# Solutions to the book: Marcus, Number Fields

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## Chapter 1: A Special Case of Fermat's Conjecture

Exercise 1.1-1.9: Define  $N: \mathbb{Z}[i] \to \mathbb{Z}$  by  $N(a+bi) = a^2 + b^2$ .

#### Exercise 1.1.

Verify that for all  $\alpha, \beta \in \mathbb{Z}[i]$ ,  $N(\alpha\beta) = N(\alpha)N(\beta)$ , either by direct computation or using the fact that N(a+bi) = (a+bi)(a-bi). Conclude that if  $\alpha \mid \gamma$  in  $\mathbb{Z}[i]$ , then  $N(\alpha) \mid N(\gamma)$  in  $\mathbb{Z}$ .

Proof.

(1) Direct computation. Write  $\alpha = a + bi$ ,  $\beta = c + di$  where  $a, b, c, d \in \mathbb{Z}$ . Thus,

$$\begin{split} N(\alpha\beta) &= N((a+bi)(c+di)) \\ &= N((ac-bd) + (ad+bc)i) \\ &= (ac-bd)^2 + (ad+bc)^2 \\ &= (a^2c^2 - 2abcd + b^2d^2) + (a^2d^2 + 2abcd + b^2c^2) \\ &= a^2c^2 + b^2d^2 + a^2d^2 + b^2c^2, \\ N(\alpha)N(\beta) &= N(a+bi)N(c+di) \\ &= (a^2+b^2)(c^2+d^2) \\ &= a^2c^2 + b^2d^2 + a^2d^2 + b^2c^2. \end{split}$$

Therefore,  $N(\alpha\beta) = N(\alpha)N(\beta)$ . (Note that we also get the identity  $(a^2 + b^2)(c^2 + d^2) = (ac - bd)^2 + (ad + bc)^2$ .)

(2) Using the fact that N(a+bi)=(a+bi)(a-bi), or  $N(\alpha)=\alpha\overline{\alpha}$  for any  $\alpha\in\mathbb{Z}[i]$ . Thus,

$$N(\alpha\beta) = \alpha\beta\overline{\alpha}\overline{\beta}$$
$$= \alpha\beta\overline{\alpha}\overline{\beta}$$
$$= \alpha\overline{\alpha}\beta\overline{\beta}$$
$$= N(\alpha)N(\beta).$$

(3) Show that if  $\alpha \mid \gamma$  in  $\mathbb{Z}[i]$ , then  $N(\alpha) \mid N(\gamma)$  in  $\mathbb{Z}$ . Write  $\gamma = \alpha\beta$  for some  $\beta \in \mathbb{Z}[i]$ . So  $N(\gamma) = N(\alpha)N(\beta) \in \mathbb{Z}$ , or  $N(\alpha) \mid N(\gamma)$  in  $\mathbb{Z}$ .

## Exercise 1.2.

Let  $\alpha \in \mathbb{Z}[i]$ . Show that  $\alpha$  is a unit iff  $N(\alpha) = 1$ . Conclude that the only unit are  $\pm 1$  and  $\pm i$ .

Proof.

- (1)  $(\Longrightarrow)$  Since  $\alpha$  is a unit, there is  $\beta \in \mathbb{Z}[i]$  such that  $\alpha\beta = 1$ . By Exercise 1.1,  $N(\alpha\beta) = N(1)$ , or  $N(\alpha)N(\beta) = 1$ . Since the image of N is nonnegative integers,  $N(\alpha) = 1$ .
- (2) ( $\iff$ ) By Exercise 1.1,  $N(\alpha) = \alpha \overline{\alpha}$ , or  $1 = \alpha \overline{\alpha}$  since  $N(\alpha) = 1$ . That is,  $\overline{\alpha} \in \mathbb{Z}[i]$  is the inverse of  $\alpha \in \mathbb{Z}[i]$ . (Or by (1), we solve the equation  $N(\alpha) = a^2 + b^2 = 1$ , and show that all four solutions ( $\pm 1$  and  $\pm i$ ) are unit.)

Conclusion: a unit  $\alpha = a + bi$  of  $\mathbb{Z}[i]$  is satisfying the equation  $N(\alpha) = a^2 + b^2 = 1$  by (1)(2). That is, the only unit of  $\mathbb{Z}[i]$  are  $\pm 1$  and  $\pm i$ .  $\square$ 

#### Exercise 1.3.

Let  $\alpha \in \mathbb{Z}[i]$ . Show that if  $N(\alpha)$  is a prime in  $\mathbb{Z}$  then  $\alpha$  is irreducible in  $\mathbb{Z}[i]$ . Show that the same conclusion holds if  $N(\alpha) = p^2$ , where p is a prime in  $\mathbb{Z}$ ,  $p \equiv 3 \pmod{4}$ .

Proof.

- (1) Show that if  $N(\alpha)$  is a prime in  $\mathbb{Z}$  then  $\alpha$  is irreducible in  $\mathbb{Z}[i]$ . Write  $\alpha = \beta \gamma$ . Then  $N(\alpha) = N(\beta)N(\gamma)$  is a prime in  $\mathbb{Z}$ . Since each integer prime is irreducible,  $N(\beta) = 1$  or  $N(\gamma) = 1$ . So that  $\beta$  is unit or  $\gamma$  is unit by Exercise 1.2. Hence,  $\alpha$  is irreducible.
- (2) Show that  $\alpha$  is irreducible in  $\mathbb{Z}[i]$  if  $N(\alpha) = p^2$ , where p is a prime in  $\mathbb{Z}$ ,  $p \equiv 3 \pmod{4}$ . Assume  $\alpha = \beta \gamma$  were not irreducible. Similar to (1),  $N(\alpha) = N(\beta)N(\gamma) = p^2$ . Since  $\beta$  and  $\gamma$  are proper factors of  $\alpha$ ,

$$N(\beta) = N(\gamma) = p$$
.

Since any square  $a^2 \equiv 0, 1 \pmod{4}$ , any  $N(a+bi) = a^2 + b^2 \equiv 0, 1, 2 \pmod{4}$ . Especially,  $N(\beta) \equiv 0, 1, 2 \pmod{4}$ , contrary to  $N(\beta) = p \equiv 3 \pmod{4}$  by the assumption. Therefore,  $\alpha$  is irreducible in  $\mathbb{Z}[i]$ .

## Supplement.

- (1) The prime 2 is reducible in  $\mathbb{Z}[i]$  (Exercise 1.4).
- (2) Every prime  $p \equiv 1 \pmod{4}$  is reducible in  $\mathbb{Z}[i]$  (Exercise 1.8).

## Exercise 1.4.

Show that 1-i is irreducible in  $\mathbb{Z}$  and that  $2=u(1-i)^2$  for some unit u.

Proof.

- (1) 1-i is irreducible. Since N(1-i)=2 is a prime in  $\mathbb{Z}$ , 1-i is irreducible by Problem 1.3.
- (2)  $2 = i(1-i)^2$  where i is unit in  $\mathbb{Z}$ .

#### Exercise 1.5.

Notice that (2+i)(2-i) = 5 = (1+2i)(1-2i). How is this consistent with unique factorization?

*Proof.* Since 2+i=i(1-2i) and 2-i=(-i)(1+2i), the factorization is unique up to order and multiplication of primes by units.  $\Box$ 

## Exercise 1.6.

Show that every nonzero, non-unit Gaussian integer  $\alpha$  is a product of irreducible elements, by induction on  $N(\alpha)$ .

*Proof.* Induction on  $N(\alpha)$ .

- (1) n = 2. Given  $\alpha \in \mathbb{Z}[i]$  with  $N(\alpha) = 2$ . Since  $N(\alpha) = 2$  is a prime in  $\mathbb{Z}$ ,  $\alpha$  is irreducible (Exercise 1.3).
- (2) Suppose the result holds for  $n \leq k$ . Given  $\alpha \in \mathbb{Z}[i]$  with  $N(\alpha) = k + 1$ . There are only two possible cases.
  - (a)  $\alpha$  is irreducible. Nothing to do.

(b)  $\alpha$  is reducible. Write  $\alpha = \beta \gamma$  where neither factor is unit. Since  $N(\alpha) = N(\beta)N(\gamma)$  and neither factor is unit,

$$2 \le N(\beta), N(\gamma) \le k$$
.

By the induction hypothesis, each factor of  $\alpha$  ( $\beta$  and  $\gamma$ ) is a product of irreducible elements. So that  $\alpha$  again is a product of irreducible elements.

In any cases,  $\alpha$  is a product of irreducible elements.

By induction, the result is established.  $\square$ 

#### Exercise 1.7.

Show that  $\mathbb{Z}[i]$  is a principal ideal domain (PID); i.e., every ideal I is principal. (As shown in Appendix 1, this implies that  $\mathbb{Z}[i]$  is a UFD.)

Suggestion: Take  $\alpha \in I \setminus \{0\}$  such that  $N(\alpha)$  is minimized, and consider the multiplies  $\gamma \alpha, \gamma \in \mathbb{Z}[i]$ ; show that these are the vertices of an infinite family of squares which fill up the complex plane. (For example, one of the squares has vertices  $0, \alpha, i\alpha,$  and  $(1+i)\alpha;$  all others are translates of this one.) Obviously I contains all  $\gamma \alpha;$  show by a geometric argument that if I contains anything else then minimality of  $N(\alpha)$  would be contradicted.

Proof (without geometric intuition). Define N on  $\mathbb{Q}[i]$  by  $N(a+bi)=a^2+b^2$  where  $a+bi\in\mathbb{Q}[i]$  as usual.

- (1) Show that  $\mathbb{Z}[i]$  is a Euclidean domain. Given  $\alpha = a + bi \in \mathbb{Z}[i]$  and  $\gamma = c + di \in \mathbb{Z}[i]$  with  $\gamma \neq 0$ . It suffices to show there exist  $\delta$  and  $\rho$  such that the identity  $\alpha = \gamma \delta + \rho$  holds and either  $\rho = 0$  or  $N(\rho) < N(\gamma)$ .
  - (a) Pick  $\delta \in \mathbb{Z}[i]$ . (Intuition: Pick the 'integer part' of  $\frac{\alpha}{\gamma}$  as we did in integer numbers.) Write  $\frac{\alpha}{\gamma} = r + si \in \mathbb{Q}[i]$ . Then we pick  $\delta = m + ni \in \mathbb{Z}[i]$  such that  $|r m| \leq \frac{1}{2}$  and  $|s n| \leq \frac{1}{2}$ . Therefore,

$$N\left(\frac{\alpha}{\gamma} - \delta\right) = (r - m)^2 + (s - n)^2$$

$$\leq \frac{1}{4} + \frac{1}{4}$$

$$= \frac{1}{2}.$$

(b)  $Pick \ \rho \in \mathbb{Z}[i]$ . Clearly we can pick  $\rho = \alpha - \gamma \delta \in \mathbb{Z}[i]$ . Therefore,

$$\rho = 0$$
 or

$$\begin{split} N(\rho) &= N(\alpha - \gamma \delta) \\ &= N\left(\gamma\left(\frac{\alpha}{\gamma} - \delta\right)\right) \\ &= N(\gamma)N\left(\frac{\alpha}{\gamma} - \delta\right) \\ &\leq \frac{1}{2}N(\gamma) \\ &< N(\gamma). \end{split}$$

- (2) Show that every Euclidean domain R is a PID. Given any ideal I of R. Take  $\alpha \in I \setminus \{0\}$  such that  $N(\alpha)$  is minimized.
  - (a)  $R\alpha \subseteq I$  clearly.
  - (b) Conversely, for any  $\beta \in I$ , there are  $\delta, \rho \in R$  such that  $\beta = \alpha \delta + \rho$ , where either  $\rho = 0$  or  $N(\rho) < N(\alpha)$ . Since  $\rho = \beta \alpha \delta \in I$ , we cannot have  $N(\rho) < N(\alpha)$  by the minimality of  $N(\alpha)$ . Therefore,  $\rho = 0$  and  $\beta = \alpha \delta \in R\alpha$ , or  $R\alpha \supseteq I$ .

By (1)(2),  $\mathbb{Z}[i]$  is a PID.  $\square$ 

## Exercise 1.8.

We will use the unique factorization in  $\mathbb{Z}[i]$  to prove that every prime  $p \equiv 1 \pmod{4}$  is a sum of two squares.

- (a) Use the fact that the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  of integers mod p is cyclic to show that if  $p \equiv 1 \pmod{4}$  then  $n^2 \equiv -1 \pmod{p}$  for some  $n \in \mathbb{Z}$ .
- (b) Prove that p cannot be irreducible in  $\mathbb{Z}[i]$ . (Hint:  $p \mid n^2+1 = (n+i)(n-i)$ .)
- (c) Prove that p is a sum of two squares. (Hint: (b) shows that p = (a + bi)(c + di) with neither factor a unit. Take norms.)

