25. To show  $y^2 - x(x + 1)(x - 1)$  is irreducible in  $\mathbb{C}[x, y]$ , we write

$$y^2 - x(x + 1)(x - 1) \in (\mathbb{C}[x])[y].$$

Note that  $\mathbb{C}[x]$  is a UFD and  $-x(x + 1)(x - 1)$  is the constant term. As  $\mathbb{C}[x]/(x) \cong \mathbb{C}$  is a domain,  $(x)$  is prime. Clearly,  $x \mid x(x + 1)(x - 1)$  but  $x^2 \nmid x(x + 1)(x - 1)$ . By the Eisenstein's criterion, we can say  $y^2 - x(x + 1)(x - 1)$  is irreducible over  $(\mathbb{C}[x])[y]$ .

- (2) Moreover,  $V(y^2 - x(x + 1)(x - 1))$  is infinite over  $\mathbf{A}^2(\mathbb{R})$  and thus also over  $\mathbf{A}^2(\mathbb{C})$ . ( $y = f(x) = \sqrt{x(x + 1)(x - 1)}$  is continuous and strictly increasing on  $[1, \infty)$  in the sense of calculus. As the measure of  $[1, \infty)$  is  $\infty$ , the set  $V(y^2 - x(x + 1)(x - 1))$  is infinite over  $\mathbf{A}^2(\mathbb{R})$ .)
- (3) By Corollary 1 to Proposition 2,  $V(y^2 - x(x^2 - 1))$  itself is irreducible over  $\mathbf{A}^2(\mathbb{R})$  or  $\mathbf{A}^2(\mathbb{C})$ .

- (4) Consider  $V(x^3 + x - x^2y - y) \subseteq \mathbf{A}^2(\mathbb{R})$ .

$$\begin{aligned} V(x^3 + x - x^2y - y) &= V((x^2 + 1)(x - y)) \\ &= V(x^2 + 1) \cup V(x - y) \\ &= \emptyset \cup V(x - y) \\ &= V(x - y). \end{aligned}$$

Here we use that fact that  $x^2 + 1 = 0$  has no real solution  $x \in \mathbb{R}$ . Similar to (a),  $V(x - y)$  is the only irreducible component of  $V(x^3 + x - x^2y - y)$  in  $\mathbf{A}^2(\mathbb{R})$ .

- (5) Consider  $V(x^3 + x - x^2y - y) \subseteq \mathbf{A}^2(\mathbb{C})$ .

$$\begin{aligned} V(x^3 + x - x^2y - y) &= V((x + i)(x - i)(x - y)) \\ &= V(x + i) \cup V(x - i) \cup V(x - y). \end{aligned}$$

Similar to (a),  $V(x \pm i)$  and  $V(x - y)$  are the irreducible components of  $V(x^3 + x - x^2y - y)$  in  $\mathbf{A}^2(\mathbb{C})$ .

□

## 1.7. Hilbert's Nullstellensatz

### Problem 1.32.

*Show that both theorems and all of the corollaries are false if  $k$  is not algebraically closed.*

*Proof.*

- (1) Weak Nullstellensatz:  $I = (x^2 + 1)$  is a proper ideal in  $\mathbb{R}[x]$  but  $V(I) = \emptyset$ .  
(2) Hilbert's Nullstellensatz: Let  $I = (y^2 + x^2(x - 1)^2)$  be an ideal in  $\mathbb{R}[x, y]$ . Hence,

$$\begin{aligned} I(V(I)) &= I(\{(0, 0), (1, 0)\}) && \text{(Problem 1.26.)} \\ &= (x(x - 1), y) \\ &\neq I \\ &= \text{rad}(I). \end{aligned}$$

The last equality holds since  $f$  is irreducible in a UFD  $\mathbb{R}[x, y]$  and thus  $I$  is a prime ideal.

- (3) Corollary 1: Same example in the case Hilbert's Nullstellensatz. If  $I = (y^2 + x^2(x - 1)^2)$  is a radical ideal in  $\mathbb{R}[x, y]$ . Then  $I(V(I)) \neq I$ .

- (4) Corollary 2: Same example in the case Hilbert's Nullstellensatz. If  $I = (y^2 + x^2(x-1)^2)$  is a prime ideal in  $\mathbb{R}[x, y]$ , then

$$V(I) = \{(0, 0), (1, 0)\} = V(x, y) \cup V(x-1, y)$$

is reducible. Next, consider a prime ideal  $J = (x^2 + y^2)$  in  $\mathbb{R}[x, y]$ . (Use the same argument in Problem 1.26 to get the irreducibility of  $x^2 + y^2$ .)  $V(J) = \{(0, 0)\}$  is a point but  $J$  is not a maximal ideal (since  $J \subsetneq (x^2 + y^2, x) \subsetneq (1)$ ).

- (5) Corollary 3: Same example in Corollary 2.
- (6) Corollary 4: Let  $I = (x^2 + y^2)$  be an ideal in  $\mathbb{R}[x, y]$ . Then  $V(I) = \{(0, 0)\}$  is a finite set. But  $\mathbb{R}[x, y]/(x^2 + y^2)$  is an infinite dimensional vector space over  $\mathbb{R}$ . In fact, the monomials

$$\{\overline{x^m}, \overline{x^m y} : m = 0, 1, 2, \dots\}$$

is a basis for  $\mathbb{R}[x, y]/(x^2 + y^2)$ .

□

**Problem 1.33.**

- (a) Decompose  $V(x^2 + y^2 - 1, x^2 - z^2 - 1) \subseteq \mathbf{A}^3(\mathbb{C})$  into irreducible components.
- (b) Let  $V = \{(t, t^2, t^3) \in \mathbf{A}^3(\mathbb{C}) : t \in \mathbb{C}\}$ . Find  $I(V)$ , and show that  $V$  is irreducible.

*Proof of (a).*

- (1) Write

$$\begin{aligned} & V(x^2 + y^2 - 1, x^2 - z^2 - 1) \\ &= V(x^2 + y^2 - 1, y^2 + z^2) \\ &= V(x^2 + y^2 - 1, (y + iz)(y - iz)) \\ &= V(x^2 + y^2 - 1, y + iz) \cup V(x^2 + y^2 - 1, y - iz). \end{aligned}$$

By the Hilbert's Nullstellensatz, it suffices to show that  $(x^2 + y^2 - 1, y + iz)$  and  $(x^2 + y^2 - 1, y - iz)$  are prime.

- (2) Show that  $I = (x^2 + y^2 - 1, y + iz)$  is prime in  $\mathbb{C}[x, y, z]$ . Note that

$$\mathbb{C}[x, y, z]/I \cong \mathbb{C}[x, y]/(x^2 + y^2 - 1)$$

is a ring isomorphism defined by

$$f(x, y, z) + I \mapsto f(x, y, -iy) + (x^2 + y^2 - 1).$$

(Use the similar argument in (b) to prove it is indeed an isomorphism.)  
So it suffices to show that

$$x^2 + y^2 - 1 \in \mathbb{C}[x, y]$$

is irreducible. (Thus,  $\mathbb{C}[x, y]/(x^2 + y^2 - 1) \cong \mathbb{C}[x, y, z]/I$  is a domain, or  $I$  is prime.) We can use the similar argument in Problem 1.31 (b) to show  $x^2 + y^2 - 1 = y^2 + (x+1)(x-1)$  is irreducible as showing the irreducibility of  $y^2 - x(x+1)(x-1)$ .

- (3) Similarly,  $I = (x^2 + y^2 - 1, y - iz)$  is prime. Therefore, the irreducible components of  $V(x^2 + y^2 - 1, x^2 - z^2 - 1)$  are  $V(x^2 + y^2 - 1, y + iz)$  and  $V(x^2 + y^2 - 1, y - iz)$ .

□

*Proof of (b).*

- (1) Write

$$V = \{(t, t^2, t^3) \in \mathbf{A}^3(\mathbb{C}) : t \in \mathbb{C}\} = V(x^2 - y, x^3 - z).$$

Let  $I = (x^2 - y, x^3 - z)$  in  $\mathbb{C}[x, y, z]$ . By the Hilbert's Nullstellensatz,  $I(V) = \text{rad}(I)$ . So it suffices to show that  $I = (x^2 - y, x^3 - z)$  is prime (and thus  $V$  is irreducible).

- (2) *Show that*

$$\mathbb{C}[x, y, z]/I \cong \mathbb{C}[t]$$

*is a domain, and thus  $I = (x^2 - y, x^3 - z)$  is a prime ideal.*

- (a) Define a ring homomorphism  $\alpha : \mathbb{C}[x, y, z]/I \rightarrow \mathbb{C}[t]$  by

$$\alpha : f(x, y, z) + I \mapsto f(t, t^2, t^3).$$

$\alpha$  is well-defined since  $\alpha((x^2 - y) + I) = 0$  and  $\alpha((x^3 - z) + I) = 0$ .

- (b) *Show that  $\alpha$  is surjective.*

$$\alpha : g(x) + I \in \mathbb{C}[x, y, z]/I \mapsto g(t) \in \mathbb{C}[t]$$

for any  $g(t)$ .

- (c) *Show that  $\alpha$  is injective.* Suppose  $\alpha(f(x, y, z) + I) = 0$ . Write

$$\begin{aligned} f(x, y, z) + I &= \sum_{(i)} \lambda_{(i)} x^{i_1} (y - x^2)^{i_2} (z - x^3)^{i_3} + I \\ &= \sum_i \lambda_i x^i + I. \end{aligned}$$

So

$$0 = \alpha(f(x, y, z) + I) = \alpha\left(\sum_i \lambda_i x^i + I\right) = \sum_i \lambda_i t^i.$$

Hence,  $\ker(\alpha) = I$ .

□

**Problem 1.34.**

Let  $R$  be a UFD.

- (a) Show that a monic polynomial of degree two or three in  $R[x]$  is irreducible if and only if it has no root in  $R$ .
- (b)  $x^2 - a \in R[x]$  is irreducible if and only if  $a$  is not a square in  $R$ .

*Proof of (a).*

- (1) It is equivalent to show that a monic polynomial of degree two or three in  $R[x]$  is reducible if and only if it has one root in  $R$ .
- (2) Suppose  $f$  is reducible of degree 2 or 3. Then there exist nonconstant monic polynomials  $g, h \in R[x]$  such that  $f = gh$ . By

$$\deg(g) + \deg(h) = \deg(f) = 2 \text{ or } 3,$$

we may assume that  $\deg(g) = 1$ . (Otherwise  $g$  or  $h$  will be a constant polynomial.) Say  $g(x) = x - a$  where  $a \in R$ . Now

$$f(a) = g(a)h(a) = 0$$

implies that  $a \in R$  is a root of  $f$ .

- (3) Conversely, if  $a \in R$  is a root of  $f$ , then apply the same argument in Problem 1.7 we can write

$$f = (x - a)g$$

for some  $g \in R[x]$ . Here  $\deg(g) \geq 1$  since  $\deg(f) = 1 + \deg(g) \geq 2$ . Therefore,  $f$  is reducible.

□

*Proof of (b).* By (a),  $x^2 - a \in R[x]$  is reducible  $\iff x^2 - a$  has one root  $\alpha \in R$   $\iff a = \alpha^2$  is a square in  $R$  for some  $\alpha \in R$ . □

**Problem 1.35.**

Show that  $V(y^2 - x(x - 1)(x - \lambda)) \subseteq \mathbf{A}^2(k)$  is an irreducible curve for any algebraically closed field  $k$ , and any  $\lambda \in k$ .

*Proof.*

- (1) By the Hilbert's Nullstellensatz, it suffices to show that

$$I = (y^2 - x(x-1)(x-\lambda))$$

is a prime ideal in  $k[x, y]$ , or show that

$$y^2 - x(x-1)(x-\lambda)$$

is irreducible (since  $k[x, y]$  is a UFD).

- (2) By Problem 1.34(b),  $y^2 - x(x-1)(x-\lambda) \in (\mathbb{C}[x])[y]$  is irreducible if  $x(x-1)(x-\lambda)$  is not a square in  $\mathbb{C}[x]$ . Note that every square in  $\mathbb{C}[x]$  is of even degree. So  $x(x-1)(x-\lambda)$  cannot be a square in  $\mathbb{C}[x]$  since  $\deg(x(x-1)(x-\lambda)) = 3$  is odd.

□

*Note.*  $V(y^2 - x(x-1)(x-\lambda))$  is the elliptic curve as Problem 1.31.

**Problem 1.36.**

Let  $I = (y^2 - x^2, y^2 + x^2) \subseteq \mathbb{C}[x, y]$ . Find  $V(I)$  and  $\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I)$ .

*Proof.*

- (1) Clearly,  $V(I) = \{(0, 0)\}$  is a finite set. By Corollary 4 to the Hilbert's Nullstellensatz,

$$\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I) < \infty.$$

In fact,  $\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I) = 4$ .

- (2) Given any  $f + I \in \mathbb{C}[x, y]/I$  where  $f \in \mathbb{C}[x, y]$ . Write

$$f(x, y) = \sum_i f_i(x) y^i$$

where  $f_i(x) = \sum_j a_{ij} x^j \in \mathbb{C}[x]$ . Note that

$$\begin{aligned} x^2 &= \frac{1}{2}(y^2 + x^2) - \frac{1}{2}(y^2 - x^2) \in I, \\ y^2 &= \frac{1}{2}(y^2 + x^2) + \frac{1}{2}(y^2 - x^2) \in I. \end{aligned}$$

So

$$\begin{aligned}
f(x, y) + I &= \sum_i f_i(x) y^i + I \\
&= f_0(x) + f_1(x) y + I \\
&= \sum_j a_{0j} x^j + \left( \sum_j a_{1j} x^j \right) y + I \\
&= a_{00} + a_{01} x + a_{10} y + a_{11} xy + I
\end{aligned}$$

is generated by  $\mathcal{B} = \{\bar{1}, \bar{x}, \bar{y}, \bar{xy}\}$ .

- (3) Note that  $\mathcal{B}$  is a basis since any linear combination of elements in  $\mathcal{B}$  is not in  $I$ . Therefore,

$$\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I) = |\mathcal{B}| = 4.$$

□

**Problem 1.37.\***

Let  $K$  be any field,  $f \in K[x]$  a polynomial of degree  $n > 0$ . Show that the residues  $\bar{1}, \bar{x}, \dots, \bar{x}^{n-1}$  form a basis for  $K[x]/(f)$  over  $K$ .

*Proof.*

- (1) Show that every element in  $K[x]/(f)$  is generated by  $\mathcal{B} = \{\bar{1}, \bar{x}, \dots, \bar{x}^{n-1}\}$ . Given any  $\bar{g} \in K[x]/(f)$  with  $g \in K[x]$ . By the division-with-remainder property of  $K[x]$ , there are some polynomials  $q, r \in K[x]$  such that

$$g = fq + r$$

where  $r = 0$  or  $\deg(r) < n$  if  $r \neq 0$ . Therefore,

$$g + (f) = fq + r + (f) = r + (f).$$

Note that  $r + (f)$  is generated by  $\mathcal{B}$ .

- (2) Show that  $\mathcal{B}$  is a basis for  $K[x]/(f)$  over  $K$ . Suppose

$$a_0 + a_1 x + \dots + a_{n-1} x^{n-1} \in (f)$$

for  $a_1, \dots, a_{n-1} \in K$ . We can regard any linear combination of  $\{1, x, \dots, x^{n-1}\}$  as a polynomial  $r(x)$  in  $K[x]$ .  $r \in (f)$  implies that there exists a polynomial  $g \in K[x]$  such that  $r = fg$ . If  $g \neq 0$ , then  $\deg(r) = \deg(f) + \deg(g) \geq n$ , which is impossible. So  $g = 0$  and thus  $r = fg = 0 \in K[x]$ . Therefore,  $a_0 = a_1 = \dots = a_{n-1} = 0 \in K$  and

$$\dim_K(K[x]/(f)) = \deg(f).$$

□

**Problem 1.38.\***

Let  $R = k[x_1, \dots, x_n]$ ,  $k$  algebraically closed,  $V = V(I)$ . Show that there is a natural one-to-one correspondence between algebraic subsets of  $V$  and radical ideals in  $k[x_1, \dots, x_n]/I$ , and that irreducible algebraic sets (resp. points) correspond to prime ideals (resp. maximal ideals). (See Problem 1.22.)

*Proof.*

- (1) Given any algebraic subset  $W$  of  $V$ . By the Hilbert's Nullstellensatz,

$$I(W) \supseteq I(V) = \text{rad}(I) \supseteq I.$$

- (2) By Corollary 1 to the Hilbert's Nullstellensatz and Problem 1.22(b), we have a one-to-one correspondence such that

$$\begin{aligned} & \{\text{algebraic subsets of } V\} \\ & \longleftrightarrow \{\text{radical ideals containing } I\} \\ & \longleftrightarrow \{\text{radical ideals of } k[x_1, \dots, x_n]/I\}. \end{aligned}$$

- (3) Again by Corollary 2 to the Hilbert's Nullstellensatz and Problem 1.22(b), we have a one-to-one correspondence such that

$$\begin{aligned} & \{\text{irreducible algebraic subsets (resp. points) of } V\} \\ & \longleftrightarrow \{\text{prime (resp. maximal) ideals containing } I\} \\ & \longleftrightarrow \{\text{prime (resp. maximal) ideals of } k[x_1, \dots, x_n]/I\}. \end{aligned}$$

□

**Problem 1.39.**

- (a) Let  $R$  be a UFD, and let  $\mathfrak{p} = (t)$  be a principal proper prime ideal. Show that there is no prime ideal  $\mathfrak{q}$  such that  $0 \subsetneq \mathfrak{q} \subsetneq \mathfrak{p}$ .
- (b) Let  $V = V(f)$  be irreducible hypersurface in  $\mathbf{A}^n$ . Show that there is no irreducible algebraic set  $W$  such that  $V \subsetneq W \subsetneq \mathbf{A}^n$ .

*Proof of (a).*

- (1) (Reductio ad absurdum) Suppose that  $\mathfrak{q}$  were a prime ideal in  $R$  such that  $0 \subsetneq \mathfrak{q} \subsetneq \mathfrak{p}$ .



- (2) Show that there is an irreducible element in  $\mathfrak{q}$ . Given any  $q \in \mathfrak{q}$ . Since  $\mathfrak{q}$  is proper, we can write

$$q = q_1 \cdots q_n$$

as a product of irreducible elements in a UFD. Since  $\mathfrak{q}$  is prime, there is one irreducible element  $q_i \in \mathfrak{q}$ .

- (3) Now  $q_i \in \mathfrak{q} \subseteq \mathfrak{p} = (t)$ . So  $q_i = ut$  for some  $u \in R$ . By the irreducibility of  $q_i$ ,  $u$  is a unit or  $t$  is a unit. If  $u$  is a unit, then

$$(t) = (q_i) \subseteq \mathfrak{q} \subseteq \mathfrak{p} = (t).$$

So  $\mathfrak{q} = \mathfrak{p}$ , which is absurd. If  $t$  is a unit, then  $\mathfrak{p} = (1)$ , contrary to the primality of  $\mathfrak{p}$ .

□

*Proof of (b).*

- (1) We might assume that  $k = \bar{k}$ . By Corollary 3 to the Hilbert's Nullstellensatz and the irreducibility of  $V(f)$ , there are an irreducible polynomial  $g \in k[x_1, \dots, x_n]$  and an integer  $m > 0$  such that

$$f = g^m,$$

and

$$I(V(f)) = (g).$$

- (2) (Reductio ad absurdum) Suppose that there were an irreducible algebraic set  $W$  such that  $V \subsetneq W \subsetneq \mathbf{A}^n$ . Then by Corollary 3 to the Hilbert's Nullstellensatz again,

$$(g) = I(V(f)) \supsetneq I(W) \supsetneq (1) \in k[x_1, \dots, x_n].$$

Here  $(g) = I(V(f))$  and  $I(W)$  are all prime.

