

# Notes on the book: *Apostol, Introduction to Analytic Number Theory*

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# Chapter 1: The Fundamental Theorem of Arithmetic

In these exercises lower case latin letters  $a, b, c, \dots, x, y, z$  represent integers. Prove each of the statement in Exercise 1.1 through 1.6.

## Exercise 1.1.

If  $(a, b) = 1$  and if  $c|a$  and  $d|b$ , then  $(c, d) = 1$ .

*Proof (Theorem 1.2).*

- (1)  $(a, b) = 1$  if and only if there are  $x, y \in \mathbb{Z}$  such that

$$ax + by = 1$$

(Theorem 1.2). As  $c|a$  and  $d|b$ , there exist  $c', d' \in \mathbb{Z}$  such that  $cc' = a$  and  $dd' = b$ .

- (2) Hence

$$\underbrace{c(c'x)}_{:=x'} + \underbrace{d(d'y)}_{:=y'} = 1$$

for some  $x', y' \in \mathbb{Z}$ . That is,  $(c, d) = 1$ .

□

*Proof (Theorem 1.12).*

- (1) Write

$$a = \prod p_i^{a_i}, \quad b = \prod p_i^{b_i}.$$

Here  $\min\{a_i, b_i\} = 0$  since  $(a, b) = 1$  (Theorem 1.12).

- (2) As  $c|a$  and  $d|b$ ,

$$c = \prod p_i^{a'_i}, \quad d = \prod p_i^{b'_i}$$

where  $a'_i \leq a_i$  and  $b'_i \leq b_i$ . As  $0 \leq \min\{a'_i, b'_i\} \leq \min\{a_i, b_i\} = 0$ ,  $\min\{a'_i, b'_i\} = 0$ . Hence  $(c, d) = \prod p_i^{\min\{a'_i, b'_i\}} = 1$  (Theorem 1.12).

□

**Exercise 1.2.**

If  $(a, b) = (a, c) = 1$ , then  $(a, bc) = 1$ .

*Proof (Theorem 1.2).*

- (1)  $(a, b) = (a, c) = 1$  implies that there are  $x, y, z, w \in \mathbb{Z}$  such that

$$ax + by = 1, \quad az + cw = 1$$

(Theorem 1.2).

- (2) So

$$1 = (ax + by)(az + cw) = a \underbrace{(axz + byz + cxw)}_{:=x'} + bc \underbrace{(yw)}_{:=y'}$$

for some  $x', y' \in \mathbb{Z}$ . That is,  $(a, bc) = 1$ .

□

*Proof (Theorem 1.12).*

- (1) Write

$$a = \prod p_i^{a_i}, \quad b = \prod p_i^{b_i}, \quad c = \prod p_i^{c_i}.$$

Here  $\min\{a_i, b_i\} = \min\{a_i, c_i\} = 0$  since  $(a, b) = (a, c) = 1$  (Theorem 1.12). Observe that  $bc = \prod p_i^{b_i + c_i}$ .

- (2) Show that for all  $i$ ,  $\min\{a_i, b_i + c_i\} = 0$  if  $\min\{a_i, b_i\} = \min\{a_i, c_i\} = 0$ . Nothing to do if  $a_i = 0$ . So if  $a_i > 0$ , we have

$$b_i = c_i = 0 \implies b_i + c_i = 0 \implies \min\{a_i, b_i + c_i\} = 0.$$

- (3) Therefore,  $(a, bc) = \prod p_i^{\min\{a_i, b_i + c_i\}} = 1$  (Theorem 1.12).

□

**Exercise 1.3.**

If  $(a, b) = 1$ , then  $(a^n, b^k) = 1$  for all  $n \geq 1, k \geq 1$ .

*Proof (Theorem 1.2).*

- (1)  $(a, b) = 1$  implies that there are  $x, y \in \mathbb{Z}$  such that

$$ax + by = 1$$

(Theorem 1.2).

(2) Hence

$$\begin{aligned}
1 &= (ax + by)^{n+k-1} \\
&= \sum_{i=0}^{n+k-1} \binom{n+k-1}{i} (ax)^i (by)^{n+k-1-i} \\
&= \sum_{i=0}^{n-1} \binom{n+k-1}{i} (ax)^i (by)^{n+k-1-i} \\
&\quad + \sum_{i=n}^{n+k-1} \binom{n+k-1}{i} (ax)^i (by)^{n+k-1-i} \\
&= b^k y^k \underbrace{\sum_{i=0}^n \binom{n+k-1}{i} (ax)^i (by)^{n-1-i}}_{:=y'} \\
&\quad + a^n x^n \underbrace{\sum_{i=n}^{n+k-1} \binom{n+k-1}{i} (ax)^{i-n} (by)^{n+k-1-i}}_{:=x'}
\end{aligned}$$

for some  $x', y' \in \mathbb{Z}$ . That is,  $(a^n, b^k) = 1$ .

□

*Proof (Theorem 1.12).*

(1) Write

$$a = \prod p_i^{a_i}, \quad b = \prod p_i^{b_i}.$$

Here  $\min\{a_i, b_i\} = 0$  since  $(a, b) = 1$  (Theorem 1.12).

(2) Observe that

$$a^n = \prod p_i^{na_i}, \quad b^k = \prod p_i^{kb_i}.$$

Here  $\min\{na_i, kb_i\} = 0$  (since  $a_i = 0 \implies na_i = 0$  and  $b_i = 0 \implies kb_i = 0$ ).  
Therefore  $(a^n, b^k) = 1$ .

□

### Exercise 1.11.

*Prove that  $n^4 + 4$  is composite if  $n > 1$ .*

*Proof.*

$$n^4 + 4 = \underbrace{((n-1)^2 + 1)}_{>1} \underbrace{((n+1)^2 + 1)}_{>1}$$

since  $n > 1$ .  $\square$

**Exercise 1.15.**

*Prove that every  $n \geq 12$  is the sum of two composite numbers.*

*Proof.* Write  $n = 2m$  (resp.  $n = 2m + 1$ ) where  $m \in \mathbb{Z}$ ,  $m \geq 6$ . Then  $n = 8 + 2(m - 4)$  (resp.  $n = 9 + 2(m - 4)$ ) is the sum of two composite numbers.  $\square$

**Exercise 1.16. (Mersenne primes)**

*Prove that if  $2^n - 1$  is prime, then  $n$  is prime.*

*Proof.* Suppose  $n$  is a composite number, then we can write  $n = ab$  with  $a > 1$ ,  $b > 1$ . Hence

$$2^n - 1 = 2^{ab} - 1 = 2^{ab} - 1 = \underbrace{(2^a - 1)}_{>1} \underbrace{\{(2^a)^{b-1} + \dots + 1\}}_{>1}$$

is also a composite number.  $\square$

**Exercise 1.17. (Fermat primes)**

*Prove that if  $2^n + 1$  is prime, then  $n$  is a power of 2.*

*Proof.* Write  $n = 2^a b$  where  $a$  is a nonnegative integer and  $b$  is odd. Suppose  $n$  is not a power of 2, then  $b > 1$ . Hence

$$2^n + 1 = 2^{2^a b} + 1 = \underbrace{(2^{2^a} + 1)}_{>1} \underbrace{\{2^{2^a(b-1)} - \dots + 1\}}_{>1}$$

is a composite number. (Note that  $1 < 2^{2^a(b-1)} < 2^n + 1$  implies that  $1 < (2^{2^a(b-1)} - \dots + 1) < 2^n + 1$  too.)  $\square$

**Exercise 1.30.**

*If  $n > 1$  prove that the sum*

$$\sum_{k=1}^n \frac{1}{k}$$

is not an integer.

*Proof.*

(1) (Reductio ad absurdum) Suppose

$$H := \sum_{k=1}^n \frac{1}{k}$$

were an integer.

