

# x x x model(Heisenberg model)

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## 1 Introduction

Hamiltonian of the model

$$H = -\frac{1}{2}J \sum_{j=1}^N \sigma_j \cdot \sigma_{j+1} - \frac{1}{2}h \sum_{j=1}^N \sigma_j^z \quad (1)$$

We let  $h = 0$ .

With definition  $S^\pm = S^x \pm iS^y, S^x = \frac{1}{2}\sigma^x \dots$ . We have

$$[S_j^\pm, S_i^\pm] = 0 \quad [S_i^\pm, S_j^\mp] = \pm 2S_i^z \delta_{ij} \quad (2)$$

$$[S_i^z, S_j^\pm] = \pm S_i^\pm \delta_{ij} \quad [S_i^\pm, S_j^z] = \mp S_i^\pm \delta_{ij} \quad (3)$$

We may let  $h = 0$ . We can then rewrite (1)

$$H = -\frac{1}{2}J \sum_{j=1}^N (S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+ + 2S_j^z S_{j+1}^z) - h \sum_{j=1}^N S_j^z \quad (4)$$

We have( can be easily verified)

$$S_j^+ |\uparrow\rangle_j = 0 \quad S_j^- |\uparrow\rangle_j = |\downarrow\rangle_j \quad S_j^z |\uparrow\rangle_j = \frac{1}{2} |\uparrow\rangle_j \quad (5)$$

Define vaccum state

$$|0\rangle = |\uparrow\rangle_1 \otimes |\uparrow\rangle_2 \otimes \dots \otimes |\uparrow\rangle_N \quad (6)$$

We can see  $|0\rangle$  is the eigenstate of (4)

$$H |0\rangle = \left(-\frac{J}{4}N - \frac{1}{2}Nh\right) |0\rangle \equiv E_0 |0\rangle \quad (7)$$

We will take advantage of symmetries.

- translational invariance  $\rightarrow$  superpositions of plane waves.
- Heisenberg chain possesses full  $SU(2)$  rotational invariance.

**One way to understand  $SU(2)$  symmetry.**

The generators of  $SU(2)$  group are  $S_x, S_y, S_z$  or  $S^+, S^-, S_z$  which combined with the commutation relations form a algebra. Besides,  $[H, \sum_i S_i^{x,y,z}] = 0$  should be satisfied to maintain  $SU(2)$  invariance

We define the  $z$  - *component* of the total spin  $S^z = \sum_{n=1}^N S_n^z$ . The conservation of  $S^z$  can be easily derived by verifying that  $[H, S^z] = 0$ . Consequently, we can consider separately sectors defined by the quantum number  $S^z = \frac{N}{2} - R$ , where  $R$  is the number of down spins. We define the state with the  $n$ th spin down as:

$$|n\rangle = S_n^- |0\rangle \quad n = 1, \dots, N \quad (8)$$

However  $|n\rangle$  is not the eigenstate of  $H$ . We define

$$|\psi\rangle = \frac{1}{\sqrt{N}} \sum_{n=1}^N e^{ikn} |n\rangle \quad k = 2\pi m/N, m = 0, \dots, N-1 \quad (9)$$

as a linear combination of  $|n\rangle$ , with translational symmetry (i.e., the invariance of  $H$  with respect to discrete translations) implicitly included by a phase factor.

The vectors  $|\psi\rangle$  are eigenstates of translation operator with eigenvalues  $e^{ik}$  and also of  $H$  with eigenvalues

$$E = E_0 + J(1 - \cos k) \quad (10)$$

Question: About the translation operator and its eigenvalues  $e^{ik}$ .

Vectors (9) represent *magnon excitations* ( $\Delta S = 1$  excitations). **The  $k = 0$  state is degenerate with  $|0\rangle$ .** Why is this stressed.

## 2 Two-body problem

For  $R = 2$ , we write a generic eigenstates as

$$|\psi\rangle = \sum_{1 \leq n_1 \leq n_2 \leq N} f(n_1, n_2) |n_1, n_2\rangle \quad (11)$$

where  $|n_1, n_2\rangle = S_{n_1}^- S_{n_2}^- |F\rangle$ . We then consider the eigenvalue equation

$$H |\psi\rangle = E |\psi\rangle \quad (12)$$

which indicates

$$2[E - E_0]f(n_1, n_2) = J(4f(n_1, n_2) - f(n_1 - 1, n_2) - f(n_1 + 1, n_2) - f(n_1, n_2 - 1) - f(n_1, n_2 + 1)) \quad \text{for } n_2 > n_1 + 1 \quad (13)$$

$$2[E - E_0]f(n_1, n_2) = J(2f(n_1, n_2) - f(n_1 - 1, n_2) - f(n_1, n_2 + 1)), \quad \text{for } n_2 = n_1 + 1 \quad (14)$$

Bethe's preliminary ansatz for  $f(n_1, n_2)$  is:

$$f(n_1, n_2) = A e^{i(k_1 n_1 + k_2 n_2)} + A' e^{i(k_1 n_2 + k_2 n_1)} \quad (15)$$

which automatically satisfy (14) with energy

$$E = E_0 + J \sum_{j=1,2} (1 - \cos k_j) \quad (16)$$

We have conditions for coefficients,

$$\frac{A}{A'} \equiv e^{i\theta} = -\frac{e^{i(k_1+k_2)} + 1 - 2e^{ik_1}}{e^{i(k_1+k_2)} + 1 - 2e^{ik_2}} \quad (17)$$

which can be rewritten as

$$2\cot\frac{\theta}{2} = \cot\frac{k_1}{2} - \cot\frac{k_2}{2} \quad (18)$$

The quasi-momenta  $k_1, k_2$  of the Bethe Ansatz is determined by the periodic boundary conditions:  $f(n_1, n_2) = f(n_2, n_1 + N)$  (We interchange the position of  $n_1$  and  $n_2$ ) :

$$e^{ik_1 N} = e^{i\theta}, \quad e^{ik_2 N} = e^{-i\theta} \quad (19)$$

Equivalently

$$Nk_1 = 2\pi I_1 + \theta, \quad Nk_2 = 2\pi I_2 - \theta \quad (20)$$

where the  $I_j \in \{0, 1, \dots, N-1\}$  are integer quantum numbers.

