Chapter 2: Basic Topology

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Exercise 2.1. Prove that the empty set is a subset of every set.

Proof. By Definitions 1.3,

- (1) The set which contains no element will be called the **empty set**,
- (2) If A and B are sets, and if every element of A is an element of B, we say that A is a **subset** of B,

every element of the empty set (there are none) belongs to every set. That is, the empty set is a subset of every set. \Box

Exercise 2.2. A complex number z is said to be algebraic if there are integers $a_0, ..., a_n$, not all zero, such that

$$a_0 z^n + a_1 z^{n-1} + \dots + a_{n-1} z + a_n = 0.$$

Prove that the set of all algebraic numbers is countable. (Hint: For every positive integer N there are only finitely many equations with

$$n + |a_0| + |a_1| + \dots + |a_n| = N.$$

Might assume $a_0 \neq 0$.

For example, all rational numbers are algebraic since $p = \frac{\alpha}{\beta}$ (where $\alpha, \beta \in \mathbb{Z}$) is a root of $\beta z - \alpha = 0$.

Besides, $z = \sqrt{2} + \sqrt{3}$ is algebraic since $z^4 - 10z^2 + 1 = 0$. In fact, $z = \pm \sqrt{2} \pm \sqrt{3}$ are also algebraic since $z^4 - 10z^2 + 1 = (z - \sqrt{2} - \sqrt{3})(z + \sqrt{2} - \sqrt{3})(z - \sqrt{2} + \sqrt{3})(z + \sqrt{2} + \sqrt{3})$.

Lemma. The set of all polynomials over \mathbb{Z} is countable implies that the set of algebraic numbers is countable.

Proof of Lemma. By definition, we write the set of algebraic numbers as

$$S = \bigcup_{f(x) \in \mathbb{Z}[x]} \{ z \in \mathbb{C} : f(z) = 0 \}.$$

Since each polynomial of degree n has at most n roots, $\{z \in \mathbb{C} : f(z) = 0\}$ is finite for each given $f(x) \in \mathbb{Z}[x]$. So S is a countable union (by assumption) of finite sets, and hence at most countable. S is infinite since every integer α is a root of $f(z) = z - \alpha$. So S is countable. \square

Thus, it suffices to show that the set of all polynomials over \mathbb{Z} is countable.

Proof (Hint). For every positive integer N there are only finitely many equations with $n + |a_0| + |a_1| + \cdots + |a_n| = N$. Write

$$P_N = \{ f(x) \in \mathbb{Z}[x] : n + |a_0| + |a_1| + \dots + |a_n| = N \}$$

where $f(x) = a_0 z^n + a_1 z^{n-1} + \dots + a_{n-1} z + a_n$ with $a_0 \neq 0$, and

$$P = \bigcup_{N=1}^{\infty} P_N.$$

P is the set of all polynomials over \mathbb{Z} .

Each P_N is finite for given N (since the equation $n+|a_0|+|a_1|+\cdots+|a_n|=N$ has finitely many solutions $(n,a_0,a_1,...,a_n)\in\mathbb{Z}^{n+2}$). So P is a countable union of finite sets, and hence at most countable. P is infinite since \mathbb{Z} is a subring of $\mathbb{Z}[x]$. So P is countable. \square

Proof (Theorem 2.13).

- (1) \mathbb{Z}^N is countable for any integer N > 0. Theorem 2.13.
- (2) The set of all polynomials over \mathbb{Z} is countable. Let

$$P_n = \{ f \in \mathbb{Z}[x] : \deg f = n \},\$$

and

$$P = \bigcup_{n=1}^{\infty} P_n = \mathbb{Z}[x].$$

Claim: P_n is countable. Define a 1-1 map $\varphi_n: P_n \to \mathbb{Z}^{n+1}$ by

$$\varphi_n(a_0z^n + a_1z^{n-1} + \dots + a_n) = (a_0, a_1, \dots, a_{n-1}, a_n).$$

By (1) and Theorem 2.8, P_n is countable. (P_n is infinite since $a_n \in \mathbb{Z}$.) Now P is a countable union of countable sets, and hence countable by Theorem 2.12.

Proof (Unique factorization theorem).

- (1) The set of prime numbers is countable. Write all primes in the ascending order as $p_1, p_2, ..., p_n, ...$ where $p_1 = 2, p_2 = 3, ..., p_{10001} = 104743, ...$ (See ProjectEuler 7: 10001st prime. Use sieve of Eratosthenes to get p_{10001} .)
- (2) The set of all polynomials over \mathbb{Z} is countable. Let

$$P_n = \{ f \in \mathbb{Z}[x] : \deg f = n \},\$$

and

$$P = \bigcup_{n=1}^{\infty} P_n = \mathbb{Z}[x].$$

Claim: P_n is countable. Define a map $\varphi_n: P_n \to \mathbb{Z}^+$ by

$$\varphi_n(a_0z^n + a_1z^{n-1} + \dots + a_n) = p_1^{\psi(a_0)}p_2^{\psi(a_1)} \cdots p_{n+1}^{\psi(a_n)},$$

where ψ is a 1-1 correspondence from \mathbb{Z} to \mathbb{Z}^+ (Example 2.5). By the unique factorization theorem, φ_n is 1-1. So P_n is countable by Theorem 2.8. (P_n is infinite since $a_n \in \mathbb{Z}$.) Now P is a countable union of countable sets, and hence countable by Theorem 2.12.

Exercise 2.3. Prove that there exist real numbers which are not algebraic.

Proof (Exercise 2.2). If all real numbers were algebraic, then \mathbb{R} is countable by Exercise 2.2, contrary to the fact that \mathbb{R} is uncountable (Corollary to Theorem 2.43). \square

Proof (Liouville, 1844).

