# Lecture 4: MacWilliams identities. Reed–Solomon codes.

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# Outline

- MacWilliams identities
- 2 MDS codes
- Reed-Solomon codes
- 4 Bounded minimum distance decoding
- 5 Problems

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# Weight spectrum and enumerator

#### Definition

Let C be a linear (n, k, d) code. A vector  $A(C) = [A_0, A_1, \dots, A_n]$ , where

$$A_W = |\{c \in \mathcal{C} : ||c|| = W\}|.$$

is called a weight spectrum of C.

#### Definition

Let  $A(C) = [A_0, A_1, ..., A_n]$  be a weight spectrum of a code C, then

$$W_{\mathcal{C}}(x,y) = \sum_{i=0}^{n} A_i x^{n-i} y^i = \sum_{c \in \mathcal{C}} x^{n-||c||} y^{||c||}$$

is called a weight enumerator of C.

# Example

### Example

$$C = \{000, 011, 101, 110\}$$

$$W_{\mathcal{C}}(x,y) = x^3 + 3xy^2$$

.

# MacWilliams identities

### Theorem (F. J. MacWilliams, 1963))

$$W_{\mathcal{C}^{\perp}}(x,y) = \frac{1}{|\mathcal{C}|} W_{\mathcal{C}}(x+y,x-y).$$

### Hadamard transform

### Definition (Dot product)

Let  $\mathbf{x}, \mathbf{y} \in \mathbb{F}_2^n$ :

$$\mathbf{x} \cdot \mathbf{y} = x_1 y_1 \oplus \dots x_n y_n$$

#### Definition (Hadamard transform)

Let f be an arbitrarily function defined on  $\mathbb{F}_2^n$ . Then

$$\hat{f}(\mathbf{x}) = \sum_{\mathbf{a} \in \mathbb{F}_2^n} (-1)^{\mathbf{a} \cdot \mathbf{x}} f(\mathbf{a}).$$

is called a Hadamard transform of f.

#### Lemma

$$\sum_{\mathbf{x} \in \mathcal{C}^{\perp}} f(\mathbf{x}) = \frac{1}{|\mathcal{C}|} \sum_{\mathbf{x} \in \mathcal{C}} \hat{f}(\mathbf{x})$$

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### Proof of lemma

$$\begin{split} \sum_{\mathbf{x} \in \mathcal{C}} \hat{f}(\mathbf{x}) &= \sum_{\mathbf{x} \in \mathcal{C}} \sum_{\mathbf{a} \in \mathbb{F}_2^n} (-1)^{\mathbf{a} \cdot \mathbf{x}} f(\mathbf{a}) = \\ &= \sum_{\mathbf{a} \in \mathbb{F}_2^n} \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} f(\mathbf{a}) = \sum_{\mathbf{a} \in \mathbb{F}_2^n} f(\mathbf{a}) \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} = \\ &= \sum_{\mathbf{a} \in \mathcal{C}^{\perp}} f(\mathbf{a}) \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} + \sum_{\mathbf{a} \notin \mathcal{C}^{\perp}} f(\mathbf{a}) \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} \end{split}$$

$$\sum_{\mathbf{a}\in\mathcal{C}^{\perp}} f(\mathbf{a}) \sum_{\mathbf{x}\in\mathcal{C}} (-1)^{\mathbf{a}\cdot\mathbf{x}} = \sum_{\mathbf{a}\in\mathcal{C}^{\perp}} f(\mathbf{a}) \sum_{\mathbf{x}\in\mathcal{C}} 1 = |\mathcal{C}| \sum_{\mathbf{a}\in\mathcal{C}^{\perp}} f(\mathbf{a})$$

② 
$$\forall$$
  $\mathbf{a} \notin \mathcal{C}^{\perp}$ : « $\mathbf{a} \cdot \mathbf{x} = 0$ » и « $\mathbf{a} \cdot \mathbf{x} = 1$ » occur equal times 
$$\Rightarrow \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} = 0 \Rightarrow$$

$$\sum_{\mathbf{a} 
otin \mathcal{C}^{\perp}} f(\mathbf{a}) \sum_{\mathbf{x} \in \mathcal{C}} (-1)^{\mathbf{a} \cdot \mathbf{x}} = 0$$