*Proof of (a).* Since the multiplicative group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  of integers mod p is cyclic,  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  is generated by (a primitive root)  $g \in \mathbb{Z}/p\mathbb{Z}$ .  $g^{p-1} = 1$ , or

$$(g^{\frac{p-1}{2}} - 1)(g^{\frac{p-1}{2}} + 1) = 0$$

since p is odd. Since  $\mathbb{Z}/p\mathbb{Z}$  is an integral domain,  $g^{\frac{p-1}{2}} - 1 = 0$  or  $g^{\frac{p-1}{2}} + 1 = 0$ . g cannot satisfy  $g^{\frac{p-1}{2}} - 1 = 0$  since g is a generator of  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ . So,

$$g^{\frac{p-1}{2}} + 1 = 0.$$

Let  $n = q^{\frac{p-1}{4}} \in \mathbb{Z}$  since  $p \equiv 1 \pmod{4}$ . So  $n^2 + 1 = 0 \pmod{p}$ .  $\square$ 

Proof of (b). Since  $n^2 + 1 \equiv 0 \pmod{p}$  by (a),  $p \mid n^2 + 1 = (n+i)(n-i)$ . If p were irreducible in  $\mathbb{Z}[i]$ ,  $p \mid (n+i)$  or  $p \mid (n-i)$  by using the unique factorization in  $\mathbb{Z}[i]$ . Hence

$$\frac{n+i}{p} = \frac{n}{p} + \frac{1}{p}i \not\in \mathbb{Z}[i], \frac{n-i}{p} = \frac{n}{p} - \frac{1}{p}i \not\in \mathbb{Z}[i],$$

contrary to the assumption. Therefore, p is reducible in  $\mathbb{Z}[i]$ .  $\square$ 

*Proof of (c).* Since p is reducible in  $\mathbb{Z}[i]$  by (b), write p = (a + bi)(c + di) with neither factor a unit. Take norms,

$$p^2 = N(p) = N(a+bi)N(c+di).$$

Since neither factor of p is unit, N(a+bi)=p, or  $a^2+b^2=p$ , or p is a sum of two squares.  $\square$ 

#### Exercise 1.9.

Describe all irreducible elements in  $\mathbb{Z}[i]$ .

Notice that  $\alpha$  is irreducible if and only if  $\overline{\alpha}$  is irreducible. (Write  $\alpha = \beta \gamma$ , then  $\overline{\alpha} = \overline{\beta} \overline{\gamma}$ . Besides,  $\overline{\overline{\alpha}} = \alpha$ .)

*Proof.* Show that all irreducible elements in  $\mathbb{Z}[i]$  (up to units) are

- (1) 1+i.
- (2)  $\pi = a + bi$  for each integer prime  $p \equiv 1 \pmod{4}$  with  $p = a^2 + b^2$ .
- (3) p for each integer prime  $p \equiv 3 \pmod{4}$ .

Let  $\alpha$  be any irreducible element in  $\mathbb{Z}[i]$ . Consider  $N(\alpha) = \alpha \overline{\alpha}$ .  $N(\alpha) \neq 1$  since  $\alpha$  is not unit. By the unique factorization theorem in  $\mathbb{Z}$ ,  $N(\alpha) \in \mathbb{Z}$  is a product of primes in  $\mathbb{Z}$ .

There are three possible cases.

- (a)  $2 \mid N(\alpha)$ . Write  $(1+i)(1-i) \mid \alpha \overline{\alpha}$  in  $\mathbb{Z}[i]$ . Notice that  $1+i, 1-i, \alpha$  and  $\overline{\alpha}$  are all irreducible (Exercise 1.4). By the unique factorization theorem in  $\mathbb{Z}[i]$ ,  $\alpha = 1+i$  (up to units).
- (b)  $p \mid N(\alpha)$  for some prime  $p \equiv 3 \pmod{4}$ . Write  $p \mid \alpha \overline{\alpha}$  in  $\mathbb{Z}[i]$ . Notice that p,  $\alpha$  and  $\overline{\alpha}$  are all irreducible (Exercise 1.3). By the unique factorization theorem in  $\mathbb{Z}[i]$ ,  $\alpha = p$  (up to units) or  $\overline{\alpha} = p$  (up to units). So in any cases  $\alpha = p$  (up to units). (Note that  $\overline{p} = p$ .)

(c)  $p \mid N(\alpha)$  for some prime  $p \equiv 1 \pmod{4}$ . For such p, there is an irreducible  $\pi \in \mathbb{Z}[i]$  satisfying  $p = \pi \overline{\pi}$  (Exercise 1.8). Now we write  $\pi \overline{\pi} \mid \alpha \overline{\alpha}$  in  $\mathbb{Z}[i]$ . Notice that  $\pi$ ,  $\overline{\pi}$ ,  $\alpha$  and  $\overline{\alpha}$  are all irreducible. By the unique factorization theorem in  $\mathbb{Z}[i]$ ,  $\alpha = \pi$  or  $\alpha = \overline{\pi}$ . In any cases,  $\alpha = a + bi$  for integer prime  $p \equiv 1 \pmod{4}$  with  $p = a^2 + b^2$ .

Exercise 1.10 - 1.14: Let  $\omega = e^{\frac{2\pi i}{3}} = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ . Define  $N : \mathbb{Z}[\omega] \to \mathbb{Z}$  by  $N(a + b\omega) = a^2 - ab + b^2$ .

#### Exercise 1.10.

Show that if  $a + b\omega$  is written in the form u + vi where u and v are real, then  $N(a + b\omega) = u^2 + v^2$ .

*Proof.* By  $\omega = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ , write

$$a + b\omega = \left(a - \frac{1}{2}b\right) + \left(\frac{\sqrt{3}}{2}b\right)i.$$

Here  $u = a - \frac{1}{2}b \in \mathbb{R}$  and  $v = \frac{\sqrt{3}}{2}b \in \mathbb{R}$ . Hence  $u^2 + v^2 = (a - \frac{1}{2}b)^2 + (\frac{\sqrt{3}}{2}b)^2 = a^2 - ab + b^2 = N(a + b\omega)$ .  $\square$ 

#### Exercise 1.11.

Show that for all  $\alpha, \beta \in \mathbb{Z}[\omega]$ ,  $N(\alpha\beta) = N(\alpha)N(\beta)$ , either by direct computation or by using Exercise 1.10. Conclude that if  $\alpha \mid \gamma$  in  $\mathbb{Z}[\omega]$ , then  $N(\alpha) \mid N(\gamma)$  in  $\mathbb{Z}$ .

Proof.

(1) Direct computation. Note that  $1 + \omega + \omega^2 = 0$  or  $\omega^2 = -1 - \omega$ . Write  $\alpha = a + b\omega$ ,  $\beta = c + d\omega$  where  $a, b, c, d \in \mathbb{Z}$ . Thus,

$$\begin{split} N(\alpha\beta) &= N((a+b\omega)(c+d\omega)) \\ &= N(ac+(ad+bc)\omega+bd\omega^2) \\ &= N(ac+(ad+bc)\omega+bd(-1-\omega)) \\ &= N((ac-bd)+(ad+bc-bd)\omega) \\ &= (ac-bd)^2 - (ac-bd)(ad+bc-bd) + (ad+bc-bd)^2 \\ &= (a^2-ab+b^2)(c^2-cd+d^2), \\ N(\alpha)N(\beta) &= N(a+b\omega)N(c+d\omega) \\ &= (a^2-ab+b^2)(c^2-cd+d^2). \end{split}$$

- (2) Exercise 1.10. The result is established by Exercise 1.10 and Exercise 1.1.
- (3) Using the fact that  $N(a+b\omega) = (a+b\omega)\overline{(a+b\omega)}$ . Similar to the argument of Exercise 1.1.
- (4) Show that if  $\alpha \mid \gamma$  in  $\mathbb{Z}[\omega]$ , then  $N(\alpha) \mid N(\gamma)$  in  $\mathbb{Z}$ . Similar to the argument of Exercise 1.1.

## Exercise 1.12.

Let  $\alpha \in \mathbb{Z}[\omega]$ . Show that  $\alpha$  is a unit iff  $N(\alpha) = 1$ , and find all units in  $\mathbb{Z}[\omega]$ . (There are six of them.)

Proof.

- (1) ( $\Longrightarrow$ ) Since  $\alpha$  is a unit, there is  $\beta \in \mathbb{Z}[\omega]$  such that  $\alpha\beta = 1$ . By Exercise 1.11,  $N(\alpha\beta) = N(1)$ , or  $N(\alpha)N(\beta) = 1$ . Since the image of N is nonnegative integers,  $N(\alpha) = 1$ .
- (2) ( $\iff$ ) By Exercise 1.10,  $N(\alpha) = \alpha \overline{\alpha}$ , or  $1 = \alpha \overline{\alpha}$  since  $N(\alpha) = 1$ . That is,  $\overline{\alpha} \in \mathbb{Z}[\omega]$  is the inverse of  $\alpha \in \mathbb{Z}[\omega]$ .
- (3) By (1), we solve the equation  $N(\alpha) = a^2 ab + b^2 = 1$ , or  $4 = (2a b)^2 + 3b^2$ . There are 2 possible cases.
  - (a)  $2a b = \pm 1, b = \pm 1.$
  - (b)  $2a b = \pm 2$ ,  $b = \pm 0$ .

Solve these 6 pairs of equations yields the result  $\pm 1, \pm \omega, \pm \omega^2$ .

## Exercise 1.13.

Show that  $1-\omega$  is irreducible in  $\mathbb{Z}[\omega]$ , and that  $3=u(1-\omega)^2$  for some unit u.

3 is not irreducible in  $\mathbb{Z}[\omega]$ .

Proof.

(1)  $N(1-\omega)=3$  is an integer prime. Similar to the argument in Exercise 1.3,  $1-\omega$  is irreducible in  $\mathbb{Z}[\omega]$ .

(2) Note that  $1 + \omega + \omega^2 = 0$ . So  $(1 - \omega)^2 = 1 - 2\omega + \omega^2 = 3(-\omega)$ , or  $(-\omega^2)(1 - \omega)^2 = 3$ . By Exercise 1.12,  $-\omega^2$  is unit. Hence  $3 = u(1 - \omega)^2$  for some unit  $u = -\omega^2$ .

## Exercise 1.14.

Modify Exercise 1.7 to show that  $\mathbb{Z}[\omega]$  is a PID, hence a UFD. Here the squares are replaced by parallelograms; one of them has vertices  $0, \alpha, \omega\alpha, (\omega+1)\alpha$ , and all others are translates of this one. Use Exercise 1.10 for the geometric argument at the end.

Similar to Exercise 1.7.

Proof (without geometric intuition). Define N on  $\mathbb{Q}[\omega]$  by  $N(a+b\omega)=a^2-ab+b^2$  where  $a+b\omega\in\mathbb{Q}[\omega]$  as usual.

- (1) Show that  $\mathbb{Z}[\omega]$  is a Euclidean domain. Given  $\alpha = a + b\omega \in \mathbb{Z}[\omega]$  and  $\gamma = c + d\omega \in \mathbb{Z}[\omega]$  with  $\gamma \neq 0$ . It suffices to show there exist  $\delta$  and  $\rho$  such that the identity  $\alpha = \gamma \delta + \rho$  holds and either  $\rho = 0$  or  $N(\rho) < N(\gamma)$ .
  - (a) Pick  $\delta \in \mathbb{Z}[\omega]$ . (Intuition: Pick the 'integer part' of  $\frac{\alpha}{\gamma}$  as we did in integer numbers.) Write  $\frac{\alpha}{\gamma} = r + s\omega \in \mathbb{Q}[\omega]$ . Then we pick  $\delta = m + n\omega \in \mathbb{Z}[\omega]$  such that  $|r m| \leq \frac{1}{2}$  and  $|s n| \leq \frac{1}{2}$ . Therefore,

$$N\left(\frac{\alpha}{\gamma} - \delta\right) \le |r - m|^2 + |r - m||s - n| + |s - n|^2$$
$$\le \frac{1}{4} + \frac{1}{4} + \frac{1}{4}$$
$$= \frac{3}{4}.$$

(b) Pick  $\rho \in \mathbb{Z}[\omega]$ . Clearly we can pick  $\rho = \alpha - \gamma \delta \in \mathbb{Z}[\omega]$ . Therefore,  $\rho = 0$  or

$$\begin{split} N(\rho) &= N(\alpha - \gamma \delta) \\ &= N\left(\gamma\left(\frac{\alpha}{\gamma} - \delta\right)\right) \\ &= N(\gamma)N\left(\frac{\alpha}{\gamma} - \delta\right) \\ &\leq \frac{3}{4}N(\gamma) \\ &< N(\gamma). \end{split}$$

- (2) Show that every Euclidean domain R is a PID. Given any ideal I of R. Take  $\alpha \in I \setminus \{0\}$  such that  $N(\alpha)$  is minimized.
  - (a)  $R\alpha \subseteq I$  clearly.
  - (b) Conversely, for any  $\beta \in I$ , there are  $\delta, \rho \in R$  such that  $\beta = \alpha \delta + \rho$ , where either  $\rho = 0$  or  $N(\rho) < N(\alpha)$ . Since  $\rho = \beta \alpha \delta \in I$ , we cannot have  $N(\rho) < N(\alpha)$  by the minimality of  $N(\alpha)$ . Therefore,  $\rho = 0$  and  $\beta = \alpha \delta \in R\alpha$ , or  $R\alpha \supseteq I$ .

By (1)(2),  $\mathbb{Z}[\omega]$  is a PID.  $\square$ 

#### Exercise 1.15.

Here is a proof of Fermat's conjecture for n=4: If  $x^4+y^4=z^4$  has a solution in positive integers, then so does  $x^4+y^4=w^2$ . Let x,y,w be a solution with smallest possible w. Then  $x^2,y^2,w$  is a primitive Pythagorean triple. Assuming (without loss of generality) that x is odd, we can write

$$x^{2} = m^{2} - n^{2}, y^{2} = 2mn, w = m^{2} + n^{2}$$

with m and n are relatively prime positive integers, not both odd.

(a) Show that

$$x = r^2 - s^2, n = 2rs, m = r^2 + s^2$$

with r and s are relatively prime positive integers, not both odd.

- (b) Show that r, s and m are pairwise relatively prime. Using  $y^2 = 4rsm$ , conclude that r, s and m are all squares, say  $a^2$ ,  $b^2$  and  $c^2$ .
- (c) Show that  $a^4 + b^4 = c^2$ , and that this contradicts minimality of w.

Proof of (a). Write  $x^2 + n^2 = m^2$  by moving  $n^2$  of  $x^2 = m^2 - n^2$  to the left side. Notice that x is odd, and thus  $x = r^2 - s^2$ , n = 2rs,  $m = r^2 + s^2$  with r and s are relatively prime positive integers, not both odd.  $\square$ 

Proof of (b).