(2) Let  $s$  be the largest integer such that  $2^s \leq n$ . So the integer number

$$\begin{aligned} 2^{s-1}H &= \sum_{k=1}^n \frac{2^{s-1}}{k} \\ &= 2^{s-1} + 2^{s-2} + \frac{2^{s-1}}{3} + 2^{s-3} + \frac{2^{s-1}}{5} + \frac{2^{s-2}}{3} + \cdots + \frac{1}{2} + \cdots . \end{aligned}$$

has only one term of even denominators (as  $n > 1$ ) if we write all terms in irreducible fractions. That is,

$$2^{s-1}H = \frac{1}{2} + \frac{c}{d} \in \mathbb{Z}$$

where  $\frac{c}{d}$  is an irreducible fraction with odd  $d$ . Hence it suffices to show that  $2 \nmid d$  to get a contradiction.

(3) By

$$\frac{1}{2} + \frac{c}{d} = \frac{d+2c}{2d} \in \mathbb{Z}$$

we have  $d+2c = 2dd'$  for some  $d' \in \mathbb{Z}$ . Note that 2 is a prime. So  $2 \mid (d+2c)$  or  $2 \mid d$ , which is absurd.

□

## Chapter 2: Arithmetical functions and Dirichlet multiplication

### Exercise 2.1.

Find all integers  $n$  such that

- (a)  $\varphi(n) = \frac{n}{2}$ ,
- (b)  $\varphi(n) = \varphi(2n)$ ,
- (c)  $\varphi(n) = 12$ .

*Proof of (a).*

$$\varphi(n) = n \prod_{p|n} \left(1 - \frac{1}{p}\right) = \frac{n}{2}$$

(Theorem 2.4) implies that  $n = 2$ .  $\square$

*Proof of (b).*

- (1)  $\varphi(n) = \varphi(2n)$  implies that

$$n \prod_{p|n} \left(1 - \frac{1}{p}\right) = 2n \prod_{p|(2n)} \left(1 - \frac{1}{p}\right).$$

- (2) If  $2|n$ , then  $n = 2n$  or  $n = 0$ , which is absurd.
- (3) If  $2 \nmid n$ , then

$$n \prod_{p|n} \left(1 - \frac{1}{p}\right) = 2n \prod_{p|(2n)} \left(1 - \frac{1}{p}\right) = \underbrace{2n \left(1 - \frac{1}{2}\right)}_{=n} \prod_{p|n} \left(1 - \frac{1}{p}\right)$$

is always true. Hence  $n$  is odd if  $\varphi(n) = \varphi(2n)$ .

$\square$

*Proof of (c).*

- (1) Show that the solutions of  $\varphi(n) = 12$  are  $n = 13, 26, 21, 28, 42, 36$ . Write  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$  where  $p_1 < p_2 < \dots$ . Then

$$12 = \varphi(n) = \prod_{i=1}^r p_i^{\alpha_i-1} (p_i - 1).$$

(Theorem 2.5). It implies that  $p_i \in \{2, 3, 5, 7, 13\}$  if  $\alpha_i > 0$ . Consider all possible cases of the greatest prime divisor  $p_r$  of  $n$  as follows.



(2) If  $p_r = 13$ , then  $\alpha_r = 1$  since  $13 \nmid 12$ . So

$$12 = \varphi(n) = \underbrace{\varphi(13)}_{=12} \varphi\left(\frac{n}{13}\right)$$

or  $1 = \varphi\left(\frac{n}{13}\right)$ . Hence  $\frac{n}{13} = 1, 2$ . In this case  $n = 13, 26$ .

(3) If  $p_r = 7$ , then  $\alpha_r = 1$  since  $7 \nmid 12$ . So

$$12 = \varphi(n) = \underbrace{\varphi(7)}_{=6} \varphi\left(\frac{n}{7}\right)$$

or  $2 = \varphi\left(\frac{n}{7}\right)$ . Hence  $\frac{n}{7} = 3, 4, 6$ . In this case  $n = 21, 28, 42$ .

(5) If  $p_r = 5$ , then  $\alpha_r = 1$  since  $5 \nmid 12$ . So  $12 = \varphi(5)\varphi\left(\frac{n}{5}\right)$  or  $3 = \varphi\left(\frac{n}{5}\right)$ , which is impossible.

(6) If  $p_r = 3$ , then  $\alpha_r = 1, 2$ .  $\alpha_r = 1$  is impossible since  $3 \mid 12$ . So

$$12 = \varphi(n) = \underbrace{\varphi(3^2)}_{=6} \varphi\left(\frac{n}{3^2}\right)$$

or  $2 = \varphi\left(\frac{n}{3^2}\right)$ . Hence  $\frac{n}{3^2} = 4$ . (By assumption  $\frac{n}{3^2}$  cannot have any prime factor  $> 3$ .) In this case  $n = 36$ .

□

### Exercise 2.2.

For each of the following statements either give a proof or exhibit a counter example.

- (a) If  $(m, n) = 1$  then  $(\varphi(m), \varphi(n)) = 1$ .
- (b) If  $n$  is composite, then  $(n, \varphi(n)) > 1$ .
- (c) If the same primes divide  $m$  and  $n$ , then  $n\varphi(m) = m\varphi(n)$ .

*Proof of (a).* It is false since  $(5, 13) = 1$  and  $(\varphi(5), \varphi(13)) = (4, 12) = 4$ . □

*Proof of (b).* It is false since  $(15, \varphi(15)) = (15, 8) = 1$ . □

*Proof of (c).*

- (1) It is true.

(2) If the same primes divide  $m$  and  $n$ , then

$$\frac{\varphi(n)}{n} = \prod_{p|n} \left(1 - \frac{1}{p}\right) = \prod_{p|m} \left(1 - \frac{1}{p}\right) = \frac{\varphi(m)}{m}$$

(Theorem 2.4). Hence  $n\varphi(m) = m\varphi(n)$ .

□

**Exercise 2.3.**

*Prove that*

$$\frac{n}{\varphi(n)} = \sum_{d|n} \frac{\mu(d)^2}{\varphi(d)}.$$

*Proof.*

(1) Note that  $fg$ ,  $f/g$  and  $f*g$  are multiplicative if  $f$  and  $g$  are multiplicative (Example 5 on page 34 and Theorem 2.14). Hence  $\frac{n}{\varphi(n)}$  and  $\sum_{d|n} \frac{\mu^2(d)}{\varphi(d)}$  are multiplicative. Hence it might assume that  $n = p^a$  for some prime  $p$  and integer  $a \geq 1$ . (The case  $n = 1$  is trivial.)

(2)

$$\frac{p^a}{\varphi(p^a)} = \frac{p^a}{p^a - p^{a-1}} = \frac{p}{p-1}.$$

(3)

$$\begin{aligned} \sum_{d|p^a} \frac{\mu(d)^2}{\varphi(d)} &= \frac{\mu(1)^2}{\varphi(1)} + \frac{\mu(p)^2}{\varphi(p)} + \overbrace{\frac{\mu(p^2)^2}{\varphi(p^2)}}^{=0} + \cdots + \overbrace{\frac{\mu(p^a)^2}{\varphi(p^a)}}^{=0} \\ &= 1 + \frac{1}{p-1} + 0 + \cdots + 0 \\ &= \frac{p}{p-1}. \end{aligned}$$

(4) Or apply Theorems 2.4 and 2.18 to get

$$\begin{aligned} \sum_{d|n} \frac{\mu(d)^2}{\varphi(d)} &= \prod_{p|n} \left(1 - \frac{\mu(p)}{\varphi(p)}\right) \\ &= \prod_{p|n} \left(1 - \frac{-1}{p-1}\right) \\ &= \prod_{p|n} \frac{p}{p-1} \\ &= \frac{n}{\varphi(n)}. \end{aligned}$$

□

**Supplement. (Chinese remainder theorem)**

(Exercise I.3.5 in the textbook: *Jürgen Neukirch, Algebraic Number Theory*.)  
*The quotient ring  $\mathcal{O}/\mathfrak{a}$  of a Dedekind domain by an ideal  $\mathfrak{a} \neq 0$  is a principal ideal domain. (Hint: For  $\mathfrak{a} = \mathfrak{p}^n$  the only proper ideals of  $\mathcal{O}/\mathfrak{a}$  are given by  $\mathfrak{p}/\mathfrak{p}^n, \dots, \mathfrak{p}^{n-1}/\mathfrak{p}^n$ . Choose  $\pi \in \mathfrak{p} \setminus \mathfrak{p}^2$  and show that  $\mathfrak{p}^\nu = \mathcal{O}\pi^\nu + \mathfrak{p}^n$ .)*

*Proof.*

- (1) By the Chinese remainder theorem, it suffices to show the case  $\mathfrak{a} = \mathfrak{p}^n$  where  $\mathfrak{p}$  is prime.
- (2) There is a natural correspondence between

$$\{\text{ideals of } \mathcal{O}/\mathfrak{p}^n\} \longleftrightarrow \{\text{ideals of } \mathcal{O} \text{ containing } \mathfrak{p}^n\}.$$

Hence the proper ideals of  $\mathcal{O}/\mathfrak{p}^n$  are given by  $\mathfrak{p}/\mathfrak{p}^n, \dots, \mathfrak{p}^{n-1}/\mathfrak{p}^n$ .

