# Solutions to the book: Fulton, Algebraic Curves

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## Chapter 1: Affine Algebraic Sets

## 1.1. Algebraic Preliminaries

## Problem 1.1.\*

Let R be a domain.

- (a) If f, g are forms of degree r, s respectively in  $R[x_1, \ldots, x_n]$ , show that fg is a form of degree r + s.
- (b) Show that any factor of a form in  $R[x_1, ..., x_n]$  is also a form.

Proof of (a).

(1) Write

$$f = \sum_{(i)} a_{(i)} x^{(i)},$$
$$g = \sum_{(j)} b_{(j)} x^{(j)},$$

where  $\sum_{(i)}$  is the summation over  $(i)=(i_1,\ldots,i_n)$  with  $i_1+\cdots+i_n=r$  and  $\sum_{(j)}$  is the summation over  $(j)=(j_1,\ldots,j_n)$  with  $j_1+\cdots+j_n=s$ .

(2) Hence,

$$fg = \sum_{(i)} \sum_{(j)} a_{(i)} b_{(j)} x^{(i)} x^{(j)}$$
$$= \sum_{(i),(j)} a_{(i)} b_{(j)} x^{(k)}$$

where  $(k) = (i_1 + j_1, \dots, i_n + j_n)$  with  $(i_1 + j_1) + \dots + (i_n + j_n) = r + s$ . Each  $x^{(k)}$  is the form of degree r + s and  $a_{(i)}b_{(j)} \in R$ . Hence fg is a form of degree r + s.

Proof of (b).

- (1) Given any form  $f \in R[x_1, ..., x_n]$ , and write f = gh. It suffices to show that g is a form as well. (So does h.)
- (2) Write

$$g = g_0 + \dots + g_r, \qquad h = h_0 + \dots + h_s$$

where  $g_r \neq 0$  and  $h_s \neq 0$ . So

$$f = gh = g_0h_0 + \dots + g_rh_s.$$

Since R is a domain,  $R[x_1, \ldots, x_n]$  is a domain and thus  $g_r h_s \neq 0$ . The maximality of r and s implies that  $\deg f = r + s$ . Therefore, by the maximality of r + s,  $f = g_r h_s$ , or  $g = g_r$ , or g is a form.

## Problem 1.2.\*

Let R be a UFD, K the quotient field of R. Show that every element z of K may be written z = a/b, where  $a, b \in R$  have no common factors; this representative is unique up to units of R.

Proof.

(1) Show that every element z of K may be written z = a/b, where  $a, b \in R$  have no common factors. Given any  $z = a/b \in K$  where  $a, b \in R$ . Write

$$a = p_1 \cdots p_n,$$
  
$$b = q_1 \cdots q_m$$

where all  $p_1, \ldots, p_n, q_1, \ldots, q_m$  are irreducible in R. (It is possible since R is a UFD.) For each i, suppose  $p_i \mid q_j$  for some i, j. Write  $q_j = p_i u$  for some  $u \in R$ . By the irreducibility of  $p_i$  and  $q_j$ , u is a unit. So

$$z = \frac{a}{b} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{q_1 \cdots \widehat{q_j} \cdots q_m} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{uq_1 \cdots \widehat{q_j} \cdots q_m}.$$

Continue this method we can write  $z=\frac{a'}{b'}$  where a' and b' have no common factors.

- (2) Write z = a/b = a'/b' where
  - (a)  $a, b, a', b' \in R$ ,
  - (b) a and b have no common factors,
  - (c) a' and b' have no common factors.

Write

$$a = p_1 \cdots p_n,$$
  

$$b = q_1 \cdots q_m,$$
  

$$a' = p'_1 \cdots p'_{n'},$$
  

$$b' = q'_1 \cdots q'_{m'}$$

where all  $p_i, q_j, p'_{i'}, q'_{j'}$  are irreducible in R. As z = a/b = a'/b', ab' = a'b or

$$p_1 \cdots p_n q_1' \cdots q_{m'}' = p_1' \cdots p_{n'}' q_1 \cdots q_m.$$

(3) For i = 1,  $p_1 = u_1 p'_{i'}$  for some unit  $u_1 \in R$  since a and b have no common factors and all  $p_1, q_i, p'_{i'}$  are irreducible. Hence

$$u_1\widehat{p_1}p_2\cdots p_nq_1'\cdots q_{m'}'=p_1'\cdots\widehat{p_{i'}'}\cdots p_{n'}'q_1\cdots q_m.$$

Continue this method, we have  $n \leq n'$  and all  $p_1, \ldots, p_n$  are canceled.

(4) Conversely, we can apply the argument in (3) to  $i' = 1, \dots n'$  to conclude that  $n' \leq n$ . Therefore, n = n' and

$$\underbrace{u_1 \cdots u_n}_{\text{a unit in } R} q'_1 \cdots q'_{m'} = q_1 \cdots q_m.$$

Hence, b = ub' where  $u = u_1 \cdots u_n$  is a unit in R. Similarly, a = va' where v is a unit in R. So the representative of  $z \in K$  is unique up to units of R.

## Problem 1.3.\*

Let R be a PID. Let  $\mathfrak{p}$  be a nonzero, proper, prime ideal in R.

- (a) Show that  $\mathfrak{p}$  is generated by an irreducible element.
- (b) Show that  $\mathfrak{p}$  is maximal.

Proof of (a).

- (1) Let  $\mathfrak{p} = (a)$  be a nonzero, proper, prime ideal in R. It suffices to show that a is irreducible.
- (2) Suppose a = bc. By the primality of  $\mathfrak{p}$ ,  $b \in \mathfrak{p}$  or  $c \in \mathfrak{p}$ . Suppose  $b \in \mathfrak{p} = (a)$ . (The case  $c \in \mathfrak{p}$  is similar.) Then there is a  $d \in R$  such that b = ad. Hence, a = bc = adc or (1 dc)a = 0.
- (3) Since R is a domain, 1 = dc or a = 0. a = 0 implies that  $\mathfrak{p} = (0)$  is a zero ideal, contrary to the assumption. Therefore, 1 = dc, or c is a unit, or a is irreducible.

Proof of (b).

- (1) Given any ideal I = (b) of R containing  $\mathfrak{p} = (a)$ . As the generator a of  $\mathfrak{p}$  is in  $\mathfrak{p} \subseteq I$ , there is some  $c \in R$  such that a = bc. By the irreducibility of a (in (a)), b is a unit or c is a unit.
- (2) b is a unit implies that I = R. c is a unit implies that  $I = \mathfrak{p}$ . In any case, we conclude that  $\mathfrak{p}$  is maximal.

## Problem 1.4.\*

Let k be an infinite field,  $f \in k[x_1, ..., x_n]$ . Suppose  $f(a_1, ..., a_n) = 0$  for all  $a_1, ..., a_n \in k$ . Show that f = 0. (Hint: Write

$$f = \sum f_i x_n^i, \qquad f_i \in k[x_1, \dots, x_{n-1}].$$

Use induction on n, and the fact that  $f(a_1, \ldots, a_{n-1}, x_n)$  has only a finite number of roots if any  $f_i(a_1, \ldots, a_{n-1}) \neq 0$ .)

Proof.

- (1) Induction on n. The case n=1. (Reductio ad absurdum) If there were a nonzero  $f \in k[x_1]$  such that f(a)=0 for all  $a \in k$ . Note that f has at most deg  $f < \infty$  roots, contrary to the infinity of k.
- (2) Assume that the conclusion holds for n-1, then for any  $f \in k[x_1, \ldots, x_n]$  we can write

$$f = \sum f_i x_n^i, \qquad f_i \in k[x_1, \dots, x_{n-1}]$$

as  $f \in (k[x_1, \ldots, x_{n-1}])[x_n]$ . Suppose  $f(a_1, \ldots, a_n) = 0$  for all  $a_1, \ldots, a_n \in k$ . For fixed  $a_1, \ldots, a_{n-1}$ , the polynomial  $f(a_1, \ldots, a_{n-1}, x_n) \in k[x_n]$  has all distinct roots in an infinite field k. By (1),  $f(a_1, \ldots, a_{n-1}, x_n) = 0 \in k[x_n]$ , or each  $f_i(a_1, \ldots, a_{n-1}) = 0$ . As all  $a_1, \ldots, a_{n-1}$  run over k, we can apply the induction hypothesis each  $f_i(x_1, \ldots, x_{n-1}) = 0 \in k[x_1, \ldots, x_{n-1}]$ . Hence,  $f = 0 \in k[x_1, \ldots, x_n]$ .

*Note.* If k is a finite field of order  $q = p^k$ , then the polynomial  $f(x) = x^q - x$  has q distinct roots in k.

## Problem 1.5.\*

Let k be any field. Show that there are an infinitely number of irreducible monic polynomials in k[x]. (Hint: Suppose  $f_1, \ldots, f_n$  were all of them, and factor  $f_1 \cdots f_n + 1$  into irreducible factors.)

Proof (Due to Euclid).

(1) If  $f_1, \ldots, f_n$  were all irreducible monic polynomials, then we consider

$$g = f_1 \cdots f_n + 1 \in k[x].$$

So there is an irreducible monic polynomial  $f = f_i$  dividing g for some i since

$$\deg g = \deg f_1 + \dots + \deg f_n \ge 1$$

and k[x] is a UFD.

(2) However, f would divide the difference

$$g - f_1 \cdots f_{i-1} f_i f_{i+1} \cdots f_n = 1,$$

contrary to  $\deg f_i \geq 1$ .

#### Problem 1.6.\*

Show that any algebraically closed field is infinite. (Hint: The irreducible monic polynomials are x - a,  $a \in k$ .)

Proof (Due to Euclid).

(1) Let k be an algebraically closed field. If  $a_1, \ldots, a_n$  were all elements in k, then we consider a monic polynomials

$$f(x) = (x - a_1) \cdots (x - a_n) + 1 \in k[x].$$

(2) Since k is algebraically closed, there is an element  $a \in k$  such that f(a) = 0. By assumption,  $a = a_i$  for some  $1 \le i \le n$ , and thus  $f(a) = f(a_i) = 1$ , contrary to the fact that a field is a commutative ring where  $0 \ne 1$  and all nonzero elements are invertible.

## Problem 1.7.\*

Let k be a field,  $f \in k[x_1, \ldots, x_n], a_1, \ldots, a_n \in k$ .

(a) Show that

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

(b) If  $f(a_1, \ldots, a_n) = 0$ , show that  $f = \sum_{i=1}^n (x_i - a_i)g_i$  for some (not unique)  $g_i$  in  $k[x_1, \ldots, x_n]$ .

Proof of (a).

(1) Regard  $k[x_1, \ldots, x_n]$  as  $(k[x_1, \ldots, x_{n-1}])[x_n]$ . Since  $(k[x_1, \ldots, x_{n-1}])[x_n]$  is a Euclidean domain with a function

$$f \in (k[x_1, \dots, x_{n-1}])[x_n] \mapsto \deg_{x_n} f \in \mathbb{Z}_{\geq 0}$$

satisfying the division-with-remainder property.

(2) Apply the division algorithm for f and nonzero  $x_n - a_n$  to produce a quotient q and remainder r with  $f = (x_n - a_n)q + r$  and either r = 0 or  $\deg_{x_n}(r) < \deg_{x_n}(x_n - a_n) = 1$ . That is,  $r \in k[x_1, \ldots, x_{n-1}]$  is a constant in  $(k[x_1, \ldots, x_{n-1}])[x_n]$ . Continue this process to get that f is of the form

$$f = \sum_{i} f_{i_n} (x_n - a_n)^{i_n}$$

where  $f_{i_n} \in k[x_1, ..., x_{n-1}].$ 

(3) Use the same argument in (2) for each  $f_{i_n} \in k[x_1, \dots, x_{n-1}]$ , we have

$$f_{i_n} = \sum_{i_{n-1}} \underbrace{f_{i_n,i_{n-1}}}_{\in k[x_1,\dots,x_{n-2}]} (x_{n-1} - a_{n-1})^{i_{n-1}}$$

$$f_{i_n,i_{n-1}} = \sum_{i_{n-2}} \underbrace{f_{i_n,i_{n-1},i_{n-2}}}_{\in k[x_1,\dots,x_{n-3}]} (x_{n-2} - a_{n-2})^{i_{n-2}},$$

$$\dots$$

$$f_{i_n,\dots,i_2} = \sum_{i_1} \underbrace{f_{i_n,\dots,i_1}}_{\in k[x_1,\dots,x_{n-3}]} (x_1 - a_1)^{i_1}.$$

Note that  $f_{i_n,...,i_1} \in k$ , we can write

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

by replacing all  $f_{i_n,...,i_k}$  by  $f_{i_n,...,i_{k-1}}$  for k=n,n-1,...,2.

(4) Or use the induction on n.

Proof of (b).

(1) Write

by (a).

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k$$

(2) As  $f(a_1, \dots, a_n) = 0$ ,  $\lambda_{(i)} = 0$  if all  $i_1, \dots, i_n$  are zero, that it, there is no nonzero constant term in the representation of f. Hence, for each term

$$f_{(i)} := \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}$$

with  $\lambda_{(i)} \neq 0$ , there exists one  $i_k > 0$  for some  $1 \leq k \leq n$ . So we can write

$$f_{(i)} = (x_k - a_k) \underbrace{(\lambda_{(i)}(x_1 - a_1)^{i_1} \cdots (x_k - a_k)^{i_k - 1} \cdots (x_n - a_n)^{i_n})}_{:=g_{(i)} \in k[x_1, \dots, x_n]}.$$

Note that the expression of  $f_{(i)}$  is not unique since there may exist more than one  $i_k > 0$  as  $1 \le k \le n$ .

(3) Now we iterate each nonzero term in f, apply the factorization in (2), and then group by each  $x_k - a_k$ . Therefore, we can write

$$f = \sum_{i=1}^{n} (x_i - a_i)g_i$$

for some  $g_1 \in k[x_1, \ldots, x_n]$ .

(4) The expression of f is not unique. For example, take  $f(x,y) = x^2 + 2xy + y^2 \in k[x,y]$ . As f(0,0) = 0, we can write

$$f(x,y) = x \cdot \underbrace{(x+2y)}_{g_1} + y \cdot \underbrace{y}_{g_2}, \text{ or}$$

$$= x \cdot \underbrace{(x+y)}_{g_1} + y \cdot \underbrace{(x+y)}_{g_2}, \text{ or}$$

$$= x \cdot \underbrace{x}_{g_1} + y \cdot \underbrace{(2x+y)}_{g_2}.$$

## 1.2. Affine Space and Algebraic Sets

## Problem 1.8.\*

Show that the algebraic subsets of  $\mathbf{A}^1(k)$  are just the finite subsets, together with  $\mathbf{A}^1(k)$  itself.

Proof.

- (1) Show that k[x] is a PID if k is a field.
  - (a) Let I be an ideal of k[x].
  - (b) If  $I = \{0\}$  then I = (0) and I is principal.
  - (c) If  $I \neq \{0\}$ , then take f to be a polynomial of minimal degree in I. It suffices to show that I = (f). Clearly,  $(f) \subseteq I$  since I is an ideal. Conversely, for any  $g \in I$ ,

$$g(x) = f(x)h(x) + r(x)$$

for some  $h,r\in k[x]$  with r=0 or  $\deg r<\deg f$  (as k[x] is a Euclidean domain). Now as

$$r = q - fh \in I$$
,

r=0 (otherwise contrary to the minimality of f), we have  $g=fh\in (f)$  for all  $g\in I$ .

- (2) Let Y be an algebraic subset of  $\mathbf{A}^1(k)$ , say Y = V(I) for some ideal I of k[x]. Since k[x] is a PID, I = (f) for some  $f \in k[x]$ .
  - (a) If f = 0, then I = (0) and  $Y = V(0) = \mathbf{A}^{1}(k)$ .
  - (b) If  $f \neq 0$ , then f(x) = 0 has finitely many roots in k, say  $a_1, \ldots, a_m \in k$ . Hence,

$$Y = V(I) = V(f) = \{f(a) = 0 : a \in k\} = \{a_1, \dots, a_m\}$$

is a finite subsets of  $\mathbf{A}^1(k)$ .

By (a)(b), the result is established.

Notes.

(1) By the Hilbert basis theorem, k[x] is Noetherian as k is Noetherian. Hence, for any algebraic subset Y = V(I) of  $\mathbf{A}^1(k)$ , we can write  $I = (f_1, \dots, f_m)$ . Note that

$$Y = V(I) = V(f_1) \cap \cdots \cap V(f_m).$$

Now apply the same argument to get the same conclusion.

(2) Suppose  $k = \overline{k}$ .  $\mathbf{A}^1(k)$  is irreducible, because its only proper closed subsets are finite, yet it is infinite (because k is algebraically closed, hence infinite).

