

# FIR Octave Band Filtering

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## References:

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- Yoder, J. H. M. R. W. S. M. A. (n.d.). 9. Z-Transforms. McClellan, Schafer, Yoder, DSP First, ISBN-10: 0136019250 • ISBN-13: 9780136019251. Prentice Hall, Upper Saddle River, NJ 07458. © 2016 Pearson Education, Inc. <https://dspfirst.gatech.edu/chapters/07/ztrans/overview.html>
- J. J. Galvin and Q.-J. Fu, "Effect of bandpass filtering on melodic contour identification by cochlear implant users," The Journal of the Acoustical Society of America, vol. 129, no. 2, 2011. doi:10.1121/1.3531708

## Introduction

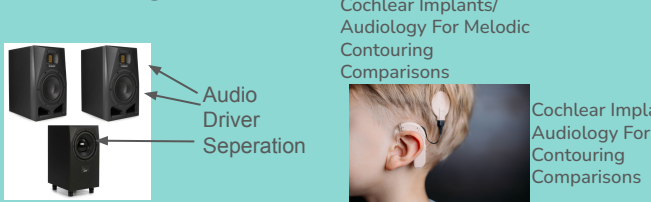
Why Finite Impulse Response (FIR) Filtering?

- Taking the Fourier Transform is a simple method of passing and rejecting select frequencies from a signal. However, this requires us to know the entire signal.
- Lumped Element, Waveguide, and Microstrip Filters (Analog) are one way to process an unknown signal as it is transmitted or received by a system.

So how can we go about this digitally without knowing the entire signal beforehand? FIR.

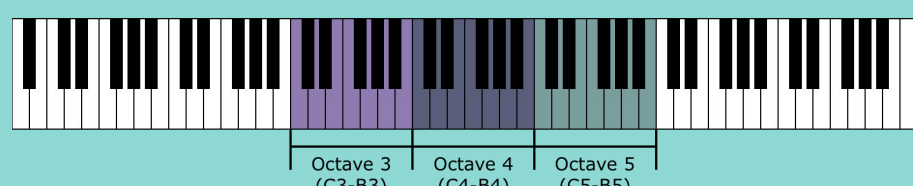
- FIR Filtering works through Convolution in the time domain.
- Designed to process a signal in real-time with minimal delay.
- However, this means the FIR length is much shorter than the incoming signal, thus it is not ideal as this will create sidelobes where undesired frequencies still pass through the filter.

In this project, we walk through FIR octave band filter design.



Based on western music theory, Octave Band Filtering is essentially an algorithm derived from the Piano.

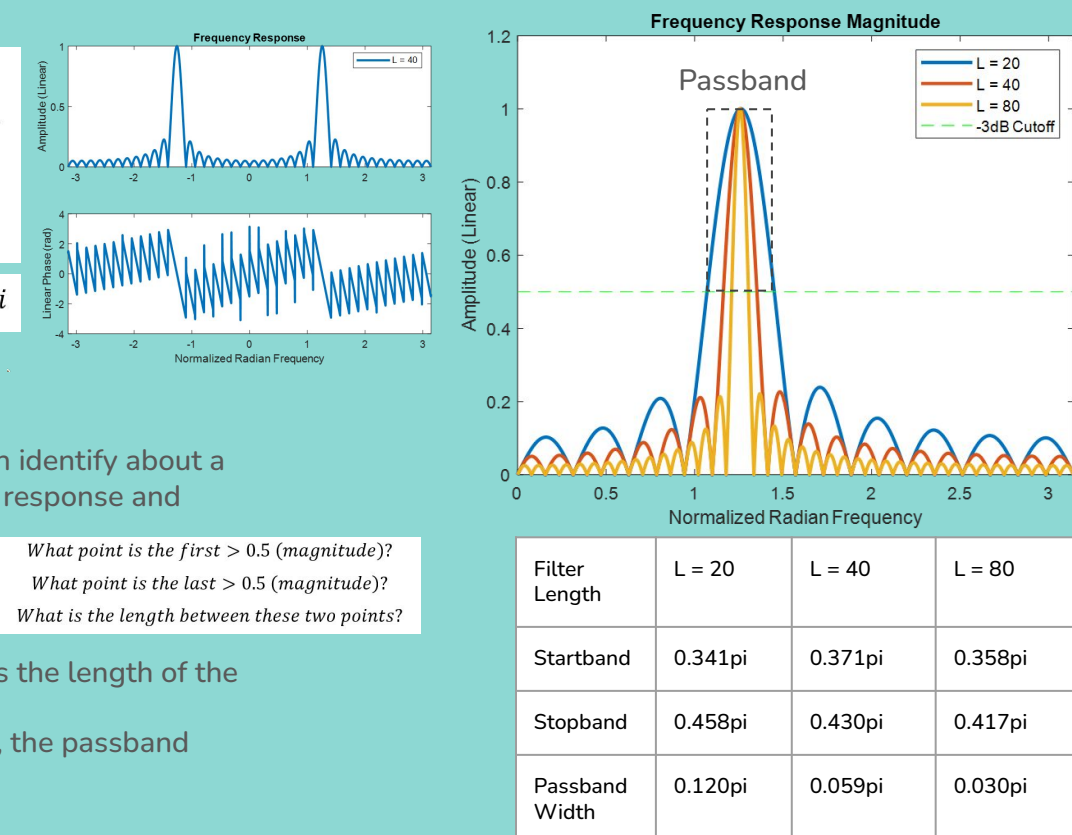
- Piano octaves technically contain 12 notes total. (Based on 440 Hz A)
- Coined an octave because western scales contain 8 notes within the region between any two harmonics.
- i.e. We can define one bandpass FIR filter from C2 to B2 (65 Hz to 123 Hz).