- (3) Note that  $(g)$  is a principal proper prime ideal in a UFD  $k[x_1, \dots, x_n]$ . By (a), such ideal  $I(W)$  cannot be prime, which is absurd.

□

#### Problem 1.40.

Let  $I = (x^2 - y^3, y^2 - z^3) \subseteq k[x, y, z]$ . Define  $\alpha : k[x, y, z] \rightarrow k[t]$  by  $\alpha(x) = t^9$ ,  $\alpha(y) = t^6$ ,  $\alpha(z) = t^4$ .

- (a) Show that every element of  $k[x, y, z]/I$  is the residue of an element  $a + xb + yc + xzd$ , for some  $a, b, c, d \in k[z]$ .

- (b) If  $f = a + xb + yc + xyd$ ,  $a, b, c, d \in k[z]$  and  $\alpha(f) = 0$ , compare like powers of  $t$  to conclude that  $f = 0$ .
- (c) Show that  $\ker(\alpha) = I$ , so  $I$  is prime,  $V(I)$  is irreducible, and  $I(V(I)) = I$ .

*Proof of (a).*

- (1) Take any element  $\bar{f} \in k[x, y, z]/I$  where  $f \in k[x, y, z]$ . Regard  $f \in (k[y, z])[x]$ , By the division-with-remainder property of  $(k[y, z])[x]$ ,

$$f = (x^2 - y^3)q + r$$

where  $q, r \in (k[y, z])[x]$  and  $r = 0$  or  $\deg_x(r) < 2$ . In any case,  $r = xr_1 + r_0$  for some  $r_1, r_0 \in k[y, z]$ .

- (2) Apply the same argument to (1), we have

$$\begin{aligned} r_0 &= (y^2 - z^3)q_0 + yc + a \\ r_1 &= (y^2 - z^3)q_1 + yd + b \end{aligned}$$

where  $q_0, q_1 \in k[y, z]$  and  $a, b, c, d \in k[z]$ .

- (3) By  $\bar{r}_0 = \overline{yc + a}$  and  $\bar{r}_1 = \overline{yd + b}$ ,

$$\begin{aligned} \bar{f} &= \bar{r} \\ &= \overline{xr_1} + \bar{r}_0 \\ &= \overline{x(yd + b)} + (\overline{yc + a}) \\ &= \bar{a} + \bar{b} \cdot \bar{x} + \bar{c} \cdot \bar{y} + \bar{d} \cdot \overline{xy}. \end{aligned}$$

□

*Proof of (b).* As  $0 = \alpha(f) = a + ct^6 + bt^9 + dt^{15} \in k[t]$ ,  $a = b = c = d = 0 \in k$ .

□

*Proof of (c).*

- (1)  $I \subseteq \ker(\alpha)$  is trivial.
- (2) Show that  $\ker(\alpha) \subseteq I$ . Take any  $f \in \ker(\alpha)$ , or  $\alpha(f) = 0$ . By (a),  $f = r + f_1$  where  $f_1 \in I$  and  $r = a + bx + cy + dxy \in k[x, y, z]$  for some  $a, b, c, d \in k[z]$ . Note that  $\alpha$  is a ring homomorphism. Therefore,

$$0 = \alpha(f) = \alpha(r + f_1) = \alpha(r) + \alpha(f_1) = \alpha(r).$$

By (b),  $r = 0 \in k[x, y, z]$  and thus  $f = f_1 \in I$ .

- (3) Therefore,

$$\alpha : k[x, y, z]/(x^2 - y^3, y^2 - z^3) \hookrightarrow k[t]$$

is injective.

□

## 1.8. Modules; Finiteness Conditions

### Problem 1.41.\*

If  $S$  is module-finite over  $R$ , then  $S$  is ring-finite over  $R$ .

*Proof.*

- (1) Write  $S = \sum Rs_i$  for some  $s_1, \dots, s_n \in S$  since  $S$  is module-finite over  $R$ .
- (2) Show that  $\sum Rs_i = R[s_1, \dots, s_n]$ .  $\sum Rs_i \subseteq R[s_1, \dots, s_n]$  is trivial. Conversely, take any  $v \in R[s_1, \dots, s_n]$ . Write

$$v = \sum_{(j)} \overbrace{a_{(j)} s_1^{j_1} \cdots s_n^{j_n}}^{\in \sum Rs_i}$$

$\in R \quad \in S = \sum Rs_i$

Here each term  $a_{(j)} s_1^{j_1} \cdots s_n^{j_n}$  is in  $\sum Rs_i$ . As  $\sum Rs_i$  is an  $R$ -module,

$$v = \sum_{(i)} a_{(i)} s_1^{i_1} \cdots s_n^{i_n} \in \sum Rs_i.$$

□

*Note.* The converse is not true (by Problem 1.42).

### Problem 1.42.

Show that  $S = R[x]$  (the ring of polynomials in one variable) is ring-finite over  $R$ , but not module-finite.

*Proof.*

- (1)  $S = R[x]$  is ring-finite over  $R$  by definition (as  $x \in S$ ).
- (2) (Reductio ad absurdum) If  $S = \sum Rs_i$  for some  $s_1, \dots, s_n \in S$  were module-finite over  $R$ . Any element  $s \in \sum Rs_i$  is of degree

$$\deg s \leq \max_{1 \leq i \leq n} \deg s_i := m.$$

So that  $x^{m+1} \in S = R[x]$  but not in  $\sum Rs_i$ , which is absurd.

□

**Problem 1.43.\***

If  $L$  is ring-finite over  $K$  ( $K, L$  fields) then  $L$  is a finitely generated field extension of  $K$ .

*Proof.*

- (1)  $L = K[v_1, \dots, v_n]$  for some  $v_i \in L$  since  $L$  is ring-finite over  $K$ .
- (2) Apply Proposition 4 in §1.10,  $L$  is module-finite (and hence algebraic) over  $K$ , that is,  $L = K[v_1, \dots, v_n] = K(v_1, \dots, v_n)$  is a finitely generated field extension of  $K$ .

□

**Problem 1.44.\***

Show that  $L = K(x)$  (the field of rational functions in one variable) is a finitely generated field extension of  $K$ , but  $L$  is not ring-finite over  $K$ . (Hint: If  $L$  were ring-finite over  $K$ , a common denominator of ring generators would be an element  $b \in K[x]$  such that for all  $z \in L$ ,  $b^n z \in K[x]$  for some  $n$ ; but let  $z = 1/c$ , where  $c$  doesn't divide  $b$  (Problem 1.5).)

*Proof.*

- (1) (Reductio ad absurdum) Suppose that  $L$  were ring-finite over  $K$ . Write  $L = K[v_1, \dots, v_m]$  where  $v_1, \dots, v_m \in L = K(x)$ . Let  $b \in K[x]$  be a common denominator of ring generators  $v_1, \dots, v_m$ . (So that all  $bv_i \in K[x]$ .) Therefore, for any  $z \in L = K[v_1, \dots, v_m]$ , there is an integer  $n > 0$  such that  $b^n z \in K[x]$ .
- (2) Consider  $z = 1/c \in K(x)$ , where  $c \in K[x]$  doesn't divide  $b$ . The existence of  $c$  is guaranteed by Problem 1.5. Hence, for any integer  $n > 0$

$$b^n z = b^n / c$$

is never in  $K[x]$  by the construction of  $c$ , which is absurd.

□

**Problem 1.45.\***

Let  $R$  be a subring of  $S$ ,  $S$  a subring of  $T$ .

- (a) If  $S = \sum Rv_i$ ,  $T = \sum Sw_j$ , show that  $T = \sum Rv_iw_j$ .
- (b) If  $S = R[v_1, \dots, v_n]$ ,  $T = S[w_1, \dots, w_m]$ , show that  $T = R[v_1, \dots, v_n, w_1, \dots, w_m]$ .
- (c) If  $R, S, T$  are fields, and  $S = R(v_1, \dots, v_n)$ ,  $T = S(w_1, \dots, w_m)$ , show that  $T = R(v_1, \dots, v_n, w_1, \dots, w_m)$ .

So each of the three finiteness conditions is a transitive relation.

*Proof of (a).*

- (1) Show that  $T \subseteq \sum Rv_iw_j$ . Given any  $t \in T = \sum Sw_j$ . There are some  $s_j \in S$  such that  $t = \sum_j s_j w_j$ . As  $s_j \in S = \sum Rv_i$ , there are some  $r_{ij} \in R$  such that  $s_j = \sum_i r_{ij} v_i$ . Hence,

$$t = \sum_j s_j w_j = \sum_j \left( \sum_i r_{ij} v_i \right) w_j = \sum_{i,j} r_{ij} v_i w_j \in \sum Rv_iw_j.$$

- (2) Show that  $T \supseteq \sum Rv_iw_j$ . Take any  $\sum r_{ij} v_i w_j \in \sum Rv_iw_j$ .

$$\sum r_{ij} v_i w_j = \sum_j \left( \sum_i r_{ij} v_i \right) w_j \in \sum_j Sw_j = T.$$

□

*Proof of (b).*

- (1) Note that  $R[x_1, \dots, x_m]$  is canonically isomorphic to  $R[x_1, \dots, x_{m-1}][x_m]$ . Hence  $R[x_1, \dots, x_m]$  is isomorphic to  $R[x_1][x_2] \cdots [x_m]$ .
- (2) Hence,

$$\begin{aligned} T &= S[w_1, \dots, w_m] \\ &= R[v_1, \dots, v_n][w_1, \dots, w_m] \\ &= R[v_1, \dots, v_n][w_1] \cdots [w_m] \\ &= R[v_1] \cdots [v_n][w_1] \cdots [w_m] \\ &= R[v_1, \dots, v_n, w_1, \dots, w_m]. \end{aligned}$$

□

*Proof of (c).*

- (1) By (b),  $R(v_1, \dots, v_n)$  is canonically isomorphic to  $R(v_1, \dots, v_{n-1})(v_n)$ . Hence  $R(v_1, \dots, v_n)$  is isomorphic to  $R(v_1) \cdots (v_n)$ . To see this, note that  $R[x_1, \dots, x_m] \cong R[x_1, \dots, x_{m-1}][x_m]$  implies that

$$R(x_1, \dots, x_m) \cong R[x_1, \dots, x_{m-1}](x_m) \hookrightarrow R(x_1, \dots, x_{m-1})(x_m).$$

Conversely, for any  $a/b \in R(x_1, \dots, x_{m-1})(x_m)$  where

$$\begin{aligned} a &= \sum_i a_i x_m^i \in R(x_1, \dots, x_{m-1})[x_m], \\ b &= \sum_j b_j x_m^j \in R(x_1, \dots, x_{m-1})[x_m] \end{aligned}$$

and  $b \neq 0$ , there is a nonzero polynomial  $c \in R[x_1, \dots, x_{m-1}]$  such that all  $ca_i$  and  $cb_j$  are in  $R[x_1, \dots, x_{m-1}]$ . Hence,

$$\begin{aligned} \frac{a}{b} &= \frac{\sum_i a_i x_m^i}{\sum_j b_j x_m^j} \\ &= \frac{c \sum_i a_i x_m^i}{c \sum_j b_j x_m^j} \\ &= \frac{\sum_i ca_i x_m^i}{\sum_j cb_j x_m^j} \\ &\in R[x_1, \dots, x_{m-1}](x_m). \end{aligned}$$

(2) Hence,

$$\begin{aligned} T &= S(w_1, \dots, w_m) \\ &= R(v_1, \dots, v_n)(w_1, \dots, w_m) \\ &= R(v_1, \dots, v_n)(w_1) \cdots (w_m) \\ &= R(v_1) \cdots (v_n)(w_1) \cdots (w_m) \\ &= R(v_1, \dots, v_n, w_1, \dots, w_m). \end{aligned}$$

□

## 1.9. Integral Elements

### Problem 1.46.\* (Transitivity of integral extensions)

Let  $R$  be a subring of  $S$ ,  $S$  a subring of (a domain)  $T$ . If  $S$  is integral over  $R$ , and  $T$  is integral over  $S$ , show that  $T$  is integral over  $R$ . (Hint: Let  $z \in T$ , so we have  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$ ,  $a_i \in S$ . Then  $R[a_1, \dots, a_n, z]$  is module-finite

over  $R$ .)

*Proof (Hint).*

- (1) Let  $z \in T$ , so we have  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$ ,  $a_i \in S$ . Therefore,  $z$  is integral over  $R[a_1, \dots, a_n]$ , or  $R[a_1, \dots, a_n, z]$  is module-finite over  $R[a_1, \dots, a_n]$ .
- (2) Show that  $R[a_1, \dots, a_n]$  is module-finite over  $R$  if all  $a_i \in S$ . Note that

$$\begin{aligned} a_1 &\text{ is integral over } R, \\ a_2 &\text{ is integral over } R[a_1] \supseteq R, \\ &\dots \\ a_n &\text{ is integral over } R[a_1, \dots, a_{n-1}]. \end{aligned}$$

By Proposition 3,

$$\begin{aligned} R[a_1] &\text{ is module-finite over } R, \\ R[a_1][a_2] &\text{ is module-finite over } R[a_1], \\ &\dots \\ R[a_1, \dots, a_{n-1}][a_n] &\text{ is module-finite over } R[a_1, \dots, a_{n-1}]. \end{aligned}$$

Also note that  $R[a_1, \dots, a_i] = R[a_1, \dots, a_{i-1}][a_i]$  if  $i > 1$ . By the transitive relation of the module-finiteness (Problem 1.45),  $R[a_1, \dots, a_n]$  is module-finite over  $R$ .

- (3) Again by the transitive relation of the module-finiteness (Problem 1.45),  $R[a_1, \dots, a_n, z]$  is module-finite over  $R$ . Hence,  $R[a_1, \dots, a_n, z]$  is a subring of  $T$  containing  $R[z]$  which is module-finite over  $R$ . By Proposition 3,  $z$  is integral over  $R$ .

□

### Problem 1.47.\*

Suppose (a domain)  $S$  is ring-finite over  $R$ . Show that  $S$  is module-finite over  $R$  if and only if  $S$  is integral over  $R$ .

*Proof.*

- (1) Write  $S = R[v_1, \dots, v_m]$  for some  $v_i \in S$ .
- (2) Suppose that  $S$  is integral over  $R$ . Then all  $v_i$  are integral over  $R$ . Use the same argument in Problem 1.46, we have

$$S = R[v_1, \dots, v_n]$$

is module-finite over  $R$ .

- (3) Conversely, suppose that  $S$  is module-finite over  $R$ . Take any  $v \in S$ . Write  $v = \sum_i r_i v_i \in S$  since  $S$  is module-finite over  $R$ . Note that  $S = R[v_1, \dots, v_m]$  is a subring of  $S$  itself containing  $R[v]$  which is module-finite over  $R$ . By Proposition 3,  $v$  is integral over  $R$ .

□

**Problem 1.48.\***

Let  $L$  be a field,  $k$  an algebraically closed subfield of  $L$ .

- (a) Show that any element of  $L$  that is algebraic over  $k$  is already in  $k$ .  
 (b) An algebraically closed field has no module-finite field extensions except itself.

*Proof of (a).*

- (1) Let  $\alpha \in L$  be algebraic over  $k$ . Then there is a nonzero polynomial  $f(x) \in k[x]$  with  $f(\alpha) = 0$ . Note that  $\deg f \geq 1$ .  
 (2) Since  $k$  is algebraically closed, every polynomial is a product of first degree polynomials, say

$$f(x) = c(x - \alpha_1) \cdots (x - \alpha_m)$$

where  $c \in k - \{0\}$  and  $\alpha_1, \dots, \alpha_m \in k$ . As  $f(\alpha) = 0$ ,  $\alpha = \alpha_i \in k$  for some  $1 \leq i \leq m$ . Hence,  $\alpha \in L$  is algebraic over  $k$  implies that  $\alpha \in k$ .

□

*Proof of (b).*

- (1) Suppose that  $L$  is module-finite field extensions of an algebraically closed field  $k$ .  
 (2) By Problem 1.41,  $L$  is ring-finite over  $k$ . By Problem 1.47,  $L$  is integral or algebraic over  $k$  (since  $k$  is a field). By (a),  $L = k$ .

□

**Problem 1.49.\***

Let  $K$  be a field,  $L = K(x)$  the field of rational functions in one variable over  $K$ .



- (a) Show that any element of  $L$  that is integral over  $K[x]$  is already in  $K[x]$ .  
(Hint: If  $z^n + a_1z^{n-1} + \cdots + a_n = 0$ , write  $z = f/g$ ,  $f, g$  relatively prime.  
Then  $f^n + a_1f^{n-1}g + \cdots + a_ng^n = 0$ , So  $g$  divides  $f$ .)
- (b) Show that there is no nonzero element  $f \in K[x]$  such that for every  $z \in L$ ,  $f^n z$  is integral over  $K[x]$  for some  $n > 0$ . (Hint: See Problem 1.44.)

*Proof of (a).*

- (1) Note that 0 is integral over  $K[x]$  and  $0 \in K[x]$  trivially.
- (2) Now we take any nonzero element  $z \in L = K(x)$  which is integral over  $K[x]$ . So  $z^n + a_1z^{n-1} + \cdots + a_n = 0$  for some  $a_1, \dots, a_n \in K[x]$  and  $a_n \neq 0$  (since  $z \neq 0$ ).
- (3) Write  $z = f/g$ ,  $f, g$  relatively prime in  $K[x]$ . Then

$$f^n + a_1f^{n-1}g + \cdots + a_ng^n = 0 \in K[x].$$

Since  $a_n \neq 0$ ,  $g \mid f^n$  or  $g \mid f$  or  $g = 1 \in K$ . Therefore,  $z = f \in K[x]$ .

□

*Proof of (b).*

- (1) (Reductio ad absurdum) Suppose there were a nonzero element  $f \in K[x]$  such that for every  $z \in L$ ,  $f^n z$  is integral over  $K[x]$  for some  $n > 0$ .
- (2) Let  $z = 1/g \in K(x)$ , where  $g$  is an irreducible polynomial not dividing  $f$ . The existence of  $g$  is guaranteed by Problem 1.5.
- (3) By the hypothesis in (1), there is an integer  $n > 0$  such that  $f^n z$  is integral over  $K[x]$ . By (a),  $f^n z = f^n/g$  is also in  $K[x]$ . So  $g \mid f^n$  or  $g \mid f$ , which is absurd.

□

### Problem 1.50.\*

Let  $K$  be a subfield of a field  $L$ .

- (a) Show that the set of elements of  $L$  that are algebraic over  $K$  is a subfield of  $L$  containing  $K$ . (Hint: If  $v^n + a_1v^{n-1} + \cdots + a_n = 0$ , and  $a_n \neq 0$ , then  $v(v^{n-1} + \cdots + a_{n-1}) = -a_n$ .)
- (b) Suppose  $L$  is module-finite over  $K$ , and  $K \subseteq R \subseteq L$ ,  $R$  a subring of  $L$ . Show that  $R$  is a field.

*Proof of (a).*

- (1) Let  $R$  be the set of elements of  $L$  that are algebraic over  $K$ . By Corollary to Proposition 3,  $R$  is a subring of  $L$  containing  $K$ . (Note that  $K$  is a field.) So it suffices to show that  $v^{-1} \in R$  if  $v \in R - \{0\}$ .
- (2) Since  $v$  is algebraic over  $K$ , we can write

$$v^n + a_1 v^{n-1} + \cdots + a_n = 0$$

for some  $a_1, \dots, a_n \in K$  and  $a_n \neq 0$ . So

$$(v^{-1})^n + \underbrace{\frac{a_{n-1}}{a_n}}_{\in K} (v^{-1})^{n-1} + \cdots + \underbrace{\frac{a_1}{a_n}}_{\in K} (v^{-1}) + \underbrace{\frac{1}{a_n}}_{\in K} = 0,$$

or  $v^{-1}$  is integral over  $K$ . Hence,  $v^{-1} \in R$ .