(1) **Lemma.** If ξ is a real algebraic number of degree n > 1, then there is a constant A > 0 (depending on ξ) such that

$$\left|\xi - \frac{h}{k}\right| \ge \frac{A}{k^n}$$

for all rational numbers $\frac{h}{k}$.

- (a) If $|\xi \frac{h}{k}| \ge 1$, pick A = 1 > 0.
- (b) If $\left|\xi \frac{h}{k}\right| < 1$, let $f(x) = a_0 + a_1 x + \dots + a_n x^n$ be an irreducible polynomial of degree n > 1 over \mathbb{Z} such that $f(\xi) = 0$. By the mean value theorem,

$$f(\xi) - f\left(\frac{h}{k}\right) = \left(\xi - \frac{h}{k}\right)f'(c)$$

for some $c \in \left(\xi - \frac{h}{k}, \xi + \frac{h}{k}\right) \subseteq (\xi - 1, \xi + 1)$. Notice that

- (i) $f(\xi) = 0$ by definition.
- (ii) $f\left(\frac{h}{k}\right) \neq 0$ since $\frac{h}{k}$ cannot be a root of f(x). Otherwise f is of degree 1, contrary to the assumption of f.
- (iii) $|f(\frac{h}{k})| \ge \frac{1}{k^n}$ since

$$f\left(\frac{h}{k}\right) = a_0 + a_1 \left(\frac{h}{k}\right) + \dots + a_n \left(\frac{h}{k}\right)^n \neq 0,$$

$$k^n f\left(\frac{h}{k}\right) = a_0 k^n + h k^{n-1} a_1 + \dots + h^n a_n \neq 0,$$

$$k^n \left| f\left(\frac{h}{k}\right) \right| \geq 1.$$

(iv) $|f'(c)| \leq \sup_{x \in [\xi-1, \xi+1]} |f'(x)|$ since $c \in [\xi-1, \xi+1]$ and f'(x) is continuous or bounded on a compact set $[\xi-1, \xi+1]$.

By (i)-(iv),

$$\left| f(\xi) - f\left(\frac{h}{k}\right) \right| = \left| \left(\xi - \frac{h}{k}\right) f'(c) \right|,$$

$$\frac{1}{k^n} \le \left| f\left(\frac{h}{k}\right) \right| = \left| \xi - \frac{h}{k} \right| |f'(c)| \le \left| \xi - \frac{h}{k} \right| \cdot \sup_{x \in [\xi - 1, \xi + 1]} |f'(x)|.$$

Pick $A = (1 + \sup_{x \in [\xi - 1, \xi + 1]} |f'(x)|)^{-1} > 0.$

By (a)(b), we arrange $A=\min(1,(1+\sup_{x\in[\xi-1,\xi+1]}|f'(x)|)^{-1})>0$ to fit the inequality.

- (2) $\xi = \sum_{n=0}^{\infty} 10^{-n!}$ is transcendental.
 - (a) Let $k_j = 10^{j!}$, $h_j = 10^{j!} \sum_{n=0}^{j} 10^{-n!}$. Then

$$\left| \xi - \frac{h_j}{k_j} \right| = \sum_{n=j+1}^{\infty} 10^{-n!} < \sum_{n=(j+1)!}^{\infty} 10^{-n} = \frac{A_j}{k_j^j}$$

where $A_j = \frac{10}{9} \cdot 10^{-j!}$.

(b) If ξ were a real algebraic number of degree d>1, then by Lemma and (a),

$$\left| \frac{A}{k_j^d} < \left| \xi - \frac{h_j}{k_j} \right| < \frac{A_j}{k_j^d} < \frac{A_j}{k_j^d}$$

for some A > 0 and $j \ge d$, or $0 < A < A_j$. Since j is arbitrary, $A_j \to 0$ as $j \to \infty$, contrary to A > 0.

(c) If ξ were a real algebraic number of degree $d=1,\,\xi=\frac{h}{k}$ is a rational number. So

$$\left|\xi - \frac{h_j}{k_j}\right| = \left|\frac{h}{k} - \frac{h_j}{k_j}\right| = \left|\frac{hk_j - kh_j}{kk_j}\right| \ge \left|\frac{1}{kk_j}\right| = \frac{|k|^{-1}}{k_j}$$

for all j. (It is impossible that $hk_j - kh_j = 0$ or $\frac{h}{k} = \frac{h_i}{k_j}$ since $\left|\frac{h}{k} - \frac{h_j}{k_j}\right| = \sum_{n=j+1}^{\infty} 10^{-n!} > 0$ for all j.) Again by (a),

$$\frac{|k|^{-1}}{k_j} \le \left| \xi - \frac{h_j}{k_j} \right| < \frac{A_j}{k_j^j} < \frac{A_j}{k_j},$$

or $0<|k|^{-1}< A_j$. (Similar to (b).) Since j is arbitrary, $A_j\to 0$ as $j\to \infty$, contrary to $|k|^{-1}>0$.

Exercise 2.4. Is the set of all irrational real numbers countable?

Proof (Reductio ad absurdum). If $\mathbb{R} - \mathbb{Q}$ were countable, then $\mathbb{R} = \mathbb{Q} \bigcup (\mathbb{R} - \mathbb{Q})$ is countable (Theorem 2.12), contrary to the fact that \mathbb{R} is uncountable (Corollary to Theorem 2.43). \square

Exercise 2.5. Construct a bounded set of real numbers with exactly three limit points.

Proof (Exercise 2.12). Let

$$K_p = \{p\} \bigcup \left\{ p + \frac{1}{n} : n \in \mathbb{Z}^+ \right\} \subseteq \mathbb{R}^1$$

be a compact set of \mathbb{R}^1 with exactly one limit point $p \in \mathbb{R}^1$ (Exercise 2.12). Then

$$K_{1989} \cup K_6 \cup K_4$$

is a compact set of \mathbb{R}^1 with exactly three limit points 1989, 6, $4 \in \mathbb{R}^1$. \square

Exercise 2.6. Let E' be the set of all limit points of a set E. Prove that E' is closed. Prove that E and \overline{E} have the same limit points. (Recall that $\overline{E} = E \cup E'$.) Do E and E' always have the same limit points?