## 4.1: Simple Bandpass Filter Design

**Impulse Response:**  
$$h[n] = \frac{2\cos(w_c \cdot n)}{L}$$
 for  $n = 0, 1, 2, \dots, L-1$   
where  $w_c$  = center freq,  
 $n$  = index of the filter,  
 $L$  = length of the filter

Our initial filter used  $w_c = 0.4\pi$



What are the properties we can identify about a BPF filter with a given impulse response and center frequency?

- Startband
- Stopband
- The passband region.

How do these values change as the length of the filter is increased?

- As the length increases, the passband width decreases.

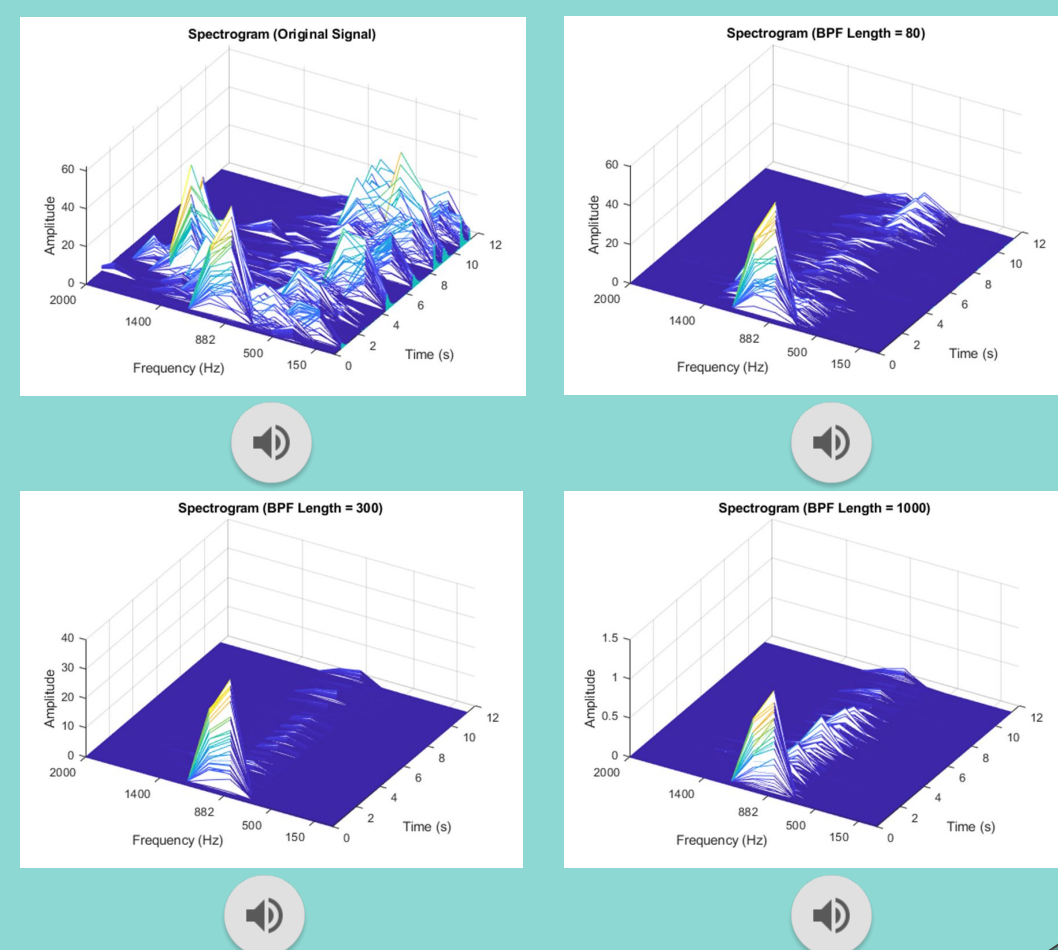
## Applying the Simple BPF to Piano Music

