Solutions to the book: Marcus, Number Fields

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Chapter 1: A Special Case of Fermat's Conjecture

Exercise 1.1-1.9: Define $N: \mathbb{Z}[i] \to \mathbb{Z}$ by $N(a+bi) = a^2 + b^2$.

Exercise 1.1.

Verify that for all $\alpha, \beta \in \mathbb{Z}[i]$, $N(\alpha\beta) = N(\alpha)N(\beta)$, either by direct computation or using the fact that N(a+bi) = (a+bi)(a-bi). Conclude that if $\alpha \mid \gamma$ in $\mathbb{Z}[i]$, then $N(\alpha) \mid N(\gamma)$ in \mathbb{Z} .

Proof.

(1) Direct computation. Write $\alpha = a + bi$, $\beta = c + di$ where $a, b, c, d \in \mathbb{Z}$. Thus,

$$\begin{split} N(\alpha\beta) &= N((a+bi)(c+di)) \\ &= N((ac-bd) + (ad+bc)i) \\ &= (ac-bd)^2 + (ad+bc)^2 \\ &= (a^2c^2 - 2abcd + b^2d^2) + (a^2d^2 + 2abcd + b^2c^2) \\ &= a^2c^2 + b^2d^2 + a^2d^2 + b^2c^2, \\ N(\alpha)N(\beta) &= N(a+bi)N(c+di) \\ &= (a^2+b^2)(c^2+d^2) \\ &= a^2c^2 + b^2d^2 + a^2d^2 + b^2c^2. \end{split}$$

Therefore, $N(\alpha\beta) = N(\alpha)N(\beta)$. (Note that we also get the identity $(a^2 + b^2)(c^2 + d^2) = (ac - bd)^2 + (ad + bc)^2$.)

(2) Using the fact that N(a+bi)=(a+bi)(a-bi), or $N(\alpha)=\alpha\overline{\alpha}$ for any $\alpha\in\mathbb{Z}[i]$. Thus,

$$N(\alpha\beta) = \alpha\beta\overline{\alpha}\overline{\beta}$$
$$= \alpha\beta\overline{\alpha}\overline{\beta}$$
$$= \alpha\overline{\alpha}\beta\overline{\beta}$$
$$= N(\alpha)N(\beta).$$

(3) Show that if $\alpha \mid \gamma$ in $\mathbb{Z}[i]$, then $N(\alpha) \mid N(\gamma)$ in \mathbb{Z} . Write $\gamma = \alpha\beta$ for some $\beta \in \mathbb{Z}[i]$. So $N(\gamma) = N(\alpha)N(\beta) \in \mathbb{Z}$, or $N(\alpha) \mid N(\gamma)$ in \mathbb{Z} .

Exercise 1.2.

Let $\alpha \in \mathbb{Z}[i]$. Show that α is a unit iff $N(\alpha) = 1$. Conclude that the only unit are ± 1 and $\pm i$.

Proof.

- (1) (\Longrightarrow) Since α is a unit, there is $\beta \in \mathbb{Z}[i]$ such that $\alpha\beta = 1$. By Exercise 1.1, $N(\alpha\beta) = N(1)$, or $N(\alpha)N(\beta) = 1$. Since the image of N is nonnegative integers, $N(\alpha) = 1$.
- (2) (\iff) By Exercise 1.1, $N(\alpha) = \alpha \overline{\alpha}$, or $1 = \alpha \overline{\alpha}$ since $N(\alpha) = 1$. That is, $\overline{\alpha} \in \mathbb{Z}[i]$ is the inverse of $\alpha \in \mathbb{Z}[i]$. (Or by (1), we solve the equation $N(\alpha) = a^2 + b^2 = 1$, and show that all four solutions (± 1 and $\pm i$) are unit.)

Conclusion: a unit $\alpha = a + bi$ of $\mathbb{Z}[i]$ is satisfying the equation $N(\alpha) = a^2 + b^2 = 1$ by (1)(2). That is, the only unit of $\mathbb{Z}[i]$ are ± 1 and $\pm i$. \square

Exercise 1.3.

Let $\alpha \in \mathbb{Z}[i]$. Show that if $N(\alpha)$ is a prime in \mathbb{Z} then α is irreducible in $\mathbb{Z}[i]$. Show that the same conclusion holds if $N(\alpha) = p^2$, where p is a prime in \mathbb{Z} , $p \equiv 3 \pmod{4}$.

Proof.

- (1) Show that if $N(\alpha)$ is a prime in \mathbb{Z} then α is irreducible in $\mathbb{Z}[i]$. Write $\alpha = \beta \gamma$. Then $N(\alpha) = N(\beta)N(\gamma)$ is a prime in \mathbb{Z} . Since each integer prime is irreducible, $N(\beta) = 1$ or $N(\gamma) = 1$. So that β is unit or γ is unit by Exercise 1.2. Hence, α is irreducible.
- (2) Show that α is irreducible in $\mathbb{Z}[i]$ if $N(\alpha) = p^2$, where p is a prime in \mathbb{Z} , $p \equiv 3 \pmod{4}$. Assume $\alpha = \beta \gamma$ were not irreducible. Similar to (1), $N(\alpha) = N(\beta)N(\gamma) = p^2$. Since β and γ are proper factors of α ,

$$N(\beta) = N(\gamma) = p$$
.

Since any square $a^2 \equiv 0, 1 \pmod{4}$, any $N(a+bi) = a^2 + b^2 \equiv 0, 1, 2 \pmod{4}$. Especially, $N(\beta) \equiv 0, 1, 2 \pmod{4}$, contrary to $N(\beta) = p \equiv 3 \pmod{4}$ by the assumption. Therefore, α is irreducible in $\mathbb{Z}[i]$.

Supplement.

- (1) The prime 2 is reducible in $\mathbb{Z}[i]$ (Exercise 1.4).
- (2) Every prime $p \equiv 1 \pmod{4}$ is reducible in $\mathbb{Z}[i]$ (Exercise 1.8).

Exercise 1.4.

Show that 1-i is irreducible in \mathbb{Z} and that $2=u(1-i)^2$ for some unit u.

Proof.

- (1) 1-i is irreducible. Since N(1-i)=2 is a prime in \mathbb{Z} , 1-i is irreducible by Problem 1.3.
- (2) $2 = i(1-i)^2$ where i is unit in \mathbb{Z} .

Exercise 1.5.

Notice that (2+i)(2-i) = 5 = (1+2i)(1-2i). How is this consistent with unique factorization?

Proof. Since 2+i=i(1-2i) and 2-i=(-i)(1+2i), the factorization is unique up to order and multiplication of primes by units. \Box

Exercise 1.6.

Show that every nonzero, non-unit Gaussian integer α is a product of irreducible elements, by induction on $N(\alpha)$.

Proof. Induction on $N(\alpha)$.

- (1) n = 2. Given $\alpha \in \mathbb{Z}[i]$ with $N(\alpha) = 2$. Since $N(\alpha) = 2$ is a prime in \mathbb{Z} , α is irreducible (Exercise 1.3).
- (2) Suppose the result holds for $n \leq k$. Given $\alpha \in \mathbb{Z}[i]$ with $N(\alpha) = k + 1$. There are only two possible cases.
 - (a) α is irreducible. Nothing to do.

(b) α is reducible. Write $\alpha = \beta \gamma$ where neither factor is unit. Since $N(\alpha) = N(\beta)N(\gamma)$ and neither factor is unit,

$$2 \le N(\beta), N(\gamma) \le k$$
.

By the induction hypothesis, each factor of α (β and γ) is a product of irreducible elements. So that α again is a product of irreducible elements.

In any cases, α is a product of irreducible elements.

By induction, the result is established. \square

Exercise 1.7.

Show that $\mathbb{Z}[i]$ is a principal ideal domain (PID); i.e., every ideal I is principal. (As shown in Appendix 1, this implies that $\mathbb{Z}[i]$ is a UFD.)

Suggestion: Take $\alpha \in I \setminus \{0\}$ such that $N(\alpha)$ is minimized, and consider the multiplies $\gamma \alpha, \gamma \in \mathbb{Z}[i]$; show that these are the vertices of an infinite family of squares which fill up the complex plane. (For example, one of the squares has vertices $0, \alpha, i\alpha,$ and $(1+i)\alpha;$ all others are translates of this one.) Obviously I contains all $\gamma \alpha;$ show by a geometric argument that if I contains anything else then minimality of $N(\alpha)$ would be contradicted.

Proof (without geometric intuition). Define N on $\mathbb{Q}[i]$ by $N(a+bi)=a^2+b^2$ where $a+bi\in\mathbb{Q}[i]$ as usual.

- (1) Show that $\mathbb{Z}[i]$ is a Euclidean domain. Given $\alpha = a + bi \in \mathbb{Z}[i]$ and $\gamma = c + di \in \mathbb{Z}[i]$ with $\gamma \neq 0$. It suffices to show there exist δ and ρ such that the identity $\alpha = \gamma \delta + \rho$ holds and either $\rho = 0$ or $N(\rho) < N(\gamma)$.
 - (a) Pick $\delta \in \mathbb{Z}[i]$. (Intuition: Pick the 'integer part' of $\frac{\alpha}{\gamma}$ as we did in integer numbers.) Write $\frac{\alpha}{\gamma} = r + si \in \mathbb{Q}[i]$. Then we pick $\delta = m + ni \in \mathbb{Z}[i]$ such that $|r m| \leq \frac{1}{2}$ and $|s n| \leq \frac{1}{2}$. Therefore,

$$N\left(\frac{\alpha}{\gamma} - \delta\right) = (r - m)^2 + (s - n)^2$$

$$\leq \frac{1}{4} + \frac{1}{4}$$

$$= \frac{1}{2}.$$

(b) $Pick \ \rho \in \mathbb{Z}[i]$. Clearly we can pick $\rho = \alpha - \gamma \delta \in \mathbb{Z}[i]$. Therefore,

$$\rho = 0$$
 or

$$\begin{split} N(\rho) &= N(\alpha - \gamma \delta) \\ &= N\left(\gamma\left(\frac{\alpha}{\gamma} - \delta\right)\right) \\ &= N(\gamma)N\left(\frac{\alpha}{\gamma} - \delta\right) \\ &\leq \frac{1}{2}N(\gamma) \\ &< N(\gamma). \end{split}$$

- (2) Show that every Euclidean domain R is a PID. Given any ideal I of R. Take $\alpha \in I \setminus \{0\}$ such that $N(\alpha)$ is minimized.
 - (a) $R\alpha \subseteq I$ clearly.
 - (b) Conversely, for any $\beta \in I$, there are $\delta, \rho \in R$ such that $\beta = \alpha \delta + \rho$, where either $\rho = 0$ or $N(\rho) < N(\alpha)$. Since $\rho = \beta \alpha \delta \in I$, we cannot have $N(\rho) < N(\alpha)$ by the minimality of $N(\alpha)$. Therefore, $\rho = 0$ and $\beta = \alpha \delta \in R\alpha$, or $R\alpha \supseteq I$.

By (1)(2), $\mathbb{Z}[i]$ is a PID. \square

Exercise 1.8.

We will use the unique factorization in $\mathbb{Z}[i]$ to prove that every prime $p \equiv 1 \pmod{4}$ is a sum of two squares.

- (a) Use the fact that the multiplicative group $(\mathbb{Z}/p\mathbb{Z})^{\times}$ of integers mod p is cyclic to show that if $p \equiv 1 \pmod{4}$ then $n^2 \equiv -1 \pmod{p}$ for some $n \in \mathbb{Z}$.
- (b) Prove that p cannot be irreducible in $\mathbb{Z}[i]$. (Hint: $p \mid n^2+1 = (n+i)(n-i)$.)
- (c) Prove that p is a sum of two squares. (Hint: (b) shows that p = (a + bi)(c + di) with neither factor a unit. Take norms.)

Proof of (a). Since the multiplicative group $(\mathbb{Z}/p\mathbb{Z})^{\times}$ of integers mod p is cyclic, $(\mathbb{Z}/p\mathbb{Z})^{\times}$ is generated by (a primitive root) $g \in \mathbb{Z}/p\mathbb{Z}$. $g^{p-1} = 1$, or

$$(g^{\frac{p-1}{2}} - 1)(g^{\frac{p-1}{2}} + 1) = 0$$

since p is odd. Since $\mathbb{Z}/p\mathbb{Z}$ is an integral domain, $g^{\frac{p-1}{2}} - 1 = 0$ or $g^{\frac{p-1}{2}} + 1 = 0$. g cannot satisfy $g^{\frac{p-1}{2}} - 1 = 0$ since g is a generator of $(\mathbb{Z}/p\mathbb{Z})^{\times}$. So,

$$g^{\frac{p-1}{2}} + 1 = 0.$$

Let $n = q^{\frac{p-1}{4}} \in \mathbb{Z}$ since $p \equiv 1 \pmod{4}$. So $n^2 + 1 = 0 \pmod{p}$. \square

Proof of (b). Since $n^2 + 1 \equiv 0 \pmod{p}$ by (a), $p \mid n^2 + 1 = (n+i)(n-i)$. If p were irreducible in $\mathbb{Z}[i]$, $p \mid (n+i)$ or $p \mid (n-i)$ by using the unique factorization in $\mathbb{Z}[i]$. Hence

$$\frac{n+i}{p} = \frac{n}{p} + \frac{1}{p}i \not\in \mathbb{Z}[i], \frac{n-i}{p} = \frac{n}{p} - \frac{1}{p}i \not\in \mathbb{Z}[i],$$

contrary to the assumption. Therefore, p is reducible in $\mathbb{Z}[i]$. \square

Proof of (c). Since p is reducible in $\mathbb{Z}[i]$ by (b), write p = (a + bi)(c + di) with neither factor a unit. Take norms,

$$p^2 = N(p) = N(a+bi)N(c+di).$$

Since neither factor of p is unit, N(a+bi)=p, or $a^2+b^2=p$, or p is a sum of two squares. \square

Exercise 1.9.

Describe all irreducible elements in $\mathbb{Z}[i]$.

Notice that α is irreducible if and only if $\overline{\alpha}$ is irreducible. (Write $\alpha = \beta \gamma$, then $\overline{\alpha} = \overline{\beta} \overline{\gamma}$. Besides, $\overline{\overline{\alpha}} = \alpha$.)

Proof. Show that all irreducible elements in $\mathbb{Z}[i]$ (up to units) are

- (1) 1+i.
- (2) $\pi = a + bi$ for each integer prime $p \equiv 1 \pmod{4}$ with $p = a^2 + b^2$.
- (3) p for each integer prime $p \equiv 3 \pmod{4}$.

Let α be any irreducible element in $\mathbb{Z}[i]$. Consider $N(\alpha) = \alpha \overline{\alpha}$. $N(\alpha) \neq 1$ since α is not unit. By the unique factorization theorem in \mathbb{Z} , $N(\alpha) \in \mathbb{Z}$ is a product of primes in \mathbb{Z} .

There are three possible cases.

- (a) $2 \mid N(\alpha)$. Write $(1+i)(1-i) \mid \alpha \overline{\alpha}$ in $\mathbb{Z}[i]$. Notice that $1+i, 1-i, \alpha$ and $\overline{\alpha}$ are all irreducible (Exercise 1.4). By the unique factorization theorem in $\mathbb{Z}[i]$, $\alpha = 1+i$ (up to units).
- (b) $p \mid N(\alpha)$ for some prime $p \equiv 3 \pmod{4}$. Write $p \mid \alpha \overline{\alpha}$ in $\mathbb{Z}[i]$. Notice that p, α and $\overline{\alpha}$ are all irreducible (Exercise 1.3). By the unique factorization theorem in $\mathbb{Z}[i]$, $\alpha = p$ (up to units) or $\overline{\alpha} = p$ (up to units). So in any cases $\alpha = p$ (up to units). (Note that $\overline{p} = p$.)

(c) $p \mid N(\alpha)$ for some prime $p \equiv 1 \pmod{4}$. For such p, there is an irreducible $\pi \in \mathbb{Z}[i]$ satisfying $p = \pi \overline{\pi}$ (Exercise 1.8). Now we write $\pi \overline{\pi} \mid \alpha \overline{\alpha}$ in $\mathbb{Z}[i]$. Notice that π , $\overline{\pi}$, α and $\overline{\alpha}$ are all irreducible. By the unique factorization theorem in $\mathbb{Z}[i]$, $\alpha = \pi$ or $\alpha = \overline{\pi}$. In any cases, $\alpha = a + bi$ for integer prime $p \equiv 1 \pmod{4}$ with $p = a^2 + b^2$.

Exercise 1.10 - 1.14: Let $\omega = e^{\frac{2\pi i}{3}} = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$. Define $N : \mathbb{Z}[\omega] \to \mathbb{Z}$ by $N(a + b\omega) = a^2 - ab + b^2$.

Exercise 1.10.

Show that if $a + b\omega$ is written in the form u + vi where u and v are real, then $N(a + b\omega) = u^2 + v^2$.

Proof. By $\omega = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$, write

$$a + b\omega = \left(a - \frac{1}{2}b\right) + \left(\frac{\sqrt{3}}{2}b\right)i.$$

Here $u = a - \frac{1}{2}b \in \mathbb{R}$ and $v = \frac{\sqrt{3}}{2}b \in \mathbb{R}$. Hence $u^2 + v^2 = (a - \frac{1}{2}b)^2 + (\frac{\sqrt{3}}{2}b)^2 = a^2 - ab + b^2 = N(a + b\omega)$. \square

Exercise 1.11.