Proof.

- (1) Show that E' is closed.
 - (a) Use Definition 2.18 (d).
 - (i) It suffices to show every limit point of E' is a limit point of E. Given a limit point p of E', so that every open neighborhood U of p contains a point $q_0 \neq p$ such that $q_0 \in E'$.

(ii) Since q_0 is a limit point of E, there is an open neighborhood V of q_0 contains a point $q \neq q_0$ such that $q \in E$, where

$$V = U \cap B\left(q_0; \frac{1}{2}d_E(p, q_0)\right) \subseteq U$$

(B(x;r)) is the open ball with center at x and radius r).

- (iii) By the construction of V, for such open neighborhood U of p, there is $q \neq p$ and $q \in V \subseteq U$ and $q \in E$. That is, p is a limit point of E.
- (b) Use Definition 2.18 (e).
 - (i) To show E' is closed or X E' is open, it suffices to show every point of X E' is an interior point of X E'.
 - (ii) Given a point $p \in X E'$, or p is not a limit point of E. There is an open neighborhood U of p contains no point $q \neq p$ such that $q \in E$.
 - (iii) To show U is an open neighborhood of p such that $U \subseteq X E'$, it suffices to no point $q \neq p$ such that $q \in E'$. If there were a limit point q of E such that $q \neq p$ and $q \in U$, then

$$V = U \cap B\left(q; \frac{1}{2}d_E(p,q)\right) \subseteq U$$

is an open neighborhood of q contains no point of E, contrary to the assumption $q \in E'$. So $U \subseteq X - E'$ is an open neighborhood of $p \in X - E'$.

- (2) Show that $E' = \overline{E}'$. It suffices to show $E' \supseteq \overline{E}'$. $(E' \subseteq \overline{E}' \text{ holds trivially since } E \subseteq \overline{E})$. Given a limit point p of $\overline{E} = E \cup E'$.
 - (a) p is a limit point of E. Nothing to do.
 - (b) p is a limit point of E'. Since p is a limit point of E' and E' is a closed set, $p \in E'$, or p is a limit point of E.

In any case, $E' \supset \overline{E}'$.

(3) E and E' might not have the same limit points. Let

$$E = \left\{ \frac{1}{n} : n \in \mathbb{Z}^+ \right\} \subseteq \mathbb{R}^1.$$

Then $E' = \{0\}$ and thus $(E')' = \emptyset$.

Exercise 2.7. Let $A_1, A_2, A_3, ...$ be subsets of a metric space.

(a) If
$$B_n = \bigcup_{i=1}^n A_i$$
, prove that $\overline{B_n} = \bigcup_{i=1}^n \overline{A_i}$, for $n = 1, 2, 3, ...$

(b) If
$$B = \bigcup_{i=1}^{\infty} A_i$$
, prove that $\overline{B} \supseteq \bigcup_{i=1}^{\infty} \overline{A_i}$.

Show, by an example, that this inclusion can be proper.

Proof of (a).

(1) Show that $\overline{B_n} \subseteq \bigcup_{i=1}^n \overline{A_i}$. Since $A_i \subseteq \overline{A_i}$ for any i, we have

$$B_n = \bigcup_{i=1}^n A_i \subseteq \bigcup_{i=1}^n \overline{A_i}.$$

Since $\bigcup_{i=1}^n \overline{A_i}$ is a union of finitely many closed set $\overline{A_i}$, $\bigcup_{i=1}^n \overline{A_i}$ is closed (Theorem 2.24(d)). By Theorem 2.27(c), $\overline{B_n} \subseteq \bigcup_{i=1}^n \overline{A_i}$.

(2) Show that $\overline{B_n} \supseteq \bigcup_{i=1}^n \overline{A_i}$. Same argument in the proof of (b).

Proof of (b). Since $\bigcup_{j=1}^{\infty} A_j \supseteq A_i$ for any i, by the monotonicity of closure, we have $\overline{\bigcup_{j=1}^{\infty} A_j} \supseteq \overline{A_i}$ for any i, or $\overline{B} \supseteq \bigcup_{i=1}^{\infty} \overline{A_i}$. \square

Proof of proper inclusion in (b). Let

$$A_n = \left(\frac{1}{n}, \infty\right) \subseteq \mathbb{R}^1$$

for any $n \in \mathbb{Z}^+$. Then

$$\bigcup_{n=1}^{\infty} A_n = (0, \infty) \Longrightarrow \overline{\bigcup_{n=1}^{\infty} A_n} = \overline{(0, \infty)} = [0, \infty),$$

$$\overline{A_n} = \left[\frac{1}{n}, \infty\right) \Longrightarrow \bigcup_{n=1}^{\infty} \overline{A_n} = \bigcup_{n=1}^{\infty} \left[\frac{1}{n}, \infty\right) = (0, \infty).$$

Exercise 2.8. Is every point of every open set $E \subseteq \mathbb{R}^2$ a limit point of E? Answer the same question for closed sets in \mathbb{R}^2 .

It is not true for all metric spaces X. The (discrete) metric in Exercise 2.10 implies no limit point exists in X.

Proof.

(1) Show that for every open set $E \subseteq \mathbb{R}^k$, $E \subseteq E'$. Given any point $\mathbf{p} \in E$, we shall show \mathbf{p} is a limit point of E.