We can see

$$k_1 = \frac{2\pi I_1}{N} + \frac{\theta}{N} \quad k_2 = \frac{2\pi I_2}{N} - \frac{\theta}{N} \quad (21)$$

The restriction on  $I_j$  maintains  $k$  in the first Brillouin zone. The total momentum of the state is

$$K = k_1 + k_2 = \frac{2\pi}{N}(I_1 + I_2) \quad (22)$$

Question: Can the phase shift  $\theta$  be observed. What's the physical significance. The Berry phase is a geometry structure then what about this phase. Is the total momentum conserved. Is  $(I_1, I_2)$  describing energy level.

If we restrict  $I_1, I_2$  to  $0 \leq I_1 \leq I_2 \leq N-1$ , there are  $\frac{N(N+1)}{2}$  pairs meet the ordering restriction. But only  $\frac{N(N-1)}{2}$  satisfy (18) (20).

We get (23) by combining (18) (20) (22):

$$2\cot\frac{Nk_1}{2} = \cot\frac{k_1}{2} - \cot\frac{K-k_1}{2} \quad (23)$$

Let

$$k_1 \equiv \frac{K}{2} + ik \quad k_2 \equiv \frac{K}{2} - ik \quad (24)$$

which, substituted into (20) yields

$$\theta = \pi(I_2 - I_1) + iNk \quad (25)$$

Equation (18) becomes

$$\cos\frac{K}{2}\sinh(Nk) = \sinh[(N-1)k] + \cos[\pi(I_1 - I_2)]\sinh k \quad (26)$$

### 3 The Bethe Solution

We expand the states with generic  $R$  overturned spins into the natural (computational) basis

$$|\psi\rangle = \sum_{1 \leq n_1 \leq \dots \leq n_R \leq N} f(n_1, \dots, n_R) |n_1, \dots, n_R\rangle \quad (27)$$

where

$$f(n_1, \dots, n_R) = \sum_P \exp \left( i \sum_{j=1}^R k_{P_j} n_j + \frac{i}{2} \sum_{l < j} \theta(k_{P_l}, k_{P_j}) \right) \quad (28)$$

where  $P$  denotes permutations and we introduce the antisymmetric phase shift  $\theta(k_l, k_j) = -\theta(k_j, k_l)$ . Similarly, we can extract the consistency equations for the coefficients  $f(n_1, \dots, n_R)$  from eigenvalue equation  $H|\psi\rangle = E|\psi\rangle$ . The energy eigenvalue equation becomes

$$E = E_0 + J \sum_{j=1}^R (1 - \cos k_j) \quad (29)$$

and the eigenstate condition can be written as

$$2f(n_1, \dots, n_j, n_j + 1, \dots, n_R) = f(n_1, \dots, n_j, n_j, \dots, n_R) + f(n_1, \dots, n_j + 1, n_j + 1, \dots, n_R) \quad (30)$$

Similarly we have phase condition:

$$e^{i\theta(k_j, k_l)} = - \frac{e^{i(k_j + k_l)} + 1 - 2e^{ik_j}}{e^{i(k_j + k_l)} + 1 - 2e^{ik_l}} \quad (31)$$

which can be cast in real form as

$$2 \cot \frac{\theta(k_j, k_l)}{2} = \cot \frac{k_j}{2} - \cot \frac{k_l}{2}, \quad j, l = 1, \dots, R \quad (32)$$

Similarly the periodicity of the chain for translations by  $N$  sites  $f(n_1, \dots, n_R) = f(n_2, \dots, n_R, n_1 + N)$  gives (after taking their logarithm)

$$\sum_{j=1}^R k_{P_j} n_j + \frac{1}{2} \sum_{l < j} \theta(k_{P_l}, k_{P_j}) = \frac{1}{2} \sum_{l < j} \theta(k_{P'_l}, k_{P'_j}) - 2\pi \tilde{I}_{P'R} + \sum_{j=2}^R k_{P'_{j-1}} n_j + k_{P'_R} (n_1 + N) \quad (33)$$

where  $P'(j-1) = Pj, j = 2, \dots, R$ ;  $P'R = P1$ . By canceling the same terms on both sides of (33), we derive the relations between the phase shifts and the quasi-momenta (or Bethe equations):

$$Nk_j = 2\pi \tilde{I}_j + \sum_{l \neq j} \theta(k_j, k_l), \quad j = 1, \dots, R \quad (34)$$

We introduce the *rapidities*  $\lambda_j$  to parametrize the quasi-momenta:

$$\cot \frac{k_j}{2} = \lambda_j, \quad \text{or} \quad k_j = \frac{1}{i} \ln \frac{\lambda_j + i}{\lambda_j - i} = \pi - \theta_1(\lambda_j) \quad \text{or} \quad e^{ik_j} = \frac{\lambda_j + i}{\lambda_j - i} \quad (35)$$

where

$$\theta_n(\lambda) \equiv 2 \arctan \frac{\lambda}{n} \quad (36)$$

The (bare) energy and momentum of an individual magnon, characterized by a quasi-momentum  $k$ , is

$$p_0(\lambda) = \frac{1}{i} \ln \frac{\lambda + i}{\lambda - i} = k \quad (37)$$

$$\epsilon_0(\lambda) = -J \frac{dk}{d\lambda} = \frac{2J}{\lambda^2 + 1} = J(1 - \cos k) \quad (38)$$

In terms of these rapidities (31) (35) (36), the scattering phase is

$$\theta(k_j, k_l) = -\theta_2(\lambda_j - \lambda_l) + \pi \operatorname{sgn}[R(\lambda_j - \lambda_l)] \quad (39)$$

where  $R(x)$  is the real part of  $x$ . The Bethe equations (34) become

$$N\theta_1(\lambda_j) = 2\pi I_j + \sum_{l=1}^R \theta_2(\lambda_j - \lambda_l), \quad j = 1, \dots, R \quad (40)$$

Equivalently

$$\left( \frac{\lambda_j - i}{\lambda_j + i} \right)^N = - \prod_{l=1}^R \frac{\lambda_j - \lambda_l - 2i}{\lambda_j - \lambda_l + 2i} \quad (41)$$