Show that for all $\alpha, \beta \in \mathbb{Z}[\omega]$, $N(\alpha\beta) = N(\alpha)N(\beta)$, either by direct computation or by using Exercise 1.10. Conclude that if $\alpha \mid \gamma$ in $\mathbb{Z}[\omega]$, then $N(\alpha) \mid N(\gamma)$ in \mathbb{Z} .

Proof.

(1) Direct computation. Note that $1 + \omega + \omega^2 = 0$ or $\omega^2 = -1 - \omega$. Write $\alpha = a + b\omega$, $\beta = c + d\omega$ where $a, b, c, d \in \mathbb{Z}$. Thus,

$$\begin{split} N(\alpha\beta) &= N((a+b\omega)(c+d\omega)) \\ &= N(ac+(ad+bc)\omega+bd\omega^2) \\ &= N(ac+(ad+bc)\omega+bd(-1-\omega)) \\ &= N((ac-bd)+(ad+bc-bd)\omega) \\ &= (ac-bd)^2 - (ac-bd)(ad+bc-bd) + (ad+bc-bd)^2 \\ &= (a^2-ab+b^2)(c^2-cd+d^2), \\ N(\alpha)N(\beta) &= N(a+b\omega)N(c+d\omega) \\ &= (a^2-ab+b^2)(c^2-cd+d^2). \end{split}$$

- (2) Exercise 1.10. The result is established by Exercise 1.10 and Exercise 1.1.
- (3) Using the fact that $N(a+b\omega) = (a+b\omega)\overline{(a+b\omega)}$. Similar to the argument of Exercise 1.1.
- (4) Show that if $\alpha \mid \gamma$ in $\mathbb{Z}[\omega]$, then $N(\alpha) \mid N(\gamma)$ in \mathbb{Z} . Similar to the argument of Exercise 1.1.

Exercise 1.12.

Let $\alpha \in \mathbb{Z}[\omega]$. Show that α is a unit iff $N(\alpha) = 1$, and find all units in $\mathbb{Z}[\omega]$. (There are six of them.)

Proof.

- (1) (\Longrightarrow) Since α is a unit, there is $\beta \in \mathbb{Z}[\omega]$ such that $\alpha\beta = 1$. By Exercise 1.11, $N(\alpha\beta) = N(1)$, or $N(\alpha)N(\beta) = 1$. Since the image of N is nonnegative integers, $N(\alpha) = 1$.
- (2) (\iff) By Exercise 1.10, $N(\alpha) = \alpha \overline{\alpha}$, or $1 = \alpha \overline{\alpha}$ since $N(\alpha) = 1$. That is, $\overline{\alpha} \in \mathbb{Z}[\omega]$ is the inverse of $\alpha \in \mathbb{Z}[\omega]$.
- (3) By (1), we solve the equation $N(\alpha) = a^2 ab + b^2 = 1$, or $4 = (2a b)^2 + 3b^2$. There are 2 possible cases.
 - (a) $2a b = \pm 1, b = \pm 1.$
 - (b) $2a b = \pm 2$, $b = \pm 0$.

Solve these 6 pairs of equations yields the result $\pm 1, \pm \omega, \pm \omega^2$.

Exercise 1.13.

Show that $1-\omega$ is irreducible in $\mathbb{Z}[\omega]$, and that $3=u(1-\omega)^2$ for some unit u.

3 is not irreducible in $\mathbb{Z}[\omega]$.

Proof.

(1) $N(1-\omega)=3$ is an integer prime. Similar to the argument in Exercise 1.3, $1-\omega$ is irreducible in $\mathbb{Z}[\omega]$.

(2) Note that $1 + \omega + \omega^2 = 0$. So $(1 - \omega)^2 = 1 - 2\omega + \omega^2 = 3(-\omega)$, or $(-\omega^2)(1 - \omega)^2 = 3$. By Exercise 1.12, $-\omega^2$ is unit. Hence $3 = u(1 - \omega)^2$ for some unit $u = -\omega^2$.

Exercise 1.14.

Modify Exercise 1.7 to show that $\mathbb{Z}[\omega]$ is a PID, hence a UFD. Here the squares are replaced by parallelograms; one of them has vertices $0, \alpha, \omega\alpha, (\omega+1)\alpha$, and all others are translates of this one. Use Exercise 1.10 for the geometric argument at the end.

Similar to Exercise 1.7.

Proof (without geometric intuition). Define N on $\mathbb{Q}[\omega]$ by $N(a+b\omega)=a^2-ab+b^2$ where $a+b\omega\in\mathbb{Q}[\omega]$ as usual.

- (1) Show that $\mathbb{Z}[\omega]$ is a Euclidean domain. Given $\alpha = a + b\omega \in \mathbb{Z}[\omega]$ and $\gamma = c + d\omega \in \mathbb{Z}[\omega]$ with $\gamma \neq 0$. It suffices to show there exist δ and ρ such that the identity $\alpha = \gamma \delta + \rho$ holds and either $\rho = 0$ or $N(\rho) < N(\gamma)$.
 - (a) Pick $\delta \in \mathbb{Z}[\omega]$. (Intuition: Pick the 'integer part' of $\frac{\alpha}{\gamma}$ as we did in integer numbers.) Write $\frac{\alpha}{\gamma} = r + s\omega \in \mathbb{Q}[\omega]$. Then we pick $\delta = m + n\omega \in \mathbb{Z}[\omega]$ such that $|r m| \leq \frac{1}{2}$ and $|s n| \leq \frac{1}{2}$. Therefore,

$$N\left(\frac{\alpha}{\gamma} - \delta\right) \le |r - m|^2 + |r - m||s - n| + |s - n|^2$$
$$\le \frac{1}{4} + \frac{1}{4} + \frac{1}{4}$$
$$= \frac{3}{4}.$$

(b) Pick $\rho \in \mathbb{Z}[\omega]$. Clearly we can pick $\rho = \alpha - \gamma \delta \in \mathbb{Z}[\omega]$. Therefore, $\rho = 0$ or

$$\begin{split} N(\rho) &= N(\alpha - \gamma \delta) \\ &= N\left(\gamma\left(\frac{\alpha}{\gamma} - \delta\right)\right) \\ &= N(\gamma)N\left(\frac{\alpha}{\gamma} - \delta\right) \\ &\leq \frac{3}{4}N(\gamma) \\ &< N(\gamma). \end{split}$$

- (2) Show that every Euclidean domain R is a PID. Given any ideal I of R. Take $\alpha \in I \setminus \{0\}$ such that $N(\alpha)$ is minimized.
 - (a) $R\alpha \subseteq I$ clearly.
 - (b) Conversely, for any $\beta \in I$, there are $\delta, \rho \in R$ such that $\beta = \alpha \delta + \rho$, where either $\rho = 0$ or $N(\rho) < N(\alpha)$. Since $\rho = \beta \alpha \delta \in I$, we cannot have $N(\rho) < N(\alpha)$ by the minimality of $N(\alpha)$. Therefore, $\rho = 0$ and $\beta = \alpha \delta \in R\alpha$, or $R\alpha \supseteq I$.

By (1)(2), $\mathbb{Z}[\omega]$ is a PID. \square

Exercise 1.15.

Here is a proof of Fermat's conjecture for n=4: If $x^4+y^4=z^4$ has a solution in positive integers, then so does $x^4+y^4=w^2$. Let x,y,w be a solution with smallest possible w. Then x^2,y^2,w is a primitive Pythagorean triple. Assuming (without loss of generality) that x is odd, we can write

$$x^{2} = m^{2} - n^{2}, y^{2} = 2mn, w = m^{2} + n^{2}$$

with m and n are relatively prime positive integers, not both odd.

(a) Show that

$$x = r^2 - s^2, n = 2rs, m = r^2 + s^2$$

with r and s are relatively prime positive integers, not both odd.

- (b) Show that r, s and m are pairwise relatively prime. Using $y^2 = 4rsm$, conclude that r, s and m are all squares, say a^2 , b^2 and c^2 .
- (c) Show that $a^4 + b^4 = c^2$, and that this contradicts minimality of w.

Proof of (a). Write $x^2 + n^2 = m^2$ by moving n^2 of $x^2 = m^2 - n^2$ to the left side. Notice that x is odd, and thus $x = r^2 - s^2$, n = 2rs, $m = r^2 + s^2$ with r and s are relatively prime positive integers, not both odd. \square

Proof of (b).

- (1) It suffices to show that (r, m) = 1. By assumption, (r, s) = 1. So $(r, s) = 1 \Rightarrow (r, s^2) = 1 \Rightarrow (r, r^2 + s^2) = 1$ and note that $m = r^2 + s^2$ to get the result.
- (2) $y^2 = 2mn = 2m(2rs) = 4rsm$ by (a). Since r, s and m are pairwise relatively prime, r, s and m are all squares.

Proof of (c). By (b), $r=a^2$, $s=b^2$, $m=c^2$. By (a), $m=r^2+s^2$, or $c^2=(a^2)^2+(b^2)^2=a^4+b^4$. However, $w=m^2+n^2>m^2>m=c^2>c$, contrary to the minimality of w. \square

Exercise 1.16-1.28: Let p be an odd prime, $\omega = e^{\frac{2\pi i}{p}}$.

Exercise 1.16.

Show that

$$(1-\omega)(1-\omega^2)\cdots(1-\omega^{p-1})=p$$

by considering equation $t^p - 1 = (t - 1)(t - \omega)(t - \omega^2) \cdots (t - \omega^{p-1})$.

Proof. Note that $t^p - 1 = (t-1)(t^{p-1} + t^{p-2} + \dots + t + 1)$. Cancel out t-1 of Equation (2),

$$t^{p-1} + t^{p-2} + \dots + t + 1 = (t - \omega)(t - \omega^2) \cdots (t - \omega^{p-1}).$$

Put
$$t=1$$
 to get $p=(1-\omega)(1-\omega^2)\cdots(1-\omega^{p-1})$. \square

Exercise 1.17.

Let $x^p + y^p = z^p$. Suppose that $\mathbb{Z}[\omega]$ is a UFD and $\pi \mid x + y\omega$, and π is a prime in $\mathbb{Z}[\omega]$. Show that π does not divide any of the other factors on the left side of

$$(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=z^p$$

by showing that if it did, then π would divide both z and yp (Hint: Use Exercise 1.16); but z and yp are relatively prime (assuming p divides none of x, y, z), hence zm + ypn = 1 for some $m, n \in \mathbb{Z}$. How is this a contradiction?

Proof. Write

$$z=u\pi_1^{e_1}\cdots\pi_m^{e_m}$$

where u is unit and π_k $(1 \le k \le m)$ are distinct primes in $\mathbb{Z}[\omega]$ and $e_k \in \mathbb{Z}^+$ $(1 \le k \le m)$. Since $\mathbb{Z}[\omega]$ is a UFD by assumption, the factorization of z is unique up to order and units.

(1) Show that $\pi \mid z$. Since $\pi \mid x + y\omega$, $\pi \mid z^p$. The factorization of z^p is

$$z^p = u^p \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

 u^p is unit, and $\pi|z^p$ implies that $\pi=\pi_k$ for some k, that is, $\pi|z$.

- (2) Show that $\pi \mid yp$ if π were divide any of the other factors on the left side of $(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=z^p$. Say $\pi \mid x+y\omega^k$ for some $k \neq 1$. So that $\pi \mid ((x+y\omega)-(x+y\omega^k))$, or $\pi \mid y(\omega-\omega^k)$. Since $k \neq 1$, there are two possible cases.
 - (a) k > 1. $\pi \mid y\omega(1 \omega^{k-1})$. By Exercise 1.16, $\pi \mid y\omega p$, or $\pi \mid yp$ since ω is unit. (ω^{p-1}) is the inverse of ω since $\omega \cdot \omega^{p-1} = 1$.)
 - (b) k = 0. $\pi \mid y(\omega 1)$, or $\pi \mid y(1 \omega)$. By Exercise 1.16, $\pi \mid yp$.

In any case, $\pi \mid yp$.

- (3) Note that z and yp are integers, and they are relatively prime by the assumption that p divides none of x, y, z. Therefore, on \mathbb{Z} we have zm + ypn = 1 for some $m, n \in \mathbb{Z}$.
- (4) zm + ypn = 1 is also true in $\mathbb{Z}[\omega]$. Therefore, by (1)(2) we have $\pi \mid (zm + ypn)$ or $\pi \mid 1$, or π is unit, contrary to the primality of π .

Exercise 1.18.

Use Exercise 1.17 to show that if $\mathbb{Z}[\omega]$ is a UFD then $x + y\omega = u\alpha^p$, $\alpha \in \mathbb{Z}[\omega]$, u a unit in $\mathbb{Z}[\omega]$.

Proof.

(1) Write $z=u\pi_1^{e_1}\cdots\pi_m^{e_m}$ as Exercise 1.17. So

$$z^p = u^p \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

- (2) Factorize $x + y\omega = vq_1^{f_1} \cdots q_n^{f_n}$, where v is unit and all q_h $(1 \le h \le n)$ are distinct primes in $\mathbb{Z}[\omega]$ and $f_h \in \mathbb{Z}^+$. Since $\mathbb{Z}[\omega]$ is a UFD, for every $q_h \mid x + y\omega$, there is some k(h) such that $q_h = \pi_{k(h)}$ and also $q_h^{f_h} = \pi_{k(h)}^{pe_{k(h)}}$ or $f_h = pe_{k(h)}$.
- (3) Hence,

$$x + y\omega = v \left(\pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}} \right)^p,$$

where $\alpha = \pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}} \in \mathbb{Z}[\omega]$ and v is unit.

Exercise 1.19.

Dropping the assumption that $\mathbb{Z}[\omega]$ is a UFD but using the fact that ideals factor uniquely (up to order) into prime ideals, show that the principal ideal $(x + y\omega)$ has no prime ideal factor in common with any of the other principal ideals on the left side of the equation

$$(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=(z)^p$$

in which all factors are interpreted as principal ideals. (Hint: Modify the proof of Exercise 1.17 appropriately, using the fact that if A is an ideal dividing another ideal B, then $A \supseteq B$.)

Proof. Write

$$(z) = \pi_1^{e_1} \cdots \pi_m^{e_m}$$

where π_k $(1 \le k \le m)$ are distinct prime ideals of $\mathbb{Z}[\omega]$ and $e_k \in \mathbb{Z}^+$ $(1 \le k \le m)$. By assumption that $\mathbb{Z}[\omega]$ is a Dedekind domain, the factorization of z is unique up to order.

(1) Show that $\pi \mid (z)$. Since $\pi \mid (x+y\omega), \pi \mid (z)^p$. The factorization of $(z)^p$ is

$$(z)^p = \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

 $\pi|(z)^p$ implies that $\pi=\pi_k$ for some k, that is, $\pi|(z)$.

(2) Show that $\pi \mid (yp)$ if π were divide any of the other factors on the left side of $(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=(z)^p$. Say $\pi \mid (x+y\omega^k)$ for some $k \neq 1$. So that $x+y\omega \in \pi$ and $x+y\omega^k \in \pi$, or $y(\omega-\omega^k) \in \pi$. Since $k \neq 1$, there are two possible cases.

(a) k > 1. $y\omega(1 - \omega^{k-1}) \in \pi$. By Exercise 1.16, $y\omega p \in \pi$, or $yp \in \pi$ since ω is unit. (ω^{p-1}) is the inverse of ω since $\omega \cdot \omega^{p-1} = 1$.)

(b) k = 0. $y(\omega - 1) \in \pi$, or $y(1 - \omega) \in \pi$. By Exercise 1.16, $yp \in \pi$.

In any case, $yp \in \pi$, or $\pi \mid (yp)$.

(3) Note that z and yp are integers, and they are relatively prime by the assumption that p divides none of x, y, z. Therefore, on \mathbb{Z} we have zm + ypn = 1 for some $m, n \in \mathbb{Z}$.

(4) zm + ypn = 1 is also true in $\mathbb{Z}[\omega]$. Therefore, by (1)(2) we have $z \in \pi$ and $yp \in \pi$. So $zm + ypn \in \pi$ since π is an ideal. So $1 \in \pi$ or $\pi = (1)$, contrary to the primality of π .

Exercise 1.20.

Use Exercise 1.19 to show that $(x + y\omega) = I^p$ for some ideal I.

Proof.

(1) Write $(z) = \pi_1^{e_1} \cdots \pi_m^{e_m}$ as Exercise 1.17. So

$$(z)^p = \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

- (2) Factorize $(x+y\omega)=q_1^{f_1}\cdots q_n^{f_n}$, where every q_h $(1\leq h\leq n)$ are distinct prime ideals of $\mathbb{Z}[\omega]$ and $f_h\in\mathbb{Z}^+$. By assumption that $\mathbb{Z}[\omega]$ is a Dedekind domain, for every $q_h\mid (x+y\omega)$, there is some k(h) such that $q_h=\pi_{k(h)}$ and also $q_h^{f_h}=\pi_{k(h)}^{pe_{k(h)}}$ or $f_h=pe_{k(h)}$.
- (3) Hence,

$$(x+y\omega) = \left(\pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}}\right)^p,$$

where $I = \pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}}$ is an ideal of $\mathbb{Z}[\omega]$.

Exercise 1.21.

Show that every number of $\mathbb{Q}[\omega]$ is uniquely representable in the form

$$a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}, a_i \in \mathbb{Q} \ \forall i$$

by show that ω is a root of the polynomial

$$f(t) = t^{p-1} + t^{p-2} + \dots + t + 1$$

and that f(t) is irreducible over \mathbb{Q} . (Hint: It is enough to show that f(t+1) is irreducible, which can be established by Eisenstein's criterion. It helps to notice that $f(t+1) = \frac{(t+1)^p-1}{t}$.)

