# INTRODUCTION TO RELATIONAL DATABASE SYSTEMS

**DATENBANKSYSTEME 1 (INF 3131)** 

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Winter 2017/18

Database systems are designed to capture well-defined subsets of the real world, the so-called **mini worlds**.

#### **Mini World**

A mini world contains the relevant **objects** (or: entities, things) of a real-world subset. Only the significant **attributes** (or: characteristics) of these objects are preserved. Objects may **relate** to each other. Specific **constraints** (or: rules of the world) are captured as well.

Mini worlds may represent subsets of our (true, physical) environment as well as any of the many *virtual worlds* that we create.

### Mini World Example: US Geological Survey Earthquake Maps

#### Real world

The Earth

### **Subset covered**

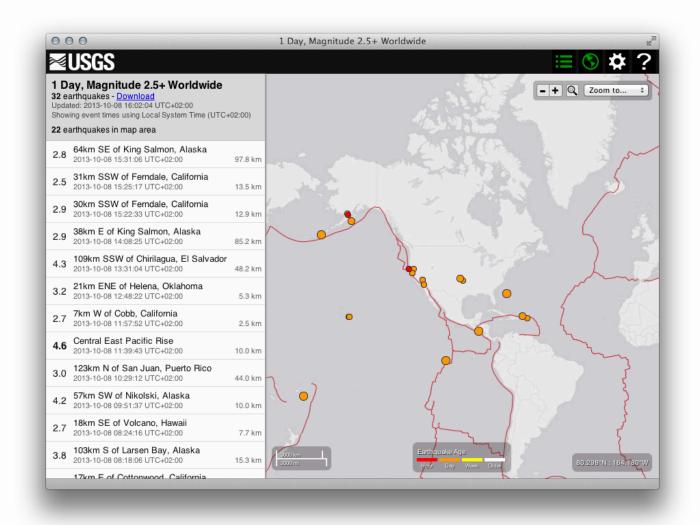
Global real-time earthquake information

### **Relevant objects**

Quakes, locations, date/time

### Significant attributes

Magnitude, latitude, longitude, depth, day, hour, min, sec, ...



Available at http://earthquake.usgs.gov/earthquakes/map/

### Mini World Example: Enterprise Data (→ TPC-H<sup>111</sup>)

#### Real world

Company/Corporation

#### **Subset covered**

Ordering and Fulfillment, Client Relationships, Supply Chain

### **Relevant objects**

Orders, Lineitems, Products, Suppliers, Customers, Shipments, ...

### Significant attributes

Product IDs, order/shipment dates, ordered quantities, prices, names, ...

#### **Constraints**

"The price of an order must be the sum of the prices of its individual lineitems"

### Mini World Example: Web Sites (Amazon, Wikipedia, YouTube)

#### Real world

The World Wide Web

#### **Subset covered**

Web site (shop, encyclopedia, social networking)

### **Relevant objects**

Store inventory, shopping baskets, payment data, wiki page contents, video stream data

#### **Constraints**

"When stock of item is below 10, that item has an order immediately notice"

### Mini World Example: Movie Script

#### Real world

Cinematography, movies, films

#### **Subset covered**

Movie scripts (story, setting, roles, scenes)

### **Relevant objects**

Chapters, scenes, actors, characters, locations, character (co-)occurrence, dialogue,

### Relationships

Character is played by actor, scene is part of chapter, character occurs in scene, ...

#### **Contraints**

"If an actor impersonates more than one character, these characters may not meet"

### Mini World Example: LEGO™ Sets, Bricks, Mini Figures

#### Real world

LEGO toys

#### **Subset covered**

Catalog of available LEGO sets (or: models) and their contents

### **Relevant objects**

Categories ("space", "city", ...), sets, individual bricks, mini figures, colors

### Relationships

Set contains bricks, brick is available in color, brick<sub>1</sub> is equivalent to  $brick_2$ 