The state is now defined by these "new" Bethe numbers  $\{I_j\}, j = 1, \dots, R$ . Because (40) is translational invariant ( $[\lambda_j - \lambda_l]$  indicates this), **two equal  $I_j$  produce the same rapidities and thus a non valid solution.**

Using the rapidities to parametrize the eigenstates, their energies and momenta are

$$E = E_0 + \sum_{j=1}^R \epsilon_0(\lambda_j) = E_0 + \sum_{j=1}^R \frac{2J}{\lambda_j^2 + 1} \quad (42)$$

$$K = \left( \sum_{j=1}^R p_0(\lambda_j) \right) \bmod 2\pi = \left( \pi R - \frac{2\pi}{N} \sum_{j=1}^R I_j \right) \bmod 2\pi \quad (43)$$

## 4 String Solutions

In this section, we take the thermodynamic limit  $N \rightarrow \infty$  known as *string hypothesis*.

We first look at the  $R = 2$  case. The Bethe Equation written in terms of the rapidities are:

$$\left( \frac{\lambda_1 + i}{\lambda_1 - i} \right)^N = \frac{\lambda_1 - \lambda_2 + 2i}{\lambda_1 - \lambda_2 - 2i} \quad (44)$$

$$\left( \frac{\lambda_2 + i}{\lambda_2 - i} \right)^N = \frac{\lambda_2 - \lambda_1 + 2i}{\lambda_2 - \lambda_1 - 2i} \quad (45)$$

In the limit  $N \rightarrow \infty$ , the LHS is strictly zero or infinity supposing  $R(\lambda) \neq 0$ . We thus have:

$$\lambda_1 - \lambda_2 = \pm 2i, \quad i.e. \quad \lambda \pm i \quad (46)$$

The energy and momentum of this state are real:

$$p_{\frac{1}{2}}(\lambda) = p_0(\lambda + i) + p_0(\lambda - i) = \frac{1}{i} \ln \frac{\lambda + 2i}{\lambda - 2i} \quad (47)$$

$$\epsilon_{\frac{1}{2}}(\lambda) = \epsilon_0(\lambda + i) + \epsilon_0(\lambda - i) = \frac{4J}{\lambda^2 + 4} \quad (48)$$

which gives the dispersion relation

$$\epsilon_{\frac{1}{2}}(p) = \frac{J}{2}(1 - \cos p_{\frac{1}{2}}) \quad (49)$$

For  $R > 2$ , we assume that complex solutions can be organized into *complexes(or strings)* of  $2M + 1$  rapidities. Here  $M = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$  and the rapidities have the structure

$$\lambda_m^{(M)} = \lambda_M + 2im, \quad \lambda_M \text{ is real, } m = -M, -M + 1, \dots, M - 1, M \quad (50)$$

We denote the number of complexes of length  $M$  by  $\nu_M$ . Then **a state with a given magnetization** satisfies

$$R = \sum_M (2M + 1) \nu_M \quad (51)$$

Since the string containing  $2M + 1$  spins move together with the same real rapidity, we will treat them as a single entity. **Note: complex solutions to the Bethe equations always appear in conjugated pairs:  $\{\lambda_j\} = \{\lambda_j^*\}$**

The energy and momentum of a  $M$ -complex are obtained by summing over all rapidities within one string

$$P_M(\lambda_M) = \sum_{m=-M}^M p_0(\lambda_M + 2im) = \frac{1}{i} \ln \frac{\lambda_M + i(2M + 1)}{\lambda_M - i(2M + 1)} = \pi - \theta_{2M+1}(\lambda_M), \quad (52)$$

$$\epsilon_M(\lambda_M) = \sum_{m=-M}^M \epsilon_0(\lambda_M + 2im) = \frac{2J(2M + 1)}{\lambda_M^2 + (2M + 1)^2} = \frac{J}{2M + 1}(1 - \cos P_M) \quad (53)$$

The scattering phase of a  $M$ -complex with a simple magnon(0-complex) is:

$$S_{0,M}(\lambda_0 - \lambda_M) = S_{0,M}(\lambda) = \frac{\lambda + i2M}{\lambda - i2M} \frac{\lambda + i2(M + 1)}{\lambda - i2(M + 1)} \quad (54)$$

and the scattering of two complexes of length  $M$  and  $M'$  is

$$S_{M,M'}(\lambda) = \prod_{L=|M-M'|}^{M+M'} S_{0,L}(\lambda) \quad (55)$$

We denote the real part of the  $j$ -th  $M$  type complex by  $\lambda_{M,j}$  where  $j = 1, \dots, \nu_M$ . The Bethe equations for the complexes is obtained by grouping all the rapidities  $\lambda_j^{(M)}$  belonging to the same complex and performing first the products within each complex so to be left only with consistency conditions on their real centers  $\lambda_{M,j}$  :

$$e^{iP_M(\lambda_{M,j})N} = \prod_{M'} \prod_{j', (M', j') \neq (M, j)}^{\nu_{M'}} S_{M,M'}(\lambda_{M,j} - \lambda_{M',j'}), \quad \forall M; j = 1, \dots, \nu_M \quad (56)$$

Similarly, we take the logarithm of (56) and use the familiar identity

$$\frac{1}{i} \ln \frac{\lambda + in}{\lambda - in} = \pi - 2 \arctan \frac{\lambda}{n} = \pi - \theta_n(\lambda) \quad (57)$$

we get

$$N \theta_{2M+1}(\lambda_{M,j}) = 2\pi I_{M,j} + \sum_{(M',j') \neq (M,j)} \theta_{M,M'}(\lambda_{M,j} - \lambda_{M',j'}) \quad (58)$$

where

$$\theta_{M,M'}(\lambda) \equiv \sum_{L=|M-M'|}^{M+M'} [\theta_{2L}(\lambda) + \theta_{2L+2}(\lambda)] \quad (59)$$

and the  $L = 0$  is omitted to be omitted.