- (a) Since E is open, there is an open neighborhood $B(\mathbf{p}; r_0) \subseteq E$ for some $r_0 > 0$.
- (b) In particular, given any $s \in \mathbb{R}$ such that $0 < s < r_0$, we can find

$$\mathbf{q} \in B(\mathbf{p}; s) \subseteq B(\mathbf{p}; r_0) \subseteq E$$

such that $\mathbf{q} \neq \mathbf{p}$. Explicitly, write

$$\mathbf{p} = (p_1, \dots, p_k)$$

and choose

$$\mathbf{q} = \left(p_1 + \frac{s}{89}, p_2, \dots, p_k\right) \neq \mathbf{p}$$

(since s > 0). Clearly, \mathbf{q} is well-defined in \mathbb{R}^k and $|\mathbf{q} - \mathbf{p}| = \frac{s}{89} < s$ or $\mathbf{q} \in B(\mathbf{p}; s)$.

(c) Now given every open neighborhood $B(\mathbf{p}, r)$ of \mathbf{p} . We can choose $s \in \mathbb{R}$ such that $0 < s < \min\{r_0, r\} \le r_0$. (might pick $s = \frac{1}{64} \min\{r_0, r\}$.) By (b), there exists $\mathbf{q} \ne \mathbf{p}$ such that

$$\mathbf{q} \in B(\mathbf{p}; s) \subseteq B(\mathbf{p}; r) \subseteq E.$$

(2) Give an example of a closed set $E \subseteq \mathbb{R}^k$ such that $E \not\subseteq E'$. Pick $E = \{\mathbf{0}\}$. So $E' = \emptyset$ and thus $E \not\subseteq E'$.

Exercise 2.9. Let E° denote the set of all interior points of a set E. [See Definition 2.18(e); E° is called the interior of E.]

- (a) Prove that E° is always open.
- (b) Prove that E is open if and only if $E^{\circ} = E$.
- (c) If G is contained in E and G is open, prove that G is contained in E° .
- (d) Prove that the complement of E° is the closure of the complement of E.
- (e) Do E and \overline{E} always have the same interiors?
- (f) Do E and E° always have the same closures?

Similar to Theorem 2.27.

Proof of (a). It is equivalent to show that $E^{\circ} \subseteq (E^{\circ})^{\circ}$.

(1) Given any point $x \in E^{\circ}$, there is r > 0 such that $B(x; r) \subseteq E$.

- (2) It suffices to show that $B\left(x;\frac{2}{r}\right)\subseteq E^{\circ}$. Given any point $y\in B\left(x;\frac{2}{r}\right)$, we will show that there is an open neighborhood $B\left(y;\frac{2}{r}\right)$ of y such that $B\left(y;\frac{2}{r}\right)\subseteq E$.
- (3) Given any point $z \in B\left(y; \frac{2}{r}\right)$, we have

$$d(z,x) \le d(z,y) + d(y,x) < \frac{2}{r} + \frac{2}{r} = r,$$

or $z \in B(x;r) \subseteq E$. Therefore, $B\left(y;\frac{2}{r}\right) \subseteq E$, or $y \in E^{\circ}$, or $B\left(x;\frac{2}{r}\right) \subseteq E^{\circ}$, or $x \in (E^{\circ})^{\circ}$, or $E^{\circ} \subseteq (E^{\circ})^{\circ}$.

Proof of (b).

- (1) (\Longrightarrow)(Definition 2.18) Since E is open, every point of E is an interior point of E. Hence $E \subseteq E^{\circ}$. Note that $E^{\circ} \subseteq E$ is trivial, and thus $E^{\circ} = E$.
- (2) $(\Leftarrow)((a))$ By (a), $E = E^{\circ}$ is always open.
- (3) (\Leftarrow)(Definition 2.18) Every point of E is an interior point of E since $E = E^{\circ}$. Hence E is open by Definition 2.18(f).

Proof of (c). $G \subseteq E$ implies $G^{\circ} \subseteq E^{\circ}$. $G = G^{\circ}$ since G is open ((b)). Hence $G = G^{\circ} \subseteq E^{\circ}$, that is, E° is the largest open set contained in E. (Similarly, \overline{E} is the smallest closed set containing E.) \square

Proof of (d). Show that $X - E^{\circ} = \overline{X - E}$ and $(X - E)^{\circ} = X - \overline{E}$.

(1) (Theorem 2.27 and (c))

$$X - E^{\circ} = X - \bigcup_{\text{Open } V \subseteq E} V$$

$$= \bigcap_{\text{Open } V \subseteq E} (X - V)$$

$$= \bigcap_{\text{Closed } W \supseteq X - E} W$$

$$= \overline{X - E}.$$

$$X - \overline{E} = X - \bigcap_{\text{Closed } W \supseteq E} W$$

$$= \bigcup_{\text{Closed } W \supseteq E} (X - W)$$

$$= \bigcup_{\text{Open } V \subseteq X - E} V$$

$$= (X - E)^{\circ}.$$

(2) (Brute-force)

$$x \in E^{\circ} \iff \exists r > 0 \text{ such that } B(x;r) \subseteq E$$

$$\iff \exists r > 0 \text{ such that } B(x;r) \cap (X-E) = \varnothing$$

$$\iff x \notin \overline{X-E}$$

$$\iff x \in X - \overline{X-E}.$$

$$x \in (X-E)^{\circ} \iff \exists r > 0 \text{ such that } B(x;r) \subseteq (X-E)$$

$$\iff \exists r > 0 \text{ such that } B(x;r) \cap E = \varnothing$$

$$\iff x \notin \overline{E}$$

$$\iff x \in X - \overline{E}.$$

Note that $X-E^\circ=\overline{X-E}$ is equivalent to $(X-E)^\circ=X-\overline{E}$ by mapping $E\mapsto X-E$. \square

Proof of (e). No.

- (1) Let $X = \mathbb{R}^1$ equipped with the Euclidean metric, and $E = \mathbb{Q} \subseteq X$.
- (2) $E^{\circ} = \emptyset$ since $\widetilde{\mathbb{Q}}$ is dense in \mathbb{R} .
- (3) $(\overline{E})^{\circ} = (\mathbb{R}^1)^{\circ} = \mathbb{R}^1$ since \mathbb{Q} is dense in \mathbb{R} and \mathbb{R}^1 is open.

