# Report

July 2, 2021

# 1 Navigation

In this notebook, you will learn how to use the Unity ML-Agents environment.

#### 1.1 Start the Environment

We begin by importing some necessary packages. If the code cell below returns an error, please revisit the project instructions to double-check that you have installed Unity ML-Agents and NumPy.

```
[1]: from unityagents import UnityEnvironment import numpy as np import torch import torch.nn as nn import torch.optim as optim import torch.nn.functional as F import random from collections import namedtuple, deque import matplotlib.pyplot as plt %matplotlib inline
```

Next, we will start the environment! *Before running the code cell below*, change the file\_name parameter to match the location of the Unity environment that you downloaded.

- Mac: "path/to/Banana.app"
- Windows (x86): "path/to/Banana\_Windows\_x86/Banana.exe"
- Windows (x86\_64): "path/to/Banana\_Windows\_x86\_64/Banana.exe"
- Linux (x86): "path/to/Banana\_Linux/Banana.x86"
- Linux (x86 64): "path/to/Banana\_Linux/Banana.x86\_64"
- Linux (x86, headless): "path/to/Banana\_Linux\_NoVis/Banana.x86"
- Linux (x86\_64, headless): "path/to/Banana\_Linux\_NoVis/Banana.x86\_64"

For instance, if you are using a Mac, then you downloaded Banana.app. If this file is in the same folder as the notebook, then the line below should appear as follows:

```
env = UnityEnvironment(file_name="Banana.app")
```

```
[2]: env = UnityEnvironment(file_name="Banana.app")
    INFO:unityagents:
    'Academy' started successfully!
    Unity Academy name: Academy
            Number of Brains: 1
            Number of External Brains : 1
            Lesson number: 0
            Reset Parameters :
    Unity brain name: BananaBrain
            Number of Visual Observations (per agent): 0
            Vector Observation space type: continuous
            Vector Observation space size (per agent): 37
            Number of stacked Vector Observation: 1
            Vector Action space type: discrete
            Vector Action space size (per agent): 4
            Vector Action descriptions: , , ,
```

Environments contain *brains* which are responsible for deciding the actions of their associated agents. Here we check for the first brain available, and set it as the default brain we will be controlling from Python.

```
[3]: # get the default brain
brain_name = env.brain_names[0]
brain = env.brains[brain_name]
```

#### 1.2 Examine the State and Action Spaces

The simulation contains a single agent that navigates a large environment. At each time step, it has four actions at its disposal: - 0 - walk forward - 1 - walk backward - 2 - turn left - 3 - turn right

The state space has 37 dimensions and contains the agent's velocity, along with ray-based perception of objects around agent's forward direction. A reward of +1 is provided for collecting a yellow banana, and a reward of -1 is provided for collecting a blue banana.

Run the code cell below to print some information about the environment.

```
[4]: # reset the environment
     env_info = env.reset(train_mode=True)[brain_name]
     # number of agents in the environment
     print('Number of agents:', len(env_info.agents))
     # number of actions
     action_size = brain.vector_action_space_size
     print('Number of actions:', action_size)
     # examine the state space
     state = env_info.vector_observations[0]
     print('States look like:', state)
     state_size = len(state)
     print('States have length:', state_size)
    Number of agents: 1
    Number of actions: 4
    States look like: [1.
                                                                    0.84408134 0.
                                   0.
                                              0.
                                                         0.
     0.
                1.
                                       0.0748472 0.
                           0.
                                                             1.
     0.
                0.
                           0.25755
                                       1.
                                                  0.
                                                             0.
                0.74177343 0.
                                       1.
                                                  0.
                                                             0.
     0.25854847 0.
                                       1.
                                                             0.09355672
                           0.
                                                  0.
                                       0.
                                                  0.31969345 0.
     0.
                1.
                           0.
     0.
               ]
    States have length: 37
```

#### 1.3 Take Random Actions in the Environment

In the next code cell, you will learn how to use the Python API to control the agent and receive feedback from the environment.

Once this cell is executed, you will watch the agent's performance, if it selects an action (uniformly) at random with each time step. A window should pop up that allows you to observe the agent, as it moves through the environment.

Of course, as part of the project, you'll have to change the code so that the agent is able to use its experience to gradually choose better actions when interacting with the environment!

```
[5]: env_info = env.reset(train_mode=False)[brain_name] # reset the environment
     state = env_info.vector_observations[0]
                                                          # get the current state
     score = 0
                                                          # initialize the score
     while True:
         action = np.random.randint(action_size)
                                                          # select an action
         # send the action to the environment
         env_info = env.step(action)[brain_name]
         next_state = env_info.vector_observations[0]
                                                          # get the next state
         reward = env_info.rewards[0]
                                                          # get the reward
         done = env_info.local_done[0]
                                                          # see if episode has finished
                                                          # update the score
         score += reward
         # roll over the state to next time step
         state = next_state
         if done:
                                                          # exit loop if episode⊔
      \hookrightarrow finished
             break
     print("Score: {}".format(score))
```