- (1) It suffices to show that (r, m) = 1. By assumption, (r, s) = 1. So  $(r, s) = 1 \Rightarrow (r, s^2) = 1 \Rightarrow (r, r^2 + s^2) = 1$  and note that  $m = r^2 + s^2$  to get the result.
- (2)  $y^2 = 2mn = 2m(2rs) = 4rsm$  by (a). Since r, s and m are pairwise relatively prime, r, s and m are all squares.

*Proof of (c).* By (b),  $r=a^2$ ,  $s=b^2$ ,  $m=c^2$ . By (a),  $m=r^2+s^2$ , or  $c^2=(a^2)^2+(b^2)^2=a^4+b^4$ . However,  $w=m^2+n^2>m^2>m=c^2>c$ , contrary to the minimality of w.  $\square$ 

Exercise 1.16-1.28: Let p be an odd prime,  $\omega = e^{\frac{2\pi i}{p}}$ .

## Exercise 1.16.

Show that

$$(1-\omega)(1-\omega^2)\cdots(1-\omega^{p-1})=p$$

by considering equation  $t^p - 1 = (t - 1)(t - \omega)(t - \omega^2) \cdots (t - \omega^{p-1})$ .

*Proof.* Note that  $t^p - 1 = (t-1)(t^{p-1} + t^{p-2} + \dots + t + 1)$ . Cancel out t-1 of Equation (2),

$$t^{p-1} + t^{p-2} + \dots + t + 1 = (t - \omega)(t - \omega^2) \cdots (t - \omega^{p-1}).$$

Put 
$$t=1$$
 to get  $p=(1-\omega)(1-\omega^2)\cdots(1-\omega^{p-1})$ .  $\square$ 

## Exercise 1.17.

Let  $x^p + y^p = z^p$ . Suppose that  $\mathbb{Z}[\omega]$  is a UFD and  $\pi \mid x + y\omega$ , and  $\pi$  is a prime in  $\mathbb{Z}[\omega]$ . Show that  $\pi$  does not divide any of the other factors on the left side of

$$(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=z^p$$

by showing that if it did, then  $\pi$  would divide both z and yp (Hint: Use Exercise 1.16); but z and yp are relatively prime (assuming p divides none of x, y, z), hence zm + ypn = 1 for some  $m, n \in \mathbb{Z}$ . How is this a contradiction?

*Proof.* Write

$$z=u\pi_1^{e_1}\cdots\pi_m^{e_m}$$

where u is unit and  $\pi_k$   $(1 \le k \le m)$  are distinct primes in  $\mathbb{Z}[\omega]$  and  $e_k \in \mathbb{Z}^+$   $(1 \le k \le m)$ . Since  $\mathbb{Z}[\omega]$  is a UFD by assumption, the factorization of z is unique up to order and units.

(1) Show that  $\pi \mid z$ . Since  $\pi \mid x + y\omega$ ,  $\pi \mid z^p$ . The factorization of  $z^p$  is

$$z^p = u^p \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

 $u^p$  is unit, and  $\pi|z^p$  implies that  $\pi=\pi_k$  for some k, that is,  $\pi\mid z$ .

- (2) Show that  $\pi \mid yp$  if  $\pi$  were divide any of the other factors on the left side of  $(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=z^p$ . Say  $\pi \mid x+y\omega^k$  for some  $k \neq 1$ . So that  $\pi \mid ((x+y\omega)-(x+y\omega^k))$ , or  $\pi \mid y(\omega-\omega^k)$ . Since  $k \neq 1$ , there are two possible cases.
  - (a) k > 1.  $\pi \mid y\omega(1 \omega^{k-1})$ . By Exercise 1.16,  $\pi \mid y\omega p$ , or  $\pi \mid yp$  since  $\omega$  is unit.  $(\omega^{p-1})$  is the inverse of  $\omega$  since  $\omega \cdot \omega^{p-1} = 1$ .)
  - (b) k = 0.  $\pi \mid y(\omega 1)$ , or  $\pi \mid y(1 \omega)$ . By Exercise 1.16,  $\pi \mid yp$ .

In any case,  $\pi \mid yp$ .

- (3) Note that z and yp are integers, and they are relatively prime by the assumption that p divides none of x, y, z. Therefore, on  $\mathbb{Z}$  we have zm + ypn = 1 for some  $m, n \in \mathbb{Z}$ .
- (4) zm + ypn = 1 is also true in  $\mathbb{Z}[\omega]$ . Therefore, by (1)(2) we have  $\pi \mid (zm + ypn)$  or  $\pi \mid 1$ , or  $\pi$  is unit, contrary to the primality of  $\pi$ .

## Exercise 1.18.

Use Exercise 1.17 to show that if  $\mathbb{Z}[\omega]$  is a UFD then  $x + y\omega = u\alpha^p$ ,  $\alpha \in \mathbb{Z}[\omega]$ , u a unit in  $\mathbb{Z}[\omega]$ .

Proof.

(1) Write  $z=u\pi_1^{e_1}\cdots\pi_m^{e_m}$  as Exercise 1.17. So

$$z^p = u^p \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

- (2) Factorize  $x + y\omega = vq_1^{f_1} \cdots q_n^{f_n}$ , where v is unit and all  $q_h$   $(1 \le h \le n)$  are distinct primes in  $\mathbb{Z}[\omega]$  and  $f_h \in \mathbb{Z}^+$ . Since  $\mathbb{Z}[\omega]$  is a UFD, for every  $q_h \mid x + y\omega$ , there is some k(h) such that  $q_h = \pi_{k(h)}$  and also  $q_h^{f_h} = \pi_{k(h)}^{pe_{k(h)}}$  or  $f_h = pe_{k(h)}$ .
- (3) Hence,

$$x + y\omega = v \left( \pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}} \right)^p,$$

where  $\alpha = \pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}} \in \mathbb{Z}[\omega]$  and v is unit.

## Exercise 1.19.

Dropping the assumption that  $\mathbb{Z}[\omega]$  is a UFD but using the fact that ideals factor uniquely (up to order) into prime ideals, show that the principal ideal  $(x + y\omega)$  has no prime ideal factor in common with any of the other principal ideals on the left side of the equation

$$(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=(z)^p$$

in which all factors are interpreted as principal ideals. (Hint: Modify the proof of Exercise 1.17 appropriately, using the fact that if A is an ideal dividing another ideal B, then  $A \supseteq B$ .)

Proof. Write

$$(z) = \pi_1^{e_1} \cdots \pi_m^{e_m}$$

where  $\pi_k$   $(1 \le k \le m)$  are distinct prime ideals of  $\mathbb{Z}[\omega]$  and  $e_k \in \mathbb{Z}^+$   $(1 \le k \le m)$ . By assumption that  $\mathbb{Z}[\omega]$  is a Dedekind domain, the factorization of z is unique up to order.

(1) Show that  $\pi \mid (z)$ . Since  $\pi \mid (x+y\omega), \pi \mid (z)^p$ . The factorization of  $(z)^p$  is

$$(z)^p = \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

 $\pi|(z)^p$  implies that  $\pi=\pi_k$  for some k, that is,  $\pi|(z)$ .

(2) Show that  $\pi \mid (yp)$  if  $\pi$  were divide any of the other factors on the left side of  $(x+y)(x+y\omega)(x+y\omega^2)\cdots(x+y\omega^{p-1})=(z)^p$ . Say  $\pi \mid (x+y\omega^k)$  for some  $k \neq 1$ . So that  $x+y\omega \in \pi$  and  $x+y\omega^k \in \pi$ , or  $y(\omega-\omega^k) \in \pi$ . Since  $k \neq 1$ , there are two possible cases.

(a) k > 1.  $y\omega(1 - \omega^{k-1}) \in \pi$ . By Exercise 1.16,  $y\omega p \in \pi$ , or  $yp \in \pi$  since  $\omega$  is unit.  $(\omega^{p-1})$  is the inverse of  $\omega$  since  $\omega \cdot \omega^{p-1} = 1$ .)

(b) k = 0.  $y(\omega - 1) \in \pi$ , or  $y(1 - \omega) \in \pi$ . By Exercise 1.16,  $yp \in \pi$ .

In any case,  $yp \in \pi$ , or  $\pi \mid (yp)$ .

(3) Note that z and yp are integers, and they are relatively prime by the assumption that p divides none of x, y, z. Therefore, on  $\mathbb{Z}$  we have zm + ypn = 1 for some  $m, n \in \mathbb{Z}$ .

(4) zm + ypn = 1 is also true in  $\mathbb{Z}[\omega]$ . Therefore, by (1)(2) we have  $z \in \pi$  and  $yp \in \pi$ . So  $zm + ypn \in \pi$  since  $\pi$  is an ideal. So  $1 \in \pi$  or  $\pi = (1)$ , contrary to the primality of  $\pi$ .

## Exercise 1.20.

Use Exercise 1.19 to show that  $(x + y\omega) = I^p$  for some ideal I.

Proof.

(1) Write  $(z) = \pi_1^{e_1} \cdots \pi_m^{e_m}$  as Exercise 1.17. So

$$(z)^p = \pi_1^{pe_1} \cdots \pi_m^{pe_m}.$$

- (2) Factorize  $(x+y\omega)=q_1^{f_1}\cdots q_n^{f_n}$ , where every  $q_h$   $(1\leq h\leq n)$  are distinct prime ideals of  $\mathbb{Z}[\omega]$  and  $f_h\in\mathbb{Z}^+$ . By assumption that  $\mathbb{Z}[\omega]$  is a Dedekind domain, for every  $q_h\mid (x+y\omega)$ , there is some k(h) such that  $q_h=\pi_{k(h)}$  and also  $q_h^{f_h}=\pi_{k(h)}^{pe_{k(h)}}$  or  $f_h=pe_{k(h)}$ .
- (3) Hence,

$$(x+y\omega) = \left(\pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}}\right)^p,$$

where  $I = \pi_{k(1)}^{e_{k(1)}} \cdots \pi_{k(n)}^{e_{k(n)}}$  is an ideal of  $\mathbb{Z}[\omega]$ .

## Exercise 1.21.

Show that every number of  $\mathbb{Q}[\omega]$  is uniquely representable in the form

$$a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}, a_i \in \mathbb{Q} \ \forall i$$

by show that  $\omega$  is a root of the polynomial

$$f(t) = t^{p-1} + t^{p-2} + \dots + t + 1$$

and that f(t) is irreducible over  $\mathbb{Q}$ . (Hint: It is enough to show that f(t+1) is irreducible, which can be established by Eisenstein's criterion. It helps to notice that  $f(t+1) = \frac{(t+1)^p-1}{t}$ .)

Proof.

(1) Given any number  $\alpha \in \mathbb{Q}[\omega]$ . Show that

$$\alpha = a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}, a_i \in \mathbb{Q} \ \forall i.$$

Since  $\omega^p = 1$ , we can write

$$\alpha = a'_0 + a'_1 \omega + a'_2 \omega^2 + \dots + a'_{p-2} \omega^{p-2} + a'_{p-1} \omega^{p-1}, a_i \in \mathbb{Q} \ \forall i.$$

Note that  $\omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$ , and thus we can replace  $\omega^{p-1}$  by  $-\omega^{p-2} - \cdots - \omega - 1$ .

- (2) Show that  $\omega$  is a root of the polynomial  $f(t) = t^{p-1} + t^{p-2} + \cdots + t + 1$ .  $f(\omega) = \omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$ .
- (3) Show that f(t) is irreducible over  $\mathbb{Q}$ . It suffices to show that f(t+1) is irreducible over  $\mathbb{Q}$ . Write  $(t-1)f(t)=t^p-1$ . So

$$tf(t+1) = (t+1)^p - 1 \qquad (\text{Put } t \mapsto t+1)$$

$$= \left(\sum_{k=0}^p \binom{p}{k} t^k\right) - 1 \qquad (\text{Binomial theorem})$$

$$= \sum_{k=1}^p \binom{p}{k} t^k,$$

$$f(t+1) = \sum_{k=1}^p \binom{p}{k} t^{k-1}$$

$$= t^{p-1} + pt^{p-2} + \dots + \frac{p(p-1)}{2}t + p.$$

By Eisenstein's criterion, f(t+1) is irreducible over  $\mathbb{Q}$ .

(4) To show the uniqueness, it suffices to show that the relation

$$0 = a_0 + a_1\omega + a_2\omega^2 + \dots + a_{p-2}\omega^{p-2}$$

implies all  $a_i = 0$ . Say  $g(t) = a_0 + a_1t + a_2t^2 + \cdots + a_{p-2}t^{p-2} \in \mathbb{Q}[t]$ . Clearly  $g(\omega) = 0$ . By the minimality of f(t), g(t) is identical zero, or all  $a_i = 0$ .

## Exercise 1.22.

Use Exercise 1.21 to show that if  $\alpha \in \mathbb{Z}[\omega]$  and  $p \mid \alpha$ , then (writing  $\alpha = a_0 + a_1\omega + \cdots + a_{p-2}\omega^{p-2}$ ,  $a_i \in \mathbb{Z}$ ) all  $a_i$  are divisible by p.

*Proof.* Since  $p \mid \alpha$ , there is  $\beta \in \mathbb{Z}[\omega]$  such that  $\alpha = p\beta$ . Write

$$\alpha = a_0 + a_1 \omega + \dots + a_{p-2} \omega^{p-2},$$
  
 $\beta = b_0 + b_1 \omega + \dots + b_{p-2} \omega^{p-2},$ 

where  $a_i, b_j \in \mathbb{Z}$ . By  $\alpha = p\beta$  and Exercise 1.21,  $a_i = pb_i$  for every  $1 \le i \le p-2$ . So all  $a_i$  are divisible by p.  $\square$ 

Define congruence mod p for  $\beta, \gamma \in \mathbb{Z}[\omega]$  as follows:

$$\beta \equiv \gamma \pmod{p} \ \text{iff } \beta - \gamma = \delta p \ \text{for some } \delta \in \mathbb{Z}[\omega].$$

(Equivalently, this is congruence mod the principal ideal  $p\mathbb{Z}[\omega]$ .

