# Solutions to the book: Fulton, Algebraic Curves

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## Chapter 1: Affine Algebraic Sets

#### 1.1. Algebraic Preliminaries

#### Problem 1.1.\*

Let R be a domain.

- (a) If f, g are forms of degree r, s respectively in  $R[x_1, \ldots, x_n]$ , show that fg is a form of degree r + s.
- (b) Show that any factor of a form in  $R[x_1, ..., x_n]$  is also a form.

Proof of (a).

(1) Write

$$f = \sum_{(i)} a_{(i)} x^{(i)},$$
$$g = \sum_{(j)} b_{(j)} x^{(j)},$$

where  $\sum_{(i)}$  is the summation over  $(i) = (i_1, \dots, i_n)$  with  $i_1 + \dots + i_n = r$  and  $\sum_{(j)}$  is the summation over  $(j) = (j_1, \dots, j_n)$  with  $j_1 + \dots + j_n = s$ .

(2) Hence,

$$fg = \sum_{(i)} \sum_{(j)} a_{(i)} b_{(j)} x^{(i)} x^{(j)}$$
$$= \sum_{(i),(j)} a_{(i)} b_{(j)} x^{(k)}$$

where  $(k) = (i_1 + j_1, \dots, i_n + j_n)$  with  $(i_1 + j_1) + \dots + (i_n + j_n) = r + s$ . Each  $x^{(k)}$  is the form of degree r + s and  $a_{(i)}b_{(j)} \in R$ . Hence fg is a form of degree r + s.

Proof of (b).

- (1) Given any form  $f \in R[x_1, ..., x_n]$ , and write f = gh. It suffices to show that g is a form as well. (So does h.)
- (2) Write

$$g = g_0 + \dots + g_r, \qquad h = h_0 + \dots + h_s$$

where  $g_r \neq 0$  and  $h_s \neq 0$ . So

$$f = gh = g_0h_0 + \dots + g_rh_s.$$

Since R is a domain,  $R[x_1, \ldots, x_n]$  is a domain and thus  $g_r h_s \neq 0$ . The maximality of r and s implies that  $\deg f = r + s$ . Therefore, by the maximality of r + s,  $f = g_r h_s$ , or  $g = g_r$ , or g is a form.

#### Problem 1.2.\*

Let R be a UFD, K the quotient field of R. Show that every element z of K may be written z = a/b, where  $a, b \in R$  have no common factors; this representative is unique up to units of R.

Proof.

(1) Show that every element z of K may be written z=a/b, where  $a,b\in R$  have no common factors. Given any  $z=a/b\in K$  where  $a,b\in R$ . Write

$$a = p_1 \cdots p_n,$$
  
$$b = q_1 \cdots q_m$$

where all  $p_1, \ldots, p_n, q_1, \ldots, q_m$  are irreducible in R. (It is possible since R is a UFD.) For each i, suppose  $p_i \mid q_j$  for some i, j. Write  $q_j = p_i u$  for some  $u \in R$ . By the irreducibility of  $p_i$  and  $q_j$ , u is a unit. So

$$z = \frac{a}{b} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{q_1 \cdots \widehat{q_j} \cdots q_m} = \frac{p_1 \cdots \widehat{p_i} \cdots p_n}{uq_1 \cdots \widehat{q_j} \cdots q_m}.$$

Continue this method we can write  $z=\frac{a'}{b'}$  where a' and b' have no common factors.

- (2) Write z = a/b = a'/b' where
  - (a)  $a, b, a', b' \in R$ ,
  - (b) a and b have no common factors,
  - (c) a' and b' have no common factors.

Write

$$a = p_1 \cdots p_n,$$
  

$$b = q_1 \cdots q_m,$$
  

$$a' = p'_1 \cdots p'_{n'},$$
  

$$b' = q'_1 \cdots q'_{m'}$$

where all  $p_i, q_j, p'_{i'}, q'_{j'}$  are irreducible in R. As z = a/b = a'/b', ab' = a'b or

$$p_1 \cdots p_n q_1' \cdots q_{m'}' = p_1' \cdots p_{n'}' q_1 \cdots q_m.$$

(3) For i = 1,  $p_1 = u_1 p'_{i'}$  for some unit  $u_1 \in R$  since a and b have no common factors and all  $p_1, q_i, p'_{i'}$  are irreducible. Hence

$$u_1\widehat{p_1}p_2\cdots p_nq_1'\cdots q_{m'}'=p_1'\cdots\widehat{p_{i'}'}\cdots p_{n'}'q_1\cdots q_m.$$

Continue this method, we have  $n \leq n'$  and all  $p_1, \ldots, p_n$  are canceled.

(4) Conversely, we can apply the argument in (3) to  $i' = 1, \dots n'$  to conclude that  $n' \leq n$ . Therefore, n = n' and

$$\underbrace{u_1 \cdots u_n}_{\text{a unit in } R} q'_1 \cdots q'_{m'} = q_1 \cdots q_m.$$

Hence, b = ub' where  $u = u_1 \cdots u_n$  is a unit in R. Similarly, a = va' where v is a unit in R. So the representative of  $z \in K$  is unique up to units of R.

#### Problem 1.3.\*

Let R be a PID. Let  $\mathfrak{p}$  be a nonzero, proper, prime ideal in R.

- (a) Show that  $\mathfrak{p}$  is generated by an irreducible element.
- (b) Show that  $\mathfrak{p}$  is maximal.

Proof of (a).

- (1) Let  $\mathfrak{p} = (a)$  be a nonzero, proper, prime ideal in R. It suffices to show that a is irreducible.
- (2) Suppose a = bc. By the primality of  $\mathfrak{p}$ ,  $b \in \mathfrak{p}$  or  $c \in \mathfrak{p}$ . Suppose  $b \in \mathfrak{p} = (a)$ . (The case  $c \in \mathfrak{p}$  is similar.) Then there is a  $d \in R$  such that b = ad. Hence, a = bc = adc or (1 dc)a = 0.
- (3) Since R is a domain, 1 = dc or a = 0. a = 0 implies that  $\mathfrak{p} = (0)$  is a zero ideal, contrary to the assumption. Therefore, 1 = dc, or c is a unit, or a is irreducible.