□

*Proof of (b).*

- (1) By Problem 1.47,  $L$  is algebraic over  $K$ . Hence,  $R$  is algebraic over  $K$ .
- (2) To show that  $R$  is a field, it suffices to show that  $v^{-1} \in R$  if  $v \in R - \{0\}$ . Since  $v$  is algebraic over  $K$ , we can write

$$v^n + a_1 v^{n-1} + \cdots + a_n = 0$$

for some  $a_1, \dots, a_n \in K$  and  $a_n \neq 0$ . So

$$v \left( - \underbrace{\frac{1}{a_n}}_{\in K \subseteq R} \underbrace{v^{n-1}}_{\in R} - \cdots - \underbrace{\frac{a_{n-1}}{a_n}}_{\in K \subseteq R} \right) = 1.$$

Here  $v^{-1} = \left( - \frac{1}{a_n} v^{n-1} - \cdots - \frac{a_{n-1}}{a_n} \right)$  is the inverse of  $v$  in  $R$  (since  $R$  is a ring containing  $K$ ).

□

## 1.10. Field Extensions

### Problem 1.51.\*

Let  $K$  be a field,  $f \in K[x]$  an irreducible monic polynomial of degree  $n > 0$ .

- (a) Show that  $L = K[x]/(f)$  is a field, and if  $\bar{x}$  is the residue of  $x$  in  $L$ , then  $f(\bar{x}) = 0$ .
- (b) Suppose  $L'$  is a field extension of  $K$ ,  $y \in L'$  such that  $f(y) = 0$ . Show that the homomorphism from  $K[x]$  to  $L'$  that takes  $x$  to  $y$  induces an isomorphism of  $L$  with  $K(y)$ .
- (c) With  $L'$ ,  $y$  as in (b), suppose  $g \in K[x]$  and  $g(y) = 0$ . Show that  $f$  divides  $g$ .
- (d) Show that  $f = (x - \bar{x})f_1$ ,  $f_1 \in L[x]$ .

*Proof of (a).*

- (1)  $(f)$  is a prime ideal in a UFD  $K[x]$  since  $f$  is irreducible. Note that  $K[x]$  is also a PID,  $(f)$  is maximal (Problem 1.3). Hence  $L = K[x]/(f)$  is a field.
- (2)  $f(\bar{x}) = f(x) + (f(x)) = (f(x)) = \bar{0}$ .

□

*Proof of (b).*

- (1) Let  $\alpha : K[x] \rightarrow L'$  be a homomorphism defined by

$$\alpha\left(\sum a_i x^i\right) = \sum a_i y^i$$

where  $a_i \in K$ .  $\text{im}(\alpha) = K(y)$  clearly.

- (2) Note that  $\ker(\alpha)$  is an ideal containing  $(f)$  since  $\alpha(f) = 0$ .  $\ker(\alpha)$  is proper since  $\alpha(1) = 1 \neq 0$ . By the maximality of  $(f)$ ,  $\ker(\alpha) = (f)$ .
- (3) Hence,  $\alpha$  induces an isomorphism of  $L$  with  $K(y)$ :

$$L = K[x]/(f) \cong K(y) \hookrightarrow L'.$$

□

*Proof of (c).* By (b),  $g \in \ker(\alpha) = (f)$ . So  $f \mid g$ . □

*Proof of (d).*

- (1) By (a),  $\bar{x} \in L$  is a root of  $f \in L[x]$  (by embedding  $K[x]$  in  $L[x]$ ).
- (2) Since  $L$  is a field, by Problem 1.7(b) we have

$$f = (x - \bar{x})f_1$$

for some  $f_1 \in L[x]$ .

□

**Problem 1.52.\* (Splitting fields)**

Let  $K$  be a field,  $f \in K[x]$ . Show that there is a field  $L$  containing  $K$  such that  $f = \prod_{i=1}^n (x - x_i) \in L[x]$ . (Hint: Use Problem 1.51(d) and induction on the degree.)  $L$  is called a **splitting field** of  $F$ .

*Proof.*

- (1) Let  $p(x) \in K[x]$  be an irreducible factor of  $f(x) \in K[x]$ , and let  $L'$  be the field  $K[x]/(p(x))$  (by Problem 1.51(a)).
- (2) Then we might regard  $K$  as a subfield of  $L'$  by sending  $a \in K$  to  $\bar{a} = a + (p(x)) \in L'$ .
- (3) By Problem 1.51(a),  $\bar{x}$  is a root of  $p \in L'$ ; therefore is a root of  $f$ .
- (4) Induction on  $n$ . By (1)(2)(3), there is a field  $L' \supseteq K$  such that  $L'$  contains a root  $\bar{x}$  of  $f(x)$ , say  $f(x) = (x - \bar{x})f_1(x)$  over  $L'[x]$  (by Problem 1.51(d)). By induction, there is a field  $L \supseteq L'$  such that  $f_1$  splits over  $L$ . Hence,  $f$  splits over  $L$ .

□

**Problem 1.53.\***

Suppose  $K$  is a field of characteristic zero,  $f$  an irreducible monic polynomial in  $K[x]$  of degree  $n > 0$ . Let  $L$  be a splitting field of  $f$ , so  $f = \prod_{i=1}^n (x - x_i)$ ,  $x_i \in L$ . Show that the  $x_i$  are distinct. (Hint: Apply Problem 1.51(c) to  $g = f_x$ ; if  $(x - \bar{x})^2$  divides  $f$ , then  $g(\bar{x}) = 0$ .)

*Proof.*

- (1) Since  $f \in K[x]$  is irreducible over  $K$ ,  $\gcd(f, f_x)$  is 1 or  $f$ . As  $\text{char}(K) = 0$ ,  $\deg(f_x) = \deg(f) - 1$ . So  $f$  does not divide  $f_x$  or  $\gcd(f, f_x) = 1$ . Hence, there are polynomials  $g, h \in K[x]$  such that

$$1 = fg + f_x h.$$

This equation is also true in  $L[x]$ .

- (2) Note that

$$f = \prod_{i=1}^n (x - x_i) \in L[x],$$

$$f_x = \sum_{i=1}^n (x - x_1) \cdots \widehat{(x - x_i)} \cdots (x - x_n) \in L[x].$$

If  $\bar{x}$  were a multiple root of  $f$ , then  $f(\bar{x}) = f_x(\bar{x}) = 0$ . By (1),

$$1 = f(\bar{x})g(\bar{x}) + f_x(\bar{x})h(\bar{x}) = 0,$$

which is absurd.

□

**Problem 1.54.\***

Let  $R$  be a domain with quotient field  $K$ , and let  $L$  be a finite algebraic extension of  $K$ .

- (a) For any  $v \in L$ , show that there is a nonzero  $a \in R$  such that  $av$  is integral over  $R$ .
- (b) Show that there is a basis  $v_1, \dots, v_n$  for  $L$  over  $K$  (as a vector space) such that each  $v_i$  is integral over  $R$ .

*Proof of (a).*

- (1) Take any  $v \in L$ , which is algebraic over  $K$ . Write

$$v^n + a_1v^{n-1} + \dots + a_n = 0$$

for some  $a_1, \dots, a_n \in K$  and  $a_n \neq 0$ . Since  $K$  is the quotient field of  $R$ , there is a common denominator  $a \in R$  of  $a_1, \dots, a_n$ . Here  $a \neq 0$  and  $aa_i \in R$  for all  $1 \leq i \leq n$ .

- (2) Hence,

$$\begin{aligned} & a^n v^n + a^n a_1 v^{n-1} + \dots + a^n a_n = 0 \\ \iff & (av)^n + \underbrace{(aa_1)}_{\in R} (av)^{n-1} + \underbrace{a(aa_2)}_{\in R} (av)^{n-2} + \dots + \underbrace{a^{n-1}(aa_n)}_{\in R} = 0. \end{aligned}$$

$av$  is integral over  $R$ .

□

*Proof of (b).*

- (1) Since  $L$  be a finite algebraic extension of  $K$ , there exists a basis

$$\{w_1, \dots, w_n\}$$

for  $L$  over  $K$  (as a vector space).

- (2) For each  $w_i \in L$ , there is a nonzero  $a_i \in R$  such that  $a_i w_i$  is integral over  $R$  (by (a)). So it suffices to show that

$$\{a_1 w_1, \dots, a_n w_n\}$$

is also a basis for  $L$  over  $K$ .

- (3) Suppose

$$0 = \sum_i \alpha_i (a_i w_i) = \sum_i (\alpha_i a_i) w_i$$

for some  $\alpha_1, \dots, \alpha_n \in K$ . Since  $\{w_1, \dots, w_n\}$  is a basis,  $\alpha_i a_i = 0$  for all  $i$ , or  $\alpha_i = 0$  for all  $i$  (since all  $a_i \neq 0$ ). Hence  $\{a_1 w_1, \dots, a_n w_n\}$  is linearly independent.

- (4) Also, for any  $w \in L$ , we can write

$$\begin{aligned} w &= \underbrace{\beta_1}_{\in K} w_1 + \dots + \underbrace{\beta_n}_{\in K} w_n \\ &= \underbrace{\frac{\beta_1}{a_1}}_{\in K} (a_1 w_1) + \dots + \underbrace{\frac{\beta_n}{a_n}}_{\in K} (a_n w_n) \end{aligned}$$

as a linear combination of  $\{a_1 w_1, \dots, a_n w_n\}$  over  $K$ .

□

## Chapter 2: Affine Varieties

### 2.1. Coordinate Rings

#### Problem 2.1.\*

Show that the map which associates to each  $f \in k[x_1, \dots, x_n]$  a polynomial function in  $\mathcal{F}(V, k)$  is a ring homomorphism whose kernel is  $I(V)$ .

*Proof.*

- (1) Define a map  $\alpha : k[x_1, \dots, x_n] \rightarrow \mathcal{F}(V, k)$ . Every polynomial  $f \in k[x_1, \dots, x_n]$  defines a function from  $V$  to  $k$  by

$$\alpha(f)(a_1, \dots, a_n) = f(a_1, \dots, a_n)$$

for all  $(a_1, \dots, a_n) \in V$ .

- (2)  $\alpha$  is a ring homomorphism by construction in (1).  
(3) Show that  $\ker(\alpha) = I(V)$ . In fact, given any  $f \in k[x_1, \dots, x_n]$ , we have  $\alpha(f) = 0$  (sending all  $a \in V$  to  $0 \in k$ ) if and only if  $f(a) = 0$  for all  $a \in V$  if and only if  $f \in I(V)$ .  
(4) Hence,

$$k[x_1, \dots, x_n]/I(V) = \Gamma(V) \cong \{\text{polynomial functions in } \mathcal{F}(V, k)\}$$

as a ring isomorphism.

□

#### Problem 2.2.\*

Let  $V \subseteq \mathbf{A}^n$  be a variety. A **subvariety** of  $V$  is a variety  $W \subseteq \mathbf{A}^n$  that is contained in  $V$ . Show that there is a natural one-to-one correspondence between algebraic subsets (resp. subvarieties, resp. points) of  $V$  and radical ideals (resp. prime ideals, resp. maximal ideals) of  $\Gamma(V)$ . (See Problems 1.22, 1.38.)

*Proof.* Repeat Problem 1.38 by replacing  $k[x_1, \dots, x_n]/I$  by  $\Gamma(V)$ . □

**Problem 2.3.\***

Let  $W$  be a subvariety of a variety  $V$ , and let  $I_V(W)$  be the ideal of  $\Gamma(V)$  corresponding to  $W$ .

- (a) Show that every polynomial function on  $V$  restricts to a polynomial function on  $W$ .
- (b) Show that the map from  $\Gamma(V)$  to  $\Gamma(W)$  defined in part (a) is a surjective homomorphism with kernel  $I_V(W)$ , so that  $\Gamma(W)$  is isomorphic to  $\Gamma(V)/I_V(W)$ .

*Proof of (a).*

- (1) Given any polynomial function  $f \in \mathcal{F}(V, k)$  on  $V$ . There is a polynomial  $g \in k[x_1, \dots, x_n]$  such that  $f(P) = g(P)$  for all  $P \in V \supseteq W$ ; thus  $f(P) = g(P)$  for all  $P \in W$ , or  $f|_W$  is a polynomial function on  $W$ .
- (2) The map  $\alpha : \{\text{polynomial functions in } \mathcal{F}(V, k)\} \rightarrow \{\text{polynomial functions in } \mathcal{F}(W, k)\}$  in (1) is defined by

$$\alpha(f) = f|_W.$$

It is a ring homomorphism.

□

*Proof of (b).*

- (1) Identify  $\Gamma(V)$  (resp.  $\Gamma(W)$ ) with the set of all polynomial functions in  $\mathcal{F}(V, k)$  (resp. in  $\mathcal{F}(W, k)$ ) by Problem 2.1. The map

$$\alpha : \Gamma(V) \rightarrow \Gamma(W)$$

is defined by

$$\alpha(f + I(V)) = f + I(W).$$

It is well-defined by (a).

- (2) Show that  $\alpha$  is surjective. For any  $f + I(W) \in \Gamma(W)$ , take  $f + I(V) \in \Gamma(V)$  and then  $\alpha(f + I(V)) = f + I(W)$ . (The choice of  $f + I(V)$  depends on the representation of  $f + I(W)$  and thus might not be unique.)
- (3) Show that  $\ker(\alpha) = I_V(W)$ , and thus  $\Gamma(W) \cong \Gamma(V)/I_V(W)$ . Since  $\alpha$  is a surjective homomorphism,

$$\begin{aligned} \ker(\alpha) &= \Gamma(V)/\Gamma(W) \\ &= (k[x_1, \dots, x_n]/I(V))/(k[x_1, \dots, x_n]/I(W)) \\ &= I(W)/I(V) \\ &= I_V(W). \end{aligned}$$

□



**Problem 2.4.\***

Let  $V \subseteq \mathbf{A}^n$  be a nonempty variety. Show that the following are equivalent:

- (i)  $V$  is a point.
- (ii)  $\Gamma(V) = k$ .
- (iii)  $\dim_k \Gamma(V) < \infty$ .

*Proof.*

- (1) (i)  $\implies$  (ii). By Corollary 2 to the Hilbert's Nullstellensatz in §1.7,  $V = \{(a_1, \dots, a_n)\}$  corresponds to the maximal ideal

$$I(V) = (x_1 - a_1, \dots, x_n - a_n)$$

in  $k[x_1, \dots, x_n]$ . Hence,

$$\Gamma(V) = k[x_1, \dots, x_n]/(x_1 - a_1, \dots, x_n - a_n) \cong k$$

(by Problem 1.24).

- (2) (ii)  $\implies$  (iii).  $\dim_k(\Gamma(V)) = \dim_k(k) = 1 < \infty$ .
- (3) (iii)  $\implies$  (i). By Corollary 4 to the Hilbert's Nullstellensatz in §1.7,  $V$  is a finite set of points in  $\mathbf{A}^n$ . Since  $V$  is a nonempty variety,  $V$  is exactly a point.

□

**Problem 2.5.**

Let  $f$  be an irreducible polynomial in  $k[x, y]$ , and suppose  $f$  is monic in  $y$ :  $f = y^n + a_1(x)y^{n-1} + \dots + a_n(x)$ , with  $n > 0$ . Let  $V = V(f) \subseteq \mathbf{A}^2$ . Show that the natural homomorphism from  $k[x]$  to  $\Gamma(V) = k[x, y]/(f)$  is one-to-one, so that  $k[x]$  may be regarded as a subring of  $\Gamma(V)$ ; show that the residues  $\bar{1}, \bar{y}, \dots, \bar{y}^{n-1}$  generate  $\Gamma(V)$  over  $k[x]$  as a module.

*Proof.*

- (1)  $\Gamma(V) = k[x, y]/(f)$  is well-defined since  $f$  is irreducible. Define a ring homomorphism  $\alpha : k[x] \rightarrow \Gamma(V) = k[x, y]/(f)$  by

$$\alpha : g(x) \mapsto g(x) + (f(x, y)).$$

- (2) *Show that  $\alpha$  is one-to-one.* If there were a nonzero polynomial  $g \in k[x]$  such that  $\alpha(g) = 0$ , then  $g = fh$  for some nonzero polynomial  $h \in k[x, y]$ . Hence

$$0 = \deg_y(g) = \deg_y(f) + \deg_y(h) \geq n > 0,$$

which is absurd. Therefore,  $\alpha$  is one-to-one. Hence  $k[x]$  may be regarded as a subring of  $\Gamma(V)$ , and thus the multiplication in  $\Gamma(V)$  makes  $\Gamma(V)$  a  $k[x]$ -module.

- (3) Given any  $g(x, y) + (f(x, y)) \in k[x, y]/(f)$  where  $g \in k[x, y] = (k[x])[y]$ . By the division-with-remainder property of  $(k[x])[y]$ ,

$$g = fq + r$$

for some  $q, r \in (k[x])[y]$  and

$$r = r_1(x)y^{n-1} + \cdots + r_n(x)$$

where  $r_1, \dots, r_n \in k[x]$ . Hence

$$\begin{aligned} g + (f) &= fq + r + (f) \\ &= r + (f) \\ &= r_1(x)y^{n-1} + \cdots + r_n(x) + (f) \\ &= \underbrace{r_1(x)}_{\in k[x]} \bar{y}^{n-1} + \cdots + \underbrace{r_n(x)}_{\in k[x]} \bar{1}, \end{aligned}$$

which means that the residues  $\bar{1}, \bar{y}, \dots, \bar{y}^{n-1}$  generate  $\Gamma(V)$  over  $k[x]$  as a module.

□

## 2.2. Polynomial Maps

### Problem 2.6.\*

Let  $\varphi : V \rightarrow W$ ,  $\psi : W \rightarrow Z$ . Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . Show that the composition of polynomial maps is a polynomial map.

*Proof.*

- (1) *Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ .* It is equivalent to show that

$$(\widetilde{\psi \circ \varphi})(f) = (\widetilde{\varphi} \circ \widetilde{\psi})(f)$$

for all  $f \in \mathcal{F}(Z, k)$ . In fact,

$$\begin{aligned} (\widetilde{\psi \circ \varphi})(f) &= f \circ \psi \circ \varphi, \\ (\widetilde{\varphi} \circ \widetilde{\psi})(f) &= \widetilde{\varphi}(\widetilde{\psi}(f)) = \widetilde{\varphi}(f \circ \psi) = f \circ \psi \circ \varphi. \end{aligned}$$

- (2) Show that the composition of polynomial maps is a polynomial map. Say  $V \subseteq \mathbf{A}^n, W \subseteq \mathbf{A}^m, Z \subseteq \mathbf{A}^r$ . Since  $\varphi$  (resp.  $\psi$ ) is a polynomial map, there are polynomials  $t_1, \dots, t_m \in k[x_1, \dots, x_n]$  (resp.  $s_1, \dots, s_r \in k[x_1, \dots, x_m]$ ) such that

$$\begin{aligned}\varphi(P) &= (t_1(P), \dots, t_m(P)) \\ \psi(Q) &= (s_1(Q), \dots, s_r(Q))\end{aligned}$$

for all  $P \in V$  (resp.  $Q \in W$ ). Hence the composition  $\psi \circ \varphi$  is

$$\begin{aligned}(\psi \circ \varphi)(P) &= \psi(\varphi(P)) \\ &= \psi(t_1(P), \dots, t_m(P)) \\ &= (s_1(t_1(P), \dots, t_m(P)), \dots, s_r(t_1(P), \dots, t_m(P))).\end{aligned}$$

So there are polynomials  $y_1, \dots, y_r \in k[x_1, \dots, x_n]$  defined by

$$y_i(P) = s_i(t_1(P), \dots, t_m(P))$$

for all  $(a_1, \dots, a_n) \in \mathbf{A}^n$  such that

$$(\psi \circ \varphi)(P) = (y_1(P), \dots, y_r(P)).$$

(Note that the composition of polynomials is a polynomials.) Hence  $\psi \circ \varphi$  is a polynomial map.