## Exercise 1.23.

Show that if  $\beta \equiv \gamma \pmod{p}$ , then  $\overline{\beta} \equiv \overline{\gamma} \pmod{p}$  where the bar denotes complex conjugation.

Proof.

(1) Show that  $\overline{\delta} \in \mathbb{Z}[\omega]$  for any  $\delta \in \mathbb{Z}[\omega]$ . Write

$$\delta = a_0 + a_1\omega + \dots + a_{p-1}\omega^{p-1}$$

where  $a_0, \ldots, a_{p-1} \in \mathbb{Z}$ . Take the complex conjugation to get

$$\overline{\delta} = \overline{a_0} + \overline{a_1} \cdot \overline{\omega} + \dots + \overline{a_{p-1}} \cdot \overline{\omega}^{p-1}$$

$$= a_0 + a_1 \overline{\omega} + \dots + a_{p-1} \overline{\omega}^{p-1} \qquad (\text{Every } a_k \in \mathbb{Z})$$

$$= a_0 + a_1 \omega^{p-1} + \dots + a_{p-1} \omega \in \mathbb{Z}[\omega]. \qquad (\omega^p = 1)$$

(2)

$$\beta \equiv \gamma \pmod{p}$$

$$\iff \beta - \gamma = \delta p \text{ for some } \delta \in \mathbb{Z}[\omega]$$

$$\iff \overline{\beta} - \overline{\gamma} = \overline{\delta} p \text{ for some } \delta \in \mathbb{Z}[\omega] \qquad \text{(Complex conjugation)}$$

$$\iff \overline{\beta} - \overline{\gamma} = \delta' p \text{ for some } \delta' \in \mathbb{Z}[\omega] \qquad \text{((1))}$$

$$\iff \overline{\beta} \equiv \overline{\gamma} \pmod{p}$$

## Exercise 1.24.

Show that  $(\beta + \gamma)^p \equiv \beta^p + \gamma^p \pmod{p}$  and generalize this to sums of arbitrarily many terms by induction.

Proof.

(1) Binomial theorem gives us

$$(\beta + \gamma)^p = \sum_{k=0}^p \binom{p}{k} \beta^k \gamma^{p-k} = \beta^p + \gamma^p + \sum_{k=1}^{p-1} \binom{p}{k} \beta^k \gamma^{p-k}.$$

- (2) Note that every binomial coefficient  $\binom{p}{k}$  is divided by p in  $\mathbb{Z}$  for  $1 \leq k \leq p-1$ . Also, every term  $\beta^k \gamma^{p-k}$  is in  $\mathbb{Z}[\omega]$ . So  $(\beta+\gamma)^p \beta^p \gamma^p = \delta p$  for some  $\delta \in \mathbb{Z}[\omega]$ . Hence the result holds.
- (3) In general,

$$\left(\sum_{k=1}^{n} \alpha_k\right)^p \equiv \sum_{k=1}^{n} \alpha_k^p \pmod{p}.$$

Induction by  $(\alpha_1 + \alpha_2)^p \equiv \alpha_1^p + \alpha_2^p \pmod{p}$  and  $\left(\sum_{k=1}^{n+1} \alpha_k\right)^p \equiv \left(\sum_{k=1}^n \alpha_k\right)^p + \alpha_{n+1}^p \equiv \left(\sum_{k=1}^n \alpha_k^p\right) + \alpha_{n+1}^p \equiv \sum_{k=1}^{n+1} \alpha_k^p \pmod{p}$ .

#### Exercise 1.25.

Show that for all  $\alpha \in \mathbb{Z}[\omega]$ ,  $\alpha^p$  is congruent  $\pmod{p}$  to some  $a \in \mathbb{Z}$ . (Hint: Write  $\alpha$  in terms of  $\omega$  and use Exercise 1.24.)

Proof (Hint). Write

$$\alpha = a_0 + a_1\omega + \dots + a_{p-1}\omega^{p-1}$$

where  $a_0, \ldots, a_{p-1} \in \mathbb{Z}$ . By Exercise 1.24,

$$\alpha^{p} \equiv a_{0}^{p} + (a_{1}\omega)^{p} + \dots + (a_{p-1}\omega^{p-1})^{p}$$

$$\equiv a_{0}^{p} + a_{1}^{p}\omega^{p} + \dots + a_{p-1}^{p}(\omega^{p-1})^{p}$$

$$\equiv a_{0}^{p} + a_{1}^{p}\omega^{p} + \dots + a_{p-1}^{p}(\omega^{p})^{p-1}$$

$$\equiv a_{0}^{p} + a_{1}^{p} + \dots + a_{p-1}^{p}. \qquad (\omega^{p} = 1)$$

Here  $a_0^p+a_1^p+\cdots+a_{p-1}^p\in\mathbb{Z}$ , and thus  $\alpha^p$  is congruent  $\pmod{p}$  to some integer.  $\square$ 

Exercise 1.26-1.28: Now assume  $p \geq 5$ . We will show that if  $x + y\omega = u\alpha^p \pmod{p}$ ,  $\alpha \in \mathbb{Z}[\omega]$ , u a unit in  $\mathbb{Z}[\omega]$ , x and y integers not divisible by p, then  $x \equiv y \pmod{p}$ . For this we will need the following result, proved by Kummer, on the units of  $\mathbb{Z}[\omega]$ :

Lemma: If u is a unit in  $\mathbb{Z}[\omega]$  and  $\overline{u}$  is its complex conjugate, then  $u/\overline{u}$  is a power of  $\omega$ . (For the proof, see Exercise 2.12.)

## Exercise 1.26.

Show that  $x + y\omega \equiv u\alpha^p \pmod{p}$  implies

$$x + y\omega \equiv (x + y\omega^{-1})\omega^k \pmod{p}$$

for some  $k \in \mathbb{Z}$ . (Use the Lemma on units and Exercise 1.23 and 1.25. Note that  $\overline{\omega} = \omega^{-1}$ .)

Proof (Hint).

$$x + y\omega \equiv u\alpha^{p} \pmod{p}$$

$$\Longrightarrow x + y\omega \equiv ua \pmod{p} \text{ for some } a \in \mathbb{Z}$$

$$\Longrightarrow \overline{x + y\omega} \equiv \overline{ua} \pmod{p}$$

$$\Longrightarrow x + y\overline{\omega} \equiv \overline{u}a \pmod{p}$$

$$\Longrightarrow x + y\omega^{-1} \equiv \overline{u}a \pmod{p}$$

$$\Longrightarrow x + y\omega^{-1} \equiv u\omega^{-k}a \pmod{p} \text{ ($\overline{\omega} = \omega^{-1}$)}$$

$$\Longrightarrow x + y\omega^{-1} \equiv u\omega^{-k}a \pmod{p} \text{ for some } k \in \mathbb{Z}$$

$$\Longrightarrow ua \equiv (x + y\omega^{-1})\omega^{k} \pmod{p}$$

$$\Longrightarrow x + y\omega \equiv (x + y\omega^{-1})\omega^{k} \pmod{p}.$$
(Lemma)

## Exercise 1.27.

Use Exercise 1.22 to show that a contradiction results unless  $k \equiv 1 \pmod{p}$ . (Recall that  $p \nmid xy$ ,  $p \geq 5$ , and  $\omega^{p-1} + \omega^{p-2} + \cdots + \omega + 1 = 0$ .)

Proof. Exercise 1.26 shows

$$x + y\omega \equiv (x + y\omega^{-1})\omega^k \pmod{p}.$$

Multiply  $\omega$  on the both sides to get  $x\omega + y\omega^2 \equiv y\omega^k + x\omega^{k+1} \pmod{p}$ , or

$$p \mid (x\omega + y\omega^2 - y\omega^k - x\omega^{k+1}).$$

If k were satisfying  $k \not\equiv 1 \pmod p$ , then by Exercise 1.22 and  $p \geq 5$  we have  $p \mid x$  or  $p \mid y$ , contrary to the assumption that x and y are integers not divisible by p.  $\square$ 

## Exercise 1.28.

Finally, show  $x \equiv y \pmod{p}$ .

*Proof.* In the argument of Exercise 1.27 we have

$$p \mid ((x-y)\omega + (y-x)\omega^2)$$

by replacing k=1. By Exercise 1.22 and  $p\geq 5, x-y$  is divisible by p, or  $x\equiv y\pmod p$  as integers.  $\square$ 

## Exercise 1.29.

Let  $\omega = \exp(\frac{2\pi i}{23})$ . Verify that the product

$$(1 + \omega^2 + \omega^4 + \omega^5 + \omega^6 + \omega^{10} + \omega^{11})(1 + \omega + \omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{11})$$

is divisible by 2 in  $\mathbb{Z}[\omega]$ , although neither factor is. It can be shown (Exercise 3.17) that 2 is an irreducible element in  $\mathbb{Z}[\omega]$ ; it follows that  $\mathbb{Z}[\omega]$  cannot be a UFD.

*Proof.* Note that  $\sum_{k=0}^{22} \omega^k = 0$ . So

$$(1 + \omega^2 + \omega^4 + \omega^5 + \omega^6 + \omega^{10} + \omega^{11})(1 + \omega + \omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{11})$$
$$= 2(\omega^5 + \omega^6 + \omega^7 + \omega^9 + \omega^{10} + 3\omega^{11} + \omega^{12} + \omega^{13} + \omega^{15} + \omega^{16} + \omega^{17})$$

is divisible by 2 in  $\mathbb{Z}[\omega]$ , although neither factor is.  $\square$ 

Exercise 1.30-1.32: R is an integral domain (commutative ring with 1 and no zero divisors).

## Exercise 1.30.

Show that two ideals in R are isomorphic as R-modules iff they are in the same ideal class.

*Proof.* Given any two ideals A, B in an commutative integral domain R.

(1) ( $\Longrightarrow$ ) Let  $\varphi:A\to B$  be an R-module isomorphism. Given any nonzero  $\alpha\in A,$  we have

$$\varphi(\alpha)A = \{\varphi(\alpha)a : a \in A\}$$

$$= \{\varphi(\alpha a) : a \in A\} \qquad (\varphi \text{ is a homomorphism})$$

$$= \{\alpha\varphi(a) : a \in A\} \qquad (\varphi \text{ is a homomorphism})$$

$$= \{\alpha b : b \in B\} \qquad (\varphi \text{ is an isomorphism})$$

$$= \alpha B.$$

Notice that  $\varphi(\alpha) \neq 0$  since  $\alpha \neq 0$  and  $\varphi$  is injective. Therefore,  $A \sim B$ .

- (2) ( $\iff$ ) Given  $A \sim B$ , there are nonzero  $\alpha, \beta \in R$  such that  $\alpha A = \beta B$ . Define a map  $\varphi : A \to B$  by  $\varphi(a) = b$  if  $\alpha a = \beta b$ .
  - (a)  $\varphi$  is well-defined.
    - (i) Existence of b. Since  $\alpha a \in \alpha A = \beta B$ , there is  $b \in B$  such that  $\alpha a = \beta b$ .
    - (ii) Uniqueness of b. If  $\alpha a = \beta b_1 = \beta b_2$ ,  $\beta(b_1 b_2) = 0$ . Since R is an integral domain and  $\beta \neq 0$ ,  $b_1 b_2 = 0$  or  $b_1 = b_2$ .
  - (b)  $\varphi$  is an R-module homomorphism.
    - (i) Show that  $\varphi(a_1 + a_2) = \varphi(a_1) + \varphi(a_2)$ . Write  $\varphi(a_1) = b_1$  and  $\varphi(a_2) = b_2$ .

$$\varphi(a_1) = b_1 \text{ and } \varphi(a_2) = b_2$$
  
 $\Longrightarrow \alpha a_1 = \beta b_1 \text{ and } \alpha a_2 = \beta b_2$  (Definition of  $\varphi$ )  
 $\Longrightarrow \alpha a_1 + \alpha a_2 = \beta b_1 + \beta b_2$  (Add together)  
 $\Longrightarrow \alpha (a_1 + a_2) = \beta (b_1 + b_2)$   
 $\Longrightarrow \varphi(a_1 + a_2) = b_1 + b_2 = \varphi(a_1) + \varphi(a_2)$ . (Definition of  $\varphi$ )

(ii) Show that  $\varphi(ra) = r\varphi(a)$ . Write  $\varphi(a) = b$ .

$$\varphi(a) = b \Longrightarrow \alpha a = \beta b$$
 (Definition of  $\varphi$ )  

$$\Longrightarrow r\alpha a = r\beta b$$
 (Multiply  $r$ )  

$$\Longrightarrow \alpha(ra) = \beta(rb)$$
 ( $R$  is commutative)  

$$\Longrightarrow \varphi(ra) = rb = r\varphi(a).$$
 (Definition of  $\varphi$ )

- (c)  $\varphi$  is injective. Given  $\varphi(a) = 0$ . Then  $\alpha a = \beta b = \beta 0 = 0$ . Since R is an integral domain and  $\alpha \neq 0$ ,  $\alpha = 0$ .
- (d)  $\varphi$  is surjective. Given any  $b \in B$ .  $\beta b \in \beta B = \alpha A$ . There is  $a \in A$  such that  $\beta b = \alpha a$ . Such a satisfies  $\varphi(a) = b$ .

Therefore,  $\varphi:A\to B$  is an R-module isomorphism.

## 

#### Exercise 1.31.

Show that if A is an ideal in R and if  $\alpha A$  is principal for some nonzero  $\alpha \in R$ , then A is principal. Conclude that the principal ideals form an ideal class.