Proof of (f). No.

- (1) Let $X = \mathbb{R}^1$ equipped with the Euclidean metric, and $E = \mathbb{Q} \subseteq X$.
- (2) $\overline{E} = \mathbb{R}^1$ since \mathbb{Q} is dense in \mathbb{R} .
- (3) $\overline{E^{\circ}} = \overline{\varnothing} = \varnothing$ since $\widetilde{\mathbb{Q}}$ is dense in \mathbb{R} .

Exercise 2.10. Let X be an infinite set. For $p \in X$ and $q \in X$, define

$$d(p,q) = \begin{cases} 1 & (if \ p \neq q) \\ 0 & (if \ p = q). \end{cases}$$

Prove that this is a metric. Which subsets of the resulting metric space are open? Which are closed? Which are compact?

(The statement holds even if X is finite.) We called d the discrete metric, and the corresponding topology on X induces the discrete topology. Conversely, if X has the discrete topology, X is always metrizable by the discrete metric.

Proof.

- (1) d(p,q) is a metric.
 - (a) d(p,q) > 0 if $p \neq q$; d(p,p) = 0. Trivial.
 - (b) d(p,q) = d(q,p). Trivial.
 - (c) $d(p,q) \leq d(p,r) + d(r,q)$ for any $r \in X$. If p = q, nothing to do. If $p \neq q$, $r \neq p$ or $r \neq q$ for any $r \in X$. (Assume not true, r = p and r = q implies that p = q which is a contradiction.) In any case $d(p,r) + d(r,q) \geq 1 = d(p,q)$.
- (2) Every subset is open. Let E be any subset of X. Then every point $p \in E$ is an interior point of E. In fact, we can pick one open neighborhood $U = B\left(p; \frac{1}{2}\right)$ of p containing only one point $p \in E$ or $U = \{p\}$, and such open neighborhood U is a subset of E. So every subset of E is open.
- (3) Every subset is closed. Since every subset is open, every subset is closed by Theorem 2.23.

Supplement. Might use Definition 2.18 (d) to prove directly since there are no limit points in X if we consider one open neighborhood $U = B\left(p; \frac{1}{2}\right)$ of p. Therefore, every subset is closed. Again we apply Theorem 2.23 to get that every subset is open without using Definition 2.18 (f).

- (4) A subset is compact iff it is finite.
 - (a) Any finite subset is compact. Say $E = \{p_1, p_2, ..., p_k\}$, and $\{G_{\alpha}\}$ be an open covering of E. From $\{G_{\alpha}\}$ we pick G_{α_1} containing p_1 , G_{α_2} containing p_2 , ..., and G_{α_k} containing p_k . This process can be done in the finitely many steps. Therefore,

$$\{G_{\alpha_1}, G_{\alpha_2}, ..., G_{\alpha_k}\}$$

is a finite subcovering of $\{G_{\alpha}\}$ covering E.

(b) Any infinite subset is not compact. Take a collection

$$\mathscr{G} = \{G_p = \{p\}\}\$$

of open subsets where p runs all points in E. Clearly, $\{G_p\}$ is an open covering. Assume

$$\mathscr{G}' = \{G_{p_1}, G_{p_2}, ..., G_{p_k}\}$$

is any finite subcovering of \mathscr{G} . Since E is infinite, there exist a point $p \in E$ such that $p \neq p_1, p \neq p_2, ..., p \neq p_k$. Therefore, \mathscr{G}' does not cover p, or \mathscr{G} does not contains any finite subcovering \mathscr{G}' .

Notice that every subset is bounded. Therefore, every subset is closed and bounded, but only finite subset is compact, i.e., Heine-Borel theorem is not true in the infinite discrete topology.

Exercise 2.11. For $x \in \mathbb{R}^1$ and $y \in \mathbb{R}^1$, define

$$d_1(x,y) = (x-y)^2,$$

$$d_2(x,y) = \sqrt{|x-y|},$$

$$d_3(x,y) = |x^2 - y^2|,$$

$$d_4(x,y) = |x-2y|,$$

$$d_5(x,y) = \frac{|x-y|}{1+|x-y|}.$$

Determine, for each of these, whether it is a metric or not.

Proof.

(1) $d = d_1$ is not a metric. (Reductio ad absurdum) If d were a metric, then

$$d(0,2) > d(0,1) + d(1,2),$$

contrary to Definition 2.15(c) that $d(p,q) \leq d(p,r) + d(r,q)$.

(2) $d = d_2$ is a metric. It suffices to show that $d'(x, y) = \sqrt{d(x, y)}$ is a metric if d(x, y) is a metric. For any $p, q, r \in \mathbb{R}^1$,

(a)
$$d'(p,q) = \sqrt{d(p,q)} > 0$$
 if $p \neq q$; $d'(p,p) = \sqrt{d(p,p)} = 0$.

(b)
$$d'(p,q) = \sqrt{d(p,q)} = \sqrt{d(q,p)} = d'(q,p)$$
.

(c)

$$\begin{split} \sqrt{d(p,r)+d(r,q)} &\leq \sqrt{d(p,r)} + \sqrt{d(r,q)} \\ \iff &(\sqrt{d(p,r)+d(r,q)})^2 \leq (\sqrt{d(p,r)} + \sqrt{d(r,q)})^2 \\ \iff &d(p,r)+d(r,q) \leq d(p,r) + d(r,q) + 2\sqrt{d(p,r)}\sqrt{d(r,q)} \\ \iff &0 \leq 2\sqrt{d(p,r)}\sqrt{d(r,q)}. \end{split}$$

(d)

$$\begin{split} d'(p,q) &= \sqrt{d(p,q)} \\ &\leq \sqrt{d(p,r) + d(r,q)} \\ &\leq \sqrt{d(p,r)} + \sqrt{d(r,q)} \\ &= d'(p,r) + d'(r,q). \end{split} \tag{Triangle inequality}$$

By Definition 2.15, d' is a metric.