□

### Problem 2.7.\*

If  $\varphi : V \rightarrow W$  is a polynomial map, and  $X$  is an algebraic subset of  $W$ , show that  $\varphi^{-1}(X)$  is an algebraic subset of  $V$ . If  $\varphi^{-1}(X)$  is irreducible, and  $X$  is contained in the image of  $\varphi$ , show that  $X$  is irreducible. This gives a useful test for irreducibility.

*Proof.*

- (1) Show that  $\varphi^{-1}(X) = V(\tilde{\varphi}(I(X)))$  is algebraic.

$$\begin{aligned}P \in \varphi^{-1}(X) &\iff \varphi(P) \in X \\ &\iff f(\varphi(P)) = 0 \forall f \in I(X) \\ &\iff \tilde{\varphi}(f)(P) = 0 \forall f \in I(X) \\ &\iff g(P) = 0 \forall g \in \tilde{\varphi}(I(X)) \\ &\iff P \in V(\tilde{\varphi}(I(X))).\end{aligned}$$

Also note that  $\tilde{\varphi}(I(X))$  is an ideal in  $k[x_1, \dots, x_n]$  since  $\varphi$  is a polynomial map.

- (2) If  $\varphi^{-1}(X)$  is irreducible, and  $X$  is contained in the image of  $\varphi$ , show that  $X$  is irreducible. (Reductio ad absurdum) Suppose that  $X$  were reducible or  $I(X)$  were not prime. So that there exist two polynomials  $f_1, f_2 \notin I(X)$  but  $f_1 f_2 \in I(X)$ . By definition of  $I(X)$ , there exist two points  $P_1, P_2 \in X$  such that  $f_i(P_i) \neq 0$  for  $i = 1, 2$ .
- (3) Since  $X$  is contained in the image of  $\varphi$ , there are two corresponding points  $Q_1, Q_2 \in \varphi^{-1}(X)$  such that  $\varphi(Q_i) = P_i$ . So  $\tilde{\varphi}(f_i)(Q_i) = f_i(P_i) \neq 0$ , or  $\tilde{\varphi}(f_i) \notin I(\varphi^{-1}(X))$ . However

$$\tilde{\varphi}(f_1)\tilde{\varphi}(f_2) = \tilde{\varphi}(f_1 f_2) \in I(\varphi^{-1}(X))$$

since  $f_1 f_2 \in I(X)$ , contrary to the primality of  $I(\varphi^{-1}(X))$ .

□

**Problem 2.8.**

- (a) Show that  $\{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\}$  is an affine variety.
- (b) Show that  $V(xz - y^2, yz - x^3, z^2 - x^2y) \subseteq \mathbf{A}^3(\mathbb{C})$  is a variety. (Hint:  $y^3 - x^4, z^3 - x^5, z^4 - y^5 \in I(V)$ . Find a polynomial map from  $\mathbf{A}^1(\mathbb{C})$  onto  $V$ .)

*Proof of (a).*

- (1) Let  $Y := \{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\}$  be the twisted cubic curve. By Problem 2.7, it suffices to show that there is a polynomial map from  $\mathbf{A}^1(k)$  onto  $Y$ . Here we use the fact that  $\mathbf{A}^1(k)$  is irreducible as  $k = \bar{k}$  is infinite (by Problem 1.29).
- (2) Define a mapping  $\varphi$  from  $\mathbf{A}^1(k)$  to  $Y$  by  $\varphi(t) = (t, t^2, t^3) \in Y$ .  $\varphi$  is a polynomial map. Also,  $\varphi$  is surjective.

□

*Note.* Also see Problems 1.11 and 1.33 (for the case  $k = \mathbb{C}$ ).

*Proof of (b).*

- (1) We prove for any algebraically closed field  $k$ .
- (2) Write

$$\begin{aligned} V &= V(xz - y^2, yz - x^3, z^2 - x^2y), \\ Y &= \{(t^3, t^4, t^5) \in \mathbf{A}^3(k) : t \in k\}. \end{aligned}$$

We want to show that  $Y = V$ .  $Y \subseteq V$  is trivial. Now given any  $(x, y, z) \in V$ . If  $x = 0$ , then  $y = z = 0$ . So  $(x, y, z) = (0, 0, 0) \in Y$ . If  $x \neq 0$ , define

$$t = \frac{y}{x} \in k.$$

Hence,

$$\begin{aligned} t^3 &= \frac{y^3}{x^3} = \frac{y(xz)}{x^3} = \frac{yz}{x^2} = \frac{x^3}{x^2} = x, \\ t^4 &= tx = y, \\ t^5 &= ty = \frac{y^2}{x} = \frac{xz}{x} = z. \end{aligned}$$

- (3) Same as (a). Define a mapping  $\varphi$  from  $\mathbf{A}^1(k)$  to  $Y = V$  by  $\varphi(t) = (t^3, t^4, t^5) \in Y = V$ .

□

*Note.*

- (1) We don't use the hint.
- (2) In fact, it is easy to show that

$$Y = V(y^3 - x^4, z^3 - x^5, z^4 - y^5).$$

- (3)  $I(V)$  is a prime ideal of height 2 in  $k[x, y, z]$  which cannot be generated by 2 elements. We say  $V$  is **not a local complete intersection**.

### Problem 2.9.\*

Let  $\varphi : V \rightarrow W$  be a polynomial map of affine varieties,  $V' \subseteq V$ ,  $W' \subseteq W$  subvarieties. Suppose  $\varphi(V') \subseteq W'$ .

- (a) Show that  $\tilde{\varphi}(I_W(W')) \subseteq I_V(V')$  (see Problems 2.3).
- (b) Show that the restriction of  $\varphi$  gives a polynomial map from  $V'$  to  $W'$ .

*Proof of (a).*

- (1) It suffices to show that  $f \in I_V(V')$  for any  $f = \tilde{\varphi}(g) \in \tilde{\varphi}(I_W(W'))$  for some  $g \in I_W(W')$ .
- (2) To show  $f \in I_V(V')$ , it suffices to show that  $f(P) = 0$  for all  $P \in \varphi(V')$ . In fact,

$$f(P) = \tilde{\varphi}(g)(P) = g(\varphi(P)) = 0$$

since  $\varphi(V') \subseteq W'$  and  $g \in I_W(W')$ .

□

*Proof of (b).*

(1) Similar to Problem 2.3.

(2) Since  $\varphi$  is a polynomial map, there are polynomials  $t_1, \dots, t_m \in k[x_1, \dots, x_n]$  such that

$$\varphi(P) = (t_1(P), \dots, t_m(P)) \in W$$

for all  $P \in V$ . So that  $\varphi|_{V'} : V' \rightarrow \varphi(V') \subseteq W'$  is also a polynomial map which is equipped with the same polynomials  $t_1, \dots, t_m$  such that

$$\varphi(P) = (t_1(P), \dots, t_m(P)) \in W' \subseteq W$$

for all  $P \in V' \subseteq V$ . (Note that both  $V'$  and  $W'$  are affine varieties.)

□

**Problem 2.10.\***

Show that the **projection map**  $\text{pr} : \mathbf{A}^n \rightarrow \mathbf{A}^r$ ,  $n \geq r$ , defined by  $\text{pr}(a_1, \dots, a_n) = (a_1, \dots, a_r)$  is a polynomial map.

*Proof.*

(1) Define  $t_i \in k[x_1, \dots, x_n]$  by  $t_i(x_1, \dots, x_n) = x_i$  for  $i = 1, \dots, r$ .

(2) Clearly,

$$\text{pr}(P) = (t_1(P), \dots, t_r(P))$$

for  $P = (a_1, \dots, a_n) \in \mathbf{A}^n$ , and thus  $\text{pr}$  is a polynomial map.

□

**Problem 2.11.**

Let  $f \in \Gamma(V)$ ,  $V$  a variety  $\subseteq \mathbf{A}^n$ . Define

$$\begin{aligned} G(f) = \{ & (a_1, \dots, a_n, a_{n+1}) \in \mathbf{A}^{n+1} \\ & : (a_1, \dots, a_n) \in V \text{ and } a_{n+1} = f(a_1, \dots, a_n) \}, \end{aligned}$$

the **graph** of  $f$ . Show that  $G(f)$  is an affine variety, and that the map  $(a_1, \dots, a_n) \mapsto (a_1, \dots, a_n, f(a_1, \dots, a_n))$  defines an isomorphism of  $V$  with  $G(f)$ . (Projection gives the inverse.)

*Proof.*

- (1) Define  $I = I(V)$  as an ideal in  $k[x_1, \dots, x_n]$ . Note that

$$G(f) = V(\underbrace{(I, x_{n+1} - f)}_{:=J}).$$

Here we can view  $I$  as an ideal of  $k[x_1, \dots, x_n, x_{n+1}]$ .

- (2) To show that  $G(f)$  is an affine variety, it suffices to show that

$$I(G(f)) = I(V(J)) = \text{rad}(J)$$

is prime (by Proposition 1 in §1.5 and the Hilbert's Nullstellensatz in §1.7).

Suppose  $gh \in I(G(f)) = \text{rad}(J)$ . Write

$$\begin{aligned} g &= \sum_i g_i x_{n+1}^i = \sum_i g_i (\underbrace{(x_{n+1} - f) + f}_{\in J})^i, \\ h &= \sum_j h_j x_{n+1}^j = \sum_j h_j (\underbrace{(x_{n+1} - f) + f}_{\in J})^j \end{aligned}$$

where  $g_i, h_j \in k[x_1, \dots, x_n]$ .

- (3) Hence

$$\begin{aligned} \text{rad}(J) &= gh + \text{rad}(J) && (gh \in \text{rad}(J)) \\ &= (g + \text{rad}(J))(h + \text{rad}(J)) \\ &= \left( \sum_i g_i f^i + \text{rad}(J) \right) \left( \sum_j h_j f^j + \text{rad}(J) \right) && (x_{n+1} - f \in J) \\ &= \left( \sum_i g_i f^i \right) \left( \sum_j h_j f^j \right) + \text{rad}(J) \end{aligned}$$

or

$$\underbrace{\left( \sum_i g_i f^i \right)^N \left( \sum_j h_j f^j \right)^N}_{\in k[x_1, \dots, x_n]} \in J = (I, x_{n+1} - f)$$

for some positive integer  $N$ . So that  $(\sum_i g_i f^i)^N (\sum_j h_j f^j)^N \in I$ .

- (4) Since  $I = I(V)$  is a prime ideal, we might get  $\sum_i g_i f^i \in I \subseteq \text{rad}(J)$ . (The case  $\sum_j h_j f^j$  is similar.) Hence  $\text{rad}(J) = I(G(f))$  is a prime ideal, or  $G(f)$  is irreducible.

- (5) As  $G(f)$  is an affine variety, the map  $\alpha : V \rightarrow G(f)$  defined by

$$\alpha : (a_1, \dots, a_n) \mapsto (a_1, \dots, a_n, f(a_1, \dots, a_n))$$

is a polynomial map. (Here  $t_1 = x_1, \dots, t_n = x_n$  and  $t_{n+1} = f$ .)

- (6) By Problem 2.10, the projection map  $\text{pr}$  is a polynomial map. Also note that  $\text{pr} \circ \alpha = 1_V$  and  $\alpha \circ \text{pr} = 1_{G(f)}$ . Therefore,  $V \cong G(f)$  as an affine variety isomorphism.

□

**Problem 2.12.**

- (a) Let  $\varphi : \mathbf{A}^1 \rightarrow V = V(y^2 - x^3) \subseteq \mathbf{A}^2$  be defined by  $\varphi(t) = (t^2, t^3)$ . Show that although  $\varphi$  is a one-to-one, onto polynomial map,  $\varphi$  is not an isomorphism. (Hint:  $\tilde{\varphi}(\Gamma(V)) = k[t^2, t^3] \subsetneq k[t] = \Gamma(\mathbf{A}^1)$ .)
- (b) Let  $\varphi : \mathbf{A}^1 \rightarrow V = V(y^2 - x^2(x+1))$  be defined by  $\varphi(t) = (t^2 - 1, t(t^2 - 1))$ . Show that  $\varphi$  is one-to-one and onto, except that  $\varphi(\pm 1) = (0, 0)$ .

*Proof of (a).*

- (1) Similar to Problem 2.8(a),  $\varphi$  is a polynomial map.
- (2) Similar to Problem 2.8(a) again,

$$V = V(y^2 - x^3) = \{(t^2, t^3) \in \mathbf{A}^2(k) : t \in k\}.$$

Hence the map  $\varphi : t \mapsto (t^2, t^3)$  is surjective.

- (3) Show that  $\varphi$  is injective. Suppose  $(t^2, t^3) = (s^2, s^3)$  for some  $t, s \in k$ . If  $t = 0$ , then  $s = 0$ . If  $t \neq 0$ , then  $t = \frac{t^3}{t^2} = \frac{s^3}{s^2} = s$ . In any case,  $t = s$  whenever  $(t^2, t^3) = (s^2, s^3)$ .
- (4) Show that  $\varphi$  is not an isomorphism. It suffices to show that  $\tilde{\varphi}(\Gamma(V)) \subsetneq \Gamma(\mathbf{A}^1)$  by Proposition 1. For any  $f \in \Gamma(V)$ ,

$$\tilde{\varphi}(f)(t) = (f \circ \varphi)(t) = f(t^2, t^3) \in k[t^2, t^3].$$

Hence,

$$\tilde{\varphi}(\Gamma(V)) \subseteq k[t^2, t^3] \subsetneq k[t] = \Gamma(\mathbf{A}^1).$$

(Here note that  $t \notin k[t^2, t^3]$  but  $t \in k[t]$ .)

□

*Proof of (b).*

- (1) Write

$$Y = \{(t^2 - 1, t(t^2 - 1)) \in \mathbf{A}^2(k) : t \in k\}.$$

Show that  $Y = V$ . Similar to Problem 2.8(a). It suffices to show that  $(x, y) \in Y$  for any  $(x, y) \in V$ . If  $x = 0$ , then  $y = 0$  or  $(x, y) = (0, 0) \in Y$



whenever  $t = \pm 1$ . (In fact,  $(0, 0) = (t^2 - 1, t(t^2 - 1))$  iff  $t^2 - 1 = 0$  iff  $t = \pm 1$  in any field.) If  $x \neq 0$ , define

$$t = \frac{y}{x} \in k.$$

So  $y = tx$  and thus

$$0 = y^2 - x^2(x + 1) = t^2x^2 - x^2(x + 1) = x^2(t^2 - (x + 1)).$$

Since  $x \neq 0$  and  $k$  is a field, we have

$$t^2 - (x + 1) = 0 \iff x = t^2 - 1.$$

Hence,  $y = tx = t(t^2 - 1)$  and therefore  $(x, y) \in Y$ .

- (2) By (1),  $\varphi$  is surjective and  $\varphi(\pm 1) = (0, 0)$ .
- (3) Show that  $\varphi$  is injective except that  $\varphi(\pm 1) = (0, 0)$ . Given  $t, s \in k$ . It suffices to show that  $t = s$  whenever  $(t^2 - 1, t(t^2 - 1)) = (s^2 - 1, s(s^2 - 1)) \neq (0, 0)$ . In fact, by assumption we have  $t^2 - 1 = s^2 - 1 \neq 0$  by assumption. Therefore,

$$t = \frac{t(t^2 - 1)}{t^2 - 1} = \frac{s(s^2 - 1)}{s^2 - 1} = s.$$

□

### Problem 2.13.

Let  $V = V(x^2 - y^3, y^2 - z^3) \subseteq \mathbf{A}^3$  as in Problem 1.40,  $\bar{\alpha} : \Gamma(V) \rightarrow k[t]$  induced by the homomorphism  $\alpha$  of that problem.

- (a) What is the polynomial map  $f$  from  $\mathbf{A}^1$  to  $V$  such that  $\tilde{f} = \bar{\alpha}$ ?
- (b) Show that  $f$  is one-to-one and onto, but not an isomorphism.

*Proof of (a).*

- (1) Write

$$Y = \{(t^9, t^6, t^4) \in \mathbf{A}^3(k) : t \in k\}.$$

Show that  $Y = V$ . Similar to Problem 2.8(a). It suffices to show that  $(x, y, z) \in Y$  for any  $(x, y, z) \in V$ . If  $x = 0$ , then  $y = z = 0$  or  $(x, y, z) = (0, 0, 0) \in Y$  by taking  $t = 0$ . If  $x \neq 0$ , define

$$t = \frac{yz}{x} \in k.$$

Hence,

$$\begin{aligned} t^9 &= \frac{y^9 z^9}{x^9} = \frac{y^{15}}{x^9} = \frac{x^{10}}{x^9} = x, \\ t^6 &= \frac{y^6 z^6}{x^6} = \frac{y^5 z^6}{x^6} y = \frac{y^9}{x^6} y = \frac{x^6}{x^6} y = y, \\ t^4 &= \frac{y^4 z^4}{x^4} = \frac{y^4 z^3}{x^4} z = \frac{y^6}{x^4} z = \frac{x^4}{x^4} z = z. \end{aligned}$$

(2) Define a mapping  $f : \mathbf{A}^1 \rightarrow \mathbf{A}^3$  by

$$f : t \mapsto (t^9, t^6, t^4).$$

$f$  is a polynomial map by construction. By (1),  $f : \mathbf{A}^1 \rightarrow f(\mathbf{A}^1) = V$  and thus  $\tilde{f} = \bar{\alpha}$  by the definition of  $\alpha$ .

□

*Proof of (b).*

(1) Similar to Problem 2.12(a).

(2)  $f$  is surjective by the proof of (a).

(3) *Show that  $f$  is injective.* Suppose  $(t^9, t^6, t^4) = (s^9, s^6, s^4)$  for some  $t, s \in k$ . If  $t = 0$ , then  $s = 0$ . If  $t \neq 0$ , then  $t = \frac{t^6 t^4}{t^9} = \frac{s^6 s^4}{s^9} = s$ . In any case,  $t = s$  whenever  $(t^9, t^6, t^4) = (s^9, s^6, s^4)$ .

(4) *Show that  $f$  is not an isomorphism.* It suffices to show that  $\tilde{f}(\Gamma(V)) \subsetneq \Gamma(\mathbf{A}^1)$  by Proposition 1. For any  $g \in \Gamma(V)$ ,

$$\tilde{f}(g)(t) = (g \circ f)(t) = g(t^9, t^6, t^4) \in k[t^4, t^6, t^9].$$

Hence,

$$\tilde{\varphi}(\Gamma(V)) \subseteq k[t^4, t^6, t^9] \subsetneq k[t] = \Gamma(\mathbf{A}^1).$$

(Here note that  $t \notin k[t^4, t^6, t^9]$  but  $t \in k[t]$ .)

□

## 2.3. Coordinate Changes

### Problem 2.14.\* (Linear subvariety)

A set  $V \subseteq \mathbf{A}^n(k)$  is called a **linear subvariety** of  $\mathbf{A}^n(k)$  if  $V = V(f_1, \dots, f_r)$  for some polynomials  $f_i$  of degree 1.

- (a) Show that if  $t$  is an affine change of coordinates on  $\mathbf{A}^n(k)$ , then  $V^t$  is also a linear subvariety of  $\mathbf{A}^n(k)$ .
- (b) If  $V \neq \emptyset$ , show that there is an affine change of coordinates  $t$  of  $\mathbf{A}^n$  such that  $V^t = V(x_{m+1}, \dots, x_n)$ . (Hint: use induction on  $r$ .) So  $V$  is a variety.
- (c) Show that the  $m$  that appears in part (b) is independent of the choice of  $t$ . It is called the dimension of  $V$ . Then  $V$  is then isomorphic (as a variety) to  $\mathbf{A}^m(k)$ . (Hint: Suppose there were an affine change of coordinates  $t$  such that  $V(x_{m+1}, \dots, x_n)^t = V(x_{s+1}, \dots, x_n)$ ,  $m < s$ ; show that  $t_{m+1}, \dots, t_n$  would be dependent.)