### Significant attributes

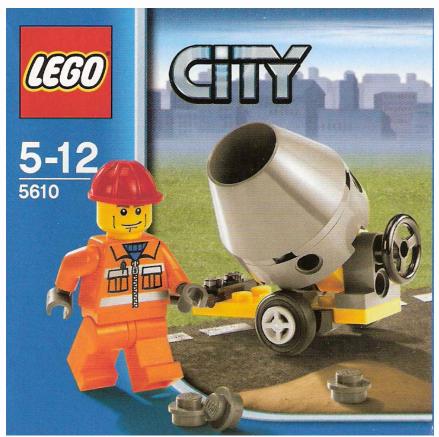
Names, product IDs, quantity, 3D size (measured in studs), weight, image, ...

#### **Contraints**

"If a set contains a piece, details for the piece must be available either in the brick or mini figure listing", "No two pieces share the same product ID"

# **LEGO SET 5610**

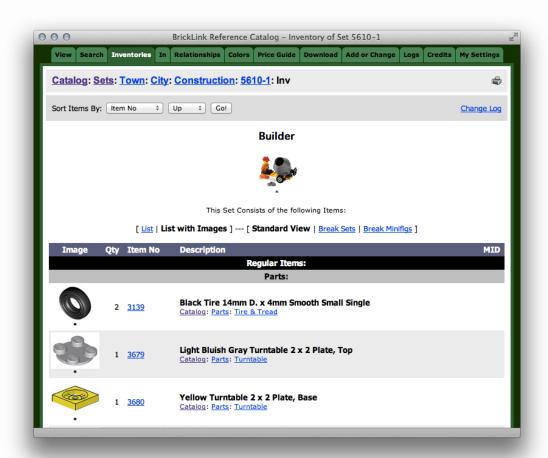
- A sample object of this particular mini world:



LEGO Set 5610 "Builder", Category Town (City, Construction)

# **BRICKLINK**

- Web site BrickLink hosts a database of the LEGO sets mini world:



Inventory of Set 5610–1 http://www.bricklink.com/catalogItemInv.asp?S=5610-1

# DATA MODELS AND DATA LANGUAGES

#### **Data Model**

A data model defines a limited toolbox of constructs (or **types**) that can be used to represent the objects, attributes, and relationships of a mini world.

### **Data Language**

Once the types are fixed, this also largely prescribes the **operations** we can perform with data of these types.

 Database systems are designed to effectively and efficiently support a single data model and language (we will see that support for "foreign" data models often feels awkward)

### **Types**

Text (strings of characters) in a particular encoding (e.g., Unicode/UTF—8). Typically, newline characters '\n' are used to break the text into lines.

Besides the line-breaking convention, the text data model imposes no further structure on the data (→ unstructured data model).

### **Operations**

- 1. **Iterate** over the lines of a given text (e.g. contained in a file)
- 2. Inside a line, use **pattern matching** to **extract** individual/groups of characters

### **Example: GenBank (DNA Sequence Database)**

- GenBank entry for Saccharomyces cerevisiae (Baker's Yeast)

```
LOCUS
            SCU49845
                         5028 bp
                                    DNA
                                                    PIN
                                                              21-JUN-1999
            Saccharomyces cerevisiae TCP1-beta gene, partial cds, and Ax12p
DEFINITION
            (AXL2) and Rev7p (REV7) genes, complete cds.
ACCESSION
           U49845
VERSION
            U49845.1 GI:1293613
KEYWORDS
SOURCE
            Saccharomyces cerevisiae (baker's yeast)
 ORGANISM
            Saccharomyces cerevisiae
            Eukaryota; Fungi; Ascomycota; Saccharomycotina; Saccharomycetes;
            Saccharomycetales; Saccharomycetaceae; Saccharomyces.
[...]
```