Some constraint on **Bethe numbers**  $\{I_{M,j}\}$ :

- $I_{M,j} \neq I_{M,j'}$  in order to have a non-vanishing solution.
- Since momenta are constrained within Brillouin zone, the Bethe numbers are bounded. The bounded number is given by taking  $\lambda_M^{(\infty)} = \infty$ .

$$I_M^{(\infty)} = - \sum_{M' \neq M} [2 \min(M, M') + 1] \nu_{M'} - \left(2M + \frac{1}{2}\right) (\nu_M - 1) + \frac{N}{2} \quad (60)$$

The maximum quantum number is given by adding a M-complex shift to  $I_M^{(\infty)}$ :

$$I_M^{max} = I_M^{(\infty)} - (2M + \frac{1}{2}) - \frac{1}{2} = \frac{N-1}{2} - \sum_{M'} J(M, M') \nu_{M'} \quad (61)$$

where

$$J(M, M') \equiv \begin{cases} 2 \min(M, M') + 1 & M \neq M' \\ 2M + \frac{1}{2} & M = M' \end{cases} \quad (62)$$

The  $\frac{1}{2}$  in (61) is from the  $\frac{N}{2}$  in (60) or by taking into account that with each rapidity the Bethe numbers shift from integers to half-integers and vice-versa.

Since all the scattering phases are odd functions, we have that

$$I_{M,min} = -I_{M,max} \quad (63)$$

which means that there are

$$P_M = 2I_M^{max} + 1 = N - 2 \sum_{M'} J(M, M') \nu_{M'} \quad (64)$$

**vacancies** for a M-complex.

## 5 The Anti-ferromagnetic Case: $J = -1$

We consider first single quasi-particle Excitations

$$\nu_0 = \frac{N}{2} : \quad \nu_M = 0, M \geq \frac{1}{2}, \quad \rightarrow \quad R = \frac{N}{2} \quad (65)$$

The number of vacancies for this configuration according to (64) is

$$P_0 = N - 2J(0, 0)\nu_0 = N - \frac{N}{2} = \frac{N}{2} \quad (66)$$

which equals the number of particle states. Hence, the quantum numbers occupy all the allowed vacancies:

$$-\frac{N}{4} + \frac{1}{2} \leq I_{0,k} \leq \frac{N}{4} - \frac{1}{2} \quad (67)$$

Excited states over this ground state are constructed by taking away quasi-particles from the single state and moving them into complexes, we will characterize the excited states by  $\kappa$ , with

$$\nu_0 = \frac{N}{2} - \kappa \quad (68)$$

We analyse ground state again.

The linear integral equation for the density  $\rho_0(\lambda)$  is

$$\rho_0(\lambda) = \frac{1}{\pi} \frac{1}{1 + \lambda^2} - \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{2}{(\lambda - \mu)^2 + 4} \rho_0(\mu) d\mu \quad (69)$$

which can be rewritten as

$$\rho_0(\lambda) + \frac{1}{2\pi} \int_{-\infty}^{\infty} K(\lambda - \mu) \rho_0(\mu) d\mu = \frac{1}{2\pi} \theta'_1(\lambda) \quad (70)$$

where we define the kernel

$$K(\lambda) \equiv \frac{d}{d\lambda} \theta_2(\lambda) = \frac{4}{\lambda^2 + 4} \quad (71)$$

Using Fourier transformation, we get  $\rho_0(\lambda)$  as in equation (97)

$$\rho_0(\lambda) = \frac{1}{4 \cosh(\frac{\pi\lambda}{2})} \quad (72)$$

The momentum and energy of the ground state are then given by

$$K = N \int p_0(\lambda) \rho_0(\lambda) d\lambda = \frac{\pi}{2} N \bmod 2\pi \equiv K_{AFM} \quad (73)$$

$$E = E_0 + N \int \epsilon_0(\lambda) \rho_0(\lambda) d\lambda = N \left( \frac{1}{4} - \ln 2 \right) \equiv E_{AFM} \quad (74)$$

We now look at states with  $\nu_0 = \frac{N}{2} - 1$  and  $\nu_M = 0$  for  $M \geq \frac{1}{2}$ . They are characterized by two holes.(spinon excitations as in section 6) We let the empty quantum numbers are  $j_1$  and  $j_2$  :

$$I_{0,j} = j + \theta_H(j - j_1) + \theta_H(j - j_2) \quad (75)$$



where  $\theta_H(x)$  is Heqviside step-function. The integral equation for the real roots rapidity density  $\rho_t(\lambda)$  (where t stands for triplet) is

$$\rho_t(\lambda) + \frac{1}{2\pi} \int_{-\infty}^{\infty} K(\lambda - \mu) \rho_t(\mu) d\mu = \frac{1}{\pi} \frac{1}{1 + \lambda^2} - \frac{1}{N} [\delta(\lambda - \lambda_1) + \delta(\lambda - \lambda_2)] \quad (76)$$

where  $\lambda_i \rightarrow x_i = \frac{j_i}{N}$ . Because of the linearity of equation (76). We rewrite  $\rho_t(\lambda)$  as:

$$\rho_t(\lambda) = \rho_0(\lambda) + \frac{1}{N} [\tau(\lambda - \lambda_1) + \tau(\lambda - \lambda_2)] \quad (77)$$

where  $\tau(\lambda)$  is the solution of equation:

$$\tau(\lambda) + \frac{1}{2\pi} \int_{-\infty}^{\infty} K(\lambda - \mu) \tau(\mu) d\mu = -\delta(\lambda) \quad (78)$$

We give the momentum and energy of the state

$$K = N \int p_0(\lambda) \rho_t(\lambda) d\lambda = K_{AFM} + k(\lambda_1) + k(\lambda_2) \quad (79)$$

$$E = N \int \epsilon_0(\lambda) \rho_t(\lambda) d\lambda = E_{AFM} + \epsilon(\lambda_1) + \epsilon(\lambda_2) \quad (80)$$

The dispersion relation is

$$\epsilon(k) = \frac{\pi}{2} \sin k, \quad -\frac{\pi}{2} \leq k \leq \frac{\pi}{2} \quad (81)$$

