

# SERRE SPECTRAL SEQUENCE-I

XINGZHI HUANG

## 1. REVIEW OF THE SPECTRAL SEQUENCE

Given a differential object  $X \in (\mathcal{A}, T)_d$  with a filtration  $F^\bullet X$ , we can construct a spectral sequence by the following steps:

Step 1. From the short exact sequence

$$0 \rightarrow (F^{p+1}X, d) \rightarrow (F^pX, d) \rightarrow (gr^pX, gr^pd) \rightarrow 0$$

we obtain the associated long exact sequence in cohomology (homology):

$$0 \rightarrow H(F^{p+1}X, d) \rightarrow H(F^pX, d) \rightarrow H(gr^pX, gr^pd) \xrightarrow{+1} H(F^{p+1}X, d) \rightarrow \dots$$

which can be viewed as an exact couple  $\mathcal{C}_1$ :

$$\begin{array}{ccc} H(F^\bullet X, d) & \xrightarrow{i, (0, -1)} & H(F^\bullet X, d) \\ & \nwarrow k, (+1, +1) \quad \nearrow j, (0, 0) & \\ & H(gr^\bullet X, gr^\bullet d) & \end{array}$$

where the bidegree  $(n, p)$  encodes the shifting  $n$  of the differential object and the shifting  $p$  in filtration degree.

Step 2. From an initial exact couple  $\mathcal{C}_1$ , we can generate the derived exact couples  $\mathcal{C}_r$  for  $r \geq 1$ :

$$\begin{array}{ccc} D & \xrightarrow{i, (0, -1)} & D \\ & \nwarrow k, (+1, +r) \quad \nearrow j, (0, 0) & \\ & E & \end{array}$$

Step 3. We can define the spectral sequence  $\{E_r^p, d_r^p\}$  associated to the exact couples  $\mathcal{C}_r$  as follows:

$$\begin{aligned} E_r^p &= E^p \\ d_r^p &= j \circ k : E_r^p \rightarrow E_r^{p+r} \end{aligned}$$

where  $d_r^p$  shifts in degree  $+1$  as the differential of the differential object.

**Theorem 1.1.** *If the filtration  $F^\bullet X$  is bounded and exhaustive, then the spectral sequence  $\{E_r^p, d_r^p\}$  converges to  $H(X)$ , i.e.,*

$$E_\infty^p \cong gr^p H(X)$$

*Remark 1.2.* In the case when  $X$  is a  $\mathbb{Z}$ -graded differential object with a decreasing(increasing) filtration  $F^\bullet X$ , we can rewrite the above construction in the bidegree form. In that case, the spectral sequence becomes a bigraded spectral sequence  $\{E_r^{p,q}, d_r^{p,q}\}$  with  $q$  defined by  $n - p$ , where  $n$  is the graded degree of  $X$ .

In that case,  $E_\infty^p \cong gr^p H(X)$  when  $E_\infty^{p,q} \cong gr^p H^{p+q}(X)$ . We will also denote it as

$$E_\infty^{p,q} \Rightarrow H^{p+q}(X)$$

### Reversed case

If we reverse the arrows of the short exact sequence in Step 1, i.e.

$$0 \rightarrow (gr^p X, gr^p d) \rightarrow (F^p X, d) \rightarrow (F^{p+1} X, d) \rightarrow 0$$

we will obtain another exact couple  $\mathcal{C}'_1$  with all arrows reversed:

$$\begin{array}{ccc} H(F^\bullet X, d) & \xleftarrow{i, (0, -1)} & H(F^\bullet X, d) \\ & \searrow k, (+1, +1) & \nearrow j, (0, 0) \\ & H(gr^\bullet X, gr^\bullet d) & \end{array}$$

Similarly, we can generate the derived exact couples  $\mathcal{C}'_r$  for  $r \geq 1$ , and thus generate a spectral sequence  $\{E_r'^p, d_r'^p\}$  associated to the exact couples  $\mathcal{C}'_r$  where  $E_r'^p = E'^p$  and  $d_r'^p = k \circ j : E_r'^p \rightarrow E_{r+p}'^{p+r}$ .