GenBank entry for Saccharomyces cerevisiae (Baker's Yeast) [cont'd]

```
[...]
                     Location/Qualifiers
FEATURES
                     1..5028
     source
                     /organism="Saccharomyces cerevisiae"
                     /db_xref="taxon:4932"
                     /chromosome="IX"
                     /map="9"
     CDS
                     <1..206
                     /codon_start=3
                     /product="TCP1-beta"
                     /protein_id="AAA98665.1"
                     /db_xref="GI:1293614"
                     /translation="SSIYNGISTSGLDLNNGTIADMRQLGIVESYKLKRAVVSSASEA
                     AEVLLRVDNIIRARPRTANRQHM"
                     687..3158
     gene
                     /gene="AXL2"
[...]
```

- GenBank entry for Saccharomyces cerevisiae (Baker's Yeast) [cont'd]

```
ORIGIN

1 gatcctccat atacaacggt atctccacct caggtttaga tctcaacaac ggaaccattg
61 ccgacatgag acagttaggt atcgtcgaga gttacaagct aaaacgagca gtagtcagct
121 ctgcatctga agccgctgaa gttctactaa gggtggataa catcatccgt gcaagaccaa
181 gaaccgccaa tagacaacat atgtaacata tttaggatat acctcgaaaa taataaaccg
241 ccacactgtc attattataa ttagaaacag aacgcaaaaa ttatccacta tataattcaa
[...]
//
```

- Aims for readability by humans *and* machines. Formatting conventions are obeyed to facilitate the construction of **parsers** for GenBank entries: [2]

```
/{key}={value}
```

### **Example: LEGO Set 5610 (BrickLink)**

- Represent catalog information about LEGO Set 5610 ("Builder") along with a detailed listing of the set contents (bricks, minifigures).
- This text file format primarily aims for **human readability**. The listing of the contents follows line-based formatting conventions that provide **hooks for parsing**.

```
LEGO™ Set "Builder" (set no 5610-1)
Category: Town (City, Construction)

Contains 20 pieces: 19 bricks, 1 minifigure

5610-1 Builder is a City impulse set released in 2008. It contains a construction worker with a rolling cement mixer, along with 3 dark grey studs that resemble mortar or concrete. When the mixer is pushed, the drum turns. The drum can also tilt side-to-side, but not enough to dump the studs.

[...]
```

Catalog information for LEGO Set 5610 [cont'd, here: listing of set contents]

```
[...]
Brick# Color/Weight Name
1x 6157
           Black/1.12g Plate, Modified 2 x 2 with Wheels Holder Wide
           Black/0.4g Tire 14mm D. x 4mm Smooth Small Single
2x 3139
1x 3839b
           Black/0.61g Plate, Modified 1 x 2 with Handles - Flat Ends, [...]
           Black/0.4g Vehicle, Steering Wheel Small, 2 Studs Diameter
1x 30663
           Dark Bluish Gray/3.57g Brick, Round 4 x 4 with Holes
1x 6222
[...]
Minifig# Weight Name
1x cty052 3.27g Construction Worker - Orange Zipper, Safety Stripes, Orange
[...]
```

- Sample problem (or **query**):

  What is the overall weight (in grams) of LEGO Set 5610?
- Possible plan of attack:
  - 1. **Iterate** over the lines of the catalog entry
  - 2. Use **pattern matching** to identify lines of the form (\_ = space)

```
⟨quantity⟩x -- /⟨weight⟩g --
⟨quantity⟩x -- _⟨weight⟩g --
```

- 3. Extract quantity and weight in each such line
- 4. Multiply quantity and weight and aggregate (i.e. sum up) as needed

The unstructured text data model provides poor support for queries even of this simple kind. One option: rely on UNIX' text processing tools like grep, sed, and awk to implement the plan.

**sed** (stream editor)

Operates over '\n'-separated lines of text, can filter lines based on **regular expressions**, can modify and then print selected lines. Example:

```
sed -E -e 's/⟨regular expression⟩/⟨modification⟩/p; ...'
```

Good match for the text data model:

sed implicitly iterates over the lines (of its standard input), pattern matches and can extract select portions of matched lines.

awk (Aho, Kernighan, Weinberger)

**Iterate** over '\n'-separated lines of text, apply rules of the following form to each line

```
<pattern> { <action> }
```

- If <pattern> matches, <action> can extract the fields \$1, \$2, ... of the matched line and perform (simple) computation.

