## CS 346 Class Notes

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## This Time:

Chapter 11: Public-key encryption. PKE.

Definition 11-1. A PKE scheme is a set of PPT algorithms GenEncDec where

- 1.  $Gen(1^n)$  produces (pk, sk). Assume pk, sk are at least n bits long, and can determine n from pk, sk.
- 2.  $\mathsf{Enc}_{pk}(m)$ . Randomized, yields ciphertext  $c.\ m$  is drawn from the message space, which can depend on pk.
- 3.  $\mathsf{Dec}_{sk}(m)$ . Computes m or  $\bot$ , which indicates failure. Deterministic.

Correctness: Except for the possibility with negl probability over (pk, sk) produced by Gen,  $Dec_{sk}(Enc_{pk}(m)) = m$  for all m in message space.

PKE security notions. Start with indistinguishable in the presence of an eavesdropper. (EAV-security).

Experiment:  $\mathsf{PubK}^{\mathsf{eav}}_{\mathcal{A},\Pi}(n)$ .  $\Pi = (\mathsf{Gen},\mathsf{Enc},\mathsf{Dec})$ .

- 1. Run  $Gen(1^n)$  to get (pk, sk).
- 2.  $\mathcal{A}$  is given pk (!) and outputs equal-length  $m_0, m_1$ . (From the message space associated with pk.
- 3. Choose a random bit b.  $c \leftarrow \mathsf{Enc}_{pk}(m_b)$ . Give c to  $\mathcal{A}$ .
- 4.  $\mathcal{A}$  outputs b'.  $\mathcal{A}$  succeeds if b' = b.

Definition 11.2:  $\Pi$  is EAV-secure if  $\forall$  PPT adversaries  $\mathcal{A}$ ,

$$\Pr[\mathsf{PubK}^{\mathsf{eav}}_{\mathcal{A},\Pi}(n) = 1] \leq \frac{1}{2} + \mathtt{negl}(n).$$

This experiment is analogous to the CPA experiment in the private key setting, since  $\mathcal{A}$  can compute polynomially many encryptions, because it was given the public key pk. (It can make its own oracle.)

Proposition 11.3. If a PKE scheme satisfies definition 11.2, then it is CPA-secure.

Impossibility of perfectly secret PKE. Related to 11.1 (Also 11.2?)

Modify definition 11.2 to

- 1. Allow  $\mathcal{A}$  to take an arbitrary amount of time...
- 2. Require  $\Pr[\mathsf{PubK}_{\mathcal{A},\Pi}^{\mathsf{eav}}(n) = 1] = \frac{1}{2}$ .

Proof of impossibility: Let t denote the upper bound on random bits used by  $\mathsf{Enc}_{pk}$  on input  $m_0$ .

 $\mathcal{A}$  can run simulate  $\mathsf{Enc}_{pk}(m_0)$  using all possible sequences of t random bits, and see if c is produced. Correctness is now guaranteed.

Insecurity of a deterministic PKE.

Theorem 11.4: No deterministic PKE scheme is secure:

It's easy to compute the c and check with no random bits...

11.2.2: Multiple Encryptions.

Definition 11.5: A PKE scheme  $\Pi$  has indistinguishable multiple encryptions if for all PPT  $\mathcal{A}$ ,

$$\Pr[\mathsf{PubK}^{\mathsf{LR-CPA}}_{\mathcal{A},\Pi}(n) = 1] \leq \frac{1}{2} + \mathsf{negl}(n).$$

Theorem 11.6: (There is a lengthy proof of this in the textbook) If a PKE scheme is CPA-secure, then it is secure with respect to definition 11.5.

Encryption of arbitrary-length messages.

Claim 11.7:

CPA-secure PKE  $\Pi$  for fixed length messages  $\to$  CPA-secure  $\Pi'$  for arbitrary length messages.

CCA-security:  $\mathsf{PubK}_{\mathcal{A},\Pi}^{\mathsf{CCA}}(n)$ . Main difference here is that  $\mathcal{A}$  gets access to a decryption oracle, except it can't call  $\mathsf{Dec}_{sk}(c)$ .

Definition 11.8:  $\Pi$  has indistinguishable encryption under a chosen-ciphertext attack if  $\forall$  PPT  $\mathcal{A}$ ,

$$\Pr[\mathsf{PubK}^{\mathsf{CCA}}_{\mathcal{A},\Pi}(n) = 1] \leq \frac{1}{2} + \mathtt{negl}(n).$$

The analogue of theorem 11.6 holds!

Unfortunately, claim 11.2 does not.

Section 11.3: Hybrid encryption and the KEM/DEM paradigm.

Private vs public key encryption:

- PKE building blocks are *much* slower.
- Also, PKE has greater "expansion".
- No need for a shared key.  $(O(n) \text{ vs } O(n^2))$ .

KEM: Key-Encapsulation Mechanism. We'll use a PKE to implement this.

DEM: Data-Encapsulation Mechanism. We'll use private-key encryption here.

KEM=(Gen, Encaps, Decaps)

•  $Gen(1^n)$  produces (pk, sk).

- Encaps<sub>pk</sub>(1<sup>n</sup>) produces (c, k), where length of  $k = \ell(n)$ .
- Decaps<sub>sk</sub>(c) produces k.

Figure 11.2 from the textbook. Whee. :P