We now look at the state with  $\nu_0 = \frac{N}{2} - 2$ ,  $\nu_{\frac{1}{2}} = 1$  and  $\nu_M = 0$  for  $M \geq 1$ . For the density of real roots  $\rho_s(\lambda)$  (s is for singlet) we get the integral equation

$$\rho_s(\lambda) + \frac{1}{2\pi} \int_{-\infty}^{\infty} K(\lambda - \mu) \rho_s(\mu) d\mu = \frac{1}{\pi} \frac{1}{1 + \lambda^2} - \frac{1}{N} \left( \delta(\lambda - \lambda_1) + \delta(\lambda - \lambda_2) + \frac{1}{\pi} \theta'_{0, \frac{1}{2}}(\lambda - \lambda_{\frac{1}{2}}) \right) \quad (82)$$

The sloution of (82) is

$$\rho_s(\lambda) = \rho_0(\lambda) + \frac{1}{N} [\tau(\lambda - \lambda_1) + \tau(\lambda - \lambda_2) + \sigma(\lambda - \lambda_{\frac{1}{2}})] \quad (83)$$

where  $\tau(\lambda)$  is given by equation (78) and  $\sigma(\lambda)$  is the solution of

$$\sigma(\lambda) + \frac{1}{2\pi} \int_{-\infty}^{\infty} K(\lambda - \mu) \sigma(\mu) d\mu = -\frac{1}{\pi} \theta'_{0, \frac{1}{2}}(\lambda) \quad (84)$$

Given equation (58) and deriving  $I_{\frac{1}{2}}^{max} = 0$  from equation (61), we get

$$2 \arctan \frac{\lambda_{\frac{1}{2}}}{2} = \frac{1}{N} \sum_j \theta_{\frac{1}{2}, 0}(\lambda_{\frac{1}{2}} - \lambda_{0, j}) = \int_{-\infty}^{\infty} \theta_{\frac{1}{2}, 0}(\lambda_{\frac{1}{2}} - \lambda) \rho_s(\lambda) d\lambda \quad (85)$$

After some calculations, we get

$$\lambda_{\frac{1}{2}} = \frac{\lambda_1 + \lambda_2}{2} \quad (86)$$

## 6 Ground state and low energy excitations

**Theorem 1.** *If  $\{\lambda_1, \dots, \lambda_N\}$  is a solution of Bethe Ansatz equation (41), then  $\forall i, j \quad \lambda_i \neq \lambda_j$*

We define

$$Z(\lambda) = \frac{1}{2\pi} [\theta_1(\lambda) - \frac{1}{N} \sum_{l=1}^R \theta_2(\lambda - \lambda_l)] \quad (87)$$

$$\sigma(\lambda) = \frac{dZ(\lambda)}{d\lambda} \quad (88)$$

Apparently,  $Z(\lambda_j) = I_j/N$ .

For any consecutive  $I_j$  and  $I_{j+1} = I_j + 1$ , the following relation holds:

$$\frac{Z(\lambda_{j+1}) - Z(\lambda_j)}{\lambda_{j+1} - \lambda_j} = \frac{1}{N\delta\lambda_j} \quad (89)$$

In the limit  $N \rightarrow \infty$ , we obtained

$$\rho(\lambda) = \sigma(\lambda) = a_1(\lambda) - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \rho(\mu) d\mu \quad (90)$$

where

$$a_n(\lambda) = \frac{1}{\pi} \frac{n}{\lambda^2 + n^2} \quad (91)$$

For ground state

$$\rho_g(\lambda) = a_1(\lambda) - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \rho_g(\mu) d\mu \quad (92)$$

To solve (92), we use Fourier transformation:

$$\tilde{F}(\omega) = \int_{-\infty}^{\infty} e^{i\omega\lambda} F(\lambda) d\lambda \quad (93)$$

$$F(\lambda) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-i\omega\lambda} \tilde{F}(\omega) d\omega \quad (94)$$

The fourier transformation of  $a_n(\lambda)$  is

$$\tilde{a}_n(\omega) = \int_{-\infty}^{\infty} e^{i\omega\lambda} \frac{1}{\pi} \frac{n}{\lambda^2 + n^2} d\lambda = e^{-n|\omega|} \quad (95)$$

Take the fourier transformation of (92), we obtain

$$\tilde{\rho}_g(\omega) = \frac{1}{2\cosh \omega} \quad (96)$$

Thus the solution of (96) is

$$\rho_g(\lambda) = \frac{1}{4 \cosh \frac{\pi\lambda}{2}} \quad (97)$$

The density of flipped spins relative to the reference state is

$$\frac{R}{N} = \int_{-\infty}^{\infty} \rho_g(\lambda) d\lambda = \frac{1}{2} \quad (98)$$

which means that the magnetization of the ground state is zero. The energy density of the ground state reads

$$e_g = -2\pi \int_{-\infty}^{\infty} a_1(\lambda) \rho_g(\lambda) d\lambda + \frac{1}{2} = \frac{1}{2} - 2 \ln 2 \quad (99)$$

## 6.1 Spinon Excitations

We focus on the even  $N$  case. The simplest excitation i.e.  $R = \frac{N}{2} - 1$ . Thus we have

$$\rho^h(\lambda) = \frac{1}{N} \delta(\lambda - \lambda_1^h) + \frac{1}{N} \delta(\lambda - \lambda_2^h) \quad (100)$$

**Why are there two holes**

We suppose  $\rho(\lambda) = \rho_g(\lambda) + \delta\rho(\lambda)$ . Then (90) becomes

$$\delta\rho(\lambda) + \rho^h(\lambda) = - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \delta\rho(\mu) d\mu \quad (101)$$

which can be solved by Fourier transformation. We obtain the total spin  $S_e$  of this excitation as

$$S_e = -N \int_{-\infty}^{\infty} \delta\rho(\lambda) d\lambda = 1 \quad (102)$$

with

$$\delta\rho(\lambda) = \frac{1}{4} \left( \text{sech}\left[\frac{\pi}{2}(\lambda + \lambda_1^h)\right] + \text{sech}\left[\frac{\pi}{2}(\lambda + \lambda_2^h)\right] \right) \quad (103)$$

The excitation energy is

$$\Delta E = 2\pi N J \int_{-\infty}^{\infty} a_1(\lambda) \delta\rho(\lambda) d\lambda = \epsilon(\lambda_1^h) + \epsilon(\lambda_2^h) \quad (104)$$

where  $\epsilon(\lambda)$  is the dressed energy with the definition

$$\epsilon(\lambda) = -2\pi J a_1(\lambda) - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \epsilon(\mu) d\mu \quad (105)$$