### Proof of theorem

Consider

$$f(\mathbf{b}) = x^{n - \|\mathbf{b}\|} y^{\|\mathbf{b}\|}$$

Apply Hadamard transform

$$\hat{f}(\mathbf{a}) = \sum_{\mathbf{b} \in \mathbb{F}_2^n} (-1)^{\mathbf{a} \cdot \mathbf{b}} f(\mathbf{b}) = \sum_{\mathbf{b} \in \mathbb{F}_2^n} (-1)^{\mathbf{a} \cdot \mathbf{b}} x^{n - \|\mathbf{b}\|} y^{\|\mathbf{b}\|} =$$

$$( \text{ Note, that } y^{\|\mathbf{b}\|} = y^{b_1} \dots y^{b_n} \text{ in } x^{n-\|\mathbf{b}\|} = x^{1-b_1} \dots x^{1-b_n} )$$

$$= \sum_{\mathbf{b} \in \mathbb{F}_2^n} (-1)^{a_1b_1 + \dots + a_nb_n} \prod_{i=1}^n x^{1-b_i} y^{b_i} =$$

$$= \sum_{b_1=0}^1 \sum_{b_2=0}^1 \dots \sum_{b_n=0}^1 \prod_{i=1}^n (-1)^{a_ib_i} x^{1-b_i} y^{b_i} =$$

$$= \prod_{i=1}^n \sum_{w=0}^1 (-1)^{a_iw} x^{1-w} y^w =$$

# Proof of theorem

$$\hat{f}(\mathbf{a}) = \dots = \prod_{i=1}^{n} \sum_{w=0}^{1} (-1)^{\mathbf{a}_{i}w} x^{1-w} y^{w} =$$

$$= (x+y)^{n-\|\mathbf{a}\|} (x-y)^{\|\mathbf{a}\|},$$

as

$$\sum_{w=0}^{1} (-1)^{a_i w} x^{1-w} y^w = egin{cases} x+y, & ext{ если } a_i = 0, \ x-y, & ext{ если } a_i = 1. \end{cases}$$

According to lemma  $\frac{1}{|\mathcal{C}|} \sum_{\mathbf{a} \in \mathcal{C}} \hat{f}(\mathbf{a}) = \sum_{\mathbf{a} \in \mathcal{C}^{\perp}} f(\mathbf{a}),$ 

thus 
$$\frac{1}{|\mathcal{C}|}\sum_{\mathbf{a}\in\mathcal{C}}(x+y)^{n-\|\mathbf{a}\|}(x-y)^{\|\mathbf{a}\|}=\sum_{\mathbf{a}\in\mathcal{C}^{\perp}}x^{n-\|\mathbf{a}\|}y^{\|\mathbf{a}\|}.$$

# Example

#### Example

Let  $\mathbf{H} = [11...1]$  be a parity check matrix of single parity check (SPC) code with length n. Find its enumerator. Note, that the dual code is a repetition code with

$$W_{\mathcal{C}^{\perp}}(x,y) = x^n + y^n,$$

thus we have

$$W_{\mathcal{C}}(x,y) = \frac{1}{|\mathcal{C}^{\perp}|} \left[ (x+y)^n + (x-y)^n \right] = \frac{1}{2} \left[ (x+y)^n + (x-y)^n \right].$$



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# MDS code

#### Definition

An (n, k, d) code is called Maximum Distance Separable (MDS) code if

$$d = n - k + 1$$
.

This means, that the code meets the Singleton bound.

# Properties of MDS codes

#### **Proposition**

A q-ary [n, k] linear code is an MDS code precisely if the parity check matrix **H** has every set of n - k columns linearly independent.

#### Proposition

The code  $\mathcal{C}^{\perp}$  dual to  $\mathcal{C}$  is a linear MDS code if  $\mathcal{C}$  itself is a linear MDS code.

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# The best algebraic codes

Millions of error-correcting codes are decoded **every minute**, with efficient algorithms implemented in custom VLSI circuits.





At least 50% of these VLSI circuits **decode Reed-Solomon codes**.

I.S. Reed and G. Solomon, Polynomial codes over certain finite fields, Journal Society Indust. Appl. Math. 8, pp. 300-304, June 1960. RS codes are used in ...

# RS codes: generator matrix view

Let  $\mathbb{F}_q$  be the finite field of order q and let  $\mathbb{F}_q[x]$  denote the ring of polynomials over  $\mathbb{F}_q$  in the variable x. Given a set  $\mathcal{B}$  of n pairwise different field elements

$$\mathcal{B} = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$$

from  $\mathbb{F}_q$ .

The Reed-Solomon code RS(n, k),  $1 \le k \le n$ , is defined as follows

$$RS(n,k) = \{ (f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n)) : f(x) \in \mathbb{F}_q[x], \deg f(x) < k \}.$$

The Reed-Solomon code is a linear code over  $\mathbb{F}_q$ . It has length  $n \leq q$ , dimension k and d = n - k + 1.

# RS codes: distance and generator matrix

The polynomial of degree  $\leq k-1$  cannot have more than k-1 roots (zeroes), thus

$$d = n - k + 1$$
.

Generator matrix

$$\mathbf{G} = \begin{bmatrix} 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \dots & \alpha_n \\ \vdots & \vdots & \ddots & \vdots \\ \alpha_1^{k-1} & \alpha_2^{k-1} & \dots & \alpha_n^{k-1} \end{bmatrix}$$

Encoding

$$c = f\mathbf{G}$$
,

where  $f = (f_0, f_1, \dots, f_{k-1})$  – vector of coefficients of f(x).