Convolution

$$y[n] = \sum_{k=0}^{N-1} h[k] \cdot x[n-k]$$

Showing the effect of filter length.

- We use a piano .mp3 recording and linearly convolve it with our given  $h[n]$  from part 4.1.
- Sampling rate of .mp3 is  $F_s = 44.1k$ , so an  $w_c = 0.04\pi$  results in a center frequency of 882 Hz.
- Increasing the length of the filter showcases the narrowing effect on the bandpass filter that increasing the length causes.



For filtering the piano music, we set  $w_c = 0.04\pi$   
If  $F_s = 44.1k$  for .mp3, then  
 $f = \frac{w_c}{2\pi} \cdot (\text{sampling rate}) = \frac{0.04}{2} \cdot 44.1k = 882 \text{ Hz}$

## Section 5: Octave Band Filtering

Our objective here is to design FIR filters to decode piano signals to identify the octaves in the signals. First, the octave bandpass frequencies are determined. These are used by an FIR filter design tool we developed to generate our octave band filter bank. The filter design tool generates better filters with flatter passbands. Then, both simulated and real audio signals are filtered and analyzed.

### 5.1: Determining Piano Octave Frequencies

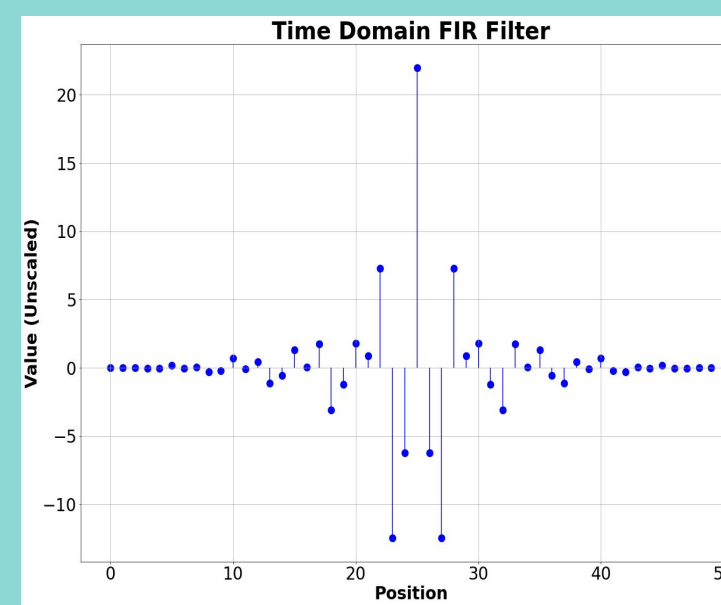
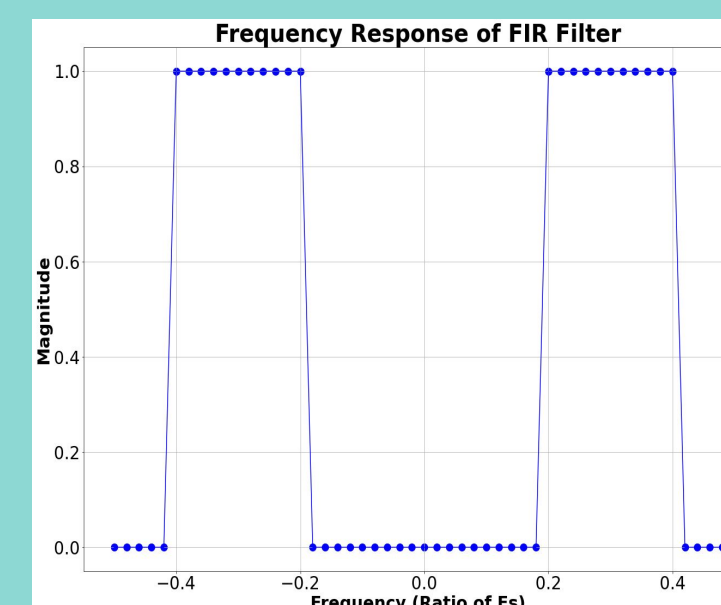
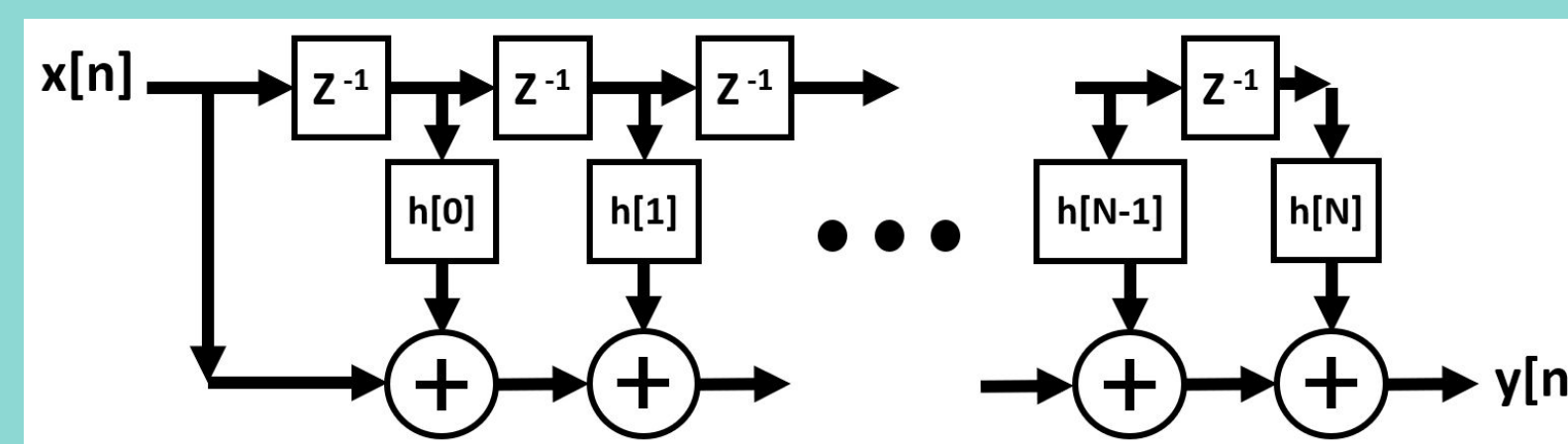