*Proof of (a).*

- (1) Say  $t = (t_1, \dots, t_n)$  is an affine change of coordinates, and  $V = V(f_1, \dots, f_r)$  for some polynomials  $f_i$  of degree 1.
- (2) Show that  $V$  is a variety. Might assume that  $V \neq \emptyset$ . So it suffices to show that  $I(V)$  is a prime ideal or  $k[x_1, \dots, x_n]/I(V)$  is a domain.
- (3) By the Gaussian elimination, we can write the system of linear equations

$$\begin{cases} f_1 = 0 \\ \dots \\ f_r = 0 \end{cases}$$

in the reduced row echelon form

$$\begin{cases} g_1 := x_{c(1)} + a_{1,c(1)+1}x_{c(1)+1} + \dots + a_{1,n}x_n - b_1 \\ \dots \\ g_m := x_{c(m)} + a_{m,c(m)+1}x_{c(m)+1} \dots + a_{m,n}x_n - b_m \\ g_{m+1} := 0 \\ \dots \\ g_r := 0 \end{cases}$$

where  $c(1) < c(2) < \dots < c(m)$  for some  $m \leq n$ . (Note that each  $g_i$  cannot be a nonzero constant as  $V \neq \emptyset$ .)

- (4) Might assume that  $c(i) = i$  for convenience. Note that  $V(f_1, \dots, f_r) = V(g_1, \dots, g_r)$  by linear algebra. Now given any  $f + I(V) \in k[x_1, \dots, x_n]/I(V)$ , we replace  $x_1$  by the relation  $g_1 = b_1$  to get

$$\begin{aligned} f + I(V) &= f(-a_{1,2}x_2 - \dots - a_{1,n}x_n - b_1, x_2, \dots, x_n) + I(V) \\ &:= f_1 + I(V) \end{aligned}$$

where  $f_1 \in k[x_2, \dots, x_n]$ . Continue this process to get

$$\begin{aligned} f + I(V) &= f_1 + I(V) \text{ for some } f_1 \in k[x_2, \dots, x_n]. \\ f_1 + I(V) &= f_2 + I(V) \text{ for some } f_2 \in k[x_3, \dots, x_n]. \\ &\dots \\ f_{m-1} + I(V) &= f_m + I(V) \text{ for some } f_m \in k[x_{m+1}, \dots, x_n]. \end{aligned}$$

Hence, a routine shows that there is a ring isomorphism

$$\alpha : k[x_1, \dots, x_n]/I(V) \rightarrow k[x_{m+1}, \dots, x_n].$$

Note that  $k[x_{m+1}, \dots, x_n]$  is a domain. Therefore, the statement in (2) is proved.

(5) By (2),  $I(V) = (f_1, \dots, f_r) = (g_1, \dots, g_m)$ . So

$$I(V)^t = (f_1^t, \dots, f_r^t) = (g_1^t, \dots, g_m^t)$$

where each  $f_i^t$  or  $g_j^t$  is also a linear polynomial. Thus

$$V^t = V(I(V)^t) = V(f_1^t, \dots, f_r^t) = V(g_1^t, \dots, g_m^t)$$

is also a linear subvariety of  $\mathbf{A}^n(k)$ .

□

*Proof of (b).*

(1)

□

*Proof of (c).*

(1)

□

## 2.4. Rational Functions and Local Rings

## 2.5. Discrete Valuation Rings

### Problem 2.23.\*

*Show that the order function on  $K$  is independent of the choice of uniformizing parameter.*

*Proof.*

- (1) Show that a uniformizing parameter is unique up to a unit. Suppose  $t$  and  $t'$  are two uniformizing parameters for a discrete valuation ring  $R$  with the quotient field  $K$ . Since  $R$  is a DVR, the maximal ideal is

$$\mathfrak{m} = (t) = (s).$$

As  $s \in (t)$ , there is an element  $a \in R$  such that  $s = at$ . As  $s$  is irreducible (by the maximality of  $\mathfrak{m}$ ),  $a$  is a unit or  $t$  is a unit (which is impossible). Hence  $s = at$  for some unit  $a \in R$ .

- (2) For any  $z \in K$ , write

$$z = ut^n = vs^m$$

for some units  $u, v$  and integers  $n \geq m$ . (The case  $n \leq m$  is similar.) Replace  $s = at$  to get  $ut^n = va^mt^m$ . So  $t^{n-m} = u^{-1}va^m$  is a unit. Hence,  $m = n$ , or the order function on  $K$  is independent of the choice of uniformizing parameter.

□

**Problem 2.24.\***

Let  $V = \mathbf{A}^1$ ,  $\Gamma(V) = k[x]$ ,  $K = k(V) = k(x)$ .

- (a) For each  $a \in k = V$ , show that  $\mathcal{O}_a(V)$  is a DVR with uniformizing parameter  $t = x - a$ .
- (b) Show that  $\mathcal{O}_\infty = \{f/g \in k(x) : \deg(g) \geq \deg(f)\}$  is also a DVR, with uniformizing parameter  $t = 1/x$ .

*Proof of (a).*

- (1) By Proposition 7 in §2.4,  $\mathcal{O}_a(V)$  is a (Noetherian) local domain. It suffices to show that  $t = x - a$  is an irreducible element in  $\mathcal{O}_a(V)$  such that every nonzero  $z \in \mathcal{O}_a(V)$  might be written uniquely in the form  $z = ut^n$ ,  $u$  a unit in  $\mathcal{O}_a(V)$ ,  $n$  a nonnegative integer (by Proposition 4).
- (2) Write  $z = f/g \in \mathcal{O}_a(V)$  where  $g(a) \neq 0$ . By Problem 1.7,

$$f = \sum_{i=0}^{\deg(f)} \lambda_i (x - a)^i.$$

Let  $n$  be the smallest integer such that  $\lambda_n \neq 0$ . (Such  $n$  is existed since  $z$  or  $f$  is nonzero.) Hence,  $f = f_1(x - a)^n$  where  $f_1 = \sum_{i=n}^{\deg(f)} \lambda_i (x - a)^{i-n} \neq 0$  and  $f_1(a) = \lambda_n \neq 0$ . So

$$z = f/g = (f_1/g)(x - a)^n.$$

Here  $f_1/g$  is a unit in  $\mathcal{O}_a(V)$ . Besides, it is easy to show that  $n$  is unique by the similar argument in Problem 2.23. Hence,  $\mathcal{O}_a(V)$  is a DVR with uniformizing parameter  $t = x - a$ .

□

*Proof of (b).*

- (1) Show that  $\mathcal{O}_\infty$  is a subring of  $k(x)$ . Clearly,  $1 = 1/1 \in \mathcal{O}_\infty$ . Also, given any  $f = a/b, g = c/d \in \mathcal{O}_\infty$ . So

$$\begin{aligned} f - g &= a/b - c/d = \frac{ad - bc}{bd} \in \mathcal{O}_\infty \\ fg &= a/b \cdot c/d = \frac{ac}{bd} \in \mathcal{O}_\infty \end{aligned}$$

since

$$\begin{aligned} \deg(ad - bc) &\leq \max(\deg(ad), \deg(bc)) \\ &\leq \max(\deg(a) + \deg(d), \deg(b) + \deg(c)) \\ &\leq \max(\deg(b) + \deg(d), \deg(b) + \deg(d)) \\ &\leq \deg(b) + \deg(d) \\ &\leq \deg(bd) \end{aligned}$$

and

$$\deg(ac) = \deg(a) + \deg(c) \leq \deg(b) + \deg(d) = \deg(bd).$$

(Here we define  $\deg(0) = -\infty$  by convention.) By the subring test,  $\mathcal{O}_\infty$  is a subring of  $k(x)$ .

- (2) Show that  $\mathcal{O}_\infty$  is a DVR. Clearly  $\mathcal{O}_\infty$  is not a field since  $1/x \in \mathcal{O}_\infty$  but  $x = x/1 \notin \mathcal{O}_\infty$ . Let  $t = 1/x$  be an irreducible element of  $\mathcal{O}_\infty$ . ( $\deg(x) = 1$  implies the irreducibility of  $t$ .) Now for any nonzero  $f/g \in \mathcal{O}_\infty$ , write

$$f/g = ((fx^n)/g)(1/x^n) = ((fx^n)/g)t^n$$

where  $n := \deg(g) - \deg(f) \geq 0$ . Note that  $\deg(fx^n) = \deg(f) + n = \deg(g)$ . So  $(fx^n)/g$  is a unit since the inverse  $g/(fx^n)$  is also in  $\mathcal{O}_\infty$ . Besides, it is easy to show that  $n$  is unique by the similar argument in Problem 2.23. Hence,  $\mathcal{O}_\infty$  is a DVR.

□

*Note.*

- (1) The quotient field of  $\mathcal{O}_\infty$  is  $K = k(V) = k(x)$ .
- (2) The set of units in  $\mathcal{O}_\infty(V)$  is  $\{f/g \in k(x) : \deg(g) = \deg(f)\}$ .
- (3) The maximal ideal of  $\mathcal{O}_\infty(V)$  is  $\{f/g \in k(x) : \deg(g) > \deg(f)\}$ .

**Problem 2.25. ( $p$ -adic integers)**

Let  $p \in \mathbb{Z}$  be a prime number. Show that

$$\{r \in \mathbb{Q} : r = a/b, a, b \in \mathbb{Z}, p \text{ doesn't divide } b\}$$

is a DVR with quotient field  $\mathbb{Q}$ .

*Proof.*

- (1) Let

$$\mathbb{Z}_p = \{r \in \mathbb{Q} : r = a/b, a, b \in \mathbb{Z}, p \nmid b\}$$

be the set of all  $p$ -adic integers.

- (2) Show that  $\mathbb{Z}_p$  is a subring of  $\mathbb{Q}$ . Clearly,  $1 = 1/1 \in \mathbb{Z}_p$  (since  $p \nmid 1$ ). Also, given any  $r = a/b, s = c/d \in \mathbb{Z}_p$ . So

$$\begin{aligned} r - s &= a/b - c/d = \frac{ad - bc}{bd} \in \mathbb{Z}_p \\ rs &= a/b \cdot c/d = \frac{ac}{bd} \in \mathbb{Z}_p \end{aligned}$$

since  $p \nmid b, p \nmid d$  and  $p$  is a prime number. By the subring test,  $\mathbb{Z}_p$  is a subring of  $\mathbb{Q}$ .

- (3) Note that  $\mathbb{Z}_p \subseteq \mathbb{Q}$  is a domain and  $\mathbb{Z}_p$  is not a field (since  $p = p/1 \in \mathbb{Z}_p$  but  $p^{-1} = 1/p \notin \mathbb{Z}_p$ ).
- (4) Let  $t = p$  be an irreducible element in  $\mathbb{Z}_p$ . For the irreducibility of  $t = p$ , we write  $p = a/b \cdot c/d = \frac{ac}{bd}$  where  $p \nmid b, p \nmid d$ . So  $pbd = ac$  or

$$1 = \text{ord}_p(ac) = \text{ord}_p(a) + \text{ord}_p(c).$$

Here  $\text{ord}_p : \mathbb{Z} \rightarrow \mathbb{Z}_{\geq 0}$  is defined by  $\text{ord}_p(a) = n$  where  $n$  is the largest number such that  $p^n$  divides  $a$ , that is,  $p^n \mid a$  and  $p^{n+1} \nmid a$ . So  $(\text{ord}_p(a), \text{ord}_p(c)) = (0, 1)$  or  $(1, 0)$ . Hence,  $a/b$  or  $c/d$  is a unit in  $\mathbb{Z}_p$ , or  $p$  is irreducible in  $\mathbb{Z}_p$ .

- (5) For any nonzero  $r = a/b \in \mathbb{Z}_p$ ,  $a \neq 0$  can be written as  $a = p^n c$  for some nonnegative integer  $n$  and  $c \in \mathbb{Z}^+$  uniquely. Hence

$$r = a/b = (c/b)p^n = (c/b)t^n,$$

where  $c/b$  is a unit and  $n$  is a nonnegative integer. Besides, it is easy to show that  $n$  is unique by the similar argument in Problem 2.23. By Proposition 4,  $\mathbb{Z}_p$  is a DVR.

- (6) Show that the quotient field of  $\mathbb{Z}_p$  is  $\mathbb{Q}$ . It suffices to show that  $r$  is in the quotient field of  $\mathbb{Z}_p$  if  $r \in \mathbb{Q} - \mathbb{Z}_p$ . Note that  $r \neq 0$ . Write  $r = a/b$  with  $\gcd(a, b) = 1$ . As  $r \notin \mathbb{Z}_p$ ,  $p \mid b$  and  $p \nmid a$ . Therefore,  $1/r = b/a \in \mathbb{Z}_p$ , or  $r$  is in the quotient field of  $\mathbb{Z}_p$ .

□

*Note.*

- (1)  $p\mathbb{Z}_p$  is the maximal ideal of  $\mathbb{Z}_p$ .
- (2) The residue field  $\mathbb{Z}_p/p\mathbb{Z}_p \cong \mathbb{Z}/p\mathbb{Z}$ .

**Problem 2.26.\***

Let  $R$  be a DVR with quotient field  $K$ ; let  $\mathfrak{m}$  be the maximal ideal of  $R$ .

- (a) Show that if  $z \in K$ ,  $z \notin R$ , then  $z^{-1} \in \mathfrak{m}$ .
- (b) Suppose  $R \subseteq S \subseteq K$ , and  $S$  is also a DVR. Suppose the maximal ideal of  $S$  contains  $\mathfrak{m}$ . Show that  $S = R$ .

*Proof of (a).*

- (1) Suppose  $t$  is one uniformizing parameter for  $R$ . If  $z \in K - R$ , then we can write  $z = ut^{-n}$  for some unit  $u \in R$  and  $n \in \mathbb{Z}^+$ .
- (2) Hence,

$$z^{-1} = u^{-1}t^n.$$

Since  $u^{-1}$  is a unit in  $R$  and  $n > 0$ ,  $z^{-1} \in \mathfrak{m}$ .

□

*Proof of (b).*

- (1) (Reductio ad absurdum) Suppose  $z \in S - R \subseteq K - R$ . By (a),  $z^{-1} \in \mathfrak{m}$ . So  $z^{-1}$  is in the maximal ideal  $\mathfrak{m}'$  of  $S$  containing  $\mathfrak{m}$ .
- (2) As  $\mathfrak{m}'$  is an ideal,  $1 = z \cdot z^{-1} \in \mathfrak{m}'$ , which is absurd. Therefore,  $S = R$ .

□

**Problem 2.27.**

Show that the DVR's of Problem 2.24 are the only DVR's with quotient field  $k(x)$  that contain  $k$ . Show that those of Problem 2.25 are the only DVR's with quotient field  $\mathbb{Q}$ .

*Proof (Problem 2.26).*



- (1) Show that  $\mathcal{O}_a(V)$  and  $\mathcal{O}_\infty$  are the only DVR's with quotient field  $k(x)$  that contain  $k$ .

- (a) Let  $k \subseteq R \subsetneq k(x)$  be a DVR with quotient field  $k(x)$ ,  $\mathfrak{m}$  be the unique maximal ideal of  $R$ .  $\mathfrak{m} \neq (0)$  and the set of units in  $R$  is  $R - \mathfrak{m}$ .  
(b) There are two possible cases:  $x \in R$  or  $x \notin R$ .  
(c) Suppose  $x \in R$ . So  $R$  contains  $k[x]$  as a subring. Consider the subset

$$S := \{x - a \in k[x] : a \in k\} \cap \mathfrak{m} \subseteq \mathfrak{m}.$$

Suppose there were two distinct elements  $x - a, x - b \in S$ . Then  $1 \in \mathfrak{m}$ , contrary to the maximality of  $\mathfrak{m}$ . Suppose  $S = \emptyset$ , then every  $x - a$  is a unit in  $R$ . Since  $k = \bar{k}$ ,  $R = k(x)$  is a field, which is absurd. Hence, there is only one  $x - a \in \mathfrak{m}$  for one unique  $a \in k$  and other  $x - b$  with  $b \neq a$  is a unit in  $R$ . Thus,  $R \supseteq \mathcal{O}_a(V)$  and  $\mathfrak{m}$  contains  $(x - a)\mathcal{O}_a(V)$ , which is the maximal ideal of  $\mathcal{O}_a(V)$ . By Problem 2.26,  $R = \mathcal{O}_a(V)$ .

- (d) If  $x \notin R$ , then  $x - a \notin R$  whenever  $a \in k \subseteq R$ . Hence  $(x - a)^{-1} \in \mathfrak{m}$  whenever  $a \in k$  by Problem 2.26(a). Next, given any  $f/g \in \mathcal{O}_\infty$ , by  $k = \bar{k}$  we have

$$f/g = \underbrace{u}_{\in k} \underbrace{\frac{x - \alpha_1}{x - \beta_1}}_{\in R} \cdots \underbrace{\frac{x - \alpha_n}{x - \beta_n}}_{\in R} \underbrace{\frac{1}{x - \beta_{n+1}}}_{\in \mathfrak{m}} \cdots \underbrace{\frac{1}{x - \beta_m}}_{\in \mathfrak{m}},$$

where  $n := \deg(f)$ ,  $m := \deg(g)$  and  $n \leq m$ . Here

$$\frac{x - \alpha_i}{x - \beta_i} = \underbrace{1}_{\in k} + \underbrace{\frac{\beta_i - \alpha_i}{x - \beta_i}}_{\in \mathfrak{m} \subseteq R} \in R.$$

Therefore,  $R \supseteq \mathcal{O}_\infty$  and  $\mathfrak{m}$  contains the maximal ideal  $x^{-1}\mathcal{O}_\infty$  of  $\mathcal{O}_\infty$ . By Problem 2.26,  $R = \mathcal{O}_\infty$ .

- (2) Show that  $\mathbb{Z}_p$  are the only DVR's with quotient field  $\mathbb{Q}$ .

- (a) Let  $R \subseteq \mathbb{Q}$  be a DVR with quotient field  $\mathbb{Q}$ ,  $\mathfrak{m}$  be the unique maximal ideal of  $R$ .  $\mathfrak{m} \neq (0)$  and the set of units in  $R$  is  $R - \mathfrak{m}$ .  
(b) Note that  $R \subseteq \mathbb{Q}$  contains  $\mathbb{Z}$  as a subring. Consider the subset

$$S := \{p \in \mathbb{Z} : p \text{ is a prime number}\} \cap \mathfrak{m} \subseteq \mathfrak{m}.$$

- (c) Suppose there were two distinct prime integers  $p, q \in S$ . By the Bézout's identity, there exist integers  $a$  and  $b$  such that  $pa + qb = 1$ .  $1 \in \mathfrak{m}$ , contrary to the maximality of  $\mathfrak{m}$ .  
(d) Suppose no prime integer were in  $S$ , then every prime integer is a unit in  $R$ . By the fundamental theorem of arithmetic,  $R = \mathbb{Q}$  is a field, which is absurd.

- (e) By (c)(d),  $p \in \mathfrak{m}$  for one unique prime  $p \in \mathbb{Z}$ . Thus,  $R \supseteq \mathbb{Z}_p$  by the definition of  $\mathbb{Z}_p$  and  $\mathfrak{m}$  contains  $p\mathbb{Z}_p$ , which is the maximal ideal of  $\mathbb{Z}_p$ . By Problem 2.26,  $R = \mathbb{Z}_p$ .

□

**Problem 2.28.\***

An order function on a field  $K$  is a function  $\varphi$  from  $K$  onto  $\mathbb{Z} \cup \{\infty\}$ , satisfying:

- (i)  $\varphi(a) = \infty$  if and only if  $a = 0$ .
- (ii)  $\varphi(ab) = \varphi(a) + \varphi(b)$ .
- (iii)  $\varphi(a + b) \geq \min(\varphi(a), \varphi(b))$ .