# RS codes: parity check matrix view

Generator polynomial:

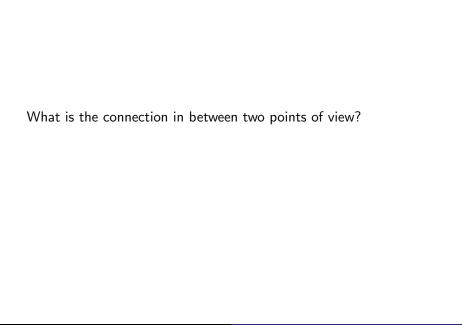
$$g(x) = (x - \alpha)(x - \alpha^2) \cdots (x - \alpha^{d-1}).$$

Encoding:

$$c(x) = f(x)g(x).$$

Parity check matrix

$$\mathbf{H} = \begin{bmatrix} 1 & \alpha & \dots & \alpha^{n-1} \\ 1 & \alpha^2 & \dots & \alpha^{2(n-1)} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha^{d-1} & \dots & \alpha^{(d-1)(n-1)} \end{bmatrix}$$



### Discrete Fourier Transform

$$F = [\alpha^{ij}]_{i=0,\dots,n-1}^{j=0,\dots,n-1} = \begin{bmatrix} 1 & 1 & 1 & \dots & 1\\ 1 & \alpha & \alpha^2 & \dots & \alpha^{n-1}\\ 1 & \alpha^2 & \alpha^4 & \dots & \alpha^{2(n-1)}\\ 1 & \vdots & \vdots & \dots & \vdots\\ 1 & \alpha^{n-1} & \alpha^{2(n-1)} & \dots & \alpha^{(n-1)(n-1)} \end{bmatrix}$$

### Discrete Fourier Transform

Information:

$$f = [f_0 f_1 \dots f_{k-1} 0 \dots 0]$$

Apply DFT to obtain a codeword

$$c = Ff$$

$$c(\alpha^{-k}) = c(\alpha^{n-k}) = c(\alpha^{d-1}) = 0$$

$$c(\alpha^{-k-1}) = c(\alpha^{n-k-1}) = c(\alpha^{d-2}) = 0$$

$$\vdots = \vdots$$

$$c(\alpha^{-(n-1)}) = c(\alpha) = 0$$

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### **Notations**

Let us consider a situation when t errors  $\{e_{j_1}, e_{j_2}, \dots, e_{j_t}\}$ . We introduce a notation of error locator

$$X_i = \alpha^{\mathbf{e}_{j_i}}, i = 1, \ldots, t.$$

and error values  $Y_i = e_{j_i}$ , i = 1, ..., t.

Let  $\mathbf{S} = (S_1, S_2, \dots, S_{2t})$ . The syndrome can be calculated as follows

$$S_1 = Y_1X_1 + Y_2X_2 + \dots + Y_tX_t$$

$$S_1 = Y_1X_1^2 + Y_2X_2^2 + \dots + Y_tX_t^2$$

$$\dots$$

$$S_1 = Y_1X_1^t + Y_2X_2^t + \dots + Y_tX_t^t$$

# Polynomials

Syndrome polynomial

$$S(z) = \sum_{j=1}^{2t} S_j z^{j-1}$$

Error locator polynomial

$$\sigma(z) = \prod_{i=1}^{t} (X_i z - 1)$$

Error value polynomial

$$\omega(z) = \sum_{i=1}^t Y_i X_i \prod_{l=1, l\neq i}^t (X_l z - 1).$$

Additional (unnamed) polynomial

$$\Phi(z) = \sum_{i=1}^{t} Y_i X_i^{2t+1} \prod_{l=1, l \neq i}^{t} (X_l z - 1).$$

# Key equation

$$S(z)\sigma(z) = z^{2t}\Phi(z) - \omega(z)$$

To solve the equation use extended Euclidean algorithm. Start with polynomial  $z^{2t}$  and S(z), stop when the degree of residue is less or equal t-1 for the first time. Use extended Euclidean algorithm to find  $\sigma(z)$  and  $\omega(z)$ 

### Chien search

We know  $\sigma(z)$ , find  $X_i$  by exhaustive search over all the elements of  $\mathbb{F}_q$ .

# Forney's algorithm

$$Y_i = \frac{\omega(X_i^{-1})}{\sigma_z'(X_i^{-1})} \quad i = 1, \dots, t.$$

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### Problem 1

- Consider a (7,5) Rid-Solomon code over field  $\mathbb{F}_2^8$  which gerating element is equal to the root of  $\phi(x) = x^3 + x^2 + 1$
- After transmission of codevector over noisy channel weigh one error was added and we received  $Y = V + E = [\alpha^5, \alpha^2, \alpha^4, 0, 0, \alpha, \alpha^6]$  Correct error and find information vector

Thank you for your attention!