Proof of (b).

- (1) Given any ideal I = (b) of R containing  $\mathfrak{p} = (a)$ . As the generator a of  $\mathfrak{p}$  is in  $\mathfrak{p} \subseteq I$ , there is some  $c \in R$  such that a = bc. By the irreducibility of a (in (a)), b is a unit or c is a unit.
- (2) b is a unit implies that I = R. c is a unit implies that  $I = \mathfrak{p}$ . In any case, we conclude that  $\mathfrak{p}$  is maximal.

#### Problem 1.4.\*

Let k be an infinite field,  $f \in k[x_1, ..., x_n]$ . Suppose  $f(a_1, ..., a_n) = 0$  for all  $a_1, ..., a_n \in k$ . Show that f = 0. (Hint: Write

$$f = \sum f_i x_n^i, \qquad f_i \in k[x_1, \dots, x_{n-1}].$$

Use induction on n, and the fact that  $f(a_1, \ldots, a_{n-1}, x_n)$  has only a finite number of roots if any  $f_i(a_1, \ldots, a_{n-1}) \neq 0$ .)

Proof.

- (1) Induction on n. The case n=1. (Reductio ad absurdum) If there were a nonzero  $f \in k[x_1]$  such that f(a)=0 for all  $a \in k$ . Note that f has at most deg  $f < \infty$  roots, contrary to the infinity of k.
- (2) Assume that the conclusion holds for n-1, then for any  $f \in k[x_1, \ldots, x_n]$  we can write

$$f = \sum f_i x_n^i, \qquad f_i \in k[x_1, \dots, x_{n-1}]$$

as  $f \in (k[x_1, \ldots, x_{n-1}])[x_n]$ . Suppose  $f(a_1, \ldots, a_n) = 0$  for all  $a_1, \ldots, a_n \in k$ . For fixed  $a_1, \ldots, a_{n-1}$ , the polynomial  $f(a_1, \ldots, a_{n-1}, x_n) \in k[x_n]$  has all distinct roots in an infinite field k. By (1),  $f(a_1, \ldots, a_{n-1}, x_n) = 0 \in k[x_n]$ , or each  $f_i(a_1, \ldots, a_{n-1}) = 0$ . As all  $a_1, \ldots, a_{n-1}$  run over k, we can apply the induction hypothesis each  $f_i(x_1, \ldots, x_{n-1}) = 0 \in k[x_1, \ldots, x_{n-1}]$ . Hence,  $f = 0 \in k[x_1, \ldots, x_n]$ .

*Note.* If k is a finite field of order  $q = p^k$ , then the polynomial  $f(x) = x^q - x$  has q distinct roots in k.

#### Problem 1.5.\*

Let k be any field. Show that there are an infinitely number of irreducible monic polynomials in k[x]. (Hint: Suppose  $f_1, \ldots, f_n$  were all of them, and factor  $f_1 \cdots f_n + 1$  into irreducible factors.)

Proof (Due to Euclid).

(1) If  $f_1, \ldots, f_n$  were all irreducible monic polynomials, then we consider

$$g = f_1 \cdots f_n + 1 \in k[x].$$

So there is an irreducible monic polynomial  $f=f_i$  dividing g for some i since

$$\deg g = \deg f_1 + \dots + \deg f_n \ge 1$$

and k[x] is a UFD.

(2) However, f would divide the difference

$$g - f_1 \cdots f_{i-1} f_i f_{i+1} \cdots f_n = 1,$$

contrary to  $\deg f_i \geq 1$ .

#### Problem 1.6.\*

Show that any algebraically closed field is infinite. (Hint: The irreducible monic polynomials are x - a,  $a \in k$ .)

Proof (Due to Euclid).

(1) Let k be an algebraically closed field. If  $a_1, \ldots, a_n$  were all elements in k, then we consider a monic polynomials

$$f(x) = (x - a_1) \cdots (x - a_n) + 1 \in k[x].$$

(2) Since k is algebraically closed, there is an element  $a \in k$  such that f(a) = 0. By assumption,  $a = a_i$  for some  $1 \le i \le n$ , and thus  $f(a) = f(a_i) = 1$ , contrary to the fact that a field is a commutative ring where  $0 \ne 1$  and all nonzero elements are invertible.

#### Problem 1.7.\*

Let k be a field,  $f \in k[x_1, \ldots, x_n], a_1, \ldots, a_n \in k$ .

(a) Show that

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

(b) If  $f(a_1, \ldots, a_n) = 0$ , show that  $f = \sum_{i=1}^n (x_i - a_i)g_i$  for some (not unique)  $g_i$  in  $k[x_1, \ldots, x_n]$ .

Proof of (a).

(1) Regard  $k[x_1, \ldots, x_n]$  as  $(k[x_1, \ldots, x_{n-1}])[x_n]$ . Since  $(k[x_1, \ldots, x_{n-1}])[x_n]$  is a Euclidean domain with a function

$$f \in (k[x_1, \dots, x_{n-1}])[x_n] \mapsto \deg_{x_n} f \in \mathbb{Z}_{\geq 0}$$

satisfying the division-with-remainder property.