## Problem 1.9.

If k is a finite field, show that every subset of  $A^n(k)$  is algebraic.

Proof.

- (1) Every subset of  $\mathbf{A}^n(k)$  is finite since  $|\mathbf{A}^n(k)| = |k|^n$  is finite.
- (2) Note that  $V(x_1 a_1, ..., x_n a_n) = \{(a_1, ..., a_n)\} \subseteq \mathbf{A}^n(k)$  (Property (5) in §1.2) and any finite union of algebraic sets is algebraic (Property (4) in §1.2). Thus, every subset of  $\mathbf{A}^n(k)$  is algebraic (by (1)).

## Problem 1.10.

Give an example of a countable collection of algebraic sets whose union is not algebraic.

Proof.

- (1) Let  $k = \mathbb{Q}$  be an infinite field.  $V(x a) = \{a\}$  is an algebraic sets for all  $a \in \mathbb{Q}$ . In particular,  $V(x a) = \{a\}$  is algebraic for all  $a \in \mathbb{Z}$ .
- (2) Note that

$$Y := \bigcup_{a \in \mathbb{Z}} V(x - a) = \mathbb{Z}$$

is a countable union of algebraic sets. Since Y is a proper subset of  $k=\mathbb{Q},$  it cannot be algebraic by Problem 1.8.

#### Problem 1.11.

Show that the following are algebraic sets:

- (a)  $\{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\};$
- (b)  $\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\};$
- (c) the set of points in  $\mathbf{A}^2(\mathbb{R})$  whose polar coordinates  $(r, \theta)$  satisfy the equation  $r = \sin(\theta)$ .

Proof of (a).

(1) The twisted cubic curve

$$Y = \{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\} = V(x^2 - y) \cap V(x^3 - z)$$

is algebraic. We say that Y is given by the parametric representation  $x=t,\,y=t^2,\,z=t^3.$ 

- (2) The generators for the ideal I(Y) are  $x^2 y$  and  $x^3 z$ .
- (3) Y is an affine variety of dimension 1.
- (4) The affine coordinate ring A(Y) is isomorphic to a polynomial ring in one variable over k.

Proof of (b). The circle

$$\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\} = V(x^2 - y^2 - 1)$$

is algebraic.  $\Box$ 

Proof of (c). The circle

$$\{(r,\theta): r = \sin(\theta)\} = V(x^2 + y^2 - y)$$

is algebraic again.  $\square$ 

#### Problem 1.12.

Suppose C is an affine plane curve, and L is a line in  $A^2(k)$ ,  $L \not\subseteq C$ . Suppose C = V(f),  $f \in k[x,y]$  a polynomial of degree n. Show that  $L \cap C$  is a finite set of no more than n points. (Hint: Suppose L = V(y - (ax + b)), and consider  $f(x, ax + b) \in k[x]$ .)

Proof.

- (1) Say L = V(y (ax + b)) be a line in  $\mathbf{A}^2(k)$ . (The case L = V(x (ay + b)) is similar.)
- (2) Note that  $L \not\subseteq C$  implies that  $(y (ax + b)) \nmid f$ . Hence, the polynomial

$$g: x \mapsto f(x, ax + b) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of g in k is no more than n.

(3) Hence,

$$L \cap C = V(y - (ax + b)) \cap V(f)$$

$$= \{(x, y) \in \mathbf{A}^{2}(k) : y = ax + b \text{ and } f(x, y) = 0\}$$

$$= \{(x, y) \in \mathbf{A}^{2}(k) : f(x, ax + b) = 0\}$$

is finite of no more than n points.

#### Problem 1.13.

Show that each of the following sets is not algebraic:

- (a)  $\{(x,y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}.$
- (b)  $\{(z, w) \in \mathbf{A}^2(\mathbb{C}) : |z|^2 + |w|^2 = 1\}$ , where  $|x + iy|^2 = x^2 + y^2$  for  $x, y \in \mathbb{R}$ .
- (c)  $\{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}.$

Proof of (a).

(1) (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}$$

were algebraic, then there is a subset S of  $\mathbb{R}[x,y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{R})$ .  $((89, 64) \in \mathbf{A}^2(\mathbb{R}) Y$ .)
- (3) Take a fixed line L = V(y) in  $\mathbf{A}^2(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f)\cap L\supseteq\bigcap_{f\in S}V(f)\cap L=Y\cap L=\{(n\pi,0)\in\mathbf{A}^2(\mathbb{R}):n\in\mathbb{Z}\},$$

which is infinite. By problem 1.12,  $y \mid f$ . As f runs over  $S, Y \subseteq V(y) = L$ , contradicts that  $\left(0, \frac{\pi}{2}\right) \in L - Y$ .

Proof of (b).

(1) Similar to (a). (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{C}) : |x|^2 + |y|^2 = 1\}$$

were algebraic, then there is a subset S of  $\mathbb{C}[x,y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{C})$ .  $((89, 64) \in \mathbf{A}^2(\mathbb{C}) Y$ .)
- (3) Take a fixed line L=V(x) in  $\mathbf{A}^2(\mathbb{C})$ . For each affine curve  $f\in S$ , we have

$$V(f)\cap L\supseteq \bigcap_{f\in S}V(f)\cap L=Y\cap L=\{(0,y)\in \mathbf{A}^2(\mathbb{C}): |y|=1\},$$

which is infinite (since Y contains a unit circle in the complex plane). By problem 1.12,  $x \mid f$ . As f runs over  $S, Y \subseteq V(x) = L$ , contradicts that the origin  $(0,0) \in L - Y$ .

Proof of (c).

- (1) Similar to (a) and (b).
- (2) Suppose C is an affine plane curve, and L is a line in  $\mathbf{A}^3(k)$ ,  $L \not\subseteq C$ . Suppose C = V(f),  $f \in k[x,y,z]$  a polynomial of degree n. Show that  $L \cap C$  is a finite set of no more than n points. The proof is similar to Problem 1.12.
  - (a) Say L = V(y (ax + b), z (cx + d)) be a line in  $A^3(k)$ .
  - (b) Note that  $L \not\subseteq C$  implies that  $(y-(ax+b)) \nmid f$  and  $(z-(cx+d)) \nmid f$ . Hence, the polynomial

$$g: x \mapsto f(x, ax + b, cx + d) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of g in k is no more than n.

(c) Hence,

$$L \cap C = V(y - (ax + b), z - (cx + d)) \cap V(f)$$

$$= \{(x, y) \in \mathbf{A}^{2}(k) : y = ax + b, z = cx + d \text{ and } f(x, y) = 0\}$$

$$= \{(x, y) \in \mathbf{A}^{2}(k) : f(x, ax + b, cx + d) = 0\}$$

is finite of no more than n points.

(3) (Reductio ad absurdum) If

$$Y := \{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}\$$

were algebraic, then there is a subset S of  $\mathbb{R}[x,y,z]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (4)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^{3}(\mathbb{R})$ .  $((1989, 6, 4) \in \mathbf{A}^{3}(\mathbb{R}) Y.)$
- (5) Take a fixed line L = V(x-1,y) in  $\mathbf{A}^3(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f) \cap L \supseteq \bigcap_{f \in S} V(f) \cap L = Y \cap L = \{(1, 0, 2n\pi) \in \mathbf{A}^3(\mathbb{R}) : n \in \mathbb{Z}\},$$

which is infinite. By (2),  $(x-1) \mid f$  and  $y \mid f$ . As f runs over S,  $Y \subseteq V(x-1,y) = L$ , contradicts that  $(1,0,\pi) \in L - Y$ .

**Supplement.** A circular disk of radius 1 in the plane xy rolls without slipping along the x axis. The figure described by a point of the circumference of of the disk is called a **cycloid**. The parametrized curve  $\alpha : \mathbb{R} \to \mathbb{R}^2$  is

$$\begin{cases} x = t - \sin t \\ y = 1 - \cos t. \end{cases}$$

The cycloid is not algebraic (as (a)).

## Problem 1.14.\*

Let f be a nonconstant polynomial in  $k[x_1, ..., x_n]$ , k algebraically closed. Show that  $\mathbf{A}^n(k) - V(f)$  is infinite if  $n \geq 1$ , and V(f) is infinite if  $n \geq 2$ . Conclude that the complement of any proper algebraic set is infinite. (Hint: See Problem 1.4.)

Proof.

(1) Show that  $\mathbf{A}^n(k) - V(f)$  is infinite if  $n \geq 1$ . Since f is a nonconstant polynomial in  $k[x_1, \ldots, x_n]$ , we may assume that  $\deg_{x_n}(f) > 0$ . Hence

$$x_n \mapsto f(1,\ldots,1,x_n)$$

is a nonconstant polynomial of degree  $\deg_{x_n}(f) > 0$  in  $k[x_n]$ . So f has finitely many roots in k, say  $\xi_1, \ldots, \xi_m$   $(m \ge 0)$ . Hence,

$$(1,\ldots,1,x_n)\neq 0$$

whenever  $x_n \neq \xi_m$ . Such subset in  $\mathbf{A}^1(k)$  is infinite since  $k = \overline{k}$  (Problem 1.6). Therefore,

$$\mathbf{A}^{n}(k) - V(f) = \{(a_{1}, \dots, a_{n}) \in \mathbf{A}^{n}(k) : f(a_{1}, \dots, a_{n}) \neq 0\}$$
  

$$\supseteq \{a_{n} \in \mathbf{A}^{1}(k) : f(1, \dots, 1, x_{n}) \neq 0\}$$

is infinite.

- (2) Show that V(f) is infinite if  $n \geq 2$ .
  - (a) Similar to (1). Since f is a nonconstant polynomial in  $k[x_1, \ldots, x_n]$ , we may assume that  $m := \deg_{x_n}(f) > 0$ . Write

$$f = \sum_{i=0}^{m} f_i(x_1, \dots, x_{n-1}) x_n^i.$$

Note that each  $f_i$  is well-defined since  $n \geq 2$ .

(b) If  $f_n$  is constant in  $k[x_1, \ldots, x_{n-1}]$ , then  $f_n$  is nonzero (since m > 0) or  $V(f_n) = \emptyset$ . If  $f_n$  is nonconstant in  $k[x_1, \ldots, x_{n-1}]$ , then the set  $\mathbf{A}^{n-1}(k) - V(f_n)$  is infinite by (1). In any case,

$$\mathbf{A}^{n-1}(k) - V(f_n)$$

is infinite.

(c) For each  $P = (a_1, \dots, a_{n-1}) \in \mathbf{A}^{n-1}(k) - V(f_n),$ 

$$g_P: x_n \mapsto f(P, x_n) = f(a_1, \dots, a_{n-1}, x_n)$$

defines a polynomial in  $k[x_n]$  of degree m > 0. Since  $k = \overline{k}$ ,  $g_P$  has at least one root  $Q \in k$ . Hence

$$V(f) \supseteq \{(P,Q) \in \mathbf{A}^n(k) : P \in \mathbf{A}^{n-1}(k) - V(f_n), g_P(Q) = 0\}$$

is infinite since the set  $\mathbf{A}^{n-1}(k) - V(f_n)$  is infinite.

*Note.* It is not true if  $k \neq \overline{k}$ . For example,  $V(x^2 + y^2 + 1) = \emptyset$  in  $\mathbf{A}^2(\mathbb{R})$ .

(3) Note that

$$\mathbf{A}^n(k) - V(S) = \mathbf{A}^n(k) - \bigcap_{f \in S} V(f) = \bigcup_{f \in S} (\mathbf{A}^n(k) - V(f)).$$

Thus the complement of any proper algebraic set is infinite by (1).

## Problem 1.15.\*

Let  $V \subseteq \mathbf{A}^n(k)$ ,  $W \subseteq \mathbf{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) : (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set in  $\mathbf{A}^{n+m}(k)$ . It is called the **product** of V and W.

Proof.

(1) Write

$$V = V(S_V) = \{ P \in \mathbf{A}^n(k) : f(P) = 0 \,\forall f \in S_V \}$$
  

$$W = V(S_W) = \{ Q \in \mathbf{A}^m(k) : g(Q) = 0 \,\forall g \in S_W \},$$

where  $S_V \subseteq k[x_1, \ldots, x_n]$  and  $S_W \subseteq k[y_1, \ldots, y_m]$ . It suffices to show that

$$V \times W = V(S),$$

where  $S \subseteq k[x_1, \ldots, x_n, y_1, \ldots, y_m]$  is the union of  $S_V$  and  $S_W$ .

(2) Here we can identify  $S_V$  with the subset of  $k[x_1, \ldots, x_n, y_1, \ldots, y_m]$  by noting that

$$k[x_1, \dots, x_n] \hookrightarrow (k[y_1, \dots, y_m])[x_1, \dots, x_n] = k[x_1, \dots, x_n, y_1, \dots, y_m].$$

Here we regard k as a subring of  $k[y_1, \ldots, y_m]$ . Similar treatment to  $S_W$ .

(3) By construction,  $V \times W \subseteq V(S)$ . Conversely, given any  $(P,Q) \in V(S) \subseteq \mathbf{A}^{n+m}(k)$ , we have h(P,Q) = 0 for all  $h \in S = S_V \cup S_W$  (by (2)). By construction, f(P) = 0 for all  $f \in S_V$  since f only involve  $x_1, \ldots, x_n$ . Hence,  $P \in V$ . Similarly,  $Q \in W$ . Therefore,  $(P,Q) \in V \times W$ .

## 1.3. The Ideal of a Set of Points

## Problem 1.16.\*

Let V, W be algebraic sets in  $\mathbf{A}^n(k)$ . Show that V = W if and only if I(V) = I(W).

Proof.

(1) (Proof of Property (6) in §1.3.) Show that if  $X \subseteq Y$ , then  $I(X) \supseteq I(Y)$ . If  $f \in I(Y)$  then f(P) = 0 for all  $P \in Y$ . So f(P) = 0 for all  $P \in X \subseteq Y$  or  $f \in I(X)$ .

- (2) (Proof of Property (8) in §1.3.)  $I(V(S)) \supseteq S$  for any set S of polynomials;  $V(I(X)) \supseteq X$  for any set X of points.
  - (a) If  $f \in S$  then f vanishes on V(S), hence  $f \in IV(S)$ .
  - (b) If  $P \in X$  then every polynomial in I(X) vanishes at P, so P belongs to the zero set of I(X).
- (3) (Proof of Property (9) in §1.3.) V(I(V(S))) = V(S) for any set S of polynomials, and I(V(I(X))) = I(X) for any set X of points. So if V is an algebraic set, V = V(I(V)), and if I is the ideal of an algebraic set, I = I(V(I)).
  - (a) In each case, it suffices to show that the left side is a subset of the right side. (by Properties (6)(8) in §1.3).
  - (b) If  $P \in V(S)$  then f(P) = 0 for all  $f \in I(V(S))$ , so  $P \in V(I(V(S)))$ .
  - (c) If  $f \in I(X)$  then f(P) = 0 for all  $P \in V(I(X))$ . Thus f vanishes on V(I(X)), so  $f \in I(V(I(X)))$ .
- (4) Show that V = W if and only if I(V) = I(W).
  - (a) By Property (6) in §1.3,  $I(V) \supseteq I(W)$  if  $V \subseteq W$  and  $I(V) \subseteq I(W)$  if  $V \supseteq W$ . Thus, I(V) = I(W) if V = W.
  - (b) Conversely, I(V) = I(W) implies that V(I(V)) = V(I(W)) by Property (3) in §1.2 and similar argument in (a). By Property (9) in §1.3, V(I(V)) = V and V(I(W)) = W. Thus, V = W.

## 

#### Problem 1.17.\*

- (a) Let V be an algebraic set in  $\mathbf{A}^n(k)$ ,  $P \in \mathbf{A}^n(k)$  a point not in V. Show that there is a polynomial  $f \in k[x_1, \ldots, x_n]$  such that f(Q) = 0 for all  $Q \in V$ , but f(P) = 1. (Hint:  $I(V) \neq I(V \cup \{P\})$ .)
- (b) Let  $P_1, \ldots, P_r$  be distinct points in  $\mathbf{A}^n(k)$ , not in an algebraic set V. Show that there are polynomials  $f_1, \ldots, f_r \in I(V)$  such that  $f_i(P_j) = 0$  if  $i \neq j$ , and  $f_i(P_i) = 1$ . (Hint: Apply (a) to the union of V and all but one point.)
- (c) With  $P_1, \ldots, P_r$  and V as in (b), and  $a_{ij} \in k$  for  $1 \le i, j \le r$ , show that there are  $g_i \in I(V)$  with  $g_i(P_j) = a_{ij}$  for all i and j. (Hint: Consider  $\sum_j a_{ij} f_j$ .)

## Proof of (a).

(1) Since  $I(V) \supseteq I(V \cup \{P\})$  (by Problem 1.16), there is a polynomial  $f \in k[x_1, \ldots, x_n]$  such that f(Q) = 0 for all  $Q \in V$ , but  $f(P) \neq 0$ .