Proof.

(1) Given any number $\alpha \in \mathbb{Q}[\omega]$. Show that

$$\alpha = a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}, a_i \in \mathbb{Q} \ \forall i.$$

Since $\omega^p = 1$, we can write

$$\alpha = a'_0 + a'_1 \omega + a'_2 \omega^2 + \dots + a'_{p-2} \omega^{p-2} + a'_{p-1} \omega^{p-1}, a_i \in \mathbb{Q} \ \forall i.$$

Note that $\omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$, and thus we can replace ω^{p-1} by $-\omega^{p-2} - \cdots - \omega - 1$.

- (2) Show that ω is a root of the polynomial $f(t) = t^{p-1} + t^{p-2} + \cdots + t + 1$. $f(\omega) = \omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$.
- (3) Show that f(t) is irreducible over \mathbb{Q} . It suffices to show that f(t+1) is irreducible over \mathbb{Q} . Write $(t-1)f(t)=t^p-1$. So

$$tf(t+1) = (t+1)^p - 1 \qquad (\text{Put } t \mapsto t+1)$$

$$= \left(\sum_{k=0}^p \binom{p}{k} t^k\right) - 1 \qquad (\text{Binomial theorem})$$

$$= \sum_{k=1}^p \binom{p}{k} t^k,$$

$$f(t+1) = \sum_{k=1}^p \binom{p}{k} t^{k-1}$$

$$= t^{p-1} + pt^{p-2} + \dots + \frac{p(p-1)}{2}t + p.$$

By Eisenstein's criterion, f(t+1) is irreducible over \mathbb{Q} .

(4) To show the uniqueness, it suffices to show that the relation

$$0 = a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}$$

implies all $a_i = 0$. Say $g(t) = a_0 + a_1t + a_2t^2 + \cdots + a_{p-2}t^{p-2} \in \mathbb{Q}[t]$. Clearly $g(\omega) = 0$. By the minimality of f(t), g(t) is identical zero, or all $a_i = 0$.

Exercise 1.22.

Use Exercise 1.21 to show that if $\alpha \in \mathbb{Z}[\omega]$ and $p \mid \alpha$, then (writing $\alpha = a_0 + a_1\omega + \cdots + a_{p-2}\omega^{p-2}$, $a_i \in \mathbb{Z}$) all a_i are divisible by p.

Proof. Since $p \mid \alpha$, there is $\beta \in \mathbb{Z}[\omega]$ such that $\alpha = p\beta$. Write

$$\alpha = a_0 + a_1 \omega + \dots + a_{p-2} \omega^{p-2},$$

 $\beta = b_0 + b_1 \omega + \dots + b_{p-2} \omega^{p-2},$

where $a_i, b_j \in \mathbb{Z}$. By $\alpha = p\beta$ and Exercise 1.21, $a_i = pb_i$ for every $1 \le i \le p-2$. So all a_i are divisible by p. \square

Define congruence mod p for $\beta, \gamma \in \mathbb{Z}[\omega]$ as follows:

$$\beta \equiv \gamma \pmod{p} \ \text{iff } \beta - \gamma = \delta p \ \text{for some } \delta \in \mathbb{Z}[\omega].$$

(Equivalently, this is congruence mod the principal ideal $p\mathbb{Z}[\omega]$.

Exercise 1.23.

Show that if $\beta \equiv \gamma \pmod{p}$, then $\overline{\beta} \equiv \overline{\gamma} \pmod{p}$ where the bar denotes complex conjugation.

Proof.

(1) Show that $\overline{\delta} \in \mathbb{Z}[\omega]$ for any $\delta \in \mathbb{Z}[\omega]$. Write

$$\delta = a_0 + a_1\omega + \dots + a_{p-1}\omega^{p-1}$$

where $a_0, \ldots, a_{p-1} \in \mathbb{Z}$. Take the complex conjugation to get

$$\overline{\delta} = \overline{a_0} + \overline{a_1} \cdot \overline{\omega} + \dots + \overline{a_{p-1}} \cdot \overline{\omega}^{p-1}$$

$$= a_0 + a_1 \overline{\omega} + \dots + a_{p-1} \overline{\omega}^{p-1} \qquad (\text{Every } a_k \in \mathbb{Z})$$

$$= a_0 + a_1 \omega^{p-1} + \dots + a_{p-1} \omega \in \mathbb{Z}[\omega]. \qquad (\omega^p = 1)$$

(2)

$$\beta \equiv \gamma \pmod{p}$$

$$\iff \beta - \gamma = \delta p \text{ for some } \delta \in \mathbb{Z}[\omega]$$

$$\iff \overline{\beta} - \overline{\gamma} = \overline{\delta} p \text{ for some } \delta \in \mathbb{Z}[\omega] \qquad \text{(Complex conjugation)}$$

$$\iff \overline{\beta} - \overline{\gamma} = \delta' p \text{ for some } \delta' \in \mathbb{Z}[\omega] \qquad \text{((1))}$$

$$\iff \overline{\beta} \equiv \overline{\gamma} \pmod{p}$$

Exercise 1.24.

Show that $(\beta + \gamma)^p \equiv \beta^p + \gamma^p \pmod{p}$ and generalize this to sums of arbitrarily many terms by induction.

Proof.

(1) Binomial theorem gives us

$$(\beta + \gamma)^p = \sum_{k=0}^p \binom{p}{k} \beta^k \gamma^{p-k} = \beta^p + \gamma^p + \sum_{k=1}^{p-1} \binom{p}{k} \beta^k \gamma^{p-k}.$$

- (2) Note that every binomial coefficient $\binom{p}{k}$ is divided by p in \mathbb{Z} for $1 \leq k \leq p-1$. Also, every term $\beta^k \gamma^{p-k}$ is in $\mathbb{Z}[\omega]$. So $(\beta+\gamma)^p \beta^p \gamma^p = \delta p$ for some $\delta \in \mathbb{Z}[\omega]$. Hence the result holds.
- (3) In general,

$$\left(\sum_{k=1}^{n} \alpha_k\right)^p \equiv \sum_{k=1}^{n} \alpha_k^p \pmod{p}.$$

Induction by $(\alpha_1 + \alpha_2)^p \equiv \alpha_1^p + \alpha_2^p \pmod{p}$ and $\left(\sum_{k=1}^{n+1} \alpha_k\right)^p \equiv \left(\sum_{k=1}^n \alpha_k\right)^p + \alpha_{n+1}^p \equiv \left(\sum_{k=1}^n \alpha_k^p\right) + \alpha_{n+1}^p \equiv \sum_{k=1}^{n+1} \alpha_k^p \pmod{p}$.

Exercise 1.25.

Show that for all $\alpha \in \mathbb{Z}[\omega]$, α^p is congruent \pmod{p} to some $a \in \mathbb{Z}$. (Hint: Write α in terms of ω and use Exercise 1.24.)

Proof (Hint). Write

$$\alpha = a_0 + a_1\omega + \dots + a_{p-1}\omega^{p-1}$$

where $a_0, \ldots, a_{p-1} \in \mathbb{Z}$. By Exercise 1.24,

$$\alpha^{p} \equiv a_{0}^{p} + (a_{1}\omega)^{p} + \dots + (a_{p-1}\omega^{p-1})^{p}$$

$$\equiv a_{0}^{p} + a_{1}^{p}\omega^{p} + \dots + a_{p-1}^{p}(\omega^{p-1})^{p}$$

$$\equiv a_{0}^{p} + a_{1}^{p}\omega^{p} + \dots + a_{p-1}^{p}(\omega^{p})^{p-1}$$

$$\equiv a_{0}^{p} + a_{1}^{p} + \dots + a_{p-1}^{p}. \qquad (\omega^{p} = 1)$$

Here $a_0^p+a_1^p+\cdots+a_{p-1}^p\in\mathbb{Z}$, and thus α^p is congruent \pmod{p} to some integer. \square

Exercise 1.26-1.28: Now assume $p \geq 5$. We will show that if $x + y\omega = u\alpha^p \pmod{p}$, $\alpha \in \mathbb{Z}[\omega]$, u a unit in $\mathbb{Z}[\omega]$, x and y integers not divisible by p, then $x \equiv y \pmod{p}$. For this we will need the following result, proved by Kummer, on the units of $\mathbb{Z}[\omega]$:

Lemma: If u is a unit in $\mathbb{Z}[\omega]$ and \overline{u} is its complex conjugate, then u/\overline{u} is a power of ω . (For the proof, see Exercise 2.12.)

Exercise 1.26.

Show that $x + y\omega \equiv u\alpha^p \pmod{p}$ implies

$$x + y\omega \equiv (x + y\omega^{-1})\omega^k \pmod{p}$$

for some $k \in \mathbb{Z}$. (Use the Lemma on units and Exercise 1.23 and 1.25. Note that $\overline{\omega} = \omega^{-1}$.)

Proof (Hint).

$$x + y\omega \equiv u\alpha^{p} \pmod{p}$$

$$\Longrightarrow x + y\omega \equiv ua \pmod{p} \text{ for some } a \in \mathbb{Z}$$

$$\Longrightarrow \overline{x + y\omega} \equiv \overline{ua} \pmod{p}$$

$$\Longrightarrow x + y\overline{\omega} \equiv \overline{u}a \pmod{p}$$

$$\Longrightarrow x + y\omega^{-1} \equiv \overline{u}a \pmod{p}$$

$$\Longrightarrow x + y\omega^{-1} \equiv u\omega^{-k}a \pmod{p} \text{ ($\overline{\omega} = \omega^{-1}$)}$$

$$\Longrightarrow x + y\omega^{-1} \equiv u\omega^{-k}a \pmod{p} \text{ for some } k \in \mathbb{Z}$$

$$\Longrightarrow ua \equiv (x + y\omega^{-1})\omega^{k} \pmod{p}$$

$$\Longrightarrow x + y\omega \equiv (x + y\omega^{-1})\omega^{k} \pmod{p}.$$
(Lemma)

Exercise 1.27.

Use Exercise 1.22 to show that a contradiction results unless $k \equiv 1 \pmod{p}$. (Recall that $p \nmid xy$, $p \geq 5$, and $\omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$.)

Proof. Exercise 1.26 shows

$$x + y\omega \equiv (x + y\omega^{-1})\omega^k \pmod{p}.$$

Multiply ω on the both sides to get $x\omega + y\omega^2 \equiv y\omega^k + x\omega^{k+1} \pmod{p}$, or

$$p \mid (x\omega + y\omega^2 - y\omega^k - x\omega^{k+1}).$$

If k were satisfying $k \not\equiv 1 \pmod p$, then by Exercise 1.22 and $p \geq 5$ we have $p \mid x$ or $p \mid y$, contrary to the assumption that x and y are integers not divisible by p. \square

Exercise 1.28.

Finally, show $x \equiv y \pmod{p}$.

Proof. In the argument of Exercise 1.27 we have

$$p \mid ((x-y)\omega + (y-x)\omega^2)$$

by replacing k=1. By Exercise 1.22 and $p\geq 5, x-y$ is divisible by p, or $x\equiv y\pmod p$ as integers. \square

Exercise 1.29.

Let $\omega = \exp(\frac{2\pi i}{23})$. Verify that the product

$$(1 + \omega^2 + \omega^4 + \omega^5 + \omega^6 + \omega^{10} + \omega^{11})(1 + \omega + \omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{11})$$

is divisible by 2 in $\mathbb{Z}[\omega]$, although neither factor is. It can be shown (Exercise 3.17) that 2 is an irreducible element in $\mathbb{Z}[\omega]$; it follows that $\mathbb{Z}[\omega]$ cannot be a UFD.

Proof. Note that $\sum_{k=0}^{22} \omega^k = 0$. So

$$(1 + \omega^2 + \omega^4 + \omega^5 + \omega^6 + \omega^{10} + \omega^{11})(1 + \omega + \omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{11})$$
$$= 2(\omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{10} + 3\omega^{11} + \omega^{12} + \omega^{13} + \omega^{15} + \omega^{16} + \omega^{17})$$

is divisible by 2 in $\mathbb{Z}[\omega]$, although neither factor is. \square

Exercise 1.30-1.32: R is an integral domain (commutative ring with 1 and no zero divisors).

Exercise 1.30.

Show that two ideals in R are isomorphic as R-modules iff they are in the same ideal class.

Proof. Given any two ideals A, B in an commutative integral domain R.

(1) (\Longrightarrow) Let $\varphi:A\to B$ be an R-module isomorphism. Given any nonzero $\alpha\in A,$ we have

$$\varphi(\alpha)A = \{\varphi(\alpha)a : a \in A\}$$

$$= \{\varphi(\alpha a) : a \in A\} \qquad (\varphi \text{ is a homomorphism})$$

$$= \{\alpha\varphi(a) : a \in A\} \qquad (\varphi \text{ is a homomorphism})$$

$$= \{\alpha b : b \in B\} \qquad (\varphi \text{ is an isomorphism})$$

$$= \alpha B.$$

Notice that $\varphi(\alpha) \neq 0$ since $\alpha \neq 0$ and φ is injective. Therefore, $A \sim B$.

- (2) (\iff) Given $A \sim B$, there are nonzero $\alpha, \beta \in R$ such that $\alpha A = \beta B$. Define a map $\varphi : A \to B$ by $\varphi(a) = b$ if $\alpha a = \beta b$.
 - (a) φ is well-defined.
 - (i) Existence of b. Since $\alpha a \in \alpha A = \beta B$, there is $b \in B$ such that $\alpha a = \beta b$.
 - (ii) Uniqueness of b. If $\alpha a = \beta b_1 = \beta b_2$, $\beta(b_1 b_2) = 0$. Since R is an integral domain and $\beta \neq 0$, $b_1 b_2 = 0$ or $b_1 = b_2$.
 - (b) φ is an R-module homomorphism.
 - (i) Show that $\varphi(a_1 + a_2) = \varphi(a_1) + \varphi(a_2)$. Write $\varphi(a_1) = b_1$ and $\varphi(a_2) = b_2$.

$$\varphi(a_1) = b_1 \text{ and } \varphi(a_2) = b_2$$

 $\Longrightarrow \alpha a_1 = \beta b_1 \text{ and } \alpha a_2 = \beta b_2$ (Definition of φ)
 $\Longrightarrow \alpha a_1 + \alpha a_2 = \beta b_1 + \beta b_2$ (Add together)
 $\Longrightarrow \alpha (a_1 + a_2) = \beta (b_1 + b_2)$
 $\Longrightarrow \varphi(a_1 + a_2) = b_1 + b_2 = \varphi(a_1) + \varphi(a_2)$. (Definition of φ)

(ii) Show that $\varphi(ra) = r\varphi(a)$. Write $\varphi(a) = b$.

$$\varphi(a) = b \Longrightarrow \alpha a = \beta b$$
 (Definition of φ)

$$\Longrightarrow r\alpha a = r\beta b$$
 (Multiply r)

$$\Longrightarrow \alpha(ra) = \beta(rb)$$
 (R is commutative)

$$\Longrightarrow \varphi(ra) = rb = r\varphi(a).$$
 (Definition of φ)

- (c) φ is injective. Given $\varphi(a) = 0$. Then $\alpha a = \beta b = \beta 0 = 0$. Since R is an integral domain and $\alpha \neq 0$, $\alpha = 0$.
- (d) φ is surjective. Given any $b \in B$. $\beta b \in \beta B = \alpha A$. There is $a \in A$ such that $\beta b = \alpha a$. Such a satisfies $\varphi(a) = b$.

Therefore, $\varphi:A\to B$ is an R-module isomorphism.

Exercise 1.31.

Show that if A is an ideal in R and if αA is principal for some nonzero $\alpha \in R$, then A is principal. Conclude that the principal ideals form an ideal class.

Proof.

(1) Write $\alpha A = (b)$ for some $b \in \alpha A$. That is, there is $a \in A$ such that

$$b = \alpha a$$
.

(2) Show that A = (a) is principal. $(a) \subseteq A$ holds trivially since $a \in A$ and A is an ideal. Given any $x \in A$. $\alpha x \in \alpha A = (b)$, and thus there is $y \in R$ such that $\alpha x = by$. Replace b by $b = \alpha a$ to get $\alpha x = \alpha ay$ or

$$\alpha(x - ay) = 0.$$

Since $\alpha \neq 0$ and R is an integral domain, x - ay = 0 or $x = ay \in (a)$ or $A \subseteq (a)$. Hence A = (a) is principal.

(3) Show that the principal ideals form an ideal class. Given any $A = (a) \neq 0$ and $B = (b) \neq 0$, we have bA = aB = (ab) for $a, b \in R$ or $A \sim B$.

Exercise 1.32.

Show that the ideal classes in R form a group iff for every ideal A there is an ideal B such that AB is principal.

Note. The Picard group of the spectrum of a Dedekind domain is its ideal class group.

Proof. Let [A] be the ideal class representing by a nonzero ideal A of R. Let

$$Pic(R) = \{ [A] : A \text{ is an ideal of } R \}$$

be the set of all ideal classes. Define the operation $\cdot : \text{Pic}(R) \times \text{Pic}(R) \to \text{Pic}(R)$ by $[A] \cdot [B] \mapsto [AB]$.

