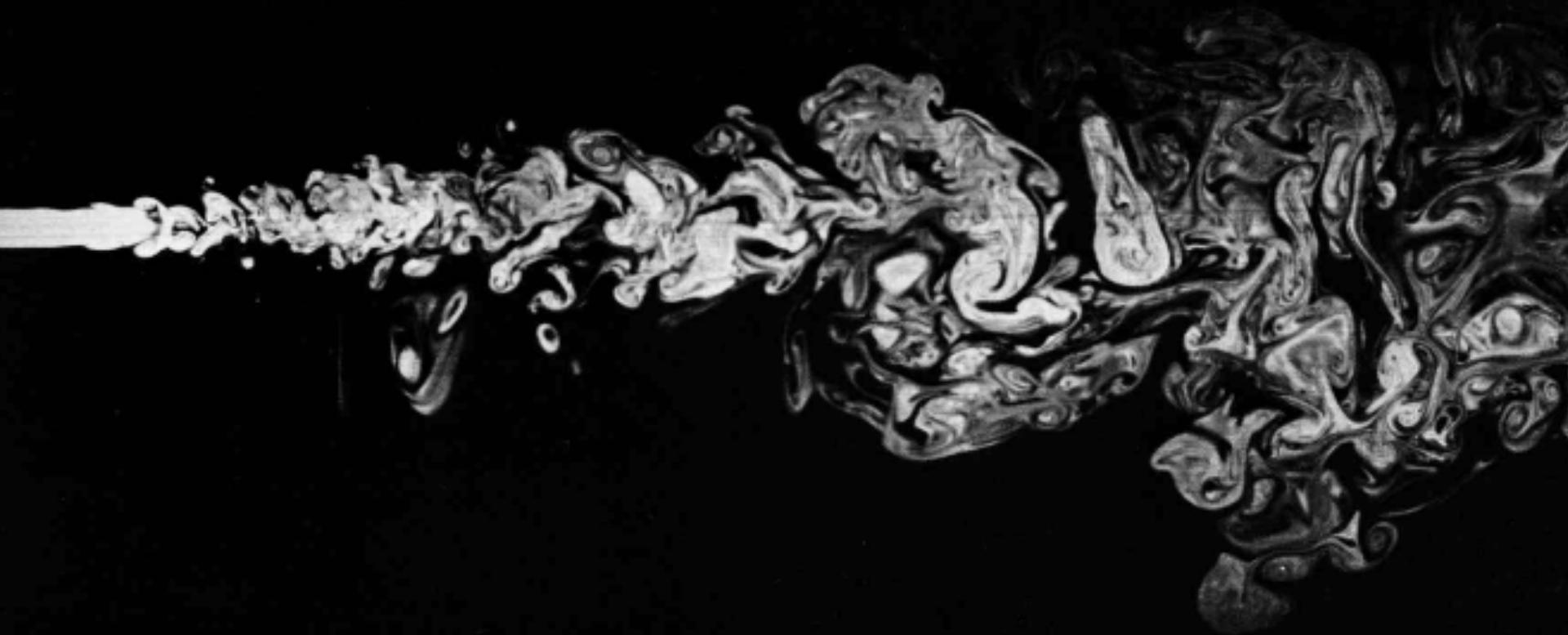


# TURBULENCE

Jonathan GULA  
gula@univ-brest.fr



# TURBULENCE

---

## 3. GEOSTROPHIC TURBULENCE

- **Lesson 1 : [D109]**
  - Introduction
  - Properties of turbulence
- **Lesson 2 : [D109]**
  - 3D turbulence: The Kolmogorov theory
  - 2D turbulence
- **Lesson 3 :[D109]**
  - 2D turbulence (activity)
- **Lesson 4 :[D109]**
  - Geostrophic turbulence
  - Surface QG turbulence
  - Ocean turbulence (activity)

Presentations and material  
will be available at :

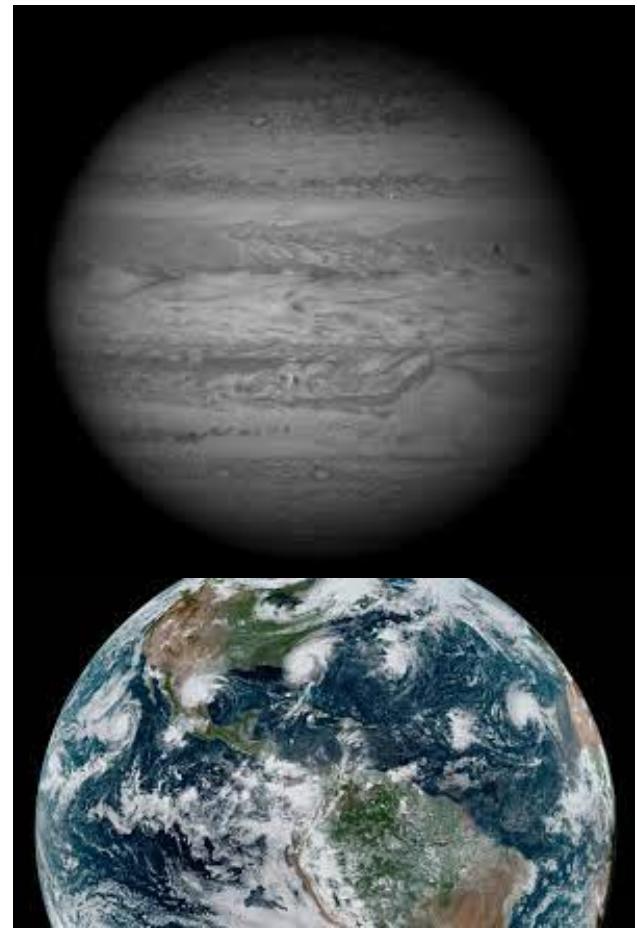
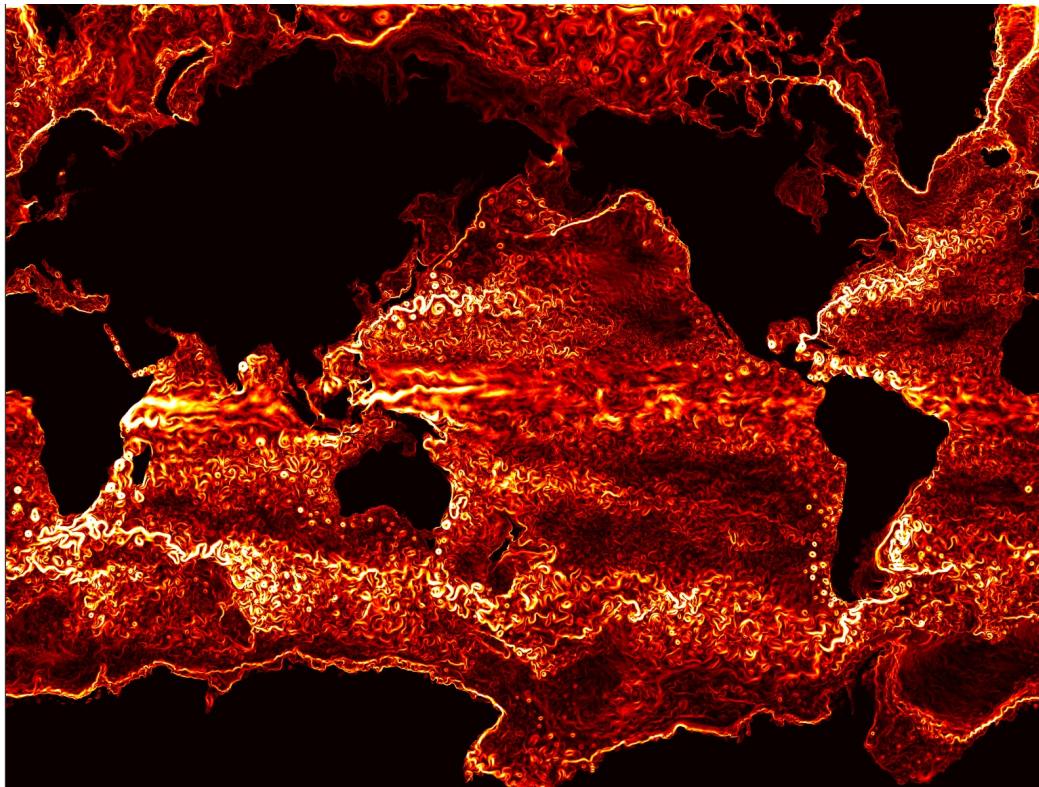
**[jgula.fr/Turb/](http://jgula.fr/Turb/)**

# References:

- Vallis G.K., Atmospheric and Oceanic Fluid Dynamics.
- MIT online course: <https://ocw.mit.edu/courses/earth-atmospheric-and-planetary-sciences/12-820-turbulence-in-the-ocean-and-atmosphere-spring-2007/lecture-notes/>
- LaCasce J.H., Turbulence in the Atmosphere and Ocean.

# Geostrophic turbulence

= turbulence in **stably-stratified flow** that is in **near-geostrophic balance** (name from Charney, 1971)



# Geostrophic turbulence

Energy spectra at mesoscales resemble those in pure 2-D turbulence.

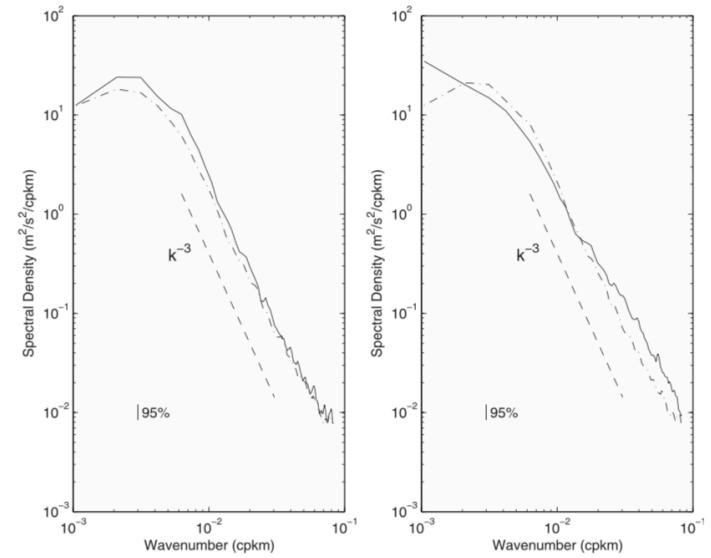
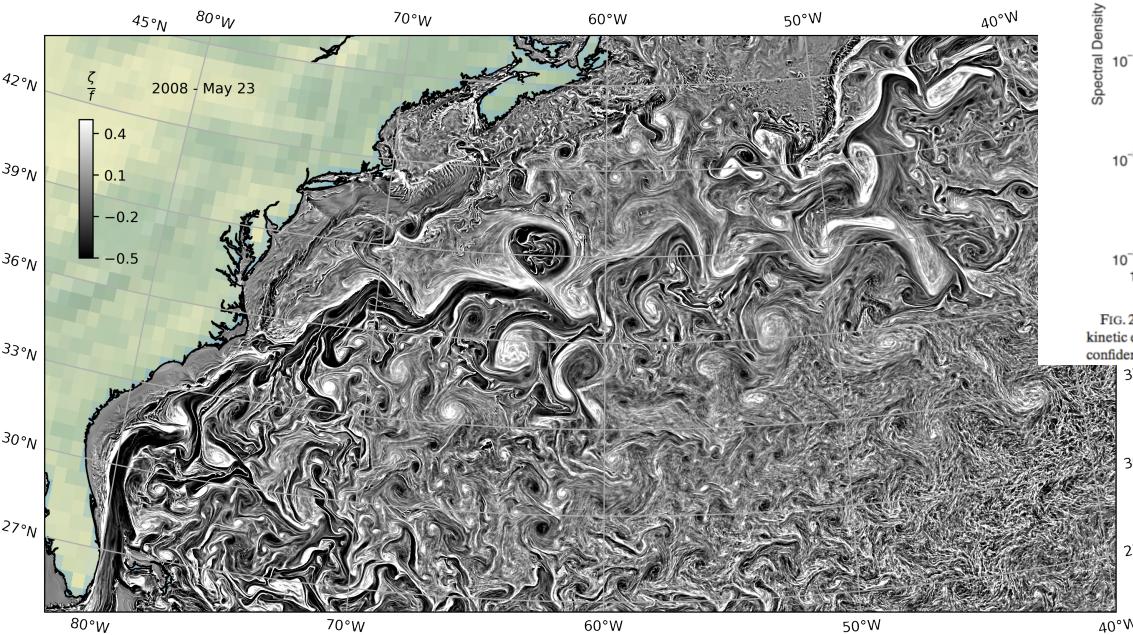


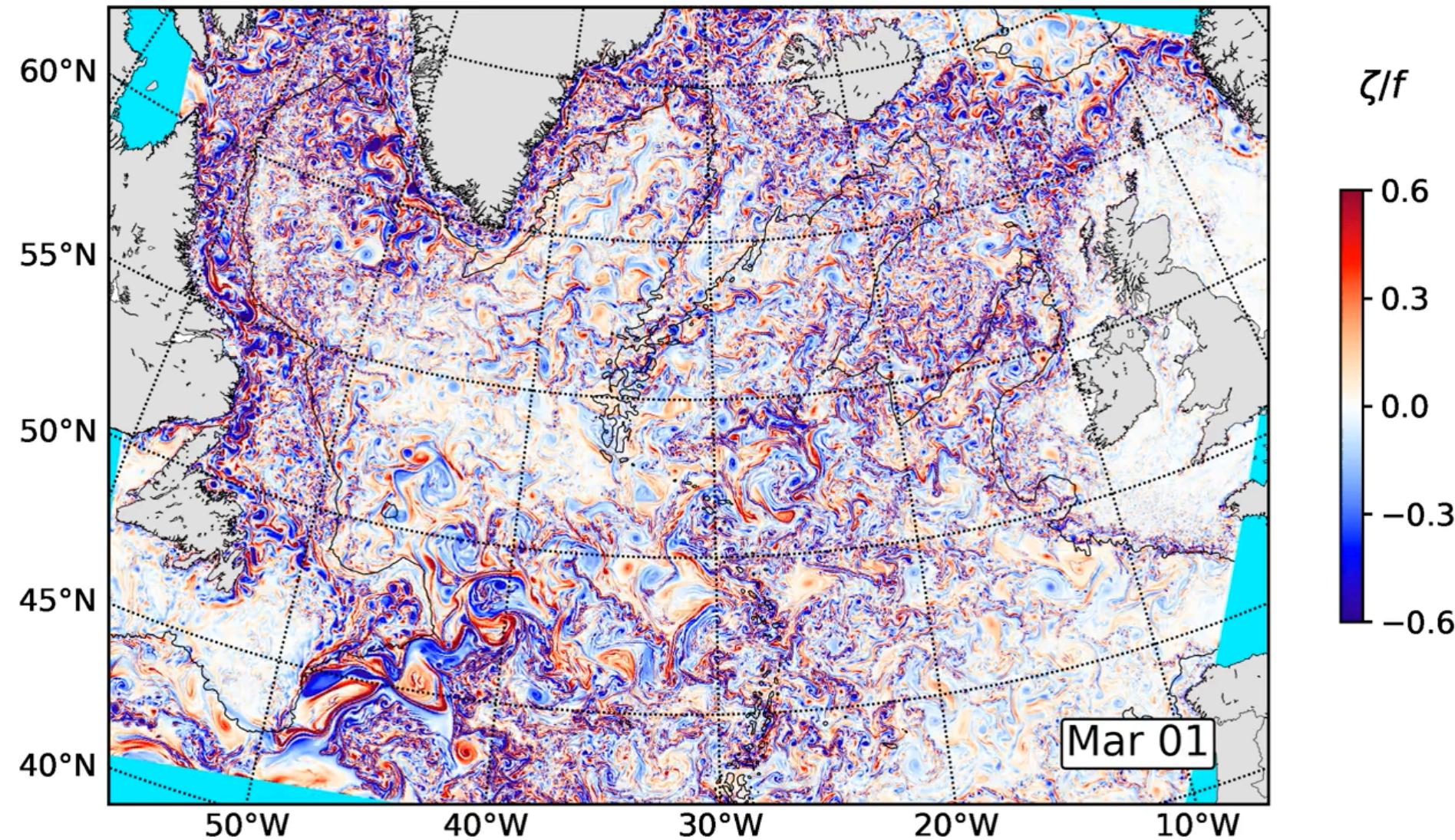
FIG. 2. (left) Zonal (solid) and meridional (dashed-dotted) velocity spectra and (right) potential energy (solid) and kinetic energy (dashed-dotted) spectra from the *Oleander* observations. Dashed lines indicate a  $-3$  slope. The 95% confidence interval is marked.

[Wang et al., 2010]

# Geostrophic turbulence

- Geostrophic turbulence is 2D turbulence with additional complications.
- The flow in the atmosphere and ocean are affected by :
  - **planetary rotation**
  - **bottom topography**
  - **stratification**

# Geostrophic turbulence



# 1. Impact of Rotation

- The vorticity equation in 2D is:

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla (\zeta + f) = \nu \nabla^2 \zeta$$

- What happens if  $f$  is constant?

# 1. Impact of Rotation

- The vorticity equation in 2D is:

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla (\zeta + f) = \nu \nabla^2 \zeta$$

- *What happens if  $f$  is constant?*

Nothing! A constant Coriolis parameter has no effect on 2-D turbulence.

# 1. Impact of Rotation

- The vorticity equation in 2D is:

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla (\zeta + f) = \nu \nabla^2 \zeta$$

- Let's see what happens when  $f$  varies with latitude.
- We use the Beta-approximation:  $f = f_0 + \beta y$

# 1. Impact of Rotation

- The equation for vorticity is (if we neglect viscosity for now):

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla \zeta + \beta v = 0$$

- The fundamental difference here is that meridional motions can induce changes in the relative vorticity.*

- Activity: Analyze the linear equation

1. Write the **linear** equation in terms of a 2D streamfunction

$$\psi \quad u, v = -\frac{\partial \psi}{\partial y}, \frac{\partial \psi}{\partial x}$$

2. Solve for a wave solution

$$\psi = \hat{\psi} e^{ikx + iky - i\omega t}$$

# 1. Impact of Rotation

- The equation can be written:

$$\frac{\partial}{\partial t} \nabla^2 \psi + \beta \frac{\partial}{\partial x} \psi = 0$$

- Using a wave solution:

$$\psi = \hat{\psi} e^{ikx + ily - i\omega t}$$

- We get the dispersion relation for Rossby waves:

$$\omega = -\frac{\beta k}{k^2 + l^2}$$

$$c_x = \frac{\omega}{k} = -\frac{\beta}{k^2 + l^2}$$

# 1. Impact of Rotation

- If we put back advection in the equation, the question is:

*At which scales is the flow is turbulent (dominated by non-linearities) and at which scales is it dominated by linear waves?*

- Let's look at the scaling of the different terms:

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla \zeta + \beta v = 0$$

$$\frac{U}{LT} \quad \frac{U^2}{L^2} \quad \beta U$$

# 1. Impact of Rotation

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla \zeta + \beta v = 0$$

$$\frac{1}{\beta LT} \quad \frac{U}{\beta L^2} \quad 1$$

- The advective terms scale as a Rossby number

$$\frac{U}{\beta L^2}$$

# 1. Impact of Rotation

- So the threshold between non-linear and linear is given by the length scale:

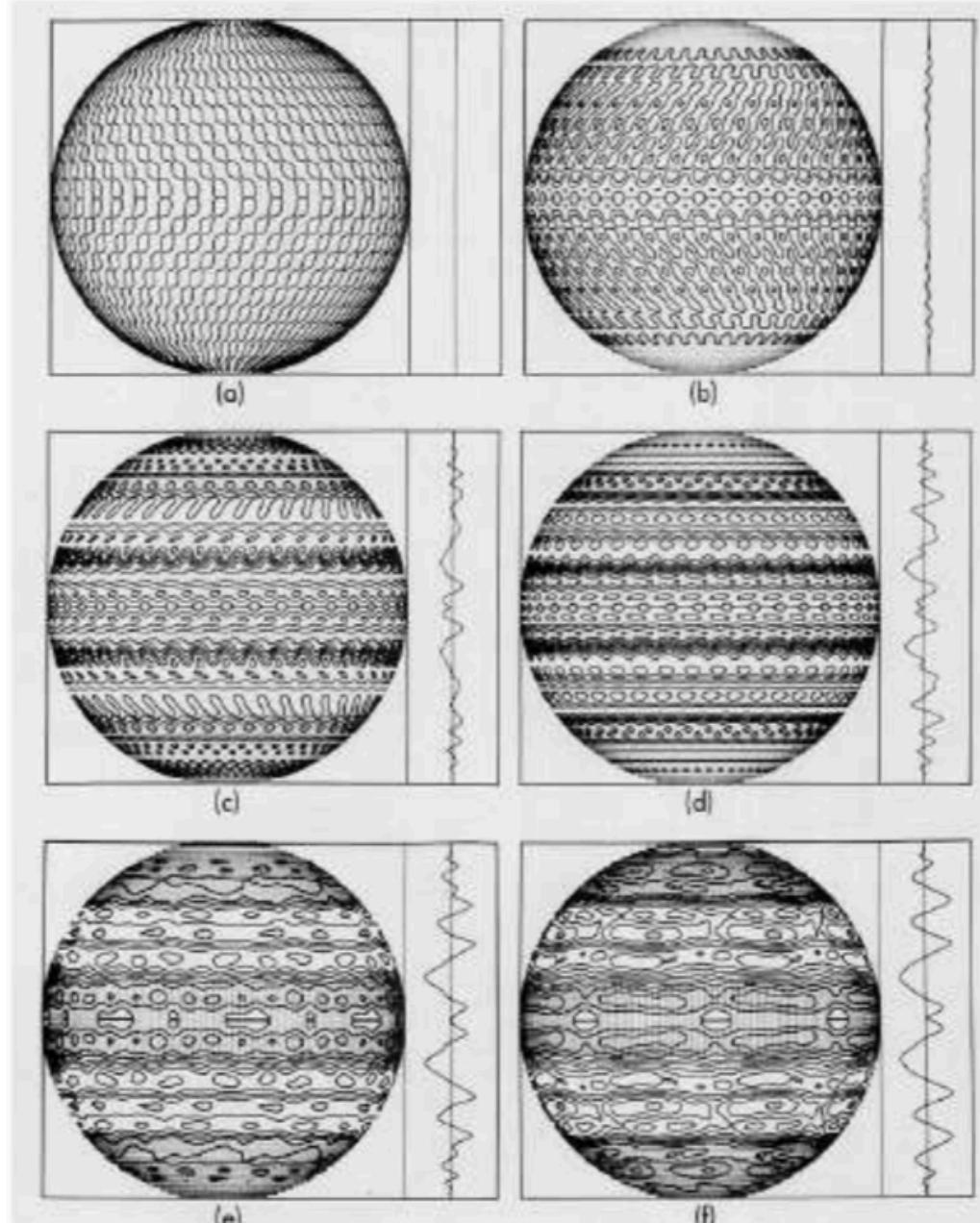
$$L_\beta = \sqrt{\frac{U}{\beta}}$$

which is called **Rhines scale** [Rhines, 1975]

- The inverse cascade of energy will be slowed down near the Rhines scale due to beta effect. At larger scales linear Rossby waves will dominate the flow.