(2) Apply the division algorithm for f and nonzero  $x_n - a_n$  to produce a quotient q and remainder r with  $f = (x_n - a_n)q + r$  and either r = 0 or  $\deg_{x_n}(r) < \deg_{x_n}(x_n - a_n) = 1$ . That is,  $r \in k[x_1, \ldots, x_{n-1}]$  is a constant in  $(k[x_1, \ldots, x_{n-1}])[x_n]$ . Continue this process to get that f is of the form

$$f = \sum_{i} f_{i_n} (x_n - a_n)^{i_n}$$

where  $f_{i_n} \in k[x_1, ..., x_{n-1}].$ 

(3) Use the same argument in (2) for each  $f_{i_n} \in k[x_1, \dots, x_{n-1}]$ , we have

$$f_{i_n} = \sum_{i_{n-1}} \underbrace{f_{i_n,i_{n-1}}}_{\in k[x_1,\dots,x_{n-2}]} (x_{n-1} - a_{n-1})^{i_{n-1}}$$

$$f_{i_n,i_{n-1}} = \sum_{i_{n-2}} \underbrace{f_{i_n,i_{n-1},i_{n-2}}}_{\in k[x_1,\dots,x_{n-3}]} (x_{n-2} - a_{n-2})^{i_{n-2}},$$

$$\dots$$

$$f_{i_n,\dots,i_2} = \sum_{i_1} \underbrace{f_{i_n,\dots,i_1}}_{\in k[x_1,\dots,x_{n-3}]} (x_1 - a_1)^{i_1}.$$

Note that  $f_{i_n,...,i_1} \in k$ , we can write

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k.$$

by replacing all  $f_{i_n,...,i_k}$  by  $f_{i_n,...,i_{k-1}}$  for k=n,n-1,...,2.

(4) Or use the induction on n.

Proof of (b).

(1) Write

$$f = \sum \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}, \quad \lambda_{(i)} \in k$$

by (a).

(2) As  $f(a_1, \dots, a_n) = 0$ ,  $\lambda_{(i)} = 0$  if all  $i_1, \dots, i_n$  are zero, that it, there is no nonzero constant term in the representation of f. Hence, for each term

$$f_{(i)} := \lambda_{(i)} (x_1 - a_1)^{i_1} \cdots (x_n - a_n)^{i_n}$$

with  $\lambda_{(i)} \neq 0$ , there exists one  $i_k > 0$  for some  $1 \leq k \leq n$ . So we can write

$$f_{(i)} = (x_k - a_k) \underbrace{(\lambda_{(i)}(x_1 - a_1)^{i_1} \cdots (x_k - a_k)^{i_k - 1} \cdots (x_n - a_n)^{i_n})}_{:=g_{(i)} \in k[x_1, \dots, x_n]}.$$

Note that the expression of  $f_{(i)}$  is not unique since there may exist more than one  $i_k > 0$  as  $1 \le k \le n$ .

(3) Now we iterate each nonzero term in f, apply the factorization in (2), and then group by each  $x_k - a_k$ . Therefore, we can write

$$f = \sum_{i=1}^{n} (x_i - a_i)g_i$$

for some  $g_1 \in k[x_1, \ldots, x_n]$ .

(4) The expression of f is not unique. For example, take  $f(x,y) = x^2 + 2xy + y^2 \in k[x,y]$ . As f(0,0) = 0, we can write

$$f(x,y) = x \cdot \underbrace{(x+2y)}_{g_1} + y \cdot \underbrace{y}_{g_2}, \text{ or}$$

$$= x \cdot \underbrace{(x+y)}_{g_1} + y \cdot \underbrace{(x+y)}_{g_2}, \text{ or}$$

$$= x \cdot \underbrace{x}_{g_1} + y \cdot \underbrace{(2x+y)}_{g_2}.$$

#### 1.2. Affine Space and Algebraic Sets

#### Problem 1.8.\*

Show that the algebraic subsets of  $\mathbf{A}^1(k)$  are just the finite subsets, together with  $\mathbf{A}^1(k)$  itself.

Proof.

- (1) Show that k[x] is a PID if k is a field.
  - (a) Let I be an ideal of k[x].
  - (b) If  $I = \{0\}$  then I = (0) and I is principal.
  - (c) If  $I \neq \{0\}$ , then take f to be a polynomial of minimal degree in I. It suffices to show that I = (f). Clearly,  $(f) \subseteq I$  since I is an ideal. Conversely, for any  $g \in I$ ,

$$g(x) = f(x)h(x) + r(x)$$

for some  $h,r\in k[x]$  with r=0 or  $\deg r<\deg f$  (as k[x] is a Euclidean domain). Now as

$$r = q - fh \in I$$
,

r=0 (otherwise contrary to the minimality of f), we have  $g=fh\in (f)$  for all  $g\in I$ .

- (2) Let Y be an algebraic subset of  $\mathbf{A}^1(k)$ , say Y = V(I) for some ideal I of k[x]. Since k[x] is a PID, I = (f) for some  $f \in k[x]$ .
  - (a) If f = 0, then I = (0) and  $Y = V(0) = \mathbf{A}^{1}(k)$ .
  - (b) If  $f \neq 0$ , then f(x) = 0 has finitely many roots in k, say  $a_1, \ldots, a_m \in k$ . Hence,

$$Y = V(I) = V(f) = \{ f(a) = 0 : a \in k \} = \{ a_1, \dots, a_m \}$$

is a finite subsets of  $\mathbf{A}^1(k)$ .

By (a)(b), the result is established.

Notes.

(1) By the Hilbert basis theorem, k[x] is Noetherian as k is Noetherian. Hence, for any algebraic subset Y = V(I) of  $\mathbf{A}^1(k)$ , we can write  $I = (f_1, \dots, f_m)$ . Note that

$$Y = V(I) = V(f_1) \cap \cdots \cap V(f_m).$$

Now apply the same argument to get the same conclusion.

(2) Suppose  $k = \overline{k}$ .  $\mathbf{A}^1(k)$  is irreducible, because its only proper closed subsets are finite, yet it is infinite (because k is algebraically closed, hence infinite).

#### Problem 1.9.

If k is a finite field, show that every subset of  $A^n(k)$  is algebraic.

Proof.