(2) Since k is a field,  $(f(P))^{-1} \in k$ . Consider the polynomial  $(f(P))^{-1}f \in k[x_1,\ldots,x_n]$ . It is well-defined. Also,  $((f(P))^{-1}f)(Q) = (f(P))^{-1}f(Q) = 0$  for all  $Q \in V$ , but  $(f(P))^{-1}f)(P) = (f(P))^{-1}f(P) = 1$ .

Proof of (b).

(1) For  $1 \le i \le$ , define

$$W = V \cup \{P_1, \dots, P_r\}$$
  
$$W_i = V \cup \{P_1, \dots, \widehat{P_i}, \dots, P_r\}.$$

Here  $W = W_i \cup \{P_i\} \neq W_i$ .

(2) By (a), there is a polynomial  $f_i \in k[x_1, \ldots, x_n]$  such that  $f_i(Q) = 0$  for all  $Q \in W_i$ , but  $f_i(P_i) = 1$ . Here  $f_i \in I(V)$  and  $f_i(P_j) = \delta_{ij}$  where  $\delta_{ij}$  is the Kronecker delta.

Proof of (c).

(1) For each  $1 \le i \le r$ , define

$$g_i = \sum_j a_{ij} f_j \in k[x_1, \dots, x_n].$$

- (2)  $g_i \in I(V)$  since  $g_i$  is a linear combination of  $f_j$  and I(V) is an ideal.
- (3) Also,

$$g_i(P_j) = \sum_{j'} a_{ij'} f_{j'}(P_j) = \sum_{j'} a_{ij'} \delta_{j'j} = a_{ij}.$$

## Problem 1.18.\*

Let I be an ideal in a ring R. If  $a^n \in I$ ,  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ . Show that rad(I) is an ideal, in fact a radical ideal. Show that any prime ideal is radical.

Proof.

(1) Show that  $(a+b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$ . By the binomial theorem,

$$(a+b)^{n+m} = \sum_{i=0}^{n+m} a^i b^{n+m-i}.$$

For each term  $a^ib^{n+m-i}$ , either  $i \ge n$  holds or  $n+m-i \ge m$  holds, and thus  $a^ib^{n+m-i} \in I$  (since  $a^n \in I$ ,  $b^m \in I$  and I is an ideal). Hence, the result is established.

- (2) Show that rad(I) is an ideal.
  - (a)  $0 \in \text{rad}(I)$  since  $0 = 0^1 \in I$  for any ideal in R.
  - (b)  $(a+b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$  by (1).
  - (c)  $(-a)^{2n} = (a^n)^2 \in I$  if  $a^n \in I$  (since I is an ideal).
  - (d)  $(ra)^n = r^n a^n \in I$  if  $a^n \in I$  and  $r \in R$  (since I is an ideal and R is commutative).
- (3) Show that  $\operatorname{rad}(\operatorname{rad}(I)) = \operatorname{rad}(I)$ . It suffices to show  $\operatorname{rad}(\operatorname{rad}(I)) \subseteq \operatorname{rad}(I)$ . Given any  $a \in \operatorname{rad}(\operatorname{rad}(I))$ . By definition  $a^n \in \operatorname{rad}(I)$  for some positive integer n. Again by definition  $(a^n)^m = a^{nm} \in I$  for some positive integer m. As nm is a postive integer,  $a \in \operatorname{rad}(I)$ .
- (4) Show that every prime ideal  $\mathfrak{p}$  is radical. Given any  $a \in \operatorname{rad}(\mathfrak{p})$ , that is,  $a^n \in \mathfrak{p}$  for some positive integer. Write  $a^n = aa^{n-1}$  if n > 1. By the primality of  $\mathfrak{p}$ ,  $a \in \mathfrak{p}$  or  $a^{n-1} \in \mathfrak{p}$ . If  $a \in \mathfrak{p}$ , we are done. If  $a^{n-1} \in \mathfrak{p}$ , we continue this descending argument (or the mathematical induction) until the power of a is equal to 1. Hence  $\mathfrak{p}$  is radical.

#### Problem 1.19.

Show that  $I = (x^2 + 1) \subseteq \mathbb{R}[x]$  is a radical (even a prime) ideal, but I is not the ideal of any set in  $\mathbf{A}^1(\mathbb{R})$ .

Proof.

- (1) Show that  $I=(x^2+1)$  is a prime ideal in  $\mathbb{R}[x]$ . Given any  $fg\in I$ . It suffices to show that  $f\in I$  or  $g\in I$ . By definition of I, there is a polynomial  $h\in \mathbb{R}[x]$  such that  $fg=(x^2+1)h$ . So  $(x^2+1)\mid f$  or  $(x^2+1)\mid g$  since  $x^2+1$  is irreducible in a unique factorization domain  $\mathbb{R}[x]$ . Therefore,  $f\in I$  or  $g\in I$ .
- (2) Show that I is not the ideal of any set in  $\mathbf{A}^1(\mathbb{R})$ . Since  $x^2 + 1$  has no roots in  $\mathbb{R}$ , I cannot be the ideal of any nonempty set in  $\mathbf{A}^1(\mathbb{R})$ . Besides,  $I(\varnothing) = (1) \neq (x^2 + 1)$ .

## Problem 1.20.\*

Show that for any ideal I in  $k[x_1,...,x_n]$ ,  $V(I) = V(\operatorname{rad}(I))$ , and  $\operatorname{rad}(I) \subseteq I(V(I))$ .

Proof.

(1) Show that  $V(I) = V(\operatorname{rad}(I))$ . Since  $I \subseteq \operatorname{rad}(I)$ , it suffices to show that  $V(I) \subseteq V(\operatorname{rad}(I))$ . Given any  $P \in V(I)$ . For any  $f \in \operatorname{rad}(I)$ ,  $f^n \in I$  for some positive integer n > 0. Note that

$$0 = (f^n)(P) = f(P)^n$$

since  $f^n \in I$  and  $P \in V(I)$ . As k is a domain,  $f(P)^n = 0$  implies f(P) = 0. So  $P \in V(\text{rad}(I))$ .

(2) By Properties (6)(8) in §1.3,

$$I(V(I)) = I(V(rad(I))) \supseteq rad(I).$$

Note.

- (1) By the Hilbert's Nullstellensatz,  $I(V(I)) = \operatorname{rad}(I)$  if  $k = \overline{k}$ .
- (2) Take  $I = (x^2 + 1)$  as an ideal in  $\mathbb{R}[x]$ . Note that  $I(V(I)) = I(\emptyset) = (1)$  and  $\operatorname{rad}(I) = I = (x^2 + 1)$ . So the equality in  $\operatorname{rad}(I) \subsetneq I(V(I))$  might not hold if  $k \neq \overline{k}$ . (See Problem 1.19.)

## Problem 1.21.\*

Show that  $I = (x_1 - a_1, \dots, x_n - a_n) \subseteq k[x_1, \dots, x_n]$  is a maximal ideal, and that the natural homomorphism from k to  $k[x_1, \dots, x_n]/I$  is an isomorphism.

Proof.

(1) Show that I is a maximal ideal. Suppose that J is an ideal such that  $J \supseteq I$ . Take any  $f \in J - I$ . By Problem 1.7(a),

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

As  $f \notin I$ , there is a nonzero constant term in f, say  $\lambda \in k - \{0\}$ . Note that  $f - \lambda \in I \subsetneq J$ . Hence,

$$\lambda = f - (f - \lambda) \in J$$

since J is an ideal. As  $\lambda \neq 0$ ,  $J = k[x_1, \ldots, x_n]$  is not a proper ideal containing I.

- (2) Let  $\varphi: k \to k[x_1, \dots, x_n]/I$  be the natural homomorphism. (That is,  $\varphi: \lambda \to \lambda + I \in k[x_1, \dots, x_n]/I$ .)
- (3) Show that  $\varphi$  is surjective. Given any  $f + I \in k[x_1, \dots, x_n]/I$ . By Problem 1.7(a),

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}.$$

So

$$f + I = \sum_{i=1}^{n} \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n} + I$$

$$= \left( f(a_1, \dots, a_n) + \sum_{\text{nonconstant}} \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n} \right) + I$$

$$= f(a_1, \dots, a_n) + I.$$

(Here the summation over all nonconstant terms is in I.) Hence

$$\varphi: f(a_1,\ldots,a_n) \in k \mapsto f+I.$$

- (4) Show that  $\varphi$  is injective.  $\ker(\varphi) = \{\lambda \in k : \lambda \in I\} = k \cap I = \{0\}$  since I is a proper ideal.
- (5) By (2)(3)(4),  $\varphi: k \to k[x_1, \dots, x_n]/(x_1 a_1, \dots, x_n a_n)$  is an isomorphism.

## 1.4. The Hilbert Basis Theorem

## Problem 1.22.\*

Let I be an ideal in a ring R,  $\pi: R \to R/I$  the natural homomorphism.

- (a) Show that for every ideal J' of R/I,  $\pi^{-1}(J') = J$  is an ideal of R containing I, and for every ideal J of R containing I,  $\pi(J) = J'$  is an ideal of R/I. This sets up a natural one-to-one correspondence between {ideals of R/I} and {ideals of R that contain I}.
- (b) Show that J' is a radical ideal if and only if J is radical. Similarly for prime and maximal ideals.

(c) Show that J' is finitely generated if J is. Conclude that R/I is Noetherian if R is Noetherian. Any ring of the form  $k[x_1, \ldots, x_n]/I$  is Noetherian.

Proof of (a).

- (1) Show that for every ideal J' of R/I,  $\pi^{-1}(J')=J$  is an ideal of R containing
  - (a) Show that J contains I. Note that  $\pi^{-1}(0) = I \subseteq \pi^{-1}(J') = J$ . So J contains I. In particular,  $J \neq \emptyset$  since  $I \neq \emptyset$ .
  - (b) Show that J is a additive subgroup of R. It suffices to show that  $a b \in J$  for any  $a \in J$  and  $b \in J$ . Actually,

$$\pi(a-b) = \pi(a) - \pi(b) \in J'$$

implies  $a - b \in \pi^{-1}(J') = J$ .

(c) Show that for every  $r \in R$  and every  $a \in J$ , the product  $ra \in J$ . In fact,

$$\pi(ra) = \pi(r)\pi(a) \in J'$$

implies  $ra \in \pi^{-1}(J') = J$ .

- (2) Show that for every ideal J of R containing I,  $\pi(J) = J'$  is an ideal of R/I.
  - (a) Show that J' is nonempty. Note that  $\pi(a) = 0 \in \pi(I) \subseteq \pi(J) = J'$  for any  $a \in I$ . So J' is nonempty since J is nonempty.
  - (b) Show that J' is a additive subgroup of R/I. It suffices to show that  $\pi(a) \pi(b) \in J'$  for any  $\pi(a) \in J'$ ,  $\pi(b) \in J'$ ,  $a \in J$  and  $b \in J$ . It is trivial since

$$\pi(a) - \pi(b) = \pi(a - b) \in \pi(J) = J',$$

 $\pi$  is a ring homomorphism and J is an ideal.

(c) Show that for every  $\pi(r) \in R/I$   $(r \in R)$  and every  $\pi(a) \in J'$   $(a \in J)$ , the product  $\pi(r)\pi(a) \in J'$ . It is trivial since

$$\pi(r)\pi(a) = \pi(ra) \in \pi(J) = J',$$

 $\pi$  is a ring homomorphism and J is an ideal.

(3) By (1)(2), we setup the correspondence between

$$\{\text{ideals of } R/I\} \longleftrightarrow \{\text{ideals of } R \text{ that contain } I\}.$$

Note that this correspondence preserves the subset relation, and thus this correspondence is one-to-one.

Proof of (b).

(1) Show that J' is radical if J is radical. It suffices to show that  $(a+I)^n = a^n + I \in J'$  implies that  $a+I \in J'$ . Note that

$$(a+I)^n = a^n + I \in J'$$

implies that  $a^n \in J$  or  $a \in J$  since J is radical. Hence  $a + I \in J/I = J'$ .

(2) Show that J is radical if J' is radical. It suffices to show that  $a^n \in J$  implies that  $a \in J$ . Note that

$$\pi(a^n) = \pi(a)^n \in J'$$

implies that  $\pi(a) \in J'$  since J' is radical.  $a \in \pi^{-1}(J') = J$ .

(3) Show that J' is prime if J is prime. It suffices to show that  $(a+I)(b+I) = ab + I \in J'$  implies that  $a+I \in J'$  or  $b+I \in J'$ . Note that

$$(a+I)(b+I) = ab + I \in J'$$

implies that  $ab \in J$ . So  $a \in J$  or  $b \in J$  by the primality of J. Hence  $a + I \in J'$  or  $b + I \in J'$ .

(4) Show that J is prime if J' is prime. It suffices to show that  $ab \in J$  implies that  $a \in J$  or  $b \in J$ . Note that

$$\pi(ab) = \pi(a)\pi(b) \in J'$$

implies that  $\pi(a) \in J'$  or  $\pi(b) \in J'$  by the primality of J'. So  $a \in \pi^{-1}(J') = J$  or  $b \in \pi^{-1}(J') = J$ .

- (5) Show that J' is maximal if J is maximal. Suppose  $\mathfrak{m}$  is an ideal containing J'. By (a),  $\pi^{-1}(\mathfrak{m})$  is an ideal containing J. So  $\pi^{-1}(\mathfrak{m}) = J$  or  $\pi^{-1}(\mathfrak{m}) = R$  by the maximality of J. Hence,  $\mathfrak{m} = \pi(J) = J'$  or  $\mathfrak{m} = \pi(R) = R/I$ .
- (6) Show that J is maximal if J' is maximal. Suppose  $\mathfrak{m}$  is an ideal containing J. By (a),  $\pi(\mathfrak{m})$  is an ideal containing J'. So  $\pi(\mathfrak{m}) = J'$  or  $\pi(\mathfrak{m}) = R/I$  by the maximality of J'. Hence,  $\mathfrak{m} = \pi^{-1}(J') = J$  or  $\mathfrak{m} = \pi^{-1}(R/I) = R$ .

Note.

(1) Note that

$$R/J \cong (R/I)/(J/I)$$

if J is an ideal of R such that  $I \subseteq J$ .

- (2) Hence, J is prime iff  $R/J \cong (R/I)/(J/I)$  is a domain iff J/I is prime.
- (3) Also, J is maximal iff  $R/J \cong (R/I)/(J/I)$  is a field iff J/I is maximal.

Proof of (c).

(1) Show that J' is finitely generated if J is. Suppose J is generated by  $a_1, \ldots, a_m$ . It suffices to show that J' is generated by

$$a_1 + I, \dots, a_m + I \in J/I.$$

Given any  $a+I\in J'$  where  $a\in J$ . Write  $a=\sum_{1\leq i\leq m}r_ia_i$  for some  $r_i\in R$ . Then

$$a + I = \sum r_i a_i + I = \sum (r_i + I)(a_i + I)$$

is generated by  $a_1 + I, \ldots, a_m + I$ .

- (2) Show that that R/I is Noetherian if R is Noetherian. Note that R is an ideal of itself.
- (3) Show that any ring of the form  $k[x_1, \ldots, x_n]/I$  is Noetherian. By the corollary to the Hilbert basis theorem,  $k[x_1, \ldots, x_n]$  is Noetherian. By (2), the ring  $k[x_1, \ldots, x_n]/I$  is Noetherian.

## 1.5. Irreducible Components of an Algebraic Set

#### Problem 1.23.

Give an example of a collection of ideals  $\mathscr S$  ideals in a Noetherian ring such that no maximal member of  $\mathscr S$  is a maximal ideal.

Proof.

- (1) Let R be any Noetherian ring. Let  $\mathscr S$  be any collection of ideals containing R itself. Then the only maximal member of  $\mathscr S$  is R, which is not a maximal ideal.
- (2) Or let R be any Noetherian ring and R is not a field.  $(R = k[x_1, ..., k_n]$  where k is a field for example.) Let  $\mathscr{S} = \{(0)\}$ . Then the only maximal member of  $\mathscr{S}$  is (0), which is not maximal since R is not a field.

## Problem 1.24.

Show that every proper ideal in a Noetherian ring is contained in a maximal ideal. (Hint: If I is the ideal, apply the lemma to  $\{proper ideals that contain I\}$ .)

Proof.

(1) Say I be any proper ideal in a Noetherian ring. Let

$$\mathcal{S} = \{\text{proper ideals that contain } I\}.$$

Apply the lemma to  $\mathscr{S}$  to get that  $\mathscr{S}$  has a maximal member  $\mathfrak{m} \in \mathscr{S}$ .

