Tennis

August 25, 2021

1 Collaboration and Competition

In this notebook, you will learn how to use the Unity ML-Agents environment for the third project of the Deep Reinforcement Learning Nanodegree program.

1.1 Start the Environment

We begin by importing the necessary packages. If the code cell below returns an error, please revisit the project instructions to double-check that you have installed Unity ML-Agents and NumPy.

```
[1]: from unityagents import UnityEnvironment import numpy as np import copy import random from collections import deque, namedtuple from tqdm import trange

import torch import torch.nn as nn import torch.optim as optim import torch.nn.functional as F
```

```
[2]: from platform import python_version
print('Python version :', python_version())
print('PyTorch version:', torch.__version__)
```

Python version: 3.6.3 PyTorch version: 1.8.1

Next, we will start the environment! *Before running the code cell below*, change the file_name parameter to match the location of the Unity environment that you downloaded.

- Mac: "path/to/Tennis.app"
- Windows (x86): "path/to/Tennis_Windows_x86/Tennis.exe"
- Windows (x86_64): "path/to/Tennis_Windows_x86_64/Tennis.exe"
- Linux (x86): "path/to/Tennis_Linux/Tennis.x86"
- Linux (x86_64): "path/to/Tennis_Linux/Tennis.x86_64"

- Linux (x86, headless): "path/to/Tennis_Linux_NoVis/Tennis.x86"
- Linux (x86_64, headless): "path/to/Tennis_Linux_NoVis/Tennis.x86_64"

For instance, if you are using a Mac, then you downloaded Tennis.app. If this file is in the same folder as the notebook, then the line below should appear as follows:

```
env = UnityEnvironment(file_name="Tennis.app")
```

```
[3]: env = UnityEnvironment(file_name="Tennis.app")
    INFO:unityagents:
    'Academy' started successfully!
    Unity Academy name: Academy
            Number of Brains: 1
            Number of External Brains : 1
            Lesson number: 0
            Reset Parameters :
    Unity brain name: TennisBrain
            Number of Visual Observations (per agent): 0
            Vector Observation space type: continuous
            Vector Observation space size (per agent): 8
            Number of stacked Vector Observation: 3
            Vector Action space type: continuous
            Vector Action space size (per agent): 2
            Vector Action descriptions: ,
```

Environments contain *brains* which are responsible for deciding the actions of their associated agents. Here we check for the first brain available, and set it as the default brain we will be controlling from Python.

```
[4]: # get the default brain
brain_name = env.brain_names[0]
brain = env.brains[brain_name]
```

1.2 Examine the State and Action Spaces

In this environment, two agents control rackets to bounce a ball over a net. If an agent hits the ball over the net, it receives a reward of +0.1. If an agent lets a ball hit the ground or hits the ball out of bounds, it receives a reward of +0.01. Thus, the goal of each agent is to keep the ball in play.

The observation space consists of 8 variables corresponding to the position and velocity of the ball and racket. Two continuous actions are available, corresponding to movement toward (or away from) the net, and jumping.

Run the code cell below to print some information about the environment.

```
[5]: # reset the environment
     env_info = env.reset(train_mode=True)[brain_name]
     # number of agents
     num_agents = len(env_info.agents)
     print('Number of agents:', num_agents)
     # size of each action
     action_size = brain.vector_action_space_size
     print('Size of each action:', action_size)
     # examine the state space
     states = env_info.vector_observations
     state_size = states.shape[1]
     print('There are {} agents. Each observes a state with length: {}'\
           .format(states.shape[0], state_size))
     print('The state for the first agent looks like:', states[0])
    Number of agents: 2
    Size of each action: 2
    There are 2 agents. Each observes a state with length: 24
    The state for the first agent looks like: [ 0.
                                                                          0.
    0.
                0.
                            0.
      0.
                                                       0.
                  0.
                               0.
                                           0.
                                                                   0.
      0.
                  0.
                                                      -6.65278625 -1.5
                               0.
                                           0.
     -0.
                  0.
                               6.83172083 6.
                                                      -0.
                                                                   0.
                                                                              ]
```

1.3 Take Random Actions in the Environment

In the next code cell, you will learn how to use the Python API to control the agents and receive feedback from the environment.

Once this cell is executed, you will watch the agents' performance, if they select actions at random with each time step. A window should pop up that allows you to observe the agents.