- (1) Every subset of  $\mathbf{A}^n(k)$  is finite since  $|\mathbf{A}^n(k)| = |k|^n$  is finite.
- (2) Note that  $V(x_1 a_1, \dots, x_n a_n) = \{(a_1, \dots, a_n)\} \subseteq \mathbf{A}^n(k)$  (property (5) in this section) and any finite union of algebraic sets is algebraic (property (4) in this section). Thus, every subset of  $\mathbf{A}^n(k)$  is algebraic (by (1)).

#### Problem 1.10.

Give an example of a countable collection of algebraic sets whose union is not algebraic.

Proof.

- (1) Let  $k = \mathbb{Q}$  be an infinite field.  $V(x a) = \{a\}$  is an algebraic sets for all  $a \in \mathbb{Q}$ . In particular,  $V(x a) = \{a\}$  is algebraic for all  $a \in \mathbb{Z}$ .
- (2) Note that

$$Y := \bigcup_{a \in \mathbb{Z}} V(x - a) = \mathbb{Z}$$

is a countable union of algebraic sets. Since Y is a proper subset of  $k=\mathbb{Q},$  it cannot be algebraic by Problem 1.8.

#### Problem 1.11.

Show that the following are algebraic sets:

- (a)  $\{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\};$
- (b)  $\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\};$
- (c) the set of points in  $\mathbf{A}^2(\mathbb{R})$  whose polar coordinates  $(r, \theta)$  satisfy the equation  $r = \sin(\theta)$ .

Proof of (a).

(1) The twisted cubic curve

$$Y = \{(t, t^2, t^3) \in \mathbf{A}^3(k) : t \in k\} = V(x^2 - y) \cap V(x^3 - z)$$

is algebraic. We say that Y is given by the parametric representation  $x=t,\,y=t^2,\,z=t^3.$ 

- (2) The generators for the ideal I(Y) are  $x^2 y$  and  $x^3 z$ .
- (3) Y is an affine variety of dimension 1.
- (4) The affine coordinate ring A(Y) is isomorphic to a polynomial ring in one variable over k.

Proof of (b). The circle

$$\{(\cos(t), \sin(t)) \in \mathbf{A}^2(\mathbb{R}) : t \in \mathbb{R}\} = V(x^2 - y^2 - 1)$$

is algebraic.  $\Box$ 

Proof of (c). The circle

$$\{(r,\theta): r = \sin(\theta)\} = V(x^2 + y^2 - y)$$

is algebraic again.  $\square$ 

#### Problem 1.12.

Suppose C is an affine plane curve, and L is a line in  $\mathbb{A}^2(k)$ ,  $L \not\subseteq C$ . Suppose C = V(f),  $f \in k[x,y]$  a polynomial of degree n. Show that  $L \cap C$  is a finite set of no more than n points. (Hint: Suppose L = V(y - (ax + b)), and consider  $f(x, ax + b) \in k[x]$ .)

Proof.

- (1) Say L = V(y (ax + b)) be a line in  $\mathbb{A}^2(k)$ . (The case L = V(x (ay + b)) is similar.)
- (2) Note that  $L \not\subseteq C$  implies that  $(y (ax + b)) \nmid f$ . Hence, the polynomial

$$g: x \mapsto f(x, ax + b) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of g in k is no more than n.

(3) Hence,

$$\begin{split} L \cap C &= V(y - (ax + b)) \cap V(f) \\ &= \{(x, y) \in \mathbb{A}^2(k) : y = ax + b \text{ and } f(x, y) = 0\} \\ &= \{(x, y) \in \mathbb{A}^2(k) : f(x, ax + b) = 0\} \end{split}$$

is finite of no more than n points.

#### Problem 1.13.

Show that each of the following sets is not algebraic:

- (a)  $\{(x,y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}.$
- (b)  $\{(z, w) \in \mathbf{A}^2(\mathbb{C}) : |z|^2 + |w|^2 = 1\}$ , where  $|x + iy|^2 = x^2 + y^2$  for  $x, y \in \mathbb{R}$ .
- (c)  $\{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}.$

Proof of (a).

(1) (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{R}) : y = \sin(x)\}$$

were algebraic, then there is a subset S of  $\mathbb{R}[x,y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{R})$ .  $((89, 64) \in \mathbf{A}^2(\mathbb{R}) Y$ .)
- (3) Take a fixed line L = V(y) in  $\mathbf{A}^2(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f)\cap L\supseteq\bigcap_{f\in S}V(f)\cap L=Y\cap L=\{(n\pi,0)\in\mathbf{A}^2(\mathbb{R}):n\in\mathbb{Z}\},$$

which is infinite. By problem 1.12,  $y \mid f$ . As f runs over  $S, Y \subseteq V(y) = L$ , contradicts that  $\left(0, \frac{\pi}{2}\right) \in L - Y$ .

Proof of (b).

(1) Similar to (a). (Reductio ad absurdum) If

$$Y := \{(x, y) \in \mathbf{A}^2(\mathbb{C}) : |x|^2 + |y|^2 = 1\}$$

were algebraic, then there is a subset S of  $\mathbb{C}[x,y]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (2)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^2(\mathbb{C})$ .  $((89, 64) \in \mathbf{A}^2(\mathbb{C}) Y$ .)
- (3) Take a fixed line L=V(x) in  $\mathbf{A}^2(\mathbb{C})$ . For each affine curve  $f\in S$ , we have

$$V(f)\cap L\supseteq \bigcap_{f\in S}V(f)\cap L=Y\cap L=\{(0,y)\in \mathbf{A}^2(\mathbb{C}): |y|=1\},$$

which is infinite (since Y contains a unit circle in the complex plane). By problem 1.12,  $x \mid f$ . As f runs over  $S, Y \subseteq V(x) = L$ , contradicts that the origin  $(0,0) \in L - Y$ .

Proof of (c).