Score: 0.0

## 1.4 Define a neural network architecture that maps states to action values

Now it's your turn to train the agent to solve the environment! When training the environment, set train\_mode=True, so that the line for resetting the environment looks like the following:

```
env_info = env.reset(train_mode=True)[brain_name]
```

#### 1.4.1 Deep Q-Network (DQN)

Illustration of DQN Architecture

```
[6]: class QNetwork(nn.Module):
         """Actor (Policy) Model."""
         def __init__(self, state_size, action_size,
                      seed, fc1_units=64, fc2_units=64):
             """Initialize parameters and build model.
             Params
             _____
                 state_size (int): Dimension of each state
                 action_size (int): Dimension of each action
                 seed (int): Random seed
                 fc1_units (int): Number of nodes in first hidden layer
                 fc2_units (int): Number of nodes in second hidden layer
             super(QNetwork, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_size, fc1_units)
             self.fc2 = nn.Linear(fc1_units, fc2_units)
             self.fc3 = nn.Linear(fc2_units, action_size)
         def forward(self, state):
             """Build a network that maps state -> action values."""
             x = F.relu(self.fc1(state))
             x = F.relu(self.fc2(x))
             return self.fc3(x)
```

#### 1.4.2 Fixed-size buffer to store experience tuples

```
[7]: device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
display(device)
device(type='cpu')
```

```
[8]: class ReplayBuffer:
         """Fixed-size buffer to store experience tuples."""
         def __init__(self, action_size, buffer_size, batch_size, seed):
             """Initialize a ReplayBuffer object.
             Params
             _____
                 action_size (int): dimension of each action
                 buffer_size (int): maximum size of buffer
                 batch_size (int): size of each training batch
                 seed (int): random seed
             self.action_size = action_size
             self.memory = deque(maxlen=buffer_size)
             self.batch_size = batch_size
             self.experience = namedtuple("Experience",
                                          field_names=["state", "action", "reward",
                                                        "next_state", "done"])
             self.seed = random.seed(seed)
         def add(self, state, action, reward, next_state, done):
             """Add a new experience to memory."""
             e = self.experience(state, action, reward, next_state, done)
             self.memory.append(e)
         def sample(self):
             """Randomly sample a batch of experiences from memory."""
             experiences = random.sample(self.memory, k=self.batch_size)
             states = torch.from_numpy(
                 np.vstack([e.state for e in experiences if e is not None]))\
                 .float().to(device)
             actions = torch.from_numpy(
                 np.vstack([e.action for e in experiences if e is not None]))\
                 .long().to(device)
             rewards = torch.from_numpy(
                 np.vstack([e.reward for e in experiences if e is not None]))\
                 .float().to(device)
             next_states = torch.from_numpy(
                 np.vstack([e.next_state for e in experiences if e is not None]))\
                 .float().to(device)
             dones = torch.from_numpy(
                 np.vstack([e.done for e in experiences if e is not None])\
                 .astype(np.uint8)).float().to(device)
             return (states, actions, rewards, next_states, dones)
         def __len__(self):
             """Return the current size of internal memory."""
             return len(self.memory)
```

#### 1.4.3 Define the Agent

#### The Agent Parameters

- state\_size (int): Number of parameters in the environment state
- action\_size (int): Number of actions
- seed (int): random seed
- gamma (float): discount factor

```
[9]: class Agent():
         """Interacts with and learns from the environment."""
         def __init__(self, state_size, action_size, seed):
             """Initialize an Agent object.
             Params
             _____
                 state_size (int): dimension of each state
                 action_size (int): dimension of each action
                 seed (int): random seed
             self.state_size = state_size
             self.action_size = action_size
             self.seed = random.seed(seed)
             # Q-Network
             self.qnetwork_local = QNetwork(state_size, action_size, seed).to(device)
             self.qnetwork_target = QNetwork(state_size, action_size, seed).to(device)
             self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
             # Replay memory
             self.memory = ReplayBuffer(action_size, BUFFER_SIZE, BATCH_SIZE, seed)
             # Initialize time step (for updating every UPDATE_EVERY steps)
             self.t_step = 0
         def step(self, state, action, reward, next_state, done):
             # Save experience in replay memory
             self.memory.add(state, action, reward, next_state, done)
             # Learn every UPDATE_EVERY time steps.
             self.t_step = (self.t_step + 1) % UPDATE_EVERY
             if self.t_step == 0:
                 # If enough samples are available in memory,
                 # get random subset and learn
                 if len(self.memory) > BATCH_SIZE:
                     experiences = self.memory.sample()
                     self.learn(experiences, GAMMA)
```

```
def act(self, state, eps=0.):
    """Returns actions for given state as per current policy.
   Params
    -----
       state (array_like): current state
        eps (float): epsilon, for epsilon-greedy action selection
   state = torch.from_numpy(state).float().unsqueeze(0).to(device)
   self.qnetwork_local.eval()
   with torch.no_grad():
       action_values = self.qnetwork_local(state)
   self.qnetwork_local.train()
    # Epsilon-greedy action selection
   if random.random() > eps:
       return np.argmax(action_values.cpu().data.numpy())
       return random.choice(np.arange(self.action_size))
def learn(self, experiences, gamma):
    """Update value parameters using given batch of experience tuples.
   Params
    _____
        experiences (Tuple[torch.Variable]):
           tuple of (s, a, r, s', done) tuples
       gamma (float): discount factor
   states, actions, rewards, next_states, dones = experiences
    # Get max predicted Q values (for next states) from target model
   Q_targets_next = self.qnetwork_target(next_states)\
                           .detach().max(1)[0].unsqueeze(1)
    # Compute Q targets for current states
   Q_targets = rewards + (gamma * Q_targets_next * (1 - dones))
    # Get expected Q values from local model
   Q_expected = self.qnetwork_local(states).gather(1, actions)
    # Compute loss
   loss = F.mse_loss(Q_expected, Q_targets)
    # Minimize the loss
   self.optimizer.zero_grad()
   loss.backward()
   self.optimizer.step()
    self.soft_update(self.qnetwork_local, self.qnetwork_target, TAU)
```