Basics of piano notes and octaves:

- Piano has 88 notes; 7 full octaves, O1 to O7, +4 more notes.
- A4 is at 440 Hz and all frequencies are relative to A4.
- Notes are geometrically separated; Each note is separated by a factor of  $2^{1/12}$  away.
  - Ex)  $fa\#4 = fa4 \cdot 2^{1/12}$  and Ex)  $fa5 = fa4 \cdot 2$
- Lab has sampling frequency,  $f_s = 8000 \text{ Hz}$ . Arbitrary value.
- Must calculate upper and lower frequencies for cutoffs for design.
- Here: the bandwidth is defined from  $C_n$  to  $B_n$ .
- Here: the arithmetic mean is center.
- Octave 8 is beyond half the sampling rate.
- Implementation: Geometric mean of  $C_n$  to  $C_{n+1}$ , is  $F\#$ .
- Implementation: Cutoffs geometrically spaced from  $F\#$ .

val [units]	$O_1$	$O_2$	$O_3$	$O_4$	$O_5$	$O_6$	$O_7$	$O_8$
Lower (Hz)	32.703	65.406	130.81	261.63	523.25	1046.5	2093	4186
Lower (Rad)	0.025685	0.05137	0.10274	0.20548	0.41096	0.82192	1.6438	3.2877
Upper (Hz)	61.735	123.47	246.94	493.88	987.77	1975.5	3951.1	7902.1
Upper (Rad)	0.048487	0.096974	0.19395	0.3879	0.77579	1.5516	3.1032	6.2063
Center (Hz)	47.219	94.439	188.88	377.75	755.51	1511	3022	6044.1
Center (Rad)	0.037086	0.074172	0.14834	0.29669	0.59338	1.1868	2.3735	4.747

