

Renormalization Group Flow and Universal Logarithmic Cost in Recursive Quantum Observation II: RG and Universality

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We formalize a universality principle for recursive quantum observation in which resolution is refined stepwise toward a singular boundary. Assuming a spectral density $\rho(\lambda) \sim C\lambda^{-1+\eta}$ as $\lambda \rightarrow 0^+$, we define an effective spectral volume $V(\epsilon)$ and a boundary-indexed refinement protocol. We prove a necessary and sufficient condition: the cumulative cost \mathcal{W}_N exhibits logarithmic scaling $\mathcal{W}_N \sim \ln N$ if and only if $\eta = 0$ (the marginal spectral class). We also present the corresponding RG interpretation, where the marginal trajectory is characterized by a slow approach to the fixed manifold.

I. RG SETUP AND BOUNDARY-INDEXED REFINEMENT

Resolution as a flow parameter. We treat the resolution ϵ as a dynamical control variable and define the RG “time”

$$t \equiv \ln\left(\frac{\epsilon_0}{\epsilon}\right) = \ln\left(\frac{\Lambda}{\Lambda_0}\right), \quad \Lambda \equiv \epsilon^{-1}. \quad (1)$$

A *boundary-indexed* refinement protocol counts recursion depth by an integer n such that t increases linearly:

$$t_n = n\delta, \quad \epsilon_n = \epsilon_0 e^{-n\delta} \quad (\delta > 0). \quad (2)$$

This is equivalent to a geometric refinement in ϵ and corresponds to uniform steps in $\ln \Lambda$.

Spectral universality class. Assume that the observable induces a spectral density near $\lambda \rightarrow 0^+$:

$$\rho(\lambda) \sim C\lambda^{-1+\eta}, \quad C > 0, \quad \eta \in \mathbb{R}. \quad (3)$$

Define the *effective spectral volume* accessible up to resolution ϵ by

$$V(\epsilon) \equiv \int_0^\epsilon \rho(\lambda) d\lambda. \quad (4)$$

Then

$$V(\epsilon) \sim \begin{cases} \frac{C}{\eta} \epsilon^\eta, & \eta \neq 0, \\ C \ln\left(\frac{\epsilon_0}{\epsilon}\right) = Ct, & \eta = 0. \end{cases} \quad (5)$$

The marginal class is $\eta = 0$.

Cost functional (boundary-limited driving). We consider protocols where the incremental cost at step n is inversely proportional to available effective volume:

$$\Delta\mathcal{W}_n \equiv \frac{w_0}{V(\epsilon_n)}, \quad w_0 > 0, \quad (6)$$

and define the cumulative cost

$$\mathcal{W}_N \equiv \sum_{n=1}^N \Delta\mathcal{W}_n. \quad (7)$$

This choice isolates the scaling implication of shrinking accessible spectral mass; Sections III–IV (Part III) will connect w_0 to measurement-rate and control-work in concrete platforms.

RG interpretation (minimal). On the marginal manifold, $V(\epsilon) \sim t$ grows only linearly with RG time, producing harmonic step costs. Away from marginality, $V(\epsilon)$ changes exponentially in n under (2), yielding non-logarithmic cumulative behavior.

II. UNIVERSALITY THEOREM AND THE HARMONIC–GEOMETRIC RESOLUTION

We now state and prove the universality result in a way that keeps the refinement rule fixed throughout.

Theorem 1 (Logarithmic universality, iff condition). Let $\rho(\lambda)$ satisfy (3), and let the protocol be boundary-indexed as in (2) with cost per step (6). Then the cumulative cost satisfies

$$\mathcal{W}_N \sim \ln N \iff \eta = 0. \quad (8)$$

Proof. Using (5) and (2), treat cases:

(i) Non-marginal case $\eta \neq 0$. From (5),

$$V(\epsilon_n) \sim \frac{C}{\eta} \epsilon_n^\eta = \frac{C}{\eta} \epsilon_0^\eta e^{-\eta n\delta}. \quad (9)$$

Hence

$$\Delta\mathcal{W}_n = \frac{w_0}{V(\epsilon_n)} \sim \frac{w_0 \eta}{C \epsilon_0^\eta} e^{\eta n\delta}. \quad (10)$$

Therefore the partial sums are geometric:

$$\mathcal{W}_N \sim \sum_{n=1}^N e^{\eta n\delta} = \begin{cases} \mathcal{O}(e^{\eta N\delta}), & \eta > 0, \\ \mathcal{O}(1), & \eta < 0, \end{cases} \quad (11)$$

which is *never* logarithmic in N .

(ii) Marginal case $\eta = 0$. From (5) and (2), $V(\epsilon_n) \sim Ct_n = Cn\delta$. Then

$$\Delta\mathcal{W}_n = \frac{w_0}{V(\epsilon_n)} \sim \frac{w_0}{C\delta} \cdot \frac{1}{n}, \quad (12)$$

and thus

$$\mathcal{W}_N \sim \frac{w_0}{C\delta} \sum_{n=1}^N \frac{1}{n} = \frac{w_0}{C\delta} (\ln N + \gamma_E + o(1)), \quad (13)$$

where γ_E is Euler's constant. Hence $\mathcal{W}_N \sim \ln N$.

Combining (i) and (ii) proves (8). ■

Remark (Why harmonic vs geometric confusion disappears). If one uses a *harmonic* refinement in ϵ (e.g., $\epsilon_k = \epsilon_0/k$), the natural scaling variable for recursion is no longer k but $t = \ln(\epsilon_0/\epsilon)$, and $t_k = \ln k$. The

boundary-indexed definition (2) simply chooses n to be linear in t , which is the standard RG choice. This is precisely the regime in which marginality yields harmonic step cost $1/n$ and cumulative $\ln N$.

III. (PLACEHOLDER) RG FLOW OF η

IV. (PLACEHOLDER) EXPERIMENTAL COEFFICIENT B_{meas}