## Simulation of a barotropic fluid on a sphere [Williams, 1978]:

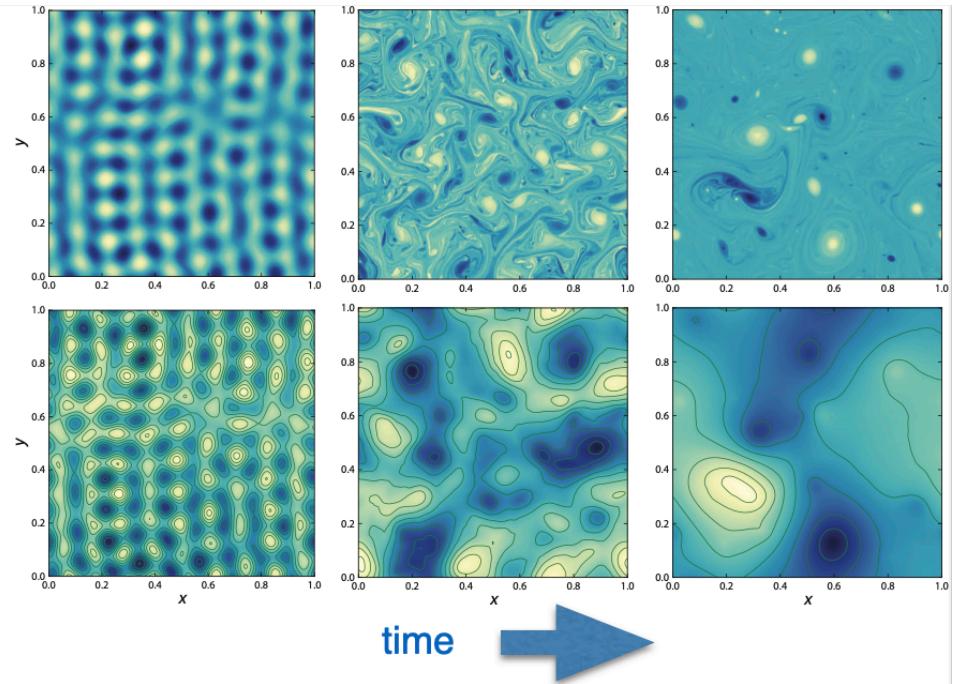
- Arrest of the energy cascade in the y-direction, but not in the x-direction  
= **anisotropic due to beta**
- Result is a banded structure



$$\beta = 0$$

$\zeta$

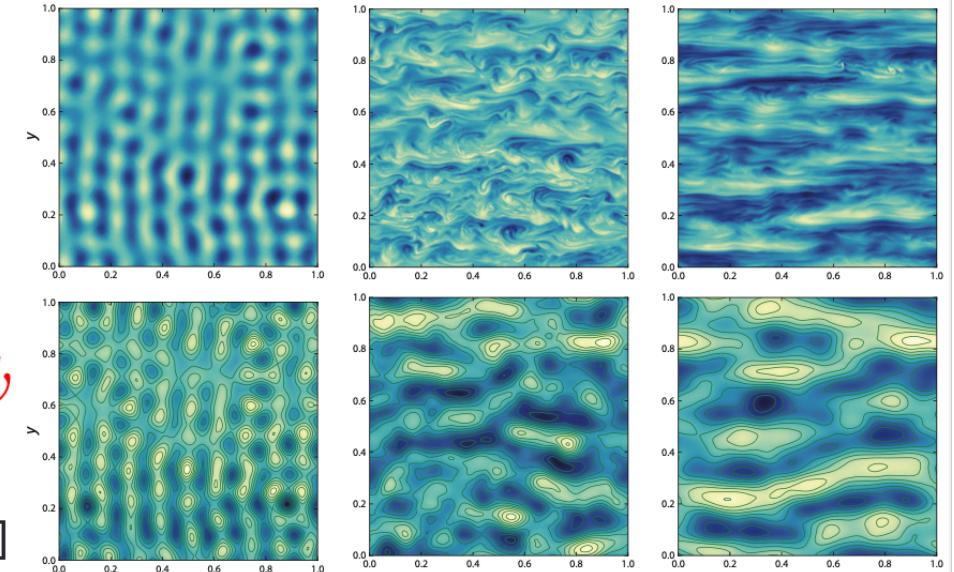
$\psi$



$$\beta \neq 0$$

$\zeta$

$\psi$

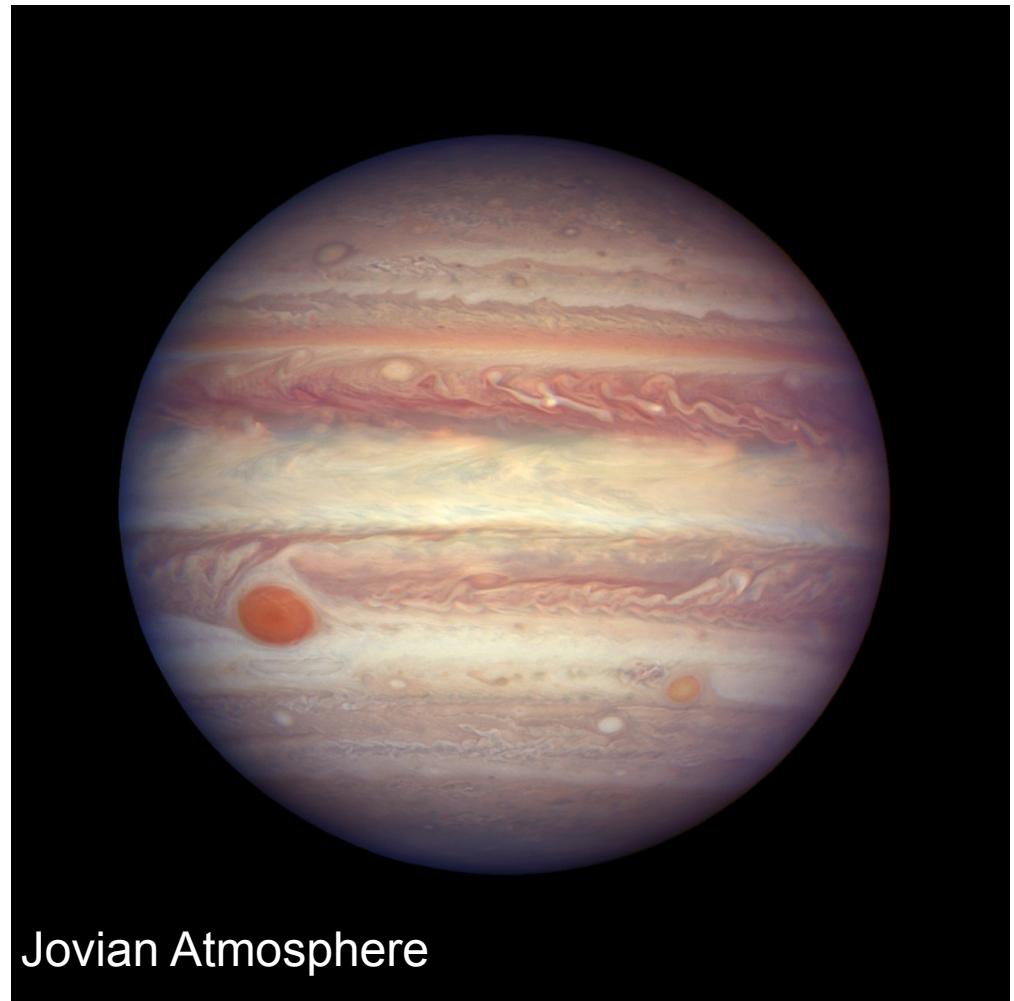


[Vallis, 17]

# 1. Impact of Rotation

**Simulation of a barotropic fluid on a sphere [Williams, 1978]:**

- Slow down of the energy cascade in the y-direction, but not in the x-direction = **anisotropic due to beta**
- Result is a banded structure:



# 1. Impact of Rotation

## Anisotropy of turbulence:

- We can write the “wave” time scale as:

$$\tau_R \propto |\omega^{-1}| = \frac{k^2 + l^2}{\beta k}$$

- We introduce the angle:

$$(k, l) = [\kappa \cos(\theta), \kappa \sin(\theta)]$$

- And the time scale becomes:

$$\tau_R = \frac{\kappa^2}{\beta \kappa \cos(\theta)} = \frac{\kappa}{\beta \cos(\theta)}$$

- The “turbulent” time scale is

$$\tau = \epsilon^{-1/3} \kappa^{-2/3}$$

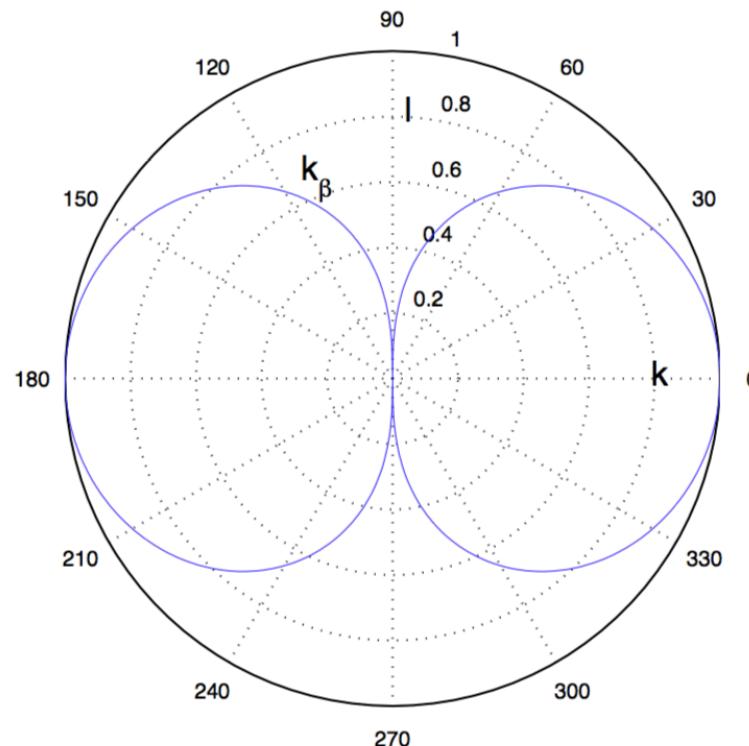
- And the two are equal when:

$$\epsilon^{-1/3} \kappa^{-2/3} = \frac{\kappa}{\beta \cos(\theta)}$$

# 1. Impact of Rotation

Anisotropy of turbulence:

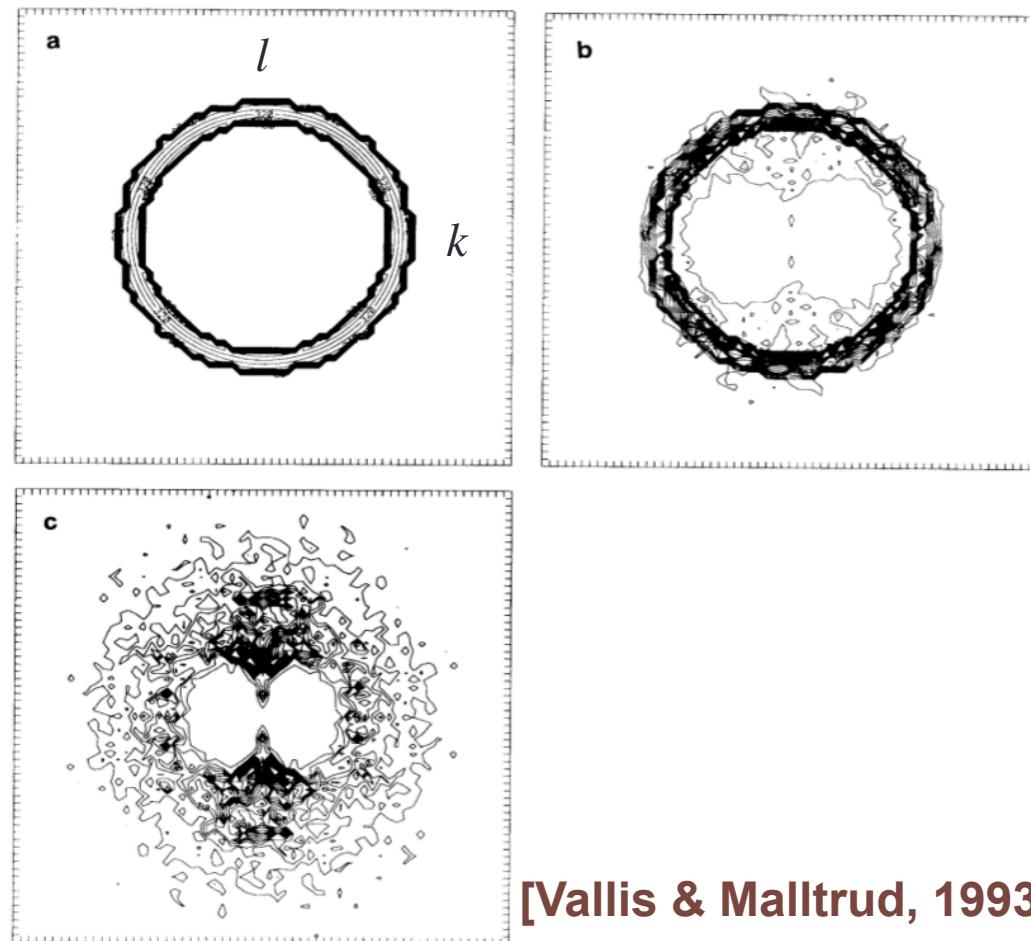
- Which means:  $(k_\beta, l_\beta) = [(\frac{\beta^3}{\epsilon})^{1/5} \cos^{8/5}(\theta), (\frac{\beta^3}{\epsilon})^{1/5} \cos^{3/5}(\theta) \sin(\theta)]$
- The result is an arrest boundary in  $(k, l)$  space:



# 1. Impact of Rotation

Anisotropy of turbulence:

- Evolution of the energy spectrum in a freely-evolving 2D simulation on the *beta*-plane.



[Vallis & Malltrud, 1993]

# 1. Impact of Rotation

**What happens in a closed basin?**

- Rossby waves become a superposition of a propagating wave and a stationary envelope:

$$\psi = A \cos(kx - \omega t) \sin\left(\frac{m\pi x}{L_x}\right) \sin\left(\frac{n\pi y}{L_y}\right)$$

- The dispersion relation becomes

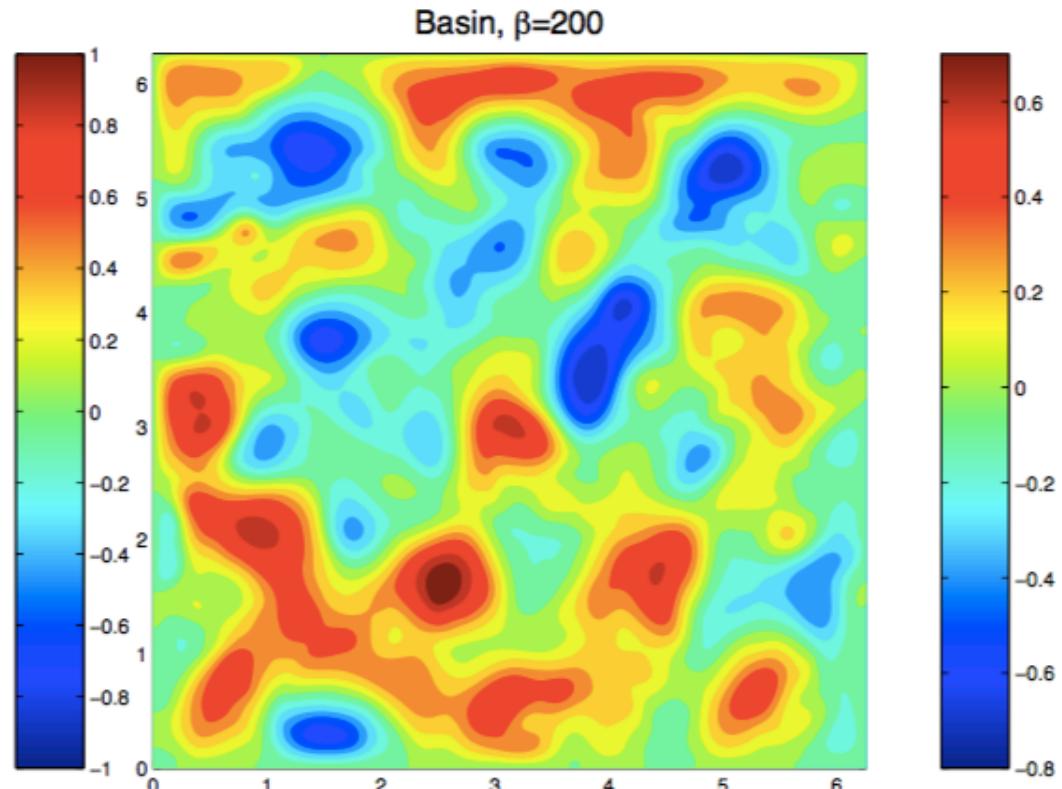
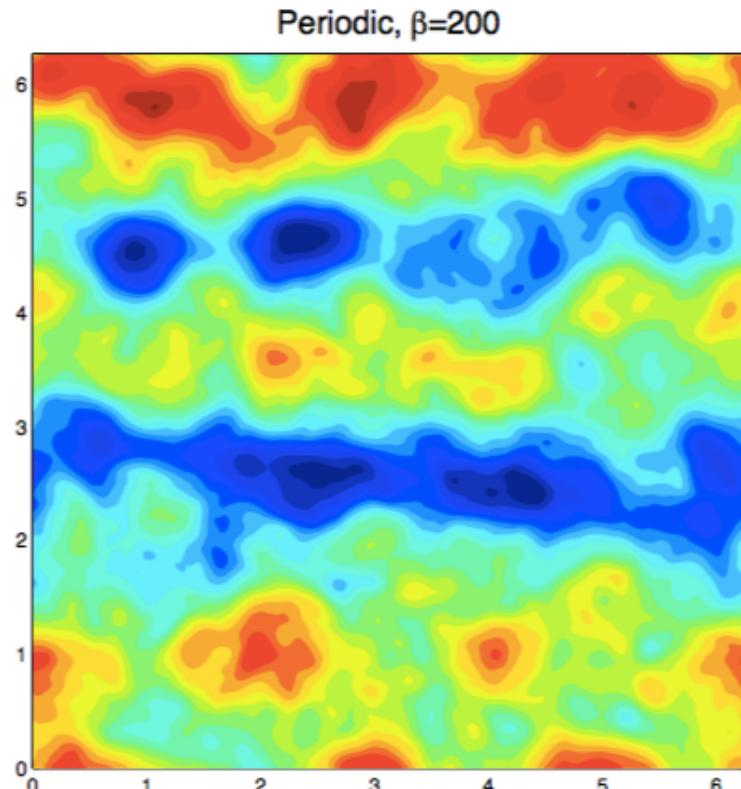
$$\omega = \omega_{mn} = -\frac{\beta}{2\pi(m^2/L_x^2 + n^2/L_y^2)^{1/2}}$$

- And we get an “arrest” scale with no angle dependance!

$$\kappa_\beta = \beta^{3/5} \epsilon^{-1/5}$$

# 1. Impact of Rotation

What happens in a closed basin?



## 2. Impact of Topography

[see Lacasce, p 83]

- A bottom slope would act like similarly to a beta-effect. But instead of limiting N-S motions, it will ***inhibits motion across the depth contours***

= *An inverse cascade would be expected to generate jets over a topographic slope!*

## 2. Impact of Topography

- Conservation of PV for a shallow-water model:

$$\frac{d}{dt} \left[ \frac{\zeta + f}{H + \eta} \right] = 0$$

- Using a QG approximation (small variations of H )

$$q \equiv \frac{\zeta + f}{H} = \frac{\zeta + f_0 + \beta y}{D - h} = \frac{f_0}{D} \left( \frac{1 + \zeta/f_0 + \beta y/f_0}{1 - h/D} \right)$$

$$q \approx \left( 1 + \frac{\zeta}{f_0} + \frac{\beta y}{f_0} + \frac{h}{D} \right) \frac{f_0}{D}$$

## 2. Impact of Topography

- Finally the (adimensioned) equation of vorticity is:

$$\frac{\partial}{\partial t} \zeta + \vec{u} \cdot \nabla (\zeta + \beta y + h) = \nu \nabla^2 \zeta$$

•

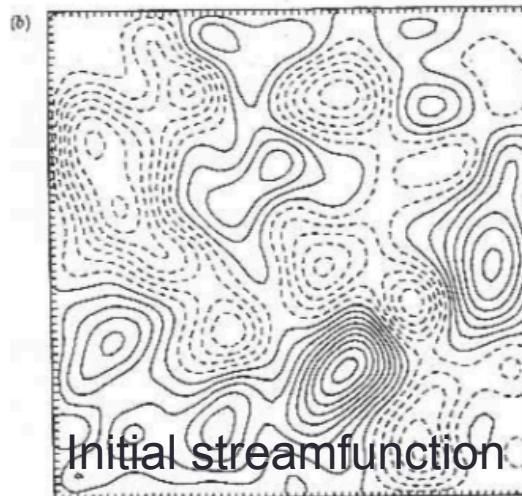
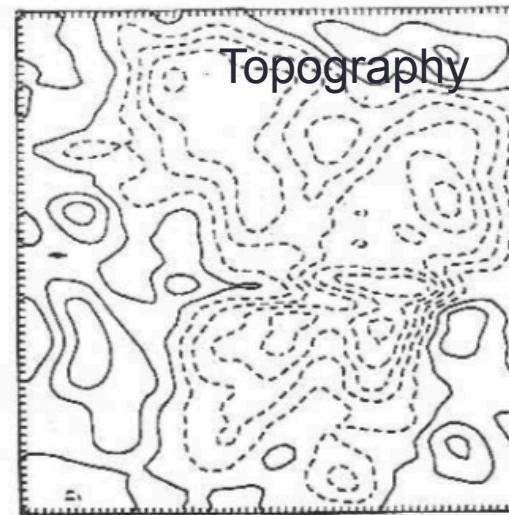
## 2. Impact of Topography

[see Lacasce, p 83]

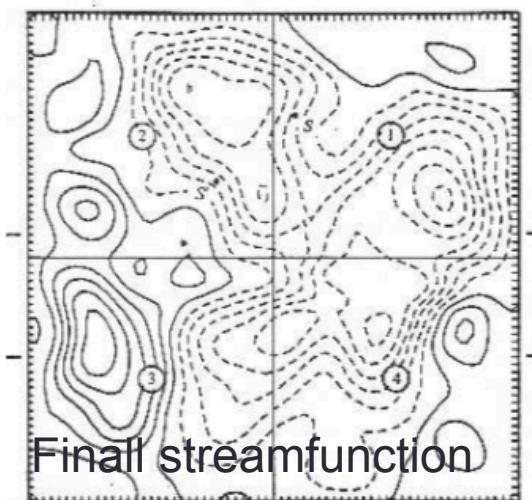
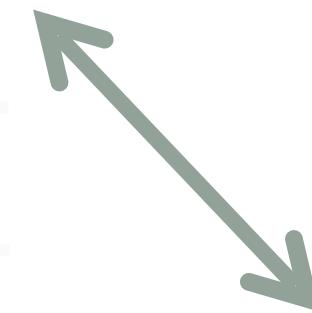
*Simulation of Freely-evolving  
2D turbulence with topography*

= After some time the  
streamfunction ressembles the  
topography

[Bretherton & Haidvogel, 1976]



Initial streamfunction



Final streamfunction

FIGURE 2(a,b). For legend see next page.