(3) $d = d_3$ is not a metric. (Reductio ad absurdum) If d were a metric, then

$$d(1,-1)=0,$$

contrary to Definition 2.15(a): d(p,q) > 0 if $p \neq q$; d(p,p) = 0.

(4) $d = d_4$ is not a metric. (Reductio ad absurdum) If d were a metric, then

$$d(1,1) = 1,$$

contrary to Definition 2.15(a): d(p,q) > 0 if $p \neq q$; d(p,p) = 0.

(5) $d = d_5$ is a metric. It suffices to show that $d'(x,y) = \frac{d(x,y)}{1+d(x,y)}$ is a metric if d(x,y) is a metric. For any $p,q,r \in \mathbb{R}^1$,

(a)
$$d'(p,q) = \frac{d(p,q)}{1+d(p,q)} > 0$$
 if $p \neq q$; $d'(p,p) = \frac{d(p,p)}{1+d(p,p)} = 0$.

- (b) $d'(p,q) = \frac{d(p,q)}{1+d(p,q)} = \frac{d(q,p)}{1+d(q,p)} = d'(q,p).$
- (c) Write x = d(p,q), y = d(p,r) and z = d(r,q). So $x, y, z \ge 0$ and

$$x \le y + z$$

$$\iff x + x(y + z) \le y + z + x(y + z)$$

$$\iff x(1 + y + z) \le (1 + x)(y + z)$$

$$\iff \frac{x}{1 + x} \le \frac{y + z}{1 + y + z}.$$

(d)

$$\begin{split} d'(p,q) &= \frac{d(p,q)}{1+d(p,q)} \\ &\leq \frac{d(p,r)+d(r,q)}{1+d(p,r)+d(r,q)} \\ &= \frac{d(p,r)}{1+d(p,r)+d(r,q)} + \frac{d(r,q)}{1+d(p,r)+d(r,q)} \\ &= \frac{d(p,r)}{1+d(p,r)} + \frac{d(r,q)}{1+d(r,q)} \\ &= d'(p,r)+d'(r,q). \end{split}$$

(e) Or we can show $d'(p,q) \leq d'(p,r) + d'(r,q)$ by

$$\frac{x}{1+x} \le \frac{y}{1+y} + \frac{z}{1+z}$$

$$\iff x(1+y)(1+z) \le y(1+z)(1+x) + z(1+x)(1+y)$$

$$\iff x + xy + xz + xyz$$

$$\le (y+xy+yz+xyz) + (z+xz+yz+xyz)$$

$$\iff x \le y+z+2yz+xyz$$

$$\iff x \le y+z \qquad (d \text{ is nonnegative})$$

By Definition 2.15, d' is a metric.

Exercise 2.12. Let $K \subseteq \mathbb{R}^1$ consist of 0 and the numbers $\frac{1}{n}$, for n = 1, 2, 3, Prove that K is compact directly from the definition (without using the Heine-Borel theorem).

Proof. Let $\{G_{\alpha}\}$ be an open covering of K. There is an open set $G_0 \in \{G_{\alpha}\}$ containing 0. So there exists an open neighborhood U = B(0; r) of 0 such that $U \subseteq G_0$. So U contains all points $q = \frac{1}{n}$ of K whenever $n > \frac{1}{r}$. To construct a finite subcovering of $\{G_{\alpha}\}$, we need to pick finitely many open sets from $\{G_{\alpha}\}$ to cover the remaining points $q = \frac{1}{n}$ where $n = 1, 2, ..., \left[\frac{1}{r}\right]$, say G_1 contains $q = \frac{1}{1}$, G_2 contains $q = \frac{1}{2}$, ..., $G_{\left[\frac{1}{r}\right]}$ contains $q = \frac{1}{\left[\frac{1}{r}\right]}$. (Might be duplicated.) Hence,

$$\left\{G_0, G_1, G_2, ..., G_{\left[\frac{1}{r}\right]}\right\}$$

is a finite subcovering of $\{G_{\alpha}\}$ covering K. \square

Proof (Heine-Borel theorem).

- (1) K is closed. In fact, the only limit point of K is 0, which is in K.
 - (a) p = 0 is a limit point. Given r > 0. There always exists $n \in \mathbb{Z}^+$ such that $r > \frac{1}{n}$. So any open neighborhood B(0;r) of p = 0 contains at least one point $q = \frac{1}{n} \neq 0$ in K.
 - (b) p < 0 is not a limit point. Pick an open neighborhood B(p;r) of p where r = |p| > 0. Then $B(p;r) \cap K = \emptyset$.
 - (c) p>0 is not a limit point. There always exists $m\in\mathbb{Z}^+$ such that $p>\frac{1}{n}$ whenever $n\geq m$. Pick an open neighborhood B(p;r) of p where $r=p-\frac{1}{m}>0$. Then B(p;r) does not have all points $q=\frac{1}{n}\in K$ whenever $n\geq m$. By Theorem 2.20, p cannot be a limit point of K.
- (2) K is bounded. There is a real number M=2 and a point $q=0\in\mathbb{R}^1$ such that |p-q|=|p|<2 for all $p\in K$.

By Heine-Borel theorem, K is compact in \mathbb{R}^1 . \square

Exercise 2.13. Construct a compact set of real numbers whose limit points form a countable set.