Of course, as part of the project, you'll have to change the code so that the agents are able to use their experiences to gradually choose better actions when interacting with the environment!

```
[6]: # play game for 5 episodes
     for i in range(1, 6):
         # reset the environment
         env_info = env.reset(train_mode=False)[brain_name]
         # get the current state (for each agent)
         states = env_info.vector_observations
         # initialize the score (for each agent)
         scores = np.zeros(num_agents)
         while True:
             # select an action (for each agent)
             actions = np.random.randn(num_agents, action_size)
             # all actions between -1 and 1
             actions = np.clip(actions, -1, 1)
             # send all actions to the environment
             env_info = env.step(actions)[brain_name]
             # get next state (for each agent)
             next_states = env_info.vector_observations
             # get reward (for each agent)
             rewards = env_info.rewards
             # see if episode finished
             dones = env_info.local_done
             # update the score (for each agent)
             scores += env_info.rewards
             # roll over states to next time step
             states = next_states
             # exit loop if episode finished
             if np.any(dones):
                 break
         print('Score (max over agents) from episode {}: {}'\
               .format(i, np.max(scores)))
```

```
Score (max over agents) from episode 1: 0.10000000149011612
Score (max over agents) from episode 2: 0.0
Score (max over agents) from episode 3: 0.0
Score (max over agents) from episode 4: 0.0
Score (max over agents) from episode 5: 0.0
```

1.4 Define a neural network architecture

Now it's your turn to train your own agent to solve the environment! When training the environment, set train_mode=True, so that the line for resetting the environment looks like the following:

```
env_info = env.reset(train_mode=True)[brain_name]
```

1.4.1 Actor (Policy) Model

Maps states to action values. The actor network has the following structure:

• batch normalization for the inputs

- fully connected layer (state size x 128)
- RELU-activation
- fully connected layer (128 x 64)
- RELU-activation
- fully connected layer (64 x action size)

```
[7]: def hidden_init(layer):
    '''Hidden layers initialization'''
    fan_in = layer.weight.data.size()[0]
    lim = 1. / np.sqrt(fan_in)
    return (-lim, lim)
```

```
[8]: class Actor(nn.Module):
         def __init__(self, state_size, action_size, seed,
                      fc1_units = 128, fc2_units = 64):
             Neural network parameters:
                 state_size (int) : # parameters characterizing the environment state
                 action_size (int): # possible actions
                 seed (int)
                              : random seed
                 fc1_units (int) : # nodes in the first hidden layer
                 fc2_units (int) : # nodes in the second hidden layer
             super(Actor, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_size, fc1_units)
             self.fc2 = nn.Linear(fc1_units, fc2_units)
             self.fc3 = nn.Linear(fc2_units, action_size)
             self.bn1 = nn.BatchNorm1d(state_size)
             self.reset_parameters()
         def reset_parameters(self):
             self.fc1.weight.data.uniform_(*hidden_init(self.fc1))
             self.fc2.weight.data.uniform_(*hidden_init(self.fc2))
             self.fc3.weight.data.uniform_(-3e-3, 3e-3)
         def forward(self, state):
            x = self.bn1(state)
            x = F.relu(self.fc1(x))
             x = F.relu(self.fc2(x))
             return torch.tanh(self.fc3(x))
```

1.4.2 Critic (Value) Model

Maps (state, action) pairs to Q-values. The critic network has the following structure: * batch normalization for the inputs * fully connected layer (state size \times 128) * RELU-activation * concatenate the action * fully connected layer (128 + action size \times 64) * RELU-activation * fully connected layer (64 \times 1)

```
[9]: class Critic(nn.Module):
         def __init__(self, state_size, action_size, seed,
                      fcs1_units = 128, fc2_units = 64):
             Neural network parameters:
                 state_size (int) : # parameters characterizing the environment state
                 action_size (int): # possible actions
                 seed (int)
                                : random seed
                 fc1_units (int) : # nodes in the first hidden layer
                 fc2_units (int) : # nodes in the second hidden layer
             super(Critic, self).__init__()
             self.seed = torch.manual_seed(seed)
             self.fcs1 = nn.Linear(state_size, fcs1_units)
             self.fc2 = nn.Linear(fcs1_units+action_size, fc2_units)
             self.fc3 = nn.Linear(fc2_units, 1)
             self.bn1 = nn.BatchNorm1d(state_size)
             self.reset_parameters()
         def reset_parameters(self):
             self.fcs1.weight.data.uniform_(*hidden_init(self.fcs1))
             self.fc2.weight.data.uniform_(*hidden_init(self.fc2))
             self.fc3.weight.data.uniform_(-3e-3, 3e-3)
         def forward(self, state, action):
             x = self.bn1(state)
             xs = F.relu(self.fcs1(x))
             x = torch.cat((xs, action), dim=1)
             x = F.relu(self.fc2(x))
             return self.fc3(x)
```