Solving (105) by Fourier transformation, we obtain (similar to (92))

$$\epsilon(\lambda) = \frac{-\pi J}{\cosh(\pi\lambda)} = -2\pi J \rho_g(\lambda) \quad (106)$$

## 7 Thermodynamics

$$\epsilon_n^0(\lambda) = -2\pi a_n(\lambda) + n\hbar$$

The number of n-string states in the interval  $[\lambda, \lambda + d\lambda]$  is

$$N[\rho_n(\lambda) + \rho_n^h(\lambda)]d\lambda \quad (107)$$

Then the number of the possible physical states in this interval is

$$d\Omega(\lambda) = \prod_{n=1}^{\infty} \frac{[N(\rho_n(\lambda) + \rho_n^h(\lambda)d\lambda)]!}{[N\rho_n(\lambda)d\lambda]! [N\rho_n^h(\lambda)d\lambda]!} \quad (108)$$

The entropy in the interval

$$dS(\lambda) = \ln d\Omega(\lambda) \simeq N \sum_{n=1}^{\infty} \{[\rho_n(\lambda) + \rho_n^h(\lambda)] \ln[\rho_n(\lambda) + \rho_n^h(\lambda)] - \rho_n(\lambda) \ln \rho_n(\lambda) - \rho_n^h(\lambda) \ln \rho_n^h(\lambda)\} d\lambda \quad (109)$$

Density of free energy

$$f = \sum_{n=1}^{\infty} \int_{-\infty}^{\infty} \epsilon_n^0(\lambda) \rho_n(\lambda) d\lambda - T \sum_{n=1}^{\infty} \int_{-\infty}^{\infty} \{[\rho_n(\lambda) + \rho_n^h(\lambda)] \ln[\rho_n(\lambda) + \rho_n^h(\lambda)] - \rho_n(\lambda) \ln \rho_n(\lambda) - \rho_n^h(\lambda) \ln \rho_n^h(\lambda)\} d\lambda \quad (110)$$

To get a thermal equilibrium state

$$\frac{\delta f}{\delta \rho_n(\lambda)} = 0 \quad (111)$$

which leads to

$$\sum_{n=1}^{\infty} \int_{-\infty}^{\infty} \{\epsilon_n^0(\lambda) \delta \rho_n(\lambda) - T \ln[1 + \eta_n(\lambda)] \delta \rho_n(\lambda) - T \ln[1 + \eta_n^{-1}(\lambda)] \delta \rho_n^h(\lambda)\} d\lambda = 0 \quad (112)$$

where

$$\eta_n(\lambda) = \frac{\rho_n^h(\lambda)}{\rho_n(\lambda)} \quad (113)$$

Note that  $\rho_n(\lambda)$  and  $\rho_n^h(\lambda)$  are related by

$$\delta \rho_n^h(\lambda) = - \sum_{m=1}^{\infty} \int_{-\infty}^{\infty} A_{m,n}(\lambda - \mu) \delta \rho_m(\mu) d\mu \quad (114)$$

Substituting (114) to (112), we get

$$\ln[1 + \eta_n(\lambda)] = \frac{\epsilon_n^0(\lambda)}{T} + \sum_{m=1}^{\infty} \int_{-\infty}^{\infty} A_{m,n}(\lambda - \mu) \ln[1 + \eta_m^{-1}(\mu)] d\mu \quad (115)$$

We define the integral operator  $[n]$  as

$$[n]F(\lambda) = \int_{-\infty}^{\infty} a_n(\lambda - \mu) F(\mu) d\mu \quad (116)$$

Note that under fourier transformation  $[n]$  becomes a multiplier  $\exp(-n|\omega|/2)$ .  
We have

$$[m][n] = [m+n] \quad (117)$$

It can be easily verified in  $\omega$  space. Further, we define

$$\begin{aligned} \hat{\mathbf{A}}_{m,n} &= [m+n] + 2[m+n-2] + \cdots + 2[|m-n|+2] + [|m-n|] \\ \hat{\mathbf{G}} &= \frac{[1]}{[0] + [2]} \end{aligned} \quad (118)$$

Where the kernel of the operator  $\hat{\mathbf{G}}$  is

$$\frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-i\lambda\omega} \frac{e^{-\frac{1}{2}|\omega|}}{1 + e^{-|\omega|}} d\omega = \frac{1}{2\cosh(\pi\lambda)} = \rho_g(\lambda) \quad (119)$$

The operator identities

$$\hat{\mathbf{G}}[\hat{\mathbf{A}}_{m,n+1} + \hat{\mathbf{A}}_{m,n-1}] = -\delta_{m,n} + \hat{\mathbf{A}}_{m,n}, \quad n > 1 \quad (120)$$

$$\hat{\mathbf{G}}\hat{\mathbf{A}}_{m,2} = -\delta_{1,m} + \hat{\mathbf{A}}_{1,m} \quad (121)$$

We can then rewrite (115) as

$$\ln(1 + \eta_n(\lambda)) = \frac{\epsilon_n^0(\lambda)}{T} + \sum_{m=1}^{\infty} \hat{\mathbf{A}}_{n,m} \ln(1 + \eta_m^{-1}(\lambda)) \quad (122)$$

$\hat{\mathbf{G}} \rightarrow$  (122) with  $n = n-1$  and  $n = n+1$

$$\begin{aligned} &\hat{\mathbf{G}}[\ln(1 + \eta_{n+1}(\lambda)) + \ln(1 + \eta_{n-1}(\lambda))] \\ &= \frac{\epsilon_n^0(\lambda)}{T} - \ln(1 + \eta_n^{-1}(\lambda)) + \sum_{m=1}^{\infty} \hat{\mathbf{A}}_{n,m} \ln(1 + \eta_m^{-1}(\lambda)) \end{aligned} \quad (123)$$

Combining (122) and (123), we get

$$\ln \eta_n(\lambda) = \hat{\mathbf{G}}[\ln(1 + \eta_{n+1}(\lambda)) + \ln(1 + \eta_{n-1}(\lambda))] \quad (124)$$