Proof.

(1) Write  $\alpha A = (b)$  for some  $b \in \alpha A$ . That is, there is  $a \in A$  such that

$$b = \alpha a$$
.

(2) Show that A = (a) is principal.  $(a) \subseteq A$  holds trivially since  $a \in A$  and A is an ideal. Given any  $x \in A$ .  $\alpha x \in \alpha A = (b)$ , and thus there is  $y \in R$  such that  $\alpha x = by$ . Replace b by  $b = \alpha a$  to get  $\alpha x = \alpha ay$  or

$$\alpha(x - ay) = 0.$$

Since  $\alpha \neq 0$  and R is an integral domain, x - ay = 0 or  $x = ay \in (a)$  or  $A \subseteq (a)$ . Hence A = (a) is principal.

(3) Show that the principal ideals form an ideal class. Given any  $A = (a) \neq 0$  and  $B = (b) \neq 0$ , we have bA = aB = (ab) for  $a, b \in R$  or  $A \sim B$ .

## Exercise 1.32.

Show that the ideal classes in R form a group iff for every ideal A there is an ideal B such that AB is principal.

*Note.* The Picard group of the spectrum of a Dedekind domain is its ideal class group.

*Proof.* Let [A] be the ideal class representing by a nonzero ideal A of R. Let

$$Pic(R) = \{ [A] : A \text{ is an ideal of } R \}$$

be the set of all ideal classes. Define the operation  $\cdot : \text{Pic}(R) \times \text{Pic}(R) \to \text{Pic}(R)$  by  $[A] \cdot [B] \mapsto [AB]$ .

- (1) (Closure) Show that the operation  $[A] \cdot [B] \mapsto [AB]$  is well-defined. Trivial due to the definition of the ideal class. Note that  $[A] \cdot [B] = [B] \cdot [A]$  by the commutativity of R.
- (2) (Associativity) Show that  $([A] \cdot [B]) \cdot [C] = [A] \cdot ([B] \cdot [C])$ . Trivial due to the definition of the ideal class.
- (3) (Identity element) Show that the non-zero principal ideals form the ideal class [1]. Exercise 1.30 and note that (1) is principal too.
- (4) Show that the set Pic(R) forms an (abelian) group with [1] as the identity element if and only if every [A] has an inverse in Pic(R). By (1)(2)(3), the set Pic(R) forms an (abelian) group iff every element has an inverse element. The conclusion is established.

# Chapter 2: Number Fields and Number Rings

## Exercise 2.1.

- (a) Show that every number field of degree 2 over  $\mathbb{Q}$  is one of the quadratic fields  $\mathbb{Q}[\sqrt{m}]$ ,  $m \in \mathbb{Z}$ .
- (b) Show that the fields  $\mathbb{Q}[\sqrt{m}]$ , m squarefree, are pairwise distinct. (Hint: Consider the equation  $\sqrt{m} = a + b\sqrt{n}$ ); use this to show that they are in fact pairwise non-isomorphic.

Proof of (a). Let  $f(x) = ax^2 + bx + c$ ,  $a, b, c \in \mathbb{Z}$   $(a \neq 0)$  and assume f is irreducible over  $\mathbb{Q}$ . Let  $\alpha$  be a root of f(x). So

$$\alpha = \frac{-b \pm \sqrt{m}}{2a}$$

where  $m = b^2 - 4ac \in \mathbb{Z}$ . Therefore,

$$\mathbb{Q}[\alpha] = \mathbb{Q}\left[\frac{-b \pm \sqrt{m}}{2a}\right] = \mathbb{Q}[\sqrt{m}].$$

Proof of (b). Show that  $\mathbb{Q}[\sqrt{m}]$  and  $\mathbb{Q}[\sqrt{n}]$  are not isomorphic as fields if m and n are squarefree and  $m \neq n$ . Reductio ad absurdum.

(1) If  $\varphi: \mathbb{Q}[\sqrt{m}] \to \mathbb{Q}[\sqrt{n}]$  were an isomorphism as fields, then  $\varphi$  is an identity map on  $\mathbb{Q}$ , and

$$\varphi(\sqrt{m}) = a + b\sqrt{n} \text{ for some } a, b \in \mathbb{Q}$$

$$\Longrightarrow \varphi(\sqrt{m})\varphi(\sqrt{m}) = (a + b\sqrt{n})^2$$

$$\Longrightarrow \varphi(\sqrt{m}\sqrt{m}) = (a + b\sqrt{n})^2$$

$$\Longrightarrow \varphi(m) = a^2 + nb^2 + 2ab\sqrt{n}$$

$$\Longrightarrow m = a^2 + nb^2 + 2ab\sqrt{n}.$$

If  $2ab \neq 0$ , then  $\sqrt{n} = \frac{m-a^2-nb^2}{2ab} \in \mathbb{Q}$ , contrary to the assumption that n is squarefree. Hence 2ab = 0.

(2) a=0. Write  $b=\frac{r}{s}\in\mathbb{Q}$  where  $r,s\in\mathbb{Z}$  and (r,s)=1. So

$$ms^2 = nr^2$$
.

Hence

$$b \neq 0 \Longrightarrow s^2 > 0$$
 and  $r^2 > 0$   
 $\Longrightarrow m$  and  $n$  have the same sign  
 $\Longrightarrow (\exists \text{ prime } p \mid m, p \nmid n) \text{ or } (\exists \text{ prime } q \mid n, q \nmid m) \text{ since } m \neq n.$ 

(a) There is a prime  $p \mid m$  but  $p \nmid n$ .

$$p \mid m \Longrightarrow \operatorname{Write} m = pm_1 \text{ for some } m_1 \in \mathbb{Z}$$

$$\Longrightarrow (pm_1)s^2 = nr^2 \qquad (ms^2 = nr^2)$$

$$\Longrightarrow p \mid nr^2$$

$$\Longrightarrow p \mid r \qquad (p \nmid n \text{ by assumption})$$

$$\Longrightarrow \operatorname{Write} r = pr_1 \text{ for some } r_1 \in \mathbb{Z}$$

$$\Longrightarrow (pm_1)s^2 = n(pr_1)^2 \qquad (ms^2 = nr^2)$$

$$\Longrightarrow m_1s^2 = npr_1^2$$

$$\Longrightarrow p \mid m_1s^2$$

$$\Longrightarrow p \mid m_1$$

$$\Longrightarrow \operatorname{Write} m_1 = pm_2 \text{ for some } r_2 \in \mathbb{Z}$$

$$\Longrightarrow m = p^2m_2,$$

contrary to the assumption that m is squarefree.

- (b) There is a prime  $q \mid n$  but  $q \nmid m$ . Similar to (a).
- (3) b=0.  $m=a^2$ . Write  $a=\frac{r}{s}\in\mathbb{Q}$  where  $r,s\in\mathbb{Z}$  and (r,s)=1. Hence  $ms^2=r^2$ . Similar to the argument in (2).
- (4) By (2)(3), no such isomorphism  $\varphi$ , that is,  $\mathbb{Q}[\sqrt{m}]$  and  $\mathbb{Q}[\sqrt{n}]$  are not isomorphic as fields.

## Supplement. (Isomorphic as vector spaces)

Show that  $\mathbb{Q}[\sqrt{m}]$  and  $\mathbb{Q}[\sqrt{n}]$  are isomorphic as  $\mathbb{Q}$ -vector spaces.

*Proof.*  $[\mathbb{Q}[\sqrt{m}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{n}]:\mathbb{Q}] = 2$ . There is a natural map  $\varphi:\mathbb{Q}[\sqrt{m}] \to \mathbb{Q}[\sqrt{n}]$  defined by  $\varphi(a+b\sqrt{m}) = a+b\sqrt{n}$ . Clearly  $\varphi$  is well-defined, linear, injective and surjective.  $\square$ 

#### Exercise 2.2.

Let I be the ideal generated by 2 and  $1+\sqrt{-3}$  in the ring  $\mathbb{Z}[\sqrt{-3}]=\{a+b\sqrt{-3}:a,b\in\mathbb{Z}\}$ . Show that  $I\neq (2)$  but  $I^2=2I$ . Conclude that ideals in  $\mathbb{Z}[\sqrt{-3}]$  do not factor uniquely into prime ideals. Show moreover that I is the unique prime ideal containing (2) and conclude that (2) is not a product of prime ideals.

Proof.

- (1) Show that  $I \neq (2)$ .
  - (a) Show that  $I \supseteq (2)$ .  $2 \in (2, 1 + \sqrt{-3}) = I$ .
  - (b) Show that  $I \nsubseteq (2)$ . Consider  $1 + \sqrt{-3} \in I$ . (Reductio ad absurdum) If  $1 + \sqrt{-3}$  were in (2), then there exists  $a + b\sqrt{-3}$  such that

$$1 + \sqrt{-3} = 2(a + b\sqrt{-3}) = 2a + 2b\sqrt{-3}$$

Thus,  $a = \frac{1}{2}$  and  $b = \frac{1}{2}$ , which is absurd.

- (2) Show that  $I^2 = 2I$ .
  - (a) Show that  $I^2 \supseteq 2I$ . Since  $2 \in (2, 1 + \sqrt{-3}) = I$ ,  $2I \subseteq I^2$ .
  - (b) Show that  $I^2 \subseteq 2I$ . All elements of  $I^2$  are generated by

$$2 \cdot 2, 2(1 + \sqrt{-3})$$
 and  $(1 + \sqrt{-3})^2$ .

Clearly,  $2 \cdot 2$ ,  $2(1 + \sqrt{-3}) \in 2I$ . Besides,

$$(1+\sqrt{-3})^2 = -2 + 2\sqrt{-3} = 2(-(2) + (1+\sqrt{-3})) \in 2I.$$

Hence  $I^2 \subseteq 2I$ .

- (3) Show that ideals in  $\mathbb{Z}[\sqrt{-3}]$  do not factor uniquely into prime ideals. It is followed by  $I^2 = 2I$  and  $I \neq (2)$ .
- (4) Show that I is the unique prime ideal containing (2).
  - (a) Show that  $I = (2, 1 + \sqrt{-3})$  is a prime ideal containing (2). Note that

$$\mathbb{Z}[\sqrt{-3}]/(2) = (\mathbb{Z}/2\mathbb{Z})[\sqrt{-3}] = \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$$

and

$$I/(2) = (1 + \sqrt{-3})$$

is an ideal of  $\mathbb{Z}[\sqrt{-3}]/(2)$ . So

$$\mathbb{Z}[\sqrt{-3}]/I = (\mathbb{Z}[\sqrt{-3}]/(2))/(I/(2)) = \{0,1\} = \mathbb{Z}/2\mathbb{Z}$$

is an integral domain. Hence I is a prime ideal containing (2).

(b) Suppose I' is a prime ideal containing (2). Similar to part (a),

$$\mathbb{Z}[\sqrt{-3}]/I' = (\mathbb{Z}[\sqrt{-3}]/(2))/(I'/(2))$$
$$= \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}/(I'/(2))$$

must be an integral domain.

(c) Since  $\{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$  is not an integral domain,  $I'/(2) \neq (0)$  or  $I' \neq (2)$ . Also,  $I'/(2) \neq \{0, 1, \sqrt{-3}, 1 + \sqrt{-3}\}$  implies that  $I'/(2) \neq (1) = (\sqrt{-3})$ . Therefore we must have  $I'/(2) = (1 + \sqrt{-3})$ . Here the existence is guaranteed by part (a).

(5) Show that (2) is not a product of prime ideals. (Reductio ad absurdum) Suppose (2) were a product of prime ideals. By part (4), we might write  $(2) = I^n$  for some positive integer n. Since  $I \neq (2)$  and  $I^2 = 2I$ ,

$$(2) = (2)I^{n-1} \subseteq (2)I.$$

for some  $n \geq 2$ .

(6) Take  $2 \in (2) \subseteq (2)I$ . Write

$$2 = 2a_1 + \dots + 2a_k = 2\underbrace{(a_1 + \dots + a_k)}_{:=a \in I}$$

where  $a_1, \ldots, a_k \in I$ . We take the norm of the both sides to get N(a) = 1. a is a unit in  $\mathbb{Z}[\sqrt{-3}]$ .  $I = \mathbb{Z}[\sqrt{-3}]$ , which is absurd. Therefore (2) is not a product of prime ideals.

#### Exercise 2.3.

Complete the proof of Corollary 2, Theorem 2.1.

Corollary 2: Let m be a squarefree integer. The set of algebraic integers in the quadratic field  $\mathbb{Q}[\sqrt{m}]$  is

$$\{a+b\sqrt{m}:a,b\in\mathbb{Z}\} \text{ if } m\equiv 2,3\pmod 4,$$
 
$$\left\{\frac{a+b\sqrt{m}}{2}:a,b\in\mathbb{Z},a\equiv b\pmod 2\right\} \text{ if } m\equiv 1\pmod 4.$$

Proof.

(1) Let  $\alpha = r + s\sqrt{m}$ ,  $r, s \in \mathbb{Q}$ . If  $s \neq 0$ , then the monic irreducible polynomial over  $\mathbb{Q}$  having  $\alpha$  as a root is

$$x^2 - 2rx + r^2 - ms^2.$$

Thus  $\alpha$  is an algebraic integer iff 2r and  $r^2 - ms^2$  are both integers.

(2) Hence  $4(r^2 - ms^2) = (2r)^2 - m(2s)^2 \in \mathbb{Z}$ .  $m(2s)^2 \in \mathbb{Z}$  since  $2r \in \mathbb{Z}$ . Hence  $2s \in \mathbb{Z}$  since m is squarefree. Let  $a = 2r, b = 2s \in \mathbb{Z}$ . Then  $a^2 - mb^2 = 4(r^2 - ms^2) \equiv 0 \pmod{4}$ . Note that a square  $\equiv 0, 1 \pmod{4}$  and thus we consider the following two cases.