- (1) Similar to (a) and (b).
- (2) Suppose C is an affine plane curve, and L is a line in  $\mathbb{A}^3(k)$ ,  $L \not\subseteq C$ . Suppose C = V(f),  $f \in k[x,y,z]$  a polynomial of degree n. Show that  $L \cap C$  is a finite set of no more than n points. The proof is similar to Problem 1.12.
  - (a) Say L = V(y (ax + b), z (cx + d)) be a line in  $\mathbb{A}^3(k)$ .
  - (b) Note that  $L \not\subseteq C$  implies that  $(y-(ax+b)) \nmid f$  and  $(z-(cx+d)) \nmid f$ . Hence, the polynomial

$$g: x \mapsto f(x, ax + b, cx + d) \in k[x]$$

is nonzero and  $\deg g \leq n$ . Therefore, the number of roots of g in k is no more than n.

(c) Hence,

$$L \cap C = V(y - (ax + b), z - (cx + d)) \cap V(f)$$

$$= \{(x, y) \in \mathbb{A}^{2}(k) : y = ax + b, z = cx + d \text{ and } f(x, y) = 0\}$$

$$= \{(x, y) \in \mathbb{A}^{2}(k) : f(x, ax + b, cx + d) = 0\}$$

is finite of no more than n points.

(3) (Reductio ad absurdum) If

$$Y := \{(\cos(t), \sin(t), t) \in \mathbf{A}^3(\mathbb{R}) : t \in \mathbb{R}\}\$$

were algebraic, then there is a subset S of  $\mathbb{R}[x,y,z]$  such that

$$Y = V(S) = \bigcap_{f \in S} V(f).$$

- (4)  $S \neq \emptyset$  since  $Y \neq \mathbf{A}^3(\mathbb{R})$ .  $((1989, 6, 4) \in \mathbf{A}^3(\mathbb{R}) Y.)$
- (5) Take a fixed line L = V(x 1, y) in  $\mathbf{A}^3(\mathbb{R})$ . For each affine curve  $f \in S$ , we have

$$V(f)\cap L\supseteq\bigcap_{f\in S}V(f)\cap L=Y\cap L=\{(1,0,2n\pi)\in \mathbf{A}^3(\mathbb{R}):n\in\mathbb{Z}\},$$

which is infinite. By (2),  $(x-1) \mid f$  and  $y \mid f$ . As f runs over S,  $Y \subseteq V(x-1,y) = L$ , contradicts that  $(1,0,\pi) \in L - Y$ .

#### Problem 1.14.\*

PLACEHOLDER

Proof.

(1) PLACEHOLDER

#### Problem 1.15.\*

Let  $V \subseteq \mathbf{A}^n(k)$ ,  $W \subseteq \mathbf{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) : (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set in  $\mathbf{A}^{n+m}(k)$ . It is called the **product** of V and W.

Proof.

(1) Write

$$V = V(S_V) = \{ P \in \mathbf{A}^n(k) : f(P) = 0 \,\forall f \in S_V \}$$
  

$$W = V(S_W) = \{ Q \in \mathbf{A}^m(k) : g(Q) = 0 \,\forall g \in S_W \},$$

where  $S_V \subseteq k[x_1, \ldots, x_n]$  and  $S_W \subseteq k[y_1, \ldots, y_m]$ . It suffices to show that

$$V \times W = V(S),$$

where  $S \subseteq k[x_1, \ldots, x_n, y_1, \ldots, y_m]$  is the union of  $S_V$  and  $S_W$ .

(2) Here we can identify  $S_V$  with the subset of  $k[x_1, \ldots, x_n, y_1, \ldots, y_m]$  by noting that

$$k[x_1, \dots, x_n] \hookrightarrow (k[y_1, \dots, y_m])[x_1, \dots, x_n] = k[x_1, \dots, x_n, y_1, \dots, y_m].$$

Here we regard k as a subring of  $k[y_1, \ldots, y_m]$ . Similar treatment to  $S_W$ .

(3) By construction,  $V \times W \subseteq V(S)$ . Conversely, given any  $(P,Q) \in V(S) \subseteq \mathbf{A}^{n+m}(k)$ , we have h(P,Q) = 0 for all  $h \in S = S_V \cup S_W$  (by (2)). By construction, f(P) = 0 for all  $f \in S_V$  since f only involve  $x_1, \ldots, x_n$ . Hence,  $P \in V$ . Similarly,  $Q \in W$ . Therefore,  $(P,Q) \in V \times W$ .

#### 1.3. The Ideal of a Set of Points

#### Problem 1.18.\*

Let I be an ideal in a ring R. If  $a^n \in I$ ,  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ . Show that rad(I) is an ideal, in fact a radical ideal. Show that any prime ideal is radical.

Proof.

(1) Show that  $(a+b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$ . By the binomial theorem,

$$(a+b)^{n+m} = \sum_{i=0}^{n+m} a^i b^{n+m-i}.$$

For each term  $a^ib^{n+m-i}$ , either  $i \ge n$  holds or  $n+m-i \ge m$  holds, and thus  $a^ib^{n+m-i} \in I$  (since  $a^n \in I$ ,  $b^m \in I$  and I is an ideal). Hence, the result is established.

- (2) Show that rad(I) is an ideal.
  - (a)  $0 \in \text{rad}(I)$  since  $0 = 0^1 \in I$  for any ideal in R.
  - (b)  $(a+b)^{n+m} \in I$  if  $a^n \in I$ ,  $b^m \in I$  by (1).
  - (c)  $(-a)^{2n} = (a^n)^2 \in I$  if  $a^n \in I$  (since I is an ideal).
  - (d)  $(ra)^n = r^n a^n \in I$  if  $a^n \in I$  and  $r \in R$  (since I is an ideal and R is commutative).