$2 \pi L_0$

### 3. Impact of Stratification

- Both atmosphere and ocean are stratified – which allows important aspects of their dynamics such as **baroclinic instability**
- In Barotropic turbulence, triads interactions are limited to interactions between horizontal wavenumbers. When adding a stratification, we will allow interactions between waves with **different vertical structure**.

### 3. Impact of Stratification

- Quasi-geostrophic equations with constant Coriolis parameter and constant stratification:

$$\frac{Dq}{Dt} = 0, \quad q = \nabla^2 \psi + Pr^2 \frac{\partial^2 \psi}{\partial z^2},$$

Where  $Pr$  is the Prandtl ratio  $Pr = f_0/N$

And

$$\bar{D}/\bar{Dt} = \partial/\partial t + \mathbf{u} \cdot \nabla$$

And vertical boundary condition

$$\frac{D}{Dt} \left( \frac{\partial \psi}{\partial z} \right) = 0, \quad \text{at } z = 0, H.$$

### 3. Impact of Stratification

- We can get equations for energy (integrated over the domain)

$$\frac{d\hat{E}}{dt} = 0, \quad \hat{E} = \int_V \left[ (\nabla \psi)^2 + Pr^2 \left( \frac{\partial \psi}{\partial z} \right)^2 \right] dV,$$

$$\frac{d\hat{Z}}{dt} = 0, \quad \hat{Z} = \int_V q^2 dV = \int_V \left[ \nabla^2 \psi + Pr^2 \left( \frac{\partial^2 \psi}{\partial z^2} \right) \right]^2 dV.$$

### 3. Impact of Stratification

- If we rescale the vertical coordinate as

$$z' = z/Pr.$$

$$\hat{E} = \int (\nabla_3 \psi)^2 dV, \quad \hat{Z} = \int q^2 dV = \int (\nabla_3^2 \psi)^2 dV$$

- With a 3D laplacian

$$\nabla_3 = \mathbf{i} \partial/\partial x + \mathbf{j} \partial/\partial y + \mathbf{k} \partial/\partial z'$$

- These 2 invariants imply the same properties than in 2D turbulence:

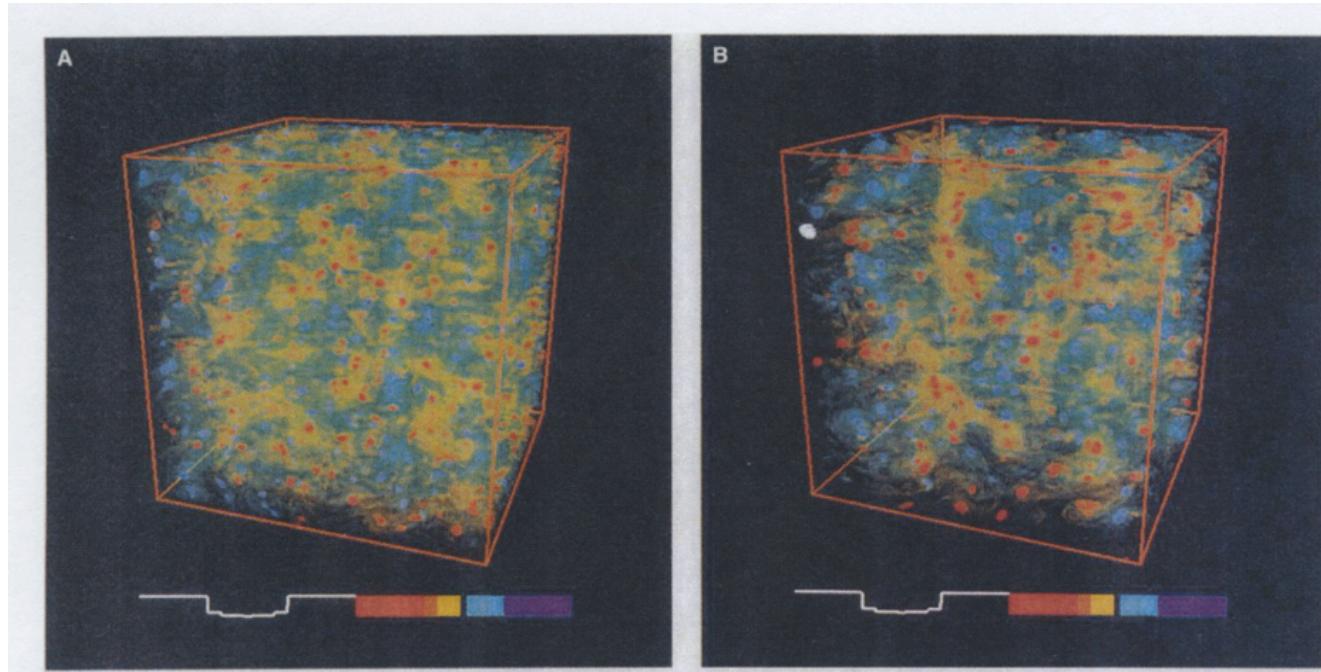
*Energy moves to larger scale and enstrophy to smaller scales.*

### 3. Impact of Stratification

- These 2 invariants imply the same properties than in 2D turbulence: ***Energy moves to larger scale and enstrophy to smaller scales.***
- However, the wavenumber is now a *three-dimensional wavenumber (appropriately scaled by the Prandtl ratio in the vertical)*.
- The energy cascade to larger horizontal scales is accompanied by a cascade to larger vertical scales — a ***barotropization of the flow.***

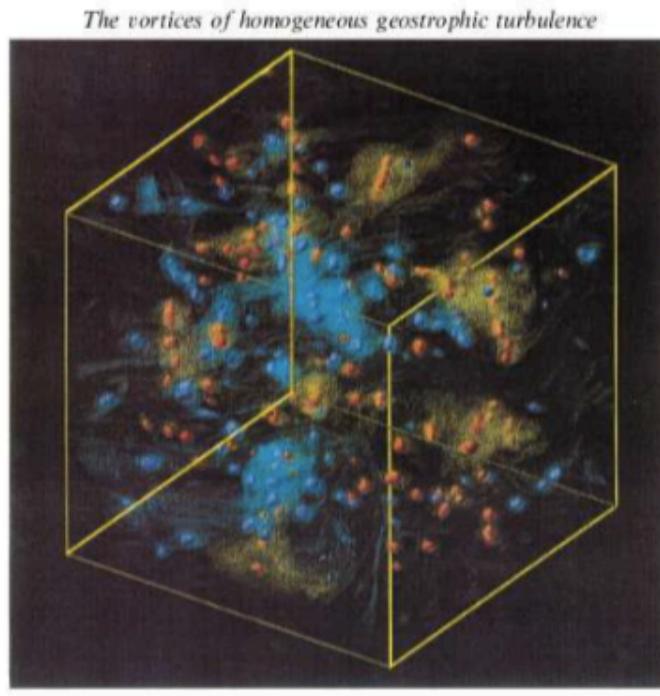
### 3. Impact of Stratification

[McWilliams et al., 1999]

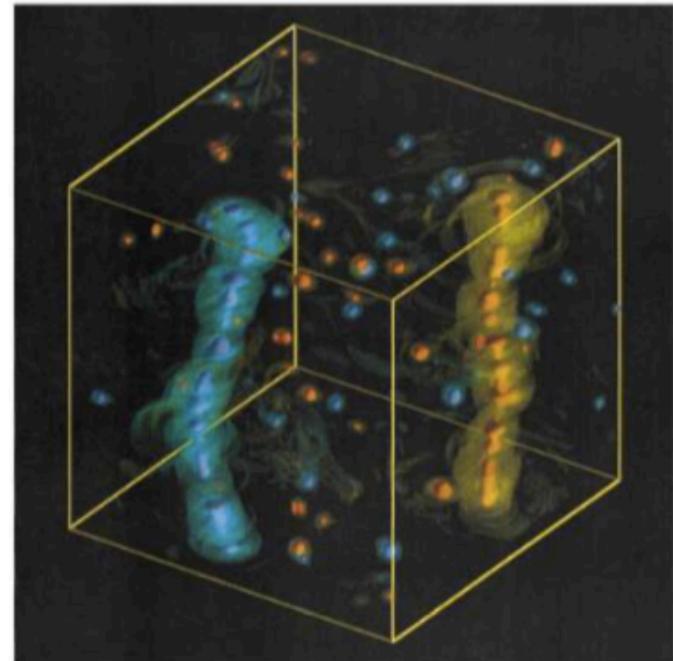


- Potential vorticity from a 3D QG simulation

### 3. Impact of Stratification



[McWilliams et al., 1999]



- Potential vorticity from a 3D QG simulation = **vertical alignment of vortex structures**

### 3. Impact of Stratification

- To understand the barotropization, we can write:

$$q = \nabla^2 \psi + \frac{f_0^2}{N^2} \frac{\partial^2}{\partial z^2} \psi$$

$$\frac{UL}{L^2} \quad \frac{f_0^2 UL}{N^2 H^2}$$

$$1 \quad \frac{f_0^2 L^2}{N^2 H^2}$$

- With  $\frac{f_0^2 L^2}{N^2 H^2} = \frac{L^2}{L_d^2}$  and the deformation radius  $L_d = \frac{NH}{f_0}$

### 3. Impact of Stratification

- If the vortex is larger than  $L_d$ , the stretching velocity dominates.
- If the vortex is smaller than  $L_d$ , the relative velocity dominates.
- Small vortices will behave just like vortices in 2-D turbulence on each vertical level = Like-sign vortices will merge, making larger vortices.
- As the vortices become larger, the stretching vorticity will be more important. The vortices will have greater vertical extent and will vertically *align* with one another = merging in the vertical.

### 3. Impact of Stratification

- *What is the role of baroclinic instability?*
- A simple model to study it is the two-layer geostrophic model [see Vallis, p403] (no topography / beta effect for now)

$$\frac{\partial q_i}{\partial t} + J(\psi_i, q_i) = 0, \quad i = 1, 2,$$

- Where:

$$q_1 = \nabla^2 \psi_1 + \frac{1}{2} k_d^2 (\psi_2 - \psi_1), \quad q_2 = \nabla^2 \psi_2 + \frac{1}{2} k_d^2 (\psi_1 - \psi_2),$$

$$J(a, b) = \frac{\partial a}{\partial x} \frac{\partial b}{\partial y} - \frac{\partial b}{\partial y} \frac{\partial a}{\partial x}, \quad \frac{1}{2} k_d^2 = \frac{2f_0^2}{g' H} \equiv \frac{4f_0^2}{N^2 H^2}.$$

### 3. Impact of Stratification

- *What is the role of baroclinic instability?*
- You can decompose into barotropic (vertical mode = 0) and baroclinic modes (Vertical modes =  $\pm 1$ ):

$$\psi \equiv \frac{1}{2}(\psi_1 + \psi_2), \quad \tau \equiv \frac{1}{2}(\psi_1 - \psi_2).$$

- And look at possible triad interactions (*sum of vertical modes must be 0*):

$$(\psi, \psi) \rightarrow \psi, \quad (\tau, \tau) \rightarrow \psi, \quad (\psi, \tau) \rightarrow \tau.$$

### 3. Impact of Stratification

- Energy is conserved:

$$\begin{aligned}\hat{T} &= \int_A (\nabla \psi)^2 dA, & \frac{d\hat{T}}{dt} &= \int_A \psi J(\tau, (\nabla^2 - k_d^2)\tau) dA \\ \hat{C} &= \int_A [(\nabla \tau)^2 + k_d^2 \tau^2] dA, & \frac{d\hat{C}}{dt} &= \int_A \tau J(\psi, (\nabla^2 - k_d^2)\tau) dA.\end{aligned}$$

$$\frac{d\hat{E}}{dt} = \frac{d}{dt}(\hat{T} + \hat{C}) = 0.$$

- With corresponding spectra:

$$\hat{T} = \int \mathcal{T}(k) dk \quad \text{and} \quad \hat{C} = \int C(k) dk,$$

### 3. Impact of Stratification

- Enstrophy is also conserved::

$$\frac{d\hat{Z}}{dt} = 0, \quad \hat{Z} = \int_A (\nabla^2 \psi)^2 + [(\nabla^2 - k_d^2)\tau]^2 dA.$$

- With corresponding spectra:

$$\hat{Z} = \int z(k) dk = \int [k^2 T(k) + (k^2 + k_d^2) C(k)] dk.$$

### 3. Impact of Stratification

Two types of triads are possible:

- 1. barotropic triads (*same than 2D turbulence*)

$$\begin{aligned} \text{Energy:} \quad & \frac{d}{dt} (\mathcal{T}(k) + \mathcal{T}(p) + \mathcal{T}(q)) = 0, \\ \text{Enstrophy:} \quad & \frac{d}{dt} (k^2 \mathcal{T}(k) + p^2 \mathcal{T}(p) + q^2 \mathcal{T}(q)) = 0. \end{aligned}$$

- 2. Baroclinic triads (2 baroclinic modes:  $p, q$  + 1 barotropic mode:  $k$ )

$$\begin{aligned} \text{Energy:} \quad & \frac{d}{dt} (\mathcal{T}(k) + C(p) + C(q)) = 0, \\ & \quad (9.38a) \end{aligned}$$

$$\begin{aligned} \text{Enstrophy:} \quad & \frac{d}{dt} (k^2 \mathcal{T}(k) + (p^2 + k_d^2)C(p) + (q^2 + k_d^2)C(q)) = 0. \\ & \quad (9.38b) \end{aligned}$$

- *4 different subcases depending on scales of  $k, p, q, k_d$*

### 3. Impact of Stratification

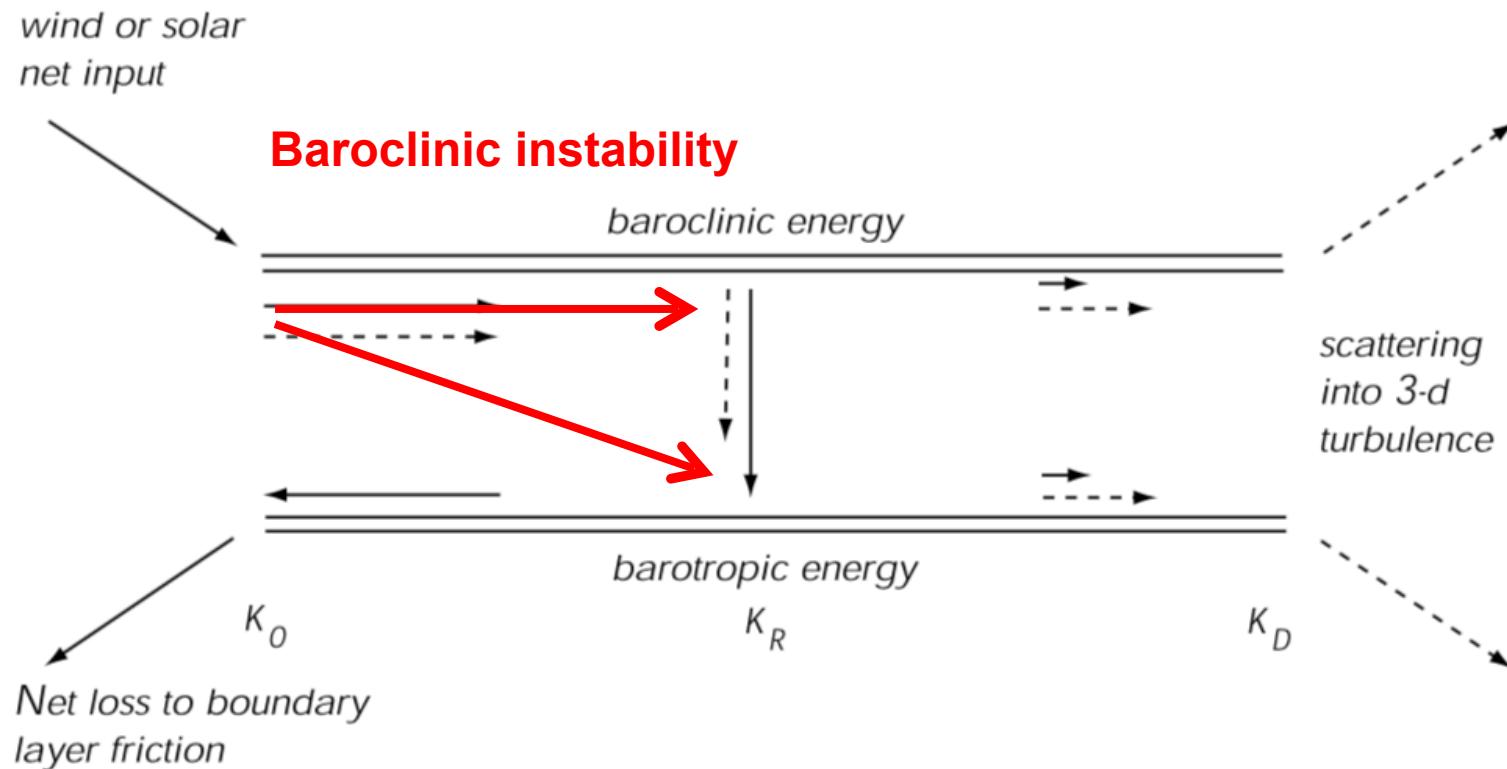
Baroclinic triads:

- A.  $(p, q) \gg k_d$  = analog to barotropic case
- B.  $(k, p, q) \ll k_d$  = exchange between baroclinic modes only
- C.  $(k, p, q) \sim k_d$  = barotropization
- D.  $p \ll (k, q, k_d)$  = Baroclinic instability

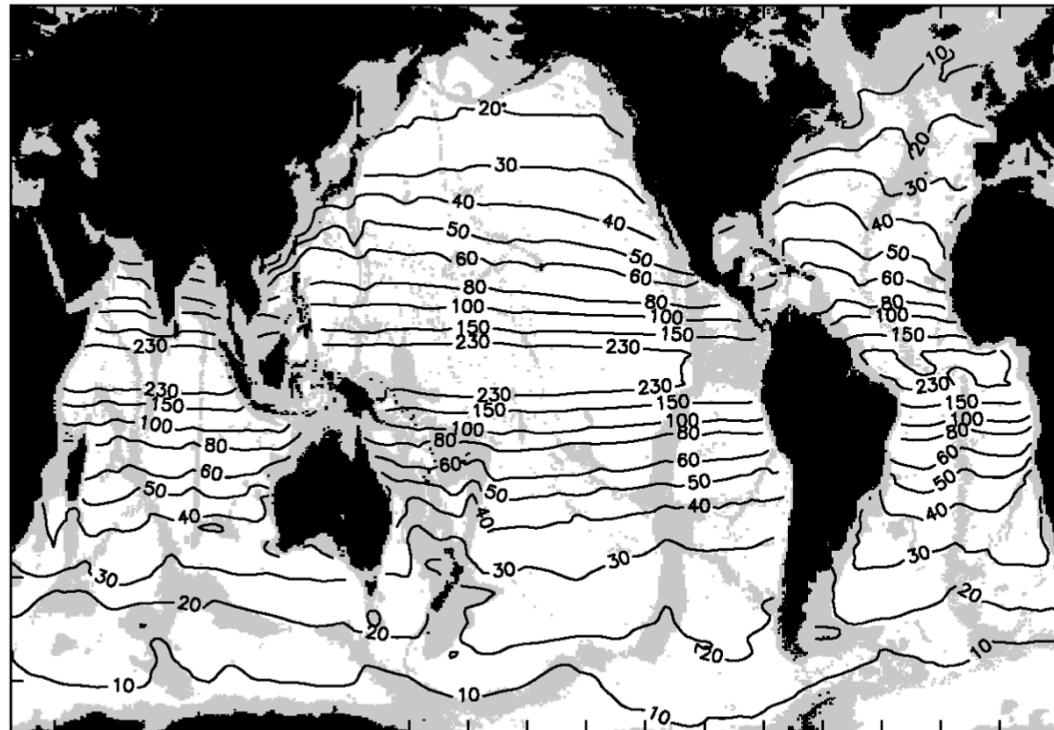
The large scale baroclinic mode is the mean vertical shear in classic baroclinic instability model = **Non local interactions**

### 3. Impact of Stratification

Idealized two-layer baroclinic turbulence:



### 3. Impact of Stratification



**Fig. 9.10** The oceanic first deformation radius  $L_d$ , calculated by using the observed stratification from the eigenproblem:

$\partial^2\phi/\partial z^2 + (N^2(z)/c^2)\phi = 0$  with  $\phi = 0$  at  $z = 0$  and  $z = -H$ , where  $H$  is the ocean depth and  $N$  is the observed buoyancy frequency. The deformation radius is given by  $L_d = c/f$  where  $c$  is the first eigenvalue and  $f$  is the latitudinally varying Coriolis parameter. Near equatorial regions are excluded, and regions of ocean shallower than 3500 m are shaded. Variations in Coriolis parameter are responsible for much of large-scale variability.