(3) If  $m \equiv 1 \pmod{4}$ , then

$$a^2 - mb^2 \equiv a^2 - b^2 \pmod{4}$$

 $\Longrightarrow a$  and b has the same parity

$$\Longrightarrow \alpha = r + s\sqrt{m} = \frac{a + b\sqrt{m}}{2}, a, b \in \mathbb{Z}, a \equiv b \pmod{2}.$$

(4) If  $m \equiv 2, 3 \pmod{4}$ , then

$$a^2 - mb^2 \equiv a^2 + 2b^2 \text{ or } a^2 + b^2 \pmod{4}$$

 $\Longrightarrow$  both a and b are even

 $\implies$  both r and s are rational integers

$$\Longrightarrow \alpha = r + s\sqrt{m}, r, s \in \mathbb{Z}.$$

## Supplement.

(Exercise I.2.4 in [Jürgen Neukirch, Algebraic Number Theory].) Let D be a squarefree rational integer  $\neq 0, 1$  and d the discriminant of the quadratic number field  $K = \mathbb{Q}(\sqrt{D})$ . Show that

$$d = \begin{cases} D & \text{if } D \equiv 1 \pmod{4}, \\ 4D & \text{if } D \equiv 2, 3 \pmod{4}. \end{cases}$$

and that an integral basis of K is given by  $\{1, \sqrt{D}\}$  in the second case, by  $\left\{1, \frac{1+\sqrt{D}}{2}\right\}$  in the first case, and by  $\left\{1, \frac{d+\sqrt{d}}{2}\right\}$  in both case.

Proof.

- (1) The Galois group of  $K|\mathbb{Q}$  has two elements, the identity and an automorphism sending  $\sqrt{D}$  to  $-\sqrt{D}$ .
- (2) Note that  $\alpha \in \mathcal{O}_K$  iff  $\operatorname{Tr}_{K|\mathbb{Q}}(\alpha), N_{K|\mathbb{Q}}(\alpha) \in \mathbb{Z}$  (by noting that the equation  $x^2 \operatorname{Tr}_{K|\mathbb{Q}}(\alpha)x + N_{K|\mathbb{Q}}(\alpha) = 0$  has a root  $x = \alpha$ ). So given  $\alpha = x + y\sqrt{D} \in \mathcal{O}_K$ , we have

$$\operatorname{Tr}_{K|\mathbb{Q}}(\alpha) = 2x \in \mathbb{Z},$$
  
 $N_{K|\mathbb{Q}}(\alpha) = x^2 - Dy^2 \in \mathbb{Z}.$ 

(3) So  $4(x^2 - Dy^2) = (2x)^2 - D(2y)^2 \in \mathbb{Z}$ . So  $D(2y)^2 \in \mathbb{Z}$  since  $2x \in \mathbb{Z}$ . So  $2y \in \mathbb{Z}$  since D is squarefree  $\neq 0, 1$ . Let r = 2x, s = 2y. Then  $r^2 - Ds^2 = 4(x^2 - Dy^2) \equiv 0 \pmod{4}$ . Note that a square  $\equiv 0, 1 \pmod{4}$  and thus we consider the following two cases.

(4) If 
$$D \equiv 1 \pmod{4}$$
, then

$$r^{2} - Ds^{2} \equiv r^{2} - s^{2} \pmod{4}$$

$$\Rightarrow r \text{ and } s \text{ has the same parity}$$

$$\Rightarrow \mathcal{O}_{K} = \left\{ \frac{r + s\sqrt{D}}{2} : r \equiv s \pmod{2} \right\}$$

$$\Rightarrow \mathcal{O}_{K} = \left\{ \frac{r - s}{2} + s \cdot \frac{1 + \sqrt{D}}{2} : r \equiv s \pmod{2} \right\}$$

$$\Rightarrow \mathcal{O}_{K} = \mathbb{Z} + \mathbb{Z} \frac{1 + \sqrt{D}}{2}.$$

So  $\left\{1, \frac{1+\sqrt{D}}{2}\right\}$  is an integral basis of K. Hence

$$d = \begin{vmatrix} 1 & \frac{1+\sqrt{D}}{2} \\ 1 & \frac{1-\sqrt{D}}{2} \end{vmatrix}^2 = D.$$

(5) If  $D \equiv 2, 3 \pmod{4}$ , then

$$r^2 - Ds^2 \equiv r^2 + 2s^2 \text{ or } r^2 + s^2 \pmod{4}$$
  
 $\Longrightarrow \text{both } r \text{ and } s \text{ are even}$   
 $\Longrightarrow \text{both } x \text{ and } y \text{ are rational integers}$   
 $\Longrightarrow \mathcal{O}_K = \mathbb{Z} + \mathbb{Z}\sqrt{D}.$ 

So  $\{1, \sqrt{D}\}$  is an integral basis of K. Hence

$$d = \begin{vmatrix} 1 & \sqrt{D} \\ 1 & -\sqrt{D} \end{vmatrix}^2 = 4D.$$

(6) By (4)(5),  $\left\{1, \frac{d+\sqrt{d}}{2}\right\}$  is an integral basis of K for any case.

#### Exercise 2.4.

Suppose  $a_0, \ldots, a_{n-1}$  are algebraic integers and  $\alpha$  is a complex number satisfying

$$\alpha^{n} + a_{n-1}\alpha^{n-1} + \dots + a_{1}\alpha + a_{0} = 0.$$

Show that the ring  $\mathbb{Z}[a_0,\ldots,a_{n-1},\alpha]$  has a finitely generated additive group. (Hint: Consider the products  $a_0^{m_0}a_1^{m_1}\cdots a_{n-1}^{m_{n-1}}\alpha^m$  and show that only finitely many values of the exponents are needed.) Conclude that  $\alpha$  is an algebraic

integer.

*Proof.* Let  $V = \mathbb{Z}[a_0, \dots, a_{n-1}, \alpha]$ . Let  $n_k$  be the degree of the algebraic integer  $a_k$  where  $0 \le k \le n-1$ .

(1) Show that V is finitely generated as an additive subgroup of  $\mathbb{C}$ . It suffices to show that V is generated by

$$a_0^{m_0} a_1^{m_1} \cdots a_{n-1}^{m_{n-1}} \alpha^m$$

where  $0 \le m_k < n_k$  and  $0 \le m < n$ . Given any  $x \in V$ , x is a finite sum of the product  $a_0^{m_0} a_1^{m_1} \cdots a_{n-1}^{m_{n-1}} \alpha^m$  with  $m_k \ge 0$  and  $m \ge 0$ .

If  $m \geq n$ , replace  $\alpha^m$  by

$$\alpha^{m} = \alpha^{m-n} \alpha^{n}$$

$$= \alpha^{m-n} (-a_{n-1} \alpha^{n-1} - \dots - a_{1} \alpha - a_{0})$$

$$= -a_{n-1} \alpha^{m-1} - \dots - a_{1} \alpha^{m-n+1} - a_{0} \alpha^{m-n}.$$

Repeat this process to reduce the degree of  $\alpha^m$  less than n. Therefore, we can write x as a finite sum of the product  $a_0^{m'_0}a_1^{m'_1}\cdots a_{n-1}^{m'_{n-1}}\alpha^{m'}$  with  $m'_k\geq 0$  and  $0\leq m'< n$ .

Once the degree of  $\alpha^m$  is reduced, continue to reduce the degree of each  $a_k^{m_k'}$  without affecting other  $a_h$   $(h \neq k)$  and  $\alpha$ . Now replace  $a_k^{m_k'}$  by

$$a_k^{m_k'} = \sum_{i=0}^{n_k - 1} b_{k,i} a_k^i$$

where  $b_{k,i} \in \mathbb{Z}$ . Therefore, we can write x as a finite sum of the product  $a_0^{m_0''}a_1^{m_1''}\cdots a_{n-1}^{m_{n-1}''}\alpha^{m'}$  with  $0 \le m_k'' < n_k$  and  $0 \le m' < n$ .

(4) Show that  $\alpha$  is an algebraic integer. Since  $\alpha \in V$ ,  $\alpha V \subseteq V$ . Thus  $\alpha$  is an algebraic integer (Theorem 2.2).

## Exercise 2.5.

Show that if f is any polynomials over  $\mathbb{Z}/p\mathbb{Z}$  (p a prime) then  $f(x^p) = (f(x))^p$ . (Suggestion: Use induction on the number of terms.)

Proof.

(1) Let

$$\binom{p}{k} = \frac{p!}{k!(p-k)!}$$

be a binomial coefficient. If  $1 \le k \le p-1$ , show that p divides  $\binom{p}{k}$ .

- (a) If  $1 \le k \le p-1$ , then  $p \nmid k!$  and  $p \nmid (p-k)!$  since p is a prime.
- (b) Write  $a = \frac{p!}{k!(p-k)!} \in \mathbb{Z}$ . Hence,

$$a = \frac{p!}{k!(p-k)!} \iff p! = ak!(p-k)!$$
$$\implies p \mid p! \text{ or } p \mid ak!(p-k)!$$
$$\implies p \mid a \text{ by (a)}.$$

Hence p divides  $\binom{p}{k}$  if  $1 \le k \le p-1$ .

- (2) Note that  $a^p = a \in \mathbb{Z}/p\mathbb{Z}$  for all  $a \in \mathbb{Z}/p\mathbb{Z}$ .
- (3) Write

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \in \mathbb{Z}/p\mathbb{Z}[x].$$

Induction on n.

- (a) n = 0. So  $f(x) = a_0$ , and thus  $f(x)^p = a_0^p = a_0$  by (2).
- (b) n = 1. By  $f(x) = a_1 x + a_0$ ,

$$f(x)^{p} = (a_{1}x + a_{0})^{p}$$

$$= a_{1}^{p}x^{p} + \sum_{k=1}^{p-1} {p \choose k} (a_{1}x)^{k} a_{0}^{p-k} + a_{0}^{p} \quad \text{(Binomial theorem)}$$

$$= a_{1}^{p}x^{p} + a_{0}^{p} \qquad ((1))$$

$$= a_{1}x^{p} + a_{0} \qquad ((2))$$

$$= f(x^{p}).$$

(c) If the statement holds for n-1, then

$$f(x)^{p} = (a_{n}x^{n} + a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p}$$

$$= [a_{n}x^{n} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})]^{p}$$

$$= (a_{n}x^{n})^{p} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p} \qquad \text{(Same as (b))}$$

$$= a_{n}(x^{p})^{n} + (a_{n-1}x^{n-1} + \dots + a_{1}x + a_{0})^{p} \qquad \text{((2))}$$

$$= a_{n}(x^{p})^{n} + a_{n-1}(x^{p})^{n-1} + \dots + a_{1}x^{p} + a_{0} \qquad \text{(Induction hypothesis)}$$

$$= f(x^{p}).$$

The inductive step is established.

By induction,  $f(x)^p = f(x^p)$  holds for any  $n \ge 0$ .

#### Exercise 2.6.

Show that if f and g are polynomials over a field K and  $f^2 \mid g$  in K[x], then  $f \mid g'$ . (Hint: Write  $g = f^2h$  and differentiate.)

*Proof (Hint).* Since  $f^2 \mid g$  in K[x], there exists  $h \in K[x]$  such  $g = f^2h$ . Differentiate to get  $g' = 2ff'h + f^2h' = f(2f'h + fh')$ , or  $f \mid g'$  in K[x].  $\square$ 

#### Exercise 2.7.

Complete the proof of Corollary 2, Theorem 2.3.

Corollary 2: The galois group of  $\mathbb{Q}[\omega]$  over  $\mathbb{Q}$  is isomorphic to the multiplicative group of integer (mod m)

$$(\mathbb{Z}/m\mathbb{Z})^* = \{k : 1 \le k \le m, (k, m) = 1\}.$$

For each  $k \in (\mathbb{Z}/m\mathbb{Z})^*$ , the corresponding automorphism in the galois group sends  $\omega$  to  $\omega^k$  (and hence  $g(\omega) \to g(\omega^k)$  for each  $g \in \mathbb{Z}[x]$ ).

Proof.

(1) An automorphism of  $\mathbb{Q}[\omega]$  is uniquely determined by the image of  $\omega$ , and Theorem 2.3 shows that  $\omega$  can be sent to any of the  $\omega^k$ , (k,m)=1. (Clearly it can't be sent anywhere else.) This established the one-to-one correspondence between the galois group and the multiplicative group of integer (mod m), say

$$\alpha: \operatorname{Gal}(\mathbb{Q}[\omega]/\mathbb{Q}) \to (\mathbb{Z}/m\mathbb{Z})^*.$$

(2) The composition of automorphisms corresponds to multiplication (mod m) in the natural way. That is, if  $\sigma, \tau \in \operatorname{Gal}(\mathbb{Q}[\omega]/\mathbb{Q})$  with  $\sigma(\omega) = \omega^k$  and  $\tau(\omega) = \omega^h$ , then

$$(\sigma\tau)(\omega) = \sigma(\omega^h) = \omega^{kh} \xrightarrow{\alpha} kh.$$

Hence  $\alpha$  is a group homomorphism.

## Exercise 2.10.

Complete the proof of Corollary 3 to Theorem 2.3, by showing if m is even,  $m \mid r$ , and  $\varphi(r) \leq \varphi(m)$ , then r = m.

Proof.

(1) Since m is even, write the unique factorization of m as

$$m = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$$

where  $p_1 = 2$ , all  $\alpha_i \ge 1$   $(1 \le i \le k)$ , and all  $p_i$   $(1 \le i \le k)$  are distinct prime numbers.