- (3) Similar to Exercise I.3.4, choose  $\pi \in \mathfrak{p} \setminus \mathfrak{p}^2$  and thus  $\mathfrak{p}^\nu = \mathcal{O}\pi^\nu + \mathfrak{p}^n$  ( $\nu = 1, \dots, n-1$ ) since they have the same prime factorization. Hence  $\mathfrak{p}^\nu/\mathfrak{p}^n = (\pi^\nu + \mathfrak{p}^n)$  is principal.

□

**Exercise 2.4.**

*Prove that  $\varphi(n) > \frac{n}{6}$  for all  $n$  with at most 8 distinct prime factors.*

*Proof.*

- (1)

$$\begin{aligned} \varphi(n) &= n \prod_{p|n} \left(1 - \frac{1}{p}\right) && \text{(Theorem 2.4)} \\ &\geq n \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{5}\right) \left(1 - \frac{1}{7}\right) \\ &\quad \left(1 - \frac{1}{11}\right) \left(1 - \frac{1}{13}\right) \left(1 - \frac{1}{17}\right) \left(1 - \frac{1}{19}\right) \\ &= \frac{55296}{323323} n \\ &> \frac{n}{6}. \end{aligned}$$

(2) The conclusion does not hold if  $n$  has more than 9 distinct prime factors.

□

**Exercise 2.5.**

Define  $\nu(1) = 0$ , and for  $n > 1$  let  $\nu(n)$  be the number of distinct prime factors of  $n$ . Let  $f = \mu * \nu$  and prove that  $f(n)$  is either 0 or 1.

*Proof.* It is easy to verify that

$$f(n) := \begin{cases} 1 & \text{if } n \text{ is prime,} \\ 0 & \text{otherwise.} \end{cases}$$

satisfies  $\sum_{d|n} f(d) = \nu(n)$ . Hence  $f = \mu * \nu$  holds by the Möbius inversion formula (Theorem 2.9). □

*Note.* We can calculate  $f(n)$  for  $n = 1, 2, \dots, 10$  to find the pattern of  $f$ .

**Exercise 2.6.**

*Prove that*

$$\sum_{d^2|n} \mu(d) = \mu(n)^2$$

*and, more generally*

$$\sum_{d^k|n} \mu(d) = \begin{cases} 0 & \text{if } m^k|n \text{ for some } m > 1, \\ 1 & \text{otherwise.} \end{cases}$$

*The last sum is extended over all positive divisors  $d$  of  $n$  whose  $k$ th power also divide  $n$ .*

*Proof.*

(1) Write  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r} q_1^{\beta_1} \cdots q_s^{\beta_s}$  where  $\alpha_i \geq 2$  and  $\beta_j = 1$ . The proof is similar to Theorem 2.1.

(2) If  $p_1^{\alpha_1} \cdots p_r^{\alpha_r} = 1$ , then  $\sum_{d^2|n} \mu(d) = \mu(1) = 1$ .

(3) If  $p_1^{\alpha_1} \cdots p_r^{\alpha_r} > 1$ , then

$$\begin{aligned}
\sum_{d^2|n} \mu(d) &= \mu(1) + \mu(p_1) + \cdots + \mu(p_r) \\
&\quad + \mu(p_1 p_2) + \cdots + \mu(p_{r-1} p_r) + \cdots + \mu(p_1 \cdots p_r) \\
&= 1 + \binom{r}{1}(-1) + \binom{r}{2}(-1)^2 + \cdots + \binom{r}{r}(-1)^r \\
&= (1-1)^r \\
&= 0.
\end{aligned}$$

(4) By (2)(3),  $\sum_{d^2|n} \mu(d) = \mu(n)^2$ . Besides, we have

$$\sum_{d^k|n} \mu(d) = \begin{cases} 0 & \text{if } m^k|n \text{ for some } m > 1, \\ 1 & \text{otherwise} \end{cases}$$

by the same argument as (1)(2)(3).

□

### Exercise 2.7.

Let  $\mu(p, d)$  denote the value of the Möbius function at the gcd of  $p$  and  $d$ . Prove that for every prime  $p$  we have

$$\sum_{d|n} \mu(d) \mu(p, d) = \begin{cases} 1 & \text{if } n = 1, \\ 2 & \text{if } n = p^a, a \geq 1, \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.*

(1) It suffices to show that  $\mu(p, n)$  is multiplicative. If so, then

$$h(n) := \sum_{d|n} \mu(d) \mu(p, d)$$

is also multiplicative by taking  $f(n) := \mu(n) \mu(p, n)$  and  $g(n) := 1$  in Theorem 2.14.

(2) A direct calculation shows that  $h(1) = 1$  (or by Theorem 2.12) and

$$\begin{aligned}
h(p^a) &= \mu(1) \mu(p, 1) + \mu(p) \mu(p, p) = 1 \cdot 1 + (-1) \cdot (-1) = 2, \\
h(q^b) &= \mu(1) \mu(p, 1) + \mu(q) \mu(p, q) = 1 \cdot 1 + (-1) \cdot 1 = 0
\end{aligned}$$

where  $q \neq p$  and  $a, b \geq 1$ . Hence (1) and Theorem 2.13 show that

$$h(n) = \begin{cases} 1 & \text{if } n = 1, \\ 2 & \text{if } n = p^a, a \geq 1, \\ 0 & \text{otherwise.} \end{cases}$$

(3) Show that  $\mu(p, n)$  is multiplicative. Suppose  $(m, n) = 1$ . There are two possible cases:  $p \nmid mn$  and  $p \mid mn$ .

- (a) If  $p \nmid mn$ , then all  $\mu(p, mn), \mu(p, m), \mu(p, n)$  are equal to  $\mu(1) = 1$ .
- (b) If  $p \mid mn$ , then  $p \mid m$  or  $p \mid n$ . Note that  $(m, n) = 1$  and thus  $p$  cannot be a common divisor of  $m, n$ . Hence  $\mu(p, mn) = \mu(p) = -1$  and  $\mu(p, m)\mu(p, n) = \mu(p)\mu(1) = -1$ .

In any case  $\mu(p, mn) = \mu(p, m)\mu(p, n)$  if  $(m, n) = 1$ .

□

### Exercise 2.8.

Prove that

$$\sum_{d \mid n} \mu(d) (\log d)^m = 0$$

if  $m \geq 1$  and  $n$  has more than  $m$  distinct prime factors. [Hint: Induction.]

Proof.

- (1) Induction.
- (2) (Base case) Suppose  $m = 1$ . Theorem 2.11 implies that

$$\sum_{d \mid n} \mu(d) \log(d) = -\Lambda(n) = 0$$

since  $n$  has at least 2 distinct prime factors.