  Specific patterns: /<regular expression>/, //, BEGIN, END.
- What constitutes a *field* is determined by field separator string FS

- sed and awk script to compute the weight of LEGO Set 5610:

```
#! /bin/sh
# Compute the overall weight of all pieces in LEGO set 5610-1.
# Notes:
# - assumes one piece per line and input of the form:
                    <quantity>x ... <weight>g ...
#
  (everything else is considered noise and skipped over)
# - sed command 'p': print pattern space, then process next line
               'd': delete pattern space, next line
sed -E -e 's/([0-9]+)x.+[ /]([0-9.]+)g.*$/\1 \2/p; d' |
awk '
 BEGIN { sum = 0
 // { sum += $1 * $2 }
 END { print sum
```

- Sample problem (or query):
   Extract a subsequence, specificed by a \langle from \rangle \langle to \rangle location, from the DNA origin sequence of a GenBank entry
- Possible plan of attack:
  - 1. **Iterate** over the lines of the GenBank entry
  - 2. Use **pattern matching** to identify the start and end of the DNA sequence (ORIGIN and //, respectively)
  - 3. Only when inside a sequence, use pattern matching to identify lines of the form

```
⟨offset⟩ ... ⟨amino acids⟩ ⟨amino acids⟩ ...
```

- 4. Extract (amino acids) fields, aggregate (here: concatenate) the extracted fields
- 5. (Cut the requested subsequence from the concatenated result)

- awk script to extract a DNA subsequence from a GenBank entry:

```
#! /bin/sh
FROM=$1
T0=$2
awk '
          { ORS = ""; dna = 0; seq = "" }
 BEGIN
 /ORIGIN/ { dna = 1 }
 dna && /^*[0-9]+/ { for (i = 2; i <= NF; i++)
                       seq = seq $i
 /\/\//
                    \{ dna = 0 \}
                    { print seq }
 END
cut -c $FROM-$TO
```

### **Types**

**Container types**: arrays and key/value pair dictionaries (or: hashes, association lists) and **basic atomic types** (e.g. numbers, strings, Booleans). Containers may contain atomic values as well as other containers (**nesting**).

Nested containers provide a multitude of data structuring options. Data models of this kind are commonly referred as being **semi-structured**.

### **Operations**

- 1. Index- or key-based **lookup** into containers
- 2. (Nested) **iteration** over and **filtering** of container contents
- 3. Construction of new containers
- 4. Computation over basic types (comparison, arithmetics, ...), aggregration

Recent and now widespread instance of this data model: **JSON** (*JavaScript Object Notation*), excerpt of the JavaScript language definition (notation for literal JavaScript objects).

Find a complete and compact one-page(!) JSON definition on <a href="http://json.org">http://json.org</a>. JSON has become popular as an inter-application data exchange format.

A recent proposal for a data language for this data model: **JSONiq** (http://jsoniq.org), derived from and interoperable with XQuery (the language for the XML data model).

- JSON syntax (<string> and <number> follow usual syntactic conventions):

```
⟨value⟩
         ::= \string \>
              \number>
              true | false
              null
              <dict>
              <array>
<dict>
         ::= { }
             { <members > }
⟨members⟩ ::= ⟨pair⟩
           | <pair> , <members>
⟨pair⟩ ::= ⟨string⟩ : ⟨value⟩
<array>
         ::= [ ]
           [ <elements > ]
⟨elements⟩ ::= ⟨value⟩
             ⟨value⟩ , ⟨elements⟩
```

- Sample JSON value construction (JSONiq):

- Key-based **lookup** into dictionary *d* (via *d.k*, "dot notation"), index-based **lookup** (via *a*[[*n*]]) into array *a* (read "·····>" as "evaluates to"):

```
let $xs := [ 1, 2, 3 ]
let $ys := { "one": "eins", "two": "zwei", "three": "drei" }
return ...
```

- **Iterating** over container contents. Need to convert contents into a **sequence** of values first.