- (1) (Closure) Show that the operation $[A] \cdot [B] \mapsto [AB]$ is well-defined. Trivial due to the definition of the ideal class. Note that $[A] \cdot [B] = [B] \cdot [A]$ by the commutativity of R.
- (2) (Associativity) Show that $([A] \cdot [B]) \cdot [C] = [A] \cdot ([B] \cdot [C])$. Trivial due to the definition of the ideal class.
- (3) (Identity element) Show that the non-zero principal ideals form the ideal class [1]. Exercise 1.30 and note that (1) is principal too.
- (4) Show that the set Pic(R) forms an (abelian) group with [1] as the identity element if and only if every [A] has an inverse in Pic(R). By (1)(2)(3), the set Pic(R) forms an (abelian) group iff every element has an inverse element. The conclusion is established.

Chapter 2: Number Fields and Number Rings

Exercise 2.1.

- (a) Show that every number field of degree 2 over \mathbb{Q} is one of the quadratic fields $\mathbb{Q}[\sqrt{m}]$, $m \in \mathbb{Z}$.
- (b) Show that the fields $\mathbb{Q}[\sqrt{m}]$, m squarefree, are pairwise distinct. (Hint: Consider the equation $\sqrt{m} = a + b\sqrt{n}$); use this to show that they are in fact pairwise non-isomorphic.

Proof of (a). Let $f(x) = ax^2 + bx + c$, $a, b, c \in \mathbb{Z}$ $(a \neq 0)$ and assume f is irreducible over \mathbb{Q} . Let α be a root of f(x). So

$$\alpha = \frac{-b \pm \sqrt{m}}{2a}$$

where $m = b^2 - 4ac \in \mathbb{Z}$. Therefore,

$$\mathbb{Q}[\alpha] = \mathbb{Q}\left[\frac{-b \pm \sqrt{m}}{2a}\right] = \mathbb{Q}[\sqrt{m}].$$

Proof of (b). Show that $\mathbb{Q}[\sqrt{m}]$ and $\mathbb{Q}[\sqrt{n}]$ are not isomorphic as fields if m and n are squarefree and $m \neq n$. Reductio ad absurdum.

(1) If $\varphi: \mathbb{Q}[\sqrt{m}] \to \mathbb{Q}[\sqrt{n}]$ were an isomorphism as fields, then φ is an identity map on \mathbb{Q} , and

$$\varphi(\sqrt{m}) = a + b\sqrt{n} \text{ for some } a, b \in \mathbb{Q}$$

$$\Longrightarrow \varphi(\sqrt{m})\varphi(\sqrt{m}) = (a + b\sqrt{n})^2$$

$$\Longrightarrow \varphi(\sqrt{m}\sqrt{m}) = (a + b\sqrt{n})^2$$

$$\Longrightarrow \varphi(m) = a^2 + nb^2 + 2ab\sqrt{n}$$

$$\Longrightarrow m = a^2 + nb^2 + 2ab\sqrt{n}.$$

If $2ab \neq 0$, then $\sqrt{n} = \frac{m-a^2-nb^2}{2ab} \in \mathbb{Q}$, contrary to the assumption that n is squarefree. Hence 2ab = 0.

(2) a=0. Write $b=\frac{r}{s}\in\mathbb{Q}$ where $r,s\in\mathbb{Z}$ and (r,s)=1. So

$$ms^2 = nr^2$$
.

Hence

$$b \neq 0 \Longrightarrow s^2 > 0$$
 and $r^2 > 0$
 $\Longrightarrow m$ and n have the same sign
 $\Longrightarrow (\exists \text{ prime } p \mid m, p \nmid n) \text{ or } (\exists \text{ prime } q \mid n, q \nmid m) \text{ since } m \neq n.$

(a) There is a prime $p \mid m$ but $p \nmid n$.

$$p \mid m \Longrightarrow \operatorname{Write} m = pm_1 \text{ for some } m_1 \in \mathbb{Z}$$

$$\Longrightarrow (pm_1)s^2 = nr^2 \qquad (ms^2 = nr^2)$$

$$\Longrightarrow p \mid nr^2$$

$$\Longrightarrow p \mid r \qquad (p \nmid n \text{ by assumption})$$

$$\Longrightarrow \operatorname{Write} r = pr_1 \text{ for some } r_1 \in \mathbb{Z}$$

$$\Longrightarrow (pm_1)s^2 = n(pr_1)^2 \qquad (ms^2 = nr^2)$$

$$\Longrightarrow m_1s^2 = npr_1^2$$

$$\Longrightarrow p \mid m_1s^2$$

$$\Longrightarrow p \mid m_1$$

$$\Longrightarrow \operatorname{Write} m_1 = pm_2 \text{ for some } r_2 \in \mathbb{Z}$$

$$\Longrightarrow m = p^2m_2,$$

contrary to the assumption that m is squarefree.

- (b) There is a prime $q \mid n$ but $q \nmid m$. Similar to (a).
- (3) b=0. $m=a^2$. Write $a=\frac{r}{s}\in\mathbb{Q}$ where $r,s\in\mathbb{Z}$ and (r,s)=1. Hence $ms^2=r^2$. Similar to the argument in (2).
- (4) By (2)(3), no such isomorphism φ , that is, $\mathbb{Q}[\sqrt{m}]$ and $\mathbb{Q}[\sqrt{n}]$ are not isomorphic as fields.

Supplement. (Isomorphic as vector spaces)

Show that $\mathbb{Q}[\sqrt{m}]$ and $\mathbb{Q}[\sqrt{n}]$ are isomorphic as \mathbb{Q} -vector spaces.

Proof. $[\mathbb{Q}[\sqrt{m}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{n}]:\mathbb{Q}] = 2$. There is a natural map $\varphi:\mathbb{Q}[\sqrt{m}] \to \mathbb{Q}[\sqrt{n}]$ defined by $\varphi(a+b\sqrt{m}) = a+b\sqrt{n}$. Clearly φ is well-defined, linear, injective and surjective. \square

Exercise 2.2.

Let I be the ideal generated by 2 and $1+\sqrt{-3}$ in the ring $\mathbb{Z}[\sqrt{-3}]=\{a+b\sqrt{-3}:a,b\in\mathbb{Z}\}$. Show that $I\neq (2)$ but $I^2=2I$. Conclude that ideals in $\mathbb{Z}[\sqrt{-3}]$ do not factor uniquely into prime ideals. Show moreover that I is the unique prime ideal containing (2) and conclude that (2) is not a product of prime ideals.

Proof.

- (1) Show that $I \neq (2)$.
 - (a) Show that $I \supseteq (2)$. $2 \in (2, 1 + \sqrt{-3}) = I$.
 - (b) Show that $I \nsubseteq (2)$. Consider $1 + \sqrt{-3} \in I$. (Reductio ad absurdum) If $1 + \sqrt{-3}$ were in (2), then there exists $a + b\sqrt{-3}$ such that

$$1 + \sqrt{-3} = 2(a + b\sqrt{-3}) = 2a + 2b\sqrt{-3}$$

Thus, $a = \frac{1}{2}$ and $b = \frac{1}{2}$, which is absurd.

- (2) Show that $I^2 = 2I$.
 - (a) Show that $I^2 \supseteq 2I$. Since $2 \in (2, 1 + \sqrt{-3}) = I$, $2I \subseteq I^2$.
 - (b) Show that $I^2 \subseteq 2I$. All elements of I^2 are generated by

$$2 \cdot 2, 2(1 + \sqrt{-3})$$
 and $(1 + \sqrt{-3})^2$.

Clearly, $2 \cdot 2$, $2(1 + \sqrt{-3}) \in 2I$. Besides,

$$(1+\sqrt{-3})^2 = -2 + 2\sqrt{-3} = 2(-(2) + (1+\sqrt{-3})) \in 2I.$$

Hence $I^2 \subseteq 2I$.

- (3) Show that ideals in $\mathbb{Z}[\sqrt{-3}]$ do not factor uniquely into prime ideals. It is followed by $I^2 = 2I$ and $I \neq (2)$.
- (4) Show that I is the unique prime ideal containing (2).
 - (a) Show that $I = (2, 1 + \sqrt{-3})$ is a prime ideal containing (2). Note that

$$\mathbb{Z}[\sqrt{-3}]/(2) = (\mathbb{Z}/2\mathbb{Z})[\sqrt{-3}] = \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$$

and

$$I/(2) = (1 + \sqrt{-3})$$

is an ideal of $\mathbb{Z}[\sqrt{-3}]/(2)$. So

$$\mathbb{Z}[\sqrt{-3}]/I = (\mathbb{Z}[\sqrt{-3}]/(2))/(I/(2)) = \{0,1\} = \mathbb{Z}/2\mathbb{Z}$$

is an integral domain. Hence I is a prime ideal containing (2).

(b) Suppose I' is a prime ideal containing (2). Similar to part (a),

$$\mathbb{Z}[\sqrt{-3}]/I' = (\mathbb{Z}[\sqrt{-3}]/(2))/(I'/(2))$$
$$= \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}/(I'/(2))$$

must be an integral domain.

(c) Since $\{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$ is not an integral domain, $I'/(2) \neq (0)$ or $I' \neq (2)$. Also, $I'/(2) \neq \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$ implies that $I'/(2) \neq (1) = (\sqrt{-3})$. Therefore we must have $I'/(2) = (1 + \sqrt{-3})$. Here the existence is guaranteed by part (a).

(5) Show that (2) is not a product of prime ideals. (Reductio ad absurdum) Suppose (2) were a product of prime ideals. By part (4), we might write $(2) = I^n$ for some positive integer n. Since $I \neq (2)$ and $I^2 = 2I$,

$$(2) = (2)I^{n-1} \subseteq (2)I.$$

for some $n \geq 2$.

(6) Take $2 \in (2) \subseteq (2)I$. Write

$$2 = 2a_1 + \dots + 2a_k = 2\underbrace{(a_1 + \dots + a_k)}_{:=a \in I}$$

where $a_1, \ldots, a_k \in I$. We take the norm of the both sides to get N(a) = 1. a is a unit in $\mathbb{Z}[\sqrt{-3}]$. $I = \mathbb{Z}[\sqrt{-3}]$, which is absurd. Therefore (2) is not a product of prime ideals.

Exercise 2.3.

Complete the proof of Corollary 2, Theorem 2.1.

Corollary 2: Let m be a squarefree integer. The set of algebraic integers in the quadratic field $\mathbb{Q}[\sqrt{m}]$ is

$$\{a+b\sqrt{m}:a,b\in\mathbb{Z}\} \text{ if } m\equiv 2,3\pmod 4,$$

$$\left\{\frac{a+b\sqrt{m}}{2}:a,b\in\mathbb{Z},a\equiv b\pmod 2\right\} \text{ if } m\equiv 1\pmod 4.$$

Proof.

(1) Let $\alpha = r + s\sqrt{m}$, $r, s \in \mathbb{Q}$. If $s \neq 0$, then the monic irreducible polynomial over \mathbb{Q} having α as a root is

$$x^2 - 2rx + r^2 - ms^2.$$

Thus α is an algebraic integer iff 2r and $r^2 - ms^2$ are both integers.

(2) Hence $4(r^2 - ms^2) = (2r)^2 - m(2s)^2 \in \mathbb{Z}$. $m(2s)^2 \in \mathbb{Z}$ since $2r \in \mathbb{Z}$. Hence $2s \in \mathbb{Z}$ since m is squarefree. Let $a = 2r, b = 2s \in \mathbb{Z}$. Then $a^2 - mb^2 = 4(r^2 - ms^2) \equiv 0 \pmod{4}$. Note that a square $\equiv 0, 1 \pmod{4}$ and thus we consider the following two cases.

(3) If $m \equiv 1 \pmod{4}$, then

$$a^2 - mb^2 \equiv a^2 - b^2 \pmod{4}$$

 $\Longrightarrow a$ and b has the same parity

$$\Longrightarrow \alpha = r + s\sqrt{m} = \frac{a + b\sqrt{m}}{2}, a, b \in \mathbb{Z}, a \equiv b \pmod{2}.$$

(4) If $m \equiv 2, 3 \pmod{4}$, then

$$a^2 - mb^2 \equiv a^2 + 2b^2 \text{ or } a^2 + b^2 \pmod{4}$$

 \Longrightarrow both a and b are even

 \implies both r and s are rational integers

$$\Longrightarrow \alpha = r + s\sqrt{m}, r, s \in \mathbb{Z}.$$

Supplement.

(Exercise I.2.4 in [Jürgen Neukirch, Algebraic Number Theory].) Let D be a squarefree rational integer $\neq 0, 1$ and d the discriminant of the quadratic number field $K = \mathbb{Q}(\sqrt{D})$. Show that

$$d = \begin{cases} D & \text{if } D \equiv 1 \pmod{4}, \\ 4D & \text{if } D \equiv 2, 3 \pmod{4}. \end{cases}$$

and that an integral basis of K is given by $\{1, \sqrt{D}\}$ in the second case, by $\left\{1, \frac{1+\sqrt{D}}{2}\right\}$ in the first case, and by $\left\{1, \frac{d+\sqrt{d}}{2}\right\}$ in both case.

Proof.

- (1) The Galois group of $K|\mathbb{Q}$ has two elements, the identity and an automorphism sending \sqrt{D} to $-\sqrt{D}$.
- (2) Note that $\alpha \in \mathcal{O}_K$ iff $\operatorname{Tr}_{K|\mathbb{Q}}(\alpha), N_{K|\mathbb{Q}}(\alpha) \in \mathbb{Z}$ (by noting that the equation $x^2 \operatorname{Tr}_{K|\mathbb{Q}}(\alpha)x + N_{K|\mathbb{Q}}(\alpha) = 0$ has a root $x = \alpha$). So given $\alpha = x + y\sqrt{D} \in \mathcal{O}_K$, we have

$$\operatorname{Tr}_{K|\mathbb{Q}}(\alpha) = 2x \in \mathbb{Z},$$

 $N_{K|\mathbb{Q}}(\alpha) = x^2 - Dy^2 \in \mathbb{Z}.$

(3) So $4(x^2 - Dy^2) = (2x)^2 - D(2y)^2 \in \mathbb{Z}$. So $D(2y)^2 \in \mathbb{Z}$ since $2x \in \mathbb{Z}$. So $2y \in \mathbb{Z}$ since D is squarefree $\neq 0, 1$. Let r = 2x, s = 2y. Then $r^2 - Ds^2 = 4(x^2 - Dy^2) \equiv 0 \pmod{4}$. Note that a square $\equiv 0, 1 \pmod{4}$ and thus we consider the following two cases.

(4) If
$$D \equiv 1 \pmod{4}$$
, then

$$r^{2} - Ds^{2} \equiv r^{2} - s^{2} \pmod{4}$$

$$\Rightarrow r \text{ and } s \text{ has the same parity}$$

$$\Rightarrow \mathcal{O}_{K} = \left\{ \frac{r + s\sqrt{D}}{2} : r \equiv s \pmod{2} \right\}$$

$$\Rightarrow \mathcal{O}_{K} = \left\{ \frac{r - s}{2} + s \cdot \frac{1 + \sqrt{D}}{2} : r \equiv s \pmod{2} \right\}$$

$$\Rightarrow \mathcal{O}_{K} = \mathbb{Z} + \mathbb{Z} \frac{1 + \sqrt{D}}{2}.$$

So $\left\{1, \frac{1+\sqrt{D}}{2}\right\}$ is an integral basis of K. Hence

$$d = \begin{vmatrix} 1 & \frac{1+\sqrt{D}}{2} \\ 1 & \frac{1-\sqrt{D}}{2} \end{vmatrix}^2 = D.$$

(5) If $D \equiv 2, 3 \pmod{4}$, then

$$r^2 - Ds^2 \equiv r^2 + 2s^2 \text{ or } r^2 + s^2 \pmod{4}$$

 $\Longrightarrow \text{both } r \text{ and } s \text{ are even}$
 $\Longrightarrow \text{both } x \text{ and } y \text{ are rational integers}$
 $\Longrightarrow \mathcal{O}_K = \mathbb{Z} + \mathbb{Z}\sqrt{D}.$

So $\{1, \sqrt{D}\}$ is an integral basis of K. Hence

$$d = \begin{vmatrix} 1 & \sqrt{D} \\ 1 & -\sqrt{D} \end{vmatrix}^2 = 4D.$$

(6) By (4)(5), $\left\{1, \frac{d+\sqrt{d}}{2}\right\}$ is an integral basis of K for any case.

Exercise 2.4.

Suppose a_0, \ldots, a_{n-1} are algebraic integers and α is a complex number satisfying

$$\alpha^{n} + a_{n-1}\alpha^{n-1} + \dots + a_{1}\alpha + a_{0} = 0.$$

Show that the ring $\mathbb{Z}[a_0,\ldots,a_{n-1},\alpha]$ has a finitely generated additive group. (Hint: Consider the products $a_0^{m_0}a_1^{m_1}\cdots a_{n-1}^{m_{n-1}}\alpha^m$ and show that only finitely many values of the exponents are needed.) Conclude that α is an algebraic

integer.

Proof. Let $V = \mathbb{Z}[a_0, \dots, a_{n-1}, \alpha]$. Let n_k be the degree of the algebraic integer a_k where $0 \le k \le n-1$.

(1) Show that V is finitely generated as an additive subgroup of \mathbb{C} . It suffices to show that V is generated by

$$a_0^{m_0} a_1^{m_1} \cdots a_{n-1}^{m_{n-1}} \alpha^m$$

where $0 \le m_k < n_k$ and $0 \le m < n$. Given any $x \in V$, x is a finite sum of the product $a_0^{m_0} a_1^{m_1} \cdots a_{n-1}^{m_{n-1}} \alpha^m$ with $m_k \ge 0$ and $m \ge 0$.