Show that  $R = \{z \in K : \varphi(z) \geq 0\}$  is a DVR with maximal ideal  $\mathfrak{m} = \{z \in K : \varphi(z) > 0\}$ , and quotient field  $K$ . Conversely, show that if  $R$  is a DVR with quotient field  $K$ , then the function  $\text{ord} : K \rightarrow \mathbb{Z} \cup \{\infty\}$  is an order function on  $K$ . Giving a DVR with quotient field  $K$  is equivalent to defining an order function on  $K$ .

*Proof.*

- (1) Show that  $\varphi(1) = 0$ . Note that  $\varphi(1) = \varphi(1 \cdot 1) = \varphi(1) + \varphi(1)$  by (ii). By Property (i) of  $\varphi$ , we cancel  $\varphi(1) \in \mathbb{Z}$  on the both side to get  $\varphi(1) = 0$ .
- (2) Show that  $\varphi(-z) = \varphi(z)$  for all  $z \in K$ , and  $\varphi(z^{-1}) = -\varphi(z)$  for all  $z \in K - \{0\}$ . Note that  $\varphi(-1) = 0$  since  $0 = \varphi(1) = \varphi((-1) \cdot (-1)) = \varphi(-1) + \varphi(-1)$  (by (1)). Therefore,

$$\varphi(-z) = \varphi((-1) \cdot z) = \varphi(-1) + \varphi(z) = \varphi(z).$$

Besides,

$$0 = \varphi(1) = \varphi(z z^{-1}) = \varphi(z) + \varphi(z^{-1})$$

if  $z \neq 0$ . So  $\varphi(z^{-1}) = -\varphi(z)$  if  $z \neq 0$ .

- (3) Show that  $R = \{z \in K : \varphi(z) \geq 0\}$  is a ring.

- (a)  $R \neq \emptyset$  since  $1 \in R$ .
- (b) If  $a, b \in R$ , then

$$\varphi(a - b) \geq \min(\varphi(a), \varphi(-b)) = \min(\varphi(a), \varphi(b)) \geq 0$$

(by (2)), or  $a - b \in R$ .

- (c) If  $a, b \in R$ , then  $\varphi(ab) = \varphi(a) + \varphi(b) \geq 0$ .

By the subring test,  $R$  is a subring of  $K$ .

- (4) Show that  $\{z \in K - \{0\} : \varphi(z) = 0\}$  is the set of all units in  $R$ . Given any  $z \in K - \{0\}$ , we have

$$0 = \varphi(z) + \varphi(z^{-1})$$

(by (2)). Hence  $z$  is a unit in  $R$  iff  $z, z^{-1} \in R$  iff  $\varphi(z) = \varphi(z^{-1}) = 0$ .

- (5) Show that  $\mathfrak{m} = \{z \in K : \varphi(z) > 0\}$  is a maximal ideal of  $R$ .

(a) If  $a, b \in \mathfrak{m}$ , then  $\varphi(a + b) \geq \min(\varphi(a), \varphi(b)) > 0$ .

(b) If  $a \in \mathfrak{m}$  and  $r \in R$ , then  $\varphi(ra) = \varphi(r) + \varphi(a) \geq \varphi(a) > 0$ .

(c) By (a)(b),  $\mathfrak{m}$  is an ideal of  $R$ .

(d) Note that each proper ideal in  $R$  does not have any unit, that is, such proper ideal is contained in  $\{z \in K : \varphi(z) > 0\} = \mathfrak{m}$  exactly (by (4)). Therefore,  $\mathfrak{m}$  is maximal. (Such maximal ideal  $\mathfrak{m}$  is unique and thus  $R$  is a local ring.)

- (6) Show that  $R$  is a DVR. It suffices to show that there is an irreducible element  $t \in R$  such that every nonzero  $z \in R$  may be written uniquely in the form  $z = ut^n$ ,  $u$  a unit in  $R$ ,  $n$  a nonnegative integer. Since  $\varphi$  is surjective, there is an element  $t \in R$  such that  $\varphi(t) = 1$ . Note that  $t \neq 0$  and irreducible (by using Property (ii) of  $\varphi$ ). Hence for any nonzero  $z \in R$  with  $n := \varphi(z) \in \mathbb{Z}$  and  $n \geq 0$ , the order of  $zt^{-n} \in K$  is

$$\varphi(zt^{-n}) = \varphi(z) - n\varphi(t) = n - n \cdot 1 = 0$$

(by (2)). That is,  $zt^{-n} = u$  is a unit in  $R$  (by (4)). Hence  $z = ut^n$  for some unit  $u \in R$  and nonnegative integer  $n$ . Note that  $n$  is uniquely determined by  $\varphi(z)$ . By Proposition 4,  $R$  is a DVR.

- (7) Show that the quotient field of  $R$  is  $K$ . Since  $R$  is a DVR, the quotient field of  $R$  is contained in  $K$ . Conversely, given any  $z \in K$ . If  $\varphi(z) \geq 0$ , then  $z \in R \subseteq K$ . If  $\varphi(z) < 0$ , then  $\varphi(z^{-1}) = -\varphi(z) > 0$  or  $z^{-1} \in R$ . Hence  $z = 1/z^{-1} \in K$  is in the quotient field of  $R$ .
- (8) Show that giving a DVR with quotient field  $K$  is equivalent to defining an order function on  $K$ . It suffices to show that  $\text{ord}(\cdot)$  on  $K$  defines an order function  $\varphi$  on  $K$ . By Problem 2.29, it suffices to show that

$$\text{ord}(a + b) \geq \min(\text{ord}(a), \text{ord}(b))$$

if  $\text{ord}(a) = \text{ord}(b) := n$ . Write  $a = ut^n, b = vt^n$  where  $u, v$  are units in  $R$ . Hence,

$$\begin{aligned} \text{ord}(a + b) &= \text{ord}(ut^n + vt^n) \\ &= \text{ord}((u + v)t^n) \\ &= \text{ord}(u + v) + n \\ &\geq n && (u + v \in R) \\ &= \min(\text{ord}(a), \text{ord}(b)). \end{aligned}$$

□

**Problem 2.29.\***

Let  $R$  be a DVR with quotient field  $K$ ,  $\text{ord}$  the order function on  $K$ .

- (a) If  $\text{ord}(a) < \text{ord}(b)$ , show that  $\text{ord}(a + b) = \text{ord}(a)$ .
- (b) If  $a_1, \dots, a_n \in K$ , and for some  $i$ ,  $\text{ord}(a_i) < \text{ord}(a_j)$  (all  $j \neq i$ ), then  $a_1 + \dots + a_n \neq 0$ .

*Proof of (a).*

- (1) Let  $t$  be a uniformizing parameter for  $R$ . Given any  $a, b \in K$ . Write  $a = ut^n, b = vt^m$  where  $u, v$  are units in  $R$  and  $n, m$  are integers.
- (2) Since  $\text{ord}(a) < \text{ord}(b)$ ,  $n < m$ . Hence,

$$a + b = (u + vt^{m-n})t^n.$$

To show that  $\text{ord}(a + b) = \text{ord}(a) = n$ , it suffices to show that  $u + vt^{m-n}$  is a unit in  $R$ .

- (3) (Reductio ad absurdum) Suppose that  $u + vt^{m-n}$  were not a unit. Since  $R$  is local, the maximal ideal  $(t)$  contains all nonunit elements in  $R$ . Hence,  $u + vt^{m-n} \in (t)$ . As  $m - n > 0$ ,  $vt^{m-n} \in (t)$  and thus a unit  $u \in (t)$ , contrary to the maximality of  $(t)$ .

□

*Proof of (b).*

- (1) Might assume that  $\text{ord}(a_1) < \text{ord}(a_j)$  (all  $j \neq 1$ ). In particular,  $\text{ord}(a_1) < \infty$ .
- (2) Similar to (a). Let  $t$  be a uniformizing parameter for  $R$ . Write  $a_i = u_i t^{m_i}$  where  $u_i$  are units in  $R$  and  $m_i$  are integers. ( $i = 1, \dots, n$ .) Since  $\text{ord}(a_1) < \text{ord}(a_j)$  (all  $j \neq 1$ ),  $m_1 < m_j$ . Hence,

$$a_1 + \dots + a_n = (u_1 + \underbrace{u_2 t^{m_2 - m_1} + \dots + u_n t^{m_n - m_1}}_{\in (t)}) t^{m_1}.$$

So  $u_1 + u_2 t^{m_2 - m_1} + \dots + u_n t^{m_n - m_1}$  is a unit in  $R$ .

- (3) By (1)(2),

$$\text{ord}(a_1 + \dots + a_n) = \text{ord}(a_1) < \infty,$$

or  $a_1 + \dots + a_n \neq 0$  (since  $\text{ord}$  is an order function on  $K$ ).

□

**Problem 2.30.\***

Let  $R$  be a DVR with maximal ideal  $\mathfrak{m}$ , and quotient field  $K$ , and suppose a field  $k$  is a subring of  $R$ , and that the composition  $k \rightarrow R \rightarrow R/\mathfrak{m}$  is an isomorphism of  $k$  with  $R/\mathfrak{m}$  (as for example in Problem 2.24). Verify the following assertions:

- (a) For any  $z \in R$ , there is a unique  $\lambda \in k$  such that  $z - \lambda \in \mathfrak{m}$ .
- (b) Let  $t$  be a uniformizing parameter for  $R$ ,  $z \in R$ . Then for any  $n \geq 0$  there are unique  $\lambda_0, \lambda_1, \dots, \lambda_n \in k$  and  $z_n \in R$  such that

$$z = \lambda_0 + \lambda_1 t + \lambda_2 t^2 + \cdots + \lambda_n t^n + z_n t^{n+1}.$$

(Hint: For uniqueness use Problem 2.29; for existence use (a) and induction.)

*Proof of (a).*

- (1) Note that

$$k \xrightarrow{i} R \xrightarrow{\pi} R/\mathfrak{m}$$

is an isomorphism.

- (2) For  $z + \mathfrak{m} \in R/\mathfrak{m}$ , there exists the unique  $\lambda \in k$  such that

$$z + \mathfrak{m} = \pi(i(\lambda)) = \pi(\lambda) = \lambda + \mathfrak{m}.$$

So  $z - \lambda \in \mathfrak{m}$  for one unique  $\lambda \in k$ .

□

*Proof of (b).*

- (1) Note that

$$\mathfrak{m} = \{z \in K : \text{ord}(z) > 0\}.$$

By (a),

$$z = \lambda_0 + \underbrace{tz_0}_{\in \mathfrak{m}}$$

for one unique  $\lambda_0 \in k$  and  $z_0 \in R$ . Continue this process or by induction, we have the expression

$$z = \lambda_0 + \lambda_1 t + \lambda_2 t^2 + \cdots + \lambda_n t^n + z_n t^{n+1}.$$

- (2) For the uniqueness, suppose

$$0 = \lambda_0 + \lambda_1 t + \lambda_2 t^2 + \cdots + \lambda_n t^n + z_n t^{n+1}.$$

Note that

$$\text{ord}(\lambda_i t^i) = \begin{cases} \infty & (\lambda_i = 0) \\ i & (\lambda_i \neq 0) \end{cases}$$

since every nonzero element in  $k$  is a unit in  $k \subseteq R$ . Also,  $\text{ord}(z_n t^{n+1}) = \infty$  if  $z_n = 0$ ;  $\text{ord}(z_n t^{n+1}) \geq n+1$  if  $z_n \neq 0$ .

- (3) Suppose  $i_0$  is the smallest integer such that  $\lambda_{i_0} \neq 0$ , then  $\text{ord}(\lambda_{i_0} t^{i_0}) = i_0 < \text{ord}(\lambda_j t^j)$  if  $i_0 \neq j$  and  $\text{ord}(\lambda_{i_0} t^{i_0}) = i_0 < n+1 \leq \text{ord}(z_n t^{n+1})$ . By Problem 2.29(b), such  $i_0$  does not exist. Hence all  $\lambda_i = 0$ . So as  $R$  is a domain,  $z_n$  is also equal to 0. Therefore, the uniqueness is established.

□

**Problem 2.31. (Formal power series)**

Let  $k$  be a field. The ring of **formal power series** over  $k$ , written  $k[[x]]$ , is defined to be

$$\left\{ \sum_{i=0}^{\infty} a_i x^i : a_i \in k \right\}.$$

(As with polynomials, a rigorous definition is best given in terms of sequences  $(a_0, a_1, \dots)$  of elements in  $k$ ; here we allow an infinite number of nonzero terms.) Define the sum by

$$\sum a_i x^i + \sum b_i x^i = \sum (a_i + b_i) x^i,$$

and the (Cauchy) product by

$$\left( \sum a_i x^i \right) \left( \sum b_i x^i \right) = \sum c_i x^i,$$

where  $c_i = \sum_{j+k=i} a_j b_k$ . Show that  $k[[x]]$  is a ring containing  $k[x]$  as a subring. Show that  $k[[x]]$  is a DVR with uniformizing parameter  $x$ . Its quotient field is denoted  $k((x))$ .

*Proof.*

- (1) Two formal power series  $\sum a_i x^i$  and  $\sum b_i x^i$  in  $k[[x]]$  are considered equal if  $a_i = b_i$  for all integers  $i \geq 0$ .
- (2) The zero element in  $k[[x]]$  is  $0 = \sum_{i=0}^{\infty} 0x^i$ , and the multiplicative identity is

$$1 = 1 + 0x + \dots + 0x^n + \dots.$$

Hence,  $k[[x]]$  is a ring (by a tedious argument). Moreover,  $k[[x]]$  is a domain (again by a tedious argument).

- (3) Show that  $k[[x]] \supseteq k[x]$ . In fact, for any  $f = \sum_{i=0}^n a_i x^i \in k[x]$ , we can write

$$f = a_0 + a_1 x + \dots + a_n x^n + 0x^{n+1} + \dots \in k[[x]].$$

- (4) Show that  $f = \sum_{i=0}^{\infty} a_i x^i$  is a unit in  $k[[x]]$  if and only if  $a_0 \neq 0$ . Suppose  $g = \sum_{i=0}^{\infty} b_i x^i \in k[[x]]$  such that  $fg = 1$ . Then

$$\begin{aligned} 1 &= a_0 b_0, \\ 0 &= \sum_{j=0}^k a_j b_{k-j}. \end{aligned}$$

So  $f$  is not a unit in  $k[[x]]$  if  $a_0 = 0$ . Now if  $a_0 \neq 0$  then  $b_0 := a_0^{-1} \in k$ . Then by observing that

$$\begin{aligned} 0 &= \sum_{j=0}^k a_j b_{k-j} \iff a_0 b_k = - \sum_{j=1}^k a_j b_{k-j} \\ &\iff b_k = -b_0 \sum_{j=1}^k a_j b_{k-j}, \end{aligned}$$

we can solve  $b_1, b_2, \dots$  by induction, and  $(b_0, b_1, \dots)$  gives the existence of  $g \in k[[x]]$ .

- (5) By (4),  $k[[x]]$  is not a field since  $x \in k[[x]]$  but  $x^{-1} \notin k[[x]]$ . Let  $t = x$  be an irreducible element in  $k[[x]]$ . ( $\deg(x) = 1$  implies the irreducibility of  $t$ .) Hence every nonzero  $f \in k[[x]]$  can be written uniquely in the form

$$f = ux^n$$

where  $n$  is the smallest integer such that  $a_n \neq 0$ . By (4),

$$u = a_n + a_{n+1}x + \dots$$

is a unit in  $k[[x]]$  as  $a_n \neq 0$ . Besides, it is easy to show that  $n$  is unique by the similar argument in Problem 2.23. Therefore,  $k[[x]]$  is a DVR with uniformizing parameter  $x$ .

□

### Problem 2.32. (Power series expansion)

Let  $R$  be a DVR satisfying the conditions of Problem 2.30. Any  $z \in R$  then determines a power series  $\sum \lambda_i x^i$ , if  $\lambda_0, \lambda_1, \dots$  are determined as in Problem 2.30(b).

- (a) Show that the map  $z \rightarrow \sum \lambda_i x^i$  is a one-to-one ring homomorphism of  $R$  into  $k[[x]]$ . We often write  $z = \sum \lambda_i t^i$ , and call this the **power series expansion** of  $z$  in terms of  $t$ .

- (b) Show that the homomorphism extends to a homomorphism of  $K$  into  $k((x))$ , and that the order function on  $k((x))$  restricts to that on  $K$ .
- (c) Let  $a = 0$  in Problem 2.24,  $t = x$ . Find the power series expansion of  $z = (1 - x)^{-1}$  and of  $(1 - x)(1 + x^2)^{-1}$  in terms of  $t$ .

*Proof of (a).*

- (1) Define the map  $\alpha : R \rightarrow k[[x]]$  by

$$\alpha : z \mapsto \sum_{i=0}^{\infty} \lambda_i x^i$$

where  $\lambda_i$  are determined as in Problem 2.30(b).

- (2) Show that  $\alpha$  is well-defined and one-to-one. Write

$$\alpha(z) = \sum_{i=0}^{\infty} \lambda_i x^i = \sum_{i=0}^{\infty} \lambda'_i x^i.$$

If there were  $\lambda_n \neq \lambda'_n$  for some  $n$ , then Problem 2.30(b) implies that two expressions of  $z$

$$\begin{aligned} z &= \lambda_0 + \lambda_1 t + \cdots + \lambda_n t^n + z_n t^{n+1} \\ &= \lambda'_0 + \lambda'_1 t + \cdots + \lambda'_n t^n + z'_n t^{n+1} \end{aligned}$$

are the same. That is,  $\lambda_n = \lambda'_n$ , which is absurd. Hence,  $\alpha$  is well-defined. Also,  $0 = 0 + 0t + 0t^2 + \cdots + 0t^n + 0t^{n+1}$  implies that  $\alpha$  is one-to-one.

- (3) Show that  $\alpha$  is addition preserving. Given  $a, b \in R$ . By Problem 2.30(b),

$$a + b = \lambda_0 + \lambda_1 t + \cdots + \lambda_n t^n + c_n t^{n+1}$$

and

$$\begin{aligned} a &= \mu_0 + \mu_1 t + \cdots + \mu_n t^n + a_n t^{n+1} \\ b &= \nu_0 + \nu_1 t + \cdots + \nu_n t^n + b_n t^{n+1} \end{aligned}$$

for any integer  $n \geq 0$ . So

$$a + b = \underbrace{(\mu_0 + \nu_0)}_{\in k} + \underbrace{(\mu_1 + \nu_1)}_{\in k} t + \cdots + \underbrace{(\mu_n + \nu_n)}_{\in k} t^n + \underbrace{(a_n + b_n)}_{\in R} t^{n+1}.$$

Since the expression of  $a + b$  is unique (by Problem 2.30(b)),

$$\lambda_i = \mu_i + \nu_i$$

for all  $i = 0, 1, \dots, n$ . Since  $n$  is arbitrary,  $\lambda_i = \mu_i + \nu_i$  is true for all nonnegative integers. Hence,  $\alpha(a + b) = \alpha(a) + \alpha(b)$ .