## 2. SPECTRAL SEQUENCE OF A FILTERED CHAIN COMPLEX

Given  $X \in \text{Top}$  with an increasing filtration  $\{X^p\}_{p \geq 0}$ , we can induce a filtration on the singular chain complex  $C_*(X; G)$  with coefficients in an abelian group  $G$ :

$$F_p C_*(X; G) = C_*(X^p; G)$$

Notice that  $H_n(C_*(X; G))$  is exactly the singular homology group  $H_n(X; G)$ . If the filtration is bounded and exhaustive, we have the convergence  $E_\infty^{p,q} \cong gr^p H_{p+q}(X; G)$ .

**Example 2.1.** Suppose  $X$  is a CW-complex with skeleta  $\{X^n\}_{n \geq 0}$ . Then we have a filtration on the singular chain complex  $C_*(X; G)$  given by the structure of the skeleta:

$$F_p C_*(X; G) = C_*(X^p; G)$$

The first exact couple is given by

$$\begin{array}{ccc} H_*(X^\bullet) & \xrightarrow{i, (0, +1)} & H_*(X^\bullet) \\ & \nwarrow k, (-1, -1) & \swarrow j, (0, 0) \\ & H_*(X^\bullet, X^{\bullet-1}) & \end{array}$$

So the first page of the spectral sequence is given by:

$$E_1^{p,q} = \begin{cases} H_p(X^p, X^{p-1}; G), & q = 0 \\ 0, & q \neq 0 \end{cases}$$

Notice then  $(p, 0)$  term is exactly the cellular chain group  $C_p^{\text{Cell}}(X; G)$ . Moreover, the morphism  $k$  is exactly the boundary map in the long exact sequence of the pair  $(X^p, X^{p-1})$ ,  $j$  is induced by the inclusion map. So the differential  $d_1 = j \circ k$  at degree  $(p, q)$  is exactly the cellular differential:

$$d_{1,p,q} : H_p(X^p, X^{p-1}; G) \rightarrow H_{p-1}(X^{p-1}, X^{p-2}; G)$$

witnessing:

$$\begin{array}{ccc} & H_{p-1}(X^{p-1}; G) & \\ k \nearrow & & \searrow j \\ H_p(X^p, X^{p-1}; G) & \xrightarrow{d_1 = j \circ k} & H_{p-1}(X^{p-1}, X^{p-2}; G) \end{array}$$

Thus we have  $E_2^{p,q} \cong H_p(X; G)$  when  $q = 0$  and 0 otherwise. The spectral sequence collapses at the second page, and it converges to  $H_*(X; G)$ .

### 3. LOCAL SYSTEMS

Recall that in covering space theory, given a locally path-connected and semi-locally simply connected space  $X$ , there is an equivalence of categories:

$$\begin{array}{ccc} & \text{Rec} & \\ \text{Set}^{\Pi_1(X)} & \xrightarrow{\quad} & \mathbf{LCS}_{\text{Set}}(X) \\ & \xleftarrow{\quad \text{Fib} \quad} & \end{array}$$

Here we write  $\mathbf{LCS}_{\text{Set}}(X)$  for the category of locally constant sheaves of sets on  $X$  to represent  $\text{Cov}(X)$  for generalization purpose.

**Theorem 3.1.** *Given  $X$  a locally connected space, the equivalence holds if we replace **Set** by **Ab** or **R-Mod**, i.e.,*

$$\begin{array}{ccc} & \text{Rec} & \\ \text{Ab}^{\Pi_1(X)} & \xrightarrow{\quad} & \mathbf{LCS}_{\text{Ab}}(X) \\ & \xleftarrow{\quad \text{Fib} \quad} & \end{array}$$

and

$$\begin{array}{ccc}
 & \text{Rec} & \\
 & \curvearrowright & \\
 R\text{-}\mathbf{Mod}^{\Pi_1(X)} & & \mathbf{LCS}h_{R\text{-}\mathbf{Mod}}(X) \\
 & \curvearrowleft & \\
 & \text{Fib} &
 \end{array}$$

So we can define the local system of a locally connected space  $X$  with coefficients  $R$  in the following equivalent ways:

**Definition 3.2.** A **local system** of  $X$  with coefficients in  $R$  is either

- (1) a functor  $\mathcal{L} : \Pi_1(X) \rightarrow R\text{-}\mathbf{Mod}$ ; or
- (2) a locally constant sheaf of  $R$ -modules on  $X$ .