Proof (Exercise 2.12). Let $K(p;r) \subseteq \mathbb{R}^1$ be

$$K(p;r) = \left\{ p + \frac{r}{n} : n = 2, 3, \dots \right\} \bigcup \left\{ p \right\}$$

and

$$K = \left(\bigcup_{i=0}^{\infty} K(2^{-i}; 2^{-i})\right) \bigcup \{0\}.$$

- (1) The set of limit points of K is $K' = \{2^{-i} : i = 0, 1, 2, ...\} \bigcup \{0\}$, which is (infinitely) countable.
 - (a) The unique limit point of $K(2^{-i}; 2^{-i})$ is 2^{-i} for each $i = 0, 1, 2, \ldots$ (Exercise 2.12).
 - (b) 0 is a limit point of K.
 - (c) No other limit points of K. Similar to the argument of the proof of Exercise 2.12.
- (2) K is closed. All limit points are in K.
- (3) K is bounded. There is a real number M=2 and a point $q=0\in\mathbb{R}^1$ such that |p-q|=|p|<2 for all $p\in K$.

By Heine-Borel theorem, K is compact in \mathbb{R}^1 , and has infinitely countable limit points. \square

Exercise 2.14. Give an example of an open cover of the segment (0,1) which has no finite subcover.

Proof. In \mathbb{R}^1 , take a collection

$$\mathscr{G} = \left\{ G_n = \left(\frac{1}{n}, 1\right) \right\}$$

of open subsets where $n \in \mathbb{Z}^+$.

- (1) \mathscr{G} is an open covering of $(0,1) \subseteq \mathbb{R}^1$. Actually, given $x \in (0,1)$, there exists an positive integer n such that $x > \frac{1}{n}$. That is, $x \in (\frac{1}{n},1) = G_n$.
- (2) There is no finite subcovering of \mathcal{G} . Assume

$$\mathscr{G}' = \{G_{n_1}, G_{n_2}, ..., G_{n_k}\}$$

is any finite subcovering of \mathscr{G} where $n_1 < n_2 < ... < n_k$. Take $x \in \left(0, \frac{1}{n_k}\right) \neq \varnothing$, $x = \frac{1}{2n_k}$ for example. Then $x \notin G_{n_1}$, $x \notin G_{n_1}$, ..., $x \notin G_{n_k}$, which contradicts that \mathscr{G}' is a finite subcovering of \mathscr{G} covering (0, 1).

Exercise 2.15. Show that Theorem 2.36 and its Corollary become false (in \mathbb{R}^1 , for example) if the word "compact" is replaced by "closed" or by "bounded."

Recall:

- (1) Theorem 2.36: If $\{K_{\alpha}\}$ is a collection of compact subsets of a metric space X such that the intersection of every finite subcollection of $\{K_{\alpha}\}$ is nonempty, then $\bigcap K_{\alpha}$ is nonempty.
- (2) Corollary: If $\{K_n\}$ is a sequence of nonempty compact sets such that K_n contains K_{n+1} (n = 1, 2, 3, ...), then $\bigcap K_n$ is not empty.

Proof. Let $X = \mathbb{R}^1$ with the usual Euclidean metric.

- (1) For the closeness, let $K_n = [n, \infty) \subseteq X$.
- (2) For the boundedness, let $K_n = (0, \frac{1}{n}) \subseteq X$.

In any case, $K_1 \supseteq K_2 \supseteq \cdots$ and $\bigcap K_n = \emptyset$. \square

Exercise 2.16. Regard \mathbb{Q} , the set of all rational numbers, as a metric space, with d(p,q) = |p-q|. Let E be the set of all $p \in \mathbb{Q}$ such that $2 < p^2 < 3$. Show that E is closed and bounded in Q, but that E is not compact. Is E open in \mathbb{Q} ?

Lemma. Assume $S \subseteq T \subseteq M$. Then S is compact in (M,d) if, and only if, S is compact in the metric subspace (T,d).

Proof of Lemma.

(1) (\Longrightarrow) Let \mathscr{F} be an open covering of S in (T,d), say $S\subseteq\bigcup_{A\in\mathscr{F}}A$ where A is open in T. Then $A=B\cap T$ for some open set B in M (Theorem 3.33). Let \mathscr{G} be the collection of B. Then

$$S\subseteq\bigcup_{A\in\mathscr{F}}A=\bigcup_{B\in\mathscr{G}}(B\cap T)\subseteq\bigcup_{B\in\mathscr{G}}B,$$

or \mathcal{G} be an open covering of S in (M,d). Since S is compact in (M,d), \mathcal{G} contains a finite subcovering, say

$$S \subseteq B_1 \cap \cdots \cap B_p$$
.

So

$$S \cap T \subseteq (B_1 \cap T) \cap \cdots \cap (B_p \cap T),$$

or

$$S \subseteq A_1 \cap \dots \cap A_p$$

(since $S \subseteq T$ or $S \cap T = S$). So there is a finite subcovering of \mathscr{F} covering S, or S is compact in (T,d).

(2) (\iff) Let $\mathscr G$ be an open covering of S in (M,d), say $S\subseteq\bigcup_{B\in\mathscr G}B$ where B is open in M. Then $A=B\cap T$ is open in T. Let $\mathscr F$ be the collection of A. Then

$$S \cap T \subseteq \bigcup_{B \in \mathscr{G}} (B \cap T) = \bigcup_{A \in \mathscr{F}} A,$$

or \mathscr{F} be an open covering of $S \cap T = S$ in (T, d). Since S is compact in (T, d), \mathscr{F} contains a finite subcovering, say

$$S \subseteq A_1 \cap \cdots \cap A_p$$
.

Clearly, $S \subseteq B_1 \cap \cdots \cap B_p$ since $A = B \cap T \subseteq B$. So there is a finite subcovering of \mathscr{G} covering S, or S is compact in (M, d).

Proof. Write $E_0 = (\sqrt{2}, \sqrt{3}) \bigcup (-\sqrt{3}, -\sqrt{2})$, and $E = E_0 \cap \mathbb{Q}$.