If $m \geq n$, replace α^m by

$$\alpha^{m} = \alpha^{m-n} \alpha^{n}$$

$$= \alpha^{m-n} (-a_{n-1} \alpha^{n-1} - \dots - a_{1} \alpha - a_{0})$$

$$= -a_{n-1} \alpha^{m-1} - \dots - a_{1} \alpha^{m-n+1} - a_{0} \alpha^{m-n}.$$

Repeat this process to reduce the degree of α^m less than n. Therefore, we can write x as a finite sum of the product $a_0^{m'_0}a_1^{m'_1}\cdots a_{n-1}^{m'_{n-1}}\alpha^{m'}$ with $m'_k\geq 0$ and $0\leq m'< n$.

Once the degree of α^m is reduced, continue to reduce the degree of each $a_k^{m_k'}$ without affecting other a_h $(h \neq k)$ and α . Now replace $a_k^{m_k'}$ by

$$a_k^{m_k'} = \sum_{i=0}^{n_k - 1} b_{k,i} a_k^i$$

where $b_{k,i} \in \mathbb{Z}$. Therefore, we can write x as a finite sum of the product $a_0^{m_0''}a_1^{m_1''}\cdots a_{n-1}^{m_{n-1}''}\alpha^{m'}$ with $0 \le m_k'' < n_k$ and $0 \le m' < n$.

(4) Show that α is an algebraic integer. Since $\alpha \in V$, $\alpha V \subseteq V$. Thus α is an algebraic integer (Theorem 2.2).

Exercise 2.5.

Show that if f is any polynomials over $\mathbb{Z}/p\mathbb{Z}$ (p a prime) then $f(x^p) = (f(x))^p$. (Suggestion: Use induction on the number of terms.)

Proof.

(1) Let

$$\binom{p}{k} = \frac{p!}{k!(p-k)!}$$

be a binomial coefficient. If $1 \le k \le p-1$, show that p divides $\binom{p}{k}$.

- (a) If $1 \le k \le p-1$, then $p \nmid k!$ and $p \nmid (p-k)!$ since p is a prime.
- (b) Write $a = \frac{p!}{k!(p-k)!} \in \mathbb{Z}$. Hence,

$$a = \frac{p!}{k!(p-k)!} \iff p! = ak!(p-k)!$$
$$\implies p \mid p! \text{ or } p \mid ak!(p-k)!$$
$$\implies p \mid a \text{ by (a)}.$$

Hence p divides $\binom{p}{k}$ if $1 \le k \le p-1$.

- (2) Note that $a^p = a \in \mathbb{Z}/p\mathbb{Z}$ for all $a \in \mathbb{Z}/p\mathbb{Z}$.
- (3) Write

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \in \mathbb{Z}/p\mathbb{Z}[x].$$

Induction on n.

- (a) n = 0. So $f(x) = a_0$, and thus $f(x)^p = a_0^p = a_0$ by (2).
- (b) n = 1. By $f(x) = a_1 x + a_0$,

$$f(x)^{p} = (a_{1}x + a_{0})^{p}$$

$$= a_{1}^{p}x^{p} + \sum_{k=1}^{p-1} {p \choose k} (a_{1}x)^{k} a_{0}^{p-k} + a_{0}^{p} \quad \text{(Binomial theorem)}$$

$$= a_{1}^{p}x^{p} + a_{0}^{p} \qquad ((1))$$

$$= a_{1}x^{p} + a_{0} \qquad ((2))$$

$$= f(x^{p}).$$

(c) If the statement holds for n-1, then

$$f(x)^{p} = (a_{n}x^{n} + a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p}$$

$$= [a_{n}x^{n} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})]^{p}$$

$$= (a_{n}x^{n})^{p} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p} \qquad \text{(Same as (b))}$$

$$= a_{n}(x^{p})^{n} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p} \qquad \text{((2))}$$

$$= a_{n}(x^{p})^{n} + a_{n-1}(x^{p})^{n-1} + \dots + a_{1}x^{p} + a_{0} \qquad \text{(Induction hypothesis)}$$

$$= f(x^{p}).$$

The inductive step is established.

By induction, $f(x)^p = f(x^p)$ holds for any $n \ge 0$.

Exercise 2.6.

Show that if f and g are polynomials over a field K and $f^2 \mid g$ in K[x], then $f \mid g'$. (Hint: Write $g = f^2h$ and differentiate.)

Proof (Hint). Since $f^2 \mid g$ in K[x], there exists $h \in K[x]$ such $g = f^2h$. Differentiate to get $g' = 2ff'h + f^2h' = f(2f'h + fh')$, or $f \mid g'$ in K[x]. \square

Exercise 2.7.

Complete the proof of Corollary 2, Theorem 2.3.

Corollary 2: The galois group of $\mathbb{Q}[\omega]$ over \mathbb{Q} is isomorphic to the multiplicative group of integer (mod m)

$$(\mathbb{Z}/m\mathbb{Z})^* = \{k : 1 \le k \le m, (k, m) = 1\}.$$

For each $k \in (\mathbb{Z}/m\mathbb{Z})^*$, the corresponding automorphism in the galois group sends ω to ω^k (and hence $g(\omega) \to g(\omega^k)$ for each $g \in \mathbb{Z}[x]$).

Proof.

(1) An automorphism of $\mathbb{Q}[\omega]$ is uniquely determined by the image of ω , and Theorem 2.3 shows that ω can be sent to any of the ω^k , (k,m)=1. (Clearly it can't be sent anywhere else.) This established the one-to-one correspondence between the galois group and the multiplicative group of integer (mod m), say

$$\alpha: \operatorname{Gal}(\mathbb{Q}[\omega]/\mathbb{Q}) \to (\mathbb{Z}/m\mathbb{Z})^*.$$

(2) The composition of automorphisms corresponds to multiplication (mod m) in the natural way. That is, if $\sigma, \tau \in \operatorname{Gal}(\mathbb{Q}[\omega]/\mathbb{Q})$ with $\sigma(\omega) = \omega^k$ and $\tau(\omega) = \omega^h$, then

$$(\sigma\tau)(\omega) = \sigma(\omega^h) = \omega^{kh} \xrightarrow{\alpha} kh.$$

Hence α is a group homomorphism.

Exercise 2.8.

- (a) Let $\omega = e^{\frac{2\pi i}{p}}$, p an odd prime. Show that $\mathbb{Q}[\omega]$ contains \sqrt{p} if $p \equiv 1 \pmod{4}$, and $\sqrt{-p}$ if $p \equiv 3 \pmod{4}$. (Hint: Recall that we have shown that $\mathrm{disc}(\omega) = \pm p^{p-2}$ with + holding iff $p \equiv 1 \pmod{4}$.) Express $\sqrt{-3}$ and $\sqrt{5}$ as polynomials in the appropriate ω .
- (b) Show that the eighth cyclotomic field contains $\sqrt{2}$.
- (c) Show that every quadratic field is contained in a cyclotomic field: In fact, $\mathbb{Q}[\sqrt{m}]$ is contained in the d-th cyclotomic field, where $d = \mathrm{disc}(\mathcal{O}_{\mathbb{Q}[\sqrt{m}]})$. (More generally, Kronecker and Weber proved that every abelian extension of \mathbb{Q} (normal with abelian Galois group) is contained in a cyclotomic field. See the Chapter 4 exercises. Hilbert and others investigated the abelian extensions of an arbitrary number field; their results are known as **class** field theory, which will be discussed in Chapter 8.)

Proof of (a).

(1) Recall that we have shown that

$$\operatorname{disc}(\omega) = \prod_{1 \le r < s \le p} (\omega_r - \omega_s)^2 = (-1)^{\frac{p-1}{2}} p^{p-2} = (-1)^{\frac{p-1}{2}} p \cdot p^{p-3}$$

where $\omega_1 = \omega, \ldots, \omega_p$ are the conjugates of ω over \mathbb{Q} . Hence

$$\prod_{1 \leq r < s \leq p} (\omega_r - \omega_s) = \pm \sqrt{(-1)^{\frac{p-1}{2}} p} \cdot p^{\frac{p-3}{2}} \in \mathbb{Q}[\omega].$$

Note that $p^{\frac{p-3}{2}}\in\mathbb{Q}$ as $p\geq 3$ is odd and \pm is unrelated as $\mathbb{Q}[\omega]$ is a field. Therefore

$$\sqrt{(-1)^{\frac{p-1}{2}}p} \in \mathbb{Q}[\omega].$$

(2) Express $\sqrt{-3}$ as polynomials in the appropriate ω . Take $\omega = e^{\frac{2\pi i}{3}}$. A direct computing shows that

$$\prod_{1 \le r < s \le 3} (\omega_r - \omega_s) = \prod_{1 \le r < s \le 3} (\omega^r - \omega^s)$$
$$= (1 - \omega)(1 - \omega^2)(\omega - \omega^2)$$
$$= 3(-\omega^2 + \omega)$$
$$= 3\sqrt{-3}.$$

Hence $\sqrt{-3} = -\omega^2 + \omega$.

(3) Express $\sqrt{5}$ as polynomials in the appropriate ω . Take $\omega = e^{\frac{2\pi i}{5}}$. A direct computing shows that

$$\prod_{1 \le r < s \le 5} (\omega_r - \omega_s) = \prod_{1 \le r < s \le 5} (\omega^r - \omega^s)$$
$$= 3(\omega - \omega^2)$$
$$= -25(\omega^4 - \omega^3 - \omega^2 + \omega)$$
$$= -25\sqrt{5}.$$

Hence $\sqrt{5} = \omega^4 - \omega^3 - \omega^2 + \omega$.

(4) (Another proof) The quadratic Gauss sum shows that

$$\sum_{n=0}^{p-1} e^{\frac{2\pi i n^2}{p}} = \sqrt{(-1)^{\frac{p-1}{2}} p}.$$

So $\sqrt{-3} = 2\omega_3 + 1$ and $\sqrt{5} = 2\omega_5^4 + 2\omega_5 + 1$.

Proof of (b).

- (1) A root of eighth unity is $\omega = \frac{\sqrt{2}}{2} + \frac{\sqrt{2}}{2}i$.
- (2) Hence

$$\omega + \omega^{-1} = \left(\frac{\sqrt{2}}{2} + \frac{\sqrt{-2}}{2}\right) + \left(\frac{\sqrt{2}}{2} - \frac{\sqrt{-2}}{2}\right) = \sqrt{2} \in \mathbb{Q}[\omega].$$

Proof of (c).

- (1) Note that $\mathbb{Q}[\omega_a, \omega_b] = \mathbb{Q}[\omega_{ab}]$ if $a, b \in \mathbb{Z}$ are relatively prime. Might assume that m is squarefree since $\mathbb{Q}[\sqrt{ab^2}] = \mathbb{Q}[\sqrt{a}]$. Consider the following four cases.
- (2) Suppose m > 0 and $2 \nmid m$. Write

$$m = p_1 \cdots p_r \cdot q_1 \cdots q_s$$

as a product of distinct primes where $p_i \equiv 1 \pmod{4}$ and $q_j \equiv 3 \pmod{4}$. Part (a) shows that

$$\sqrt{p_1}, \dots, \sqrt{p_r}, \sqrt{-q_1}, \dots, \sqrt{-q_s} \in \mathbb{Q}[\omega_{p_1}, \dots, \omega_{p_r}, \omega_{q_1}, \dots, \omega_{q_s}].$$

So $\sqrt{(-1)^s m} \in \mathbb{Q}[\omega_m]$. If s is even, then $\sqrt{m} \in \mathbb{Q}[\omega_m]$ or $\mathbb{Q}[\sqrt{m}] \subseteq \mathbb{Q}[\omega_m]$. If s is odd, then $\sqrt{m} \in \mathbb{Q}[\omega_m, \omega_4] = \mathbb{Q}[\omega_{4m}]$ (since $\sqrt{-1} \in \mathbb{Q}[\omega_4]$). In any case, $\mathbb{Q}[\sqrt{m}]$ is contained in the d-th cyclotomic field, where $d = \operatorname{disc}(\mathcal{O}_{\mathbb{Q}[\sqrt{m}]})$. (See Supplement to Exercise 2.3.)

- (3) Suppose m < 0 and $2 \nmid m$. Similar to (2).
- (4) Suppose m > 0 and $2 \mid m$. Write

$$m = 2 \cdot p_1 \cdots p_r \cdot q_1 \cdots q_s$$

as a product of distinct primes where $p_i \equiv 1 \pmod{4}$ and $q_j \equiv 3 \pmod{4}$. Parts (a)(b) show that

$$\sqrt{2}, \sqrt{p_1}, \dots, \sqrt{p_r}, \sqrt{-q_1}, \dots, \sqrt{-q_s} \in \mathbb{Q}[\omega_8, \omega_{p_1}, \dots, \omega_{p_r}, \omega_{q_1}, \dots, \omega_{q_s}].$$

So $\sqrt{(-1)^s m} \in \mathbb{Q}[\omega_{4m}]$. Note that $\sqrt{(-1)^s} \in \mathbb{Q}[\omega_4] \subseteq \mathbb{Q}[\omega_{4m}]$. Hence $\sqrt{m} \in \mathbb{Q}[\omega_{4m}]$ is contained in the d-th cyclotomic field, where $d = 4m = \operatorname{disc}(\mathcal{O}_{\mathbb{Q}[\sqrt{m}]})$.

(5) Suppose m < 0 and $2 \mid m$. Same as (4).

Exercise 2.9.

With notation as in the proof of Corollary 3, Theorem 2.3, show that there exist integers u and v such that $e^{\frac{2\pi i}{r}} = \omega^u \theta^v$.

Proof.

- (1) Recall $\omega = e^{\frac{2\pi i}{m}}$, $\theta = e^{\frac{2\pi i}{k}}$ and r is the least common multiple of k and m.
- (2) As r is the least common multiple of k and m, there exist coprime integers a and b such that r = am = bk. As (a, b) = 1, there exist integers u and v such that au + bv = 1.
- (3) Hence,

$$\begin{split} \omega^u \theta^v &= e^{\frac{2\pi i u}{m}} \cdot e^{\frac{2\pi i v}{k}} \\ &= e^{\frac{2\pi i a u}{r}} \cdot e^{\frac{2\pi i b v}{r}} \\ &= e^{\frac{2\pi i (au+bv)}{r}} \\ &= e^{\frac{2\pi i}{r}}. \end{split}$$

Exercise 2.10.

Complete the proof of Corollary 3 to Theorem 2.3, by showing if m is even, $m \mid r$, and $\varphi(r) \leq \varphi(m)$, then r = m.

Proof.

(1) Since m is even, write the unique factorization of m as

$$m = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$$

where $p_1 = 2$, all $\alpha_i \ge 1$ $(1 \le i \le k)$, and all p_i $(1 \le i \le k)$ are distinct prime numbers.

(2) Since $m \mid r$, write $r = mm_1$ for some $m_1 \in \mathbb{Z}$. Thus we can write the unique factorization of r as

$$m = p_1^{\beta_1} p_2^{\beta_2} \cdots p_k^{\beta_k} q_1^{\gamma_1} \cdots q_h^{\gamma_h}$$

where all $\beta_i \geq \alpha_i \geq 1$ $(1 \leq i \leq k)$ and all p_i $(1 \leq i \leq k)$ and q_j $(1 \leq j \leq h)$ are distinct prime numbers. Here h might be zero if $m_1 = 1$, and all $q_j \mid m_1$ but $q_j \nmid m$.

(3) Thus,

$$\begin{split} \varphi(m) &= m \left(1 - \frac{1}{2}\right) \cdots \left(1 - \frac{1}{p_k}\right) \\ \varphi(r) &= m m_1 \left(1 - \frac{1}{2}\right) \cdots \left(1 - \frac{1}{p_k}\right) \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &= \varphi(m) m_1 \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &\geq \varphi(m) (q_1 \cdots q_h) \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &\geq \varphi(m) (q_1 - 1) \cdots (q_h - 1). \end{split}$$

(4) Since all $q_j \neq 2$ $(1 \leq j \leq h)$, $q_j - 1 > 1$. Hence by (3) and assumption that $\varphi(r) \leq \varphi(m)$, h = 0 or $m_1 = 1$ or r = m.

Exercise 2.11.

(a) Suppose all roots of a monic polynomial $f \in \mathbb{Q}[x]$ has absolute value 1. Show that the coefficient of x^r has absolute value $\leq \binom{n}{r}$, where n is the degree of f and $\binom{n}{r}$ is the binomial coefficient.

- (b) Show that there are only finitely many algebraic integers α of fixed degree n, all of whose conjugates (including α) have absolute value 1. (Note: If you don't use Theorem 2.1, your proof is probably wrong.)
- (c) Show that α must be a root of 1. (Show that its powers are restricted to a finite set.)

Proof of (a).

(1) Write $f(x) = (x - \alpha_1) \cdots (x - \alpha_n)$ where $\alpha_i \in \mathbb{C}$, $|\alpha_i| = 1$ for $i = 1, 2, \dots, n$.

(2) So

$$f(x) = x^{n} - s_{1}x^{n-1} + s_{2}x^{n-2} + \dots + (-1)s_{n}$$

where

$$s_r = \sum_{1 \le j_1 < \dots < j_r \le n} \alpha_{j_1} \cdots \alpha_{j_r} \in \mathbb{C}.$$

Let $c_r = (-1)^r s_{n-r}$ be the coefficient of x^r .