(2) Show that  $\mathfrak{m}$  is maximal. Since  $\mathfrak{m} \in \mathscr{S}$ ,  $\mathfrak{m}$  is a proper ideal in R. Suppose  $\mathfrak{m}' \supseteq \mathfrak{m}$  is a proper ideal containing  $\mathfrak{m}$ . As  $\mathfrak{m}$  contains I,  $\mathfrak{m}'$  also contains I or  $\mathfrak{m}' \in \mathscr{S}$ . By the maximality of  $\mathfrak{m}$ ,  $\mathfrak{m}' \subseteq \mathfrak{m}$ . So  $\mathfrak{m}' = \mathfrak{m}$ .

## Problem 1.25.

- (a) Show that  $V(y-x^2)\subseteq \mathbf{A}^2(\mathbb{C})$  is irreducible, in fact,  $I(V(y-x^2))=(y-x^2)$ .
- (b) Decompose  $V(y^4 x^2, y^4 x^2y^2 + xy^2 x^3) \subseteq \mathbf{A}^2(\mathbb{C})$  into irreducible components.

Proof of (a).

(1) Let  $I = (y - x^2)$  be an ideal of  $\mathbb{C}[x, y]$ . Since  $\mathbb{C}$  is algebraically closed,

$$I(V(I)) = rad(I)$$

by the Hilbert's Nullstellensatz. It suffices to show that I is prime, or to show that  $y-x^2$  is prime. Since  $\mathbb{C}[x,y]$  is a UFD, it suffices to show that  $y-x^2$  is irreducible.

(2) Show that  $y - x^2$  is irreducible in  $\mathbb{C}[x, y]$ . Write

$$y - x^2 \in (\mathbb{C}[y])[x].$$

Note that  $\mathbb{C}[y]$  is a UFD and y is the constant term. If we can show that y is prime in  $\mathbb{C}[y]$ , then by the Eisenstein's criterion we can say  $y - x^2$  is irreducible in  $(\mathbb{C}[y])[x]$ .

(3) As  $\mathbb{C}[y]/(y)\cong\mathbb{C}$  is a field or a domain, (y) is maximal or prime. Hence,  $y-x^2$  is irreducible.

(4) Or apply Corollary 1 to Proposition 2 in the next section to (2)(3).

Proof of (b).

(1) Write

$$\begin{split} Y := & V(y^4 - x^2, y^4 - x^2y^2 + xy^2 - x^3) \\ = & V((y^2 - x)(y^2 + x), (y^2 - x^2)(y^2 + x)) \\ = & V(y^2 + x) \cup V(y^2 - x, y^2 - x^2) \\ = & V(y^2 + x) \cup V(y^2 - x, x(x - 1)) \\ = & V(y^2 + x) \cup V(x, y) \cup V(y + 1, x - 1) \cup V(y - 1, x - 1). \end{split}$$

(2) Here  $V(y^2 + x)$  is irreducible as (a). Besides, V(x, y), V(y + 1, x - 1) and V(y - 1, x - 1) are irreducible since all corresponding ideals are maximal (by the Hilbert's Nullstellensatz and Problem 1.21).

## Problem 1.26.

Show that  $f = y^2 + x^2(x-1)^2 \in \mathbb{R}[x,y]$  is an irreducible polynomial, but V(f) is reducible.

Proof.

- (1) Show that f is an irreducible polynomial.
  - (a) Suppose

$$f = (f_2(x)y^2 + f_1(x)y + f_0(x)) \cdot g(x)$$

for some  $f_i(x), g(x) \in \mathbb{R}[x]$ . So

$$f_2(x)g(x) = 1,$$
  $f_1(x)g(x) = 0,$   $f_0(x)g(x) = x^2(x-1)^2.$ 

Hence,

$$f_2(x)y^2 + f_1(x)y + f_0(x) = uf, \qquad g(x) = u^{-1},$$

where u is a unit in  $\mathbb{R}$ .

(b) Suppose

$$f = (f_1(x)y + f_0(x)) \cdot (g_1(x)y + g_0(x))$$

for some  $f_i(x), g_j(x) \in \mathbb{R}[x]$ . So

$$f_1(x)g_1(x) = 1,$$
  

$$f_1(x)g_0(x) + f_0(x)g_1(x) = 0,$$
  

$$f_0(x)g_0(x) = x^2(x-1)^2.$$

So  $f_1(x) = u$ ,  $g_1(x) = u^{-1}$  for some unit  $u \in \mathbb{R}$ . Hence,

$$u^2g_0(x)^2 = -x^2(x-1)^2,$$

which is absurd since  $\mathbb{R}$  is not algebraically closed.

- (c) By (a)(b), f is irreducible in  $\mathbb{R}[x, y]$ .
- (2) Show that V(f) is reducible.  $V(f) = \{(0,0),(1,0)\} = V(x,y) \cup V(x-1,y)$ . Here V(x,y) and V(x-1,y) are all proper algebraic sets in V(f).

## Problem 1.27.

Let V, W be algebraic sets in  $\mathbf{A}^n(k)$  with  $V \subseteq W$ . Show that each irreducible component of V is contained in some irreducible component of W.

Proof.

(1) Write two decompositions of V, W into irreducible components as

$$V = V_1 \cup \dots \cup V_r,$$
  
$$W = W_1 \cup \dots \cup W_s,$$

(2) For each irreducible component  $V_i$  of V, consider  $V_i \cap W$ :

$$V_i \cap W = (V_i \cap W_1) \cup \cdots \cup (V_i \cap W_s).$$

By the irreducibility of  $V_i$ , there is only one j such that  $V_i \cap W_j = V_i$  and other intersections are empty. Therefore, each irreducible component  $V_i$  is contained in some irreducible component  $W_j$  of W.

## Problem 1.28.

If  $V = V_1 \cup \cdots \cup V_r$  is the decomposition of an algebraic set into irreducible components, show that  $V_i \not\subseteq \bigcup_{j \neq i} V_j$ .

Proof.

(1) (Reductio ad absurdum) If

$$V_i \subseteq \bigcup_{j \neq i} V_j$$

for some i, then

$$V = V_1 \cup \dots \cup \widehat{V}_i \cup \dots \cup V_r$$

is another decomposition of an algebraic set into irreducible components.

(2) By Theorem 2 in §1.5, the number of irreducible components is unique determined, contrary to the assumption and (1).

#### Problem 1.29.\*

Show that  $\mathbf{A}^n(k)$  is irreducible if k is infinite.

Proof.

- (1) (Reductio ad absurdum) If  $\mathbf{A}^n(k)$  were reducible, then  $\mathbf{A}^n(k) = V_1 \cup V_2$  where  $V_1, V_2$  are algebraic sets in  $\mathbf{A}^n(k)$ ,  $V_1$  and  $V_2$  are nonempty and proper in  $\mathbf{A}^n(k)$ .
- (2) Take  $P_i \in V_i$  for i = 1, 2. By Problem 1.17, there are two polynomials  $f_1, f_2 \in k[x_1, \ldots, x_n]$  such that  $f_i(Q) = 0$  for all  $Q \in V_i$  and  $f_1(P_2) = f_2(P_1) = 1$ .
- (3) By construction,  $(f_1f_2)(a_1,\ldots,a_n)=0$  for any  $a_1,\ldots,a_n\in k$ . As k is infinite,  $f_1f_2=0$  by Problem 1.4. Since  $k[x_1,\ldots,x_n]$  is a domain,  $f_1=0$  or  $f_2=0$ , contrary to  $f_1(P_2)=f_2(P_1)\neq 0$ .

*Note.*  $\mathbf{A}^n(k)$  is reducible if k is finite.

## 1.6. Algebraic Subsets of the Plane

## Problem 1.30.

Let  $k = \mathbb{R}$ .

- (a) Show that  $I(V(x^2 + y^2 + 1)) = (1)$ .
- (b) Show that every algebraic subset of  $\mathbf{A}^2(\mathbb{R})$  is equal to V(f) for some  $f \in \mathbb{R}[x,y]$ .

This indicates why we usually require that k be algebraically closed.

Proof of (a).  $I(V(x^2+y^2+1))=I(\varnothing)=(1)$  since  $x^2+y^2+1\geq 1$  is never zero for any  $x,y\in\mathbb{R}$ .  $\square$ 

Proof of (b).

- (1) Given any algebraic subset V of  $\mathbf{A}^2(\mathbb{R})$ . V = V(1) if  $V = \emptyset$ . V = V(0) if  $V = \mathbf{A}^2(\mathbb{R})$ . Now suppose V is a nonempty proper algebraic subset V of  $\mathbf{A}^2(\mathbb{R})$ . Write  $V = V_1 \cup \cdots \cup V_m$ , where each  $V_i$  is irreducible. Here  $V_i \neq \emptyset$  and  $V_i \neq \mathbf{A}^2(\mathbb{R})$  for all i.
- (2) As  $k = \mathbb{R}$  is infinite, Corollary 2 to Proposition 2 implies that each  $V_i$  is either a point or an irreducible plane curves  $V(f_i)$ , where  $f_i$  is an irreducible polynomial and  $V(f_i)$  is infinite.
- (3) If  $V_i = \{(a_i, b_i)\}$  is a point, then define

$$f_i(x,y) = (x - a_i)^2 + (x - b_i)^2.$$

By the property of  $\mathbb{R}$ ,  $V_i = V(f_i)$ .

(4) Define  $f = f_1 \cdots f_m \in \mathbb{R}[x, y]$ . Hence,

$$V = V_1 \cup \cdots \cup V_m$$
  
=  $V(f_1) \cup \cdots \cup V(f_m)$   
=  $V(f_1 \cdots f_m)$   
=  $V(f)$ .

## Problem 1.31.

(a) Find the irreducible components of  $V(y^2 - xy - x^2y + x^3)$  in  $\mathbf{A}^2(\mathbb{R})$ , and also in  $\mathbf{A}^2(\mathbb{C})$ .

(b) Do the same for  $V(y^2 - x(x^2 - 1))$ , and for  $V(x^3 + x - x^2y - y)$ .

Proof of (a).

(1) Note that

$$V(y^{2} - xy - x^{2}y + x^{3}) = V((y - x^{2})(y - x))$$
$$= V(y - x^{2}) \cup V(y - x).$$

- (2) Note that  $y-x^2$  and y-x are irreducible in  $\mathbb{C}[x,y]$  and thus also in  $\mathbb{R}[x,y]$  by the similar argument in Problem 1.25(a). Also,  $V(y-x^2)$  and V(y-x) are infinite in  $\mathbf{A}^2(\mathbb{R})$  and thus also in  $\mathbf{A}^2(\mathbb{C})$ .
- (3) Therefore,  $V(y-x^2)$  and V(y-x) are the irreducible components of  $V(y^2-xy-x^2y+x^3)$  in  $\mathbf{A}^2(\mathbb{R})$  and also in  $\mathbf{A}^2(\mathbb{C})$ .

Outline of (b).

- (1) The elliptic curve  $V(y^2 x(x+1)(x-1))$  is irreducible over  $\mathbf{A}^2(\mathbb{R})$ .
- (2) The elliptic curve  $V(y^2 x(x+1)(x-1))$  is irreducible over  $\mathbf{A}^2(\mathbb{C})$ .
- (3) The irreducible component of  $V(x^3 + x x^2y y)$  over  $\mathbf{A}^2(\mathbb{R})$  is V(x y).
- (4) The irreducible components of  $V(x^3+x-x^2y-y)$  over  $\mathbf{A}^2(\mathbb{C})$  are V(x+i), V(x-i) and V(x-y).

Proof of (b).

(1) Similar to Problem 1.25. To show  $y^2 - x(x+1)(x-1)$  is irreducible in  $\mathbb{C}[x,y]$ , we write

$$y^2 - x(x+1)(x-1) \in (\mathbb{C}[x])[y].$$

Note that  $\mathbb{C}[x]$  is a UFD and -x(x+1)(x-1) is the constant term. As  $\mathbb{C}[x]/(x) \cong \mathbb{C}$  is a domain, (x) is prime. Clearly,  $x \mid x(x+1)(x-1)$  but  $x^2 \nmid x(x+1)(x-1)$ . By the Eisenstein's criterion, we can say  $y^2 - x(x+1)(x-1)$  is irreducible over  $(\mathbb{C}[x])[y]$ .

- (2) Moreover,  $V(y^2 x(x+1)(x-1))$  is infinite over  $\mathbf{A}^2(\mathbb{R})$  and thus also over  $\mathbf{A}^2(\mathbb{C})$ .  $(y = f(x) = \sqrt{x(x+1)(x-1)})$  is continuous and strictly increasing on  $[1,\infty)$  in the sense of calculus. As the measure of  $[1,\infty)$  is  $\infty$ , the set  $V(y^2 x(x+1)(x-1))$  is infinite over  $\mathbf{A}^2(\mathbb{R})$ .)
- (3) By Corollary 1 to Proposition 2,  $V(y^2 x(x^2 1))$  itself is irreducible over  $\mathbf{A}^2(\mathbb{R})$  or  $\mathbf{A}^2(\mathbb{C})$ .

(4) Consider  $V(x^3 + x - x^2y - y) \subseteq \mathbf{A}^2(\mathbb{R})$ .

$$V(x^{3} + x - x^{2}y - y) = V((x^{2} + 1)(x - y))$$

$$= V(x^{2} + 1) \cup V(x - y)$$

$$= \emptyset \cup V(x - y)$$

$$= V(x - y).$$

Here we use that fact that  $x^2 + 1 = 0$  has no real solution  $x \in \mathbb{R}$ . Similar to (a), V(x - y) is the only irreducible component of  $V(x^3 + x - x^2y - y)$  in  $\mathbf{A}^2(\mathbb{R})$ .

(5) Consider  $V(x^3 + x - x^2y - y) \subseteq \mathbf{A}^2(\mathbb{C})$ .

$$V(x^{3} + x - x^{2}y - y) = V((x+i)(x-i)(x-y))$$
  
=  $V(x+i) \cup V(x-i) \cup V(x-y)$ .

Similar to (a),  $V(x \pm i)$  and V(x - y) are the irreducible components of  $V(x^3 + x - x^2y - y)$  in  $\mathbf{A}^2(\mathbb{C})$ .

## 1.7. Hilbert's Nullstellensatz

## Problem 1.32.

Show that both theorems and all of the corollaries are false if k is not algebraically closed.

Proof.

- (1) Weak Nullstellensatz:  $I = (x^2 + 1)$  is a proper ideal in  $\mathbb{R}[x]$  but  $V(I) = \emptyset$ .
- (2) Hilbert's Nullstellensatz: Let  $I=(y^2+x^2(x-1)^2)$  be an ideal in  $\mathbb{R}[x,y]$ . Hence,

$$I(V(I)) = I(\{(0,0), (1,0)\})$$
 (Problem 1.26.)  
=  $(x(x-1), y)$   
 $\neq I$   
= rad(I).

The last equality holds since f is irreducible in a UFD  $\mathbb{R}[x,y]$  and thus I is a prime ideal.

(3) Corollary 1: Same example in the case Hilbert's Nullstellensatz. If  $I=(y^2+x^2(x-1)^2)$  is a radical ideal in  $\mathbb{R}[x,y]$ . Then  $I(V(I))\neq I$ .

(4) Corollary 2: Same example in the case Hilbert's Nullstellensatz. If  $I = (y^2 + x^2(x-1)^2)$  is a prime ideal in  $\mathbb{R}[x, y]$ , then

$$V(I) = \{(0,0), (1,0)\} = V(x,y) \cup V(x-1,y)$$

is reducible. Next, consider a prime ideal  $J=(x^2+y^2)$  in  $\mathbb{R}[x,y]$ . (Use the same argument in Problem 1.26 to get the irreducibility of  $x^2+y^2$ .)  $V(J)=\{(0,0)\}$  is a point but J is not a maximal ideal (since  $J\subsetneq (x^2+y^2,x)\subsetneq (1)$ ).

- (5) Corollary 3: Same example in Corollary 2.
- (6) Corollary 4: Let  $I=(x^2+y^2)$  be an ideal in  $\mathbb{R}[x,y]$ . Then  $V(I)=\{(0,0)\}$  is a finite set. But  $\mathbb{R}[x,y]/(x^2+y^2)$  is an infinite dimensional vector space over  $\mathbb{R}$ . In fact, the monomials

$$\{\overline{x^m}, \overline{x^my}: m=0,1,2,\ldots\}$$

is a basis for  $\mathbb{R}[x,y]/(x^2+y^2)$ .

## Problem 1.33.

- (a) Decompose  $V(x^2+y^2-1,x^2-z^2-1) \subseteq \mathbf{A}^3(\mathbb{C})$  into irreducible components.
- (b) Let  $V = \{(t, t^2, t^3) \in \mathbf{A}^3(\mathbb{C}) : t \in \mathbb{C}\}$ . Find I(V), and show that V is irreducible.

Proof of (a).