Iteration yields a sequence again:

```
let $xs := [ 1, 2, 3, 4, 5, 6 ] return …
```

- **Grouping** and **aggregation** of containers (+ computation, construction):

- Note: In the group by clause, variable \$x is bound to individual members of \$xs. In the return clause, \$x is bound to an array of members (i.e., a group).

**Example: LEGO Set 5610 (BrickLink data modelled as JSON)** 

```
{ "set":
              "5610-1",
 "name": "Builder",
 "category": "town",
        2008,
 "year":
 "pieces": [
   { "brick":
             "6157",
     "quantity": 1,
     "extra": false,
     "color": "Black",
     "weight": 1.12,
     "name": "Plate, Modified 2 x 2 with Wheels Holder Wide" },
   { "minifig": "cty052",
     "quantity": 1,
     "extra": false,
     "weight": 3.27,
     "name": "Construction Worker - Orange Zipper, ... } ] }
```

- Sample problem (or **query**):

  What is the overall weight (in grams) of LEGO Set 5610?
- Possible plan of attack (JSONiq):
  - 1. Access the JSON representation of LEGO Set 5610
  - 2. **Iterate** over the set's **pieces** array:
    - 1. Inside each piece, **lookup** the values for the **quantity** and weight keys
    - 2. Multiply quantity and weight
  - 3. Aggregate (sum) the multiplied weights

### **Example: USGS Real-Time Earthquake Data**

```
{ "type":"FeatureCollection",
  "metadata":{
    "generated":1381237557000,
    "url":"http://earthquake.usgs.gov/earthquakes/feed/v1.0/summary/2.5_day.geojson",
    "title": "USGS Magnitude 2.5+ Earthquakes, Past Day",
    "status":200,
    "api":"1.0.11",
    "count":31
  },
  "features":[
    { "type": "Feature",
      "properties":{
        "mag":2.9,
        "place": "38km E of King Salmon, Alaska",
        "time":1381234105000,
       } } ... ] }
```

- Sample **query**:

  What was the magnitude of the worst earthquake on the northern hemisphere?
- Possible plan of attack (JSONiq):
  - 1. Access the JSON representation of USGS earthquake data
  - 2. **Iterate** over the data's **features** array of quakes:
    - Filter quakes to retain only those that affected the northern hemisphere (lookup geometry to check whether latitude > 0)
  - 3. **Iterate** over the qualifying quakes:
    - Lookup mag (magnitude) among the quake's properties
  - 4. Aggregate (max) the collected magnitudes

- A slight variation of the original sample query: What was the magnitude and place of the worst earthquake on the northern hemisphere?
- In a nutshell: we need argmax() not max()
- We require one of many possible different plans of attack here:
  - 1. Once we computed the maximum magnitude \$mag, iterate over all quakes again to find those with magnitude \$mag.
  - 2. Remember that for  $m \in S$  we have

$$\max(S) = m \Leftrightarrow \{y \mid y \in S, y > m\} = \emptyset$$

for any set S of comparable elements.

3. Order all quakes by descending magnitude, then pick the first in that order.

- These plans of attack represent typical query formulation techniques:
  - The use of quantifiers (here:  $\forall$  / empty(), but  $\exists$  is as important)
  - The use of **nested iteration** and **correlation**
  - The use of **ordered** containers and **positional lookup**
- We will revisit all of these in this course.

### **Query Equivalence?**

Are all of these queries equivalent? Will they return the same earthquake regardless of the current earthquake data?

# (DATA MODEL: ORDERED TREES)

Replace the role of arrays and dictionaries by **ordered trees** and obtain **XML**, another semi-structured data model in *wide* use today.

- XML defines a textual representation for data trees whose leaves contain strings:

Data languages for XML (XPath, XQuery) navigate trees (descend to child nodes, collect all nodes in subtree, collect all nodes on path to the root, ...)

#### **Types**

**Container types**: tables of rows (or: records, tuples), each row having the same number of fields. Fields contain values of **basic atomic types** (e.g. numbers, strings, Booleans) only. Inside a row, entries are identified either by name or position.

We essentially obtain a **flat, tabular** data model comprised of strictly rectangular data grids.

#### **Operations**

- 1. (Nested) **iteration** over the rows of a table
- 2. **Filter** the rows based on given criteria (or: predicates)
- 3. Access one or more fields of a row
- 4. **Computation** over field values (comparison, arithmetics, ...)

Thanks to its restrictiveness, the tabular data model can have particularly simple textual representations. Quite common: **CSV** (comma-separated values).

**CSV** (here: tab-separated values)

- 1. Table ≡ file, row ≡ line. Rows are separated by \n. Fields in a row are separated by \t (tab character)
- 2. First line of file contains field names, lines 2, 3,... contain data rows
- 3. Field value syntax uses usual conventions, strings are enclosed in "..."
- 4. A missing field value is represented as \N

#### **Example: USGS Earthquake Data in CSV Format**

(excerpt only, beautified)

- Note the regular row-wise/column-wise organization of data.
- This particular variant of CSV format is also used by PostgreSQL when relational data is to be exported/imported.

There is **no** agreed-upon (let alone standardized) **data language for CSV**. To touch CSV data, in this course we will build our own data language based on Python.

To name the beast, let's call it **Python QL** or **PyQL** for short (*pick•le |'pikəl|*: messy situation).

- Needed: a Python 2.7 (or Python 3) installation
- A supporting Python module DB1 is available on the course homepage
- All other PyQL operations and constructs are in fact regular Python operations

- Sample PyQL query: access all rows of table earthquake.csv. Iterate over table, bind each row to row variable eq, print each row:

```
from DB1 import Table

earthquakes = Table('earthquakes.csv')

for eq in earthquakes:
    print(eq) # A indentation indicates block structure
```

- Function Table(\(\f\)) reads CSV file \(\f\) and returns a Python iterator. Iteration (e.g. via for ... in ...) yields each row in the file in the form of Python dictionary.

- In PyQL, use standard Python constructs to access a field in a row or to construct new rows:

```
from DB1 import Table

earthquakes = Table('earthquakes.csv')

for eq in earthquakes:
    print({ 'place': eq['place'], 'mag': float(eq['mag']) })
```

- Compare to JSONiq's key-based dictionary lookup and dictionary construction syntax
- Conversion from string (to numeric) is explicit (float(), int())

#### - PyQL syntax:

```
(e)
          ::= Table(\langle csv \rangle)
                                                                                            Table access
                  for \langle v \rangle in \langle e \rangle: \langle e \rangle
                                                                                            iteration
                  [\langle e \rangle for \langle v \rangle in \langle e \rangle \dots]
                                                                                            list comprehension
                  if \langle e \rangle: \langle e \rangle else: \langle e \rangle
                                                                                            conditional
                  print(\langle e \rangle, \langle e \rangle, ...)
                                                                                            output
                                                                                            field access
                  \langle e \rangle [\langle f \rangle]
                  { \langle f \rangle: \langle e \rangle, ..., \langle f \rangle: \langle e \rangle }
                                                                                            row construction
                  float(\langle e \rangle) | int(\langle e \rangle) | ...
                                                                                            type conversion
                  \langle e \rangle + \langle e \rangle | \langle e \rangle == \langle e \rangle | ...
                                                                                            arithmetics, comparison
                  ⟨float⟩ | ⟨int⟩ | ⟨string⟩ | ...
                                                                                            literal values
                  <v>
                                                                                            variable reference
                  \langle v \rangle = \langle e \rangle
                                                                                            variable assignment
                  ⟨e⟩ ... ⟨e⟩
                                                                                            sequence of PyQL statements
⟨csv⟩ ::= CSV file name
⟨f⟩ ::= field name
⟨v⟩ ::= variable name
```

#### **List Comprehensions**

 PyQL's comprehensions provide elegant and compact notation for iteration and filtering:

```
# Magnitude of worst earthquake on the northern hemisphere
from DB1 import Table

earthquakes = Table('earthquakes.csv')

magnitudes = [ float(eq['mag']) for eq in earthquakes if float(eq['latitude']) >= 0.0
]

print(max(magnitudes))
```

- Compare to set comprehensions as they are common in mathematics:

$$\{f(x) \mid x \in S, p(x)\}$$

- Above we have used a list-oriented style of query formulation (list comprehensions, max() list aggregate).
- Same query in **imperative style**. Now, the focus is on updating the state of **float** variable mag:

```
earthquakes = Table('earthquakes.csv')

mag = 0.0  # A variable initialization

for eq in earthquakes:
   if float(eq['latitude']) >= 0.0:
        if float(eq['mag']) > mag:
        mag = float(eq['mag'])  # A variable update

print(mag)
```

Consider the slight variation of the sample query again: What was the magnitude and place of the worst earthquake on the northern hemisphere?

```
# Modify/extend PyQL code of last slide
...
```

- The imperative query style can be convenient. It does, however, effectively allow writing programs that are arbitrarily complex to evaluate (or never terminate at all).
- For this (and more good) reason, by design data languages are considerably more restricted than general programming languages.

- The tabular data model is **flat**: fields contain **atomic** values
- In the absence of nesting, how to represent complex structured information? Recall:

- One option: **flatten out** the nested data, attach set identifier 5610-1 to each row:

- In this flat model, where to keep the brick/minifigure (or: piece) details?
- Consider the full LEGO set mini-world. Brick 6157 occurs in many sets:

```
:
{ "set": "5610-1", "brick": "6157", N, "quantity": 1 }
:
{ "set": "10048-1", "brick": "6157", N, "quantity": 4 }
:
{ "set": "1029-1 ", "brick": "6157", N, "quantity": 2 }
:
```

- Keeping details for brick 6157 here (▶) would **replicate** data wastes space and comes with the risk that the copies go out of sync over time.
- Such **redundancy** is almost always to be avoided!

- Option adopted by the tabular data model:
  - 1. Keep brick information in a separate CSV file (i.e., in a separate bricks table)
  - 2. Use brick **identifiers** (e.g., 6157) to locate brick details in this new table:

- There is **no redundancy**. The different LEGO sets share a single copy of the brick details.
  - If brick details change, a **single row** in the bricks table is affected.

**Note**: Brick identifier 6157 must indeed be **present** as well as **unique** in the bricks table. This is a typical mini-world rule (or **constraint**).

- Data of the LEGO mini-world is now spread over three tables:



- The two predicates c=b and c=m identify related rows in the three tables.

- Sample query:
  What is the overall weight (in grams) of LEGO Set 5610?
- Plan of attack (recall: a set's piece is either a brick or a minifig):
  - 1. Iterate over contains, filter rows for pieces c in LEGO Set 5610
    - 1. Iterate over bricks, filter rows for the brick b that corresponds to c
      - Multiply quantity and weight of b, aggregate (sum up)
    - 2. Iterate over minifigs, filter rows for the minifig m that corresponds to c
      - Multiply quantity and weight of m, aggregate (sum up)
  - 2. Return aggregate (sum) of steps 1.1 and 1.2

```
# Compute the overall weight of all pieces in LEGO set 5610-1 ("Builder")
from DB1 import Table
contains = Table('contains.csv')
bricks = Table('bricks.csv')
minifigs = Table('minifigs.csv')
weight = 0
for c in contains:
  if c['set'] == '5610-1':
    for b in bricks:
      if c['piece'] == b['piece']:
        weight = weight + int(c['quantity']) * float(b['weight'])
    for m in minifigs:
      if c['piece'] == m['piece']:
        weight = weight + int(c['quantity']) * float(m['weight'])
print(weight)
```

- 1. A database system benchmark devised by the Transaction Processing Council, http://www.tpc.org/tpch
- 2. Here and in the following, \( \forall var \rangle \) denotes a meta-syntactic variable of name var (i.e. a placeholder).