- (4) Show that  $\alpha$  is multiplication preserving. Given  $a, b \in R$ . By Problem 2.30(b),

$$ab = \lambda_0 + \lambda_1 t + \cdots + \lambda_n t^n + c_n t^{n+1}$$

and

$$a = \mu_0 + \mu_1 t + \cdots + \mu_n t^n + a_n t^{n+1}$$

$$b = \nu_0 + \nu_1 t + \cdots + \nu_n t^n + b_n t^{n+1}$$

for any integer  $n \geq 0$ . So

$$\begin{aligned} ab &= \underbrace{(\mu_0 \nu_0)}_{\in k} + \underbrace{(\mu_1 \nu_0 + \mu_0 \nu_1)}_{\in k} t + \cdots \\ &\quad + \underbrace{(\mu_n \nu_0 + \mu_{n-1} \nu_1 + \cdots + \mu_1 \nu_{n-1} + \mu_0 \nu_n)}_{\in k} t^n \\ &\quad + \underbrace{(\text{other terms})}_{\in R} t^{n+1}. \end{aligned}$$

Since the expression of  $a + b$  is unique (by Problem 2.30(b)),

$$\lambda_i = \sum_{j+k=i} \mu_j \nu_k$$

for all  $i = 0, 1, \dots, n$ . Since  $n$  is arbitrary,  $\lambda_i = \sum_{j+k=i} \mu_j + \nu_k$  is true for all nonnegative integers. Hence,  $\alpha(ab) = \alpha(a)\alpha(b)$ .

- (5) Show that  $\alpha$  is multiplicative identity preserving. Note that

$$1 = \underbrace{1}_{\in k} + \underbrace{0}_{\in k} t + \cdots + \underbrace{0}_{\in k} t^n + \underbrace{0}_{\in k} t^{n+1}$$

for every nonnegative integer  $n$ . Hence  $\alpha : 1 \mapsto 1 \in k[[x]]$ .

- (6) By (3)(4)(5),  $\alpha$  is a ring homomorphism.

□

*Proof of (b).*

- (1) Define the mapping  $\beta$  from  $K$  to  $k((x))$  by

$$\beta : a/b \mapsto \alpha(a)/\alpha(b)$$

where  $a, b \in R$  and  $b \neq 0$ .

- (2)  $\beta$  is well-defined since:

- (a)  $\alpha(b) \neq 0$  if  $b \neq 0$  by the injectivity of  $\alpha$ .

- (b) The value of  $\beta(a/b)$  is independent of the choice of  $a/b \in K$  since  $\alpha$  is a ring homomorphism.
- (3) Also,  $\beta$  is a ring homomorphism since  $\alpha$  is a ring homomorphism.
- (4) To show that the order function on  $k((x))$  restricts to that on  $K$ , it suffices to show that

$$\text{ord}_R(z) = \text{ord}_{k[[x]]}(\alpha(z)).$$

In fact,

$$\begin{aligned} m := \text{ord}_R(z) &\iff z = \lambda_m t^m + \cdots + \lambda_n t^n + z_n t^{n+1} \text{ with } \lambda_m \neq 0 \\ &\iff \alpha(z) = \lambda_m x^m + \cdots \text{ with } \lambda_m \neq 0 \\ &\iff \text{ord}_{k[[x]]}(\alpha(z)) = m. \end{aligned}$$

□

*Proof of (c).*

- (1) In calculus we have

$$(1 - x)^{-1} = 1 + x + x^2 + \cdots = \sum_{i=0}^{\infty} x^i$$

for  $|x| < 1$ . In the ring of formal power series  $k[[x]]$ ,  $1 - x$  is a unit (by (4) in the proof of Problem 2.31) and satisfies

$$(1 - x) \left( \sum_{i=0}^{\infty} x^i \right) = 1 \in k[[x]].$$

Hence, the power expansion of  $(1 - x)^{-1}$  is

$$(1 - x)^{-1} = \sum_{i=0}^{\infty} x^i \in k((x)).$$

- (2) Note that  $1 + x^2$  is a unit in  $k[[x]]$  and satisfies

$$(1 + x^2) \left( \sum_{i=0}^{\infty} (-1)^i x^{2i} \right) = 1 \in k[[x]].$$

Hence, the power expansion of  $(1 - x)(1 + x^2)^{-1}$  is

$$\begin{aligned} (1 - x) \left( \sum_{i=0}^{\infty} (-1)^i x^{2i} \right) &= \left( \sum_{i=0}^{\infty} (-1)^i x^{2i} \right) - x \left( \sum_{i=0}^{\infty} (-1)^i x^{2i} \right) \\ &= \sum_{i=0}^{\infty} (-1)^i x^{2i} + \sum_{i=0}^{\infty} (-1)^{i+1} x^{2i+1} \\ &= \sum_{i=0}^{\infty} (-1)^i x^i \in k[[x]]. \end{aligned}$$

□

## 2.6. Forms

### Problem 2.33.

Factor  $y^3 - 2xy^2 + 2x^2y + x^3$  into linear factors in  $\mathbb{C}[x, y]$ .

*Proof.*

- (1) Let  $f(x, y) = y^3 - 2xy^2 + 2x^2y + x^3$ . Then  $f_*(x) = 1 - 2x + 2x^3 + x^3$ .
- (2) Solve  $f_*(x) = 0$  over  $\mathbb{C}$  by WolframAlpha (a computational knowledge engine) to get

$$\begin{aligned}\alpha_1 &= -\frac{2}{3} - \frac{10}{3} \sqrt[3]{\frac{2}{79 - 3\sqrt{249}}} - \frac{1}{3} \sqrt[3]{\frac{79 - 3\sqrt{249}}{2}} \\ \alpha_2 &= -\frac{2}{3} + \frac{5}{3}(1 - \sqrt{3}i) \sqrt[3]{\frac{2}{79 - 3\sqrt{249}}} + \frac{1}{6}(1 + \sqrt{3}i) \sqrt[3]{\frac{79 - 3\sqrt{249}}{2}} \\ \alpha_3 &= -\frac{2}{3} + \frac{5}{3}(1 + \sqrt{3}i) \sqrt[3]{\frac{2}{79 - 3\sqrt{249}}} + \frac{1}{6}(1 - \sqrt{3}i) \sqrt[3]{\frac{79 - 3\sqrt{249}}{2}}.\end{aligned}$$

So  $f_*(x) = (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)$ .

- (3) Hence,

$$\begin{aligned}f(x, y) &= (f_*)^* \\ &= ((x - \alpha_1)(x - \alpha_2)(x - \alpha_3))^* \\ &= (x - \alpha_1y)(x - \alpha_2y)(x - \alpha_3y).\end{aligned}$$

□

*Note.* If  $f(x, y) = y^3 - 2xy^2 + 2x^2y + 4x^3$ , then

$$f(x, y) = (x - \alpha_1y)(x - \alpha_2y)(x - \alpha_3y)$$

where

$$\begin{aligned}\alpha_1 &= -\frac{1}{6} - \frac{7}{6} \sqrt[3]{\frac{1}{37-3\sqrt{114}}} - \frac{1}{6} \sqrt[3]{37-3\sqrt{114}} \\ \alpha_2 &= -\frac{1}{6} + \frac{7}{12}(1-\sqrt{3}i) \sqrt[3]{\frac{1}{37-3\sqrt{114}}} + \frac{1}{12}(1+\sqrt{3}i) \sqrt[3]{37-3\sqrt{114}} \\ \alpha_3 &= -\frac{1}{6} + \frac{7}{12}(1+\sqrt{3}i) \sqrt[3]{\frac{1}{37-3\sqrt{114}}} + \frac{1}{12}(1-\sqrt{3}i) \sqrt[3]{37-3\sqrt{114}}.\end{aligned}$$

**Problem 2.34.**

Suppose  $f, g \in k[x_1, \dots, x_n]$  are forms of degree  $r, r+1$  respectively, with no common factors ( $k$  a field). Show that  $f+g$  is irreducible.

*Proof.*

- (1) Suppose  $f+g = rs \in k[x_1, \dots, x_n]$ . Proposition 5 implies that

$$(f+g)^* = (rs)^* \implies x_{n+1}f + g = r^*s^*.$$

Note that  $\deg_{x_{n+1}}(x_{n+1}f + g) = 1$ . So  $\deg_{x_{n+1}}(r^*) = 0$  or  $\deg_{x_{n+1}}(s^*) = 0$ . Might assume  $\deg_{x_{n+1}}(r^*) = 0$ . (The case  $\deg_{x_{n+1}}(s^*) = 0$  is similar.)

- (2) Since  $\deg_{x_{n+1}}(r^*) = 0$ ,  $r^* \mid f$  and  $r^* \mid g$ . Note that  $\deg_{x_{n+1}}(r^*) = 0$  implies that  $r^* = r$  is a form in  $k[x_1, \dots, x_n]$ . Hence  $r$  is a common factor of  $f$  and  $g$ , or  $r$  is a constant in  $k[x_1, \dots, x_n]$ . So  $f+g$  is irreducible.

□

**Problem 2.35.\***

- (a) Show that there are  $d+1$  monomials of degree  $d$  in  $R[x, y]$ , and  $1+2+\dots+(d+1) = \frac{(d+1)(d+2)}{2}$  monomials of degree  $d$  in  $R[x, y, z]$ .
- (b) Let  $V(d, n) = \{\text{forms of degree } d \text{ in } k[x_1, \dots, x_n]\}$ ,  $k$  a field. Show that  $V(d, n)$  is a vector space over  $k$ , and that the monomials of degree  $d$  form a basis. So  $\dim V(d, 1) = 1$ ;  $\dim V(d, 2) = d+1$ ;  $\dim V(d, 3) = \frac{(d+1)(d+2)}{2}$ .
- (c) Let  $\ell_1, \ell_2, \dots$  and  $m_1, m_2, \dots$  be sequences of nonzero linear forms in  $k[x, y]$ , and assume no  $\ell_i = \lambda m_j$ ,  $\lambda \in k$ . Let  $A_{ij} = \ell_1 \ell_2 \cdots \ell_i m_1 m_2 \cdots m_j$ ,  $i, j \geq 0$  ( $A_{00} = 1$ ). Show that  $\{A_{ij} : i+j = d\}$  forms a basis for  $V(d, 2)$ .

*Proof of (a).*

- (1) All monomials of degree  $d$  in  $R[x, y]$  are

$$x^d, x^{d-1}y, \dots, xy^{d-1}, y^d,$$

or of the form  $x^i y^j$  with  $i, j \geq 0$  and  $i + j = d$ . So there are  $d+1$  monomials of degree  $d$  in  $R[x, y]$ .

- (2) Similar to (1), all monomials of degree  $d$  in  $R[x, y, z]$  are of the form  $x^i y^j z^k$  with  $i, j, k \geq 0$  and  $i + j + k = d$ . By the stars and bars (combinatorics) method, there are

$$\binom{d+3-1}{3-1} = \frac{(d+2)(d+1)}{2}$$

monomials of degree  $d$  in  $R[x, y, z]$ .

□

*Proof of (b).*

- (1) To show  $V(d, n)$  is a vector space, it suffices to show that  $V(d, n)$  is a subspace of  $k[x_1, \dots, x_n]$  since  $k[x_1, \dots, x_n]$  is a vector space over  $k$ .
- (2) Note that  $0 \in V(d, n)$  is nonempty. For any  $f, g \in V(d, n)$  and  $a, b \in k$ , we have  $af + bg \in V(d, n)$ . Hence  $V(d, n)$  is subspace.
- (3) Let

$$\mathcal{B} = \{x_1^{i_1} \cdots x_n^{i_n} : i_1, \dots, i_n \geq 0, i_1 + \cdots + i_n = d\}.$$

$\mathcal{B}$  is an independent set, and  $\mathcal{B}$  generates  $V(d, n)$ . So  $\mathcal{B}$  is a basis for  $V(d, n)$ .

- (4) Similar to (a),

$$\dim_k V(d, n) = |\mathcal{B}| = \binom{d+n-1}{n-1}$$

by the stars and bars (combinatorics) method. In particular,  $\dim V(d, 1) = 1$ ;  $\dim V(d, 2) = d + 1$ ;  $\dim V(d, 3) = \frac{(d+1)(d+2)}{2}$ .

□

*Proof of (c).*

- (1) Show that  $\mathcal{B}' := \{A_{ij} : i + j = d\}$  is an independent set. (Reductio ad absurdum) Suppose that there were a nontrivial linear combination of  $A_{ij}$  such that

$$\sum_{i+j=d} c_{ij} A_{ij} = 0.$$

(2) Let  $p$  be the smallest index  $i$  such that  $c_{ij} \neq 0$ . Write  $q := d - p$ . So

$$\begin{aligned}
c_{pq}A_{pq} &= - \sum_{\substack{i+j=d \\ i \neq p, j \neq q}} c_{ij}A_{ij} = - \sum_{\substack{i+j=d \\ i > p, j < q}} c_{ij}A_{ij} \\
\iff A_{pq} &= - \sum_{\substack{i+j=d \\ i > p, j < q}} \frac{c_{ij}}{c_{pq}} A_{ij} \\
\iff \ell_1 \cdots \ell_p m_1 \cdots m_q &= - \sum_{\substack{i+j=d \\ i > p, j < q}} \frac{c_{ij}}{c_{pq}} \ell_1 \cdots \ell_p \ell_{p+1} \cdots \ell_i m_1 \cdots m_j \\
\iff m_1 \cdots m_q &= -\ell_{p+1} \sum_{\substack{i+j=d \\ i > p, j < q}} \frac{c_{ij}}{c_{pq}} \underbrace{\ell_{p+2} \cdots \ell_i}_{:=1 \text{ if } i=p+1} m_1 \cdots m_j \\
\iff \ell_{p+1} &\mid m_1 \cdots m_q.
\end{aligned}$$

Since all  $\ell_i, m_j$  are linear forms,  $\ell_{p+1} \mid m_j$  for some  $1 \leq j \leq q$ , which is absurd since no  $\ell_i = \lambda m_j$ ,  $\lambda \in k$ . Therefore,  $\mathcal{B}'$  is an independent set.

(3) Since

$$|\mathcal{B}'| = d + 1 = \dim_k V(d, 2),$$

$\mathcal{B}'$  is also a basis for  $V(d, 2)$ .

□

### Problem 2.36.

With the above notation, show that

$$\dim V(d, n) = \binom{d+n-1}{n-1},$$

the binomial coefficient.

*Proof.* See the proof of Problem 2.35(b). □

## 2.7. Direct Products of Rings

### Problem 2.37.

What are the additive and multiplicative identities in  $\times R_i$ ? Is the map from  $R_i$  to  $\times R_i$  taking  $a_i$  to  $(0, \dots, a_i, \dots, 0)$  a ring homomorphism?

*Proof.*

- (1)  $(0, \dots, 0)$  is the additive identity in  $\times R_i$ .
- (2)  $(1, \dots, 1)$  is the multiplicative identity in  $\times R_i$ .
- (3) The map  $\alpha : R_i \rightarrow \times R_i$  taking  $a_i$  to  $(0, \dots, a_i, \dots, 0)$  is not a ring homomorphism since

$$\alpha(1) = (0, \dots, 1, \dots, 0) \neq (1, \dots, 1),$$

or  $\alpha$  is not multiplicative identity preserving (if  $R_j$  is not the zero ring for some  $j \neq i$ ).

□

**Problem 2.38.\***

Show that if  $k \subseteq R_i$ , and each  $R_i$  is finite-dimensional over  $k$ , then  $\dim(\times R_i) = \sum \dim(R_i)$ .

*Proof.*

- (1) In the terminology of linear algebra,  $\times R_i$  is the direct sum  $\oplus R_i$  of  $R_i$ .
- (2) Hence,

$$\dim_k \left( \bigoplus R_i \right) = \sum \dim_k(R_i).$$

□

## 2.8. Operations with Ideals

**Problem 2.39.\***

Prove the following relations among ideals  $I_i$ ,  $J$  in a ring  $R$ :

- (a)  $(I_1 + I_2)J = I_1J + I_2J$ .
- (b)  $(I_1 \cdots I_N)^n = I_1^n \cdots I_N^n$ .

*Proof of (a).*

- (1) Note that  $(I_1 + I_2)J$  and  $I_1J + I_2J$  are ideals.

(2) Show that  $(I_1 + I_2)J \subseteq I_1J + I_2J$ . Given any

$$(x_1 + x_2)y \in (I_1 + I_2)J$$

where  $x_i \in I_i$  and  $y \in J$ . It suffices to show that  $(x_1 + x_2)y \in I_1J + I_2J$  (by (1)). In fact,

$$(x_1 + x_2)y = x_1y + x_2y \in I_1J + I_2J.$$

(3) Show that  $(I_1 + I_2)J \supseteq I_1J + I_2J$ . Given any

$$x_1y_1 + x_2y_2 \in I_1J + I_2J$$

where  $x_i \in I_i$  and  $y_i \in J$ . It suffices to show that  $x_1y_1 + x_2y_2 \in (I_1 + I_2)J$  (by (1)). In fact,

$$x_1y_1 + x_2y_2 = (x_1 + \underbrace{0}_{\in I_2})y_1 + (\underbrace{0}_{\in I_1} + x_2)y_2 \in (I_1 + I_2)J$$

since  $(I_1 + I_2)J$  is an ideal.

□

*Proof of (b).*

(1) Note that  $(I_1 \cdots I_N)^n$  and  $I_1^n \cdots I_N^n$  are ideals.

(2) Show that  $(I_1 \cdots I_N)^n \subseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_n$$

where  $x_i \in I_1 \cdots I_N$ . It suffices to show that  $x \in I_1^n \cdots I_N^n$  (by (1)). For each  $x_i \in I_1 \cdots I_N$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),N}$$

where  $x_{j(i),k} \in I_k$  for  $1 \leq k \leq N$ . Hence

$$\begin{aligned} x &= x_1 \cdots x_n \\ &= \left( \sum_{j(1)} x_{j(1),1} \cdots x_{j(1),N} \right) \cdots \left( \sum_{j(n)} x_{j(n),1} \cdots x_{j(n),N} \right) \\ &= \sum_{j(1), \dots, j(n)} (x_{j(1),1} \cdots x_{j(1),N}) \cdots (x_{j(n),1} \cdots x_{j(n),N}) \\ &= \sum_{j(1), \dots, j(n)} \underbrace{(x_{j(1),1} \cdots x_{j(n),1})}_{\in I_1^n} \cdots \underbrace{(x_{j(1),N} \cdots x_{j(n),N})}_{\in I_N^n} \\ &\in I_1^n \cdots I_N^n. \end{aligned}$$



(3) Show that  $(I_1 \cdots I_N)^n \supseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_N \in I_1^n \cdots I_N^n$$

where  $x_i \in I_i^n$  ( $1 \leq i \leq N$ ). It suffices to show that  $x \in (I_1 \cdots I_N)^n$  (by (1)). For each  $x_i \in I_i^n$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),n}$$

where  $x_{j(i),k} \in I_i$  for  $1 \leq k \leq n$ . Hence

$$\begin{aligned} x &= x_1 \cdots x_N \\ &= \left( \sum_{j(1)} x_{j(1),1} \cdots x_{j(1),n} \right) \cdots \left( \sum_{j(N)} x_{j(N),1} \cdots x_{j(N),n} \right) \\ &= \sum_{j(1), \dots, j(N)} (x_{j(1),1} \cdots x_{j(1),n}) \cdots (x_{j(N),1} \cdots x_{j(N),n}) \\ &= \sum_{j(1), \dots, j(N)} \underbrace{(x_{j(1),1} \cdots x_{j(N),1})}_{\in I_1 \cdots I_N} \cdots \underbrace{(x_{j(1),n} \cdots x_{j(N),n})}_{\in I_1 \cdots I_N} \\ &\in (I_1 \cdots I_N)^n. \end{aligned}$$

□

**Problem 2.40.\* (Chinese remainder theorem)**

- (a) Suppose  $I, J$  are comaximal ideals in  $R$ . Show that  $I + J^2 = R$ . Show that  $I^m$  and  $J^n$  are comaximal for all  $m, n$ .
- (b) Suppose  $I_1, \dots, I_N$  are ideals in  $R$ , and  $I_i$  and  $J_i = \bigcap_{j \neq i} I_j$  are comaximal for all  $i$ . Show that

$$I_1^n \cap \cdots \cap I_N^n = (I_1 \cdots I_N)^n = (I_1 \cap \cdots \cap I_N)^n$$

for all  $n$ .