Applying  $\hat{\mathbf{G}}$  with  $n = 2$ , we obtain

$$\ln \eta_1(\lambda) = -\frac{2\pi\rho_g(\lambda)}{T} + \hat{\mathbf{G}} \ln(1 + \eta_2(\lambda)) \quad (125)$$

We obtain

$$f = -T \sum_{n=1}^{\infty} \int_{-\infty}^{\infty} a_n(\lambda) \ln[1 + \eta_n^{-1}(\lambda)] d\lambda \quad (126)$$

From (122), we know that

$$\hat{\mathbf{G}} \ln(1 + \eta_1(\lambda)) = \frac{1}{T} \hat{\mathbf{G}} \epsilon_1^0(\lambda) + \sum_{m=1}^{\infty} [m] \ln(1 + \eta_m^{-1}(\lambda)) \quad (127)$$

Let  $\lambda = 0$ , we get

$$\begin{aligned} \sum_{m=1}^{\infty} \int_{-\infty}^{\infty} a_m(\lambda) \ln[1 + \eta_m^{-1}(\lambda)] d\lambda \\ = \frac{2 \ln 2 - \frac{1}{2h}}{T} + \int_{-\infty}^{\infty} \rho_g(\lambda) \ln[1 + \eta_1(\lambda)] d\lambda \end{aligned} \quad (128)$$

Substituting (128) into (126), we finally get the expression for the energy

$$\frac{F}{N} = e_g - T \int_{-\infty}^{\infty} \rho_g(\lambda) \ln[1 + \eta_1(\lambda)] d\lambda \quad (129)$$

Below, let us consider the low energy limit  $T \rightarrow 0$  and  $h \rightarrow h$ . Then  $\eta_1 = 0$  and  $\eta_n$  become constants. In this case, (124) (125) are reduced to

$$\eta_n^2 = (1 + \eta_{n+1})(1 + \eta_{n-1}), \quad n > 1 \quad (130)$$

The general solution of the above equations is

$$\eta_n = \left( \frac{bz^n - b^{-1}z^{-n}}{z - z^{-1}} \right)^2 - 1 \quad (131)$$

where  $b$  and  $z$  are determined by  $\eta_1 = 0$  and limitation condition as  $n \rightarrow \infty$

$$\lim_{n \rightarrow \infty} \frac{\ln \eta_n}{n} = \frac{h}{T} \quad (132)$$

In the case of  $h = 0$ , we have  $\eta_n(h = 0) = n^2 - 1$ . Let  $\eta_n = \exp[-\epsilon_n/T]$ , then  $\epsilon_1 \sim T^0$ . Comparing the  $T^{-1}$  terms of (122) we have

$$\epsilon_1(\lambda) = -\epsilon_1^0(\lambda) - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \epsilon_1(\mu) d\mu \quad (133)$$

Equivalently

$$\epsilon_1(\lambda) = -2\pi J a_1(\lambda) + H - \int_{-\infty}^{\infty} a_2(\lambda - \mu) \epsilon_1(\mu) d\mu \quad (134)$$

where  $a_n = \frac{1}{2\pi} \frac{n}{\lambda^2 + \frac{n^2}{4}}$ . (This definition is different from the precedent content of note.)

$$\epsilon_1(\lambda) = -2\pi J a_1(\lambda) + H - \int_{-Q}^Q a_2(\lambda - \mu) \epsilon_1(\mu) d\mu \quad (135)$$

$$f_0 = \int_{-Q}^Q a_1(\mu) \epsilon_1(\mu) d\mu \quad (136)$$

Where  $\epsilon_1(\pm Q) = 0$ , by let  $\epsilon_1(0) = 0$  we get  $H_s = 4J$ .

At zero temperature, we consider  $H \rightarrow H_s$ . In that case,  $Q$  is small. We expand  $\epsilon_1(\lambda)$  in terms of small  $\lambda$ .

$$\epsilon_1(\lambda) = -2\pi J a_1(\lambda) + H - \frac{1}{\pi} \int_{-Q}^Q \epsilon_1(\lambda) d\lambda \approx -2\pi J a_1(\lambda) + H - \frac{2Q(H - H_s)}{\pi} \quad (137)$$

Then how could we get  $Q = \sqrt{\frac{H_s - H}{16J}}$ . Using  $\epsilon_1(Q) = 0$  ?

The zero temperature dressed energy

$$f_0 \approx \int_{-Q}^Q a_1(\mu) \epsilon_1(\mu) d\mu \approx \frac{4J}{\pi} \frac{(1 - 4Q^2) \arctan 2Q - 2Q}{1 + 4Q^2} + O(Q^4) \quad (138)$$

We can derive some emergent quantities from free energy  $f$

$$M^z = \frac{1}{2} - \frac{\partial f}{\partial H} \quad \chi = \frac{\partial^2 f}{\partial H^2} \quad c_V = -T \frac{\partial^2 f}{\partial T^2} \quad (139)$$

Substituting (138) to (139), we get

$$M^z = \frac{1}{2} - \frac{\partial f_0}{\partial H} = \frac{1}{2} - \frac{2}{\pi} \left(1 - \frac{H}{H_s}\right)^{1/2} \quad (140)$$

$$\chi = \frac{\partial M^z}{\partial H} = \frac{1}{2\pi} \left( \frac{1}{J(H_s - H)} \right)^{1/2} \quad (141)$$

We give the scaling form of (140)

$$1 - \frac{M^z}{M_s} = D \left(1 - \frac{H}{H_s}\right)^{1/\delta} = \frac{4}{\pi} \left(1 - \frac{H}{H_s}\right)^{1/2} \quad (142)$$

## 7.1 Luttinger Liquid

Luttinger liquid is a collective motion of particle-hole excitations near two Fermi points at low temperature. The condition  $|H - H_s|/T \gg 1$  is necessary for this excitation. We rewrite the low temperature TBA equation  $\epsilon_1^T(\lambda) = \epsilon_1(\lambda) + \eta(\lambda)$ , where  $\epsilon_1(\lambda)$  is the zero temperature dressed energy (134),  $\eta$  can be regarded as a leading correction to the temperature.