(3)

$$|c_r| = |(-1)^r s_{n-r}|$$

$$= \left| \sum_{1 \le j_1 < \dots < j_{n-r} \le n} \alpha_{j_1} \dots \alpha_{j_{n-r}} \right|$$

$$\le \sum_{1 \le j_1 < \dots < j_{n-r} \le n} |\alpha_{j_1} \dots \alpha_{j_{n-r}}|$$

$$= \sum_{1 \le j_1 < \dots < j_{n-r} \le n} |\alpha_{j_1}| \dots |\alpha_{j_{n-r}}|$$

$$= \sum_{1 \le j_1 < \dots < j_{n-r} \le n} 1$$

$$= \binom{n}{n-r}$$

$$= \binom{n}{r}.$$

Proof of (b).

(1) Let f be an irreducible monic polynomial over \mathbb{Z} of degree n such that $f(\alpha) = 0$. So f is irreducible over \mathbb{Q} (Theorem 2.1), and thus all the conjugates of α (including α) are roots of f.

- (2) By (a), all the coefficient of x^r has absolute value $\leq \binom{n}{r}$. Since all the coefficient of x^r are integers, there are finitely many irreducible monic polynomials $f \in \mathbb{Z}[x]$ such that $f(\alpha) = 0$ with $|\alpha| = 1$.
- (3) For each such f, there are only finitely many roots. Therefore, there are only finitely many such algebraic integers α .

Proof of (c).

- (1) If $\alpha_1, \ldots, \alpha_n$ are the roots of f of degree n over \mathbb{Q} , then for every $r \in \mathbb{Z}^+$, $\alpha_1^r, \ldots, \alpha_n^r$ are all the roots of some monic polynomial f_r of degree n over \mathbb{Q} (Fundamental theorem of symmetric polynomials).
- (2) Now we consider the powers of α . All the powers of α (α^r) are algebraic integers (Theorem 2.2), and of degree at most n. (Let $g \in \mathbb{Z}[x]$ be the minimal polynomial of α^r over \mathbb{Q} . By (1), $f_r(\alpha^r) = 0$, and thus $g \mid f_r$. Hence $\deg(g) \leq \deg(f_r) = n$.)
- (3) By (b), the powers of α are restricted to a finite set, say $\alpha^r = \alpha^s$ for some $s > r \ge 1$. So $\alpha^{s-r} = 1$ with $s r \ge 1$. That is, α is a root of unity.

Exercise 2.12. (Kummer's Lemma)

Now we can prove Kummer's lemma on units in the p-th cyclotomic field, as stated before Exercise 1.26: Let $\omega = e^{\frac{2\pi i}{p}}$, p an odd prime, and suppose u is a unit in $\mathbb{Z}[\omega]$.

- (a) Show that u/\overline{u} is a root of 1. (Use Exercise 2.11(c) above and observe that complex conjugation is a member of the Galois group of $\mathbb{Z}[\omega]$ over \mathbb{Q} .) Conclude that $u/\overline{u} = \pm \omega^k$ for some k.
- (b) Show that the + sign holds: Assuming $u/\overline{u} = -\omega^k$, we have $u^p = -\overline{u^p}$; show that this implies that u^p is divisible by p in $\mathbb{Z}[\omega]$. (Use Exercise 1.23 and 1.25) But this is impossible since u^p is a unit.

Proof of (a). Write $\alpha = u/\overline{u}$. Then

$$|\alpha|=1\Longrightarrow \alpha$$
 is a root of unity (Exercise 2.11)
 $\Longrightarrow \alpha$ is a $2p$ -th root of unity (Corollary 3 to Theorem 2.3)
 $\Longrightarrow \alpha=\pm\omega^k$ for some $k\in\mathbb{Z}$

Proof of (b). (Reductio ad absurdum) Assume that $u/\overline{u} = -\omega^k$, then

$$u/\overline{u} = -\omega^k \Longrightarrow (u/\overline{u})^p = (-\omega^k)^p$$

 $\Longrightarrow u^p/\overline{u}^p = (-1)^p \omega^{pk} = -1$ (p is odd)
 $\Longrightarrow u^p = -\overline{u}^p = -\overline{u}^p$

By Exercise 1.25, $u^p \equiv a \pmod{p}$ for some $a \in \mathbb{Z}$. By Exercise 1.23, $\overline{u^p} \equiv \overline{a} \equiv a \pmod{p}$. Thus

$$u^p = -\overline{u^p} \Longrightarrow a \equiv -a \pmod{p}$$

 $\Longrightarrow 2a \equiv 0 \pmod{p}$
 $\Longrightarrow a \equiv 0 \pmod{p}$ (p is odd)

or $u^p \equiv 0 \pmod{p}$, contradicts the assumption that u is a unit. Hence $u/\overline{u} = \omega^k$ for some k. \square

Exercise 2.13.

Show that 1 and -1 are the only units in the ring $\mathcal{O}_{\mathbb{Q}[\sqrt{m}]}$, m squarefree, m < 0, $m \neq -1, -3$. What if m = -1 or -3?

Proof.

- (1) Let $K=\mathbb{Q}[\sqrt{m}]$. Define a norm N on K by $N(a+b\sqrt{m})=(a+b\sqrt{m})(a-b\sqrt{m})=a^2+|m|b^2.$
- (2) Corollary 2 to Theorem 2.1 shows that

$$\mathcal{O}_K = \begin{cases} \{a + b\sqrt{m} : a, b \in \mathbb{Z}\} & (m \equiv 2, 3 \pmod{4}), \\ \left\{\frac{a + b\sqrt{m}}{2} : a, b \in \mathbb{Z}, a \equiv b \pmod{2}\right\} & (m \equiv 1 \pmod{4}). \end{cases}$$

Clearly, N maps \mathcal{O}_K to nonnegative integers. That is, u is a unit in \mathcal{O}_K if and only if N(u) = 1 (by the fact that $N(u) = u\overline{u}$).

(3) If $m \equiv 2, 3 \pmod{4}$ and $u = a + b\sqrt{m} \in \mathcal{O}_K$ is a unit $(a, b \in \mathbb{Z})$, then

$$N(u) = 1 = a^2 + |m|b^2.$$

(a) m=-1 or |m|=1. $1=a^2+b^2$ or $(a,b)=(\pm 1,0),(0,\pm 1)$. Hence all units in \mathcal{O}_K are

$$\pm 1, \pm \sqrt{-1}$$
.

(b) m < -1 or |m| > 1. $1 = a^2 + |m|b^2$ implies that $b^2 = 0$. Hence all units in \mathcal{O}_K are ± 1 .

(4) If $m \equiv 1 \pmod{4}$ and $u = \frac{a+b\sqrt{m}}{2} \in \mathcal{O}_K$ is a unit $(a, b \in \mathbb{Z}, a \equiv b \pmod{2})$, then $N(u) = 1 = (\frac{a}{2})^2 + |m|(\frac{b}{2})^2$ or

$$4 = a^2 + |m|b^2.$$

(a) m=-3 or |m|=3. $4=a^2+3b^2$ or $(a,b)=(\pm 2,0), (\pm 1,\pm 1).$ Hence all units in \mathcal{O}_K are

$$\pm 1, \frac{\pm 1 \pm \sqrt{-3}}{2}.$$

- (b) m < -3 or |m| > 3. $4 = a^2 + |m|b^2$ implies that $b^2 = 0$. Hence all units in \mathcal{O}_K are ± 1 .
- (5) By (3)(4), all units in \mathcal{O}_K are

$$\begin{cases} \pm 1 & (m \neq -1, -3), \\ \pm 1, \pm \sqrt{-1} & (m = -1), \\ \pm 1, \frac{\pm 1 \pm \sqrt{-3}}{2} & (m = -3). \end{cases}$$

Exercise 2.14.

Show that $1 + \sqrt{2}$ is a unit in $\mathbb{Z}[\sqrt{2}]$. Use the powers of $1 + \sqrt{2}$ to generate infinitely many solutions to the diophantine equation $a^2 - 2b^2 = \pm 1$. (It will be shown in Chapter 5 that all units in $\mathbb{Z}[\sqrt{2}]$ are of the form $\pm (1+\sqrt{2})^k$, $k \in \mathbb{Z}$.)

Might assume to find nonnegative solutions to the Pell's equation $a^2-2b^2=\pm 1.$

Proof.

(1) Show that $1 + \sqrt{2}$ is a unit in $\mathbb{Z}[\sqrt{2}]$. There is $-1 + \sqrt{2} \in \mathbb{Z}[\sqrt{2}]$ such that

$$(1+\sqrt{2})(-1+\sqrt{2}) = 1 \in \mathbb{Z}[\sqrt{2}].$$

Hence $1 + \sqrt{2}$ is a unit.

(2) $N(a+b\sqrt{2})=|a^2-2b^2|$ is a norm on $\mathbb{Z}[\sqrt{2}]$. To prove this, use the same argument as Exercise 1.1 and note that

$$N(a + b\sqrt{2}) = |(a + b\sqrt{2})(a - b\sqrt{2})|.$$

(3) By (1)(2), all $(1+\sqrt{2})^k$ with $k \ge 0$ are distinct solutions to the diophantine equation $a^2-2b^2=\pm 1$. Explicitly, let

$$(a_0, b_0) = (1, 0),$$

$$(a_1, b_1) = (1, 1),$$

$$(a_2, b_2) = (3, 2),$$

$$(a_3, b_3) = (7, 5),$$

$$...$$

$$(a_k, b_k) = (a_{k-1} + 2b_{k-1}, a_{k-1} + b_{k-1}),$$

$$...$$

Note that all (a_k, b_k) are distinct and satisfying $a_k^2 - 2b_k^2 = \pm 1$. Hence we get infinitely many solutions to the Pell's equation $a^2 - 2b^2 = \pm 1$.

Note. Suppose that all units in $\mathbb{Z}[\sqrt{2}]$ are of the form $\pm (1+\sqrt{2})^k$, $k \in \mathbb{Z}$. Note that $(1+\sqrt{2})^k = (-1+\sqrt{2})^{-k}$. Thus we can find all nonnegative solutions to the Pell's equation $a^2 - 2b^2 = \pm 1$ are exactly the same as (3). \square

Supplement. (Exercise I.1.6 in Jürgen Neukirch, Algebraic Number Theory)

Show that the ring $\mathbb{Z}[\sqrt{d}] = \mathbb{Z} + \mathbb{Z}\sqrt{d}$, for any squarefree rational integer d > 1, has infinitely many units.

Proof. The proof is quoted from Proposition 17.5.2 in the book: Ireland and Rosen, A Classical Introduction to Modern Number Theory, 2nd Ed.

(1) Define the norm of $z = x + y\sqrt{d} \in \mathbb{Z}[\sqrt{d}]$ by $N(z) = z\overline{z}$ or

$$N(x+y\sqrt{d}) = \underbrace{(x+y\sqrt{d})}_{=z} \underbrace{(x-y\sqrt{d})}_{:=\overline{z}} = x^2 - dy^2.$$

Note that a norm is multiplicative. Similar to Exercise I.1.1, $\alpha \in \mathbb{Z}[\sqrt{d}]$ is a unit if and only if $N(\alpha) = \pm 1$.

- (2) To show $\mathbb{Z}[\sqrt{d}]$ has infinitely many units, it suffices to show the equation $x^2 dy^2 = 1$ has infinitely many (x, y) solutions.
- (3) If ξ is irrational then there are infinitely many rational numbers $\frac{x}{y}$, (x,y) = 1 such that $\left|\frac{x}{y} \xi\right| < \frac{1}{y^2}$. It is followed by the pigeonhole principle.
- (4) If d is a positive squarefree integer then there is a constant $M := 2\sqrt{d} + 1$ such that $|x^2 dy^2| < M$ has infinitely many solutions over \mathbb{Z} . Write $x^2 dy^2 = (x + y\sqrt{d})(x y\sqrt{d})$. By part (3), there exist infinitely many

pairs of relatively prime integers $(x,y),\ y>0$ satisfying $\left|x-y\sqrt{d}\right|<\frac{1}{y}.$ Hence

$$|x^{2} - dy^{2}| = |x + y\sqrt{d}||x - y\sqrt{d}|$$

$$\leq (|x - y\sqrt{d}| + 2y\sqrt{d})|x - y\sqrt{d}|$$

$$\leq 2\sqrt{d} + 1.$$

- (5) By part (4), there is an integer m such that $x^2 dy^2 = m$ for infinitely many solutions over \mathbb{Z} . Here $m \neq 0$. We might assume x, y > 0 and x components of solutions are distinct.
- (6) The pigeonhole principle shows that there are two distinct solutions (x_1, y_1) , (x_2, y_2) with $x_1 \neq x_2$ such that

$$x_1 \equiv x_2 \pmod{|m|}, \qquad y_1 \equiv y_2 \pmod{|m|}.$$
 Let $\alpha = x_1 - y_1 \sqrt{d}$, $\beta = x_2 + y_2 \sqrt{d}$ and $\gamma = \alpha \beta$. Hence

$$\gamma = (x_1 - y_1 \sqrt{d})(x_2 + y_2 \sqrt{d})$$

$$= \underbrace{(x_1 x_2 - dy_1 y_2)}_{\equiv 0 \pmod{|m|}} + \underbrace{(x_1 y_2 - x_2 y_1)}_{\equiv 0 \pmod{|m|}} \sqrt{d}$$

$$:= m(u + v\sqrt{d})$$

for some $u+v\sqrt{d}\in\mathbb{Z}[\sqrt{d}]$. Taking norms of $\gamma=\alpha\beta$ gives $N(\gamma)=N(\alpha)N(\beta)$ or

$$m^2(u + v\sqrt{d}) = m^2.$$

Hence $u+v\sqrt{d}=1$. By construction of $x_1,x_2,\ v\neq 0$. Therefore the equation $x^2-dy^2=1$ has one solution with x,y>0.

(7) By part (6), we might take a unit $\varepsilon = x + y\sqrt{d} \in \mathbb{Z}[\sqrt{d}]$ with x, y > 0. Note that $\varepsilon \geq 1 + \sqrt{d} > 1$ (over the ordered field \mathbb{R}). Hence there are infinitely many units

$$\varepsilon, \varepsilon^2, \varepsilon^3, \dots$$

in $\mathbb{Z}[\sqrt{d}]$.

Note. Furthermore, show that there is a unit ε such that every unit has the form $\pm \varepsilon^n$, $n \in \mathbb{Z}$.

Proof.

(1) By the well-ordering principle, there is a unit $\varepsilon = x_1 + y_1 \sqrt{d} \in \mathbb{Z}[\sqrt{d}]$ such that $x_1, y_1 > 0$ and (x_1, y_1) is the smallest solution of $x^2 - dy^2 = \pm 1$ with x, y > 0.

- (2) Now given any unit $\varepsilon' = x + y\sqrt{d}$, x, y > 0, it suffices to show that there is a positive integer n such that $\varepsilon' = \varepsilon^n$.
- (3) (Reductio ad absurdum) If not, there were a positive integer n such that $\varepsilon^n < \varepsilon' < \varepsilon^{n+1}$. Hence $1 < \varepsilon^{-n}\varepsilon' < \varepsilon$. Say $\varepsilon^{-n}\varepsilon' := x' + y'\sqrt{d}$. As $\varepsilon^{-n}\varepsilon' > 1 > 0$, the inverse is satisfying $x' y'\sqrt{d} > 0$. Hence x' > 0.
- (4) As the inverse is satisfying $x' y'\sqrt{d} < 1$, $y' \ge 0$. Note that $y' \ne 0$ (since $\varepsilon > 1$). Hence the existence of $\varepsilon^{-n}\varepsilon'$ contradicts the minimality of ε .
- (5) Now suppose a unit $\varepsilon' = x + y\sqrt{d}$ is of the form x > 0, y < 0. Then $\varepsilon'^{-1} = x y\sqrt{d} = \varepsilon^n$ for some positive integer n by (2)(3)(4). Hence $\varepsilon' = \varepsilon^{-n}$ for some positive integer n. Other two cases of $\varepsilon' = x + y\sqrt{d}$ are similar. Therefore, every unit has the form $\pm \varepsilon^n$, $n \in \mathbb{Z}$.

Supplement. (Exercise I.1.7 in Jürgen Neukirch, Algebraic Number Theory)

Show that the ring $\mathbb{Z}[\sqrt{2}] = \mathbb{Z} + \mathbb{Z}\sqrt{2}$ is euclidean. Show furthermore that its units are given by $\pm (1 + \sqrt{2})^n$, $n \in \mathbb{Z}$, and determine its prime elements.

Proof.