*Proof of (a).*

- (1) It suffices to show that  $I^m + J^n = R$ .

- (2) Since  $I^m + J^n \subseteq R$  is always true, it suffices to show that  $I^m + J^n \supseteq R$ .  
In fact,

$$\begin{aligned}
R &= R^{m+n-1} && (1 \in R) \\
&= (I + J)^{m+n-1} && (I, J \text{ are comaximal}) \\
&= \sum_{i=0}^{m+n-1} I^i J^{m+n-1-i} && (\text{Problem 2.39}) \\
&\subseteq I^m + J^n
\end{aligned}$$

for all positive integers  $m, n$ . (If  $m = 0$  or  $n = 0$ , then nothing to prove.)

□

*Proof of (b).*

- (1) Show that  $I_i$  and  $I_j$  are comaximal if  $i \neq j$ . Note that

$$R = I_i + J_i \subseteq I_i + I_j \subseteq R$$

if  $i \neq j$ .

- (2) If  $I_i$  is comaximal to  $I_j$  and  $I_{j'}$ . Show that  $I_i$  is also comaximal to  $I_j I_{j'}$ .

$$\begin{aligned}
R &= (I_i + I_j)(I_i + I_{j'}) \\
&= I_i(I_i + I_j + I_{j'}) + I_j I_{j'} && (\text{Problem 2.39(a)}) \\
&\subseteq I_i + I_j I_{j'} \subseteq R.
\end{aligned}$$

- (3) By (2), it is easy to get that  $I_i$  and  $\prod_{j \neq i} I_j$  are comaximal by induction on the number of  $I_j$  for  $j \neq i$ .

- (4) Show that  $I_1 \cdots I_N = I_1 \cap \cdots \cap I_N$ . Induction on  $N$ .

$$\begin{aligned}
I_1 \cap \cdots \cap I_N &= I_1 \cap (I_2 \cap \cdots \cap I_N) \\
&= I_1 \cap (I_2 \cdots I_N) && (\text{Induction hypothesis}) \\
&= I_1 \cdot (I_2 \cdots I_N) && ((3)) \\
&= I_1 \cdots I_N.
\end{aligned}$$

- (5) Note that  $I_i^n$  and  $I_j^n$  are comaximal if  $i \neq j$  by (a). We can apply the same argument in (2)(3)(4) to show that

$$I_1^n \cdots I_N^n = I_1^n \cap \cdots \cap I_N^n.$$

- (6) Therefore,

$$\begin{aligned}
(I_1 \cap \cdots \cap I_N)^n &= (I_1 \cdots I_N)^n && ((4)) \\
&= I_1^n \cdots I_N^n && (\text{Problem 2.39(b)}) \\
&= I_1^n \cap \cdots \cap I_N^n && ((5)).
\end{aligned}$$

□

**Problem 2.41.\***

Let  $I, J$  be ideals in  $R$ . Suppose  $I$  is finitely generated and  $I \subseteq \text{rad}(J)$ . Show that  $I^n \subseteq J$  for some  $n$ .

*Proof.*

- (1) Let  $I$  be generated by  $x_1, \dots, x_m \in I$ . As  $I \subseteq \text{rad}(J)$ , there are integers  $n_i > 0$  such that  $x_i^{n_i} \in J$ .
- (2) Let  $N = n_1 + \dots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in I$ , so

$$\begin{aligned} x^N &= \left( \sum_{i=1}^m r_i x_i \right)^N \\ &= \sum_{k_1 + \dots + k_m = N} \binom{N}{k_1, \dots, k_m} r_1^{k_1} x_1^{k_1} \dots r_m^{k_m} x_m^{k_m}. \end{aligned}$$

- (3) Note that for each term there is some  $j$  such that  $k_j \geq n_j$ . Hence,

$$\begin{aligned} x_j^{k_j} &= x_j^{k_j - n_j} x_j^{n_j} \in J && (J \text{ is an ideal}) \\ \implies r_1^{k_1} x_1^{k_1} \dots r_m^{k_m} x_m^{k_m} &\in J \text{ for each term} && (J \text{ is an ideal}) \\ \implies x^N &\in J. && (J \text{ is an ideal}) \\ \implies I^N &\subseteq J. \end{aligned}$$

□

**Supplement.** (Exercise 1.13 in the textbook: Eisenbud, *Commutative Algebra with a View Toward Algebraic Geometry*.) Suppose that  $I$  is an ideal in a commutative ring. Show that if  $\text{rad}(I)$  is finitely generated, then for some integer  $N$  we have  $(\text{rad}(I))^N \subseteq I$ . Conclude that in a Noetherian ring the ideals  $I$  and  $J$  have the same radical iff there is some integer  $N$  such that  $I^N \subseteq J$  and  $J^N \subseteq I$ . Use the Nullstellensatz to deduce that if  $I, J \subseteq S = k[x_1, \dots, x_n]$  are ideals and  $k$  is algebraically closed, then  $Z(I) = Z(J)$  iff  $I^N \subseteq J$  and  $J^N \subseteq I$  for some  $N$ .

*Proof.*

- (1) Show that if  $\text{rad}(I)$  is finitely generated, then for some integer  $N$  we have  $(\text{rad}(I))^N \subseteq I$ . Say  $x_1, \dots, x_m \in \text{rad}(I)$  generate  $\text{rad}(I)$ .
  - (a) For each  $i$ , there exists an integer  $n_i > 0$  such that  $x_i^{n_i} \in I$  (since  $\text{rad}(I)$  is radical).

(b) Let  $N = n_1 + \cdots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in \text{rad}(I)$ , so

$$\begin{aligned} x^N &= \left( \sum_{i=1}^m r_i x_i \right)^N \\ &= \sum_{k_1 + \cdots + k_m = N} \binom{N}{k_1, \dots, k_m} r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m}. \end{aligned}$$

(c) Note that for each term there is some  $j$  such that  $k_j \geq n_j$ . Hence,

$$\begin{aligned} x_j^{k_j} &= x_j^{k_j - n_j} x_j^{n_j} \in I && (I \text{ is an ideal}) \\ \implies r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m} &\in I \text{ for each term} && (I \text{ is an ideal}) \\ \implies x^N &\in I. && (I \text{ is an ideal}) \\ \implies (\text{rad}(I))^N &\subseteq I. \end{aligned}$$

(2) Show that in a Noetherian ring the ideals  $I$  and  $J$  have the same radical iff there is some integer  $N$  such that  $I^N \subseteq J$  and  $J^N \subseteq I$ .

(a) ( $\implies$ ) Since in a Noetherian ring every ideal is finitely generated,  $\text{rad}(I)$  and  $\text{rad}(J)$  are finitely generated. By (1), there is a common integer  $N$  such that

$$(\text{rad}(I))^N \subseteq I \quad \text{and} \quad (\text{rad}(J))^N \subseteq J.$$

Note that  $I^N \subseteq (\text{rad}(I))^N$  and  $J^N \subseteq (\text{rad}(J))^N$ . Since  $\text{rad}(I) = \text{rad}(J)$  by assumption,

$$\begin{aligned} I^N &\subseteq (\text{rad}(I))^N = (\text{rad}(J))^N \subseteq J, \\ J^N &\subseteq (\text{rad}(J))^N = (\text{rad}(I))^N \subseteq I. \end{aligned}$$

(b) ( $\impliedby$ ) It suffices to show that  $\text{rad}(I) \subseteq \text{rad}(J)$ .  $\text{rad}(J) \subseteq \text{rad}(I)$  is similar. Given any  $x \in \text{rad}(I)$ , there is an integer  $M > 0$  such that  $x^M \in I$ . Hence  $x^{MN} \in I^N \subseteq J$ , or  $x \in \text{rad}(J)$ .

(3) Show that if  $I, J \subseteq S = k[x_1, \dots, x_n]$  are ideals and  $k$  is algebraically closed, then  $Z(I) = Z(J)$  iff  $I^N \subseteq J$  and  $J^N \subseteq I$  for some  $N$ . Note that  $S$  is Noetherian and we can apply part (2). By the Nullstellensatz,  $Z(I) = Z(J)$  iff  $\text{rad}(I) = \text{rad}(J)$  iff  $I^N \subseteq J$  and  $J^N \subseteq I$  for some  $N$ .

□

#### Problem 2.42.\* (Isomorphism theorems for rings)

(a) Let  $I \subseteq J$  be ideals in a ring  $R$ . Show that there is a natural ring homomorphism from  $R/I$  onto  $R/J$ .

- (b) Let  $I$  be an ideal in a ring  $R$ ,  $R$  a subring of a ring  $S$ . Show that there is a natural ring homomorphism from  $R/I$  to  $S/IS$ .

*Proof of (a).*

- (1) Define a map  $\alpha : R/I \rightarrow R/J$  by  $\alpha(r + I) = r + J$ .
- (2) Show that  $\alpha$  is well-defined. If  $a + I = b + I$ , then  $a - b \in I \subseteq J$  or  $a + J = b + J$ . Hence,  $\alpha(a + I) = a + J = b + J = \alpha(b + I)$ .
- (3) Show that  $\alpha$  is a surjective homomorphism.
  - (a)  $\alpha$  is addition preserving.

$$\begin{aligned}
 \alpha((a + I) + (b + I)) &= \alpha(a + b + I) \\
 &= a + b + J \\
 &= (a + J) + (b + J) \\
 &= \alpha(a + I) + \alpha(b + I).
 \end{aligned}$$

- (b)  $\alpha$  is multiplication preserving.

$$\begin{aligned}
 \alpha((a + I)(b + I)) &= \alpha(ab + I) \\
 &= ab + J \\
 &= (a + J)(b + J) \\
 &= \alpha(a + I)\alpha(b + I).
 \end{aligned}$$

- (c)  $\alpha$  is multiplicative identity preserving.  $\alpha(1 + I) = 1 + J$ .

- (d)  $\alpha$  is surjective since for any  $a + J \in R/J$  there is an element  $a + I \in R/I$  such that  $\alpha(a + I) = a + J$ .

- (4) Note that  $\ker(\alpha) = J/I$ . So  $(R/I)/(J/I) \cong R/J$ .

□

*Proof of (b).*

- (1)  $I$  is not necessary an ideal of  $S$ ;  $IS$  an ideal of  $S$  (and thus  $S/IS$  is well-defined).
- (2) Define a map  $\alpha : R/I \rightarrow S/IS$  by  $\alpha(r + I) = r + IS$ . Note that  $I \subseteq IS$  as a subset in  $S$ . Apply the same argument in (a),  $\alpha$  is well-defined and  $\alpha$  is a surjective homomorphism.
- (3) Note that  $\ker(\alpha) = (R \cap SI)/I$ . So  $(R/I)/((R \cap SI)/I) \cong S/IS$ .

□

**Problem 2.45.\***

Show that ideals  $I, J \subseteq k[x_1, \dots, x_n]$  ( $k$  algebraically closed) are comaximal if and only if  $V(I) \cap V(J) = \emptyset$ .

*Proof.*

(1) Show that  $V(I) \cap V(J) = V(I + J)$ .

$$\begin{aligned} P \in V(I) \cap V(J) &\iff f(P) = 0 \forall f \in I \text{ and } g(P) = 0 \forall g \in J \\ &\iff f(P) = 0 \forall f \in I + J \\ &\iff P \in V(I + J). \end{aligned}$$

(2) Hence,

$$\begin{aligned} \emptyset = V(I) \cap V(J) &\iff \emptyset = V(I + J) && ((1)) \\ &\iff I + J = k[x_1, \dots, x_n] && \text{(Weak Nullstellensatz)} \\ &\iff I \text{ and } J \text{ are comaximal.} \end{aligned}$$

□

**Problem 2.46.\***

Let  $I = (x, y) \subseteq k[x, y]$ . Show that

$$\dim_k(k[x, y]/I^n) = 1 + 2 + \dots + n = \frac{n(n+1)}{2}.$$

*Proof.*

(1) The set

$$\mathcal{B} = \{x^i y^j + I^n : i, j \in \mathbb{Z}, i, j \geq 0, i + j < n\}$$

generates  $k[x, y]/I^n$  as a  $k$ -vector space. Besides, each nonzero element in  $I^n$  has the degree  $\geq n$ , and thus  $\mathcal{B}$  is an independent set. Therefore,  $\mathcal{B}$  is a basis for  $k[x, y]/I^n$ .

(2) Hence,

$$\dim_k(k[x, y]/I^n) = |\mathcal{B}| = 1 + 2 + \dots + n = \frac{n(n+1)}{2}.$$

□

## 2.9. Ideals with a Finite Number of Zeros

### Problem 2.47.

Suppose  $R$  is a ring containing  $k$ , and  $R$  is finite dimensional over  $k$ . Show that  $R$  is isomorphic to a direct product of local rings.

*Proof.*

- (1) Let  $\{v_1, \dots, v_n\}$  be a basis for  $R$  over  $k$  (as a vector space). Define a  $k$ -module homomorphism  $\alpha : k[x_1, \dots, x_n] \rightarrow R$  by  $\alpha(x_i) = v_i$ . Clearly,  $\alpha$  is surjective and thus

$$R \cong k[x_1, \dots, x_n] / \ker(\alpha)$$

as a  $k$ -module isomorphism. Note that  $\ker(\alpha)$  is an ideal of  $k[x_1, \dots, x_n]$ .

- (2) Write  $I := \ker(\alpha)$ . Hence,

$$\dim_k(k[x_1, \dots, x_n]/I) = \dim_k(R) < \infty.$$

By Corollary 4 to the Hilbert's Nullstellensatz in §1.7,  $V(I)$  is finite.

- (3) Write  $V(I) = \{P_1, \dots, P_N\}$  and  $\mathcal{O}_i = \mathcal{O}_{P_i}(\mathbf{A}^n)$ . By Proposition 6,

$$R \cong k[x_1, \dots, x_n]/I \cong \prod_{i=1}^N \mathcal{O}_i / I\mathcal{O}_i,$$

which is isomorphic to a direct product of local rings.

□

## 2.10. Quotient Modules and Exact Sequences

### Problem 2.48.\*

Verify that for any  $R$ -module homomorphism  $\varphi : M \rightarrow M'$ ,  $\ker(\varphi)$  and  $\operatorname{im}(\varphi)$  are submodules of  $M$  and  $M'$  respectively. Show that

$$0 \rightarrow \ker(\varphi) \rightarrow M \xrightarrow{\varphi} \operatorname{im}(\varphi) \rightarrow 0$$

is exact.

*Proof.*

- (1) *Show that  $\ker(\varphi)$  is a subgroup of  $M$ .* It suffices to show that  $a - b \in \ker(\varphi)$  for all  $a, b \in \ker(\varphi)$ . In fact,  $\varphi(a - b) = \varphi(a) - \varphi(b) = 0 - 0 = 0$ , or  $a - b \in \ker(\varphi)$ .
- (2) *Show that  $\ker(\varphi)$  is a submodule of  $M$ .* By (1), it suffices to show that  $ra \in \ker(\varphi)$  for all  $r \in R$  and  $a \in \ker(\varphi)$ . In fact,  $\varphi(ra) = r \cdot \varphi(a) = r \cdot 0 = 0$ , or  $ra \in \ker(\varphi)$ .
- (3) *Show that  $\operatorname{im}(\varphi)$  is a subgroup of  $M'$ .* It suffices to show that  $a - b \in \operatorname{im}(\varphi)$  for all  $a, b \in \operatorname{im}(\varphi)$ . As  $a, b \in \operatorname{im}(\varphi)$ , there are two elements  $a', b' \in M$  such that  $\varphi(a') = a$  and  $\varphi(b') = b$ . So  $\varphi(a' - b') = \varphi(a') - \varphi(b') = a - b$ , or  $a - b \in \operatorname{im}(\varphi)$ .
- (4) *Show that  $\operatorname{im}(\varphi)$  is a submodule of  $M$ .* By (3), it suffices to show that  $ra \in \operatorname{im}(\varphi)$  for all  $r \in R$  and  $a \in \operatorname{im}(\varphi)$ . As  $a \in \operatorname{im}(\varphi)$ , there is one element  $a' \in M$  such that  $\varphi(a') = a$ . So  $\varphi(ra') = r\varphi(a') = ra$ , or  $ra \in \operatorname{im}(\varphi)$ .
- (5) *Show that*

$$0 \rightarrow \ker(\varphi) \xrightarrow{i} M \xrightarrow{\varphi} \operatorname{im}(\varphi) \rightarrow 0$$

*is exact.* Note that  $\ker(\varphi) \xrightarrow{i} M$  is the natural inclusion and  $M \xrightarrow{\varphi} \operatorname{im}(\varphi)$  is surjective. Also, it is trivial that  $\operatorname{im}(i) = \ker(\varphi)$ .

□

### Problem 2.51.

Let

$$0 \longrightarrow V_1 \longrightarrow \cdots \longrightarrow V_n \longrightarrow 0$$

be an exact sequence of finite-dimensional vector spaces. Show that  $\sum (-1)^i \dim(V_i) = 0$ .

*Proof (Proposition 7 in §2.10).*

- (1) For  $i = 0, \dots, n$ , by the rank-nullity theorem for a linear transformation  $\varphi_i : V_i \rightarrow V_{i+1}$ , we have

$$\dim V_i = \dim \operatorname{im}(\varphi_i) + \dim \ker(\varphi_i).$$

(Here  $V_0 = V_{n+1} := 0$  by convention.)

- (2) By the exactness of the sequence, we have

- (a)  $\operatorname{im}(\varphi_i) = \ker(\varphi_{i+1})$  for  $i = 0, \dots, n - 1$ . In particular,  $\ker(\varphi_1) = \operatorname{im}(\varphi_0) = 0$ .
- (b)  $\ker(\varphi_n) = V_n$ .



Hence,

$$\begin{aligned}
\sum_{i=1}^{n-1} (-1)^i \dim(V_i) &= \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{im}(\varphi_i) + \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{ker}(\varphi_i) \\
&= \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{ker}(\varphi_{i+1}) + \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{ker}(\varphi_i) \\
&= (-1)^{n-1} \underbrace{\dim \operatorname{ker}(\varphi_n)}_{=V_n} + (-1)^1 \underbrace{\dim \operatorname{ker}(\varphi_1)}_{=0} \\
&= -(-1)^n \dim V_n,
\end{aligned}$$

or  $\sum (-1)^i \dim(V_i) = 0$ .

□

## 2.11. Free Modules

## Chapter 3: Local Properties of Plane Curves

### 3.1. Multiple Points and Tangent Lines

### 3.2. Multiplicities and Local Rings

### 3.3. Intersection Numbers

## Chapter 4: Projective Varieties

### 4.1. Projective Space

### 4.2. Projective Algebraic Sets

### 4.3. Affine and Projective Varieties

### 4.4. Multiprojective Space

## Chapter 5: Projective Plane Curves

### 5.1. Definitions

### 5.2. Linear Systems of Curves

### 5.3. Bézout's Theorem

### 5.4. Multiple Points

### 5.5. Max Noether's Fundamental Theorem

### 5.6. Applications of Noether's Theorem

## Chapter 6: Varieties, Morphisms, and Rational Maps

### 6.1. The Zariski Topology

### 6.2. Varieties

### 6.3. Morphisms of Varieties

### 6.4. Products and Graphs

### 6.5. Algebraic Function Fields and Dimension of Varieties

### 6.6. Rational Maps

## Chapter 7: Resolution of Singularities

### 7.1. Rational Maps of Curves

### 7.2. Blowing up a Point in $A^2$

### 7.3. Blowing up a Point in $P^2$

### 7.4. Quadratic Transformations

### 7.5. Nonsingular Models of Curves

## Chapter 8: Riemann-Roch Theorem

### 8.1. Divisors

### 8.2. The Vector Spaces $L(D)$

### 8.3. Riemann's Theorem

### 8.4. Derivations and Differentials

### 8.5. Canonical Divisors

### 8.6. Riemann-Roch Theorem