(1) Write

$$\begin{split} &V(x^2+y^2-1,x^2-z^2-1)\\ &=V(x^2+y^2-1,y^2+z^2)\\ &=V(x^2+y^2-1,(y+iz)(y-iz))\\ &=V(x^2+y^2-1,y+iz)\cup V(x^2+y^2-1,y-iz). \end{split}$$

By the Hilbert's Nullstellensatz, it suffices to show that  $(x^2+y^2-1,y+iz)$  and  $(x^2+y^2-1,y-iz)$  are prime.

(2) Show that  $I = (x^2 + y^2 - 1, y + iz)$  is prime in  $\mathbb{C}[x, y, z]$ . Note that

$$\mathbb{C}[x, y, z]/I \cong \mathbb{C}[x, y]/(x^2 + y^2 - 1)$$

is a ring isomorphism defined by

$$f(x, y, z) + I \mapsto f(x, y, -iy) + (x^2 + y^2 - 1).$$

(Use the similar argument in (b) to prove it is indeed an isomorphism.) So it suffices to show that

$$x^2 + y^2 - 1 \in \mathbb{C}[x, y]$$

is irreducible. (Thus,  $\mathbb{C}[x,y]/(x^2+y^2-1)\cong\mathbb{C}[x,y,z]/I$  is a domain, or I is prime.) We can use the similar argument in Problem 1.31 (b) to show  $x^2+y^2-1=y^2+(x+1)(x-1)$  is irreducible as showing the irreducibility of  $y^2-x(x+1)(x-1)$ .

(3) Similarly,  $I=(x^2+y^2-1,y-iz)$  is prime. Therefore, the irreducible components of  $V(x^2+y^2-1,x^2-z^2-1)$  are  $V(x^2+y^2-1,y+iz)$  and  $V(x^2+y^2-1,y-iz)$ .

Proof of (b).

(1) Write

$$V = \{(t, t^2, t^3) \in \mathbf{A}^3(\mathbb{C}) : t \in \mathbb{C}\} = V(x^2 - y, x^3 - z).$$

Let  $I = (x^2 - y, x^3 - z)$  in  $\mathbb{C}[x, y, z]$ . By the Hilbert's Nullstellensatz,  $I(V) = \operatorname{rad}(I)$ . So it suffices to show that  $I = (x^2 - y, x^3 - z)$  is prime (and thus V is irreducible).

(2) Show that

$$\mathbb{C}[x,y,z]/I \cong \mathbb{C}[t]$$

is a domain, and thus  $I = (x^2 - y, x^3 - z)$  is a prime ideal.

(a) Define a ring homomorphism  $\alpha: \mathbb{C}[x,y,z]/I \to \mathbb{C}[t]$  by

$$\alpha: f(x, y, z) + I \mapsto f(t, t^2, t^3).$$

 $\alpha$  is well-defined since  $\alpha((x^2 - y) + I) = 0$  and  $\alpha((x^3 - z) + I) = 0$ .

(b) Show that  $\alpha$  is surjective.

$$\alpha: g(x) + I \in \mathbb{C}[x, y, z]/I \mapsto g(t) \in \mathbb{C}[t]$$

for any g(t).

(c) Show that  $\alpha$  is injective. Suppose  $\alpha(f(x,y,z)+I)=0$ . Write

$$f(x, y, z) + I = \sum_{(i)} \lambda_{(i)} x^{i_1} (y - x^2)^{i_2} (z - x^3)^{i_3} + I$$
$$= \sum_{i} \lambda_i x^i + I.$$

So

$$0 = \alpha(f(x, y, z) + I) = \alpha\left(\sum_{i} \lambda_{i} x^{i} + I\right) = \sum_{i} \lambda_{i} t^{i}.$$

Hence,  $ker(\alpha) = I$ .

#### Problem 1.34.

Let R be a UFD.

- (a) Show that a monic polynomial of degree two or three in R[x] is irreducible if and only if it has no root in R.
- (b)  $x^2 a \in R[x]$  is irreducible if and only if a is not a square in R.

Proof of (a).

- (1) It is equivalent to show that a monic polynomial of degree two or three in R[x] is reducible if and only if it has one root in R.
- (2) Suppose f is reducible of degree 2 or 3. Then there exist nonconstant monic polynomials  $g, h \in R[x]$  such that f = gh. By

$$\deg(g) + \deg(h) = \deg(f) = 2 \text{ or } 3,$$

we may assume that  $\deg(g) = 1$ . (Otherwise g or h will be a constant polynomial.) Say g(x) = x - a where  $a \in R$ . Now

$$f(a) = g(a)h(a) = 0$$

implies that  $a \in R$  is a root of f.

(3) Conversely, if  $a \in R$  is a root of f, then apply the same argument in Problem 1.7 we can write

$$f = (x - a)g$$

for some  $g \in R[x]$ . Here  $\deg(g) \ge 1$  since  $\deg(f) = 1 + \deg(g) \ge 2$ . Therefore, f is reducible.

*Proof of (b).* By (a),  $x^2 - a \in R[x]$  is reducible  $\iff x^2 - a$  has one root  $\alpha \in R$   $\iff a = \alpha^2$  is a square in R for some  $\alpha \in R$ .  $\square$ 

#### Problem 1.35.

Show that  $V(y^2 - x(x-1)(x-\lambda)) \subseteq \mathbf{A}^2(k)$  is an irreducible curve for any algebraically closed field k, and any  $\lambda \in k$ .

Proof.

(1) By the Hilbert's Nullstellensatz, it suffices to show that

$$I = (y^2 - x(x-1)(x-\lambda))$$

is a prime ideal in k[x, y], or show that

$$y^2 - x(x-1)(x-\lambda)$$

is irreducible (since k[x, y] is a UFD).

(2) By Problem 1.34(b),  $y^2 - x(x-1)(x-\lambda) \in (\mathbb{C}[x])[y]$  is irreducible if  $x(x-1)(x-\lambda)$  is not a square in  $\mathbb{C}[x]$ . Note that every square in  $\mathbb{C}[x]$  is of even degree. So  $x(x-1)(x-\lambda)$  cannot be a square in  $\mathbb{C}[x]$  since  $\deg(x(x-1)(x-\lambda)) = 3$  is odd.

*Note.*  $V(y^2 - x(x-1)(x-\lambda))$  is the elliptic curve as Problem 1.31.

#### Problem 1.36.

Let  $I = (y^2 - x^2, y^2 + x^2) \subseteq \mathbb{C}[x, y]$ . Find V(I) and  $\dim_{\mathbb{C}}(\mathbb{C}[x, y]/I)$ .

Proof.

(1) Clearly,  $V(I) = \{(0,0)\}$  is a finite set. By Corollary 4 to the Hilbert's Nullstellensatz,

$$\dim_{\mathbb{C}}(\mathbb{C}[x,y]/I) < \infty.$$

In fact,  $\dim_{\mathbb{C}}(\mathbb{C}[x,y]/I) = 4$ .

(2) Given any  $f + I \in \mathbb{C}[x, y]/I$  where  $f \in \mathbb{C}[x, y]$ . Write

$$f(x,y) = \sum_{i} f_i(x)y^i$$

where  $f_i(x) = \sum_j a_{ij} x^j \in \mathbb{C}[x]$ . Note that

$$x^{2} = \frac{1}{2}(y^{2} + x^{2}) - \frac{1}{2}(y^{2} - x^{2}) \in I,$$

$$y^2 = \frac{1}{2}(y^2 + x^2) + \frac{1}{2}(y^2 - x^2) \in I.$$

So

$$f(x,y) + I = \sum_{i} f_{i}(x)y^{i} + I$$

$$= f_{0}(x) + f_{1}(x)y + I$$

$$= \sum_{j} a_{0j}x^{j} + \left(\sum_{j} a_{1j}x^{j}\right)y + I$$

$$= a_{00} + a_{01}x + a_{10}y + a_{11}xy + I$$

is generated by  $\mathscr{B} = \{\overline{1}, \overline{x}, \overline{y}, \overline{xy}\}.$ 

(3) Note that  $\mathscr{B}$  is a basis since any linear combination of elements in  $\mathscr{B}$  is not in I. Therefore,

$$\dim_{\mathbb{C}}(\mathbb{C}[x,y]/I) = |\mathscr{B}| = 4.$$

## Problem 1.37.\*

Let K be any field,  $f \in K[x]$  a polynomial of degree n > 0. Show that the residues  $\overline{1}, \overline{x}, \ldots, \overline{x}^{n-1}$  form a basis for K[x]/(f) over K.

Proof.

(1) Show that every element in K[x]/(f) is generated by  $\mathcal{B} = \{\overline{1}, \overline{x}, \dots, \overline{x}^{n-1}\}$ . Given any  $\overline{g} \in K[x]/(f)$  with  $g \in K[x]$ . By the division-with-remainder property of K[x], there are some polynomials  $q, r \in K[x]$  such that

$$g = fq + r$$

where r = 0 or  $\deg(r) < n$  if  $r \neq 0$ . Therefore,

$$g + (f) = fq + r + (f) = r + (f).$$

Note that r + (f) is generated by  $\mathscr{B}$ .

(2) Show that  $\mathscr{B}$  is a basis for K[x]/(f) over K. Suppose

$$a_0 + a_1 x + \dots + a_{n-1} x^{n-1} \in (f)$$

for  $a_1,\ldots,a_{n-1}\in K$ . We can regard any linear combination of  $\{1,x,\ldots,x^{n-1}\}$  as a polynomial r(x) in K[x].  $r\in (f)$  implies that there exists a polynomial  $g\in K[x]$  such that r=fg. If  $g\neq 0$ , then  $\deg(r)=\deg(f)+\deg(g)\geq n$ , which is impossible. So g=0 and thus  $r=fg=0\in K[x]$ . Therefore,  $a_0=a_1=\cdots=a_{n-1}=0\in K$  and

$$\dim_K(K[x]/(f)) = \deg(f).$$

#### Problem 1.38.\*

Let  $R = k[x_1, ..., x_n]$ , k algebraically closed, V = V(I). Show that there is a natural one-to-one correspondence between algebraic subsets of V and radical ideals in  $k[x_1, ..., x_n]/I$ , and that irreducible algebraic sets (resp. points) correspond to prime ideals (resp. maximal ideals). (See Problem 1.22.)

Proof.

(1) Given any algebraic subset W of V. By the Hilbert's Nullstellensatz,

$$I(W) \supseteq I(V) = rad(I) \supseteq I$$
.

(2) By Corollary 1 to the Hilbert's Nullstellensatz and Problem 1.22(b), we have a one-to-one correspondence such that

{algebraic subsets of V}  $\longleftrightarrow$  {radical ideals containing I}  $\longleftrightarrow$  {radical ideals of  $k[x_1, \ldots, x_n]/I$ }.

(3) Again by Corollary 2 to the Hilbert's Nullstellensatz and Problem 1.22(b), we have a one-to-one correspondence such that

{irreducible algebraic subsets (resp. points) of V}  $\longleftrightarrow$  {prime (resp. maximal) ideals containing I}  $\longleftrightarrow$  {prime (resp. maximal) ideals of  $k[x_1, \ldots, x_n]/I$ }.

#### Problem 1.39.

- (a) Let R be a UFD, and let  $\mathfrak{p} = (t)$  be a principal proper prime ideal. Show that there is no prime ideal  $\mathfrak{q}$  such that  $0 \subseteq \mathfrak{q} \subseteq \mathfrak{p}$ .
- (b) Let V = V(f) be irreducible hypersurface in  $\mathbf{A}^n$ . Show that there is no irreducible algebraic set W such that  $V \subseteq W \subseteq \mathbf{A}^n$ .

Proof of (a).

(1) (Reductio ad absurdum) Suppose that  $\mathfrak{q}$  were a prime ideal in R such that  $0 \subsetneq \mathfrak{q} \subsetneq \mathfrak{p}$ .

(2) Show that there is an irreducible element in  $\mathfrak{q}$ . Given any  $q \in \mathfrak{q}$ . Since  $\mathfrak{q}$  is proper, we can write

$$q = q_1 \cdots q_n$$

as a product of irreducible elements in a UFD. Since  $\mathfrak{q}$  is prime, there is one irreducible element  $q_i \in \mathfrak{q}$ .

(3) Now  $q_i \in \mathfrak{q} \subseteq \mathfrak{p} = (t)$ . So  $q_i = ut$  for some  $u \in R$ . By the irreducibility of  $q_i$ , u is a unit or t is a unit. If u is a unit, then

$$(t) = (q_i) \subseteq \mathfrak{q} \subseteq \mathfrak{p} = (t).$$

So  $\mathfrak{q} = \mathfrak{p}$ , which is absurd. If t is a unit, then  $\mathfrak{p} = (1)$ , contrary to the primality of  $\mathfrak{p}$ .

Proof of (b).

(1) We might assume that  $k = \overline{k}$ . By Corollary 3 to the Hilbert's Nullstellensatz and the irreducibility of V(f), there are an irreducible polynomial  $g \in k[x_1, \ldots, x_n]$  and an integer m > 0 such that

$$f = g^m$$
,

and

$$I(V(f)) = (q).$$

(2) (Reductio ad absurdum) Suppose that there were an irreducible algebraic set W such that  $V \subsetneq W \subsetneq \mathbf{A}^n$ . Then by Corollary 3 to the Hilbert's Nullstellensatz again,

$$(g) = I(V(f)) \supseteq I(W) \supseteq (1) \in k[x_1, \dots, x_n].$$

Here (g) = I(V(f)) and I(W) are all prime.

(3) Note that (g) is a principal proper prime ideal in a UFD  $k[x_1, \ldots, x_n]$ . By (a), such ideal I(W) cannot be prime, which is absurd.

#### Problem 1.40.

Let  $I=(x^2-y^3,y^2-z^3)\subseteq k[x,y,z]$ . Define  $\alpha:k[x,y,z]\to k[t]$  by  $\alpha(x)=t^9$ ,  $\alpha(y)=t^6$ ,  $\alpha(z)=t^4$ .

(a) Show that every element of k[x,y,z]/I is the residue of an element a+xb+yc+xyd, for some  $a,b,c,d\in k[z]$ .

- (b) If f = a + xb + yc + xyd,  $a, b, c, d \in k[z]$  and  $\alpha(f) = 0$ , compare like powers of t to conclude that f = 0.
- (c) Show that  $ker(\alpha) = I$ , so I is prime, V(I) is irreducible, and I(V(I)) = I.

(1) Take any element  $\overline{f} \in k[x,y,z]/I$  where  $f \in k[x,y,z]$ . Regard  $f \in (k[y,z])[x]$ , By the division-with-remainder property of (k[y,z])[x],

$$f = (x^2 - y^3)q + r$$

where  $q, r \in (k[y, z])[x]$  and r = 0 or  $\deg_x(r) < 2$ . In any case,  $r = xr_1 + r_0$  for some  $r_1, r_0 \in k[y, z]$ .

(2) Apply the same argument to (1), we have

$$r_0 = (y^2 - z^3)q_0 + yc + a$$
  

$$r_1 = (y^2 - z^3)q_1 + yd + b$$

where  $q_0, q_1 \in k[y, z]$  and  $a, b, c, d \in k[z]$ .

(3) By  $\overline{r_0} = \overline{yc} + \overline{a}$  and  $\overline{r_1} = \overline{yd} + \overline{b}$ ,

$$\begin{split} \overline{f} &= \overline{r} \\ &= \overline{xr_1} + \overline{r_0} \\ &= \overline{x}(\overline{yd} + \overline{b}) + (\overline{yc} + \overline{a}) \\ &= \overline{a} + \overline{b} \cdot \overline{x} + \overline{c} \cdot \overline{y} + \overline{d} \cdot \overline{xy}. \end{split}$$

Proof of (b). As  $0 = \alpha(f) = a + ct^6 + bt^9 + dt^{15} \in k[t], \ a = b = c = d = 0 \in k$ .

Proof of (c).

- (1)  $I \subseteq \ker(\alpha)$  is trivial.
- (2) Show that  $\ker(\alpha) \subseteq I$ . Take any  $f \in \ker(\alpha)$ , or  $\alpha(f) = 0$ . By (a),  $f = r + f_1$  where  $f_1 \in I$  and  $r = a + bx + cy + dxy \in k[x, y, z]$  for some  $a, b, c, d \in k[z]$ . Note that  $\alpha$  is a ring homomorphism. Therefore,

$$0 = \alpha(f) = \alpha(r + f_1) = \alpha(r) + \alpha(g) = \alpha(r).$$

By (b),  $r = 0 \in k[x, y, z]$  and thus  $f = f_1 \in I$ .

(3) Therefore,

$$\alpha : k[x, y, z]/(x^2 - y^3, y^2 - z^3) \hookrightarrow k[t]$$

is injective.

1.8. Modules; Finiteness Conditions

Problem 1.41.\*

If S is module-finite over R, then S is ring-finite over R.

Proof.