### 3. Impact of Stratification

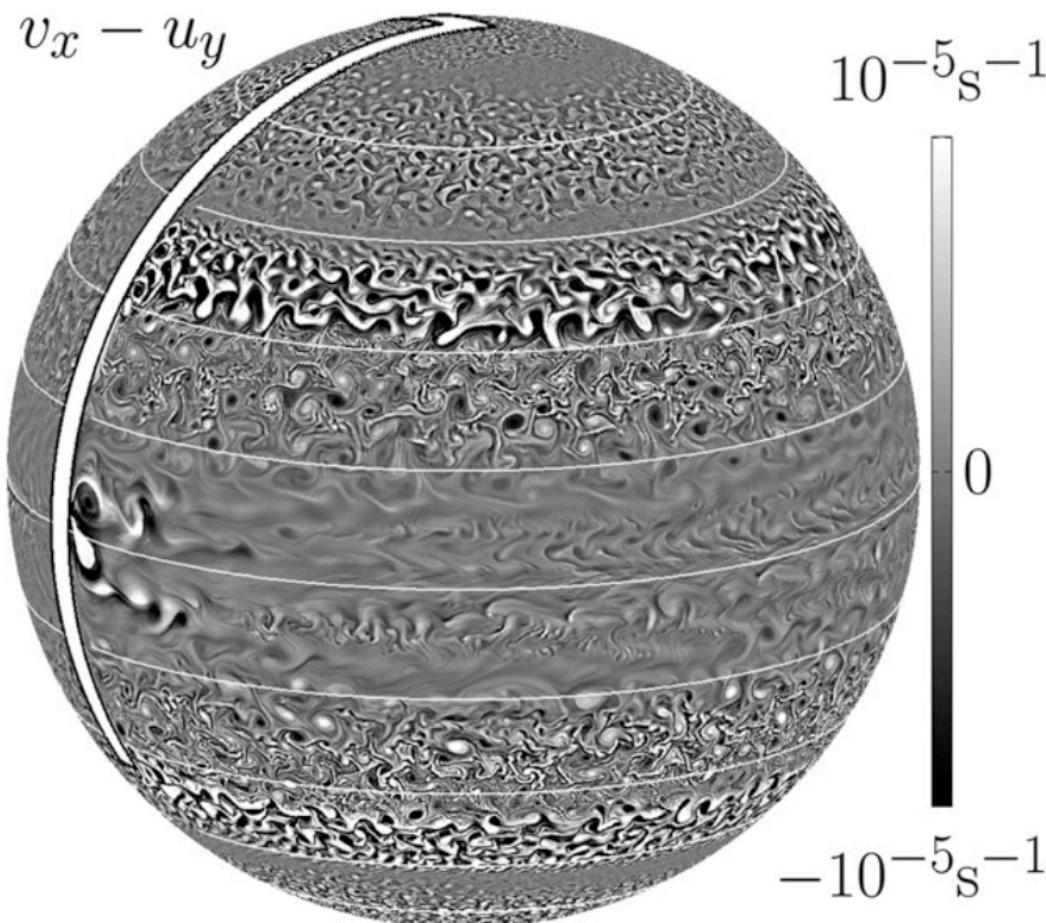


FIG. 9. Snapshot of surface relative vorticity in the eddying Double Drake simulation. The thick, meridional white stripe is the land barrier at the western boundary of the large basin. The thin white zonal stripes denote latitude lines that are spaced by 15°.

[Tulloch et al., 2011]

# TURBULENCE

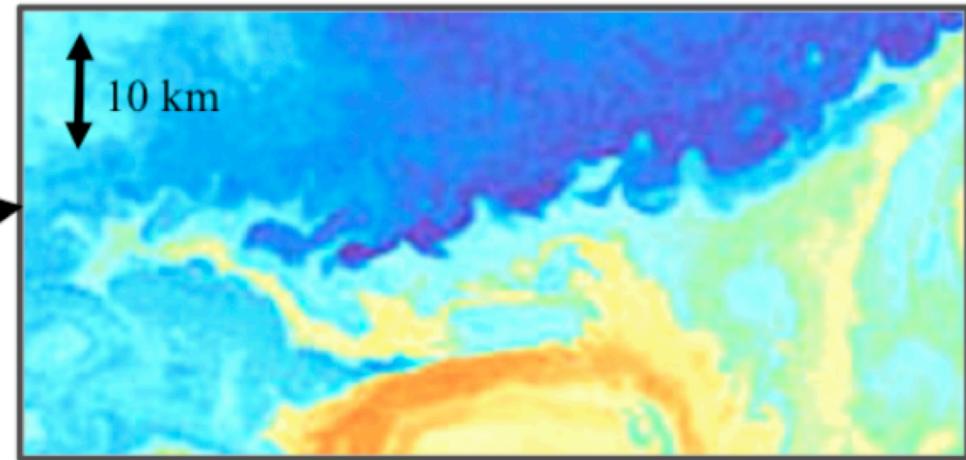
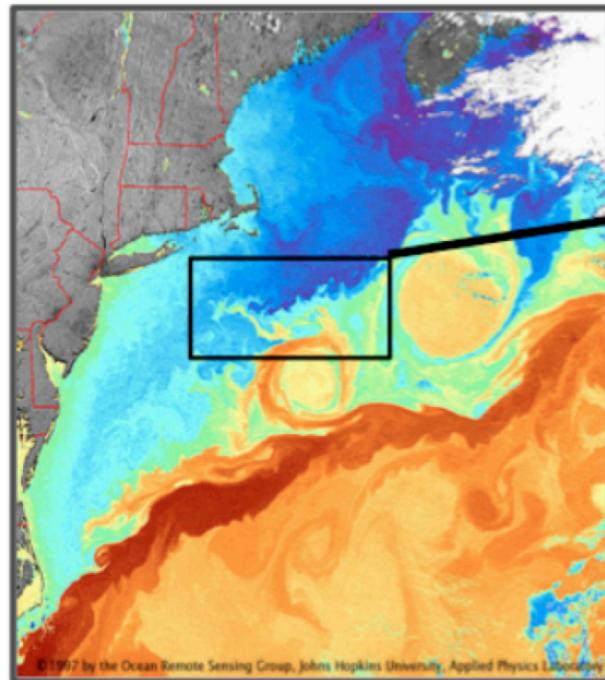
---

## 4. SQG TURBULENCE

# Surface Quasi-geostrophic turbulence

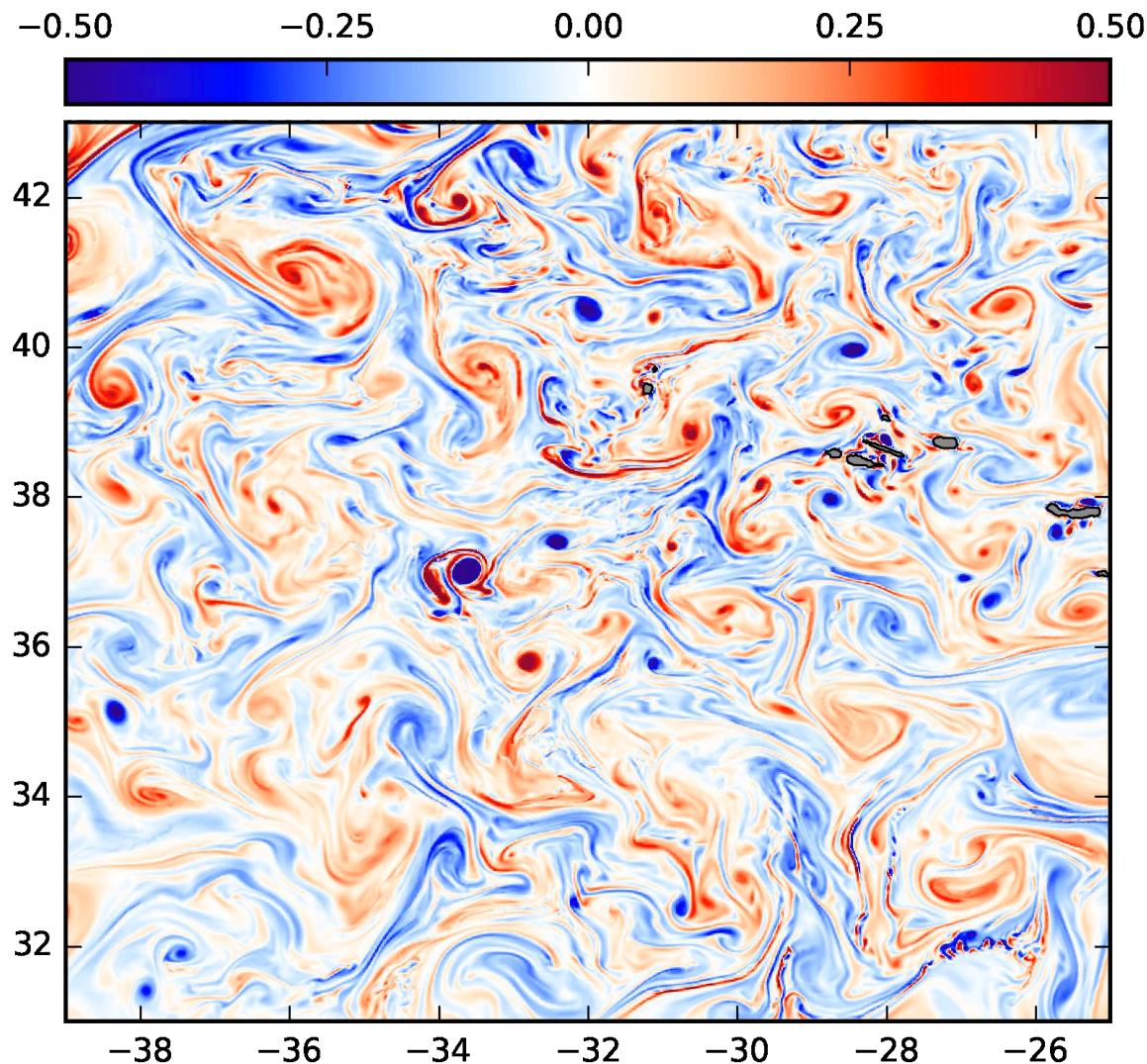
= QG turbulence with a few twists! [see Lapeyre, 2017]

- Regions close to boundaries (ocean surface or atmospheric tropopause) behave differently than the interior:



[Capet., 2015]

# Surface Quasi-geostrophic turbulence



# General Quasi-geostrophic equations

- General QG equations:

$$\frac{\partial PV}{\partial t} + \vec{u} \cdot \nabla PV = 0,$$

$$(u, v) = \left( -\frac{\partial \psi}{\partial y}, \frac{\partial \psi}{\partial x} \right),$$

$$b = f_0 \frac{\partial \psi}{\partial z},$$

$$PV = f_0 + \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right)$$

# General Quasi-geostrophic equations

- In addition to PV conservation, conditions on the horizontal and vertical boundaries of the domain are to be defined. In particular, surface buoyancy is conserved along the surface flow:

$$\frac{\partial b_s}{\partial t} + \vec{u}_s \cdot \nabla b_s = 0,$$

$$b_s = f_0 \frac{\partial \psi}{\partial z} \Big|_{z=0},$$

# General Quasi-geostrophic equations

- buoyancy at the surface plays the same role as potential vorticity in the interior of the fluid:

$$\frac{\partial \psi}{\partial z} \Big|_{z=0} = \frac{b_s}{f_0}$$

Can be replaced by

$$\frac{\partial \psi}{\partial z} \Big|_{z=0} = 0,$$

If PV is defined as:

$$\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right) = PV - \frac{b_s}{f_0} \delta(z).$$

the surface buoyancy plays the role of a Dirac function for the PV field (a PV sheet)

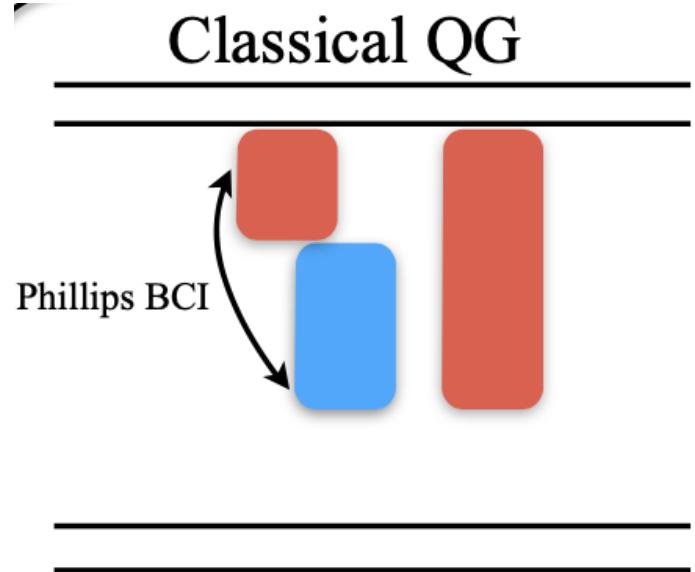
# General Quasi-geostrophic equations

- the contributions of the surface buoyancy and the interior PV to the total flow can be separated leading to interior and a surface-induced dynamics :
  - 1. The classical “interior-PV” QG model:
  -

$$PV = \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right),$$

$$f_0 \frac{\partial \psi}{\partial z} \Big|_{z=0} = 0,$$

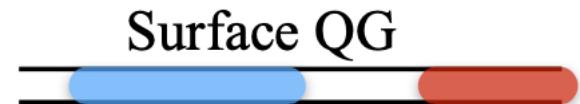
$$\frac{\partial PV}{\partial t} + \vec{u} \cdot \nabla PV = 0.$$



# General Quasi-geostrophic equations

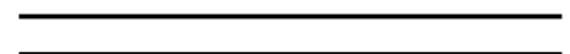
- the contributions of the surface buoyancy and the interior PV to the total flow can be separated leading to interior and a surface-induced dynamics :
  - 2. The surface QG model: (conservation of the surface buoyancy along the surface geostrophic flow with uniform  $PV$  in the interior):

$$PV = \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right) = 0,$$



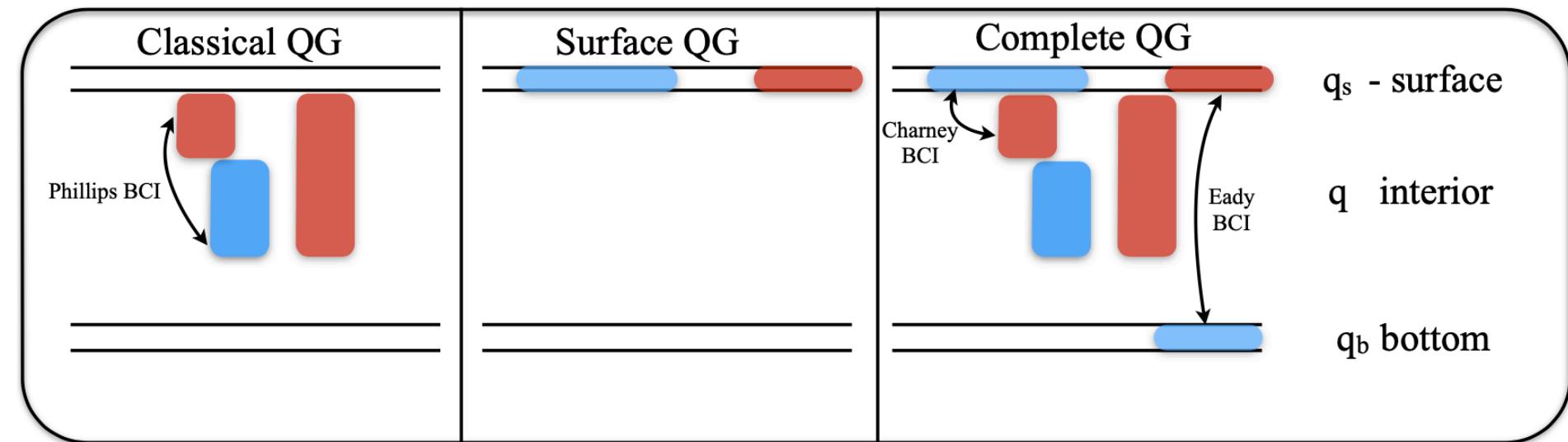
$$b_s = f_0 \frac{\partial \psi}{\partial z} \Big|_{z=0},$$

$$\frac{\partial b_s}{\partial t} + \vec{u}_s \cdot \nabla b_s = 0.$$



# General Quasi-geostrophic equations

- In general, surface and internal modes can interact together, but classical and SQG theories can already explain many observed regimes.



# Surface Quasi-geostrophic turbulence

- SQG equations (non-dimensional form) :

$$\begin{aligned} \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2} &= 0, \\ \theta &= \left. \frac{\partial \psi}{\partial z} \right|_{z=0}, \\ \lim_{z \rightarrow +\infty} \frac{\partial \psi}{\partial z} &= 0, \\ \vec{u}_s &= \left( -\left. \frac{\partial \psi}{\partial y} \right|_{z=0}, \left. \frac{\partial \psi}{\partial x} \right|_{z=0} \right), \\ \frac{\partial \theta}{\partial t} + \vec{u}_s \cdot \nabla \theta &= 0. \end{aligned}$$

- SQG dynamics is entirely driven by the density anomaly evolution at the boundary:

$$\hat{\psi}(\vec{k}, z) = -\frac{\hat{\theta}(\vec{k})}{K} \exp(-Kz),$$

- for each Fourier component, the streamfunction decreases exponentially with  $z$ .

# Surface Quasi-geostrophic turbulence

- There are 2 invariants in the SQG system:
  - **the surface potential energy** (corresponding to buoyancy variance)

$$\mathcal{P} = \frac{1}{2} \iint \theta^2 dx dy,$$

- the total vertically-integrated (kinetic and potential) **energy**

$$\mathcal{E} = \frac{1}{2} \iiint \left( \left( \frac{\partial \psi}{\partial x} \right)^2 + \left( \frac{\partial \psi}{\partial y} \right)^2 + \left( \frac{\partial \psi}{\partial z} \right)^2 \right) dx dy dz = -\frac{1}{2} \iint \psi_s \theta dx dy,$$

# Surface Quasi-geostrophic turbulence

- Inertial ranges studied by Blumen (1978):
  - Forward cascade of  $\theta$  variance (and  $E_{\theta s}$ ) toward small scales ( $k^{-5/3}$ ) (+ *conversion from potential to kinetic energy*)
  - Inverse cascade of kinetic energy ( $k^{-5/3}$ )
  -

# Surface Quasi-geostrophic turbulence

- SQG is driven by frontogenesis = source of KE at small scales

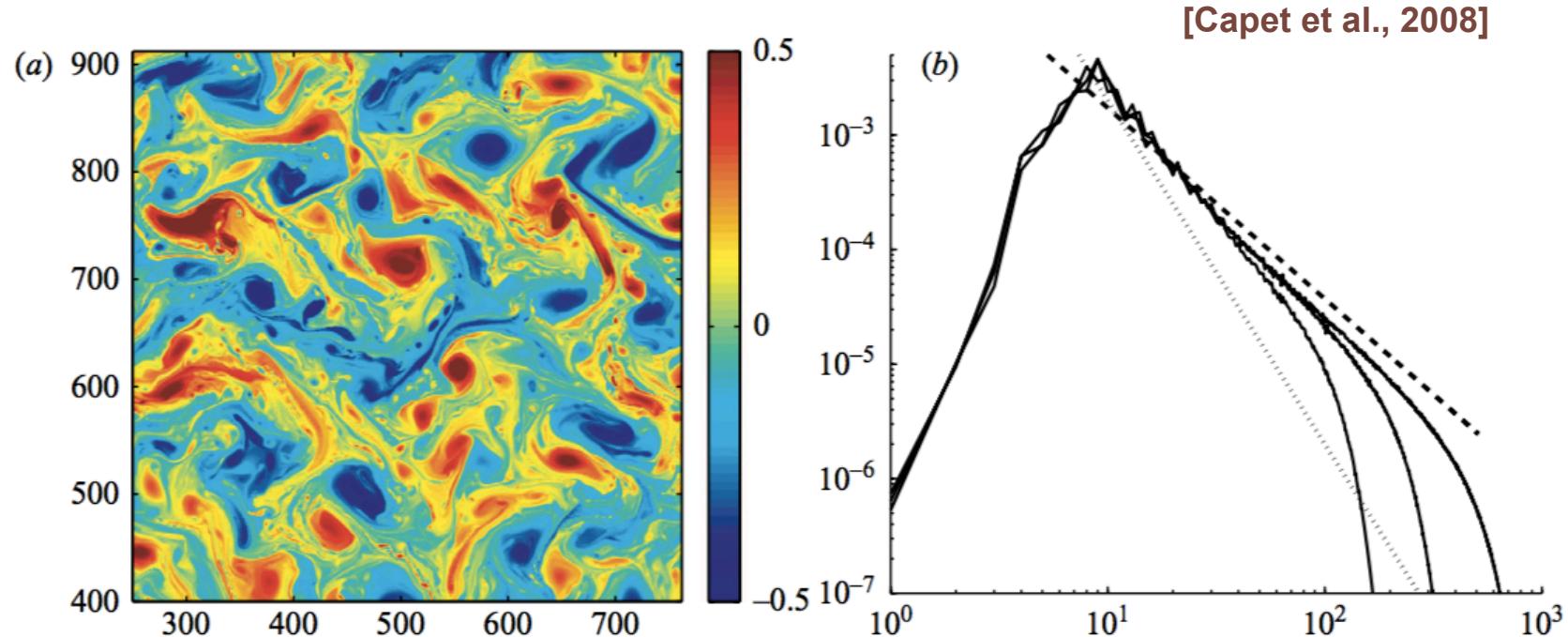


FIGURE 1. (a) Snapshot of surface density at  $t = 8$  over a  $512 \times 512$  points subregion for simulation HR. (b) LR, MR and HR spectra (continuous with high-wavenumber variance increasing with resolution) of surface density variance (i.e. also surface KE) averaged over the time interval [6.4 11.2]. The dashed line (resp. dotted) represents a  $-5/3$  (resp.  $-3$ ) slope.