Table 1: Frequency Ranges for Octaves 1 to 8 starting with  $O_1$

### 5.2: Creating FIR Filters from a Specified Pass-Band

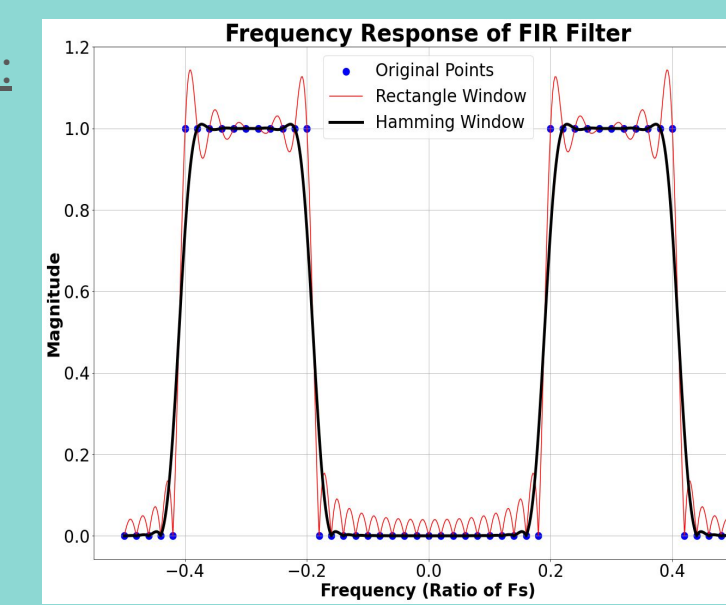
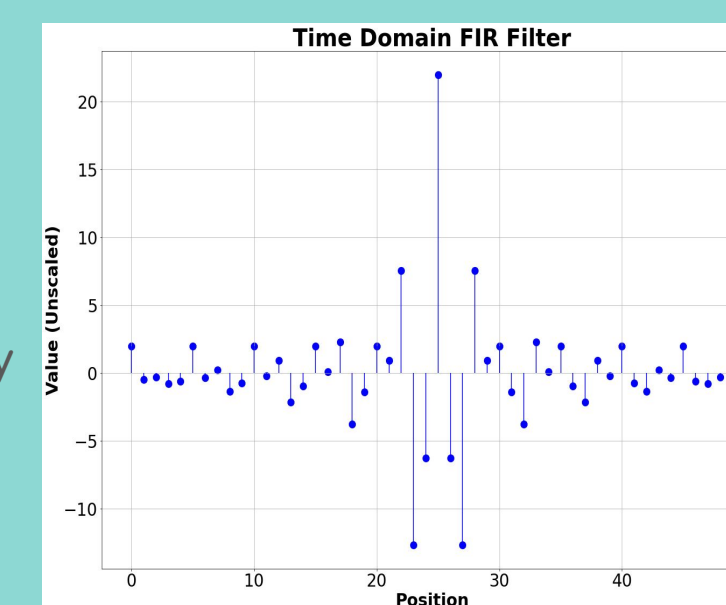


### Step 2:

- Take the FFT of the ideal frequency response
- If done correctly, there should be no imaginary component

### Confirm the FIR Filter Works:

- Append many zeros to the FIR filter and take the FFT
- Few zeros are required for convergence.



## 5.3 Octave Decoding

$$x(t) = \begin{cases} \cos(2\pi \cdot 220t) & 0.0 < t \leq 0.25, \\ \cos(2\pi \cdot 880t) & 0.3 < t \leq 0.55, \\ \cos(2\pi \cdot 440t) + \cos(2\pi \cdot 1760t) & 0.60 < t \leq 0.85 \\ 0 & \text{otherwise} \end{cases}$$