*Remark 3.3.* If  $X$  is path-connected, we can further define the local system as a group action of  $\pi_1(X)$  on an  $R$ -module  $M$ , i.e., a representation  $\rho : \pi_1(X) \rightarrow \text{Aut}_R(M)$ .

**Example 3.4.** Given a fibration  $F \rightarrow E \xrightarrow{p} B$  where  $B$  is locally path-connected and semi-locally simply connected, we can define a local system  $\mathcal{H}_q(F; R)$  on  $B$  with coefficients in  $R$  as follows:

For each  $b \in B$ , let  $\mathcal{H}_q(F; R)(b) = H_q(F_b; R)$  where  $F_b = p^{-1}(b)$  is the fiber over  $b$ . For each path class  $[\gamma] : b \rightarrow b'$  in  $\Pi_1(B)$ , we can define the morphism  $\tilde{\gamma}F_b \rightarrow F_{b'}$  induced by the homotopy lifting property of fibrations, which further induces a morphism  $\mathcal{H}_q(F; R)([\gamma]) : H_q(F_b; R) \rightarrow H_q(F_{b'}; R)$ . Notice that  $F_b \simeq F$  everywhere, thus we have defined a functor  $\mathcal{H}_q(F; R) : \Pi_1(B) \rightarrow R\text{-}\mathbf{Mod}$ , which is a local system on  $B$  with coefficients in  $R$ .

*Remark 3.5.* If  $\Pi(X)$  acts trivially on the fiber homology  $H_q(F; R)$ , then the local system  $\mathcal{H}_q(F; R)$  is constant with value  $H_q(F; R)$ . In particular, if  $X$  is path-connected and simply connected, then every local system on  $X$  is constant.

#### 4. CONSTRUCTION OF THE SERRE SPECTRAL SEQUENCE

Given a fibration  $F \rightarrow E \xrightarrow{p} B$  where  $B$  is a CW-complex, we can induce a filtration on the singular chain complex  $C_*(E; R)$  with coefficients in a ring  $R$ :

$$F_p C_*(E; R) = (C_*(p^{-1}(B^p); R))$$

where  $B^p$  is the  $p$ -skeleton of  $B$ . Notice that  $H_n(C_*(E; R))$  is exactly the singular homology group  $H_n(E; R)$ .

The first exact couple is given by

$$\begin{array}{ccc}
 H_*(p^{-1}(B^\bullet); R) & \xrightarrow{i, (0, +1)} & H_*(p^{-1}(B^\bullet); R) \\
 \swarrow k, (-1, -1) & & \nwarrow j, (0, 0) \\
 & H_*(p^{-1}(B^\bullet), p^{-1}(B^{\bullet-1}); R) &
 \end{array}$$

where  $k$  is induced by the boundary map in the long exact sequence of the pair  $(p^{-1}(B^p), p^{-1}(B^{p-1}))$ , and  $j$  is induced by the inclusion map.

We want to show in the next lecture that  $H_{p+q}(p^{-1}(B^p), p^{-1}(B^{p-1}); R) \cong \text{Cell}_p(B; \mathcal{H}_q(F; R))$ , while  $j$  and  $k$  correspond to the cellular differential. Thus the second page of the spectral sequence is given by:

$$E_2^{p,q} \cong H_p(B; \mathcal{H}_q(F; R))$$

If the filtration is bounded and exhaustive, we have the convergence  $E_\infty^{p,q} \cong \text{gr}^p H_{p+q}(E; R)$  or  $E_\infty^{p,q} \Rightarrow H_{p+q}(E; R)$ .

#### REFERENCES

1. Wenwei Li. *Methods of Algebra, Vol. 2: Linear Algebra*. Higher Education Press, 2024. (in Chinese)
2. McCleary, John. *A User's Guide to Spectral Sequences*, 2nd ed. Cambridge University Press, 2001.