# Surface Quasi-geostrophic turbulence

- SQG is driven by frontogenesis = source of KE at small scales

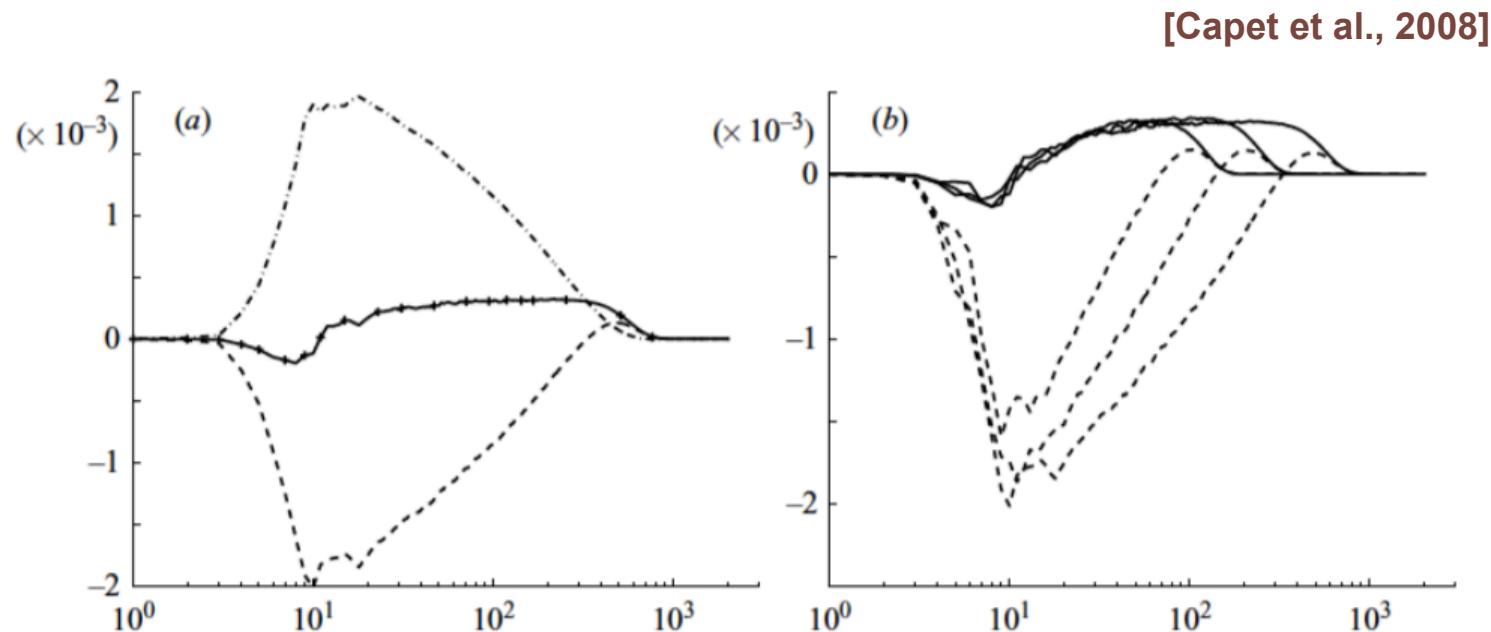


Figure 18: (a) Spectrum transfer functions  $\Pi$  in a freely decaying solution for SQG in a horizontally periodic domain with  $N = 1024$  grid points in each direction: for surface temperature variance ( $\Pi_\theta$ , solid line), surface kinetic energy due to horizontal geostrophic advection ( $\Pi_u$ , dashed line) and to horizontal ageostrophic advection ( $\Pi_a$ , dot-dashed line). (b)  $\Pi_u$  and  $\Pi_\rho$  for three values of  $N = 256, 512, 1024$ . (Capet et al., 2008a)

# Turbulence in the ocean

- 2D, QG, SQG turbulence all predict inverse kinetic energy cascades... ***So how is energy able to escape to reach smaller scales (and 3d turbulence regimes)?***
- Energy will forward cascade into **non-geostrophic types of turbulence** due to frontogenesis (which reach high Ro number at small scales), different type of submesoscale ageostrophic instabilities, spontaneous wave emissions, interactions with NIW, etc.

# Turbulence in the ocean

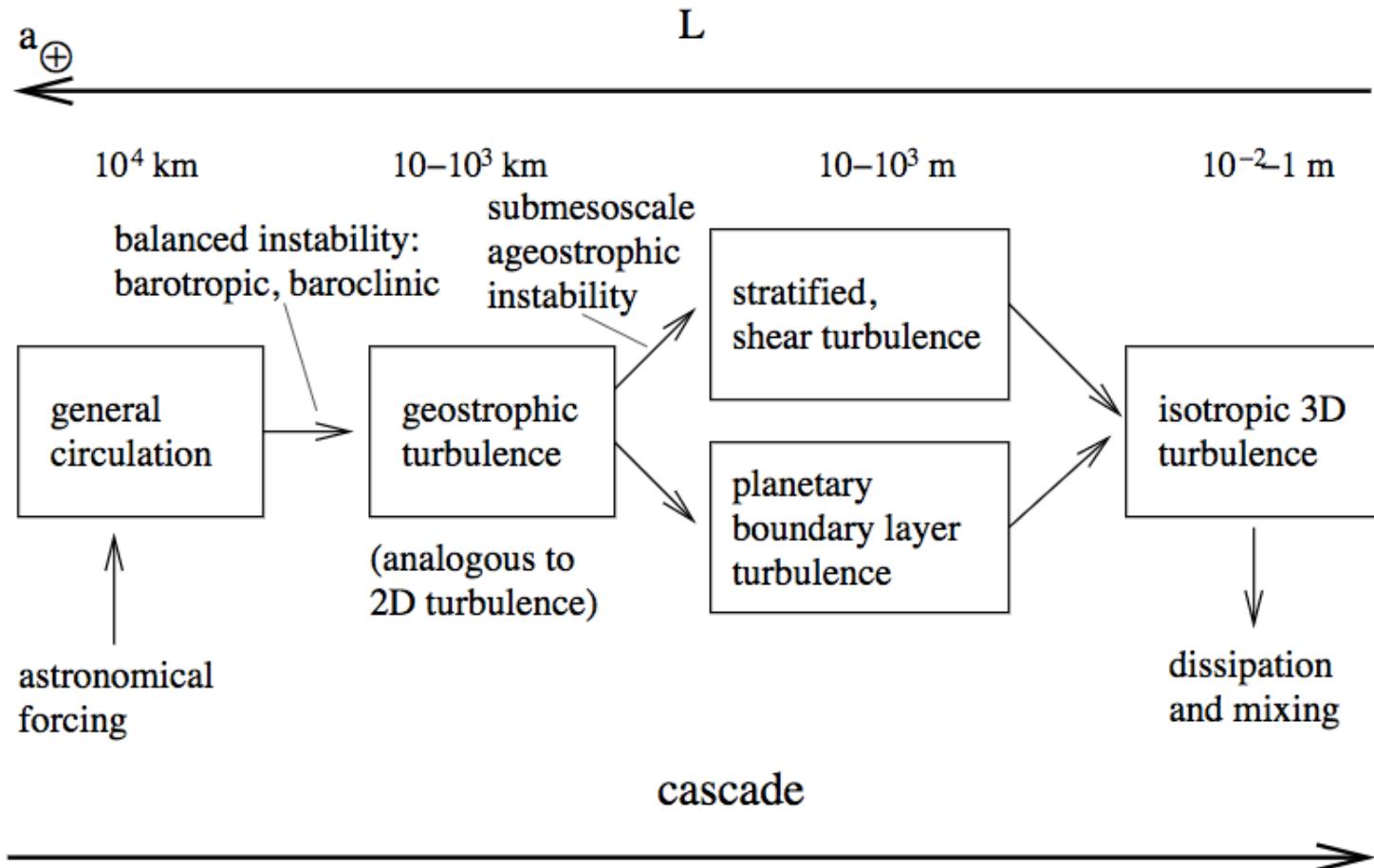


Figure 8: Schematic diagram of the regimes of turbulence in the atmosphere and ocean in a broad sweep of energy from the astronomically-forced planetary scale down to the microscale where mixing and dissipation occur.

# TURBULENCE

---

## 5. REALISTIC TURBULENCE

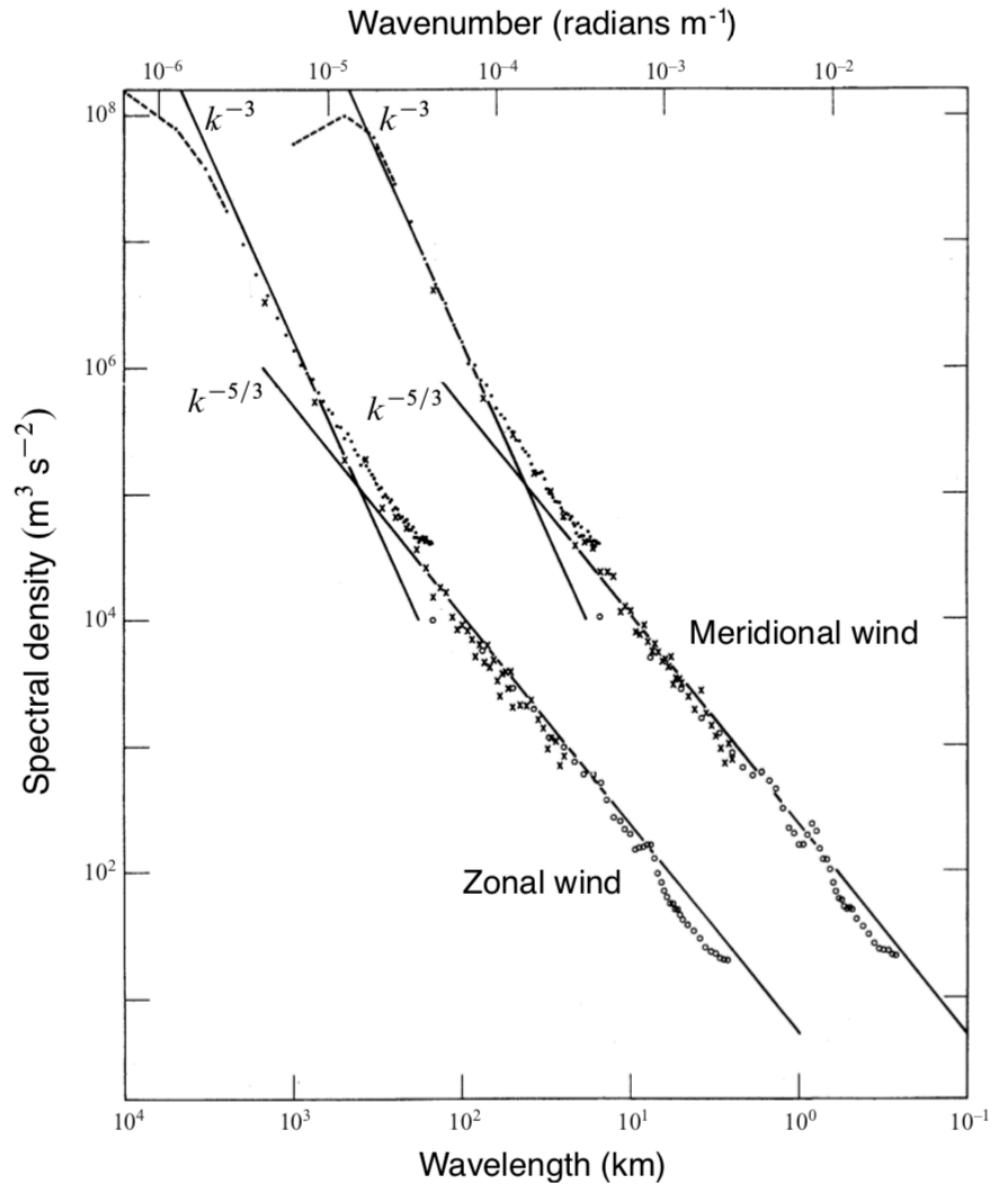
# Atmosphere

*Energy spectra of the zonal and meridional wind near the tropopause, from thousands of commercial aircraft measurements between 1975 and 1979.  
(from Gage and Nastrom 1986)*

= direct enstrophy cascade  
 $(k^{-3})$  between 2000 and 100 km

consistent with theory of QG turbulence.

The  $k^{-5/3}$  nature is still debated (3d turbulence, inverse 2d cascade?)

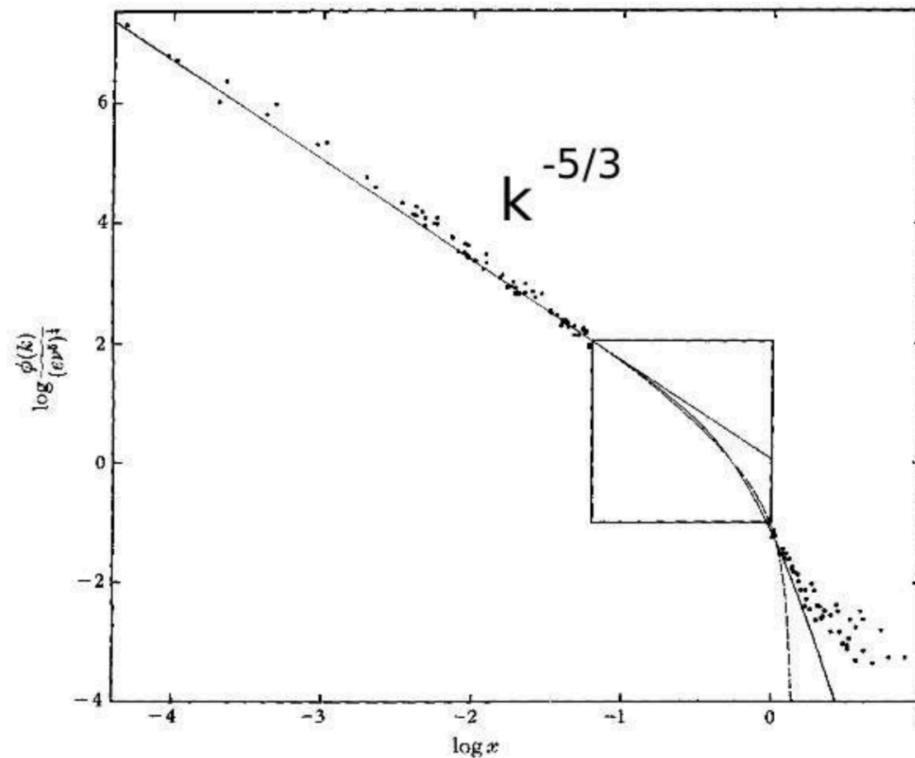


# 3d turbulence in the Ocean

Energy spectrum from towed measurements in a a tidally-mixed fjord on the west coast of the US by Grant et al. (1962).

= direct energy cascade  
 $(k^{-5/3})$

consistent with 3d turbulence



# QG turbulence in the ocean

*Wavenumber Spectrum in  
the Gulf Stream from  
Shipboard ADCP  
Observations*

= direct enstrophy  
cascade ( $k^{-3}$ )

consistent with theory of  
QG turbulence

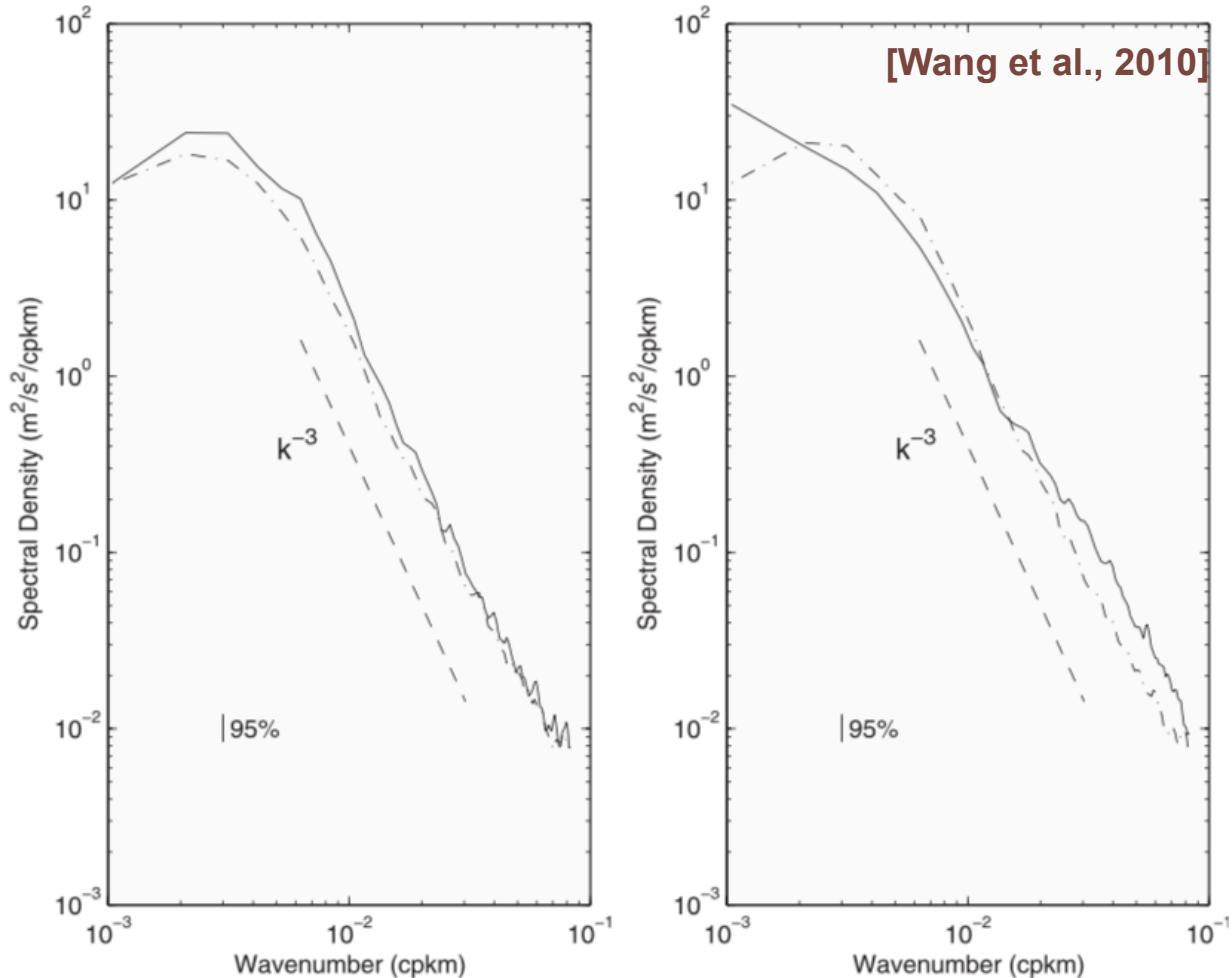
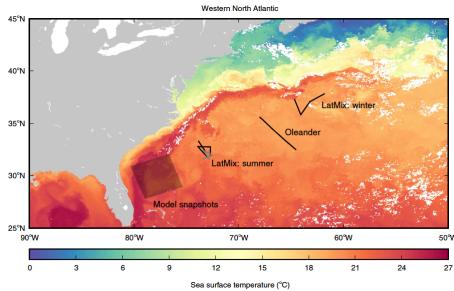


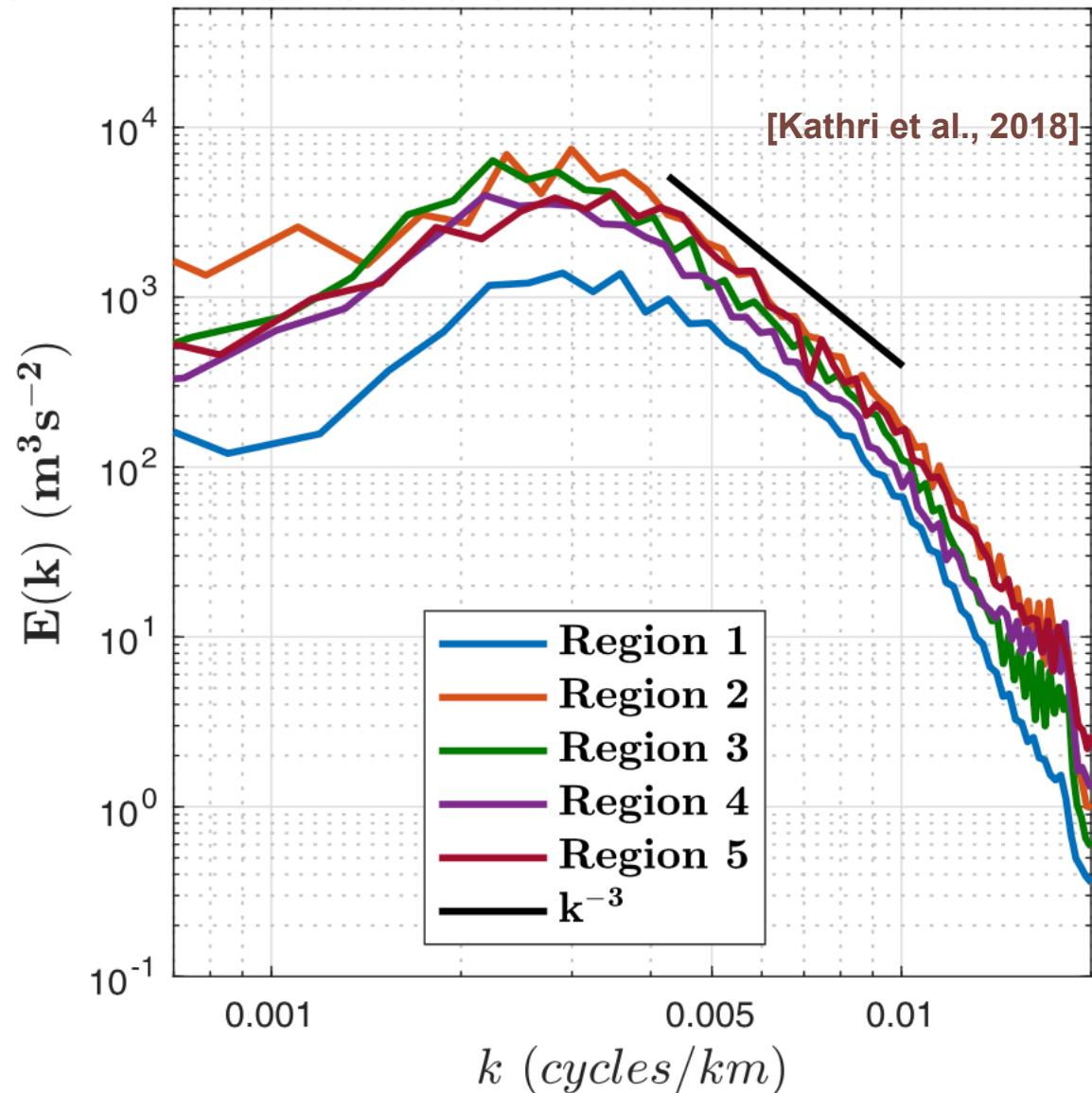
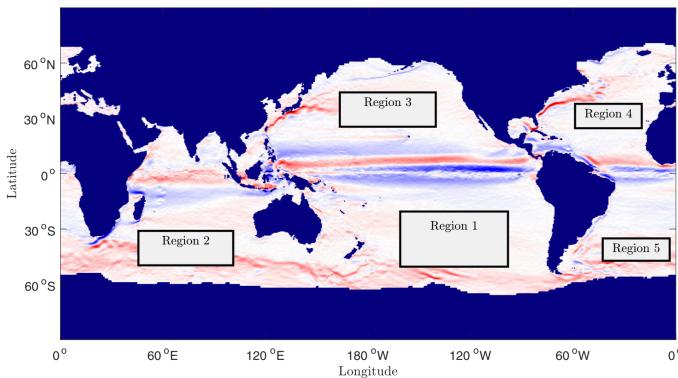
FIG. 2. (left) Zonal (solid) and meridional (dashed-dotted) velocity spectra and (right) potential energy (solid) and kinetic energy (dashed-dotted) spectra from the *Oleander* observations. Dashed lines indicate a  $-3$  slope. The 95% confidence interval is marked.