- (3) (Inductive step) Suppose the conclusion holds for  $m < m_0$  and  $n$  has more than  $m$  distinct prime factors. Given  $n$  having more than  $m_0$  distinct prime factors. Write  $n = p^a n'$  where  $a > 0$  and  $p \nmid n'$ . (Here  $q$  has more than  $m_0 - 1$  distinct prime factors.) So by the induction hypothesis and

$\sum_{d|n'} \mu(d) = 0$ , we have

$$\begin{aligned}
& \sum_{d|n} \mu(d)(\log d)^{m_0} \\
&= \sum_{d|n'} \sum_{i=0}^a \mu(p^i d)(\log p^i d)^{m_0} \\
&= \sum_{d|n'} [\mu(d)(\log d)^{m_0} + \mu(pd)(\log pd)^{m_0}] \\
&= \sum_{d|n'} [\mu(d)(\log d)^{m_0} + \underbrace{\mu(p)}_{=-1} \mu(d)(\log p + \log d)^{m_0}] \\
&= \sum_{d|n'} \mu(d)[(\log d)^{m_0} - (\log p + \log d)^{m_0}] \\
&= \sum_{d|n'} \mu(d)[-(\log p)^{m_0} - \dots - m_0 \log p (\log d)^{m_0-1}] \\
&= -(\log p)^{m_0} \sum_{d|n'} \mu(d) - \dots - m_0 \log p \sum_{d|n'} \mu(d)(\log d)^{m_0-1} \\
&= 0.
\end{aligned}$$

(4) By (2)(3), the conclusion holds for all  $m \geq 1$ .

□

### Exercise 2.9.

If  $x$  is real,  $x \geq 1$ , let  $\varphi(x, n)$  denote the number of positive integers  $\leq x$  that are relatively prime to  $n$ . [Note that  $\varphi(n, n) = \varphi(n)$ .] Prove that

$$\varphi(x, n) = \sum_{d|n} \mu(d) \left[ \frac{x}{d} \right], \quad \sum_{d|n} \varphi\left(\frac{x}{d}, \frac{n}{d}\right) = [x].$$

*Proof.*

(1) Show that  $\varphi(x, n) = \sum_{d|n} \mu(d) \left[ \frac{x}{d} \right]$ . Similar to the proof of Theorem 2.3.  $\varphi(x, n)$  can be written in the form

$$\varphi(x, n) = \sum_{1 \leq k \leq x} \left[ \frac{1}{(n, k)} \right],$$

where now  $k$  runs through all integers  $\leq x$ . Now we use Theorem 2.1 with  $n$  replaced by  $(n, k)$  to obtain

$$\varphi(x, n) = \sum_{1 \leq k \leq x} \sum_{d|(n, k)} \mu(d) = \sum_{1 \leq k \leq x} \sum_{\substack{d|n \\ d|k}} \mu(d).$$

For a fixed divisor  $d$  of  $n$  we must sum over all those  $k$  in the range  $1 \leq k \leq x$  which are multiples of  $d$ . If we write  $k = qd$  then  $1 \leq k \leq x$  if and only if  $1 \leq q \leq \left\lfloor \frac{x}{d} \right\rfloor$ . Hence the last sum for  $\varphi(x, n)$  can be written as

$$\varphi(x, n) = \sum_{d|n} \sum_{1 \leq q \leq \left\lfloor \frac{x}{d} \right\rfloor} \mu(d) = \sum_{d|n} \mu(d) \sum_{1 \leq q \leq \left\lfloor \frac{x}{d} \right\rfloor} 1 = \sum_{d|n} \mu(d) \left\lfloor \frac{x}{d} \right\rfloor.$$

- (2) Show that  $\sum_{d|n} \varphi\left(\frac{x}{d}, \frac{n}{d}\right) = [x]$ . Similar to the proof of Theorem 2.2. Let  $S$  denote the set  $\{1, 2, \dots, [x]\}$ . We distribute the integers of  $S$  into disjoint sets as follows. For each divisor  $d$  of  $n$ , let

$$A(d) = \{k : (k, n) = d, 1 \leq k \leq x\}.$$

That is,  $A(d)$  contains those elements of  $S$  which have the gcd  $d$  with  $n$ . The sets  $A(d)$  form a disjoint collection whose union is  $S$ . Therefore if  $f(d)$  denotes the number of integers in  $A(d)$  we have

$$\sum_{d|n} f(d) = [x].$$

But  $(k, n) = d$  if and only if  $\left(\frac{k}{d}, \frac{n}{d}\right) = 1$ , and  $0 < k \leq x$  if and only if  $0 < \frac{k}{d} \leq \frac{x}{d}$ . Therefore, if we let  $q = \frac{k}{d}$ , there is a one-to-one correspondence between the elements in  $A(d)$  and those integers  $q$  satisfying  $0 < q \leq \frac{x}{d}$ ,  $\left(q, \frac{n}{d}\right) = 1$ . The number of such  $q$  is  $\varphi\left(\frac{x}{d}, \frac{n}{d}\right)$ . Hence  $f(d) = \varphi\left(\frac{x}{d}, \frac{n}{d}\right)$  and thus

$$\sum_{d|n} \varphi\left(\frac{x}{d}, \frac{n}{d}\right) = [x].$$

□

In Exercise 2.10, 2.11 and 2.12,  $d(n)$  denotes the number of positive divisors of  $n$ .

### Exercise 2.10.

Prove that  $\prod_{t|n} t = n^{\frac{d(n)}{2}}$ .

*Proof.*

- (1) Note that  $d(1) = 1$  and

$$d(p_1^{\alpha_1} \cdots p_r^{\alpha_r}) = (\alpha_1 + 1) \cdots (\alpha_r + 1) = d(p_1^{\alpha_1}) \cdots d(p_r^{\alpha_r}).$$

Hence  $d(n)$  is multiplicative (Theorem 2.13).



- (2) Show that  $\prod_{t|n} t = n^{\frac{d(n)}{2}}$ .  $n = 1$  is trivial. Assume  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r} > 1$ . Then  $t|n$  if and only if  $t = p_1^{x_1} \cdots p_r^{x_r}$  with  $0 \leq x_i \leq \alpha_i$  ( $i = 1, \dots, r$ ). So

$$\begin{aligned}
\prod_{t|n} t &= \prod_{\substack{0 \leq x_1 \leq \alpha_1 \\ \dots \\ 0 \leq x_r \leq \alpha_r}} p_1^{x_1} \cdots p_r^{x_r} \\
&= p_1^{(0+1+\cdots+\alpha_1)(\alpha_2+1)\cdots(\alpha_r+1)} \cdots p_r^{(\alpha_1+1)\cdots(\alpha_{r-1}+1)(0+1+\cdots+\alpha_r)} \\
&= p_1^{\frac{\alpha_1(\alpha_1+1)}{2} \cdot (\alpha_2+1)\cdots(\alpha_r+1)} \cdots p_r^{(\alpha_1+1)\cdots(\alpha_{r-1}+1) \cdot \frac{\alpha_r(\alpha_r+1)}{2}} \\
&= p_1^{\alpha_1 \frac{d(n)}{2}} \cdots p_r^{\alpha_r \frac{d(n)}{2}} \\
&= (p_1^{\alpha_1} \cdots p_r^{\alpha_r})^{\frac{d(n)}{2}} \\
&= n^{\frac{d(n)}{2}}.
\end{aligned}$$

□

**Exercise 2.11.**

Prove that  $d(n)$  is odd if, and only if,  $n$  is a square.

*Proof.*  $n = 1$  is trivial. Assume  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r} > 1$ . Then

$$\begin{aligned}
d(n) &= (\alpha_1 + 1) \cdots (\alpha_r + 1) \text{ is odd} && \text{(Exercise 2.10)} \\
\iff &\alpha_1 + 1, \dots, \alpha_r + 1 \text{ are odd} \\
\iff &\alpha_1, \dots, \alpha_r \text{ are even} \\
\iff &n \text{ is a square.}
\end{aligned}$$

□

**Exercise 2.12.**

Prove that  $\sum_{t|n} d(t)^3 = \left( \sum_{t|n} d(t) \right)^2$ .

*Proof.*

- (1) Exercise 2.10 shows that  $d(n)$  is multiplicative. Similar to the proof of Exercise 2.7, both  $f(n) := \sum_{t|n} d(t)^3$  and  $g(n) := \left( \sum_{t|n} d(t) \right)^2$  are multiplicative. So it suffices to show that  $f(p^a) = g(p^a)$  (Theorem 2.13).