- (3) Show that  $\operatorname{rad}(\operatorname{rad}(I)) = \operatorname{rad}(I)$ . It suffices to show  $\operatorname{rad}(\operatorname{rad}(I)) \subseteq \operatorname{rad}(I)$ . Given any  $a \in \operatorname{rad}(\operatorname{rad}(I))$ . By definition  $a^n \in \operatorname{rad}(I)$  for some positive integer n. Again by definition  $(a^n)^m = a^{nm} \in I$  for some positive integer m. As nm is a positive integer,  $a \in \operatorname{rad}(I)$ .
- (4) Show that every prime ideal  $\mathfrak p$  is radical. Given any  $a \in \operatorname{rad}(\mathfrak p)$ , that is,  $a^n \in \mathfrak p$  for some positive integer. Write  $a^n = aa^{n-1}$  if n > 1. By the primality of  $\mathfrak p$ ,  $a \in \mathfrak p$  or  $a^{n-1} \in \mathfrak p$ . If  $a \in \mathfrak p$ , we are done. If  $a^{n-1} \in \mathfrak p$ , we continue this descending argument (or the mathematical induction) until the power of a is equal to 1. Hence  $\mathfrak p$  is radical.

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 1.4. The Hilbert Basis Theorem
- 1.5. Irreducible Components of an Algebraic Set
- 1.6. Algebraic Subsets of the Plane
- 1.7. Hilbert's Nullstellensatz
- 1.8. Modules; Finiteness Conditions

#### Problem 1.41.\*

If S is module-finite over R, then S is ring-finite over R.

Proof.

(1)  $S = \sum Rs_i$  for some  $s_1, \ldots, s_n \in S$  since S is module-finite over R.

(2) Let I be the minimal subset of  $\{s_1, \ldots, s_n\}$  which also spans S, say  $\{t_1, \ldots, t_m\}$  with  $m \leq n$ . Clearly we can write

$$S = R[t_1, \dots, t_m],$$

that is, S is ring-finite over R.

(3) The converse is not true (Problem 1.42).

#### 

#### Problem 1.42.

Show that S = R[x] (the ring of polynomials in one variable) is ring-finite over R, but not module-finite.

Proof.

- (1) S = R[x] is ring-finite over R by definition (as  $x \in S$ ).
- (2) (Reductio ad absurdum) If  $S=\sum Rs_i$  for some  $s_1,\ldots,s_n\in S$  were module-finite over R. Any element  $s\in\sum Rs_i$  is of degree

$$\deg s \le \max_{1 \le i \le n} \deg s_i := m.$$

So that  $x^{m+1} \in S = R[x]$  but not in  $\sum Rs_i$ , which is absurd.

#### 

#### Problem 1.43.\* (WIP)

If L is ring-finite over K (K, L fields) then L is a finitely generated field extension of K.

Proof.

- (1)  $L=K[v_1,\cdots,v_n]$  for some  $v_i\in L$ . To show  $L=K[v_1,\cdots,v_n]=K(v_1,\cdots,v_n)$ , it suffices to show that all  $v_i$  are algebraic over L.
- (2)

- 1.9. Integral Elements
- 1.10. Field Extensions

## Chapter 2: Affine Varieties

#### 2.1. Coordinate Rings

#### Problem 2.1.\*

Show that the map which associates to each  $f \in k[x_1, ..., x_n]$  a polynomial function in  $\mathcal{F}(V, k)$  is a ring homomorphism whose kernel is I(V).

Proof.

(1) Define a map  $\alpha: k[x_1, \ldots, x_n] \to \mathscr{F}(V, k)$ . Every polynomial  $f \in k[x_1, \ldots, x_n]$  defines a function from V to k by

$$\alpha(f)(a_1,\ldots,a_n)=f(a_1,\ldots,a_n)$$

for all  $(a_1, \ldots, a_n) \in V$ .

- (2)  $\alpha$  is a ring homomorphism by construction in (1).
- (3) Show that  $\ker(\alpha) = I(V)$ . In fact, given any  $f \in k[x_1, \dots, x_n]$ , we have  $\alpha(f) = 0$  (sending all  $a \in V$  to  $0 \in k$ ) if and only if f(a) = 0 for all  $a \in V$  if and only if  $f \in I(V)$ .
- (4) Hence  $k[x_1, \ldots, x_n]/I(V) = \Gamma(V) \hookrightarrow \mathscr{F}(V, k)$  is an injective homomorphism.

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

#### 2.2. Polynomial Maps

#### 2.3. Coordinate Changes

#### 2.4. Rational Functions and Local Rings

#### 2.5. Discrete Valuation Rings

#### **2.6.** Forms

#### 2.7. Direct Products of Rings

#### 2.8. Operations with Ideals

#### Problem 2.39.\*

Prove the following relations among ideals  $I_i$ , J in a ring R:

(a) 
$$(I_1 + I_2)J = I_1J + I_2J$$
.

(b) 
$$(I_1 \cdots I_N)^n = I_1^n \cdots I_N^n$$
.

Proof of (a).

- (1) Note that  $(I_1 + I_2)J$  and  $I_1J + I_2J$  are ideals.
- (2) Show that  $(I_1 + I_2)J \subseteq I_1J + I_2J$ . Given any

$$(x_1 + x_2)y \in (I_1 + I_2)J$$

where  $x_i \in I_i$  and  $y \in J$ . It suffices to show that  $(x_1 + x_2)y \in I_1J + I_2J$  (by (1)). In fact,

$$(x_1 + x_2)y = x_1y + x_2y \in I_1J + I_2J.$$

(3) Show that  $(I_1 + I_2)J \supseteq I_1J + I_2J$ . Given any

$$x_1y_1 + x_2y_2 \in I_1J + I_2J$$

where  $x_i \in I_i$  and  $y_i \in J$ . It suffices to show that  $x_1y_1 + x_2y_2 \in (I_1 + I_2)J$  (by (1)). In fact,

$$x_1y_1 + x_2y_2 = (x_1 + \underbrace{0}_{\in I_2})y_1 + (\underbrace{0}_{\in I_1} + x_2)y_2 \in (I_1 + I_2)J$$

since  $(I_1 + I_2)J$  is an ideal.

Proof of (b).