1.5 Define the Agent

1.5.1 Fixed-size buffer to store experience tuples

```
[10]: device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
    display(device)

device(type='cpu')
```

```
[11]: class ReplayBuffer:
          '''Fixed-size buffer to store experience tuples'''
          def __init__(self, action_size, buffer_size, batch_size, seed):
              '''Initialize a ReplayBuffer object
              Params
              -----
                  buffer_size (int): maximum size of buffer
                  batch_size (int) : size of each training batch
              self.action_size = action_size
              self.memory = deque(maxlen=buffer_size) # internal memory (deque)
              self.batch_size = batch_size
              self.experience = namedtuple("Experience",
                                           field_names=["state", "action", "reward",
                                                        "next_state", "done"])
              self.seed = random.seed(seed)
          def add(self, state, action, reward, next_state, done):
              '''Add a new experience to memory'''
              e = self.experience(state, action, reward, next_state, done)
              self.memory.append(e)
          def sample(self):
              '''Randomly sample a batch of experiences from memory'''
              experiences = random.sample(self.memory, k=self.batch_size)
              states = torch.from_numpy(
                  np.vstack([e.state for e in experiences if e is not None]))\
                  .float().to(device)
              actions = torch.from_numpy(
                  np.vstack([e.action for e in experiences if e is not None]))\
                  .float().to(device)
              rewards = torch.from_numpy(
                  np.vstack([e.reward for e in experiences if e is not None]))\
                  .float().to(device)
              next_states = torch.from_numpy(
                  np.vstack([e.next_state for e in experiences if e is not None]))\
                  .float().to(device)
              dones = torch.from_numpy(
                  np.vstack([e.done for e in experiences if e is not None])\
                  .astype(np.uint8)).float().to(device)
              return (states, actions, rewards, next_states, dones)
          def __len__(self):
              '''Return the current size of internal memory'''
```

state_size : environmen state size

1.5.2 Algorithm

The algorithm implementation was adapted from the course repository:

https://github.com/udacity/deep-reinforcement-learning/tree/master/ddpg-pendulum

Agent parameters:

```
action_size : action size
     num_agents : # learning agents
     andom_seed : random seed number (optional)
     batch_size : minibatch size for neural network training
     lr_actor : actor neural network learning rate
     lr_critic : critic neural network learning rate
     noise_theta : parameter theta for Ornstein-Uhlenbeck noise process
     noise_sigma : parameter sigma for Ornstein-Uhlenbeck noise process
     actor_fc1 : # nodes in first hidden layer for actor
     actor_fc2 : # nodes in second hidden layer for actor
     critic_fc1 : # nodes in first hidden ayer for critic
     critic_fc2 : # nodes in second hidden layer for critic
     update_every: # time steps between each updating neural networks
     num_updates : # times to update the networks at every update_every interval
     buffer_size : buffer size for experience replay
[12]: WEIGHT_DECAY = 0 # L2 weight decay
      class Agent():
          '''Interacts with and learns from the environment'''
          def __init__(self, state_size, action_size, num_agents, random_seed = 0,
                       batch_size = 128, lr_actor = 1e-4, lr_critic = 1e-3,
                       noise_theta = 0.15, noise_sigma = 0.2,
                       actor_fc1 = 128, actor_fc2 = 128,
                       critic_fc1 = 128, critic_fc2 = 128,
                       update_every = 1, num_updates = 1, buffer_size = int(2e6)):
                  state_size (int) : state size
                  action_size (int): action size
                  num_agents (int) : number of agents
                  random_seed (int): random seed
                  batch_size (int) : minibatch size
                  lr_actor (float) : the actor network learning rate
                  lr_critic (float): the critic network learning rate
                  noise_theta (float): theta for Ornstein-Uhlenbeck noise process
                  noise_sigma (float): simga for Ornstein-Uhlenbeck noise process
                  actor_fc1 = 128 : # nodes in first hidden layer for actor
```

```
actor_fc2 = 128 : # nodes in second hidden layer for actor
        critic_fc1 = 128 : # nodes in first hidden ayer for critic
        critic_fc2 = 128 : # nodes in second hidden layer for critic
        update_every = 1 : # time steps between each
                             updating neural networks
        num\_updates = 1
        buffer_size = int(2e6): buffer size for experience replay
    self.state_size = state_size
    self.action_size = action_size
    self.num_agents = num_agents
    self.seed = random.seed(random_seed)
    self.batch_size = batch_size
    self.update_every = update_every
    self.num_updates = num_updates
    self.buffer_learn_size = min(batch_size * 10, buffer_size)
    # actor Network (Target Network)
    self.actor_local = Actor(state_size, action_size, random_seed,
                              actor_fc1, actor_fc2).to(device)
    self.actor_target = Actor(state_size, action_size, random_seed,
                              actor_fc1, actor_fc2).to(device)
    self.actor_optimizer = optim.Adam(self.actor_local.parameters(),
                                      lr=lr_actor)
    # critic Network (Target Network)
    self.critic_local = Critic(state_size, action_size, random_seed,
                                critic_fc1, critic_fc2).to(device)
    self.critic_target = Critic(state_size, action_size, random_seed,
                                critic_fc1, critic_fc2).to(device)
    self.critic_optimizer = optim.Adam(self.critic_local.parameters(),
                                       lr=lr_critic,
                                       weight_decay=WEIGHT_DECAY)
    # noise process
    self.noise = OUNoise((num_agents, action_size), random_seed,
                         theta=noise_theta, sigma=noise_sigma)
    # replay memory
    self.memory = ReplayBuffer(action_size, buffer_size,
                               self.batch_size, random_seed)
    # initialize the time step counter
    # for updating each UPDATE_EVERY number of steps
    self.t_step = 0
def step(self, states, actions, rewards, next_states,
```

```
dones, gamma = 0.96, tau = 0.001):
    '''Save experience in replay memory,
    and use random sample from buffer to learn'''
    # Save experience / reward
    for state, action, reward, next_state, done in zip(states, actions,
                                                        rewards, next_states,
                                                        dones):
        self.memory.add(state, action, reward, next_state, done)
    # Learn every update_every time steps.
    self.t_step = (self.t_step + 1) % self.update_every
    if self.t_step == 0:
        # If enough samples are available in memory,
        # get a random subset from the
        # saved experiences (weighted if prior_replay = True) and learn
        if len(self.memory) > self.buffer_learn_size:
            for _ in range(self.num_updates):
                experiences = self.memory.sample()
                self.learn(experiences, gamma, tau)
def act(self, state, add_noise=True, noise_scale=1.0):
    '''Returns actions for given state as per current policy'''
    state = torch.from_numpy(state).float().to(device)
    self.actor_local.eval()
    with torch.no_grad():
        action = self.actor_local(state).cpu().data.numpy()
    self.actor_local.train()
    if add_noise:
        action += (noise_scale * self.noise.sample())
    return np.clip(action, -1, 1)
def reset(self):
    self.noise.reset()
def learn(self, experiences, gamma, tau):
    Update policy and value parameters using
    given batch of experience tuples.
    Q_targets = r + gamma * critic_target(next_state,
                                          actor_target(next_state))
    where:
        actor_target(state) -> action
        critic_target(state, action) -> Q-value
    Params
    _____
        experiences (Tuple[torch.Tensor]):
```

```
tuple of (s, a, r, s', done) tuples
       qamma (float): discount factor
   states, actions, rewards, next_states, dones = experiences
   # Get predicted next-state actions and Q values from target models
   actions_next = self.actor_target(next_states)
   Q_targets_next = self.critic_target(next_states, actions_next)
   # Compute Q targets for current states (y_i)
   Q_targets = rewards + (gamma * Q_targets_next * (1 - dones))
   # Compute critic loss
   Q_expected = self.critic_local(states, actions)
   critic_loss = F.mse_loss(Q_expected, Q_targets)
   # Minimize the loss
   self.critic_optimizer.zero_grad()
   critic_loss.backward()
   # Gradient clipping
   torch.nn.utils.clip_grad_norm_(self.critic_local.parameters(), 1)
   self.critic_optimizer.step()
   # Compute actor loss
   actions_pred = self.actor_local(states)
   actor_loss = -self.critic_local(states, actions_pred).mean()
   # Minimize the loss
   self.actor_optimizer.zero_grad()
   actor_loss.backward()
   self.actor_optimizer.step()
   # *************** TARGET NETWORKS UPDATE *************
   self.soft_update(self.critic_local, self.critic_target, tau)
   self.soft_update(self.actor_local, self.actor_target, tau)
def soft_update(self, local_model, target_model, tau):
   111
   Soft update model parameters.
   theta_target = tau*theta_local + (1 - tau)*theta_target
   Weighted average. Smaller tau means more of the updated target model is
   weighted towards the current target model.
   local_model : PyTorch model (weights will be copied from)
   target_model: PyTorch model (weights will be copied to)
   tau (float) : interpolation parameter
```