(2) Since  $m \mid r$ , write  $r = mm_1$  for some  $m_1 \in \mathbb{Z}$ . Thus we can write the unique factorization of r as

$$m = p_1^{\beta_1} p_2^{\beta_2} \cdots p_k^{\beta_k} q_1^{\gamma_1} \cdots q_h^{\gamma_h}$$

where all  $\beta_i \geq \alpha_i \geq 1$   $(1 \leq i \leq k)$  and all  $p_i$   $(1 \leq i \leq k)$  and  $q_j$   $(1 \leq j \leq h)$  are distinct prime numbers. Here h might be zero if  $m_1 = 1$ , and all  $q_j \mid m_1$  but  $q_j \nmid m$ .

(3) Thus,

$$\begin{split} \varphi(m) &= m \left(1 - \frac{1}{2}\right) \cdots \left(1 - \frac{1}{p_k}\right) \\ \varphi(r) &= m m_1 \left(1 - \frac{1}{2}\right) \cdots \left(1 - \frac{1}{p_k}\right) \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &= \varphi(m) m_1 \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &\geq \varphi(m) (q_1 \cdots q_h) \left(1 - \frac{1}{q_1}\right) \cdots \left(1 - \frac{1}{q_h}\right) \\ &\geq \varphi(m) (q_1 - 1) \cdots (q_h - 1). \end{split}$$

(4) Since all  $q_j \neq 2$   $(1 \leq j \leq h)$ ,  $q_j - 1 > 1$ . Hence by (3) and assumption that  $\varphi(r) \leq \varphi(m)$ , h = 0 or  $m_1 = 1$  or r = m.

## Exercise 2.11.

(a) Suppose all roots of a monic polynomial  $f \in \mathbb{Q}[x]$  has absolute value 1. Show that the coefficient of  $x^r$  has absolute value  $\leq \binom{n}{r}$ , where n is the degree of f and  $\binom{n}{r}$  is the binomial coefficient.

- (b) Show that there are only finitely many algebraic integers  $\alpha$  of fixed degree n, all of whose conjugates (including  $\alpha$ ) have absolute value 1. (Note: If you don't use Theorem 2.1, your proof is probably wrong.)
- (c) Show that  $\alpha$  must be a root of 1. (Show that its powers are restricted to a finite set.)

Proof of (a).

(1) Write  $f(x) = (x - \alpha_1) \cdots (x - \alpha_n)$  where  $\alpha_i \in \mathbb{C}$ ,  $|\alpha_i| = 1$  for  $i = 1, 2, \dots, n$ .

(2) So

$$f(x) = x^{n} - s_{1}x^{n-1} + s_{2}x^{n-2} + \dots + (-1)s_{n}$$

where

$$s_r = \sum_{1 \le j_1 < \dots < j_r \le n} \alpha_{j_1} \cdots \alpha_{j_r} \in \mathbb{C}.$$

Let  $c_r = (-1)^r s_{n-r}$  be the coefficient of  $x^r$ .

(3)

$$|c_r| = |(-1)^r s_{n-r}|$$

$$= \left| \sum_{1 \le j_1 < \dots < j_{n-r} \le n} \alpha_{j_1} \dots \alpha_{j_{n-r}} \right|$$

$$\le \sum_{1 \le j_1 < \dots < j_{n-r} \le n} |\alpha_{j_1} \dots \alpha_{j_{n-r}}|$$

$$= \sum_{1 \le j_1 < \dots < j_{n-r} \le n} |\alpha_{j_1}| \dots |\alpha_{j_{n-r}}|$$

$$= \sum_{1 \le j_1 < \dots < j_{n-r} \le n} 1$$

$$= \binom{n}{n-r}$$

$$= \binom{n}{r}.$$

Proof of (b).

(1) Let f be an irreducible monic polynomial over  $\mathbb{Z}$  of degree n such that  $f(\alpha) = 0$ . So f is irreducible over  $\mathbb{Q}$  (Theorem 2.1), and thus all the conjugates of  $\alpha$  (including  $\alpha$ ) are roots of f.

- (2) By (a), all the coefficient of  $x^r$  has absolute value  $\leq \binom{n}{r}$ . Since all the coefficient of  $x^r$  are integers, there are finitely many irreducible monic polynomials  $f \in \mathbb{Z}[x]$  such that  $f(\alpha) = 0$  with  $|\alpha| = 1$ .
- (3) For each such f, there are only finitely many roots. Therefore, there are only finitely many such algebraic integers  $\alpha$ .

## Proof of (c).

- (1) If  $\alpha_1, \ldots, \alpha_n$  are the roots of f of degree n over  $\mathbb{Q}$ , then for every  $r \in \mathbb{Z}^+$ ,  $\alpha_1^r, \ldots, \alpha_n^r$  are all the roots of some monic polynomial  $f_r$  of degree n over  $\mathbb{Q}$  (Fundamental theorem of symmetric polynomials).
- (2) Now we consider the powers of  $\alpha$ . All the powers of  $\alpha$  ( $\alpha^r$ ) are algebraic integers (Theorem 2.2), and of degree at most n. (Let  $g \in \mathbb{Z}[x]$  be the minimal polynomial of  $\alpha^r$  over  $\mathbb{Q}$ . By (1),  $f_r(\alpha^r) = 0$ , and thus  $g \mid f_r$ . Hence  $\deg(g) \leq \deg(f_r) = n$ .)
- (3) By (b), the powers of  $\alpha$  are restricted to a finite set, say  $\alpha^r = \alpha^s$  for some  $s > r \ge 1$ . So  $\alpha^{s-r} = 1$  with  $s r \ge 1$ . That is,  $\alpha$  is a root of unity.

## Exercise 2.12. (Kummer's Lemma)

Now we can prove Kummer's lemma on units in the p-th cyclotomic field, as stated before Exercise 1.26: Let  $\omega = e^{\frac{2\pi i}{p}}$ , p an odd prime, and suppose u is a unit in  $\mathbb{Z}[\omega]$ .

- (a) Show that  $u/\overline{u}$  is a root of 1. (Use Exercise 2.11(c) above and observe that complex conjugation is a member of the Galois group of  $\mathbb{Z}[\omega]$  over  $\mathbb{Q}$ .) Conclude that  $u/\overline{u} = \pm \omega^k$  for some k.
- (b) Show that the + sign holds: Assuming  $u/\overline{u} = -\omega^k$ , we have  $u^p = -\overline{u^p}$ ; show that this implies that  $u^p$  is divisible by p in  $\mathbb{Z}[\omega]$ . (Use Exercise 1.23 and 1.25) But this is impossible since  $u^p$  is a unit.

Proof of (a). Write  $\alpha = u/\overline{u}$ . Then

$$|\alpha|=1\Longrightarrow \alpha$$
 is a root of unity (Exercise 2.11)  
 $\Longrightarrow \alpha$  is a  $2p$ -th root of unity (Corollary 3 to Theorem 2.3)  
 $\Longrightarrow \alpha=\pm\omega^k$  for some  $k\in\mathbb{Z}$ 

*Proof of (b).* (Reductio ad absurdum) Assume that  $u/\overline{u} = -\omega^k$ , then

$$u/\overline{u} = -\omega^k \Longrightarrow (u/\overline{u})^p = (-\omega^k)^p$$

$$\Longrightarrow u^p/\overline{u}^p = (-1)^p \omega^{pk} = -1$$

$$\Longrightarrow u^p = -\overline{u}^p = -\overline{u}^p$$
(p is odd)

By Exercise 1.25,  $u^p \equiv a \pmod{p}$  for some  $a \in \mathbb{Z}$ . By Exercise 1.23,  $\overline{u^p} \equiv \overline{a} \equiv a \pmod{p}$ . Thus

$$u^p = -\overline{u^p} \Longrightarrow a \equiv -a \pmod{p}$$
  
 $\Longrightarrow 2a \equiv 0 \pmod{p}$   
 $\Longrightarrow a \equiv 0 \pmod{p}$  (p is odd)

or  $u^p \equiv 0 \pmod{p}$ , contradicts the assumption that u is a unit. Hence  $u/\overline{u} = \omega^k$  for some k.  $\square$ 

#### Exercise 2.13.

Show that 1 and -1 are the only units in the ring  $\mathbb{A} \cap \mathbb{Q}[\sqrt{m}]$ , m squarefree, m < 0,  $m \neq -1, -3$ . What if m = -1 or -3?

Proof.

- (1) Let  $K = \mathbb{Q}[\sqrt{m}]$  and  $\mathcal{O}_K = \mathbb{A} \cap \mathbb{Q}[\sqrt{m}]$ . Define a norm N on K by  $N(a + b\sqrt{m}) = (a + b\sqrt{m})(a b\sqrt{m}) = a^2 + |m|b^2.$
- (2) Corollary 2 to Theorem 2.1:

$$\mathcal{O}_K = \begin{cases} \{a + b\sqrt{m} : a, b \in \mathbb{Z}\} & (m \equiv 2, 3 \pmod{4}), \\ \left\{\frac{a + b\sqrt{m}}{2} : a, b \in \mathbb{Z}, a \equiv b \pmod{2} \right\} & (m \equiv 1 \pmod{4}). \end{cases}$$

Clearly, N maps  $\mathcal{O}_K$  to nonnegative integers. That is, u is a unit in  $\mathcal{O}_K$  if and only if N(u) = 1 (by the fact that  $N(u) = u\overline{u}$ ).

(3) If  $m \equiv 2, 3 \pmod{4}$  and  $u = a + b\sqrt{m} \in \mathcal{O}_K$  is a unit  $(a, b \in \mathbb{Z})$ , then

$$N(u) = 1 = a^2 + |m|b^2$$
.

(a) m=-1 or |m|=1.  $1=a^2+b^2$  or  $(a,b)=(\pm 1,0),(0,\pm 1)$ . Hence all units in  $\mathcal{O}_K$  are

$$\pm 1, \pm \sqrt{-1}$$
.

(b) m < -1 or |m| > 1.  $1 = a^2 + |m|b^2$  implies that  $b^2 = 0$ . Hence all units in  $\mathcal{O}_K$  are  $\pm 1$ .

(4) If  $m \equiv 1 \pmod{4}$  and  $u = \frac{a+b\sqrt{m}}{2} \in \mathcal{O}_K$  is a unit  $(a,b \in \mathbb{Z}, a \equiv b \pmod{2})$ , then  $N(u) = 1 = (\frac{a}{2})^2 + |m|(\frac{b}{2})^2$  or

$$4 = a^2 + |m|b^2.$$

(a) m=-3 or |m|=3.  $4=a^2+3b^2$  or  $(a,b)=(\pm 2,0),(\pm 1,\pm 1).$  Hence all units in  $\mathcal{O}_K$  are

$$\pm 1, \frac{\pm 1 \pm \sqrt{-3}}{2}.$$

- (b) m < -3 or |m| > 3.  $4 = a^2 + |m|b^2$  implies that  $b^2 = 0$ . Hence all units in  $\mathcal{O}_K$  are  $\pm 1$ .
- (5) By (3)(4), all units in  $\mathcal{O}_K$  are

$$\begin{cases} \pm 1 & (m \neq -1, -3), \\ \pm 1, \pm \sqrt{-1} & (m = -1), \\ \pm 1, \frac{\pm 1 \pm \sqrt{-3}}{2} & (m = -3). \end{cases}$$

## Exercise 2.14.

Show that  $1 + \sqrt{2}$  is a unit in  $\mathbb{Z}[\sqrt{2}]$ . Use the powers of  $1 + \sqrt{2}$  to generate infinitely many solutions to the diophantine equation  $a^2 - 2b^2 = \pm 1$ . (It will be shown in Chapter 5 that all units in  $\mathbb{Z}[\sqrt{2}]$  are of the form  $\pm (1+\sqrt{2})^k$ ,  $k \in \mathbb{Z}$ .)

Might assume to find nonnegative solutions to the Pell's equation  $a^2-2b^2=\pm 1$ .

Proof.

(1) Show that  $1 + \sqrt{2}$  is a unit in  $\mathbb{Z}[\sqrt{2}]$ . There is  $-1 + \sqrt{2} \in \mathbb{Z}[\sqrt{2}]$  such that

$$(1+\sqrt{2})(-1+\sqrt{2}) = 1 \in \mathbb{Z}[\sqrt{2}].$$

Hence  $1 + \sqrt{2}$  is a unit.

(2)  $N(a+b\sqrt{2})=|a^2-2b^2|$  is a norm on  $\mathbb{Z}[\sqrt{2}]$ . To prove this, use the same argument as Exercise 1.1 and note that

$$N(a + b\sqrt{2}) = |(a + b\sqrt{2})(a - b\sqrt{2})|.$$

(3) By (1)(2), all  $(1+\sqrt{2})^k$  with  $k \ge 0$  are distinct solutions to the diophantine equation  $a^2 - 2b^2 = \pm 1$ . Explicitly, let

$$(a_0, b_0) = (1, 0),$$
  
 $(a_1, b_1) = (1, 1),$   
 $(a_2, b_2) = (3, 2),$   
 $(a_3, b_3) = (7, 5),$   
...  
 $(a_k, b_k) = (a_{k-1} + 2b_{k-1}, a_{k-1} + b_{k-1}),$   
...

Note that all  $(a_k, b_k)$  are distinct and satisfying  $a_k^2 - 2b_k^2 = \pm 1$ . Hence we get infinitely many solutions to the Pell's equation  $a^2 - 2b^2 = \pm 1$ .

Note. Suppose that all units in  $\mathbb{Z}[\sqrt{2}]$  are of the form  $\pm (1+\sqrt{2})^k$ ,  $k \in \mathbb{Z}$ . Note that  $(1+\sqrt{2})^k = (-1+\sqrt{2})^{-k}$ . Thus we can find all nonnegative solutions to the Pell's equation  $a^2 - 2b^2 = \pm 1$  are exactly the same as (3).  $\square$ 

#### Exercise 2.15.

- (a) Show that  $\mathbb{Z}[\sqrt{-5}]$  contains no element whose norm is 2 or 3.
- (b) Verify that  $2 \cdot 3 = (1 + \sqrt{-5})(1 \sqrt{-5})$  is an example of non-unique factorization in the number ring  $\mathbb{Z}[\sqrt{-5}]$ .