We give first

$$\epsilon_1^T(\lambda) = -2\pi J a_1(\lambda) + H + T \int_{-\infty}^{\infty} a_2(\lambda - \mu) \ln \left(1 + e^{\frac{-|\epsilon_1^T(\mu)|}{T}}\right) d\mu - \int_{-Q}^Q a_2(\lambda - \mu) \epsilon_1^T(\mu) d\mu \quad (143)$$

And then

$$\begin{aligned} \epsilon_1^T(\lambda) &= \epsilon_1(\lambda) + \eta(\lambda) \\ &= -2\pi J a_1(\lambda) + H - \int_{-Q}^Q a_2(\lambda - \mu) \epsilon_1(\mu) d\mu + \eta(\lambda) \\ &= -2\pi J a_1(\lambda) + H - \int_{-Q}^Q a_2(\lambda - \mu) (\epsilon_1^T - \eta(\mu)) d\mu + \eta(\lambda) \end{aligned} \quad (144)$$

Thus we have

$$\eta(\lambda) = T \int_{-\infty}^{\infty} a_2(\lambda - \mu) \ln \left(1 + e^{\frac{-|\epsilon_1^T(\mu)|}{T}}\right) d\mu - \int_{-Q}^Q a_2(\lambda - \mu) \eta(\mu) d\mu \quad (145)$$

As  $T \rightarrow 0$ , only the zeros of  $\epsilon_1^T$  contribute to the first integration. We expanding  $\epsilon_1^T$  at  $\lambda = Q$

$$\epsilon_1^T(\lambda) = t(\lambda - Q) + O((\lambda - Q)^2) \quad (146)$$

where  $t = \frac{d\epsilon_1^T(\lambda)}{d\lambda}|_{\lambda=Q}$ . Then the integration becomes

$$I = \frac{\pi^2 T^2}{6t} [a_2(\lambda + Q) + a_2(\lambda - Q)] \quad (147)$$

In that case

$$\eta(\lambda) = \frac{\pi^2 T^2}{6t} [a_2(\lambda + Q) + a_2(\lambda - Q)] - \int_{-Q}^Q a_2(\lambda - \mu) \eta(\mu) d\mu \quad (148)$$

At zero temperature, the free energy  $f(T, H)$  is given by

$$f_0(0, H) = \int_{-Q}^Q a_1(\lambda) \epsilon_1(\lambda) d\lambda \quad (149)$$

Under such conditions, the free energy is given by

$$f(T, H) = -T \int_{-\infty}^{\infty} a_1(\lambda) \ln \left( 1 + e^{\frac{-\epsilon_1^T(\lambda)}{T}} \right) d\lambda \quad (150)$$

It follows that

$$\begin{aligned} f - f_0 &= -T \int_{-\infty}^{\infty} a_1(\lambda) \ln \left( 1 + e^{\frac{-\epsilon_1^T(\lambda)}{T}} \right) d\lambda - \int_{-Q}^Q a_1(\lambda) \epsilon_1(\lambda) d\lambda \\ &= -T \int_{-\infty}^{\infty} a_1(\lambda) \ln \left( 1 + e^{\frac{-|\epsilon_1^T(\lambda)|}{T}} \right) d\lambda + \int_{-Q}^Q a_1(\lambda) \eta(\lambda) d\lambda \\ &= -\frac{\pi^2 T^2}{3t} a_1(Q) + \int_{-Q}^Q a_1(\lambda) \eta(\lambda) d\lambda \end{aligned} \quad (151)$$

We can express the free energy as

$$f = f_0 - \frac{\pi^2 T^2}{3t} a_1(Q) + \int_{-Q}^Q a_1(\lambda) \eta(\lambda) d\lambda \quad (152)$$

To calculate the last term of (152), we first give

$$\rho_0(\lambda) = a_1(\lambda) - \int_{-Q}^Q a_2(\lambda - \mu) \rho_0(\mu) d\mu \quad (153)$$

Note that (148) has the same structure as (153) and we have the theorem such that:

If we have

$$f(k) = f_0(k) - \int_{-Q}^Q a_2(k - \lambda) f(\lambda) d\lambda \quad (154)$$

$$g(k) = g_0(k) - \int_{-Q}^Q a_2(k - \lambda) g(\lambda) d\lambda \quad (155)$$

then

$$\int_{-Q}^Q f(\lambda) g_0(\lambda) d\lambda = \int_{-Q}^Q g(\lambda) f_0(\lambda) d\lambda \quad (156)$$



Using this theorem we can obtain

$$\int_{-Q}^Q \frac{\pi^2 T^2}{6t} [(a_2(\lambda + Q) + a_2(\lambda - Q))] \rho_0(\lambda) d\lambda = \int_{-Q}^Q a_1(\lambda) \eta(\lambda) d\lambda \quad (157)$$

Assign  $\lambda = \pm Q$  to (153), we can substitute LHS of (157) with

$$\int_{-Q}^Q [(a_2(\lambda + Q) + a_2(\lambda - Q))] \rho_0(\lambda) d\lambda = 2a_1(Q) - 2\rho_0(Q) \quad (158)$$

We then obtain

$$\int_{-Q}^Q a_1(\lambda) \eta(\lambda) d\lambda = \frac{\pi^2 T^2}{6t} [2a_1(Q) - 2\rho_0(Q)] \quad (159)$$

Finally, we simplify (152) to the following form

$$f = f_0 - \frac{\pi^2 T^2}{3t} \rho_0(Q) \quad (160)$$

We then consider the specific heat at TTL region.

$$c_v = -T \frac{\partial^2 f}{\partial T^2} = \frac{\pi T}{3v_s} \propto T^\alpha \quad (161)$$

where  $v_s$  is defined as sound velocity

$$v_s = \frac{1}{2\pi} \frac{d\epsilon_1(\lambda)/d\lambda}{\rho_0(\lambda)} \Big|_{\lambda=Q} = \frac{1}{2\pi} \frac{t}{\rho_0(Q)} \quad (162)$$

We start from the Hamiltonian of Luttinger liquid

$$H = \frac{1}{2\pi} \int dx \left( \frac{v_s K_s}{2} (\pi \Pi(x))^2 + \frac{v_s}{K_s} (\delta \phi(x))^2 \right) \quad (163)$$