1.5.3 Ornstein-Uhlenbeck process

```
[13]: class OUNoise:
          def __init__(self, size, seed, mu=0., theta=0.15, sigma=0.2):
              '''Initialize parameters and noise process'''
              self.mu = mu * np.ones(size)
              self.theta = theta
              self.sigma = sigma
              self.size = size
              self.seed = random.seed(seed)
              self.reset()
          def reset(self):
              '''Reset the internal state (= noise) to mean (mu)'''
              self.state = copy.copy(self.mu)
          def sample(self):
              '''Update internal state and return it as a noise sample'''
              x = self.state
              dx = (self.theta * (self.mu - x) +
                    self.sigma * np.random.standard_normal(self.size))
              self.state = x + dx
              return self.state
```

1.6 DDPG (Deep Deterministic Policy Gradients)

Loop through each episode:

```
- get an action
- send the action to the environment
- get the next state
- get the reward
- add the state, action, reward, and next state to the experience replay buffer
- every # time steps in the environment,
   randomly sample the experience replay buffer and perform # learning steps
- updating the critic network for each learning step
- updating the actor network for each learning step
- add the reward to the score
- update the state to the next state and loop back to step 1
```

```
[14]: def ddpg(agent, prefix, n_episodes=2000, max_t=1500,
               gamma_initial = 0.9, gamma_final = 0.99, gamma_rate = 0.002,
               tau_initial = 0.02, tau_final = 0.001, tau_rate = 0.001,
               noise_factor = 1.0):
          Deep Deterministic Policy Gradients
          PARAMETERS:
          agent (object)
                               : the learning agent
          prefix (string)
                               : a prefix string for naming all of the checkpoints
                                 of the actor and critic neural networks that are saved
          n_episodes (int)
                               : maximum number of training episodes
          max_t (int)
                               : maximum number of timesteps per episode
          gamma_initial (float): initial gamma discount factor (0 to 1);
                                    higher values favor long term over current rewards
          gamma_final (float) : final gamma discount factor (0 to 1)
          gammma_rate (float) : a rate (0 to 1) for increasing gamma
          tau_initial (float) : initial value for tau, the weighting factor
                                    for soft updating the neural networks
          tau_final (float) : final value of tau
          tau_rate (float)
                              : rate (0 to 1) for increasing tau each episode
                              : the value for scaling the noise
          noise_factor
                                every episode to gradually decrease it
          111
          gamma = gamma_initial
          gamma_scale = 1.0 - gamma_rate
          tau = tau_initial
          tau_scale = 1.0 - tau_rate
          noise_scale = 1.0
          scores_deque = deque(maxlen=100)
          scores, avg_max_scores = [], []
          for i_episode in trange(1, n_episodes+1):
              # Reset environment
              env_info = env.reset(train_mode=True)[brain_name]
              # Get next state
              state = env_info.vector_observations
              # state = env.reset()
              agent.reset()
              score = np.zeros(agent.num_agents)
```

```
for t in range(max_t):
        # get action
        action = agent.act(state, noise_scale)
        # send action to environment
        env_info = env.step(action)[brain_name]
        # get next state
        next_state = env_info.vector_observations
        # get reward
        reward = env_info.rewards
        # check if episode is finished
        done = env_info.local_done
        agent.step(state, action, reward, next_state, done, gamma, tau)
        score += reward # update the score
        state = next_state
        if np.any(done):
            break # exit if episode is finished
    agent_max_score = np.max(score)
    scores.append(agent_max_score)
    scores_deque.append(agent_max_score)
    avg_max_scores.append(np.mean(scores_deque))
    # Increase gamma discount factor. Limit to gamma_final.
    gamma = gamma_final - gamma_scale * (gamma_final - gamma)
    tau = tau_final - tau_scale * (tau_final - tau)
    noise_scale *= noise_factor
    if i_episode % 100 == 0:
        print('\rEpisode {}\tAverage Score: {:.5f}'.\
            format(i_episode, np.mean(scores_deque)))
# saved Model Weights
torch.save(agent.actor_local.state_dict() , prefix + '_actor.pth')
torch.save(agent.critic_local.state_dict(), prefix + '_critic.pth')
return scores, avg_max_scores
```

