

# Lecture 7: Methods for combining codes.

Course instructor: Alexey Frolov

`al.frolov@skoltech.ru`

Teaching Assistant: Stanislav Kruglik

`stanislav.kruglik@skolkovotech.ru`

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- 1 Are the codes we already know asymptotically good?
- 2 Interleaved codes
- 3 Product codes
- 4 Concatenated codes
- 5 Generalized concatenated codes
- 6 Problems
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$\frac{d}{n} \rightarrow \delta$  (relative minimum distance),  $\frac{k}{n} \rightarrow R$  (code rate).

## Definition

A code family  $\{\mathcal{C}_n\}$  is said to be *asymptotically good* if there exist constants  $R, \delta > 0$ :

- $\frac{k_n}{n} \geq R > 0$ ;
- $\frac{d_n}{n} \geq \delta > 0$ ;

# Are the codes we already know asymptotically good?

- ①  $(n = 2^m - 1, k = 2^m - m - 1, d = 3)_2$  Hamming codes
  - $R = \frac{2^m - m - 1}{2^m - 1} \rightarrow 1;$
  - $\delta = \frac{3}{2^m - 1} \rightarrow 0.$
- ②  $(n = 2^m, k, d)_2$   $RM(m, s)$  code
  - $k = \sum_{i=0}^s \binom{m}{i} = V_s;$
  - $d = 2^{m-s};$
  - $R = \frac{V_r}{2^m}$
  - $\delta = 2^{-s}.$

## Statement

*Hamming and RM codes are asymptotically bad.*

# Are the codes we already know asymptotically good?

BCH codes:

- $t = \text{const.}$  Hamming bound

$$n - k \geq t \log n + O(1).$$

BCH code

$$n - k \leq t \log n + O(1).$$

BCH codes are good!

- $t$  grows with  $n$

## Theorem

*Let  $n \rightarrow \infty$  and  $\delta > 0$ , then the rate of BCH code  $R \rightarrow 0$ .*

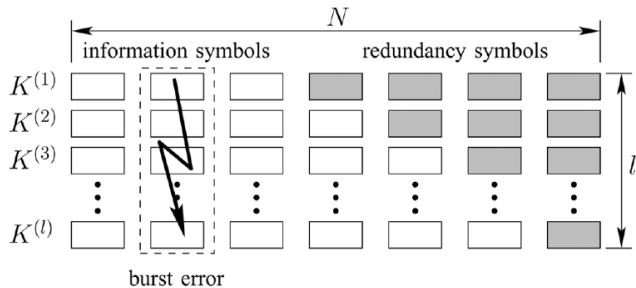
BCH codes are asymptotically bad.

Solution: combine existing codes and construct new asymptotically good codes!

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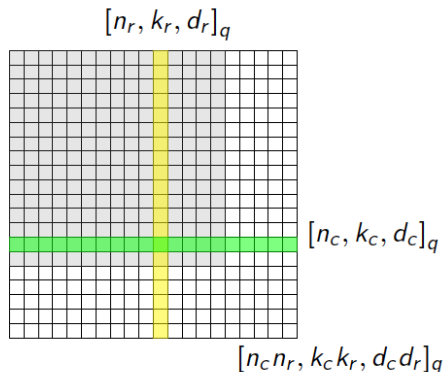
# Interleaved codes



$$R = \frac{\sum R_i}{\ell}.$$

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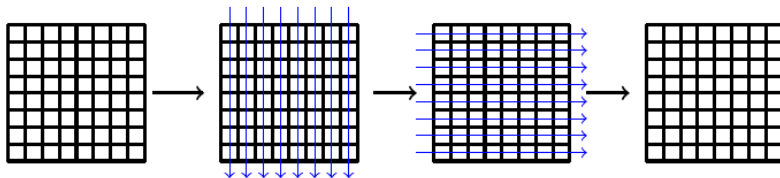
A codeword of a product code is a matrix whose rows are codewords of the first component code and whose columns are codewords of the second component code.



Consider a product code  $\mathcal{C}$  constructed from row code  $\mathcal{C}_r$  and column code  $\mathcal{C}_c$ , then

$$\begin{aligned}n(\mathcal{C}) &= n_r n_c \\R(\mathcal{C}) &= R(\mathcal{C}_r)R(\mathcal{C}_c) \\d(\mathcal{C}) &\geq d(\mathcal{C}_r)d(\mathcal{C}_c)\end{aligned}$$

# Iterative decoder



## Statement

Let  $G_r$  and  $G_c$  be generator matrices of a row code  $\mathcal{C}_r$  and a column code  $\mathcal{C}_c$ , then

$$G = G_r \otimes G_c.$$

Recall the Kronecker product definition. Let  $\mathbf{X} = [x_{i,j}]$  be of size  $m_x \times n_x$ ,  $\mathbf{Y} = [y_{i,j}]$  be of size  $m_y \times n_y$ , then

$$\mathbf{X} \otimes \mathbf{Y} = \begin{bmatrix} x_{1,1} \mathbf{Y} & x_{1,2} \mathbf{Y} & \dots & x_{1,n_x} \mathbf{Y} \\ x_{2,1} \mathbf{Y} & x_{2,2} \mathbf{Y} & \dots & x_{2,n_x} \mathbf{Y} \\ \vdots & \vdots & \ddots & \vdots \\ x_{m_x,1} \mathbf{Y} & x_{m_x,2} \mathbf{Y} & \dots & x_{m_x,n_x} \mathbf{Y} \end{bmatrix}$$

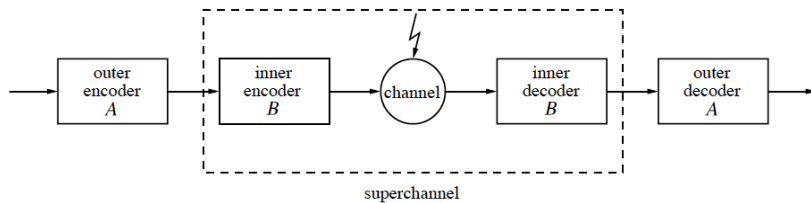
## Statement

*Let  $\mathcal{C}_r$  and  $\mathcal{C}_c$  be cyclic codes with  $(n_r, n_c) = 1$ , then  $\mathcal{C} = \mathcal{C}_r \otimes \mathcal{C}_c$  is also cyclic.*

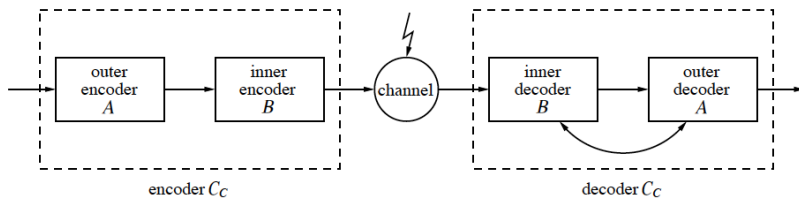
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# Forney's view



# Concatenation according to Blokh and Zyablov



Inner and outer codes:

- Outer  $(n_a, k_a)$  code  $A$  over  $\mathbb{F}_{q^{k_b}}$ .
- Inner  $(n_b, k_b)$  code  $B$  over  $\mathbb{F}_q$ .

Parameters of  $A \diamond B$ :

$$N = n_a n_b \text{ (symbols from } \mathbb{F}_q \text{)}$$

$$R = R_a R_b$$

$$D \geq d_a d_b$$

## Theorem

Let  $R \in (0, 1)$ , then it is possible to construct a code of rate  $R$  and distance

$$\delta(R) = \max_{R \leq r \leq 1} \left(1 - \frac{R}{r}\right) h^{-1}(1 - r),$$

where  $h^{-1}$  is the inverse of entropy function.

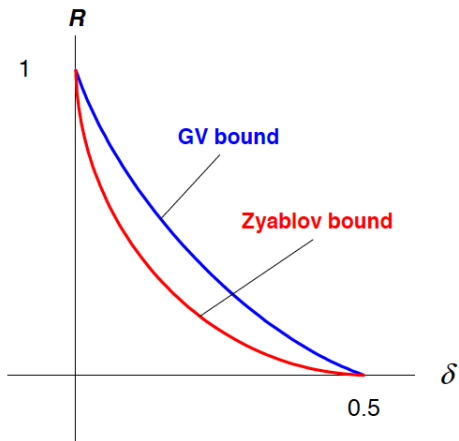
## Proof.

Outer code:  $[n_a, k_a]$  RS code over  $\mathbb{F}_Q$ ,  $Q = q^{k_b}$ ,  $n_a = Q - 1$ .

Inner code:  $[n_b, k_b]$  code over  $\mathbb{F}_q$ , which meets the VG bound.



# Zyablov bound



Decoder all the inner codes, then decode the outer code.

With this decoder we can decode up to  $\frac{d_a d_b}{4}$  errors.

How to improve the number of correctable errors? We want to decode up to  $D/2$ .

Forget for a while about concatenated codes. Assume we are given a code  $\mathcal{C}$  of length  $n$ . We send a codeword  $\mathbf{x}$  and received a sequence  $\mathbf{y}$  with errors. Assume we are also provided with the reliabilities  $\alpha_i$ ,  $i = 1, \dots, n$ .

$$f(\mathbf{x}, \mathbf{y}, \alpha) = \sum_{i=1}^n \alpha_i \phi(x_i, y_i),$$

where  $\phi(x_i, y_i) = +1$  if  $x_i = y_i$  and  $\phi(x_i, y_i) = -1$  otherwise.



$$d(\mathbf{x}, \mathbf{y}, \alpha) = n - f(\mathbf{x}, \mathbf{y}, \alpha).$$

## Theorem

*Forney*

*Assume we are given an  $(n, k, d)$  code  $\mathcal{C}$ . If there exists a codeword  $c$ , such that  $d(c, \mathbf{y}, \alpha) < d$ , then  $c$  will be recovered from one of the decoding trials.*

*In a trial  $i$ ,  $i = 0, \dots, d - 1$ , we erase  $i$  least reliable symbols.*

Let us decode all the inner codes. How to introduce the reliabilities for outer code?

Let us consider the first inner code.  $\mathbf{y}$  is the sequence to be decoded,  $\hat{\mathbf{x}}$  is the decoding result.

$$\alpha_1 = 0, \text{ (decoding failure)}$$

$$\alpha_1 = n_b - d(\mathbf{y}, \hat{\mathbf{x}}), \text{ (otherwise)}$$

This method allows to decode up to  $D/2$ .

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The main difference:

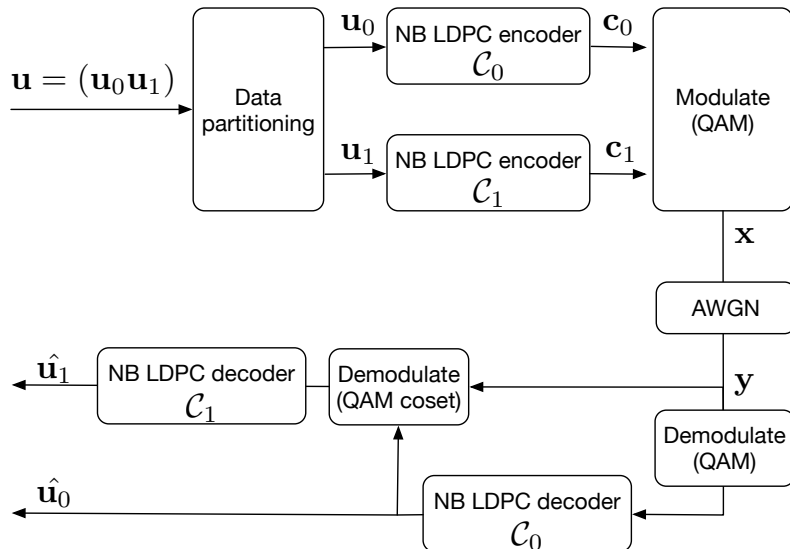
- $\ell$  outer codes  $A_i$ ,  $i = 1, \dots, \ell$ ;
- $\ell$  nested inner codes