(2) A direct calculation shows that

$$\begin{aligned}
 f(p^a) &= \sum_{t|p^a} d(t)^3 \\
 &= d(1)^3 + d(p)^3 + \cdots + d(p^a)^3 \\
 &= 1^3 + 2^3 + \cdots + (a+1)^3 \\
 &= \left( \frac{(a+1)(a+2)}{2} \right)^2
 \end{aligned}$$

and

$$\begin{aligned}
 g(p^a) &= \left( \sum_{t|p^a} d(t) \right)^2 \\
 &= (d(1) + d(p) + \cdots + d(p^a))^2 \\
 &= (1 + 2 + \cdots + (a+1))^2 \\
 &= \left( \frac{(a+1)(a+2)}{2} \right)^2
 \end{aligned}$$

are equal.

□

**Exercise 2.18.**

*Prove that every number of the form  $2^{a-1}(2^a - 1)$  is perfect if  $2^a - 1$  is prime.*

*Proof.* Write  $n := 2^{a-1}(2^a - 1)$ . Here  $(2^{a-1}, 2^a - 1) = 1$  since  $2^a - 1$  is always odd and Exercise 1.3. Hence

$$\begin{aligned}
 \sigma(n) &= \sigma(2^{a-1})\sigma(2^a - 1) && (\sigma \text{ is a multiplicative}) \\
 &= (1 + 2 + \cdots + 2^{a-1})\{1 + (2^a - 1)\} && (2^a - 1 \text{ is prime}) \\
 &= (2^a - 1) \cdot \underbrace{(2^a)}_{=2^{a-1} \cdot 2} \\
 &= 2n.
 \end{aligned}$$

Therefore  $n$  is perfect. □

**Exercise 2.21.**

*Let  $f(n) = \lfloor \sqrt{n} \rfloor - \lfloor \sqrt{n-1} \rfloor$ . Prove that  $f$  is multiplicative but not completely multiplicative.*

*Proof.*

(1) *Show that*

$$f(n) = \begin{cases} 1 & \text{if } n \text{ is a square,} \\ 0 & \text{otherwise.} \end{cases}$$

- (a) Write  $m = \lfloor \sqrt{n} \rfloor$ . So  $m^2 \leq n < (m+1)^2$ .
  - (b) Suppose  $n = m^2$  is a square. Since  $m \geq 1$  and  $(m-1)^2 \leq m^2 - 1 = n - 1 < m^2$ ,  $\lfloor \sqrt{n-1} \rfloor = m - 1$ . Therefore  $f(n) = 1$ .
  - (c) Suppose  $n$  is not a square. So  $m^2 < n < (m+1)^2$ . So  $\lfloor \sqrt{n-1} \rfloor = m$  since  $m^2 \leq n - 1 < n < (m+1)^2$ . Therefore  $f(n) = 0$ .
- (2) It is easy to see that  $f$  is multiplicative but not completely multiplicative (since  $f(p^2) \neq f(p)^2$  for all prime  $p$ ).

□

## Chapter 3: Average of arithmetical functions

### Exercise 3.1.

Use Euler's summation formula to deduce the following for  $x \geq 2$ :

- (a)  $\sum_{n \leq x} \frac{\log n}{n} = \frac{1}{2}(\log x)^2 + A + O\left(\frac{\log x}{x}\right)$ , where  $A$  is a constant.
- (b)  $\sum_{2 \leq n \leq x} \frac{1}{n \log n} = \log \log x + B + O\left(\frac{1}{x \log x}\right)$ , where  $B$  is a constant.

*Proof of (a).*

- (1) Similar to the proof of Theorem 3.2. We take  $f(t) = \frac{\log t}{t}$  in Euler's summation formula to obtain

$$\begin{aligned} \sum_{n \leq x} \frac{\log n}{n} &= \int_1^x \frac{\log t}{t} dt + \int_1^x (t - [t]) \frac{1 - \log t}{t^2} dt \\ &\quad + \frac{\log x}{x}([x] - x) - \underbrace{\frac{\log(1)}{1}([1] - 1)}_{=0} \\ &= \frac{1}{2}(\log x)^2 + \int_1^x (t - [t]) \frac{1 - \log t}{t^2} dt + O\left(\frac{\log x}{x}\right) \\ &= \frac{1}{2}(\log x)^2 + \int_1^\infty (t - [t]) \frac{1 - \log t}{t^2} dt \\ &\quad - \int_x^\infty (t - [t]) \frac{1 - \log t}{t^2} dt + O\left(\frac{\log x}{x}\right). \end{aligned}$$

- (2) The improper integral  $\int_1^\infty (t - [t]) \frac{1 - \log t}{t^2} dt$  exists since it is dominated by  $\int_1^e \frac{1 - \log t}{t^2} dt + \int_e^\infty \frac{\log t - 1}{t^2} dt = 2e^{-1}$ .
- (3) Might assume that  $x \geq e$ . So

$$0 \leq - \int_x^\infty (t - [t]) \frac{1 - \log t}{t^2} dt \leq \int_x^\infty \frac{\log t - 1}{t^2} dt = \frac{\log x}{x}.$$

- (4) Therefore

$$\sum_{n \leq x} \frac{\log n}{n} = \frac{1}{2}(\log x)^2 + A + O\left(\frac{\log x}{x}\right)$$

where  $A = \int_1^\infty (t - [t]) \frac{1 - \log t}{t^2} dt$  is a constant.

□

*Proof of (b).*

(1) We take  $f(t) = \frac{1}{t \log t}$  in Euler's summation formula to obtain

$$\begin{aligned}
\sum_{2 \leq n \leq x} \frac{1}{n \log n} &= \int_2^x \frac{1}{t \log t} dt + \int_2^x -(t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt \\
&\quad + \frac{1}{x \log x} ([x] - x) - \underbrace{\frac{1}{2 \cdot \log(2)} ([2] - 2)}_{=0} \\
&= \log \log x - \log \log 2 - \int_2^x (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt \\
&\quad + O\left(\frac{1}{x \log x}\right) \\
&= \log \log x - \log \log 2 - \int_2^\infty (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt \\
&\quad + \int_x^\infty (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt + O\left(\frac{1}{x \log x}\right).
\end{aligned}$$

(2) The improper integral  $\int_2^\infty (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt$  exists since it is dominated by  $\int_2^\infty \frac{\log t + 1}{t^2 (\log t)^2} dt = \frac{1}{2 \log 2} < \infty$ .

(3)

$$0 \leq \int_x^\infty (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt \leq \int_x^\infty \frac{\log t + 1}{t^2 (\log t)^2} dt = \frac{1}{x \log x}.$$

(4) Therefore

$$\sum_{2 \leq n \leq x} \frac{1}{n \log n} = \log \log x + B + O\left(\frac{1}{x \log x}\right)$$

where  $B = -\log \log 2 - \int_2^\infty (t - [t]) \frac{\log t + 1}{t^2 (\log t)^2} dt$  is a constant.

□

### Exercise 3.2.

If  $x \geq 2$  prove that

$$\sum_{n \leq x} \frac{d(n)}{n} = \frac{1}{2} (\log x)^2 + 2C \log x + O(1),$$

where  $C$  is Euler's constant.

*Proof.* Similar to the proof of Theorem 3.3, we have

$$\sum_{n \leq x} \frac{d(n)}{n} = \sum_{n \leq x} \frac{1}{n} \sum_{d|n} 1 = \sum_{\substack{q, d \\ qd \leq x}} \frac{1}{qd} = \sum_{d \leq x} \frac{1}{d} \sum_{q \leq \frac{x}{d}} \frac{1}{q}.$$

Now we use Theorem 3.2(a) to obtain

$$\sum_{q \leq \frac{x}{d}} \frac{1}{q} = \log \frac{x}{d} + C + O\left(\frac{d}{x}\right) = \log x - \log d + C + O\left(\frac{d}{x}\right).$$

Using this along with Theorem 3.2(a) and Exercise 3.1 we find

$$\begin{aligned} \sum_{n \leq x} \frac{d(n)}{n} &= \sum_{d \leq x} \frac{1}{d} \left\{ \log x - \log d + C + O\left(\frac{d}{x}\right) \right\} \\ &= (\log x + C) \sum_{d \leq x} \frac{1}{d} - \sum_{d \leq x} \frac{\log d}{d} + \sum_{d \leq x} O\left(\frac{1}{x}\right) \\ &= (\log x + C) \left\{ \log x + C + O\left(\frac{1}{x}\right) \right\} \\ &\quad - \left\{ \frac{1}{2}(\log x)^2 + A + O\left(\frac{\log x}{x}\right) \right\} + O(1) \\ &= (\log x)^2 + 2C \log x - \frac{1}{2}(\log x)^2 + O(1) \\ &= \frac{1}{2}(\log x)^2 + 2C \log x + O(1). \end{aligned}$$

□

### Exercise 3.3.

If  $x \geq 2$  and  $\alpha > 0$ ,  $\alpha \neq 1$ , prove that

$$\sum_{n \leq x} \frac{d(n)}{n^\alpha} = \frac{x^{1-\alpha} \log x}{1-\alpha} + \zeta(\alpha)^2 + O(x^{1-\alpha}).$$

*Proof.*

(1) Similar to Exercise 3.2.

$$\sum_{n \leq x} \frac{d(n)}{n^\alpha} = \sum_{n \leq x} \frac{1}{n^\alpha} \sum_{d|n} 1 = \sum_{\substack{q, d \\ qd \leq x}} \frac{1}{q^\alpha d^\alpha} = \sum_{d \leq x} \frac{1}{d^\alpha} \sum_{q \leq \frac{x}{d}} \frac{1}{q^\alpha}.$$

Now we use Theorem 3.2(b) to obtain

$$\sum_{q \leq \frac{x}{d}} \frac{1}{q^\alpha} = \frac{1}{d^{1-\alpha}} \cdot \frac{x^{1-\alpha}}{1-\alpha} + \zeta(\alpha) + O\left(\frac{d^\alpha}{x^\alpha}\right).$$

Using this along with Theorem 3.2 we find

$$\begin{aligned}
\sum_{n \leq x} \frac{d(n)}{n^\alpha} &= \sum_{d \leq x} \frac{1}{d^\alpha} \left\{ \frac{1}{d^{1-\alpha}} \cdot \frac{x^{1-\alpha}}{1-\alpha} + \zeta(\alpha) + O\left(\frac{d^\alpha}{x^\alpha}\right) \right\} \\
&= \frac{x^{1-\alpha}}{1-\alpha} \sum_{d \leq x} \frac{1}{d} + \zeta(\alpha) \sum_{d \leq x} \frac{1}{d^\alpha} + \sum_{d \leq x} O(x^{-\alpha}) \\
&= \frac{x^{1-\alpha}}{1-\alpha} \{ \log x + C + O(x^{-1}) \} \\
&\quad + \zeta(\alpha) \left\{ \frac{x^{1-\alpha}}{1-\alpha} + \zeta(\alpha) + O(x^{-\alpha}) \right\} + O(x^{1-\alpha}) \\
&= \frac{x^{1-\alpha} \log x}{1-\alpha} + \zeta(\alpha)^2 + O(x^{1-\alpha}).
\end{aligned}$$

□

**Exercise 3.5.**

If  $x \geq 1$  prove that:

- (a)  $\sum_{n \leq x} \varphi(n) = \frac{1}{2} \sum_{n \leq x} \mu(n) \left[ \frac{x}{n} \right]^2 + \frac{1}{2}.$
- (b)  $\sum_{n \leq x} \frac{\varphi(n)}{n} = \sum_{n \leq x} \frac{\mu(n)}{n} \left[ \frac{x}{n} \right].$

These formulas, together with those in Exercise 3.4, show that, for  $x \geq 2$ ,

$$\sum_{n \leq x} \varphi(n) = \frac{1}{2} \frac{x^2}{\zeta(2)} + O(x \log x), \quad \sum_{n \leq x} \frac{\varphi(n)}{n} = \frac{x}{\zeta(2)} + O(\log x).$$

The last two formulas are trivial and we omit the proof.

*Proof of (a).* Same as the proof of Theorem 3.7.

$$\begin{aligned}
\sum_{n \leq x} \varphi(n) &= \sum_{n \leq x} \sum_{d|n} \mu(d) \frac{n}{d} \\
&= \sum_{\substack{q, d \\ qd \leq x}} \mu(d) q \\
&= \sum_{d \leq x} \mu(d) \sum_{q \leq \frac{x}{d}} q \\
&= \sum_{d \leq x} \mu(d) \frac{1}{2} \left[ \frac{x}{d} \right] \left( 1 + \left[ \frac{x}{d} \right] \right) \\
&= \frac{1}{2} \sum_{d \leq x} \mu(d) \left[ \frac{x}{d} \right]^2 + \frac{1}{2} \sum_{d \leq x} \mu(d) \left[ \frac{x}{d} \right] \\
&= \frac{1}{2} \sum_{d \leq x} \mu(d) \left[ \frac{x}{d} \right]^2 + \frac{1}{2} \quad (\text{Theorem 3.12})
\end{aligned}$$

□

*Proof of (b).*

(1)

$$\begin{aligned}
\sum_{n \leq x} \frac{\varphi(n)}{n} &= \sum_{n \leq x} \sum_{d|n} \frac{\mu(d)}{d} \quad (\text{Theorem 2.3}) \\
&= \sum_{n \leq x} \frac{\mu(n)}{n} \left[ \frac{x}{n} \right]. \quad (\text{Theorem 3.11})
\end{aligned}$$

□

## Properties of the greatest-integer function

*Note.* We might define

$$\begin{aligned}
\lfloor x \rfloor &= \text{the greatest integer less than or equal to } x; \\
\lceil x \rceil &= \text{the least integer greater than or equal to } x.
\end{aligned}$$

Kenneth E. Iverson introduced this notation, as well as the names “floor” and “ceiling,” early in the 1960s [Kenneth E. Iverson, *A Programming Language*. Wiley, 1962. page 12].



**Exercise 3.17.**

Prove that  $\lfloor x \rfloor + \lfloor x + \frac{1}{2} \rfloor = \lfloor 2x \rfloor$  and more generally,

$$\sum_{k=0}^{n-1} \left\lfloor x + \frac{k}{n} \right\rfloor = \lfloor nx \rfloor.$$

*Proof.*

(1) Show that

$$m = \sum_{k=0}^{n-1} \left\lfloor \frac{m+k}{n} \right\rfloor$$

for  $n, m \in \mathbb{Z}$  and  $n > 0$ . Note that

$$m+k = n \left\lfloor \frac{m+k}{n} \right\rfloor + \underbrace{\{(m+k) \bmod n\}}_{:=r(m+k)}$$

for  $k = 0, \dots, n-1$  where  $0 \leq r(m+k) < n$  is an integer. Note that  $\{r(m+k) : k = 0, \dots, n-1\}$  is a rearrangement of  $\{0, \dots, n-1\}$ . So

$$\begin{aligned} \sum_{k=0}^{n-1} (m+k) &= \sum_{k=0}^{n-1} n \left\lfloor \frac{m+k}{n} \right\rfloor + \sum_{k=0}^{n-1} r(m+k) \\ \implies nm + \sum_{k=0}^{n-1} k &= n \sum_{k=0}^{n-1} \left\lfloor \frac{m+k}{n} \right\rfloor + \sum_{k=0}^{n-1} k \\ \implies m &= \sum_{k=0}^{n-1} \left\lfloor \frac{m+k}{n} \right\rfloor. \end{aligned}$$

(2) Show that  $\left\lfloor \frac{m+x}{n} \right\rfloor = \left\lfloor \frac{m+\lfloor x \rfloor}{n} \right\rfloor$  if  $n, m \in \mathbb{Z}$ ,  $n > 0$  and  $x \in \mathbb{R}$ . Similar to (1), we write

$$m + \lfloor x \rfloor = n \left\lfloor \frac{m + \lfloor x \rfloor}{n} \right\rfloor + r$$

where  $0 \leq r < n$  is an integer. So

$$m + x = n \left\lfloor \frac{m + \lfloor x \rfloor}{n} \right\rfloor + (r + x - \lfloor x \rfloor).$$

Note that  $0 \leq r + x - \lfloor x \rfloor < n$ . Hence

$$\left\lfloor \frac{m+x}{n} \right\rfloor = \left\lfloor \frac{m + \lfloor x \rfloor}{n} \right\rfloor.$$

(3) Now take  $m := \lfloor nx \rfloor$  in (1) and apply (2) to get the desired conclusion.