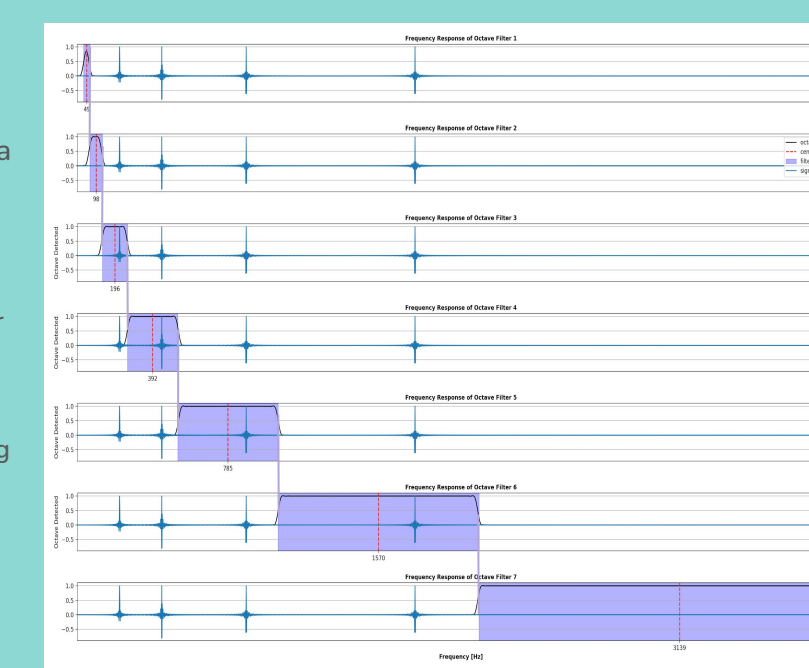
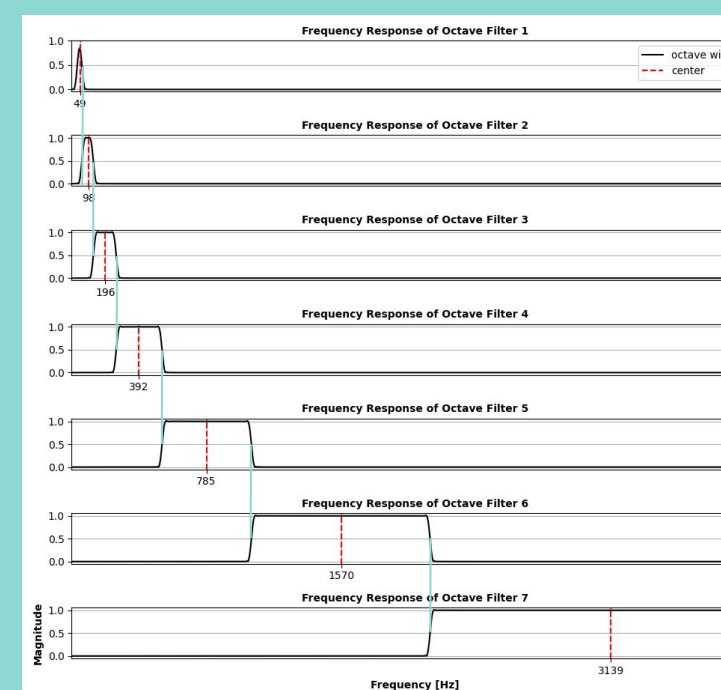
### Test Signal:

The signal used to test out the filters is a few sinusoids with different frequencies representing the A notes in their octaves, and a superposition of two sinusoids with A's, two octaves apart.

### Filtering Signals:

Using the filter bank, we can now pass in our sinusoidal data with multiple frequencies and detect the presence of some note in an octave.

- Overlay shows the filter passband, the rectangular passband region, and labels on detected notes.
- Sharper cutoffs are great for future work attempting the same with all 88 keys on a piano.