(1) Show that $\mathbb{Z}[\sqrt{2}]$ is euclidean with respect to the function $N: \mathbb{Z}[\sqrt{2}] \to \mathbb{N} \cup \{0\}$, $\alpha \mapsto \alpha \overline{\alpha}$. For $\alpha, \beta \neq 0 \in \mathbb{Z}[\sqrt{2}]$, one has to find $\gamma, \rho \in \mathbb{Z}[\sqrt{2}]$ such that

$$\alpha = \gamma \beta + \rho, \qquad N(\rho) < N(\beta).$$

(2) Extend the norm function N to $\mathbb{Q}[\sqrt{2}]$. Write

$$\frac{\alpha}{\beta} = x + y\sqrt{2} \in \mathbb{Q}[\sqrt{2}].$$

Take $\gamma=u+v\sqrt{2}\in\mathbb{Z}[\sqrt{2}]$ such that u,v are satisfying $|u-x|\leq\frac{1}{2},$ $|v-y|\leq\frac{1}{2}.$ Now take $\rho=\alpha-\gamma\beta.$

(3) Hence,

$$N\left(\frac{\alpha}{\beta} - \gamma\right) = (u - x)^2 + 2(v - y)^2 \le \left(\frac{1}{2}\right)^2 + 2 \cdot \left(\frac{1}{2}\right)^2 < 1$$

and thus

$$N(\rho) = N(\alpha - \gamma \beta) = N(\beta)N\left(\frac{\alpha}{\beta} - \gamma\right) < N(\beta).$$

- (4) Show that its units are given by $\pm (1+\sqrt{2})^n$, $n \in \mathbb{Z}$. $\varepsilon = 1+\sqrt{2} \in \mathbb{Z}[\sqrt{2}]$ is a unit such that (1,1) is the smallest solution of $x^2-2y^2=\pm 1$ with x,y>0. By the note in Exercise I.1.6, all units are given by $\pm (1+\sqrt{2})^n$, $n \in \mathbb{Z}$.
- (5) For all prime numbers $p \neq 2$, one has $p = a^2 2b^2$ $(a, b \in \mathbb{Z})$ if and only if $p \equiv 1, 7 \pmod{8}$. Similar to the proof of Proposition I.1.1, it suffices to show that a prime number $p \equiv 1, 7 \pmod{8}$ of \mathbb{Z} does not remain a prime element in the ring $\mathbb{Z}[\sqrt{2}]$. (Reductio ad absurdum) Note that the congruence

$$2 \equiv x^2 \pmod{p}$$

admits a solution (by the law of quadratic reciprocity). Thus we have $p\mid x^2-2=(x+\sqrt{2})(x-\sqrt{2})$. Hence $\frac{x}{p}\pm\frac{\sqrt{2}}{p}\in\mathbb{Z}[\sqrt{2}]$, which is absurd.

- (6) The prime element π of $\mathbb{Z}[\sqrt{2}]$, up to associated elements, are given as follows.
 - (i) $\pi = \sqrt{2}$,
 - (ii) $\pi = a + \sqrt{2}b$ with $a^2 2b^2 = p$, $p \equiv 1, 7 \pmod{8}$,
 - (iii) $\pi = p, p \equiv 3, 5 \pmod{8}$.

Here, p denotes a prime number of \mathbb{Z} . The proof is exactly the same as Theorem I.1.4.

Exercise 2.15.

- (a) Show that $\mathbb{Z}[\sqrt{-5}]$ contains no element whose norm is 2 or 3.
- (b) Verify that $2 \cdot 3 = (1 + \sqrt{-5})(1 \sqrt{-5})$ is an example of non-unique factorization in the number ring $\mathbb{Z}[\sqrt{-5}]$.

Proof of (a). Since $N(a+b\sqrt{-5})=a^2+5b^2\equiv a^2\equiv 0,1,4\pmod 5$, there is no element whose norm is 2 or 3. \square

Proof of (b).

(1) Show that $2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$.

$$2 \cdot 3 = 6$$
 and $(1 + \sqrt{-5})(1 - \sqrt{-5}) = 6$.

(2) Show that 2 is irreducible. Suppose $2 = \alpha \beta$ where $\alpha, \beta \in \mathbb{Z}[\sqrt{-5}]$. Take norm to get

$$N(2) = N(\alpha)N(\beta) \Longrightarrow 4 = N(\alpha)N(\beta)$$

 $\Longrightarrow N(\alpha) = 1 \text{ or } N(\beta) = 1$
 $\Longrightarrow \alpha \text{ or } \beta \text{ is unit.}$ ((1))

- (3) Show that 3 is irreducible. Similar to (2).
- (4) Show that $1 \pm \sqrt{-5}$ is irreducible. Since $N(1 \pm \sqrt{-5}) = 2$ is prime, $1 + \sqrt{-5}$ is irreducible.

Hence 6 has a non-unique factorization in the number ring $\mathbb{Z}[\sqrt{-5}]$. \square

Exercise 2.16.

Set $\alpha = \sqrt[4]{2}$. Use the trace $T = T^{\mathbb{Q}[\alpha]}$ to show that $\sqrt{3} \notin \mathbb{Q}[\alpha]$. (Hint: Write $\sqrt{3} = a + b\alpha + c\alpha^2 + d\alpha^3$ and successively show that a = 0; b = 0 (what is $T\left(\frac{\sqrt{3}}{\alpha}\right)$?); c = 0; and finally obtain a contradiction.)

Proof.

- (1) Let $K = \mathbb{Q}[\alpha]$. (Reductio ad absurdum) If $\sqrt{3} \in K$, then we can write $\sqrt{3} = a + b\alpha + c\alpha^2 + d\alpha^3$ for some integers a, b, c and d.
- (2) Note that $K = \mathbb{Q}[\alpha] = \mathbb{Q}[\sqrt{3}]$ by assumption. Hence

$$T^{\mathbb{Q}[\sqrt{3}]}(\sqrt{3}) = T^{\mathbb{Q}[\alpha]}(a + b\alpha + c\alpha^2 + d\alpha^3)$$

$$\Longrightarrow 0 = 4a$$

$$\Longrightarrow a = 0.$$

So $\sqrt{3} = b\alpha + c\alpha^2 + d\alpha^3$.

(3) $\sqrt{3} = b\alpha + c\alpha^2 + d\alpha^3$ implies that

$$\underbrace{\sqrt{3}\alpha^3}_{-4\sqrt{72}} = 2b + 2c\alpha + 2d\alpha^2.$$

Since $\mathbb{Q}[\sqrt[4]{72}] \subseteq K$ and $[\mathbb{Q}[\sqrt[4]{72}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt[4]{2}]:\mathbb{Q}] = 4$, $K = \mathbb{Q}[\sqrt[4]{72}]$ Hence

$$T^{\mathbb{Q}[\sqrt[4]{72}]}(\sqrt[4]{72}) = T^{\mathbb{Q}[\alpha]}(2b + 2c\alpha + 2d\alpha^2)$$

$$\Longrightarrow 0 = 8b$$

$$\Longrightarrow b = 0.$$

So $\sqrt{3} = c\alpha^2 + d\alpha^3$.

(4) Similar to (3). $\sqrt{3} = c\alpha^2 + d\alpha^3$ implies that

$$\underbrace{\sqrt{3}\alpha^2}_{=\sqrt{6}} = 2c + 2d\alpha.$$

Since $\mathbb{Q}[\sqrt{6}] \subseteq K$ and $[\mathbb{Q}[\sqrt{6}] : \mathbb{Q}] = [\mathbb{Q}[\sqrt{3}] : \mathbb{Q}] = 2$, $K = \mathbb{Q}[\sqrt{6}]$. Hence $T^{\mathbb{Q}[\sqrt{6}]}(\sqrt{6}) = T^{\mathbb{Q}[\alpha]}(2c + 2d\alpha) \Longrightarrow 0 = 8c \Longrightarrow c = 0$.

So $\sqrt{3} = d\alpha^3$.

(5) Similar to (3)(4), d = 0 and thus $\sqrt{3} = 0$, which is absurd.

Proof (Field theory).

- (1) (Reductio ad absurdum) If $\sqrt{3} \in \mathbb{Q}[\sqrt[4]{2}]$, then $\mathbb{Q}[\sqrt{3}, \sqrt{2}] \subseteq \mathbb{Q}[\sqrt[4]{2}]$. As $[\mathbb{Q}[\sqrt{3}, \sqrt{2}] : \mathbb{Q}] = [\mathbb{Q}[\sqrt[4]{2}] : \mathbb{Q}] = 4$, $\mathbb{Q}[\sqrt{3}, \sqrt{2}] = \mathbb{Q}[\sqrt[4]{2}]$.
- (2) Note that $\mathbb{Q}[\sqrt{3}, \sqrt{2}]$ is normal over \mathbb{Q} but $\mathbb{Q}[\sqrt[4]{2}]$ is not normal over \mathbb{Q} .

Supplement.

- (1) Give an example of fields $F \subseteq K \subseteq L$ where L/K and K/F are normal but L/F is not normal.
- (2) Show that $\sqrt[3]{3} \notin \mathbb{Q}[\sqrt[3]{2}]$.
- (3) Show that $1 + 5\sqrt[3]{2} \sqrt[3]{4}$ is not a perfect square in $\mathbb{Q}[\sqrt[3]{2}]$.

Exercise 2.19. (Vandermonde determinant)

Let R be a commutative ring and fix elements $a_1, a_2, \ldots \in R$. We will prove by induction that the Vandermonde determinant

$$\begin{vmatrix} 1 & a_1 & \cdots & a_1^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & a_n^{n-1} \end{vmatrix}$$

is equal to the product $\prod_{1 \leq r < s \leq n} (a_s - a_r)$. Assuming that the result holds for some n, consider the determinant

$$\begin{vmatrix} 1 & a_1 & \cdots & a_1^n \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & a_n^n \\ 1 & a_{n+1} & \cdots & a_{n+1}^n \end{vmatrix}.$$

Show that this is equal to

$$\begin{vmatrix} 1 & a_1 & \cdots & f(a_1) \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & f(a_n) \\ 1 & a_{n+1} & \cdots & f(a_{n+1}) \end{vmatrix}$$

for any monic polynomial f over R of degree n. Then choose f cleverly so that the determinant is easily calculated.

Proof.

(1) Let

$$V_n = \begin{pmatrix} 1 & a_1 & \cdots & a_1^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & a_n^{n-1} \end{pmatrix}$$

be the Vandermonde matrix. We will apply the induction to show that $\det(V_n) = \prod_{1 \le r \le s \le n} (a_s - a_r)$.

(2) Nothing to do for n = 1, 2. Now Assuming that the result holds for some n, consider the determinant

$$\det(V_{n+1}) = \begin{vmatrix} 1 & a_1 & \cdots & a_1^n \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & a_n^n \\ 1 & a_{n+1} & \cdots & a_{n+1}^n \end{vmatrix}.$$

(3) Show that

$$\det(V_{n+1}) = \begin{vmatrix} 1 & a_1 & \cdots & f(a_1) \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & f(a_n) \\ 1 & a_{n+1} & \cdots & f(a_{n+1}) \end{vmatrix}$$

for any monic polynomial f over R of degree n. Note that $\det(V_{n+1})$ is unchanged by adding a multiple of one column of V_{n+1} to another column of V_{n+1} . In particular, we add a multiple of the i-th column of V_{n+1} to the last column of V_{n+1} for $i=1,2,\ldots,n$. Then we obtain the equation

$$\det(V_{n+1}) = \begin{vmatrix} 1 & a_1 & \cdots & f(a_1) \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & f(a_n) \\ 1 & a_{n+1} & \cdots & f(a_{n+1}) \end{vmatrix}.$$

(4) In particular, we take

$$f(x) = (x - a_1)(x - a_2) \cdots (x - a_n).$$

Therefore

$$\det(V_{n+1}) = \begin{vmatrix} 1 & a_1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & 0 \\ 1 & a_{n+1} & \cdots & \prod_{1 \le r \le n} (a_{n+1} - a_r) \end{vmatrix}$$

$$= (-1)^{(n+1)+(n+1)} \prod_{1 \le r \le n} (a_{n+1} - a_r) \begin{vmatrix} 1 & a_1 & \cdots & a_1^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \cdots & a_n^{n-1} \end{vmatrix}$$

$$= \prod_{1 \le r \le n} (a_{n+1} - a_r) \prod_{1 \le r < s \le n} (a_s - a_r)$$

$$= \prod_{1 \le r < s \le n+1} (a_s - a_r).$$

By induction, the result is established.

Exercise 2.20.

Let f be a monic irreducible polynomial over a number field K and let α be one of its roots in \mathbb{C} . Show that $f'(\alpha) = \prod_{\beta \neq \alpha} (\alpha - \beta)$ with the product taken over all roots $\beta - \alpha$. (Hint: Write $f(x) = (x - \alpha)g(x)$.)

Proof.

(1) Note that f has no repeated roots in $\mathbb C$ by the irreducibility of f. So we can write

$$f(x) = (x - \alpha)g(x) = (x - \alpha) \prod_{\beta \neq \alpha} (x - \beta).$$

(2) So

$$f'(x) = g(x) + (x - \alpha)g'(x)$$

by the Leibniz rule. Take $x = \alpha$ to get

$$f'(\alpha) = g(\alpha) = \prod_{\beta \neq \alpha} (\alpha - \beta).$$

Exercise 2.22. (Stickelberger's criterion)

Let K be a number field of degree n over \mathbb{Q} and fix algebraic integers $\alpha_1, \ldots, \alpha_n \in K$. We know that $d = \operatorname{disc}(\alpha_1, \ldots, \alpha_n)$ is in \mathbb{Z} ; we will show that $d \equiv 0$ or $1 \pmod{4}$. Letting $\sigma_1, \ldots, \sigma_n$ denote the embeddings of K in \mathbb{C} , we know that d is the square of the determinant $|\sigma_i(\alpha_j)|$. This determinant is a sum of n! terms, one for each permutation of $\{1, \ldots, n\}$. Let P denote the sum of the terms corresponding to even permutations, and let N denote the sum of the terms (without negative signs) corresponding to odd permutations. Thus $d = (P - N)^2 = (P + N)^2 - 4PN$. Complete the proof by showing that P + N and PN are in \mathbb{Z} . (Suggestion: Show that they are algebraic integers and that they are in \mathbb{Q} ; for the latter, extend all σ_i to some normal extension L of \mathbb{Q} so that they become automorphisms of L.)

In particular we have $\operatorname{disc}(\mathcal{O}_K) \equiv 0$ or $1 \pmod{4}$. This is known as **Stickelberger's** criterion.

Proof.

- (1) Let $\sigma_1, \ldots, \sigma_n$ be the embeddings of K in \mathbb{C} .
- (2) Note that

$$|\sigma_i \alpha_j| = \sum_{\pi \in S_n} \left(\operatorname{sgn}(\pi) \prod_{i=1}^n \sigma_i \alpha_{\pi(i)} \right)$$

$$= \sum_{\substack{\pi \in A_n \text{ } i=1 \\ :=P}} \prod_{i=1}^n \sigma_i \alpha_{\pi(i)} - \sum_{\substack{\pi \in S_n - A_n \text{ } i=1 \\ :=N}} \prod_{i=1}^n \sigma_i \alpha_{\pi(i)}$$

where S_n is the symmetric group of degree n and A_n is the alternating group of degree n.

- (3) Note that $\sigma_i(P+N)=P+N$ and $\sigma_i(PN)=PN$ for all σ_i . Hence $P+N, PN \in \mathbb{Q}$ by extending all σ_i to some normal extension L of \mathbb{Q} so that they become automorphisms of L. Therefore $P+N, PN \in \mathbb{Q} \cap \mathcal{O}_K = \mathbb{Z}$.
- (4) By (2)(3),

$$d = |\sigma_i \omega_j|^2$$

$$= (P - N)^2$$

$$= (P + N)^2 - 4PN$$

$$\equiv 0, 1 \pmod{4}.$$

In particular, $\operatorname{disc}(\mathcal{O}_K) \equiv 0, 1 \pmod{4}$.

Supplement.

(Exercise I.2.7 (Stickelberger's discriminant relation) in [Jürgen Neukirch, Algebraic Number Theory].) The discriminant d_K of an algebraic number field K is always $\equiv 0 \pmod{4}$ or $\equiv 1 \pmod{4}$. (Hint: The discriminant $\det(\sigma_i \omega_j)$ of an integral basis ω_j is a sum of terms, each prefixed by a positive or a negative sign. Writing P (resp. N) for the sum of the positive (resp. negative) terms, one find $d_K = (P - N)^2 = (P + N)^2 - 4PN$.)

Proof (Hint).

(1) Let S_n be the symmetric group of degree n, and A_n be the alternating group of degree n. So

$$\det(\sigma_i \omega_j) = \sum_{\pi \in S_n} \left(\operatorname{sgn}(\pi) \prod_{i=1}^n \sigma_i \omega_{\pi(i)} \right)$$
$$= \sum_{\substack{\pi \in A_n \ i=1 \ :=P}} \prod_{i=1}^n \sigma_i \omega_{\pi(i)} - \sum_{\substack{\pi \in S_n - A_n \ i=1 \ :=N}} \prod_{i=1}^n \sigma_i \omega_{\pi(i)}.$$

- (2) Note that $\sigma_i(P+N) = P+N$ and $\sigma_i(PN) = PN$ for all σ_i . Hence $P+N, PN \in \mathbb{Q}$ by extending all σ_i to some normal extension L of \mathbb{Q} so that they become automorphisms of L. Therefore $P+N, PN \in \mathbb{Q} \cap \mathcal{O}_K = \mathbb{Z}$.
- (3) By (1)(2),

$$d_K = \det(\sigma_i \omega_j)^2$$

$$= (P - N)^2$$

$$= (P + N)^2 - 4PN$$

$$\equiv 0, 1 \pmod{4}.$$

Exercise 2.24.

Let G be a free abelian group of rank n and let H be a subgroup. Without loss of generality we take $G = \mathbb{Z} \oplus \cdots \oplus \mathbb{Z}$ (n times). We will show by induction that H is a free abelian group of rank $\leq n$. First prove it for n = 1. Then, assuming the result holds for n - 1, let $\pi : G \to \mathbb{Z}$ denote the obvious projection of G on the first factor (so that an n-tuple of integers gets sent to its first component). Let K denote the kernel of π .

(a) Show that $H \cap K$ is a free abelian group of rank $\leq n-1$.

(b) The image $\pi(H) \subseteq \mathbb{Z}$ is either $\{0\}$ or infinite cyclic. If it is $\{0\}$, then $H = H \cap K$; otherwise fix $h \in H$ such that $\pi(h)$ generates $\pi(H)$ and show that H is the direct sum of its subgroups $\pi(H) = \pi(h)\mathbb{Z}$ and $H \cap K$.

Proof.

- (1) Induction on n. If n = 1, then H is a subgroup of $G = \mathbb{Z}$. Thus H = 0 or $H = h\mathbb{Z} \cong \mathbb{Z}$ for some integer h > 0. In any case, H is a free abelian group of rank ≤ 1 .
- (2) Assume the result holds for n-1. Suppose $G = \mathbb{Z} \oplus \cdots \oplus \mathbb{Z}$ (n times). Let $\pi : G \to \mathbb{Z}$ denote the obvious projection of G on the first factor, say

$$\pi((g_1,\ldots,g_n))\mapsto g_1.$$

So the kernel of π is

$$\{(g_1,\ldots,g_n)\in G:g_1=0\}=\{(0,g_2,\ldots,g_n)\in G\}\cong\mathbb{Z}^{n-1}$$

is a free abelian group of rank n-1.

- (3) (Part (a)) Show that $H \cap K$ is a free abelian group of rank $\leq n-1$. Note that $H \cap K$ is a subgroup of a free abelian group $K = \ker(\pi)$ of rank n-1. The induction hypothesis shows that $H \cap K$ is a free abelian group of rank $\leq n-1$.
- (4) Show that the image $\pi(H) \subseteq \mathbb{Z}$ is either $\{0\}$ or infinite cyclic. As π is a group homomorphism, $\pi(H)$ is a subgroup of \mathbb{Z} . Thus $\pi(H)$ is a free abelian group of rank ≤ 1 .
- (5) Show that $H = \pi(H) \oplus (H \cap K)$. If $\pi(H) = 0$, then $H = H \cap K = \pi(H) \oplus (H \cap K)$. If $\pi(H)$ is infinite cyclic, we might assume that $\pi(H)$ is generated by $\pi(h_0)$ for some $h_0 \in H$.
- (6) Observe that

$$\pi|_H: H \to \pi(H)$$

is surjective and $\ker(\pi|_H) = K \cap H$. Given any $h \in H$. we have $\pi(h) = \pi(h_0) \cdot a = \pi(ah_0)$ for some integer a. So $h - ah_0 \in H \cap K$. Since $H \cap K$ is a free abelian group K of rank $\leq n - 1$, we might write

$$h - ah_0 = b_1k_1 + \dots + b_rk_r$$

where $\{k_1, \ldots, k_r\}$ is a basis of $H \cap K$ $(r \leq n-1)$ and $b_1, \ldots, b_r \in \mathbb{Z}$. Therefore

$$h = ah_0 + b_1k_1 + \dots + b_rk_r$$

is generated by a basis $\{h_0, k_1, \dots, k_r\}$ (since $\pi(h_0) \neq 0$ by assumption). Hence $H = \pi(H) \oplus (H \cap K)$.

Supplement.

(Exercise 2.9. in [Atiyah and Macdonald, Introduction to Commutative Algebra].) Let $0 \to M' \to M \to M'' \to 0$ be an exact sequence of A-modules. If M' and M'' are finitely generated, then so is M.

Proof.

(1) Write

$$0 \to M' \xrightarrow{f} M \xrightarrow{g} M'' \to 0.$$

Also write

$$x_1, \ldots, x_n$$
 as generators of M' , z_1, \ldots, z_m as generators of M''

(since M' and M'' are finitely generated).

- (2) Since the map $g: M \to M''$ is surjective, there exists $y_j \in M$ such that $g(y_j) = z_j$ for $j = 1, \ldots, m$.
- (3) Show that M is generated by

$$f(x_1),\ldots,f(x_n),y_1,\ldots,y_m.$$

Given any $y \in M$.

$$y \in M \Longrightarrow g(y) \in M''$$

$$\Longrightarrow g(y) = \sum_{j=1}^m s_j z_j \text{ where } s_j \in A$$

$$\Longrightarrow g(y) = \sum_{j=1}^m s_j g(y_j)$$

$$\Longrightarrow g(y) = g\left(\sum_{j=1}^m s_j y_j\right)$$

$$\Longrightarrow y - \sum_{j=1}^m s_j y_j \in \ker(g) = \operatorname{im}(f)$$

$$\Longrightarrow \exists \ x \in M' \text{ such that } f(x) = y - \sum_{j=1}^m s_j y_j$$

Write $x = \sum_{i=1}^{n} r_i x_i$ where $r_i \in A$. So,

$$y \in M \Longrightarrow f\left(\sum_{i=1}^{n} r_i x_i\right) = y - \sum_{j=1}^{m} s_j y_j$$
$$\Longrightarrow \sum_{i=1}^{n} r_i f(x_i) = y - \sum_{j=1}^{m} s_j y_j$$
$$\Longrightarrow y = \sum_{i=1}^{n} r_i f(x_i) + \sum_{j=1}^{m} s_j y_j.$$

Hence, every $y \in M$ is a linear combination of $f(x_1), \ldots, f(x_n), y_1, \ldots, y_m$, or M is finitely generated (by $f(x_1), \ldots, f(x_n), y_1, \ldots, y_m$).

Exercise 2.25.

Show that for any algebraic number α , there exists $m \in \mathbb{Z}$, $m \neq 0$, such that $m\alpha$ is an algebraic integer. (Hint: Obtain $f \in \mathbb{Z}[x]$ such that $f(\alpha) = 0$ and take m to be a power of the leading coefficient.) Use this to show that for every finite set of algebraic numbers α_i , there exists $m \in \mathbb{Z}$, $m \neq 0$, such that all $m\alpha_i \in \mathcal{O}_{\mathbb{Q}}$.

Proof.

(1) As α is an algebraic number, there is a monic polynomial

$$f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Q}[x]$$

such that $f(\alpha) = 0$. Eliminating all denominators of a_{n-1}, \ldots, a_0 , we might assume that

$$f(x) = mx^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$$

such that $f(\alpha) = 0$ where $m \neq 0$.

(2) Hence

$$m^{n}\alpha^{n} + m^{n-1}a_{n-1}\alpha^{n-1} + \dots + m^{n-1}a_{0} = 0$$

$$\Longrightarrow (m\alpha)^{n} + \underbrace{a_{n-1}}_{\in \mathbb{Z}}(m\alpha)^{n-1} + \underbrace{ma_{n-2}}_{\in \mathbb{Z}}(m\alpha)^{n-2} + \dots + \underbrace{m^{n-1}a_{0}}_{\in \mathbb{Z}} = 0.$$

Therefore $m\alpha$ $(m \neq 0)$ is an algebraic integer.

(3) Given finitely many algebraic numbers $\alpha_1, \ldots, \alpha_r$. There exist $m_i \in \mathbb{Z}$, $m_i \neq 0$, such that $m_i \alpha_i \in \mathcal{O}_{\mathbb{Q}}$ for all $i = 1, \ldots, r$. Take $m = m_1 \cdots m_r$. Hence all $m\alpha_i$ are algebraic integers again.

Exercise 2.28.

Let $f(x) = x^3 + ax + b$, a and $b \in \mathbb{Z}$, and assume f is irreducible over \mathbb{Q} . Let α be a root of f.

- (a) Show that $f'(\alpha) = -\frac{2a\alpha + 3b}{\alpha}$.
- (b) Show that $2a\alpha + 3b$ is a root of

$$\left(\frac{x-3b}{2a}\right)^3 + a\left(\frac{x-3b}{2a}\right) + b.$$

Use this to find $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b)$.

- (c) Show that $disc(\alpha) = -(4a^3 + 27b^2)$.
- (d) Suppose $\alpha^3 = \alpha + 1$. Prove that $\{1, \alpha, \alpha^2\}$ is an integral basis for $\mathcal{O}_{\mathbb{Q}[\alpha]}$. (See Exercise 2.27(e).) Do the same if $\alpha^3 + \alpha = 1$.

Proof of (a).

- (1) Show that $\alpha \neq 0$. If α were 0, then $f(\alpha) = f(0) = b$. So $f(x) = x^3 + ax = x(x^2 + a)$ is reducible, contrary to the irreducibility of f.
- (2) Since α be a root of f, $f(\alpha) = 0$, or $\alpha^3 + a\alpha + b = 0$, or $\alpha^3 = -a\alpha b$.
- (3)

$$f'(x) = 3x^2 + a \Longrightarrow f'(\alpha) = 3\alpha^2 + a$$

$$\iff \alpha f'(\alpha) = 3\alpha^3 + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = 3(-a\alpha - b) + a\alpha \qquad (\alpha^3 = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -2a\alpha - 3b.$$

So
$$f'(\alpha) = -\frac{2a\alpha + 3b}{\alpha}$$
.

Proof of (b).

(1) Since $\alpha^3 + a\alpha + b = 0$,

$$\left(\frac{(2a\alpha+3b)-3b}{2a}\right)^3+a\left(\frac{(2a\alpha+3b)-3b}{2a}\right)+b=0.$$

That is, $2a\alpha + 3b$ is a root of $\left(\frac{x-3b}{2a}\right)^3 + a\left(\frac{x-3b}{2a}\right) + b$.

(2) $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha+3b)$ is the product of three roots of $\left(\frac{x-3b}{2a}\right)^3+a\left(\frac{x-3b}{2a}\right)+b$. Hence,

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b) = (2a)^3 \left[\left(\frac{-3b}{2a} \right)^3 + a \cdot \frac{-3b}{2a} + b \right]$$
$$= 8a^3 \left[\frac{-27b^3}{8a^3} - \frac{b}{2} \right]$$
$$= -27b^3 - 4a^3b.$$

Proof of (c).

$$\operatorname{disc}(\alpha) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(f'(\alpha)) \qquad \text{(Theorem 2.8)}$$

$$= -N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left(-\frac{2a\alpha + 3b}{\alpha} \right) \qquad (n = 3 \text{ and (a)})$$

$$= \frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\alpha)}$$

$$= \frac{-27b^3 - 4a^3b}{b} \qquad \text{((b))}$$

$$= -27b^2 - 4a^3.$$

Proof of (d).

- (1) Write $\alpha^3 = \alpha + 1$ as $\alpha^3 \alpha 1 = 0$. Note that $f(x) = x^3 x 1$ is irreducible over \mathbb{Q} since f(x) is irreducible over $\mathbb{Z}/3\mathbb{Z}$. So disc $(\alpha) = -23$ (by (c)). Since disc (α) is squarefree, the result is established (Exercise 2.27(e)).
- (2) Similar to (1). Write $\alpha^3 + \alpha = 1$ as $\alpha^3 + \alpha 1 = 0$. Note that $f(x) = x^3 + x 1$ is irreducible over \mathbb{Q} since f(x) is irreducible over $\mathbb{Z}/2\mathbb{Z}$. So $\operatorname{disc}(\alpha) = -31$ (by (c)). Since $\operatorname{disc}(\alpha)$ is squarefree, the result is established (Exercise 2.27(e)).

Exercise 2.43.

Let $f(x) = x^5 + ax + b$, a and $b \in \mathbb{Z}$, and assume f is irreducible over \mathbb{Q} . Let α be a root of f.

- (a) Show that $disc(\alpha) = 4^4a^5 + 5^4b^4$. (Suggestion: See Exercise 2.28.)
- (b) Suppose $\alpha^5 = \alpha + 1$. Prove that $\mathcal{O}_{\mathbb{Q}[\alpha]} = \mathbb{Z}[\alpha]$. $(x^5 x 1 \text{ is irreducible over } \mathbb{Q}; \text{ this can be shown by reducing } \pmod{3}$.)

Proof of (a)(Exercise 2.28).

- (1) Show that $f'(\alpha) = -\frac{4a\alpha + 5b}{\alpha}$
 - (a) Show that $\alpha \neq 0$. If α were 0, then $f(\alpha) = f(0) = b$. So $f(x) = x^5 + ax = x(x^4 + a)$ is reducible, contrary to the irreducibility of f.
 - (b) Since α be a root of f, $f(\alpha) = 0$, or $\alpha^5 + a\alpha + b = 0$, or $\alpha^5 = -a\alpha b$.
 - (c)

$$f'(x) = 5x^4 + a \Longrightarrow f'(\alpha) = 5\alpha^4 + a$$

$$\iff \alpha f'(\alpha) = 5\alpha^5 + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = 5(-a\alpha - b) + a\alpha \quad (\alpha^5 = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -4a\alpha - 5b.$$

So
$$f'(\alpha) = -\frac{4a\alpha + 5b}{\alpha}$$
.

(2) Show that $4a\alpha + 5b$ is a root of

$$\left(\frac{x-5b}{4a}\right)^5 + a\left(\frac{x-5b}{4a}\right) + b.$$

Use this to show that $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b) = -4^4a^5b - 5^5b^5$.

(a) Since $\alpha^5 + a\alpha + b = 0$,

$$\left(\frac{(4a\alpha+5b)-5b}{4a}\right)^5+a\left(\frac{(4a\alpha+5b)-5b}{4a}\right)+b=0.$$

That is, $4a\alpha + 5b$ is a root of $\left(\frac{x-5b}{4a}\right)^5 + a\left(\frac{x-5b}{4a}\right) + b$.

(b) $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b)$ is the product of 5 roots of $\left(\frac{x-5b}{4a}\right)^5 + a\left(\frac{x-5b}{4a}\right) + b$. Hence,

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b) = (4a)^5 \left[\left(\frac{-5b}{4a} \right)^5 + a \cdot \frac{-5b}{4a} + b \right]$$
$$= 4^5 a^5 \left[\frac{-5^5 b^5}{4^5 a^5} - \frac{b}{4} \right]$$
$$= -5^5 b^5 - 4^4 a^5 b.$$

(3) Show that $disc(\alpha) = 4^4a^5 + 5^4b^4$.

$$\operatorname{disc}(\alpha) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(f'(\alpha)) \qquad \text{(Theorem 2.8)}$$

$$= N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left(-\frac{4a\alpha + 5b}{\alpha} \right) \qquad (n = 5 \text{ and } (1))$$

$$= -\frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\alpha)}$$

$$= -\frac{-4^4 a^5 b - 5^5 b^5}{b}$$

$$= 4^4 a^5 + 5^4 b^4.$$

Proof of (b)(Exercise 2.28). Write $\alpha^5 = \alpha + 1$ as $\alpha^5 - \alpha - 1 = 0$. Note that $f(x) = x^5 - x - 1$ is irreducible over \mathbb{Q} since f(x) is irreducible over $\mathbb{Z}/3\mathbb{Z}$. So $\operatorname{disc}(\alpha) = 881$ (by (a)). Since $\operatorname{disc}(\alpha)$ is squarefree (a prime number), the result is established (Exercise 2.27(e)). \square

Exercise 2.45.

Obtain a formula for $disc(\alpha)$ if α is a root of an irreducible polynomial $x^n + ax + b$ over \mathbb{Q} . Do the same for $x^n + ax^{n-1} + b$.

Assume that n > 2.

Proof of $x^n + ax + b$ (Exercise 2.28).

- (1) Show that $f'(\alpha) = -\frac{(n-1)a\alpha + nb}{\alpha}$.
 - (a) Show that $\alpha \neq 0$. If α were 0, then $f(\alpha) = f(0) = b$. So $f(x) = x^n + ax = x(x^{n-1} + a)$ is reducible, contrary to the irreducibility of f.
 - (b) Since α be a root of f, $f(\alpha) = 0$, or $\alpha^n + a\alpha + b = 0$, or $\alpha^n = -a\alpha b$.
 - (c)

$$f'(x) = nx^{n-1} + a \Longrightarrow f'(\alpha) = n\alpha^{n-1} + a$$

$$\iff \alpha f'(\alpha) = n\alpha^n + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = n(-a\alpha - b) + a\alpha \qquad (\alpha^n = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -(n-1)a\alpha - nb.$$

So
$$f'(\alpha) = -\frac{(n-1)a\alpha + nb}{\alpha}$$
.

(2) Let $\beta = (n-1)a\alpha + nb$. Show that β is a root of

$$\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b.$$

Use this to show that

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta) = -(n-1)^{n-1}a^nb + (-1)^nn^nb^n.$$

(a) Since $\alpha^n + a\alpha + b = 0$,

$$\left(\frac{\beta - nb}{(n-1)a}\right)^n + a\left(\frac{\beta - nb}{(n-1)a}\right) + b = 0.$$

That is, β is a root of $\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b$.

(b) $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta)$ is the product of n roots of $\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b$. Hence,

$$\begin{split} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta) &= ((n-1)a)^n \left[\left(\frac{-nb}{(n-1)a} \right)^n + a \cdot \frac{-nb}{(n-1)a} + b \right] \\ &= (n-1)^n a^n \left[\frac{(-1)^n n^n b^n}{(n-1)^n a^n} - \frac{b}{n-1} \right] \\ &= (-1)^n n^n b^n - (n-1)^{n-1} a^n b. \end{split}$$

(3) Show that $disc(\alpha) = (-1)^{\frac{(n-1)(n-2)}{2}} (n-1)^{n-1} a^n + (-1)^{\frac{n(n-1)}{2}} n^n b^{n-1}$.

$$\operatorname{disc}(\alpha) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(f'(\alpha)) \qquad (\text{Theorem 2.8})$$

$$= (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left(-\frac{(n-1)a\alpha + nb}{\alpha} \right) \qquad ((1))$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^n \frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}((n-1)a\alpha + nb)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\alpha)}$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^n \frac{-(n-1)^{n-1}a^nb + (-1)^nn^nb^n}{b} \qquad ((2))$$

$$= (-1)^{\frac{(n-1)(n-2)}{2}} (n-1)^{n-1}a^n + (-1)^{\frac{n(n-1)}{2}}n^nb^{n-1}.$$