- (1) Note that  $(I_1 \cdots I_N)^n$  and  $I_1^n \cdots I_N^n$  are ideals.
- (2) Show that  $(I_1 \cdots I_N)^n \subseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_n$$

where  $x_i \in I_1 \cdots I_N$ . It suffices to show that  $x \in I_1^n \cdots I_N^n$  (by (1)). For each  $x_i \in I_1 \cdots I_N$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),N}$$

where  $x_{j(i),k} \in I_k$  for  $1 \le k \le N$ . Hence

$$x = x_1 \cdots x_n$$

$$= \left( \sum_{j(1)} x_{j(1),1} \cdots x_{j(1),N} \right) \cdots \left( \sum_{j(n)} x_{j(n),1} \cdots x_{j(n),N} \right)$$

$$= \sum_{j(1),\dots,j(n)} (x_{j(1),1} \cdots x_{j(1),N}) \cdots (x_{j(n),1} \cdots x_{j(n),N})$$

$$= \sum_{j(1),\dots,j(n)} \underbrace{(x_{j(1),1} \cdots x_{j(n),1})}_{\in I_1^n} \cdots \underbrace{(x_{j(1),N} \cdots x_{j(n),N})}_{\in I_N^n}$$

$$\in I_1^n \cdots I_N^n.$$

(3) Show that  $(I_1 \cdots I_N)^n \supseteq I_1^n \cdots I_N^n$ . Given any

$$x = x_1 \cdots x_N \in I_1^n \cdots I_N^n$$

where  $x_i \in I_i^n$   $(1 \le i \le N)$ . It suffices to show that  $x \in (I_1 \cdots I_N)^n$  (by (1)). For each  $x_i \in I_i^n$ , write

$$x_i = \sum_{j(i)} x_{j(i),1} \cdots x_{j(i),n}$$

where  $x_{j(i),k} \in I_i$  for  $1 \le k \le n$ . Hence

$$x = x_1 \cdots x_N$$

$$= \left( \sum_{j(1)} x_{j(1),1} \cdots x_{j(1),n} \right) \cdots \left( \sum_{j(N)} x_{j(N),1} \cdots x_{j(N),n} \right)$$

$$= \sum_{j(1),\dots,j(N)} (x_{j(1),1} \cdots x_{j(1),n}) \cdots (x_{j(N),1} \cdots x_{j(N),n})$$

$$= \sum_{j(1),\dots,j(N)} \underbrace{(x_{j(1),1} \cdots x_{j(N),1})}_{\in I_1 \cdots I_N} \cdots \underbrace{(x_{j(1),n} \cdots x_{j(N),n})}_{\in I_1 \cdots I_N}$$

$$\in (I_1 \cdots I_N)^n.$$

#### Problem 2.41.\*

Let I, J be ideals in R. Suppose I is finitely generated and  $I \subseteq rad(J)$ . Show that  $I^n \subseteq J$  for some n.

Proof.

- (1) Let I be generated by  $x_1, \ldots, x_m \in I$ . As  $I \subseteq \operatorname{rad}(J)$ , there are integers  $n_i > 0$  such that  $x_i^{n_i} \in J$ .
- (2) Let  $N = n_1 + \cdots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in I$ , so

$$x^{N} = \left(\sum_{i=1}^{m} r_{i} x_{i}\right)^{N}$$

$$= \sum_{k_{1} + \dots + k_{m} = N} {N \choose k_{1}, \dots, k_{m}} r_{1}^{k_{1}} x_{1}^{k_{1}} \cdots r_{m}^{k_{m}} x_{m}^{k_{m}}.$$

(3) Note that for each term there is some j such that  $k_j \geq n_j$ . Hence,

$$\begin{aligned} x_j^{k_j} &= x_j^{k_j-n_j} x_j^{n_j} \in J & (J \text{ is an ideal}) \\ &\Longrightarrow r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m} \in J \text{ for each term} & (J \text{ is an ideal}) \\ &\Longrightarrow x^N \in J. & (J \text{ is an ideal}) \\ &\Longrightarrow I^N \subset J. \end{aligned}$$

**Supplement.** (Exercise 1.13 in the textbook: Eisenbud, Commutative Algebra with a View Toward Algebraic Geometry.) Suppose that I is an ideal in a commutative ring. Show that if  $\operatorname{rad}(I)$  is finitely generated, then for some integer N we have  $(\operatorname{rad}(I))^N \subseteq I$ . Conclude that in a Noetherian ring the ideals I and J have the same radical iff there is some integer N such that  $I^N \subseteq J$  and  $J^N \subseteq I$ . Use the Nullstellensatz to deduce that if  $I, J \subseteq S = k[x_1, \ldots, x_n]$  are ideals and k is algebraically closed, then Z(I) = Z(J) iff  $I^N \subseteq J$  and  $J^N \subseteq I$  for some N.

#### Proof.

- (1) Show that if  $\operatorname{rad}(I)$  is finitely generated, then for some integer N we have  $(\operatorname{rad}(I))^N \subseteq I$ . Say  $x_1, \ldots, x_m \in \operatorname{rad}(I)$  generate  $\operatorname{rad}(I)$ .
  - (a) For each i, there exists an integer  $n_i > 0$  such that  $x_i^{n_i} \in I$  (since rad(I) is radical).
  - (b) Let  $N = n_1 + \cdots + n_m$ . Given any  $x = \sum_{i=1}^m r_i x_i \in rad(I)$ , so

$$x^{N} = \left(\sum_{i=1}^{m} r_{i} x_{i}\right)^{N}$$

$$= \sum_{k_{1} + \dots + k_{m} = N} {N \choose k_{1}, \dots, k_{m}} r_{1}^{k_{1}} x_{1}^{k_{1}} \cdots r_{m}^{k_{m}} x_{m}^{k_{m}}.$$