*Proof of (a).* Since  $N(a+b\sqrt{-5})=a^2+5b^2\equiv a^2\equiv 0,1,4\pmod 5$ , there is no element whose norm is 2 or 3.  $\square$ 

Proof of (b).

(1) Show that  $2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$ .

$$2 \cdot 3 = 6$$
 and  $(1 + \sqrt{-5})(1 - \sqrt{-5}) = 6$ .

(2) Show that 2 is irreducible. Suppose  $2 = \alpha \beta$  where  $\alpha, \beta \in \mathbb{Z}[\sqrt{-5}]$ . Take norm to get

$$N(2) = N(\alpha)N(\beta) \Longrightarrow 4 = N(\alpha)N(\beta)$$
  
 $\Longrightarrow N(\alpha) = 1 \text{ or } N(\beta) = 1$   
 $\Longrightarrow \alpha \text{ is unit or } \beta \text{ is unit.}$  ((1))

(3) Show that 3 is irreducible. Similar to (2).

(4) Show that  $1 \pm \sqrt{-5}$  is irreducible. Since  $N(1 \pm \sqrt{-5}) = 2$  is prime,  $1 + \sqrt{-5}$  is irreducible.

Hence 6 has a non-unique factorization in the number ring  $\mathbb{Z}[\sqrt{-5}]$ .  $\square$ 

## Exercise 2.28.

Let  $f(x) = x^3 + ax + b$ , a and  $b \in \mathbb{Z}$ , and assume f is irreducible over  $\mathbb{Q}$ . Let  $\alpha$  be a root of f.

- (a) Show that  $f'(\alpha) = -\frac{2a\alpha + 3b}{\alpha}$
- (b) Show that  $2a\alpha + 3b$  is a root of

$$\left(\frac{x-3b}{2a}\right)^3 + a\left(\frac{x-3b}{2a}\right) + b.$$

Use this to find  $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha+3b)$ .

- (c) Show that  $disc(\alpha) = -(4a^3 + 27b^2)$ .
- (d) Suppose  $\alpha^3 = \alpha + 1$ . Prove that  $\{1, \alpha, \alpha^2\}$  is an integral basis for  $\mathbb{A} \cap \mathbb{Q}[\alpha]$ . (See Exercise 2.27(e).) Do the same if  $\alpha^3 + \alpha = 1$ .

Proof of (a).

- (1) Show that  $\alpha \neq 0$ . If  $\alpha$  were 0, then  $f(\alpha) = f(0) = b$ . So  $f(x) = x^3 + ax = x(x^2 + a)$  is reducible, contrary to the irreducibility of f.
- (2) Since  $\alpha$  be a root of f,  $f(\alpha) = 0$ , or  $\alpha^3 + a\alpha + b = 0$ , or  $\alpha^3 = -a\alpha b$ .

(3)

$$f'(x) = 3x^{2} + a \Longrightarrow f'(\alpha) = 3\alpha^{2} + a$$

$$\iff \alpha f'(\alpha) = 3\alpha^{3} + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = 3(-a\alpha - b) + a\alpha \qquad (\alpha^{3} = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -2a\alpha - 3b.$$

So 
$$f'(\alpha) = -\frac{2a\alpha + 3b}{\alpha}$$
.

Proof of (b).

(1) Since  $\alpha^3 + a\alpha + b = 0$ ,

$$\left(\frac{(2a\alpha+3b)-3b}{2a}\right)^3+a\left(\frac{(2a\alpha+3b)-3b}{2a}\right)+b=0.$$

That is,  $2a\alpha + 3b$  is a root of  $\left(\frac{x-3b}{2a}\right)^3 + a\left(\frac{x-3b}{2a}\right) + b$ .

(2)  $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b)$  is the product of three roots of  $\left(\frac{x-3b}{2a}\right)^3 + a\left(\frac{x-3b}{2a}\right) + b$ . Hence,

$$\begin{split} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b) &= (2a)^3 \left[ \left( \frac{-3b}{2a} \right)^3 + a \cdot \frac{-3b}{2a} + b \right] \\ &= 8a^3 \left[ \frac{-27b^3}{8a^3} - \frac{b}{2} \right] \\ &= -27b^3 - 4a^3b. \end{split}$$

Proof of (c).

$$\operatorname{disc}(\alpha) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(f'(\alpha)) \qquad \text{(Theorem 2.8)}$$

$$= -N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left( -\frac{2a\alpha + 3b}{\alpha} \right) \qquad (n = 3 \text{ and (a)})$$

$$= \frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(2a\alpha + 3b)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\alpha)}$$

$$= \frac{-27b^3 - 4a^3b}{b} \qquad ((b))$$

$$= -27b^2 - 4a^3.$$

Proof of (d).

- (1) (a)  $\alpha^3 = \alpha + 1$ , or  $\alpha^3 \alpha 1 = 0$ .
  - (b)  $f(x) = x^3 x 1$  is irreducible over  $\mathbb{Q}$  since f(x) is irreducible over  $\mathbb{Z}/3\mathbb{Z}$ .
  - (c)  $disc(\alpha) = -23$  (by (c)).
  - (d) Since  $\operatorname{disc}(\alpha)$  is squarefree, the result is established (Exercise 2.27(e)).
- (2) (a)  $\alpha^3 + \alpha = 1$ , or  $\alpha^3 + \alpha 1 = 0$ .
  - (b)  $f(x) = x^3 + x 1$  is irreducible over  $\mathbb{Q}$  since f(x) is irreducible over  $\mathbb{Z}/2\mathbb{Z}$ .

- (c)  $disc(\alpha) = -31$  (by (c)).
- (d) Since  $\operatorname{disc}(\alpha)$  is squarefree, the result is established (Exercise 2.27(e)).

#### Exercise 2.43.

Let  $f(x) = x^5 + ax + b$ , a and  $b \in \mathbb{Z}$ , and assume f is irreducible over  $\mathbb{Q}$ . Let  $\alpha$  be a root of f.

- (a) Show that  $disc(\alpha) = 4^4a^5 + 5^4b^4$ . (Suggestion: See Exercise 2.28.)
- (b) Suppose  $\alpha^5 = \alpha + 1$ . Prove that  $\mathbb{A} \cap \mathbb{Q}[\alpha] = \mathbb{Z}[\alpha]$ .  $(x^5 x 1)$  is irreducible over  $\mathbb{Q}$ ; this can be shown by reducing (mod 3).)
- (c)
- (d)

Proof of (a)(Exercise 2.28).

- (1) Show that  $f'(\alpha) = -\frac{4a\alpha + 5b}{\alpha}$ .
  - (a) Show that  $\alpha \neq 0$ . If  $\alpha$  were 0, then  $f(\alpha) = f(0) = b$ . So  $f(x) = x^5 + ax = x(x^4 + a)$  is reducible, contrary to the irreducibility of f.
  - (b) Since  $\alpha$  be a root of f,  $f(\alpha) = 0$ , or  $\alpha^5 + a\alpha + b = 0$ , or  $\alpha^5 = -a\alpha b$ .
  - (c)

$$f'(x) = 5x^4 + a \Longrightarrow f'(\alpha) = 5\alpha^4 + a$$

$$\iff \alpha f'(\alpha) = 5\alpha^5 + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = 5(-a\alpha - b) + a\alpha \quad (\alpha^5 = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -4a\alpha - 5b.$$

So 
$$f'(\alpha) = -\frac{4a\alpha + 5b}{\alpha}$$
.

(2) Show that  $4a\alpha + 5b$  is a root of

$$\left(\frac{x-5b}{4a}\right)^5 + a\left(\frac{x-5b}{4a}\right) + b.$$

Use this to show that  $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b) = -4^4a^5b - 5^5b^5$ .

(a) Since  $\alpha^5 + a\alpha + b = 0$ ,

$$\left(\frac{(4a\alpha + 5b) - 5b}{4a}\right)^5 + a\left(\frac{(4a\alpha + 5b) - 5b}{4a}\right) + b = 0.$$

That is,  $4a\alpha + 5b$  is a root of  $\left(\frac{x-5b}{4a}\right)^5 + a\left(\frac{x-5b}{4a}\right) + b$ .

(b)  $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha+5b)$  is the product of 5 roots of  $\left(\frac{x-5b}{4a}\right)^5+a\left(\frac{x-5b}{4a}\right)+b$ . Hence,

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b) = (4a)^5 \left[ \left( \frac{-5b}{4a} \right)^5 + a \cdot \frac{-5b}{4a} + b \right]$$
$$= 4^5 a^5 \left[ \frac{-5^5 b^5}{4^5 a^5} - \frac{b}{4} \right]$$
$$= -5^5 b^5 - 4^4 a^5 b.$$

(3) Show that  $disc(\alpha) = 4^4 a^5 + 5^4 b^4$ .

$$\begin{aligned} \operatorname{disc}(\alpha) &= (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(f'(\alpha)) & \text{(Theorem 2.8)} \\ &= N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left( -\frac{4a\alpha + 5b}{\alpha} \right) & \text{($n = 5$ and (1))} \\ &= -\frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(4a\alpha + 5b)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\alpha)} \\ &= -\frac{-4^4 a^5 b - 5^5 b^5}{b} \\ &= 4^4 a^5 + 5^4 b^4. \end{aligned} \tag{(2)}$$

Proof of (b)(Exercise 2.28).

- (1)  $\alpha^5 = \alpha + 1$ , or  $\alpha^5 \alpha 1 = 0$ .
- (2)  $f(x) = x^5 x 1$  is irreducible over  $\mathbb{Q}$  since f(x) is irreducible over  $\mathbb{Z}/3\mathbb{Z}$ .
- (3)  $disc(\alpha) = 881$  (by (a)).
- (4) Since  $\operatorname{disc}(\alpha)$  is squarefree (a prime number), the result is established (Exercise 2.27(e)).

#### Exercise 2.45.

Obtain a formula for  $disc(\alpha)$  if  $\alpha$  is a root of an irreducible polynomial  $x^n + ax + b$  over  $\mathbb{Q}$ . Do the same for  $x^n + ax^{n-1} + b$ .

Assume that  $n \geq 2$ .

Proof of  $x^n + ax + b$  (Exercise 2.28).

- (1) Show that  $f'(\alpha) = -\frac{(n-1)a\alpha + nb}{\alpha}$ .
  - (a) Show that  $\alpha \neq 0$ . If  $\alpha$  were 0, then  $f(\alpha) = f(0) = b$ . So  $f(x) = x^n + ax = x(x^{n-1} + a)$  is reducible, contrary to the irreducibility of f.
  - (b) Since  $\alpha$  be a root of f,  $f(\alpha) = 0$ , or  $\alpha^n + a\alpha + b = 0$ , or  $\alpha^n = -a\alpha b$ .
  - (c)

$$f'(x) = nx^{n-1} + a \Longrightarrow f'(\alpha) = n\alpha^{n-1} + a$$

$$\iff \alpha f'(\alpha) = n\alpha^n + a\alpha \qquad (\alpha \neq 0)$$

$$\iff \alpha f'(\alpha) = n(-a\alpha - b) + a\alpha \qquad (\alpha^n = -a\alpha - b)$$

$$\iff \alpha f'(\alpha) = -(n-1)a\alpha - nb.$$

So 
$$f'(\alpha) = -\frac{(n-1)a\alpha + nb}{\alpha}$$

(2) Let  $\beta = (n-1)a\alpha + nb$ . Show that  $\beta$  is a root of

$$\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b.$$

Use this to show that

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta) = -(n-1)^{n-1}a^nb + (-1)^nn^nb^n.$$

(a) Since  $\alpha^n + a\alpha + b = 0$ ,

$$\left(\frac{\beta - nb}{(n-1)a}\right)^n + a\left(\frac{\beta - nb}{(n-1)a}\right) + b = 0.$$

That is,  $\beta$  is a root of  $\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b$ .

(b)  $N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta)$  is the product of n roots of  $\left(\frac{x-nb}{(n-1)a}\right)^n + a\left(\frac{x-nb}{(n-1)a}\right) + b$ . Hence,

$$N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]}(\beta) = ((n-1)a)^n \left[ \left( \frac{-nb}{(n-1)a} \right)^n + a \cdot \frac{-nb}{(n-1)a} + b \right]$$
$$= (n-1)^n a^n \left[ \frac{(-1)^n n^n b^n}{(n-1)^n a^n} - \frac{b}{n-1} \right]$$
$$= (-1)^n n^n b^n - (n-1)^{n-1} a^n b.$$

$$(3) \ \ Show \ that \ disc(\alpha) = (-1)^{\frac{(n-1)(n-2)}{2}} (n-1)^{n-1} a^n + (-1)^{\frac{n(n-1)}{2}} n^n b^{n-1}.$$

$$\operatorname{disc}(\alpha) = (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} (f'(\alpha)) \qquad (\text{Theorem 2.8})$$

$$= (-1)^{\frac{n(n-1)}{2}} N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} \left( -\frac{(n-1)a\alpha + nb}{\alpha} \right) \qquad ((1))$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^n \frac{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} ((n-1)a\alpha + nb)}{N_{\mathbb{Q}}^{\mathbb{Q}[\alpha]} (\alpha)}$$

$$= (-1)^{\frac{n(n-1)}{2}} (-1)^n \frac{-(n-1)^{n-1}a^nb + (-1)^n n^n b^n}{b} \qquad ((2))$$

$$= (-1)^{\frac{(n-1)(n-2)}{2}} (n-1)^{n-1} a^n + (-1)^{\frac{n(n-1)}{2}} n^n b^{n-1}.$$