- (1) E is a subset of \mathbb{Q} .
- (2) Show that E is bounded in \mathbb{Q} . Since \mathbb{Q} is dense in \mathbb{R} , there is $p \in \mathbb{Q}$ such that $\sqrt{2} , or <math>p \in E$. Let $r = p + \sqrt{3} > 0$. Therefore, $E \subseteq B(p; r)$ for some r > 0 and $p \in E$, or E is bounded.
- (3) Show that E is closed in \mathbb{Q} . It suffices to show its complement is open in \mathbb{Q} . Given any

$$p \in \widetilde{E} = ((-\infty, -\sqrt{3}] \cup [-\sqrt{2}, \sqrt{2}] \cup [\sqrt{3}, \infty)) \cap \mathbb{Q}.$$

$$p \le -\sqrt{3}$$
 or $-\sqrt{2} \le p \le \sqrt{2}$ or $p \ge \sqrt{3}$.

- (a) $p \le -\sqrt{3}$. $p \ne -\sqrt{3}$ since $p \in \mathbb{Q}$ and $-\sqrt{3}$ is irrational. So $p < -\sqrt{3}$ and thus there exists $q \in \mathbb{Q}$ such that $p < q < -\sqrt{3}$ since \mathbb{Q} is dense in \mathbb{R} . Let $r = \max\{-\sqrt{3} q, q p\} > 0$. The ball B(q; r) is contained in \widetilde{F}
- (b) $-\sqrt{2} \le p \le \sqrt{2}$. Similar to (a).
- (c) $p \ge \sqrt{3}$. Similar to (a).

By (a)(b), \widetilde{E} is open in \mathbb{Q} , or E is closed in \mathbb{Q} .

- (4) Show that E is not compact in \mathbb{Q} . (Reductio ad absurdum) If E_0 were compact in the metric space \mathbb{Q} , E_0 is compact in the metric space \mathbb{R} (Lemma), which is absurd.
- (5) Show that E is open. Similar to (3).

Exercise 2.22. A metric space is called separable if it contains a countable dense subset. Show that \mathbb{R}^k is separable. (Hint: Consider the set of points which have only rational coordinates.)

Proof. Let E be the set of points which have only rational coordinates.

- (1) Show that E is countable. \mathbb{Q} is countable and thus $E = \mathbb{Q}^k$ is countable (Theorem 2.13).
- (2) Show that E is dense. Given any $\mathbf{p} = (p_1, \dots, p_k) \in \mathbb{R}^k$. We want to show that \mathbf{p} is a limit point of E.
 - (a) Given any open neighborhood $B(\mathbf{p}; r)$ of $\mathbf{p}, r > 0$.
 - (b) Since \mathbb{Q} is dense in \mathbb{R} (Theorem 1.20), every coordinate of \mathbf{p} is a limit point of \mathbb{Q} . In particular, for every $i=1,2,\ldots,k$, the open neighborhood $B\left(p_i,\frac{r}{\sqrt{k}}\right)$ of p_i contains a point $q_i\neq p_i$ and $q_i\in\mathbb{Q}$.
 - (c) Collect all q_i in (b) and define $\mathbf{q}=(q_1,\ldots,q_k)\in\mathbb{Q}^k=E$. By construction $\mathbf{q}\neq\mathbf{p}$ and

$$|\mathbf{p} - \mathbf{q}| = \sqrt{(p_1 - q_1)^2 + \dots + (p_k - q_k)^2}$$

$$< \sqrt{\left(\frac{r}{\sqrt{k}}\right)^2 + \dots + \left(\frac{r}{\sqrt{k}}\right)^2}$$

$$= \sqrt{k \cdot \frac{r^2}{k}}$$

$$= r$$

or $\mathbf{q} \in B(\mathbf{p}; r)$.

By (a)(b)(c), E is dense in \mathbb{R}^k .

By (1)(2), \mathbb{R}^k is separable. \square

Exercise 2.23. A collection $\{V_{\alpha}\}$ of open subsets of X is said to be a base for X if the following is true: For every $x \in X$ and every open set $G \subseteq X$ such that $x \in G$, we have $x \in V_{\alpha} \subseteq G$ for some α . In other words, every open set in X is the union of a subcollection of $\{V_{\alpha}\}$.

Prove that every separable metric space has a countable base. (Hint: Take all neighborhoods with rational radius and center in some countable dense subset of X.)

Proof (Hint). Let X be a separable metric space, and E be a countable dense subset of X. Let $\mathscr B$ be a collection of all neighborhoods with rational radius and center in E.

- (1) \mathcal{B} is countable (Theorem 2.12).
- (2) \mathscr{B} is a base for X. Similar to Exercise 2.9(a). Given any $p \in X$ and every open set $G \subseteq X$ such that $p \in G$. Since p is in an open set G, there exists an open neighborhood B(p;r) of p such that $B(p;r) \subseteq G$.

- (3) Let r_0 be rational such that $0 < r_0 < \frac{r}{2}$ (Theorem 1.20(b)). Since E is dense in X, there is $q \in E$ such that $d_X(p,q) < r_0$. For such $r_0 \in \mathbb{Q}$ we pick an open neighborhood $B(q;r_0)$ of q. Clearly, $B(q;r_0) \in \mathcal{B}$.
- (4) $p \in B(q; r_0)$ since $d_X(p, q) < r_0$.
- (5) Show that $B(q; r_0) \subseteq B(p; r) \subseteq G$. For any $z \in B(q; r_0)$, $d_X(z, p) \le d_X(z, q) + d_X(q, p) < r_0 + r_0 < \frac{r}{2} + \frac{r}{2} = r$. That is, $z \in B(p; r)$.

By (3)(4)(5), (2) is established. By (1)(2), \mathscr{B} is a countable base for X. \square

Supplement.

- (1) In topology, a second-countable space, also called a completely separable space, is a topological space whose topology has a countable base.
- (2) Every second-countable space is separable.
- (3) The reverse implication of (2) does not hold in general. However, for metric spaces the properties of being second-countable and separable are equivalent.