# QG turbulence in the ocean

The surface KE spectra computed using AVISO geostrophic currents

= direct enstrophy cascade ( $k^{-3}$ )

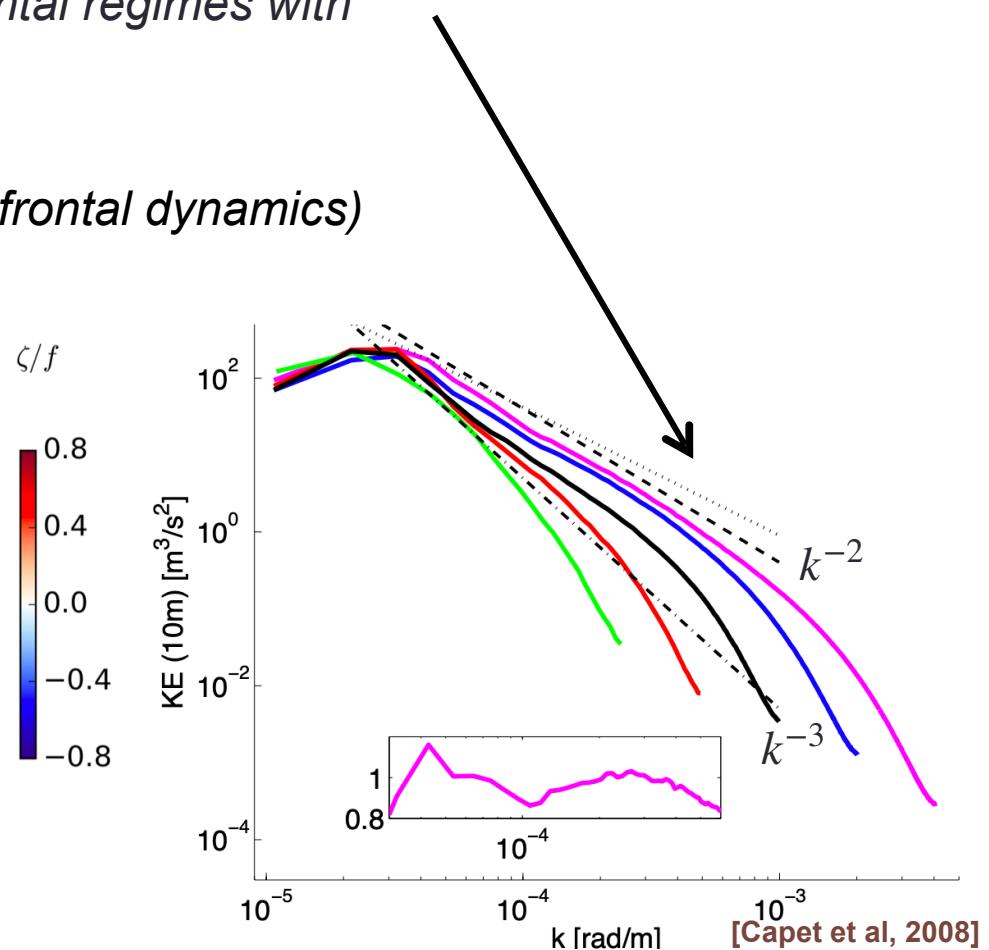
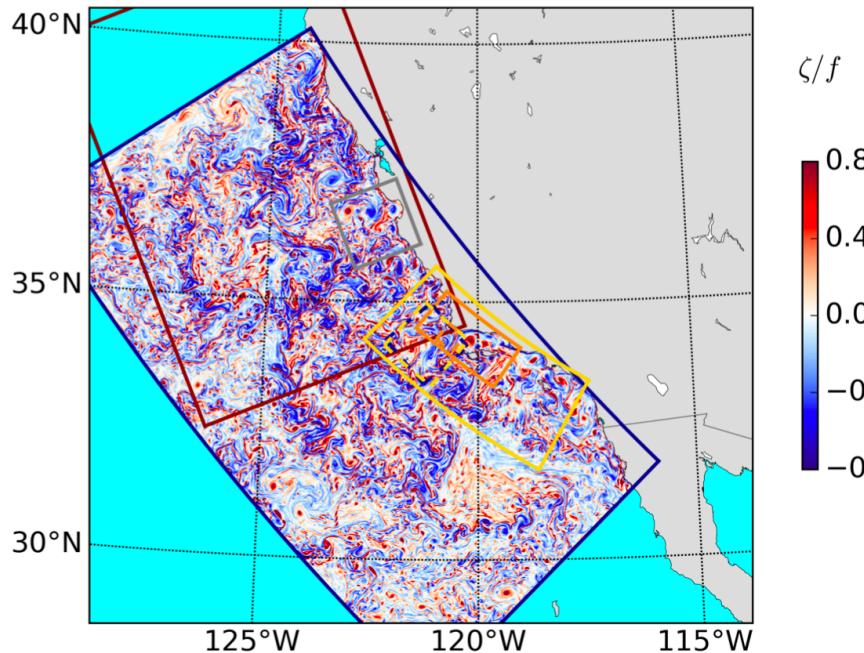
consistent with theory of QG turbulence at large scales



# Submesoscale cascades?

*Resolving submesoscale processes ( $< R_d$ ) in energetic regimes can induce a transition to frontal regimes with  $k^{-2}$  slope*

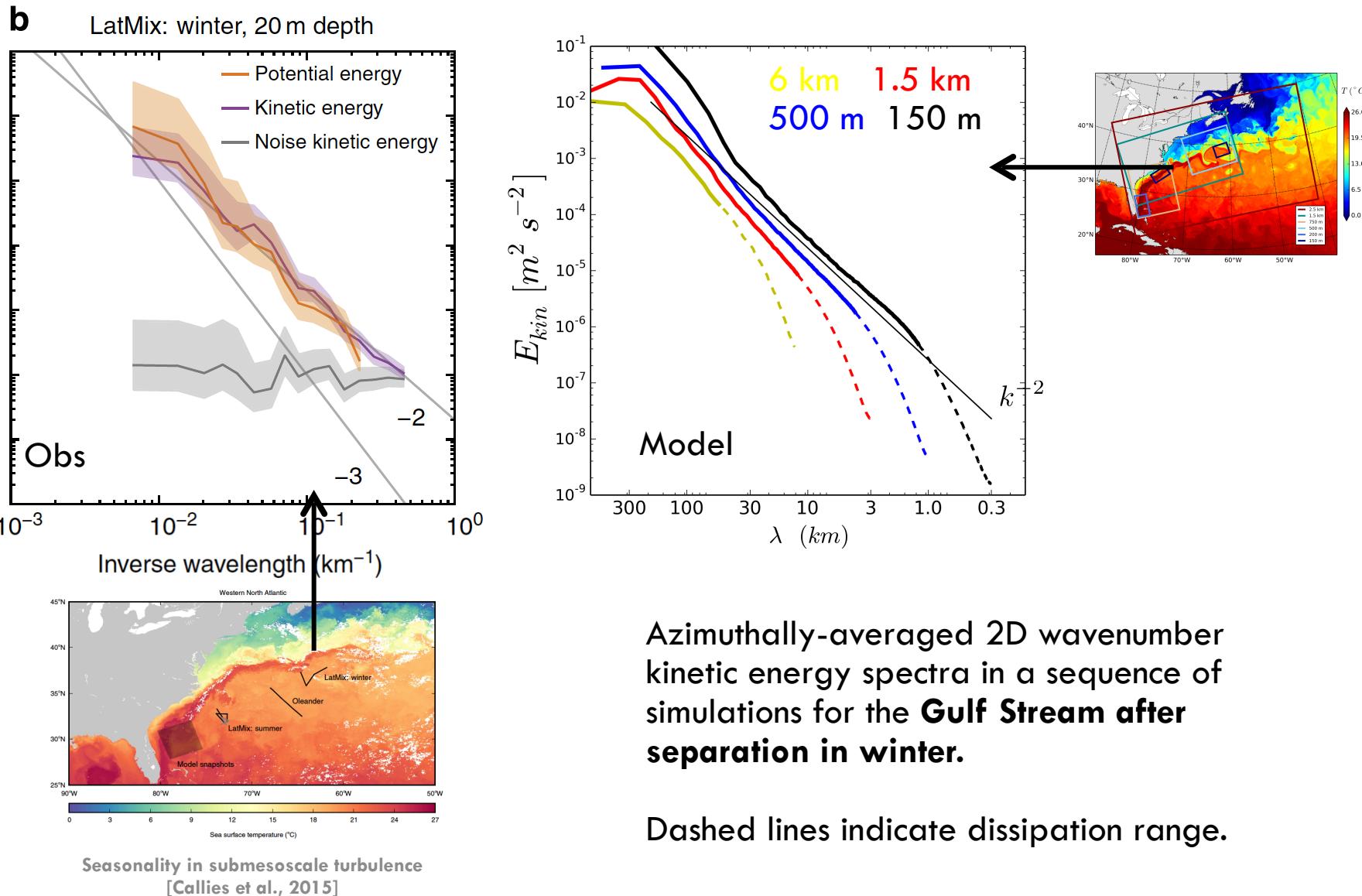
*(A truly ageostrophic version of SQG frontal dynamics)*



*from  $\Delta x = 12 \text{ km}$  to  $\Delta x = 750 \text{ m}$*

[Capet et al, 2008]

# Submesoscale cascades?

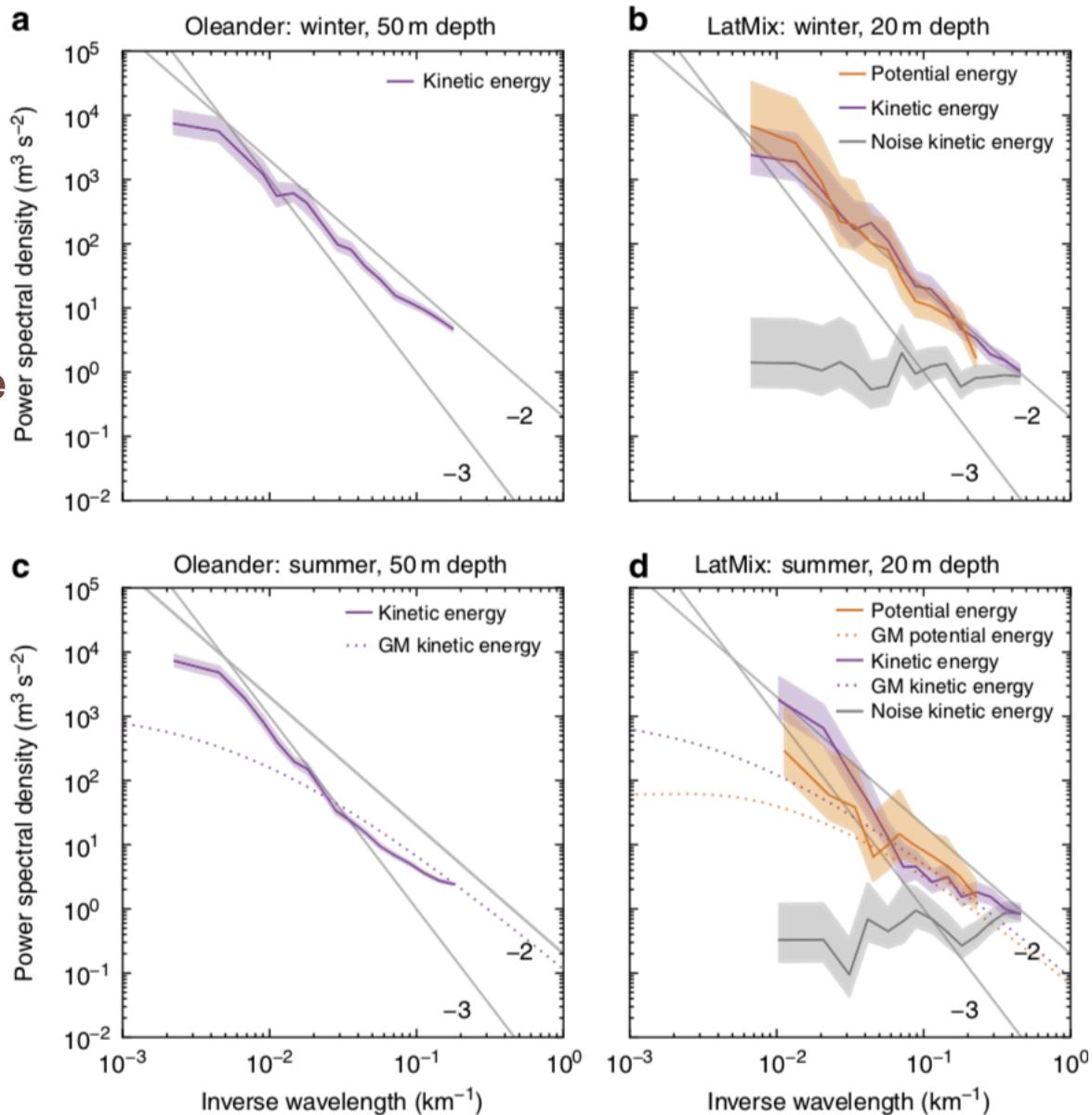


# Ocean

*Seasonal cycle in the mixed-layer:*

= direct enstrophy cascade ( $k^{-3}$ ) in summer

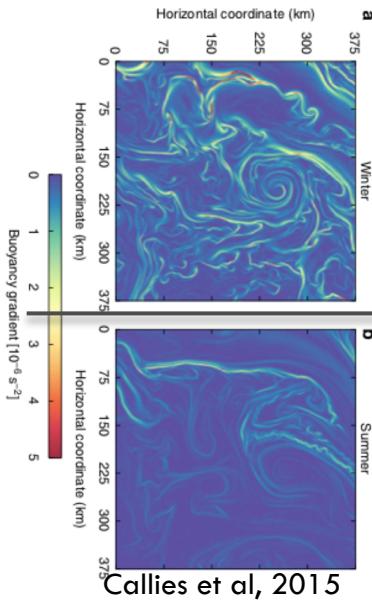
= energization by frontogenesis and mixed-mixed-layer submesoscale instabilities in winter ( $k^{-2}$ )



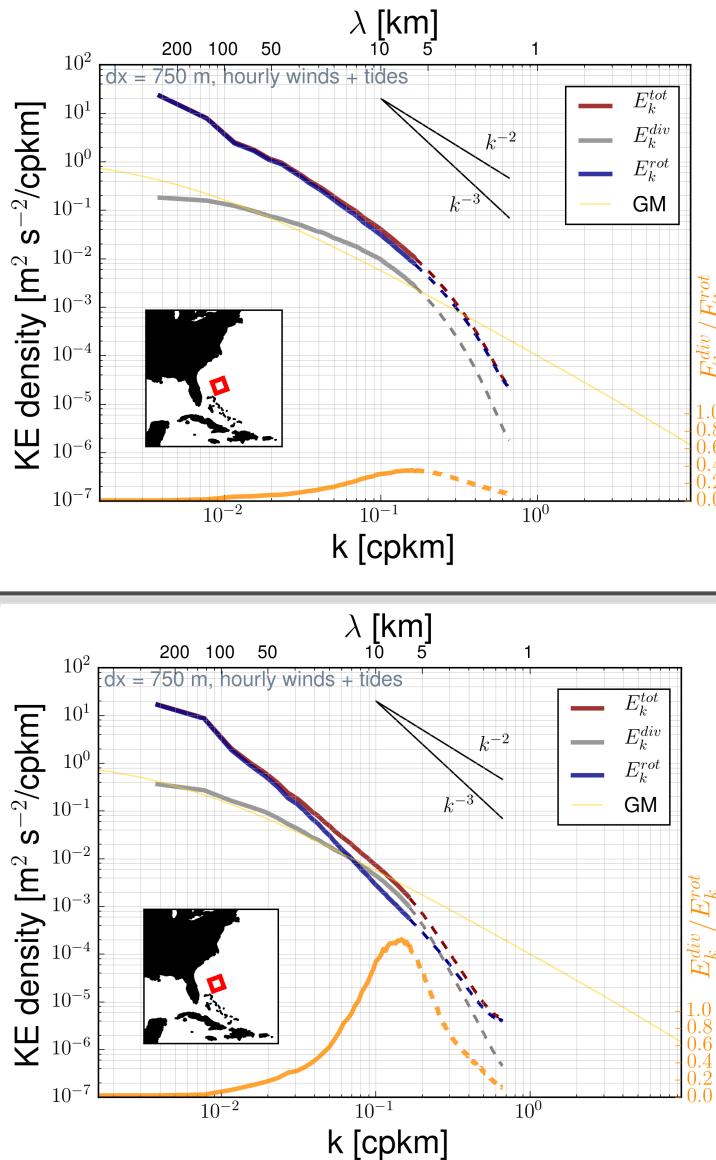
# Ocean

## Seasonal cycle in the Sargasso Sea

**WINTER**



**SUMMER**

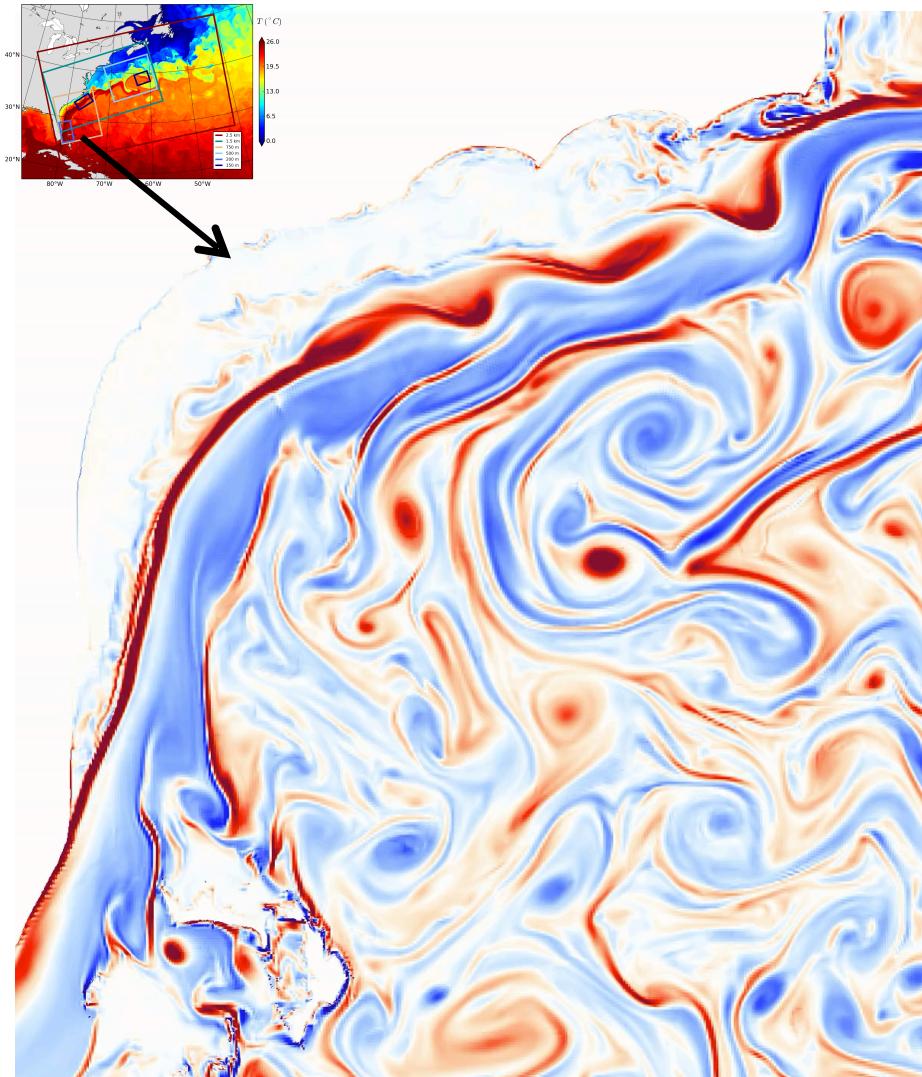


During winter the mixed-layer is deeper and feed energy to the partially balanced submesoscale currents through mixed-layer instability

In the summer season the ratio becomes larger than 0.1 at scales smaller than about 100 km.

