

Modern Symmetric Key Ciphers

Part I: Foundational Blocks: Permutations and
Substitutions



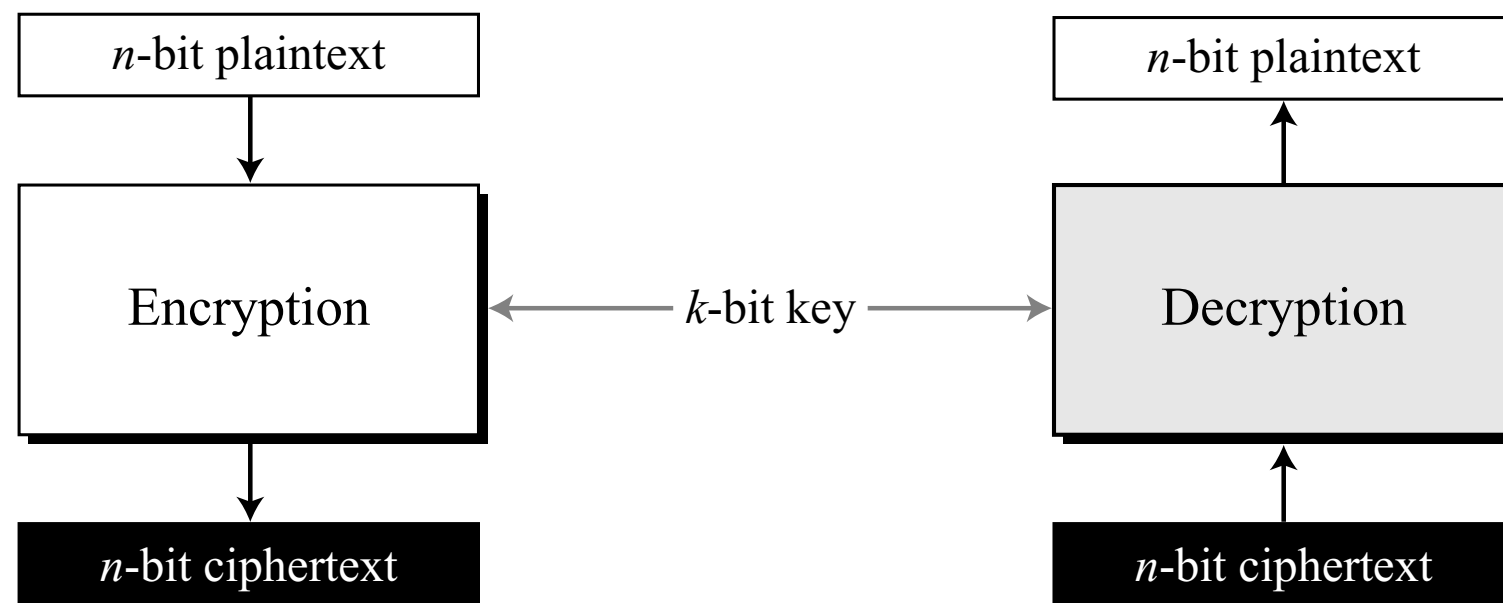
Priyank Kalla

Professor

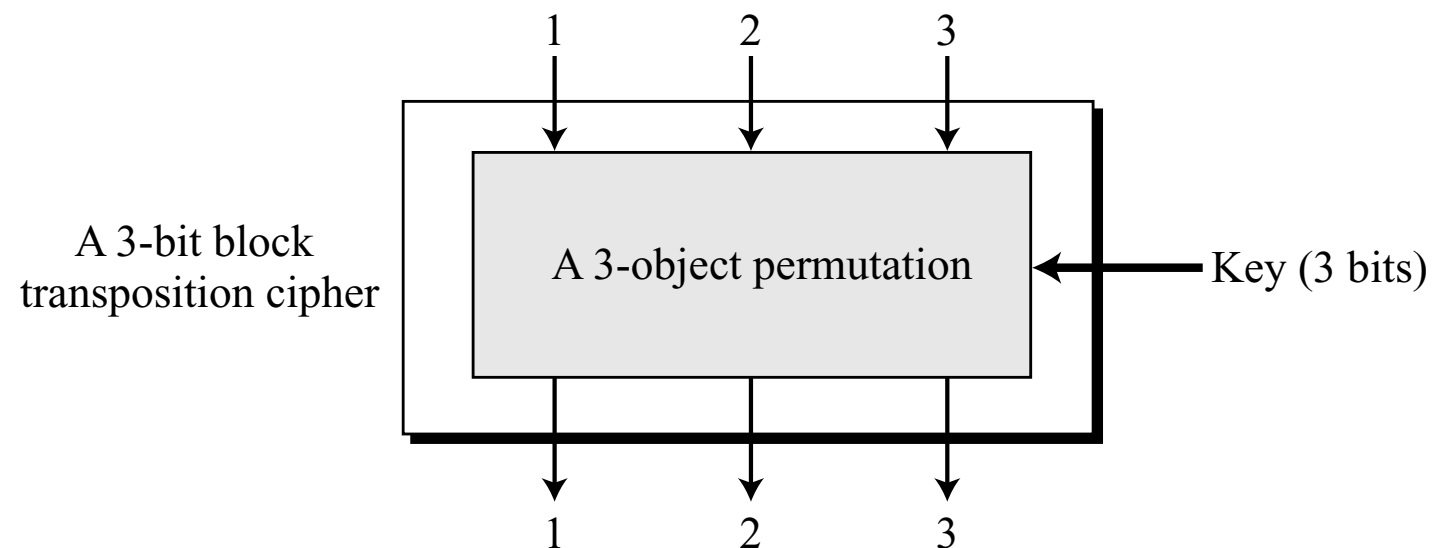
Electrical & Computer Engineering

Modern Symmetric Key Ciphers

- Modern Ciphers: “Overall” Substitution based Cipher
 - Transposition components are also used, but we have to be careful
 - The concept of permutation groups, and related issues
- Can be designed as Block ciphers as well as Stream ciphers
- Used as bit-oriented ciphers, as opposed to purely character oriented ciphers
 - 8-bit ASCII encoding, or other k -bit encodings (think \mathbb{F}_{2^k} fields)



Transposition Blocks as Permutations

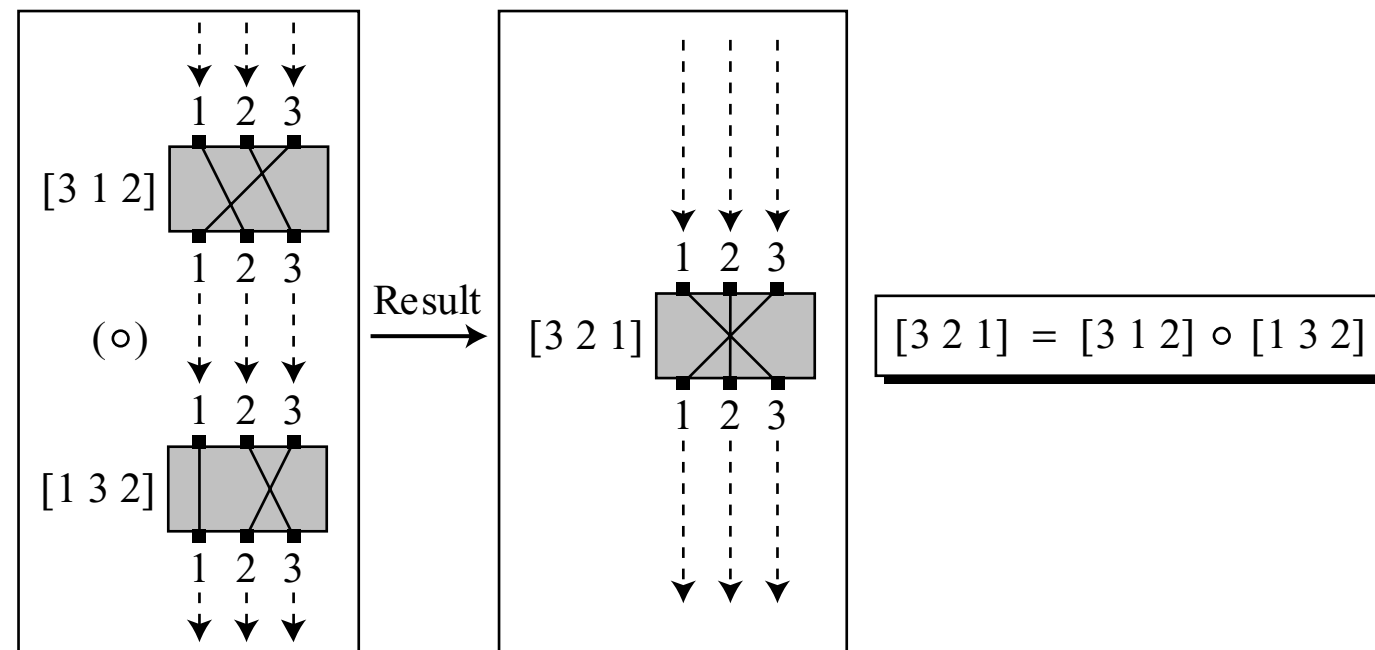


$\{[1\ 2\ 3], [1\ 3\ 2], [2\ 1\ 3], [2\ 3\ 1], [3\ 1\ 2], [3\ 2\ 1]\}$

The set of permutation tables with $3! = 6$ elements

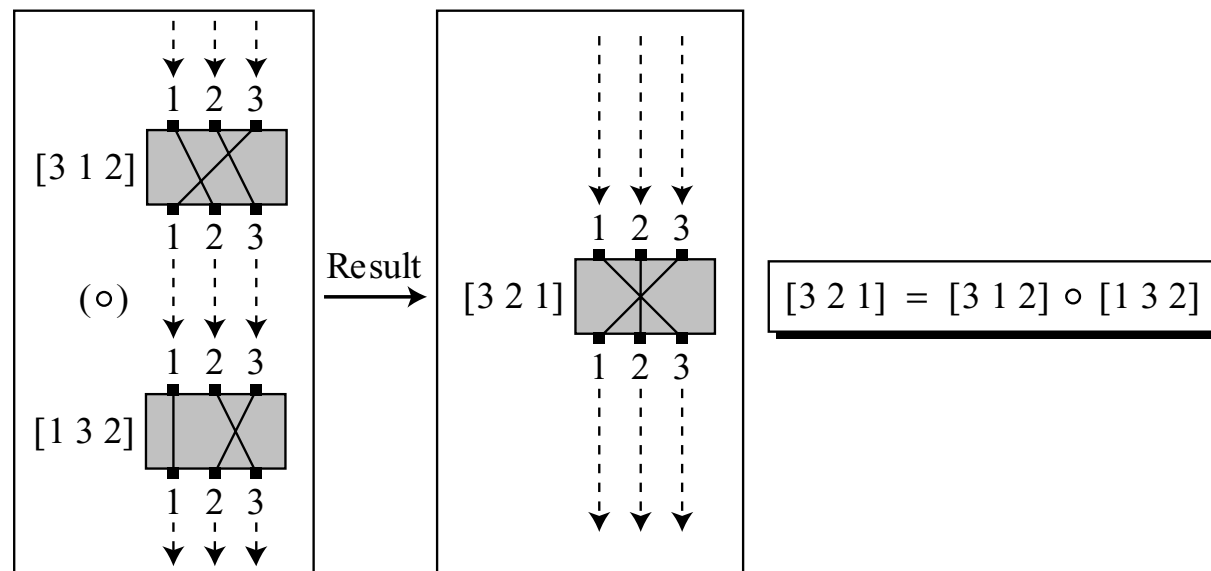
- 6 elements = 3-bits of key. Key defines the permutation
- This is a full-size (3 bits) key. In practice, keys are smaller
- 3-bit block: data is $n=3$ bits.
- For a transposition cipher, key length = $\lceil \log_2 n! \rceil$ bits

Transposition/Permutation Cipher Issues



- Can we perform multiple rounds of permutations?
- Permutation Groups: Group = (G, \odot)
 - G = elements of the set = permutations
 - \odot = composition
 - If G_3 can be composed as $G_3 = G_1 \odot G_2$, then multiple rounds are useless, they don't add to security
 - Instead of doing 2 rounds G_1, G_2 , just use one round G_3
- Should not use ciphers that can modeled as permutation groups! [How to make these decisions, more on this later...]

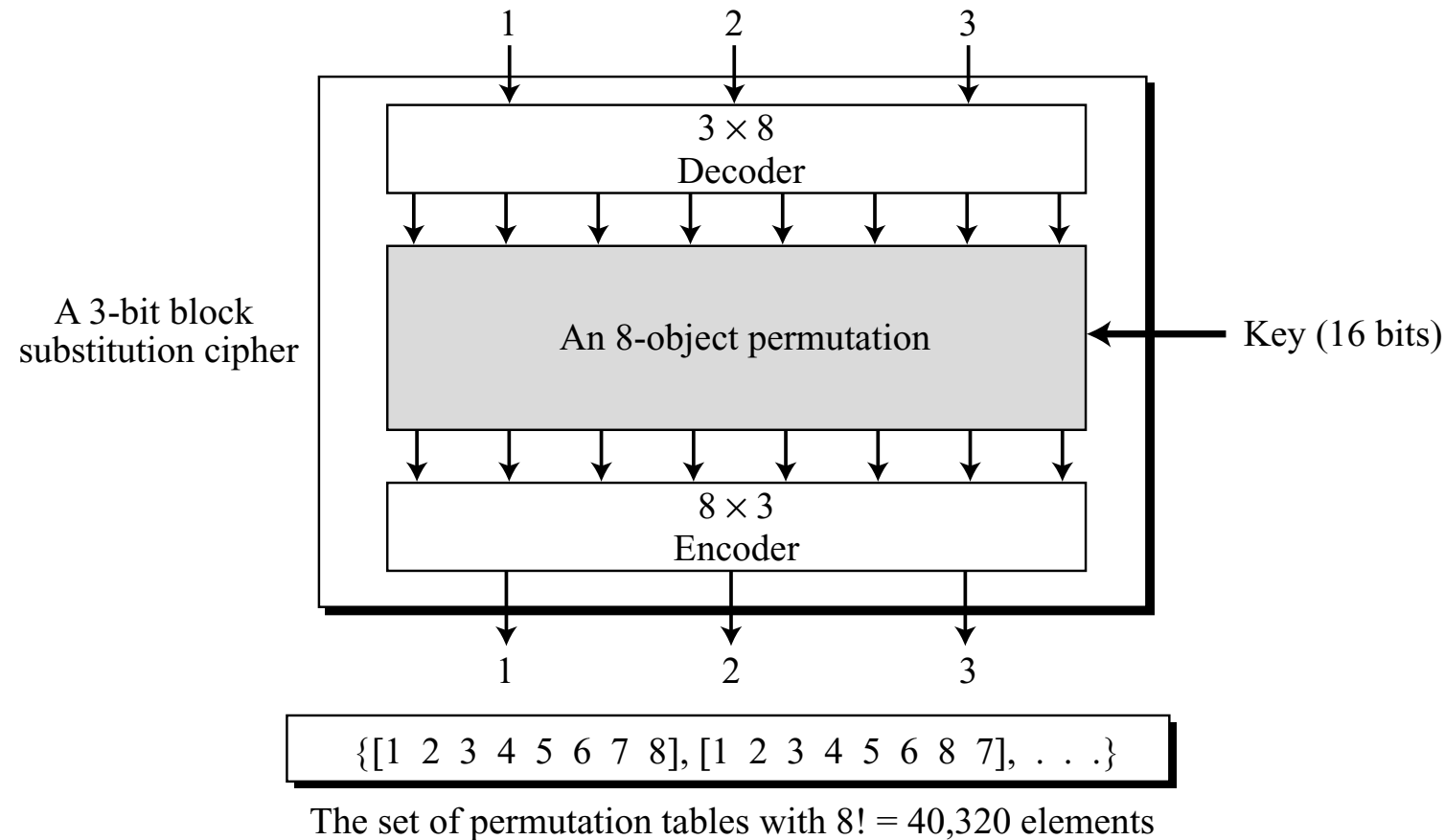
Transposition/Permutation Cipher Issues



\circ	$[1\ 2\ 3]$	$[1\ 3\ 2]$	$[2\ 1\ 3]$	$[2\ 3\ 1]$	$[3\ 1\ 2]$	$[3\ 2\ 1]$
$[1\ 2\ 3]$	$[1\ 2\ 3]$	$[1\ 3\ 2]$	$[2\ 1\ 3]$	$[2\ 3\ 1]$	$[3\ 1\ 2]$	$[3\ 2\ 1]$
$[1\ 3\ 2]$	$[1\ 3\ 2]$	$[1\ 2\ 3]$	$[2\ 3\ 1]$	$[2\ 1\ 3]$	$[3\ 2\ 1]$	$[3\ 1\ 2]$
$[2\ 1\ 3]$	$[2\ 1\ 3]$	$[3\ 1\ 2]$	$[1\ 2\ 3]$	$[3\ 2\ 1]$	$[1\ 3\ 2]$	$[2\ 3\ 1]$
$[2\ 3\ 1]$	$[2\ 3\ 1]$	$[3\ 2\ 1]$	$[1\ 3\ 2]$	$[3\ 1\ 2]$	$[1\ 2\ 3]$	$[2\ 1\ 3]$
$[3\ 1\ 2]$	$[3\ 1\ 2]$	$[2\ 1\ 3]$	$[3\ 2\ 1]$	$[1\ 2\ 3]$	$[2\ 3\ 1]$	$[1\ 3\ 2]$
$[3\ 2\ 1]$	$[3\ 2\ 1]$	$[2\ 3\ 1]$	$[3\ 1\ 2]$	$[1\ 3\ 2]$	$[2\ 1\ 3]$	$[1\ 2\ 3]$

- $[1\ 2\ 3]$ = identity element = I
- The above table = non-commutative group = non-abelian group
- Inverse: $P + P^{-1} = I$
- Multiple rounds of permutations DO NOT add to security here

Substitutions modeled as Permutations with Encoder/Decoder Combinations

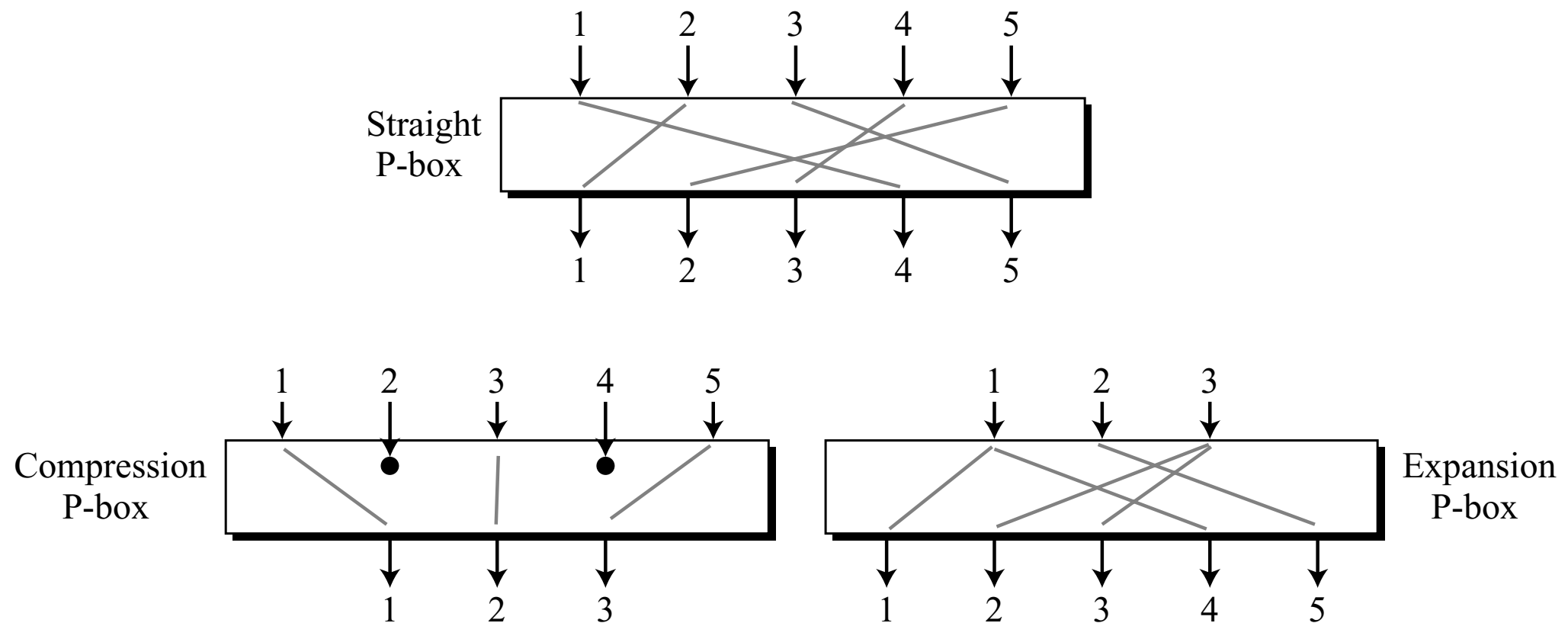


- Key = $\lceil \log_2 (2^n)! \rceil$ bits long
- Here $n = 3$, $2^n = 8$, $8! = 40320$
- Key creates a “mapping”
- DES is a “partial size key cipher”: 64 bit block = $\lceil \log_2 (2^{64}!) \rceil = 2^{70}$ bits!!! Uses only a 56-bit key

Multi-Stage Ciphers and Security

- Let (G, \odot) be a permutation group, where G is the set of permutations, under the composition \odot operation
- Let (M, \odot) be a permutation group, where M is the set of permutations, under the composition \odot operation. Let $M \subset G$, then (M, \odot) is a subgroup of (G, \odot)
- A partial-key cipher is a **group** if it is a **subgroup** of the full-size key cipher
- To obtain more security using multiple rounds of the same cipher, ensure that the partial-key ciphers are NOT subgroups of the full-size ciphers
- Multi-stage DES w/ 56-bit key is NOT a group: No subgroup with 2^{56} mappings can be created with $2^{64}!$ mappings/permutations

3-types of P-Boxes in Modern Ciphers



- Straight P-boxes are invertible (encryption and decryption)
- The above is a keyless straight P-Box
- Compression and Expansion are non-invertible [but, sometimes their combinations can cancel out, so they can be used in encipherment]

Permutation table for the above straight P-Box: [2 5 4 1 3]

How to compute Inverses?

Inverting a Permutation Table

1. Original table

6	3	4	5	2	1
----------	----------	----------	----------	----------	----------

6	3	4	5	2	1
----------	----------	----------	----------	----------	----------

2. Add indices

1 2 3 4 5 6

3. Swap contents
and indices

1	2	3	4	5	6
----------	----------	----------	----------	----------	----------

6 3 4 5 2 1

6	5	2	3	4	1
----------	----------	----------	----------	----------	----------

1 2 3 4 5 6

4. Sort based
on indices

6	5	2	3	4	1
----------	----------	----------	----------	----------	----------

5. Inverted table

- Original table for encryption, Inverted tables used for decryption
- Hardware implementation is trivial wiring

S-Boxes

- An S-Box substitutes an n-bit input word with a m-bit output word:
- May or may not be invertible
- May or may not be linear

$$\begin{aligned} y_1 &= f_1(x_1, x_2, \dots, x_n) \\ y_2 &= f_2(x_1, x_2, \dots, x_n) \\ &\dots \\ y_m &= f_m(x_1, x_2, \dots, x_n) \end{aligned}$$

Linear S-Box: Invertible?

$$\begin{aligned} y_1 &= a_{1,1}x_1 \oplus a_{1,2}x_2 \oplus \dots \oplus a_{1,n}x_n \\ y_2 &= a_{2,1}x_1 \oplus a_{2,2}x_2 \oplus \dots \oplus a_{2,n}x_n \\ &\dots \\ y_m &= a_{m,1}x_1 \oplus a_{m,2}x_2 \oplus \dots \oplus a_{m,n}x_n \end{aligned}$$

Invertible S-Box:

3 bits
↓

	00	01	10	11
0	011	101	111	100
1	000	010	001	110

↓
3 bits

Table used for encryption

3 bits
↓

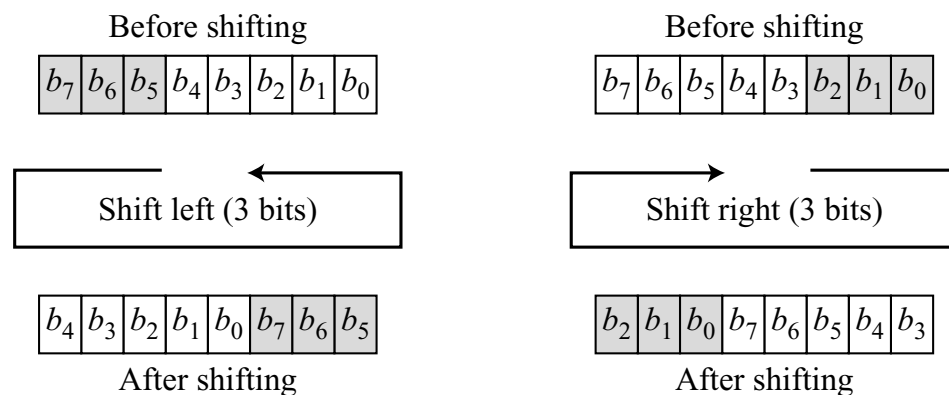
	00	01	10	11
0	100	110	101	000
1	011	001	111	010

↓
3 bits

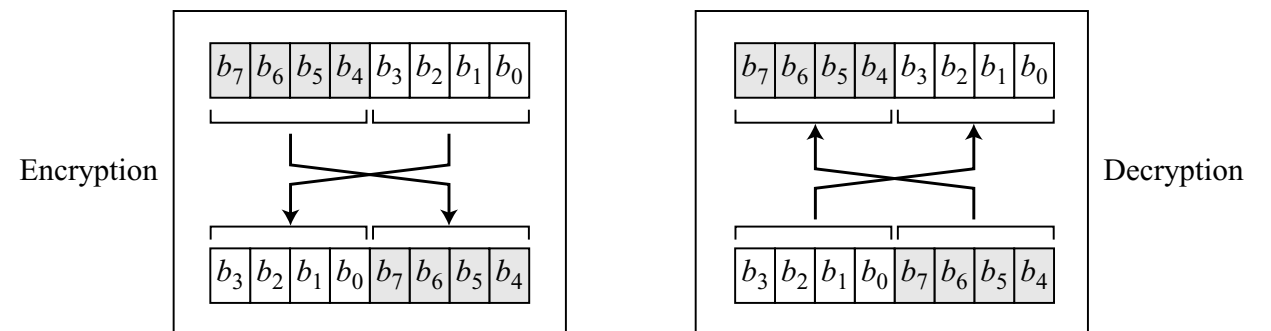
Table used for decryption

Shift and Swap Operations also used

Circular shifting an 8-bit word to the left or right



Swap operation on an 8-bit word



- Circular left shift = inverse of circular right shift operations
- Circular shifts under composition = group operation!
- Circular shifting more than once = shifting once...

Diffusion and Confusion

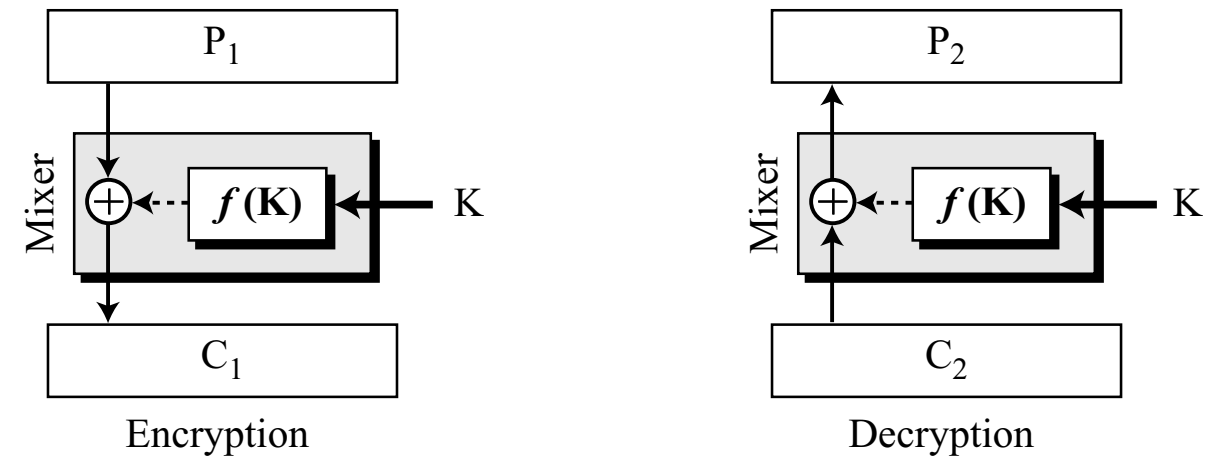
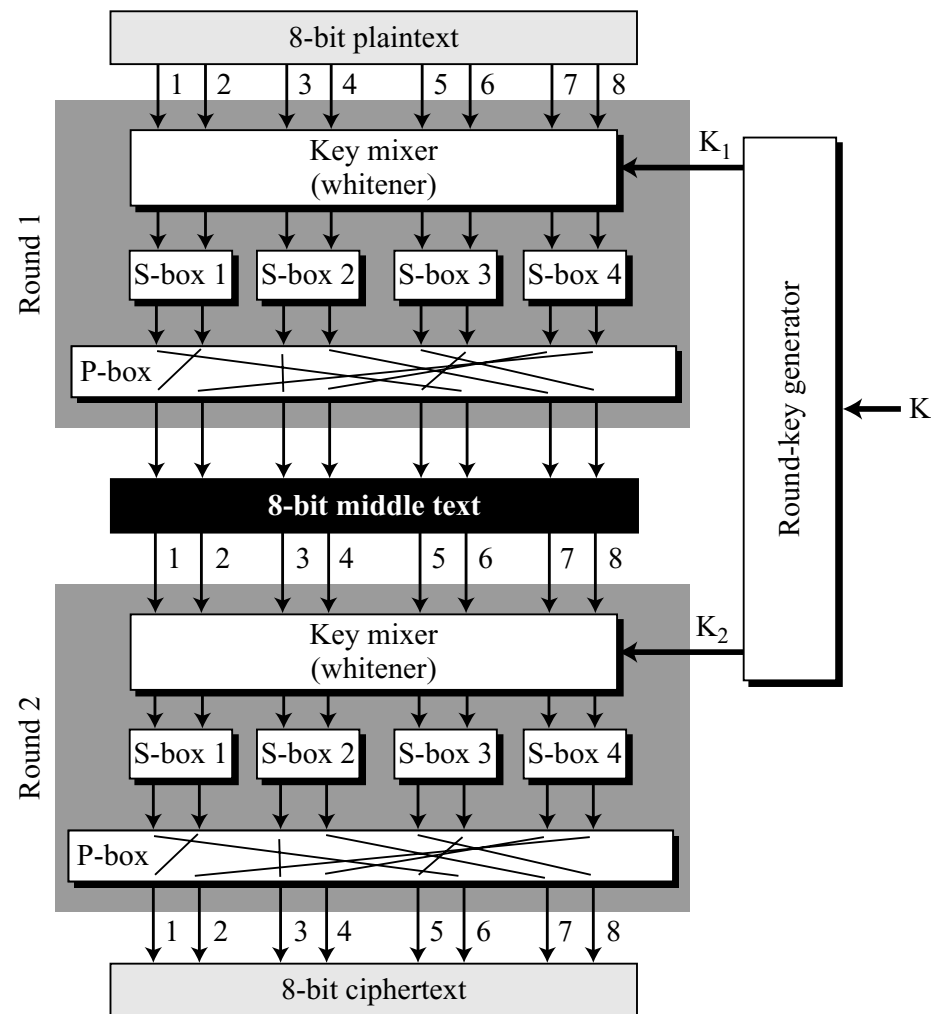
- Diffusion hides the relationship between Ciphertext C and Plaintext P
- Confusion hides the relationship between Ciphertext C and the Key K
- Rounds:
 - Diffusion and confusion achieved using iterations of S-Box, P-Box and other operations: **Product ciphers**
 - Create a block cipher using a key schedule/generator: create different keys for each round from the cipher key
 - N-round cipher: P is encrypted N times to create C , and then C is decrypted N times to create recreate P

Product Ciphers

- An example of Product Cipher:
 - 8-bit text mixed with 8-bit Key: Whitening the text, to hide the bits of P with key K
 - E.g. XOR 8-bit text with 8-bit key
 - Outputs of the whitener are fed into 4 2-bit groups, and fed into 4 S-boxes
 - Outputs of S-boxes passed through a P-box
 - Do one more round of the same...

Example Product Cipher

A product cipher made of two rounds

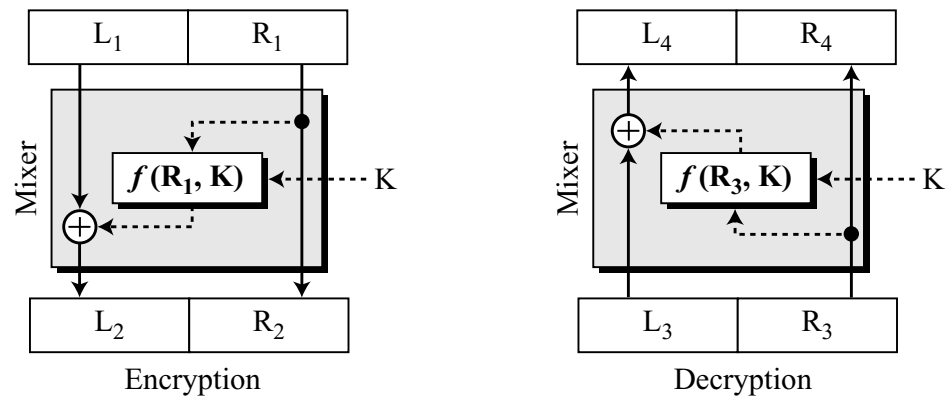


- Mixer: Use a non-invertible function $f(K)$: can be linear or polynomial in \mathbb{F}_{2^k}

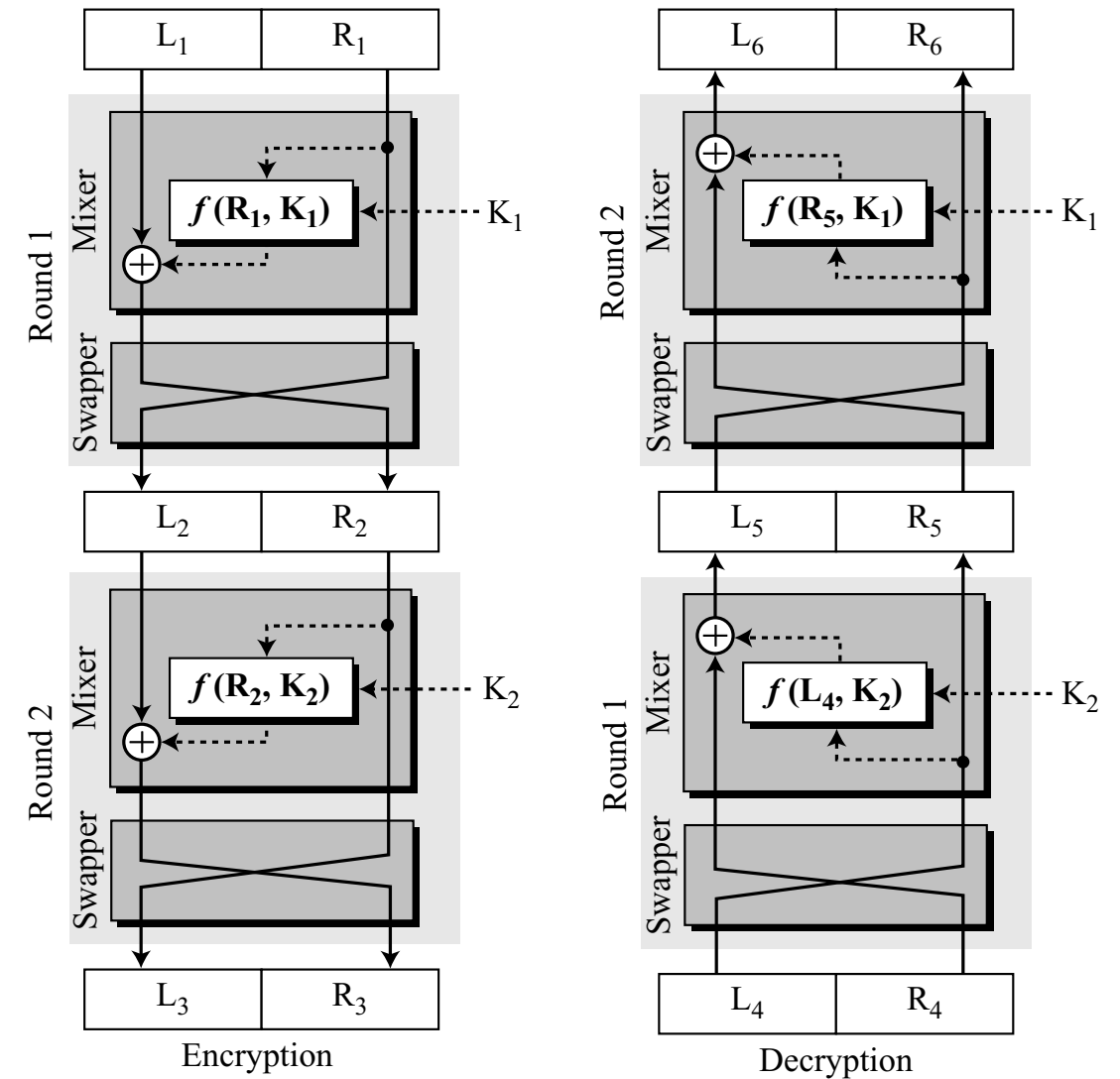
Encryption: $C_1 = P_1 \oplus f(K)$

Decryption: $P_2 = C_2 \oplus f(K) = C_1 \oplus f(K) = P_1 \oplus f(K) \oplus f(K) = P_1 \oplus (00\dots 0) = P_1$

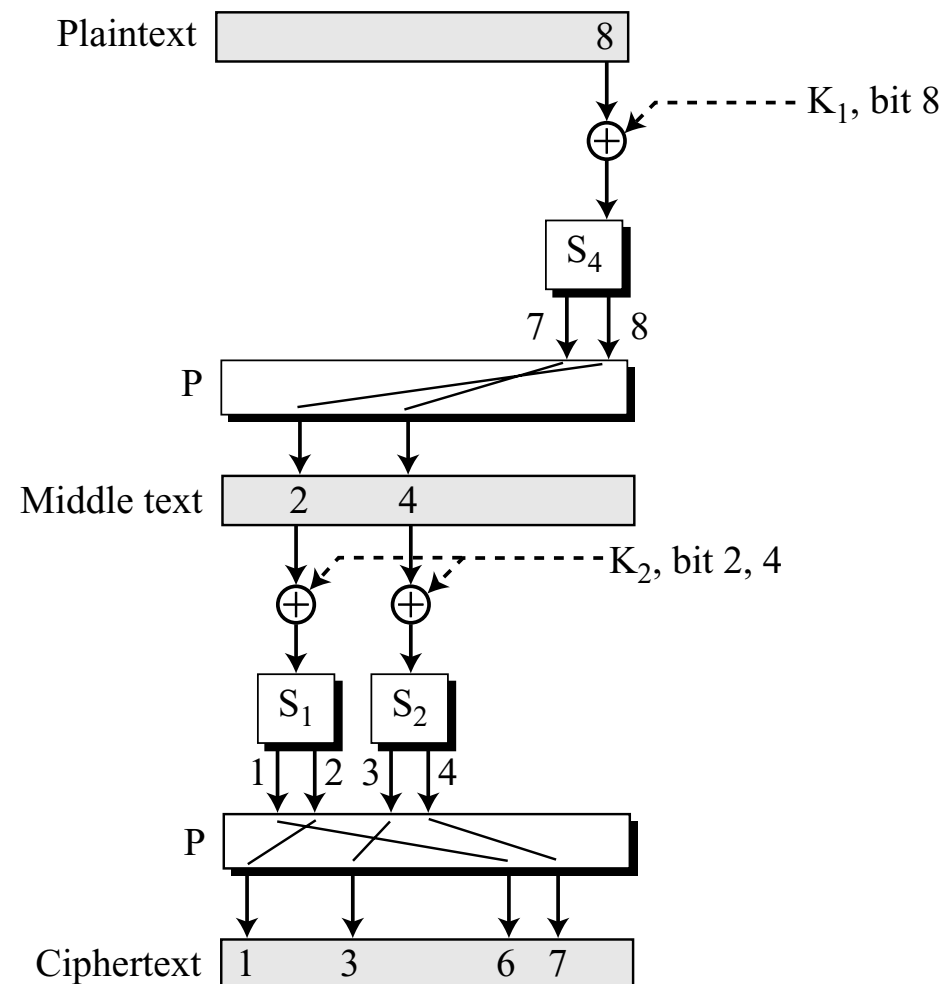
An Example Product Cipher: Feistel Cipher



- $L_3 = L_2, R_3 = R_2$



Example of Diffusion and Confusion



- Diffusion:

- Bit-8 in P has affected bits 1, 3, 6, 7 in C

- Similarly, each bit in C is affected by several bits in P

- Confusion:

- Bits 1, 3, 6, 7 in C affected by bit 8 in K_1 and bits 2, 4 in K_2