1.7 Train the Agent

1.7.1 Learning parameters:

agent : the agent

prefix : a prefix string for naming all of the checkpoints

n_episodes : maximum number of training episodes
max_t : maximum number of timesteps per episode
gamma_initial: initial gamma discount factor (0 to 1)
gamma_final : final gamma discount factor (0 to 1)
gammma_rate : a rate (0 to 1) for increasing gamma

tau_initial : initial value for tau, the weighting factor

for soft updating the neural networks

tau_final : final value of tau

tau_rate : rate (0 to 1) for increasing tau each episode

noise_factor : the value for scaling the noise (<=1)</pre>

Episode 100 Average Score: 0.01000

Episode 200 Average Score: 0.00300

Episode 300 Average Score: 0.00490

Episode 400 Average Score: 0.00800

Episode 500 Average Score: 0.00100

Episode 600 Average Score: 0.00590

Episode 700 Average Score: 0.03190

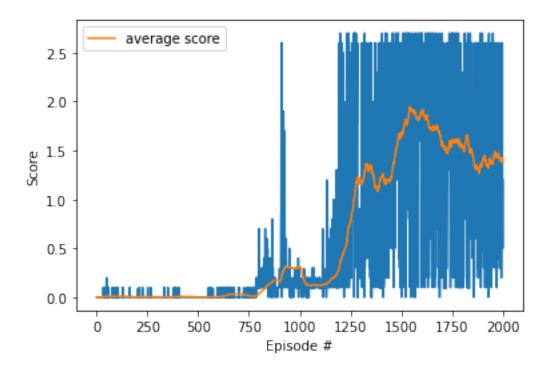
```
Episode 800
                Average Score: 0.04240
Episode 900
                Average Score: 0.16370
Episode 1000
                Average Score: 0.30680
                Average Score: 0.12300
Episode 1100
                Average Score: 0.36150
Episode 1200
Episode 1300
                Average Score: 1.16140
Episode 1400
                Average Score: 1.22040
Episode 1500
                Average Score: 1.68640
                Average Score: 1.87500
Episode 1600
Episode 1700
                Average Score: 1.57160
Episode 1800
                Average Score: 1.54310
Episode 1900
                Average Score: 1.39640
Episode 2000
                Average Score: 1.37800
```

1.7.2 Plot of Rewards

The agent receives an average score of +0.5 (over 100 consecutive episodes, after taking the maximum over both agents).

```
[17]: # conda upgrade matplotlib
# pip install --upgrade matplotlib
# conda install matplotlib=2 # downgraded matplotlib to version 2
# WARNING:matplotlib:Bad key text.latex.unicode
%matplotlib inline
import matplotlib.pyplot as plt

fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(np.arange(1, len(scores[0])+1), scores[0])
plt.plot(np.arange(1, len(scores[1])+1), scores[1], label='average score')
plt.ylabel('Score')
plt.xlabel('Episode #')
plt.legend()
plt.show()
```



When finished, you can close the environment.

[19]: env.close()

1.8 Ideas for Future Work

- Optimization of the existing code
- Search for optimal hyperparameters (it is necessary to pay special attention to the values of theta and sigma, which maybe have a significant impact on the learning process)
- Implementation of the other algorithms such as PPO, A3C and D4PG