(1) Write  $S = \sum Rs_i$  for some  $s_1, \ldots, s_n \in S$  since S is module-finite over R.

(2) Show that  $\sum Rs_i = R[s_1, \ldots, s_n]$ .  $\sum Rs_i \subseteq R[s_1, \ldots, s_n]$  is trivial. Conversely, take any  $v \in R[s_1, \ldots, s_n]$ . Write

$$v = \sum_{(j)} \underbrace{a_{(j)} \underbrace{s_1^{j_1} \cdots s_n^{j_n}}_{\in R}}_{\in S = \sum Rs_i}$$

Here each term  $a_{(i)}s_1^{i_1}\cdots s_n^{i_n}$  is in  $\sum Rs_i$ . As  $\sum Rs_i$  is an R-module,

$$v = \sum_{(i)} a_{(i)} s_1^{i_1} \cdots s_n^{i_n} \in \sum Rs_i.$$

*Note.* The converse is not true (by Problem 1.42).

Problem 1.42.

Show that S = R[x] (the ring of polynomials in one variable) is ring-finite over R, but not module-finite.

Proof.

(1) S = R[x] is ring-finite over R by definition (as  $x \in S$ ).

(2) (Reductio ad absurdum) If  $S = \sum Rs_i$  for some  $s_1, \ldots, s_n \in S$  were module-finite over R. Any element  $s \in \sum Rs_i$  is of degree

$$\deg s \le \max_{1 \le i \le n} \deg s_i := m.$$

So that  $x^{m+1} \in S = R[x]$  but not in  $\sum Rs_i$ , which is absurd.

#### Problem 1.43.\*

If L is ring-finite over K (K, L fields) then L is a finitely generated field extension of K.

Proof.

- (1)  $L = K[v_1, \dots, v_n]$  for some  $v_i \in L$  since L is ring-finite over K.
- (2) Apply Proposition 4 in §1.10, L is module-finite (and hence algebraic) over K, that is,  $L = K[v_1, \dots, v_n] = K(v_1, \dots, v_n)$  is a finitely generated field extension of K.

#### Problem 1.44.\*

Show that L = K(x) (the field of rational functions in one variable) is a finitely generated field extension of K, but L is not ring-finite over K. (Hint: If L were ring-finite over K, a common denominator of ring generators would be an element  $b \in K[x]$  such that for all  $z \in L$ ,  $b^n z \in K[x]$  for some n; but let z = 1/c, where c doesn't divide b (Problem 1.5).)

Proof.

- (1) (Reductio ad absurdum) Suppose that L were ring-finite over K. Write  $L = K[v_1, \ldots, v_m]$  where  $v_1, \ldots, v_m \in L = K(x)$ . Let  $b \in K[x]$  be a common denominator of ring generators  $v_1, \ldots, v_m$ . (So that all  $bv_i \in K[x]$ .) Therefore, for any  $z \in L = K[v_1, \ldots, v_m]$ , there is an integer n > 0 such that  $b^n z \in K[x]$ .
- (2) Consider  $z = 1/c \in K(x)$ , where  $c \in K[x]$  doesn't divide b. The existence of c is guaranteed by Problem 1.5. Hence, for any integer n > 0

$$b^n z = b^n/c$$

is never in K[x] by the construction of c, which is absurd.

#### Problem 1.45.\*

Let R be a subring of S, S a subring of T.

- (a) If  $S = \sum Rv_i$ ,  $T = \sum Sw_j$ , show that  $T = \sum Rv_iw_j$ .
- (b) If  $S = R[v_1, \dots, v_n]$ ,  $T = S[w_1, \dots, w_m]$ , show that  $T = R[v_1, \dots, v_n, w_1, \dots, w_m]$ .
- (c) If R, S, T are fields, and  $S = R(v_1, ..., v_n)$ ,  $T = S(w_1, ..., w_m)$ , show that  $T = R(v_1, ..., v_n, w_1, ..., w_m)$ .

So each of the three finiteness conditions is a transitive relation.

Proof of (a).

(1) Show that  $T \subseteq \sum Rv_iw_j$ . Given any  $t \in T = \sum Sw_j$ . There are some  $s_j \in S$  such that  $t = \sum_j s_jw_j$ . As  $s_j \in S = \sum Rv_i$ , there are some  $r_{ij} \in R$  such that  $s_j = \sum_i r_{ij}v_i$ . Hence,

$$t = \sum_{j} s_j w_j = \sum_{j} \left( \sum_{i} r_{ij} v_i \right) w_j = \sum_{i,j} r_{ij} v_i w_j \in \sum_{j} Rv_i w_j.$$

(2) Show that  $T \supseteq \sum Rv_iw_j$ . Take any  $\sum r_{ij}v_iw_j \in \sum Rv_iw_j$ .

$$\sum r_{ij}v_iw_j = \sum_i \left(\sum_i r_{ij}v_i\right)w_j \in \sum_j Sw_j = T.$$

Proof of (b).

- (1) Note that  $R[x_1, \dots, x_m]$  is canonically isomorphic to  $R[x_1, \dots, x_{m-1}][x_m]$ . Hence  $R[x_1, \dots, x_m]$  is isomorphic to  $R[x_1][x_2] \cdots [x_m]$ .
- (2) Hence,

$$T = S[w_1, \dots, w_m]$$

$$= R[v_1, \dots, v_n][w_1, \dots, w_m]$$

$$= R[v_1, \dots, v_n][w_1] \cdots [w_m]$$

$$= R[v_1] \cdots [v_n][w_1] \cdots [w_m]$$

$$= R[v_1, \dots, v_n, w_1, \dots, w_m].$$

Proof of (c).

(1) By (b),  $R(v_1, \ldots, v_n)$  is canonically isomorphic to  $R(v_1, \ldots, v_{n-1})(v_n)$ . Hence  $R(v_1, \ldots, v_n)$  is isomorphic to  $R(v_1) \cdots (v_n)$ . To see this, note that  $R[x_1, \cdots, x_m] \cong R[x_1, \cdots, x_{m-1}][x_m]$  implies that

$$R(x_1, \dots, x_m) \cong R[x_1, \dots, x_{m-1}](x_m) \hookrightarrow R(x_1, \dots, x_{m-1})(x_m).$$

Conversely, for any  $a/b \in R(x_1, \dots, x_{m-1})(x_m)$  where

$$a = \sum_{i} a_{i} x_{m}^{i} \in R(x_{1}, \dots, x_{m-1})[x_{m}],$$
  
$$b = \sum_{i} b_{j} x_{m}^{j} \in R(x_{1}, \dots, x_{m-1})[x_{m}]$$

and  $b \neq 0$ , there is a nonzero polynomial  $c \in R[x_1, \dots, x_{m-1}]$  such that all  $ca_i$  and  $cb_j$  are in  $R[x_1, \dots, x_{m-1}]$ . Hence,

$$\begin{split} \frac{a}{b} &= \frac{\sum_{i} a_{i} x_{m}^{i}}{\sum_{j} b_{j} x_{m}^{j}} \\ &= \frac{c \sum_{i} a_{i} x_{m}^{i}}{c \sum_{j} b_{j} x_{m}^{j}} \\ &= \frac{\sum_{i} c a_{i} x_{m}^{i}}{\sum_{j} c b_{j} x_{m}^{j}} \\ &\in R[x_{1}, \cdots, x_{m-1}](x_{m}). \end{split}$$

(2) Hence,

$$T = S(w_1, ..., w_m)$$

$$= R(v_1, ..., v_n)(w_1, ..., w_m)$$

$$= R(v_1, ..., v_n)(w_1) \cdots (w_m)$$

$$= R(v_1) \cdots (v_n)(w_1) \cdots (w_m)$$

$$= R(v_1, ..., v_n, w_1, ..., w_m).$$

# 1.9. Integral Elements

## Problem 1.46.\* (Transitivity of integral extensions)

Let R be a subring of S, S a subring of (a domain) T. If S is integral over R, and T is integral over S, show that T is integral over R. (Hint: Let  $z \in T$ , so we have  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$ ,  $a_i \in S$ . Then  $R[a_1, \ldots, a_n, z]$  is module-finite

over R.)

Proof (Hint).

- (1) Let  $z \in T$ , so we have  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$ ,  $a_i \in S$ . Therefore, z is integral over  $R[a_1, \ldots, a_n]$ , or  $R[a_1, \ldots, a_n, z]$  is module-finite over  $R[a_1, \ldots, a_n]$ .
- (2) Show that  $R[a_1, \ldots, a_n]$  is module-finite over R if all  $a_i \in S$ . Note that

 $a_1$  is integral over R,

 $a_2$  is integral over  $R[a_1] \supseteq R$ ,

. . .

 $a_n$  is integral over  $R[a_1, \ldots, a_{n-1}]$ .

By Proposition 3,

 $R[a_1]$  is module-finite over R,

 $R[a_1][a_2]$  is module-finite over  $R[a_1]$ ,

. . .

 $R[a_1,\ldots,a_{n-1}][a_n]$  is module-finite over  $R[a_1,\ldots,a_{n-1}]$ .

Also note that  $R[a_1, \ldots, a_i] = R[a_1, \ldots, a_{i-1}][a_i]$  if i > 1. By the transitive relation of the module-finiteness (Problem 1.45),  $R[a_1, \ldots, a_n]$  is module-finite over R.

(3) Again by the transitive relation of the module-finiteness (Problem 1.45),  $R[a_1, \ldots, a_n, z]$  is module-finite over R. Hence,  $R[a_1, \ldots, a_n, z]$  is a subring of T containing R[z] which is module-finite over R. By Proposition 3, z is integral over R.

## Problem 1.47.\*

Suppose (a domain) S is ring-finite over R. Show that S is module-finite over R if and only if S is integral over R.

Proof.

- (1) Write  $S = R[v_1, \dots, v_m]$  for some  $v_i \in S$ .
- (2) Suppose that S is integral over R. Then all  $v_i$  are integral over R. Use the same argument in Problem 1.46, we have

$$S = R[v_1, \dots, v_n]$$

is module-finite over R.

(3) Conversely, suppose that S is module-finite over R. Take any  $v \in S$ . Write  $v = \sum_i r_i v_i \in S$  since S is module-finite over R. Note that  $S = R[v_1, \ldots, v_m]$  is a subring of S itself containing R[v] which is module-finite over R. By Proposition 3, v is integral over R.

#### Problem 1.48.\*

Let L be a field, k an algebraically closed subfield of L.

- (a) Show that any element of L that is algebraic over k is already in k.
- (b) An algebraically closed field has no module-finite field extensions except itself.

Proof of (a).

- (1) Let  $\alpha \in L$  be algebraic over k. Then there is a nonzero polynomial  $f(x) \in k[x]$  with  $f(\alpha) = 0$ . Note that deg  $f \ge 1$ .
- (2) Since k is algebraically closed, every polynomial is a product of first degree polynomials, say

$$f(x) = c(x - \alpha_1) \cdots (x - \alpha_m)$$

where  $c \in k - \{0\}$  and  $\alpha_1, \ldots, \alpha_m \in k$ . As  $f(\alpha) = 0$ ,  $\alpha = \alpha_i \in k$  for some  $1 \le i \le m$ . Hence,  $\alpha \in L$  is algebraic over k implies that  $\alpha \in k$ .

Proof of (b).

- (1) Suppose that L is module-finite field extensions of an algebraically closed field k.
- (2) By Problem 1.41, L is ring-finite over k. By Problem 1.47, L is integral or algebraic over k (since k is a field). By (a), L = k.

#### Problem 1.49.\*

Let K be a field, L = K(x) the field of rational functions in one variable over K.

- (a) Show that any element of L that is integral over K[x] is already in K[x]. (Hint: If  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$ , write z = f/g, f, g relatively prime. Then  $f^n + a_1 f^{n-1} g + \cdots + a_n g^n = 0$ , So g divides f.)
- (b) Show that there is no nonzero element  $f \in K[x]$  such that for every  $z \in L$ ,  $f^n z$  is integral over K[x] for some n > 0. (Hint: See Problem 1.44.)

- (1) Note that 0 is integral over K[x] and  $0 \in K[x]$  trivially.
- (2) Now we take any nonzero element  $z \in L = K(x)$  which is integral over K[x]. So  $z^n + a_1 z^{n-1} + \cdots + a_n = 0$  for some  $a_1, \ldots, a_n \in K[x]$  and  $a_n \neq 0$  (since  $z \neq 0$ ).
- (3) Write z = f/g, f, g relatively prime in K[x]. Then

$$f^{n} + a_{1}f^{n-1}g + \dots + a_{n}g^{n} = 0 \in K[x].$$

Since  $a_n \neq 0$ ,  $g \mid f^n$  or  $g \mid f$  or  $g = 1 \in K$ . Therefore,  $z = f \in K[x]$ .

Proof of (b).

- (1) (Reductio ad absurdum) Suppose there were a nonzero element  $f \in K[x]$  such that for every  $z \in L$ ,  $f^n z$  is integral over K[x] for some n > 0.
- (2) Let  $z = 1/g \in K(x)$ , where g is an irreducible polynomial not dividing f. The existence of g is guaranteed by Problem 1.5.
- (3) By the hypothesis in (1), there is an integer n > 0 such that  $f^n z$  is integral over K[x]. By (a),  $f^n z = f^n/g$  is also in K[x]. So  $g \mid f^n$  or  $g \mid f$ , which is absurd.

#### Problem 1.50.\*

Let K be a subfield of a field L.

- (a) Show that the set of elements of L that are algebraic over K is a subfield of L containing K. (Hint: If  $v^n + a_1v^{n-1} + \cdots + a_n = 0$ , and  $a_n \neq 0$ , then  $v(v^{n-1} + \cdots + a_{n-1}) = -a_n$ .)
- (b) Suppose L is module-finite over K, and  $K \subseteq R \subseteq L$ , R a subring of L. Show that R is a field.

- (1) Let R be the set of elements of L that are algebraic over K. By Corollary to Proposition 3, R is a subring of L containing K. (Note that K is a field.) So it suffices to show that  $v^{-1} \in R$  if  $v \in R \{0\}$ .
- (2) Since v is algebraic over K, we can write

$$v^n + a_1 v^{n-1} + \dots + a_n = 0$$

for some  $a_1, \ldots, a_n \in K$  and  $a_n \neq 0$ . So

$$(v^{-1})^n + \underbrace{\frac{a_{n-1}}{a_n}}_{\in K} (v^{-1})^{n-1} + \dots + \underbrace{\frac{a_1}{a_n}}_{\in K} (v^{-1}) + \underbrace{\frac{1}{a_n}}_{\in K} = 0,$$

or  $v^{-1}$  is integral over K. Hence,  $v^{-1} \in R$ .

Proof of (b).

- (1) By Problem 1.47, L is algebraic over K. Hence, R is algebraic over K.
- (2) To show that R is a field, it suffices to show that  $v^{-1} \in R$  if  $v \in R \{0\}$ . Since v is algebraic over K, we can write

$$v^n + a_1 v^{n-1} + \dots + a_n = 0$$

for some  $a_1, \ldots, a_n \in K$  and  $a_n \neq 0$ . So

$$v\left(-\underbrace{\frac{1}{a_n}}_{\in K\subseteq R}\underbrace{v^{n-1}}_{\in R}-\cdots-\underbrace{\frac{a_{n-1}}{a_n}}_{\in K\subseteq R}\right)=1.$$

Here  $v^{-1} = \left(-\frac{1}{a_n}v^{n-1} - \dots - \frac{a_{n-1}}{a_n}\right)$  is the inverse of v in R (since R is a ring containing K).

# 1.10. Field Extensions

#### Problem 1.51.\*

Let K be a field,  $f \in K[x]$  an irreducible monic polynomial of degree n > 0.

- (a) Show that L = K[x]/(f) is a field, and if  $\overline{x}$  is the residue of x in L, then  $f(\overline{x}) = 0$ .
- (b) Suppose L' is a field extension of K,  $y \in L'$  such that f(y) = 0. Show that the homomorphism from K[x] to L' that takes x to y induces an isomorphism of L with K(y).
- (c) With L', y as in (b), suppose  $g \in K[x]$  and g(y) = 0. Show that f divides g.
- (d) Show that  $f = (x \overline{x})f_1$ ,  $f_1 \in L[x]$ .

- (1) (f) is a prime ideal in a UFD K[x] since f is irreducible. Note that K[x] is also a PID, (f) is maximal (Problem 1.3). Hence L = K[x]/(f) is a field.
- (2)  $f(\overline{x}) = f(x) + (f(x)) = (f(x)) = \overline{0}.$

Proof of (b).

(1) Let  $\alpha: K[x] \to L'$  be a homomorphism defined by

$$\alpha\left(\sum a_i x^i\right) = \sum a_i y^i$$

where  $a_i \in K$ .  $\operatorname{im}(\alpha) = K(y)$  clearly.