### 1.4.4 Hyperparameters

```
[10]: BUFFER_SIZE = int(1e5) # replay buffer size
BATCH_SIZE = 64 # minibatch size
GAMMA = 0.99 # discount factor
TAU = 1e-3 # for soft update of target parameters
LR = 5e-4 # learning rate
UPDATE_EVERY = 4 # how often to update the network
```

### 1.5 Train the Agent with DQN

#### 1.5.1 The Parameters

- **n\_episodes** (int): Maximum number of training episodes
- max\_t (int): Maximum number of timesteps per episode
- eps\_start (float): starting value of epsilon, for epsilon-greedy action selection
- eps\_end (float) : minimum value of epsilon
- eps\_decay (float): multiplicative factor (per episode) for decreasing epsilon

```
11 11 11
                                   # list containing scores from each episode
scores = []
scores_window = deque(maxlen=100) # last 100 scores
eps = eps_start
                                   # initialize epsilon
for i_episode in range(1, n_episodes+1):
    env_info = env.reset(train_mode=True)[brain_name] # reset the environment
    state = env_info.vector_observations[0]
                                                       # get the next state
    score = 0 # initialize the score
    for t in range(max_t):
        action = agent.act(state, eps)
                                                     # get action
        # send the action to the environment
        env_info = env.step(action)[brain_name]
        next_state = env_info.vector_observations[0] # get the next state
        reward = env_info.rewards[0]
                                                      # get the reward
        # see if episode has finished
        done = env_info.local_done[0]
        agent.step(state, action, reward, next_state, done)
        state = next_state
        score += reward
        if done or t > max_t:
            break
    scores_window.append(score)
                                     # save most recent score
    scores.append(score)
                                      # save most recent score
    eps = max(eps_end, eps_decay*eps) # decrease epsilon
    print('\rEpisode {}\tAverage Score: {:.2f}'.\
          format(i_episode, np.mean(scores_window)), end="")
    if i_episode % 100 == 0:
        print('\rEpisode {}\tAverage Score: {:.2f}'.\
              format(i_episode, np.mean(scores_window)))
    if np.mean(scores_window)>=13.0:
        print('\nEnv. solved in {:d} episodes!\tAverage Score: {:.2f}'.\
              format(i_episode-100, np.mean(scores_window)))
        torch.save(agent.qnetwork_local.state_dict(), 'checkpoint.pth')
        break
return scores
```

```
[12]: env_info = env.reset(train_mode=False)[brain_name] # reset the environment
[13]: state_size = len(env_info.vector_observations[0])
      action_size = brain.vector_action_space_size # number of actions
      agent = Agent(state_size=state_size, action_size=action_size, seed=0)
[14]: scores = dqn(n_episodes = 4000)
     Episode 100
                      Average Score: 1.29
     Episode 200
                      Average Score: 4.58
     Episode 300
                      Average Score: 8.43
     Episode 400
                      Average Score: 11.29
     Episode 476
                      Average Score: 13.03
     Env. solved in 376 episodes!
                                      Average Score: 13.03
[15]: # plot the scores
      fig = plt.figure(figsize=(10,5))
      plt.plot(np.arange(len(scores)), scores)
      plt.ylabel('Score')
      plt.xlabel('Episode #')
      plt.grid()
      plt.show()
            20
            15
          20 TO
             5
                               100
                                            200
                                                          300
                                                                       400
                                               Episode #
```

When finished, you can close the environment.

```
[16]: env.close()
```

# 1.6 Save model weights

```
[17]: torch.save(agent.qnetwork_local.state_dict(), 'model.pt')
```

# 1.7 Ideas for Future Work

Implement a double DQN (https://arxiv.org/abs/1509.06461), a dueling DQN (https://arxiv.org/abs/1511.06581), and/or prioritized experience replay (https://arxiv.org/abs/1511.05952)