(c) Note that for each term there is some j such that  $k_j \geq n_j$ . Hence,

$$\begin{split} x_j^{k_j} &= x_j^{k_j - n_j} x_j^{n_j} \in I & (I \text{ is an ideal}) \\ \Longrightarrow r_1^{k_1} x_1^{k_1} \cdots r_m^{k_m} x_m^{k_m} \in I \text{ for each term} & (I \text{ is an ideal}) \\ \Longrightarrow x^N \in I. & (I \text{ is an ideal}) \\ \Longrightarrow (\text{rad}(I))^N \subseteq I. \end{split}$$

- (2) Show that in a Noetherian ring the ideals I and J have the same radical iff there is some integer N such that  $I^N \subseteq J$  and  $J^N \subseteq I$ .
  - (a)  $(\Longrightarrow)$  Since in a Noetherian ring every ideal is finitely generated,  $\mathrm{rad}(I)$  and  $\mathrm{rad}(J)$  are finitely generated. By (1), there is a common integer N such that

$$(\operatorname{rad}(I))^N \subseteq I$$
 and  $(\operatorname{rad}(J))^N \subseteq J$ .

Note that  $I^N \subseteq (\operatorname{rad}(I))^N$  and  $J^N \subseteq (\operatorname{rad}(J))^N$ . Since  $\operatorname{rad}(I) = \operatorname{rad}(J)$  by assumption,

$$I^N \subseteq (\operatorname{rad}(I))^N = (\operatorname{rad}(J))^N \subseteq J,$$
  
 $J^N \subseteq (\operatorname{rad}(J))^N = (\operatorname{rad}(I))^N \subseteq I.$ 

- (b) ( $\iff$ ) It suffices to show that  $\operatorname{rad}(I) \subseteq \operatorname{rad}(J)$ .  $\operatorname{rad}(J) \subseteq \operatorname{rad}(I)$  is similar. Given any  $x \in \operatorname{rad}(I)$ , there is an integer M > 0 such that  $x^M \in I$ . Hence  $x^{MN} \in I^N \subseteq J$ , or  $x \in \operatorname{rad}(J)$ .
- (3) Show that if  $I,J\subseteq S=k[x_1,\ldots,x_n]$  are ideals and k is algebraically closed, then Z(I)=Z(J) iff  $I^N\subseteq J$  and  $J^N\subseteq I$  for some N. Note that S is Noetherian and we can apply part (2). By the Nullstellensatz, Z(I)=Z(J) iff  $\mathrm{rad}(I)=\mathrm{rad}(J)$  iff  $I^N\subseteq J$  and  $J^N\subseteq I$  for some N.

#### 2.9. Ideals with a Finite Number of Zeros

#### 2.10. Quotient Modules and Exact Sequences

#### Problem 2.51.

Let

$$0 \longrightarrow V_1 \longrightarrow \cdots \longrightarrow V_n \longrightarrow 0$$

be an exact sequence of finite-dimensional vector spaces. Show that  $\sum (-1)^i \dim(V_i) = 0$ .

Proof (Proposition 7 in this section).

(1) For  $i=0,\ldots,n$ , by the rank-nullity theorem for a linear transformation  $\varphi_i:V_i\to V_{i+1}$ , we have

$$\dim V_i = \dim \operatorname{im}(\varphi_i) + \dim \ker(\varphi_i).$$

(Here  $V_0 = V_{n+1} := 0$  by convention.)

- (2) By the exactness of the sequence, we have
  - (a)  $\operatorname{im}(\varphi_i) = \ker(\varphi_{i+1})$  for  $i = 0, \dots, n-1$ . In particular,  $\ker(\varphi_1) = \operatorname{im}(\varphi_0) = 0$ .
  - (b)  $\ker(\varphi_n) = V_n$ .

Hence,

$$\sum_{i=1}^{n-1} (-1)^i \dim(V_i) = \sum_{i=1}^{n-1} (-1)^i \dim \operatorname{im}(\varphi_i) + \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_i)$$

$$= \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_{i+1}) + \sum_{i=1}^{n-1} (-1)^i \dim \ker(\varphi_i)$$

$$= (-1)^{n-1} \dim \ker(\varphi_n) + (-1)^1 \dim \ker(\varphi_1)$$

$$= (-1)^n \dim V_n,$$

or  $\sum (-1)^i \dim(V_i) = 0$ .

#### 2.11. Free Modules

## Chapter 3: Local Properties of Plane Curves

## 3.1. Multiple Points and Tangent Lines

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 3.2. Multiplicities and Local Rings
- 3.3. Intersection Numbers

## Chapter 4: Projective Varieties

## 4.1. Projective Space

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 4.2. Projective Algebraic Sets
- 4.3. Affine and Projective Varieties
- 4.4. Multiprojective Space

## Chapter 5: Projective Plane Curves

#### 5.1. Definitions

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 5.2. Linear Systems of Curves
- 5.3. Bézout's Theorem
- 5.4. Multiple Points
- 5.5. Max Noether's Fundamental Theorem
- 5.6. Applications of Noether's Theorem

## Chapter 6: Varieties, Morphisms, and Rational Maps

- 6.1. The Zariski Topology
- 6.2. Varieties
- 6.3. Morphisms of Varieties
- 6.4. Products and Graphs
- 6.5. Algebraic Function Fields and Dimension of Varieties
- 6.6. Rational Maps

## Chapter 7: Resolution of Singularities

## 7.1. Rational Maps of Curves

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 7.2. Blowing up a Point in  $A^2$
- 7.3. Blowing up a Point in  $P^2$
- 7.4. Quadratic Transformations
- 7.5. Nonsingular Models of Curves

## Chapter 8: Riemann-Roch Theorem

#### 8.1. Divisors

#### Problem PLACEHOLDER

PLACEHOLDER

Proof.

(1) PLACEHOLDER

- 8.2. The Vector Spaces L(D)
- 8.3. Riemann's Theorem
- 8.4. Derivations and Differentials
- 8.5. Canonical Divisors
- 8.6. Riemann-Roch Theorem