- (2) Note that  $\ker(\alpha)$  is an ideal containing (f) since  $\alpha(f) = 0$ .  $\ker(\alpha)$  is proper since  $\alpha(1) = 1 \neq 0$ . By the maximality of (f),  $\ker(\alpha) = (f)$ .
- (3) Hence,  $\alpha$  induces an isomorphism of L with K(y):

$$L = K[x]/(f) \cong K(y) \hookrightarrow L'.$$

Proof of (c). By (b),  $g \in \ker(\alpha) = (f)$ . So  $f \mid g$ .  $\square$ 

Proof of (d).

- (1) By (a),  $\overline{x} \in L$  is a root of  $f \in L[x]$  (by embedding K[x] in L[x]).
- (2) Since L is a field, by Problem 1.7(b) we have

$$f = (x - \overline{x})f_1$$

for some  $f_1 \in L[x]$ .

# Problem 1.52.\* (Splitting fields)

Let K be a field,  $f \in K[x]$ . Show that there is a field L containing K such that  $f = \prod_{i=1}^{n} (x - x_i) \in L[x]$ . (Hint: Use Problem 1.51(d) and induction on the degree.) L is called a **splitting field** of F.

Proof.

- (1) Let  $p(x) \in K[x]$  be an irreducible factor of  $f(x) \in K[x]$ , and let L' be the field K[x]/(p(x)) (by Problem 1.51(a)).
- (2) Then we might regard K as a subfield of L' by sending  $a \in K$  to  $\overline{a} = a + (p(x)) \in L'$ .
- (3) By Problem 1.51(a),  $\overline{x}$  is a root of  $p \in L'$ ; therefore is a root of f.
- (4) Induction on n. By (1)(2)(3), there is a field  $L' \supseteq K$  such that L' contains a root  $\overline{x}$  of f(x), say  $f(x) = (x \overline{x})f_1(x)$  over L'[x] (by Problem 1.51(d)). By induction, there is a field  $L \supseteq L'$  such that  $f_1$  splits over L. Hence, f splits over L.

#### Problem 1.53.\*

Suppose K is a field of characteristic zero, f an irreducible monic polynomial in K[x] of degree n > 0. Let L be a splitting field of f, so  $f = \prod_{i=1}^{n} (x - x_i)$ ,  $x_i \in L$ . Show that the  $x_i$  are distinct. (Hint: Apply Problem 1.51(c) to  $g = f_x$ ; if  $(x - \overline{x})^2$  divides f, then  $g(\overline{x}) = 0$ .)

Proof.

(1) Since  $f \in K[x]$  is irreducible over K,  $gcd(f, f_x)$  is 1 or f. As char(K) = 0,  $deg(f_x) = deg(f) - 1$ . So f does not divide  $f_x$  or  $gcd(f, f_x) = 1$ . Hence, there are polynomials  $g, h \in K[x]$  such that

$$1 = fq + f_x h$$
.

This equation is also true in L[x].

(2) Note that

$$f = \prod_{i=1}^{n} (x - x_i) \in L[x],$$

$$f_x = \sum_{i=1}^{n} (x - x_1) \cdots (\widehat{x - x_i}) \cdots (x - x_n) \in L[x].$$

If  $\overline{x}$  were a multiple root of f, then  $f(\overline{x}) = f_x(\overline{x}) = 0$ . By (1),

$$1 = f(\overline{x})g(\overline{x}) + f_x(\overline{x})h(\overline{x}) = 0,$$

which is absurd.

#### Problem 1.54.\*

Let R be a domain with quotient field K, and let L be a finite algebraic extension of K.

- (a) For any  $v \in L$ , show that there is a nonzero  $a \in R$  such that av is integral over R.
- (b) Show that there is a basis  $v_1, \ldots, v_n$  for L over K (as a vector space) such that each  $v_i$  is integral over R.

Proof of (a).

(1) Take any  $v \in L$ , which is algebraic over K. Write

$$v^n + a_1 v^{n-1} + \dots + a_n = 0$$

for some  $a_1, \ldots, a_n \in K$  and  $a_n \neq 0$ . Since K is the quotient field of R, there is a common denominator  $a \in R$  of  $a_1, \ldots, a_n$ . Here  $a \neq 0$  and  $aa_i \in R$  for all  $1 \leq i \leq n$ .

(2) Hence,

$$a^{n}v^{n} + a^{n}a_{1}v^{n-1} + \dots + a^{n}a_{n} = 0$$

$$\iff (av)^{n} + \underbrace{(aa_{1})}_{\in R}(av)^{n-1} + \underbrace{a(aa_{2})}_{\in R}(av)^{n-2} + \dots + \underbrace{a^{n-1}(aa_{n})}_{\in R} = 0.$$

av is integral over R.

Proof of (b).

(1) Since L be a finite algebraic extension of K, there exists a basis

$$\{w_1,\ldots,w_n\}$$

for L over K (as a vector space).

(2) For each  $w_i \in L$ , there is a nonzero  $a_i \in R$  such that  $a_i w_i$  is integral over R (by (a)). So it suffices to show that

$$\{a_1w_1,\ldots,a_nw_n\}$$

is also a basis for L over K.

(3) Suppose

$$0 = \sum_{i} \alpha_i(a_i w_i) = \sum_{i} (\alpha_i a_i) w_i$$

for some  $\alpha_1, \ldots, \alpha_n \in K$ . Since  $\{w_1, \ldots, w_n\}$  is a basis,  $\alpha_i a_i = 0$  for all i, or  $\alpha_i = 0$  for all i (since all  $a_i \neq 0$ ). Hence  $\{a_1 w_1, \ldots, a_n w_n\}$  is linearly independent.

(4) Also, for any  $w \in L$ , we can write

$$w = \underbrace{\beta_1}_{\in K} w_1 + \dots + \underbrace{\beta_n}_{\in K} w_n$$
$$= \underbrace{\frac{\beta_1}{a_1}}_{\in K} (a_1 w_1) + \dots + \underbrace{\frac{\beta_n}{a_n}}_{\in K} (a_n w_n)$$

as a linear combination of  $\{a_1w_1, \ldots, a_nw_n\}$  over K.

# Chapter 2: Affine Varieties

# 2.1. Coordinate Rings

### Problem 2.1.\*

Show that the map which associates to each  $f \in k[x_1, ..., x_n]$  a polynomial function in  $\mathcal{F}(V, k)$  is a ring homomorphism whose kernel is I(V).

Proof.

(1) Define a map  $\alpha: k[x_1, \dots, x_n] \to \mathscr{F}(V, k)$ . Every polynomial  $f \in k[x_1, \dots, x_n]$  defines a function from V to k by

$$\alpha(f)(a_1,\ldots,a_n)=f(a_1,\ldots,a_n)$$

for all  $(a_1, \ldots, a_n) \in V$ .

- (2)  $\alpha$  is a ring homomorphism by construction in (1).
- (3) Show that  $\ker(\alpha) = I(V)$ . In fact, given any  $f \in k[x_1, \dots, x_n]$ , we have  $\alpha(f) = 0$  (sending all  $a \in V$  to  $0 \in k$ ) if and only if f(a) = 0 for all  $a \in V$  if and only if  $f \in I(V)$ .
- (4) Hence,

$$k[x_1, \ldots, x_n]/I(V) = \Gamma(V) \cong \{\text{polynomial functions in } \mathscr{F}(V, k)\}$$

as a ring isomorphism.

#### Problem 2.2.\*

Let  $V \subseteq \mathbf{A}^n$  be a variety. A **subvariety** of V is a variety  $W \subseteq \mathbf{A}^n$  that is contained in V. Show that there is a natural one-to-one correspondence between algebraic subsets (resp. subvarieties, resp. points) of V and radical ideals (resp. prime ideals, resp. maximal ideals) of  $\Gamma(V)$ . (See Problems 1.22, 1.38.)

*Proof.* Repeat Problem 1.38 by replacing  $k[x_1,\ldots,x_n]/I$  by  $\Gamma(V)$ .  $\square$ 

#### Problem 2.3.\*

Let W be a subvariety of a variety V, and let  $I_V(W)$  be the ideal of  $\Gamma(V)$  corresponding to W.

- (a) Show that every polynomial function on V restricts to a polynomial function on W.
- (b) Show that the map from  $\Gamma(V)$  to  $\Gamma(W)$  defined in part (a) is a surjective homomorphism with kernel  $I_V(W)$ , so that  $\Gamma(W)$  is isomorphic to  $\Gamma(V)/I_V(W)$ .

Proof of (a).

- (1) Given any polynomial function  $f \in \mathscr{F}(V, k)$  on V. There is a polynomial  $g \in k[x_1, \ldots, x_n]$  such that f(P) = g(P) for all  $P \in V \supseteq W$ ; thus f(P) = g(P) for all  $P \in W$ , or  $f|_W$  is a polynomial function on W.
- (2) The map  $\alpha$ : {polynomial functions in  $\mathscr{F}(V,k)$ }  $\to$  {polynomial functions in  $\mathscr{F}(W,k)$ } in (1) is defined by

$$\alpha(f) = f|_{W}$$
.

It is a ring homomorphism.

Proof of (b).

(1) Identify  $\Gamma(V)$  (resp.  $\Gamma(W)$ ) with the set of all polynomial functions in  $\mathscr{F}(V,k)$  (resp. in  $\mathscr{F}(W,k)$ ) by Problem 2.1. The map

$$\alpha: \Gamma(V) \to \Gamma(W)$$

is defined by

$$\alpha(f + I(V)) = f + I(W).$$

It is well-defined by (a).

- (2) Show that  $\alpha$  is surjective. For any  $f+I(W) \in \Gamma(W)$ , take  $f+I(V) \in \Gamma(V)$  and then  $\alpha(f+I(V)) = f+I(W)$ . (The choice of f+I(V) depends on the representation of f+I(W) and thus might not be unique.)
- (3) Show that  $\ker(\alpha) = I_V(W)$ , and thus  $\Gamma(W) \cong \Gamma(V)/I_V(W)$ . Since  $\alpha$  is a surjective homomorphism,

$$\ker(\alpha) = \Gamma(V)/\Gamma(W)$$

$$= (k[x_1, \dots, x_n]/I(V))/(k[x_1, \dots, x_n]/I(W))$$

$$= I(W)/I(V)$$

$$= I_V(W).$$

#### Problem 2.4.\*

Let  $V \subseteq \mathbf{A}^n$  be a nonempty variety. Show that the following are equivalent:

- (i) V is a point.
- (ii)  $\Gamma(V) = k$ .
- (iii)  $\dim_k \Gamma(V) < \infty$ .

Proof.

(1) (i)  $\Longrightarrow$  (ii). By Corollary 2 to the Hilbert's Nullstellensatz in §1.7,  $V = \{(a_1, \ldots, a_n)\}$  corresponds to the maximal ideal

$$I(V) = (x_1 - a_1, \dots, x_n - a_n)$$

in  $k[x_1, \ldots, x_n]$ . Hence,

$$\Gamma(V) = k[x_1, \dots, x_n]/(x_1 - a_1, \dots, x_n - a_n) \cong k$$

(by Problem 1.24).

- (2) (ii)  $\Longrightarrow$  (iii).  $\dim_k(\Gamma(V)) = \dim_k(k) = 1 < \infty$ .
- (3) (iii)  $\Longrightarrow$  (i). By Corollary 4 to the Hilbert's Nullstellensatz in §1.7, V is a finite set of points in  $\mathbf{A}^n$ . Since V is a nonempty variety, V is exactly a point.

#### Problem 2.5.

Let f be an irreducible polynomial in k[x,y], and suppose f is monic in y:  $f = y^n + a_1(x)y^{n-1} + \cdots + a_n(x)$ , with n > 0. Let  $V = V(f) \subseteq \mathbf{A}^2$ . Show that the natural homomorphism from k[x] to  $\Gamma(V) = k[x,y]/(f)$  is one-to-one, so that k[x] may be regarded as a subring of  $\Gamma(V)$ ; show that the residues  $\overline{1}, \overline{y}, \ldots, \overline{y}^{n-1}$  generate  $\Gamma(V)$  over k[x] as a module.

Proof.

(1)  $\Gamma(V) = k[x,y]/(f)$  is well-defined since f is irreducible. Define a ring homomorphism  $\alpha: k[x] \to \Gamma(V) = k[x,y]/(f)$  by

$$\alpha: g(x) \mapsto g(x) + (f(x,y)).$$

(2) Show that  $\alpha$  is one-to-one. If there were a nonzero polynomial  $g \in k[x]$  such that  $\alpha(g) = 0$ , then g = fh for some nonzero polynomial  $h \in k[x, y]$ . Hence

$$0 = \deg_y(g) = \deg_y(f) + \deg_y(h) \ge n > 0,$$

which is absurd. Therefore,  $\alpha$  is one-to-one. Hence k[x] may be regarded as a subring of  $\Gamma(V)$ , and thus the multiplication in  $\Gamma(V)$  makes  $\Gamma(V)$  a k[x]-module.

(3) Given any  $g(x,y) + (f(x,y)) \in k[x,y]/(f)$  where  $g \in k[x,y] = (k[x])[y]$ . By the division-with-remainder property of (k[x])[y],

$$g = fq + r$$

for some  $q, r \in (k[x])[y]$  and

$$r = r_1(x)y^{n-1} + \dots + r_n(x)$$

where  $r_1, \ldots, r_n \in k[x]$ . Hence

$$g + (f) = fq + r + (f)$$

$$= r + (f)$$

$$= r_1(x)y^{n-1} + \dots + r_n(x) + (f)$$

$$= \underbrace{r_1(x)}_{\in k[x]} \overline{y}^{n-1} + \dots + \underbrace{r_n(x)}_{\in k[x]} \overline{1},$$

which means that the residues  $\overline{1}, \overline{y}, \dots, \overline{y}^{n-1}$  generate  $\Gamma(V)$  over k[x] as a module.

## 2.2. Polynomial Maps

#### Problem 2.6.\*

Let  $\varphi: V \to W$ ,  $\psi: W \to Z$ . Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . Show that the composition of polynomial maps is a polynomial map.

Proof.

(1) Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . It is equivalent to show that

$$(\widetilde{\psi \circ \varphi})(f) = (\widetilde{\varphi} \circ \widetilde{\psi})(f)$$

for all  $f \in \mathcal{F}(Z, k)$ . In fact,

$$(\widetilde{\psi \circ \varphi})(f) = f \circ \psi \circ \varphi,$$
  
$$(\widetilde{\varphi} \circ \widetilde{\psi})(f) = \widetilde{\varphi}(\widetilde{\psi}(f)) = \widetilde{\varphi}(f \circ \psi) = f \circ \psi \circ \varphi.$$

(2) Show that the composition of polynomial maps is a polynomial map. Say  $V \subseteq \mathbf{A}^n, W \subseteq \mathbf{A}^m, Z \subseteq \mathbf{A}^r$ . Since  $\varphi$  (resp.  $\psi$ ) is a polynomial map, there are polynomials  $t_1, \ldots, t_m \in k[x_1, \ldots, x_n]$  (resp.  $s_1, \ldots, s_r \in k[x_1, \ldots, x_m]$ ) such that

$$\varphi(P) = (t_1(P), \dots, t_m(P))$$
  
$$\psi(Q) = (s_1(Q), \dots, s_r(Q))$$

for all  $P \in V$  (resp.  $Q \in W$ ). Hence the composition  $\psi \circ \varphi$  is

$$(\psi \circ \varphi)(P) = \psi(\varphi(P))$$

$$= \psi(t_1(P), \dots, t_m(P))$$

$$= (s_1(t_1(P), \dots, t_m(P)), \dots, s_r(t_1(P), \dots, t_m(P))).$$

So there are polynomials  $y_1, \ldots, y_r \in k[x_1, \ldots, x_n]$  defined by

$$y_i(P) = s_i(t_1(P), \dots, t_m(P))$$

for all  $(a_1, \ldots, a_n) \in \mathbf{A}^n$  such that

$$(\psi \circ \varphi)(P) = (y_1(P), \dots, y_r(P)).$$

(Note that the composition of polynomials is a polynomials.) Hence  $\psi \circ \varphi$  is a polynomial map.

#### Problem 2.7.\*

If  $\varphi: V \to W$  is a polynomial map, and X is an algebraic subset of W, show that  $\varphi^{-1}(X)$  is an algebraic subset of V. If  $\varphi^{-1}(X)$  is irreducible, and X is contained in the image of  $\varphi$ , show that X is irreducible. This gives a useful test for irreducibility.

Proof.