Qualitatively similar to the seasonal cycle observed in other regions of the world such as the Northwestern Pacific [Rocha et al., 2016a; Qiu et al., 2017] or the Drake Passage [Rocha et al., 2016]. See global census in [Qiu et al., 2017]

# Submesoscale cascades?



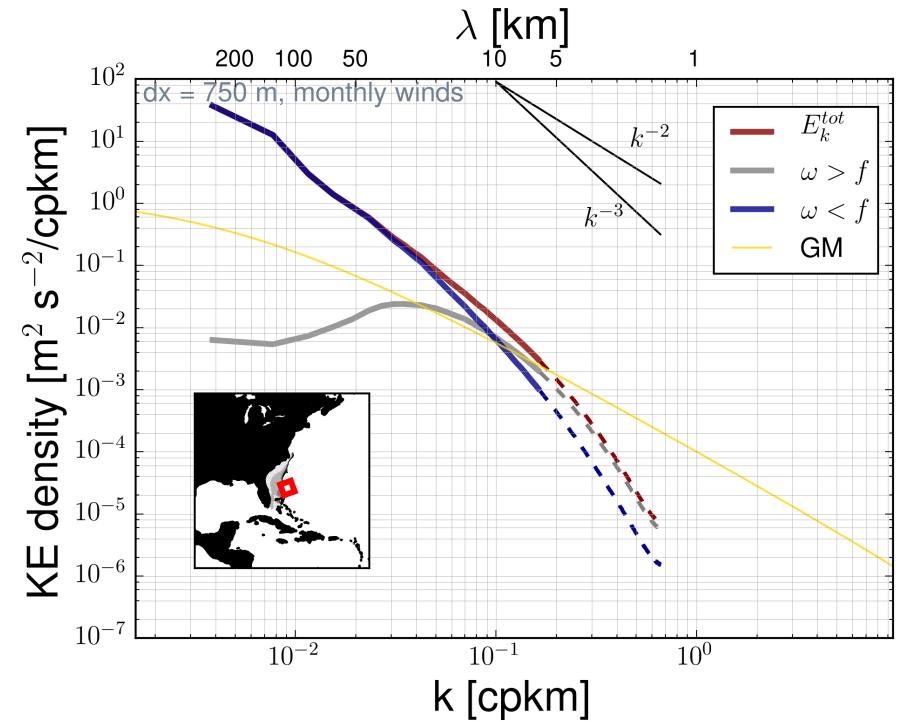
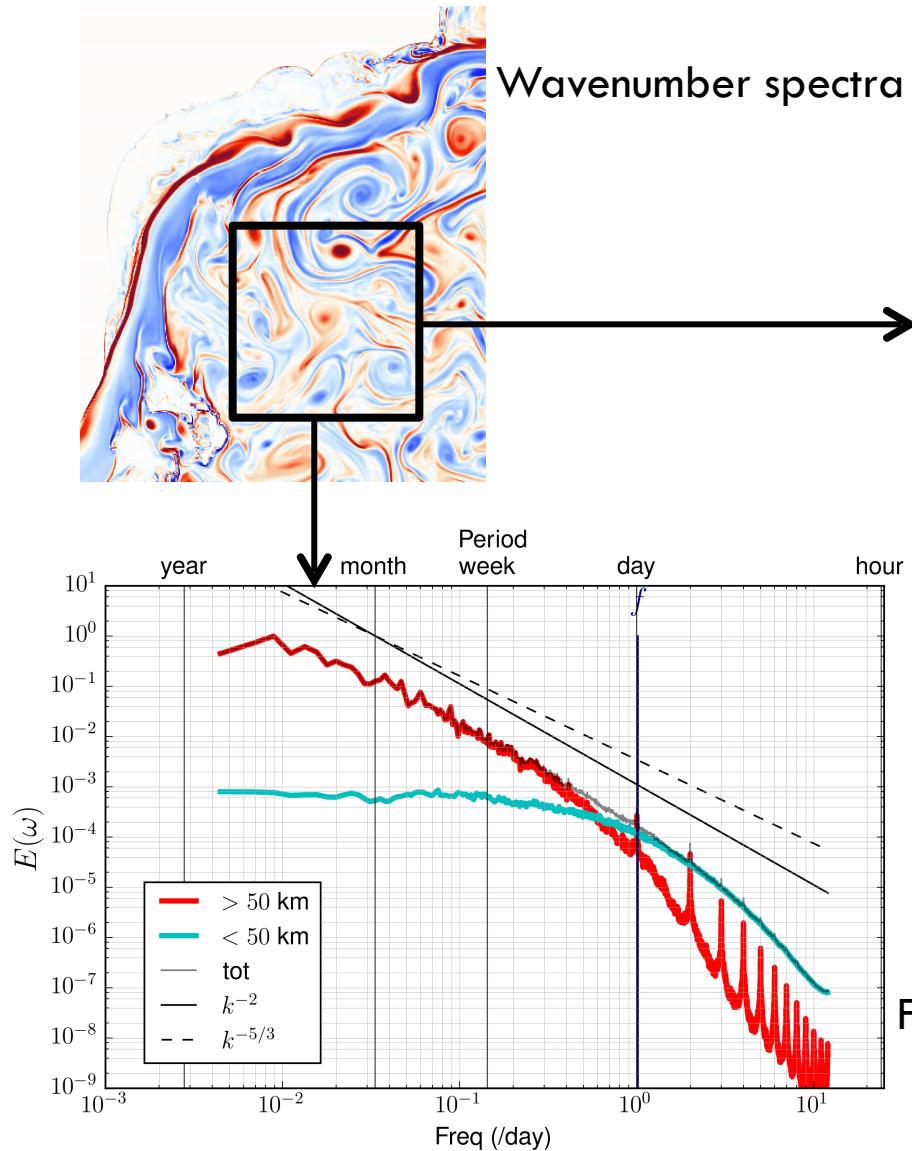
Surface relative vorticity  $(\pm f)$

1 year of ROMS Simulation

$$\Delta x = 750 \text{ m}$$

Monthly wind forcings / No tides

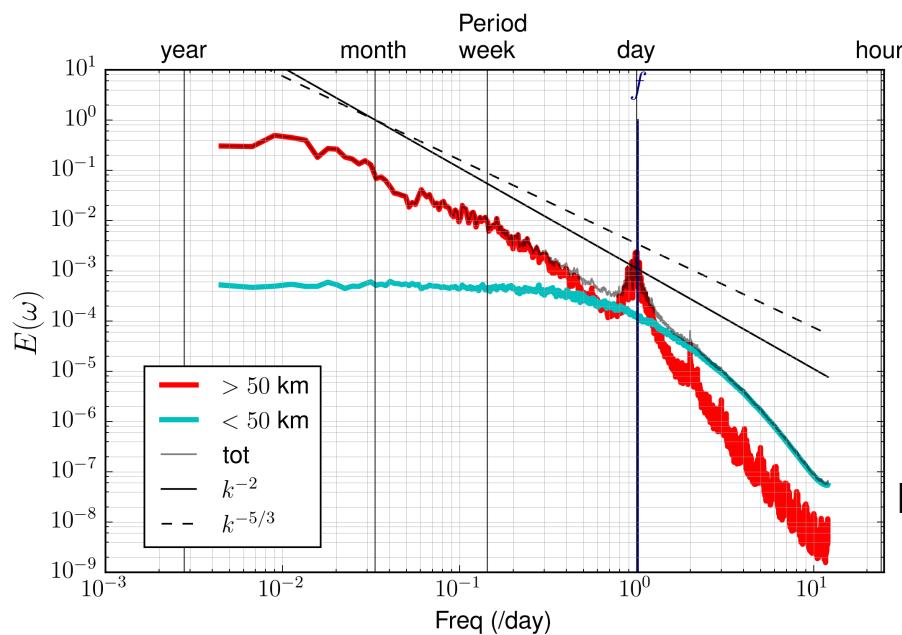
# Ocean



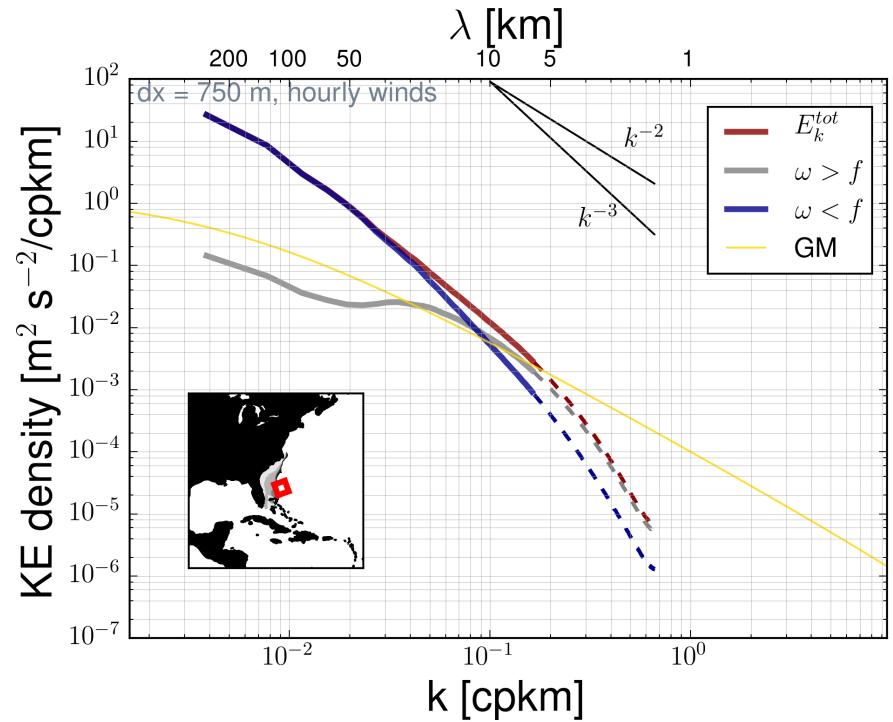
**Monthly wind forcings / No tides**

**Frequency spectra**

# Ocean



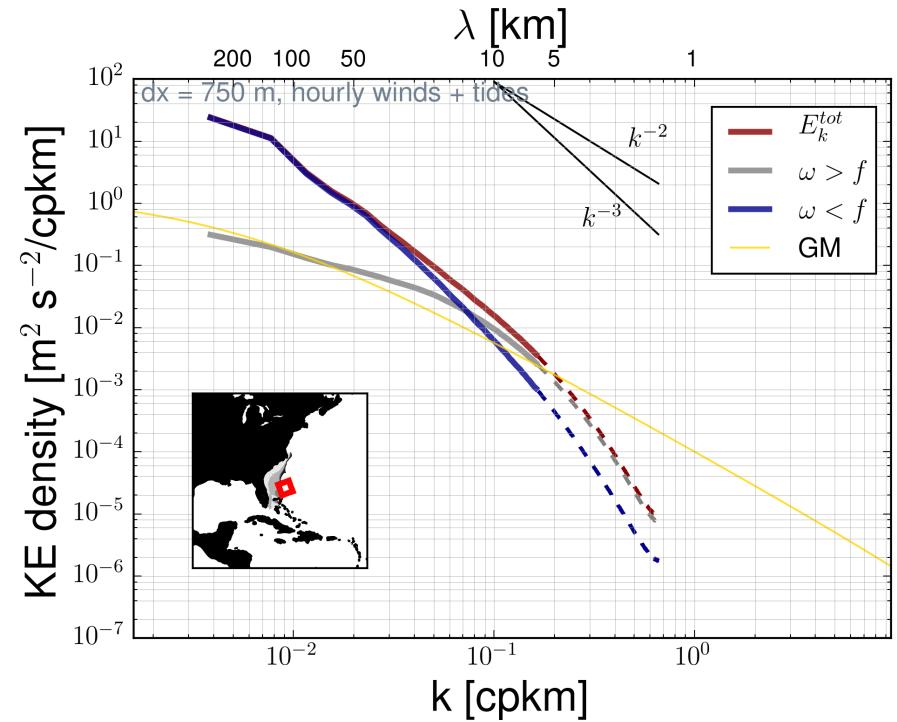
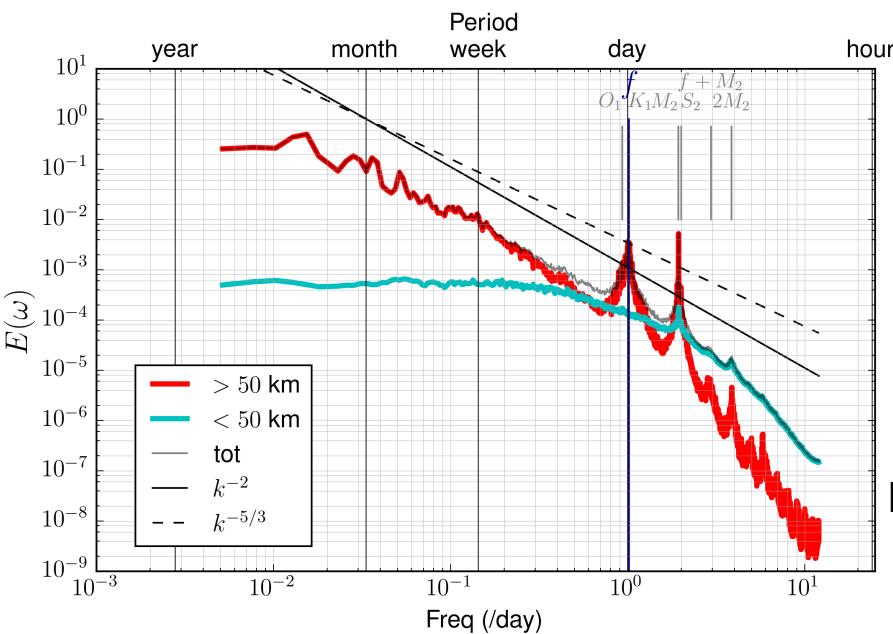
## Wavenumber spectra



# Hourly wind forcings / No tides

## Frequency spectra

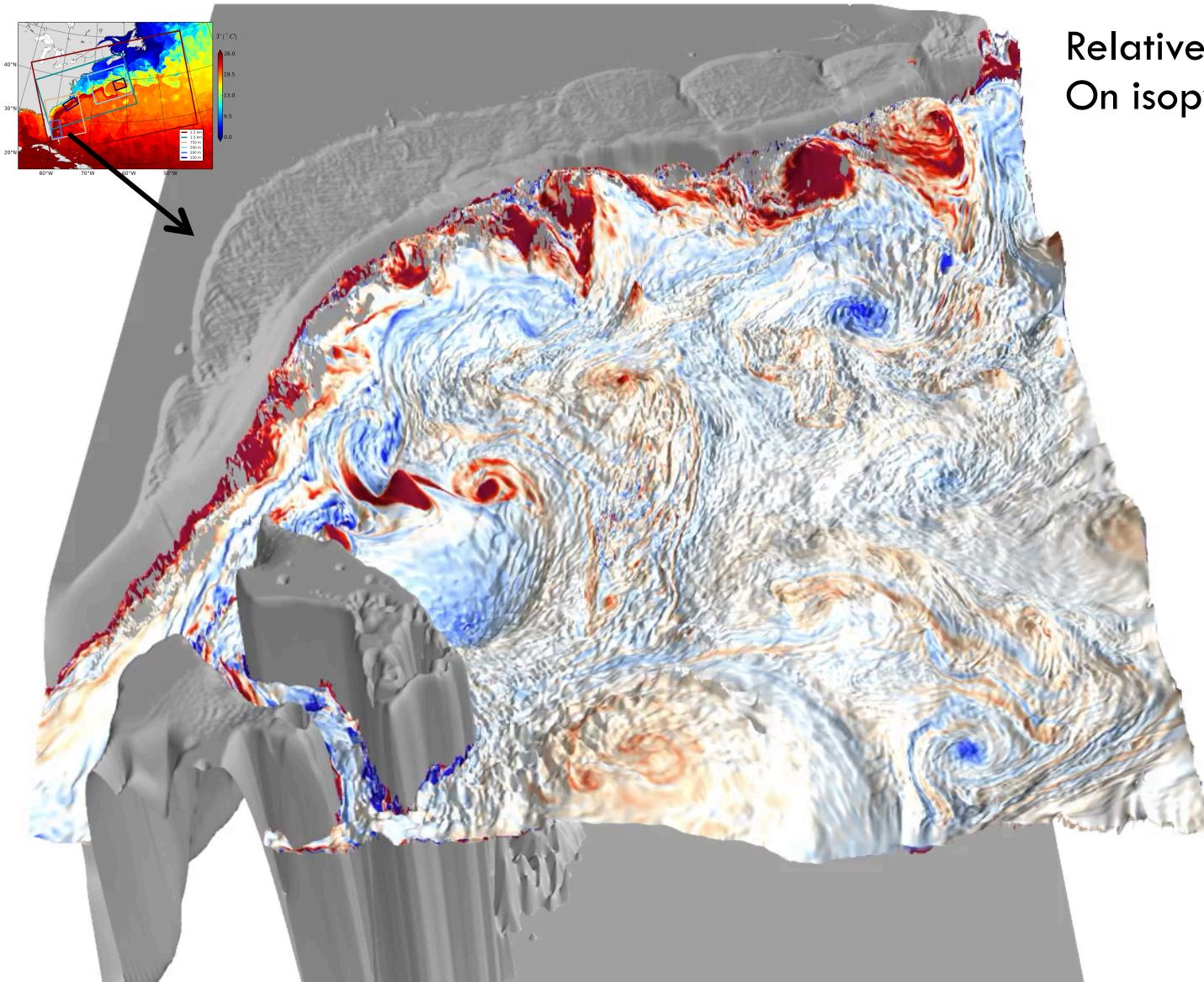
# Ocean



**Hourly wind forcings / Tides**

**Frequency spectra**

# Ocean

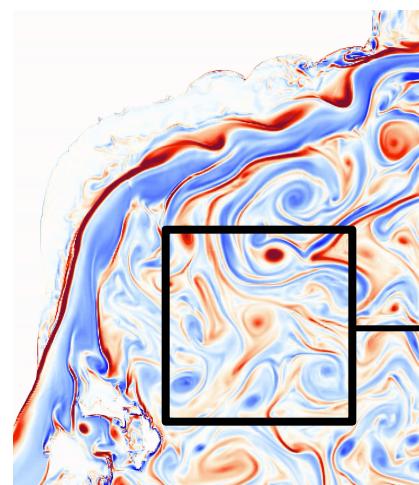


Relative vorticity  $(\pm f)$   
On isopycnal  $\sigma = 27 \text{ kg m}^{-3}$

$$\Delta x = 750 \text{ m}$$

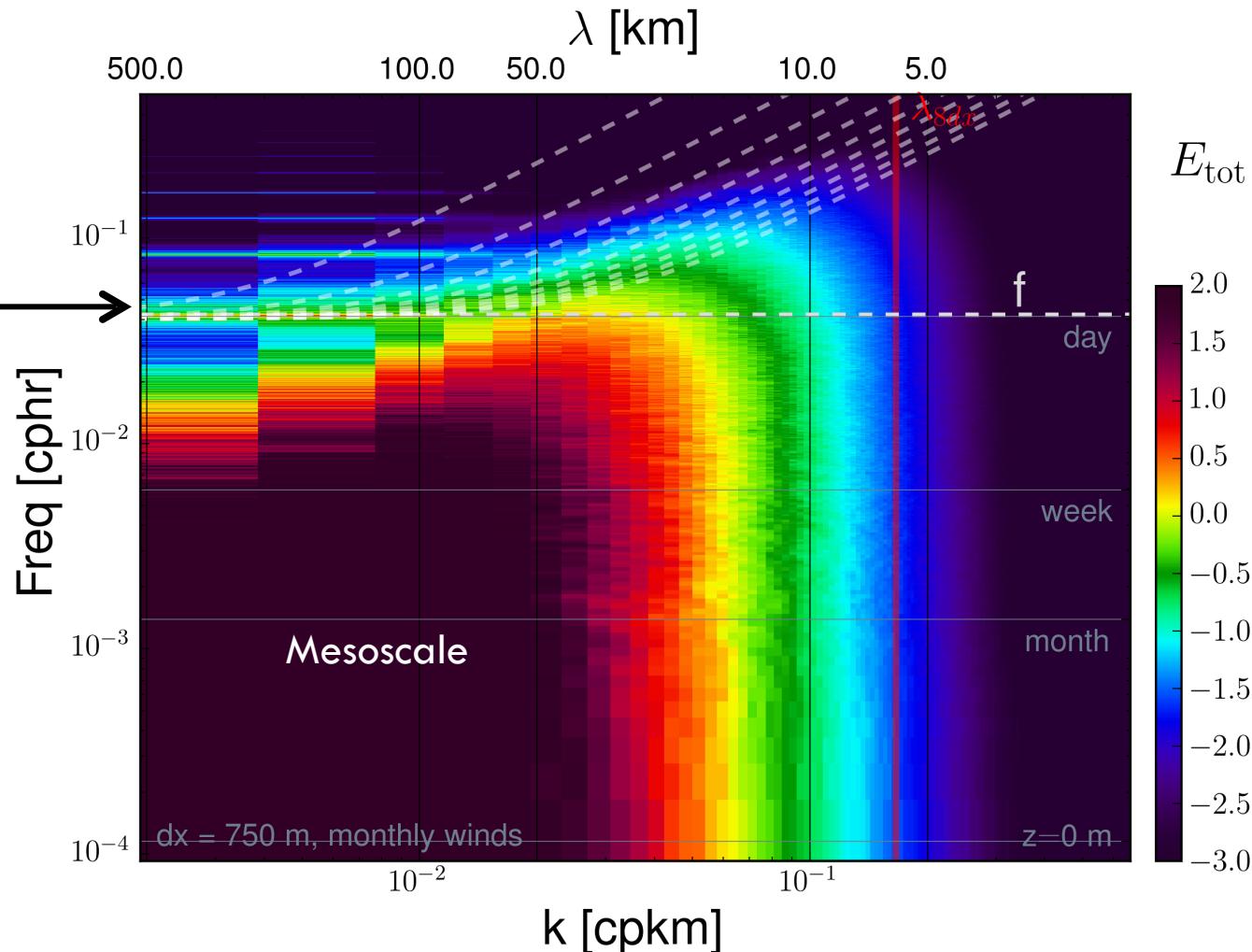
HF wind  
+  
Tides

# Ocean



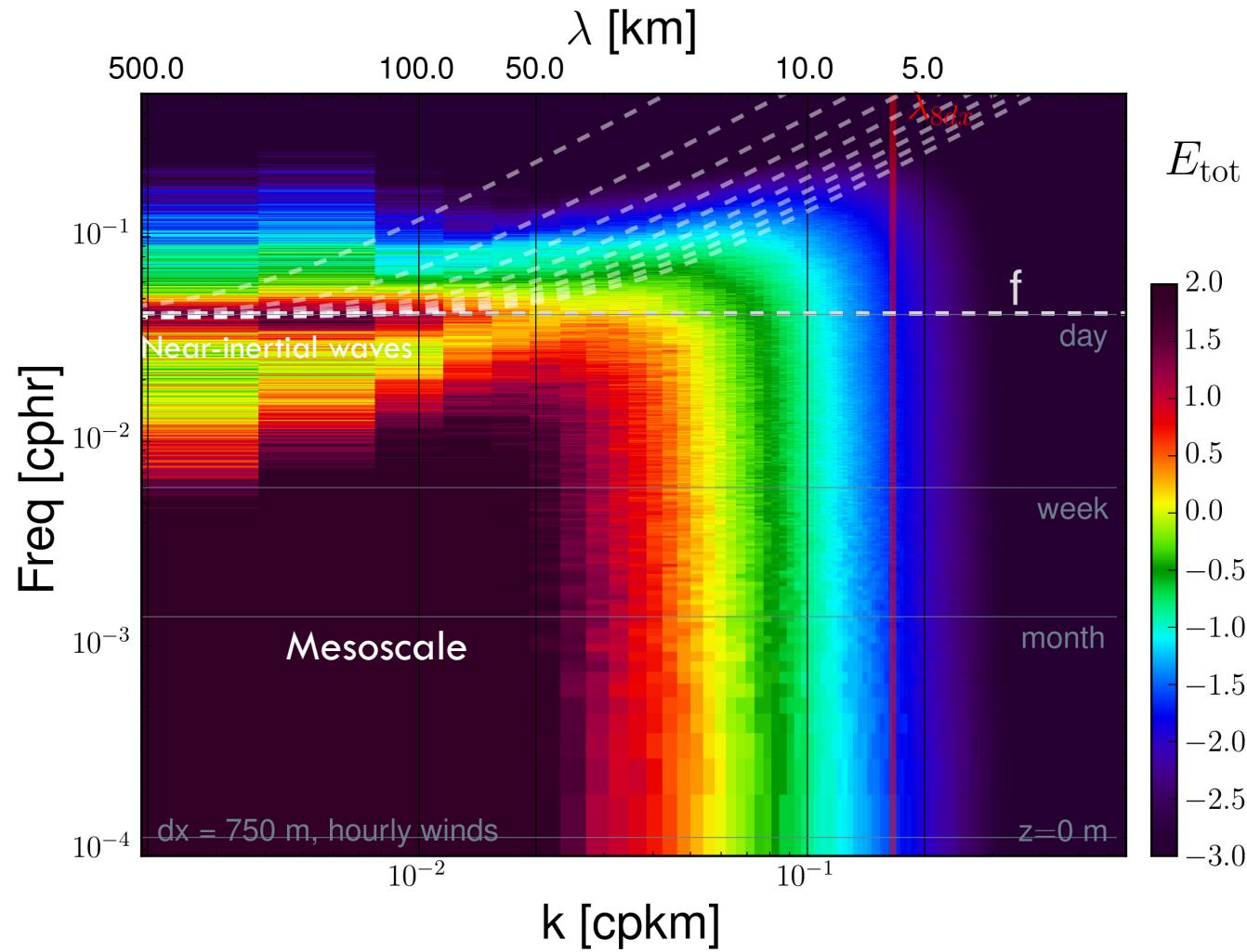
**Monthly winds**  
**No tides**

= deficient in  
internal waves



*Azimuthally-averaged 2D frequency-wavenumber kinetic energy spectra in the Sargasso Sea.*