## 4.2: Applying a Hamming Window to a Cosine Filter

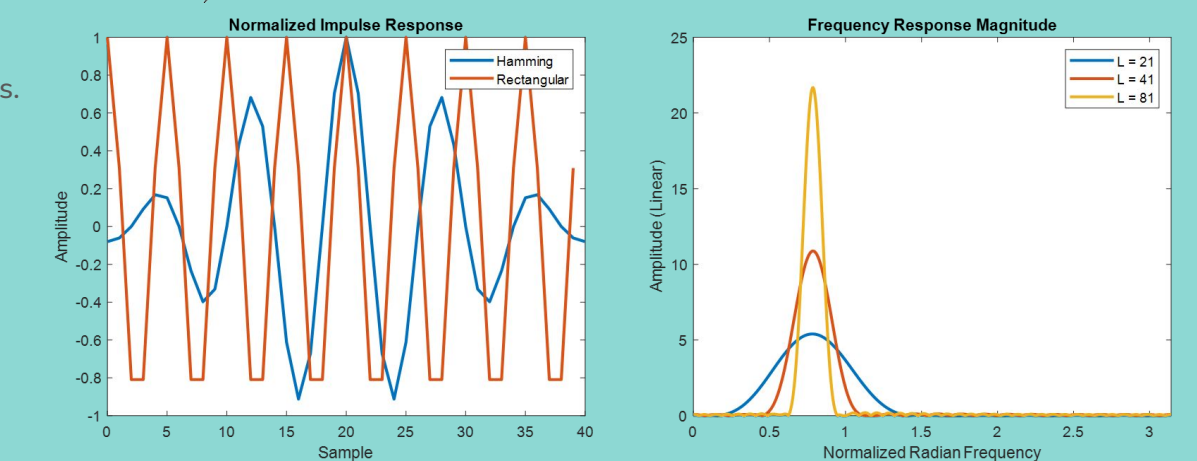
$$h[n] = \left(0.54 - .46 \cos\left(\frac{2\pi n}{L-1}\right)\right) \left(\cos\left(n - \frac{L-1}{2}\right)\right) \quad \text{for } n = 0 \ 1 \ 2 \ \dots \ L-1$$

FIR filter windowed by rect().

Problem: Excessive stopband ripples.

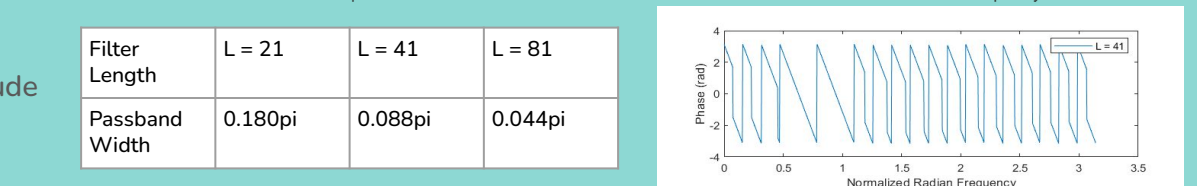
Solution: Replace with Hamming window - a better BPF.

- $w_c = 0.25 \cdot \pi$
- Hamming window reduces coefficients near edges
- Smaller stopband ripples!
- 3dB cutoff for width
- Width approx. inversely proportional to filter length



Filtering test signal:

- By hand: For each frequency component, multiply amplitude and add phase of signal and filter.
- Convolution in time domain.



$$x[n] = 2 + 2\cos\left(1.1\pi n + \frac{\pi}{3}\right) + \cos\left(2.5\pi n - \frac{\pi}{3}\right)$$

	0	0.1pi	0.25pi
Mag	0.08	0.08	10.88
Phase	3.1416	-3.1416	3.1416

- Input signal 0.25 · pi component amplified in passband, while low stopband ripples strongly reject DC and 0.1 · pi.

## 5.3 Results/Conclusions

Given a particular signal, we would expect to have the sampling frequency ahead of time to create a system like this; to correctly pass only the sinusoidal signals with the corresponding frequency. A clear indication of this working as intended is the separation of two octaves between the 440Hz and the 1760Hz sinusoids in the third time window. Additionally, the filters correctly pass the signal without amplification, proving the flatband works as intended and minimizes ripple effects.

Transient effects can be seen in the time data. The sharp transition in the signal from a sinusoid to 0 in the spaces between them creates discontinuities. As we have learned, these impulses contain an infinite number of frequencies, and thus, all filters pick these up to some extent.

There is a specific time needed in order for the filter to begin capturing a tone. Similar to a rise time in a transistor gate, we can measure the time taken to fully rise, and fall. ~035[s] or 35[ms] rise and fall time. The time is approximately the same for all filters, but is quicker to rise with higher frequencies as it takes less time for it to accumulate data as the signal propagates through it.

## FIR Filter Pros and Cons

### Pros:

- Real-time processing
- Rapidly reprogrammable

### Cons:

- Sidelobes
- Transition bands

## Conclusion:

- An FIR filter can successfully isolate desired octaves
- Windowing functions like the Hamming can reduce ripple at the cost of transition band steepness
- Increasing the length of an FIR filter improves the accuracy at the obvious trade off of more hardware and a longer delay

## Extras:

