

Lecture 14: How to construct LDPC codes

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- 1 Waterfall
- 2 Error floor
- 3 Practical LDPC codes

We know how to decode LDPC codes

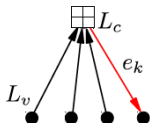
- Messages are **log-likelihood ratios (LLRs)**:

$$L_{ch} = \log \frac{\mathbb{P}(r|v=0)}{\mathbb{P}(r|v=1)}$$

BSC: $r \in \{0, 1\}$

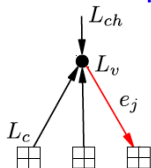
AWGNC: $r \in \mathbb{R}$

➔ **Check node update:**



$$L_c(e_k) = 2 \operatorname{atanh} \left(\prod_{k' \neq k} \tanh \left(\frac{L_v(e_{k'})}{2} \right) \right)$$

➔ **Variable node update:**



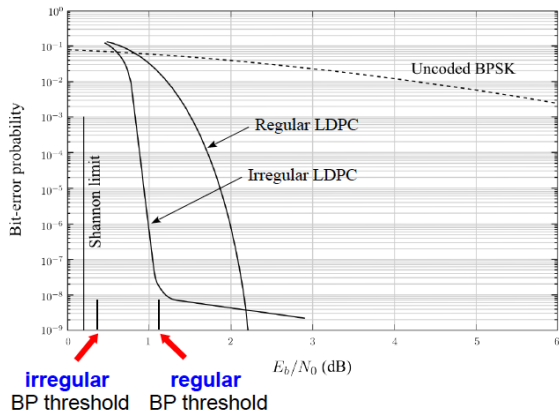
$$L_v(e_j) = L_{ch} + \sum_{j' \neq j} L_c(e_{j'})$$

In what follows the decoding algorithm is fixed.

The decoding algorithm is suboptimal (there are cycles in the Tanner graph).

How to optimize LDPC parity-check matrices for this decoder?

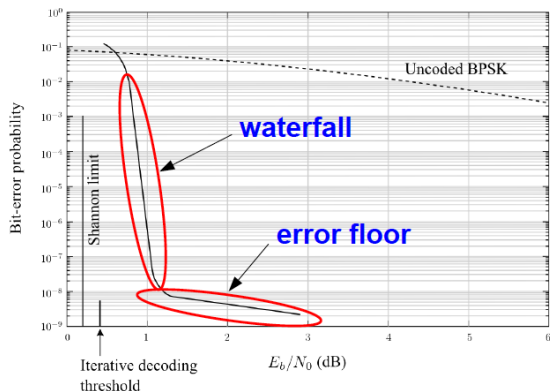
Regular vs Irregular LDPC codes



- **Irregular** LDPC code ensembles can have optimized thresholds **close to capacity**
- **Regular** LDPC code ensembles are asymptotically good and have good graph properties, resulting in a **low error floor**

Waterfall vs error floor

- The **Shannon limit** defines capacity and is a property of the physical channel.



- The **iterative decoding threshold** depends on the code structure and iterative decoding algorithm in use.
- Capacity-approaching LDPC codes typically display a **waterfall** (related to their threshold) and an **error-floor** (related to their graph/distance properties).

How to define the ensemble of irregular LDPC codes?

Degree (weight if we consider PCM) distribution polynomials

$$\Lambda(x) = \sum_{i=1}^{l_{\max}} \Lambda_i x^i \quad (\text{variable nodes})$$

and

$$P(x) = \sum_{i=1}^{r_{\max}} P_i x^i \quad (\text{check nodes}),$$

where Λ_i and P_i are numbers of variable/check nodes of degree i .

Properties:

$$\Lambda(1) = n, P(1) = (1 - R)n, R = 1 - \frac{P(1)}{\Lambda(1)}$$

How to define the ensemble of irregular LDPC codes?

Degree (weight if we consider PCM) distribution polynomials

$$L(x) = \frac{\Lambda(x)}{\Lambda(1)}$$

and

$$Q(x) = \frac{P(x)}{P(1)},$$

where L_i and Q_i are *fractions* of variable/check nodes of degree i .

For the asymptotic analysis it is more convenient to take on an edge perspective. Define:

$$\lambda(x) = \sum_i \lambda_i x^{i-1}$$

and

$$\rho(x) = \sum_i \rho_i x^{i-1},$$

where λ_i and ρ_i are *fractions of edges* that connect to variable (check) nodes of degree i .

Properties:

$$\lambda(x) = \frac{L'(x)}{L'(1)}, \rho(x) = \frac{Q'(x)}{Q'(1)}.$$

Example

Consider $[7, 4]$ Hamming code

$$\mathbf{H}_3 = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

$$\Lambda(x) = 3x + 3x^2 + x^3$$

$$L(x) = \frac{3}{7}x + \frac{3}{7}x^2 + \frac{1}{7}x^3$$

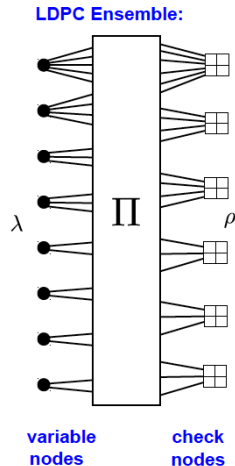
$$\lambda(x) = \frac{1}{4} + \frac{1}{2}x + \frac{1}{4}x^2$$

How to define the ensemble of irregular LDPC codes?

- **Node degrees:** random variables [Luby, et al., '97]

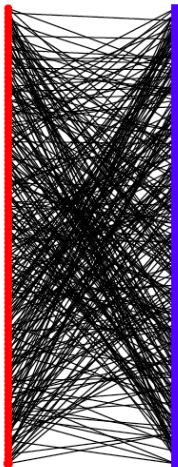
$$\lambda(x) = \sum_k \lambda_k x^{k-1} \quad \leftarrow \text{variable node distribution}$$

$$\rho(x) = \sum_k \lambda_k x^{k-1} \quad \leftarrow \text{check node distribution}$$

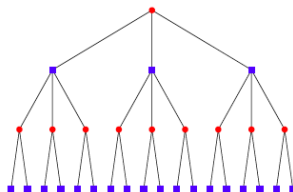


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Computational graph



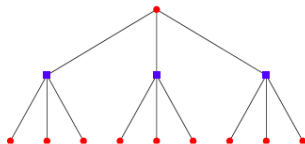
$$\lim_{n \rightarrow \infty} \mathbb{E}[P_b(\mathcal{G}, n, \ell)]$$



probability that computation graph
of fixed depth becomes tree
tends to 1 as n tends to infinity

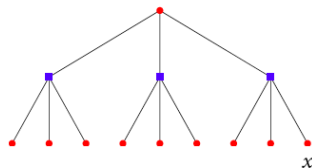
Density evolution, BEC

Luby, Mitzenmacher,
Shokrollahi, Spielman,
and Steman '97



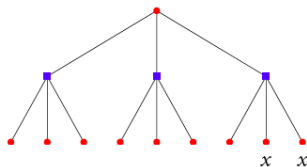
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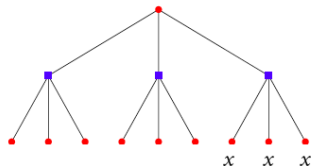
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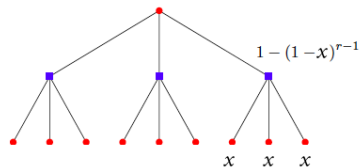
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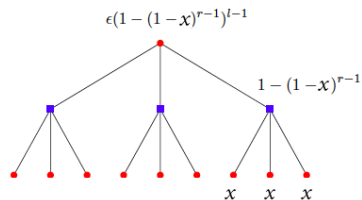
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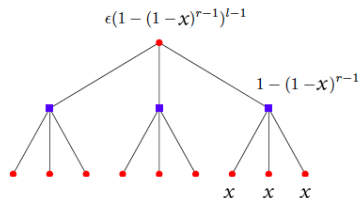
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Density evolution, BEC

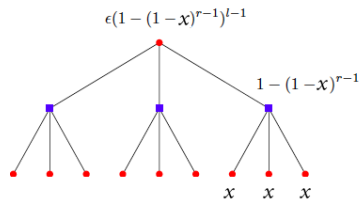
Luby, Mitzenmacher,
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$$x_t = \epsilon(1 - (1 - x_{t-1})^{r-1})^{l-1}$$

Density evolution, BEC

Luby, Mitzenmacher,
Shokrollahi, Spielman,
and Steman '97



$$x_\ell = \epsilon \lambda (1 - \rho (1 - x_{\ell-1}))$$

EXAMPLE 3.52 (DENSITY EVOLUTION FOR $(\lambda(x) = x^2, \rho(x) = x^5)$). For the degree distribution pair $(\lambda(x) = x^2, \rho(x) = x^5)$ we have $x_0 = \epsilon$ and for $\ell \geq 1$, $x_\ell = \epsilon(1 - (1 - x_{\ell-1})^5)^2$. For example, for $\epsilon = 0.4$ the sequence of values of x_ℓ is 0.4, 0.34, 0.306, 0.2818, 0.2617, 0.2438, and so forth. \diamond

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Does this sequence converge to 0 ?

LEMMA 3.53 (MONOTONICITY OF $f(\cdot, \cdot)$). For a given degree distribution pair (λ, ρ) define $f(\epsilon, x) = \epsilon\lambda(1 - \rho(1 - x))$. Then $f(\epsilon, x)$ is increasing in both its arguments for $x, \epsilon \in [0, 1]$.

LEMMA 3.54 (MONOTONICITY WITH RESPECT TO CHANNEL). Let (λ, ρ) be a degree distribution pair and $\epsilon \in [0, 1]$. If $P_{\mathcal{T}_t}^{\text{BP}}(\epsilon) \xrightarrow{\ell \rightarrow \infty} 0$ then $P_{\mathcal{T}_t}^{\text{BP}}(\epsilon') \xrightarrow{\ell \rightarrow \infty} 0$ for all $0 \leq \epsilon' \leq \epsilon$.

phase transition: ϵ^{BP} so that

$$x_t \rightarrow 0 \text{ for } \epsilon < \epsilon^{\text{BP}}$$

$$x_t \rightarrow x_\infty > 0 \text{ for } \epsilon > \epsilon^{\text{BP}}$$

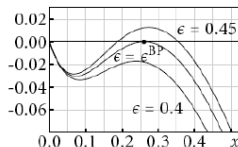
DEFINITION 3.56 (THRESHOLD OF DEGREE DISTRIBUTION PAIR). The *threshold* associated with the degree distribution pair (λ, ρ) , call it $\epsilon^{\text{BP}}(\lambda, \rho)$, is defined as

$$\epsilon^{\text{BP}}(\lambda, \rho) = \sup\{\epsilon \in [0, 1] : P_{\mathcal{T}_\ell(\lambda, \rho)}^{\text{BP}}(\epsilon) \xrightarrow{\ell \rightarrow \infty} 0\}. \quad \nabla$$

EXAMPLE 3.57 (THRESHOLD OF $(\lambda(x) = x^2, \rho = x^5)$). Numerical experiments show that $\epsilon^{\text{BP}}(3, 6) \approx 0.42944$. \diamond

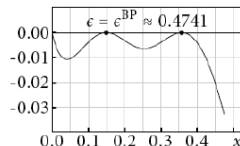
Fixed point characterization, BEC

$$f(\epsilon, x) = \epsilon \lambda(1 - \rho(1 - x)).$$



$$f(\epsilon, x) - x = \epsilon(1 - (1 - x)^5)^2 - x$$

$$(\lambda, \rho) = (x^2, x^5)$$



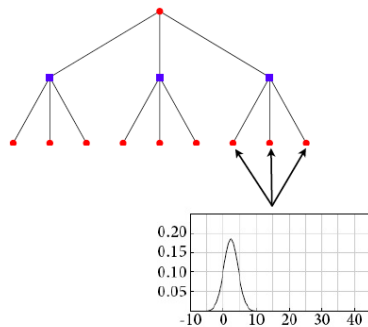
$$\lambda(x) = 0.106257x + 0.486659x^2 \\ + 0.010390x^{10} + 0.396694x^{19}$$

$$\rho(x) = 0.5x^7 + 0.5x^8$$

Density evolution, BEC

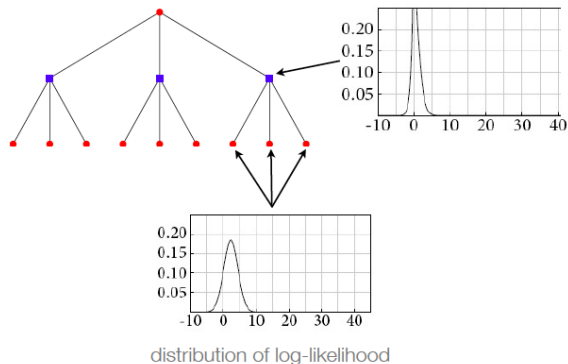
l	r	$r(l, r)$	$\epsilon^{\text{Sha}}(l, r)$	$\epsilon^{\text{BP}}(l, r)$
3	6	$\frac{1}{2}$	$\frac{1}{2} = 0.5$	≈ 0.4294
4	8	$\frac{1}{2}$	$\frac{1}{2} = 0.5$	≈ 0.3834
3	5	$\frac{2}{5}$	$\frac{3}{5} = 0.6$	≈ 0.5176
4	6	$\frac{1}{3}$	$\frac{2}{3} \approx 0.667$	≈ 0.5061
3	4	$\frac{1}{4}$	$\frac{3}{4} = 0.75$	≈ 0.6474

Density evolution, AWGNC

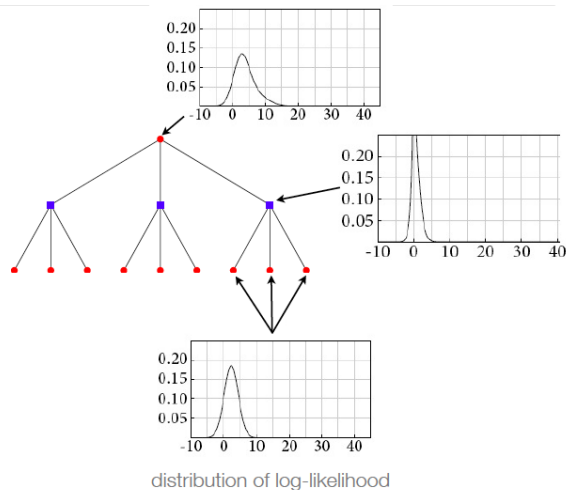


distribution of log-likelihood

Density evolution, AWGNC



Density evolution, AWGNC

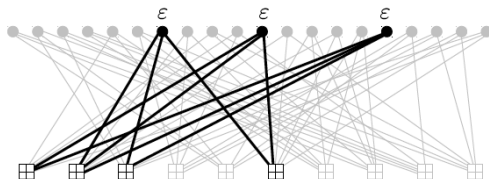


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- ➔ Error events in the **waterfall** typically result from **large** decoding failures (a large number of symbols decoded incorrectly)
- ➔ Error events in the **error floor** typically result from **small** decoding failures (only a few symbols decoded incorrectly)
- The minimum distance is a **code property**; under ML decoding, a large minimum distance results in a low error floor
- Under sub-optimal **iterative BP decoding**, the error floor is also affected by small failures arising due to **weaknesses in the Tanner graph**
- ➔ These graphical weaknesses have been studied extensively for a variety of channels and are known collectively as **pseudocodewords** [Frey et al '98], **stopping sets** [Di et al '02], **near-codewords** [MacKay & Postol '03], **trapping sets** [Richardson '03], **elementary trapping sets** [Laendner & Milenkovic '05], and **absorbing sets** [Dolecek et al '07].