$$B_1 \subset B_2 \subset \dots \subset B_\ell.$$

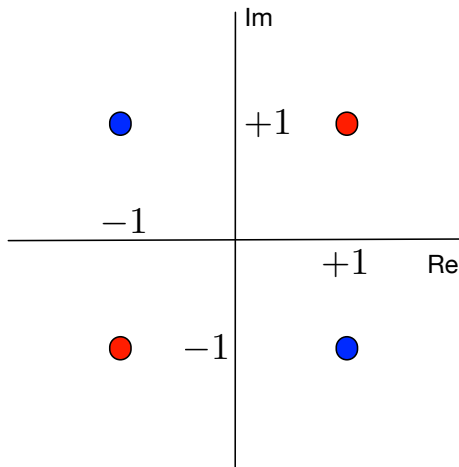
Distance estimate

$$D \geq \min_{1 \leq i \leq \ell} d_b^{(i)} d_a^{(i)}.$$

# Multilevel coding



# Multilevel coding



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# Problem 1

Consider a product code which consists of single parity check codes with lengths  $n_1$  and  $n_2$ . What are the parameters of this code? How to decode it?



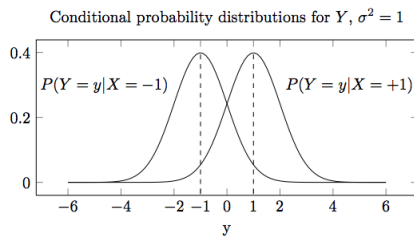
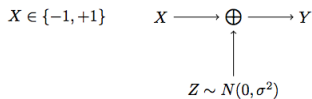
## Problem 2

Consider a repetition code  $R_9$  of length 9. The code can be presented as a concatenation of  $R_3$  and  $R_3$ . We first encode the source stream with  $R_3$  and then encode resulting output with  $R_3$ . Evaluate the probability of error for this decoder and compare it with probability of error for optimal decoder  $R_9$

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In case of *hard decision decoding* demodulator makes a hard decision and provides the decoder with the symbols of the field. In case of *soft decision decoding* demodulator provides the decoder with probability distributions.

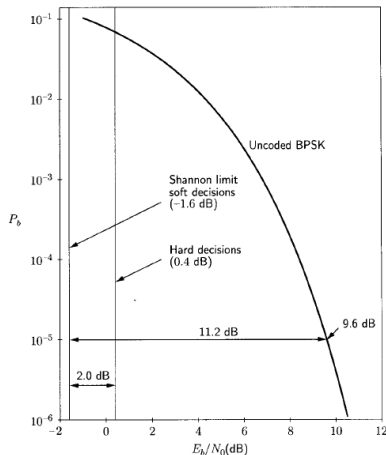
# Example: Binary input AWGN channel



Assume again, that 0 is sent with the level +1 and 0 is sent with the level -1. Let us calculate the log likelihood ratio given  $y$

$$\begin{aligned} LLR &= \log \frac{\Pr(X = +1|y)}{\Pr(X = -1|y)} \\ &= \log \frac{\Pr(y|X = +1) \Pr(X = +1) \Pr(y)}{\Pr(y|X = -1) \Pr(X = -1) \Pr(y)} \\ &= \log \frac{f(y|+1)}{f(y|-1)} \\ &= \frac{(y+1)^2 - (y-1)^2}{2\sigma^2} \\ &= \frac{2}{\sigma^2} y \end{aligned}$$

# Soft vs hard decoding



Consider again BI-AWGN channel. We can use LLR values or make a hard decision (+1 if  $LLR > 0$ , -1 otherwise). The difference is approx. 2 dB!

Thank you for your attention!