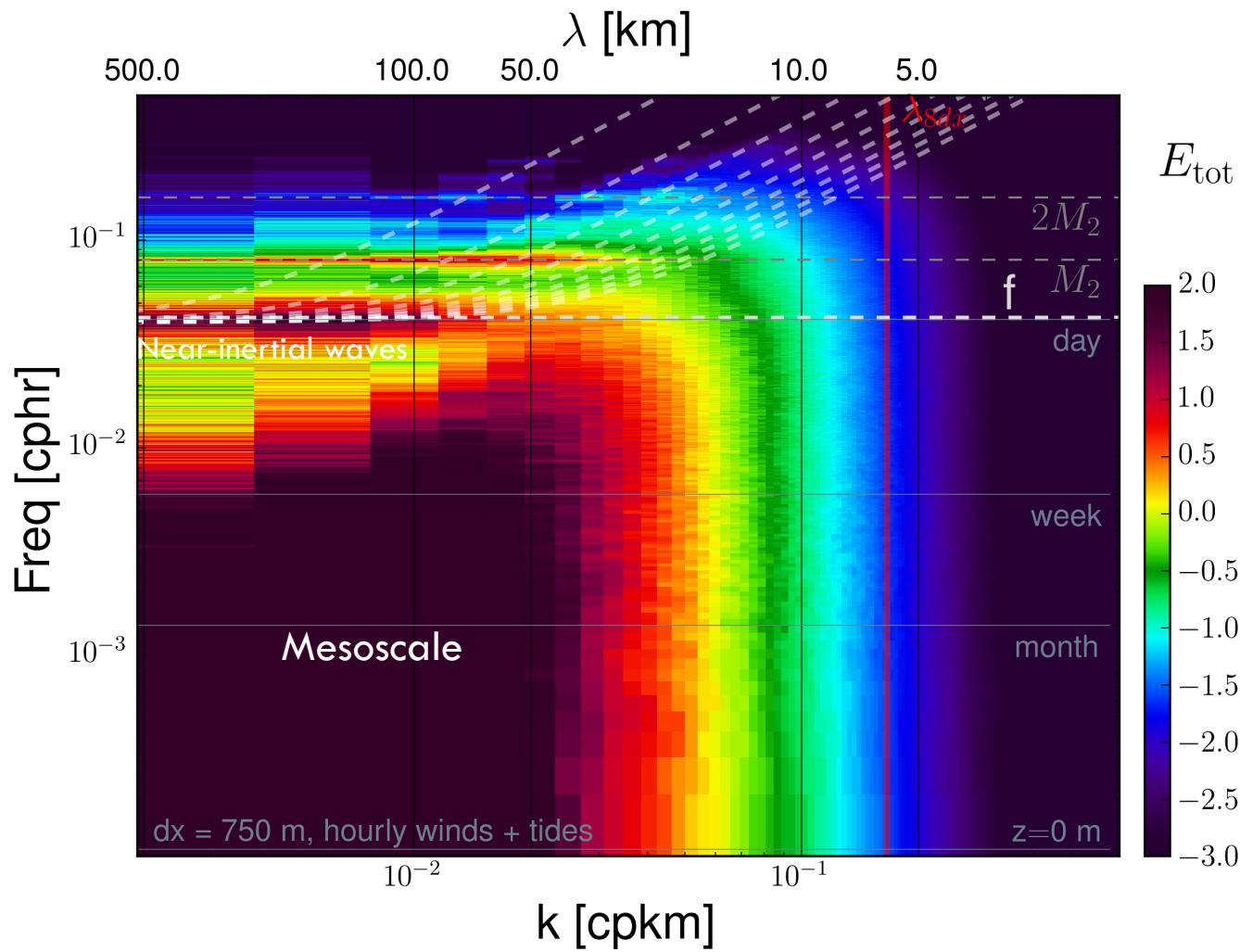
# Ocean



*Azimuthally-averaged 2D frequency-wavenumber kinetic energy spectra in the Sargasso Sea.*

# Ocean

Add two sources of  
internal waves =  
**High frequency wind**  
+  
**Tidal forcings**



# Azimuthally-averaged 2D frequency-wavenumber kinetic energy spectra in the Sargasso Sea.

# Ocean

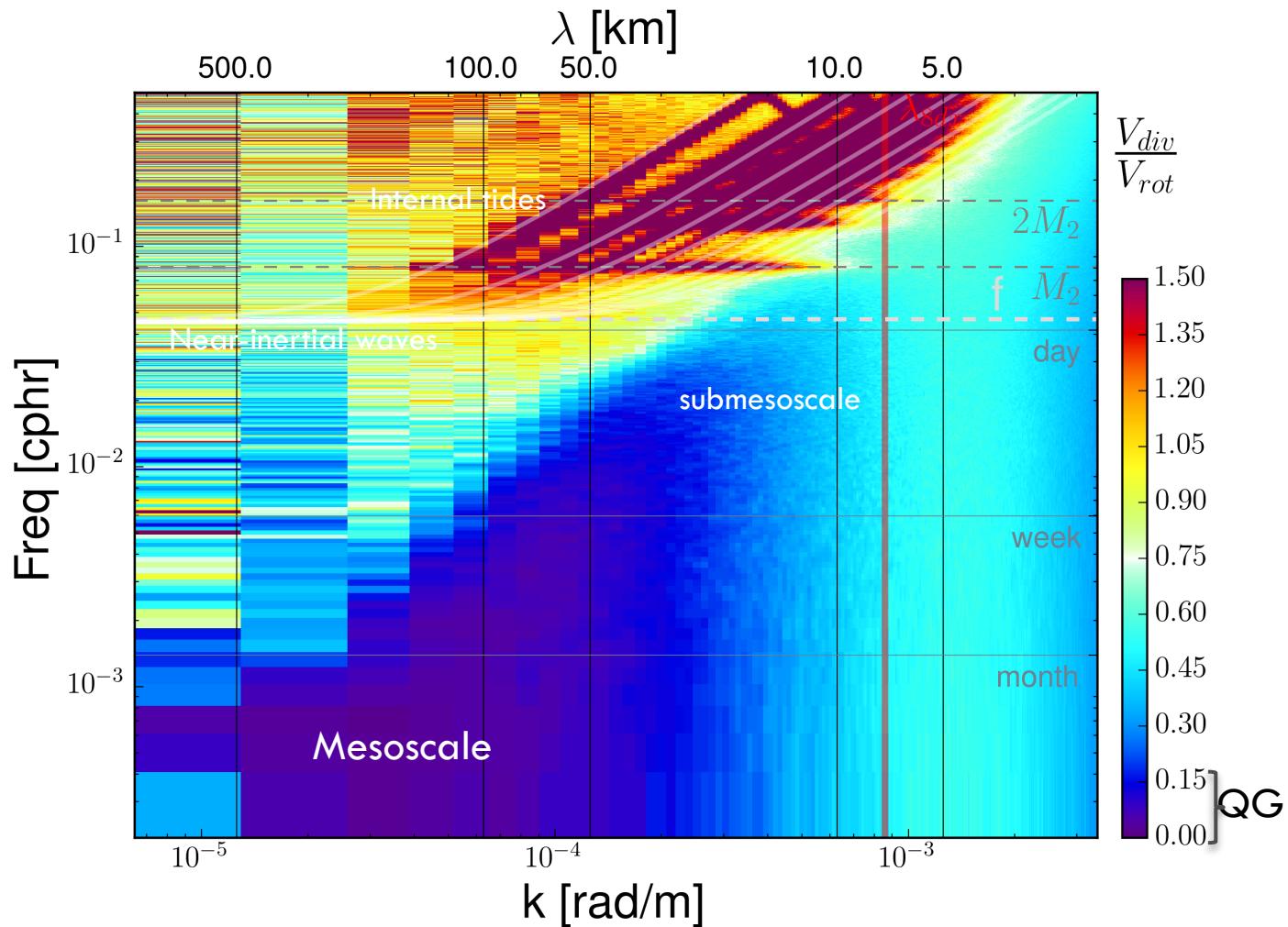
Ratio of divergent /  
rotational part of the  
kinetic energy

using Helmholtz  
decomposition of a 3d  
incompressible flow

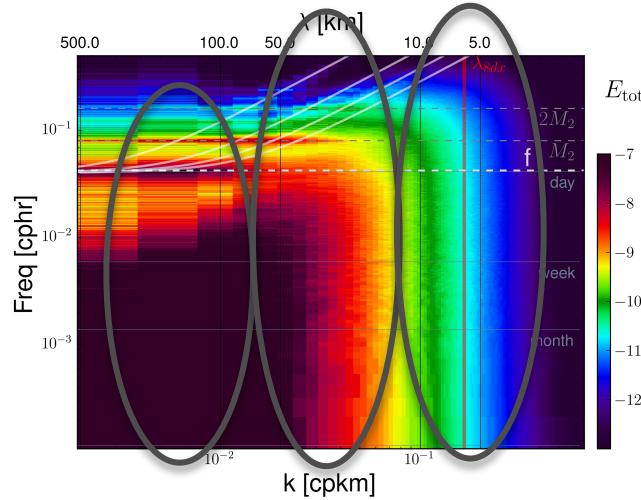
$$\mathbf{u}_h = \mathbf{u}_r + \mathbf{u}_d ,$$

$$\nabla_h \cdot \mathbf{u}_r = 0$$

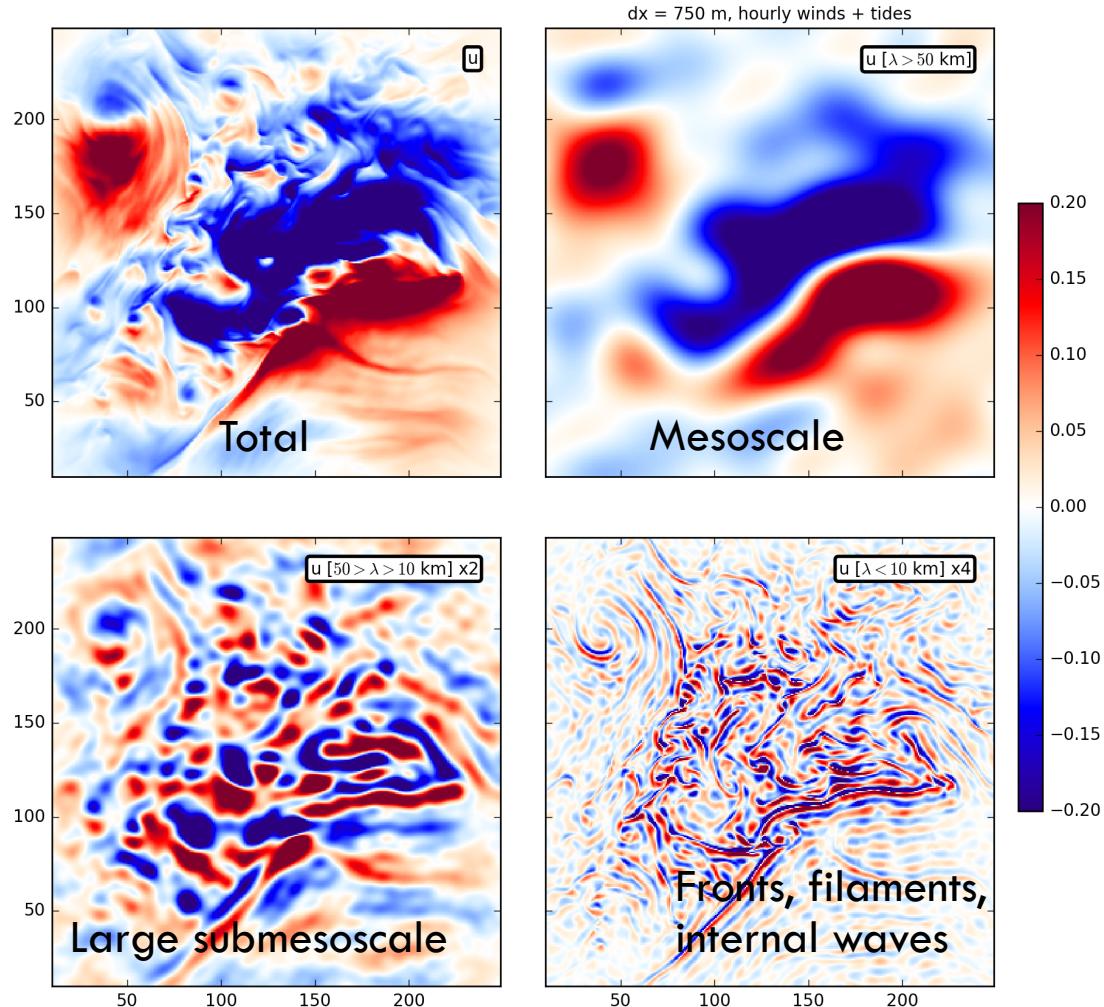
$$\hat{\mathbf{z}} \cdot \nabla_h \times \mathbf{u}_d = 0$$



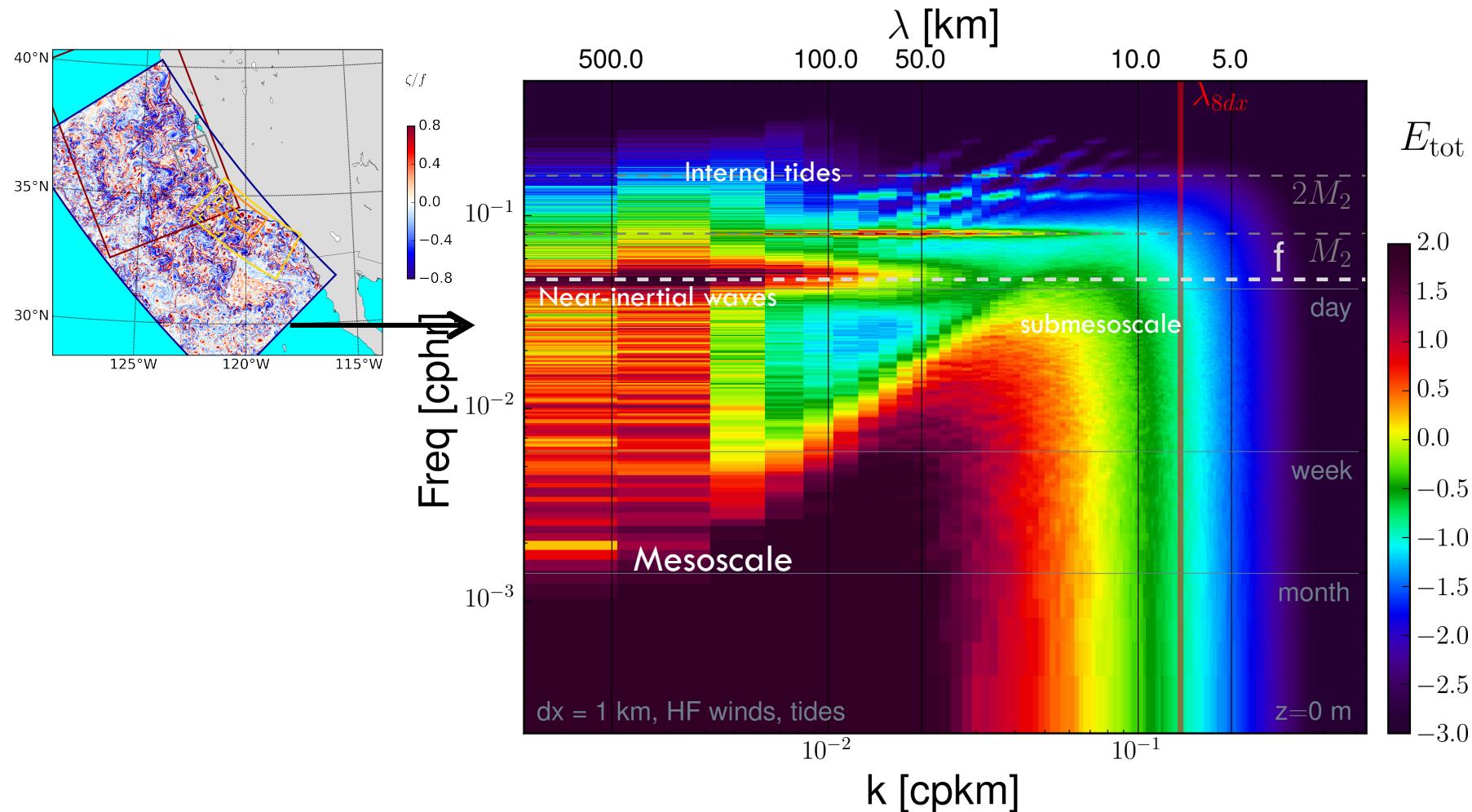
# Ocean



Example of filtered zonal velocity

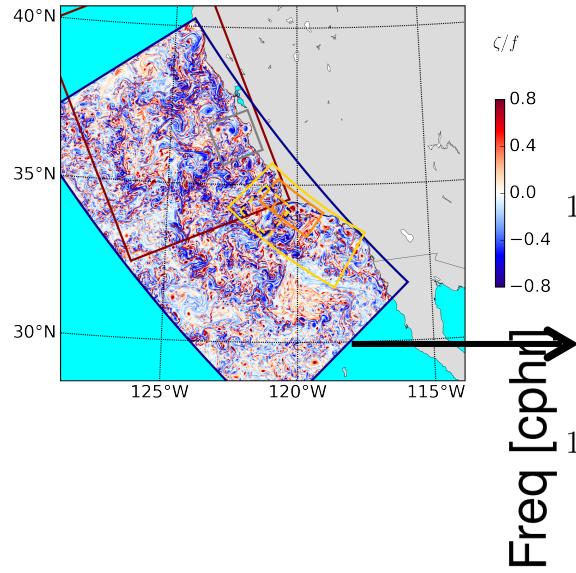


# Ocean

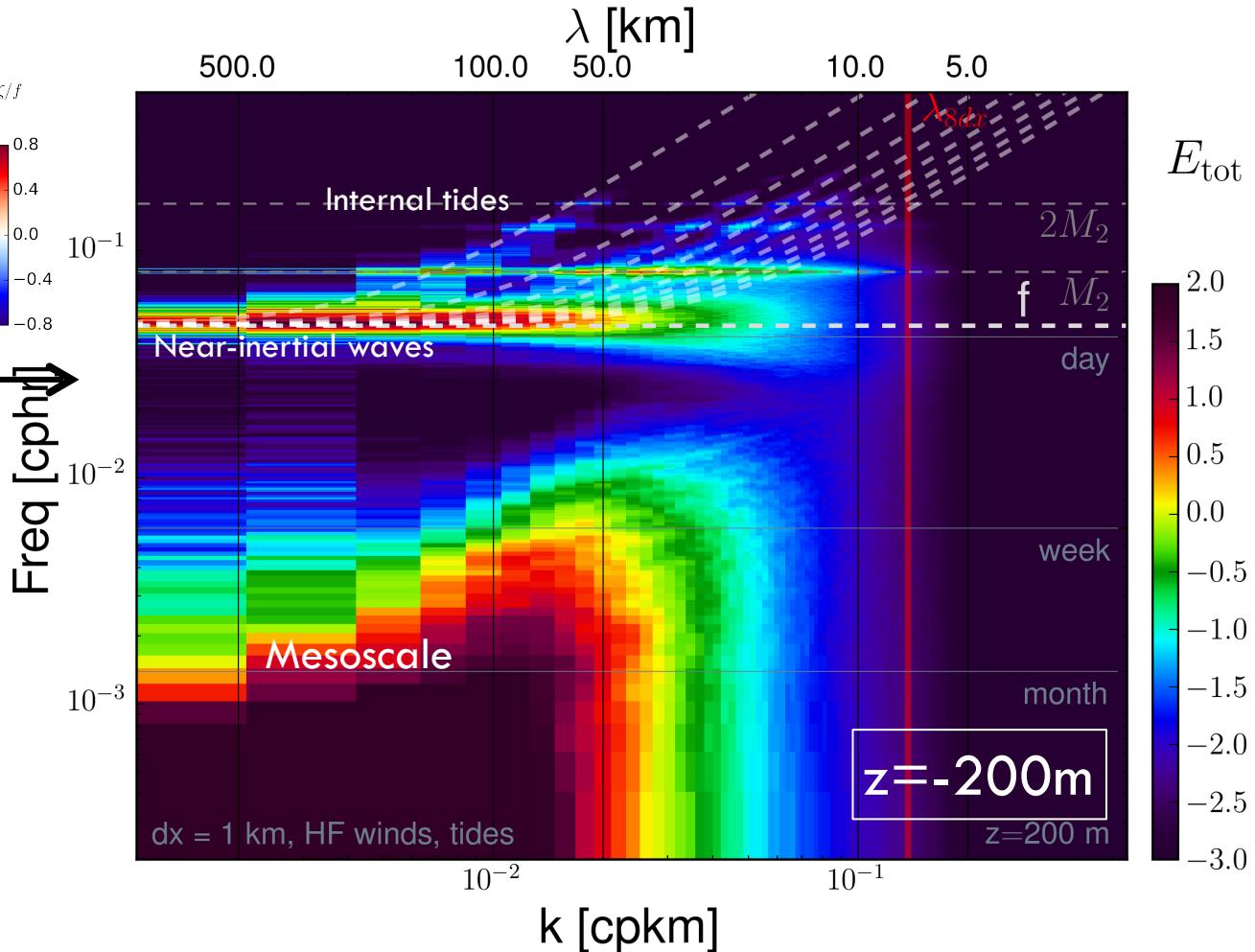


Azimuthally-averaged 2D frequency-wavenumber kinetic energy spectra in the California Current.

# Ocean



Below the mixed-layer, separation between internal waves and balanced dynamics is easy.



Azimuthally-averaged 2D frequency-wavenumber kinetic energy spectra in the California Current.

# Ocean turbulence

# Homework: Realistic turbulence