- On the BEC, the cause of failures is **stopping sets** [Di, et al. '02].

Definition: A stopping set is a subset S of the variable nodes such that all neighboring check nodes are connected to S at least twice

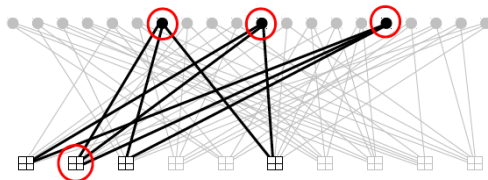


Example stopping set in a (3,6)-regular Tanner graph

- If the highlighted nodes are all erasures then the BP decoder will fail to correct them
- ➡ Message-passing decoding is suboptimal! The MAP decoder fails if and only if the set of erasures contains the set of all non-zero positions in the codeword.

- On the AWGNC, failures are attributed to **trapping sets** [Richardson '03].

Definition: An (a,b) general trapping set $\tau_{a,b}$ of a bipartite graph is a set of a variable nodes which induce a subgraph with exactly b odd-degree check nodes.



A $(3,1)$ trapping set in a $(3,6)$ -regular Tanner graph

- Low connectivity outside the set causes the iterative decoder to become trapped and fail to correct the symbols in the set
- Certain types of trapping sets with small a and b , such as **elementary trapping sets** and **absorbing sets**, are known to be particularly harmful

Progressive-edge grows algorithm

Input: $L(x)$

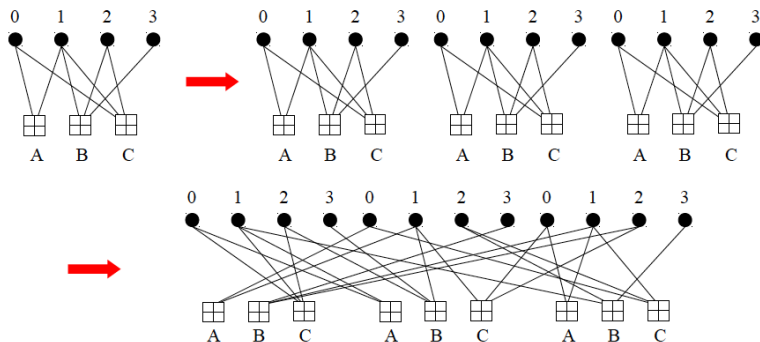
Output: PCM with “big” girth

Main idea: greedy algorithm, add edges to the graph in a sequential manner. Each time choose the connection, that maximizes the girth.

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Protograph-based LDPC codes

- Codes can be constructed from a **protograph** using a **copy-and-permute** operation



[Tho05] J. Thorpe, "Low-Density Parity-Check (LDPC) codes constructed from protographs", *Jet Propulsion Laboratory INP Progress Report*, Vol. 42-154 Aug. 2003.

Protograph-based LDPC codes

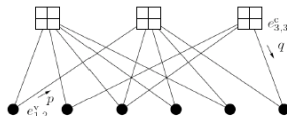
- **Compact representation** of a permutation matrix based ensemble by a base matrix:

$$\mathbf{H} = \underbrace{\begin{bmatrix} \Pi_{1,1} & \Pi_{1,2} & \Pi_{1,3} & \Pi_{1,4} & \Pi_{1,5} & 0 \\ \Pi_{2,1} & 0 & \Pi_{2,3} & \Pi_{2,4} & \Pi_{2,5} & \Pi_{2,6} \\ 0 & \Pi_{3,2} & \Pi_{3,3} & 0 & 0 & \Pi_{3,6} \end{bmatrix}}$$



$$\mathbf{B} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

base matrix



protograph

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Protograph-based LDPC codes

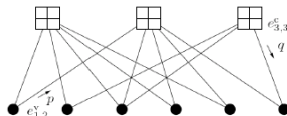
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base matrix



protograph

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Replace permutation matrices with circulant matrices (usually of weight 1).

Why this code is quasi-cyclic?

Thank you for your attention!