□

**Supplement. (Related exercises)**

Related exercises are quoted from the book: Ronald L. Graham, Donald E. Knuth and Oren Patashnik, *Concrete Mathematics: A Foundation for Computer Science*, 2nd edition.

(1) Show that  $\lceil \frac{m+x}{n} \rceil = \left\lceil \frac{m+\lceil x \rceil}{n} \right\rceil$  if  $n, m \in \mathbb{Z}$ ,  $n > 0$  and  $x \in \mathbb{R}$ .

(2) Show that

$$m = \sum_{k=0}^{n-1} \left\lceil \frac{m-k}{n} \right\rceil$$

for  $n, m \in \mathbb{Z}$  and  $n > 0$ .

(3) Prove that  $\lceil x \rceil + \lceil x - \frac{1}{2} \rceil = \lceil 2x \rceil$  and more generally,

$$\sum_{k=0}^{n-1} \left\lceil x + \frac{k}{n} \right\rceil = \lceil nx \rceil.$$

(4) Show that

$$\sum_{k=0}^{n-1} \left\lfloor \frac{mk+x}{n} \right\rfloor = g \left\lfloor \frac{x}{g} \right\rfloor + \frac{1}{2}(mn - m - n + g)$$

if  $n, m \in \mathbb{Z}$ ,  $n > 0$ ,  $x \in \mathbb{R}$  and  $g = \gcd(m, n)$ .

(5) (Reciprocity law) Hence

$$\sum_{k=0}^{n-1} \left\lfloor \frac{mk+x}{n} \right\rfloor = \sum_{k=0}^{m-1} \left\lfloor \frac{nk+x}{m} \right\rfloor$$

if  $m, n > 0$ .

(6) Prove that, for all real  $x$  and  $y$  with  $y > 0$

$$\sum_{0 \leq k < y} \left\lfloor x + \frac{k}{y} \right\rfloor = \lfloor xy + \lfloor x+1 \rfloor (\lceil y \rceil - y) \rfloor.$$

**Exercise 3.18. (Replicative function)**

Let  $f(x) = x - \lfloor x \rfloor - \frac{1}{2}$ . Prove that

$$\sum_{k=0}^{n-1} f\left(x + \frac{k}{n}\right) = f(nx)$$

and deduce that

$$\left| \sum_{n=1}^m f\left(2^n x + \frac{1}{2}\right) \right| \leq 1 \quad \text{for all } m \geq 1 \text{ and all real } x.$$

*Proof.*

- (1) Exercise 3.17 shows that  $x \mapsto \lfloor x \rfloor$  is replicative. Besides,  $x \mapsto x - \frac{1}{2}$  is also replicative. (It is easy to check.) Hence  $f : x \mapsto x - \lfloor x \rfloor - \frac{1}{2}$  is replicative.
- (2) In particular, we have

$$f(2^n x) + f\left(2^n x + \frac{1}{2}\right) = f(2^{n+1} x).$$

Hence

$$\begin{aligned} \sum_{n=1}^m f\left(2^n x + \frac{1}{2}\right) &= \sum_{n=1}^m \{f(2^{n+1} x) - f(2^n x)\} \\ &= f(2^{m+1} x) - f(2x) \\ &= \underbrace{(2^{m+1} x - \lfloor 2^{m+1} x \rfloor)}_{:=r_1} - \underbrace{(2x - \lfloor 2x \rfloor)}_{:=r_2}. \end{aligned}$$

Since  $0 \leq r_1, r_2 < 1$ ,  $-1 < r_1 - r_2 < 1$ . Therefore

$$\left| \sum_{n=1}^m f\left(2^n x + \frac{1}{2}\right) \right| < 1.$$

□

*Note.*

- (1) The function  $f(x)$  is said to be **replicative** if it satisfies

$$f(nx) = \sum_{k=0}^{n-1} f\left(x + \frac{k}{n}\right).$$

- (2) The function  $x \mapsto f(x - \lfloor x \rfloor)$  is replicative if  $f$  is replicative.
- (3) It may be interesting to study more general class of functions for which

$$\sum_{k=0}^{n-1} f\left(x + \frac{k}{n}\right) = a_n f(nx) + b_n$$

(where  $a_n, b_n$  do not depend on  $x$ ).

- (4) Let  $B_n$  be the Bernoulli polynomial. Suppose  $n$  and  $F$  are integers and  $n, F > 0$ . Show that

$$B_n(Fx) = F^{n-1} \sum_{a=0}^{F-1} B_n\left(x + \frac{a}{F}\right).$$

- (5) Note that

$$\frac{1}{\exp(nz) - 1} = \frac{1}{n} \sum_{k=0}^{n-1} \frac{1}{\exp\left(z + \frac{2k\pi i}{n}\right) - 1}.$$

Thus

$$\cot(z) = \frac{1}{n} \sum_{k=0}^{n-1} \cot \frac{z + k\pi}{n}.$$

Now  $x \mapsto \cot(\pi x)$  is replicative.

**Exercise 3.20.**

If  $n$  is a positive integer prove that  $\lfloor \sqrt{n} + \sqrt{n+1} \rfloor = \lfloor \sqrt{4n+2} \rfloor$ .

*Proof.*

- (1) Note that

$$\begin{aligned} (\sqrt{n} + \sqrt{n+1})^2 &= 2n + 1 + 2\sqrt{n(n+1)} \\ \implies 4n + 1 &< (\sqrt{n} + \sqrt{n+1})^2 < 4n + 2 \end{aligned}$$

since

$$n = \sqrt{n^2} < \sqrt{n(n+1)} < \sqrt{(n+1)^2} = n + 1.$$

- (2) Hence to show  $\lfloor \sqrt{n} + \sqrt{n+1} \rfloor = \lfloor \sqrt{4n+2} \rfloor$ , it suffices to show that there is no integers in

$$[\sqrt{n} + \sqrt{n+1}, \sqrt{4n+2}] \subseteq (\sqrt{4n+1}, \sqrt{4n+2}] \subseteq \mathbb{R}^1.$$

So it suffices to show that there is no squares of  $\mathbb{Z}$  in the subset

$$(4n + 1, 4n + 2] \subseteq \mathbb{R}^1.$$

Note that  $4n + 2$  cannot be an integer square. So the last statement holds. Therefore  $\lfloor \sqrt{n} + \sqrt{n+1} \rfloor = \lfloor \sqrt{4n+2} \rfloor$ .

□

## Chapter 6: Finite Abelian Groups and Their Characters

### Supplement. (Serre, A Course in Arithmetic)

- (1) (Proposition VI.1) *Let  $H$  be a subgroup of a finite abelian group  $G$ . Every character of  $H$  extends to a character of  $G$ .*
- (2) (Proposition VI.2) *The group  $\widehat{G}$  is a finite abelian group of the same order of  $G$ .*
- (3) Worth the time and effort to read this book.

□

### Supplement. (Serre, Linear Representations of Finite Groups)

- (1) (Proposition 2.5) The irreducible characters of a finite abelian  $G$  are denoted  $\chi_1, \dots, \chi_h$ ; their degrees are written  $n_1, \dots, n_h$ , we have  $n_i = \chi_i(1)$ . *The degrees  $n_i$  satisfy the relation  $\sum_{i=1}^h n_i^2 = g$ .*
- (2) (Exercise 2.3.1) *Show directly, using Schur's lemma, that each irreducible representation of an abelian group, finite or not, has degree 1. Proof.*
  - (a) (Schur's lemma) Let  $\rho^1 : G \rightarrow \text{GL}(V_1)$  and  $\rho^2 : G \rightarrow \text{GL}(V_2)$  be two irreducible representations of  $G$ , and let  $f$  be a linear mapping of  $V_1$  into  $V_2$  such that  $\rho_s^2 \circ f = f \circ \rho_s^1$  for all  $s \in G$ . Then:
    - (i) If  $\rho^1$  and  $\rho^2$  are not isomorphic, we have  $f = 0$ .
    - (ii) If  $V_1 = V_2$  and  $\rho^1 = \rho^2$ ,  $f$  is a homothety (i.e., a scalar multiple of the identity).
  - (b) Let  $\rho : G \rightarrow \text{GL}(V)$  be an irreducible representations of  $G$ . Since  $G$  is abelian,

$$\rho_s \circ \rho_t = \rho_t \circ \rho_s.$$

Schur's lemma implies that  $\rho_s$  is a homothety for any  $s \in G$ . Since  $\rho$  is irreducible,  $\dim V$  cannot be strictly larger than 1.