(1) Show that  $\varphi^{-1}(X) = V(\widetilde{\varphi}(I(X)))$  is algebraic.

$$P \in \varphi^{-1}(X) \iff \varphi(P) \in X$$

$$\iff f(\varphi(P)) = 0 \ \forall f \in I(X)$$

$$\iff \widetilde{\varphi}(f)(P) = 0 \ \forall f \in I(X)$$

$$\iff g(P) = 0 \ \forall g \in \widetilde{\varphi}(I(X))$$

$$\iff P \in V(\widetilde{\varphi}(I(X))).$$

Also note that  $\widetilde{\varphi}(I(X))$  is an ideal in  $k[x_1, \ldots, x_n]$  since  $\varphi$  is a polynomial map.

- (2) If  $\varphi^{-1}(X)$  is irreducible, and X is contained in the image of  $\varphi$ , show that X is irreducible. (Reductio ad absurdum) Suppose that X were reducible or I(X) were not prime. So that there exist two polynomials  $f_1, f_2 \notin I(X)$  but  $f_1 f_2 \in I(X)$ . By definition of I(X), there exist two points  $P_1, P_2 \in X$  such that  $f_i(P_i) \neq 0$  for i = 1, 2.
- (3) Since X is contained in the image of  $\varphi$ , there are two corresponding points  $Q_1, Q_2 \in \varphi^{-1}(X)$  such that  $\varphi(Q_i) = P_i$ . So  $\widetilde{\varphi}(f_i)(Q_i) = f_i(P_i) \neq 0$ , or  $\widetilde{\varphi}(f_i) \notin I(\varphi^{-1}(X))$ . However

$$\widetilde{\varphi}(f_1)\widetilde{\varphi}(f_2) = \widetilde{\varphi}(f_1f_2) \in I(\varphi^{-1}(X))$$

since  $f_1 f_2 \in I(X)$ , contrary to the primality of  $I(\varphi^{-1}(X))$ .

#### Problem 2.8.

- (a) Show that  $\{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\}$  is an affine variety.
- (b) Show that  $V(xz-y^2,yz-x^3,z^2-x^2y)\subseteq \mathbf{A}^3(\mathbb{C})$  is a variety. (Hint:  $y^3-x^4, z^3-x^5, z^4-y^5\in I(V)$ . Find a polynomial map from  $\mathbf{A}^1(\mathbb{C})$  onto V.)

Proof of (a).

- (1) Let  $Y := \{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\}$  be the twisted cubic curve. By Problem 2.7, it suffices to show that there is a polynomial map from  $\mathbf{A}^1(k)$  onto Y. Here we use the fact that  $\mathbf{A}^1(k)$  is irreducible as  $k = \overline{k}$  is infinite (by Problem 1.29).
- (2) Define a mapping  $\varphi$  from  $\mathbf{A}^1(k)$  to Y by sending  $t \in k$  to  $(t, t^2, t^3) \in Y$ .  $\varphi$  is a polynomial map. Also,  $\varphi$  is surjective.

*Note.* Also see Problems 1.11 and 1.33 (for the case  $k = \mathbb{C}$ ).

Proof of (b).

- (1) We prove for any algebraically closed field k.
- (2) Write

$$V = V(xz - y^2, yz - x^3, z^2 - x^2y),$$
  

$$Y = \{(t^3, t^4, t^5) \in \mathbf{A}^3(k) : t \in k\}.$$

We want to show that Y=V.  $Y\subseteq V$  is trivial. Now given any  $(x,y,z)\in V$ . If x=0, then y=z=0. So  $(x,y,z)=(0,0,0)\in Y$ . If  $x\neq 0$ , define

$$t = \frac{y}{x} \in k.$$

Hence,

$$t^{3} = \frac{y^{3}}{x^{3}} = \frac{y(xz)}{x^{3}} = \frac{yz}{x^{2}} = \frac{x^{3}}{x^{2}} = x,$$
  

$$t^{4} = tx = y,$$
  

$$t^{5} = ty = \frac{y^{2}}{x} = \frac{xz}{x} = z.$$

(3) Same as (a). Define a mapping  $\varphi$  from  $\mathbf{A}^1(k)$  to Y=V by sending  $t\in k$  to  $(t^3,t^4,t^5)\in Y=V$ .

Note.

- (1) We don't use the hint.
- (2) I(V) is a prime ideal of height 2 in k[x, y, z] which cannot be generated by 2 elements. We say V is **not a local complete intersection**.

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1)

## Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1)

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1)

# 2.3. Coordinate Changes

- 2.4. Rational Functions and Local Rings
- 2.5. Discrete Valuation Rings
- **2.6.** Forms
- 2.7. Direct Products of Rings
- 2.8. Operations with Ideals

#### Problem 2.39.\*

Prove the following relations among ideals  $I_i$ , J in a ring R:

- (a)  $(I_1 + I_2)J = I_1J + I_2J$ .
- (b)  $(I_1 \cdots I_N)^n = I_1^n \cdots I_N^n$ .

Proof of (a).

- (1) Note that  $(I_1 + I_2)J$  and  $I_1J + I_2J$  are ideals.
- (2) Show that  $(I_1 + I_2)J \subseteq I_1J + I_2J$ . Given any

$$(x_1 + x_2)y \in (I_1 + I_2)J$$

where  $x_i \in I_i$  and  $y \in J$ . It suffices to show that  $(x_1 + x_2)y \in I_1J + I_2J$  (by (1)). In fact,

$$(x_1 + x_2)y = x_1y + x_2y \in I_1J + I_2J.$$

(3) Show that  $(I_1 + I_2)J \supseteq I_1J + I_2J$ . Given any

$$x_1y_1 + x_2y_2 \in I_1J + I_2J$$

where  $x_i \in I_i$  and  $y_i \in J$ . It suffices to show that  $x_1y_1 + x_2y_2 \in (I_1 + I_2)J$  (by (1)). In fact,

$$x_1y_1 + x_2y_2 = (x_1 + \underbrace{0}_{\in I_2})y_1 + (\underbrace{0}_{\in I_1} + x_2)y_2 \in (I_1 + I_2)J$$

since  $(I_1 + I_2)J$  is an ideal.

Proof of (b).

- (1) Note that  $(I_1 \cdots I_N)^n$  and  $I_1^n \cdots I_N^n$  are ideals.
- (2) Show that  $(I_1 \cdots I_N)^n \subseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_n$$

where  $x_i \in I_1 \cdots I_N$ . It suffices to show that  $x \in I_1^n \cdots I_N^n$  (by (1)). For each  $x_i \in I_1 \cdots I_N$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),N}$$

where  $x_{j(i),k} \in I_k$  for  $1 \le k \le N$ . Hence

$$\begin{split} x &= x_1 \cdots x_n \\ &= \left( \sum_{j(1)} x_{j(1),1} \cdots x_{j(1),N} \right) \cdots \left( \sum_{j(n)} x_{j(n),1} \cdots x_{j(n),N} \right) \\ &= \sum_{j(1),\dots,j(n)} (x_{j(1),1} \cdots x_{j(1),N}) \cdots (x_{j(n),1} \cdots x_{j(n),N}) \\ &= \sum_{j(1),\dots,j(n)} (\underbrace{x_{j(1),1} \cdots x_{j(n),1}}_{\in I_1^n}) \cdots \underbrace{(x_{j(1),N} \cdots x_{j(n),N})}_{\in I_N^n} \\ &\in I_1^n \cdots I_N^n. \end{split}$$

(3) Show that  $(I_1 \cdots I_N)^n \supseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_N \in I_1^n \cdots I_N^n$$

where  $x_i \in I_i^n$   $(1 \le i \le N)$ . It suffices to show that  $x \in (I_1 \cdots I_N)^n$  (by (1)). For each  $x_i \in I_i^n$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),n}$$

where  $x_{j(i),k} \in I_i$  for  $1 \le k \le n$ . Hence

$$x = x_1 \cdots x_N$$

$$= \left(\sum_{j(1)} x_{j(1),1} \cdots x_{j(1),n}\right) \cdots \left(\sum_{j(N)} x_{j(N),1} \cdots x_{j(N),n}\right)$$

$$= \sum_{j(1),\dots,j(N)} (x_{j(1),1} \cdots x_{j(1),n}) \cdots (x_{j(N),1} \cdots x_{j(N),n})$$

$$= \sum_{j(1),\dots,j(N)} \underbrace{(x_{j(1),1} \cdots x_{j(N),1})}_{\in I_1 \cdots I_N} \cdots \underbrace{(x_{j(1),n} \cdots x_{j(N),n})}_{\in I_1 \cdots I_N}$$

$$\in (I_1 \cdots I_N)^n.$$

#### Problem 2.41.\*

Let I, J be ideals in R. Suppose I is finitely generated and  $I \subseteq rad(J)$ . Show that  $I^n \subseteq J$  for some n.

Proof.

- (1) Let I be generated by  $x_1, \ldots, x_m \in I$ . As  $I \subseteq \operatorname{rad}(J)$ , there are integers  $n_i > 0$  such that  $x_i^{n_i} \in J$ .
- (2) Let  $N = n_1 + \cdots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in I$ , so

$$x^{N} = \left(\sum_{i=1}^{m} r_{i} x_{i}\right)^{N}$$

$$= \sum_{k_{1} + \dots + k_{m} = N} {N \choose k_{1}, \dots, k_{m}} r_{1}^{k_{1}} x_{1}^{k_{1}} \cdots r_{m}^{k_{m}} x_{m}^{k_{m}}.$$

(3) Note that for each term there is some j such that  $k_j \geq n_j$ . Hence,

$$\begin{split} x_j^{k_j} &= x_j^{k_j-n_j} x_j^{n_j} \in J & (J \text{ is an ideal}) \\ \Longrightarrow r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m} \in J \text{ for each term} & (J \text{ is an ideal}) \\ \Longrightarrow x^N \in J. & (J \text{ is an ideal}) \\ \Longrightarrow I^N \subset J. \end{split}$$

**Supplement.** (Exercise 1.13 in the textbook: Eisenbud, Commutative Algebra with a View Toward Algebraic Geometry.) Suppose that I is an ideal in a commutative ring. Show that if  $\operatorname{rad}(I)$  is finitely generated, then for some integer N we have  $(\operatorname{rad}(I))^N \subseteq I$ . Conclude that in a Noetherian ring the ideals I and J have the same radical iff there is some integer N such that  $I^N \subseteq J$  and  $J^N \subseteq I$ . Use the Nullstellensatz to deduce that if  $I, J \subseteq S = k[x_1, \ldots, x_n]$  are ideals and k is algebraically closed, then Z(I) = Z(J) iff  $I^N \subseteq J$  and  $J^N \subseteq I$  for some N.

#### Proof.

- (1) Show that if  $\operatorname{rad}(I)$  is finitely generated, then for some integer N we have  $(\operatorname{rad}(I))^N \subseteq I$ . Say  $x_1, \ldots, x_m \in \operatorname{rad}(I)$  generate  $\operatorname{rad}(I)$ .
  - (a) For each i, there exists an integer  $n_i > 0$  such that  $x_i^{n_i} \in I$  (since rad(I) is radical).
  - (b) Let  $N = n_1 + \cdots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in rad(I)$ , so

$$x^{N} = \left(\sum_{i=1}^{m} r_{i} x_{i}\right)^{N}$$

$$= \sum_{k_{1} + \dots + k_{m} = N} {N \choose k_{1}, \dots, k_{m}} r_{1}^{k_{1}} x_{1}^{k_{1}} \cdots r_{m}^{k_{m}} x_{m}^{k_{m}}.$$

(c) Note that for each term there is some j such that  $k_j \geq n_j$ . Hence,

$$\begin{split} x_j^{k_j} &= x_j^{k_j - n_j} x_j^{n_j} \in I & (I \text{ is an ideal}) \\ \Longrightarrow r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m} \in I \text{ for each term} & (I \text{ is an ideal}) \\ \Longrightarrow x^N \in I. & (I \text{ is an ideal}) \\ \Longrightarrow (\text{rad}(I))^N \subseteq I. \end{split}$$

- (2) Show that in a Noetherian ring the ideals I and J have the same radical iff there is some integer N such that  $I^N \subseteq J$  and  $J^N \subseteq I$ .
  - (a)  $(\Longrightarrow)$  Since in a Noetherian ring every ideal is finitely generated,  $\mathrm{rad}(I)$  and  $\mathrm{rad}(J)$  are finitely generated. By (1), there is a common integer N such that

$$(\operatorname{rad}(I))^N \subseteq I$$
 and  $(\operatorname{rad}(J))^N \subseteq J$ .

Note that  $I^N \subseteq (\operatorname{rad}(I))^N$  and  $J^N \subseteq (\operatorname{rad}(J))^N$ . Since  $\operatorname{rad}(I) = \operatorname{rad}(J)$  by assumption,

$$I^N \subseteq (\operatorname{rad}(I))^N = (\operatorname{rad}(J))^N \subseteq J,$$
  
 $J^N \subseteq (\operatorname{rad}(J))^N = (\operatorname{rad}(I))^N \subseteq I.$ 

- (b)  $(\Leftarrow)$  It suffices to show that  $\operatorname{rad}(I) \subseteq \operatorname{rad}(J)$ .  $\operatorname{rad}(J) \subseteq \operatorname{rad}(I)$  is similar. Given any  $x \in \operatorname{rad}(I)$ , there is an integer M > 0 such that  $x^M \in I$ . Hence  $x^{MN} \in I^N \subseteq J$ , or  $x \in \operatorname{rad}(J)$ .
- (3) Show that if  $I,J\subseteq S=k[x_1,\ldots,x_n]$  are ideals and k is algebraically closed, then Z(I)=Z(J) iff  $I^N\subseteq J$  and  $J^N\subseteq I$  for some N. Note that S is Noetherian and we can apply part (2). By the Nullstellensatz, Z(I)=Z(J) iff  $\mathrm{rad}(I)=\mathrm{rad}(J)$  iff  $I^N\subseteq J$  and  $J^N\subseteq I$  for some N.

### 2.9. Ideals with a Finite Number of Zeros

## 2.10. Quotient Modules and Exact Sequences

#### Problem 2.51.

Let

$$0 \longrightarrow V_1 \longrightarrow \cdots \longrightarrow V_n \longrightarrow 0$$

be an exact sequence of finite-dimensional vector spaces. Show that  $\sum (-1)^i \dim(V_i) = 0$ .

Proof (Proposition 7 in §2.10).

(1) For  $i=0,\ldots,n$ , by the rank-nullity theorem for a linear transformation  $\varphi_i:V_i\to V_{i+1}$ , we have

$$\dim V_i = \dim \operatorname{im}(\varphi_i) + \dim \ker(\varphi_i).$$

(Here  $V_0 = V_{n+1} := 0$  by convention.)

- (2) By the exactness of the sequence, we have
  - (a)  $\operatorname{im}(\varphi_i) = \ker(\varphi_{i+1})$  for  $i = 0, \dots, n-1$ . In particular,  $\ker(\varphi_1) = \operatorname{im}(\varphi_0) = 0$ .
  - (b)  $\ker(\varphi_n) = V_n$ .

Hence,

$$\sum_{i=1}^{n-1} (-1)^i \dim(V_i) = \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{im}(\varphi_i) + \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_i)$$

$$= \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_{i+1}) + \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_i)$$

$$= (-1)^{n-1} \dim \ker(\varphi_n) + (-1)^1 \dim \ker(\varphi_1)$$

$$= (-1)^n \dim V_n,$$

or 
$$\sum (-1)^i \dim(V_i) = 0$$
.

# 2.11. Free Modules

# Chapter 3: Local Properties of Plane Curves

# 3.1. Multiple Points and Tangent Lines

# Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 3.2. Multiplicities and Local Rings
- 3.3. Intersection Numbers

# Chapter 4: Projective Varieties

# 4.1. Projective Space

# Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 4.2. Projective Algebraic Sets
- 4.3. Affine and Projective Varieties
- 4.4. Multiprojective Space

# Chapter 5: Projective Plane Curves

# 5.1. Definitions

# Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 5.2. Linear Systems of Curves
- 5.3. Bézout's Theorem
- 5.4. Multiple Points
- 5.5. Max Noether's Fundamental Theorem
- 5.6. Applications of Noether's Theorem

# Chapter 6: Varieties, Morphisms, and Rational Maps

- 6.1. The Zariski Topology
- 6.2. Varieties
- 6.3. Morphisms of Varieties
- 6.4. Products and Graphs
- 6.5. Algebraic Function Fields and Dimension of Varieties
- 6.6. Rational Maps

# Chapter 7: Resolution of Singularities

# 7.1. Rational Maps of Curves

## Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 7.2. Blowing up a Point in  $A^2$
- 7.3. Blowing up a Point in  $P^2$
- 7.4. Quadratic Transformations
- 7.5. Nonsingular Models of Curves

# Chapter 8: Riemann-Roch Theorem

# 8.1. Divisors

## Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 8.2. The Vector Spaces L(D)
- 8.3. Riemann's Theorem
- 8.4. Derivations and Differentials
- 8.5. Canonical Divisors
- 8.6. Riemann-Roch Theorem