□

- (3) (Proposition 2.7) *The number of irreducible representations of  $G$  (up to isomorphism) is equal to the number of classes of  $G$ .*
- (4) (1)(3) or (2)(3) implies Theorem 6.8. Again the book is good to read.

□

**Exercise 6.1.**

Let  $G$  be a set of  $n$ th roots of a nonzero complex number. If  $G$  is a group under multiplication, prove that  $G$  is the group of  $n$ th roots of unity.

*Proof.*

- (1) Write

$$G = \{z \in \mathbb{C} : z^n = w\}$$

where  $w \in \mathbb{C}^\times$ . It suffices to show that  $w = 1$ .

- (2) Since the multiplication is the binary operation on  $G$ ,  $z_1 \cdot z_2 \in G$  whenever  $z_1, z_2 \in G$ . Hence  $w = (z_1 \cdot z_2)^n = (z_1)^n \cdot (z_2)^n = w \cdot w = w^2$  or  $w = 1$ . Note that  $G$  is nonempty and thus there exists an identity element of  $G$ .

□

**Exercise 6.2.**

Let  $G$  be a finite group of order  $n$  with identity element  $e$ . If  $a_1, \dots, a_n$  are  $n$  elements of  $G$ , not necessarily distinct, prove that there are integers  $p$  and  $q$  with  $1 \leq p \leq q \leq n$  such that  $a_p a_{p+1} \cdots a_q = e$ .

*Proof.*

- (1) Consider the set

$$S = \{s_k := a_1 \cdots a_k : 1 \leq k \leq n\}.$$

- (2) There is nothing to do when  $e \in S$  ( $p = 1$ ).
- (3) Suppose  $e \notin S$ . The pigeonhole principle implies that there exists two distinct elements  $s_p, s_q \in S$  such that  $s_p = s_q$ . Might assume  $p < q$ . Hence

$$\begin{aligned} s_p = s_q &\iff a_1 \cdots a_p = a_1 \cdots a_p a_{p+1} \cdots a_q \\ &\iff e = a_{p+1} \cdots a_q = s_p^{-1} s_q \end{aligned}$$

for some  $1 \leq p < q \leq n$ .

□

**Exercise 6.3.**

Let  $G$  be the set of all  $2 \times 2$  matrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , where  $a, b, c, d$  are integers with  $ad - bc = 1$ . Prove that  $G$  is a group under matrix multiplication. This group is sometimes called the **modular group**.

*Proof.*

- (1) (Binary operation) Note that  $\mathbb{Z}$  is a ring and  $\det(st) = \det(s)\det(t) = 1 \cdot 1 = 1$  whenever  $s, t \in G$ .
- (2) (Associativity) It is followed from the associativity of  $M_2(\mathbb{C}) \supseteq G$ .
- (3) (Identity element)  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  is the identity element of  $G$ .
- (4) (Inverse element) The inverse of  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in G$  is  $\begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \in G$ .

□

## Chapter 7: Dirichlet's Theorem on Primes in Arithmetic Progressions

### Supplement.

Let  $k > 0$  and  $(h, k) = 1$ . Let  $P$  be the set of primes numbers. Let  $P_h$  be the set of primes numbers such that  $p \equiv h \pmod{k}$ .

*Theorem 7.3.*

$$\sum_{\substack{p \leq x \\ p \in P_h}} \frac{\log p}{p} = \frac{1}{\varphi(k)} \log x + O(1)$$

for all  $x > 1$ .

We deal with the series  $\sum p^{-1} \log p$  rather than  $\sum p^{-1}$  to simplify the proof. Compare to the book *Serre, A Course in Arithmetic* for a classical proof of Dirichlet's Theorem:

$$\sum_{p \in P_h} \frac{1}{p^s} \sim \frac{1}{\varphi(k)} \log \frac{1}{s-1}.$$

for  $s \rightarrow 1$ .

*Outline of the proof.*

(1) Theorem 4.10 says that

$$\sum_{p \leq x} \frac{\log p}{p} = \log x + O(1).$$

Compare to Corollary 2 to Proposition VI.10 in *Serre, A Course in Arithmetic*:  
When  $s \rightarrow 1$ , one has

$$\sum_p p^{-s} \sim \log \frac{1}{s-1}.$$

(2) By the orthogonality relation for Dirichlet characters,

$$\begin{aligned} \varphi(k) \sum_{\substack{p \leq x \\ p \in P_h}} \frac{\log p}{p} &= \overline{\chi_1}(h) \sum_{p \leq x} \frac{\chi_1(p) \log p}{p} + \sum_{r=2}^{\varphi(k)} \overline{\chi_r}(h) \sum_{p \leq x} \frac{\chi_r(p) \log p}{p} \\ &= \sum_{\substack{p \leq x \\ p \in P_k}} \frac{\log p}{p} + \sum_{r=2}^{\varphi(k)} \overline{\chi_r}(h) \sum_{p \leq x} \frac{\chi_r(p) \log p}{p}. \end{aligned}$$



Hence it suffices to consider  $\sum_{\substack{p \leq x \\ p \in P_k}} \frac{\log p}{p}$  and  $\sum_{p \leq x} \frac{\chi_r(p) \log p}{p}$ . Compare to Lemma VI.9 in *Serre, A Course in Arithmetic*: Let

$$f_\chi(s) = \sum_{p \nmid k} \frac{\chi(p)}{p^s}.$$

Then

$$\sum_{p \in P_h} \frac{1}{p^s} = \frac{1}{\varphi(k)} \sum_{\chi} \chi(h)^{-1} f_\chi(s).$$

Again it suffices to consider two cases  $\chi = 1$  and  $\chi \neq 1$ .

(3) Show that

$$\sum_{\substack{p \leq x \\ p \in P_k}} \frac{\log p}{p} = \sum_{p \leq x} \frac{\log p}{p} + O(1).$$

Compare to Lemma VI.7 in *Serre, A Course in Arithmetic*: If  $\chi = 1$ , then for  $s \rightarrow 1$

$$f_\chi(s) \sim \log \frac{1}{s-1}.$$

(4) Show that

$$\sum_{p \leq x} \frac{\chi(p) \log p}{p} = O(1)$$

for each  $\chi \neq \chi_1$ . Compare to Lemma VI.8 in *Serre, A Course in Arithmetic*: If  $\chi \neq 1$ ,  $f_\chi(s)$  remains bounded when  $s \rightarrow 1$ .

(5) To prove part (4), consider the sum

$$\sum_{n \leq x} \frac{\chi(n) \Lambda(n)}{n}$$

and we write the sum as

$$\sum_{n \leq x} \frac{\chi(n) \Lambda(n)}{n} = \sum_{p \leq x} \frac{\chi(p) \log p}{p} + \underbrace{\sum_{p \leq x} \sum_{1 \leq a \leq \frac{\log x}{\log p}} \frac{\chi(p^a) \log p}{p^a}}_{=O(1)}.$$

Hence it suffices to show that  $\sum_{n \leq x} \frac{\chi(n) \Lambda(n)}{n} = O(1)$ . The proof is elementary and worth reading too. Compare to the proof of Lemma VI.8 in *Serre, A Course in Arithmetic*: we consider the  $L$  function

$$L(s, \chi) = \sum \frac{\chi(n)}{n^s} = \prod \frac{1}{1 - \frac{\chi(p)}{p^s}}$$

for  $\operatorname{Re}(s) > 1$ . Write

$$\underbrace{\log L(s, \chi)}_{=O(1)} = f_\chi(s) + \underbrace{\sum_{\substack{p \\ m \geq 2}} \frac{\chi(p)^m}{mp^{ms}}}_{=O(1)}$$

to get  $f_\chi(s) = O(1)$ . To prove  $\log L(s, \chi) = O(1)$ , we need some knowledge about complex analysis.